

1 **Smallholder farms in east African tropical highlands have low soil greenhouse**
2 **gas fluxes**

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16 **Abstract:**

17 Few field studies examine greenhouse gas (GHG) emissions from African
18 agricultural systems resulting in high uncertainty for national inventories. This lack
19 of data is particularly noticeable in smallholder farms in sub-Saharan Africa, where
20 low inputs are often correlated with low yields, often resulting in food insecurity as
21 well. We provide here the most comprehensive study in Africa to date, examining
22 annual soil CO₂, CH₄ and N₂O emissions from 59 smallholder plots, across different
23 vegetation types, field types and land classes in western Kenya. The study area
24 consists of a lowland area (approximately 1 200 m asl) rising approximately 600 m
25 to a highland plateau. Cumulative annual fluxes ranged from 2.8 to 15.0 Mg CO₂-C
26 ha⁻¹, -6.0 to 2.4 kg CH₄-C ha⁻¹ and -0.1 to 1.8 kg N₂O-N ha⁻¹. Management intensity of
27 the plots did not result in differences in annual GHG fluxes measured ($P = 0.46, 0.14$
28 and 0.67 for CO₂, CH₄ and N₂O respectively). The similar emissions were likely
29 related to low fertilizer input rates (≤ 20 kg N ha⁻¹). Grazing plots had the highest
30 CO₂ fluxes ($P = 0.005$); treed plots (plantations) were a larger CH₄ sink than grazing
31 plots ($P = 0.05$); while soil N₂O emissions were similar across vegetation types ($P =$
32 0.59). This study is likely representative for low fertilizer input, smallholder
33 systems across sub-Saharan Africa, providing critical data for estimating regional or
34 continental GHG inventories. Low crop yields, likely due to low fertilization inputs,
35 resulted in high (up to 67 g N₂O-N kg⁻¹ aboveground N uptake) yield-scaled
36 emissions. Improvement of crop production through better water and nutrient
37 management might be therefore an important tool to increase food security in the
38 region while reducing the climate footprint per unit of food produced.

39 **1 Introduction:**

40 Increased atmospheric concentrations of greenhouse gases (GHG: CO₂, N₂O and CH₄)
41 over the last century have been correlated to increasing mean global temperature
42 (IPCC, 2013), while N₂O is also the primary ozone-depleting anthropogenically
43 emitted gas (Ravishankara et al., 2009). Globally, agriculture is directly responsible
44 for approximately 14% of anthropogenic GHG emissions while indirect emissions

45 due to conversion of natural landscapes to agricultural systems may contribute an
46 additional 17% (Vermeulen et al., 2012). In developing countries however,
47 agriculture can account for up to 66% of a country's total GHG emission (Tubiello et
48 al., 2014), with African GHG emissions from agriculture and other land uses
49 estimated to be 61% of total continental GHG emissions (Valentini et al., 2014).

50 In parts of the developing world, such as Sub-Saharan Africa (SSA), smallholder
51 farms (farm size < 10 ha) comprise almost 80% of farmland and up to 90% of the
52 farms (Altieri and Koohafkan, 2008). Thus, it is likely that smallholder farms have a
53 large effect on the GHG inventories of SSA. Unfortunately, there is a dearth of
54 knowledge on agricultural soil GHG emissions from smallholder systems as only a
55 handful of empirical studies (Table 1) have measured these (e.g. Baggs et al., 2006;
56 Brümmer et al., 2008; Dick et al., 2006; Predotova et al., 2010). Previous studies in
57 Africa were also limited in scope; measuring emissions from a low number of sites
58 (generally less than 10) for a short time period (i.e. less than one year), often with
59 low temporal resolution. This shortage of baseline data makes it impossible for
60 many developing countries to accurately assess emissions from soils used for
61 agriculture or to use Tier II methodology, which requires the development and
62 documentation of country or regionally specific emission factors, to calculate GHG
63 inventories (IPCC, 2006). Also, Tier 1 methodology assumes a linear response to
64 fertilizer, which may not accurately reflect emissions in low input systems
65 (Shcherbak et al., 2014). Finally, because most of the research behind the
66 development of the Tier I methodology has been completed in temperate zones, the
67 differences in climate, soils, farm management and nutrient balances (Vitousek et al.,
68 2009) seem to result in consistent overestimates of GHG fluxes (Hickman et al.,
69 2014; Rosenstock et al., 2013b). This likely translates to inflated national
70 agricultural GHG inventories in SSA that may result in incorrect targeting and
71 inefficient mitigation measures.

72 Soil GHG emission rates have been related to soil properties such as pH, organic
73 carbon (SOC) content or texture (Khan et al., 2011, Chantigny et al., 2010, Rochette

74 et al., 2008, Stehfest and Bouwman, 2006), but also to vegetation (crop) type
75 (Stehfest and Bouwman, 2006) and management operations e.g. tillage, fertilizer
76 type or crop rotation, (Baggs et al., 2006; Drury et al., 2006; Grageda-Cabrera et al.,
77 2004; Halvorson et al., 2008; Yamulki and Jarvis, 2002). In contrast to agricultural
78 systems in most OECD (Organisation for Economic Co-operation and Development)
79 states, smallholder farmers differentially allocate resources based on distance from
80 homestead and perceived soil fertility, specifically manure and fertilizer
81 applications, to their fields resulting in strong gradients in soil fertility (Tifton et
82 al., 2013). The differences in soil fertility can be predicted using remote sensing
83 tools like “Normalized Difference Vegetation Index” (NDVI) to determine the
84 magnitude and temporal variability of primary productivity (Paruelo et al., 2001).
85 Differences in fertility can also be predicted using farmer questionnaires to
86 determine how farmers allocate resources to the fields and then using this typology
87 of farming activities (hereafter “field typology”) to estimate where soil GHG fluxes
88 are more likely to be high. If strong correlations can be empirically observed, such
89 fertility gradients may then be upscaled based on either the NDVI or farmer
90 interviews, further allowing for effective landscape level predictions based on the
91 field-scale GHG measurements.

92 The lack of good information on GHG fluxes related to agricultural activities in SSA
93 and specifically on smallholder farming systems is a large data gap that needs to be
94 addressed. The objectives of this study were to gather GHG flux data from
95 smallholder farms of the western Kenyan Highlands that represent both the
96 diversity in farming practices and the landscape heterogeneity typically found for
97 many highland regions in East Africa. We hypothesized that a) in view of low rates
98 of fertilizer applications by smallholders the GHG fluxes are generally at the low end
99 of published fluxes from agricultural land, b) the seasonality of rainfall is mirrored
100 by fluxes; and c) differences in land productivity as reflected by NDVI and field
101 typology, as well as differences in vegetation can be used to explain spatial
102 variability in field-scale soil GHG fluxes.

103 **2 Materials and Methods**

104 The study site was a 10 km x 10 km area in Kisumu county of Western Kenya
105 (centered at 35.023°E, 0.315°S), north of the town of Sondu (Fig. 1), and ranged
106 from a lowland area at approximately 1200 m asl to a highland plateau at
107 approximately 1800 m asl. The site is one of the sentinel sites for the CGIAR
108 Research Program on Climate Change, Agriculture and Food Security (CCAFS) and is
109 described in detail in Sijmons et al. (2013). This site was selected as it was found to
110 be broadly similar in terms of demographics (e.g. population density, income) and
111 agro-ecological characteristics (e.g. elevation, temperature, precipitation) of other
112 East African tropical highlands (Braun et al., 1997) allowing us to scale up the
113 results to other countries in the region (Sijmons et al., 2013). Mean annual
114 temperature is approximately 23°C and the average annual rainfall is 1150 mm
115 (Köppen classification of a tropical savanna climate [AW]). Temperatures tend to be
116 slightly cooler and precipitation slightly higher in the highlands compared to the
117 lower regions of the study site. Precipitation patterns are bimodal with the “long
118 rains” occurring from April to June (42% of annual precipitation) and the “short
119 rains” occurring from October through December (26% of annual precipitation).
120 The site is primarily composed of smallholder rain-fed farms typically growing
121 maize (*Zea mays*) and sorghum (*Sorghum bicolor*) during the long rains and beans
122 during the short rains. Based on farmer interviews, approximately 27% of them
123 applied fertilizers (i.e. manure or synthetic fertilizers) to their plots, with
124 application rates being very low. For manure, application rates were approximately
125 200 kg manure ha⁻¹, which corresponds to approximately 95 kg of C and 5 kg N
126 given typical N contents for cattle in this region (Pelster et al., 2016), while
127 application rates for synthetic fertilizer (two farmers applied diammonium
128 phosphate and one applied urea) were < 50 kg fertilizer ha⁻¹ (< 25 kg N ha⁻¹). These
129 fertilizer rates are much lower than rates typical for industrial production where
130 application rates often exceed 150 kg N ha⁻¹ for maize production.

131 Soil types in the study area are highly heterogeneous, ranging from well drained,
132 acidic, Nitisols in the upper part of the landscape, to eutric and dystric Cambisols in

133 mid-altitude areas and poorly drained Planosols in the lower parts (IUSS Working
134 Group WRB, 2015). Selected topsoil characteristics for the different land classes
135 identified in the study region are provided in Table 2.

136 **2.1 Landscape stratification**

137 Differences in management intensity and vegetation were expected to affect GHG
138 fluxes, and so the landscape was stratified to account for the expected variability.
139 The stratification was based on a mixed method land use classification combining
140 remote sensing and household surveys.

141 For the land classification we followed an approach based on vegetation functioning
142 in terms of the magnitude and the temporal variability of primary productivity
143 (Paruelo et al., 2001), assessed through the proxy variable “Normalized Difference
144 Vegetation Index” (NDVI), which allows approximate but widespread
145 characterizations of productivity across space and time and across different
146 ecosystems (Lloyd, 1990; Xiao et al., 2004). We acquired 2001-2012 NDVI data from
147 MODIS (Moderate Resolution Imaging Spectroradiometer). We selected only those
148 NDVI values indicating good to excellent quality conditions (i.e. pixels not covered
149 by clouds, and with a low to intermediate aerosol contamination). Then, we used the
150 program TIMESAT v.3.1. to reconstruct temporal series (Jönsson and Eklundh,
151 2002).

152 From the reconstructed temporal series we assessed six functional metrics
153 depicting the magnitude, seasonality and inter-annual variability of productivity.
154 The metrics used were as follows: 1) the mean annual NDVI; 2) the minimum NDVI;
155 3) the browning rate (rate of NDVI decrease); 4) the peakness of the NDVI; 5) the
156 intra-annual coefficient of variation (CV) of the NDVI; and 6) the inter-annual CV of
157 the NDVI. These metrics allow us to differentiate between land cover types (e.g.
158 cultivated vs. uncultivated) and between different cultivation management
159 approaches (e.g. agroindustrial vs. subsistence) (Baldi et al., 2015). The different
160 elevation bands and soil types resulted in different magnitudes, seasonality and
161 inter-annual variability of productivity with the highlands generally having higher

162 productivity due to the higher rainfall and more fertile soils. We then ran an
163 ISODATA unsupervised classification algorithm (Jensen, 1996), and the resulting
164 spectral classes were aggregated to create patches. After combining minor or
165 sparsely-distributed patches, we ended up with five classes, characterized by the
166 following features: 1) lowland subsistence farms with degradation signs (N = 7); 2)
167 lower slopes, moderate sized mixed farms (N = 8); 3) mid slopes, moderate sized,
168 primarily grazing / shrubland (N = 10); 4) upper slopes / highland plateau, mixed
169 farms (N = 22); and 5) mid slopes, moderate sized mixed farms (N = 12).

170 We also stratified the plots by field typology using the following variables to define a
171 field type score: 1) crop: this score is the sum of the crop types each household is
172 cultivating in one plot; 2) fertilizer use: this score distinguishes organic and
173 inorganic fertilizers; 3) number of subplots: which allows us to capture the spatial
174 and temporal allocation of land to crops, crop mixtures, and combination of annual
175 and perennial crops in intercropping, permanent and seasonal grazing land; 4)
176 location of field: the assumption being that fields close to the homestead receive
177 preferential land management (fertilization, addition of organic amendments,
178 weeding etc) when compared to fields that are far away (Tittonell et al., 2013); and
179 5) signs of erosion: fields obtained a different score depending on the severity of the
180 visible signs of erosion. Plots were scored based on the preceding information and
181 those with a higher score were considered field type 1 (N = 17), those with a low
182 score were considered field type 3 (N = 19) and those plots with intermediate
183 scores were assigned a field type 2 (N = 23). It was assumed that field type 1 was the
184 most intensively managed (i.e. more fertilizer /manure additions, etc) and field type
185 3 the least managed (i.e. none to very low fertilizer additions, degraded, low soil C,
186 etc). For a more detailed description of the stratification process see Rufino et al
187 (2015).

188 Finally, the plots were stratified by vegetation (cover) type: treed/bush (generally
189 plantations of either *Grevillia spp* or *Eucalyptus spp*) (N = 7), perennial
190 grasses/grazing (N = 15) and annual cropping (N = 37). Initially, the total number of

191 sample plots was 60 with the number per category based partly on the area covered
192 by each specific land classification/field type/vegetation type combination and
193 partly on logistical constraints (i.e. access). One plot however, was converted into a
194 construction site in late 2013, resulting in only 59 plots being measured for the full
195 year.

196 **2.2 Field soil GHG flux survey**

197 At the 59 field sites (see above and Fig 1) soil CO₂, N₂O and CH₄ fluxes were
198 measured weekly starting the week of 12 August 2013 through to 12 August 2014
199 (one full year including two growing seasons) using non-flowthrough, non-steady
200 state chambers (Rochette, 2011; Sapkota et al., 2014). Given the large number of
201 sites and the difficult access, this required four 2-person crews sampling 4 days per
202 week. Briefly, four rectangular (0.35 m x 0.25 m) hard plastic frames per site were
203 inserted 0.10 m into the ground. Fields planted with annual crops were ploughed,
204 either using an oxen-pulled plough or by hand, twice during this period, which
205 meant that the frames needed to be removed and then re-installed, however where
206 possible the chamber frames were left undisturbed for the entire period. For fields
207 planted with annual crops, the frames were installed between the rows and were
208 weeded the same week the farmers weeded the rest of the field. The chambers in
209 the grazing and treed plots would have included some vegetation (primarily
210 grasses), but these were kept short (<5 cm long) by the continual grazing. On each
211 sampling date, an opaque, vented and insulated lid (0.125 m height) covered with
212 reflective tape was tightly fitted to the base (Rochette, 2011). The lid was also fitted
213 with a small fan to ensure proper mixing of the headspace, and air samples (15 mL)
214 were collected from the headspace at 0, 15, 30 and 45 min after deployment, using a
215 syringe through a rubber septum. Even with the insulation and reflective tape on the
216 chambers, the air temperature inside the chambers still increased during
217 deployment (approximately 10°C on average), which may slightly affect microbial
218 and root activity in the soil underneath the chamber. The increase in temperature
219 inside the chamber headspace would also cause some bias in the calculation of
220 mixing ratios, which was estimated to be about 3%.

221 To increase the number of sites measured while still accounting for the
222 representativeness of flux measurements in view of expected high spatial variability
223 of fluxes at field scale samples were pooled from the four replicate chambers at each
224 plot (Arias-Navarro et al., 2013) to form a composite air sample of 60 mL. This
225 method has been found to provide flux estimates within 8% and 4% (for CO₂ and
226 N₂O respectively) of the estimates calculated by separate sampling, although it is
227 unclear which is the more accurate depiction of the true mean. Also, as noted by
228 Arias-Navarro et al. (2013), this precludes the ability to examine within-site
229 variability. However we believed that the trade-off between on-site variability and
230 sampling a broader range of sites was worthwhile given our aims of characterizing
231 emissions in a way that captured both the diversity in farming practices and
232 landscape heterogeneity typically found for many highland regions in East Africa.
233 The first 40 mL of the sample was used to flush a 10 mL sealed glass vial through a
234 rubber septum, while the final 20 mL was transferred into the vial to achieve an
235 over-pressure to minimize the risk of contamination by ambient air. The gas
236 samples were analyzed within 10 d of sample collection for CO₂, CH₄ and N₂O in an
237 SRI 8610C gas chromatograph (9' Hayesep D column) fitted with a ⁶³Ni-electron
238 capture detector for N₂O and a flame ionization detector for CH₄ and CO₂ (after
239 passing the CO₂ through a methanizer). The flow rate for the carrier gas (N₂) was 20
240 mL min⁻¹. Every fifth sample analyzed on the gas chromatograph was a calibration
241 gas (gases with known CO₂, CH₄ and N₂O concentrations in synthetic air) and the
242 relation between the peak area from the calibration gas and its concentration was
243 used to determine the CO₂, CH₄ and N₂O concentrations of the headspace samples.

244 **2.3 Calculation of soil GHG fluxes**

245 Soil GHG fluxes were calculated by the rate of change in concentration over time in
246 the chamber headspace (corrected for mean chamber temperature and air
247 pressure) after chamber deployment, as shown in Equation 1.

248 Equation 1. $F_{\text{GHG}} = (\partial c / \partial t) * (M / V_m) * (V / A)$

249 Where, F_{GHG} is the flux of the GHG in question, $\partial c/\partial t$ is the change in concentration
250 over time, M is the molar mass of the element in question (N for N_2O and C for CO_2 and
251 CH_4), V_m is the molar volume of gas at the sampling temperature and atmospheric
252 pressure, V is the volume of the chamber headspace and A is the area covered by the
253 chamber.

254 For calculating the change in the GHG concentration over time, non-linear models
255 are generally less biased than linear models; however, they also tend to be very
256 sensitive to outliers (Rochette, 2011). Therefore, when there was a strong
257 correlation for the non-linear model ($R^2 > 0.95$) we used a second-order polynomial;
258 otherwise, we used a linear model. See Rochette and Bertrand (2008) for details on
259 these models. If however the $R^2 < 0.95$ for the non-linear model and < 0.64 for the
260 linear model, we assumed there was no valid flux measurement and the data point
261 was thrown out. We validated the data for each chamber measurement by
262 examining the dynamics of the CO_2 concentrations over the 45-minute deployment
263 period. Chambers that experienced a decrease in CO_2 greater than 10% between any
264 of the measurement times were assumed to have a leak and all GHG fluxes were
265 discarded unless the decrease occurred in the last measurement; in this latter case,
266 the flux rate was calculated with the first three measurement points. In cases where
267 the change in concentration was lower than the precision of the instrument, we
268 assumed zero flux. The minimum flux detection limits (Parkin et al. 2012) were 3.61
269 and 12.46 $\mu\text{g N}_2\text{O-N m}^{-2} \text{ hr}^{-1}$ for the linear and non-linear models respectively and
270 0.015 and 0.051 $\text{mg CH}_4\text{-C m}^{-2} \text{ hr}^{-1}$ for the linear and non-linear models respectively.
271 Also, negative fluxes for CO_2 were deleted, while negative CH_4 and N_2O fluxes were
272 accepted, as uptake of either in upland soils is feasible. To minimize measurement
273 error and uncertainty, we used methods that were ranked as either “good” or “very
274 good” for 15 of the 16 criteria selected by Rochette and Eriksen-Hamel (2008), with
275 only the deployment time exceeding the recommended time by about 10%.
276 Cumulative annual fluxes were estimated for each plot using trapezoidal integration
277 between sampling dates.

278 **2.4 Soil analysis**

279 At the beginning of the experiment and for each sampled site, five replicate soil
280 samples were taken both at 0-5 cm and 5-20 cm depths with a stainless steel corer
281 (40 mm inner diameter). Samples were individually placed in labelled zip-lock bags.
282 All soil material was oven-dried at 40°C for a week with large clumps being
283 progressively broken by hand. Carbon and N concentrations were determined on
284 powdered samples using an elemental combustion system (Costech International
285 S.p.A., Milano, Italy) fitted with a zero-blank auto-sampler. Soil pH was measured in
286 a 2:1 water:soil solution. Soil texture was determined gravimetrically as described
287 by van Reeuwijk (2002).

288 In addition, soil samples were collected periodically (every 2 months) for
289 determination of inorganic N concentrations. Briefly, the topsoil (0-10 cm depth)
290 was collected using a soil auger. Three samples from each plot were collected and
291 pooled to form one composite sample. These were taken back to the lab and stored
292 (4° C) for less than one week before extraction (1:5 soil:solution w:v ratio) with 2M
293 KCl. Extracts were kept frozen until analyzed. Analysis for NO₃-N was done via
294 reduction with vanadium, with development of color (540 nm) using sulfanilic acid
295 and naphthylethyldiamin and measurement of light absorbance on an Epoch
296 microplate spectrophotometer (BioTek, Winooski, VT, USA). The NH₄-N
297 concentrations were measured using the green indophenol method (660 nm) using
298 the same spectrophotometer (Bolleter et al., 1961).

299 **2.5 Environmental data**

300 Environmental data were collected at two sites, one in the uplands (35.056°E,
301 0.351°S, 1676 m a.s.l.) and the other in the lowlands (34.988°E, 0.308°S, 1226 m
302 a.s.l.). Each of the two weather stations was installed at a farm where we also
303 measured GHG emissions. Air temperature was measured using a Decagon ECT
304 (Decagon Devices, Pullman, WA, USA) air temperature sensor (measurement every
305 5 minutes), while precipitation data were collected with a Decagon ECRN-100 high
306 resolution, double-spoon tipping bucket rain gauge. Soil moisture and temperature
307 were measured using a Decagon MPS-2 Water potential and temperature sensor.

308 Data were logged on a Decagon Em50 data collection system and downloaded
309 periodically (typically monthly).

310 Air temperature, soil temperature and soil moisture (5 cm depth) were also
311 measured at each site at the time of gas sampling using a ProCheck handheld
312 datalogger outfitted with a GS3 sensor (Decagon Devices).

313 **2.6 Plant production**

314 To estimate crop yields and crop N content of annual crops in the study area, we
315 randomly selected nine of the study plots including annual crops (four plots with
316 maize, four with sorghum and one with green grams [*Vigna radiate* (L.) R.Wilczek]).
317 In June 2013, all the plants within a 2.5 m x 2.5 m square near the center of the field
318 (i.e. to avoid edge effects) were harvested and the grains were removed from the
319 plant. Both the stover and grains were dried for 48 hours at 60°C and then weighed.
320 A sub-sample of the grains was then ground and analyzed for C and N content on the
321 same elemental combustion system described above for soil analysis. Yield-scaled
322 N₂O emissions (g N₂O-N kg⁻¹ above ground N uptake) were calculated for each site
323 by dividing the cumulative emissions of the growing season by the grain yields. The
324 growing season lasted from mid-March to August, which corresponds to the period
325 between preparation of fields for the long rains through harvest and up to the
326 preparation of the fields for the following growing season. No estimate of crop
327 yields (or yield-scaled emissions) was done for the second growing season.

328 **2.7 Statistical analysis**

329 Correlations between GHG fluxes and soil properties were tested using Pearson
330 Correlation. The cumulative field fluxes for a 4-week period during the dry season
331 were compared to cumulative fluxes for a 4-week period during the rainy season
332 using ANOVA (AOV in RStudio v. 0.98.953), with the season, management practices
333 (ploughed versus not ploughed for CO₂ and fertilized versus not fertilized for N₂O)
334 as fixed factors along with the two-way interaction terms. Cumulative field annual
335 GHG fluxes were compared with ANOVA using an un-balanced design and cover type,
336 land class and field type as fixed factors. In all cases, the distributions of flux

337 measurements were tested for normality using Shapiro-Wilks. Cumulative soil N₂O
338 fluxes were not normally distributed and were transformed using the natural log.

339 **3 Results**

340 **3.1 Field meteorological and site observations**

341 The soils were slightly acidic to neutral, ranging in pH from 4.4 to 7.5 (mean = 6.0).
342 Carbon and N contents ranged from 0.7 to 4.0% (mean = 2.2) and 0.07 to 0.33%
343 (mean = 0.17) respectively (Table 2). The C/N ratio ranged from 7.7 to 18.1 (mean =
344 12.6) and the C and N contents in the top 20 cm of the soil were highly correlated
345 with each other ($R = 0.976$; $P < 0.0001$).

346 Annual precipitation (15 August 2013 through 14 August 2014) in the lowlands was
347 1127 mm while there was 1417 mm of precipitation in the highlands, a 25%
348 increase across the 450 m elevation difference between the two stations. The
349 average minimum and maximum daily temperatures in the lowlands were 15.6 and
350 30.5°C while temperatures were slightly cooler in the highlands, with an average
351 minimum of 12.6 and an average maximum of 26.9°C. Comparing the precipitation
352 at the sites to a long-term 40-year (1960 to 2000) precipitation data set for the two
353 nearby towns of Kisumu and Kericho (data available at africaopendata.org), we see
354 that annual precipitation was within 10% of the long term average. The monthly
355 rainfalls were generally similar to long-term trends, with the exception of the
356 rainfall in December, which was 26% of the long-term average, and the rainfall in
357 March, which was two-fold higher than the long-term mean.

358 **3.2 Field scale soil GHG fluxes and ancillary information**

359 Soil CO₂ fluxes during August 2013 ranged from 50 to 200 mg CO₂-C m⁻² h⁻¹, slowly
360 decreased through to November and remained low (< 100 mg CO₂-C m⁻² h⁻¹) until
361 the onset of the long rains during March/April 2014 (Fig. 2). The onset of the long
362 rains increased the soil water content from an average of 0.09 m³ m⁻³ by beginning
363 of March 2014 to an average of 0.31 m³ m⁻³ by mid March 2014. Within two weeks

364 of this increase in soil moisture, the CO₂ fluxes began to increase, reaching a
365 maximum on 14 April 2014 (mean = 189 mg CO₂-C m⁻² h⁻¹; Fig. 2).

366 In general, soil CH₄ fluxes were negative. Uptake rates tended to stay between 0 and
367 100 µg CH₄-C m⁻² h⁻¹ from August 2013 until April 2014, after which the variability
368 decreased varying between 0 and 50 µg CH₄-C m⁻² h⁻¹ (Fig. 2). Soil N₂O fluxes were
369 low (generally < 10 µg N₂O-N m⁻² h⁻¹) for most of the year; with fluxes increasing
370 from a mean of 1.6 µg N₂O-N m⁻² h⁻¹ for the period from October 2013 to March
371 2014 to a mean of 10.5 µg N₂O-N m⁻² h⁻¹ for the 6-week period after soil re-wetting
372 in March/April 2014. The inorganic N concentrations in the top 10 cm of soil
373 (approximately 85% N-NO₃ and 15% N-NH₄) generally remained below 20 mg N kg⁻¹
374 soil, although concentrations did increase to around 30 mg N kg⁻¹ soil in late
375 December 2013 / early January 2014, shortly after the annual crops planted during
376 the short rains were harvested but before the onset of the long rains in late March /
377 early April 2014.

378 A comparison of the four-week cumulative fluxes from February (end of the dry
379 season) to April (immediately following the start of the rainy season) shows greater
380 cumulative CO₂ and N₂O fluxes during the wet season, but no difference in CH₄
381 fluxes (Table 3). The increase in CO₂ and N₂O fluxes coincided with farmers
382 ploughing their fields and planting and fertilizing their annual crops. However, even
383 though the increase in CO₂ and N₂O fluxes was slightly larger in the managed plots
384 (ploughed for CO₂ and fertilized for N₂O comparisons), neither of these management
385 interventions significantly altered emission rates (Table 3).

386 Cumulative annual GHG fluxes ranged from 2.8 to 15.0 Mg CO₂-C ha⁻¹, -6.0 to 2.4 kg
387 CH₄-C ha⁻¹ and -0.1 to 1.8 kg N₂O-N ha⁻¹. There was no detectable effect on
388 cumulative CO₂ fluxes by field type or land class (*P* = 0.46 and 0.19 respectively; Fig.
389 3); although grazed plots emitted more CO₂ overall than either annual cropland or
390 treed plots (*P* = 0.005). Cumulative annual N₂O fluxes also did not differ by either
391 field type or vegetation type (*P* = 0.67 and 0.59 respectively; Fig. 3), however land
392 class did significantly affect N₂O fluxes (*P* = 0.09; Fig 3) with land class 3 (mid-slopes,

393 grazing) showing higher N₂O fluxes than land class 4 (upper slopes, mixed farms).
394 Cumulative annual CH₄ fluxes were predominately negative, and unlike N₂O and CO₂,
395 varied by land class ($P = 0.01$) and land cover type ($P = 0.01$), but not by field type (P
396 = 0.16; Fig. 3). Uptake of atmospheric CH₄ by soils in land class 2 (lower slopes,
397 degraded) was greater than in classes 1 (lowland farms with degraded soils) or 3
398 (mid-slopes grazing land; Fig. 3). Uptake was also almost three-fold greater in treed
399 plots than in those plots with grasses and or in those used for grazing (Fig. 3). The
400 difference seems to be primarily due to one grazing plot that was a CH₄ source for
401 14 of 24 sampling dates between 5 August 2013 and 10 February 2014. This same
402 plot also had the second highest cumulative N₂O fluxes (1.5 kg N₂O-N ha⁻¹ yr⁻¹),
403 however the CO₂ fluxes were average (7.2 Mg CO₂-C ha⁻¹ yr⁻¹) and the soil organic C
404 and N contents were relatively low (1.2 and 0.10% respectively) compared to the
405 rest of the plots (Table 2).

406 Both the soil C and N content were correlated with cumulative CO₂ fluxes ($r = 0.411$;
407 $P = 0.002$ and $r = 0.435$; $P < 0.001$, for C and N content respectively), but not with
408 either the cumulative N₂O fluxes ($P = 0.321$ and 0.365 for C and N respectively) or
409 the cumulative CH₄ fluxes ($P = 0.188$ and 0.312 for C and N respectively). The
410 cumulative CO₂ and N₂O fluxes were also not correlated ($P = 0.188$).

411 Many of the farmers within the study site complained that the annual crops planted
412 in March 2013 failed due to the poor timing of the rains. The crop yields ranged
413 from 100 to 300 kg ha⁻¹ for maize ($n = 4$), from 140 to 740 kg ha⁻¹ for sorghum ($n =$
414 4) and were approximately 20 kg ha⁻¹ for green grams ($n = 1$) during the long rain
415 season (March through June). The low yields resulted in yield-scaled soil N₂O fluxes
416 of up to 67 g N₂O-N kg⁻¹ aboveground N uptake.

417 **4 Discussion**

418 The soil CO₂ fluxes were seasonal, and it was thought that management events, such
419 as ploughing or fertilizer applications, would affect the GHG flux rates throughout
420 the year. However, during the commencement of the rainy season in March 2014,

421 which coincided with tilling, the ploughed fields did not show significant increases
422 in soil respiration rates beyond the enhancement in soil CO₂ flux due to re-wetting
423 that was also measured in untilled fields. Increased soil respiration due to ploughing
424 however are short-term, usually lasting less than 24 hours (Ellert and Janzen, 1999;
425 Reicosky et al., 2005), so because the chambers needed to be removed before
426 ploughing and were not re-installed until sites were re-visited a week later, the
427 ploughing-induced increase in soil respiration was probably not fully captured. Also,
428 root respiration, which at seeding accounts for 0% of soil CO₂ fluxes but can
429 increase to around 45% of fluxes (Rochette et al., 1999), may also result in greater
430 CO₂ fluxes during the growing season for the annual cropping systems. However, the
431 increase in soil CO₂ fluxes from dry to growing season in annual crops was similar to
432 the increase experienced in the other vegetation types (Table 3; $P = 0.39$). It is
433 therefore likely that the low yields for the annual crops corresponded with poor
434 root growth and low root respiration rates.

435 Cumulative soil CO₂ fluxes, (2.7 to 14.0 Mg CO₂-C ha⁻¹ yr⁻¹), were well within the
436 range of other African studies (Table 1) and were not related to land class or field
437 type, although the higher soil respiration rates from grazing land was inconsistent
438 with a previous study that found similar rates between perennial tropical
439 grasslands, croplands and tree plantations (Mapanda et al., 2010). However,
440 because we did not differentiate between root and microbial respiration
441 components, we cannot exclude that the continual vegetation cover in the grazing
442 plots enhanced the root respiration over the year to a higher extent than in the
443 annual crops and treed plots. It is important to keep in mind though, that these CO₂
444 emissions were the result of root respiration and microbial decomposition of
445 organic matter, since plants were purposely excluded (except for some short
446 grasses, see methods). In order to obtain the full GHG balance, both photosynthesis
447 and above-ground vegetation respiration should be considered.

448 Methane was generally taken up by these upland soils, with rates varying through
449 the year (Fig. 2b). During August 2013, the soils were sinks for CH₄, however as the

450 soils dried, the emission / uptake rates became more erratic until the long rains
451 started again in late March 2014. The CH₄ flux at the soil-atmosphere interface is the
452 balance between simultaneous production and consumption of CH₄ in different
453 microsites in the soil profile (Le Mer and Roger, 2001). Thus, the low rates of
454 atmospheric CH₄ uptake during the long rains may be caused by greater soil CH₄
455 production due to higher soil moisture and anaerobic conditions at depth (e.g.
456 Butterbach-Bahl and Papen, 2002) overriding the existing methanotropic activity;
457 alternatively, the higher water content may have limited the CH₄ diffusion from the
458 atmosphere into the soil.

459 The CH₄ uptakes observed in these sites were consistent with previous studies in
460 upland agricultural soils and indicate that soils of smallholder farms are sinks for
461 atmospheric CH₄ (Le Mer and Roger, 2001). There were no differences between field
462 types; however there were differences between cover types and land classes, as the
463 grazing plots took up less CH₄ than treed plots and land class 1 (small lowland
464 mixed degraded farms) took up less than land class 2 (moderate sized farms with
465 signs of degradation on lower slopes, see Fig. 3). The difference between cover types
466 is consistent with previous studies that found that forest soils were greater CH₄
467 sinks than agricultural soils (MacDonald et al., 1996; Priemé and Christensen, 1999)
468 and high degrees of degradation in land class 1 was likely responsible for reduced
469 CH₄ oxidation rates

470 The N₂O flux rates remained below 20 µg m⁻² h⁻¹, with the exception of the onset of
471 the rainy season in March 2014 (Fig. 3). According to Linn and Doran (1984)
472 maximum aerobic activity occurs at approximately 60% water filled pore space
473 (approximately 40% WHC for our study,), above which anaerobic processes such as
474 denitrification can occur. The soils in the study area were typically drier than this
475 threshold suggesting that N₂O fluxes were limited by a lack of anaerobic conditions
476 and that the increase in soil water content was responsible for the increases in N₂O
477 fluxes during March 2014. However, soil moisture was greater than 35% WHC
478 during September/October 2013 and March 2014, but it was only in the latter

479 period large increases in N₂O fluxes were observed. The high soil moisture levels in
480 March coincided with an increase in inorganic N likely caused by drying and
481 rewetting (Birch, 1960), which can also stimulate N₂O fluxes (Butterbach-Bahl et al.,
482 2004; Davidson, 1992; Ruser et al., 2006). Commencement of the rainy season was
483 also when farmers fertilized, although application rates were low (1-25 kg N ha⁻¹)
484 and did not have a detectable effect on soil inorganic N concentrations, or N₂O
485 emissions (Table 3).

486 The inability to discern between fertilized and unfertilized plots suggests that the
487 differences in soil fertility and primary productivity were too low to have a
488 noticeable effect on the availability of substrate for microbial activity and the
489 associated GHG emissions. Alternatively, it is possible that the sensitivity of the
490 monitoring approach was not enough to catch differences between fields. For
491 instance, the fixed sampling frequency may have caused us to miss some short-
492 lasting emission peaks following fertilization, resulting in an underestimation of
493 cumulative emissions. However, sampling during an emission pulse would result in
494 an overestimate of emissions due to an extrapolation bias. Previous studies have
495 found that weekly sampling resulted in an average uncertainty of ± 30% of the “best
496 estimate” (Barton et al., 2015; Parkin, 2008) and that this uncertainty changes with
497 the coefficient of variation in measured emission rates. However, the fertilizer was
498 applied at a low rate (< 25 kg N ha⁻¹). Application of synthetic fertilizers up to 70 kg
499 N ha⁻¹ at planting in the region had no detectable effect on annual N₂O emissions
500 (Hickman et al., 2015), while another nearby study found no effect of N fertilization
501 on annual N₂O emissions (Rosenstock et al., 2016), suggesting that our weekly
502 sampling did not miss relevant N₂O /GHG pulses.

503 The large increase in N₂O emission rates after soil re-wetting (April 2014) in land
504 class 3 (mid-slopes, grazing land; Fig. 2) was primarily due to two (of 10) plots, both
505 located on the same farm that emitted around four to six times more N₂O than the
506 rest of the land class 3 plots and 15 to 23 times more N₂O than the average for all
507 other plots. The reason for the much higher fluxes after the re-wetting compared to

508 other sites is not yet understood as the topsoil C and N contents were 1.45 and
509 0.12% respectively, well within the range of values for that land class (Table 2). The
510 presence of livestock on these plots could have resulted in additions of N through
511 either urine or manure deposition, causing these pulses of N₂O. However, the
512 presence of N₂O emission hotspots in general is quite common as denitrification
513 activity can vary dramatically across small scales (Parkin, 1987).

514 Annual N₂O fluxes were low (<0.6 kg N ha⁻¹ y⁻¹) compared with other tropical and
515 sub-tropical studies, such as a fertilized field in Brazil (Piva et al., 2014) or China
516 (Chen et al., 2000), with fluxes up to 4.3 kg N₂O-N ha⁻¹ y⁻¹. On the other hand, our
517 results were similar to previous studies in low input African agro-ecosystems (Table
518 1). The low cumulative fluxes were most likely a result of low substrate (inorganic
519 N) availability, in addition to low soil moisture limiting denitrification through much
520 of the year. Similar to the CO₂ fluxes, the cumulative N₂O fluxes did not differ by
521 cover type, field type or by land class. However, it is possible that differences
522 between the classes could be too small to detect given the low cumulative N₂O fluxes,
523 high microsite variability typical of N₂O fluxes (Parkin, 1987) and weekly sampling
524 (Barton et al., 2015; Parkin, 2008).

525 As shown in the supplementary material, maximum N₂O and CO₂ fluxes (i.e. flux
526 potentials) from 5 cm soil cores differed by land class (Fig. S1), suggesting that there
527 is the potential for differences in field emissions as well. However, these potentials
528 in the field appeared to be limited by climatic conditions (i.e. lack of precipitation).
529 Also, the maximum N₂O flux rates observed within the soil core study were
530 correlated (Spearman Rank test) with the cumulative annual fluxes at the field sites
531 ($\rho = 0.399$, $P = 0.040$), while CO₂ fluxes followed a similar trend ($\rho = 0.349$, $P =$
532 0.075). The CH₄ fluxes from the soil cores however, were not correlated with
533 measured flux at the field sites ($\rho = -0.145$, $P = 0.471$; see Supplementary material).
534 Therefore although incubations should not be used to predict baseline emissions in
535 the field they may be used as a quick and relatively inexpensive method to identify
536 locations with potential for high soil N₂O and CO₂ fluxes (i.e. emission hotspots).

537 There are additional sources of uncertainty associated with the sampling methods
538 (chamber architecture, instrumentation sensitivity, etc). According to Levy et al.
539 (2011), the uncertainty of the methods then would be about 20%, which when
540 combined with the uncertainty around the weekly sampling would be about 50%.
541 Although this may sound high, this is similar to the majority of other studies (e.g. see
542 Helgason et al. (2005)) measuring GHG emissions and better than many of the
543 studies so far in Africa (Table 1).

544 Our study showed no detectable differences in GHG fluxes between the different
545 field types, contrary to our expectations. We had anticipated differences in GHG
546 fluxes because of differences among field types in input use, food production, partial
547 N and C balances and soil fertility as previously reported in the region (Tittonell et
548 al., 2013); and these variables often affect soil GHG fluxes (Buchkina et al., 2012;
549 Jäger et al., 2011). We further hypothesized that land class and cover type would
550 also have significant effects on soil GHG fluxes since a significant amount of the
551 variability in soil CO₂ fluxes in agro-ecosystems can be explained by NDVI (Sánchez
552 et al., 2003) and cover type (Mapanda et al., 2010). We found however no clear
553 effect of field or land type on soil GHG fluxes. Tittonell et al. (2013) reported
554 important differences between field types only at each farm individually (Tittonell
555 et al., 2013), which in our case might have resulted in greater within-type variation
556 that masked differences between the field types. Moreover, the small differences in
557 the degree of inputs and labor may have not been enough to provoke distinct GHG
558 fluxes, because the whole region/study site is characterized by low nutrient
559 availability. For example, manure inputs have previously been found to increase soil
560 C content (Maillard and Angers, 2014), but the inputs in our study area were very
561 low (4-6 wheelbarrow loads or approximately 95 kg C ha⁻¹) and probably not
562 enough to cause field-level differences. Further, considering that a previous study
563 found that N is being rapidly mined from soils in the Lake Victoria basin (Zhou et al.,
564 2014), it is likely that soil C is also being lost across the landscape. As most of this
565 area has been converted from natural forests, and forests generally have higher SOC
566 stocks than croplands (Guo and Gifford, 2002), time since conversion could play a

567 larger part in determining the SOC content, which could mask any effects that
568 management activities have on soil respiration rates in these low input systems.

569 Crop yields from the annual cropping systems (100 – 750 kg ha⁻¹ for one growing
570 season) were at the lower end of the range (600 to 3740 kg ha⁻¹) for rain-fed
571 smallholder farms previously reported across SSA (Table 4). The farmers in our
572 study complained of poor timing of the rains that caused low yields. However poor
573 timing of the rains tend to be common in east Africa with estimations that 80% of
574 growing seasons have critical water shortages during flowering and grain filling,
575 further resulting in low yields (Barron et al., 2003). These studies therefore suggest
576 that low yields are common within this region. Increased nutrient inputs and
577 improved management such as rainwater harvesting (Lebel et al., 2015) are
578 required to increase yields (Quiñones et al., 1997), which may also result in
579 increased GHG fluxes. However, previous studies have found that increases in GHG
580 fluxes tend to be lower than the corresponding increase in crop yields following
581 addition of nutrients (Dick et al., 2008), resulting in lower GHG intensities
582 particularly at lower application rates (Shcherbak et al., 2015). Another study in
583 western Kenya found that fertilizer applications up to 100 kg N ha⁻¹ provoked no
584 detectable increase in soil N₂O emissions but did increase grain N contents
585 (Hickman et al., 2014). The mean yield scaled fluxes calculated for the eight maize
586 and sorghum sub-samples was 12.9 g N₂O-N kg⁻¹ above-ground N uptake (range =
587 1.1 to 41.6), approximately 54% higher than the 8.4 g N₂O-N kg⁻¹ above-ground N
588 uptake for plots fertilized at 180 – 190 kg N ha⁻¹ in a European meta-analysis (van
589 Groenigen et al., 2010). These data further suggest that improved agronomic
590 performance through better soil, nutrient and water management in East Africa has
591 potential to potentially lower or at least maintain yield-scaled fluxes while
592 increasing food production from smallholder farms in SSA.

593 **5 CONCLUSION**

594 This study indicates that soil GHG fluxes from low-input, rain-fed agriculture in
595 western Kenya are lower than GHG fluxes from other tropical or sub-tropical

596 agricultural systems with greater management intensities (e.g. China and Latin
597 America). The input intensity for these farming systems is currently low, and so GHG
598 fluxes were not related to management activities at the farm level. Given that this
599 type of smallholder, low-input farming is very common across SSA, it is likely that
600 our findings are valid at a much wider scale, although additional studies are
601 required to confirm this hypothesis. Given that GHG emissions are often associated
602 with soil moisture and that much of East Africa is drier than the climate at this study
603 site, baseline emissions of GHG across East Africa may be extremely low. However,
604 even though absolute emissions were low, high yield-scaled GHG fluxes in western
605 Kenya could be reduced through interventions to increase yields (e.g. increased
606 fertilizer, improved soil and water harvesting). As far as we know, this study
607 provides the most comprehensive estimate of GHG emissions from smallholder
608 African farms, in terms of number of sites, monitoring duration and temporal
609 frequency of the measurements. However, more studies are needed to capture
610 interannual variability as well as examining baseline emissions in other regions of
611 the continent. These baseline studies are required to compare with proposed low
612 emission development strategies to ensure that improvements in agricultural
613 production continue to minimize GHG emissions, while also examining how
614 intensification affects yields and soil GHG fluxes.

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Table 1. List of *in situ* empirical studies of greenhouse gas fluxes from agricultural systems in sub-Saharan Africa

Reference	Location (& crop type / treatment)	Sites	Time of measurement	Sampling frequency	Flux rates ⁴
Annual Flux Estimates					
(Brümmer et al., 2008; Brümmer et al., 2009)	Burkina Faso (sorghum, cotton or peanut)	4	June – Sept 2005 April – Sept 2006	1 – 3X per week	N ₂ O: 0.19 – 0.67 kg ha ⁻¹ y ⁻¹ CO ₂ : 2.5 – 4.1 Mg ha ⁻¹ y ⁻¹ CH ₄ : -0.67 – -0.7 kg ha ⁻¹ y ⁻¹
(Dick et al., 2008) ¹	Mali (pearl millet with / without legume intercropping)	3	Jan 2004 – Feb 2005	Monthly	N ₂ O: 0.9 – 1.5 kg ha ⁻¹ y ⁻¹
(Hickman et al., 2015)	Kenya (maize)	1	Mar 2011 – July 2011 Apr 2012 – Jan 2013	Daily to weekly	N ₂ O: 0.1 – 0.3 kg ha ⁻¹ y ⁻¹
(Koerber et al., 2009) ²	Uganda (vegetables)	24	July 2005 – Sept 2006	Monthly	CO ₂ : 30.3 – 38.5 Mg ha ⁻¹ y ⁻¹
(Lompo et al., 2012) ³	Burkina Faso (urban gardens)	2	Mar 2008 – Mar 2009	2X per day (“several” times per cropping period)	N ₂ O: 80.5–113.4 kg ha ⁻¹ y ⁻¹ CO ₂ : 22-36 Mg ha ⁻¹ y ⁻¹
(Makumba et al., 2007)	Malawi (maize with agroforestry)	1	Oct 2001 – Apr 2002	Weekly	CO ₂ : 2.6 – 7.8 Mg ha ⁻¹ y ⁻¹
(Predotova et al., 2010) ³	Niger (urban and peri-urban gardens)	3	Apr 2006 – Feb 2007	2X per day for 6 days (repeated 8 - 9X per year)	N ₂ O: 48 – 92 kg ha ⁻¹ y ⁻¹ CO ₂ : 20 – 30 Mg ha ⁻¹ y ⁻¹
(Sugihara et al., 2012) ²	Tanzania (maize, with / without residue)	2	Mar 2007 – June 2010	1 – 2X per month	CO ₂ : 0.9 – 4.0 Mg ha ⁻¹ y ⁻¹
This study	Kenya (annual crops, grazing land, woodlots, fodder)	59	Aug 2013 – Aug 2014	Weekly	N ₂ O: -0.13 – 1.83 kg ha ⁻¹ y ⁻¹ CO ₂ : 2.8 – 15.0 Mg ha ⁻¹ y ⁻¹ CH ₄ : -5.99 – 2.44 kg ha ⁻¹ y ⁻¹

Seasonal Flux Estimates					
(Baggs et al., 2006)	Kenya (maize with agroforestry, till / no till)	1	Feb – June 2002 (Rainy Season)	Weekly	N ₂ O: 0.2 – 0.6 kg ha ⁻¹ CO ₂ : 1.8 – 2.3 Mg ha ⁻¹ CH ₄ : 0.1 – 0.3 kg ha ⁻¹
(Chapuis-Lardy et al., 2009)	Madagascar (maize with soybean)	1	Nov 2006 – April 2007 (Rainy Season)	Weekly	N ₂ O: 0.3 kg ha ⁻¹
(Chikowo et al., 2004)	Zimbabwe (maize / improved fallow)	1	Dec 2000 – Feb 2001 (Rainy Season)	Weekly	N ₂ O: 0.1 – 0.3 kg ha ⁻¹
(Mapanda et al., 2011) ²	Zimbabwe (maize, with different fertilizer rates and types)	2	Nov 2006 – Jan 2007 Nov 2007 – Apr 2008 Nov 2008 – Apr 2009 (Rainy Seasons)	1X per 2 months	N ₂ O: 0.1-0.5 kg ha ⁻¹ CO ₂ : 0.7 – 1.6 Mg ha ⁻¹ CH ₄ : -2.6 - +5.8 kg ha ⁻¹
(Millar et al. 2004)	Kenya (maize with regular and improved fallow)		Sep 1999 – Dec 1999 Mar 2000 – Jun 2000 (Rainy Seasons)	1 – 2X per week	N ₂ O: 0.1-4.1 kg ha ⁻¹ CO ₂ : 0.7 – 1.7 Mg ha ⁻¹
Mean Flux Rates from Short Duration Studies					
(Kimetu et al., 2007)	Kenya (maize)	1	Mar 2000 – June 2000 (Rainy Season)	3X per month	N ₂ O: 1.3 – 12.3 µg m ⁻² h ⁻¹
(Mapanda et al., 2010) ²	Zimbabwe (grassland/grazing, tree plantations and maize)	12	Nov 2006 – Mar 2007 (Rainy Season)	2X per month to 1X per 2 months	N ₂ O: 1.0 – 4.7 µg m ⁻² h ⁻¹ CO ₂ : 22.5 – 46.8 mg m ⁻² h ⁻¹ CH ₄ : -9.4 - +6.9 µg m ⁻² h ⁻¹
(Thomas, 2012)	Botswana (grazing)	2	Feb, April, July, Nov 2010 (Both Rainy and Dry Season)	7X per day; 12 separate days only	CO ₂ : 1.1 – 42.1 mg m ⁻² h ⁻¹

¹ Study includes fertilization up to 200 kg N ha⁻¹

² Sampling is too infrequent for accurate estimates of cumulative fluxes (Barton et al., 2015)

³ Uses photoacoustic spectroscopy, which has recently had questions raised about its accuracy (Rosenstock et al., 2013a); also, these studies used exceptionally high N application rates, from 473 to approximately 4000 kg N ha⁻¹ y⁻¹

⁴ Note: flux rates are given as the range of values from the various replicates used in the studies (i.e. the spatial variability and where available [Mapanda et al. 2011 and Thomas 2012], the temporal variability as well), and are reported as N- N₂O, C- CO₂ and C- CH₄.; Please also note units: where possible, annual cumulative fluxes are presented, however in cases with insufficient data to estimate cumulative annual fluxes, we present either mean flux rates, or the cumulative for the given period.

Table 2: Soil properties (± 1 SEM) for 0 to 20 cm depth, sampled immediately before initiation of gas sampling for the different land classes

Land class	C ² content (%)	N content (%)	CN ratio	pH	Bulk Density (g cm ⁻³)
(1) Lowland small (<2 ha) mixed farms with degradation ¹ signs (n = 7)	1.38 \pm 0.13	0.10 \pm 0.01	13.18 \pm 0.51	6.61 \pm 0.09	0.86 \pm 0.03
(2) Lower slopes ³ , moderate (2-5 ha) sized mixed farms with degradation signs (n = 8)	1.18 \pm 0.14	0.10 \pm 0.01	11.60 \pm 0.58	6.58 \pm 0.16	1.14 \pm 0.08
(3) Mid-slopes, moderate sized grazing land (n = 10)	2.27 \pm 0.37	0.18 \pm 0.03	12.16 \pm 0.42	6.02 \pm 0.21	0.98 \pm 0.07
(4) Upper slopes/highland plateau, mixed farms (n = 22)	2.67 \pm 0.17	0.21 \pm 0.02	12.69 \pm 0.52	5.46 \pm 0.24	0.80 \pm 0.06
(5) Mid-slopes, isolated moderate sized farms (n = 12)	2.83 \pm 0.36	0.24 \pm 0.02	13.02 \pm 0.81	5.84 \pm 0.20	0.71 \pm 0.04

¹ degradation signs were bare soil and evidence of erosion visible on MODIS images.

² due to lack of carbonates, total C equals organic C

³ Sloped areas went from the lowlands (approx. 1200 masl) up to the highlands (approx. 1800 masl) ranging from 10 – 30%.

Table 3: Comparison of mean (± 1 SEM) cumulative soil CO₂-C, CH₄-C and N₂O-N fluxes for four weeks during the dry season (February 2014) and rainy season (April 2014) for differently managed sites in western Kenya.

GHG	Dry Season		Wet Season		P values		
	Annual Crop (n = 42)	Other (n = 17)	Annual Crop (n = 42)	Other (n = 17)	Season	Management ¹	Interaction
CO ₂ -C (g m ⁻²)	19.4 \pm 2.8	20.0 \pm 3.8	76.6 \pm 5.0	62.7 \pm 5.7	< 0.0001	0.393	0.204
CH ₄ -C (mg m ⁻²)	-7.4 \pm 4.4	2.2 \pm 6.7	-3.7 \pm 3.6	-15.0 \pm 3.5	0.610	0.873	0.044
	Fertilized (n = 16)	Not Fertilized (n = 43)	Fertilized (n = 16)	Not Fertilized (n = 43)			
N ₂ O-N (mg m ⁻²)	0.52 \pm 0.23	1.44 \pm 0.40	9.87 \pm 4.23	5.35 \pm 1.14	< 0.0001	0.562	0.112

¹ The term management refers to plowing versus no plowing for the CO₂ and CH₄ fluxes and to fertilized versus no fertilizer application for the N₂O fluxes

Table 4: Ranges of crop yields from our and other similar studies on low-input east African maize cropping and the N₂O emissions, N uptake in above-ground biomass and yield-scaled N₂O emissions (g N₂O-N kg⁻¹ N in above ground biomass) for four sorghum and four maize fields in East smallholder farms (this study).

Crop (and study)	N ₂ O emissions (g N ha ⁻¹)	Yield (kg grain ha ⁻¹)	N uptake (kg N in aboveground biomass)	Yield-scaled emissions (g N ₂ O-N kg ⁻¹ N uptake)
Sorghum (this study)	20 - 202	144 - 736	6.2 - 10.9	2.7 - 24.4
Maize (this study)	70 - 234	70 - 283	5.6 - 7.3	1.1 - 41.6
Maize (Tittonell et al. 2008)		1100 - 2000		
Maize (Sanchez et al. 2009)		600 - 2800		
Maize (Adamtey et al. 2016)		910 - 3740		

Figures:

Fig. 1. Map of study area showing the sampling location by the different vegetation cover types

Fig. 2. CO₂ (mg C- CO₂ m⁻² h⁻¹), CH₄ (µg C- CH₄ m⁻² h⁻¹), and N₂O (µg N₂O-N m⁻² h⁻¹) fluxes over 1 year (August 2013 through July 2014), as well as precipitation (mm), soil water content (SWC) at 5 cm depth (m³ m⁻³) and inorganic N (IN = NO₃ + NH₄) soil concentrations for 59 different fields in western Kenya by land class as well as soil temperature (°C) by topography. Note: Vertical dotted lines correspond to planting and vertical dashed lines correspond to harvesting of annual crops. (Land class 1 = degraded lowland farms; class 2 = degraded farms, lower slopes; class 3 = mid slopes, grazing; class 4 = upper slopes/plateau, mixed farms; and class 5 = mid slopes moderate sized farms). SEM for the various gases ranged from 2.1 to 57.4 for CO₂ flux, 0.0 to 106.6 for CH₄ flux and 0.2 to 45.6 for N₂O flux with the highest variability occurring between 20 and 27 March 2014 for CO₂ and N₂O while the highest variability in CH₄ flux occurred during the week of 4 August 2013. For all gases the greatest variability occurred in landclass 3 (n = 10).

Fig. 3. Box and whisker plots of cumulative annual fluxes of CO₂ (Mg CO₂-C ha⁻¹ year⁻¹), CH₄ (kg CH₄-C ha⁻¹ year⁻¹) and N₂O (kg N₂O-N ha⁻¹ year⁻¹) from 59 different fields in western Kenya split by land class, field type or vegetation type. (Land class 1 = degraded lowland farms; class 2 = degraded farms, lower slopes; class 3 = mid slopes, grazing; class 4 = upper slopes/plateau, mixed farms; and class 5 = mid slopes moderate sized farms); Field type is based on Rufino et al (2015), with Field Type 1 being the most highly managed and Type 3 being the least managed plots. Different lower case letters indicate significant differences between treatments, while a lack of letters indicate no difference between any of the treatments.

Fig. 1

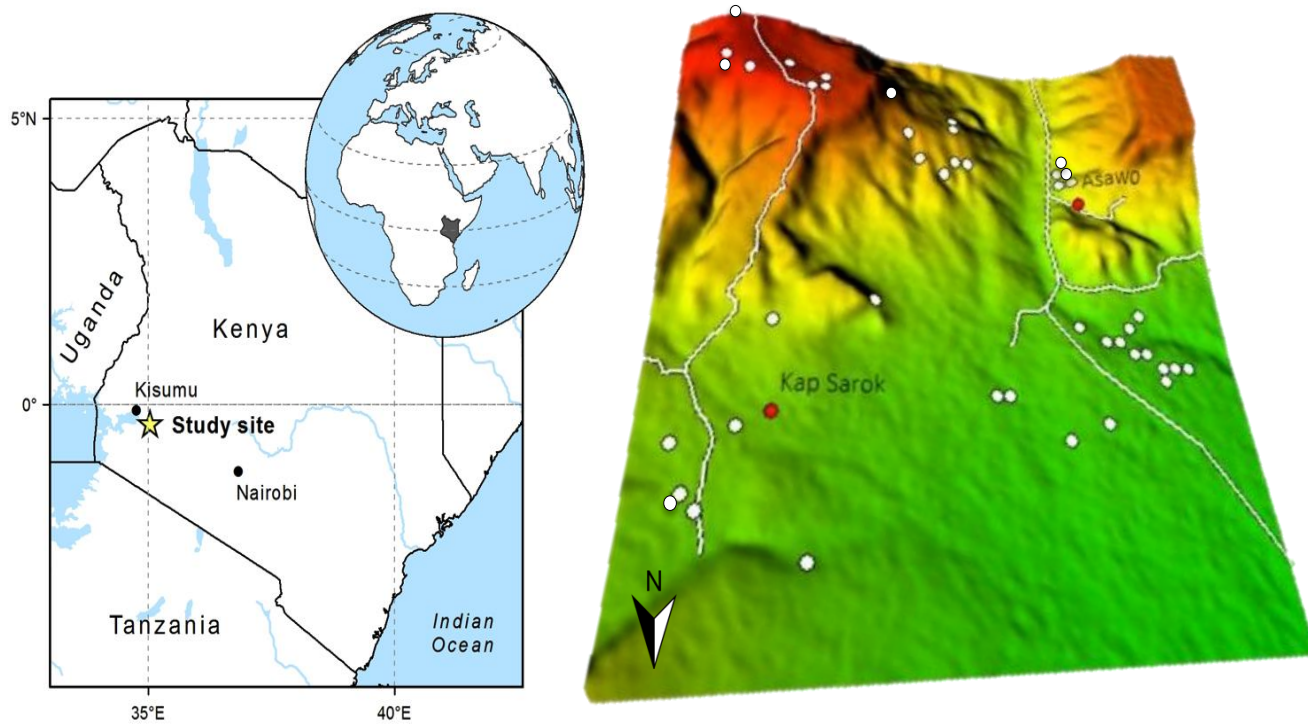


Fig. 2

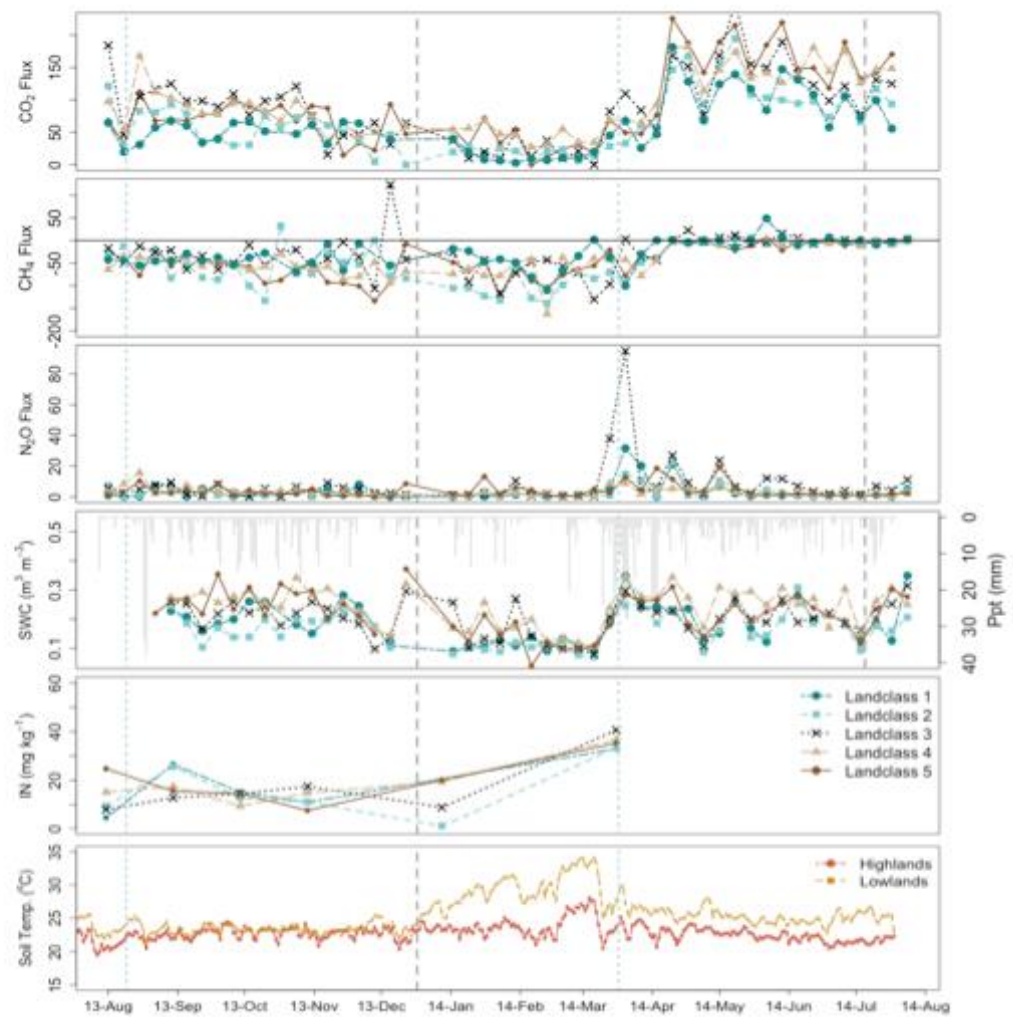


Fig. 3

