Predicting biomass of hyperdiverse and structurally complex Central Amazon forests – a virtual approach using extensive field data

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21 Abstract

22 Old-growth forests are subject to substantial changes in structure and species composition due

23 to the intensification of human activities, gradual climate change and extreme weather events.

- 24 Trees store ca. 90% of the total aboveground biomass (AGB) in tropical forests and precise
- 25 tree biomass estimation models are crucial for management and conservation. In the Central
- 26 Amazon, predicting AGB at large spatial-scales is a challenging task due to the heterogeneity
- 27 of successional stages, high tree species diversity and inherent variations in tree allometry and
- architecture. We parameterized generic AGB estimation models applicable across species and

29 a wide range of structural and compositional variation related to species sorting into height 30 layers as well as frequent natural disturbances. We used 727 trees (diameter at breast height \geq 5 cm) from 101 genera and at least 135 species harvested in a contiguous forest near Manaus, 31 32 Brazil. Sampling from this dataset we assembled six scenarios designed to span existing 33 gradients in floristic composition and size distribution in order to select models that best predict AGB at the landscape-level across successional gradients. We found that good 34 35 individual tree model fits do not necessarily translate into reliable predictions of AGB at the 36 landscape level. When predicting AGB (dry mass) over scenarios using our different models 37 and an available pantropical model, we observed systematic biases ranging from -31% 38 (pantropical) to +39%, with RMSE root-mean-square error values of up to 130 Mg ha⁻¹ 39 (pantropical). Our first and second best models had both low mean biases (0.8 and 3.9%, respectively) and RMSE (9.4 and 18.6 Mg ha⁻¹) when applied over scenarios. Predicting 40 biomass correctly at the landscape-level in hyperdiverse and structurally complex tropical 41 42 forests, especially allowing good performance at the margins of data availability for model 43 construction/calibration, requires the inclusion of predictors that express inherent variations in 44 species architecture. The model of interest should comprise the floristic composition and size-45 distribution variability of the target forest, implying that even generic global or pantropical biomass estimation models can lead to strong biases. Reliable biomass assessments for the 46 Amazon basin (i.e. secondary forests) still depend on the collection of allometric data at the 47 local/regional scale and forest inventories including species-specific attributes, which are 48 often unavailable or estimated imprecisely in most regions. 49

50

51 **1 Introduction**

Allometries describe how relationships between different dimensions (e.g. length, surface 52 53 area and weight) of organisms change non-proportionally as they grow (Huxley and Teissier, 1936). The lack of proportionality arises from the fact that organisms change their shape 54 55 while they grow (i.e. the dimensions differ in their relative growth rates). As one important 56 application, allometric relationships can be used to relate simple dimensions of trees (e.g. 57 diameter at breast height [DBH] or tree total height [H]) to dimensions more relevant for 58 forest managers and basic ecological research, such as wood volume or whole tree biomass 59 (Brown et al., 1989; Higuchi et al., 1998; Saldarriaga et al., 1998).

60 Allometric relationships and biomass estimation models can differ substantially between different tree species, especially in species-rich regions with a high variation in tree sizes and 61 architectures such as in the tropical rainforests (Banin et al., 2012; Nelson et al., 1999; Poorter 62 63 et al., 2003). This variation reflects differences in growth strategy and life history, such as tree 64 species occupying different strata when mature (e.g. understory, canopy, or emergent 65 species), successional groups (e.g. pioneer or light demanding species [e.g. Cecropia spp. and 66 *Pourouma* spp.] in contrast to late-successional or shade tolerant [e.g. Cariniana spp. and Dipteryx spp.]) or environmental microsites (Clark and Clark, 1992; King, 1996; Swaine and 67 68 Whitmore, 1988).

69 Important and highly variable architectural attributes of tropical tree species include stem 70 shape (e.g. slender to stout form), branch form and branching intensity (e.g. plagiotropic, 71 orthotropic and unbranched), crown contour (e.g. round, elongated and irregular), crown 72 position (e.g. understory, canopy and emergent), maximum DBH and H (Hallé, 1974; Hallé et 73 al., 1978). In addition, there is large variation in growth rate (the speed at which a certain 74 space is filled) and consequently in wood anatomy among species (Bowman et al., 2013; 75 Silva et al., 2002; Worbes et al., 2003). Wood density (WD), which is particularly important 76 for biomass estimation, varies significantly across regions (Muller-Landau, 2004) and can 77 differ between species by more than one order of magnitude (Chave et al., 2006). Given these 78 sources of variation, it is not surprising that different allometries were reported when 79 comparing species (Nelson et al., 1999), successional stages (Ribeiro et al., 2014), ontogenies 80 (Sterck and Bongers, 1998), and regions (Lima et al., 2012). Unfortunately, transferring such species-, size-, ontogeny- and site-specific biomass estimation models to other contexts -81 other species, other size ranges, other life-stages, other sites or successional stages - typically 82 leads to predictions that deviate strongly from observations, especially when the sampling 83 84 design does not allow the selection of relevant data for proper estimation of the parameters of interest (Gregoire et al., 2016) or when predictor ranges are limited or neglected (Clark and 85 86 Kellner, 2012; Sileshi, 2014).

In temperate and boreal forests, the size-, ontogeny-, site-variation has been captured by the
development of generic species-specific biomass estimation models (Wirth et al., 2004;
Wutzler et al., 2008) based on data from hundreds of individuals from a single species.
However, this approach is prohibitive in the tropics where thousands of tree species coexist
(Slik et al., 2015; ter Steege et al., 2013). Instead, the challenge is to develop generic local or

regional formulations that generalize also across species (Higuchi et al., 1998; Lima et al.,
2012; Nelson et al., 1999; Saldarriaga et al., 1998). Ideally, they contain predictor variables
that (1) jointly capture a large fraction of the variation induced by the underlying
morphological and anatomical gradients and are (2) still easy enough to obtain or measure.

The development and application of such generic models valid across species, tree sizes and sites poses a number of challenges. Finding the appropriate model structure and estimating the model parameters requires a dataset with a large number of individual measurements containing the variable of interest (here AGB) and the predictor variables (i.e. DBH, *H*, species' successional group [SG] and WD). Importantly, the dataset should ideally cover all possible real-world combinations of predictor values in order to avoid error-prone extrapolations and unreliable predictions. However, in multiple regression models, this

103 precondition is rarely met, not even by large design matrices.

The ultimate prediction is typically at the landscape-level, which requires summing up individual predictions for several thousands of trees varying in size and species assignment. The larger the variation of predictor values within a stand, the higher is the likelihood that extrapolation errors occur. This calls for a validation at the landscape-level which, however, requires a plot-based harvest method. For obvious reasons, this has rarely been attempted (Carvalho Jr et al., 1995; Chambers et al., 2001; Higuchi et al., 1998; Lima et al., 2012).

110 Notable effort has already been made to parameterize global/pantropical AGB estimation 111 models (Brown et al., 1989; Chave et al., 2005, 2014). Commonly, these models are derived 112 using several different datasets, each of which is comprised of relatively few trees and 113 species. Although few opportunities exist to evaluate theses models at the landscape-level, 114 they are used worldwide in different contexts, sites and across successional stages. For instance, the pantropical model from Chave et al. (2005) (DBH + WD as predictors) 115 116 overestimated biomass when tested against trees in Gabon (Ngomanda et al., 2014), Peru 117 (Goodman et al., 2014), Colombia (Alvarez et al., 2012) and Brazil (Lima et al., 2012), but 118 also underestimated the AGB in mixed-species Atlantic Forest stands, Brazil (Nogueira 119 Junior et al., 2014).

The availability of such generic AGB estimation models applicable to many species and contexts is particularly important for management, ecological and biogeochemical research in tropical forest landscapes that encompass a particularly wide spectrum of floristic and structural variation. For example, in the Central Amazon *terra firme* forests, one hectare of 124 old-growth forest can hold more than 280 tree species (DBH >10 cm) (de Oliveira and Mori, 125 1999) with a wide range of architectures and anatomies (Braga, 1979; Muller-Landau, 2004; 126 Ribeiro et al., 1999). At the landscape scale, this region encompasses a mosaic of 127 successional stages promoted by windthrows (Asner, 2013; Chambers et al., 2013; Negrón-128 Juárez et al., 2010; Nelson et al., 1994). Disturbed areas include a diverse set of species 129 representing the range from new regrowth to adult survivors, and thereby including different 130 successional groups (pioneers, mid- and late successional species), tree sizes and with a 131 broader range of architectures than old-growth forests (Chambers et al., 2009; Marra et al., 132 2014). Once floristic composition changes and structural gradients increase to this extent, 133 allometry becomes more complex and reliable landscape-level biomass estimates rely on well 134 designed and well tested generic biomass models.

135 We report here a novel dataset of 727 trees harvested in a contiguous terra firme forest near 136 Manaus, Brazil. This dataset includes biomass measurements from 101 genera and at least 137 135 tree species that vary in architecture and are from different successional groups (pioneers, 138 mid- and late-successional). These trees span a wide range of DBH (from 5 to 85 cm), H139 (from 3.9 to 34.5 m) and WD (from 0.348 to 1.000 g cm⁻³). We used this dataset to parameterize generic AGB estimation models for Central Amazon terra firme forests 140 141 applicable across species and a wide range of structural and compositional variation (i.e. 142 secondary forests), using various subsets of the available predictors; i.e. size (DBH and H), 143 SG (ranging from pioneer to late-successional species) and WD.

We next evaluated our models, as well as the pantropical model from Chave et al. (2014) at 144 145 the landscape-level using a virtual approach. We created scenarios of simulated 100 1-ha forest plots by assembling subsets of the 727 known-biomass trees in our dataset. These 146 147 scenarios were designed to span gradients in (1) floristic composition by assembling stands with specific proportions of pioneer, mid- and late-succession species, and (2) size 148 149 distributions of trees. We compared the known biomass of these forest assemblage scenarios 150 to predictions based on the generic models, with the goal of answering the following 151 questions: (1) Which variance modeling approach and combinations of predictors produced 152 the best individual tree biomass estimation model? (2) Which model most reliably predicted 153 AGB at landscape-level, i.e. across successional gradients? We expected that the best model, the one reducing both mean deviation and error of single and landscape-level biomass 154 155 prediction, would require species-specific variables as well as an additional parameter allowing the modeling of heteroscedastic variance. Our approach and the independence of our dataset allowed us to evaluate whether is still important to build local/regional models or whether available pantropical/global models are suitable for landscape biomass assessments under the assumption that they predict biomass satisfactory over all sorts of tropical forest types and successional stages.

161

162 **2 Material and methods**

163 **2.1 Study site**

164 Our study site is located at the Estação Experimental de Silvicultura Tropical (EEST), a 165 21.000 ha research reserve (Fig. 1) managed by the Laboratório de Manejo Florestal (LMF) of 166 the Brazilian Institute for Amazon Research (INPA), Manaus, Amazonas, Brazil (2°56'S, 60°26'W). Averaged annual temperature in Manaus was 26.7 °C for the 1910-1983 period 167 168 (Chambers et al., 2004). Averaged annual precipitation ca. 50 km east of our study site was 2610 mm for the 1980-2000 period (Silva et al., 2003) with annual peaks of up to 3450 mm 169 170 (Silva et al., 2002). From July to September there is a distinct dry season with usually less 171 than 100 mm of rain per month. Topography is undulating with altitude ranging from 40–180 172 m a.s.l. Soils on upland plateaus and the upper portions of slopes have high clay content 173 (Oxisols), while soils on slope bottoms and valleys have high sand content (Spodosols) and 174 are subject to seasonal flooding (Telles et al., 2003). In contrast to floodplains (i.e. igapó and 175 várzea) associated with large Amazon rivers (e.g. Rio Negro and Rio Amazonas), valleys 176 associated with streams and low-order rivers can be affected by local rain events and thus 177 have a polymodal and not predictable flood-pulse pattern with many short and sporadic 178 inundations (Junk et al., 2011).

179 The EEST is mainly covered by a contiguous closed canopy old-growth *terra firme* forest 180 with high tree species diversity and dense understory (Braga, 1979; Marra et al., 2014). The terra firme forests are among the predominant forest types in the Brazilian Amazon (Braga, 181 182 1979; Higuchi et al., 2004) and ca. 93% of the total plant biomass is stored in trees with DBH \geq 5 cm (Lima et al., 2012; Silva, 2007). The tree density (DBH \geq 10 cm) in the EEST is 593 ± 183 28 trees ha⁻¹ (mean \pm 99% Confidence Interval) (Marra et al., 2014). Trees larger than 100 cm 184 in DBH are rare (< 1 individual ha^{-1}) and those with DBH > 60 cm accounted for only 16.7% 185 186 of the AGB (Vieira et al., 2004). In the study region, tree mortality rates can be influenced by

187 variations in topography (Marra et al., 2014; Toledo et al., 2012). Floristic composition and

188 species demography can also vary with the vertical distance from drainage (Schietti et al.,

189 <mark>2013).</mark>

190 **2.2 Allometric data**

191 We used data from 727 trees harvested in this region (Santos, 1996; Silva, 2007), each with 192 measured biomass and predictor variables. This dataset comprised 101 genera and at least 135 193 species with DBH \geq 5.0 cm (Table 1; all data are given in Table S1). The trees were harvested 194 through the plot-based harvest method in an old-growth forest and in two contiguous 195 secondary forests (14 year-old regrowth following slash and burn and 23 year-old regrowth 196 following a clear cut) (Fig. 1). Rather than an individual selection, our plot-based method 197 relies on the harvesting of all trees found in selected plots. This method allows for a 198 valid/faithful representation of the DBH-distribution of the target forests and a landscape 199 validation of the fitted models (Higuchi et al., 1998; Lima et al., 2012).

200 Before selecting plots, we surveyed both the old-growth and secondary forests to assure that 201 no strong differences in structure and floristic composition existed; also that the selected 202 patches were representative of our different successional stages. In the old-growth forest the 203 trees were harvested in eight plateau and three valley plots (10 m x 10 m) randomly selected 204 within an area of 3.6 ha (Silva, 2007). In each of the secondary forests the trees were harvest 205 in five plots (20 m x 20 m) randomly selected within a 1 ha plateau area, each (Santos, 1996; 206 Silva, 2007). By including trees from secondary forests we were able to increase the variation 207 in floristic composition and consequently the range of species-related variation in architecture 208 and allometry (Tab. 1 and Tab. S1). Since our secondary forests were inserted in the 209 contiguous matrix from which old-growth plots were sampled, we also controlled for the effects of important drivers of tree allometry and architecture, such as variations in 210 211 environmental conditions (e.g. soil, precipitation rates and distribution), forest structure and 212 wood density (Banin et al., 2012); the last, intrinsically related to variation ins floristic 213 composition.

Trees were harvested at ground level. For each tree, the DBH (cm), H (m) and fresh mass (kg) were recorded in the field by using a diameter tape, a meter tape and a mechanical metal scale (300 kg x 200 g), respectively. The DBH was measured before, while H was measured after harvesting. For trees with buttresses or irregular trunk shape, the diameter was measured above these parts. Each tree component (i.e. stem, branches and leaves) was weighted 219 separately. For large trees, stems were cut into smaller sections before weighing. The mass of 220 sawdust was collected and weighted together with its respective stem section. Leaves and 221 reproductive material, when available, were collected to allow species identification 222 accordingly to the APGIII system (Stevens, 2012). Botanical samples were incorporated in 223 the EEST collection. The water content for each tree was determined from three discs (2-5 cm 224 in thickness) collected from the top, middle and bottom of the bole, and samples (ca. 2 kg) of 225 small branches and leaves. The samples were oven-dried at 65 °C to constant dry mass. The dry mass data was calculated by using the corresponding water content of each component 226 227 (Lima et al., 2012; Silva, 2007). Dry mass for each tree was used for subsequent model fits 228 and comparisons.

229 **2.3 Species' architecture attributes**

230 Each of our tree species or genera was assigned to one of three successional groups known to 231 vary in their architecture, namely pioneer, mid- and late-successional groups. To make this 232 assignment, we considered several attributes related to species' architecture (i.e. shape and life history), growth position (i.e. stratum), morphology, wood density and ecology (Tab. S1 233 and Tab. S2). We validated this approach by checking our assignments against those of 234 235 classic studies (Clark and Clark, 1992; Denslow, 1980; Saldarriaga et al., 1998; Shugart and 236 West, 1980; Swaine and Whitmore, 1988), local/regional studies conducted in the Amazon (Amaral et al., 2009; Chambers et al., 2009; Kammesheidt, 2000; Marra et al., 2014) and 237 238 species description available in the Missouri Botanical Garden (http://www.tropicos.org), 239 speciesLink (http://www.splink.cria.org.br) and Lista de Espécies da Flora do Brasil (http://www.floradobrasil.jbrj.gov.br/). More importantly, we considered empirical field 240 observations, architectural information from our dataset, and data for species 241 242 presence/absence from a network of permanent plots representing a wide range of successional stages in Central Amazon (Tab. S2). This network includes plots in old-growth 243 244 (LMF unpublished data [census from 1996 to 2012]; Silva et al., 2002), secondary forests (Carvalho Jr et al., 1995; Santos, 1996), small and large canopy gaps (\geq ca. 2000 m²) created 245 246 by windthrows with four, seven, 14, 17, 24 and 27 year-old (LMF unpublished data; Marra et 247 al., 2014).

250 from studies carried out in the Brazilian Amazon (Chave et al., 2009; Fearnside, 1997;

Since reported WD values for the same species or genera can vary strongly among different studies (Chave et al., 2006) and sites (Muller-Landau, 2004), we compiled WD values mainly

Laurance et al., 2006; Nogueira et al., 2005, 2007). For species where WD data were not available for the Brazilian Amazon, we considered studies from other Amazonian regions (Chave et al., 2009). For species where no published WD was available, or where the identification was carried out to the genus level (63 in total), we used the mean value for all species from the same genus occurring in Central Amazon. For trees identified only to the family level (7 in total), we used the mean value of genera from that family excluding those not reported in the study region (Tab. S1).

258 2.4 Statistical analyses

259 2.4.1 Individual tree biomass estimation model fits

260 The AGB estimation models we applied varied in the number and combination of our 261 predictor variables (eight combinations/series) as well as the strategy of modeling the 262 variance (three model types – see below), yielding a set of 24 candidate models (Tab. 2). We 263 used DBH (cm), WD (g cm⁻³) and H (m) as predictors. Furthermore, we used the species' 264 successional group (SG) assignment as a 'categorical predictor' (factor: 1 = pioneer, 2 = mid-265 and 3 = late-successional species), thereby representing functional diversity along a main axis of tree successional strategies, functional and architectural variation. Depending on the 266 model-type parameters, the continuous variables were allowed to vary for capturing the 267 268 successional aspects of functional diversity. We consider the SG grouping factor as integral 269 part of the model. Fitting all SG's in one model in an Markov chain Monte Carlo (MCMC) 270 context is different from fitting separate models because the joint model also absorbs the 271 covariance structure of the parameters across groups, especially in models were not all parameters are allowed to vary between SGs. 272

We tested variables for collinearity by calculating the variance inflation factor (VIF). A conservative VIF > 2.0 indicates significant collinearity among variables (Graham, 2003; Petraitis et al., 1996). Model series 1-4 had VIF < 1.5 (Tab. 2), which indicated no significant collinearity among predictors. For model series 5-8, we found VIF > 2.0 for DBH and *H*, which indicates significant collinearity between these two variables. This pattern was previously reported for other datasets from Amazon and other tropical regions (Lima et al., 2012; Ribeiro et al., 2014; Sileshi, 2014).

We fit models representing the eight different predictor combinations to our entire dataset of 727 trees using three variance modeling approaches: non-linear least square (NLS), ordinary least square with log-linear regression (OLS) and a non-linear approach in which we modeled the heteroscedastic variance of the dataset (MOV). In the MOV approach we modeled the variance as a function of *DBH* with a normally distributed residual error:

285
$$\epsilon_i = N(\hat{y}_i, \sigma_i) (1),$$

- where *i* is the subscript for individuals (i = 1, ..., n) and σ_i is modeled with a heteroscedastic variance according to:
- 288 $\sigma_i = c_i * DBH_i^{c_2}$ (2).

Model series 1 (M11, M12 and M13) used DBH as the sole predictor (Tab 2). For model series 2 (M21, M22 and M23), we allowed the b regression parameters and c heteroscedastic variance to vary according to the SG assignment (1, 2 or 3). This approach allowed us to account for differences among the groups without splitting the dataset into three different groups. This method has increased analytical power and allowed us to assess the relationships between tree allometry and architecture.

- 295 For model series 3 (M31, M32 and M33), we ignored the SG assignment but introduced WD 296 (which did not correlate strongly with SG). For model series 4 (M41, M42 and M43) we 297 allowed each SG to have its own wood density effect. For model series 5 and 6, we replaced 298 the WD by H. In model series 5 (M51, M52 and M53), we restricted the SG-variation of b 299 and c, while in series 6 (M61, M62 and M63) we allowed these parameters to vary according 300 to SG. For model series 7 (M71, M72 and M73), we combined DBH, H and WD but 301 restricted the SG-variation of b and c. Finally, for model series 8 (M81, M82 and M83), we 302 combined DBH, H and WD, and allowed b and c to vary with SG (Tab. 2).
- In contrast to prior approaches, we did not test models based on compound (e.g. $log[AGB] \sim log[b1] + b2[logDBH²HWD]$) or quadratic/cubic derivatives (e.g. $log[AGB] \sim log[b1] + b2[logDBH] + b3[logDBH²] + b4[logDBH³]$) (Brown et al., 1989; Chave et al., 2005, 2014; Ngomanda et al., 2014). These structures would have limited our ability to include biological variation by defining SG-specific parameters for DBH, *H* and WD, separately.
- We fit the AGB estimation models with non-informative uniform priors using WinBUGS 1.4.1 (Lunn et al., 2000; Spiegelhalter et al., 2002). For each model, three chains were run in parallel, and convergence of the posterior distribution for each parameter was assessed by convergence to one of the ratio of pooled to mean within-chain central 80% intervals or by the stability of both intervals (Brooks and Gelman, 1998; Brooks and Roberts, 1998).

313 To select the best model we calculated the Deviance Information Criterion (DIC). The DIC is 314 a generalization of Akaike's Information Criterion (AIC) and consists of a cross-validatory 315 term that expresses both the goodness of the fit and the models' complexity. The lower the 316 value the higher the predictive ability and parsimony (Spiegelhalter et al., 2002). We also 317 checked whether the 95% credible intervals of the parameter's posterior distributions 318 excluded zero. However, we did not attempt to test the null hypothesis that a particular 319 parameter is zero (Bolker et al., 2013; Bolker, B, 2008). Contrasts were evaluated by 320 monitoring differences between parameters or predictions based on their posterior 321 distribution. For communicating the results we consider two parameters significantly different 322 if the 95% credible interval of the posterior distribution of their difference does not include 323 zero.

324 To allow for comparisons of different model structures and approaches with the available

325 literature, we calculated the coefficient of determination (R^2) , the adjusted coefficient of

326 determination (R^2 adj) and the relative standard error ($S_{yx\%}$). The $S_{yx\%}$ was calculated as

327 follows:

328
$$S_{yx\%} = (\frac{2s}{\hat{y}\sqrt{N}})$$
 (3),

where s, ŷ and N are the standard deviation of the regression, the mean of the focal independent variable and the number of observations, respectively. As in all allometric datasets relating linear to volume-proportional data, there is indeed heteroscedascity in our

332 data, which makes the $S_{yx\%}$ an inappropriate measure for model selection.

For the OLS approach including log-transformed variables, we calculated the $S_{yx\%}$ using untransformed data. To correct for the bias introduced by the log-transformed data, a correction factor (CF) was calculated as follows:

$$336 \quad CF = \exp\left(\frac{SSE^2}{2}\right)(4),$$

337 where SSE is the standard error of the estimate (Sprugel, 1983).

338 **2.4.2 Landscape-level biomass predictions across scenarios**

To evaluate the models outlined in Table 2, we predicted AGB at the landscape-level for six forest-scenarios assembled by a stratified random selection of individual trees from our dataset of 727 trees. Our scenarios were designed to span a successional gradient created by natural disturbances in which the interaction of tree mortality intensity and species 343 vulnerability and resilience produce complex communities varying in species composition 344 and size-distribution of trees (Chambers et al., 2009, 2013; Marra et al., 2014). We assembled 345 three scenarios to reflect variations in floristic composition and three scenarios to reflect 346 variations in size-distribution. Each scenario was sampled 100 times resulting in 100 1-ha 347 plots per scenario with different combination of trees randomly (with replacement) assembled 348 according to the scenario-specific design principles.

349 To address the effect of variations in floristic composition on estimated AGB, we created 350 scenarios where we varied the proportion of pioneer, mid- and late-successional species. The 351 early-succession scenario comprised 50 % from trees sampled randomly from the species 352 classified as pioneer, 40 % of mid- and 10 % of late-successional species (as survivors of 353 disturbances). The mid-succession scenario comprised 10 % from trees sampled randomly 354 from the species classified as pioneer, 70 % of mid- and 20 % of late-successional species. 355 The late-succession scenario comprised 10 % from trees sampled randomly from the species 356 classified as pioneer, 40 % of mid- and 50 % of late-successional species (Fig. 2a and 2c). We constrained our floristic composition scenarios to a stem density of 1255 trees ha⁻¹ (DBH > 5357 358 cm) typical for the old-growth terra firme forests at the EEST (Lima et al., 2007; Marra et al., 359 2014; Suwa et al., 2012).

360 To address variations in size-distribution, we varied the proportion of small and big trees fixing a threshold value of 21 cm, which represents the mean DBH (trees with $DBH \ge 10$ cm) 361 362 of our studied forest (Marra et al., 2014). Our size-distribution scenarios included: a small-363 sized stand, 90 % from small (DBH < 21 cm) and 10 % from big trees (DBH \ge 21 cm); a 364 mid-sized stand with equal numbers of trees smaller and greater or equal to 21 cm in DBH; and a large-sized stand, with 10 % small and 90 % big trees (Fig. 2b and 2d). As for our 365 366 floristic composition scenarios, in order to produce reliable size-distribution scenarios, we constrained our sampling effort to a basal area value of 30.3 m² ha⁻¹ also typical for our 367 368 studied old growth forest (trees with $DBH \ge 5$ cm) (Marra et al., 2014; Suwa et al., 2012). 369 Both our floristic and size-distribution scenarios produced the J-inverse distribution pattern, 370 typical of tropical forests (Clark and Clark, 1992; Denslow, 1980).

- 371 AGB at the landscape-level was determined by adding up the measured AGB for 'sampled'
- 372 trees in each scenario. To test how well our biomass estimation models predicted the AGB at
- 373 the stand level, we related biases and root-mean-square error (RMSE). In order to assess the
- 374 accuracy of different predictions in the context of models' uncertainty, we additionally

- 375 reported the overall performance of the tested models along all forest-scenarios. When doing
- 376 so, we present the bias and RMSE in the same unit (Mg), which allow for assessing the
- 377 magnitudes of deviations in model predictions (Gregoire et al., 2016; McRoberts and
- 378 Westfall, 2014). Because data on tree height is normally unavailable or estimated imprecisely
- 379 in Amazon forest inventories, we focused on models including only DBH, WD and SG as
- 380 predictors. In addition to the 'internal evaluation' of our models, we tested the pantropical
- 381 model from Chave et al. (2014):
- $\log \widehat{AGB} \sim -1.803 0.976E + 0.976[\log WD] + 2.673[\log DBH] 0.0299[\log DBH]^2 (5),$
- which was parameterized with data from 4004 trees (DBH \ge 5 cm) harvested in 53 oldgrowth and five secondary forests. This model has DBH, *H* (estimated from a DBH:*H* relationship), WD and a variable E (environmental stress) as predictors, and was suggested for estimating tree AGB in the absence of height measurements.
- 387 We performed all analysis using the R 3.2.1 software platform (R Core Team, 2014). We use
- 388 the R2WinBUGS (Sturtz et al., 2005) package for running WinBUGS from R, and the
- 389 ggplot2 package (Wickham, 2009) for producing figures, with the exception of Fig. 1, which
- 390 was produced in the Environment for Visualizing Images software (ENVI, ITT Industries,
- 391 Inc, Boulder CO, USA). All codes used in this study were written by ourselves.
- 392 **3 Results**

393 **3.1 Individual tree biomass estimation model fits**

394 The models M33 (DBH and WD as predictors) and M43 (DBH, SG and WD) were the two 395 best fitting models across all tree individuals (high R^2 and R^2 adj, and low $S_{yx\%}$ and DIC values compared to other models). These two models also produced more reliable landscape 396 397 predictions (see section 3.2). The statistics for the goodness of fit for the 24 models are given in Table 3. Although the NLS approach produced models with overall higher values of R^2 and 398 399 R^2 adj, and lower values of $S_{yx\%}$, the DIC values indicated that the OLS and the MOV 400 approaches produced the best models. For the models fit with OLS, which rely on log-401 transformed variables, the addition of other predictors together with DBH systematically decreased the CF values. This pattern suggests a reduction in the biases resulting from back-402 403 transformation.

404 As expected, the addition of other predictors to a model containing only DBH systematically 405 increased the models' parsimony, as indicated by the lower DIC values (Tab. 3). The

- 406 inclusion of the SG assignment resulted in models with slightly lower R^2 adj and higher $S_{yx\%}$ 407 compared to the same model structure without SG.
- 408 We observed differences with respect to the parameters b and c among pioneer, mid- and late-
- 409 successional species in most of the models that included the SG assignment (Tab. S3 and Fig.
- 410 S1). The late-successional species tended to have higher intercepts and steeper slopes. Pioneer
- 411 and mid-successional species had lower differences in intercepts but still strong differences in
- the slopes.
- An evaluation of AGB predictions for individual trees from our two best models (as described in the section 3.2), as well from the pantropical model (Chave et al., 2014) are presented in the Supplement of this study (Fig. S1). Our two best models had lower biases (overestimation of 0.6 and 3.5 %) than the tested pantropical model (underestimation of 30 %).

417 **3.2 Landscape-level biomass predictions across scenarios**

418 To search for the model that best predicts AGB at the landscape-level, we tested our models 419 (excluding those with H as a predictor [Tab. 2]) across the 100 1-ha plots assembled for each 420 of our six forest-scenarios (Figs. 3-5) as well as jointly for all of them (Fig. 6).

The 'true' AGB (from the summed mass of trees used to assemble the forest-scenarios) varied from 198.1 to 314.3 (early- to late-succession scenarios) and 101.4 to 391.8 Mg ha⁻¹ (small- to large-sized scenarios). The ability of the various biomass estimation models to predict the 'true' virtual biomass values generally reflected the goodness of fit of the models for predicting individual tree data (Tab. 3 and Figs. 3-6). The same pattern was observed when evaluating the tested pantropical model, which underestimated both the AGB of individual trees (Fig. S1) and in all of our scenarios (Tab. S4 and Fig. S2).

While some models produced accurate and satisfactory predictions across all scenarios, others systematically under- or overestimated the observed AGB (1-ha plots) (Fig. 3 and Fig. S2). The agreement between models and observations was not only influenced by the different combinations of predictors, but the different methods to model the variance. Interestingly, despite producing the best fits to the individual tree data, models fit with NLS produced the least reliable landscape-level predictions, with model M11 (only DBH as predictor) being the unique exception for the mid- and late-succession scenarios (Fig. 3).

We observed systematic biases ranging from -14% (underestimation) to 38.8%
(overestimation) in estimated landscape-level AGB (Fig. 4). The models fit with NLS tended

- to overestimate landscape-level AGB, with biases ranging from -3.6 up to 38.8%, both
 extreme values from model series 1 (only DBH as predictor). Overall, the models fit with
 NLS tended to capture changes in floristic composition better than in tree size-distribution.
 The tested pantropical model systematically underestimated landscape-level biomass, with a
 mean bias of -29.7% (Tab. S4 and Fig. S2).
- The models fit with the OLS and particularly with the MOV approaches were clearly more efficient at capturing the variation in floristic composition and size-distribution of trees. Consequently, these models produced the most reliable landscape-level predictions within the scenarios (Fig.3). As also indicated by the individual tree model fits, the MOV approach produced more reliable AGB predictions, especially with model series 2 and 4.
- In general, the models fit with the OLS and MOV approaches did not show systematic trends in under- or overestimation. The models fit with the OLS approach had biases ranging from -13.8 to 11.1%, with extreme values from model series 1 and 2, respectively. The models fit with the MOV approach had biases ranging from -14 to 10.5%, also with extreme values from
- 451 model series 1 and 2, respectively (Fig. 4).
- The reported systematic biases led to strong differences between the predicted and the observed AGB (Fig. 5). The models fit with NLS resulted in RMSE values ranging from 16.8 up to 125.8 Mg ha⁻¹. For the models fit with OLS, the RMSE values ranged from 5.1 to 57.6 Mg ha⁻¹. The MOV models had RMSE ranging from 5.5 to 58.7 Mg ha⁻¹. The pantropical model's predictions had a mean RMSE of 102.6 Mg ha⁻¹ (Tab. S4).
- By combining the bias and RMSE values, we could observe the overall models' performance in predicting AGB across scenarios (Fig. 6). When challenged to predict biomass across all scenarios, the models fit with the MOV approach produced more reliable predictions (smaller range of biases and RMSE), except for model series 1 (only DBH as a predictor), for which the OLS approach performed better. Independently of applied predictors, the NLS approach had the highest mean and range of values for bias and RMSE.
- As we expected, the addition of SG and WD improved the quality of the joint prediction. This
 was evidenced by the systematic reduction of models' bias and RMSE. Notably for the NLS
 approach, the inclusion of SG led to strong reduction of the bias and RMSE (Fig. 6).
 Interestingly, for this approach the addition of WD alone did not improve the estimations
 accuracy.

468

469 **4 Discussion**

470 **4.1 Individual tree biomass estimation model fits**

471 The best-performing allometry model structures for predicting the biomass of individual trees 472 included species-specific predictors and either the OLS or MOV fitting approaches (Fig. 3, 473 Fig. 6 and Tab. S3). As we hypothesized, including both the SG and WD as predictors greatly 474 increased the models' performance. When taken alone, adding either of these two predictors 475 to the basic DBH model yielded a consistent model than adding H (Tab. S3). This pattern was 476 true for all the three variance modeling approaches and supports that having the species' 477 identification (i.e. further assignment into successional groups) and/or coherent wood density 478 values, is crucial when aiming for precise tree AGB predictions. Since old-growth forests 479 comprise a mosaic of different successional stages, with trees of various architectures and 480 sorted into different forest layers/strata, these variables are of great importance when aiming 481 for reliable AGB predictions at the landscape-level (see section 4.2).

Although the NLS approach fits our dataset better (higher R²adj and lower $S_{yx\%}$), the assumption of a constant-variance violates the natural heteroscedasticity of allometric datasets. With the log-transformation of the OLS approach, homoscedasticity is reached but in a way that does not exactly reflect how variance actually changes. As previously reported for *terra firme* forest in Amazon (Chambers et al., 2001; Lima et al., 2012), models fit with the OLS approach tend to overestimate the biomass of large-sized trees.

Indeed, the best models are obtained using the MOV and OLS approaches, in which we explicitly modeled variance depending on the main predictor (DBH). This explains why the models fit with these approaches produced more reliable (i.e. smaller differences between predictions and observations) AGB estimates as compared to those fit with the NLS approach. We included the latter approach mainly for illustration purposes. The NLS approach is still frequently found in the literature (Sileshi, 2014), despite the fact that assuming constant variance is not an appropriated choice for allometric datasets.

495 Despite the highly heterogeneous nature of our dataset (Tab. 1 and Tab. S1), DBH alone still 496 captures a large fraction of the variation in AGB. This could be confirmed by lower $S_{yx\%}$ 497 values within model series 1 in comparison to the other model series (Tab. 2). This illustrates 498 that ignoring selection criteria capturing a model's capacity to make predictions for new 499 predictor combinations (e.g. different region or successional stage) such as the DIC or our 500 landscape-level evaluation (see section 4.2), can lead to the wrong choice. The basic models 501 containing only DBH had a higher DIC in comparison to other model series and consequently 502 did poorly in predicting the AGB of our different landscape scenarios (Fig. 6).

503 Our dataset contains a large number of species, which allowed for the maximum expression 504 of architectural attributes. In comparison to species-specific biomass estimation models 505 (Nelson et al., 1999) or models fit from data collected in undisturbed and homogenous forests 506 (Higuchi et al., 1998; Lima et al., 2012), we expected the addition of predictors reflecting 507 architectural and anatomical variation to improve model parsimony. This pattern was 508 observed when adding both SG and WD (Fig. 6 and Tab. S3).

509 The differences related to the parameters b and c we found among our successional groups 510 highlighted the importance of using SG as a predictor of the architectural attributes that 511 influence allometry, especially in disturbed or secondary forests where WD is not available 512 (Tab. S3). In the models containing SG, the significant variation of the parameters b and cbetween pioneers, mid- and late-successional species, highlights the importance of 513 architectural attributes on defining allometries (Nelson et al., 1999). Often, these differences 514 515 were neglected in previous studies that dealt with heterogeneous datasets and aimed at 516 parameterizing global/pantropical models biomass estimation models.

517 Interestingly, when compared to our two best models, the tested Chave et al. (2014)'s 518 pantropical model produced the largest bias (overestimation) for individual tree biomass 519 prediction (Fig. S1 and section 3.1). As previously mentioned, underestimation was also 520 reported when applying the Chave et al. (2005)'s pantropical model in Atlantic Forest stands, 521 Brazil (Nogueira Junior et al., 2014). For our study, we attribute part of this pattern to strong 522 differences in forest structure and tree allometry/architecture between our Central Amazon 523 dataset and that used to parameterize the pantropical model of Chave et al. (2014). Although 524 the DBH and H range of the trees used in our study is well represented by the pantropical 525 dataset, the two datasets vary strongly with respect to the DBH- and H-distribution of trees 526 (Fig. S3). Our dataset clearly has a much higher density of small-sized and a much lower 527 density of large-sized trees. The pantropical dataset comprises ca. 8% (n = 329) of trees with 528 $DBH \ge 60$ cm and mean H of 39.3 m (and even a tree with 212 cm DBH and another one with 529 70.7 m H). Interestingly, none of these 329 large-sized trees were found in Central Amazon. 530 Note that the largest tree in our dataset has 85 cm DBH and 33 m H (Tab. 1 and Tab. S1), and

as previously reported, trees with DBH \ge 60 cm account for less than 17% of the total AGB in Central Amazon *terra firme* forests (Vieira et al., 2004). Thus the structure and biomass of these Central Amazon forests is not well-predicted from the 'improved' pantropical equation (Chave et al., 2014).

535 Observed differences on the relationship between predictor variables (DBH and WD) and 536 AGB of trees from our dataset and that used in the pantropical model highlight part of the 537 variation in tree allometry and architecture that was not represented in the pantropical dataset 538 (Fig. S4). As for the differences in forest structure, these differences in tree allometry and 539 architecture reflect typical differences in species composition among successional stages 540 (Clark and Clark, 1992; Denslow, 1980; Marra et al., 2014). By including our two secondary 541 forests, we added a greater proportion of allometric variation in our models compared to the Chave et al. (2014) dataset (Fig. S5). Our results indicate that neglecting variations in tree 542 543 allometry and architecture related to floristic composition can lead to strong bias when 544 predicting individual tree AGB, especially when complex old-growth and secondary forests 545 (Asner, 2013; Chambers et al., 2013; Norden et al., 2015) are not accounted for the model 546 parameterization.

547 **4.2 Landscape-level biomass predictions across scenarios**

548 The different combinations of floristic composition and structure (i.e. tree density and basal 549 area) used in our virtual approach reflected forest changes along succession (Chambers et al., 550 2009; Marra et al., 2014; Norden et al., 2015), including realistic variations in AGB reported 551 for Central Amazon stands differing in successional stage (from early-succession to oldgrowth) (Carvalho Jr et al., 1995; Higuchi et al., 2004; Lima et al., 2007). When taking into 552 553 account the accuracy of landscape-level predictions across scenarios, the best models were 554 those fit by using the MOV approach. From this approach, the models M33, M43 and M23 555 were the first, second and third best models, respectively (Fig. 6).

556 Modeling the variance properly as in the MOV approach is particularly important when both 557 small and large trees – at the respective endpoints of the size predictors DBH and H – are to 558 be estimated precisely. Assuming homoscedastic variance in allometric data gives a stronger 559 weight to the information of large trees (which have large residuals) and reduces the 560 'strength' of the small trees (with small residuals) on the estimation of the parameters. This 561 almost invariably leads to models that overestimate the biomass of small trees (i.e. large trees 562 pulling the 'line' upwards). This effect can be clearly seen in Fig. 4 where the NLS models

563 dramatically overestimated the biomass particularly in the small-sized and the early 564 successional scenario. The OLS approach tends to produce the opposite effect. The log-565 transformation shrinks the size of the residuals of the large-sized trees and inflates it for the 566 small-sized trees. The influence of positive residuals or large-sized trees that often have a 567 strong lever is reduced, and the lever of very small trees is increased. This may (although not as extremely as in the NLS case) lead to an underestimation of the biomass of big trees. A 568 569 slight tendency of this effect is also visible in Fig 4 when the OLS and MOV models are 570 compared in the model series 2 and 3. The model evaluation with our virtual forests thus 571 clearly illustrates that a balanced modeling of the variance, i.e. giving the small and large 572 trees equal weight, is very important when (1) the design matrices are very heterogeneous or 573 unbalanced with respect to size and when (2) predictions are to made at landscape-level 574 across stands that vary in the mean size/shape of trees.

575 Models containing only size-predictors (such as DBH) are particularly sensitive to this 576 problem. Including SG and WD as predictors captured part of the interspecific variation in 577 architecture and anatomy and partly alleviated the above-mentioned problems of the NLS and OLS models. Thus, although a simple allometric model (e.g. $AGB \sim b1DBH^{b2}$) can accurately 578 579 describe the DBH:AGB relationship at the individual-level (Tab. 3 and Tab. S3), our results 580 demonstrate that reliable estimates of biomass in heterogeneous landscapes (i.e. mixtures of 581 successional stages and tree sizes) requires correct modeling of the size-related variance 582 (Sileshi, 2014; Todeschini et al., 2004) and including suitable predictors of species-specific 583 attributes reflecting ecological, architectural and anatomical variation.

584 Our model evaluation using 'virtual forests' was used to test what level of model complexity 585 and appropriateness of variance modeling is needed to avoid 'distortions' and make satisfying 586 predictions at the fringes of our predictor space. This approach also allowed us to asses the 587 magnitude of RMSE in model predictions in relation to the bias of these predictions. Our best 588 performance models produced predictions with RMSE similar (i.e. M23 and M33) to the bias 589 associated to these prediction, which indicates that model deviations can be attributed to 590 ramdon variation and possibly be ignored (Gregoire et al., 2016; McRoberts and Westfall, 591 2014). However, since we constructed the forest-scenarios with trees from our dataset, this is 592 an 'internal evaluation' and not a test of model behavior in the face of new predictor combinations. Furthermore, we used DIC as parsimony-based model selection criterion, 593 594 which was designed to exactly approximate this capacity and typically yields similar results as cross-validation (Wirth et al. 2004). The DIC is therefore particularly important for judging the quality of the model, especially for application in other regions or for other species. Unlike the virtual forest approach, where the DBH + WD with modeled variance (M33) appeared as best model (lowest bias and RMSE at the same time) (Fig. 6), the DIC invariably requires the full model complexity irrespective of whether *H* is considered or not (Tab. 3).

600 As reported in other studies (Alvarez et al., 2012; Lima et al., 2012; Ngomanda et al., 2014; 601 Nogueira Junior et al., 2014), using Chave et al. (2014)'s pantropical biomass estimation 602 model for landscape-level predictions led to strong biases in the case of our Central Amazon 603 forest-scenarios. Thus, our recommendation is not to assume that their model is equally 604 applicable across all tropical forests, especially for secondary or hyperdiverse tropical forests. 605 In this context, we alert researches and managers about the importance of applying local or 606 regional generic models when estimating biomass, and the importance of species composition 607 information in plot studies.

608 **4.3 Suitability of the chosen predictors for practical application**

As we have seen, predicting biomass correctly at the landscape-level and in particular improving performance at the fringes or outside the predictor space, requires the inclusion of predictors related to species architecture (DBH in combination with H [when available], WD and/or SG). Knowledge of these last two variables depends on the identification of species, further assignment into successional groups and measurement or compilation of speciesspecific WD values. For the purposes of our study, these variables were successfully addressed.

616 However, we understand that reliable biomass estimation models also require variables that 617 can be easily and confidently acquired or measured. As we discuss below, this is not the case 618 for the species identification, H and, consequently, in many cases for WD and SG.

The tree species diversity in the Amazon is high (de Oliveira and Mori, 1999; ter Steege et al., 2013). Species identification requires extensive field work (i.e. collection of botanical samples) and joint effort of parabotanists, botanists and taxonomists. In many cases, this task might pose a major problem.

- For WD, values can vary widely not only between species (Chave et al., 2006) which we
 exploit in our modeling approach but also between different sites/regions (Muller-Landau,
- 625 2004), within individuals of the same species or even in an individual tree (density varying

626 along the tree bole) (Higuchi et al., 1998; Nogueira et al., 2005). Ideally, WD measures 627 should be carried out in situ following a method that allows for sampling both heart- and 628 sapwood. Measuring WD from non-representative samples and applying measures from 629 studies in which samples were oven-dried at different temperatures can produce complication. 630 At temperatures below 100 °C, the wood bound water content can not be removed (Williamson and Wiemann, 2010). This requires improvement of available methods and tools 631 632 (e.g. resistography, X-ray, ultrasonic tomography, near-infrared-spectroscopy, 633 acoustic/ultrasonic wave propagation and high-frequency densiometry) (Isik and Li, 2003; 634 Lin et al., 2008; Schinker et al., 2003) that in the future may allow the measurement of WD in 635 live trees from hyperdiverse tropical forests (thousands of species). However, the acquisition 636 of WD data is still expensive and is not easily conducted simultaneously with forest 637 inventories.

638 In the Amazon, information on WD is not available at the species-level for most regions, and 639 the available WD data have been acquired using a wide range of methods. Thus, the 640 compilation of WD data from different sources without filtering criteria may introduce an 641 unpredictable source of error. As a result, researchers and managers need to establish robust 642 criteria and test whether including WD information compiled from the available literature can 643 really increase the quality of biomass predictions (as shown in our study). These limitations 644 become critical when adjusting biomass estimation models both from small or even large/combined datasets collected without a plot-based harvest method that allows for a 645 646 landscape-level evaluation of models derived using individual trees (Carvalho Jr et al., 1995; 647 Higuchi et al., 1998; Lima et al., 2012; Silva, 2007). One important result of our study is that 648 correct assignment of species into successional groups can satisfactorily replace the use of 649 WD despite the fact WD and SG were not trivially correlated (Tab. 2).

650 Most of the available biomass estimation models include H as a predictor. Indeed, we 651 expected the inclusion of H to substantially improve our individual tree fits and landscape-652 level predictions. Although H is a powerful predictor of AGB, because together with DBH it 653 defines the slenderness of trees and also indicates the life-time light availability (suppressed 654 trees with typically short crowns have a high H:DBH ratio), acquiring these data is still costly 655 and difficult in tall and complex tropical forest canopies. As a consequence, H is often 656 measured imprecisely or not at all in most existing forest inventories across the Amazon. H 657 varies with plant ontogeny and can be affected by environmental and neighbor effects (Henry

and Aarssen, 1999; Sterck and Bongers, 1998). Consequently, the error of AGB estimates can 658 659 increase when applying H values estimated from regional or global models (Feldpausch et al., 660 2011, 2012; Hunter et al., 2013; Santos Jr et al., 2006). As observed in our (Tab. 2) and other 661 datasets (Sileshi, 2014), the high collinearity between DBH and H can distort coefficient 662 values, inflate standard errors and lead to unreliable estimates. The increased availability of 663 new tools such as Lidar can improve the resolution of data on tree height and thus biomass (Marvin et al., 2014; Sawada et al., 2015), but currently the areas where such data are 664 665 available are limited. The calibration of remote sensing based biomass models for diverse 666 tropical forest still relies on the degree of uncertainty associated to plot-level AGB estimates 667 (Chen et al., 2015).

668 Despite uncertainties associated with global estimates of carbon stocks, tropical forests 669 storage ca. 25% of the terrestrial carbon (Bonan, 2008; Saatchi et al., 2011) and provide 670 resources (e.g. food, fuel, timber and water) essential for humankind (Trumbore et al., 2015). 671 Nonetheless, old-growth tropical forests are under rapidly change and degradation due to the intensification of human activities, gradual climate change and extreme weather events (FAO, 672 673 2010; IPCC, 2014). The Reducing Emissions from Deforestation and Forest Degradation 674 (REDD+) program from the United Nations Framework Convention on Climate Change 675 (UNFCCC) establishes rewarding for actions that mitigate carbon emission through 676 prevention of forest loss and degradation. For countries with large forest cover (e.g. Brazil 677 and other Amazon countries), such programs emerge as an economical alternative against 678 historically more lucrative land uses resulting in forest degradation or suppression. However, 679 we showed that reliable estimates of forest biomass are complex to be obtained and prone to 680 large uncertainty. Reliable predictions of biomass/carbon stocks over large regions of 681 structurally complex and hyperdiverse tropical forests such as the Amazon still depend on the 682 collection of plot-based allometric data and forest inventories including information on 683 species composition, tree height and wood density, which are often unavailable or estimated 684 imprecisely in most regions.

Natural and anthropogenic tropical secondary forests are widely distributed and account for
ca. 50% of the global forest cover (FAO, 2010). Although highly productive and resilient
(Poorter et al., 2016), Neotropical forests can take unpredictable successional trajectories
(Norden et al., 2015). During forest succession, once floristic composition changes and
structural gradients increase, allometry becomes more complex and reliable landscape-level

biomass estimates may require models that include predictors approximating species-specific architecture and anatomy. Extra care should be taken when using biomass estimation models to assess biomass dynamics (e.g. biomass recovery after disturbances). Earlier stages of recovery can have a higher proportion of small trees from pioneers species, which have lower wood density (Chambers et al., 2009; Marra et al., 2014; Saldarriaga et al., 1998) and a particular type of architecture (Hallé et al., 1978; Swaine and Whitmore, 1988).

We recommend the use of the best models fit in this study when aiming for reliable landscape AGB estimations for Central Amazon *terra firme* forests, especially those under complex disturbance regimes and for which specific/local models are not available. If data on species composition and wood density are available or could be accurately compiled from the literature, we encourage the use of the model M33 or M23. In case these data are not available, or available in insufficient resolution, the model M13 could be applied and produce satisfactory results.

703

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- 1051 (Fagus sylvatica L.) in Central Europe predictions and components of uncertainty, Can. J.
- 1052 For. Res., 38(6), 1661–1675, 2008.
- 1053
- 1054 Tables

- 1055 Table 1. Summary of the dataset applied in this study. Trees were harvested in the Estação
- 1056 Experimental de Silvicultura Tropical, a contiguous terra firme forest reserve near Manaus,
 - Secondary forest Old growth Secondary forest Variables forest (23 year-old) (14 year-old) NT 131 346 250 SR 63 51 82 DBH 5.0-85.0 5.0-37.2 5.0-33.1 Η 5.9-34.5 3.9-27.0 9.0-15.5 WD 0.348-0.940 0.389-1.000 0.395-1.000 AGB 8.3-7509.1 5.4-1690.2 7.5-1562.8
- 1057 Amazonas, Brazil.

- 1058 Variables: number of trees (NT); species richness (SR); diameter at breast height (DBH)
- 1059 (cm); tree total height (H) (m); wood density (WD) (g cm⁻³); and aboveground biomass
- 1060 (AGB) (dry mass in kg).

Series	Model	Equation	Variance modeling approach	VIF (range)
1	M11	$AGB \sim b1DBH^{b2}$	NLS	1
	M12	$log(AGB) \sim log(b1) + b2(logDBH)$	OLS	1
	M13	$AGB \sim b1DBH^{b2}$	MOV	
2	M21	$AGB \sim b1[SG]DBH^{b2[SG]}$	NLS	1.001
	M22	$log(AGB) \sim log(b1[SG]) + b2(logDBH[SG])$	OLS	1.005
	M23	$AGB \sim b1[SG]DBH^{b2[SG]}$	MOV	
3	M31	$AGB \sim b1DBH^{b2}WD^{b3}$	NLS	1.007
	M32	$log(AGB) \sim log(b1) + b2(logDBH) + b3(logWD)$	OLS	1.017
	M33	$AGB \sim b1DBH^{b2}WD^{b3}$	MOV	
4	M41	$AGB \sim b1[SG]DBH^{b2[SG]}WD^{b3[SG]}$	NLS	1.016 - 1.46
	M42	$log(AGB) \sim log(b1[SG]) + b2(logDBH[SG]) + b3(logWD[SG])$	OLS	1.017 - 1.39
	M43	$AGB \sim b1[SG]DBH^{b2[SG]}WD^{b3[SG]}$	MOV	
5	M51	$AGB \sim b1DBH^{b2}H^{b3}$	NLS	3.382
	M52	$log(AGB) \sim log(b1) + b2(logDBH) + b3(logH)$	OLS	3.342
	M53	$AGB \sim b1DBH^{b2}H^{b3}$	MOV	
6	M61	$AGB \sim b1[SG]DBH^{b2[SG]}H^{b3[SG]}$	NLS	1.019 - 3.43
	M62	$log(AGB) \sim log(b1[SG]) + b2(logDBH[SG]) + b3(logH[SG])$	OLS	1.010 - 3.36
	M63	$AGB \sim b1[SG]DBH^{b2[SG]}H^{b3[SG]}$	MOV	
7	M71	$AGB \sim b1DBH^{b2}H^{b3}WD^{b4}$	NLS	1.014 - 3.42
	M72	$log(AGB) \sim log(b1) + b2(logDBH) + b3(logH) + b4(logWD)$	OLS	1.038 - 3.46
	M73	$AGB \sim b1DBH^{b2}H^{b3}WD^{b4}$	MOV	
8	M81	$AGB \sim b1[SG]DBH^{b2[SG]}H^{b3[SG]}WD^{b4[SG]}$	NLS	1.523 - 3.62
	M82	$log(AGB) \sim log(b1[SG]) + b2(logDBH[SG]) + b3(logH[SG]) + b4(logWD[SG])$	OLS	1.422 - 3.54

1061 Table 2. Tested equations for estimating tree aboveground biomass (AGB) in a *terra firme* forest near Manaus, Central Amazon, Brazil.

Predictors: diameter at breast height (DBH) (cm); species' successional group (SG) (pioneers, mid- and late-successional); tree total height (H) (m); and wood density (WD) (g cm⁻³). Variance modeling approach: non-linear least square (NLS); ordinary least square with log-linear regression (OLS); and non-linear with modeled variance (MOV). Since NLS and MOV rely on the same equation, they have analogue variation inflation factor values (VIF).

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- 1067 Table 3. Statistics of aboveground biomass (AGB) estimation models fit in a *terra firme* forest near Manaus, Central Amazon, Brazil. See
- 1068 Tab. 2 for predictors and applied variance modeling approaches, and Tab. A3 for the models' parameters.

Series	Model	Dev	pD	DIC	R^2	R ² adj	Syx%	CF
1	M11	9694.5	2.919	9697.4	0.894	0.894	3.130	
	M12	6808.0	2.990	6811.3	0.865	0.865	3.542	1.066
	M13	6821.0	3.856	6825.2	0.864	0.864	3.544	
2	M21	9216.0	3.773	9219.9	0.946	0.945	2.259	
	M22	6751.0	6.943	6758.3	0.557	0.540	6.458	1.061
	M23	6739.0	10.465	6749.5	0.558	0.554	6.381	
3	M31	9291.0	4.052	9294.7	0.949	0.939	2.373	
	M32	6683.0	4.062	6687.0	0.885	0.884	3.280	1.056
	M33	6698.0	4.918	6702.5	0.865	0.865	3.527	
4	M41	9057.0	2.303	9059.8	0.957	0.956	2.030	
	M42	6657.0	10.006	6667.5	0.701	0.699	5.215	1.054
	M43	6649.0	13.059	6661.6	0.701	0.699	5.239	
5	M51	9479.0	0.023	9479.3	0.921	0.921	2.702	
	M52	6680.0	4.017	6684.3	0.899	0.898	3.060	1.055
	M53	6720.0	4.674	6724.7	0.897	0.896	3.103	
6	M61	9183.9	-71.746	9112.2	0.948	0.947	2.214	

	M62	6614.0	10.078	6624.1	0.754	0.750	4.845	1.050
	M63	6631.0	11.754	6642.9	0.740	0.737	4.896	
7	M71	8998.0	0.951	8999.1	0.959	0.959	1.942	
	M72	6570.0	5.023	6574.9	0.934	0.933	2.480	1.047
	M73	6610.0	5.697	6615.4	0.922	0.920	2.707	
8	M81	8812.0	-42.073	8770.3	0.968	0.967	1.719	
	M82	6548.0	13.031	6561.3	0.811	0.804	4.200	1.046
	M83	6566.0	13.778	6580.0	0.801	0.800	4.262	

1069 Parameters: models' deviance (Dev); effective number of parameters (pD); Deviance Information Criterion (DIC); coefficient of determination

1070 (R^2); adjusted coefficient of determination (R^2 adj); relative standard error ($S_{yx\%}$); and correction factor (CF) for models fit from ordinary least

1071 square with log-linear regressions.

1072 Figures







- 1077 Figure 2. Sampling schemes applied to assemble the six forest-scenarios designed to reflect
- 1078 changes in floristic composition and size-distribution of trees, typical of Central Amazon
- 1079 *terra firme* forests.



Figure 3. Predicted vs. observed aboveground biomass (AGB) along six forest-scenarios composed of 100 1-ha plots. The line of equality (1:1 line) is shown as a red/straight line. Forest scenarios were designed to reflect landscape-level variations in floristic composition and sizedistribution of trees, typical of Central Amazon terra firme forests. Floristic composition and size-distribution scenarios followed the sampling scheme described in section 2.4.2 (Fig. 2) of this study. Models' predictors: diameter at breast height (DBH) (cm), species' successional group (SG) (pioneers, mid- and late-successional) and wood density (WD) (g cm⁻³). See Tab. 2 for the variance modeling approach of different

1086 equations. Note that models containing total tree height (*H*) as predictor were excluded here.



1088 Figure 4. Profiles relating the bias of 12 tree aboveground biomass estimation models tested 1089 along six forest scenarios composed of 100 1-ha plots. Forest scenarios were designed to 1090 reflect landscape-level variations in floristic composition and size-distribution of trees, typical 1091 of Central Amazon terra firme forests. Models' predictors: diameter at breast height (DBH) (cm), species' successional group (SG) (pioneers, mid- and late-successional) and wood 1092 density (WD) (g cm⁻³). Variance modeling approaches: non-linear least square (NLS), 1093 1094 ordinary least square with log-linear regression (OLS) and non-linear with modeled variance 1095 (MOV). Note that models containing H tree height as predictor were excluded here.

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1098 Figure 5. Profiles relating the RMSE root-mean-square error of 12 tree aboveground biomass 1099 estimation models tested along six forest scenarios composed of 100 1-ha plots. Forest 1100 scenarios were designed to reflect landscape-level variations in floristic composition and size-1101 distribution of trees, typical of Central Amazon terra firme forests. Models' predictors: 1102 diameter at breast height (DBH) (cm), species' successional group (SG) (pioneers, mid- and late-successional) and wood density (WD) (g cm⁻³). Variance modeling approaches: non-1103 1104 linear least square (NLS), ordinary least square with log-linear regression (OLS) and non-1105 linear with modeled variance (MOV). Note that models containing H tree height as predictor 1106 were excluded here.

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Figure 6. Overall performance of 12 tree aboveground estimation models along six forestscenarios composed of 100 1-ha plots. Forest scenarios were designed to reflect landscape-

- 1111 level variations in floristic composition and size-distribution of trees, typical of Central
- 1112 Amazon terra firme forests. Models are rated by the absolute mean bias and root-mean-square
- 1113 error (RMSE), both in Mg. Solid points and bars represent absolute mean and range values,
- 1114 respectively. Models' predictors: diameter at breast height (DBH) (cm), species' successional
- 1115 group (SG) (pioneers, mid- and late-successional) and wood density (WD) (g cm⁻³). Variance
- 1116 modeling approaches: non-linear least square (NLS), ordinary least square with log-linear
- 1117 regression (OLS) and non-linear with modeled variance (MOV). Note that models containing
- 1118 *H* tree height as predictor were excluded here.