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# Upwellings mitigated Plio–Pleistocene heat stress for reef corals on the Florida platform (USA)

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## Abstract

The fast growing calcareous skeletons of zooxanthellate reef corals (z-corals) represent unique environmental proxy archives through their oxygen and carbon stable isotope composition ( $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ ). In addition, the accretion of the skeleton itself is ultimately linked to the environment and responds with variable growth rates (extension rate) and density to environmental changes. Here we present classical proxy data ( $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ ) in combination with calcification records from 15 massive z-corals. The z-corals were sampled from four interglacial units of the Florida carbonate platform (USA) dated approximately 3.2, 2.9, 1.8 and 1.2 Ma (middle Pliocene to early Pleistocene). The z-corals (*Solenastrea*, *Orbicella*, *Porites*) derive from unlithified shallow marine carbonates and were carefully screened for primary preservation suited for proxy analysis. We show that skeletal accretion was non-linear and responded with decreasing overall calcification rates (decreasing extension rate but increasing density) to warmer water temperatures. Under high annual water temperatures, inferred from subannually resolved  $\delta^{18}\text{O}$  data, skeletal bulk density was high, but extension rates and overall calcification rates were at a minimum (endmember scenario 1). Maximum skeletal density was reached during the summer season giving rise to a growth band of high density within the annually banded skeletons (“high density band”, HDB). With low mean annual water temperatures (endmember scenario 2), bulk skeletal density was low but extension rates and calcification rates reached a maximum, and under these conditions the HDB formed during winter. Although surface water temperatures in the Western Atlantic warm pool during the interglacials of the late Neogene where  $\sim 2^\circ\text{C}$  higher than they are in the present-day, intermittent upwelling of cool, nutrient rich water mitigated water temperatures off southwestern Florida in the middle of the Atlantic warm pool and created temporary refuges for z-coral growth. Based on the subannually resolved  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  records, the duration of the upwelling episodes causing the endmember 2 conditions was variable and lasted from a few years to a number of decades. The episodes of upwelling were interrupted by phases without upwelling

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(endmember 1) which lasted for at least a few years and led to high surface water temperatures. This variable environment is likely one of the reasons why the coral fauna is dominated by the eurytopic genus *Solenastrea*, also a species resistant to high turbidity. Over a period of ~ 50 years, the oldest subannually resolved proxy record available (3.2 Ma) documents a persistent occurrence of the HDB during winter. In contrast, the HDB forms in summer in modern z-corals from the Florida reef tract. We suggest this difference to be the expression of a tendency towards decreasing upwelling since the middle Pliocene. The number of z-coral sclerochronological records for this time period is still, however, rather low and requires an improved resolution through data from additional time-slices. These data can contribute to predicting the effects of future ocean warming on z-coral health along the Florida reef tract.

### 1 Introduction

The skeletons of photosymbiotic, zooxanthellate corals (z-corals) are highly organized, porous structures formed of the mineral aragonite ( $\text{CaCO}_3$ ). Main structures include the tubular corallites in which the living polyps reside, and (in some taxa) the bulbous coenosteum between the corallites which is covered by a thin layer of living organic tissue. The architecture of the corallites is complicated by a well-defined wall and radially-arranged blades (septa), sometimes more or less axially fused to form a columnar structure within the center (columella), and laterally fused, convex upward sheets (dissepiments) which serve to separate the living tissue from abandoned parts of the skeleton. Representatives of z-corals having this type of organization are the genera *Orbicella* and *Solenastrea*. In other taxa, such as *Porites*, this skeletal architecture is greatly simplified and represented by a spongy structured skeleton resulting from laterally fused tiny corallites with perforated walls and irregularly arranged dissepiments. In X-ray images of slices parallel to the axes of the corallites (axes of maximum growth), the skeletons of both groups of massive z-corals display alternating light and dark bands, the “density bands”, which reflect zones of different skeletal density

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concordant with successive upward growth and former growth stages (Knutson et al., 1972). The origin of the rhythmic density changes has been suggested to have two underlying causes: (i) variations in the density of packing of the sclerodermites at the micro-architectural level, and/or (ii) thickness of the meso-scale skeletal structural elements (septa, costae, columnellae) relative to porosity remaining open (Buddemeier et al., 1974; Le Tissier et al., 1994). In the *Orbicella*-type skeleton, the density banding is very pronounced and sharply defined, reflecting the thickness of exothecal structural elements (dissepiments, costae), but not variations in their spacing, whereas it is the overall thickness of the skeletal structures/size-variability of the pore spaces and likely also micro-structural organization within the spongy skeleton which causes the density bands in *Porites* (Dodge et al., 1992; Le Tissier et al., 1994; Reuter et al., 2005).

A pair of high and low density bands is generally assumed to represent one year of growth and forms the basis for the calibration of internal age models and estimates of rates of annual upward and outward growth of the colony surface (extension rate,  $\text{cm yr}^{-1}$ ; Knutson et al., 1972; Lough and Cooper, 2011). Density is a measure of the thickness of the skeletal elements and the total amount of pore volumes (measured as  $\text{g cm}^{-3}$ ): the thicker and more massive the individual skeletal elements/the smaller the pores, the higher and closer will be the density to that of the mineral aragonite ( $2.9 \text{ g cm}^{-3}$ ). The two parameters, extension rate and density, combine for estimates of calcification rates according to Eq. (1) (Lough and Cooper, 2011):

$$\text{calcification rate (g cm}^{-2} \text{ yr}^{-1}) = \text{annual extension rate (cm yr}^{-1}) \cdot \text{density (g cm}^{-3}). \quad (1)$$

Although a pair of density bands typically corresponds with one year of growth, no universal pattern of band formation and timing of the high density (HDB) and low density (LDB) bands over the seasonal cycle has been found among reef sites world-wide. Many examples of missing bands or additional bands (“stress bands”) and sequences of double HDBs (dHDB) have been reported (Brachert et al., 2013; Dodge et al., 1992; Highsmith, 1979; Leder et al., 1991; Lough and Cooper, 2011; Worum et al., 2007).

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More recent studies have shown the systematics of calcification to differ among taxa and ocean regions. While temperature tends to boost calcification rates in recent z-corals, temperature effects on extension rate and density markedly differ (Carricart-Ganivet, 2004; Elizalde-Rendon et al., 2010; Lough, 2008; Norzagary-Lopez et al., 2014). In the Indo–Pacific genus *Porites*, linear extension rate shows a significant increase with sea-water temperature but a concomitant decrease in bulk skeletal density (Lough, 2008). In *Orbicella* from the Western Atlantic, relationships of skeletal growth with ambient water temperature are less clear. In the Gulf of Mexico and Caribbean Sea, linear extension rates decline when skeletal bulk density increases with temperature (Carricart-Ganivet, 2004). In Atlantic *Porites* (Elizalde-Rendon et al., 2010) the response of linear extension with temperature agrees with that of *Porites* from the Indo–Pacific, but no temperature effect on bulk density is evident (Elizalde-Rendon et al., 2010). It has been suggested, therefore, that the calcification strategies of the two coral genera and their species differ with regard to successfully colonizing space on a reef. Likely, *Orbicella* is adapted to high-latitude settings by investing more of their calcification resources into linear extension rather than thickening, i.e. “sacrificing density” in order to occupy space on a reef efficiently near the lower temperature threshold of distribution (Carricart-Ganivet, 2004). In addition to the environment, gender seems to represent another poorly understood component controlling calcification (Cabral-Tena et al., 2013).

Some evidence has been documented that calcification does not respond in a linear way to temperature or environmental changes in general (Worum et al., 2007). In one study using stable isotope data from fossil *Porites* (9–10 Ma), inconsistent subannual timing of the HDB–LDB rhythms among specimens and within individual specimens has been observed, i.e. shifts in the timing of the HDB from the summer to the winter season and the presence of double HDBs in a single year (Brachert et al., 2013). The reasons for this variable timing of the HDB in z-corals of the same coral taxon at any given site of growth remains poorly known, but may represent the effect of multiple environmental factors acting in concert on non-linear calcification responses (Brachert et al., 2013).

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One of these factors has been shown to be water depth, i.e. the timing of the HDB varies with water-depth (Klein et al., 1992). Here we present seasonally-resolved stable isotope records from fossil zooxanthellate corals (z-corals) in combination with data on their calcification history. This study aims at constraining the environment of growth and the annual and seasonal water temperatures on the Florida carbonate platform during some Pliocene and Pleistocene interglacials and how these factors controlled skeletal calcification in z-corals. We present the first calcification records from fossil corals and discuss their interpretation with regard to upwelling and the fate of coral calcification along the Florida reef tract with continued global warming. This study complements a previous study on long-term seasonality recorded by Florida corals and mollusks (Brachert et al., 2014).

**1.1 Materials**

The z-corals studied derive from four chronostratigraphic units of the Florida carbonate platform representing interglacial highstands of sea level and spanning collectively the period from the middle Pliocene to the early Pleistocene (Table 1). Details of the facies, the biota, and the paleogeography of the single stratigraphic units from which the corals derive are described elsewhere (Allmon, 1992; Banks, 1967; Brachert et al., 2014; DuBar, 1958; Locker and Doyle, 1992; Meeder, 1979; Petuch, 1982; Petuch and Roberts, 2007).

Sampling sites in southern Florida selected for this study are pits for carbonate gravels and dewatering canals exposing carbonate sediments with well-established stratigraphic position (middle Pliocene to early Pleistocene) (Fig. 1, Table 1). Most of the fossil samples were taken years ago by Edward Petuch (Boca Raton, USA) when the gravel pits were dry through pumping and allowed for documenting and sampling exactly according to stratigraphic position. In order to improve the database for the present study, this material was complemented by one specimen described in the literature (Roulier and Quinn, 1995) and additional specimens collected by our group from spoil piles adjacent to the gravel pits and canals because the outcrops are presently

flooded with groundwater. Materials from spoil piles are reworked and not in their original stratigraphic position, though all fossils from the spoils were considered to derive from the stratigraphic unit exposed on site. Collections are dominated by specimens of *Solenastrea* ( $n = 12$ ), but also include *Orbicella* ( $n = 2$ ) and *Porites* ( $n = 1$ ), both as entire coralla ( $< 20$  cm) and fragments of large coralla ( $< 60$  cm). The scleractinian genus name *Orbicella* is used for corals previously assigned to the genus *Montastraea* according to the revised taxonomic classification of the reef coral family Mussidae (Budd et al., 2012).

## 1.2 Methods

The fossil corals ( $n = 15$ , Table 1) were cut into slabs of  $< 1$  cm thickness along the plane of maximum growth using a conventional rock saw at lowest speed and equipped with a water cooled diamond blade. All corals were screened for diagenetic alteration using a binocular microscope and SEM. In order to detect minimal contaminations by secondary calcite, powder samples taken at random were prepared for X-ray diffraction (XRD) and analysed using a Rigaku Miniflex diffractometer with scanning angles of  $20$  to  $60^\circ 2\theta$ . Only skeletal areas that retained their original aragonite mineralogy, skeletal porosity and microstructure with no evidence for significant secondary crystal growth or dissolution (microscopic and SEM observation) were accepted for further analyses. Coral slabs of equal thickness ( $\sim 6$  mm) were X-rayed using a digital X-ray cabinet (SHR 50 V) to identify potential zones of diagenetic alteration (McGregor and Gagan, 2003; Reuter et al., 2005) and to document cyclic density variations, i.e. the density bands (Knutson et al., 1972). Density bands were defined visually as the zones of maximum change in the gray scale of the radiographs.

Quantitative measurements of density were made using X-ray densitometry (Helmle et al., 2002). Measurements were undertaken along transects parallel to the corallites and parallel to the isotope transects (see below). The individual measurement transects were carefully selected so as not to cross secondary cavities resulting from bioerosion, e.g. borings from bivalves and potential zones of diagenetic alteration. Bulk skeletal

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density was calculated as the mean of all individual measurements along a given transect. Calibration of the measurements was tested by measurements of standards for zero density (air) and massive aragonite (slice of an aragonitic bivalve shell having a thickness equaling that of the coral slice). External analytical precision was tested by double blind measurements, and mean deviation from regression ( $R^2 = 0.9$ ) was found to be  $0.04 \pm 0.01 \text{ g cm}^{-3}$  (range 0.02–0.06  $\text{g cm}^{-3}$ ), i.e. better than 5 %.

Z-coral stable isotope data described here are the same as reported by Brachert et al. (2014) supplemented by data from two additional *Solenastrea* samples (Table 1). Sample powders for stable isotope analysis were taken using a microdrill fixed to a manually operated X/Y/Z table. A 0.6 mm drill bit and a drilling depth of 1 mm yielded > 20  $\mu\text{g}$  of sample powder from the theca wall. Sampled corallites were selected according to their orientation parallel to the surface of the coral slices in order to avoid geometric distortions between stable isotope cycles and the density bands (Le Tissier et al., 1994). For sampling of the corallite wall, all endothecal skeletal elements such as septae, columella and endothecal dissepiments were removed prior to sampling using a hand held microdrill. For technical reasons, we sampled the inner surface of the corallite wall instead of its external side (endothecate sampling method (Leder et al., 1996)). Sampling the cleaned inner surface of the corallite wall assured all potentially existent secondary overgrowths (early or late diagenetic cements) were removed prior to sampling. Samples for isotopic analysis were taken at equal distances of 0.5 mm (or 0.7 mm for coral sample 452K1). In *Porites* we used a simplified technique where the sample drilling was made without prior cleaning of inner corallite surfaces. Our sampling approach assured the calculation of annual extension rates on the basis of the number of samples per oxygen isotope year. Oxygen isotope years for the age models were defined by the most positive  $\delta^{18}\text{O}$  values assuming them to reflect maximum winter conditions. The age models were further refined by linear interpolation of sampling points between winter values (Brachert et al., 2006a). One long *Solenastrea*  $\delta^{18}\text{O}$  record was spliced together from four overlapping transects along parallel corallites using the software package AnalySeries (Paillard et al., 1996). In order to document the



relationships of stable isotope data with the density bands, steel balls were placed within some of the drill holes of the sampling path and the coral slices X-rayed again.

Oxygen and carbon stable isotope analyses were carried out at the Institute of Geophysics and Geology, Leipzig University. Carbonate powders were reacted with 102% phosphoric acid at 70 °C using a Kiel IV online carbonate preparation line connected to a MAT 253 mass spectrometer. All carbonate values are reported in per mil (‰) relative to the PDB standard according to the delta notation. Reproducibility was checked by replicate analysis of laboratory standards and was better than  $\pm 0.04$ ‰ ( $1\sigma$ ) for carbon ( $\delta^{13}\text{C}$ ) and better than  $\pm 0.06$ ‰ ( $1\sigma$ ) for oxygen isotopes ( $\delta^{18}\text{O}$ ). Water values of  $\delta^{18}\text{O}_w$  are reported vs. SMOW. The seasonal difference in  $\delta^{18}\text{O}$  values is given as Delta-delta values ( $\Delta\delta^{18}\text{O}$ ). For calculations of paleotemperatures, we followed the methodology described by Leder et al. (1996). SMOW to PDB conversions were made according to the relationships given by (Friedman and O'Neil, 1977).

Statistical analyses were performed using the PAST paleontological statistics software package (version 3.01) for education and data analysis (freeware folk.uio.no/ohammer/past/). Variability of stable isotope data ( $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ ) was evaluated using the  $T$  test. A linear bivariate model was tested as to whether there were no statistical differences in the stable isotope values in a dataset ( $p > 0.05$ ) against the alternate hypothesis that there were significant differences ( $p < 0.05$ ). Equality of regression slopes was tested using the  $F$  test as assumed by analyses of covariance (ANCOVA).

## 2 Results

### 2.1 Macroscopic and microscopic aspect of the coral samples

In outcrop, coral specimens were selected according to the retention of all anatomical features of the corallites and a low weight taken to imply the absence of secondary cements and mineral transformation/recrystallisation. Upon microscopic investigation using SEM (Fig. 2a–g), the skeletons display stacked spherulites or fans and layers

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of fibrous aragonite which represent the microstructures typical of scleractinian corals (Constantz, 1986; Nothdurft and Webb, 2007). Within the centers of the single calcifying units (sclerodermites), porosity is more or less enhanced and the aragonite crystallites are particularly small, granular in shape and have no preferential orientation (Fig. 2b–e). The fiber crystals of the sclerodermites display bladed or platy morphologies (Fig. 2e), whereas fibers with beaded shape and rounded crystal rims (Fig. 2c) enclosing submicron-sized, rounded channels at crystal contacts are of minor abundance (Fig. 2g).

XRD analyses of the skeletons documented 100% aragonite with no measurable amount of secondary calcite (detection limit of the method  $\sim 1\%$ ). Also, in stereomicroscope and SEM view, skeletal surfaces are smooth and devoid of syntaxial overgrowths or continuous crusts of secondary incrustations of cement (Fig. 2a, d, and f), except for rare patches of isopachous or radial aragonite occurring at random within a few specimens and rare biogenic incrustations (Böcker, 2014). Near-surface, open, straight tubular cavity systems, commonly Y-branched, with diameters  $< 5\ \mu\text{m}$  and parallel to skeletal surfaces (Fig. 2d) are less than 1% by volume and probably caused by endolithic fungi (Nothdurft and Webb, 2007).

Interpretation: XRD analyses did not reveal any measurable amount of calcite which agrees with the results of microscopic and radiographic visual inspections documenting no significant amounts of secondary calcite cements. Early marine aragonite cements representing common modifiers of skeletal porosity in recent z-corals (Nothdurft and Webb, 2009) have not been recorded on a regular basis in our material and represent rare occurrences of patches of small spherulites rather than isopachous rims of acicular cement (Böcker, 2014). Thus, precipitation of secondary cement was volumetrically not important, neither at sea floor as aragonite, nor as calcite formed during late stages of diagenesis.

Enhanced porosity at the centers of calcification (Fig. 2b) and channels along crystal boundaries, rounded crystal rims, and tiny beaded crystals within the centers of calcification are all potential effects of post-mortem dissolution (Fig. 2c and g). Evidence for

secondary aragonite – aragonite transformations (Perrin, 2004) has not been observed in SEM. Taken together, all cements and possible dissolution features are never volumetrically important as to visibly blur the density bands documented in x-radiographs (see below). For this reason, we consider the skeletons to be in a mode of preservation suitable for measurements of stable isotope proxies and calcification parameters.

## 2.2 Stable isotope data and linear extension rates

The bulk stable isotope compositions of the z-corals studied were calculated as the arithmetic mean of all measurements in a given specimen. The bulk values range from  $-3.56$  to  $-1.42$ ‰ (mean  $-2.59 \pm 0.65$ ‰) in  $\delta^{13}\text{C}$  and  $-3.49$  to  $-2.04$ ‰ (mean  $-2.75 \pm 0.37$ ‰) in  $\delta^{18}\text{O}$  resulting in a significant positive correlation ( $R^2 = 0.39$ ;  $p = 0.01$ ) (Fig. 3).

All corals display cyclic variations in  $\delta^{18}\text{O}$  and in  $\delta^{13}\text{C}$ , interpreted to reflect seasonal cycles of sea surface temperature (SST), seawater  $\delta^{18}\text{O}$  ( $\delta^{18}\text{O}_w$ ), the ratio of symbiont photosynthesis vs. heterotrophic feeding, and  $\delta^{13}\text{C}$  of seawater DIC. The mean amplitude of the  $\delta^{18}\text{O}$  cycle ranges from  $0.96$  to  $2.25$ ‰ (mean  $1.5 \pm 0.41$ ‰; Table 2). The mean annual maximum and minimum  $\delta^{18}\text{O}$  values are  $-1.87 \pm 0.60$ ‰ (range  $-2.74$  to  $-0.85$ ‰) and  $-3.49 \pm 0.32$ ‰ (range  $-4.03$  to  $-3.02$ ‰), respectively.

For  $\delta^{13}\text{C}$ , we present the bulk values of the z-corals which range from  $-3.56$  to  $-1.42$ ‰ with a mean of  $-2.59 \pm 0.65$ ‰ (Table 2). We do not present statistics for the seasonal amplitude of  $\delta^{13}\text{C}$  because the variation of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  is not necessarily in phase within a year and no independent age model has been used for  $\delta^{13}\text{C}$ . Phase relationships among the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  cycles differ between individual coral colonies as expressed by the correlation of their  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  data. Three well expressed patterns exist: positive correlation, no correlation, and negative correlation (Fig. 4, Table 2). Positive correlations denote spatially coincident negative/positive isotope values whereas negative correlations are the expression of coincident positive and negative peaks of the isotope cycles ( $= 180^\circ$  phase shift). No correlation is less straight forward to interpret and has two possible underlying causes: (1) a phase shift between 0 and

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180° or, (2) the absence of any well expressed cyclic signal in  $\delta^{13}\text{C}$ . Relationships of the coefficient of correlation from subannual  $\delta^{18}\text{O}/\delta^{13}\text{C}$  values with skeletal  $\delta^{18}\text{O}$  values are noisy and barely significant; nonetheless, a significant positive correlation exists with bulk  $\delta^{18}\text{O}$  ( $R^2 = 0.28$ ;  $p = 0.05$ ) but not so with mean seasonality ( $R^2 = 0.19$ ;  $p = 0.11$ ) and mean peak summer values ( $R^2 = 0.04$ ;  $p = 0.44$ ), but to some degree with mean peak winter values ( $R^2 = 0.23$ ;  $p = 0.07$ ). All records of intra-coral variability are shown in Fig. 4, and an overview of the main compositional trends is given in Table 2.

In the seasonally resolved datasets, a positive correlation exists between bulk  $\delta^{18}\text{O}$  and winter- $\delta^{18}\text{O}$  ( $R^2 = 0.90$ ;  $p < 0.05$ ) and summer- $\delta^{18}\text{O}$  ( $R^2 = 0.80$ ;  $p < 0.05$ ), respectively, however, the slopes of the two relationships significantly differ and document large  $\delta^{18}\text{O}$ -seasonality to coincide with more positive bulk  $\delta^{18}\text{O}$  and small  $\delta^{18}\text{O}$ -seasonality to coincide with more negative bulk  $\delta^{18}\text{O}$  (equality of slopes can be rejected at  $p = 0.0002$ ) (Fig. 6). A positive relationship also exists between bulk  $\delta^{13}\text{C}$  and the means of peak seasonal  $\delta^{18}\text{O}$  ( $R^2 = 0.61$ ;  $p < 0.05$  and  $R^2 = 0.28$ ;  $p = 0.05$ ), but the slopes of the relationships remain indistinguishable (equality of slopes cannot be rejected at  $p = 0.775$ ), i.e. seasonality does not change with bulk  $\delta^{13}\text{C}$  (Fig. 6). Further, the mean of the maximum values in  $\delta^{18}\text{O}$  and mean seasonal  $\delta^{18}\text{O}$  contrast ( $\Delta\delta^{18}\text{O}$ ) display a positive correlation ( $R^2 = 0.76$ ,  $p < 0.05$ ), whereas there is no such relationship among means of the minimum  $\delta^{18}\text{O}$  values and mean  $\Delta\delta^{18}\text{O}$  ( $R^2 = 0.19$ ,  $p > 0.05$ ) (Fig. 7). For the sake of simplicity in the following text, we use the terms mean summer for the mean of the minimum values and mean winter for the mean of the maximum values of  $\delta^{18}\text{O}$ .

From this pattern we infer the following general nature of the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  cycles: (1) low seasonality coincides with particularly negative bulk  $\delta^{18}\text{O}$  values, whereas bulk  $\delta^{13}\text{C}$  has no relationship with seasonality, (2) variability in the seasonality of the  $\delta^{18}\text{O}$  cycle ( $\Delta\delta^{18}\text{O}$ ) is an effect of variations of the mean winter  $\delta^{18}\text{O}$  values only, whereas the mean summer  $\delta^{18}\text{O}$  values display little variation, (3) mean peak winter  $\delta^{18}\text{O}$  values are increasingly positive in parallel with the bulk  $\delta^{18}\text{O}$ , and (4) the phase shift

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between the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  cycles increases with more positive winter  $\delta^{18}\text{O}$  values of the  $\delta^{18}\text{O}$  cycles. (5) Bulk  $\delta^{13}\text{C}$  values are particularly negative in specimens displaying negative mean summer and winter  $\delta^{18}\text{O}$  (Fig. 6). These relationships imply a causative link between bulk  $\delta^{18}\text{O}$ ,  $\Delta\delta^{18}\text{O}$  and the phase relationship of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$ .

Z-corals from one single sampling site or between sites do not exhibit any consistent distributional systematic of the three  $\delta^{13}\text{C}/\delta^{18}\text{O}$  correlation patterns described above, i.e. all three patterns might be encountered at one single site and, therefore, no systematic distribution exists over geological time (and inconsistent patterns over geological time are not the effect of potential shortcomings of stratigraphic classifications).

### 2.3 Calcification

In positive prints of radiographs all corals display well expressed alternations of light and dark bands arranged parallel to the surface of the corallum (the colonial skeleton) and normal to the direction of maximum growth of the corallites (Fig. 8). No specimens without density bands, or specimens displaying a patchy concentration of zones with high or low density, except for the expression of large borings by bivalves, were documented in the material recovered (Fig. 8).

These alternating bands of high and low density are commonly present in massive heads of z-corals, and referred to as “density bands” because the changes in gray tones of the radiographs reflect density variations of the coral skeleton (Knutson et al., 1972; Lough and Cooper, 2011). The alternating density bands without any indication of patchy or blurred density variations is an indication of the good preservation of the original skeletal density variation without any secondary modifications through diagenesis. In this study, however, we do not use the density bands for calibrating internal chronologies and calculating linear extension rates but use the oxygen isotope cycles instead (Fig. 8). Skeletal linear extension rates were established from  $\delta^{18}\text{O}$  cyclicity, and they range from 0.16 to 0.83  $\text{cm yr}^{-1}$ , with a mean of  $0.49 \pm 0.22 \text{ cm yr}^{-1}$  (Table 3).

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More details on the determination of linear extension rates and relationships with the  $\delta^{18}\text{O}$  cycles are given in the “methods” section.

With regard to the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  cycles, no consistent relationship was found with the density bands. Rather, within any given fossil sample, maximum skeletal density either coincides with the maximum or minimum  $\delta^{18}\text{O}$  values, but specimens with an irregular timing of the HDB with respect to the  $\delta^{18}\text{O}$  cycle are also present. We express the relationship of the density bands and  $\delta^{18}\text{O}$  cycles by the winter-HDB portion (Fig. 9, Table 2). The winter-HDB portion was calculated as the ratio of the number of winter-HDBs and the total number of HDBs in a stable isotope record; the summer-HDB and intermediate-HDB portions were calculated respectively. In one of the two long records (452K1) the overall timing of the HDBs is irregular with a low summer-HDB portion, although within short segments of a few years of duration, the timing of the HDBs is uniform and related either to maximum, minimum or intermediate  $\delta^{18}\text{O}$  values (Fig. 4, Table 2). Because the corallites sampled were selected according to their orientation parallel to the surface of the coral slabs, asynchronies between stable isotope cycles and density bands are not an artifact of distortions in our X-ray images (Le Tissier et al., 1994). With regard to the distribution of the patterns on the scale of a reef (geological outcrop) or geological time (time-slice), we do not observe any consistent pattern; rather all types of density band/ $\delta^{18}\text{O}$  relationships were recovered at one single site.

Quantitative measurements of density were performed in transects arranged parallel to the corallites and transects of the isotope measurements. Bulk density, calculated as the means of all individual measurements along a transect is highly variable among corals with a range from 0.6 to 1.2  $\text{g cm}^{-3}$  (mean  $0.9 \pm 0.2 \text{ g cm}^{-3}$ ). Bulk density and extension rate display a significant negative correlation ( $R^2 = 0.74$ ,  $p < 0.05$ ). Over time, no significant changes in density were recorded ( $p > 0.05$ ).

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### 3 Discussion

#### 3.1 Interpretation of the stable isotope systematics

Linear positive correlations of paired  $\delta^{13}\text{C}/\delta^{18}\text{O}$  data are common in skeletal carbonates and have been shown to be related to kinetic isotope effects responding to variable rates of skeletogenesis (McConnaughey, 1989). Kinetic behavior involves simultaneous depletion of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  with respect to isotopic equilibrium which results in a positive correlation of  $\delta^{13}\text{C}/\delta^{18}\text{O}$  along a straight line between equilibrium and values 10 to 15‰ more negative in  $\delta^{13}\text{C}$  and 4‰ in  $\delta^{18}\text{O}$  than expected from equilibrium precipitation (McConnaughey, 1989). With regard to the strict positive correlation of the paired bulk stable isotope values from the fossil Florida z-corals, however, this is not a kinetic signature because a positive correlation of  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  is not necessarily present in the seasonal data which do show all transitions from positive correlation to no correlation and negative correlation among the various coral specimens. Furthermore, average linear skeletal extension rates of the Florida fossils are rather high ( $n = 15$ ; mean =  $0.49 \pm 0.22 \text{ cm yr}^{-1}$ ; Table 3) which rules out variability of the serial stable isotope data presented in this study having no environmental meaning (McConnaughey, 1989). The positive trend of bulk  $\delta^{13}\text{C}/\delta^{18}\text{O}$  as recorded by the Pliocene and Pleistocene z-corals, therefore, represents a distinct environmental proxy record (Fig. 3). The pattern may have at least two different underlying causes: (1) a proximity trend reflecting a continuum of settings from freshwater-influenced environments with the most negative  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values towards near-shore-restricted, and finally open, well mixed environments with the most positive stable isotope signatures (Andrews, 1991; Joachimski, 1994), or (2) variable upwelling of cool, nutrient enriched subsurface water masses. According to scenario (1), corals with the most positive  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  signatures may be interpreted as the most marine and the least affected by environmental restriction and hinterland effects. Such a trend of positive correlation between bulk oxygen and carbon stable isotope values of the fossil z-corals is not, however, present in data from modern and Holocene z-corals from Florida Bay, Florida Reef Tract, and Dry

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Tortugas (Figs. 1 and 3). In these corals, bulk stable isotope values display substantially larger variation than in the Pliocene and Pleistocene fossils, and range from  $-4.07$  to  $-0.20\text{‰}$  in  $\delta^{13}\text{C}$  ( $n = 11$ ; mean  $-1.53 \pm 1.31\text{‰}$ ) and  $\delta^{18}\text{O}$  from  $-4.11$  to  $-2.47\text{‰}$  (mean  $-3.64 + 0.46\text{‰}$ ) with a negative correlation ( $R^2 = 0.40$ ;  $p = 0.04$ ) (Fig. 3). The negative correlation, however, is an artifact of the set of literature data available to us and is lost if the coral data from Florida Bay and from the reef systems were considered separately (reef tract only:  $n = 10$ ;  $R^2 = 0.02$ ;  $p > 0.67$ ). In the first, the negative  $\delta^{13}\text{C}$  value derives from a single Florida Bay coral and records the low  $\delta^{13}\text{C}$  of the DIC in the bay waters formed by oxidative decay of organic matter and/or vegetative respiration (Halley and Roulier, 1999). In the fully open-marine settings of the reef tract positive skeletal  $\delta^{13}\text{C}$  reflects the marine carbon source of the DIC modified by metabolic effects. There, spatially variable skeletal  $\delta^{13}\text{C}$  records also derive from bay waters leaving the bay through passes in the Florida Keys where they mix with waters of the reef tract (Swart et al., 1996) (Figs. 1 and 3). In contrast, the  $\delta^{18}\text{O}$  values from z-corals are essentially identical among reef sites along the present-day reef system and are predominantly controlled by SST effects with minor modifications by  $\delta^{18}\text{O}_w$  (Leder et al., 1996; Smith, 2006). Skeletal  $\delta^{18}\text{O}$  values in Florida Bay are the most positive and reflect a high temperature signal to be overcompensated by the counteracting effects of evaporation in conjunction with influx of pre-evaporated freshwaters from adjacent swamps (Swart et al., 1996). For these reasons, the modern Florida model is likely not a good analogue for understanding the middle Pliocene to early Pleistocene records. This has also been concluded from the highly diverse Plio–Pleistocene reefs in southwest Florida (Meeder, 1979). In contrast, in the upwelling scenario (scenario 2) increasingly positive  $\delta^{18}\text{O}$  reflects surface water cooling in response to upwelling of cool nutrient rich subsurface waters, while concomitant increasingly positive skeletal  $\delta^{13}\text{C}$  documents enhanced organic productivity (Berger and Vincent, 1986). Below, we will discuss the significance of the  $\delta^{18}\text{O}$  cycles for a plausible identification of the mechanisms behind the stable isotope record.

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## 3.2 Significance of the $\delta^{18}\text{O}$ cycles

The annual  $\delta^{18}\text{O}$  cycle is typically represented by 7 samples, however, the resolution ranges from 2 to 21 samples per cycle ( $n = 187$ ; mean  $7.0 \pm 3.3$  samples cycle<sup>-1</sup>). Irrespective of the number of samples over a cycle, we consider the cycles to represent an annual signal which is used for defining the internal age models of the corals and for calculating annual linear extension rates (Table 3). Although there is little doubt the  $\delta^{18}\text{O}$  cycles reflect seasonality, sampling resolution within a year has been suggested to have a measurable effect on the amount of reconstructed seasonality (Leder et al., 1996). In our material, however, we have not recorded any evidence for a significant statistical relationship of the sampling resolution with seasonality in  $\delta^{18}\text{O}$  ( $n = 185$ ,  $R^2 = 0.11$ ,  $p > 0.05$ ). Earlier work has suggested a minimum of 4 samples in a year to be sufficient to resolve the seasonal cycle in geological data (Brachert et al., 2006b). For this reason, we consider our records a useful approximation to paleoseasonality during the late Neogene.

Mean summer  $\delta^{18}\text{O}$  values of the fossils display little variation around their mean, whereas mean winter values display high variability and a strong link with mean  $\Delta\delta^{18}\text{O}$  variability (Fig. 7). For this reason, variability of  $\Delta\delta^{18}\text{O}$  is a function of variable winter values. For evaluating the question whether variability of winter  $\delta^{18}\text{O}$  values is a temperature or seawater effect, we use the bulk  $\delta^{13}\text{C}$  data. Bulk  $\delta^{13}\text{C}$  shows no relationship with  $\Delta\delta^{18}\text{O}$ , i.e. the amount of variation in  $\Delta\delta^{18}\text{O}$  is not related with seasonal changes of the isotopic composition of the DIC as might occur through freshwater discharge or upwelling (Fig. 6). For this reason, significant subannual variations in  $\delta^{18}\text{O}_w$  are not very plausible as an explanation for the observed variable seasonality which is rather controlled by fluctuations of the winter temperature.

## 3.3 Paleotemperatures

For quantitative temperature reconstructions, the isotope composition of the ambient water itself plays a critical role. Because the oxygen isotope composition of the pa-

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leoseawater is not known, we use the modern seawater composition at the Florida reef tract ( $\delta^{18}\text{O}_w = 1.1\text{‰}$ ) (Leder et al., 1996) as a baseline for our reconstructions and for eventually making inferences about paleoseawater  $\delta^{18}\text{O}_w$  and the extent of freshwater discharges or evaporation. For our estimates we further assume all corals to have lived within the same water-depth window and type of environment. Following this approach, the mean annual temperature averaged over all coral specimens ( $n = 15$ ) was  $22.6 \pm 1.9^\circ\text{C}$  (range 19.5 to  $26.0^\circ\text{C}$ ) with an average mean seasonality of  $7.2 \pm 1.9^\circ\text{C}$  (range 4.3 to  $10.2^\circ\text{C}$ ). The latter reconstruction is surprisingly similar to modern instrumental seasonality of 7 to  $9^\circ\text{C}$  along the reef tract (Leder et al., 1996; Smith, 2006), but the reconstructed mean annual SST is below present-day's annual mean temperature of  $27^\circ\text{C}$  recorded at Looe Key (Smith, 2006) and  $\sim 25^\circ\text{C}$  along the southwestern Florida coast (Fort Myers). In contrast, middle Pliocene to early Pleistocene interglacial temperatures in the western Atlantic warm pool were  $\sim 2^\circ\text{C}$  above present values (O'Brien et al., 2014). For this reason, changes in global interglacial seawater  $\delta^{18}\text{O}_w$  and the hydrological balance of the Florida peninsula must be taken into account for interglacials of the late Neogene (Brachert et al., 2014). In order to resolve Pliocene–Pleistocene interglacial SSTs  $2^\circ\text{C}$  above present, we infer values of local  $\delta^{18}\text{O}_w$  with a range between 1.9 to 2.9‰ on the basis of the temperature equation of Leder et al. (1996) although middle Pliocene to early Pleistocene global interglacial seawater  $\delta^{18}\text{O}_w$  was similar to the present-day, or even more negative (Zachos et al., 2001). Substantially more negative water values for the peninsula of  $\delta^{18}\text{O}_w = 1.0\text{‰}$  have also been inferred by modelling Pliocene conditions (Williams et al., 2009).

According to this line of reasoning, evaporation should have been an essential driver of Pliocene–Pleistocene bulk skeletal  $\delta^{18}\text{O}$ , and the z-corals with the most positive bulk  $\delta^{18}\text{O}$  values being similar in magnitude to the recent Florida Bay coral have an evaporative signature in  $\delta^{18}\text{O}$ . These corals, however, according to the positive relationship of paired bulk  $\delta^{13}\text{C}/\delta^{18}\text{O}$  values, have the most open-marine  $\delta^{13}\text{C}$  signature, incompatible with concomitant maximum evaporation archived in skeletal  $\delta^{18}\text{O}$ . We suggest, therefore, rejecting scenario (1) with evaporation having a strong imprint in

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$\delta^{18}\text{O}$  signatures in favor of an alternate scenario (2) involving upwelling of cool and nutrient rich waters peripheral to the Florida carbonate platform causing positive bulk  $\delta^{13}\text{C}$  signatures and lower than expected water temperatures.

### 3.4 Relationships between stable isotope signatures and calcification systematics

The couplets of light and dark bands visible in radiographs orientated parallel to the individual corallites reflect the successive upward growth of the colony surface and are analogous to bands of density variation reported from modern z-corals (Knutson et al., 1972; Lough and Cooper, 2011). The density bands reflect the coral's response to environmental changes in growth conditions, commonly seasonal, and have, therefore, been used to create multi-annual chronologies and to make reconstructions of environmental change during the last few centuries (Felis and Pätzold, 2004). In contrast to records from modern corals, the couplets of high and low density bands seem not to represent necessarily one year of coral growth, because the  $\delta^{18}\text{O}$  cycles do not consistently correspond with the density couplets. Instead, we observe corals from the same site of growth where the HDBs coincide with the most positive, intermediate or the most negative  $\delta^{18}\text{O}$  values. Although there is no evidence for the asynchronies resulting from distortions of the bands in the X-ray images, the asynchronies of the density bands and stable isotope cycles bear some risk of representing an artifact of our age models which are based on the most positive  $\delta^{18}\text{O}$  values to define the beginning of each year, i.e. the winter temperature minimum. This assumption is only valid, however, under the premise of a dominant temperature control on the  $\delta^{18}\text{O}$  values with no or subordinate isotope effects related to evaporation/precipitation. In our material, this assumption is valid for the following three reasons: (1) no evidence exists in the cyclic isotope patterns for some of the cycles to be inverted from being controlled by SST to effects related to evaporation/precipitation. Rather, the  $\delta^{18}\text{O}$  cycles are regular, and do not exhibit any erratic pattern on an annual basis as described for a recent *Sole-*

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*nastrea* from Florida Bay subject to variable evaporation (Swart et al., 1996) (Fig. 4). (2) Within individual specimens, the cycles of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  exhibit consistent phase relationships which implies the driver of  $\delta^{18}\text{O}$  variability, likely SST, to have been systematically related to an independent environmental parameter, e.g. cloud cover and/or DIC changes due to river discharge in a rainy season or variable symbiont photosynthesis and upwelling, and (3) the amount of SST seasonality inferred from the  $\delta^{18}\text{O}$  values is fully consistent with modern seasonality (Leder et al., 1996; Smith, 2006). For these three inferences we suggest the oxygen isotope cycle to represent the more reliable internal chronology than the patterns of density banding, and the rhythm of density banding to have been variable from coral to coral and to some degree within corals. Disparities in skeletal growth rhythms have been reported recently from female and male colonies within one taxon (*Porites panamensis*) with female colonies growing slower and calcification rates being lower than in males (Cabral-Tena et al., 2013). Fossil coral specimens from the same site displaying reciprocal calcification rhythms relative to the oxygen isotope cycles may, therefore, reflect gender differences as well. Sex proportions of female: male colonies in the modern *P. panamensis* are 2 : 1 (Cabral-Tena et al., 2013), however, our set of data is too small for a statistical evaluation, and gender differences are not documented in the skeleton. Nonetheless, the observed variations in calcification are likely not gender specific, because in some specimens no relationship exists between the  $\delta^{18}\text{O}$  cycle and the rhythm of growth banding, whereas it changes in others from the summer mode of HDB formation to the winter mode or vice versa upon continual growth. This is particularly obvious in records of long time-series (Fig. 4, Tables 2 and 3). Interestingly, the timing of the density bands corresponds with annual extension rate (and calcification rate). Small extension rates coincide with HDBs formed during summer ( $R^2 = 0.50$ ;  $p = 0.0021$ ), intermediate extension rates with an irregular timing of the HDBs, and large extension rates with the predominance of winter-HDBs ( $R^2 = 0.56$ ;  $p = 0.0012$ ) (Fig. 9). Bulk density also displays relationships with the chronology of the HDBs: a high summer-HDB portion corresponds with high density ( $R^2 = 0.50$ ;  $p = 0.029$ ), and high winter-HDB portions with low bulk density ( $R^2 = 0.38$ ;

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$p = 0.012$ ). With regard to calcification rate, corals having winter-HDBs have the highest calcification rates ( $R^2 = 0.52$ ;  $p = 0.0034$ ) and those with summer HDBs have the lowest calcification rates ( $R^2 = 0.41$ ;  $p = 0.014$ ). This overall relationship differs from modern z-corals of the Western Atlantic region which have summer HDBs but, on average, higher rates of extension and higher density than the fossil corals (own data base, not shown). From this difference we deduce the variability in calcification to be not so much related to gender but rather to the type of growth environment. Interestingly, in a modern reef site from the Red Sea, a distinct water-depth effect on extension rate and the timing of the HDB has been reported (Klein et al., 1993). At a depth of 3 m extension rates are highest and the HDB is formed during winter, whereas at 51 m of water depth extension rates are at lowest and the HDB is formed during summer. This corresponds with a decrease of the phototrophy/heterotrophy ratio (P/H) reflected in  $\delta^{13}\text{C}$  (Klein et al., 1993). This shift of the timing is consistent with the data from the Florida fossil z-corals, however, we rule out any water-depth effect, because repeated shifts of the timing of the HDB, and likely also changes in extension rate, are present at the level of single z-coral specimens and not between specimens or sites only. Rather, the fossil data may document changes in the P/H ratio due to turbidity and or high food supply for heterotrophic feeding.

In addition to the oxygen isotope signal, the carbon stable isotopic signal of the corals displays a more or less distinct cyclic variation with the same wavelength as the oxygen isotope cycle though variably phase shifted. For this reason, it can be considered an annual signal as well. For evaluating the principal driver of  $\delta^{13}\text{C}$  variability in the fossil z-corals, we consider the phase relationships of the  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  cycles expressed by the significant correlation coefficients ( $r$ ). They differ among specimens with values between  $r = +1$  (= in phase) and  $r = -1$  (= in antiphase). A clear negative linear relationship of  $r$  exists with mean annual  $\delta^{18}\text{O}$  values ( $R^2 = 0.28$ ;  $p = 0.050$ ), whereas there is no well-defined relationship with the seasonal means: mean winter  $\delta^{18}\text{O}$  ( $R^2 = 0.23$ ;  $p = 0.07$ ) and mean summer ( $R^2 = 0.04$ ;  $p = 0.44$ ) (Fig. 5). This suggests specimens recording rather temperate temperatures and cold winters to have

the  $\delta^{13}\text{C}$  cycles in antiphase with the  $\delta^{18}\text{O}$  cycles, i.e. specimens reflecting cold winter periods have the  $\delta^{13}\text{C}$  minimum during winter and vice versa.

### 3.5 Upwelling as a driver of high skeletal productivity?

Enhanced upwelling peripheral to the Florida platform causing cool and nutrient enriched waters to flush the platform was suggested earlier as a cause for high skeletal productivity during the Pliocene and Pleistocene interglacials. This reconstruction was based on taxonomical, paleoecological and taphonomical data, whereas stable isotope evidence found in molluscan shells remains inconclusive (Allmon, 2001; Allmon et al., 1996, 1995; Emslie and Morgan, 1994; Jones and Allmon, 1995). In contrast to earlier work, we use a positive correlation of bulk  $\delta^{18}\text{O}$  and bulk  $\delta^{13}\text{C}$  as a signature of upwelling (Figs. 3 and 10), which documents the combined effects of SST cooling and enhanced organic productivity on skeletal carbonate production (Berger and Vincent, 1986). The  $\delta^{13}\text{C}$  in corals is controlled by a number of factors, and the identification of single factors driving z-coral  $\delta^{13}\text{C}$  is not currently possible (Swart, 1983). Most important are the activity of the photosymbionts relative to heterotrophic feeding (P/H ratio) and  $\delta^{13}\text{C}$  of the DIC in ambient seawater (Klaus et al., 2013; Swart, 1983; Swart et al., 2010). Organic production by zooxanthellae and plankton preferentially consumes  $^{12}\text{C}$ , driving coral skeletal  $\delta^{13}\text{C}$  towards more positive values (Berger and Vincent, 1986; Swart, 1983). A positive bulk skeletal  $\delta^{13}\text{C}$  will, therefore, reflect either a high longer-term P/H ratio, organic production, or a combination of both, and specimens displaying positive bulk skeletal  $\delta^{13}\text{C}$  in conjunction with positive bulk  $\delta^{18}\text{O}$  values will correspondingly reflect increased photosymbiont activity during cool years or prolonged upwelling. In order to sort out the principal driving mechanism, we have identified two endmember scenarios in the isotope and calcification data (Fig. 10). Endmember 1 is represented by z-corals with the most negative bulk  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values, low  $\Delta\delta^{18}\text{O}$  and positive correlation of the subannually resolved  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  data (positive  $r$ ). We suggest it to represent a hot water situation with low organic productivity and low

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seasonality; maximum organic productivity occurred during winter. Skeletal extension rates were low, bulk density high, and calcifications rates were low; the HDB formed during summer, likely in parallel with lowest extension rates. Endmember 2 has the most positive bulk  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  values, high  $\Delta\delta^{18}\text{O}$  and negative  $r$ . Skeletal extensions rates were high but bulk density low; calcification rates were high as well, and the HDB formed during winter. Relative to endmember 1, it represents a more temperate situation with high bulk organic productivity and high temperature seasonality; maximum organic production occurred during summer (Fig. 10).

Low organic productivity in endmember 1 is likely an effect of hot, oligotrophic surface waters as indicated by combined negative bulk  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$ . Maximum symbiont activity and skeletal calcification occurred during winter, whereas they were low during summer time, likely because light saturation was reached at excessive summer SSTs causing photoinhibition, bleaching or expulsion of photosynthetic algae (McConnaughey, 1989). A shallow depth of growth seems not to be a significant factor here, because fluctuations between both endmember stages are recorded by many corals (Fig. 4). Expulsion of symbiotic algae is perhaps the most likely cause because modern *Solenastrea* dominating our samples is known to be facultatively zooxanthellate (Allmon, 1992). Under the cooler annual SSTs of endmember 2, organic productivity and skeletal calcification were high, particularly during summer. Organic production, planktonic and/or zooxanthellate, were on average higher than in scenario 1, and maximum production during summer likely reflects the positive interference of planktonic and zooxanthellate productivity on skeletal  $\delta^{13}\text{C}$  during the warm and sunny season in a context of longer-term upwelling. Because recorded SST seasonality was high under these conditions, upwelling is likely to have been less intense during summer or to have been complemented by pronounced winter cooling. In present Florida, exceptionally cool winter seasons occur during El Niño events. A permanent El Niño-like configuration has been suggested to exist during the Pliocene (Wara et al., 2005), however, this has been shown to be paralleled by persistent interannual variability (Scroxton et al., 2011; Watanabe et al., 2011).

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With regard to the systematics of z-coral calcification, it is important to note that the formation of the HDB dates during the period of minimum organic production, likely minimum zooxanthellate activity, in both endmember scenarios. We conclude the HDB is, therefore, the expression of maximum skeletal density developed during periods of minimum skeletal extension and minimum calcification rates, also because the fossil z-corals having small bulk extension rates have the highest bulk density but minimal rates of skeletal extension and calcification. This calcification model is compatible with modern z-coral calcification patterns (Carricart-Ganivet, 2004) and fits the environmental reconstructions for the two endmember scenarios. Endmember 2 reflects growth conditions more suitable to z-coral growth than endmember 1, however, endmember 2 represents a situation with more moderate SSTs than endmember 1. As suggested in previous studies (Brachert et al., 2013; Worum et al., 2007), our work confirms coral calcification to be non-linear, and coral growth during Pliocene and Pleistocene interglacials to have occurred at high temperatures beyond optimum as reflected by low calcification. Although Pliocene global climates were warmer than present day, periods of prolonged upwelling may have created an environmental window protected from overheating (endmember 2).

But upwelling is generally ascribed as an adverse effect on z-coral growth and coral reef accretion because the upwelling deep-water masses potentially cause cold reef kills, impose nutrient stresses and impede carbonate cementation and skeletal calcification through phosphate poisoning and low pH (Hallock, 1988; Hallock and Schlager, 1986; Manzello et al., 2014). These negative effects, however, may be mitigated depending on the intra-annual timing of seasonal upwelling (Chollett et al., 2010), or if upwelling waters derive from rather shallow sources (Riegl and Piller, 2003). In agreement with our inferences regarding calcification in a context of upwelling (endmember 2), an experimental study on z-coral calcification in the Galapagos upwelling zone found a negative effect on density but not so on extension rates and calcification rates which were higher than expected from known relationships (Manzello et al., 2014). Perhaps, this is why a rich coral fauna persisted in the southwest Florida coral reef during



the Pliocene (Meeder, 1979). Nonetheless, the platform seems to have an ideal refuge for z-coral growth within the Western Atlantic warm pool, because of the abundant occurrence of the eurytopic *Solenastrea* which is also tolerant to high turbidity (Meeder, 1979) as it may be the case in an upwelling regime.

Our dataset documents specimens representing the two endmember situations to occur at one single sampling site and the two endmember situations to be recorded even by one single coral specimen (Fig. 4). Therefore, the changes of the two endmember conditions occurred on the time-scale of a few years to decades which seems to have created suboptimal environmental conditions for most z-coral taxa. In contrast to the global, long-term trend of seawater  $\delta^{18}\text{O}$  (Zachos et al., 2001), interglacial  $\delta^{18}\text{O}$  values of mollusk and z-corals from Florida platform became increasingly negative over time which implies an increasing moisture import (Brachert et al., 2014), and likely a decrease in upwelling intensity towards the present. The oldest specimen (age 3.2 Ma; Table 1) investigated during this study represents a rather continuous record of upwelling (scenario 2) for  $\sim 50$  years of duration (Fig. 4d). More records are needed, however, to test if this is a robust temporal trend.

Since modern z-coral calcification patterns at the Florida Reef Tract resemble the endmember 1 situation described in this study, further warming of the region will endanger coral growth.

## 4 Conclusions

1. Z-coral skeletons were collected from unlithified shallow marine carbonate units of the Florida carbonate platform and dated at 3.2, 2.9, 1.8 and 1.2 Ma, equivalent with interglacials of the middle Pliocene to early Pleistocene.
2. The z-corals analysed are in a primary preservation state according to X-ray imaging and X-ray diffraction analysis complemented by visual inspection using a binocular microscope and SEM.

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3. X-radiographs of the z-corals display growth bands consistent with skeletal growth stages. The growth bands are equivalent with the density bands reported in the literature on modern corals. But the timing of the growth bands was not regular on an annual basis and is not suited for developing internal chronologies.
- 5 4. Specimens having the band of high density (HDB) formed in the warm season according to the  $\delta^{18}\text{O}$  cycles, have high bulk skeletal density but small annual growth increments which reflects low annual calcification rates (endmember 1). Also, annual SSTs were high and organic production (zooxanthellate and/or pelagic) was low. Specimens with HDBs formed during the winter season (endmember 2), according to the  $\delta^{18}\text{O}$  cycles, have low bulk skeletal density but large annual growth increments reflecting high annual calcification rates. Annual SSTs were cooler than under endmember 1 conditions but organic productivity was higher.
- 10
- 15 5. High organic production recorded by the positive bulk  $\delta^{13}\text{C}$  signals is likely an effect of upwelling of nutrient rich and cool subsurface waters. Upwelling had a mitigating effect on otherwise hot SSTs which likely promoted enhanced z-coral calcification rates.
6. Episodes of upwelling occurred with a few years to tens of years duration and alternated with periods of non-upwelling. This situation is likely the reason for the unique z-coral fauna dominated by eurytopic *Solenastrea*.
- 20 7. We do not know yet whether periods of upwelling were systematically of longer duration during the more distant geological past, but the oldest coral record available documents by far the longest episode of upwelling (~ 50 years).
- 25 8. Proxy data and calcification records from recent Florida z-corals (reef tract) have many traits in common with the fossils of endmember 1. For this reason we speculate whether they may be endangered by future anthropogenic ocean warming.

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**Table 1.** Sampling sites in southern Florida. The numbering follows Brachert et al. (2014).

No.	Site	Sample ID	GPS Coordinates	Lithostratigraphy	Age (Ma)
4	Palm Beach Aggregates	EP8 EP9A EP9C EP9D	26°41.742' N, 80°21.270' W	Bermont Fm. (Holey Land Mb.)	1.2
8	Brantley Pit, Arcadia	EP6-S2	27°2.988' N, 81°49.611' W	Caloosahatchee Fm. (Bee Branch Mb.)	1.8
9	DeSoto Sand and Shell LLC (site 452)	452-K1-S6 <sup>a</sup> 452-K4-S1 452-K14-S6	27°3.587' N, 81°47.627' W	Caloosahatchee Fm. (Bee Branch Mb.)	1.8
10	unnamed pit (site 509)	509A	26°27.149' N, 81°42.988' W	Caloosahatchee Fm.	1.8
15	Mule Pen Quarry	EP1-S2 EP2-S2 EP3 EP4-S2 EP5-S2	26°10.410' N, 81°42.468' W	Tamiami Fm. (Golden Gate Mb.)	2.9
16	Quality Aggregates (APAC)	Coral #1 <sup>b</sup>	N/A	Tamiami Fm. (Pinecrest Mb., unit 7)	3.2

<sup>a</sup> From Böcker (2014).

<sup>b</sup> From Roulier and Quinn (1995).

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**Table 2.** Carbon and oxygen stable isotope composition (‰ vs. PDB) of z-corals, Pliocene and Pleistocene, Florida/USA.

Specimen	Taxon	Number of analyses ( <i>n</i> )	Length of record ( $\delta^{18}\text{O}$ years)	Bulk $\delta^{13}\text{C}$ ( $\pm 1\sigma$ )	Mean annual $\delta^{18}\text{O}$ ( $\pm 1\sigma$ )	Correlation coefficient of $\delta^{13}\text{C}/\delta^{18}\text{O}$	Average annual maximum $\delta^{18}\text{O}$ ( $\pm 1\sigma$ )	Average annual minimum $\delta^{18}\text{O}$ ( $\pm 1\sigma$ )	Mean seasonal $\Delta\delta^{18}\text{O}$ ( $\pm 1\sigma$ )
EP1-S2	<i>Solenastrea</i>	76	16	$-2.60 \pm 0.99$	$-2.69 \pm 0.22$	-0.57	$-1.95 \pm 0.39$	$-3.41 \pm 0.23$	$1.39 \pm 0.48$
EP2-S2	<i>Orbicella</i>	34	10	$-2.19 \pm 0.58$	$-3.21 \pm 0.19$	0.19	$-2.71 \pm 0.27$	$-3.74 \pm 0.29$	$0.99 \pm 0.43$
EP3	<i>Porites</i>	58	4	$-1.42 \pm 0.43$	$-2.46 \pm 0.43$	0.06	$-1.50 \pm 0.42$	$-3.05 \pm 0.28$	$1.60 \pm 0.20$
EP4-S2	<i>Solenastrea</i>	35	4	$-1.93 \pm 0.76$	$-2.62 \pm 0.19$	0.73	$-2.27 \pm 0.30$	$-3.45 \pm 0.13$	$1.15 \pm 0.24$
EP5-S2	<i>Solenastrea</i>	62	8	$-2.38 \pm 0.66$	$-2.60 \pm 0.23$	-0.55	$-1.67 \pm 0.27$	$-3.35 \pm 0.09$	$1.67 \pm 0.22$
EP6-S2	<i>Solenastrea</i>	54	4	$-2.86 \pm 1.18$	$-2.26 \pm 0.25$	-0.68	$-1.30 \pm 0.55$	$-3.51 \pm 0.29$	$2.25 \pm 0.86$
EP8	<i>Solenastrea</i>	42	5	$-3.35 \pm 0.43$	$-3.50 \pm 0.10$	0.21	$-2.74 \pm 0.15$	$-4.03 \pm 0.15$	$1.28 \pm 0.33$
EP9A	<i>Solenastrea</i>	68	15	$-3.26 \pm 0.54$	$-3.02 \pm 0.26$	0.45	$-2.61 \pm 0.35$	$-3.55 \pm 0.27$	$0.96 \pm 0.31$
EP9B	<i>Orbicella</i>	48	4	$-3.02 \pm 0.72$	$-2.93 \pm 0.33$	-0.26	$-2.14 \pm 0.39$	$-3.86 \pm 0.08$	$1.57 \pm 0.43$
EP9C	<i>Solenastrea</i>	135	12	$-2.76 \pm 0.52$	$-2.98 \pm 0.25$	-0.12	$-2.35 \pm 0.18$	$-3.60 \pm 0.29$	$1.25 \pm 0.33$
EP9D	<i>Solenastrea</i>	69	12	$-3.03 \pm 0.77$	$-3.11 \pm 0.23$	0.45	$-2.12 \pm 0.29$	$-3.91 \pm 0.30$	$1.79 \pm 0.36$
Coral #1 <sup>a</sup>	<i>Solenastrea</i>	286	49	$-3.56 \pm 0.57$	$-3.19 \pm 0.19$	0.33	$-2.22 \pm 0.27$	$-3.89 \pm 0.21$	$1.68 \pm 0.26$
452-K1 <sup>b</sup>	<i>Solenastrea</i>	468	35	$-1.69 \pm 0.55$	$-2.23 \pm 0.30$	-0.03	$-1.02 \pm 0.46$	$-3.10 \pm 0.28$	$2.06 \pm 0.50$
452-K4-S1	<i>Solenastrea</i>	99	14	$-2.88 \pm 0.72$	$-2.59 \pm 0.19$	0.15	$-1.61 \pm 0.42$	$-3.29 \pm 0.28$	$1.69 \pm 0.48$
452-K14-S6	<i>Solenastrea</i>	77	14	$-1.84 \pm 0.50$	$-2.58 \pm 0.24$	0.26	$-1.95 \pm 0.76$	$-3.36 \pm 0.35$	$1.73 \pm 0.93$

<sup>a</sup> From Roulier and Quinn (1995).

<sup>b</sup> From Bocker (2014).

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**Table 3.** Mean annual skeletal extension rate ( $+1\sigma$ ), bulk density ( $+1\sigma$ ), and calcification rate of massive corals (*Solenastrea*, *Orbicella*, *Porites*) from the Plio-/Pleistocene of Florida. Timing of the high density band (HDB) relative to the  $\delta^{18}\text{O}$  cycle. Minimum  $\delta^{18}\text{O}$  values reflecting high water temperature and/or positive water balance are being referred to as “summer”, maximum  $\delta^{18}\text{O}$  values cool temperatures and/or negative water balance are referred to here as “winter”. NA = not available.

Specimen	Taxon	Mean extension rate (cm yr <sup>-1</sup> )	Bulk density (g cm <sup>-3</sup> )	Calcification rate (g cm <sup>-2</sup> yr <sup>-1</sup> )	Timing of HDB (summer/winter/intermediate)
EP1-S2	<i>Solenastrea</i> sp.	0.28 ± 0.08	1.22 ± 0.17	0.34	10/0/1
EP2-S2	<i>Orbicella annularis</i>	0.16 ± 0.03	1.14 ± 0.25	0.18	6/3/1
EP3	<i>Porites</i> sp.	0.86 ± 0.22	0.60 ± 0.12	0.52	0/4/0
EP4-S2	<i>Solenastrea</i> sp.	0.45 ± 0.28	NA	NA	0/4/0
EP5-S2	<i>Solenastrea</i> sp.	0.37 ± 0.06	1.22 ± 0.21	0.45	8/2/0
EP6-S2	<i>Solenastrea</i> sp.	0.83 ± 0.21	0.55 ± 0.06	0.46	1/2/0
EP8	<i>Solenastrea</i> sp.	0.38 ± 0.05	1.16 ± 0.12	0.44	2/3/1
EP9A	<i>Solenastrea</i> sp.	0.22 ± 0.08	0.94 ± 0.16	0.21	10/3/0
EP9B	<i>Orbicella annularis</i>	0.64 ± 0.25	0.76 ± 0.09	0.48	0/4/0
EP9C	<i>Solenastrea</i> sp.	0.58 ± 0.11	0.73 ± 0.08	0.43	0/10/2
EP9D	<i>Solenastrea</i> sp.	0.29 ± 0.05	1.00 ± 0.18	0.29	9/1/2
Coral #1	<i>Solenastrea bournoni</i>	0.41 ± 0.09	NA	NA	0/42/9
452 K1 total	<i>Solenastrea</i> sp.	0.63 ± 0.16	0.73 ± 0.90	0.4 to 0.5 ( $\bar{\theta} = 0.45$ )	10/20/11
452, lower segment	<i>Solenastrea</i> sp.	0.71 ± 0.14	0.70	0.50	
452, upper segment	<i>Solenastrea</i> sp.	0.55 ± 0.17	0.56	0.31	
452-K4-S1	<i>Solenastrea</i> sp.	0.35	0.93 ± 0.14	0.33	7/2/6
452-K14-S6	<i>Solenastrea</i> sp.	0.26	1.52 ± 0.25	0.40	7/3/2

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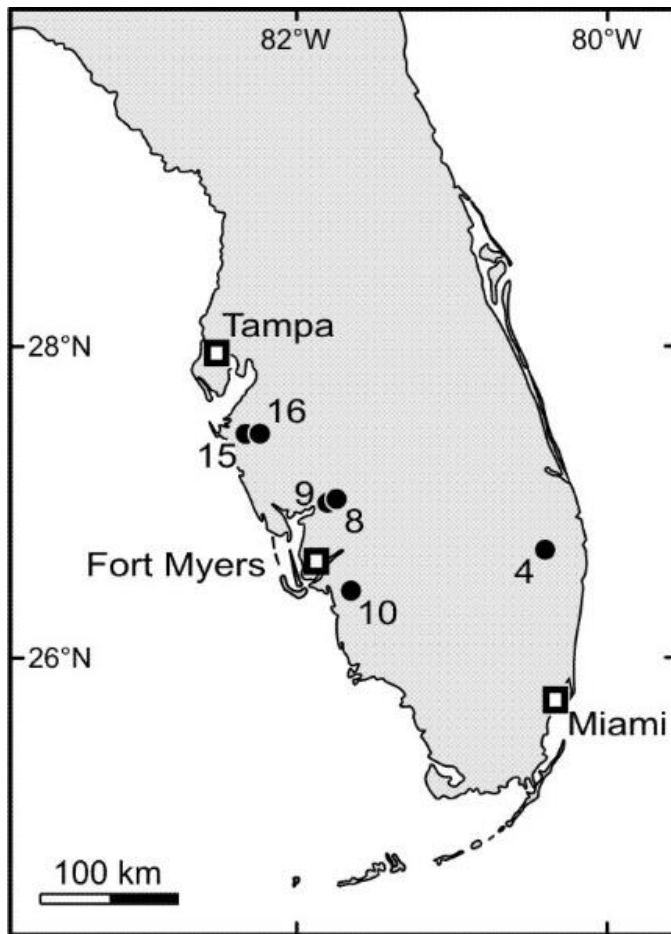
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**Figure 1.** Sampling stations in southern Florida/USA (dots). See Table 1 for details and numbering of sampling stations.

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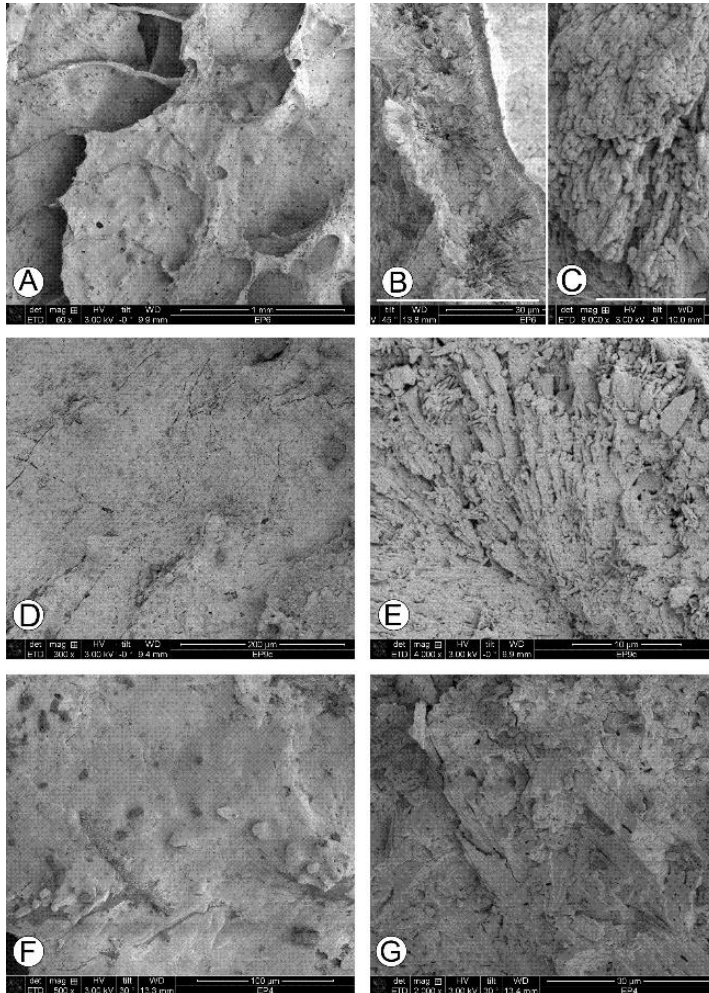
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**Figure 2.** SEM view of septa and dissepiments. **(a)** Septal surface with traces of broken dissepiments. Septa and dissepiments are devoid of biogenic incrustations and inorganic cements (EP6). **(b)** Cross-section of a dissepiment displaying radial fiber architecture of the sclerodermites. The centers of calcification exhibit minor dissolution. **(c)** Detail of polycrystalline aragonite fibers formed of granular crystallites aligned in chains forming dissepiment (EP6). **(d)** Surface view of a septum showing abundant near-surface, straight, filamentous microborings but no secondary incrustations/cements (EP9c). **(e)** Cross-section of dissepiment showing radial arrangement of bladed crystal fibers (EP9c). **(f)** Surface view of a septum with biogenic incrustation (EP4). **(g)** Sectional view of the coenosteum porosity infilled with densely packed fibers of bladed aragonite. Some channel porosity is present between fibers (EP4).

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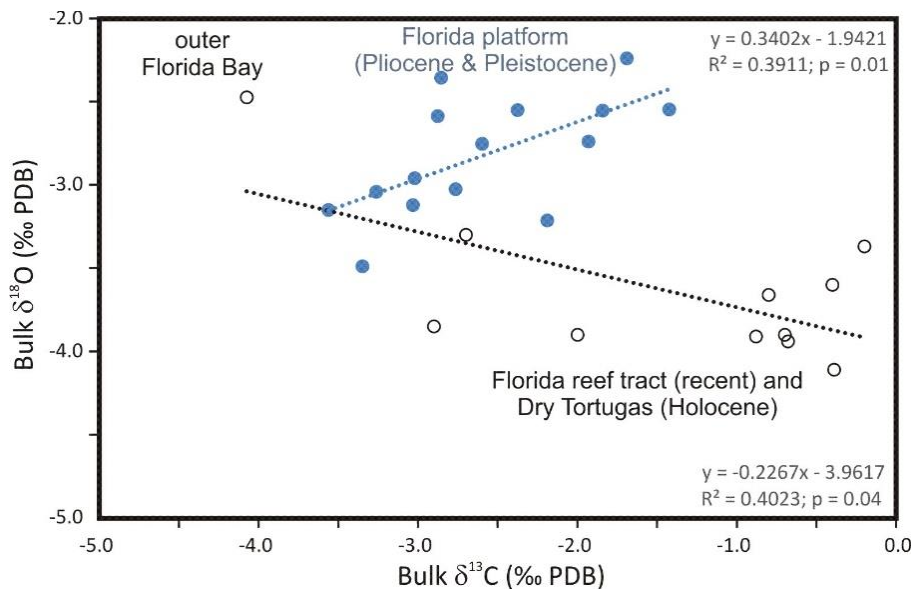
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**Figure 3.** Bulk stable isotope values of Florida reef corals. Circles: recent and Holocene; dots: interglacial Pliocene and Pleistocene. Recent and Holocene data from literature (Leder et al., 1996, 1991; Smith, 2006; Swart et al., 1996).

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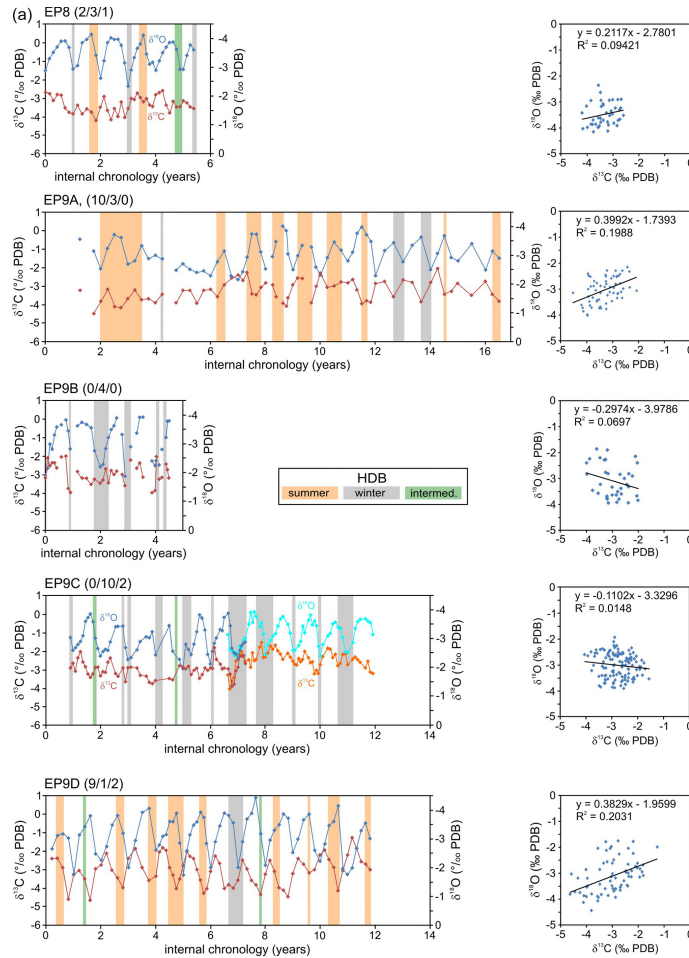


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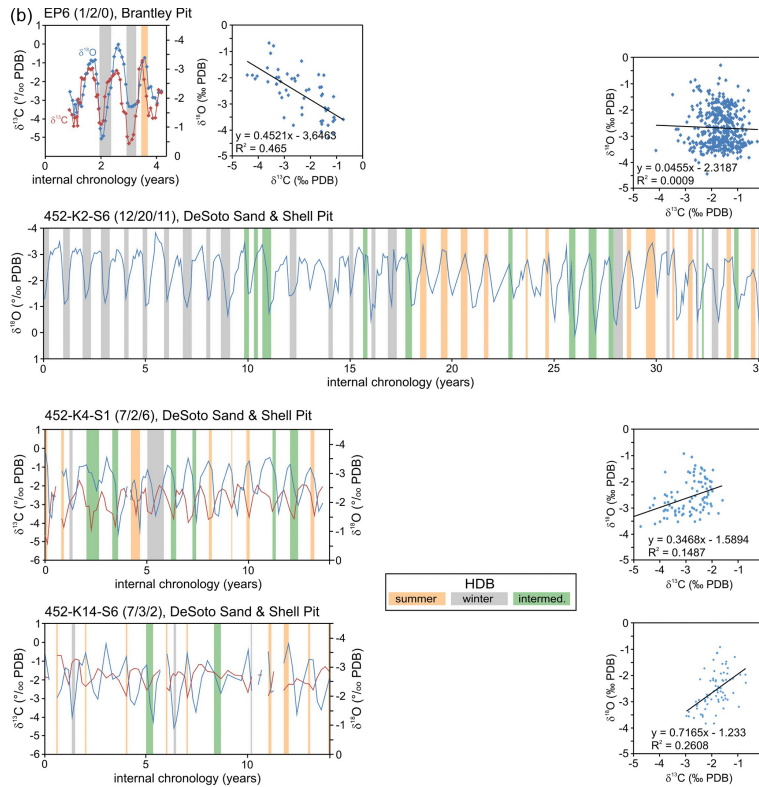


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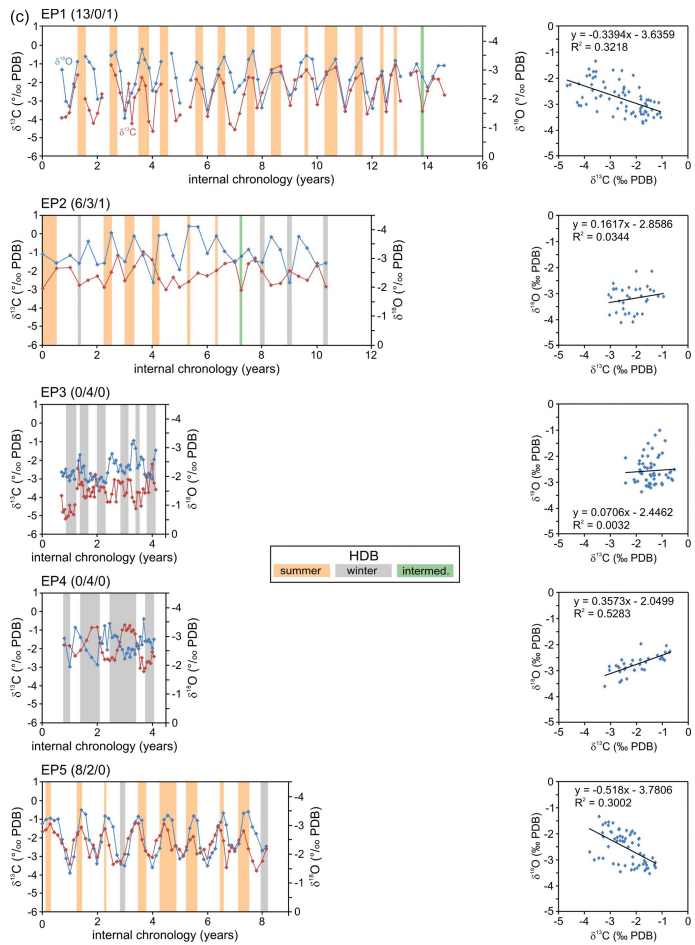


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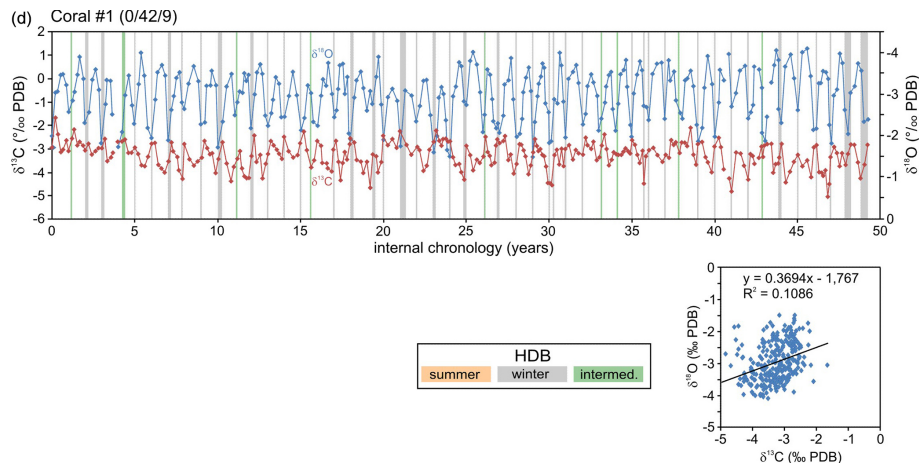
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**Figure 4.** (a) Serial records of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  in z-corals from the Holey Land Member of the Bermont Formation (Palm Beach Aggregates, 1.2 Ma). Notice inverted scale of  $\delta^{18}\text{O}$ . (b) Serial records of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  in z-corals from the Bee Branch Member of the Caloosahatchee Formation (1.8 Ma). Notice inverted scale of  $\delta^{18}\text{O}$ . (c) Serial records of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  in z-corals from the Golden Gate Member of the Tamiami Formation (Mule Pen quarry, 2.5 Ma). Notice inverted scale of  $\delta^{18}\text{O}$ . (d) Serial records of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  in a coral from the Pincrest Member of the Tamiami Formation (Quality Aggregates, 3.2 Ma; Roulier and Quinn, 1995). Notice inverted scale of  $\delta^{18}\text{O}$ .

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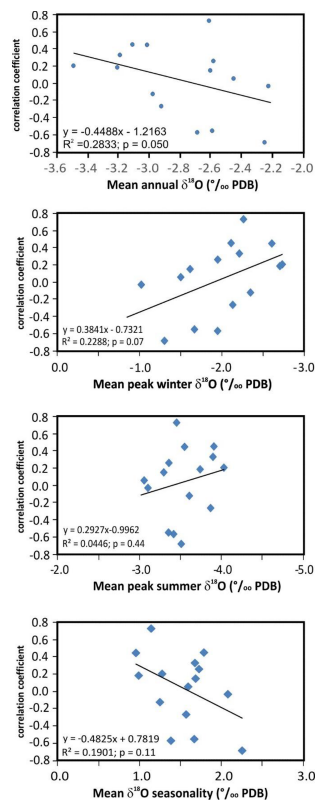
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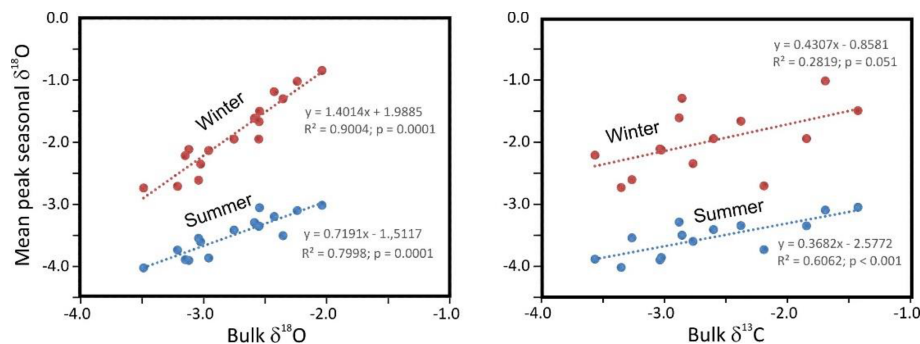


**Figure 5.** Relationships of skeletal  $\delta^{18}\text{O}$  with the coefficient of correlation of subannual  $\delta^{18}\text{O}/\delta^{13}\text{C}$  values.

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**Figure 6.** Bulk stable isotope composition ( $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ ) compared to the averages of the minimum and maximum values of  $\delta^{18}\text{O}$  interpreted to represent maximum summer and winter, respectively.

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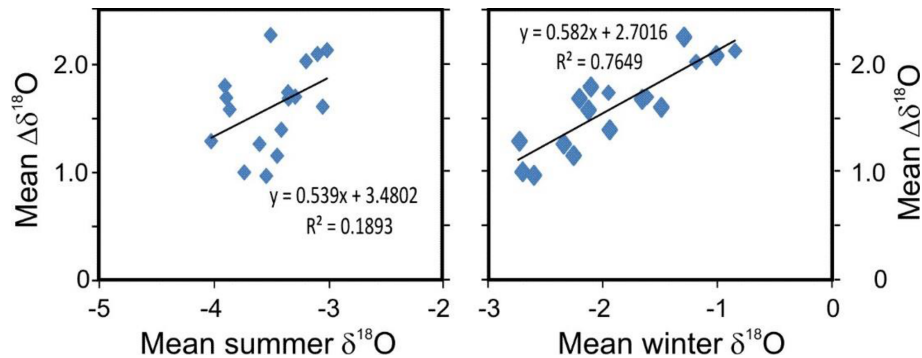
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**Figure 7.** Mean seasonal contrast of skeletal  $\delta^{18}\text{O}$  (mean  $\Delta\delta^{18}\text{O}$ ) compared to the means of maximum summer and winter skeletal  $\delta^{18}\text{O}$ .

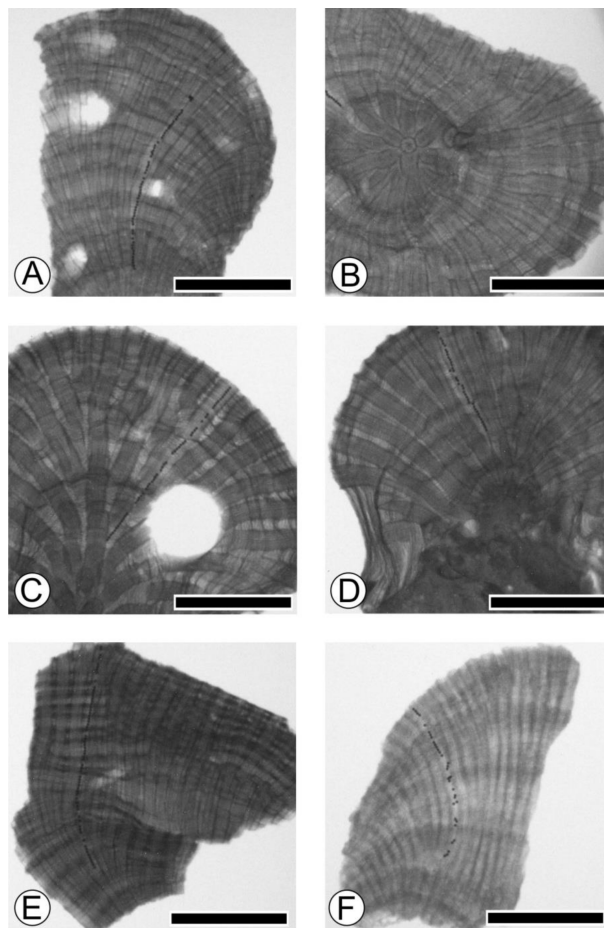
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**Figure 8.** Digital radiographs (positive prints) of fossil z-corals from the Pliocene and Pleistocene of Florida showing density bands. Circular white spots represent open voids of bivalves borings. **(a)** *Solenastrea* sp. (EP9D). **(b)** *Solenastrea* sp. (EP9B). **(c)** *Solenastrea* sp. (EP9A). **(d)** *Orbicella* encrusted on a hardground (EP 8). **(e)** *Solenastrea* sp., white patch within the center is from bioerosional cavity. **(g)** *Solenastrea* sp. (EP1). **(f)** *Porites* sp. (EP3). For better contrast, steel balls ( $\text{\O} = 0.5 \text{ mm}$ ) mark sampling transect. Scale bar 2 cm, all radiographs reproduced to same size.

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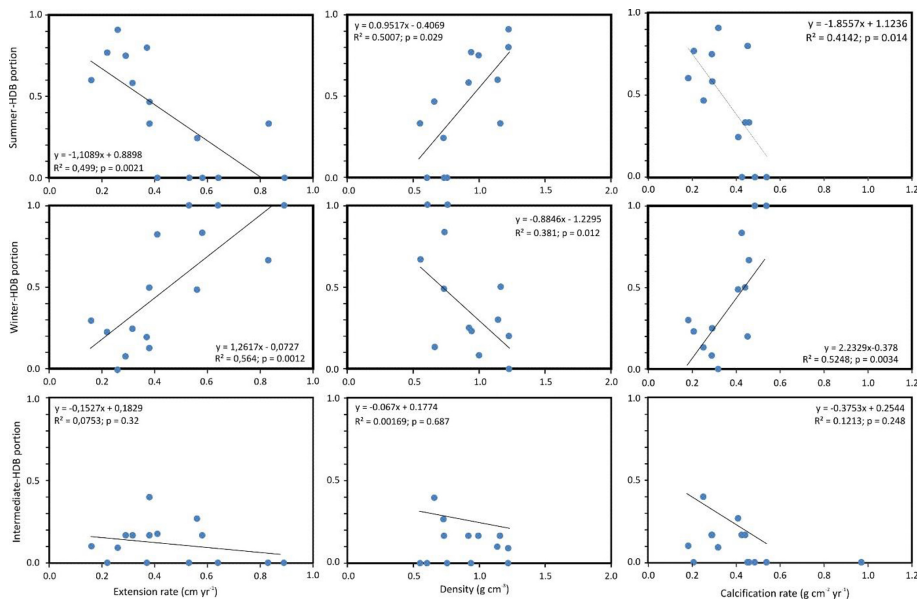
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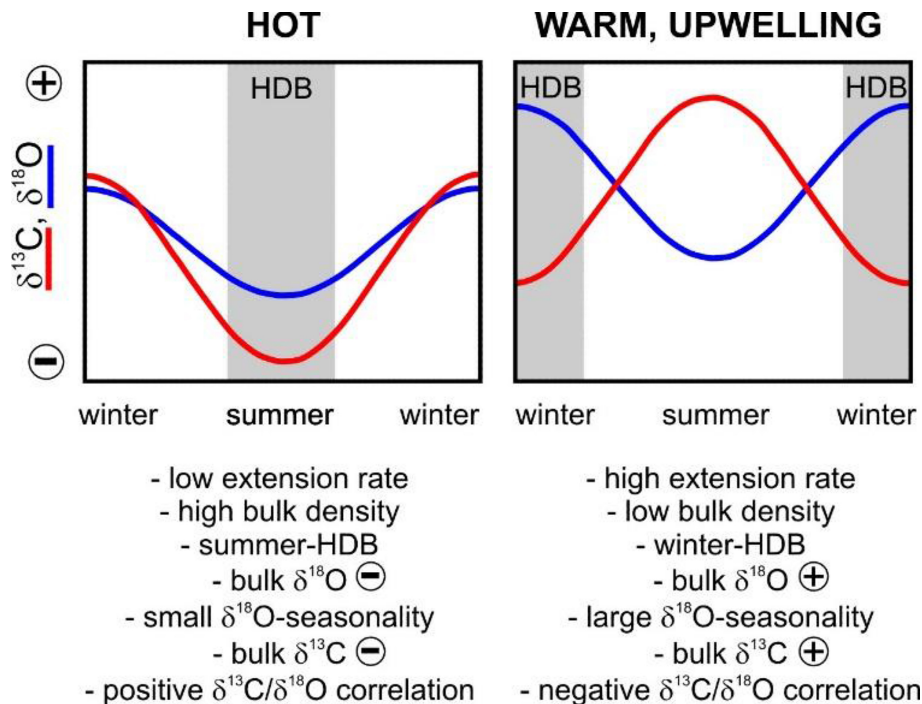
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**Figure 9.** Relationship of annual extension rate, density and calcification rate with the timing of density banding in Pliocene and Pleistocene z-corals from southern Florida (USA).

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**Figure 10.** Schematic of endmember relationships of stable isotope data with the patterns of calcification and the timing of the HDB in middle Pliocene to early Pleistocene z-corals from southwestern Florida.

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