Age structure, carbonate production and shell loss rate in an Early Miocene reef of the giant oyster *Crassostrea gryphoides*

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13 Abstract

We present the first analysis of population structure and cohort distribution in a fossil oyster 14 15 shell bed based on more than 1121 shells of the giant oyster Crassostrea gryphoides (Schlotheim, 1813). Data derive from Terrestrial Laser Scanning of a Lower Miocene shell 16 17 bed covering 459 m². Within two transects, individual shells were manually outlined on a 18 digital surface model and cross-checked based on high-resolution orthophotos, resulting in 19 accurate information on center line length and area of exposed shell surface. A growth model 20 was calculated, revealing this species as the fastest growing and largest Crassostrea known so 21 far. Non-normal distribution of size, area and age data hints at the presence of at least four 22 distinct recruitment cohorts. The rapid decline of frequency amplitudes with age is interpreted to be a function of mortality and shell loss. The calculated shell half-lives range around few 23 24 years, indicating that oyster reefs were geologically short-lived structures, which could have 25 been fully degraded on a decadal scale.

Crassostrea gryphoides reefs were widespread and common along the Miocene circum-Tethyan coasts. Given its enormous growth performance of ~150 g carbonate per year this species has been an important carbonate producer in estuarine settings. Yet, the rapid shell loss impeded the formation of stable structures comparable to coral reefs.

2 1 Introduction

3 The genus Crassostrea Sacco, 1897 comprises numerous commercially exploited species. The 4 modes of growth and population structures of extant *Crassostrea* species are of paramount 5 importance for oyster fishery (FAO, 2015). Consequently, a wealth of data exists on the ontogeny, biology and ecological requirements. These data, in turn are a valuable base for the 6 7 interpretation of the autecology of fossil congeners. Extant Crassostrea are sessile bivalves 8 adapted to estuarine and intertidal environments where they have to cope with high 9 environmental stress. Whilst physicochemical stress is managed by genetic response (Zhang et al., 2012), the formation of thick shells is a strategy against predation (Lombardi et al., 10 11 2013; Robinson et al., 2014). The largest and fastest growing Crassostrea species flourished 12 during the Miocene and Early Pliocene and became replaced by comparatively smaller and 13 thinner species thereafter (Kirby and Jackson, 2004). Among these, Crassostrea gryphoides 14 (Schlotheim, 1813) is the largest, attaining shell lengths of up to 80 cm and individual ages of 15 more than 40 years (Harzhauser et al., 2010). The Pleistocene-Holocene extirpation of large 16 and thick-shelled Crassostrea species was explained by a shift from shallow-marine towards 17 estuarine-intertidal habitats to escape from predation (Kirby, 2000, 2001). At least for C. 18 gryphoides this model does not fit because the oyster lived as secondary soft-bottom dweller 19 in the intertidal zone of estuaries along the circum-Tethyan coasts (Laurain, 1980; Schultz, 20 2001; Mandic et al., 2004). This species evolved during the Late Oligocene in the European 21 Paratethys Sea and became ubiquitous in the Paratethys Sea, the proto-Mediterranean Sea and 22 the eastern Atlantic throughout the Early to Late Miocene. It might even have entered the 23 Indian Ocean during the Miocene (Newton and Smith, 1912) and reached the North Sea 24 during the Middle Miocene (Schultz, 2001). Pliocene records from the eastern Atlantic and 25 North Africa, however, may need verification (see Schultz, 2001 for a detailed list of occurrences). This species became extinct around the Miocene/Pliocene boundary or with the 26 27 onset of the Pliocene cooling 3 Ma ago at the latest.

Studies on growth in fossil *Crassostrea* species (and other oysters) and the resulting carbonate production were published by Chinzei (1982, 1986, 1995), Chinzei and Seilacher (1993), Kirby (2001) and Kirby and Jackson (2004). These studies are based on collection material comparing species and specimens from different stratigraphic horizons. No study, however, tried to capture the size and age structures of a fossil *Crassostrea* reef, presumably

representing a real population of coeval specimens. The lack of such studies is clearly linked 1 2 to the fact that fossil shell beds usually represent time-averaged assemblages (Kidwell, 1986, 1991), which only vaguely reflect original community structures. Although some in-situ 3 preserved fossil Crassostrea reefs are known (e.g. Hoşgör, 2008; Ragaini and Di Celma, 4 5 2009; Chinzei, 2013) no population data exist, which would allow a comparison with modern oyster reefs. Herein, we analyze an Early Miocene Crassostrea shell bed covering an area of 6 7 459 m², which is permanently exposed at a geoment park in Austria. The shells are 8 concentrated in a sheet-like, c. 20-cm-thick layer, which was formed by a major storm or 9 tsunami, amalgamating a single oyster reef in an event bed (Fig. 1A-C). Although, the 10 hydrodynamic process will have biased the original structure to some degree, our data suggest 11 that no out-of-habitat transport occurred and the shell bed still reflects the original 12 composition and thus the population structure of an oyster reef from the onset of the Miocene 13 Climatic Optimum (Zachos et al., 2008; Goldner et al., 2014).

Crassostrea reefs flourished during the Miocene within the tropical reef belt (Mandic et al., 2004) but were also successful in more northern latitudes (Wiedl et al., 2013; Harzhauser et al., 2010). Therefore, the main purpose of this paper is to quantify the growth performance of the Miocene giant oyster and to reveal its significance as part of the Miocene "carbonate factory". Moreover, we test if size frequency data deduced from fossil oyster shells allow a comparison with community structures of extant *Crassostrea* reefs.

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21 **1.1 A taxonomic note**

22 Although the type of *Crassostrea gryphoides* (Schlotheim, 1813) was described from the mid-23 Miocene of Romania, the name is also used in biological literature for an extant backwater 24 species from India and Pakistan (Newton and Smith, 1912; Chatterji et al., 1985; Nagi et al., 2011; Afsar et al., 2014; Trivedi et al., 2015). The taxonomic status of the extant species is 25 unclear; based on molecular data, Reece et al. (2008) considered C. "gryphoides" to be 26 27 closely related with C. belcheri (Sowerby, 1871), whilst molecular data of Trivedi et al. 28 (2015) suggest a close relation with C. cuttakenses (Newton and Smith, 1912), which was 29 originally described as subspecies of C. gryphoides. Whatever the taxonomic and systematic 30 status of the recent species may be, it is most probably not conspecific with the European fossil species. It differs in its more regular and elongate-ovoid outline (Durve and Bal, 1960), 31 32 the short and bean-shaped adductor muscle scar (Durve, 1974; Siddiqui and Ahmed, 2002) and it is more inequivalved. Overall, the recent species is clearly smaller with the largest
specimen documented so far attaining 508 mm in length (Mahar and Awan, 2012) but usually
ranging around 50–120 mm (Chatterji et al., 1985; Nagi et al., 2011).

4 Nevertheless, the Miocene species is closely related to the extant Asian-Pacific Crassostreinae 5 species, which show a large genetic difference from Atlantic species (Littlewood, 1994; Ó 6 Foighil et al. 1995; Wang et al., 2004; Ren et al., 2010; Salvi et al., 2014). The Asian-Pacific 7 group (e.g.: C. gigas, C. plicatula, C. ariakensis) and Atlantic group (e.g.: C. virginica, C. 8 rhizophorae, C. gasar) differ considerably in mitochondrial genes, nuclear genome and 9 chromosome structures (Wang et al., 2004; Ren et al., 2010; Salvi et al., 2014). The divergence between both groups seems to have happened already in Cretaceous times and the 10 11 diversification of the Asian Pacific group started during the Eocene (Ren et al., 2014). Based on this genetic evidence and biogeographic separation, Salvi et al. (2014) introduced the 12 13 genus Magallana Salvi, Macali and Mariottini, 2014 for the Asian Pacific species group with 14 Ostrea gigas Thunberg, 1793 as type species. Salvi et al. (2014), however, did not provide a 15 formal "description or definition that states in words characters that are purported to differentiate the taxon" (ICNZ Art. 13.1.1). The authors only refer to a description of the type 16 17 species. Therefore, Magallana is formally unavailable (see also Marshall, 2015) and we use 18 Crassostrea in its traditional sense.

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20 2 Geological setting and paleoenvironment

The investigated oyster shell bed was excavated at Stetten in Lower Austria (48° 22' 03.33 N, 21 22 $16^{\circ} 21' 33.22$ E). It is part of the about 600-m-thick lower Miocene (upper Burdigalian, = Karpatian regional stage) siliciclastic succession of the Korneuburg Basin, which is a 20 km 23 long and 7 km wide halfgraben within the Alpine-Carpathian thrust-belt (Fig. 2) (Wessely, 24 25 1998). The basin fill is dominated by an alternation of shoreface and tidal flat deposits, which were formed in an embayment of the Paratethys Sea (Zuschin et al., 2014). More than 650 26 27 species-level taxa have been described from the area (Sovis and Schmid, 1998, 2002) and the paleoenvironments are well understood (Harzhauser et al., 2002; Zuschin et al., 2014). The 28 29 oyster reef flourished in an estuary fringed by salt marshes, Taxodiaceae swamps and scattered Avicennia mangroves (Harzhauser et al., 2002; Kern et al., 2010). The pollen record 30 31 of the Stetten section documents a warm subtropical climate with marked seasonality (Kern et al., 2010). A warm and wet summer season with c. 204–236 mm precipitation during the 32

wettest month was alternating with a rather dry winter season with precipitation of c. 9-24 1 2 mm during the driest month. The mean annual temperature ranged between 15.7–20.8 °C, 3 with about 9.6–13.3 °C during the cold season and 24.7–27.9 °C during the warmest month. 4 These data suggest similarities with the modern "Cwa" climate of Koeppen (1936). Today 5 this climate covers parts of northern India extending into south-eastern Asia (south Nepal, Myanmar, northern Thailand) to East China and in central south Africa (east Angola, Zambia, 6 7 north Zimbabwe, north Mozambique) (Peel et al., 2007; Kern et al., 2010). The distinct 8 seasonality was also revealed in sclerochronologic analysis of one of the Crassostrea shells 9 collected from the shell bed (Harzhauser et al., 2010). This shell exhibits a regular annual 10 rhythm of at least 11 seasons with a temperature range of 9.8°C. Thus, the paleoclimatic and 11 paleoenvironmental frame of the C. gryphoides shell bed is comparable to the settings of 12 modern Crassostrea reefs in the subtropical parts of the Asian Pacific.

The fossil shell bed was excavated in a 3-months-campaign by the Natural History Museum Vienna in 2008. The oyster bed was covered by up to ten meters of silty sand and clay, which was successively removed. Due to the largely unconsolidated state of the surrounding silty sand, the excavation of the shell bed could be done manually with steel gravers and brushes; no water or any chemicals were added and all shells and fragments remained in their original position. The oyster shells themselves are well preserved and robust. Therefore, no artificial fragmentation occurred during the excavation.

Originally, the shell bed was nearly flat at the time of deposition but has now an undulate surface due postsedimentary tectonic activity. This tectonic phase occurred during the Middle Miocene at least 1–2 million years after deposition and caused a tilting of the units of ca. 25° in western direction. During that tilting, a NW–SE trending fault system developed that caused the current relief. Locally, the displacement by the faults is in the range of few cm.

25 Harzhauser et al. (in press) describe the complex taphonomy of the shell bed, which was 26 formed by a tsunami or an exceptional storm and represents an event deposit sensu Einsele et 27 al. (1991) and Kidwell (1991). As discussed by Harzhauser et al. (2015), the assemblage is 28 not monospecific but contains about 46 molluscan species of which Crassostrea gryphoides 29 predominates in individual numbers (79.4%). The species, such as the potamidid gastropod 30 Ptychopotamides papaveraceus and the venerid bivalve Venerupis basteroti, lived partly within the oyster reef or were admixed from adjacent mudflats and shallow sublittoral 31 32 habitats. As shown by Harzhauser et al. (2015), the fossil bed is parautochthonous. Although the oyster shell bed is clearly not in-situ but reworked, the original community structure still seems to be reflected, which is the basic working hypothesis of this paper. Lack of sorting is indicated by the accumulation of very small and very large shells. Similarly, the equal contribution by left and right valves points to the preservation of the primary composition and contradicts the hypothesis of hydrodynamic sorting and selective transport. Despite the bias of post-event processes, when the exhumed shells were exposed for few years on the seafloor, the rapid subsequent burial preserved most of the original distribution patterns.

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- 9 **3** Materials and Methods

10 **3.1** Data acquisition by Terrestrial Laser Scanning and orthophotos

11 Terrestrial Laser Scanning has triggered a revolution in topographic terrain capturing, 12 especially in the generation of digital terrain models. Methods for generating such models 13 from laser scanning data are discussed by Kraus & Pfeifer (2001) and references therein. 14 Terrestrial Laser Scanning was applied to document the site as georeferenced 3D point cloud 15 (Otepka et al., 2013). A Faro Focus Laser scanner with a nominal point measurement accuracy of 1 mm (std. dev.) in each coordinate and a sampling distance of approximately 1 16 mm was used. The individual point clouds of each scan were transformed first into one 17 18 common coordinate system and then georeferenced by control points to Universal Transverse Mercator (UTM) coordinates (resolution below 2 mm). A robust filter (pre-processing) was 19 20 applied to reduce measurement noise while preserving surface structures like sharp edges 21 (Nothegger and Dorninger, 2009). The surface triangulation is based on the Poisson surface 22 reconstruction method (Kazhdan et al., 2006). The points of this triangulation are used for 23 interpolating a regular grid of heights above the plane of the shell bed using the scientific software OPALS (Pfeifer et al., 2014). In addition, a Canon 60D with a Canon EF 20 mm f2.8 24 25 was used to capture more than 300 photos from a moving platform. The camera was placed 26 approximately orthogonal to the fossil bed. From the photos with a nominal ground resolution 27 of approximately 0.6 mm per pixel an orthophoto mosaic was generated with a resolution of 0.5 mm per pixel. To detect patterns in the distribution and composition of shells two 28 transects (N–S, W–E) were defined, each represented by 42 m² with a central overlap (Fig. 1). 29 All objects within this area were manually outlined on the digital surface model and cross 30 checked based on the high-resolution orthophotos (Fig. 3). 31

Manual outlines are vector datasets in form of manually digitized polygons representing the 1 2 boundaries of the identified specimens. They are created as thematic layer in an ArcGIS environment. The polygon is defined by features such as points (i.e. vertices connected with 3 lines). Each polygon is a 2D visual representation of the manually digitized specimen from 4 5 the adequate orthophoto and its corresponding digital surface model. Further, manually digitized data are organized into a table. This tabular structure has its elements, i.e. numerical 6 7 and descriptive attributes. For instance, numerical attributes are ID, length, orientation, etc. 8 Descriptive attributes are Taxon, side (left, right, unknown), state of preservation (complete, 9 low, moderate, high fragmented), etc.

10 The outline data are composed of about 1000 virtual points (nodes) on average per object and 11 are also stored in the georeferenced ArcGIS database. These allow an automatic calculation of 12 the surface area of each object by using *The Calculate Geometry tool*.

In total, 10,284 objects were defined. Of these, 8169 objects were identified as Crassostrea 13 14 gryphoides of which 1121 are complete shells (see supplementary table); 86% of the 15 specimens display various degrees of fragmentation and are excluded from the size-frequency 16 analysis. Four categories of fragmentation were used: complete shells are fully preserved or 17 display only minor damage, which might have occurred already during the life of the animal 18 (n = 1121). The category low fragmentation comprises shells in which not more than $\frac{1}{4}$ of the assumed length is missing (n = 951). Moderate fragmentation is defined by representing at 19 20 least $\frac{1}{2}$ of the original shell lengths (n = 1638). The category high fragmentation comprises 21 4458 specimens of strongly damaged shells representing less than ¹/₄ of the complete shell. 22 Note that the attribute fragmentation does not contain any information on abrasion. The 23 fragments usually show sharp fractures and therefore, the main cause for fragmentation seems 24 to be predatory and hydrodynamic breakage. The ratio between left and right valves is balanced (0.98). The distribution of the shells is not uniform, occasionally featuring areas of 25 higher shell densities, which seem to reflect former colony-like concentrations. 26

27 3.2 Shell length and area

Crassostrea gryphoides shows a very broad range of morphologies, ranging from elongate shells to strongly curved and sigmoidal shapes (Fig. 4). Therefore, measuring shell length as straight line, as done in other extant and fossil *Crassostrea* species, is inadequate. To overcome this problem, we evaluated shell length based on the 2D center line. Centre line length is the term used in photogrammetry and aims for capturing the real shell length as far
 as possible. Here it is an imaginary curved line spanning the maximum length of the shell.
 The advantage of this method is that the center line will approximate the "real" lengths of the
 curved and irregularly shaped shells much better than any manual attempt in the field.

5 For the automatic determination of the center line we used the shell margins, which comprise 6 about 1000 points on average. For easier calculation the outline point number was reduced to 7 100 and then filtered to points with close to even spacing. In the next step, a Delaunay 8 triangulation was calculated between the filtered outline points (Delaunay, 1934), constrained 9 by the edges between the outline points. To find the center line for each oyster outline, the Voronoi diagram was formed (Voronoi, 1908) from the triangulation. The edges between 10 neighboring Voronoi vertices within the boundary are the medial axis transform (MAT) for 11 the oyster outline (Aichholzer et al., 1996). The longest 2D path in this tree was found using 12 Dijkstra's algorithm between MAT end points (Kirk, 2015). 13

The center line lengths of 1121 complete *Crassostrea* shells, rounded to the nearest mm, range from 48 mm to 602 mm with a mean of 237 mm (σ =89 mm) (Fig. 5A). The data distribution displays a positive skewness of 0.52 and the Shapiro-Wilk test excludes normal distribution for raw data and log10-transformed measurements. Area data range from 1708 mm² to 56755 mm² with a mean of 16983 mm² (σ =8414 mm²) (Fig. 5B). These data show also a positive skewness (0.83) and normal distribution is rejected by the Shapiro-Wilk test.

Based on the manual outlines, the exposed shell area can be deduced directly. Area data are slightly underestimated because shells are not always exposed parallel to the bedding plane but may be somewhat oblique. Despite the fact, that area data are somewhat biased by oblique shells, the correlation between center line lengths and areas is highly significant (raw data: r = 0.92, p < 0.001; log10-transformed: r = 0.93, p < 0.001) (Fig. 6).

25 **3.3** Length frequency data

Non-normal distribution of length-frequencies is a common pattern in extant *Crassostrea*reefs (e.g. Coakley, 2004; Baqueiro Cárdenas and Aldana Aranda, 2007; Harding et al., 2008;
Nurul Amin et al. 2008; Ross and Luckenbach, 2009; Goslier et al., 2014). It results from
seasonal and/or annual recruitment with distinct cohorts (sensu Powell et al., 2006, 2015;
Southworth et al., 2010). For instance, the (sub)tropical *C. madrasensis* and *C. rhizophorae*display a distinct annual recruitment peak (Nurul Amin et al., 2008; Mancera and Mendo,

1996). In multiannual communities this results in a right-skewed distribution due to the loss 1 2 of old specimens by natural mortality and shell loss. For extant *Crassostrea* reefs, the analysis of the cohorts is routinely performed using Bhattacharva's model or the EM-Algorithm of 3 Dempster et al. (1977), which tries to detect normal distributions within the length-frequency 4 5 data. Consequently, in order to test for cohort mixing, lengths of C. gryphoides were subjected to mixture analysis, a maximum-likelihood method for estimating the parameters of 6 7 two or more univariate normal distributions, based on a pooled univariate sample (Hammer, 8 2015). Statistical analyses were performed in PAST versions 2.17c and 3.06 (Hammer et al. 9 2001). Akaike's Information Criterion (AIC) was used to test the goodness of fit of the maximum likelihood estimates to the length-frequency data. 10

In log10-transformed length frequency diagrams, the maximum likelihood based analysis reveals lowest AIC values for four or five cohorts. Similarly, log-transformed area data have lowest AIC values if four or five cohorts are detected. Assuming more groups does not lower the AIC or the computed cohorts comprise unrealistic narrow cohort ranges, which are nested within larger ones.

16 **3.4 Growth model**

17 Kirby et al. (1998), Kirby (2000, 2001) and Kirby and Jackson (2004) used ligamental 18 increments of fossil and recent Crassostrea species to estimate individual life spans, assuming 19 that increments are formed annually. The ligamental area of these Crassostrea species is typically structured by alternating transversal, growth ridges and furrows, oriented 20 21 perpendicular to growth direction, corresponding to phases of rapid and low calcification. The 22 specimens from the Stetten site lack such well-defined ridges. In all specimens of C. 23 gryphoides analyzed by Harzhauser et al. (2010) for stable isotopes, the counting of 24 increments would have resulted in a large overestimation of the life spans. Similarly, Alam and Das (1999) documented a clear misfit between growth increments and age for the extant 25 26 C. madrasensis. Therefore, we restrict our age estimates solely on growth rates of C. 27 gryphoides deduced from stable isotope profiles published by Harzhauser et al. (2010). 28 According to these authors, a shell from Stetten attained 43 cm in length at an age of 11 years 29 and the second one from a slightly younger horizon was 63 cm long at an age of 16 years. 30 These values document an average growth rate of ~ 3.9 cm per year and might serve as a base for rough age estimates for the complete adult shells from the Stetten shell bed. 31

For juvenile shells, this estimate would be wrong due to the non-linear mode of growth 1 2 known from extant Crassostrea species, which show very high initial growth rates (Kennedy et al., 1996). Similarly, growth rates of *Crassostrea gryphoides* seem to decline in very old 3 and large specimens as shown for a 78-cm-long and 41-year-old shell from the upper 4 5 Langhian of Austria (Harzhauser et al., 2010). To cope with the non-linear growth, growth curves of extant Crassostrea species are routinely calculated with the von Bertalanffy 6 equation (von Bertalanffy, 1934). This equation is $SL_t = SL_{max}(1-e^{-k(t-t0)})$, where SL_t is shell 7 8 length at time t, SL_{max} is the asymptotic shell length, t₀ is the size at time 0, and k is a rate 9 constant. Herein, we used the length of the center line of each shell as SLt as this measure 10 captures the real growth length of the partly strongly curved or sigmoid specimens. For SL_{max} 11 we used the size-to-age data of the 78-cm-long shell, which is the largest individual known so 12 far.

13 **3.5** Ratio between chalky and foliate layers

14 The calcitic *Crassostrea* shells consist of two structures: thin but densely spaced foliate layers separated by thick layers of light-weight chalky material (Stenzel, 1971; Higuera-Ruiz and 15 Elorza, 2009). This fast growing structure is interpreted to be a major adaptive advantage of 16 17 Crassostrea to impede drilling predation and to prevent from sinking in the soft bottom 18 (Seilacher, 1984; Chinzei, 1995; Kirby, 2001; Vermeij, 2014). In fossil shells the chalky layer 19 is completely recrystallized and has the same density as the foliate layer. Nevertheless, it is 20 optically easily recognized by its lighter color and the nearly opaque appearance. A polished longitudinal section of an articulated C. gryphoides shell (providing data for left and right 21 22 shells) was scanned and the ratio between both shell structures was quantified by image analysis (Fig. 7). This method is only an approximation to the true value, as the ratio may 23 vary locally (Durve and Bal, 1960; Chinzei, 1995), but it is clearly an improvement compared 24 25 to former studies that used only linear transects or sectors within the shell (Durve and Bal, 1960; Chinzei, 1995; Kirby, 2001). 26

The image analysis of the cross-section documents proportions of 64% chalky to 36% foliate layers for the right shell and of 61% to 39% for the left shell. The density of the chalky layer when wet ranges around 1.15-1.32 g cm⁻³ (Chinzei, 1995) and the density of the foliate layer ranges around 2.2-2.5 g cm⁻³ (Chinzei, 1995; Yoon et al., 2003) and has a clear upper limit by the density of calcite (2.7 g cm⁻³). Using 1.2 g cm⁻³ for the chalky layer and 2.2 g cm⁻³ for the foliate layer as rough estimates, mean density results in 1.84 g cm⁻³ and 1.81 g cm⁻³ for the

right and left valves, respectively. Shell density in *Crassostrea* species is independent from
age and size (Lombardi et al., 2013) and therefore the density estimates can be applied to the
entire data set.

4 **3.6** Shell volume

5 The volume of nine individual shells was determined using close range laser scanning technology, which provides high resolution models with sub-mm resolution. The specimens 6 were selected from the collections of the Natural History Museum and vary in center length 7 8 from 141 mm to 406 mm; four of these shells represent left-right shell pairs of an individual 9 (Fig. 4). The data were captured with a measuring arm (METRIS MCA, 3600 M7). A hand-10 help triangulation laser scanner (a laser plane and a camera) was mounted at the end of two 11 arms of fixed length with flexible joints. The laser scanner takes measurements with a 12 maximum scan rate of 80 stripes per second with a strip-width of about 200 mm; the camera 13 has a resolution of 1000 dots per strip.

14 In the first step, more than half of each shell was scanned and in the second step the other 15 half. The overlap between both parts was more than 70%, which was sufficient for successful registration of the scanned parts. During this registration process, the geometric 16 17 transformation is determined, which puts the two 3D laser point clouds together based on the 18 points in the overlapping part. This procedure is done using the iterative closest point (ICP) 19 algorithm (Glira et al., 2015). The resulting point cloud is analyzed in order to reduce noise 20 and thus improve the surface description. Outliers (wrongly determined points not on the 21 surface) were manually eliminated. Additionally, the raw point cloud (over 1.5 million points 22 per shell) was uniformly sub-sampled to allow interactive handling. The final resolution is 23 better than 0.18 mm (i.e. around 25 points per mm²). For volume calculation the point cloud 24 has to be transformed into a closed mesh. Remaining holes, non-manifold surfaces and 25 additional not connected components were identified and removed. Finally, the surface area 26 of the mesh and its volume were computed using the algorithm of Mirtich (1996).

Based on the 9 measured shells a relation between center line length and volume can be deduced. The largest shell measured is 406 mm long but no empirical volume data are available for larger shells, because these cannot be removed from the site. Therefore, the von Bertalanffy equation would not be applicable for shells larger than ~40 cm. Consequently, we chose a logistic function to approximate the inverse von Bertalanffy equation:

 $v = \frac{3.2439E06}{1+118.86e^{-0.0099889SL}}$, where v is the volume in mm³ and SL the center line length in mm 1 (Fig. 8). Applying this equation to all shells results in a total volume of 393273 cm^3 with a 2 mean shell volume of 350.8 cm³ (σ =313.7). These values do not change significantly if a non-3 linear Gompertz growth model is assumed as frequently done for Crassostrea (Lopes et al., 4 2013; Ginger et al., 2013). The respective equation $v = 1.978E08 e^{-9.1401e^{0.0013762x}}$ results in 5 a total volume of 398474 cm³. Applying the above discussed average shell gravity of 1.82 g 6 7 cm^{-3} results in a total carbonate mass of ~715 kg (logistic) to ~725 kg (Gompertz) for all 1121 8 shells. Thus, based on the age models of the shells, the annual carbonate production per shell can be calculated, which ranges from 74 g yr⁻¹ (σ =2.9) (Gompertz) to 83 g yr⁻¹ (σ =2.8) 9 (logistic), accounting for ~150 g yr⁻¹ per living oyster individual (= two valves). 10

11

12 4 Discussion

13 **4.1** From lengths to cohorts

14 Based on the assumption that the size-frequency groups represent age classes it is apparent to 15 establish length-at-age relationships. Applying the von Bertalanffy equation to the length data reveals a strongly right-skewed distribution with 50% of the shells ranging between 3 and 6 16 17 years (Fig. 9A). The frequency of specimens between 6 and 9 years decreases rapidly and the 18 contribution by shells older than 9 years is subordinate although outliers with up to 16 years 19 occur. Again the non-normal distribution of the von Bertalanffy growth model data suggests 20 cohort mixing and the mixture analysis assumes at least four significant cohorts with low AIC 21 value. Due to the rareness of large and aged shells, the fourth cohort displays a rather low 22 amplitude and we assume that at least two natural cohorts may be amalgamated in this group. 23 This suggests more or less continuous recruitment accentuated by very successful settlement 24 peaks every 2 or 3 years. Similarly, comparable patterns in extant Crassostrea reefs are linked 25 to fluctuating mortality rates and changing recruitment success (Southworth et al., 2010). The 26 data show that old and large shells are rare. The reason for this may be a generally high mortality during the early years of growth, resulting in low survival rates and few old 27 28 specimens. The high amount of fragments of large shells, however, suggests that a distinctly 29 higher proportion of large shells existed but became successively destroyed.

1 4.2 Shell loss and mortality

2 The rapid decline of old shells is a combination of two processes. First, natural mortality will 3 result in a tail of large shells. Second, shells of died-off Crassostrea are known to have 4 surprisingly short half-lives ranging from few years to few decades (Powell et al., 2006. 2015; 5 Waldbusser et al., 2011). Natural degradation processes, such as fragmentation, dissolution 6 and hydrodynamic export may account for 30 % loss of shells per year (Southworth et al., 7 2010). The high amount of fragments with sharp edges suggests that fragmentation is a major 8 factor in our case. The importance of hydrodynamic export cannot be evaluated due to the 9 limited outcrop area. Dissolution, however, is a minor factor as the well preserved shell-10 surfaces lack any signs of chemical degradation. Based on the declining amplitudes of the 11 cohorts the total shell loss can be computed as exponential decay function (Fig. 9B) revealing initial half-lives of less than 4 years. The high proportion of fragmented, abraded and/or 12 13 bioeroded oyster shells (fragments: n = 7047) would balance the "missing frequencies" easily. 14 We have no evidence for age-specific shell destruction and assume that all age classes were 15 equally affected by fragmentation.

Overall, under the environmental conditions as present in the Early Miocene estuary, a diedoff *Crassostrea gryphoides* reef would have been fully degraded within one or two decades if not buried below the taphonomically active zone (Olszewski, 2004). The high sedimentation rates in the rapidly subsiding basin with rates (0.6m/kyr, Zuschin et al., 2014), warranted a rapid burial of the reef, thus capturing the population structure.

21 **4.3** *Crassostrea* as carbonate factory?

22 The image analysis based estimation of chalky and foliate layers shows that the values for 23 chalky layers are slightly lower than reported for other fast-growing Crassostrea species. The 24 volume of chalky layers in left shells of the extant Crassostrea "gryphoides" (sensu Newton 25 and Smith, 1912) is ~70% (Durve and Bal, 1960). The shells of the Miocene Crassostrea titan 26 (Conrad, 1853) and C. gravitesta (Yokoyama, 1926) were reported to comprise even up to 27 ~90% of chalky deposits (Chinzei, 1995; Kirby, 2001) but this may be a slight overestimation, neglecting the high amount of dense calcite in the hinge areas. A re-evaluation of the 28 illustrated specimen of C. gravitesta in Chinzei (1995) using our method reveals a somewhat 29 lower but still high value of 77% chalky layer. The gravity of the fast growing C. titan, C. 30 gravitesta and C. cahobasensis (Pilsbry and Brown, 1917) was estimated to range around 31

1.35–1.40 g cm⁻³ (Chinzei, 1995; Kirby and Jackson, 2004). These values are very close to the 1 empirical data on the fast growing C. ariakensis (Fujita, 1913) $(1.44 \pm 0.12 \text{ g cm}^{-3})$ measured 2 by Lombardi et al. (2013). Slightly higher values are given for C. gigas (1.63 \pm 0.35 g cm⁻³, 3 4 mean = 1.58) by Chinzei (1995). Generally, species with low amounts of chalky layer have much higher densities; e.g., C. virginica shells range around 2.18–2.35 g cm⁻³ (Kirby and 5 Jackson, 2004; Lombardi et al., 2013). Our gravity estimate of ~1.82 g cm⁻³ for C. gryphoides 6 7 is thus somewhat higher than expected for such a growth type. In fact, large parts of the shells 8 are very light-weighed and fit well to the patterns discussed by Chinzei (1995) and Kirby 9 (2001). The main difference is the large proportion of heavy shell material in the huge umbos 10 and hinges.

11 A comparison of the growth curve of *C. gryphoides* with the von Bertalanffy growth models 12 of fossil and extant Crassostrea species (Fig. 10) reveals this oyster as outstandingly fast 13 growing species. Thus, C. gryphoides was an important carbonate producer in Neogene 14 estuaries and lagoons where it lived as a secondary soft-bottom dweller in dense colonies in a 15 mixed mode of shell-supported reclining and mud sticking (sensu Seilacher et al. 1985; Seilacher and Gishlick, 2014). Therefore, dense populations with more than 100 individuals 16 per m^2 can be expected. Even within the shell bed, which is clearly not in-situ, the average 17 18 density is 129 shells (~64 individuals) per m^2 (including also moderately fragmented shells). This would point to a hypothetic annual carbonate production of up to 15 kg m⁻² with the 19 oyster reef. Although this calculation is just a very rough estimate, it indicates that the 20 21 carbonate production is in the range of fast growing coral reefs with productions of 6–10 kg m⁻² yr⁻¹ (Montaggioni, 2005; Jones et al., 2015). A major difference, however, is the rapid 22 23 shell loss in Crassostrea reefs, which prevents the formation of rigid and stable structures comparable to coral reefs. 24

25

26 **5 Conclusion**

Crassostrea gryphoides was the fastest growing and largest Crassostreinae species known so
far. Despite the fact, that this species could attain outstanding individual ages of four decades
(Harzhauser et al., 2010), the bulk of specimens analyzed herein lived less than 10 years,
typically growing up to about 300 mm in length.

The non-normal distribution in the size, area and age frequency data are best explained by the presence of distinct recruitment cohorts, comparable to modern oyster reefs. About four

cohorts are detected by mixture analysis and the rapidly decreasing amplitudes of frequency 1 2 of these cohorts is interpreted to reflect the combined effect of mortality, the declining life expectancy with age, and the shell loss by biotic and physical factors. As no accumulation of 3 large and aged shells occurred, whilst the amount of fragments is high, we assume that shell 4 5 loss is an important factor to explain the strongly right-skewed distribution. Shell half-lives ranged around 2–4 years and within less than two decades the seemingly rigid and persisting 6 7 structure of a *Crassostrea gryphoides* reef could have been completely degraded. This may 8 explain the rareness of in-situ C. gryphoides reefs in the fossil record although the shells are 9 frequent and ubiquitous.

10 The significant growth rate is clearly boosted by the formation of up to 64 % percentage of 11 fast-growing and lightweight chalky material. The subtropical climate with warm winter 12 temperatures above c. 10°C and the nutrient-rich setting in an estuary will additionally have 13 supported the excessive growth.

Due to its fast growth and large shells, the carbonate production of *C. gryphoides* is outstanding. Dense colonies might have produced around 15 kg m⁻² yr⁻¹ of carbonate, which is within the range of fast growing coral reefs. Therefore, this oyster may have been a major carbonate producer in the circum-Tethyan area throughout the Miocene. In contrast to coral reefs, however, the high shell loss rates did not allow to form stable persistent structures.

19

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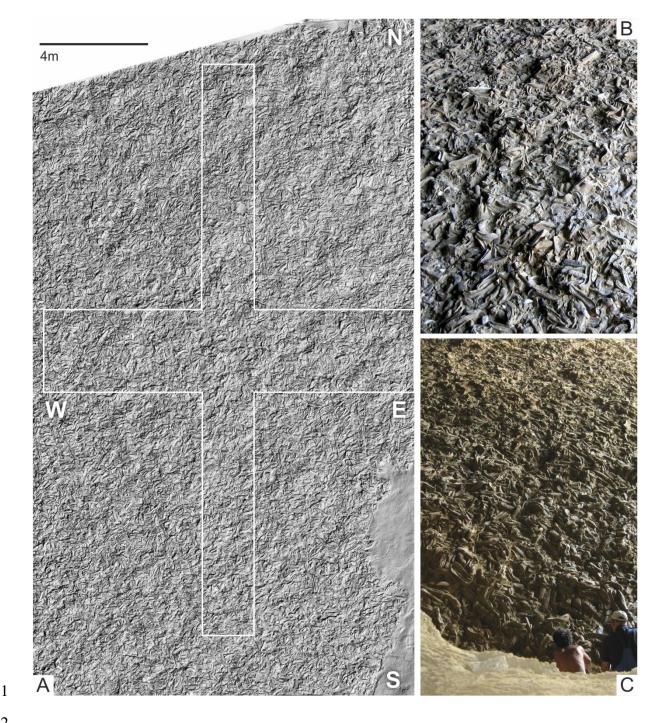


Figure 1. Part of the digital surface model of the shell bed; the white cross indicates the area,
within which all objects were digitally outlined and evaluated. It contains 1121 complete
shells of *C. gryphoides* and 7047 fragments of that species (A). outcrop pictures of the shell
bed illustrating the density and extent of the shell bed (B–C), B: width c. 2 m; C: see workers
as scale).

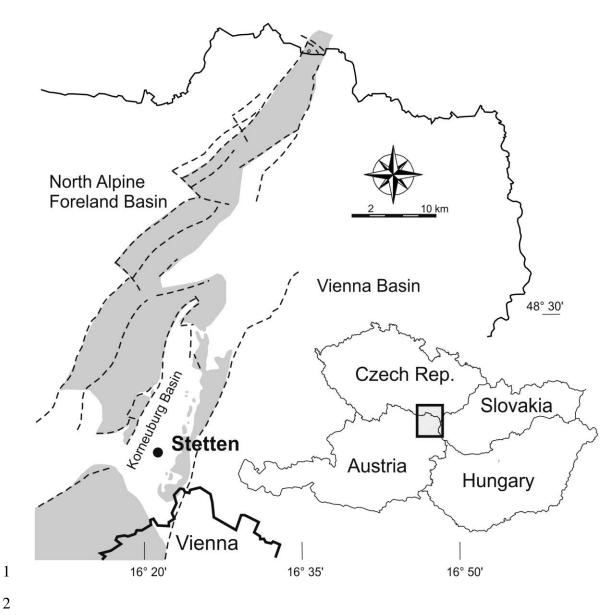


Figure 2. Geographic position of the Stetten site within the Korneuburg Basin north of Vienna in Austria; shaded areas represent pre-Miocene basement; dashed lines are major faults (modified from Dellmour and Harzhauser, 2012).

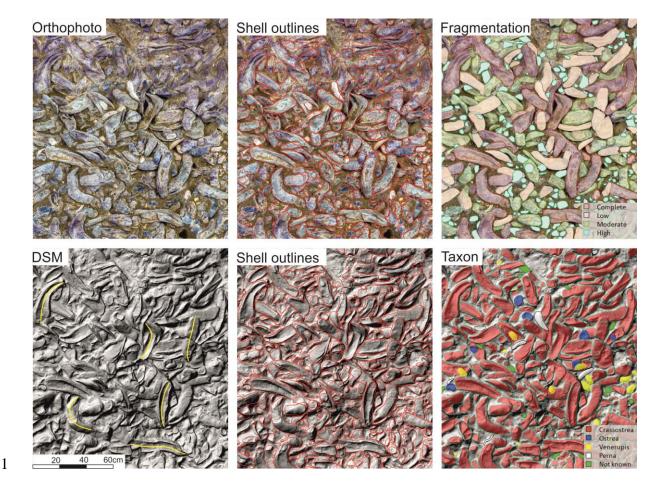




Figure 3. Examples of the data acquisition: orthophoto and digital surface model (DSM) are
used to define shell outlines manually. Together with various attributes, such as degree of
fragmentation and taxon ID, these data are georeferenced in an ArcGIS database. Yellow lines
in the DSM are examples of center lines.

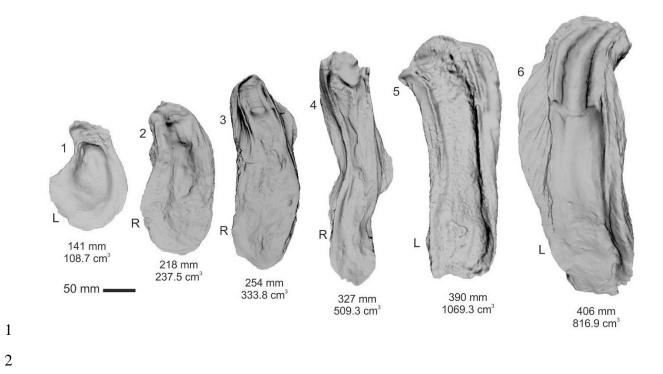
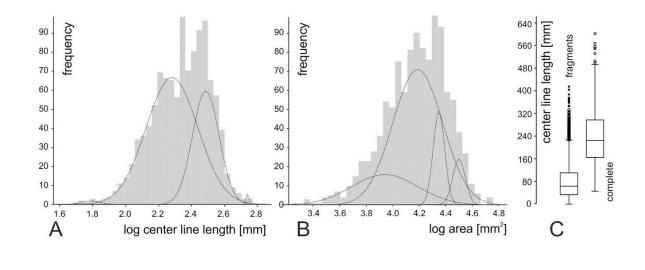
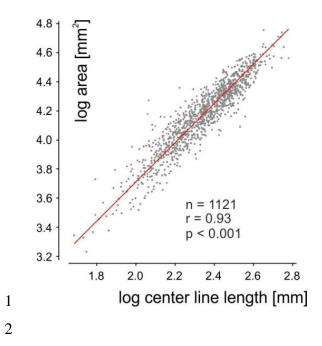


Figure 4. Models of 6 shells based on high resolution laser scanning data of shells from the collections of the Natural History Museum. These specimens document the broad range of morphologies and were used for volume calculations; L = left shell, R = right shell.



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Figure 5. Size frequency diagrams for center line length and area data (log transformed) with cohorts (dashed lines) as detected by mixture analysis (A–B). Box-plot illustrating the strongly right-skewed distribution for fragments (n=7047) and a clear separation from the size distribution pattern of complete shells (n=1121) (C).



3 Figure 6. Regression analysis revealing a significant correlation between length and area of

- 4 complete shells.
- 5

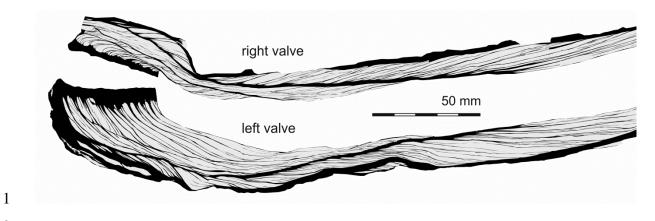


Figure 7. Longitudinal section through *C. gryphoides* from the oyster reef site showing the
high amount of chalky layers (grey) and the low amount of foliate layers (black).



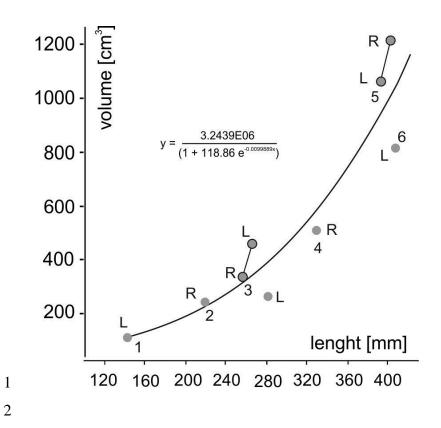


Figure 8. Logistic function showing the relation between center line length and shell volume
based on empirical measurements of 9 shells (dots); L = left shell, R = right shell; shell pairs
are linked; numbers correspond to specimens illustrated in Fig. 4.

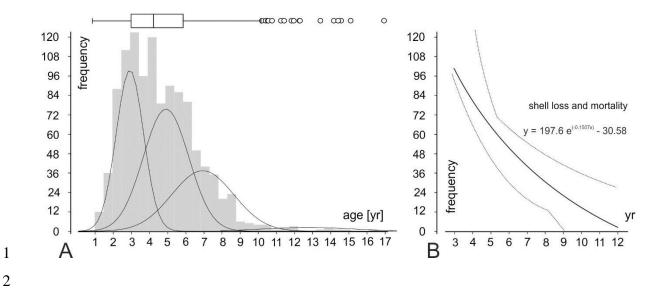


Figure 9. Age frequency data and box-plot of the shells based on center length data
transformed with the von Bertalanffy growth equation. Four cohorts are detected by mixture
analysis (A). Combined effect of natural mortality and shell loss based on an exponential
decay equation derived from the amplitudes of detected cohorts (dashed lines represent 95%
confidence intervals) (B).

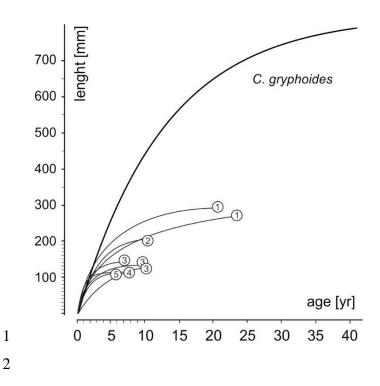


Figure 10. Comparison of von Bertalanffy growth model of *Crassostrea gryphoides* with
selected fossil and extant *Crassostrea* species. 1: *C. titan* (Conrad, 1853), Miocene,
California, USA (Kirby, 2001), 2: *C. madrasensis* (Preston, 1916), recent, Bangladesh (Nurul
Amin et al., 2008); 3: *C. virginica* (Gmelin, 1791), recent and Pleistocene Virginia, USA
(Kirby, 2001; Powell et al., 2011); 4: *C. corteziensis* (Hertlein 1951), recent, Mexico
(Chávez-Villalba et al., 2005); 5: *C. gigas* (Thunberg, 1793), recent, Marennes-Oleron,
France (Berthome et al., 1986).

- 1 Supplementary table: Center line length and area data for 1121 complete shells of *Crassostrea*
- 2 gryphoides. Age, volume, and carbonate mass data are derived from the equations discussed
- 3 in the text.
- 4 Table available online at: http://www-biogeosciences-discuss.net/XXXX