

1   **Soil moisture influenced the interannual variation in**  
2   **temperature sensitivity of soil organic carbon mineralization**  
3   **in the Loess Plateau**

4

5   Yanjun Zhang<sup>1,2</sup>, Shengli Guo<sup>1,3,4\*</sup>, Man Zhao<sup>4</sup>, Lanlan Du<sup>1</sup>, Rujian Li<sup>1</sup>, Jishao Jiang<sup>3</sup>,  
6   Rui Wang<sup>4</sup>, Nana Li<sup>1</sup>

7   Affiliation:

8   1. State Key Laboratory of Soil Erosion and Dry-land Farming on the Loess Plateau,  
9   Institute of Soil and Water Conservation, Northwest A&F University, Yangling  
10   712100, China

11   2. Geography and Environmental Engineering Department, Baoji University of Arts  
12   and Sciences, Baoji 721013, China

13   3. Institute of Soil and Water Conservation, Chinese Academy of Sciences and  
14   Ministry of Water Resource, Yangling 712100, China

15   4. College of Resources and Environment, Northwest A&F University, Yangling  
16   712100, China

17

18   Number of text pages: 30

19   Number of tables: 4

20   Number of figures: 6

21   Corresponding author: Shengli Guo

22   Address: Institute of Soil and Water Conservation, Xinong Road 26, Yangling,  
23   Shaanxi 712100, China

24   Phone: +86-29-87012411, Fax: +86-29-87012210, E-mail: [slguo@ms.iswc.ac.cn](mailto:slguo@ms.iswc.ac.cn)

25      **Abstract**

26      Temperature sensitivity of soil organic carbon (SOC) mineralization (i.e.,  $Q_{10}$ )  
27      determines how strong the feedback from global warming may be on the atmospheric  
28      CO<sub>2</sub> concentration, thus understanding the factors influencing the interannual  
29      variation in  $Q_{10}$  is important to accurately estimate local soil carbon cycle. *In situ* SOC  
30      mineralization rate was measured using an automated CO<sub>2</sub> flux system (Li-8100) in  
31      long-term bare fallow soil in the Loess Plateau (35°12' N, 107°40' E) in Changwu,  
32      Shaanxi, China from 2008 to 2013. The results showed that the annual cumulative  
33      SOC mineralization ranged from 226 to 298 g C m<sup>-2</sup> y<sup>-1</sup>, with a mean of 253 g C m<sup>-2</sup>  
34      y<sup>-1</sup> and a CV of 13%, annual  $Q_{10}$  ranged from 1.48 to 1.94, with a mean of 1.70 and a  
35      CV of 10%, and annual soil moisture content ranged from 38.6 to 50.7% soil  
36      water-filled pore space (WFPS), with a mean of 43.8% WFPS and a CV of 11%,  
37      which were mainly affected by the frequency and distribution of precipitation. Annual  
38       $Q_{10}$  showed a quadratic correlation with annual mean soil moisture content. In  
39      conclusion, understanding of the relationships between interannual variation in  $Q_{10}$ ,  
40      soil moisture and precipitation are important to accurately estimate the local carbon  
41      cycle, especially under the changing climate.

42

43

44      **Keywords:** Soil temperature; SOC mineralization; distribution and frequency of  
45      precipitation.

46

47 **1. Introduction**

48 Temperature sensitivity of soil organic carbon (SOC) mineralization (hereafter  
49 refer to as  $Q_{10}$ ) is of critical importance because it determines how strong the  
50 feedback from global warming may be on the atmospheric CO<sub>2</sub> concentration (Ågren  
51 and Wetterstedt, 2007). However, this is an issue of considerable debatable (Davidson  
52 et al., 2006; Kirschbaum, 2006), and the variations in  $Q_{10}$  are the main source of  
53 controversies in this feedback intensity (Larionova et al., 2007; Karhu et al., 2010;  
54 Conant et al., 2011; Sakurai et al., 2012). Therefore, understanding the factors  
55 influencing  $Q_{10}$  is important to accurately estimate C cycle and the feedback from the  
56 expected warmer climate.

57 Previous studies have shown that  $Q_{10}$  variations are closely related to soil  
58 temperature (Kirschbaum, 2006; Von Lutzow and Kogel-Knabner, 2009), substrate  
59 availability (Ågren and Wetterstedt, 2007; Gershenson et al., 2009), substrate quality  
60 (Von Lutzow and Kogel-Knabner, 2009; Sakurai et al., 2012), and the size and  
61 composition of microbial population (Djukic et al., 2010; Karhu et al., 2010). Soil  
62 moisture is the most significant limiting factor for underground physiological  
63 processes in dry and semi-dry ecosystems (Balogh et al., 2011; Cable et al., 2011;  
64 Wang et al., 2014). Soil water availability may indirectly affect  $Q_{10}$  by influencing the  
65 diffusion of substrates, because the diffusion of extracellular enzymes produced by  
66 microorganisms and available substrates must conduct in the liquid phase (Davidson  
67 et al., 1998; Illeris et al., 2004), but the response of  $Q_{10}$  to soil water availability is  
68 extremely complex and controversial (Davidson et al., 2000; Davidson et al., 2006;  
69 McCulley et al., 2007). For example, Gulledge and Schimel (2000) found that  $Q_{10}$  was  
70 larger in wet years than in drought years, whereas the opposite result was found by  
71 Dorr and Mdnich (1987). However, many other studies that mainly focused on the

72 short-term or seasonal variation in  $Q_{10}$  (Davidson et al., 2006) have showed that  $Q_{10}$   
73 was not affected by soil moisture (Fang and Moncrieff, 2001; Reichstein et al., 2002;  
74 Jassal et al., 2008). Additionally, soil water availability experienced marked seasonal  
75 and interannual fluctuations in these ecosystems due to uneven rainfall distribution  
76 caused by the abnormal increase of atmospheric CO<sub>2</sub> concentrations (Solomon et al.,  
77 2007). The uneven rainfall distribution inevitably influenced soil moisture availability  
78 (Coronato and Bertiller, 1996; Qiu et al., 2001; Cho and Choi, 2014). Xiao et al.  
79 (2014) have shown that the interannual changes in soil moisture storage in the Loess  
80 Plateau were decided by the difference in soil moisture storage between October and  
81 April, because precipitation from April to October of 2004 to 2010 accounted for at  
82 least 86% of annual rainfall. However, to our knowledge, there have been few studies  
83 investigating the relationship between interannual variation in  $Q_{10}$  and soil moisture  
84 under natural conditions.

85 The Loess Plateau is located in northwest China covering an area of 640,000 km<sup>2</sup>.  
86 It has a continental monsoonal climate and shows a dramatically interannual  
87 fluctuations in precipitation, with the highest precipitation of 1262 mm and the lowest  
88 precipitation of only 80 mm, and a mean value of 150–750 mm (Lin and Wang, 2007).  
89 The precipitation in the loess regions also shows a dramatically seasonal variation,  
90 and approximately 60%–80% of the annual precipitation falls during the three  
91 summer months from July to September (Guo et al., 2012). Several recent studies  
92 have attempted to determine the dominant factors responsible for the variation of soil  
93 respiration in vegetation ecosystems (Lafond et al., 2011; Shi et al., 2011; Jurasiczki  
94 et al., 2012). However, there have been no studies on the interannual variation in  $Q_{10}$ ,  
95 nor the factors responsible for these changes. This highlights the need to accurately  
96 evaluate the response of SOC mineralization to increasing temperature under warmer

97 climate scenarios in the eroded or degraded regions, because air temperature has been  
98 increasing over the past decades (Fan and Wang, 2011; Wang et al., 2012). Thus, the  
99 objectives of the present study are to (1) quantify the interannual variation in  $Q_{10}$ ; (2)  
100 determine the effect of soil moisture on this interannual variation for the period  
101 2008–2013 in the Loess Plateau, China.

102

103 **2. Materials and methods**

104 **2.1 Site description**

105 This study was a part of a long-term field experiment that began in 1984 in the  
106 State Key Agro-Ecological Experimental Station in the Loess Plateau in Changwu,  
107 Shaanxi, China (35°12' N, 107°40' E; 1,200 m above sea level) (Fig. 1). This region  
108 had a continental monsoon climate with a mean annual precipitation of 560 mm for  
109 the period 1984–2013, over 60% of which occurred from July to September. During  
110 this 30-year period, the annual mean air temperature was 9.4 °C and the monthly  
111 mean temperature between July and September was 19.4 °C. The study site is also  
112 characterized by a  $\geq 10$  °C accumulated temperature of 3029 °C, an annual sunshine  
113 duration of 2230 h, an annual total radiation of 484 kJ cm<sup>-2</sup>, and a frost-free period of  
114 171 days.

115 The site was located in a typical rain-fed cropping region of the Loess Plateau  
116 highland in northwest China. The soil was classified as a loam (Cumulic Haplustoll,  
117 USDA Soil Taxonomy System) developed from loess deposits. Soils collected at the  
118 study site in 1984 at a depth of 0–20 cm contained 10.5% CaCO<sub>3</sub>, 6.5 g organic C  
119 kg<sup>-1</sup>, 0.80 g total N kg<sup>-1</sup>, and 200 mg NH<sub>4</sub>OAc-extractable K kg<sup>-1</sup>, 3.0 g kg<sup>-1</sup> available  
120 phosphorus, and had a pH of 8.4 (with a 1: 1 ratio of soil: H<sub>2</sub>O), a water-holding  
121 capacity of 0.29 cm<sup>3</sup> cm<sup>-3</sup> (v/v), the wilting point of 11%, a soil bulk density of 1.3 g

122  $\text{cm}^{-3}$ , soil porosity of 51%, and a clay content of 24%.

123 **2.2 Experimental design and management**

124 This study was a part of a long-term field experiment established in June 1984.  
125 The plot used in the present study is taken from a bare plot in a state of fallow since  
126 June 1984 after the harvesting of winter wheat (*Triticum aestivum* L. ‘Chang Wu 131  
127 series’), and living weed was artificially removed timely. Therefore, there were no  
128 vegetation or inputs of aboveground and belowground litter, and then SOC  
129 mineralization rates in the bare fallow soil did not include root respiration and litter  
130 mineralization and decomposition. In this paper, three bare fallow plots were used to  
131 investigate the mechanism of underground SOC mineralization rates. All plots of 10.3  
132  $\text{m} \times 6.5 \text{ m}$  ( $66.95 \text{ m}^2$ ) were randomly arranged in three blocks. The plots were  
133 separated by 0.5 m spaces, whereas the blocks were separated by 1 m strips.

134

135 **2.3 Measurements of SOC mineralization rate and soil microclimate**

136 SOC mineralization rate was measured using an automated closed soil  $\text{CO}_2$  flux  
137 system with a portable chamber (20 cm in diameter, Li-8100, Lincoln, NE, USA).  
138 Approximately one day before the first measurement, a polyvinyl chloride (PVC)  
139 collar (20 cm in diameter and 12 cm in height) was inserted to a depth of 2 cm into  
140 each plot, and left in place throughout the experimental period from 2008 to 2013.  
141 Although previous studies have demonstrated a significant spatial variation of soil  
142 respiration, especially in the sites with complex terrain (causing the redistribution of  
143 SOC) and different vegetation types (Epron et al., 2006; Luan et al., 2012), the spatial  
144 variation of SOC mineralization rate in our sites is small with a variation coefficient  
145 of only 4% and 5% in summer and winter, respectively (Table 1). This can be  
146 attributed to that there have been no vegetation or inputs of (aboveground and

147 belowground) litter in our plots since 1984 (absolute fallow), and the soil was derived  
148 aeolian deposit loess and flat terrain. Due to the small areas of our plots (66.95 m<sup>2</sup>)  
149 and time constraints (5 min for measuring SOC mineralization rate in a given PVC  
150 collar), only one PVC collar was used in each plot for measuring SOC mineralization  
151 rate. All visible living organisms were removed before the measurement. If necessary,  
152 one or more additional measurements would be taken until the variations between two  
153 consecutive measurements were less than 15%. The final instantaneous soil  
154 respiration for a given collar was the average of the two measurements with a 90 s  
155 enclosure period and 30 s delay between them. Field measurements were performed  
156 between 09:00 and 11:00 AM from March 2008 to November 2013, except in  
157 December, January, and February because of cold weather. A total of 17, 25, 26, 22,  
158 26 and 17 SOC mineralization measurements were made in 2008–2013, respectively.

159       Soil temperatures and water contents at a 5-cm depth were measured at a  
160 distance of 10 cm from the chamber collar at the same time as the SOC mineralization  
161 rates using a Li-Cor thermocouple probe and a Theta Probe ML2X with a HH2 water  
162 content meter (Delta-T Devices, Cambridge, England), respectively. Daily mean soil  
163 temperature and moisture data were provided by the State Key Agro-Ecological  
164 Experimental Station, both of which were measured at 5 cm below the surface using a  
165 Hydra soil moisture sensor (Hydra Data Reader and Hydra Probe II Soil Moisture  
166 Sensor (SDI-12/RS485); Precision: Moisture,  $\pm 0.5\%$  vol; Temperature,  $\pm 0.6\text{ }^{\circ}\text{C}$ ;  
167 Stevens Water Monitoring Systems Inc., Australia). Soil water-filled pore space  
168 (WFPS) was calculated as follows: WFPS (%) = 100  $\times$  [volumetric water content /  
169 (2.65 – soil bulk density) / 2.65], with 2.65 being the particle density of the soil (g  
170 cm<sup>-3</sup>).

171

172 **2.4 Data analysis**

173 An exponential (or “ $Q_{10}$ ”) function was used to simulate the relationship between  
174 SOC mineralization rate and soil temperature (Xu and Qi, 2001):

175 
$$F = \beta_0 e^{\beta_1 T} \quad (1)$$

176 
$$Q_{10} = e^{10\beta_1} \quad (2)$$

177 Where  $F$  ( $\mu \text{ mol m}^{-2} \text{ s}^{-1}$ ) is the SOC mineralization rate,  $T$  ( $^{\circ}\text{C}$ ) is the soil temperature  
178 at a depth of 5 cm, and  $\beta_0$  and  $\beta_1$  are the fitted parameters.

179 A quadratic polynomial function was used to simulate the relationship between  
180 SOC mineralization rate and soil moisture content (Tang et al., 2005):

181 
$$F = \beta_3 \theta^2 + \beta_2 \theta + \beta_4 \quad (3)$$

182 Where  $\theta$  is the soil moisture at a depth of 0–5 cm, and  $\beta_2$ ,  $\beta_3$ , and  $\beta_4$  are the fitted  
183 parameters.

184 The interactions of soil temperature with moisture content can more accurately  
185 simulate soil respiration than either soil temperature or moisture alone (Tang et al.,  
186 2005). Our data indicated that SOC mineralization rate increased with increasing soil  
187 moisture content to a maximum at approximately 46% WFPS, and then decreased  
188 with further increase of soil moisture content. After comparing different functions and  
189 resulting residual plots, a bivariate model was used to simulate the effect of soil  
190 moisture content and temperature on SOC mineralization rate:

191 
$$F = \beta_0 e^{\beta_1 T \theta + \beta_2 T \theta^2} \quad (4)$$

192 The annual cumulative SOC mineralization rate was estimated by linear  
193 interpolating between measurement dates to obtain the mean daily SOC  
194 mineralization rate for each plot, and then summing the mean daily SOC  
195 mineralization rate for a given year.

196 The relationships between  $Q_{10}$  and meteorological factors were investigated  
197 using the SAS software (version 8.0; SAS Institute, Cary, NC). All other statistical  
198 analyses were performed with ANOVA at  $P = 0.05$ .

199

200 **3. Results**

201 **3.1 Interannual variation in  $Q_{10}$**

202 The temporal variation in SOC mineralization rate was correlated with that of  
203 soil temperature in all six years (Figs. 2b and c), and it increased exponentially with  
204 soil temperature ( $P < 0.01$ ). The mean annual SOC mineralization rate ranged from  
205 0.83 (2012) to 1.22  $\mu\text{ mol m}^{-2} \text{s}^{-1}$  (2008), with a mean of 0.99  $\mu\text{ mol m}^{-2} \text{s}^{-1}$  and a CV  
206 of 17%; the annual cumulative SOC mineralization ranged from 226 (2012) to 298 g  
207  $\text{C m}^{-2} \text{y}^{-1}$  (2009), with a mean of 253  $\text{g C m}^{-2} \text{y}^{-1}$  and a CV of 13% (Table 2), and the  
208 annual  $Q_{10}$  in our sites was 1.65 in 2008, 1.94 in 2009, 1.72 in 2010, 1.48 in 2011,  
209 1.86 in 2012, and 1.55 in 2013, respectively, with a mean  $Q_{10}$  of 1.72 and a CV of  
210 10% (Table 3).

211

212 **3.2 Interannual variation in soil microclimate**

213 Annual precipitation showed a significant annual variation (Fig.1 and Table 2;  $P < 0.05$ ). Rainfall ranged from 481 (2009 and 2012) to 644 mm (2011), with a 6-year  
214 mean of  $540 \pm 64$  mm and a CV of 12%. Annual rainfall days ranged from 71 (2013) to  
215 105 days (2008), with a 6-year mean of  $96 \pm 12$  days and a CV of 13%. Interannual  
216 variation in air temperature was not significant (Fig.1 and Table 2;  $P > 0.05$ ). It ranged  
217 from 9.43 (2011 and 2012) to 11.08  $^{\circ}\text{C}$  (2013), with a 6-year mean of  $10.1 \pm 0.6$   $^{\circ}\text{C}$  and  
218 a CV of only 6%.

219 Soil temperature and soil moisture at a depth of 0–5 cm showed significant

221 temporal variations over the six-year observation period (Fig. 2b). The seasonal mean  
222 soil moisture content was 49.2% WFPS in the wet season (July to September in each  
223 year) and 38.6% WFPS in the dry season (other months). The mean annual soil  
224 moisture content ranged from 38.6% WFPS (2013) to 50.7% WFPS (2011), with a  
225 mean of 43.8% WFPS and a CV of 11%. The seasonal mean soil temperature was  
226 14.50 °C in the dry season and 20.39 °C in the wet season. The mean annual soil  
227 temperature ranged from 14.90 °C (2011) to 18.42 °C (2009), with a mean of  
228 17.05 °C and a CV of only 7%.

229

### 230 **3.3 Effect of soil moisture on the interannual variation of $Q_{10}$**

231 Annual  $Q_{10}$  showed a negative quadratic correlation with annual mean soil  
232 moisture (Fig. 3b). Additionally, the seasonal SOC mineralization rate increased  
233 exponentially with soil temperature, and showed a negative quadratic correlation with  
234 soil moisture content (Table 3). The response surface of SOC mineralization rate to  
235 soil temperature and moisture including both seasonal and interannual scales clearly  
236 described how soil microclimate influenced SOC mineralization rate (Fig. 4).

237

## 238 **4. Discussion**

### 239 **4.1 Soil moisture influenced the interannual variation in $Q_{10}$**

240 The range of annual  $Q_{10}$  (1.48–1.94, with a CV of 10%) in our sites for the  
241 period 2008–2013 was within the limits reported for annual  $Q_{10}$  (1.20–4.89) at global  
242 scale (Boone et al., 1998; Zhou et al., 2007; Gaumont-Guay et al., 2008; Zhu and  
243 Cheng, 2011; Zimmermann et al., 2012). However, the mean annual  $Q_{10}$  in our sites  
244 (1.70) was lower than the global mean (2.47) (Boone et al., 1998; Zhou et al., 2007;  
245 Gaumont-Guay et al., 2008; Zhu and Cheng, 2011; Zimmermann et al., 2012),

246 probably due to low SOC contents, small microbial communities, dry soil conditions  
247 in semi-arid regions (Conant et al., 2004; Gershenson et al., 2009; Cable et al., 2011),  
248 and different methods used for separating SOC mineralization rate (Boone et al., 1998;  
249 Zhu and Cheng, 2011; Zimmermann et al., 2012).

250 Annual  $Q_{10}$  was negatively linearly correlated with annual mean precipitation,  
251 but this correlation did not reach statistical significance ( $P>0.05$ ); whereas it was  
252 significantly related to soil moisture content (Fig. 3). This was in agreement with  
253 previous studies (Suseela et al., 2012; Poll et al., 2013). However,  $Q_{10}$  was found to be  
254 negatively correlated with mean annual precipitation ( $P<0.01$ ) in different forest  
255 ecosystems in China, which could be due to the relatively abundant rainfall in the  
256 forest ecosystems (700–1956 mm) (Peng et al., 2009). Soil moisture was the major  
257 limiting factor for the underground biological processes, especially in water-limited  
258 regions (Reth et al., 2005; Balogh et al., 2011; Wang et al., 2014). Although  
259 precipitation was the only source of water for soil moisture underneath long-term bare  
260 soil, there was no significant relationship between annual mean soil moisture and  
261 annual precipitation amount ( $P>0.05$ ) (Fig. 5a), but rainfall frequency and distribution  
262 were closely related to annual mean soil moisture content (Fig. 5b). Similar results  
263 have also been found in other studies (Coronato and Bertiller, 1996; Qiu et al., 2001;  
264 Cho and Choi, 2014). The annual precipitation during the six-year observation period  
265 of 2008–2013 ranged from 481 (2009) to 644 mm (2011), with a CV of 12% (Table 2).  
266 The annual mean soil moisture content was high (51% WFPS) in 2011 due to  
267 relatively uniform distribution of precipitation, and low (38% WFPS) in 2010 and  
268 2013 due to relatively uneven distribution of precipitation. For example, the rainfall  
269 amount on July 23, 2010 (118 mm) and July 22, 2013 (121 mm) was about 20% and  
270 23% of that in 2010 (588 mm) and 2013 (523 mm), respectively. The annual mean

271 soil moisture was moderate (43–47% WFPS) in 2008, 2009 and 2012 due to the  
272 normal distribution of precipitation. Similarly, the interannual soil moisture regulation  
273 in the forest ecosystems in the Loess Plateau was determined not only by rainfall  
274 amount but also by rainfall distribution (Li et al., 1998).

275 Annual  $Q_{10}$  showed a negative quadratic relationship with soil moisture content,  
276 as it increased with increasing soil moisture content to a maximum at approximately  
277 42% WFPS, and then decreased with further increase of soil moisture content (Fig.  
278 3b), which was in agreement with other studies (Bowden et al., 1998; Conant et al.,  
279 2004; Smith, 2005). This could be attributed to the following reasons: Firstly, lower  
280 soil water availability could reduce  $Q_{10}$  by limiting respiration substrate availability  
281 and soil pore water became increasingly disconnected, thus slowing down the  
282 diffusion rate of solutes (Wan et al., 2007; Balogh et al., 2011), and decreasing the  
283 activity and quantity of organisms due to drought stress (Davidson et al., 2006).  
284 Secondly, higher soil moisture could also reduce  $Q_{10}$  by limiting O<sub>2</sub> diffusion rate  
285 (Davidson et al., 1998; Byrne et al., 2005; Saiz et al., 2007) because of low effective  
286 soil porosity, as the diffusion rate of O<sub>2</sub> through water was much slower than that  
287 through air (Cook and Knight, 2003; Manzoni et al., 2012), thus the decomposition  
288 activity of aerobic microbes was inhibited due to lack of oxygen (Davidson et al.,  
289 2000). Finally, the diffusion rate of both soluble organic matter and O<sub>2</sub> were not  
290 inhibited, also the survival of microorganisms not subject to water stress at suitable  
291 soil water content, instead increasing temperature increased the diffusion of soluble  
292 organic matter, thus resulting in an increase in  $Q_{10}$  (McCulley et al., 2007). Overall,  
293 soil moisture content may be the most important factors that affected the interannual  
294 variation in  $Q_{10}$ .

295 The variation in the temperature sensitivities of SOC mineralization could have

296 potential implications for climate carbon modeling (Davidson and Janssens, 2006;  
297 Conant et al., 2011), as uncertainty remains regarding environmental controls over  
298 SOC mineralization (Larionova et al., 2007; Karhu et al., 2010; Conant et al., 2011;  
299 Sakurai et al., 2012). The previous results have emphasized the importance of  
300 seasonal variation in precipitation and soil moisture in determining  $Q_{10}$  (Xu and Qi,  
301 2001; Davidson et al., 2006; Davidson and Janssens, 2006), but have rarely taken into  
302 account the interannual variation in soil moisture resulting from the uneven  
303 distribution of precipitation. Carbon cycle modeling without considering this  
304 interannual variation in soil moisture may produce misleading conclusions.

305

306 **4.2 Comparison with annual cumulative SOC mineralization rate estimated by  
307 different methods**

308 Annual cumulative SOC mineralization rate was estimated by different methods,  
309 including linear interpolation method, modeled method, and unit conversion method.  
310 The results clearly showed that there was no significant difference in the estimates of  
311 annual cumulative SOC mineralization rate between linear interpolation and modeled  
312 method, and the modeled method could well predict the SOC mineralization rate in  
313 most cases from 2008 to 2013 (Fig. 6), which was in line with the previous studies  
314 (Tang et al., 2005). However, unit conversion method seriously overestimated annual  
315 cumulative SOC mineralization rate (Table 4). This can be attributed to the following  
316 reasons: 1) the study site has a continental monsoon climate with 60% of rainfall  
317 occurring from July to September (rainy season), thus the study site is hot and rainy in  
318 the rainy season, but cool and dry in the non-rainy season; and 2) SOC mineralization  
319 rate in the rainy and non-rainy season is largely the same, but the duration of rainy  
320 season is only a quarter of a year. Thus, the SOC mineralization rate was much greater

321 in rainy season than in non-rainy season, thus resulting in an overestimation of  
322 cumulative SOC mineralization rate in a given year.

323 In conclusion, linear interpolation method is a simple and controllable method  
324 for estimating annual cumulative SOC mineralization rate (Schindlbacher et al., 2014;  
325 Shi et al., 2014). Although the modeled method can well estimate annual cumulative  
326 SOC mineralization rate, it is limited in practice as it needs daily soil temperature and  
327 moisture. Unit conversion method may seriously overestimate annual cumulative  
328 SOC mineralization rate unless the SOC mineralization rate is very uniform in a given  
329 year.

330

## 331 **5. Conclusions**

332 Understanding the factors influencing the temperature sensitivity of SOC  
333 mineralization is important to accurately estimate local carbon cycle. The results of  
334 this study showed that the annual cumulative SOC mineralization ranged from 226 to  
335 298 g C m<sup>-2</sup> y<sup>-1</sup>, with a CV of 13%, annual  $Q_{10}$  ranged from 1.48 to 1.94, with a CV  
336 of 10%, and annual soil moisture content ranged from 38.6 to 50.7% WFPS, with a  
337 CV of 11%. Annual  $Q_{10}$  showed a negative quadratic correlation with annual mean  
338 soil moisture, which was determined by uneven distribution and frequency of rainfall.  
339 In conclusion, the interannual variation in soil moisture content should be considered  
340 in carbon cycle models in semi-arid areas.

341

342 **References**

343 Ågren, G. I. and Wetterstedt, J.: What determines the temperature response of soil organic matter  
344 decomposition?, *Soil Biol. Biochem.*, 39, 1794-1798, 2007.

345 Balogh, J., Pinter, K., Foti, S., Cserhalmi, D., Papp, M., Nagy, Z.: Dependence of soil respiration  
346 on soil moisture, clay content, soil organic matter, and CO<sub>2</sub> uptake in dry grasslands, *Soil Biol.*  
347 *Biochem.*, 43, 1006-1013, 2011.

348 Boone, R. D., Nadelhoffer, K. J., Canary, J. D., and Kaye, J.P.: Roots exert a strong influence on  
349 the temperature sensitivity of soil respiration, *Nature*, 396, 570-572, 1998.

350 Bowden, R. D., Newkirk, K. M., and Rullo, G.M.: Carbon dioxide and methane fluxes by a forest  
351 soil under laboratory-controlled moisture and temperature conditions, *Soil Biol. Biochem.*, 30,  
352 1591-1597, 1998.

353 Byrne, K. A., Kiely, G., and Leahy, P.: CO<sub>2</sub> fluxes in adjacent new and permanent temperate  
354 grasslands, *Agr. Forest Meteorol.*, 135, 82-92, 2005.

355 Cable, J. M., Ogle, K., Lucas, R. W., Huxman, T. E., Loik, M. E., Smith, S. D., Tissue, D. T.,  
356 Ewers, B. E., Pendall, E., and Welker, J.M.: The temperature responses of soil respiration in deserts: a  
357 seven desert synthesis, *Biogeochemistry*, 103, 71-90, 2011.

358 Cho, E. and Choi, M.: Regional scale spatio-temporal variability of soil moisture and its  
359 relationship with meteorological factors over the Korean peninsula, *J Hydrol.*, 516, 317-329, 2014.

360 Conant, R. T., Dalla-Betta, P., Klopatek, C. C., and Klopatek, J. M.: Controls on soil respiration in  
361 semiarid soils, *Soil Biol. Biochem.*, 36, 945-951, 2004.

362 Conant, R. T., Ryan, M. G., Ågren, G. I., Birge, H. E., Davidson, E. A., Eliasson, P. E., Evans, S. E.,  
363 Frey, S. D., Giardina, C. P., and Hopkins, F. M.: Temperature and soil organic matter decomposition  
364 rates—synthesis of current knowledge and a way forward, *Glob. Change Biol.*, 17, 3392-3404, 2011.

365 Cook, F. and Knight, J.: Oxygen Transport to Plant Roots: modeling for physical understanding of  
366 soil aeration, *Soil Sci Soc Am J*, 67, 20-31, 2003.

367 Coronato, F.R. and Bertiller, M.B.: Precipitation and landscape related effects on soil moisture in  
368 semi-arid rangelands of Patagonia, *J Arid Environ.*, 34, 1-9, 1996.

369 Davidson, E., Belk, E., and Boone, R. D.: Soil water content and temperature as independent or  
370 confounded factors controlling soil respiration in a temperate mixed hardwood forest, *Glob. Change*  
371 *Biol.*, 4, 217-227, 1998.

372 Davidson, E. A., Janssens, I. A., and Luo, Y. Q.: On the variability of respiration in terrestrial  
373 ecosystems: moving beyond  $Q_{10}$ , *Glob. Change Biol.*, 12, 154-164, 2006.

374 Davidson, E. A., Verchot, L. V., Cattanio, J. H., Ackerman, I. L., and Carvalho, J. E. M.: Effects of  
375 soil water content on soil respiration in forests and cattle pastures of eastern Amazonia,  
376 *Biogeochemistry*, 48, 53-69, 2000.

377 Djukic, I., Zehetner, F., Mentler, A., and Gerzabek, M.H.: Microbial community composition and  
378 activity in different Alpine vegetation zones, *Soil Biol. Biochem.*, 42, 155-161, 2010..

379 Dörr, H. and Münnich, K.: Annual variation in soil respiration in selected areas of the temperate  
380 zone, *Tellus B*, 39, 114-121, 1987.

381 Epron, D., Bosc, A., Bonal, D., and Freycon, V.: Spatial variation of soil respiration across a  
382 topographic gradient in a tropical rain forest in French Guiana, *J Trop Ecol*, 22, 565-574, 2006.

383 Fan, X.H. and Wang, M. B.: Change trends of air temperature and precipitation over Shanxi  
384 Province, China, *Theor Appl Climatol*, 103, 519-531, 2011.

385 Fang, C. and Moncrieff, J. B.: The dependence of soil  $CO_2$  efflux on temperature, *Soil Biol.*  
386 *Biochem.*, 33, 155-165, 2001.

387 Gaumont-Guay, D., Black, T. A., Barr, A. G., Jassal, R. S., and Nesic, Z.; Biophysical controls on  
388 rhizospheric and heterotrophic components of soil respiration in a boreal black spruce stand, *Tree*  
389 *Physiol.*, 28, 161-171, 2008.

390 Gershenson, A., Bader, N. E., and Cheng, W.: Effects of substrate availability on the temperature  
391 sensitivity of soil organic matter decomposition, *Glob. Change Biol.*, 15, 176-183, 2009.

392 Gulledge, J. and Schimel, J. P.: Controls on soil carbon dioxide and methane fluxes in a variety of  
393 taiga forest stands in interior Alaska, *Ecosystems*, 3, 269-282, 2000.

394 Guo, S., Zhu, H., Dang, T., Wu, J., Liu, W., Hao, M., Li, Y., and Syers, J. K.: Winter wheat grain  
395 yield associated with precipitation distribution under long-term nitrogen fertilization in the semiarid  
396 Loess Plateau in China, *Geoderma*, 189, 442-450, 2012.

397 Illeris, L., Christensen, T. R., and Mastepanov, M.: Moisture effects on temperature sensitivity of  
398  $CO_2$  exchange in a subarctic heath ecosystem, *Biogeochemistry*, 70, 315-330, 2004.

399 Jassal, R. S., Black, T. A., Novak, M. D., Gaumont-Guay, D., and Nesic, Z.: Effect of soil water  
400 stress on soil respiration and its temperature sensitivity in an 18-year-old temperate Douglas-fir stand,  
401 *Glob. Change Biol.*, 14, 1305-1318, 2008.

402 Jurasinski, G., Jordan, A., and Glatzel, S.: Mapping soil CO<sub>2</sub> efflux in an old-growth forest using  
403 regression kriging with estimated fine root biomass as ancillary data, *Forest Ecol Manag*, 263, 101-113,  
404 2012.

405 Karhu, K., Fritze, H., Hämäläinen, K., Vanhala, P., Jungner, H., Oinonen, M., Sonninen, E., Tuomi,  
406 M., Spetz, P., and Kitunen, V.: Temperature sensitivity of soil carbon fractions in boreal forest soil,  
407 *Ecology*, 91, 370-376, 2010.

408 Kirschbaum, M. U. F.: The temperature dependence of organic-matter decomposition-still a topic  
409 of debate, *Soil Biol. Biochem.*, 38, 2510-2518, 2006.

410 Lafond, J. A., Allaire, S. E., Dutilleul, P., Pelletier, B., Lange, S. F., and Cambouris, A. N.:  
411 Spatiotemporal Analysis of the Relative Soil Gas Diffusion Coefficient in Two Sandy Soils: Variability  
412 Decomposition and Correlations between Sampling Dates at Two Spatial Scales, *Soil Sci Soc Am J*, 75,  
413 1613-1625, 2011.

414 Larianova, A. A., Yevdokimov, I. V., and Bykhovets, S. S.: Temperature response of soil  
415 respiration is dependent on concentration of readily decomposable C, *Biogeosciences*, 4, 1073-1081.  
416 2007.

417 Li H. J., Wang M. B., and Chai B. F.: Study on characteristics of soil water of planted forest and  
418 its relation to precipitation in northwestern Shanxi, *Journal of Soil Erosion and Soil and Water  
419 Conservation*, 4, 60-65, 1998.

420 Lin, S. and Wang Y.R.: Spatial-temporal Evolution of Precipitation in China Loess Plateau,  
421 *Journal of Desert Research*, 27, 502-508, 2007.

422 Luan, J., Liu, S., Zhu, X., Wang, J., and Liu, K.: Roles of biotic and abiotic variables in  
423 determining spatial variation of soil respiration in secondary oak and planted pine forests, *Soil Biol.  
424 Biochem.*, 44, 143-150, 2012.

425 Manzoni, S., Schimel, J. P., and Porporato, A.: Responses of soil microbial communities to water  
426 stress: results from a meta-analysis, *Ecology*, 93, 930-938, 2012.

427 McCulley, R. L., Boutton, T. W., and Archer, S. R.: Soil respiration in a subtropical savanna  
428 parkland: Response to water additions, *Soil Sci Soc Am J*, 71, 820-828, 2007.

429 Peng, S. S., Piao, S. L., Wang, T., Sun, J. Y., and Shen, Z. H.: Temperature sensitivity of soil  
430 respiration in different ecosystems in China, *Soil Biol. Biochem.*, 41, 1008-1014, 2009.

431 Poll, C., Marhan, S., Back, F., Niklaus, P. A., and Kandeler, E.: Field-scale manipulation of soil

432 temperature and precipitation change soil CO<sub>2</sub> flux in a temperate agricultural ecosystem, *Agr. Ecosyst*  
433 *Environ.*, 165, 88-97, 2013.

434 Qiu, Y., Fu, B. J., Wang, J., and Chen, L. D.: Spatial variability of soil moisture content and its  
435 relation to environmental indices in a semi-arid gully catchment of the Loess Plateau, China, *J Arid*  
436 *Environ.*, 49, 723-750, 2001.

437 Reth, S., Reichstein, M., and Falge, E.: The effect of soil water content, soil temperature, soil  
438 pH-value and the root mass on soil CO<sub>2</sub> efflux-A modified model, *Plant Soil*, 268, 21-33, 2005.

439 Reichstein, M., Tenhunen, J. D., Roupsard, O., Ourcival, J. M., Rambal, S., Dore, S., and Valentini,  
440 R.: Ecosystem respiration in two Mediterranean evergreen Holm Oak forests: drought effects and  
441 decomposition dynamics, *Funct. Ecol.*, 16, 27-39, 2002.

442 Saiz, G., Black, K., Reidy, B., Lopez, S., and Farrell, E. P.: Assessment of soil CO<sub>2</sub> efflux and its  
443 components using a process-based model in a young temperate forest site, *Geoderma*, 139, 79-89,  
444 2007.

445 Sakurai, G., Jomura, M., Yonemura, S., Iizumi, T., Shirato, Y., and Yokozawa, M.: Inversely  
446 estimating temperature sensitivity of soil carbon decomposition by assimilating a turnover model and  
447 long-term field data, *Soil Biol. Biochem.*, 46, 191-199, 2012.

448 Schindlbacher, A., Jandl, R., and Schindlbacher, S.: Natural variations in snow cover do not affect  
449 the annual soil CO<sub>2</sub> efflux from a mid-elevation temperate forest, *Glob. Change Biol.*, 20, 622-632,  
450 2014.

451 Shi, W. Y., Tateno, R., Zhang, J. G., Wang, Y. L., Yamanaka, N., and Du, S.: Response of soil  
452 respiration to precipitation during the dry season in two typical forest stands in the forest-grassland  
453 transition zone of the Loess Plateau, *Agr. Forest Meteorol.*, 151, 854-863, 2011.

454 Shi, W. Y., Yan, M. J., Zhang, J. G., Guan, J. H., and Du, S.: Soil CO<sub>2</sub> emissions from five different  
455 types of land use on the semiarid Loess Plateau of China, with emphasis on the contribution of winter  
456 soil respiration, *Arid Environ.*, 88, 74-82, 2014.

457 Smith, V.R.: Moisture, carbon and inorganic nutrient controls of soil respiration at a sub-Antarctic  
458 island, *Soil Biol. Biochem.*, 37, 81-91, 2005.

459 Solomon, S., Qin, D., Manning, M., Chen, Z., Marquis, M., Averyt, K., Tignor, M., and Miller, H.:  
460 Climate change 2007: the Physical Science Basis. Contribution of Working Group I to the Fourth  
461 Assessment Report of the Intergovernmental Panel on Climate Change, Cambridge Univ. Press,

462 Cambridge, UK, 2007.

463 Suseela, V., Conant, R. T., Wallenstein, M. D., and Dukes, J. S.: Effects of soil moisture on the  
464 temperature sensitivity of heterotrophic respiration vary seasonally in an old-field climate change  
465 experiment, *Glob. Change Biol.*, 18, 336-348, 2012.

466 Tang, J., Qi, Y., Xu, M., Misson, L., and Goldstein, A. H.: Forest thinning and soil respiration in a  
467 ponderosa pine plantation in the Sierra Nevada, *Tree Physiol.*, 25, 57-66, 2005.

468 Von Lutzow, M. and Kogel-Knabner, I.: Temperature sensitivity of soil organic matter  
469 decomposition-what do we know?, *Biol Fert Soil S*, 46, 1-15, 2009..

470 Wan, S., Norby, R. J., Ledford, J., and Weltzin, J.F.: Responses of soil respiration to elevated CO<sub>2</sub>,  
471 air warming, and changing soil water availability in a model old - field grassland, *Glob. Change Biol.*,  
472 13, 2411-2424, 2007.

473 Wang, B., Zha, T., Jia, X., Wu, B., Zhang, Y., and Qin, S.: Soil moisture modifies the response of  
474 soil respiration to temperature in a desert shrub ecosystem, *Biogeosciences*, 11, 259-268, 2014.

475 Wang, Q. X., Fan, X. H., Qin, Z. D., and Wang, M. B: Change trends of temperature and  
476 precipitation in the Loess Plateau Region of China, 1961-2010, *Global Planet Change*, 92-93, 138-147,  
477 2012.

478 Xiao, L., Xue, S., Liu, G. B., and Zhang, C.: Soil Moisture Variability Under Different Land Uses  
479 in the Zhifanggou Catchment of the Loess Plateau, China, *Arid Land Res Manag*, 28, 274-290, 2014.

480 Xu, M. and Qi, Y.: Spatial and seasonal variations of  $Q_{10}$  determined by soil respiration  
481 measurements at a Sierra Nevadan forest, *Global Biogeochem. Cy.*, 15, 687-696, 2001.

482 Zhou, X., Wan, S., and Luo, Y.: Source components and interannual variability of soil CO<sub>2</sub> efflux  
483 under experimental warming and clipping in a grassland ecosystem, *Glob. Change Biol.*, 13, 761-775,  
484 2007.

485 Zhu, B. and Cheng, W.: Rhizosphere priming effect increases the temperature sensitivity of soil  
486 organic matter decomposition, *Glob. Change Biol.*, 17, 2172-2183, 2011.

487 Zimmermann, M., Leifeld, J., Conen, F., Bird, M. I., and Meir, P.: Can composition and physical  
488 protection of soil organic matter explain soil respiration temperature sensitivity?, *Biogeochemistry*, 107,  
489 423-436, 2012.

490

491

492

493 Table 1. SOC mineralization rate ( $\mu$  mol  $m^{-2} s^{-1}$ ) in summer (July 11, 2008) and winter  
494 (November 18, 2008). Data are represented as mean  $\pm$ S.D of five collars.

Dates	SOC mineralization rate					
	Collar 1	Collar 2	Collar 3	Collar 4	Collar 5	Mean value
Summer	1.55 $\pm$ 0.11	1.60 $\pm$ 0.20	1.58 $\pm$ 0.21	1.49 $\pm$ 0.07	1.65 $\pm$ 0.18	1.57 $\pm$ 0.06
Winter	0.29 $\pm$ 0.01	0.30 $\pm$ 0.02	0.31 $\pm$ 0.01	0.32 $\pm$ 0.02	0.33 $\pm$ 0.02	0.31 $\pm$ 0.02

495 Note: SOC mineralization rate was measured on July 11, 2008 and November 18, 2008 (representing  
496 summer and winter) using 5 PVC collars installed in our plots

497

498

499

500

501

502

503

504

505

506

507

508

509

510

511

512

513

514

515 Table 2. Cumulative SOC mineralization rate ( $\text{g C m}^{-2} \text{ year}^{-1}$ ), annual precipitation amount  
 516 (mm), annual precipitation days, and air temperature ( $^{\circ}\text{C}$ ) from 2009 to 2013. Data are  
 517 represented as mean  $\pm$ S.D.

Years	Cumulative SOC mineralization rate	Precipitation amount	Precipitation days	Air temperature
2008	293 $\pm$ 10	520	105	9.76
2009	298 $\pm$ 9	481	99	10.26
2010	238 $\pm$ 50	588	101	10.39
2011	234 $\pm$ 48	644	100	9.43
2012	226 $\pm$ 19	481	98	9.43
2013	240 $\pm$ 30	523	71	11.08
Mean	253 $\pm$ 32	540 $\pm$ 64	96 $\pm$ 12	10.1 $\pm$ 0.6

518

519

520

521

522

523

524

525

526

527

528

529

530

531

532 Table 3. Relationships between SOC mineralization rate and soil temperature (F-T) or soil  
 533 moisture (F- $\theta$ ) for each year from 2008 to 2013.

Years	F-T				F- $\theta$			
	Functions	$R^2$	P	$Q_{10}$	Functions	$R^2$	P	
2008	$F=0.49e^{0.0499T}$	0.56	<0.01	1.65	$F=-0.0008\theta^2 + 0.10\theta - 1.52$	0.53	<0.01	
2009	$F=0.34e^{0.0661T}$	0.63	<0.01	1.94	$F=-0.0001\theta^2 - 0.02\theta + 2.63$	0.61	<0.01	
2010	$F=0.35e^{0.0544T}$	0.47	<0.01	1.72	$F=0.0002\theta^2 - 0.04\theta + 2.15$	0.86	<0.01	
2011	$F=0.45e^{0.0395T}$	0.47	<0.01	1.48	$F=-0.0008\theta^2 + 0.06\theta + 0.06$	0.46	<0.01	
2012	$F=0.27e^{0.0623T}$	0.67	<0.01	1.86	$F=-0.0019\theta^2 + 0.14\theta - 1.71$	0.35	<0.05	
2013	$F=0.52e^{0.0441T}$	0.32	<0.01	1.55	$F=-0.001\theta^2 + 0.08\theta - 0.60$	0.36	<0.05	

534

535

536

537

538

539

540

541

542

543

544

545

546

547

548

549

550

551 Table 4. Annual cumulative SOC mineralization rate ( $\text{g C m}^{-2} \text{ year}^{-1}$ ) estimated by linear  
 552 interpolation method, modeled method, and unit conversed method from 2008 to 2013.

Years	Annual cumulative SOC mineralization rate		
	Linear interpolation	Soil temperature and moisture modeled	Unit conversion
2008	293	258	462
2009	298	272	460
2010	238	268	344
2011	234	260	325
2012	226	271	314
2013	240	284	348
Mean	$255 \pm 32$	$269 \pm 6$	$374 \pm 65$

553 Note: Modeled method: using the interactions of soil temperature with moisture for estimating annual cumulative SOC  
 554 mineralization rate with Eq. 4 (2.4 sections); Unit conversion method: estimating annual cumulative SOC mineralization rate  
 555 with mean SOC mineralization rate in a given year.

556

557

558

559

560

561

562

563

564

565

566

567

568 Figure captions

569

570 Fig. 1

571 Location of the State Key Agro-Ecological Experimental Station (Changwu Station).

572

573 Fig. 2

574 Temporal variations of (a) precipitation and air temperature, (b) soil moisture and soil  
575 temperature, and (c) SOC mineralization rate from 2008 to 2013.

576

577 Fig. 3

578 Regression analysis performed between (a)  $Q_{10}$  and annual precipitation amount, and (b)  $Q_{10}$   
579 and annual mean soil moisture.

580

581 Fig. 4

582 Response surface of SOC mineralization rate as a function of soil moisture and soil  
583 temperature from 2008 to 2013.

584

585 Fig. 5

586 Regression analysis performed between (a) annual mean soil moisture and annual  
587 precipitation amount, and (b) annual mean soil moisture and annual precipitation days.

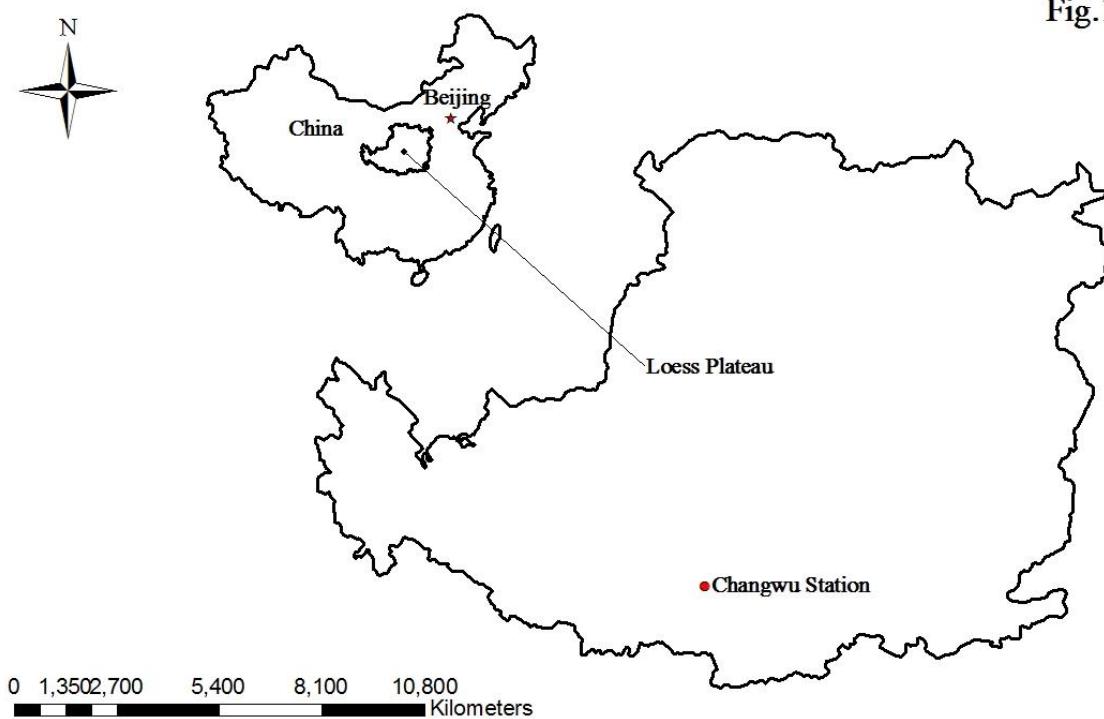
588

589 Fig. 6

590

591 Estimated daily (2008–2013) SOC mineralization rate (solid line) with periodic measurement  
592 values (filled circles).

Fig.1



593

594

595

596

597

598

599

600

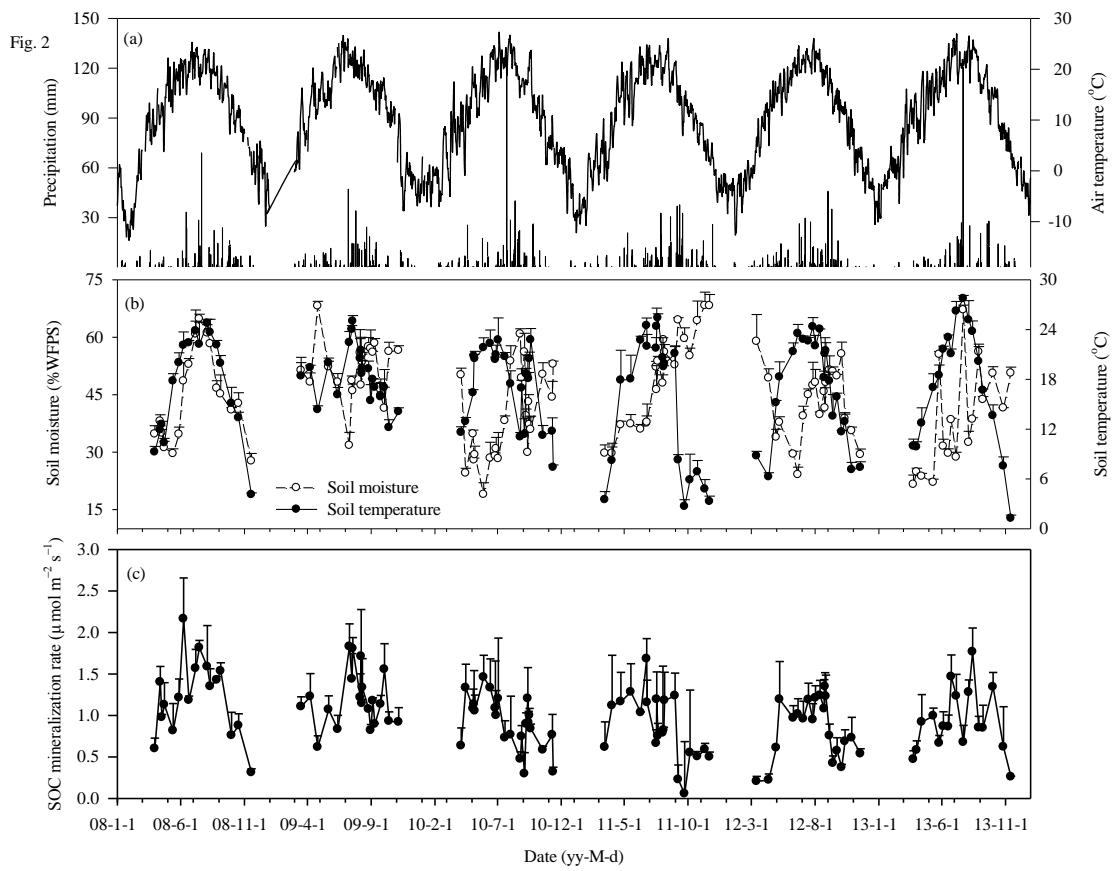


Fig. 3

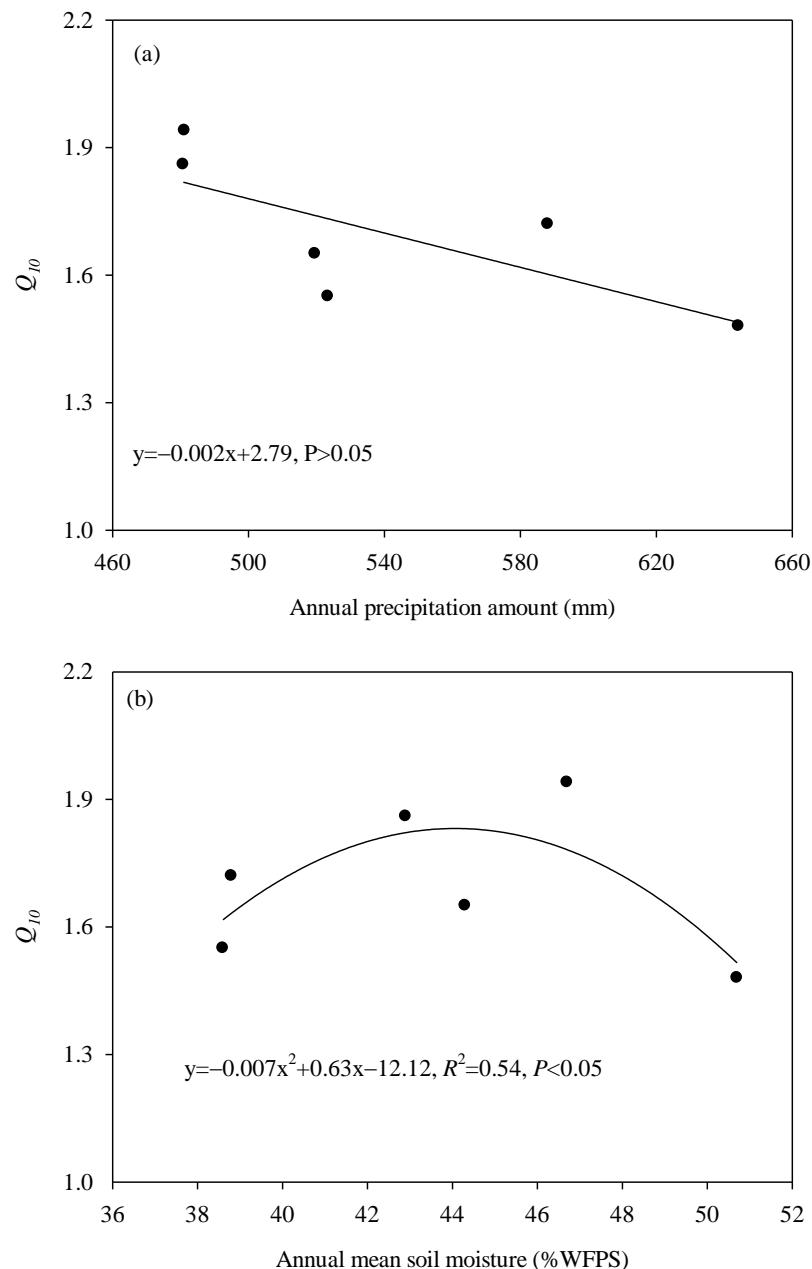
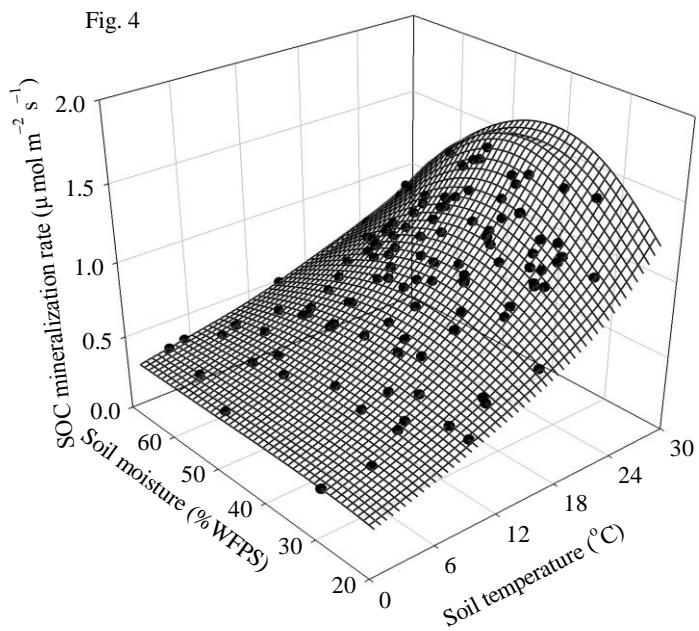
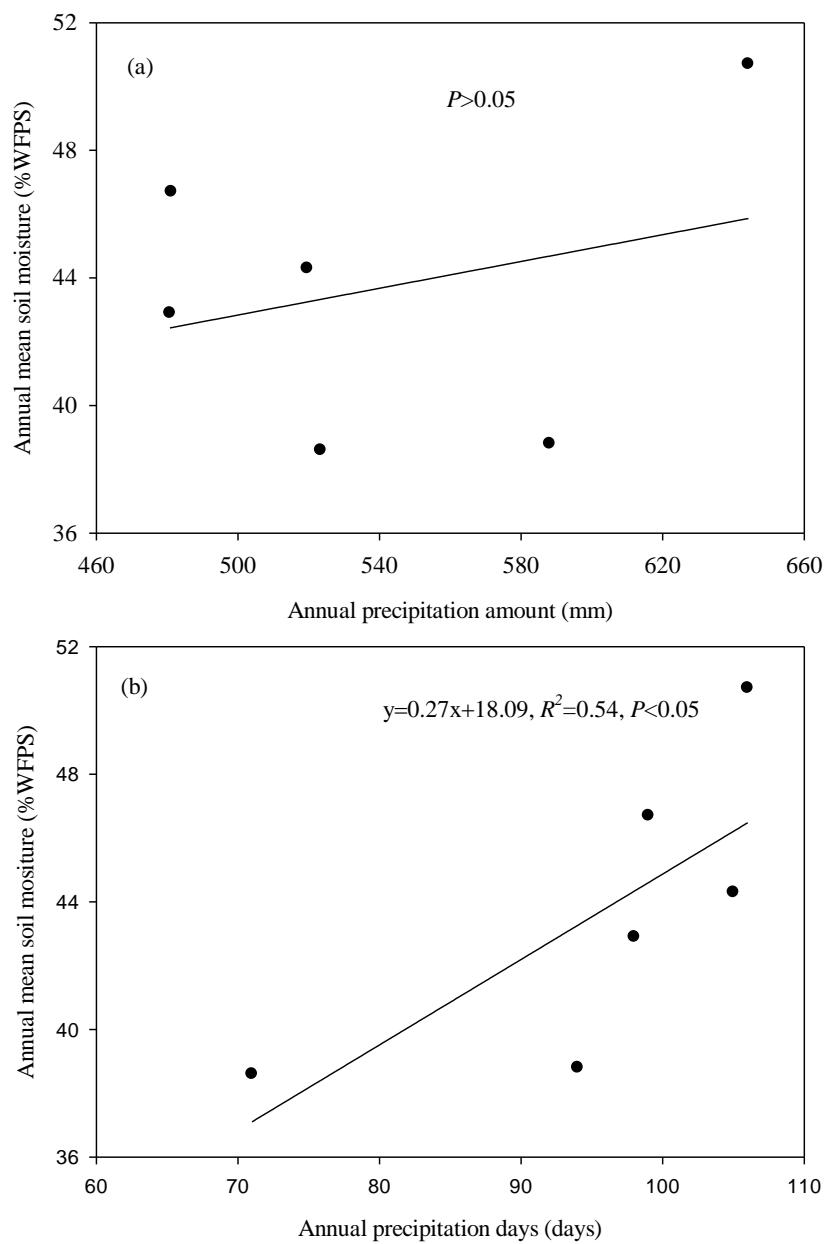


Fig. 4



604

Fig.5



605

606

607

608

609

610

611

612

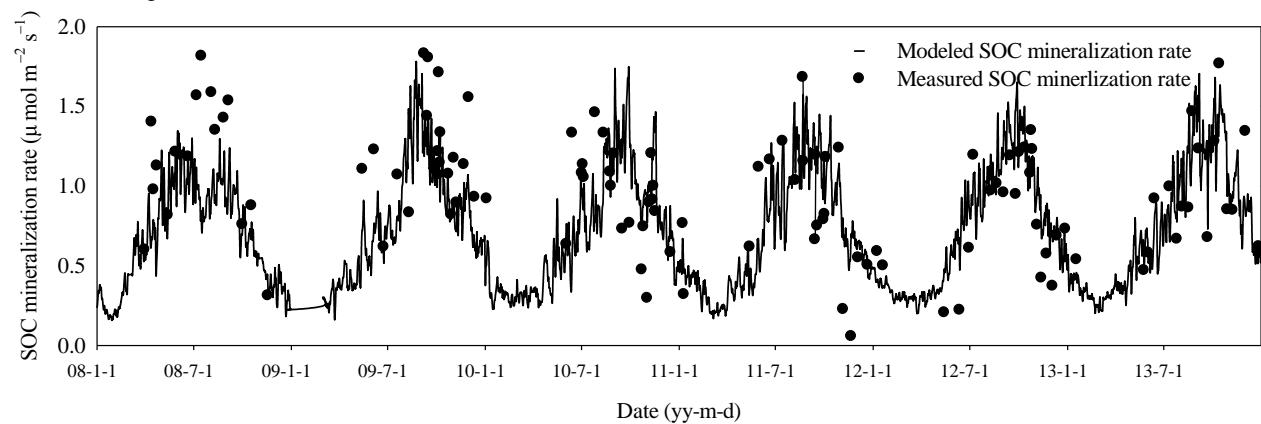
613

614

615

616

Fig. 6



617