

1 **Upwellings mitigated Plio-Pleistocene heat stress for reef**
2 **corals on the Florida platform (USA)**

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10

1 **Abstract**

2 The fast growing calcareous skeletons of zooxanthellate reef corals (z-corals) represent
3 unique environmental proxy archives through their oxygen and carbon stable isotope
4 composition ($\delta^{18}\text{O}$, $\delta^{13}\text{C}$). In addition, the accretion of the skeleton itself is ultimately linked
5 to the environment and responds with variable growth rates (extension rate) and density to
6 environmental changes. Here we present classical proxy data ($\delta^{18}\text{O}$, $\delta^{13}\text{C}$) in combination
7 with calcification records from 15 massive z-corals. The z-corals were sampled from four
8 interglacial units of the Florida carbonate platform (USA) dated approximately 3.2, 2.9, 1.8
9 and 1.2 Ma (middle Pliocene to early Pleistocene). The z-corals (*Solenastrea*, *Orbicella*,
10 *Porites*) derive from unlithified shallow marine carbonates and were carefully screened for
11 primary preservation suited for proxy analysis. We show that skeletal accretion responded
12 with decreasing overall calcification rates (decreasing extension rate but increasing density) to
13 warmer water temperatures. Under high annual water temperatures, inferred from subannually
14 resolved $\delta^{18}\text{O}$ data, skeletal bulk density was high, but extension rates and overall
15 calcification rates were at a minimum (endmember scenario 1). Maximum skeletal density
16 was reached during the summer season giving rise to a growth band of high density within the
17 annually banded skeletons (“high density band”, HDB). With low mean annual water
18 temperatures (endmember scenario 2), bulk skeletal density was low but extension rates and
19 calcification rates reached a maximum, and under these conditions the HDB formed during
20 winter. Although surface water temperatures in the Western Atlantic warm pool during the
21 interglacials of the late Neogene were ~2 °C higher than they are in the present-day,
22 intermittent upwelling of cool, nutrient rich water mitigated water temperatures off
23 southwestern Florida and created temporary refuges for z-coral growth. Based on the
24 subannually resolved $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ records, the duration of the upwelling episodes causing
25 the endmember 2 conditions was variable and lasted from a few years to a number of decades.

1 The episodes of upwelling were interrupted by phases without upwelling (endmember 1)
2 which lasted for at least a few years and led to high surface water temperatures. This variable
3 environment is likely one of the reasons why the coral fauna is dominated by the eurytopic
4 genus *Solenastrea*, also a genus resistant to high turbidity. Over a period of ~50 years, the
5 oldest subannually resolved proxy record available (3.2 Ma) documents a persistent
6 occurrence of the HDB during winter. In contrast, the HDB forms in summer in modern z-
7 corals from the Florida reef tract. We suggest this difference should be tested as being the
8 expression of a tendency towards decreasing upwelling since the middle Pliocene. The
9 number of z-coral sclerochronological records for the Plio-/Pleistocene is still rather low,
10 however, and requires more data and an improved resolution, through records from additional
11 time-slices. Nonetheless, our calcification data from the warm periods of past interglacials
12 may contribute to predicting the effects of future ocean warming on z-coral health along the
13 Florida reef tract. The inconsistent timing of the HDB within single coral records or among
14 specimens and time-slices is unexpected and contrasts the common practice of establishing
15 chronologies on the basis of the density banding.

16

17 **1 Introduction**

18 The skeletons of photosymbiotic, zooxanthellate corals (z-corals) are highly organized,
19 porous structures formed of the mineral aragonite (CaCO_3). Main structures include the
20 tubular corallites in which the living polyps reside, and (in some taxa) the bulbous
21 coenosteum between the corallites which is covered by a thin layer of living organic tissue.
22 The architecture of the corallites is complicated by a well-defined wall and radially-arranged
23 blades (septa), sometimes more or less axially fused to form a columnar structure within the
24 center (columella), and laterally fused, convex upward sheets (dissepiments) which serve to
25 separate the living tissue from abandoned parts of the skeleton. Representatives of z-corals
26 having this type of organization are the genera *Orbicella* and *Solenastrea*. In *Porites*, the

1 spongy aspect of the skeletal architecture results from laterally fused tiny corallites with
2 perforated walls and irregularly arranged dissepiments. In X-ray images of slices parallel to
3 the axes of the corallites (axes of maximum growth), the skeletons of both groups of massive
4 z-corals display alternating light and dark bands, the “density bands”, which reflect zones of
5 different skeletal density concordant with successive upward growth and former growth
6 stages (Knutson et al., 1972). The origin of the rhythmic density changes has been suggested
7 to have two underlying causes: (i) variations in the density of packing of the sclerodermites at
8 the micro-architectural level, and/or (ii) thickness of the meso-scale skeletal structural
9 elements (septa, costae, columnellae) relative to porosity remaining open (Buddemeier et al.,
10 1974; Dodge et al., 1992; Le Tissier et al., 1994). In the *Orbicella*-type skeleton, the density
11 banding is very pronounced and sharply defined, reflecting the thickness of exothecal
12 structural elements (dissepiments, costae), but not variations in their spacing, whereas it is the
13 overall thickness of the skeletal structures/size-variability of the pore spaces and likely also
14 micro-structural organization within the spongy skeleton and/or the thickness of the tissue
15 layer which causes the density bands in *Porites* (Dodge et al., 1992; Le Tissier et al., 1994;
16 Reuter et al., 2005).

17 A pair of high and low density bands is generally assumed to represent one year of growth
18 and forms the basis for the calibration of internal age models and estimates of rates of annual
19 upward and outward growth of the colony surface (extension rate [cm yr^{-1}]); (Knutson et al.,
20 1972; Lough and Cooper, 2011). Density is a measure of the thickness of the skeletal
21 elements and the total amount of pore volumes (measured as g cm^{-3}): the thicker and more
22 massive the individual skeletal elements/the smaller the pores, the higher and closer will be
23 the density to that of the mineral aragonite (2.9 g cm^{-3}). The two parameters, extension rate
24 and density, combine for estimates of calcification rates according to equation (1) (Lough and
25 Cooper, 2011):

$$26 \quad \text{calcification rate } (\text{g cm}^{-2} \text{ yr}^{-1}) = \text{annual extension rate } (\text{cm yr}^{-1}) \cdot \text{density } (\text{g cm}^{-3}) \quad (1)$$

1 Although a pair of density bands typically corresponds with one year of growth, no universal
2 pattern of band formation and timing of the high density (HDB) and low density (LDB) bands
3 over the seasonal cycle has been found among reef sites world-wide (Highsmith, 1979). Many
4 examples of missing bands or additional bands (“stress bands”) and sequences of double
5 HDBs (dHDB) have been reported (Brachert et al., 2013; Dodge et al., 1992; Highsmith,
6 1979; Leder et al., 1991; Lough and Cooper, 2011; Worum et al., 2007). More recent studies
7 have shown the systematics of calcification to differ among taxa and ocean regions. While
8 temperature tends to boost calcification rates in recent *Z-*corals, temperature effects on
9 extension rate and density markedly differ (Carricart-Ganivet, 2004; Elizalde-Rendon et al.,
10 2010; Lough, 2008; Norzagary-Lopez et al., 2014). In the Indo-Pacific genus *Porites*, linear
11 extension rate shows a significant increase with sea-water temperature but a concomitant
12 decrease in bulk skeletal density (Lough, 2008). Importantly, however, extension rates have
13 been shown to decline at unusually high temperatures (Cantin et al., 2010; De'ath et al., 2013;
14 De'ath et al., 2009). In *Orbicella* from the Western Atlantic, relationships of skeletal growth
15 with ambient water temperature are less clear. In the Gulf of Mexico and Caribbean Sea,
16 linear extension rates decline when skeletal bulk density increases with temperature
17 (Carricart-Ganivet, 2004). In Atlantic *Porites* (Elizalde-Rendon et al., 2010) the response of
18 linear extension with temperature agrees with that of *Porites* from the Indo-Pacific, but no
19 temperature effect on bulk density is evident (Elizalde-Rendon et al., 2010). It has been
20 suggested, therefore, that the calcification strategies of the two coral genera and their species
21 differ with regard to successfully colonizing space on a reef. Likely, *Orbicella* is adapted to
22 high-latitude settings by investing more of their calcification resources into linear extension
23 rather than thickening, i.e. “sacrificing density” in order to occupy space on a reef efficiently
24 near the lower temperature threshold of distribution (Carricart-Ganivet, 2004). In addition to
25 the environment, gender seems to represent another poorly understood component controlling
26 calcification (Cabral-Tena et al., 2013).

1 Some evidence has been documented that calcification does not respond in a linear way to
2 temperature or environmental changes in general (Worum et al., 2007). In one study using
3 stable isotope data from fossil *Porites* (9 – 10 Ma), inconsistent subannual timing of the
4 HDB-LDB rhythms among specimens and within individual specimens has been observed,
5 i.e. shifts in the timing of the HDB from the summer to the winter season and the presence of
6 double HDBs in a single year (Brachert et al., 2013). The reasons for this variable timing of
7 the HDB in z-corals of the same coral taxon at any given site of growth remains poorly
8 known, but may represent the effect of multiple environmental factors acting in concert on
9 non-linear calcification responses (Brachert et al., 2013). One of these factors has been shown
10 to be water depth, i.e. the timing of the HDB varies with water-depth (Klein et al., 1992).

11 Here we present seasonally-resolved stable isotope records from fossil z-corals in
12 combination with data on their calcification history. This study aims at constraining the
13 environment of growth and the annual and seasonal water temperatures on the Florida
14 carbonate platform during some Pliocene and Pleistocene interglacials and how these factors
15 controlled skeletal calcification in z-corals. We discuss the calcification signatures with
16 regard to upwelling and the fate of coral calcification along the Florida reef tract with
17 continued global warming. This study complements a previous study on long-term seasonality
18 recorded by Florida corals and mollusks (Brachert et al., 2014) and forms the basis for a
19 companion paper on fossil z-coral calcification rates (Brachert et al., 2015).

20

21 **1.1 Stratigraphy and oceanography of Florida Platform**

22 The Neogene Florida platform represents a stack of depositional units forming marginal
23 wedges or rather continuous sheets over the southern part of the peninsula. The units are
24 comprised of shallow-marine skeletal carbonate deposits and date from interglacials when
25 sea levels were up to 35 m above present (Dowsett and Cronin, 1990; Miller et al., 2012).
26 Intercalated freshwater sediments and paleosols formed during glacial sea level lowstands

1 (Jones et al., 1991; Petuch and Roberts, 2007). At some time, an extensive reef system existed
2 along the southeastern margin of the platform, associated with a complex system of platform
3 interior environments (Meeder, 1979). Details of the facies, the biota, and the paleogeography
4 of the single stratigraphic units are described elsewhere (Allmon, 1992; Banks, 1967;
5 Brachert et al., 2014; DuBar, 1958; Locker and Doyle, 1992; Meeder, 1979; Petuch, 1982;
6 Petuch and Roberts, 2007).

7 Modern surface hydrology around the Florida peninsula differs substantially on the Gulf and
8 Atlantic sides. On the western shelf, SSTs are strongly linked with winter coolings of the
9 northern Gulf of Mexico and range approximately between 17 to 32 °C. This contrasts with a
10 more subdued seasonal SST cycle along the eastern coast ranging between 22 to 30 °C. This
11 pattern is modified during El Niño / La Niña events and positive / negative phases of the
12 North Atlantic Oscillation (NAO) causing either wet and cool or dry and warm deviations
13 from seasonal average (Böcker, 2014). Upwelling occurs episodically and intermittently on
14 both sides of the peninsula and depends on the prevalent seasonal wind systems and the
15 strength of the Loop Current (LC) and Florida Current (FC) systems (Fernald and Purdum,
16 1981). On the eastern side, upwelling occurs during summer, in connection with an intensified
17 FC (Fernald and Purdum, 1981; Pitts and Smith, 1997; Yang, 1999), whereas on the western
18 Florida shelf, more sustained upwellings occur in winter and respond essentially to an
19 intensified LC and wind assisted Ekman transport. A concomitant shoaling of the
20 thermocline in the LC causes an intrusion of sub-surface water onto the shelf and shallow
21 water zones (Li and Weisberg, 1999).

22

23 **1.2 Materials**

24 The z-corals studied derive from four chronostratigraphic units of the Florida carbonate
25 platform representing interglacial highstands of sea level and spanning collectively the period
26 from the middle Pliocene to the early Pleistocene (Tab. 1). Sampling sites in southern Florida

selected for this study are pits for carbonate gravels and dewatering canals exposing carbonate sediments with well-established stratigraphic position (middle Pliocene to early Pleistocene) (Fig. 1, Table 1). Most of the fossil samples were taken years ago by Edward Petuch (Boca Raton, USA) when the gravel pits were dry through pumping and allowed for documenting and sampling exactly according to stratigraphic position. In order to improve the database for the present study, this material was complemented by one specimen described in the literature (Roulier and Quinn, 1995) and additional specimens collected by our group from spoil piles adjacent to the gravel pits and canals because the outcrops are presently flooded with groundwater. Materials from spoil piles are reworked and sediments not in their original stratigraphic position, though all fossils from the spoils were considered to derive from the stratigraphic unit exposed on site. Collections are dominated by specimens of *Solenastrea* ($n = 12$), but also include *Orbicella* ($n = 2$) and *Porites* ($n = 1$), both as entire coralla (<20 cm) and fragments of large coralla (<60 cm). The scleractinian genus name *Orbicella* is used for corals previously assigned to the *Montastraea annularis* group according to the revised taxonomic classification of the reef coral family Mussidae (Budd et al., 2012).

16

17 **1.3 Methods**

18 The fossil corals ($n = 15$, Tab. 1) were cut into slabs of <1 cm thickness along the plane of
19 maximum growth using a conventional rock saw at lowest speed and equipped with a water
20 cooled diamond blade. All corals were screened for diagenetic alteration using a binocular
21 microscope and SEM. In order to detect minimal contaminations by secondary calcite,
22 powder samples taken at random were prepared for X-ray diffraction (XRD) and analysed
23 using a Rigaku Miniflex diffractometer with scanning angles of 20° to 60° . The detection
24 limit of the method is ~1%. Only skeletal areas that retained their original aragonite
25 mineralogy, skeletal porosity and microstructure with no evidence for significant secondary
26 crystal growth or dissolution (microscopic and SEM observation) were accepted for further

1 analyses. Coral slabs of equal thickness were X-rayed using a digital X-ray cabinet (SHR 50
2 V) to identify potential zones of diagenetic alteration (McGregor and Gagan, 2003; Reuter et
3 al., 2005) and to document cyclic density variations, i.e. the density bands (Knutson et al.,
4 1972). Density bands were defined visually along the zones of maximum change in the gray
5 scale of the radiographs.

6 Quantitative measurements of density were made using X-ray densitometry (Helmle et al.,
7 2002). Measurements were undertaken along transects parallel to the corallites and parallel to
8 the isotope transects (see below). The individual measurement transects were carefully
9 selected so as not to cross secondary cavities resulting from bioerosion, e.g. borings from
10 bivalves and potential zones of diagenetic alteration. Bulk skeletal density was calculated as
11 the mean of all individual measurements along a given transect. Calibration of the
12 measurements was tested by measurements of standards for zero density (air) and massive
13 aragonite (slice of an aragonitic bivalve shell having a thickness equaling that of the coral
14 slice). External analytical precision was tested by multiple measurements, and mean deviation
15 from regression ($R^2 = 0.9$) was found to be $0.04 \pm 0.01 \text{ g/cm}^3$ (range $0.02 - 0.06 \text{ g/cm}^3$), i.e.
16 better than 5%. All quantitative data of density and linear extension are given as mean values
17 and standard deviations ($\pm 1\sigma$).

18 Z-coral stable isotope data described here are the same as reported by Brachert et al. (2014)
19 supplemented by data from two additional *Solenastrea* samples (Tab. 1). Sample powders for
20 stable isotope analysis were taken using a microdrill fixed to a manually operated X/Y/Z
21 table. A 0.6 mm drill bit and a drilling depth of 1 mm yielded $>20 \mu\text{g}$ of sample powder from
22 the theca wall. Sampled corallites were selected according to their orientation parallel to the
23 surface of the coral slices in order to avoid geometric distortions between stable isotope
24 cycles and the density bands (Le Tissier et al., 1994). For sampling of the corallite wall, all
25 endothecal skeletal elements such as septae, columella and endothecal dissepiments were
26 removed prior to sampling using a hand held microdrill. For technical reasons, we sampled

1 the inner surface of the corallite wall instead of its external side (endothecate sampling
2 method of Leder et al., 1996). Sampling the cleaned inner surface of the corallite wall assured
3 all potentially existent secondary overgrowths (early or late diagenetic cements) were
4 removed prior to sampling. Samples for isotopic analysis were taken at equal distances of 0.5
5 mm (or 0.7 mm for coral sample 452K1). In *Porites* we used a simplified technique where the
6 sample drilling was made without prior cleaning of inner corallite surfaces. Our sampling
7 approach assured the calculation of annual extension rates on the basis of the number of
8 samples per oxygen isotope year. Oxygen isotope years for the age models were defined by
9 the most positive $\delta^{18}\text{O}$ values assuming them to reflect maximum winter conditions. The age
10 models were further refined by linear interpolation of sampling points between winter values
11 (Brachert et al., 2006a). One long *Solenastrea* $\delta^{18}\text{O}$ record was spliced together from four
12 overlapping transects along parallel corallites using the software package AnalySeries
13 (Paillard et al., 1996). In order to document the relationships of stable isotope data with the
14 density bands, steel balls were placed within some of the drill holes of the sampling path and
15 the coral slices X-rayed again.

16 Oxygen and carbon stable isotope analyses were carried out at the Institute of Geophysics and
17 Geology, Leipzig University. Carbonate powders were reacted with 102% phosphoric acid at
18 70°C using a Kiel IV online carbonate preparation line connected to a MAT 253 mass
19 spectrometer. All carbonate values are reported in per mil (‰) relative to the PDB standard
20 according to the delta notation. Reproducibility was checked by replicate analysis of
21 laboratory standards and was better than $\pm 0.04\text{‰}$ (1σ) for carbon ($\delta^{13}\text{C}$) and better than
22 $\pm 0.06\text{‰}$ (1σ) for oxygen isotopes ($\delta^{18}\text{O}$). Water values of $\delta^{18}\text{O}_w$ are reported vs. SMOW. The
23 seasonal difference in $\delta^{18}\text{O}$ values is given as Delta – delta values ($\Delta\delta^{18}\text{O}$). For calculations of
24 paleotemperatures, we followed the methodology described by Leder et al. (1996). SMOW to

1 PDB conversions were made according to the relationships given by Friedman and O'Neil
2 (1977).
3 Statistical analyses were performed using the PAST paleontological statistics software
4 package (version 3.01) for education and data analysis (freeware *folk.uio.no/ohammer/past/*).
5 Stable isotope data ($\delta^{18}\text{O}$, $\delta^{13}\text{C}$) were evaluated using the T-test. A linear bivariate model was
6 tested as to whether there were no statistical differences in the stable isotope values in a
7 dataset ($p > 0.05$) against the alternate hypothesis that there were significant differences ($p <$
8 0.05). Equality of regression slopes was tested using the F-test as assumed by analyses of
9 covariance (ANCOVA).

10
11 **2 Results**

12 **2.1 Macroscopic and microscopic aspect of the coral samples**

13 In outcrop, coral specimens were selected according to the retention of all anatomical features
14 of the corallites and a low weight taken to imply the absence of secondary cements and
15 mineral transformation/recrystallisation. Upon microscopic investigation using SEM (Fig.
16 2/A-G), the skeletons display stacked spherulites or fans and layers of fibrous aragonite which
17 represent the microstructures typical of scleractinian corals (Constantz, 1986; Nothdurft and
18 Webb, 2007). Within the centers of the single calcifying units (sclerodermites), porosity is
19 more or less enhanced and the aragonite crystallites are particularly small, granular in shape
20 and have no preferential orientation (Fig. 2/B, C, E). The fiber crystals of the sclerodermites
21 display bladed or platy morphologies (Fig. 2/E), whereas fibers with beaded shape and
22 rounded crystal rims (Fig. 2/C) enclosing submicron-sized, rounded channels at crystal
23 contacts are of minor abundance (Fig. 2/G).

24 XRD analyses of the skeletons documented 100 % aragonite with no measurable amount of
25 secondary calcite. Also, in stereo-microscope and SEM view, skeletal surfaces are smooth and
26 devoid of syntaxial overgrowths or continuous crusts of secondary incrustations of cement

1 (Fig. 2/A, D, F), except for rare patches of isopachous or radial aragonite cement occurring at
2 random within a few specimens and rare biogenic incrustations (Böcker, 2014). Near-surface,
3 open, straight tubular cavity systems, commonly Y-branched, with diameters <5 µm and
4 parallel to skeletal surfaces (Fig. 2/D) are less than 1 % by volume and probably caused by
5 endolithic fungi (Nothdurft and Webb, 2007).

6 *Interpretation:* XRD analyses did not reveal any measurable amount of calcite which agrees
7 with the results of microscopic and radiographic visual inspections documenting no
8 significant amounts of secondary calcite cements. Early marine aragonite cements
9 representing common modifiers of skeletal porosity in recent z-corals (Nothdurft and Webb,
10 2009) have not been recorded on a regular basis in our material and represent rare occurrences
11 of patches of small spherulites rather than isopachous rims of acicular cement (Böcker, 2014).
12 Thus, precipitation of secondary cement was volumetrically not important, neither at sea floor
13 as aragonite, nor as calcite formed during late stages of diagenesis.

14 Enhanced porosity at the centers of calcification (Fig. 2/B) and channels along crystal
15 boundaries, rounded crystal rims, and tiny beaded crystals within the centers of calcification
16 are all potential effects of post-mortem dissolution (Fig. 2/C, G). Evidence for secondary
17 aragonite – aragonite transformations (Perrin, 2004) has not been observed in SEM. Taken
18 together, all cements and possible dissolution features are never volumetrically important as
19 to visibly blur the density bands documented in x-radiographs (see below). For this reason, we
20 consider the skeletons to be in a mode of preservation suitable for measurements of stable
21 isotope proxies and calcification rates.

22

23 **2.2 Stable isotope data and linear extension rates**

24 The bulk stable isotope compositions of the z-corals studied were calculated as the arithmetic
25 mean of all measurements in a given specimen. The bulk values range from -3.56 to -1.42 ‰

1 (mean $-2.59 \pm 0.65 \text{ ‰}$) in $\delta^{13}\text{C}$ and -3.49 to -2.04 ‰ (mean $-2.75 \pm 0.37 \text{ ‰}$) in $\delta^{18}\text{O}$ resulting
2 in a significant positive correlation ($R^2 = 0.39$; $p = 0.013$) (Fig. 3).
3 All corals display cyclic variations in $\delta^{18}\text{O}$ and in $\delta^{13}\text{C}$, interpreted to reflect seasonal cycles
4 of sea surface temperature (SST), seawater $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_w$), the ratio of symbiont photosynthesis
5 vs. heterotrophic feeding, and $\delta^{13}\text{C}$ of seawater DIC. The mean amplitude of the $\delta^{18}\text{O}$ cycle
6 ranges from 0.96 to 2.25 ‰ (mean $1.5 \pm 0.41 \text{ ‰}$; Tab. 2). The mean annual maximum and
7 minimum $\delta^{18}\text{O}$ values are $-1.87 \pm 0.60 \text{ ‰}$ (range -2.74 to -0.85 ‰) and $-3.49 \pm 0.32 \text{ ‰}$ (range
8 -4.03 to -3.02 ‰), respectively.
9 For $\delta^{13}\text{C}$, we present the bulk values of the z-corals which range from -3.56 to -1.42 ‰ with a
10 mean of $-2.59 \pm 0.65 \text{ ‰}$ (Tab. 2). We do not present statistics for the seasonal amplitude of
11 $\delta^{13}\text{C}$ because the variation of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ is not necessarily in phase within a year and no
12 independent age model has been used for $\delta^{13}\text{C}$. Phase relationships among the $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$
13 cycles differ between individual coral colonies as expressed by the correlation of their $\delta^{18}\text{O}$
14 and $\delta^{13}\text{C}$ data. Three well expressed patterns exist: positive correlation, no correlation, and
15 negative correlation (Fig. 4, Tab. 2). Positive correlations denote spatially coincident
16 negative/positive isotope values whereas negative correlations are the expression of
17 coincident positive and negative peaks of the isotope cycles (= 180° phase shift). No
18 correlation is less straight forward to interpret and has two possible underlying causes: (1) a
19 phase shift between 0° and 180° or, (2) the absence of any well expressed cyclic signal in
20 $\delta^{13}\text{C}$. Relationships of the coefficient of correlation from subannual $\delta^{18}\text{O}/\delta^{13}\text{C}$ values with
21 skeletal $\delta^{18}\text{O}$ values are noisy and barely significant; nonetheless, a significant positive
22 correlation exists with mean annual $\delta^{18}\text{O}$ ($R^2 = 0.28$; $p = 0.050$) but not so with mean
23 seasonality ($R^2 = 0.13$; $p = 0.214$) and mean peak summer values ($R^2 = 0.08$; $p = 0.317$), but
24 to some degree with mean peak winter values ($R^2 = 0.22$; $p = 0.087$). All seasonally resolved

1 coral records are shown in Fig. 4, and an overview of the main compositional trends is given
2 in Tab. 2.

3 In the seasonally resolved datasets, a positive correlation exists between bulk $\delta^{18}\text{O}$ and
4 winter- $\delta^{18}\text{O}$ ($R^2 = 0.90$; $p < 0.001$) and summer- $\delta^{18}\text{O}$ ($R^2 = 0.80$; $p < 0.001$), respectively,
5 however, the slopes of the two relationships significantly differ and document large $\delta^{18}\text{O}$ -
6 seasonality to coincide with more positive bulk $\delta^{18}\text{O}$ and small $\delta^{18}\text{O}$ -seasonality to coincide
7 with more negative bulk $\delta^{18}\text{O}$ (equality of slopes can be rejected at $p < 0.001$) (Fig. 6). A
8 positive relationship also exists between bulk $\delta^{13}\text{C}$ and the means of peak seasonal $\delta^{18}\text{O}$ ($R^2 =$
9 0.61 ; $p = 0.001$ and $R^2 = 0.28$; $p = 0.051$), but the slopes of the relationships remain
10 indistinguishable (equality of slopes cannot be rejected at $p = 0.78$), i.e. seasonality does not
11 change with bulk $\delta^{13}\text{C}$ (Fig. 6). Further, the mean of the maximum values in $\delta^{18}\text{O}$ and mean
12 seasonal $\delta^{18}\text{O}$ contrast ($\Delta\delta^{18}\text{O}$) display a positive correlation ($R^2 = 0.76$, $p < 0.001$), whereas
13 there is no such relationship among means of the minimum $\delta^{18}\text{O}$ values and mean $\Delta\delta^{18}\text{O}$ (R^2
14 $= 0.22$, $p = 0.069$) (Fig. 7). For the sake of simplicity in the following text, we use the terms
15 mean summer for the mean of the minimum values and mean winter for the mean of the
16 maximum values of $\delta^{18}\text{O}$.

17 From this pattern we infer the following general nature of the $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ cycles: (1) Low
18 seasonality coincides with particularly negative bulk $\delta^{18}\text{O}$ values, whereas bulk $\delta^{13}\text{C}$ has no
19 relationship with seasonality, (2) variability in the seasonality of the $\delta^{18}\text{O}$ cycle ($\Delta\delta^{18}\text{O}$) is an
20 effect of variations of the mean winter $\delta^{18}\text{O}$ values only, whereas the mean summer $\delta^{18}\text{O}$
21 values display little variation, (3) mean peak winter $\delta^{18}\text{O}$ values are increasingly positive in
22 parallel with the bulk $\delta^{18}\text{O}$, and (4) the phase shift between the $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ cycles increases
23 with more positive winter $\delta^{18}\text{O}$ values of the $\delta^{18}\text{O}$ cycles. (5) Bulk $\delta^{13}\text{C}$ values are particularly
24 negative in specimens displaying negative mean summer and winter $\delta^{18}\text{O}$ (Fig. 6). These

1 relationships imply a causative link between bulk $\delta^{18}\text{O}$, $\Delta\delta^{18}\text{O}$ and the phase relationship of
2 $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$.
3 Z-corals from one single sampling site or between sites do not exhibit any consistent
4 distributional systematic of the three $\delta^{13}\text{C}/\delta^{18}\text{O}$ correlation patterns described above, i.e. all
5 three patterns might be encountered at one single site and, therefore, no systematic
6 distribution exists over geological time and inconsistent patterns over geological time are not
7 the effect of potential shortcomings of stratigraphic classifications.

8

9 **2.3 Calcification**

10 In positive prints of radiographs all corals display well expressed alternations of light and
11 dark bands arranged parallel to the surface of the corallum (the colonial skeleton) and normal
12 to the direction of maximum growth of the corallites (Fig. 8). No specimens without density
13 bands, or specimens displaying a patchy concentration of zones with high or low density,
14 except for the expression of large borings by bivalves, were documented in the material
15 recovered (Fig. 8).

16 These alternating bands of high and low density are commonly present in massive heads of z-
17 corals, and referred to as “density bands” because the changes in gray tones of the radiographs
18 reflect density variations of the coral skeleton (Knutson et al., 1972; Lough and Cooper,
19 2011). The alternating density bands without any indication of patchy or blurred density
20 variations is an indication of the good preservation of the original skeletal density variation
21 without any secondary modifications through diagenesis. In this study, however, we do not
22 use the density bands for calibrating internal chronologies and calculating linear extension
23 rates but use the oxygen isotope cycles instead (Fig. 8). Skeletal linear extension rates were
24 established from $\delta^{18}\text{O}$ cyclicity, and they range from 0.16 to 0.83 cm yr^{-1} , with a mean of 0.49

1 $\pm 0.22 \text{ cm yr}^{-1}$ (Tab. 3). More details on the determination of linear extension rates and
2 relationships with the $\delta^{18}\text{O}$ cycles are given in the “methods” section.

3 With regard to the $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ cycles, no consistent relationship was found with the density
4 bands. Rather, within any given fossil sample, maximum skeletal density either coincides with
5 the maximum or minimum $\delta^{18}\text{O}$ values, but specimens with an irregular timing of the HDB
6 with respect to the $\delta^{18}\text{O}$ cycle are also present. We express the relationship of the density
7 bands and $\delta^{18}\text{O}$ cycles by the winter-HDB portion (Fig. 9, Tab. 2). The winter-HDB portion
8 was calculated as the ratio of the number of winter-HDBs and the total number of HDBs in a
9 stable isotope record; the summer-HDB and intermediate-HDB portions were calculated
10 respectively. In one of the two long records (452K1) the overall timing of the HDBs is
11 irregular with a low summer-HDB portion, although within short segments of a few years of
12 duration, the timing of the HDBs is uniform and related either to maximum, minimum or
13 intermediate $\delta^{18}\text{O}$ values (Fig. 4, Tab. 2). Because the corallites sampled were selected
14 according to their orientation parallel to the surface of the coral slabs, asynchronies between
15 stable isotope cycles and density bands are not an artifact of distortions in our X-ray images
16 (Le Tissier et al., 1994). With regard to the distribution of the patterns on the scale of a reef
17 (geological outcrop) or geological time (time-slice), we do not observe any consistent pattern;
18 rather all types of density band / $\delta^{18}\text{O}$ relationships were recovered at one single site or time-
19 slice.

20 Quantitative measurements of density were performed in transects arranged parallel to the
21 corallites and transects of the isotope measurements. Bulk density, calculated as the means of
22 all individual measurements along a transect is highly variable among corals with a range
23 from 0.6 to 1.2 g cm⁻³ (mean $0.9 \pm 0.2 \text{ g cm}^{-3}$). Bulk density and extension rate display a
24 significant negative correlation ($R^2 = 0.42, p = 0.003$). Over time, no significant changes in
25 density were recorded ($R^2 = 0.04, p = 0.438$).

1

2 **3 Discussion**

3 **3.1 Interpretation of the stable isotope systematics**

4 Linear positive correlations of paired $\delta^{13}\text{C}/\delta^{18}\text{O}$ data are common in skeletal carbonates and
5 have been shown to be related to kinetic isotope effects responding to variable rates of
6 skeletogenesis (McConaughey, 1989). Kinetic behavior involves simultaneous depletion of
7 $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ with respect to isotopic equilibrium which results in a positive correlation of
8 $\delta^{13}\text{C}/\delta^{18}\text{O}$ along a straight line between equilibrium and values 10 to 15 ‰ more negative in
9 $\delta^{13}\text{C}$ and 4 ‰ in $\delta^{18}\text{O}$ than expected from equilibrium precipitation (McConaughey, 1989).

10 The positive correlation of the paired bulk stable isotope values from the fossil Florida z-
11 corals, however, is not a kinetic signature because a positive correlation of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ is
12 not necessarily present in the seasonal data which do show all transitions from positive
13 correlation to no correlation and negative correlation among the various coral specimens.

14 Furthermore, average linear skeletal extension rates of the Florida fossils are rather high ($n =$
15 15; mean = $0.49 \pm 0.22 \text{ cm yr}^{-1}$; Tab. 3) which rules out variability of the serial stable isotope
16 data presented in this study having no environmental meaning (McConaughey, 1989). The
17 positive trend of bulk $\delta^{13}\text{C}/\delta^{18}\text{O}$ as recorded by the Pliocene and Pleistocene z-corals,
18 therefore, represents a distinct environmental proxy record (Fig. 3). The pattern may have at
19 least two different underlying causes: (1) a proximity trend reflecting a continuum of settings
20 from freshwater-influenced environments with the most negative $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ values
21 towards near-shore-restricted, and finally open, well mixed environments with the most
22 positive stable isotope signatures (Andrews, 1991; Joachimski, 1994), or (2) variable
23 upwelling of cool, nutrient enriched subsurface water masses. According to scenario (1),
24 corals with the most positive $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ signatures may be interpreted as the most marine
25 and the least affected by environmental restriction and hinterland effects. Such a trend of

positive correlation between bulk oxygen and carbon stable isotope values of the fossil z-corals is not, however, present in data from modern and Holocene z-corals from Florida Bay, Florida Reef Tract, and Dry Tortugas (Fig. 1, 3). In these corals, bulk stable isotope values display substantially larger variation than in the Pliocene and Pleistocene fossils, and range from -4.07 to -0.20 ‰ in $\delta^{13}\text{C}$ ($n = 11$; mean -1.53 ± 1.31 ‰) and $\delta^{18}\text{O}$ from -4.11 to -2.47 ‰ (mean $-3.64 + 0.46$ ‰) with a negative correlation ($R^2 = 0.40$; $p = 0.036$) (Fig. 3). The negative correlation, however, is an artifact of the set of literature data available to us and is lost if the coral data from Florida Bay and from the reef systems were considered separately (reef tract only: $n = 10$; $R^2 = 0.02$; $p = 0.668$). In the recent corals, the negative $\delta^{13}\text{C}$ value derives from a single Florida Bay coral and records the low $\delta^{13}\text{C}$ of the DIC in the bay waters formed by oxidative decay of organic matter and/or vegetative respiration (Halley and Roulier, 1999). In the fully open-marine settings of the reef tract positive skeletal $\delta^{13}\text{C}$ reflects the marine carbon source of the DIC modified by metabolic effects. There, spatially variable skeletal $\delta^{13}\text{C}$ records also derive from bay waters leaving the bay through passes in the Florida Keys where they mix with waters of the reef tract (Swart et al., 1996) (Fig. 1, 3). In contrast, the $\delta^{18}\text{O}$ values from z-corals are essentially identical among reef sites along the present-day reef system and are predominantly controlled by SST effects with minor modifications by $\delta^{18}\text{O}_w$ (Leder et al., 1996; Smith, 2006). Skeletal $\delta^{18}\text{O}$ values in Florida Bay are the most positive and reflect a high temperature signal to be overcompensated by the counteracting effects of evaporation in conjunction with influx of pre-evaporated freshwaters from adjacent swamps (Swart et al., 1996). For these reasons, the modern Florida model is likely not a good analogue for understanding the middle Pliocene to early Pleistocene records. This inference has also been made from the highly diverse Plio-Pleistocene reefs in southwest Florida (Meeder, 1979). In contrast, in the upwelling scenario (scenario 2) increasingly positive $\delta^{18}\text{O}$ reflects surface water cooling in response to upwelling of cool nutrient rich

1 subsurface waters, while concomitant increasingly positive skeletal $\delta^{13}\text{C}$ documents enhanced
2 organic productivity (Berger and Vincent, 1986). Below, we will discuss the significance of
3 the $\delta^{18}\text{O}$ cycles for a plausible identification of the mechanisms behind the stable isotope
4 record.

5

6 **3.2 Significance of the $\delta^{18}\text{O}$ cycles**

7 The annual $\delta^{18}\text{O}$ cycle is typically represented by 7 samples, however, the resolution ranges
8 from 2 to 21 samples per cycle ($n = 185$; mean 7.0 ± 3.3 samples cycle $^{-1}$). Irrespective of the
9 number of samples over a cycle, we consider the cycles to represent a seasonal signal which is
10 used for defining the internal age models of the corals and for calculating annual linear
11 extension rates (Tab. 3). Although there is little doubt the $\delta^{18}\text{O}$ cycles reflect seasonality,
12 sampling resolution within a year has been suggested to have a measurable effect on the
13 amount of reconstructed seasonality (Leder et al., 1996). Earlier work on fossil corals has
14 suggested a minimum of 4 samples in a year to be sufficient to resolve the seasonal cycle in
15 geological data (Brachert et al., 2006b). For this reason, we consider our records a useful
16 approximation to paleoseasonality during the late Neogene.

17 Mean summer $\delta^{18}\text{O}$ values of the fossils display little variation around their mean, whereas
18 mean winter values display high variability and a strong link with mean $\Delta\delta^{18}\text{O}$ variability
19 (Fig. 7). For this reason, variability of $\Delta\delta^{18}\text{O}$ is a function of variable winter values. For
20 evaluating the question whether variability of winter $\delta^{18}\text{O}$ values is a temperature or seawater
21 effect, we use the bulk $\delta^{13}\text{C}$ data. Bulk $\delta^{13}\text{C}$ shows no relationship with $\Delta\delta^{18}\text{O}$, i.e. the amount
22 of variation in $\Delta\delta^{18}\text{O}$ is not related with seasonal changes of the isotopic composition of the
23 DIC as might occur through freshwater discharge or upwelling (Fig. 6). For this reason,
24 significant subannual variations in $\delta^{18}\text{O}_w$ are not very plausible as an explanation for the

1 observed variable seasonality which is rather controlled by fluctuations of the winter
2 temperature.

3

4 **3.3 Paleotemperatures**

5 For quantitative temperature reconstructions, the isotope composition of the ambient water
6 itself plays a critical role. Because the oxygen isotope composition of the paleoseawater is not
7 known, we use the modern seawater composition at the Florida reef tract ($\delta^{18}\text{O}_w = 1.1\text{‰}$)
8 (Leder et al., 1996) as a baseline for our reconstructions and for eventually making inferences
9 about paleoseawater $\delta^{18}\text{O}_w$ and the extent of freshwater discharges or evaporation. For our
10 estimates we further assume all corals to have lived within the same water-depth window and
11 type of environment. Following this approach, the mean annual temperature averaged over all
12 coral specimens ($n = 15$) was $22.6 \pm 1.9\text{ °C}$ (range 19.5 to 26.0°C) with an average mean
13 seasonality of $7.2 \pm 1.9\text{ °C}$ (range 4.3 to 10.2 °C). The latter reconstruction is surprisingly
14 similar to modern instrumental seasonality of 7 to 9 °C along the reef tract (Leder et al., 1996;
15 Smith, 2006), but the reconstructed mean annual SST is below present-day's annual mean
16 temperature of 27 °C recorded at Looe Key (Smith, 2006) and ~25°C along the southwestern
17 Florida coast (Fort Myers). In contrast, middle Pliocene to early Pleistocene interglacial
18 temperatures in the western Atlantic warm pool were ~2 °C above present values (O'Brien et
19 al., 2014). For this reason, changes in global interglacial seawater $\delta^{18}\text{O}_w$ and the hydrological
20 balance of the Florida peninsula must be taken into account for interglacials of the late
21 Neogene (Brachert et al., 2014). In order to resolve Pliocene – Pleistocene interglacial SSTs
22 2°C above present, we infer values of local $\delta^{18}\text{O}_w$ with a range between 1.9 to 2.9 ‰ on the
23 basis of the temperature equation of Leder et al. (1996) although middle Pliocene to early
24 Pleistocene global interglacial seawater $\delta^{18}\text{O}_w$ was similar to the present-day, or even more
25 negative (Zachos et al., 2001). Substantially more negative water values for the peninsula of

1 $\delta^{18}\text{O}_w = 1.0 \text{ ‰}$ have also been inferred by modelling Pliocene conditions (Williams et al.,
2 2009).

3 According to this line of reasoning, evaporation should have been an essential driver of
4 Pliocene–Pleistocene bulk skeletal $\delta^{18}\text{O}$, and the z-corals with the most positive bulk $\delta^{18}\text{O}$
5 values being similar in magnitude to the recent Florida Bay coral have an evaporative
6 signature in $\delta^{18}\text{O}$. These corals, however, according to the positive relationship of paired bulk
7 $\delta^{13}\text{C}/\delta^{18}\text{O}$ values, have the most open-marine $\delta^{13}\text{C}$ signature, incompatible with concomitant
8 maximum evaporation archived in skeletal $\delta^{18}\text{O}$. We suggest, therefore, rejecting scenario (1)
9 with evaporation having a strong imprint in $\delta^{18}\text{O}$ signatures in favor of an alternate scenario
10 (2) involving upwelling of cool and nutrient rich waters peripheral to the Florida carbonate
11 platform causing positive bulk $\delta^{13}\text{C}$ signatures and lower than expected water temperatures.

12

13 **3.4 Relationships between stable isotope signatures and calcification 14 systematics**

15 The couplets of light and dark bands visible in radiographs orientated parallel to the
16 individual corallites reflect the successive upward growth of the colony surface and are
17 analogous to bands of density variation reported from modern z-corals (Knutson et al., 1972;
18 Lough and Cooper, 2011). The density bands reflect the coral's response to environmental
19 changes in growth conditions, commonly seasonal, and have, therefore, been used to create
20 multi-annual chronologies and to make reconstructions of environmental change during the
21 last few centuries (Felis and Pätzold, 2004). In contrast to records from modern corals, the
22 couplets of high and low density bands seem not to represent necessarily one year of coral
23 growth, because the $\delta^{18}\text{O}$ cycles do not consistently correspond with the density couplets.
24 Instead, we observe corals from the same site of growth where the HDBs coincide with the
25 most positive, intermediate or the most negative $\delta^{18}\text{O}$ values. Although there is no evidence
26 for the asynchronies resulting from distortions of the bands in the X-ray images, the

1 asynchronies of the density bands and stable isotope cycles bear some risk of representing an
2 artifact of our age models which are based on the most positive $\delta^{18}\text{O}$ values to define the
3 beginning of each year, i.e. the winter temperature minimum. This assumption is only valid,
4 however, under the premise of a dominant temperature control on the $\delta^{18}\text{O}$ values with no or
5 subordinate isotope effects related to evaporation/precipitation. In our material, this
6 assumption is valid for the following three reasons: (1) No evidence exists in the cyclic
7 isotope patterns for some of the cycles to be inverted from being controlled by SST to effects
8 related to evaporation/precipitation. Rather, the $\delta^{18}\text{O}$ cycles are regular, and do not exhibit any
9 erratic pattern on an annual basis as described for a recent *Solenastrea* from Florida Bay
10 subject to variable evaporation (Swart et al., 1996) (Fig. 4). (2) Within individual specimens,
11 the cycles of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ exhibit consistent phase relationships which implies the driver of
12 $\delta^{18}\text{O}$ variability, likely SST, to have been systematically related to an independent
13 environmental parameter, e.g. cloud cover and/or DIC changes due to river discharge in a
14 rainy season or variable symbiont photosynthesis and upwelling, and (3) the amount of SST
15 seasonality inferred from the $\delta^{18}\text{O}$ values is fully consistent with modern seasonality (Leder et
16 al., 1996; Smith, 2006). For these three inferences we suggest the oxygen isotope cycle to
17 represent the more reliable internal chronology than the patterns of density banding, and the
18 rhythm of density banding to have been variable from coral to coral and to some degree
19 within corals. Disparities in skeletal growth rhythms have been reported recently from female
20 and male colonies within one taxon (*Porites panamensis*) with female colonies growing
21 slower and calcification rates being lower than in males (Cabral-Tena et al., 2013). Fossil
22 coral specimens from the same site displaying reciprocal calcification rhythms relative to the
23 oxygen isotope cycles may, therefore, reflect gender differences as well. Sex proportions of
24 female : male colonies in the modern *P. panamensis* are 2:1 (Cabral-Tena et al., 2013),
25 however, our set of data is too small for a statistical evaluation, and gender differences are not
26 documented in the skeleton. Nonetheless, the observed variations in calcification are likely

1 not gender specific, because in some specimens no relationship exists between the $\delta^{18}\text{O}$ cycle
2 and the rhythm of growth banding, whereas it changes in others from the summer mode of
3 HDB formation to the winter mode or vice versa upon continual growth. This is particularly
4 obvious in records of long time-series (Fig. 4, Tab. 2, 3). Interestingly, the timing of the
5 density bands corresponds with annual extension rate (and calcification rate). Small extension
6 rates coincide with HDBs formed during summer ($R^2 = 0.50; p = 0.002$), intermediate
7 extension rates with an irregular timing of the HDBs, and large extension rates with the
8 predominance of winter-HDBs ($R^2 = 0.56; p = 0.001$) (Fig. 9). Bulk density also displays
9 relationships with the chronology of the HDBs: A high summer-HDB portion corresponds
10 with high density ($R^2 = 0.50; p = 0.029$), and high winter-HDB portions with low bulk density
11 ($R^2 = 0.38; p = 0.012$). With regard to calcification rate, corals having winter-HDBs have the
12 highest calcification rates ($R^2 = 0.52; p = 0.003$) and those with summer HDBs have the
13 lowest calcification rates ($R^2 = 0.41; p = 0.014$). This overall relationship differs from modern
14 z-corals of the Western Atlantic region which have summer HDBs but, on average, higher
15 rates of extension and higher density than the fossil corals (own data base, not shown). From
16 this difference we deduce the variability in calcification to be not so much related to gender
17 but rather to the type of growth environment. Interestingly, in a modern reef site from the Red
18 Sea, a distinct water-depth effect on extension rate and the timing of the HDB has been
19 reported (Klein et al., 1993). At a depth of 3 m extension rates are highest and the HDB is
20 formed during winter, whereas at 51 m of water depth extension rates are at lowest and the
21 HDB is formed during summer. This corresponds with a decrease of the
22 phototrophy/heterotrophy ratio (P/H) reflected in $\delta^{13}\text{C}$ (Klein et al., 1993). This shift of the
23 timing is consistent with the data from the Florida fossil z-corals, however, we rule out any
24 water-depth effect, because repeated shifts of the timing of the HDB, and likely also changes
25 in extension rate, are present at the level of single z-coral specimens and not between

1 specimens or sites only. Rather, the fossil data may document changes in the P/H ratio due to
2 turbidity and or food supply for heterotrophic feeding.
3 In addition to the oxygen isotope signal, the carbon stable isotopic signal of the corals
4 displays a more or less distinct cyclic variation with the same wavelength as the oxygen
5 isotope cycle though variably phase shifted. For this reason, it can be considered an annual
6 signal as well. For evaluating the principal driver of $\delta^{13}\text{C}$ variability in the fossil z-corals, we
7 consider the phase relationships of the $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ cycles expressed by the significant
8 correlation coefficients (r). They differ among specimens with values between $r = +1$ (= in
9 phase) and $r = -1$ (= in antiphase). A clear negative linear relationship of r exists with mean
10 annual $\delta^{18}\text{O}$ values ($R^2 = 0.28$; $p = 0.050$), whereas there is no well-defined relationship with
11 the seasonal means: mean winter $\delta^{18}\text{O}$ ($R^2 = 0.22$; $p = 0.087$) and mean summer ($R^2 = 0.08$; p
12 = 0.317) (Fig. 5). This suggests specimens recording rather temperate temperatures and cold
13 winters to have the $\delta^{13}\text{C}$ cycles in antiphase with the $\delta^{18}\text{O}$ cycles, i.e. specimens reflecting
14 cold winter periods have the $\delta^{13}\text{C}$ minimum during winter and vice versa.

15

16 **3.5 Upwelling as a driver of high skeletal productivity?**

17 Enhanced upwelling peripheral to the Florida platform causing cool and nutrient enriched
18 waters to flush the platform was suggested earlier as a cause for high skeletal productivity
19 during the Pliocene and Pleistocene interglacials. This reconstruction was based on
20 taxonomical, paleoecological and taphonomical data (Allmon, 2001; Allmon et al., 1996;
21 Allmon et al., 1995; Emslie and Morgan, 1994; Jones and Allmon, 1995). Most conspicuous is
22 the occurrence of the cormorant bed from the Pliocene, illustrating events of mass mortality in
23 seabirds depending on upwelling (Emslie and Morgan, 1994). For the cormorant bed we tend
24 to infer the upwelling to have been intermittent. Stable isotope evidence found in molluscan
25 shells, however, remains inconclusive with regard to the origin of high productivity (Jones
26 and Allmon, 1995; Tao and Grossman, 2010). In contrast to earlier work, we use a positive

correlation of bulk $\delta^{18}\text{O}$ and bulk $\delta^{13}\text{C}$ as a signature of upwelling (Fig. 3, 10), which documents the combined effects of SST cooling and enhanced organic productivity on skeletal carbonate production (Berger and Vincent, 1986). The $\delta^{13}\text{C}$ in corals is controlled by a number of factors, and the identification of single factors driving z-coral $\delta^{13}\text{C}$ is not currently possible (Swart, 1983). Most important are the activity of the photosymbionts relative to heterotrophic feeding (P/H ratio) and $\delta^{13}\text{C}$ of the DIC in ambient seawater (Klaus et al., 2013; Swart, 1983; Swart et al., 2010). Organic production by zooxanthellae and plankton preferentially consumes ^{12}C , driving coral skeletal $\delta^{13}\text{C}$ towards more positive values (Berger and Vincent, 1986; Swart, 1983). A positive bulk skeletal $\delta^{13}\text{C}$ will, therefore, reflect either a high longer-term P/H ratio, organic production, or a combination of both, and specimens displaying positive bulk skeletal $\delta^{13}\text{C}$ in conjunction with positive bulk $\delta^{18}\text{O}$ values will correspondingly reflect increased photosymbiont activity during cool years or prolonged upwelling. In order to sort out the principal driving mechanism, we have identified two endmember scenarios in the isotope and calcification data (Fig. 10). Endmember 1 is represented by z-corals with the most negative bulk $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ values, low $\Delta\delta^{18}\text{O}$ and positive correlation of the subannually resolved $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ data (positive r). We suggest it to represent a hot water situation with low organic productivity and low seasonality; maximum organic productivity occurred during winter. Annual skeletal extension rates were low, bulk density high, and bulk calcifications rates were low; the HDB formed during summer, likely in parallel with lowest extension rates. Endmember 2 has the most positive bulk $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ values, high $\Delta\delta^{18}\text{O}$ and negative r. Annual skeletal extensions rates were high but bulk density low; annual calcification rates were high as well, and the HDB formed during winter. Relative to endmember 1, it represents a more temperate situation with high bulk organic productivity and high temperature seasonality; maximum organic production occurred during summer (Fig. 10).

1 Low organic productivity in endmember 1 is likely an effect of hot, oligotrophic surface
2 waters as indicated by combined negative bulk $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$. Maximum symbiont activity
3 and skeletal calcification occurred during winter, whereas they were low during summer time,
4 likely because light saturation was reached at excessive summer SSTs causing photoinhibiton,
5 bleaching or expulsion of photosynthetic algae (McConaughey, 1989). A shallow depth of
6 growth of the corals seems not to be the crucial factor here, because many corals record
7 fluctuations between both endmember stages (Fig. 4). Expulsion of symbiotic algae is perhaps
8 the most likely cause because modern *Solenastrea* dominating our samples is known to be
9 facultatively zooxanthellate (Allmon, 1992). Under the cooler annual SSTs of endmember 2,
10 organic productivity and skeletal calcification were high, particularly during summer. Organic
11 production, planktonic and/or zooxanthellate, were on average higher than in scenario 1, and
12 maximum production during summer likely reflects the positive interference of planktonic
13 and zooxanthellate productivity on skeletal $\delta^{13}\text{C}$ during the warm and sunny season in a
14 context of longer-term upwelling. Because recorded SST seasonality was high under these
15 conditions, upwelling is likely to have been less intense during summer or to have been
16 complemented by pronounced winter cooling. Winter cooling is compatible with the recent
17 upwelling systems on the western Florida shelf, i.e. a positive interaction of an intensified LC
18 and wind systems favoring Ekman transport of subsurface waters onto the shelf and into the
19 shallow water zone (Fernald and Purdum, 1981; Li and Weisberg, 1999). This inference is in
20 agreement with notions of an intensified LC during interglacials (Nürnberg et al., 2008). The
21 high sea levels during interglacials may have further promoted intrusions of nutrient rich
22 water masses in the shallow water zone. In present Florida, exceptionally wet and cool winter
23 seasons also occur during El Niño events. Subdecadal, El Niño-type cyclicity has been shown
24 to be present in sclerochronology records from corals in Florida and the Pacific and may
25 explain the interannual variabilityof our datasets (Roulier and Quinn, 1995; Watanabe et al.,
26 2011).

1 With regard to the systematics of z-coral calcification, it is important to note that the
2 formation of the HDB dates during the period of minimum organic production, likely
3 minimum zooxanthellate activity, in both endmember scenarios. We conclude the HDB is,
4 therefore, the expression of maximum skeletal density developed during periods of minimum
5 skeletal extension and minimum calcification rates, also because the fossil z-corals having
6 small bulk extension rates have the highest bulk density but minimal rates of skeletal
7 extension and calcification. This calcification model is compatible with modern z-coral
8 calcification patterns (Carricart-Ganivet, 2004) and fits the environmental reconstructions for
9 the two endmember scenarios. Endmember 2 reflects growth conditions more suitable to z-
10 coral growth than endmember 1, however, endmember 2 represents a situation with more
11 moderate SSTs than endmember 1. As suggested in previous studies (Brachert et al., 2013;
12 Worum et al., 2007), our work confirms coral calcification to be non-linear, and coral growth
13 during Pliocene and Pleistocene interglacials to have occurred at high temperatures beyond
14 optimum as reflected by low calcification.

15 Although Pliocene global average temperature was higher than today, episodic upwelling may
16 have provided SSTs on Florida platform variably protected from overheating (endmember 2).
17 But upwelling is generally ascribed as an adverse effect on z-coral growth and coral reef
18 accretion because the upwelling deep-water masses potentially cause cold reef kills, impose
19 nutrient stresses and impede carbonate cementation and skeletal calcification through
20 phosphate poisoning and low pH (Hallock, 1988; Hallock and Schlager, 1986; Manzello et al.,
21 2014). These negative effects, however, may be mitigated depending on the intra-annual
22 timing of seasonal upwelling (Chollett et al., 2010), or if upwelling waters derive from rather
23 shallow sources (Riegl and Piller, 2003). In agreement with our inferences regarding
24 calcification in a context of upwelling (endmember 2), a study on z-coral calcification in the
25 Galapagos upwelling zone found a negative effect on density but not so on extension rates and

1 calcification rates which were higher than expected from known relationships (Manzello et
2 al., 2014).

3 Our dataset documents specimens representing the two endmember situations to occur at one
4 single sampling site and the two endmember situations to be recorded even by one single
5 coral specimen (Fig. 4). Therefore, the changes of the two endmember conditions occurred on
6 the time-scale of a few years to decades which seems to have created suboptimal
7 environmental conditions for most z-coral taxa. The abundant occurrence of the eurytopic
8 *Solenastrea*, which is also tolerant to high turbidity, also suggests the platform not to have
9 been a refuge for z-coral growth within the Western Atlantic warm pool as it may be the case
10 in an upwelling regime. In contrast to the global, long-term trend of seawater $\delta^{18}\text{O}$ (Zachos et
11 al., 2001), interglacial $\delta^{18}\text{O}$ values of mollusk and z-corals from Florida platform became
12 increasingly negative over time which implies an increasing moisture import (Brachert et al.,
13 2014), and likely a decrease in upwelling intensity towards the present. The oldest specimen
14 (age 3.2 Ma; Tab. 1) investigated during this study represents a rather continuous record of
15 endmember 2 for ~50 years of duration (Fig. 4D). More records are needed, however, to test
16 if this is a robust temporal trend.

17 It should be noted that modern z-corals at the Florida Reef Tract form their HDBs during the
18 summer season, and therefore, their calcification patterns resemble the endmember 1 situation
19 described in this study. This may possibly imply that further warming of the region will
20 endanger coral growth.

21

22 **4 Conclusions**

23 1. Z-coral skeletons of middle Pliocene to early Pleistocene age from the Florida platform
24 were found in a preservation suitable for stable isotope proxy analysis ($d^{13}\text{C}$, $d^{18}\text{O}$) and
25 measurements of skeletal calcification using annual extension rates and bulk density.

26

1 3. High organic production recorded by the positive bulk $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ signals is likely an
2 effect of upwelling of nutrient rich and cool subsurface waters. Upwelling had a mitigating
3 effect on otherwise hot SSTs which likely promoted enhanced z-coral calcification rates.
4 4. Episodes of upwelling occurred with a few years to tens of years of duration and alternated
5 with periods of non-upwelling. This situation is likely the reason for the unique z-coral fauna
6 dominated by eurytopic *Solenastrea* being also tolerant to turbid water.
7 5. Periods with upwelling and without upwelling differ with regard to the signatures of
8 skeletal calcification. Skeletal sections grown during upwelling have low bulk skeletal density
9 but large annual growth increments reflecting high annual calcification rates. The growth
10 bands of high density (HDB) formed during the cool season (endmember scenario 2).
11 Skeletons grown during periods without upwelling have generally high bulk skeletal density
12 but small annual growth increments reflecting low annual calcification rates and the HDB
13 formed in the warm season (endmember scenario 1).
14 5. We do not know yet whether periods of upwelling were systematically of longer duration
15 during interglacials of the more distant geological past, but the oldest coral record available
16 likely documents the by far longest continuous episode of endmember scenario 2 (~50 years).
17 6. Published proxy data and calcification records from recent Florida z-corals (reef tract) have
18 many traits in common with the fossils of endmember scenario 1. For this reason we suggest
19 they may be endangered by future anthropogenic ocean warming.
20 7. The z-corals display growth bands consistent with skeletal growth stages but the timing of
21 the growth bands was not regular on an annual basis. It varies within specimens and differs
22 among specimens of a single site or between different time-slices. For this reason, it is not
23 necessarily suited for developing internal chronologies and warrants a need after a critical re-
24 evaluation of many modern coral chronologies.

25

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9

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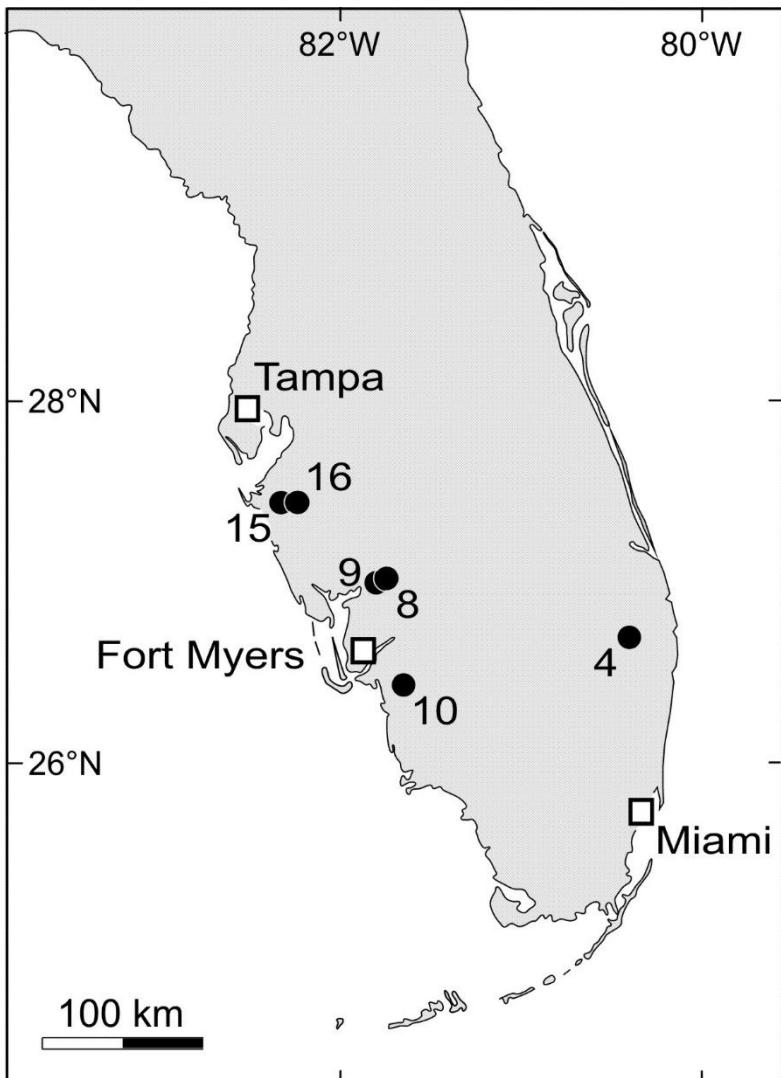
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- 50

1 FIGURE CAPTIONS

2



3
4 Figure 1. Sampling stations in southern Florida/USA (dots). See Tab. 1 for details and numbering of
5 sampling stations.
6

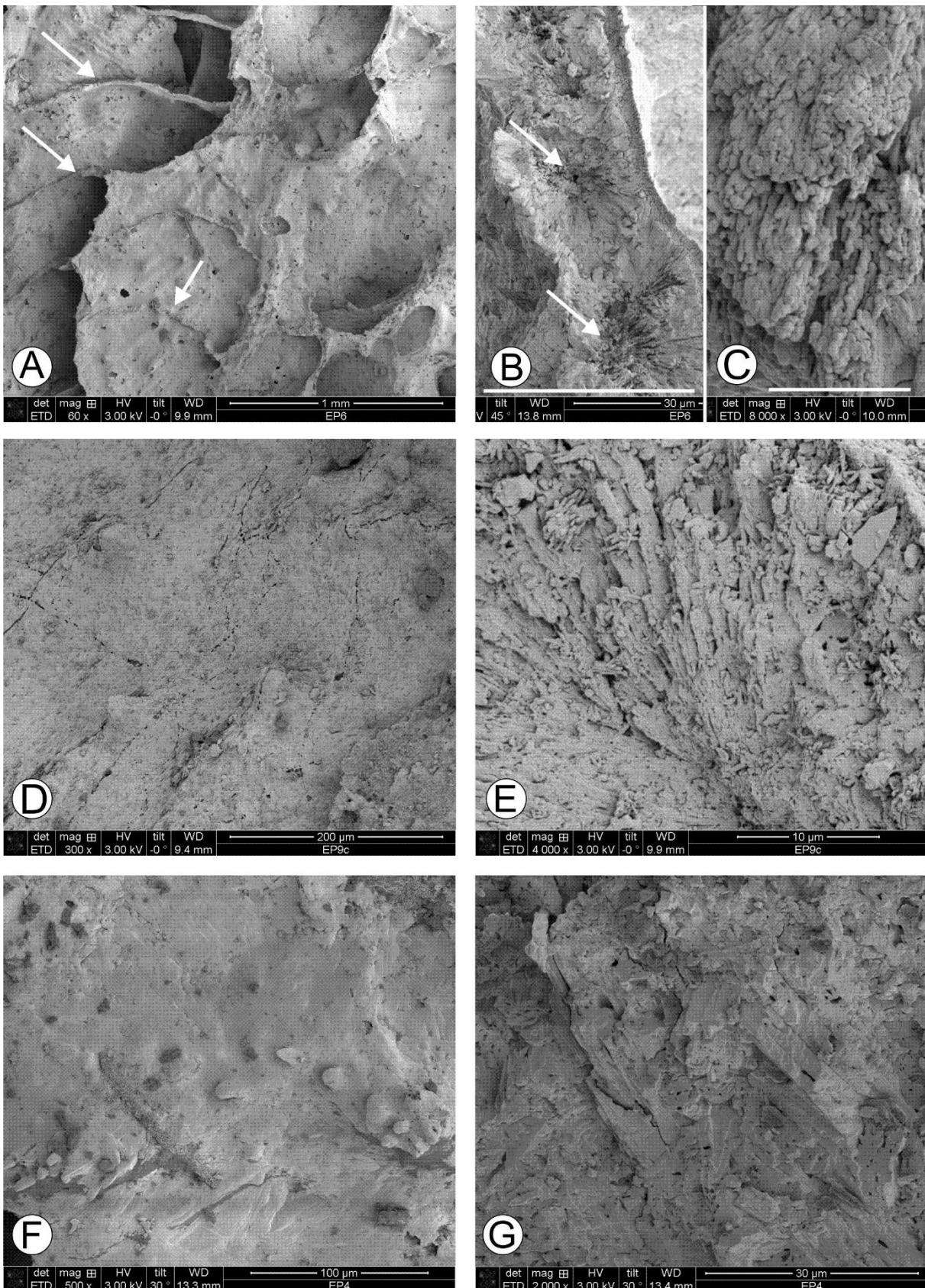
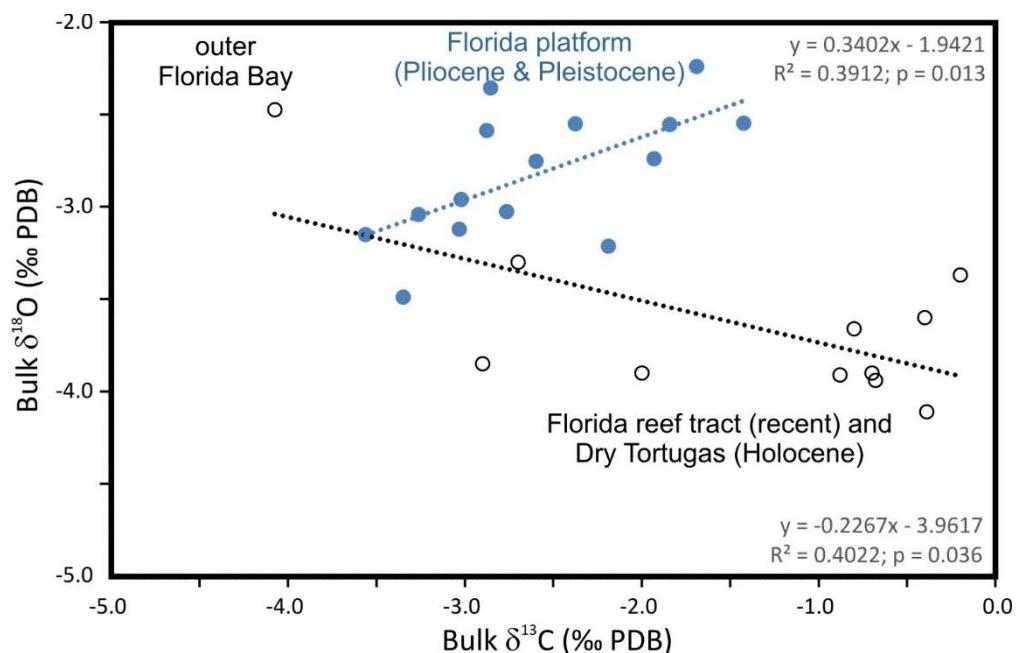


Figure 2: SEM view of septa and dissepiments. A: Septal surface with traces of broken dissepiments. Septa and dissepiments are devoid of biogenic incrustations and inorganic cements (EP6). B: Cross-section of a dissepiment displaying radial fiber architecture of the sclerodermites. The centers of

1 calcification exhibit minor dissolution. C: Detail of a disseipment showing polycrystalline aragonite
 2 fibers composed of granular crystallites (EP6). D: Surface view of a septum. The septum is perforated
 3 by abundant near-surface, filamentous microborings but exhibits no secondary incrustations/cements
 4 (EP9c). E: Cross-section of disseipment showing radial arrangement of bladed crystal fibers (EP9c). F:
 5 Surface view of a septum with biogenic incrustation (EP4). G: Sectional view of the coenosteum
 6 porosity infilled with densely packed fibers of bladed aragonite. Some channel porosity is present
 7 between fibers (EP4). This specimen was not used for density measurements.
 8



9
 10 Figure 3. Bulk stable isotope values of Florida reef corals. Circles: recent and Holocene; Dots:
 11 Interglacial Pliocene and Pleistocene. Recent and Holocene data from literature (Leder et al., 1996;
 12 Leder et al., 1991; Smith, 2006; Swart et al., 1996).
 13

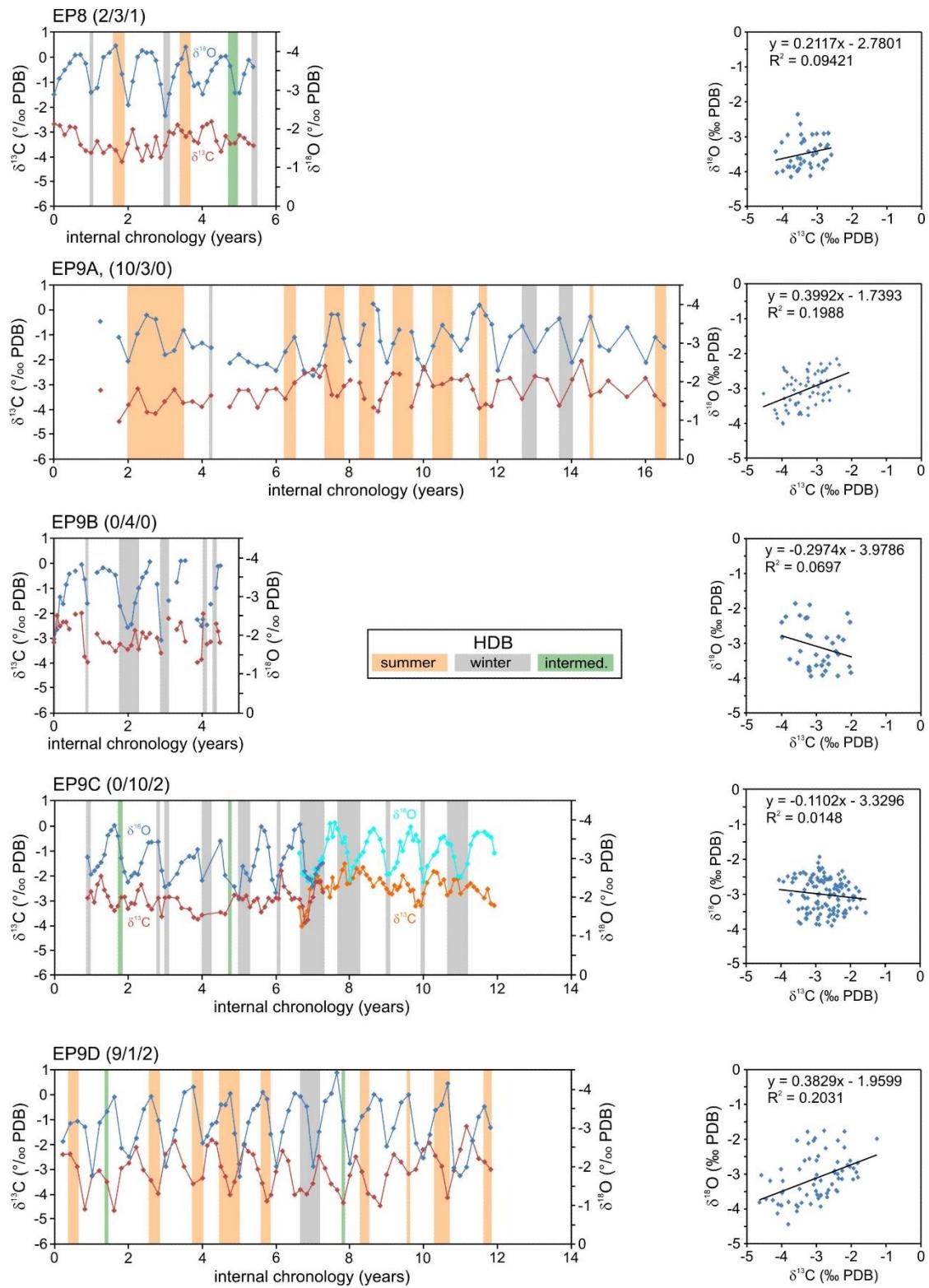
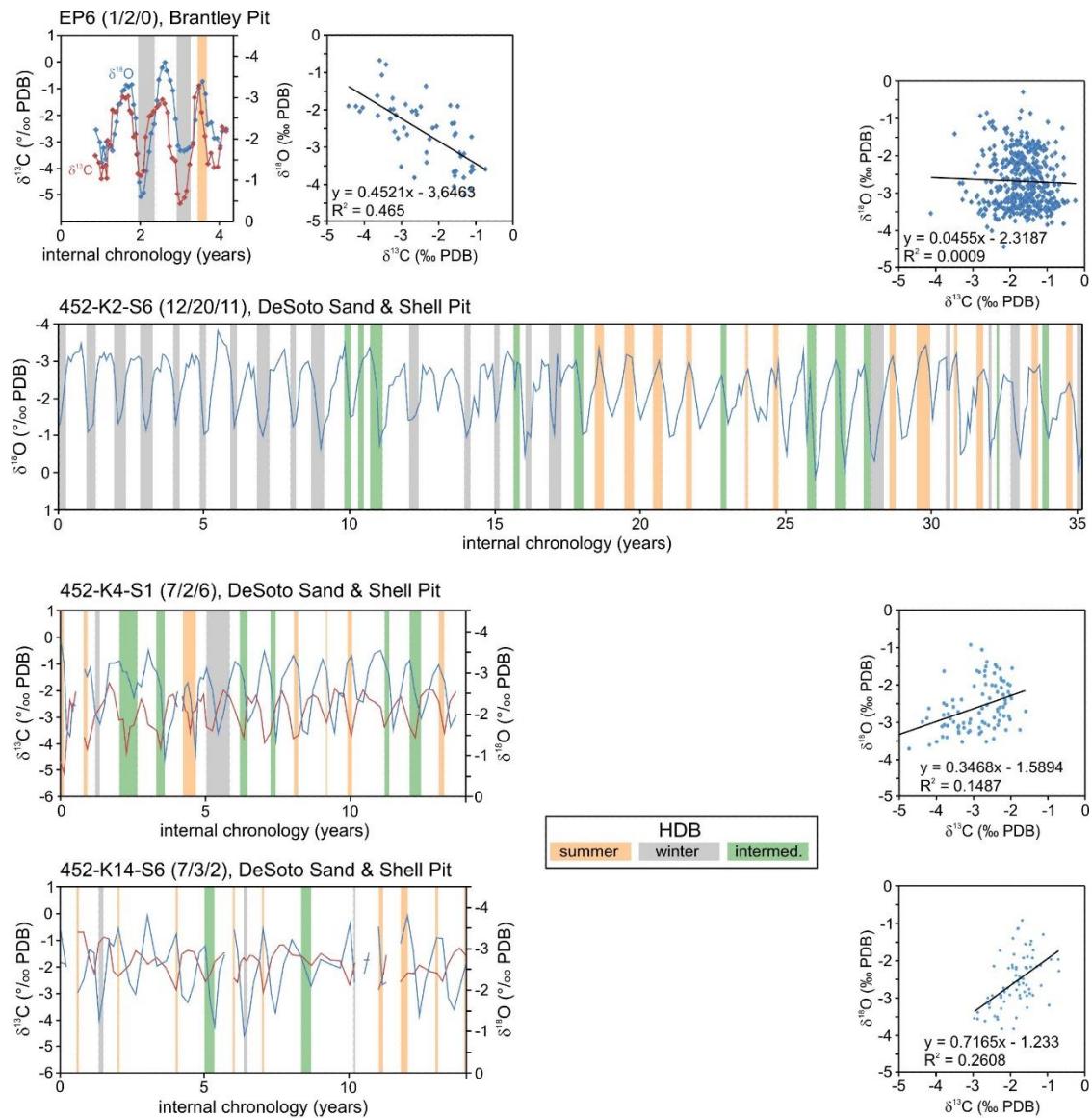


Figure 4A

- Figure 4A. Serial records of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ in z-corals from the Holey Land Member of the Bermont Formation (Palm Beach Aggregates, 1.2 Ma). Notice inverted scale of $\delta^{18}\text{O}$.



1 **Figure 4B**

2
3 Figure 4B. Serial records of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ in z-corals from the Bee Branch Member of the
4 Caloosahatchee Formation (1.8 Ma). Notice inverted scale of $\delta^{18}\text{O}$.

5

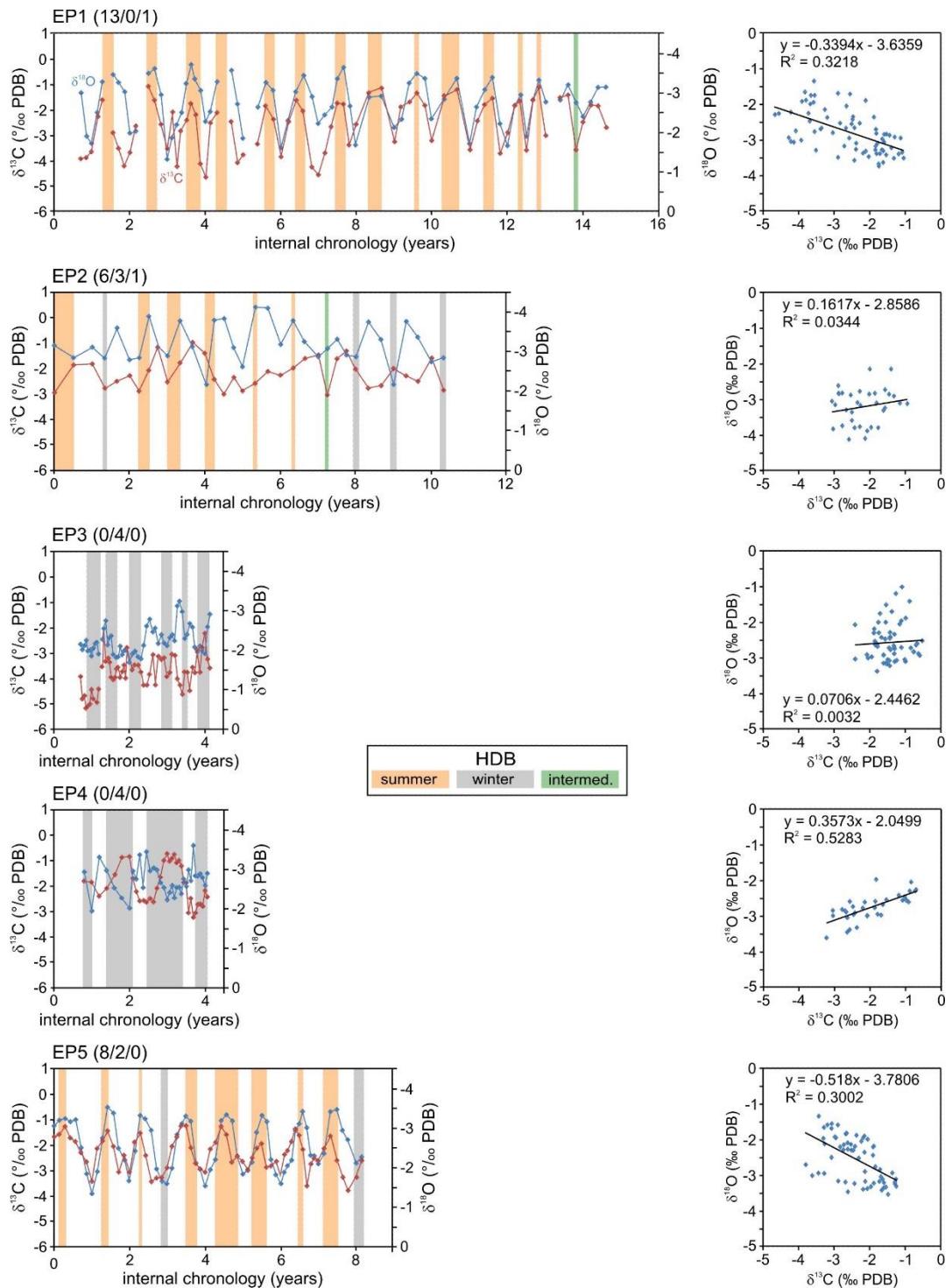
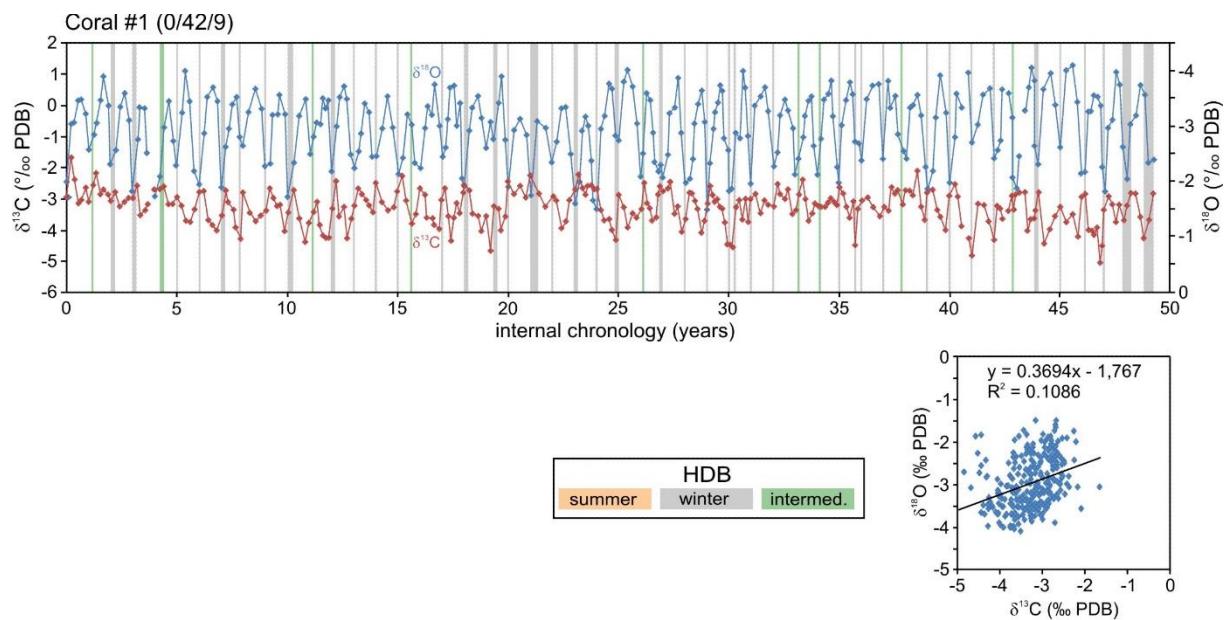


Figure 4C

- Figure 4C. Serial records of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ in z-corals from the Golden Gate Member of the Tamiami Formation (Mule Pen quarry, 2.5 Ma). Notice inverted scale of $\delta^{18}\text{O}$.

1



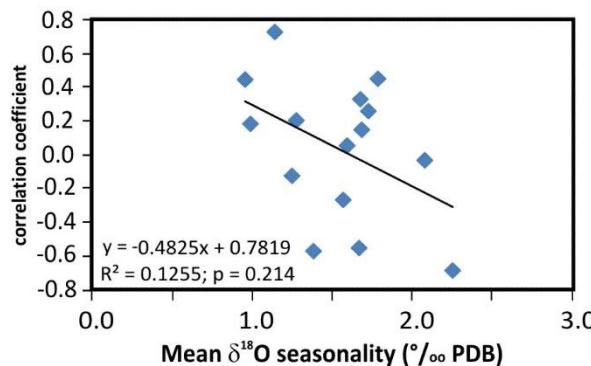
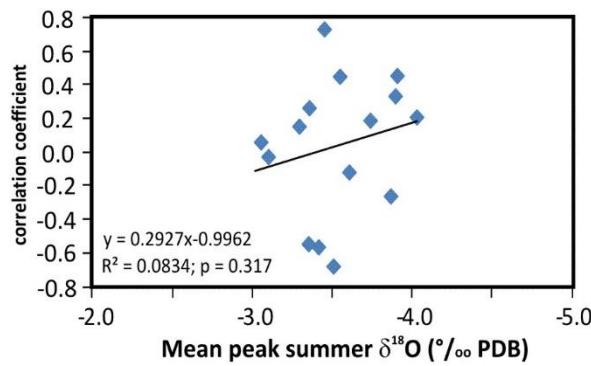
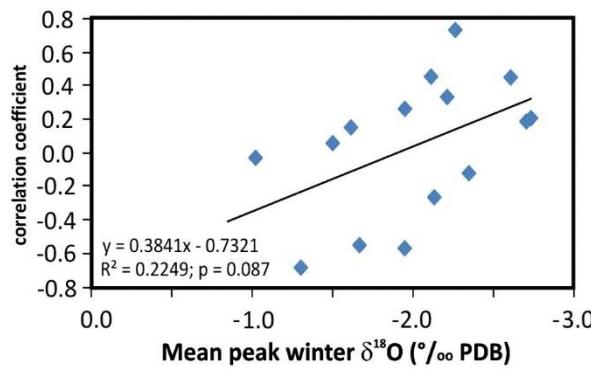
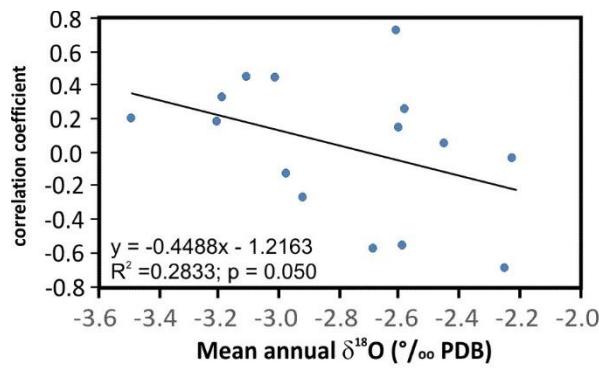
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Figure 4D

3

4 Figure 4D. Serial records of $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ in a coral from the Pinecrest Member of the Tamiami
 5 Formation (Quality Aggregates, 3.2 Ma; Roulier and Quinn, 1995). Notice inverted scale of $\delta^{18}\text{O}$.

6



1

2

3 Figure 5. Relationships of skeletal $\delta^{18}\text{O}$ with the coefficient of correlation between subannual $\delta^{18}\text{O}$ and
4 $\delta^{13}\text{C}$ values.

5

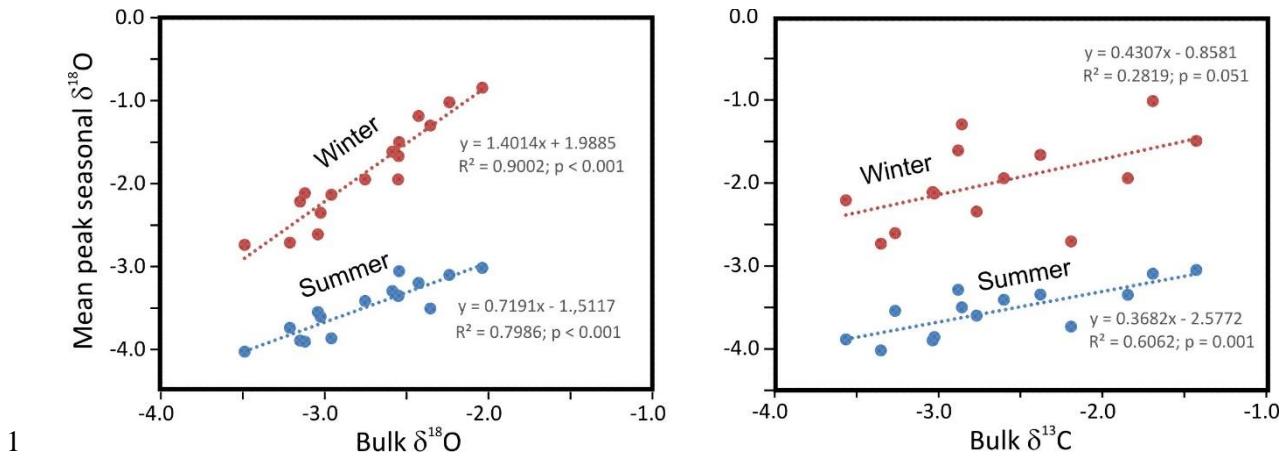


Figure 6. Bulk stable isotope composition ($\delta^{13}\text{C}$, $\delta^{18}\text{O}$) compared to the averages of the minimum and maximum values of $\delta^{18}\text{O}$ interpreted to represent maximum summer and winter, respectively.

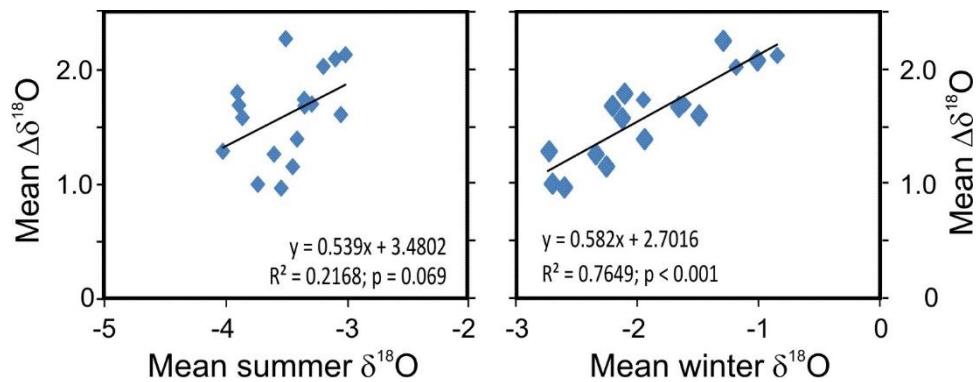
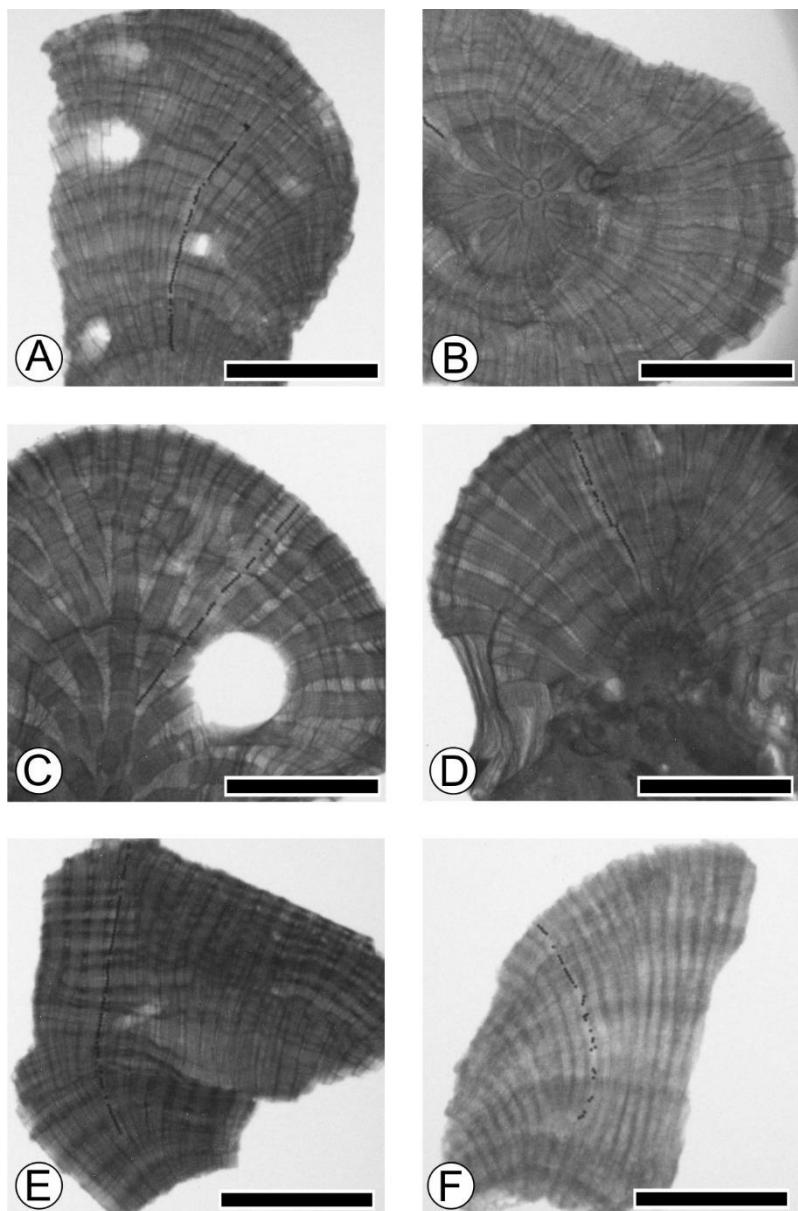
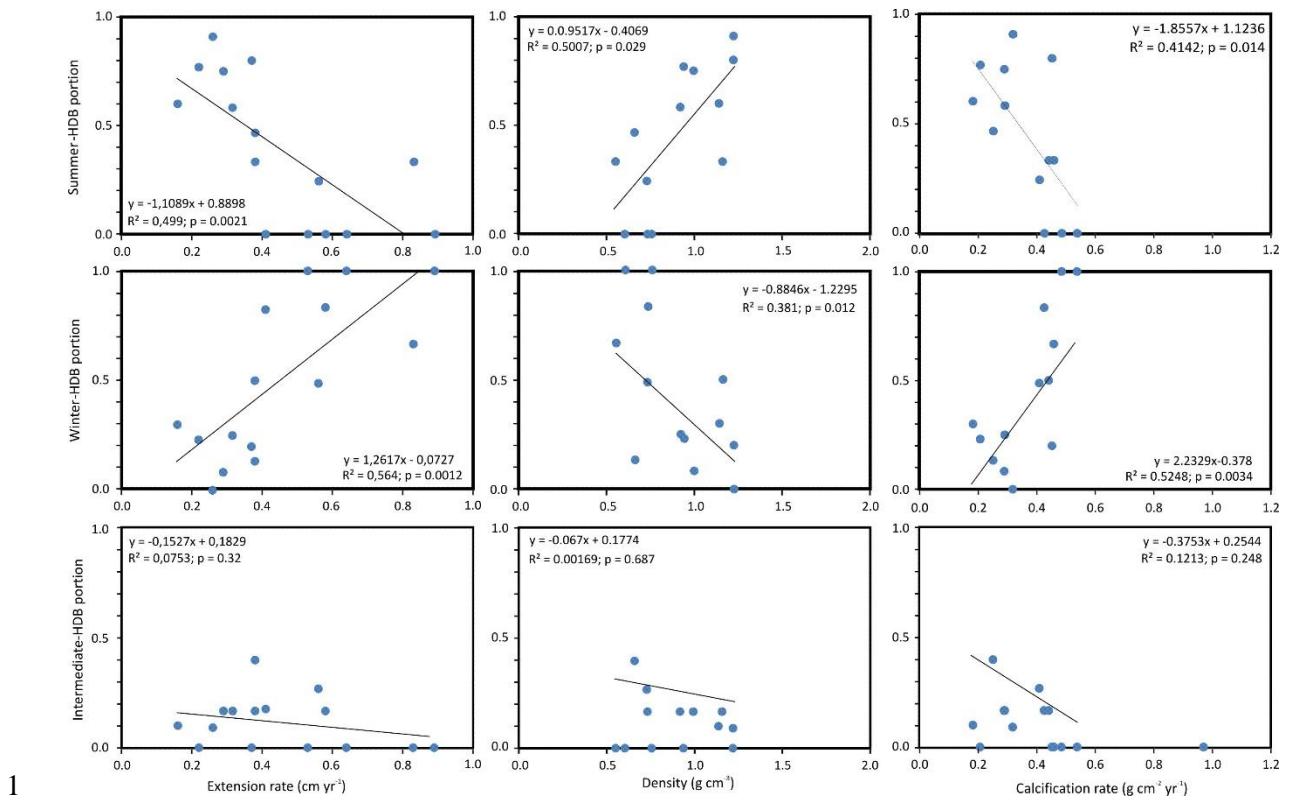


Figure 7. Mean seasonal contrast of skeletal $\delta^{18}\text{O}$ (mean $\Delta\delta^{18}\text{O}$) compared to the means of maximum summer and winter skeletal $\delta^{18}\text{O}$.

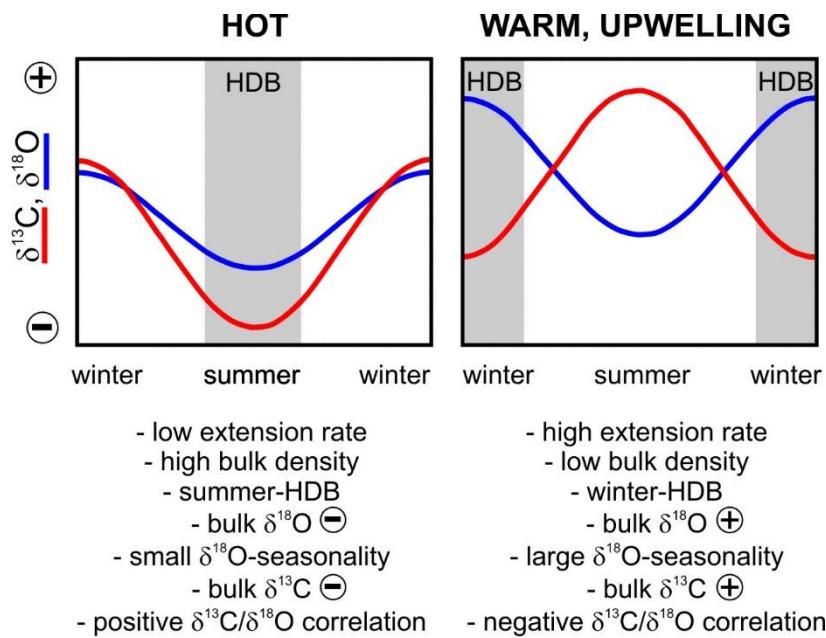


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3 Figure 8. Digital radiographs (positive prints) of fossil z-corals showing density bands (Pliocene and
4 Pleistocene of Florida. Circular white spots represent open voids of bivalves borings. A: *Solenastrea*
5 sp. (EP9D). B: *Solenastrea* sp. (EP9B). C: *Solenastrea* sp. (EP9A). D: *Orbicella* encrusted on a
6 hardground (EP 8). E: *Solenastrea* sp., white patch within the center is from bioerosional cavity. G:
7 *Solenastrea* sp. (EP1) F: *Porites* sp. (EP3). For better contrast, steel balls ($\varnothing = 0.5$ mm) mark sampling
8 transect. Scale bar 2 cm, all radiographs reproduced to same size.
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2 Figure 9. Relationship of annual extension rate, density and calcification rate with the timing of
3 density banding in Pliocene and Pleistocene z-corals from southern Florida (USA).
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4 Fig. 10. Schematic of endmember relationships of stable isotope data with the patterns of calcification
5 and the timing of the HDB in middle Pliocene to early Pleistocene z-corals from southwestern Florida.

6

1 **Tables**

2

3 Table 1. Sampling sites in southern Florida. The numbering follows Brachert et al. (2014).

No.	Site	Sample ID	GPS Coordinates	Lithostratigraphy	Age (Ma)
4	Palm Beach Aggregates	EP8 EP9A EP9C EP9D	26°41.742'N, 80°21.270'W	Bermont Fm. (Holey Land Mb.)	1.2
8	Brantley Pit, Arcadia	EP6-S2	27°2.988'N, 81°49.611'W	Caloosahatchee Fm. (Bee Branch Mb.)	1.8
9	DeSoto Sand and Shell LLC (site 452)	452-K1-S6* 452-K4-S1 452-K14-S6	27°3.587'N, 81°47.627'W	Caloosahatchee Fm (Bee Branch Mb.)	1.8
10	unnamed pit (site 509)	509A	26°27.149'N, 81°42.988'W	Caloosahatchee Fm.	1.8
15	Mule Pen Quarry	EP1-S2 EP2-S2 EP3 EP4-S2 EP5-S2	26°10.410'N, 81°42.468'W	Tamiami Fm. (Golden Gate Mb.)	2.9
16	Quality Aggregates (APAC)	Coral #1**	N/A	Tamiami Fm. (Pinecrest Mb., unit 7)	3.2

4 * from Böcker (2014), ** from Roulier & Quinn (1995)

5

1 Table 2. Carbon and oxygen stable isotope composition (\textperthousand vs. PDB) of z-corals, Pliocene and Pleistocene, Florida/USA.

2

Specimen	Taxon	Number of analyses (n)	Length of record ($\delta^{18}\text{O}$ years)	Bulk $\delta^{13}\text{C}$ ($\pm 1\sigma$)	Mean annual $\delta^{18}\text{O}$ ($\pm 1\sigma$)	Correlation coefficient (r) of $\delta^{13}\text{C}/\delta^{18}\text{O}$	Average annual maximum $\delta^{18}\text{O}$ ($\pm 1\sigma$)	Average annual minimum $\delta^{18}\text{O}$ ($\pm 1\sigma$)	Mean seasonal $\Delta\delta^{18}\text{O}$ ($\pm 1\sigma$)
EP1-S2	<i>Solenastrea</i>	76	16	-2.60 \pm 0.99	-2.69 \pm 0.22	-0.57	-1.95 \pm 0.39	-3.41 \pm 0.23	1.39 \pm 0.48
EP2-S2	<i>Orbicella</i>	34	10	-2.19 \pm 0.58	-3.21 \pm 0.19	0.19	-2.71 \pm 0.27	-3.74 \pm 0.29	0.99 \pm 0.43
EP3	<i>Porites</i>	58	4	-1.42 \pm 0.43	-2.46 \pm 0.43	0.06	-1.50 \pm 0.42	-3.05 \pm 0.28	1.60 \pm 0.20
EP4-S2	<i>Solenastrea</i>	35	4	-1.93 \pm 0.76	-2.62 \pm 0.19	0.73	-2.27 \pm 0.30	-3.45 \pm 0.13	1.15 \pm 0.24
EP5-S2	<i>Solenastrea</i>	62	8	-2.38 \pm 0.66	-2.60 \pm 0.23	-0.55	-1.67 \pm 0.27	-3.35 \pm 0.09	1.67 \pm 0.22
EP6-S2	<i>Solenastrea</i>	54	4	-2.86 \pm 1.18	-2.26 \pm 0.25	-0.68	-1.30 \pm 0.55	-3.51 \pm 0.29	2.25 \pm 0.86
EP8	<i>Solenastrea</i>	42	5	-3.35 \pm 0.43	-3.50 \pm 0.10	0.21	-2.74 \pm 0.15	-4.03 \pm 0.15	1.28 \pm 0.33
EP9A	<i>Solenastrea</i>	68	15	-3.26 \pm 0.54	-3.02 \pm 0.26	0.45	-2.61 \pm 0.35	-3.55 \pm 0.27	0.96 \pm 0.31
EP9B	<i>Orbicella</i>	48	4	-3.02 \pm 0.72	-2.93 \pm 0.33	-0.26	-2.14 \pm 0.39	-3.86 \pm 0.08	1.57 \pm 0.43
EP9C	<i>Solenastrea</i>	135	12	-2.76 \pm 0.52	-2.98 \pm 0.25	-0.12	-2.35 \pm 0.18	-3.60 \pm 0.29	1.25 \pm 0.33
EP9D	<i>Solenastrea</i>	69	12	-3.03 \pm 0.77	-3.11 \pm 0.23	0.45	-2.12 \pm 0.29	-3.91 \pm 0.30	1.79 \pm 0.36
Coral #1**	<i>Solenastrea</i>	286	49	-3.56 \pm 0.57	-3.19 \pm 0.19	0.33	-2.22 \pm 0.27	-3.89 \pm 0.21	1.68 \pm 0.26
452-K1*	<i>Solenastrea</i>	468	35	-1.69 \pm 0.55	-2.23 \pm 0.30	-0.03	-1.02 \pm 0.46	-3.10 \pm 0.28	2.06 \pm 0.50
452-K4-S1	<i>Solenastrea</i>	99	14	-2.88 \pm 0.72	-2.59 \pm 0.19	0.15	-1.61 \pm 0.42	-3.29 \pm 0.28	1.69 \pm 0.48
452-K14-S6	<i>Solenastrea</i>	77	14	-1.84 \pm 0.50	-2.58 \pm 0.24	0.26	-1.95 \pm 0.76	-3.36 \pm 0.35	1.73 \pm 0.93

3 * from Böcker, 2014

4 ** from Roulier & Quinn, 1995

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1 Table 3. Mean annual skeletal extension rate ($\pm 1\sigma$), bulk density ($\pm 1\sigma$), and calcification rate of massive corals (*Solenastrea*,
 2 *Orbicella*, *Porites*) from the Plio-/Pleistocene of Florida. Minimum $\delta^{18}\text{O}$ values reflecting high water temperature and/or
 3 positive water balance are being referred to as “summer”, maximum $\delta^{18}\text{O}$ values cool temperatures and/or negative water
 4 balance are referred to here as “winter”. Timing of the high density band (HDB) relative to the $\delta^{18}\text{O}$ cycle.

<u>Specimen</u>	<u>Taxon</u>	<u>Mean extension rate (cm yr⁻¹)</u>	<u>Bulk density (g cm⁻³)</u>	<u>Calcification rate (g cm⁻² yr⁻¹)</u>	<u>Timing of HDB (summer/winter/intermediate)</u>
EP1-S2	<i>Solenastrea</i> sp.	0.28±0.08	1.22±0.17	0.34	10/0/1
EP2-S2	<i>Orbicella annularis</i>	0.16±0.03	1.14±0.25	0.18	6/3/1
EP3	<i>Porites</i> sp.	0.86±0.22	0.60±0.12	0.52	0/4/0
EP4-S2	<i>Solenastrea</i> sp.	0.45±0.28	n.a.	n.a.	0/4/0
EP5-S2	<i>Solenastrea</i> sp.	0.37±0.06	1.22±0.21	0.45	8/2/0
EP6-S2	<i>Solenastrea</i> sp.	0.83±0.21	0.55±0.06	0.46	1/2/0
EP8	<i>Solenastrea</i> sp.	0.38±0.05	1.16±0.12	0.44	2/3/1
EP9A	<i>Solenastrea</i> sp.	0.22±0.08	0.94±0.16	0.21	10/3/0
EP9B	<i>Orbicella annularis</i>	0.64±0.25	0.76±0.09	0.48	0/4/0
EP9C	<i>Solenastrea</i> sp.	0.58±0.11	0.73±0.08	0.43	0/10/2
EP9D	<i>Solenastrea</i> sp.	0.29±0.05	1.00±0.18	0.29	9/1/2
Coral #1 ^a	<i>Solenastrea bournoni</i>	0.41±0.09	n.a.	n.a.	0/42/9
452 K1 total	<i>Solenastrea</i> sp.	0.63±0.16	0.73±0.90	0.4 to 0.5 ($\emptyset = 0.45$)	10/20/11
452, lower segment	<i>Solenastrea</i> sp	0.71±0.14	0.70	0.50	
452, upper segment	<i>Solenastrea</i> sp.	0.55±0.17	0.56	0.31	
452-K4-S1	<i>Solenastrea</i> sp.	0.35	0.93±0.14	0.33	7/2/6
452-K14-S6	<i>Solenastrea</i> sp.	0.26	1.52±0.25	0.40	7/3/2
509A ^b	<i>Solenastrea</i> sp.	0.36±0.15 (0.22)	n.a.	n.a.	

⁵ ^a No data on bulk density and extension rates available (Roulier & Quinn, 1995).

⁶ ^b Extension rate from spacing of density bands, in parentheses from $\delta^{18}\text{O}$ data (Böcker, 2014).