

Letter of Responses

Dear Dr. Rammig,

Thank you very much for your comments. The manuscript has been thoroughly revised based on your comments. Please find detailed responses below. We hope you will find the revised manuscript acceptable for publication.

Sincerely,
Junyi Liang

The original comments are in italic and colored blue, and our responses follow. All line numbers indicated in the responses are those in the marked-up revision.

“Thus, the mechanisms that drive PNL occurrence partially exist”. Sentence not clear.

Response: This sentence and the previous one have been merged and re-written to “The results showed that CO₂ enrichment significantly increased N sequestration in the plant and litter pools but not in the soil pool, partially supporting one of the basic assumptions in the PNL hypothesis that elevated CO₂ results in more N sequestered in organic pools.” (Lines 20 – 23)

Line 52: “over longer time scales”?

Response: Revised as suggested. The revised sentence reads, “The reduced N availability then in turn constrains the further CO₂ fertilization effect on plant growth over longer time scales” (Lines 50 – 52)

Line 54: Not clear. “Otherwise PNL may lead to...”?

Response: Revised as suggested. The revised sentence reads, “Otherwise, PNL may lead to a diminished CO₂ fertilization effect on plant growth over time.” (Lines 54 – 55)

Line 55: remove sentence.

Response: The sentence has been removed (Lines 56 – 58).

Line 58: insert: the PNL hypothesis

Response: Revised as suggested (Line 59).

Line 84: remove “the”

Response: The word “the” has been removed (Line 83).

Line 172: “of”

Response: The word “from” has been changed to “of” (Line 169).

Line 217: “Thus, the basis of PNL occurrence partially exists”. Sentence not clear. Do you mean: “Thus, there seems to be evidence for some basic assumptions of the PNL hypothesis”?

Response: The sentence has been revised to “Thus, there seems to be evidence for some basic assumptions of the PNL hypothesis.” (Lines 212 – 213)

Line 228: “at”

Response: The word “on” has been changed to “at” (Line 223).

Line 253: “On average, in those long-term studies, CO₂ enrichment...”

Response: Revised as suggested. The revised sentence reads, “On average, in those long-term studies, CO₂ enrichment increased biological N fixation by 26.2%” (Lines 247 – 248)

Line 269: Sentence not clear. A diminished CO₂ fertilization effect on what? And what decreased in the two sites?

Response: The sentence has been revised to “While a diminished CO₂ fertilization effect on plant growth was not observed in most of the long-term experiments, it occurred in two sites (i.e., ORNL and Aspen-Birch)” (Lines 261 – 263)

Line 276: Is this really an attribution? “...the diminished CO₂ fertilization effect on plant growth (?) was potentially driven by limitation of N, or other resources...”

Response: Revised as suggested. The revised sentence reads, “...the diminished CO₂ fertilization effect on plant growth was potentially driven by limitation of N, or other resources, or their combined effect” (Lines 267 – 269)

Line 280: on plant growth?

Response: Yes. The sentence has been revised to “...the deceleration of leaf area increases due to canopy closure was responsible for the diminished CO₂ fertilization effect on plant growth without O₃ addition” (Lines 271 – 273)

Line 288: Methodology of your approach or of the experimental approach?

Response: The sentence has been revised to “Experimental methodology may potentially influence findings” (Line 281)

Line 320: There is no general agreement that this is true in all ecosystems. I would suggest to remove “generally” and replace it by “is assumed”

Response: Revised as suggested. The revised sentence reads, “CO₂ enrichment is assumed to reduce stomatal conductance...” (Lines 310 – 311)

Line 338: “our results...” which results?

Response: We have merged this paragraph with the previous one for better connection. This part after the revision reads, “Our results indicate that CO₂ enrichment significantly increases gaseous N loss when additional N is applied. This is consistent with a previous synthesis (van Groenigen et al. 2011).” (Lines 325 – 327)

Line 342: change “radiative forcing” to “emissions”

Response: Revised as suggested (Line 311).

Line 342 – 344: sentence not clear, please revise.

Response: The sentence has been revised to “In their model, elevated CO₂ enhanced plant N sequestration and consequently, decreased the N availability for nitrification and denitrification in soils, which led to the reduced N₂O emissions” (Lines 331 – 334). A word “however” has been added in the followed sentence (Line 334).

Line 384: “In addition, our analysis indicates that increased...”

Line 385: “may”

Response: Revised as suggested. The revised sentence reads, “In addition, our analysis indicates that increased N₂O emissions may partially offset the mitigation of climate change by stimulated plant CO₂ assimilation” (Line 372 – 374).

1 **Processes regulating progressive nitrogen limitation under elevated carbon dioxide: A**
2 **meta-analysis**

3
4
5 **J. Liang^{1,*}, X. Qi¹, L. Souza^{1,2}, Y. Luo^{1,3,*}**

6 ¹Department of Microbiology and Plant Biology, University of Oklahoma, Norman, Oklahoma
7 73019, USA

8 ²Oklahoma Biological Survey, University of Oklahoma, Norman, Oklahoma 73019, USA

9 ³Center for Earth System Science, Tsinghua University, Beijing 100084, China

10

11 ***Corresponding authors:** Junyi Liang (jliang@ou.edu) and Yiqi Luo (yluo@ou.edu). 101

12 David L. Boren Blvd., Norman, Oklahoma 73019, USA. Fax: +1 405 325 7619. Tel: +1 405 325
13 6519.

14

15 **Abstract:** The nitrogen (N) cycle has the potential to regulate climate change through its
16 influence on carbon (C) sequestration. Although extensive research has explored whether or not
17 progressive N limitation (PNL) occurs under CO₂ enrichment, a comprehensive assessment of
18 the processes that regulate PNL is still lacking. Here, we quantitatively synthesized the responses
19 of all major processes and pools in the terrestrial N cycle with meta-analysis of CO₂
20 experimental data available in the literature. The results showed that CO₂ enrichment
21 significantly increased N sequestration in the plant and litter pools but not in the soil pool,
22 partially supporting one of the basic assumptions in the PNL hypothesis that elevated CO₂ results
23 in more N sequestered in organic pools. ~~Thus, the mechanisms that drive PNL occurrence~~
24 ~~partially exist.~~ However, CO₂ enrichment significantly increased the N influx via biological N
25 fixation and the loss via N₂O emission, but decreased the N efflux via leaching. In addition, no
26 general diminished CO₂ fertilization effect on plant growth was observed over time up to the
27 longest experiment of 13 years. Overall, our analyses suggest that the extra N supply by the
28 increased biological N fixation and decreased leaching may potentially alleviate PNL under
29 elevated CO₂ conditions in spite of the increases in plant N sequestration and N₂O emission.
30 Moreover, our syntheses indicate that CO₂ enrichment increases soil ammonium (NH₄⁺) to
31 nitrate (NO₃⁻) ratio. The changed NH₄⁺/NO₃⁻ ratio and subsequent biological processes may
32 result in changes in soil microenvironments, above-belowground community structures and
33 associated interactions, which could potentially affect the terrestrial biogeochemical cycles. In
34 addition, our data synthesis suggests that more long-term studies, especially in regions other than
35 temperate ones, are needed for comprehensive assessments of the PNL hypothesis.

36

37 **1 Introduction**

38 Fossil-fuel burning and deforestation have led to substantial increase in atmospheric carbon
39 dioxide (CO₂) concentrations, which could stimulate plant growth (IPCC, 2013). The plant
40 growth stimulated by CO₂ fertilization and the resulting terrestrial carbon (C) storage could
41 partially mitigate the further increase in CO₂ concentrations and associated climate warming
42 (IPCC, 2013). However, this effect may be constrained by the availability of nitrogen (N), an
43 essential element for molecular compounds of amino acids, proteins, ribonucleic acids (RNAs)
44 and deoxyribonucleic acids (DNAs) in organisms (Rastetter et al., 1997; Oren et al., 2001; Luo et
45 al., 2004; Reich et al., 2006; Norby et al., 2010; Reich and Hobbie, 2013). A popular hypothesis
46 of the N constraint to the CO₂ fertilization effect is progressive N limitation (PNL) (Luo et al.,
47 2004).

48 Progressive N limitation postulates that the stimulation of plant growth by CO₂ enrichment
49 results in more N sequestered in plant, litter and soil organic matter (SOM) so that, the N
50 availability for plant growth progressively declines in soils over time (Luo et al., 2004). The
51 reduced N availability then in turn constrains the further CO₂ fertilization effect on plant growth
52 ~~on long-term scales~~over longer time scales. However, whether and to what extent PNL occurs
53 depends on the balance of N demand and supply (Luo et al., 2004; Finzi et al., 2006; Walker et
54 al., 2015). If the N supply meets the N demand, PNL may not occur. Otherwise, PNL may lead
55 to a diminished CO₂ fertilization effect on plant growth over time~~the CO₂ fertilization effect on~~
56 ~~plant growth may diminish over time. The PNL hypothesis has been tested in individual~~
57 ~~ecosystems during the past decade (e.g., Finzi et al., 2006; Moore et al., 2006; Reich et al., 2006;~~
58 ~~Norby et al., 2010)~~. Some of the site-level studies support (Reich et al., 2006; Norby et al., 2010),

59 while the others refute the PNL hypothesis (Finzi et al., 2006; Moore et al., 2006). To date, no
60 general pattern of PNL across ecosystems has yet been revealed.

61 Since the key determining PNL occurrence is that whether N supply meets N demand (Luo et
62 al., 2004), it is important to understand how N supply changes under elevated CO₂. The change
63 in the N supply for plant growth under elevated CO₂ is determined by the responses of multiple
64 N cycling processes, including biological N fixation, mineralization, nitrification, denitrification,
65 and leaching (Chapin III et al., 2011). In addition, the responses of these processes to CO₂
66 enrichment may be influenced by external N addition, such as N deposition and fertilization
67 (Reay et al., 2008). Thus, synthesizing the responses of processes that regulate PNL to CO₂
68 enrichment may help reveal the general pattern of PNL in terrestrial ecosystems.

69 In the current study, the main objective was to synthesize data published in the literature on the
70 N limitation to plant growth under enriched CO₂ conditions. Our data synthesis was designed to
71 answer two questions: (i) How do the major processes in the terrestrial N cycle respond to CO₂
72 enrichment? (ii) Does the CO₂ fertilization effect on plant growth diminish over time? To answer
73 these questions, two sets of data from the literature were collected (Table S1, Table 1). With the
74 first dataset, we quantitatively examined the effects of CO₂ enrichment on all the major
75 processes and pools in the N cycle using meta-analysis. These processes and pools included N
76 sequestered in organic components (i.e., plant tissues, litter and soil organic matter (SOM)),
77 biological N fixation, net mineralization, nitrification, denitrification, leaching, and total
78 inorganic N (TIN), ammonium (NH₄⁺) and nitrate (NO₃⁻) contents in soils. We separated the first
79 dataset according to the experimental durations to explore the responses of the N processes to
80 short- vs. long-term CO₂ treatments. In addition, the responses of the N processes to CO₂
81 enrichment were compared between without and with N addition conditions. The second dataset

82 was compiled for the plant growth in decadal free air CO₂ enrichment (FACE) experiments.

83 With the dataset, we explored whether the CO₂ fertilization effect on ~~the~~ plant growth diminishes

84 or not over time.

85 **2 Materials and Methods**

86 **2.1 Data collection**

87 For the first dataset, a comprehensive literature search with the terms of “CO₂ enrichment (or
88 CO₂ increase)”, “nitrogen” and “terrestrial” was conducted using the online search connection
89 *Web of Science* in Endnote. Then, papers meeting the following two criteria were selected to do
90 the further analyses: (i) including both control and CO₂ enrichment treatments, where the
91 ambient and elevated CO₂ concentrations were around the current and predicted atmospheric
92 CO₂ concentrations by the Intergovernmental Panel on Climate Change (IPCC, 2013),
93 respectively (Fig. S1); (ii) including or from which we could calculate at least one of the major N
94 pools or processes: soil TIN content, soil NH₄⁺ content, soil NO₃⁻ content, aboveground plant N
95 pool (APNP), belowground plant N pool (BPNP), total plant N pool (TPNP), litter N pool (LNP),
96 soil N pool (SNP), N fixation, nodule mass and/or number, net mineralization, nitrification,
97 denitrification, and inorganic N leaching. Overall, there were 175 papers included in the first
98 dataset (Table S1, References S1). For each paper, means, variations (standard deviation (*SD*),
99 standard error (*SE*) or confidence interval (*CI*)) and sample sizes of the variables in both control
100 and CO₂ enrichment treatments were collected.

101 For those studies that provided *SE* or *CI*, *SD* was computed by

102
$$SD = SE\sqrt{n} \quad \text{Eq. (1)}$$

103
$$\text{or } SD = (CI_u - CI_l)\sqrt{n}/2u_p \quad \text{Eq. (2)}$$

104 where *n* is the sample size, *CI_u* and *CI_l* are the upper and lower limits of *CI*, and *u_p* is the
105 significant level and equal to 1.96 and 1.645 when $\alpha = 0.05$ and 0.10, respectively. In some
106 studies, if tissue N concentration and biomass were reported, we multiplied the two parts as N
107 pools. When both APNP and BPNP were provided (or calculated), the two were added together

108 to represent the TPNP. When data from multiple soil layers were provided, they were summed if
 109 they were area-based (i.e., m² land), or averaged if they were weight-based (i.e., g⁻¹ soil). In
 110 studies where the respective contents of NH₄⁺ and NO₃⁻ were reported, the TIN was calculated
 111 by adding the two together. For all the variables, if more than one result were reported during the
 112 experiment period, they were averaged by

$$113 \quad M = \sum_{i=1}^j \frac{M_i}{j} \quad \text{Eq. (3)}$$

114 with standard deviation

$$115 \quad SD = \sqrt{\frac{\sum_{i=1}^j SD_i^2 (n_i - 1) n_i}{(\sum_{i=1}^j n_i - 1) \sum_{i=1}^j n_i}} \quad \text{Eq. (4)}$$

116 where j is the number of results, M_i , SD_i and n_i are the mean, SD and sample size of the i th
 117 sampling data, respectively (Liang et al., 2013). If additional treatments applied (e.g., N addition),
 118 they were treated as independent studies.

119 Because treatment time and N addition may affect the responses of the N processes to CO₂
 120 enrichment, the dataset was divided into different categories: (i) short-term (≤ 3 years) vs. long-
 121 term (> 3 years), and (ii) without N addition vs. with N addition. Moreover, the dataset was also
 122 divided into forest, grassland, and cropland to explore possible differences between ecosystem
 123 types.

124 For the second dataset, 15 available time series of plant growth were collected from 7 decadal
 125 FACE experiments (Table 1). The ecosystems included 9 forests, 5 grasslands and 1 desert.
 126 Because of the limited data, we included variables that can represent plant growth in one way or
 127 another, for example, net primary production (NPP), biomass, and leaf production. These data
 128 were collected to reveal whether the effect of CO₂ enrichment on plant growth diminishes over
 129 treatment time as proposed by the PNL hypothesis (Luo et al., 2004). In the 7 studies, the

130 treatment lasted from 7 to 13 years, and at least 6 years' production measurements were reported.
 131 For each data, the percentage change in NPP (or biomass or leaf production) by CO₂ enrichment
 132 was calculated. Then, a linear regression between the percentage change and the treatment year
 133 was conducted. A significantly negative slope indicates that the effect of CO₂ enrichment on the
 134 plant production diminishes over time. A non-significant slope was treated as 0. After deriving
 135 all the slopes, the frequency distribution of the slopes were fitted by a Gaussian function:

$$y = y_0 + ae^{-\frac{(x-\mu)^2}{2\sigma^2}} \quad \text{Eq. (5)}$$

136 where x is the mean value of each individual interval, and y is the frequency of each interval. y_0
 137 is the base frequency. μ and σ are the mean and SD of the distribution.

139

140 **2.2 Meta-analysis**

141 With the first dataset, the effect of CO₂ enrichment for each line of data of the N variables was
 142 estimated using the natural logarithm transformed response ratio (RR) (Hedges et al., 1999;
 143 Liang et al., 2013):

$$\log_e RR = \log_e (X_E / X_C) \quad \text{Eq. (6)}$$

144 where X_E and X_C are the variable values under enriched CO₂ and control conditions, respectively.

145 The variation of the log RR was

$$V = \left(\frac{SD_C^2}{n_C X_C^2} + \frac{SD_E^2}{n_E X_E^2} \right) \quad \text{Eq. (7)}$$

146 where SD_C and SD_E are the standard deviation of X_C and X_E , and n_C and n_E are the sample sizes
 147 of X_C and X_E .

148 Then, the random-effects model was used to calculate the weighted mean. In the random-
 149 effects model, the weighted mean was calculated as

152
$$M_{weighted} = \frac{\sum_{j=1}^k W_j^* M_j}{\sum_{j=1}^k W_j^*}$$
 Eq. (8)

153 with the variance as

154
$$V_{weighted} = \frac{1}{\sum_{j=1}^k W_j^*}$$
 Eq. (9)

155 where k is the number of studies, M_j is the $Ln(RR)$ in study j , and W_j^* is the weighting factor
156 which consists of between- and within-study variances (Rosenberg et al., 2000; Liang et al.,
157 2013). The 95% lower and upper limits ($LL_{weighted}$ and $UL_{weighted}$) for the weighted mean were
158 computed as

159
$$LL_{weighted} = M_{weighted} - 1.96 \times \sqrt{V_{weighted}}$$
 Eq. (10)

160 and

161
$$UL_{weighted} = M_{weighted} + 1.96 \times \sqrt{V_{weighted}}$$
 Eq. (11)

162 The weighted mean and corresponding 95% bootstrapping CI (999 iterations) for each
163 variable and category were calculated in MetaWin 2.1 (details are described in the software
164 handbook by Rosenberg et al., 2000). The results were back-transformed and represented as
165 percentage change by $(RR - 1) \times 100\%$. The response was considered significant if the 95% CI
166 did not overlap with zero.

167

168 3 Results

169 The meta-analysis ~~from~~of the first dataset showed that CO₂ enrichment significantly increased N
170 sequestered in plants and litter but not in SOM (Figs. 1A, S2). Whereas CO₂ enrichment had
171 little overall effects on N mineralization, nitrification and denitrification, it significantly
172 increased biological N fixation by 44.3% (with 95% CI from 29.5% to 61.8%). The increased
173 biological N fixation was consistent when using various methods except H₂ evolution (Fig. 2A).
174 In legume species, CO₂ enrichment significantly increased nodule mass and number (Fig. 2B). In
175 addition, CO₂ enrichment increased N₂O emission by 10.7% (with 95% CI from 2.0% to 22.3%),
176 but reduced leaching (i.e., -41.8% with 95% CI from -58.9% to -24.3%) (Fig. 1B). Although CO₂
177 enrichment did not change the total inorganic N availability in soils, it increased the soil
178 NH₄⁺/NO₃⁻ ratio by 16.9% (with 95% CI from 5.4% to 30.2%) (Fig. 1C).

179 Treatment time had no effect on most of the variables (overlapped 95% CIs for short- and
180 long-term treatments) except nitrification, which was not changed by short-term treatment, but
181 was significantly reduced (-23.4% with 95% CI from -30.4% to -12.1%) by long-term CO₂
182 enrichment (Fig. 3B). In addition, it seemed that the responses of the NH₄⁺/NO₃⁻ ratio was
183 strengthened over time, representing a neutral response to short-term CO₂ enrichment, but
184 significantly positive and negative responses to long-term CO₂ enrichment (Fig. 3C). The effects
185 of CO₂ enrichment were influenced by N addition (Fig. 3D – F). For example, nitrification was
186 significantly reduced by CO₂ enrichment without N addition by 19.3% (with 95% CI from -40.5%
187 to -0.65%), but was not changed with N addition. Denitrification and N₂O emission responded to
188 CO₂ enrichment neutrally without N addition, but significantly positively with N addition (Fig.
189 3E). Additionally, the responses of some variables to CO₂ enrichment were dependent on
190 ecosystem type (Fig. 3G – I). APNP responded to CO₂ enrichment positively in forests and

191 croplands, but neutrally in grasslands (Fig. 3G). Net mineralization had no response to CO₂
192 enrichment in forests or grasslands, while it was significantly increased in croplands (Fig. 3H).
193 Moreover, the change in the TIN was neutral in forests, grassland, but positive, in croplands,
194 respectively (Fig. 3I). In addition, a positive response of the NH₄⁺/NO₃⁻ ratio was only observed
195 in grasslands (Fig. 3I).

196 The results from the second dataset showed that CO₂ enrichment significantly increased plant
197 growth in most of the decadal FACE experiments (Fig. 4). In addition, the CO₂ fertilization
198 effect on plant growth did not over treatment time change in 11 experiments ($P > 0.05$),
199 decreased in 2 experiments (slope < 0 , $P < 0.05$), and increased in 2 experiments (slope > 0 , $P <$
200 0.05), respectively (Table 1, Fig. 4). Overall, the slope of the response of the plant growth vs.
201 treatment time was not significantly different from 0 (i.e., $-0.37\% \text{ year}^{-1}$ with 95% CI from -1.84%
202 year^{-1} to $1.09\% \text{ year}^{-1}$; Fig. 4).

203

204 **4 Discussion**

205 In this study, we carried out two syntheses on the responses of the terrestrial N cycle and plant
206 growth to CO₂ enrichment to test whether PNL generally occurs across ecosystems.

207

208 **4.1 PNL alleviation**

209 According to the PNL hypothesis, a prerequisite for PNL occurrence is that more N is
210 sequestered in plant, litter and SOM (Luo et al., 2004). Our results showed that elevated CO₂
211 significantly increased N retention in plant tissues and litter, which is consistent with previous
212 meta-analyses (de Graaff et al., 2006; Luo et al., 2006). Thus, ~~the basis of PNL occurrence~~
213 partially exists there seems to be evidence for some basic assumptions of the PNL hypothesis.

214 However, the results from the second dataset did not show a general diminished CO₂ fertilization
215 effect on plant growth on the decadal scale, which disagrees with the expectation of the PNL
216 hypothesis, suggesting that N supply under elevated CO₂ may meet the N demand. In this study,
217 we have identified two processes that increase N supply under elevated CO₂, i.e., biological N
218 fixation and leaching.

219 CO₂ enrichment significantly enhanced the N influx to terrestrial ecosystems through
220 biological N fixation, which reduces dinitrogen (N₂) to NH₄⁺ (Fig. 1B). The enhanced biological
221 N fixation may have resulted from the stimulated activities of symbiotic (Fig. 2B) and free-living
222 heterotrophic N-fixing bacteria (Hoque et al., 2001). In addition, the competition between N₂-
223 fixing and non-N₂-fixing species may have contributed to enhance the biological N fixation ~~on~~at
224 the ecosystem level (Poorter and Navas, 2003; Batterman et al., 2013).

225 In addition, the N efflux via leaching was reduced under elevated CO₂ conditions (Fig. 1B).
226 This could be attributed to the decrease in NO₃⁻, which is the primary N form in leaching,
227 (Chapin III et al., 2011), and the increased root growth which may immobilize more inorganic N
228 in soils (Luo et al., 2006; Iversen, 2010). In contrast, gaseous N loss through N₂O emission
229 increased under elevated CO₂, although this increase was only observed when additional N was
230 applied.

231 The net effect of the responses of N processes to CO₂ enrichment resulted in higher N
232 retention in ecosystems, especially within plant tissues and litter (Fig. S2). Because the product
233 of biological N fixation (i.e., NH₄⁺) and the primary form for N leaching loss (i.e., NO₃⁻) can be
234 directly used by plants, the effects of CO₂ enrichment on the two processes directly increase the
235 N availability for plant growth, potentially alleviating PNL (Fig. 5). The increased N in plant
236 tissues can be re-used by plants via resorption (Norby et al., 2000; Norby et al., 2001), and

237 consequently reduce the N demand from soils. This may be another mechanism that alleviates
238 PNL (Walker et al., 2015). Therefore, the increased N availability from increased N fixation and
239 reduced N leaching could potentially support net accumulation of organic matter in terrestrial
240 ecosystems (Rastetter et al., 1997; Luo and Reynolds, 1999).

241 Since biological N fixation provides at least 30% of the N requirement across natural biomes
242 (Asner et al., 2001; Galloway et al., 2004), our results suggest that the positive response of
243 biological N fixation to CO₂ enrichment plays an important role in alleviating PNL. The PNL
244 hypothesis was proposed to characterize long-term dynamics of C-N coupling in response to
245 rising atmospheric CO₂ concentration. Thus, it is critical to understand the long-term response of
246 biological N fixation to elevated CO₂. In this paper, we have synthesized 12 studies that lasted 4
247 – 7 years and binned them in a long-term category (> 3 years). On average, ~~of~~ in those long-term
248 studies, CO₂ enrichment increased biological N fixation by 26.2%. The increased biological N
249 fixation is supported by evidence at gene level from long-term experiments. For example, Tu et
250 al. (2015) found that the abundance of *nifH* gene amplicons, which is a widely used marker for
251 analyzing biological N fixation, was significantly enhanced by 12 years of CO₂ enrichment in a
252 grassland (BioCON). However, our synthesis showed a relatively wide 95% confidence interval
253 from 2.54% to 59.8%. The wide range can be partially attributed to the relatively small number
254 of studies. In addition, most studies incorporated in the current synthesis were conducted in
255 temperate regions. Thus, longer-term studies, as well as studies in other regions (e.g., boreal and
256 tropical) are critically needed to reveal more general patterns in the future.

257 In this study, it is suggested that the general trend of the N cycle changes under elevated CO₂
258 converges towards increased soil N supply for plant growth, which in theory could alleviate PNL.
259 However, the PNL alleviation potential may vary across different ecosystems due to asymmetric

260 distributions of biological N fixation (Cleveland et al., 1999). In addition, PNL alleviation may
261 also be influenced by other factors. While a diminished CO₂ fertilization effect on plant growth
262 was not observed in most of the long-term experiments ~~did not show a diminished CO₂~~
263 ~~fertilization effect~~, it ~~decreased~~ occurred in two sites (i.e., ORNL and Aspen-Birch) (Fig. 4).
264 Plant growth is usually influenced by multiple environmental factors (e.g., nutrients, water, light,
265 ozone). The undiminished CO₂ fertilization effect in most studies indicates that resource
266 limitation (including N) was not aggravated, suggesting that no PNL occurred in these sites.
267 However, in the ORNL and Aspen-Birch (without O₃ treatment) experiments, the diminished
268 CO₂ fertilization effect on plant growth ~~could be attributed to~~ was potentially driven by limitation
269 of N, or other resources, or their combined effect. For example, reduced N availability has been
270 identified as one of the primary factors that lead to the diminished CO₂ fertilization effect on
271 NPP in the ORNL FACE experiment (Norby et al., 2010). In the Aspen-Birch community,
272 however, the deceleration of leaf area increases due to canopy closure was responsible for the
273 diminished CO₂ fertilization effect on plant growth without O₃ addition (Talhelm et al., 2012).
274 With O₃ addition, O₃ significantly reduced the canopy development, resulting in a relatively
275 open canopy during the experiment period. In addition, the negative effect of O₃ addition
276 increased over time, leading to the apparent increase in the CO₂ fertilization effect (Fig. 4)
277 (Talhelm et al., 2012).

278

279 **4.2 Dependence of the responses of N cycling processes upon methodology, treatment** 280 **duration, N addition and ecosystem types**

281 Methodology Experimental methodology may potentially influence findings. Cabrerizo et al.
282 (2001) found that CO₂ enrichment increased the nitrogenase activity measured by acetylene

283 reduction assay (ARA), but not the specific N fixation measured by the H₂ evolution method. In
284 the studies synthesized here, four methods were used to estimate biological N fixation, including
285 isotope, ARA, H₂ evolution and N accumulation. Among them, ARA and H₂ evolution measure
286 nitrogenase activity (Hunt and Layzell, 1993) whereas isotope and N accumulation methods
287 directly measure biological N fixation. All but the H₂ evolution method showed a significantly
288 positive response to CO₂ enrichment (Fig. 2A). The insignificant response shown by the H₂
289 evolution method was likely because of the small study numbers (i.e., 3). In addition, the
290 biological N fixation measured by ARA, isotope and N accumulation showed similar response
291 magnitudes (Fig. 2A), suggesting consistency among the three methods. However, further
292 assessment on the H₂ evolution method is needed.

293 The responses of some N cycling processes that affect N availability are dependent on
294 treatment duration, N addition, and/or ecosystem types (Fig. 3).

295 N mineralization, in addition to biological N fixation, is a major source of available N in soils.
296 Our meta-analysis showed no change in the net N mineralization in response to CO₂ enrichment,
297 which is consistent with the results by de Graaff et al. (2006). However, the response of net
298 mineralization was dependent upon ecosystem types, showing no change in forests and
299 grasslands, but significant increases in croplands (Fig. 3H). There may be two reasons for the
300 stimulated net mineralization in croplands. First, N fertilization, which is commonly practiced in
301 croplands, can increase the substrate quantity and quality for mineralization (Barrios et al., 1996;
302 Chapin III et al., 2011; Booth et al., 2005; Lu et al., 2011; Reich and Hobbie, 2013). Second,
303 tillage can alter soil conditions (e.g., increasing O₂ content), which can potentially favor the N
304 mineralization under enriched CO₂ (Wienhold and Halvorson, 1999; Bardgett and Wardle, 2010).

305 These findings suggest that CO₂ enrichment can stimulate the N transfer from organic to
306 inorganic forms in managed croplands.

307 Unlike leaching, the response of nitrification was dependent upon treatment duration (Fig. 3).
308 Nitrification was not changed by short-term treatment, but was significantly reduced by long-
309 term CO₂ enrichment (Fig. 3). One possible reason for the reduced nitrification with long-term
310 CO₂ enrichment is the cumulative effect of hydrological changes. CO₂ enrichment generally is
311 assumed to reduces stomatal conductance and, consequently, water loss via plant transpiration,
312 leading to an increase in soil water content (Niklaus et al., 1998; Tricker et al., 2009; van
313 Groenigen et al., 2011; Keenan et al., 2013). A synthesis by van Groenigen et al. (2011) shows
314 that CO₂ enrichment increases soil water content by 2.6% –10.6%. Increased soil water content
315 may result in less oxygen (O₂) concentration in soils, which could potentially constrain
316 nitrification.

317 In addition, the response of gaseous N loss was dependent on N addition (Fig. 3). The reduced
318 nitrification was only observed under conditions without N addition (Fig. 3E). With N addition,
319 no response of nitrification to CO₂ enrichment was observed (Fig. 3E). Additionally, the
320 response of denitrification to CO₂ enrichment shifted from neutral, without N addition, to
321 significantly positive with N addition (Fig. 3E). One possible reason is that N addition provides
322 more N substrate for nitrifying and denitrifying bacteria (Keller et al., 1988; Stehfest and
323 Bouwman, 2006; Russow et al., 2008). The strengthening trends of both nitrification and
324 denitrification led to a shift of the response of N₂O emission to CO₂ enrichment from neutral
325 without N addition to significantly positive with N addition (Fig. 3E). Our results indicate that
326 CO₂ enrichment significantly increases gaseous N loss when additional N is applied.

327 ~~Our results are~~This is consistent with a previous synthesis (van Groenigen et al. 2011).
328 Increased N₂O emissions can partially offset the mitigation of climate change by the stimulated
329 plant CO₂ assimilation as the warming potential of N₂O is 296 times that of CO₂. However, a
330 recent modeling study by Zaehle et al. (2011) found an opposite result showing that CO₂
331 enrichment reduced ~~radiative forcing~~emissions of N₂O. In their model, elevated CO₂ enhanced
332 plant N sequestration and consequently, decreased ~~less availability of N substrate~~the N
333 availability for nitrification and denitrification in soils, which led to ~~due to the enhanced plant N~~
334 ~~sequestration attributed to~~ the reduced N₂O emissions. ~~Our~~However, our synthesis shows that
335 inorganic N does not decrease. Especially with additional N application, enhanced denitrification
336 by CO₂ enrichment results in a greater N₂O emission.

337

338 **4.3 Changes in soil microenvironment, community structures and above-belowground** 339 **interactions**

340 The meta-analysis showed that the two major forms of soil available N, NH₄⁺ and NO₃⁻,
341 responded to long-term CO₂ enrichment in opposing manners (Fig. 3C). While the enhanced
342 biological N fixation by CO₂ enrichment tended to increase the NH₄⁺ content in soils, the
343 reduced nitrification decreased the NO₃⁻ content in soils, leading to a significant increase in the
344 NH₄⁺/NO₃⁻ ratio (Fig. 3C).

345 Although the total available N did not change under elevated CO₂, the altered proportion of
346 NH₄⁺ over NO₃⁻ in soils may have long-term effects on soil microenvironment and associated
347 aboveground-belowground linkages that control the C cycle (Bardgett and Wardle, 2010). On the
348 one hand, plants would release more hydrogen ion (H⁺) to regulate the charge balance when
349 taking up more NH₄⁺. As a result, the increased NH₄⁺ absorption could acidify the rhizosphere

350 soil (Thomson et al., 1993; Monsanto et al., 2008). The lowered pH could have significant effects
351 on soil microbial communities and their associated ecosystem functions. For example,
352 fungal/bacterial ratio increases with the decrease in pH (de Vries et al., 2006; Rousk et al., 2009).
353 The increased fungal/bacterial ratio may result in lower N mineralization because of the higher
354 C/N ratio of fungi and the lower turnover rates of fungal-feeding fauna (de Vries et al., 2006;
355 Rousk and Bååth, 2007). In other words, the increased fungal/bacterial ratio may slow down the
356 N turnover from organic to inorganic forms. On the other hand, the increased $\text{NH}_4^+/\text{NO}_3^-$ ratio
357 may increase the N use efficiency because it is more energetically expensive for plants to utilize
358 NO_3^- than NH_4^+ (Chapin III et al., 2011; Odum and Barrett, 2005; Lambers et al., 2008). In
359 addition, since the preferences for plant absorption of different forms of N are different (Chapin
360 III et al., 2011; Odum and Barrett, 2005), the increased $\text{NH}_4^+/\text{NO}_3^-$ ratio may benefit some plant
361 species while depress others, and consequently alter the community structures over time. These
362 diverse changes in soil microenvironment and microbial and plant community compositions
363 could further affect the terrestrial C cycle on long temporal scales, on which more studies are
364 needed.

365

366 **5 Summary**

367 This study synthesizes data in the literature on the effects of CO_2 enrichment on the terrestrial N
368 cycle to improve our understanding of the N limitation to plant growth under elevated CO_2 . Our
369 results indicate that elevated CO_2 stimulates N influx via biological N fixation but reduces N loss
370 via leaching, leading to increased N supply for plant growth. The additional N supply via the
371 enhanced biological N fixation and the reduced leaching may partially meet the increased N
372 demand under elevated CO_2 , potentially alleviating PNL. In addition, our analysis indicates that

373 increased N₂O emissions ~~can~~may partially offset the mitigation of climate change by stimulated
374 plant CO₂ assimilation. Moreover, changes in soil microenvironments, ecosystem communities
375 and above-belowground interactions induced by the different responses of NH₄⁺ and NO₃⁻ to
376 CO₂ enrichment may have long-term effects on the terrestrial biogeochemical cycles and climate
377 change.

378

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385

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546

547 **Supporting Information captions**

548 **Figure S1** Distributions of the experimental duration (**A**) and the CO₂ concentrations under
549 ambient (**B**) and elevated (**C**) treatments and their difference (**D**) for the 175 collected studies.
550 Red dashed lines represent the mean values.

551
552 **Figure S2** Summary of the effect of CO₂ enrichment on ecosystem level N budget. Square boxes
553 are nitrogen pools, ovals are nitrogen processes. Red dashed boxes mean the sum of the pools in
554 the boxes. “+”, “-”, and “ns” mean the response to CO₂ enrichment are positive, negative, and
555 not significant, respectively. Please see **Figure 1** for abbreviations.

556
557 **Database S1** Database extracted from papers listed in References S1.

558
559 **References S1** Papers from which the first dataset was extracted.

560

561 **Table 1.** Results on the effect of CO₂ enrichment on ecosystem NPP (or biomass or leaf production) in decadal-long free air CO₂
562 enrichment (FACE) experiments over treatment time. The values of the slope, R^2 and P in the linear regression in **Fig. 4** are shown.
563 The lower and upper n (i.e., n and N) in Refs. Schneider et al., 2004; McCarthy et al., 2010; Reich and Hobbie, 2013 mean without
564 and with N addition, respectively. The lower and upper o (i.e., o and O) in Ref. Talhelm et al., 2012 mean without and with O₃
565 treatment, respectively.

Experiment	Ecosystem type	Treatment years	Variable	Slope	R^2	P	Reference
Duke_n	Forest	8	NPP	0.50	0.25	0.21	McCarthy et al., 2010
Duke_N	Forest	8	NPP	-1.39	0.27	0.29	McCarthy et al., 2010
ORNL	Forest	11	NPP	-1.42	0.38	0.04	Norby et al., 2010
BioCON_n	Grassland	13	Biomass	0.42	0.05	0.48	Reich and Hobbie, 2013
BioCON_N	Grassland	13	Biomass	0.23	0.01	0.76	Reich and Hobbie, 2013
NZ	Grassland	10	Biomass	0.95	0.05	0.53	Ross et al., 2013
Swiss_n	Grassland	10	Harvestable biomass	0.30	0.01	0.75	Schneider et al., 2004
Swiss_N	Grassland	10	Harvestable biomass	1.66	0.47	0.03	Schneider et al., 2004
NDFE	Desert	9	Standing biomass	-9.54	0.15	0.40	Smith et al., 2014
Aspen_o	Forest	7	Leaf production	-0.07	0.00	0.97	Talhelm et al., 2012
Aspen_O	Forest	7	Leaf production	0.09	0.00	0.93	Talhelm et al., 2012
AspenBirch_o	Forest	7	Leaf production	-5.27	0.77	0.01	Talhelm et al., 2012
AspenBirch_O	Forest	7	Leaf production	6.48	0.82	0.00	Talhelm et al., 2012
AspenMaple_o	Forest	7	Leaf production	-9.16	0.40	0.13	Talhelm et al., 2012
AspenMaple_O	Forest	7	Leaf production	1.11	0.11	0.46	Talhelm et al., 2012

566

567 **Figure captions**

568 **Figure 1.** Results of a meta-analysis on the responses of nitrogen pools and processes to
569 CO₂ enrichment. In (A), APNP, BPNP, TPNP, LNP, and SNP are the abbreviations for
570 aboveground plant nitrogen pool, belowground plant nitrogen pool, total plant nitrogen
571 pool, litter nitrogen pool, and soil nitrogen pool, respectively. In (C), TIN, NH₄⁺ and
572 NO₃⁻ are total inorganic nitrogen, ammonium, and nitrate in soils, respectively. The error
573 bars represent 95% confidence intervals.

574

575 **Figure 2.** Responses of biological N fixation measured by different methods (A) and
576 nodule dry mass and number in legume species (B). ARA: acetylene reduction assay.
577 Mean ± 95% confidence interval.

578

579 **Figure 3.** Responses of terrestrial nitrogen pools and processes to CO₂ enrichment (Mean
580 ± 95% confidence interval) as regulated by experimental durations (A – C; short-term: ≤
581 3 years vs. long-term: > 3 years), nitrogen addition (D – F), and ecosystem types (G – I).
582 Please see Figure 1 for abbreviations.

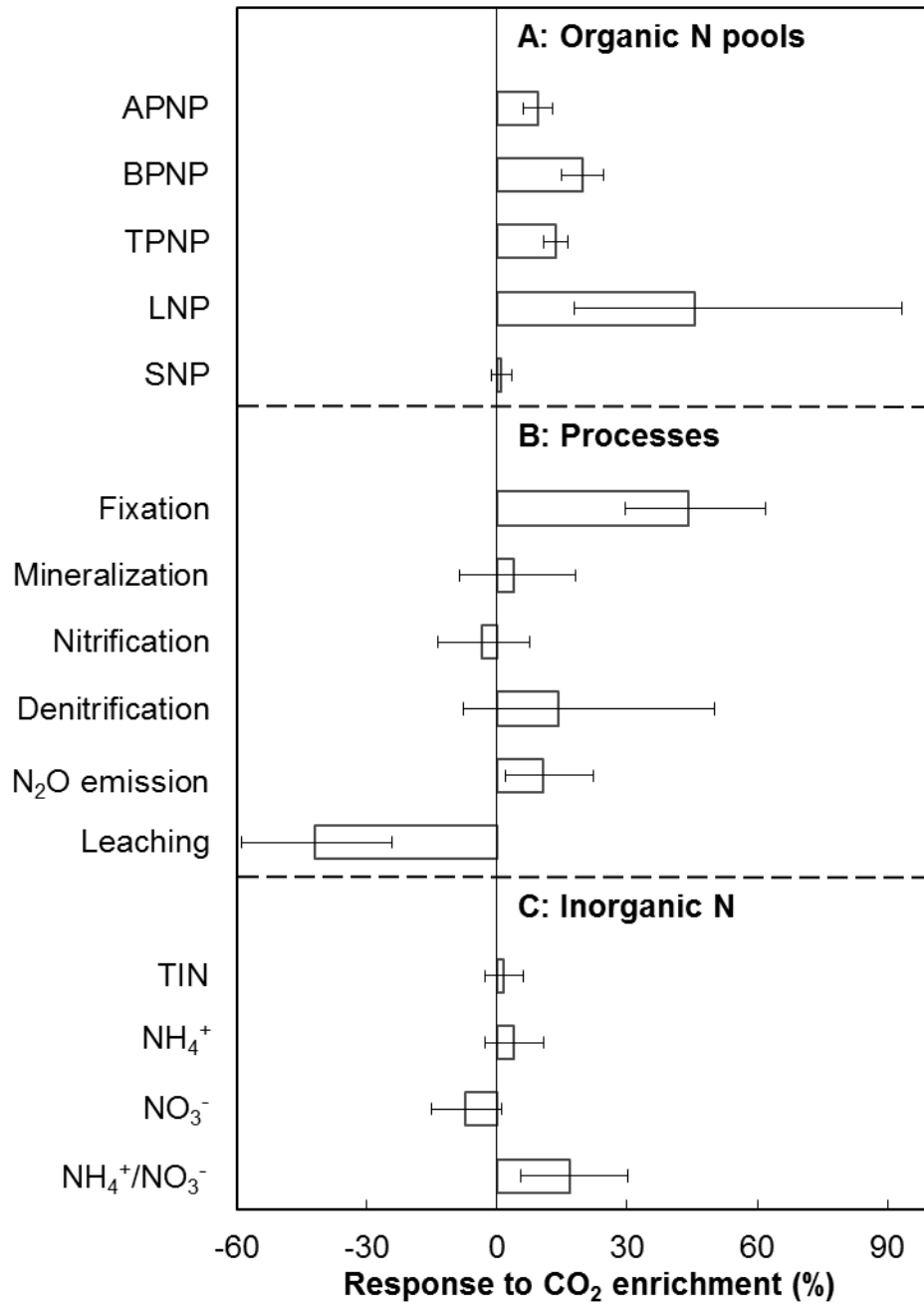
583

584 **Figure 4.** Time courses of CO₂ effects on ecosystem NPP (or biomass or leaf production)
585 in decadal-long FACE experiments. Please see **Table 1** for details of experiments,
586 references and statistical results. Only statistically significant ($P < 0.05$) regression lines
587 are shown. The panel at the right-low corner shows the distribution of the slopes (-0.37%
588 year⁻¹ with 95% CI from -1.84% year⁻¹ to 1.09% year⁻¹).

589

590 **Figure 5.** Mechanisms that alleviate PNL. PNL hypothesis posits that the stimulated
591 plant growth by CO₂ enrichment leads to more N sequestered in long-lived plant tissues,
592 litter and soil organic matter (SOM) so that, the N availability for plant growth
593 progressively declines over time, and plant growth is downregulated (grey symbols). The
594 current synthesis indicates that the basis of PNL occurrence partially exists (i.e., more N
595 sequestered in plant tissues and litter; black symbols). Despite of the increases in plant N
596 sequestration and N₂O emission, stimulated biological N fixation and reduced N leaching
597 can replenish the N availability, potentially alleviating PNL (blue boxes and arrows).
598 Upward, downward, and horizontal arrows mean increase, decrease, and no change,
599 respectively.
600

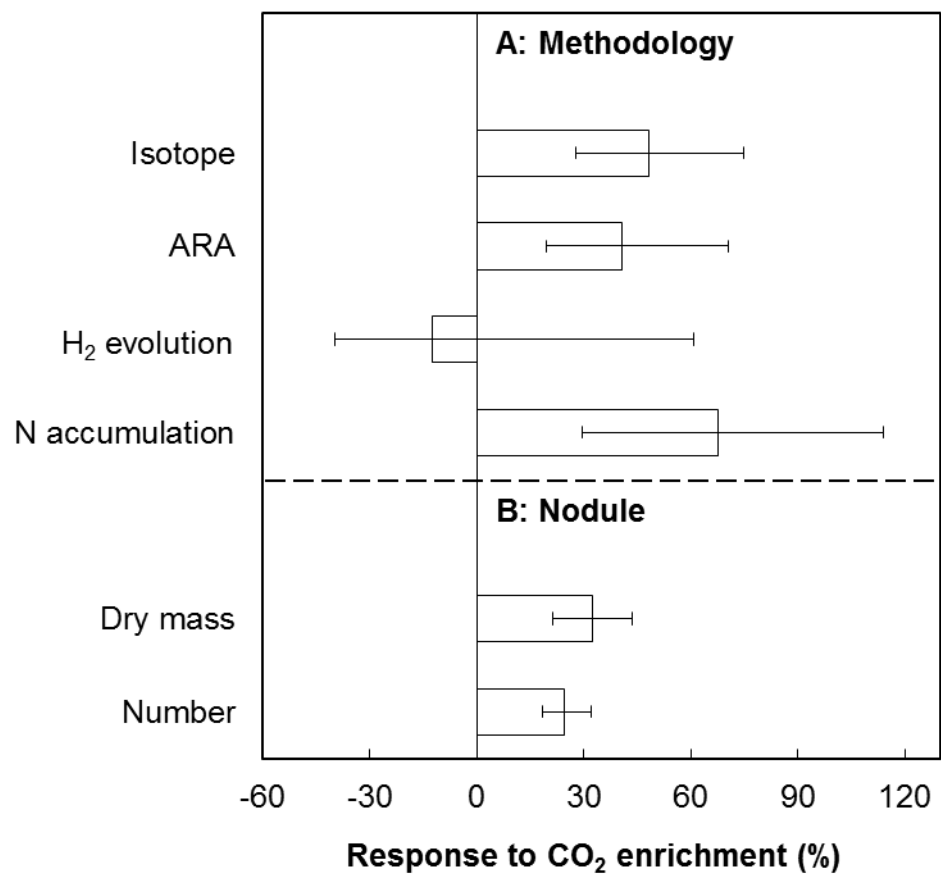
601 **Figure 1.**



602

603

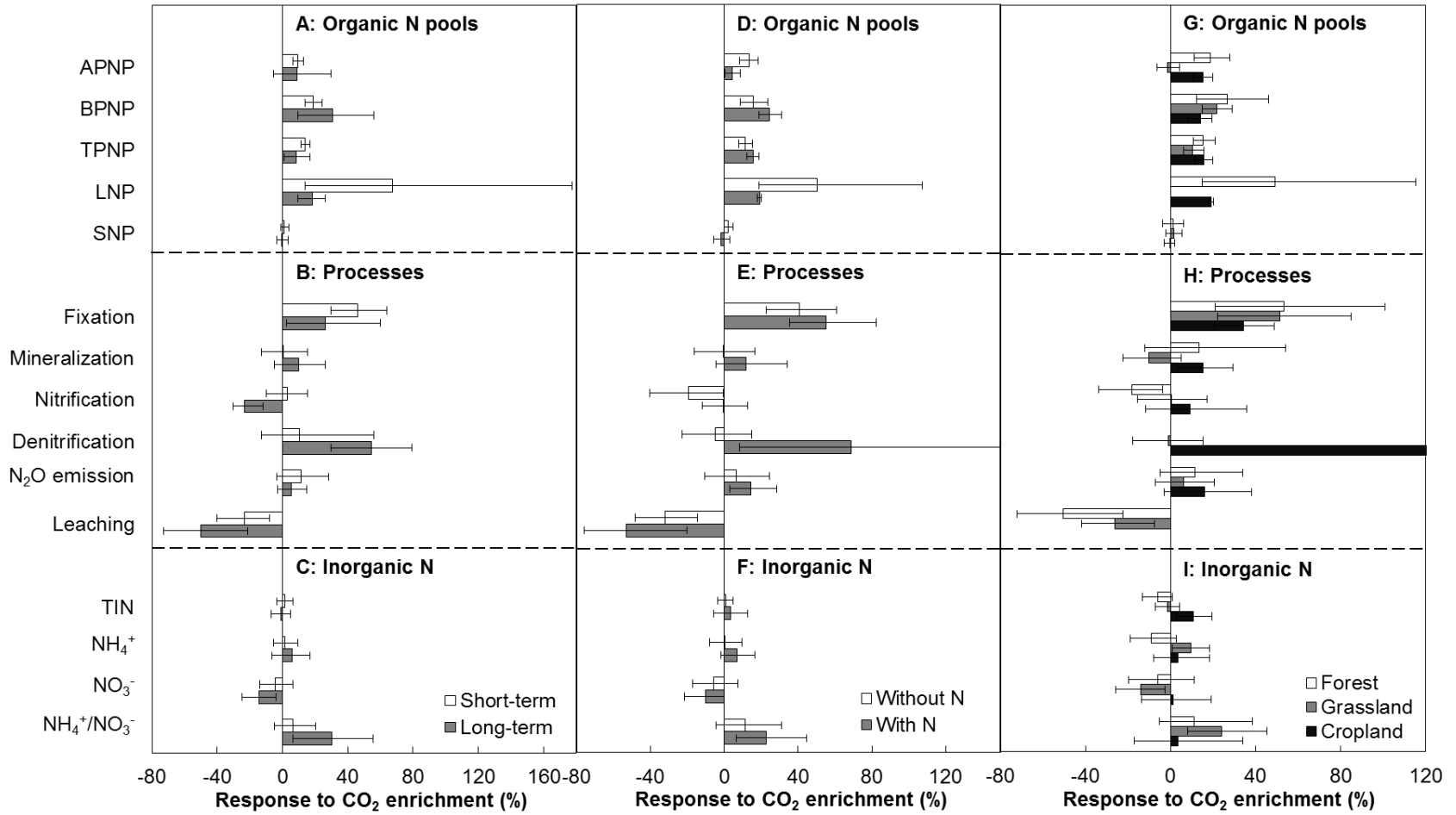
604 **Figure 2.**



605

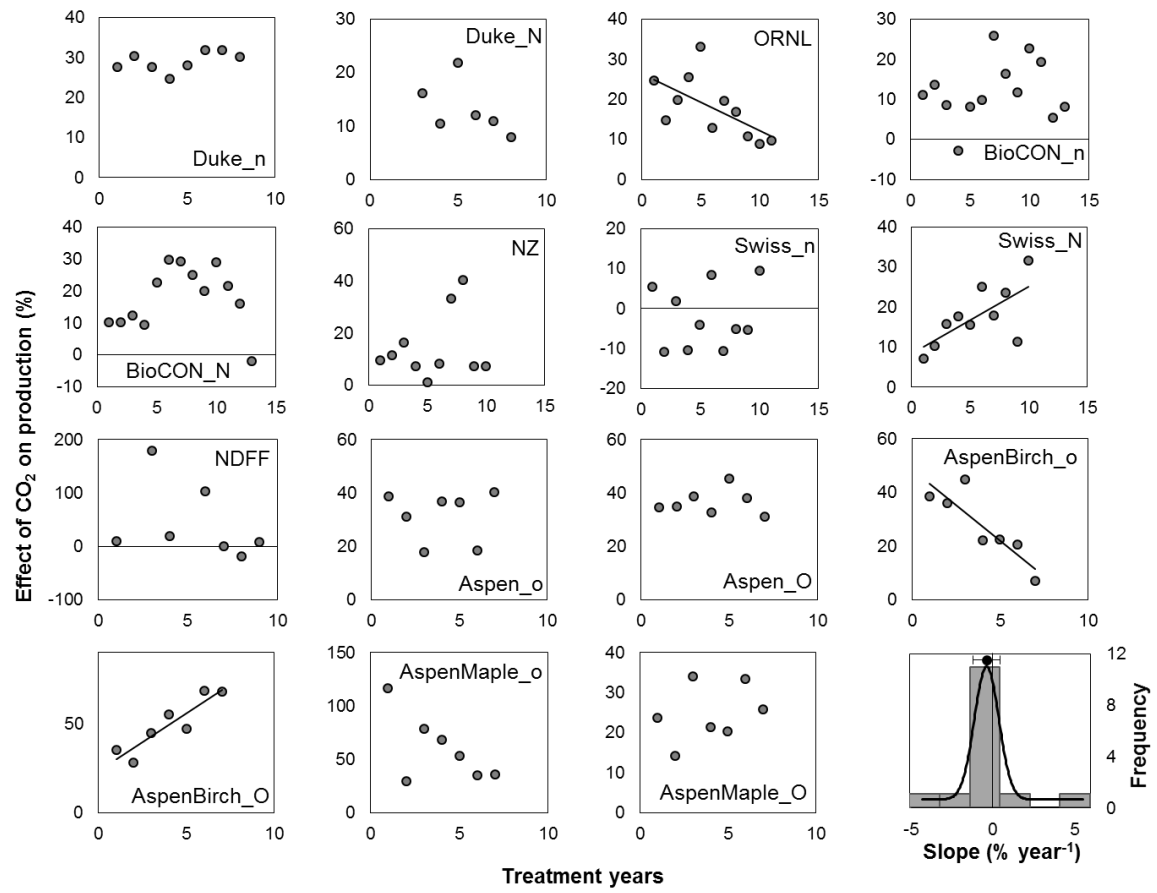
606

607 **Figure 3.**



608

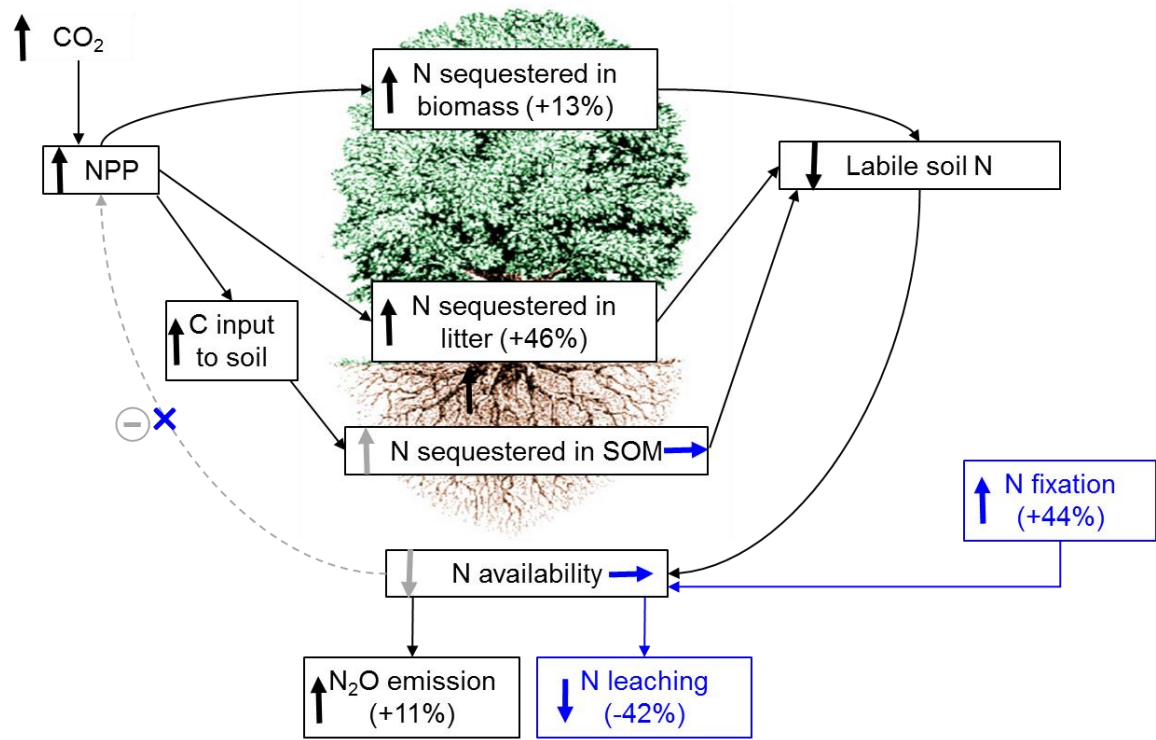
609 **Figure 4.**



610

611

612 **Figure 5.**



613

614