Please note that page, line, figure and section numbers in the reply refer to the revised manuscript with tracked changes.

## Point-by-point reply on reviewer #1

(1) The ms describes a new and valuable approach to estimating topsoil erosion and the associated carbon sink for the Loess Plateau area. My substantive comment is that the error figures for the erosion estimates are not justified. There are presumably errors associated with the regression equations, with the interpretation of spatial sampling, with scaling the slope estimates and perhaps others. It would be helpful to run the reader through how the estimates were obtained.

**Reply:** We appreciate this comments which indeed points to a crucial component of our analysis. In the revised manuscript we have now included a section describing how we estimated the uncertainties on our calculations. Below we give a short overview as to how the uncertainties discussed by the reviewer are dealt with: these procedures are now also described in the manuscript (see section 2.3 of the MS):

- Spatial sampling: we used the plot data as a sample to estimate the mean (and variance) of a given subsample of land, such as land under permanent vegetation and grassland. For arable land the procedure was somewhat more complicated: here we used the measured plot values for given slope gradient class to calculate a mean and a variance.
- Spatial sampling: we used the information obtained from the GEps to estimate variances of slope length and the proportion of land terraced. Again we simply used the observed mean and variance from the subsample we sampled. As the latter is unbiased, these estimates should represent an unbiased estimate of the mean and variance for the whole population.

- The calculated variances for the plot data were subsequently used to estimate uncertainties for our area-wide estimates through a Monte Carlo simulation. We have attempted to clearly describe in the text how this was done.
- Gully proportion: we used observations from the GEps to calculate the uncertainty on the area that is gullied and used the observations in agricultural catchments to estimate the uncertainty on the ratio of erosion in gullies vs topsoil erosion.
- We used similar procedures to derive estimates of SOC mobilisation from erosion rates. Again we used measurements as reported in the literature to derive a mean and variance and used Monte Carlo simulations to assess the impacts of these uncertainties on the final result.

We do believe that the approach we adopted allows us to account for the most important error sources in our calculations. The outcomes are relatively robust because we focus on averages rather than predictions for individual cells/fields: this aggregation implies that the relative error load can be substantially reduced.

(2) an error on p8, I2 - presumable 'square root of slope length...'

**Reply:** We have corrected the mistake in the revised manuscript (see p9 l244).

#### Point-by-point reply on short comment

(1) The abstract is too long and needed to be simplified. Besides, as the soil erosion rate estimated in this paper is much lower than the results from previous studies, the difference of the methods and the progressiveness of this study should be clarified in the abstract.

**Reply:** We do agree with the reviewer that the abstract needed some revision. We shortened the abstract as much as possible without loosing additional information.

(2) The authors reported the soil erosion rate and topsoil mobilization with uncertainty. How is the uncertainty calculated? And what are the factors influencing the uncertainty?

**Reply:** We kindly refer the reviewer to our response on the comment of reviewer 1 (see above) who asked a similar question.

(3) The author calculated the erosion-induced carbon sink in CLP. What does the amount of this sink mean? I suggest comparing with erosion-induced carbon sink in other regions and other carbon sink like rock efflorescence.

**Reply:** We thank the reviewer for this constructive comments on the comparison of our estimated erosion-induced carbon sink with other regions. We added one paragraph to compare our results about erosion-induced carbon sink on the CLP with other regions in the revised manuscript (P21 l610-624). As we estimated the maximum erosion-induced carbon sink on the CLP, the carbon sink strength critically depends on the erosion rate and carbon fraction in topsoil. In general, larger erosion rates and/or higher soil organic carbon contents will induce higher maximum erosion-induced carbon sink. We have now detailed these calculations more extensively and have added several statements specifying the limitations of our estimates.

We now have also included estimates of SOC mobilisation and the strength of the erosion-induced carbon sink on a per unit area basis and compare these estimates with those obtained by other researchers (see P21 l610-624 and Table 3)

#### (4) Figure 2: The meaning of the x-axis is not clear.

**Reply:** Figure 2 is the cumulative distribution of erosion rate on different land use measured from erosion plot. Therefore, the x axis is the cumulative faction of the distribution of erosion rate. We specified this in our revised manuscript. (Figure 8)

#### Point-by-point reply on reviewer #2

(1) Abstract is too long, please revise to make it shorter, and focus on explaining what you did in this study, major findings, and implications.

**Reply:** we kindly refer this comments to the response of the question 1 of the short comments which asked a similar question. We shortened the abstract as much as possible without loosing additional information.

(2) Abstract, Statement on lines 17-20 presumes that delivery of eroded sediments into Bohai sea leads to no or little loss of eroded C during or after erosional transport from the CLP. It is hard to take that statement at face value without any supporting data.

Reply: this statement was indeed based on the observed data at two gauge stations: one located at the outlet of Chinese loess plateau and named Huayuankou station; another was located at the estuary of yellow river and named Lijin station (Figure 1 and 9 in MS). In 1950s condition, we estimated that soil erosion mobilized, in total, ca. 8.21 ± 3.44 Tg C yr<sup>-1</sup> which consistent with the observation number at huayuankou station (7.95 ± 1.64 Tg C yr<sup>-1</sup>). The measured carbon exported by yellow river to bohai sea was 6.96 ± 1.44 Tg C yr<sup>-1</sup>. Therefore, comparing of the carbon delivery at these two stations suggested that at 1950s condition a geomorphological equilibrium existed whereby the amount of sediment and carbon exported to the Bohai sea was similar to the amount of sediment and carbon eroded on the CLP. We provided more detail about the number of sediment yield and carbon mobilization at two station in Section 3.4, Section 3.5 and Figure 9 of revised MS.

(3) Abstract, Lines 24-27: this statement can have dangerous implications and is wrong. Of course anthropogenically accelerated erosion is a threat to agricultural productivity (and more importantly soil health). Addition of fertilizers to maintain agricultural productivity doesn't eliminate the threat, it just addresses part of the problem.

**Reply:** While we do agree that the statement can be better formulated, one cannot escape from the conclusion that agricultural productivity on the CLP has dramatically increased, despite a severe erosion problem. The key reason for this is, without any doubt, the use of mineral fertilization. We have now reformulated the sentence to make this more clear (Page 2-3 line 50-57).

(4) Line 26 page 14983 ... here the authors make a statement (also in abstract) that the maximum of the erosion-induced C sink is set by the amount of SOC mobilized. I would argue that this is not necessarily always the case. An exception is a case where erosion of topsoil from hillslopes leads to large increases in net primary productivity and hence C input to soils in depositional sites. The magnitude of the increased input of new carbon to the soil in the depositional site does not necessarily have to be set by the amount of C eroded, but rather by the interaction of a range of soil physicochemical variables and micro-climate in the depositional sites. This is a major point that the authors highlight in this work, and needs to acknowledge that it is not a universal truth. Please see the work of Berhe et al 2007 (Bioscience) for how changes in input of C to the soil pool AND decomposition rates of eroded and in situ C at the eroding and depositional sites determines the magnitude of the erosion-induced C sink.

**Reply:** We do agree with the comment of the reviewer that the magnitude of the C sink is determined by a combination of processes and that, in principle, it is be possible to have a C sink that is larger than the amount of C mobilized. We now mention this possibility in the text while referring to the paper mentioned above. However, we do believe that such a situation is relatively unlikely, especially under the conditions on the CLP, and have modified our text to briefly explain this. Our reasoning is based on the following. The dynamic replacement of mobilized C at eroding sites is unlikely to be higher than the amount of C removed by erosion. Indeed, one may expect that NPP at eroding sites will be negatively affected by erosion. Furthermore, accelerated erosion leads to lower equilibrium C stock at eroding sites because C is continuously being laterally removed by erosion. Hence dynamic replacement rates are generally estimated to be significantly smaller than mobilization rates (see Dialynas, Yannis G et al., 2016; Van Oost et al., 2007) although full replacement is also possible (Li et al., 2015). When considering the depositional sites, the import of (eroded) C should then not only lead to the full preservation of this C but also to additional NPP so that burial efficiency would exceed 100%. Studies have

shown this to be unlikely under conditions of accelerated agricultural erosion (Wang et al., 2014, 2015). Finally, there is the C exported to the sea: a recent publication (Leithold et al., 2016) demonstrates that here C burial efficiency is nearly always below 100%. Of course, there is always the possibility that there is compensation, e.g. that the loss of C due to incomplete dynamic replacement and mineralization in the ocean is more than compensated for at depositional sites or vice versa. However, given the fact that available data suggest that all these processes (dynamic replacement, C burial on land and C burial at sea) *generally* have an efficiency that is well below 100% in terms of C preservation, such a situation is unlikely to occur.

(5) Results and methods: I applaud the authors for compiling such database. But, the justification for up scaling data derived from relatively small plots to an entire region is not well explained. How can we be sure that the extrapolations that are used to arrive at the different estimates are indeed justified? Is it possible that some in the discrepancy of the estimates that they are seeing (discussed in the supplemental files) partly a result of an unjustified up scaling approaches? In addition to presenting better justification for the up scaling approaches the authors are advised to avoid the temptation to over generalize their findings about erosion rates, or contribution of different sediment sources to the regional sediment or carbon budget. Whenever possible, please present limitations of the approaches employed in this study.

Reply: we do agree the review's comments that the up scaling estimation of soil erosion was subject to large uncertainty. However, as described in the text, we did make an honest attempt to quantify these uncertainties as accurately as possible (see section 2.3). The reviewer may wonder why overall uncertainty is not larger than it is: this is due to fact that we do use average (either of a large number of plot years or over a large area): this averaging dramatically reduces the 'random' error component, i.e. the error due to variations in drivers which are not incorporated in our model: we provide references on earlier work where this was demonstrated (Van

Rompaey and Govers, 2002; Van Rompaey, 2003). We now integrated this discussion in the main text rather than the supplement so that this is easier to follow. We do accept the point that some bias is still possible but, on the other hand, we do believe that our estimates are the first ones for which (i) uncertainty has been calculated based on the variability of true data and (ii) for which a true validation has been carried out *with independent data*. The model we used to upscale topsoil erosion rate from the plot scales (erosion plot) to the regional scale was validated by the comparison with 40 independent measures of erosion rata of slopes by using <sup>137</sup>Cs (see section 2.4 and Figure 7). We found very acceptable results, with no evidence of any systematic bias (Figure 7). Therefore, the model itself was robust. For the regional scale, we compared our estimated total sediment yield with observed sediment yield at the gauge station located at outlet of CLP. The comparison indicated that our estimation of sediment yield had a good agreement with the observed sediment yield (see section 3.4 and Figure 9).

(6) Soil eroded from different landform positions and soil depths not only has different concentration of C, but it also differs in the composition of organic matter, stability and stabilization mechanisms of the eroded organic matter once the sediments arrive at different depositional environments. Moreover, the type of depositional setting that eroded soil organic matter is deposited on has tremendous influence on how erosion can contribute to carbon sequestration. These considerations didn't receive due consideration in this manuscript. The authors are strongly advised to further discuss the implications of source of eroded C and type of depositional landforms (see works of McCorkle et al. 2016 Chemical Geology, Hu et al. 2016 Biogeochemistry, Berhe and Kleber 2013 Earth Surface Processes and Landforms, Berhe et al. 2012 JGR-B)

**Reply:** We fully agree with the reviewer that this is a valid point and we now address it in the discussion, using the references given above (and some others) (see page 19-20, line 568-575).

(7) The way it is currently presented, the discussion on N and P losses (section 3.5) comes across as an after-thought. If the authors wish to keep this section, they should highlight this issue more in the introduction section.

**Reply:** We fully agree and adjusted the introduction section, by expanding the section on the relationship between nutrients and agricultural productivity (page 4, line 101-107) and by adding a final sentence stating the evaluation of the effect of erosion on the nutrient balance as one of our objectives (page 6, line170-171).

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- 1 Moderate topsoil erosion rates constrain the magnitude of the
- 2 erosion-induced carbon sink and agricultural productivity
- 3 losses on the Chinese Loess Plateau
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# **Abstract**

22 Despite a multitude of studies, overall erosion rates as well as the contribution of 23 different erosion processes on Chinese Loess Plateau (CLP) remain uncertain, which 24 hampers a correct assessment of the impact of soil erosion on carbon and nutrient cycling as well as on crop productivity. This makes it impossible to correctly assess the 25 26 impact of conservation programs and the magnitude of the erosion induced carbon sink. 27 In this paper \text{\text{W}}\text{we used a novel approach, based on field evidence, to reassess erosion 28 rates on the CLP before and after conservation measures were implemented (1950 vs. 29 2005). We found that Our results show that The current average topsoil erosion rates are is 3- to 9 times lower than earlier estimates suggested. ÷Under 2005 conditions, more 30 31 sediment was produced by non-topsoil erosion (gully erosion (0.23  $\pm$  0.28 Gt yr<sup>-1</sup>) and landsliding  $(0.28 \pm 0.23 \text{ Gt yr}^{-1})$  combined) than by most sediments are mobilised by 32 33 topsoil erosion (ca.  $0.30 \pm 0.08$  Gt yr<sup>-1</sup>). -gully erosion and/or landsliding. Under 2005 34 conditions, the combination of topsoil erosionca. 0 0.08 ca. 3 0.28 0.23 Overall, these, 35 gully erosion and landslides mobilised  $0.81 \pm 0.23$  Gt yr<sup>-1</sup> of sediments and erosion processes mobilised ca.  $4.77 \pm 1.96 \text{ Tg yr}^{-1}$  of soil organic carbon (SOC): the latter 36 37 number sets the maximum magnitude of the erosion-induced carbon sink, which is ea.ca. 38 4 times lower than one other recent estimates suggest. 39 The conservation programs implemented from the 1950s onwards reduced topsoil 40 erosion from  $0.51 \pm 0.13$  to  $0.30 \pm 0.08$  Gt yr<sup>-1</sup> while SOC mobilisation was reduced 41 from  $7.63 \pm 3.52$  to  $4.77 \pm 1.96$  Tg C yr<sup>1</sup>. Prior to 1950, a geomorphological 42 equilibrium existed whereby the amount of sediment and carbon exported to the Bohai 43 sea was similar to the amount of sediment eroded on the CLP, so that the erosion-44 induced carbon sink nearly equalled the amount of mobilised SOC. Conservation efforts and reservoir construction have disrupted the equilibrium that previously existed 45 46 between sediment and SOC mobilisation on the one hand and sediment and SOC 47 export to the Bohai sea on the other hand: nowadays, is equilibrium and most eroded 48 sediments and carbon are now stored on land. where part of the SOC may decompose, thereby potentially lowering the strength of the erosion induced carbon sink. 49 50 Despite the fact that average topsoil losses on the CLP are still relatively high, a major increase in agricultural productivity occurred since 1980. the current level of topsoil 51

- 52 erosion on the CLP is no major threat to the agricultural productivity of the area, mainly
- 53 because Fertilizer application rates nowadays more than compensate for the nutrient
- 54 losses by (topsoil) erosion: this was likely not the case before the dramatic has
- dramatically rise of fertilizer use that started around 1980. Hence, erosion is currently
- not a direct threat to agricultural productivity on the CLP but , although the long-term
- 57 effects of erosion on soil quality remain important. increased since 1980.
- 58 Assessing the human impact on agricultural ecosystems at larger scales requires a
- 59 careful identification and quantification of the processes involved: by doing so for the
- 60 CLP we have shown that current perceptions regarding the intensity of soil erosion and
- 61 its effects (both negative and positive) need to be revised.

## 1 Introduction

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- 63 The Chinese Loess Plateau (CLP) is one of the cradles of human civilization:
- agriculture started in ea.ca. 7500 B.C. and the first kingdoms appeared around 1000
- B.C (Li et al., 2007). The fertile loess soils of the area are seen as a key factor in
- explaining this early development (Ho, 1969). Yet, loess soils are also highly sensitive
- 67 to erosion (Zhang et al., 2004). The intense erosion of soils on the CLP was already
- described many years more than 50 years ago and was seen as a major contributor to
- 69 key factor explaining the relative decline of the area: hence and its description as
- 70 'China's sorrow' (Liu, 1999; Lowdermilk, 1953). Soil erosion on the CLP may not only
- 71 threatens agricultural soil productivity, but also causes water pollution and reservoir
- sedimentation (Blanco-Canqui and Lal, 2008; Pimentel et al., 1995) and exacerbates
- downstream flooding problems in the valley of the Yellow River (Cai, 2001;
- 74 Tsunekawa et al., 2014).
- 75 The<del>refore,</del> Chinese authorities responded to this situation by initiating major soil
- 76 conservation efforts were undertaken to reduce soil erosion on the CLP in two stages:
- 77 between 1950 and 1990 conservation focused on reducing erosion through
- 78 infrastructural measures: intensive programs of terracing and check-dam construction
- 79 were implemented aiming at reducing erosion while maintaining or improving
- agricultural production (Chen et al., 2007; Shi and Shao, 2000; Zhao et al., 2013). After
- 81 1990, efforts focused on reforestation (The *Grain for Green program*) to curb erosion

- 82 problems, thereby sacrificing agricultural production in exchange for better land
- 83 protection and carbon sequestration (The Grain for Green program, (Chen et al., 2007;
- 84 Fu et al., 2011; Sun et al., 2013).
- 85 Soil erosion also has a significant impact on soil—elementalal cycles. In particular,
- 86 agricultural erosion has been reported to induce a (small) carbon sink from the
- 87 atmosphere to the soil, driven by dynamic replacement at eroded sites and soil organic
- 88 carbon (SOC) burial at depositional sites (Li et al., 2015b; Van Oost et al., 2007).
- 89 Determining the exact magnitude of this sink critically depends on the amount of
- 90 <u>dynamic SOC replacement</u>, on the fate of the eroded carbon as well as the state of the
- 91 system\_(Berhe et al., 2007; Harden et al., 1999; Wang et al., 2015). However, T\_the
- 92 maximum magnitude of the erosion-induced carbon sink , however, is, in general, set
- by the amount of SOC mobilised by erosion processes (Li et al., 2015b).
- One recent estimate places the total amount of SOC that is currently annually mobilised
- 95 by soil erosion on the CLP area at *ca.ca*. 18 Tg (Ran et al., 2014), which is 1.5 to 2
- 96 times the amount of carbon sequestered in biomass (Feng et al., 2013; Persson et al.,
- 97 2013) and one order of magnitude larger than the amount of carbon sequestered in the
- 98 soils of the CLP as a result of the *Grain for Green* soil conservation program—(Chang
- 99 et al., 2011; Deng et al., 2013; Shi and Han, 2014; Zhang et al., 2010). This illustrates
- that soil erosion may significantly affect regional carbon balances (Yue et al., 2016).
- Soil erosion also not only affects the cycling of C, but also that of major nutrients such
- as Nitrogen (N) and Phosphorus (P). Global estimates suggest that the total amounts
- of N and P mobilised by erosion are, respectively, ca. 20-40% and ca. 80-150% of the
- 104 total amount of N and P applied as mineral fertilizer (Quinton et al., 2010).: At the
- regional scale, nutrient losses by soil erosion can exceed nutrient inputs by fertilization,
- thereby reducing soil fertility and generating significant economic and environmental
- 107 costs (Quinton et al., 2010; Trimble and Crosson, 2000). However, the impact of
- 108 erosion not only depends on the total quantity of sediments mobilised but also on their
- 109 source. Topsoil also contains far more SOC and nutrients than subsoil material and
- The impact of erosion on elemental cycling and soil fertility is not only controlled by
- the amount of sediments that are being mobilised but also by their source. Soil organic
- carbon as well as soil nutrients are generally concentrated in the topsoil (Jobbágy and

Jackson, 2000, 2001; Liu et al., 2011, 2013): therefore topsoil erosion by rill and interrill erosion its mobilisation will will therefore lead to disproportionate losses of both SOC and nutrients from the soil reservoir. Excessive river sediment loads and the siltation of reservoirs, on the other hand, may be caused by a range of erosion processes, including gully erosion and landsliding. However, These processes will be less important for elemental al-cycling as they mobilise sediments mobilised that contain in general much less SOC and nutrients than topsoil (Han et al., 2010).

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Given the fact that topsoil is relatively enriched in nutrients and C in comparison to subsoil material, quantifying the effect of erosion processes on elemental cycles requires that the contribution of different processes to total sediment production is known. If no distinction between different erosion processes is made, the impact of erosion processes on elemental cycles may be either overestimated or underestimated, depending on the assumptions being made regarding the SOC, N and P content of the soil/sediment that is mobilised. For instance, if it is assumed that only topsoil is mobilised, the impact of erosion is likely to be overestimated as topsoil contains far more SOC and nutrients than subsoil.

Assessment of topsoil erosion rates over large areas is not straightforward. While measurements of sediment yield provide information on the net loss of sediment from an area (Cai, 2001; Tang et al., 1993), they cannot be directly converted into (top-) soil erosion rates as other erosion processes may also significantly contribute to sediment mobilisation and mobilised sediments may be stored on land rather than being exported by the river. Topsoil erosion rates may also be estimated using models, such as the USLE model (Wischmeier and Smith, 1978) or its upgraded version, the RUSLE (Renard et al., 1997). The (R)USLE is a relatively simple multiplicative model that has been extensively calibrated and validated for the prediction of topsoil erosion by water (rill and inter-rill erosion) on cropland in the USA. Current (R)USLE estimates of topsoil erosion on the CLP vary between 0.95 and 4.32 Gt yr<sup>-1</sup>, a wide range reflecting the uncertainty on these estimates (Table 2). Even more importantly Furthermore, these values are are at least equal to and mostly significantly larger than the total sediment yield of the CLP before conservation programs were implemented and reservoirs were installed (ca. 1.37 Gt yr<sup>-1</sup>, - (Miao et al., 2010). However, This raises the question whether the true value of topsoil erosion is even within the broad range of estimates

that has been published. On the CLP, a dense network of active gullies is present in over large areas of the CLP of the CLP (Cai, 2001) and landslides due to earthquakes or heavy rainfall mobilise large amounts of sediment (Zhang and Wang, 2007). It is unlikely that the total contribution of these processes to sediment export would be negligible in comparison to the amount of soil mobilised by topsoil erosion. This raises the question whether the true value rate of topsoil erosion is even within the broad range of estimates that has been published.

Evidently Clearly, the large uncertainties on current topsoil erosion rates prevent a correct assessment of the impact of topsoil erosion on C cycling and soil fertility on the CLP. However, an important data source that may allow to address these uncertainties has hitherto been left untapped. On the CLP, numerous field studies on erosion on the CLP have been carried out, the results of which were hitherto not used to improve regional erosion estimates. Many of these studies were carried out using erosion plots and therefore measured topsoil erosion by sheet and rill erosion. Other studies assessed erosion rates at the small catchment scale, where measured sediment fluxes are the result of both topsoil erosion and gully erosion.

In this paper we used the results of these field observations to develop models that, after validation, allowed to calculate topsoil erosion and gully erosion rates on the CLP before and after conservation programs were implemented. We assessed and to assess how conservation programs have affected sediment mobilisation by these processes as well as sediment storage and transport. This allowed us (i) to develop sediment budgets for the CLP before and after the implementation of conservation programs and (ii) to more accurately assess the amount of SOC and nutrients that is mobilised by erosion on the CLP, so that the magnitude of the erosion-induced carbon sink ean—could be constrained and the importance of erosion-induced nutrient losses could be quantified. Finally, we evaluated how these erosion-induced nutrient losses may have affected agricultural production under past and present conditions.

#### 2 Materials and Methods

#### 2.1 Materials

Erosion plot database (EPD). We compiled a large dataset of erosion rates measured on erosion plots from scientific papers, books and reports (Supplement Data 1). Only

measurements conducted for at least one year on bounded erosion plots with a minimum plot length of 3 meter with a specific land use type under natural rainfall were retained. Plots on which soil and water conservation measures were tested were not considered as these are not representative for standard agricultural practices. The final database consisted of data for 306 erosion plots spread all over the CLP (Fig. 1), on which

measurements were carried out for a total of 1357 plot years (Supplement Data 1).

- Landscape characterisation. 1000 points (GEps), randomly distributed and covered on the whole CLP, points (GEps) were selected using ArcGIS 10.1 software (Supplement Data 2). The points were loaded into Google<sup>(R)</sup> Earth software and for each point the land use type was determined visually using four classes: (i) forest, (ii) grassland, (iii) farmland and (iv) 'other' (built-up, desert or barren and water body). The topography was also subdivided into four categories: (i) flat, (ii) hilly, (iii) gullied land and (iv) '-other' if the topography type could not be well defined. Desert areas were classified separately. When farmland was present, we registered whether or not the farmland was terraced and determined the maximum field length in the downslope direction. The proportion of gully gullied areas for the whole  $CLP(A_a)$  is was estimated as the ratio of the number of GEps classified as 'gullied land' points to the total number of points. The proportion of terraced land (T<sub>P</sub>) (Supplement Fig. 12) as well as the
  - Land use datasets were provided by the Resources and Environmental Centre of the Institute of Geographical Sciences and Natural Resources Research, Chinese Academic of Sciences (<a href="http://www.geodata.cn/">http://www.geodata.cn/</a>). The first dataset describes land use on the CLP during the 1980s (exact date not known) while the second dataset describes land use in 2005. —and reports the dominant land use for each pixel. —Both land use datasets were in raster format with a resolution of 100 m. Given the fact that during this period the emphasis of government efforts was clearly on the increase of agricultural production this assumption is reasonable. Furthermore we assumed that no terracing was carried out prior to 1950 (Zhang et al., 2008b).

average field slope length for terraced ( $\lambda_T$ ) and sloping, non-terraced land ( $\lambda_S$ ) was

calculated for 5° slope intervals (Supplement Fig. 23).

Slope gradient. We first constructed a DEM with a 100 m resolution. The slope was calculated using the same resolution using a DEM derived from a corrected SRTM

dataset (90 m resolution) with a 90m resolution which was provided by the
Environmental and Ecological Science Data Centre for West China, National Science
Foundation of China (http://westdc.westgis.ac.cn/). Slope calculations were corrected
for resolution effects using the procedures developed by (Van Oost et al., 2007).

#### 2.2 Estimation of average topsoil erosion rate (TER)

- Erosion plot rates cannot be directly extrapolated to large areas: erosion plots tend to be located in areas where erosion rates are high and have dimensions that are smaller than that of a typical field (Cerdan et al., 2010) (Cerdan et al., 2010). Thus, the dependency of erosion rates on topography (slope gradient and length) as well as land use need to be accounted for when estimating area-wide topsoil erosion rates.
- On farmland erosion plots, a strong correlation was found between TER and slope gradient and slope length (Fig. 2 and Fig. 3, Table 1). Such consistent relationships were not present for plots with other land uses plots (Fig. 54, Table 1). Surface runoff on grassland and on permanently vegetated land (forest and shrub land) is most often discontinuous with patches generating runoff that subsequently infiltrates at other locations on the slope: hence, the erosive power of overland flow does not increase systematically in the downslope direction and erosion rates do not increase with slope length (Cammeraat, 2002; Cerdan et al., 2004). The absence of a relationship between slope gradient and TER for plots under permanent vegetation may be due to the fact that erosion under low runoff conditions is limited by the amount of material that is dislodged by raindrop impact. The latter process does not show a strong slope dependency (Torri and Poesen, 1992).
- As a relationship between erosion rates and topography was only present for farmland, different strategies were employed to estimate the mean TER for farmland in comparison to other land uses. based on land use dataset. We found that -Nearing's model (Nearing, 1997) described the relationship between erosion rate and slope gradient very well on farmland very well (Supplement Fig. 3422). As this model was already extensively tested using data from the CLP\_(Nearing, 1997) and is consistent with earlier studies we used it to normalise observed erosion rates with respect to slope gradient.

238 
$$TER' = a * \left(-1.5 + \frac{17}{1 + e^{2.3 - 6.1 \sin \theta}}\right) \tag{1}$$

- Where, TER' is the slope-corrected TER for farmland (t ha<sup>-1</sup> yr<sup>-1</sup>); a is a scaling factor
- representing the comprehensive effect of R (rainfall erodibility) and K (soil erodibility)
- on the TER. The value of a was determined through regression analysis (see below)
- 242 and equals to  $5.5 \pm 1.87$  t ha  $^{-1}$ yr  $^{-1}$  (p < 0.0001, n=115).
- 243 The TER measured on farmland was also dependent on slope length (Fig. 3, Table 1).
- We assumed that erosion rate was proportional to the square root of slope length, which
- is consistent with earlier research (Liu et al., 2000; Wischmeier and Smith, 1978).
- 246 Finally, calculation of the TER needs to account for the presence of terraces. First, we
- 247 calculated the probability of a slope being terraced  $(T_P)$  using an empirical relationship
- between slope gradient and the proportion of the farmland that was terraced (Figure.
- 249 5...). Next, we calculated the Terrace efficiency  $(T_E)$ , i.e. the reduction in TER that is
- 250 achieved by installing terraces on a slope with arable land. W: We found 16 erosion
- 251 plot studies evaluating the effect of terracing on erosion rates on the CLP using a paired
- sample design (i.e. topography, crops and soil conservation measures other than
- 253 terraces were similar on the terraced and non-terraced plots) (Supplement Table 31).
- The terrace efficiency factor,  $T_E$ , was calculated as a the ratio between the erosion rate
- observed on the terraced and non-terraced plots. The mean  $T_E$ , weighted by the number
- of plot years, was  $0.20 \pm 0.19$  indicating that the TER on terraced farmland wasere, on
- average, only 20% of that occurring on non-terraced farmland.
- 258 Finally we calculated Next, we compiled available literature data to derive T<sub>E</sub>, the
- 259 average erosion reduction factor that is obtained by installing terraces. If a pixel is under
- 260 farmland, the average TER for this a pixel under arable land use can then be calculated
- as follows:

262 
$$TER = TER' * \left[ \left( \frac{\lambda_T}{22} \right)^{0.5} * T_P * T_E + (1 - T_P) * \left( \frac{\lambda_S}{22} \right)^{0.5} \right]$$
 (12)

- Where,  $T_P$  is probability of terracing for the slope class to which the pixel belongs
- 264 (Supplement Fig. 1235), while  $\lambda_T$  and  $\lambda_S$  are the average slope lengths for terraced and
- 265 non-terraced farmland for this particular slope class (Supplement Fig. 2346) and  $T_E$  is
- 266 the terrace efficiency (see above below).

- We did find a significant positive relationship between rainfall erosivity on the one hand and normalised erosion rates on farmland on the other hand but the explained variance was very small (3%). Therefore Therefore, we did not include rainfall erosivity in our model. The low explanatory value of rainfall erosivity is probably explained by the fact that in drier conditions (with lower rainfall erosivity) soil cover by vegetation will also be lower: a low erosivity is then compensated for by a high vegetation cover factor.
- As we did not find any relationship between topography and erosion rates on grassland and land under permanent vegetation (Fig. 4, Table 1), we estimated erosion rates for pixels under these land uses by simply taking the average erosion rate observed on erosion plots with the same land use. (Supplement Fig. 45).

#### **1.2**2.3 Uncertainty analysis

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Our estimates of TER are subject to important uncertainties. The most important of those are the uncertainties (i) on the effects of rainfall erosivity, soil erodibility and crop type (integrated in the factor a), (ii) on the effectiveness of terracing  $(T_E)$ , (iii) on the proportion of terracing  $(T_P)$ , as well as uncertainty (iv) on the average field length under terraced  $(-\lambda_T)$ , and non-terraced conditions  $(-\lambda_s)$ . We quantified the resulting overall uncertainty using a Monte-Carlo analysis whereby 6000 independent calculations were run, randomly sampling each of the aforementioned variables, assuming a normal distribution described by its mean value and the standard deviation of this mean. Standard deviations of the mean value could be derived from the sample datasets from  $T_{E_{-}}$ ,  $T_{P_{-}}$ ,  $\lambda_{T_{-}}$  and  $\lambda_{S_{-}}$ . The standard error of the mean for a was quantified by perturbing the observed erosion rates in each slope class by adding an error term to the observed mean value of the TER for each slope class and subsequently estimating a using Equation (1). The error term for TER was randomly drawn from a normal distribution with a mean value of zero and a standard deviation equal to the standard deviation of the mean TER value observed for each slope class (visualised by the error bars on Fig. 2). This procedure was also repeated 6000 times so that the mean and the standard error of a -could be reliably calculated.

# 1.12.4 Validation of the empirical topsoil erosion model

We tested the performance of our topsoil erosion model (Eq. (2)) by comparing model estimates with topsoil erosion rates (TER) derived from <sup>137</sup>Cs measurements carried out on the CLP. The latter allow in principle to estimate the overall soil loss over a period of *ca.* 40 years (Walling and Quine, 1992). We only selected studies for which detailed information on the field sites studied (size of the field, land use, topography) was available. Furthermore, it had to be possible to separate the effects of water and tillage erosion if the latter was important (Govers et al., 1996). We found studies on 44 slopes for which these conditions were met (Supplement Table 42). If estimates of water erosion were reported in the study, the reported value was directly used. If only <sup>137</sup>Cs inventories were provided, the TER was calculated by a simple model relating <sup>137</sup>Cs depletion to soil loss (Zhang et al., 2008a):

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$$R_e = H * \rho_b * (1 - \left(\frac{x}{x_{ref}}\right)^{\frac{1}{n-1963}})$$
 (3)

where  $R_e$  is the estimated soil erosion rate (t km<sup>-2</sup> yr<sup>-1</sup>), H is the depth of the plough layer (0.15 meter or using a reported value),  $\rho_b$  is the specific density of the plough layer (1450 kg mr<sup>3</sup> or using a reported value), x is the measured mean  $^{137}$ Cs inventory of the slope (Bq mr<sup>-2</sup>),  $x_{ref}$  is the locally reference  $^{137}$ Cs inventory (Bq mr<sup>-2</sup>) and n is the year of sampling.

The accuracy of the model estimates was calculated using the relative root mean square error (RRMSE) (Van Rompaey et al., 2001):

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$$RRMSE = \frac{\sqrt{\frac{1}{n}\sum_{i=1}^{n}(M_i - P_i)^2}}{\frac{1}{n}\sum_{i=1}^{n}M_i}$$
(4)

Where,  $M_i$  is the measured TER derived from <sup>137</sup>Cs inventory,  $P_i$  is the predicted TER from our model (Eq. (2)) and n is the number of observations. Figure 7 demonstrates that agreement between measured and predicted TER is good: the *RRMSE* is 0.56 and 77% of the predicted values are within a factor 0.5 to 2 of the measured values. Part of the unexplained variance is due to the fact that soil erosion at the plot scales is characterized by a strong variability (Nearing et al., 1999). Furthermore, soil erosion may be expected to be affected by factors such as local rainfall characteristics, crop

- 324 type and specific soil properties at the measurement site, which were not included in
- our model. Finally, the accuracy of <sup>137</sup>Cs inventories is affected by factors such as
- detector sensitivity and small-scale spatial variability of <sup>137</sup>Cs inventories (Parsons and
- 327 Foster, 2011).

#### 2.35 Estimation of total sediment mobilisation

- We estimated total sediment mobilisation at two moments in time: the first moment is
- 330 1950. We assumed that, at this moment, no terraces or other soil conservation measures
- 331 had been implemented on the CLP (i.e.  $T_P=0$ ). This assumption is obviously a
- simplification: it may be expected that some measures to protect the cropland were in
- place prior to 1950. However, the vast majority of the terraces present on the CLP have
- 334 been constructed after 1950 when terrace implementation was stimulated through
- massive government programs (Chen et al., 2007; Zhang et al., 2008b) Furthermore,
- we assumed that the land use in the 1980s was similar to that in the period 1950-1970.
- Given the fact that during the entire 1950-1980 period the emphasis of government
- efforts was clearly on the increase of agricultural production this assumption is
- reasonable as was also shown by (Fu et al., 2006) for a small catchment of the CLP.
- The second moment is 2005. We assumed that the occurrence of terraces on the CLP
- was stable between 2005 and 2010, which is the date of the imagery we used to derive
- terrace density (see section 2.1). Again, this is reasonable given that terrace construction
- on the CLP almost stopped after 1990 (Zhang et al., 2008b).
- 344 The total amount of sediment mobilised by topsoil erosion under 2005 conditions was
- 345 in 1950 and 2005 was then estimated by aggregating the topsoil erosion amount
- 346 estimated for individual pixels under the assumptions described above. Clearly our
- 347 calculations do not reflect actual erosion amounts in those years. Rather they should be
- 348 considered as an estimation of the average, long-term erosion rates that would occur if
- 349 climate, land use and soil conservation measures would be stable for an extended time
- 350 period.

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#### 2.4-6 The contribution of gully erosion

- 352 The radioactive nuclide 137Cs is a soil erosion tracer that is in principle only present in
- 353 the topsoil to which it was delivered by rainfall and dry deposition after the open air
- nuclear experiments between 1950 and 1970 (Walling and Quine, 1992). Assuming that,

in a catchment where gullying does occur, the <sup>137</sup>Cs concentration in the topsoil of the non-gullied areas, in the sediments coming from gullied areas, and in sediment being deposited in colluvial/alluvial environments downstream of the erosion areas is known, the contribution of gully erosion to total catchment erosion can be estimated as:

$$SC_g = \frac{cs_h - cs_d}{cs_h - cs_g} \tag{5}$$

- Where,  $SC_g$  is the sediment contribution of gully areas (%) and  $Cs_g$ ,  $Cs_h$  and  $Cs_d$  are the average  $\frac{137}{137}$  concentrations in sediments from gullied, non-gullied and depositional areas (Bq kg<sup>-1</sup>), respectively.
- We found 11 studies on relatively small catchments for which such data were available (Supplement Table 23). Using these data as well as the relative areal extent of gullies ( $CA_g$ , %) in each of these catchments we were therefore able to calculate the ratio between the <u>topsoil</u> erosion rate on hilly arable land and the gully erosion rate ( $E_{g/h}$ ) for each catchment.

$$E_{g/h} = \frac{SC_g(1 - CA_g)}{CA_g(1 - SC_g)}$$
 (6)

In order to estimate the contribution of gullies to total sediment mobilisation on the CLP we first calculated the average TER for hilly areas ( $-E_h$ , t ha<sup>-1</sup> yr<sup>-1</sup>). -The proportion of gully areas for the whole CLP ( $A_g$ ) was calculated based on the information obtained from the GEps. Finally, the total amount of sediment mobilised in these gullied areas was estimated as:

$$SY_g = E_{g/h} * E_h * A_g * TA_{clp}$$
 (27)

Where,  $SY_g$  is the amount of sediment mobilised by gully erosion and;  $TA_{clp}$  is the total areas of CLP (620,000 km<sup>2</sup>).

#### 2.5-7 The contribution of landslides

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To the best of our knowledge, no detailed landslide inventory of the CLP exists. We used the data provided by (Derbyshire et al., 2000) Derbyshire (2000) to estimate the number of major landslides occurring per year (ca.ca. 70) (Derbyshire et al., 2000) and combined this with a conservative estimate of mean volume of a major landslide (3 ±

- 382 2.14 ×10<sup>6</sup> m<sup>3</sup>), (Zhang and Wang, 2007) to make a preliminary estimate of the mean
- 383 sediment flux that is delivered to the river network by landslides. It is evident that the
- 384 uncertainty on our estimate is large and that landslide events will be highly episodic,
- 385 triggered by major rainfall events and/or earthquakes but the necessary data to assess
- 386 this temporal variability are at present not available.

## **3 Results and Discussion**

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#### 3.1 Topsoil erosion on the CLP

- 389 The analysis of the plot data confirmed the importance of land use/vegetation cover for
- 390 topsoil erosion: the average topsoil erosion rate (TER) measured on plots with
- 391 permanent woody vegetation (shrub or forest) was  $0.70 \pm 0.28$  t ha<sup>-1</sup> yr<sup>-1</sup> (n=66) while
- 392 the average TER on grassland plots was  $5.51 \pm 1.36$  t ha<sup>-1</sup> yr<sup>-1</sup> (n=90). The TER
- measured under forest is considerably lower than the average TER observed on arable
- farmland plots (23.61  $\pm$  3.69 t ha<sup>-1</sup> yr<sup>-1</sup>, n=120), confirming that conversion of forest to
- arable land may increase the TER by up to two orders of magnitude (Montgomery,
- 396 2007). TER on bare land plots waswas, on average  $(45.27 \pm 19.17 \text{ t ha}^{-1}\text{yr}^{-1}, (n=14))$ .
- which is about twice as high as that ose observed on arable land (Fig. 28).
- 398 Erosion plot rates cannot be directly extrapolated to large areas: erosion plots tend to
- 399 be located in areas where erosion rates are high (Cerdan et al., 2010) (Supplement Data
- 400 1) and have dimensions that are smaller than that of a typical field. The model we
- 401 developed (Eq. (1)) allowed to account for variations in land use, topography (slope
- 402 gradient and length) as well as for the impact of terracing on TER. Validation of the
- 403 model using independent estimates of erosion rates showed that it performed well with
- 404 77% of the observations within a 0.5-2 range of the predicted values (Fig. 3 and
- 405 Supplement Methods).
- 406 Plot erosion rates were extrapolated to the whole of the CLP using the procedures
- 407 <u>described above (Section 2.2)</u>. The estimated average TER under 2005 conditions was
- $9.74 \pm 3.12 \text{ t ha}^{-1} \text{ yr}^{-1}$  for farmland;  $3.78 \pm 1.63 \text{ t ha}^{-1} \text{ yr}^{-1}$  for grassland and  $0.53 \pm 0.15$
- 409 t ha<sup>-1</sup> yr<sup>-1</sup> for land with permanent woody vegetation. The calculated overall average
- 410 TER was  $5.41 \pm 1.35$  t ha<sup>-1</sup> yr<sup>-1</sup> for the whole CLP and the total amount of sediment
- 411 mobilised by topsoil erosion was estimated at  $0.30 \pm 0.08$  Gt, with  $0.198 \pm 0.062$  Gt
- 412 coming from arable land and  $0.098 \pm 0.043$  Gt coming from grassland. About 57.0  $\pm$

- 413 11.2% of the total amount of topsoil that is lost due to erosion comes from non-terraced
- arable land which occupies 61.30% of the total area of arable land. Terraced arable land
- 415 contributes  $\frac{\text{ca.}}{\text{ca.}}$  8.8  $\pm$  3.5%;  $\frac{\text{ca.}}{\text{ca.}}$  32.6  $\pm$  11.6% comes from grassland and the
- reminder  $1.6 \pm 0.7\%$  comes from land with permanent vegetation (Fig. 1).
- 417 Under 1950 conditions, the average estimated TER on farmland was almost twice as
- 418 high  $(19.3 \pm 6.18 \text{ t ha}^{-1} \text{ yr}^{-1})$ . This resulted in a total amount of topsoil mobilisation of
- 419  $0.40 \pm 0.13$  Gt. An additional  $0.10 \pm 0.04$  Gt was mobilised on grassland and land under
- 420 permanent vegetation, resulting in an overall total of  $0.50 \pm 0.13$  Gt of topsoil erosion.
- Our estimates of topsoil erosion under 2005 conditions are 3 to 9 times lower than the
- 422 estimates reported in recent studies (Table 2). This discrepancy far exceeds the
- 423 uncertainties associated with our estimates. Several reasons may explain why previous
- estimates of topsoil erosion were too high but two factors appear to be of particular
- 425 importance. : most notably, First, soil erodibility is often strongly overestimated by
- 426 applying a model for soil erodibility prediction that is not applicable to Chinese loess
- 427 <u>soils</u> –(Supplement Table <u>14</u> and Supplement Discussion). <u>Second</u>, <del>and</del> the procedures
- 428 to estimate slope length at the landscape scale tend to ignore the effects of landscape
- 429 structure and field borders in particular. Field borders tend to reduce effective slope
- 430 lengths and hence erosion rates (Van Oost et al., 2000). (Supplement Discussion)
- 431 Ignoring the landscape structure leads to greatly exaggerated estimates of effective
- 432 slope length and hence also of topsoil erosion rates (see Supplement for a more detailed
- 433 discussion).

#### 3.2 Gully erosion and landslides

- We estimated the relative contribution of gullies to sediment mobilisation in 7
- agricultural catchments and used the data from 4 other studies reporting the contribution
- of gully erosion using the <sup>137</sup>Cs content of sediments in gully, inter-gully areas and
- 438 reservoirs and retention structures downstream of small, gullied catchments
- 439 (Supplement Table 24). Our calculations showed that In these catchments gully erosion
- mobilised, on average,  $2.60 \pm 1.48$  times more sediment than sheet and rill erosion in
- 441 these catchments, confirming the importance of gullies as a sediment source
- (Supplement Table  $\frac{2}{4}$ ). Based on our GEps, we estimated that  $\frac{2}{4}$ . 13.2  $\pm$  2.0 % of
- total area of the CLP is covered by gullied lands, an estimate which is comparable to
- that of (Sun et al., 2014) Sun et al. (2014) who estimated that 14.4% of the CLP is subject

- 445 to intense gullying. and Using our model (Eq. (2)) we estimated the The average
- 446 average TER for arable land in h-the hilly areas of the CLP is at 10.78 ± 15.27 t ha<sup>-1</sup>
- 447 yr<sup>-1</sup> and assumed this value to be representative for the arable land in the catchments
- where the relative contribution of gully erosion was assessed. Combining all—these
- values using Eq. uation (27), we estimated that gullies mobilised  $0.23 \pm 0.28$  Gt yr<sup>-1</sup> of
- 450 sediments under current 2005 conditions (Section 2.46). As is the case for topsoil
- erosion, gully erosion was reduced by conservation progreams programs: concurrently
- with terracing, check dams were installed on gully floors, thereby stabilising their base
- 453 level (Xu et al., 2004). We assumed that the decrease in gully erosion rates was
- proportional to the decrease in TER. Therefore we estimate that under -1950 conditions
- 455 ca.  $0.38 \pm 0.46$  Gt yr<sup>-1</sup> of sediments was mobilised by gully erosion.
- 456 Mmore than 40000 landslides have been identified on the CLP (Derbyshire et al., 2000).
- Derbyshire et al. (2000) reports that ea.ca. 1000 'large' landslides occurred on the CLP
- 458 between 1965 and 1979. Assuming an average volume of 3 million m<sup>3</sup> for a large
- landslide, the volume of sediment that is annually mobilised by these landslides can be
- 460 conservatively estimated as  $\frac{\text{ca.}}{\text{ca.}}$  ca.  $0.28 \pm 0.23$  Gt (Section. -2.57). This estimate does
- not include the contribution of seismic events such as the Haiyuan earthquake (1928),
- which generated over 1000 landslides on its own (Li et al., 2015a).
- The impact of conservation measures on landslides is ambiguous. While the reshaping
- 464 of slopes by terracing may in principle increase their stability, terracing also facilitates
- irrigation and may therefore increase the landslide risk (Meng and Derbyshire, 1998).
- 466 At the same time, the stabilisation of the base level by check dams reduced the risk of
- 467 slope failure. We therefore assumed that the landslide risk was not affected by
- 468 conservation programs and sediment mobilisation by landslides was, on average,
- 469 constant over time.

# 3.3 The impact of conservation programs on sediment mobilisation

- 471 Under pre 1950 conditions, the average estimated TER on farmland was  $19.3 \pm 6.18 \, \text{t}$
- 472 ha<sup>-1</sup> yr<sup>-1</sup>, resulting in a total amount of topsoil mobilization of  $0.40 \pm 0.13$  Gt. An
- 473 additional 0.10 ± 0.04 Gt was mobilised on grassland and land under permanent
- 474 vegetation, resulting in an overall total of  $0.50 \pm 0.13$  Gt. Gully erosion was also higher
- 475 before soil conservation programs were started: concurrently with terracing, check
- dams were installed on gully floors, thereby stabilising their base level (Xu et al., 2004).

- We assumed that the decrease in gully erosion rates was proportional to the decrease in
- 478 TER.

- Our analysis clearly shows that sediment mobilisation was significantly reduced (by ca.
- 480 40% for topsoil erosion) by the conservation programs that the Chinese government
- 481 started to implement from 1950 onwards. This reduction is mainly due to the
- 482 implementation structural measures such as check dams and terraces. The effect of land
- 483 use changes induced by regreening programs was still small under 2005 conditions,
- 484 leading to reduction of topsoil erosion on agricultural land by ca.ca. 0.01 Gt in
- comparison to 1950. As the areas covered by these conservation programs continues to
- increase, their effect on erosion reduction will also increase (Fu et al., 2011).
  - 3.4 Sediment budget
- The average sediment export from the CLP measured at *Huayunkou* station (see Fig. 1),
- 489 which is located on the Yellow River just downstream of the CLP was, on average,
- 490 ca.ca. 1.37 Gt yr<sup>-1</sup> between 1950 and 1975 (Ministry of Water Resources of China,
- 491 2011). Other long-term estimates confirm that this value is realistic, at least for the last
- 492 centuries, for which an average yield of ea.ca. 1.1 Gt yr<sup>-1</sup> was reported (Saito et al.,
- 493 2001). However, sediment yields have decreased significantly in the last decades and
- 494 current sediment yield (2000-2010) is, on average 0.10 Gt yr<sup>-1</sup> (Ministry of Water
- 495 Resources of China, 2011). This sharp reduction is not only due to a reduction in
- sediment mobilisation (by ca. 0.36 Gt) but is also due to a very significant increase in
- 497 sediment trapping. mainly due to increased sediment trapping. Recent estimates place
- 498 the amount of sediment trapped annually in reservoirs on the CLP at 0.55 Gt vr<sup>-1</sup>, while
- 499 ca.ca. 0.59 Gt yr<sup>1</sup>per year is trapped in reservoirs in the whole Yellow River Basin: the
- annual retention rate strongly increased since ca.ca. 1970 as several major reservoirs
- on the Yellow River came into operation (Ran et al., 2013a). An additional 0.11 Gt yr
- 502 <del>per year</del> is estimated to be retained by smaller conservation structures (check dams)
- 503 (Jiao et al., 2014; Ran et al., 2004). Overall, increased sediment trapping accounts for
- 504 ca. 60 % of the total reduction in sediment yield.
- 505 Combining all data a sediment budgets can be constructed for the CLP under current
- 506 2005 conditions (2005) and as well as for the CLP for under the pre-conservation
- 507 period conditions (1950) (Fig. 49). Comparing the observed average sediment yield
- with the sediment yield calculated by summing all sediment inputs and sinks shows a

- 509 very good agreement, both for 1950 and 2005 conditions, confirming that our estimates
- are indeed of the correct order of magnitude (Fig. 49). Clearly, sediment dynamics on
- 511 the CLP have dramatically changed since 195-0. Not only have erosion rates been
- significantly reduced, mainly as a result of terracing and check dam construction, but
- 513 eroded sediments are now mostly stored within the CLP rather than exported to the
- *Bohai* Sea, as was the case under 1950 conditions.

#### 3.45 The magnitude of the erosion-induced carbon sink

- 516 Combing sediment sources mobilisation by topsoil erosion with the average SOC
- fraction in the  $\frac{20 \text{cm} \text{ of topsoil}}{20 \text{ cm}} = \frac{(0-20 \text{ cm})}{(Forest: 10.60 \pm 7.48 \text{ g kg}^{-1}; Grassland: 8.04)}$
- $\pm 4.68 \text{ g kg}^{-1}$  and Farmland:  $12.12 \pm 7.48 \text{ g kg}^{-1}$ ) under different land use (Supplement
- Table 5, Liu et al., 2011), we estimated that, at present, under 2005 conditions ca.ca.
- $3.24 \pm 1.76 \text{ Tg yr}^{-1}$  of SOC are was mobilised by topsoil erosion. Sediments from
- gullied areas contain far less SOC than agricultural topsoil (ea. ca.  $3 \pm 0.05$  g kg<sup>-1</sup>).
- Han et al., 2010), resulting in a total SOC mobilisation of ca. ca.  $0.69 \pm 0.62$  Tg yr<sup>-1</sup> by
- 523 gullying. Landslides operate over depth scales similar to those of gullies: assuming that
- landslide sediments also contain  $\frac{\text{ca.}}{\text{ca.}}$  3 ± 0.05 g kg<sup>-1</sup> of SOC, the contribution of
- landsliding to SOC mobilisation may be conservatively estimated at  $0.84 \pm 0.60$  Tg yr
- 526 <sup>1</sup>. This results in an overall total of  $\frac{ca.ca.}{ca.}$  4.77 ± 1.96 Tg yr<sup>-1</sup> of SOC being mobilised
- 527 under <del>current</del> 2005 conditions. <del>Before</del> As 1950, when erosion was more intense, <del>ca.</del>ca.
- $7.63 \pm 3.52$  Tg yr<sup>-1</sup> of SOC was mobilised under 1950 conditions. As is the case for
- erosion rates, our estimates of SOC mobilisation (and hence of the maximum magnitude
- of the SOC sink) are much lower than other, recently published estimates (e.g. 18 Tg C
- 531  $yr^{-1}$ , Ran et al., 2014).

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- The moderate losses of topsoil constrain the maximum magnitude of the erosion-
- induced carbon sink, which is at present limited to  $4.77 \pm 1.96$  Tg C <u>yr<sup>-1</sup></u>. The amount
- of SOC that was mobilised by erosion, and therefore the potential magnitude of the
- erosion-induced carbon –sink was significantly higher before conservation programs
- started  $(7.63 \pm 3.52 \text{ Tg C yr}^{-1}, \text{ Fig. 49}).$
- 537 Evidently, the real magnitude of the SOC sink may be significantly different from the
- total amount of SOC that is being mobilised. The SOC sink magnitude will equal the
- amount of mobilised SOC (i) if all eroded SOC is dynamically replaced at erosional
- sites, (ii) net SOC losses during erosion and transport are negligible and (iii) all eroded

541 SOC is permanently buried at depositional sites. In theory, it is even possible for the
542 sink strength to exceed the total amount of SOC mobilised, e.g. when all -three
543 conditions above are met and net primary productivity at depositional sites increases
544 significantly due to the deposition of sediment and nutrients (Berhe et al., 2007).

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Assessing the magnitude of the current and past erosion induced carbon sink more precisely requires an assessment of the fate of the SOC mobilised by erosion as well as of the rate at which this carbon is dynamically replaced on arable land. Experimental data suggest that dynamic replacement and carbon export may be are in nearequilibrium on eroding farmland on the CLP, i.e. all the carbon that is eroded is dynamically replaced by new photosynthateesis (Li et al., 2015b). Some of the SOC mobilised by gully and landslide erosion will also be replaced by vegetation regrowth on landslide scars and gully beds and sidewalls. It is not clear how important this replacement is but it may be expected to be significant, given the low initial SOC content of these surfaces. but the A key question remains how much of the eroded carbon is preserved in depositional environments. (Li et al., 2015b). Nowadays, nearly all sediments and associated SOC mobilised by different erosion processes on the CLP are stored on land (Fig. 49). Studies of colluvial environments on the CLP suggest that a significant amount of the SOC buried by deposition is preserved in such depositional environments (Li et al., 2015b). Similarly, reservoirs sediments are known to contain a significant amount of particulate organic carbon, which is likely to be sequestered over time scales up to several centuries (Wang et al., 2015; Zhang et al., 2013). Furthermore, terracing may have temporarily enhanced C storage as carbon-rich topsoil may be buried and carbon-poor subsoil may be exposed by terrace construction. As most of these depositional environments came only recently into being, their carbon burial efficiency will still be relatively high (Wang et al., 2014b, 2015) and SOC respiration at depositional sites will is likely not to exceed 50% of the total amount of SOC mobilised, placing a lower bound of ea.ca.  $2.38 \pm 0.98$  Tg C yr<sup>-1</sup> on the magnitude of the current erosion-induced carbon sink under 2005 conditions. Clearly this is a rough approximation only: the burial efficiency of SOC does not only depend on SOC burial rates but also on the quality of soil organic matter (SOM) that is buried (Berhe and Kleber, 2013; Hu et al., 2016) as well as the location in the landscape where burial takes place (Berhe and Kleber, 2013) and the soil type (Hu et al., 2016). A more accurate determination of the lower limit of the erosion-induced C sink will require a coupling

between the key factors controlling C burial efficiency and geographical data that can be used to map the spatial variation of these controls at the regional scale.

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Prior to 1950 the geomorphological cascade was more or less in equilibrium. i.e. The amount of sediment mobilised on the CLP approximately equalled the amount of sediment exported to the Bohai Sea (1.1-1.3 Gt yr<sup>-1</sup>, (Miao et al., 2010, Fig 49). The lower bound of the erosion induced carbon sink will then be equal to the amount of carbon exported to the Bohai Sea and buried in coastal and distal marine sediments. The OC content of Yellow river sediments is on average  $\frac{\text{ca.}}{\text{ca.}}$  0.58  $\pm$  0.12% (Ran et al., 2013b; Wang et al., 2012; Zhang et al., 2013). As the total sediment export by the Yellow River to the *Bohai* Sea was ca.ca. 1.2 Gt yr<sup>-1</sup>, this places the lower bound of the carbon sink prior to conservation measures at ca.ca. 6.96 ± 1.44 Tg of OC yr<sup>-1</sup> was annually exported in particulate form to the Bohai -Sea. This amount is very similar to our estimate of the amount of OC mobilised by erosion  $(7.63 \pm 3.52 \text{ Tg C yr}^{-1})$  in this period. This suggests that, under -1950 conditions, not only the geomorphological but also the carbon cascade was at near-equilibrium prior to 1950, with the Yellow River exporting an amount of organic carbon similar to the amount delivered to the river systems by hillslope processes. An important consideration is, however, that not all of this carbon will be permanently -buried in deltaic and marine sediments: to the best of our knowledge, no data on burial efficiency are available for the Yellow River but a recent review places the carbon burial efficiency of terrestrial OC on continental shelves with high deposition rates (1-10 g cm<sup>-2</sup> yr<sup>-1</sup>) between ca. 25% and ca. 80% -(Leithold et al., 2016). Thus, the effective magnitude of the erosion-induced sink under 1950 conditions is likely to be 1.75-5.5 Tg C yr-1. Clearly, the comparison above only assesses upstream inputs and downstream outputs for the Yellow River. It is well possible that significant exchanges of POC between the river and its floodplain occur between the CLP and the river mouth and that part of the POC exported by the Yellow River results from within-river photosynthesis (Hoffmann et al., 2013; Omengo et al., 2016; Regnier et al., 2013), compensating for the loss of erosion-derived POC by within-river mineralization.

The implementation of soil conservation programs has reduced the <u>maximum</u> strength of the erosion-induced carbon sink on the CLP by  $4.58 \pm 1.74$  Tg C yr<sup>-1</sup>. Estimates of Although the *Grain for Green* program still only covers a relatively limited area, its

- The beneficial effects of the Grain for Green program in terms of C sequestration in
- biomass and soils are estimated to be ca.ca. 10-12 Tg C yr<sup>-1</sup>: thus, these benefits largely
- 608 surpass this more than compensate the value reduction of the erosion-induced carbon
- 609 sink that results from afforestation -(Feng et al., 2013; Persson et al., 2013).
- On a unit area basis, the rate of SOC mobilisation by erosion on the CLP is of the same
- order of magnitude as observed-by (Berhe et al., 2007) in a small agricultural catchment
- 612 in Tennessee Valley of California (Table 3). (Nadeu et al., 2015) obtained significantly
- lower mobilisation rates for a small agricultural catchment in Belgium, which is due to
- a combination of moderate erosion rates and the low SOC content of the soil (Table 3).
- 615 (Van Oost et al., 2007) obtained an average SOC mobilisation rates of -15.5 g C m<sup>-2</sup> yr
- 616 for 10 hilly catchments in Europe and North America, a value that is also similar to
- our estimate of SOC mobilisation under 1950 conditions. Our estimates of the net C
- 618 sink correspond to a sequestration rate of ca.  $3.83 \pm 1.58$  g C m<sup>-2</sup> yr<sup>-1</sup> under 2005
- 619 conditions (assuming a sink strength equals to 50% of the total C mobilisation) and 6.13
- $\pm 2.83$  g C m<sup>-2</sup> yr<sup>-1</sup> under 1950 conditions for the entire CLP: these numbers are similar
- to the estimates obtained by Van Oost et al. (2005, 3-10 g C m<sup>-2</sup> yr<sup>-1</sup>) for a single field
- in Belgium and (Van Oost et al., 2007) for 10 small catchments (0.7-5.7 g C m<sup>-2</sup> yr<sup>-1</sup>),
- 623 while (Harden et al., 1999) obtained somewhat higher values (10-20 g C m<sup>-2</sup> yr<sup>-1</sup>) for
- small agricultural catchments in Mississippi (Table 3).

#### 3.5-6 Nutrient losses and agricultural productivity reduction by soil erosion

- Based on estimates of the N and P content of arable topsoil (Supplement Table 5, (Liu
- et al., 2013). Www estimate that in 1950 under 1950 conditions annual
- 628 nitrogen (N) and phosphorous (P) losses amounted to ca.ca. 0.38 Tg and 0.34 Tg
- 629 respectively. Conservation efforts reduced these losses to 0.22 Tg and 0.20 Tg
- 630 respectively under 2005 conditions (Table 34). These losses incur a very significant
- 631 cost. At April of 2016 the average mineral fertilizer prices in China were ca. 0.47 USD
- 632 kg<sup>-1</sup> N and ca. 2.17 USD kg<sup>-1</sup> P (available at: http://www.fert.cn/11003/, 2016). The
- amount of fertilizers lost by surface erosion is equivalent to a financial loss of ca. 0.10
- 634 billion USD for N and ca. 0.43 billion USD for P.
- Currently, N and P these losses are less than 20% of the mineral fertilizer input on the
- 636 CLP (Table 34). However, this is only because fertilizer inputs have risen dramatically:
- in 1980 fertilizer inputs were only ca.ca. 25% of the current (2000) amounts value: as

a consequence, and relative losses of nutrients by erosion exceeded 50% of the input at that time (Table 34). : in In 1950, when no mineral fertilizers were used (Zhu and Chen, 2002), -nutrient losses by erosion likely exceeded may well have exceeded nutrient supply. ; making the agricultural system unsustainable. ; TIhe reduction of relative nutrient losses is mainly due to the increase of nutrient inputs: the reduction of nutrient losses associated with a reduction of erosion rates TER—is relatively less important (Wang et al., 2014a).

The average TER on arable land is now close to what was long considered to be an acceptable soil loss tolerance level (Jiao, 2014; Renard et al., 1997). While topsoil erosion at this rate may still threaten agricultural productivity, this threat would only materialize over long time spans (Bakker et al., 2004; den Biggelaar et al., 2003; Lal, 2003). In high-input agricultural systems such as the CLP, a loss of 0.1 m of soil induces, on average, an inherent productivity loss of ea.ca. 4% on soils with a limited water holding capacity (Bakker et al., 2004). At current erosion rates, such a loss would take, on average, ea.ca. 100-130 years on the arable land of the CLP. Productivity losses on deep soils are lower, which explains why very significant gains in productivity could be realized on the CLP over the last 50 years, despite the heavily degraded status of some of the soils (Bakker et al., 2004). Average numbers hide a large variability: There is a large spatial variation of TER within the CLP: even under current conditions, TER topsoil erosion rates exceed 10 t ha<sup>-1</sup> yr<sup>-1</sup> on 40 % of the arable land calling for targeted conservation efforts to reduce local TER even further.

# 4 Conclusions

The mechanisms of many the erosion processes modifying the Earth's surface are nowadays well understood. However, assessing their impact at the regional or global scale does not only depend on our level of process understanding but also on the careful correct extrapolation of the data we collect, often over relatively small areas. Assessing the human impact on agricultural ecosystems at larger scales requires a careful identification and quantification of the processes involved: By doing so for the CLP we have shown that current perceptions regarding the intensity of soil erosion and its effects (both negative and positive) need to be revised.

In this study we developed and applied an empirical procedure to estimate topsoil erosion rates on the CLP. We showed that current topsoil, under 2005 conditions, topsoil erosion rates on the CLP are were 3 to 9 times lower than previously assumed. Earlier studies led to strong overestimations largely because erosion models were applied over large areas with inappropriate parameter values and/or using inadequate input data. Also, gully erosion and landslides combined mobilise more sediment than topsoil erosion. This Our revision also limits the magnitude of the erosion-induced carbon sink to maximum ca. 4.77 ± 1.96 Tg yr<sup>-1</sup>, with a most likely value of ca. 2-3 Tg yr<sup>-1</sup>, which is, again, much lower than earlier estimates. Further constraining the uncertainty on the magnitude of the erosion-induced carbon sink under current conditions more accurately will require, in the first place, a better understanding of the controls on carbon burial efficiency on land, where most of the carbon burial is now taking place.

Prior to the implementation of conservation programs, erosion and hence OC mobilisation rates on the CLP were significantly higher, with the system being in near equilibrium (i.e. sediment and carbon mobilisation were approximately equal to sediment and carbon export to the sea). As significantly more carbon was mobilised by erosion (ca. 7.63 ± 3.52 Tg C yr<sup>-1</sup>) the magnitude of the erosion-induced carbon sink was probably also higher, with its magnitude mainly determined by the carbon burial efficiency at sea which is currently also poorly constrained (25-80%). The fact that conservation programs reduce the magnitude of the erosion-induced carbon sink does not imply that soil conservation would lead to an increased emission of soil organic carbon to the atmosphere. Modern conservation programs heavily rely on the use of permanent vegetation: the amount of carbon stored in this vegetation may offset or even surpass the reduction of the erosion-induced carbon sink, thereby increasing terrestrial carbon storage.

and Under current conditions, nutrient losses due to erosion are no direct threat to agricultural productivity. This is in the first place due to the increase of mineral fertilizer inputs since the 1980s. Although soil conservation measures have significantly reduced soil erosion and hence nutrient losses, their relative impact on the nutrient balance is less important. It should be kept in mind though that, on the long term, productivity

- 699 losses may still occur as soil erosion not only affects the nutrient status, but physical soil properties such as the soil's water holding capacity.
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- 706 **Author contributions:**
- 707 G.G. conceived and directed the project. J.Z. collected the data and conducted the
- 708 calculation and analysis. All authors contributed to interpretation and writing.

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Table 1. Correlation (Pearson r<sup>2</sup>) between topsoil erosion rate and topography (slope gradient and slope length) under different land uses: no significant relationships were found for plots with a permanent vegetation cover. The effect of slope is significant on grassland but this is due to high values observed on slopes exceeding 25° (Supplement Fig. 45), for which only a few data points are is available: no significant slope effect is present for lower slope gradients (Fig. 54).

|              | Bare (n=14) | Fallow (n=16) | Farmland (n=120) | Grassland<br>(n=90) | Vegetation cover (n=66) |
|--------------|-------------|---------------|------------------|---------------------|-------------------------|
| Slope degree | 0.64*       | 0.84***       | 0.49***          | 0.19*               | ns                      |
| Slope length | ns          | ns            | 0.37***          | ns                  | ns                      |

\*: p<0.05; \*\*\*\* p<0.001

Table 2. Previous—Estimates of total sediment yield (Gt) and average TER (t ha<sup>-1</sup> yr<sup>-1</sup>)

in-on the CLP. Note that estimates refer to the entire surface area of the CLP (all land uses).

| Reference                       | Area_s(km <sup>-2</sup> ) | Total topsoil sediment erosion (Gt yr <sup>-1</sup> ) | Average TER (t ha-1 yr-1) | Method               |
|---------------------------------|---------------------------|---|---------------------------|----------------------|
| (Fu et al., 2011)               | 620,000                   | 1.51  | 23.99                     | RUSLE                |
| (Sun et al., 2013)              | 620,000                   | 0.95  | 15.20                     | RUSLE                |
| (Schnitzer et al., 2013)-RUSLE1 | 900,000                   | 4.32  | 48.00                     | RUSLE                |
| (Schnitzer et al., 2013)-RUSLE2 | 900,000                   | 1.45  | 16.11                     | RUSLE                |
| (Ran et al., 2014)              | 750,000                   | 2.2   | 29.00                     | Literature<br>review |
| This study                      | 620,000                   | $0.30 \pm 0.08$                                       | 5.41 ± 1.35               |                      |

Table 3 Comparison of our estimates of the average lateral SOC mobilization rate and net erosion-induced carbon sequestration rate on the CLP with published rates for other regions.

| Reference               | lateral C mobilization<br>(g m <sup>-2</sup> yr <sup>-1</sup> ) | Net erosion-induced C sink<br>(g m <sup>-2</sup> yr <sup>-1</sup> ) |  |
|-------------------------|---|---|--|
| Berhe et al., 2007      | <u>33</u>   | <u>5</u>  |  |
| <u>Li et al., 2015b</u> | <u>42</u>   | <u>36</u>   |  |
| Nadeu et al., 2015      | <u>4.7</u>  | <u>2.7</u>  |  |
| Van Oost et al., 2005   | 14.2-17.35  | <u>3-10</u>   |  |
| Van Oost et al., 2007   | <u>3.2-21</u>   | 0.7-5.7   |  |
| Harden et al., 1999     |   | <u>10-20</u>  |  |
| This study (CLP, 1950)  | $12.26 \pm 5.66$  | $6.13 \pm 2.83$   |  |
| This study (CLP, 2005)  | $7.69 \pm 3.15$   | $3.83 \pm 1.58$   |  |

Table 34. Comparison ng of fertilizer inputs (N and P) and losses due to topsoil erosion (Tg) on arable land on the CLP in 1980 and 2000. Nutrients inputs were estimated by multiplying fertilizer input per unit area (kg ha<sup>-1</sup>) (Wang et al., 2014a) with the total cropland area (ha).b: nutrient losses due to erosion were estimated by multiplying the amount of sediment mobilised by topsoil erosion and the nutrient content of topsoil under different land uses (Liu et al., 2013).

| Nutrient | Year | Input_(Tg)* | Erosion_(Tg)b | loss ratio |
|----------|------|-------------|---------------|------------|
| N        | 1980 | 0.70        | 0.38          | 53.66%     |
|          | 2000 | 2.74        | 0.22          | 8.00%      |
| P        | 1980 | 0.39        | 0.34          | 87.64%     |
|          | 2000 | 1.28        | 0.20          | 15.37%     |

a: nutrients inputs were estimated by multiplying fertilizer input per areas(kg ha<sup>-1</sup>) (Wang et al., 2014a) with the total cropland areas(ha).b: nutrients erosion were estimated based on the amount of sediment and nutrient content for different landuse (Liu et al., 2013).

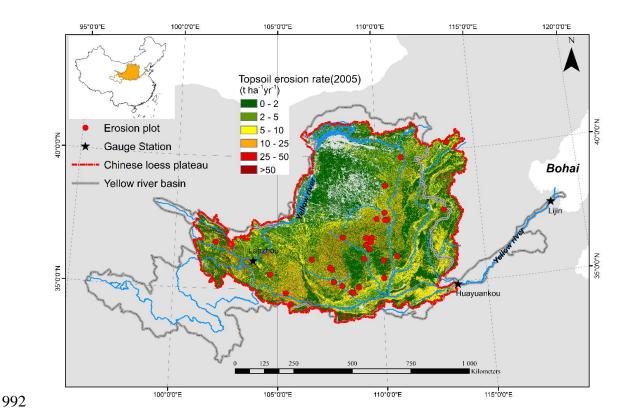


Figure 1. Topsoil erosion map of the Chinese loess plateau <u>calculated from our model</u> (Eq. (2)) with an indication of the location of <u>the</u> erosion plots <u>used in this study.</u>

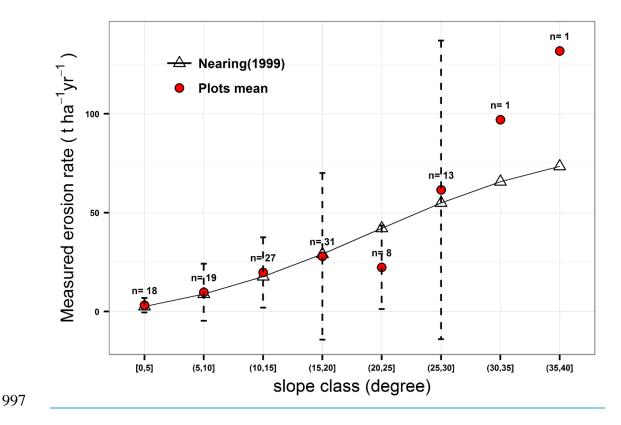


Figure 42. Mean and standard deviation of the soil topsoil erosion rate for different slope classes of farmarable land on the CLP as derived from the erosion plot database. (Relative) variations predicted using the model of of Nearing (1999) are also indicated. The Nearing model excellently predicts relative variations in erosion rates up to a slope of 30° and was therefore used in this study. Comparison of model predictions and observations at higher slope gradients is not relevant due to the small number of observations. a lack of data.

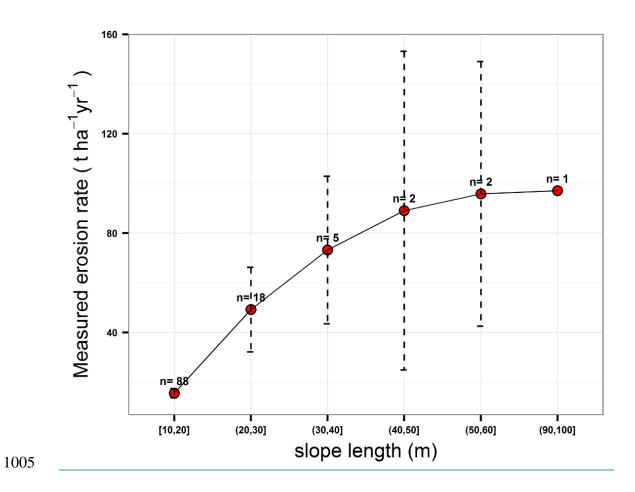


Figure 3. Topsoil erosion rate (mean and standard deviation) vs. slope length for erosion plots under arable land on the CLP.

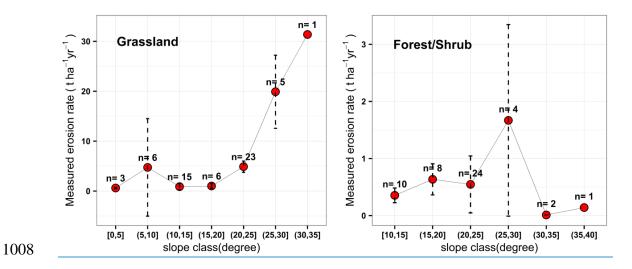


Figure 4. Weighted mean soil erosion rate under grassland and permanent vegetation (PV) for different slope classes: erosion rates were calculated using the data from our erosion plot database. Slope does not have a statistically significant effect on topsoil erosion rates on land under permanent woody vegetation. On grassland, a slope effect may be present, but only for slopes exceeding 25°: however, more data are needed to confirm this.

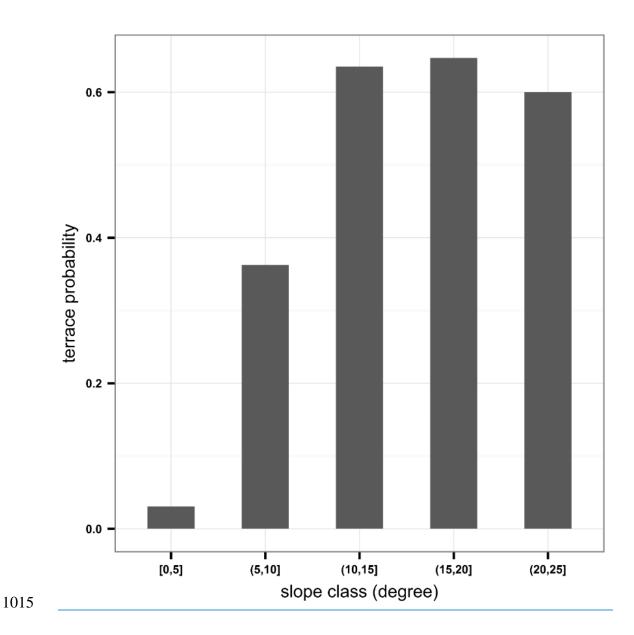


Figure 5. Proportion of farmland on the CLP that is terraced for different slope classes (GEps observations). The probability that land is terraced strongly increases up to a slope gradient of ca.  $10^{\circ}$  after which it remains more or less constant up to a slope gradient of ca.  $25^{\circ}$ . Very steep slopes are somewhat less frequently terraced, possibly because the marginal agricultural return does not warrant the terracing effort.

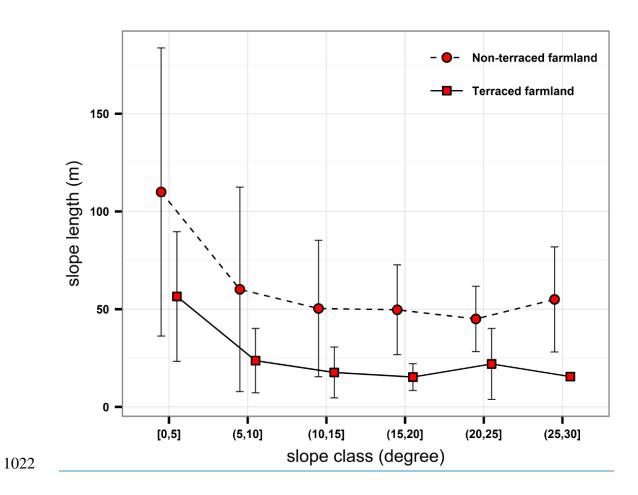


Figure 6. Measured mean slope length for terraced and non-terraced farmland in different slope classes on the CLP (GEps observations). Field sizes and hence slope length are clearly larger on gentle slopes.

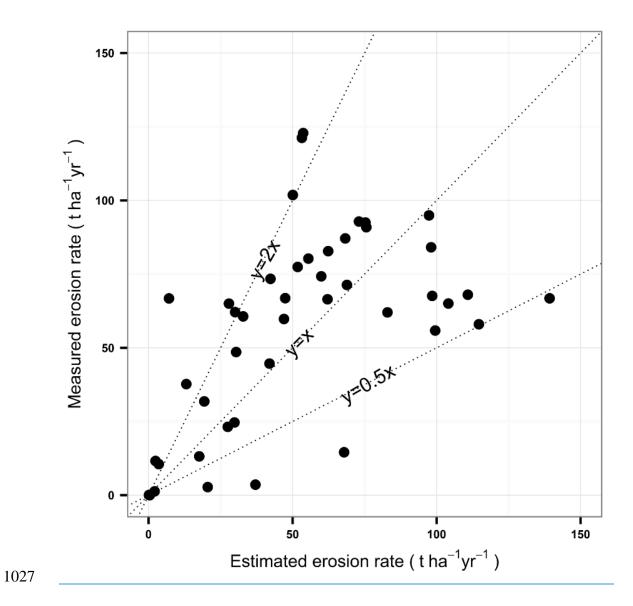


Figure 67. Erosion rates estimated using our empirical model (Eq. (2)) vs. measured erosion rates on arable land. Measured erosion rates were calculated from  $^{137}$ Cs inventories (Eq. (3)): the black continuous line is the 1:1 line; the upper dotted line is y=2x; the lower dotted line is y=0.5x.

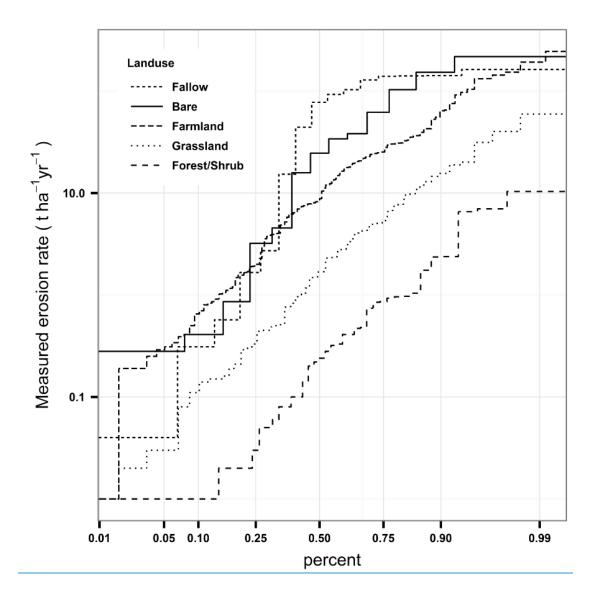


Figure 28. Cumulative distribution of measured erosion rates measured on erosion plots under different land uses,—(x-axis: cumulative fraction of plots for which erosion rate is lower than indicate value). Erosion rates under permanent woody vegetation are 1-2 orders of magnitude lower than erosion rates under arable land use.

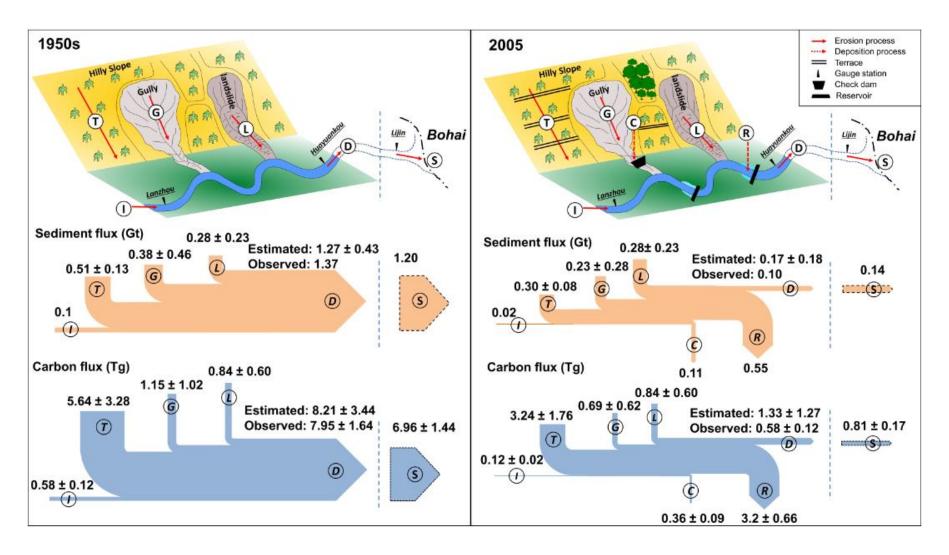


Figure 49. Sediment and carbon budget for the CLP in 1950 and 2005. Sediment input from upstream was the average sediment discharge observed at *Lanzhou* station (Fig. 1). Sediment export from the CLP was the average sediment discharge observed at *Huayuankou*. Sediment delivery to the *Bohai* sea is the averaged sediment discharge observed at *Lijin*. Characters with circle represent different erosion/deposition processes: *I*: input from upstream; T: topsoil erosion; G: gully erosion; L: landslides; C: deposition in Check dam; R: deposition in reservoirs; D: discharge from CLP; S: delivery to *Bohai* sea.