

1 Global warming potential and greenhouse gas intensity in rice agriculture driven by
2 high yields and nitrogen use efficiency: A 5-year field study

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15 **Abstract:** Our understanding of how global warming potential (GWP) and greenhouse gas
16 intensity (GHGI) is affected by management practices aimed at food security with respect to
17 rice agriculture remains limited. In the present study, a 5-year field experiment was conducted
18 in China to evaluate the effects of integrated soil-crop system management (ISSM) mainly
19 consisting of different nitrogen (N) fertilization rates and split, manure, Zn and Na₂SiO₃
20 fertilization and planting density on GWP and GHGI after accounting for carbon dioxide (CO₂)
21 equivalent emissions from all sources including methane (CH₄) and nitrous oxide (N₂O)
22 emissions, agrochemical inputs and farm operations and sinks (i.e., soil organic carbon
23 sequestration). For the improvement of rice yield and agronomic nitrogen use efficiency (NUE),
24 four ISSM scenarios consisting of different N rates relative to the local farmers' practice (FP)
25 rate were carried out, namely, N1 (25% reduction), N2 (10% reduction), N3 (FP rate) and N4
26 (25% increase). The results showed that compared with the FP, the four ISSM scenarios
27 significantly increased the rice yields by 10, 16, 28 and 41% and the agronomic NUE by 75, 67,
28 74 and 73%, respectively. In addition, compared with the FP, the N1 and N2 scenarios
29 significantly reduced the GHGI by 14 and 18%, respectively, despite similar GWPs. The N3
30 and N4 scenarios remarkably increased the GWP and GHGI by an average of 67 and 37%,
31 respectively. In conclusion, the ISSM strategies are promising for both food security and
32 environmental protection, and the ISSM scenario of N2 is the optimal strategy to realize high
33 yields and high NUE together with low environmental impacts for this agricultural rice field.
34

35 **1 Introduction**

36 Rapid population growth and economic development place a growing pressure on increasing
37 food production (Barrett, 2010). An increase in global food production of 100% is the most
38 appropriate way to sustain the increase in human population and the consumption of animal
39 protein (Tilman et al., 2011). Rice is the staple food for nearly 50% of the world's people,
40 mainly in Asia. According to FAO (2010), approximately 600 million people in Asia-Pacific
41 region are suffering from hunger and malnutrition. With the region's population projected to
42 increase by another billion by mid-century, new approaches to increase food production are
43 needed. Within a limited land area, the intensive agricultural regions of China are facing
44 serious environmental problems due to large inputs of chemical fertilizers and low nitrogen
45 use efficiency (NUE) (Ju et al., 2009; Makino, 2011). Thus, integrated soil-crop system
46 management (ISSM), which redesigns the whole production system based on the local
47 environment and draws on appropriate fertilizer varieties and application ratios, crop densities
48 and advanced water regime management, has been advocated and developed to
49 simultaneously increase crop productivity and NUE with low carbon dioxide (CO₂)
50 equivalent emissions in China (Chen et al., 2014).

51 CO₂, methane (CH₄) and nitrous oxide (N₂O) are the most important greenhouse gases
52 (GHGs) that greatly contribute to global warming (IPCC, 2013). The concept of global
53 warming potential (GWP) was proposed based on the radiative properties of all the GHG
54 emissions and soil organic carbon (SOC) fixation, expressed as CO₂ eq. ha⁻¹ yr⁻¹ (Robertson
55 and Grace, 2004; Mosier et al., 2006). Although agriculture releases significant amounts of
56 CH₄ and N₂O into the atmosphere, the net emission of CO₂ equivalents from farming
57 activities can be partly offset by changing agricultural management to increase the soil
58 organic matter content and/or decrease the emissions of CH₄ and N₂O (Mosier et al., 2006;
59 Smith et al., 2008). If global agricultural techniques are improved, the mitigation potential of
60 agriculture (excluding fossil fuel offsets from biomass) is estimated to be approximately
61 5.5–6.0 Pg CO₂ eq. yr⁻¹ by 2030 (Smith et al., 2008). However, the release of CO₂ during the
62 manufacturing and application of N fertilizer to crops and from fuel used in machines for
63 farm operations can counteract these mitigation efforts (West and Marland, 2002). This
64 indicates that agricultural ecosystems are not only a very important source of GHG emissions

65 but also present substantial opportunities for mitigation. Therefore, when determining the
66 GWP of GHG (CO₂, CH₄ and N₂O) emissions from agroecosystems, there is a need to
67 account for all sources including GHGs emissions, agrochemical inputs (E_i) and farm
68 operations (E_o) and sinks, e.g. soil organic carbon (SOC) sequestration of CO₂ equivalents
69 (Sainju et al., 2014).

70 Information on the effects of ISSM scenarios on GWP and greenhouse gas intensity
71 (GHGI) is limited in China (Ma et al., 2013; Liu et al., 2015). The annual rotation of summer
72 rice-upland crop is a dominant cropping system in China. Previous studies mainly
73 investigated the initial influences of ISSM practices but did not account for the contributions
74 of CO₂ emissions from E_i and E_o (Ma et al., 2013; Zhang et al., 2014). In this study, we
75 evaluated GWP and GHGI by taking CO₂ equivalents from all sources and sinks into account
76 for 5 years. We hypothesized that the ISSM strategies would reduce the overall GWP and
77 GHGI compared with local farmers' practices (FP). The specific objectives of this study were
78 to (i) evaluate the effects of different ISSM scenarios on GWP and GHGI; (ii) determine the
79 main sources of GWP and GHGI in a rice-wheat cropping system; and (iii) elucidate the
80 overall performance for each ISSM scenario for different targets to increase grain yields and
81 NUE and reduce GWP and GHGI.

82 **2 Materials and Methods**

83 2.1 Experimental site

84 A 5-year field experiment was conducted at the Changshu agro-ecological experimental
85 station (31°32'93"N, 120°41'88"E) in Jiangsu Province, China. This is a typical, intensively
86 managed agricultural area where the cropping regime is dominated by a flooding rice (*Oryza*
87 *sativa* L.)-drained wheat (*Triticum aestivum* L.) rotation system. The site is characterized by a
88 subtropical humid monsoon climate with a mean annual air temperature of 15.6, 15.2 and
89 15.8 °C and precipitation of 878, 1163 and 984 mm for three years, respectively. The soil of
90 the field is classified as an *Anthrosol* with a sandy loam texture of 6% sand (1–0.05 mm), 80%
91 silt (0.05–0.001 mm), and 14% clay (<0.001 mm), which developed from lacustrine sediment.
92 The major properties of the soil at 0–20 cm can be described as follows: bulk density, 1.11 g
93 cm⁻³; pH, 7.35; organic matter content, 35.0 g kg⁻¹; and total N, 2.1 g kg⁻¹. The daily mean air
94 temperatures and precipitation during the study period from June 15, 2011, to June 15, 2014,

95 are given in the supplementary resource 1.

96 2.2 Experimental design and management

97 A completely randomized design was established in 2009 with four replicates of six
98 treatments, including no nitrogen (NN) and FP as controls, and four ISSM scenarios at
99 different N application rates relative to the local FP rate, namely N1 (25% reduction), N2 (10%
100 reduction), N3 (FP rate) and N4 (25% increase). The designed ISSM (only for rice but not
101 wheat production) including a redesign of a split N fertilizer application, a balanced fertilizer
102 application (rapeseed cake in additional 112.5 kg N ha⁻¹, C/N=8), additional phosphorus and
103 potassium application, and transplanting density, used as the main techniques for improving
104 rice yield and agronomic NUE (calculated as the difference in grain yield between the plots
105 that received N application and the NN plot, divided by the N fertilizer rate). The details of
106 the fertilizer applications, irrigation, and field management practices of the six different
107 treatments are presented in Table 1. Further detailed information was described previously
108 (Zhang et al., 2014). Each plot was 6 m × 7 m size with an independent drainage/irrigation
109 system.

110 One midseason drainage (about one week) and final drainage before harvest were used
111 during the rice-growing season, whereas the plots only received precipitation during the
112 wheat-growing season. The N fertilizer was split into a 6:2:0:2 or 5:1:2:2 ratio of basal
113 fertilizer and topdressings for the rice crop and a 6:1:3 ratio for the wheat crop. All of
114 phosphorous (P), silicon (Si), zinc (Zn) and rapeseed cake manure were applied as basal
115 fertilizers for both crops. Potassium (K) was added as a split (1:1) application to the rice crop
116 and all as basal fertilizer for the wheat crop. The basal fertilization occurred at the time of rice
117 transplanting and wheat seeding. The topdressing was applied at the tillering and panicle
118 stages of the rice crop and at the seedling establishment and elongation stages of the wheat
119 crop. Harvests included crop grains as well as the rice and wheat straws were removed out of
120 the field for all the treatments in this study.

121 2.3 Gas sampling and measurements

122 We measured the CH₄ emissions and N₂O fluxes in each plot of the field experiment over five
123 annual cycles from the 2009 rice-growing season to the 2014 wheat-growing season. The
124 initial 2-yr measurements during the 2009–2011 rice-wheat rotational systems were described

125 in our previous study (Ma et al., 2013). Emissions were measured manually using the
126 static-opaque chamber method. Each replicate plot was equipped with a chamber with a size
127 of 50 cm × 50 cm × 50 cm or 50 cm × 50 cm × 110 cm, depending on the crop growth and
128 plant height. The chamber was placed on a fixed PVC frame in each plot and wrapped with a
129 layer of sponge and aluminum foil to minimize air temperature changes inside the chamber
130 during the period of sampling.

131 The gas samples were analyzed for CH₄ and N₂O concentrations using a gas
132 chromatograph (Agilent 7890A, Shanghai, China) equipped with two detectors. CH₄ was
133 detected using a hydrogen flame ionization detector (FID), and N₂O was detected using an
134 electron capture detector (ECD). Argon-methane (5%) and N₂ were used as the carrier gas at a
135 flow rate of 40 ml min⁻¹ for N₂O and CH₄ analysis, respectively. The temperatures for the
136 column and ECD detector were maintained at 40 °C and 300 °C, respectively. The oven and
137 FID were operated at 50 °C and 300 °C, respectively.

138 2.4 Topsoil organic carbon sequestration measurements

139 To measure the organic carbon content of the topsoil as described by Zhang et al. (2014), soil
140 samples were collected after the wheat harvest in 2009 and 2014 from all experimental plots
141 at a plowing depth of 0–20 cm. The soil organic carbon sequestration rates (SOCSR) were
142 calculated as follows (Liu et al., 2015):

$$143 \text{SOCSR (t C ha}^{-1} \text{ yr}^{-1}) = (\text{SOC}_t - \text{SOC}_0) / T \times \gamma \times (1 - \delta_{2\text{mm}}/100) \times 20 \times 10^{-1} \text{ (1)}$$

144 In Eq. (1), SOC_t and SOC₀ are the SOC contents measured in the soils sampled after the
145 wheat was harvested in 2014 and 2009, respectively. T refers to the experimental period (yr).
146 γ and $\delta_{2\text{mm}}$ are the average bulk density and the gravel content (>2 mm) of the topsoil (0–20
147 cm), respectively.

148 2.5 GWP and GHGI measurements

149 To better understand the overall climatic effects of the ISSM strategies on rice-wheat
150 rotation cropping system, the GWP and GHGI were updated using all possible components
151 and calculated as the following equations (IPCC, 2013):

$$152 \text{GWP (kg CO}_2 \text{ eq. ha}^{-1} \text{ yr}^{-1}) = 25 \times \text{CH}_4 + 298 \times \text{N}_2\text{O} + \text{Ei} + \text{Eo} - 44/12 \times \text{SOCSR} \text{ (2)}$$

$$153 \text{GHGI (kg CO}_2 \text{ eq. kg}^{-1} \text{ grain yield yr}^{-1}) = \text{GWP}/\text{grain yield} \text{ (3)}$$

154 In Eq. (2), Ei, Eo and SOCSR represent CO₂ equivalent emissions from the

155 agrochemical inputs, farm operations and soil organic carbon sequestration rate, respectively.
156 The global warming potential of 1 kg CH₄ and N₂O are equivalent to 25 and 298 kg CO₂
157 based on 100-year time scale, respectively (IPCC, 2013). The 12 and 44 are the molecular
158 weight of C and CO₂, respectively. The grain yield is expressed as the air-dried grain yield.

159 Therefore, the GWP of the cropland ecosystem equals the total CO₂ equivalent emissions
160 minus the SOC change. In addition to the CH₄ emissions and N₂O fluxes, we considered the
161 'hidden' CO₂ equivalent emissions, including agrochemical inputs (E_i), such as the
162 manufacture and transportation of the N, P and K fertilizers (Snyder et al., 2009), and farm
163 operations (E_o), such as the water used for irrigation (Zhang et al., 2013) and diesel fuel
164 (Huang et al., 2013a). The CO₂ equivalent emissions of N fertilizer were calculated as the
165 mean value of the C emissions of 1.3 kg C equivalent kg⁻¹ (Lal, 2004). Similarly, the CO₂
166 equivalent for irrigation was calculated from the total amount of water used during the
167 rice-growing season; the coefficient for the C cost was 5.16 (kg C eq. cm⁻¹ ha⁻¹) (Lal, 2004).
168 The CO₂ equivalents of other E_i (P and K fertilization, manure, herbicide, pesticide and
169 fungicide applications) and E_o (tillage, planting, harvest, and farm machinery production)
170 were recorded and estimated according to the methods provided by Lal (2004). We collected
171 data specific to China's fertilizer manufacture and consumption, and then estimated C
172 emissions coefficients were 0.07 and 0.1 C cost (kg C eq. kg⁻¹ active ingredient) per applied
173 Si and Zn fertilizer, respectively.

174 2.6 Statistical analysis

175 Repeated-measures multivariate analysis of variance (MANOVA) and linear relationships
176 were determined using JMP 7.0, ver. 7.0 (SAS Institute, USA, 2007). The F-test was applied
177 to determine whether there were significant effects of the practices, years and their interaction
178 at $P < 0.05$. One-way analysis of variance was conducted to determine the emissions of CH₄
179 and N₂O, and the grain yield among the different treatments. Tukey's HSD test was used to
180 determine whether significant differences occurred between the treatments at a significance
181 level of $P < 0.05$. The results are presented as the means and standard deviation (mean \pm SD,
182 $n = 4$).

183 3 Results

184 3.1 Crop production and agronomic NUE

185 During the three cropping rotations from 2011 to 2014, the rice and wheat yields varied
186 significantly among these cultivation patterns; these results are shown in Table 2. The grain
187 yields ranged from 5.83 to 12.11 t ha⁻¹ for rice and 1.75 to 6.14 t ha⁻¹ for wheat (Table 2). On
188 average over the three cycles, the annual rice yield of the FP was significantly lower than that
189 of the ISSM scenarios of N1, N2, N3 and N4. Compared with the FP, rice grain yields
190 increased by 10% and 16% for the N1 and N2 scenarios, respectively, i.e., with the lower N
191 input, by 28% for the N3 scenario with the same N input and by 41% for the N4 scenario with
192 the highest N input. However, we did not observe any significant increases in the wheat-grain
193 yields compared with the FP except for the N4 scenario. Statistical analysis indicated that rice
194 and wheat yields from the three years were not significantly influenced by the interaction of
195 cultivation patterns and cropping year (Table 3).

196 The agronomic NUE for the rice and wheat of the fertilized plots ranged from 9.2 to 16.1
197 and 19.5 to 24.7 kg grain kg⁻¹ N, respectively (Fig. 1). The higher NUE in the wheat season
198 was mainly due to the reduced N fertilizer (40%) during this season. As expected, the rice
199 agronomic NUE significantly increased by 75, 67, 74 and 73% for the N1, N2, N3 and N4
200 scenarios, respectively, compared with the FP (Fig. 1). For the wheat crop, the agronomic
201 NUE merely increased by 12 and 14% for the N1 and N2 scenarios, respectively, and
202 decreased to some extent for the N3 and N4 scenarios compared with the FP, mainly because
203 the current ISSM strategy was only designed for rice and not wheat production.

204 3.2 CH₄ and N₂O emissions

205 All plots showed similar CH₄ emission patterns, being a source in the rice season and
206 negligible in the wheat season. During the three annual rice-wheat rotations from 2011 to
207 2014, the CH₄ fluxes ranged from -3.89 to 99.67 mg C m⁻² h⁻¹ (Fig. 2). The seasonal CH₄
208 emissions varied significantly among the treatments during the rice-growing season (Table 3,
209 Fig. 2). No significant difference was found between the FP, N1 and N2 plots. Temporal
210 variation was significant during the three cycles (Table 3, $P < 0.001$). Averaged across years,
211 the CH₄ emission was greater in the N3 and N4 plots than in the NN, FP, N1 and N2 plots
212 (Table 2, $P < 0.05$). However, compared with the NN plots, the FP, N1 and N2 plots with
213 inorganic fertilizer application resulted in increased CH₄ emission rates of 59.9, 41.9 and
214 43.0%, respectively, averaged over the rice-growing seasons. The CH₄ emission rates were

215 further enhanced by 198.5% in the N3 plots and by 246.7% in the N4 plots.

216 The annual N₂O fluxes varied from -33.1 to 647.5 μg N₂O-N m⁻² h⁻¹, most of the N₂O
217 was emitted during the wheat-growing season after fertilization events, and there were several
218 small emission peaks during the rice-growing season (Fig. 3). With respect to the N
219 application effect, the annual cumulative N₂O emissions for all four ISSM scenarios were
220 significantly higher than in NN (*P* < 0.05). Relative to the FP plot, the N1 and N2 scenarios
221 decreased the annual N₂O emissions by an average of 41% and 22%, respectively (Table 2).
222 The N4 scenario significantly increased it by 46% (*P* < 0.05) because they received additional
223 N via manure application compared to the FP practice, although there was no significant
224 difference between the N3 and FP plots.

225 3.3 Annual GWP and GHGI

226 Based on the perspective of the carbon footprint, we included the GHG emissions associated
227 with all of the inputs (E_i and E_o), and SOC sequestration was expressed as kg CO₂ eq. ha⁻¹
228 yr⁻¹. The emission of CO₂ equivalents for E_i and E_o are classified in Table 4. While irrigation
229 was a large proportion of farm operations, these were much less significant than chemical
230 inputs. The CO₂ equivalents rates from N fertilizer dominated not only the chemical input
231 section (67–76% of E_i) but also the total CO₂ equivalents from agricultural management
232 (46–51% of the sum of the E_i and E_o). The GWP ranged from 7871 to 20911 kg CO₂ eq. ha⁻¹
233 yr⁻¹ for the NN and the N4 plots, respectively (Table 5). Although fertilized treatments
234 increased the annual CH₄ and N₂O emissions, it also increased the SOC sequestration in this
235 cropping system. Of the main field GHGs that were directly emitted, CH₄ accounted for
236 56–75% of the GWP in all plots. An increase in the annual SOC content led to a significant
237 decrease in the GWP (contributed to 5–10% of the GWP except in the NN plot). The CO₂
238 equivalents from agricultural management practices for E_i (2449–4256 CO₂ eq. ha⁻¹ yr⁻¹)
239 were higher than those for E_o (1285–1697 CO₂ eq. ha⁻¹ yr⁻¹) in the fertilized plots. There was
240 no significant difference in the annual GWP observed between the FP, N1 and N2 plots (Table
241 5). Across the three years, N1 and N2 slightly reduced the GWP by 12 and 10%, respectively;
242 however, N3 and N4 significantly increased the GWP by an average of 52 and 81%,
243 respectively, in comparison with the FP.

244 The GHGI was used to express the relationship between GWP and grain yield. The

245 GHGIs in this study ranged from 664 to 1145 kg CO₂ eq. t⁻¹ (Table 5). The significant
246 difference in the annual GHGI was found between the FP and the ISSM strategies. Compared
247 with the FP, N1 and N2 significantly reduced the GHGI by 14 and 18%, respectively, mainly
248 due to the increased grain yield and SOC sequestration as well as reduced GHG emissions for
249 the ISSM strategies. Although N fertilizer or organic/inorganic combination fertilizer
250 application reduced the SOC losses caused by crop cultivation and increased the grain yields,
251 the GHGIs were generally higher for the N3 and N4 scenarios than the N1 and N2 scenarios
252 due to further increases in CH₄ and N₂O emissions.

253 **4 Discussion**

254 4.1 Grain yield and agronomic NUE as affected by ISSM strategies

255 Grain yields are directly related to fertilizer management. The MANOVA results indicated
256 that the rice and wheat grain yields were significantly affected by the cultivation strategies
257 (Table 3, $P < 0.001$), which is in agreement with previous results (Chen et al., 2011; Zhang et
258 al., 2011). Compared with the FP plot, the rice yields were remarkably increased by all four
259 ISSM scenarios (Table 2). However, the wheat grain yield decreased significantly when the N
260 fertilizer rate was reduced by 25% (N1 scenario). It has been reported in previous studies that
261 ISSM strategies can effectively improve the rice grain yield (Ma et al., 2013; Liu et al., 2015).
262 First, the adjusted transplanting density for the N1, N2, and N3 scenarios would produce a
263 positive effect on rice yield by influencing rice colony structure, which agreed with Wu et al.
264 (2005). Second, reasonable N split for the N1, N2, N3 and N4 scenarios would significantly
265 increase rice yield and agronomic NUE which had been confirmed by Liu et al. (2009). In the
266 present study, N1 and N2 significantly increased annual rice production by 10 and 16%,
267 respectively, in comparison with the FP (Table 2). The finding is consistent with the result of
268 Peng et al. (2006), who reported that a 30% reduction in the total N rate during the early
269 vegetative stage did not reduce the yield but slightly increased it when combined with the
270 modified farmers' fertilizer practice. Third, integrated management of three macronutrients: N,
271 P and K as well as the two micronutrients: Si and Zn were considered as essential for
272 sustainable high crop yields. Additional Si and Zn fertilizers for the N3 and N4 scenarios
273 would support better seedling establishment and reduce both biotic and abiotic stress, thus

274 produce higher yields (Wang et al., 2005; Slaton et al., 2005; Kabata-Pendias and Mukherjee,
275 2007; Hossain et al., 2008). As expected, when the total N rate was at the FP rate and
276 increased by 25% and applied with rapeseed cake manure, the rice yield in these N3 and N4
277 plots remarkably increased by 28 and 41%, respectively. Based on a long-term fertilizer
278 experiment, Shang et al. (2011) reported that organic fertilizer incorporation significantly
279 increased the early rice grain yield. This may have resulted from the organic fertilizer applied
280 in combination with adequate nutrients, which improved the rice yield.

281 It has been suggested that N losses vary depending on the timing, rate, and method of N
282 application, as well as the source of N fertilizer (Zhu, 1997). In spite of the high proportion
283 and improper timing of N application, rapid N losses (via ammonia volatilization,
284 denitrification, surface runoff, and leaching) are important factors that cause low agronomic
285 NUE of irrigated rice in China (Peng et al., 2006). Compared with the FP plot, the rice
286 agronomic NUE was significantly increased by 75, 67, 74 and 73% under the N1, N2, N3 and
287 N4 scenarios, respectively (Fig. 1). The higher rice agronomic NUE in our study over the
288 experimental period was primarily due to the greatly reduced N losses by leaching and
289 volatilization as well as the improvement of N bioavailability in the rice crop season.
290 Organic/inorganic combination fertilizer application also increases uptake by crops compared
291 with the traditional farmers' practice (Peng et al., 2006). These findings suggest that the ISSM
292 strategy is an effective method for improving grain yield and agronomic NUE for future
293 sustainable rice agriculture in China.

294 4.2 CH₄ and N₂O emissions as affected by ISSM strategies

295 During the three years, the annual cumulative CH₄ emissions, on average, varied from 133 to
296 469 kg C ha⁻¹yr⁻¹ (Table 2), and these values fell within the range of 4.1 to 1015.6 kg CH₄
297 ha⁻¹ observed previously in a rice field (Huang et al., 2004). The MANOVA results indicated
298 that obvious effects of cultivation patterns and years on CH₄ emissions were found during the
299 rice-wheat rotations (Table 3, *P* < 0.001). The CH₄ emissions were not significantly affected
300 by the cycles but affected by crop season (Table 5, Fig. 2). In this study, no significant
301 difference in CH₄ emission was observed between the FP, N1 and N2 plots. However,
302 compared with the FP plot, the N3 and N4 scenarios emitted 87 and 118% more CH₄
303 emissions, respectively (Table 5), which is probably due to the incorporation of the organic

304 rapeseed cake manure. Previous reports support the observations that CH₄ emissions were
305 significantly increased with the application of organic amendments (Ma et al., 2009;
306 Thangarajan et al., 2013; Zou et al., 2005). Additional application of Si and Zn fertilizers had
307 no significant effect on CH₄ and N₂O fluxes, which was consistent with the result of Xie et al.
308 (2015). Moreover, rice growth was found to be significantly increased under the N3 and N4
309 scenarios. In this case, the organic matter inputs such as root litter and rhizodeposits in the N3
310 and N4 scenarios were probably also higher than in the other plots, and thus soil C input,
311 which served as an additional source of substrates for the methanogens in the rice paddies,
312 likely contributed to the increase in CH₄ emissions (Ma et al., 2009). Finally, because the rice
313 plants acted as the main pathway for CH₄ transports from the soil to the atmosphere, the
314 higher biomass the more CH₄ emissions (Yan et al., 2005). The results obtained in the present
315 study revealed that both inorganic and organic fertilizer application significantly increased the
316 CH₄ emissions in the rice season (Table 2), which was probably associated with the increase
317 in the SOC content and crop biomass (Ma et al., 2013).

318 Denitrification and nitrification are the main processes that produce N₂O in the soil (Paul
319 et al., 1993). Changes in the soil water content strongly affected the soil N₂O emissions and
320 resulted in negligible N₂O emissions when the rice field was flooded (Fig. 3), which is
321 consistent with previous reports (Akiyama et al., 2005; Murdiyarso et al., 2010). A relatively
322 high N₂O peak was observed in the first two weeks of the wheat-growing season (Fig. 3),
323 possibly because soil changes from flooded to drained condition may have enhanced N₂O
324 release (Deng et al., 2012). Alternation of drainage and flooding may induce large amounts of
325 N₂O emissions, particularly in fertilized systems; this has commonly been proved in earlier
326 studies (Wang et al., 2013; Xiong et al., 2007; Zou et al., 2005). The seasonal and annual rates
327 of N₂O emission were significantly affected by the cultivation practice patterns and years
328 (Table 3). Compared with the FP plot, the N2 scenario greatly decreased the seasonal N₂O
329 emissions in this study, which may have resulted from a reduction in the N fertilizer rate
330 (Table 1, Table 2). The total N₂O emissions decreased by 7–38% and 26–42% in the rice and
331 wheat seasons, respectively, when the conventional N management (300 kg N ha⁻¹ for rice
332 and 180 kg N ha⁻¹ per crop for wheat) changed to optimum N management (225–270 kg N
333 ha⁻¹ for rice and 135–162 kg N ha⁻¹ per crop for wheat). It is likely that more N₂O was

334 emitted (Mosier et al., 2006) as a result of the additional N made available to the soil
335 microbes through N fertilizer application, which probably increased the CH₄ emissions
336 (Banger et al., 2013). Strategies that can reduce N fertilization rates without influencing crop
337 yields can inevitably lower GHG emissions (Mosier et al., 2006).

338 4.3 GWP and GHGI as affected by ISSM strategies

339 The GWP in our study (10104–20911 kg CO₂ eq. ha⁻¹) with the ISSM strategies was higher
340 than that in a double-cropping cereal rotation (1346–4684 kg CO₂ eq. ha⁻¹) and a rice-wheat
341 annual rotation (290–4580 kg CO₂ eq. ha⁻¹) reported by Huang et al. (2013b) and Yang et al.
342 (2015), respectively. Dominant CH₄ emissions as well as additional CO₂ emitted by the
343 machinery/equipment used for irrigation and farm operations under the ISSM strategies may
344 increase the GWP more than in other cropping systems. However, the current GWP was still
345 much lower than that of a double-rice cropping system (13407–26066 kg CO₂ eq. ha⁻¹)
346 (Shang et al., 2011). The GHGIs, which ranged from 0.66 to 1.15 kg CO₂ eq. kg⁻¹ grain in this
347 study, were slightly higher than previous estimates of 0.24–0.74 kg CO₂ eq. kg⁻¹ grain from
348 rice paddies with midseason drainage and organic manure incorporation (Qin et al., 2010; Li
349 et al., 2006) but were lower than the DNDC model estimates for continuous waterlogged
350 paddies (3.22 kg CO₂ eq. kg⁻¹ grain) (Li et al., 2006). Differences in GWP or GHGI were
351 found in the cultivation patterns over the three rice-wheat rotations (Table 5). Although there
352 were not significant differences among the FP, N1 and N2 plots, the N1 and N2 scenarios with
353 optimized ISSM strategies led to a lower GWP than the FP (Table 5). Compared with the FP,
354 the N1 and N2 scenarios dramatically reduced the GHGI, which was mainly due to higher
355 yields. In spite of the similar GWP compared with the FP plot, the lowest GHGI (0.66 kg CO₂
356 eq. kg⁻¹ grain) was obtained under the N2 scenario. This finding is consistent with the
357 suggestion made by Burney et al. (2010), i.e., that the net effect of higher yields offsets
358 emissions. It is well known that CH₄ emissions dominate the GWP in rice paddies (Ma et al.,
359 2013; Shang et al., 2011). In comparison to the GWP (11545 kg CO₂ eq. ha⁻¹yr⁻¹) and GHGI
360 (0.81 kg CO₂ eq. kg⁻¹ grain) of the FP, the N3 and N4 scenarios increased both the GWP and
361 GHGI, mainly because these scenarios notably increased the CH₄ emissions compared with
362 the FP, which resulted in relatively higher GWP (Table 5).

363 Agricultural management practices that change one type of GWP source/sink may also

364 impact other sources/sinks and therefore change the GWP and GHGI (Mosier et al., 2006;
365 Shang et al., 2011). Although the N-fertilizer plots, especially those with the incorporation of
366 organic fertilizer, increased the annual CH₄ and N₂O emissions, they increased the SOC
367 sequestration in this cropping system, which is agreement with previous reports (Huang and
368 Sun, 2006). This was mainly due to the enhanced incorporation of rapeseed cake and crop
369 residue associated with higher crop productivity (Ma et al., 2013). In the present study, the N2
370 scenario with ISSM decreased the CH₄ and N₂O emissions as well as the energy consumption
371 related to irrigation and the manufacture and transport of N fertilizer (depending on coal
372 combustion), ultimately leading to a decrease in the GWP relative to the FP plot. Moreover,
373 despite the lower N fertilizer input, the grain yield did not decline and the GHGI of the N2
374 scenario was thus lower than of the FP plot, indicating less consumption of CO₂ equivalents
375 per unit of grain produced. We demonstrate that high yield and agronomic NUE, together with
376 low GWP, are not conflicting goals by optimizing ISSM strategies.

377 4.4 Main components of GWP and GHGI and implementation significance for the ISSM 378 strategies

379 Determining the main components of the GWP and GHGI in specific cropping systems is
380 very important for mitigating GHG emissions in the future because the benefits of C
381 sequestration would be negated by CH₄ and N₂O emissions and the CO₂ equivalents released
382 with the use of high N fertilizer application rates (Schlesinger, 2010). In the current study, the
383 five main components of the CO₂ equivalents for the GWP were ranked in decreasing order of
384 importance as follows: CH₄ emissions > agrochemical inputs of N fertilizer > farm operations
385 related to irrigation > SOC sequestration > N₂O emissions (Table 5). CH₄ emissions, the most
386 important component of GWP in this typical rice-wheat rotation system, could be further
387 mitigated by some other strategies, such as reasonable irrigation (Zou et al., 2005; Wang et al.,
388 2012).

389 Although N fertilizer application increased SOC sequestration when it was applied
390 with rapeseed cake manure, this benefit was consistently overshadowed, on a CO₂ equivalent
391 basis, by the increases in CH₄ and N₂O emissions (Table 5). Similar results have been
392 reported, i.e., GHG emissions substantially offset SOC increases (Six et al., 2004). It is
393 possible that the realization of reducing the GWP and GHGI in China should focus on

394 increasing the SOC and simultaneously decreasing the CO₂ equivalents from CH₄ emissions
395 and N fertilizer inputs. Several studies reported possible methods for these types of mitigation
396 strategies, such as optimizing the chemical fertilizer application amount and rate (Ju et al.,
397 2011), the amount of water used for irrigation (Gao et al., 2015), and the timing and rate of N
398 using the in-season N management approach, as well as improving the N fertilizer
399 manufacturing technologies (Zhang et al., 2013), and using nitrification inhibitors or
400 polymer-coated controlled-release fertilizers (Hu et al., 2013).

401 China is a rapidly developing country that faces the dual challenge of substantially
402 increasing grain yields at the same time as reducing the very substantial environmental
403 impacts of intensive agriculture (Chen et al., 2011). We used the ISSM strategies to develop a
404 rice production system that achieved mean yields of 10.63 t ha⁻¹ (an increment of almost 24%)
405 and an agronomic NUE of 16.33 kg grain kg⁻¹ N (an approximate doubling) in long-term field
406 experiments compared with current farmers' practices. The ISSM redesigned the whole
407 production system only for the rice crop based on the local environment and drawing on
408 appropriate fertilizer varieties and application ratios, crop densities and an advanced water
409 regime management. If the ISSM strategies were also developed for the rotated wheat crop,
410 the overall performance of the whole rice-wheat system would be much improved, with
411 further increases in yield and reductions in the GWP and GHGI. We conclude that the ISSM
412 strategies are promising, particularly the ISSM-N2 scenario, which is the most favorable to
413 realize higher yields with lower environmental impact. The proposed ISSM strategies can
414 provide substantial benefits to intensive agricultural systems and can be applied feasibly using
415 current technologies.

416 **5 Conclusions**

417 Reasonable agricultural management practices are the key to reducing GHG emissions from
418 agricultural ecosystems. This study provided an insight into the complete GHG emission
419 accounting of the GWP and GHGI affected by different ISSM scenarios. After a five-year
420 field experiment, we found that the CH₄ emissions, production of N fertilizer, irrigation, SOC
421 sequestration and N₂O fluxes were the main components of the GWP in a typical rice-wheat
422 rotation system. In contrast with the FP, N1 and N2 significantly reduced the GHGI, though
423 they resulted in similar GWPs, and N3 and N4 remarkably increased the GWP and GHGI. By

424 adopting the ISSM strategy, the conventional N application rate was reduced by 10% while
425 the rice yield was significantly increased by 16%, the NUE was improved by 67% and the
426 GHGI was lowered. ISSM scenarios could be adopted for both food security and
427 environmental protection with specific targets. We propose that the ISSM-N2 scenario is the
428 most appropriate management strategy (10% reduction of N input, no rapeseed manure and
429 higher plant density) for realizing higher yields and NUE, together with some potential to
430 reduce GHGI by integrated soil-crop management. For simultaneously mitigating GHG
431 emissions, further research on integrated soil-crop system managements is required
432 particularly for mitigating CH₄ emissions in sustainable rice agriculture.

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Table 1

The establishment of different treatments for the annual rice-wheat rotations during the 2011–2014 cycle.

Scenario	NN ^a	FP	ISSM-N1	ISSM-N2	ISSM-N3	ISSM-N4
Rice-growing season						
Chemical fertilizer application rate (N:P ₂ O ₅ :K ₂ O:Na ₂ SiO ₃ :ZnSO ₄ , kg ha ⁻¹)	0:90:120:0:0	300:90:120:0:0	225:90:120:0:0	270:90:120:0:0	300:108:144:225:15	375:126:180:225:15
Split N application ratio		6:2:0:2	5:1:2:2	5:1:2:2	5:1:2:2	5:1:2:2
Rapeseed cake manure (t ha ⁻¹)	0	0	0	0	2.25	2.25
Water regime	F-D-F-M ^b	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M
Planting density (cm)	20×20	20×20	20×15	20×15	20×15	20×20
Wheat-growing season						
Chemical fertilizer application rate (N:P ₂ O ₅ :K ₂ O, kg ha ⁻¹)	0:90:180	180:90:180	135:90:180	162:90:180	180:108:216	225:126:270
Split N application ratio		6:1:3	6:1:3	6:1:3	6:1:3	6:1:3
Seed sowing density (kg ha ⁻¹)	180	180	180	180	180	180

^aNN, no N application; FP, farmers' practice; The four integrated soil-crop system management (ISSM) practices at different nitrogen application rates relative to the FP rate of 300 kg N ha⁻¹ for the rice crop and 180 kg N ha⁻¹ for the wheat crop, namely, N1 (25% reduction), N2 (10% reduction), N3 (FP rate) and N4 (25% increase). Urea, calcium biphosphate and potassium chloride were used as N, P and K fertilizer respectively.

^bF-D-F-M, flooding-midseason drainage-re-flooding-moist irrigation.

Table 2

Seasonal CH₄ and N₂O emissions, and yields during rice and wheat cropping seasons in the three cycles of 2011–2014.

Treatment	Rice season			Wheat season		
	CH ₄ (kg C ha ⁻¹)	N ₂ O (kg N ha ⁻¹)	Yield (t ha ⁻¹)	CH ₄ (kg C ha ⁻¹)	N ₂ O (kg N ha ⁻¹)	Yield (t ha ⁻¹)
2011						
NN	153±10.8c	0.03±0.05c	5.85±0.08f	-0.48±0.63a	0.45±0.09d	1.74±0.18d
FP	266±25.3b	0.11±0.08c	8.38±0.35e	-0.48±1.86a	1.43±0.19b	5.67±0.20b
ISSM-N1	212±30.3bc	0.08±0.03c	9.27±0.26d	0.78±0.97a	0.65±0.11cd	5.05±0.16c
ISSM-N2	220±32.5bc	0.17±0.11bc	9.79±0.44c	2.25±2.07a	0.80±0.06c	5.71±0.18b
ISSM-N3	518±58.9a	0.38±0.15ab	10.81±0.26b	0.04±3.23a	1.40±0.10b	5.31±0.26bc
ISSM-N4	561±50.9a	0.37±0.07a	11.76±0.24a	-0.09±1.40a	1.93±0.09a	6.15±0.15a
2012						
NN	149±25.8d	0.13±0.10c	5.80±0.22f	-4.32±7.29a	0.65±0.09d	1.73±0.11c
FP	239±34.5c	0.33±0.11bc	8.72±0.62e	4.85±10.30a	2.13±0.43ab	5.64±0.34ab
ISSM-N1	226±30.4cd	0.27±0.07bc	9.43±0.34d	1.46±6.38a	1.39±0.14c	4.94±0.38b
ISSM-N2	228±32.6cd	0.38±0.29bc	9.99±0.50c	-1.02±0.84a	1.77±0.38bc	5.78±0.59ab
ISSM-N3	431±26.8b	0.52±0.16ab	10.92±0.61b	2.45±8.35a	2.19±0.24ab	5.39±0.39ab
ISSM-N4	536±58.7a	0.78±0.13a	12.24±0.60a	5.91±6.18a	2.61±0.42a	6.10±0.49a
2013						
NN	101±39.2b	0.16±0.09b	5.84±0.15f	-1.45±1.34a	0.35±0.06c	1.80±0.03c
FP	141±25.2b	0.43±0.39ab	8.67±0.26e	-3.70±1.76a	0.80±0.20ab	5.70±0.30ab
ISSM-N1	135±15.7b	0.19±0.16ab	9.66±0.29d	-1.00±1.61a	0.49±0.16bc	5.15±0.20b
ISSM-N2	129±32.2b	0.26±0.13ab	10.15±0.07c	-0.79±1.60a	0.69±0.24abc	5.80±0.18ab
ISSM-N3	256±45.6a	0.59±0.42ab	11.14±0.10b	-0.62±1.14a	0.71±0.10ab	5.51±0.33ab
ISSM-N4	304±22.3a	0.74±0.40a	12.34±0.16a	0.55±1.68a	1.02±0.11a	6.19±0.63a
Average 2011–2013 ^a						
NN ^b	135±19.6d	0.11±0.05c	5.83±0.04f	-2.08±1.89a	0.48±0.07d	1.75±0.04d
FP ^b	215±19.9c	0.29±0.13bc	8.59±0.25e	0.22±3.96a	1.45±0.24b	5.67±0.16b
ISSM-N1 ^b	191±19.2c	0.18±0.06c	9.45±0.18d	0.42±2.77a	0.84±0.08c	5.04±0.08c
ISSM-N2 ^b	192±11.6c	0.27±0.12bc	9.98±0.25c	0.15±0.58a	1.08±0.12c	5.76±0.22ab
ISSM-N3 ^b	402±23.8b	0.50±0.16ab	10.95±0.13b	0.63±3.51a	1.43±0.05b	5.40±0.16bc
ISSM-N4 ^b	467±39.2a	0.68±0.15a	12.11±0.28a	2.12±2.57a	1.85±0.16a	6.14±0.35a

^aMean ± SD, different lower case letters within the same column for each item indicate significant differences at $P < 0.05$ according to Tukey's multiple range test.

^bSee Table 1 for treatment codes.

Table 3

Repeated-measures analysis of variance (MANOVA) for the effects of cultivation patterns (P) and cropping year (Y) on mean CH₄ and N₂O emissions, and mean rice and wheat grain yields in the 2011–2014 cycle.

Crop season	Source	df	CH ₄ (kg C ha ⁻¹)	N ₂ O (kg N ha ⁻¹)	Yield (t ha ⁻¹)
Rice	Between subjects				
	P	5	35.3***	3.71***	123***
	Within subjects				
	Y	2	20.7***	0.88**	1.15**
	P×Y	10	6.73***	0.15	0.37
Wheat	Between subjects				
	P	5	0.26	14.8***	76.3***
	Within subjects				
	Y	2	0.55*	15.1***	0.08
	P×Y	10	0.83	4.39***	0.05
Rice-Wheat	Between subjects				
	P	5	37.2***	24.2***	153***
	Within subjects				
	Y	2	20.5***	5.83***	0.70*
	P×Y	10	6.50***	1.11	0.17

df – degrees of freedom, * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$ represent significant at the 0.05, 0.01 and 0.001 probability level, respectively.

Table 4

Agricultural management practices for chemical inputs and farm operations and contributions to carbon dioxide equivalents (kg CO₂ eq. ha⁻¹yr⁻¹) in the annual rice-wheat rotations from 2011 to 2014 (chemical inputs and farm operations used in each year were similar except for irrigation water).

Treatment	Chemical inputs (kg ha ⁻¹) ^a								Farm operations (kg ha ⁻¹) ^c							
	N	P	K	Si	Zn	Herbicide	Insecticide	Fungicide	Irrigation (cm) ^b			Tillage and raking	Crop planting	Farm manure	Crop harvest	Farm machinery production
									2011	2012	2013					
NN ^d	0	180	300	0	0	2	18	4	75	80	80	37	1	0	11	135
FP	480	180	300	0	0	2	20	4.4	75	80	80	37	1	0	11	147
ISSM-N1	360	180	300	0	0	2	20	4.4	50	65	55	37	1	0	11	139
ISSM-N2	432	180	300	0	0	2	20	4.4	50	65	55	37	1	0	11	177
ISSM-N3	480	216	360	225	15	2	27	6	50	65	55	37	1	2250	11	177
ISSM-N4	600	252	450	225	15	2	41	9	50	65	55	37	1	2250	11	275
	Chemical inputs (E _i)								Farm operations (E _o)							
NN	0	132	165	0	0	2	338	53	1419	1514	1514	127	12	0	37	36
FP	2288	132	165	0	0	2	375	59	1419	1514	1514	127	12	0	37	39
ISSM-N1	1716	132	165	0	0	2	375	59	946	1230	1041	127	12	0	37	37
ISSM-N2	2059	132	165	0	0	2	375	59	946	1230	1041	127	12	0	37	47
ISSM-N3	2288	158	198	58	6	2	506	79	946	1230	1041	127	12	62	37	47
ISSM-N4	2860	185	248	58	6	2	768	129	946	1230	1041	127	12	62	37	73

^aThe carbon emission coefficients were 1.3,0.2,0.15, 0.3, 5.1 and 3.9 C cost (kg C eq. kg⁻¹ active ingredient) per applied nitrogen fertilizer, phosphorus, potassium, herbicide, insecticide and fungicide, respectively, as referred to in Lal (2004). We collected data specific to China's fertilizer manufacture and consumption, and then estimated carbon emissions coefficients were 0.07 and 0.1 C cost (kg C eq. kg⁻¹ active ingredient) per applied Si and Zn fertilizer, respectively.

^bThe carbon emission coefficient for irrigation was 5.16 C cost (kg C eq. cm⁻¹ ha⁻¹) as referred to in Lal (2004).

^cThe carbon emission coefficients were 0.94, 3.2, 0.0075, 0.94 and 0.0725 C cost (kg C eq. kg⁻¹ active ingredient) for tillage and raking, crop planting, per farm manure application, harvesting, spraying and threshing, respectively, as referred to in Lal (2004).

^dSee Table 1 for treatment codes.

Table 5

Mean global warming potential (GWP) and greenhouse gas intensity (GHGI) over the three annual cycles of the 2011rice season–2014wheat season.

Treatment	CH ₄	N ₂ O	Ei	Eo	SOCSR	GWP ^a	Grain yield	GHGI ^b
	kg CO ₂ eq. ha ⁻¹ yr ⁻¹					t ha ⁻¹ yr ⁻¹	kg CO ₂ eq. t ⁻¹ grain	
NN ^d	4418±628d ^c	276±29d	690	1694	- 792±327c	7871±646d	7.58±0.04d	1038±85b
FP	7181±766c	816±55b	3021	1697	1170±396ab	11545±505c	14.26±0.36c	810±23c
ISSM-N1	6381±633c	479±62c	2449	1285	491±435b	10104±930c	14.50±0.14c	697±63d
ISSM-N2	6421±379c	633±97c	2792	1295	709±193ab	10433±516c	15.74±0.44b	664±49d
ISSM-N3	13418±744b	906±87b	3295	1357	1383±503a	17593±688b	16.36±0.18b	1075±33ab
ISSM-N4	15630±1246a	1188±65a	4256	1383	1545±348a	20911±1289a	18.26±0.46a	1145±84a

^aGWP (kg CO₂ eq. ha⁻¹yr⁻¹) = 25 × CH₄ + 298 × N₂O + Ei + Eo - 44/12 × SOCSR, Ei (agrochemical inputs), Eo (farm operations), SOCSR (SOC sequestration rate)

^bGHGI (kg CO₂ eq. t⁻¹ grain) = GWP/grain yields

^cDifferent lower case letters within the same column for each item indicate significant differences at $P < 0.05$ based on Tukey's multiple range tests.

^dSee Table 1 for treatment codes.

Fig 1 Rice and wheat agronomic nitrogen use efficiency (NUE) in 2011–2014 in Changshu, China.

Different letters indicate a significant difference between treatments ($p < 0.05$). See Table 1 for treatment codes.

Fig 2 Seasonal variation of methane (CH_4) fluxes from the rice-wheat rotation cropping systems from 2011 to 2014. The black and gray part in figure separates different grain growth periods. See Table 1 for treatment codes. The solid arrows indicate fertilization.

Fig 3 Seasonal variation of nitrous oxide (N_2O) fluxes from rice-wheat rotation cropping systems in three annual cycles over the period 2011–2014. The black and gray part in the figure separates different growth periods. See Table 1 for treatment codes. The solid arrows indicate fertilization.

Supplementary resource 1 Daily mean air temperature and precipitation during the rice-wheat rotation in 2011–2014 in Changshu, China.







