

1 Global warming potential and greenhouse gas intensity in rice agriculture driven by  
2 high yields and nitrogen use efficiency

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15 **Abstract:** Our understanding of how global warming potential (GWP) and greenhouse gas  
16 intensity (GHGI) is affected by management practices aimed at food security with respect to  
17 rice agriculture remains limited. In the present study, a field experiment was conducted in  
18 China to evaluate the effects of integrated soil-crop system management (ISSM) mainly  
19 consisting of different nitrogen (N) fertilization rates and split, manure, Zn and Na<sub>2</sub>SiO<sub>3</sub>  
20 fertilization and planting density on GWP and GHGI after accounting for carbon dioxide (CO<sub>2</sub>)  
21 equivalent emissions from all sources including methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O)  
22 emissions, agrochemical inputs and farm operations and sinks (i.e., soil organic carbon  
23 sequestration). For the improvement of rice yield and agronomic nitrogen use efficiency (NUE),  
24 four ISSM scenarios consisting of different chemical N rates relative to the local farmers'  
25 practice (FP) rate were carried out, namely, ISSM-N1 (25% reduction), ISSM-N2 (10%  
26 reduction), ISSM-N3 (FP rate) and ISSM-N4 (25% increase). The results showed that  
27 compared with the FP, the four ISSM scenarios significantly increased the rice yields by 10, 16,  
28 28 and 41% and the agronomic NUE by 75, 67, 35 and 40%, respectively. In addition,  
29 compared with the FP, the ISSM-N1 and ISSM-N2 scenarios significantly reduced the GHGI  
30 by 14 and 18%, respectively, despite similar GWPs. The ISSM-N3 and ISSM-N4 scenarios  
31 remarkably increased the GWP and GHGI by an average of 69 and 39%, respectively. In  
32 conclusion, the ISSM strategies are promising for both food security and environmental  
33 protection, and the ISSM scenario of ISSM-N2 is the optimal strategy to realize high yields  
34 and high NUE together with low environmental impacts for this agricultural rice field.

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## 36 **1 Introduction**

37 Rapid population growth and economic development place a growing pressure on increasing  
38 food production (Barrett, 2010). An increase in global crop production of 100% would be  
39 necessary to sustain the projected demand for human food and livestock feed in 2050 (Tilman  
40 et al., 2011). Rice is the staple food for nearly 50% of the world's people, mainly in Asia  
41 (Frolking et al., 2002). According to FAO (2010), approximately 600 million people in  
42 Asia-Pacific region are suffering from hunger and malnutrition. With the region's population  
43 projected to increase by another billion by mid-century, new approaches to increase food  
44 production are needed (Chen et al., 2014). With a limited agricultural land area, the intensive  
45 agricultural regions of China are facing serious environmental problems due to large inputs of  
46 chemical fertilizer and low nitrogen use efficiency (NUE) (Ju et al., 2009; Makino, 2011).  
47 Thus, integrated soil-crop system management (ISSM), which redesigns the whole production  
48 system based on the local environment and draws on appropriate fertilizer compounds and  
49 application ratios, crop densities and advanced water management regimes, has been  
50 advocated and developed to simultaneously increase crop productivity and NUE with low  
51 carbon dioxide (CO<sub>2</sub>) equivalent emissions per unit product in China (Chen et al., 2014). The  
52 key points of the ISSM are to integrate soil and nutrient management with high-yielding  
53 cultivation systems, to integrate the utilization of various nutrient sources and match nutrient  
54 supply to crop requirements, and to take all soil quality improvement measures into  
55 consideration (Zhang et al., 2011).

56 Carbon dioxide, methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) are the most important  
57 greenhouse gases (GHGs) that contribute to global warming (IPCC, 2013). The concept of  
58 global warming potential (GWP) has been applied to agricultural lands by taking into account  
59 of the radiative properties of all GHG emissions associated with agricultural production and  
60 soil organic carbon (SOC) sequestration, expressed as CO<sub>2</sub> eq. ha<sup>-1</sup> yr<sup>-1</sup> (Robertson and Grace,  
61 2004; Mosier et al., 2006). Although agriculture releases significant amounts of CH<sub>4</sub> and N<sub>2</sub>O  
62 into the atmosphere, the net emission of CO<sub>2</sub> equivalents from farming activities can be partly  
63 offset by changing agricultural management to increase the soil organic matter content and/or  
64 decrease the emissions of CH<sub>4</sub> and N<sub>2</sub>O (Mosier et al., 2006; Smith et al., 2008). If global  
65 agricultural techniques are improved, the mitigation potential of agriculture (excluding fossil

66 fuel offsets from biomass) is estimated to be approximately 5.5–6.0 Pg CO<sub>2</sub> eq. yr<sup>-1</sup> by 2030  
67 (Smith et al., 2008). However, the release of CO<sub>2</sub> during the manufacturing and application of  
68 N fertilizer to crops and from fuel used in machines for farm operations can counteract these  
69 mitigation efforts (West and Marland, 2002). Therefore, when determining the GWP of  
70 agroecosystems, there is a need to account for all sources of GHG emissions, including the  
71 emissions associated with agrochemical inputs (E<sub>i</sub>) and farm operations (E<sub>o</sub>) and sinks, e.g.  
72 soil organic carbon (SOC) sequestration (Sainju et al., 2014).

73 Information on the effects of ISSM scenarios on GWP and greenhouse gas intensity  
74 (GHGI) of agricultural systems is limited in China (Ma et al., 2013; Liu et al., 2015). The  
75 annual rotation of summer rice-upland crop is a dominant cropping system in China. Previous  
76 studies were mainly focused on the initial influences of ISSM practices on CH<sub>4</sub> and N<sub>2</sub>O  
77 emissions, but did not account for the contributions of CO<sub>2</sub> emissions from E<sub>i</sub> and E<sub>o</sub> (Ma et  
78 al., 2013; Zhang et al., 2014). In this study, we evaluated GWP and GHGI of rice-wheat crop  
79 rotation managed under several scenarios of ISSM by taking CO<sub>2</sub> equivalents emissions from  
80 all sources and sinks into account for 3 years. We hypothesized that the ISSM strategies  
81 would reduce the overall GWP and GHGI compared with local farmers' practices (FP). The  
82 specific objectives of this study were to (i) evaluate the effects of different ISSM scenarios on  
83 GWP and GHGI; (ii) determine the main sources of GWP and GHGI in a rice-wheat cropping  
84 system; and (iii) elucidate the overall performance for each ISSM scenario for different  
85 targets to increase grain yields and NUE and reduce GWP and GHGI.

## 86 **2 Materials and Methods**

### 87 2.1 Experimental site

88 A field experiment was conducted at the Changshu agro-ecological experimental station  
89 (31°32'93"N, 120°41'88"E) in Jiangsu Province, China. This is a typical, intensively managed  
90 agricultural area where the cropping regime is dominated by a flooded rice (*Oryza sativa*  
91 L.)-drained wheat (*Triticum aestivum* L.) rotation system. The site is characterized by a  
92 subtropical humid monsoon climate with a mean annual air temperature of 15.6, 15.2 and  
93 15.8 °C and precipitation of 878, 1163 and 984 mm for three years, respectively. The soil of  
94 the field is classified as an *Anthrosol* with a sandy loam texture of 6% sand (1–0.05 mm), 80%  
95 silt (0.05–0.001 mm), and 14% clay (<0.001 mm), which developed from lacustrine sediment.

96 The major properties of the soil at 0–20 cm can be described as follows: bulk density, 1.11 g  
97 cm<sup>-3</sup>; pH, 7.35; organic matter content, 35.0 g kg<sup>-1</sup>; and total N, 2.1 g kg<sup>-1</sup>. The daily mean air  
98 temperatures and precipitation during the study period from June 15, 2011, to June 15, 2014,  
99 are given in the supplementary resource 1.

## 100 2.2 Experimental design and management

101 A completely randomized block design was established in 2009 with four replicates of six  
102 treatments, including no nitrogen (NN) and FP as controls, and four ISSM scenarios at  
103 different chemical N fertilizer application rates relative to the local FP rate (300 kg N ha<sup>-1</sup>),  
104 namely ISSM-N1 (25% reduction), ISSM-N2 (10% reduction), ISSM-N3 (FP rate) and  
105 ISSM-N4 (25% increase). The designed ISSM scenarios (only for rice but not for wheat)  
106 included a redesigned split N fertilizer application, a balanced fertilizer application that  
107 included sodium silicate, zinc sulphate, rapeseed cake (C/N=8) providing an additional 112.5  
108 kg N ha<sup>-1</sup>, and additional phosphorus and potassium, and different transplanting densities,  
109 used as the main techniques for improving rice yield and agronomic NUE. The agronomic  
110 NUE was calculated as the difference in grain yield between the plots that received N  
111 application and the NN plot, divided by the total N rate which included chemical N fertilizer  
112 and N supplied from rapeseed cake in the ISSM-N3 and ISSM-N4 scenarios. The details of  
113 the fertilizer applications, irrigation, and field management practices of the six different  
114 treatments are presented in Table 1. Further information was described previously (Zhang et  
115 al., 2014). Each plot was 6 m × 7 m in size with an independent drainage/irrigation system.

116 One midseason drainage (about one week) and final drainage before harvest were used  
117 during the rice-growing season, whereas the plots only received precipitation during the  
118 wheat-growing season. The N fertilizer was split into a 6:2:0:2 or 5:1:2:2 ratio of basal  
119 fertilizer and topdressings for the rice crop and a 6:1:3 ratio for the wheat crop. All of  
120 phosphorous (P), silicon (Si), zinc (Zn) were applied as basal fertilizers for both crops and  
121 rapeseed cake manure was applied for the rice crop. Potassium (K) was added as a split (1:1)  
122 application to the rice crop and all as basal fertilizer for the wheat crop. The basal fertilization  
123 occurred at the time of rice transplanting and wheat seeding. The topdressing was applied at  
124 the tillering, elongation and panicle stages of the rice crop and at the seedling establishment  
125 and elongation stages of the wheat crop. Aboveground biomass including crop grains and

126 straws were removed out of the fields for all the treatments.

### 127 2.3 Gas sampling and measurements

128 We measured the CH<sub>4</sub> and N<sub>2</sub>O emissions from each plot of the field experiment over five  
129 annual cycles from the 2009 rice-growing season to the 2014 wheat-growing season. The  
130 initial 2-yr measurements during the 2009–2011 rice-wheat rotational systems were described  
131 in our previous study (Ma et al., 2013). Emissions were measured manually using the  
132 static-opaque chamber method. Each replicate plot was equipped with a chamber with a size  
133 of 50 cm × 50 cm × 50 cm or 50 cm × 50 cm × 110 cm, depending on the crop growth and  
134 plant height. The chamber was placed on a fixed PVC frame in each plot and wrapped with a  
135 layer of sponge and aluminum foil to minimize air temperature changes inside the chamber  
136 during the period of sampling. Gas samples were collected from 9:00 to 11:00 am using an  
137 airtight syringe with a 20-ml volume at intervals of 10 min (0, 10, 20 and 30 min after  
138 chamber closure). The fluxes were measured once a week and more frequently after fertilizer  
139 application or a change in soil moisture.

140 The gas samples were analyzed for CH<sub>4</sub> and N<sub>2</sub>O concentrations using a gas  
141 chromatograph (Agilent 7890A, Shanghai, China) equipped with two detectors. Methane was  
142 detected using a hydrogen flame ionization detector (FID), and N<sub>2</sub>O was detected using an  
143 electron capture detector (ECD). Argon-methane (5%) and N<sub>2</sub> were used as the carrier gas at a  
144 flow rate of 40 ml min<sup>-1</sup> for N<sub>2</sub>O and CH<sub>4</sub> analysis, respectively. The temperatures for the  
145 column and ECD detector were maintained at 40 °C and 300 °C, respectively. The oven and  
146 FID were operated at 50 °C and 300 °C, respectively. The CH<sub>4</sub> and N<sub>2</sub>O fluxes were  
147 calculated using a linear increase in the two gas concentrations over time as described by Jia  
148 et al. (2012).

### 149 2.4 Topsoil organic carbon sequestration measurements

150 To measure the organic carbon content of the topsoil as described by Zhang et al. (2014), soil  
151 samples were collected after the wheat harvest in 2009 and 2014 from all experimental plots  
152 at a plowing depth of 0–20 cm. The soil organic carbon sequestration rates (SOCSR) were  
153 calculated as follows (Liu et al., 2015):

$$154 \text{SOCSR (t C ha}^{-1} \text{ yr}^{-1}) = (\text{SOC}_t - \text{SOC}_0) / T \times \gamma \times (1 - \delta_{2\text{mm}}/100) \times 20 \times 10^{-1} \text{ (1)}$$

155 In Eq. (1), SOC<sub>t</sub> (g C kg<sup>-1</sup>) and SOC<sub>0</sub> (g C kg<sup>-1</sup>) are the SOC contents measured in the

156 soils sampled after the wheat was harvested in 2014 and 2009, respectively. T refers to the  
157 experimental period (yr).  $\gamma$  and  $\delta_{2\text{mm}}$  are the average bulk density and the gravel content (>2  
158 mm) of the topsoil (0–20 cm), respectively.

## 159 2.5 GWP and GHGI measurements

160 To better understand the overall GHG impact of the rice-wheat crop rotation managed  
161 under different ISSM scenarios, the GWP and GHGI were calculated as the following  
162 equations (Myhre et al., 2013):

$$163 \quad \text{GWP (kg CO}_2 \text{ eq. ha}^{-1} \text{ yr}^{-1}) = 28 \times \text{CH}_4 + 265 \times \text{N}_2\text{O} + \text{Ei} + \text{Eo} - 44/12 \times \text{SOCSR} \quad (2)$$

$$164 \quad \text{GHGI (kg CO}_2 \text{ eq. kg}^{-1} \text{ grain yield yr}^{-1}) = \text{GWP}/\text{grain yield} \quad (3)$$

165 In Eq. (2), Ei (kg CO<sub>2</sub> eq. ha<sup>-1</sup> yr<sup>-1</sup>), Eo (kg CO<sub>2</sub> eq. ha<sup>-1</sup> yr<sup>-1</sup>) and SOCSR (kg C ha<sup>-1</sup>  
166 yr<sup>-1</sup>) represent CO<sub>2</sub> equivalent emissions from the agrochemical inputs, farm operations and  
167 soil organic carbon sequestration rate, respectively. The global warming potential of 1 kg CH<sub>4</sub>  
168 and 1 kg N<sub>2</sub>O are 28 and 265 kg CO<sub>2</sub> equivalents respectively (without inclusion of  
169 climate-carbon feedbacks), based on 100-yr time scale (Myhre et al., 2013). 12 and 44 refers  
170 to molecular weights of C and CO<sub>2</sub>, respectively. The grain yield is expressed as the air-dried  
171 grain yield.

172 Therefore, the GWP of the cropland ecosystem equals the total CO<sub>2</sub> equivalent emissions  
173 minus the SOC change per unit land area. In addition to CH<sub>4</sub> and N<sub>2</sub>O emissions, we  
174 considered CO<sub>2</sub> equivalent emissions associated with the use of agrochemical inputs (Ei),  
175 such as the manufacture and transportation of the N, P, and K fertilizers (Snyder et al., 2009),  
176 and farm operations (Eo), such as the water used for irrigation (Zhang et al., 2013) and diesel  
177 fuel (Huang et al., 2013a). The CO<sub>2</sub> equivalent emissions of N fertilizer were calculated as the  
178 mean value of the C emissions of 1.3 kg C equivalent kg<sup>-1</sup> N (Lal, 2004). Similarly, the CO<sub>2</sub>  
179 equivalent for irrigation was calculated from the total amount of water used during the  
180 rice-growing season; the coefficient for the C cost was 5.16 (kg C eq. cm<sup>-1</sup> ha<sup>-1</sup>) originated  
181 from the value of 257.8 kg C eq. ha<sup>-1</sup> for a 50 cm of irrigation provided by Lal (2004). The  
182 CO<sub>2</sub> equivalents of other Ei (P and K fertilization, manure, herbicide, pesticide and fungicide  
183 applications) and Eo (tillage, planting, harvest, and threshing) were recorded and also  
184 estimated by coefficients provided by Lal (2004) since no specific coefficients were available  
185 for local conditions. We collected the data specific to China's fertilizer manufacture and

186 consumption, and obtained the C emission coefficients to be 0.07 and 0.1 kg C eq. kg<sup>-1</sup> of  
187 active ingredient for Si and Zn fertilizer, respectively. The C emission factor for these farm  
188 operations depends on diesel used as fuel or electricity. Chemical fertilizer was hand  
189 broadcasted for each fertilization event. Detailed information of each Ei and Eo component  
190 for rice and wheat crop seasons are presented in Supplementary resource 2.

## 191 2.6 Statistical analysis

192 Repeated-measures multivariate analysis of variance (MANOVA) and linear relationships  
193 were determined using JMP 7.0, ver. 7.0 (SAS Institute, USA, 2007). The F-test was applied  
194 to determine whether there were significant differences among practices, years and their  
195 interaction at  $P < 0.05$ . One-way analysis of variance was conducted to compare the  
196 cumulative fluxes of CH<sub>4</sub> and N<sub>2</sub>O, and grain yield among the different treatments. Tukey's  
197 HSD test was used to determine whether significant differences occurred between the  
198 treatments at a level of  $P < 0.05$ . Normal distribution and variance uniformity were checked  
199 and all data were consistent with the variance uniformity ( $P > 0.05$ ) within each group. The  
200 results are presented as the means and standard deviation (mean  $\pm$  SD, n = 4).

## 201 3 Results

### 202 3.1 Crop production and agronomic NUE

203 During the three cropping rotations from 2011 to 2014, the rice and wheat yields varied  
204 significantly among the treatments (Table 2). The grain yields ranged from 5.83 to 12.11 t  
205 ha<sup>-1</sup> for rice and 1.75 to 6.14 t ha<sup>-1</sup> for wheat. On average over the three cycles, the annual  
206 rice yield of the FP was significantly lower than that of the ISSM scenarios of ISSM-N1,  
207 ISSM-N2, ISSM-N3 and ISSM-N4. Compared with the FP, rice grain yields increased by 10%  
208 and 16% for the ISSM-N1 and ISSM-N2 scenarios, respectively, i.e., with the lower N input,  
209 by 28% for the ISSM-N3 scenario with the same N input and by 41% for the ISSM-N4  
210 scenario with the highest N input. However, we did not observe any significant increases in  
211 the wheat-grain yields compared with the FP except for the ISSM-N4 scenario. Statistical  
212 analysis indicated that rice and wheat yields from the three years were not significantly  
213 influenced by the interaction of cultivation patterns and cropping year (Table 3).

214 The agronomic NUE for the rice and wheat of the fertilized plots ranged from 9.2 to 16.1  
215 and 19.5 to 24.7 kg grain kg N<sup>-1</sup>, respectively (Fig. 1). The higher NUE in the wheat season



216 was mainly due to the relatively lower N fertilizer (40%) rates used for wheat compared with  
217 that for rice. As expected, the rice agronomic NUE significantly increased by 75, 67, 35 and  
218 40% for the ISSM-N1, ISSM-N2, ISSM-N3 and ISSM-N4 scenarios, respectively, compared  
219 with the FP (Fig. 1). For the wheat crop, the agronomic NUE increased by 12 and 14% in the  
220 ISSM-N1 and ISSM-N2 scenarios, respectively, and slightly decreased in the ISSM-N3 and  
221 ISSM-N4 scenarios compared with the FP, mainly because the current ISSM strategy was  
222 only designed for rice and not wheat production.

### 223 3.2 CH<sub>4</sub> and N<sub>2</sub>O emissions

224 All plots showed similar CH<sub>4</sub> emission patterns, being a source in the rice season and  
225 negligible in the wheat season (Fig. 2). During the three annual rice-wheat rotations from  
226 2011 to 2014, the CH<sub>4</sub> fluxes ranged from -3.89 to 99.67 mg C m<sup>-2</sup> h<sup>-1</sup>. The seasonal CH<sub>4</sub>  
227 emissions varied significantly among the treatments during the rice-growing season (Table 3,  
228 Fig. 2). No significant difference was found between the FP, ISSM-N1 and ISSM-N2 plots.  
229 Temporal variation was significant during the three cycles (Table 3, *P* < 0.001). Averaged  
230 across years, the CH<sub>4</sub> emission was greater in the ISSM-N3 and ISSM-N4 plots than in the  
231 NN, FP, ISSM-N1 and ISSM-N2 plots (Table 2, *P* < 0.05). However, compared with the NN  
232 plots, the FP, ISSM-N1 and ISSM-N2 plots with inorganic fertilizer application resulted in  
233 increased CH<sub>4</sub> emission rates of 59.9, 41.9 and 43.0%, respectively, averaged over the  
234 rice-growing seasons. The CH<sub>4</sub> emission rates were further enhanced by 198.5% in the  
235 ISSM-N3 plots and by 246.7% in the ISSM-N4 plots.

236 The annual N<sub>2</sub>O fluxes varied from -33.1 to 647.5 μg N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup>, with most N<sub>2</sub>O  
237 emissions occurring during the wheat-growing season after fertilization events, and several  
238 small emission peaks during the rice-growing season (Fig. 3). With respect to the N  
239 application effect, the annual cumulative N<sub>2</sub>O emissions for all four ISSM scenarios were  
240 significantly higher than that in NN (*P* < 0.05). Relative to the FP plot, the ISSM-N1 and  
241 ISSM-N2 scenarios decreased the annual N<sub>2</sub>O emissions by an average of 41% and 22%,  
242 respectively (Table 2). The ISSM-N4 scenario significantly increased the cumulative N<sub>2</sub>O  
243 emissions by 46% (*P* < 0.05) because this system received highest inorganic N fertilizer (25%  
244 higher than that in FP) and additional N via manure application compared to the FP practice,  
245 although there was no significant difference between the ISSM-N3 and FP plots.

### 246 3.3 Annual GWP and GHGI

247 Based on the perspective of the carbon footprint, we included the GHG emissions associated  
248 with all of the inputs (Ei and Eo), and SOC sequestration was expressed as kg CO<sub>2</sub> eq. ha<sup>-1</sup>  
249 yr<sup>-1</sup>. The CO<sub>2</sub> equivalent emissions associated with Ei and Eo are presented in Table 4. The  
250 CO<sub>2</sub> equivalents rates from N fertilizer dominated not only the chemical input section (67–75%  
251 of Ei) but also the total CO<sub>2</sub> equivalents from agricultural management (45–50% of the sum  
252 of the Ei and Eo). Irrigation was the second largest source of CO<sub>2</sub> equivalents associated with  
253 agricultural management after N fertilizer (19–31% of the sum of the Ei and Eo). The GWP  
254 ranged from 8425 to 22711 kg CO<sub>2</sub> eq. ha<sup>-1</sup> yr<sup>-1</sup> for the NN and the ISSM-N4 plots,  
255 respectively (Table 5). Although fertilized treatments increased the annual CH<sub>4</sub> and N<sub>2</sub>O  
256 emissions in comparison with the NN plot, it also increased the SOC sequestration in these  
257 cropping systems. Of the main field GHGs that were directly emitted, CH<sub>4</sub> accounted for 59–  
258 78% of the GWP in all plots. An increase in the annual SOC content led to a significant  
259 decrease in the GWP (contributed 5–9% decrease of the GWP except in the NN plot). The  
260 CO<sub>2</sub> equivalents from agricultural management practices, emissions associated with Ei  
261 (2493–4300 CO<sub>2</sub> eq. ha<sup>-1</sup> yr<sup>-1</sup>) were higher than those associated with Eo (1296–1708 CO<sub>2</sub> eq.  
262 ha<sup>-1</sup> yr<sup>-1</sup>) in the fertilized plots. There was no significant difference in the annual GWP  
263 observed between the FP, ISSM-N1 and ISSM-N2 plots (Table 5). Across the three years,  
264 ISSM-N1 and ISSM-N2 slightly reduced the GWP by 12 and 10%, respectively; however,  
265 ISSM-N3 and ISSM-N4 significantly increased the GWP by an average of 55 and 84%,  
266 respectively, in comparison with the FP.

267 The GHGI was used to express the relationship between GWP and grain yield. The  
268 GHGIs (kg CO<sub>2</sub> eq. t<sup>-1</sup> grain) in this study ranged from 712 to 1245 kg CO<sub>2</sub> eq. t<sup>-1</sup> grain  
269 (Table 5). The significant difference in the GHGI of grain was found between the FP and the  
270 ISSM strategies. Compared with the FP, ISSM-N1 and ISSM-N2 significantly reduced the  
271 GHGI by 14 and 18%, respectively, mainly due to the increased grain yield and SOC  
272 sequestration as well as reduced GHG emissions for the ISSM strategies of reasonable N  
273 fertilizer management and suitable planting density. Although N fertilizer or  
274 organic/inorganic combination fertilizer application reduced the SOC losses caused by crop  
275 cultivation and increased the grain yields, the GHGIs were generally higher for the ISSM-N3

276 and ISSM-N4 scenarios than the ISSM-N1 and ISSM-N2 scenarios due to further increases in  
277 CH<sub>4</sub> and N<sub>2</sub>O emissions.

## 278 **4 Discussion**

### 279 4.1 Grain yield and agronomic NUE as affected by ISSM strategies

280 Grain yields are directly related to fertilizer management. The MANOVA results indicated  
281 that the rice and wheat grain yields were significantly affected by the cultivation strategies  
282 (Table 3,  $P < 0.001$ ), which is in agreement with previous results (Chen et al., 2011; Zhang et  
283 al., 2011). Compared with the FP, rice yields increased significantly by all four ISSM  
284 scenarios (Table 2). However, the wheat grain yield decreased significantly when the N  
285 fertilizer rate was reduced by 25% (N1 scenario). It has been reported in previous studies that  
286 ISSM strategies can effectively improve the rice grain yield (Ma et al., 2013; Liu et al., 2015).  
287 First, the adjusted transplanting density for the ISSM-N1, ISSM-N2, and ISSM-N3 scenarios  
288 would produce a positive effect on rice yield by influencing rice colony structure, which  
289 agreed with Wu et al. (2005). Second, split application of N fertilizer to match crop demand in  
290 the ISSM-N1, ISSM-N2, ISSM-N3 and ISSM-N4 scenarios would significantly increase  
291 agronomic NUE and rice yield which had been reported previously by Liu et al. (2009). In the  
292 present study, ISSM-N1 and ISSM-N2 significantly increased annual rice production by 10  
293 and 16%, respectively, in comparison with the FP (Table 2). This finding is consistent with the  
294 results of Peng et al. (2006), who reported that a 30% reduction in the total N rate during the  
295 early vegetative stage did not reduce the yield but slightly increased it when combined with  
296 the modified farmers' fertilizer practice. Third, integrated management of three  
297 macronutrients: N, P and K as well as the two micronutrients: Si and Zn were considered as  
298 essential for sustainable high crop yields. Additional Si and Zn fertilizer for the ISSM-N3 and  
299 ISSM-N4 scenarios would support better seedling establishment and reduce both biotic and  
300 abiotic stress, thus produced higher yields (Wang et al., 2005; Slaton et al., 2005;  
301 Kabata-Pendias and Mukherjee, 2007; Hossain et al., 2008). As expected, when the total N  
302 rate was at the FP rate and/or increased by 25%, in combination with other ISSM strategies  
303 (e.g. rapeseed cake manure, additional P and K, applying Si and Zn fertilizer), the rice yield in  
304 these ISSM-N3 and ISSM-N4 plots increased substantially by 28 and 41%, respectively.

305 Based on a long-term fertilizer experiment, Shang et al. (2011) reported that organic fertilizer  
306 incorporation significantly increased the early rice grain yield. This may have resulted from  
307 the organic fertilizer applied in combination with adequate nutrients contributing to alleviate  
308 potential yield limiting factors of rice.

309 It has been suggested that N losses vary depending on the timing, rate, and method of N  
310 application, as well as the source of N fertilizer (Zhu, 1997). In addition to high rates of N and  
311 improper timing of N application, rapid N losses (via ammonia volatilization, denitrification,  
312 surface runoff, and leaching) are important factors that cause low agronomic NUE of irrigated  
313 rice in China (Peng et al., 2006). Compared with the FP plot, the rice agronomic NUE was  
314 significantly increased by 75, 67, 35 and 40% under the ISSM-N1, ISSM-N2, ISSM-N3 and  
315 ISSM-N4 scenarios, respectively (Fig. 1). The higher rice agronomic NUE in our study over  
316 the experimental period could be due to reduced N losses by leaching and volatilization as  
317 well as the improvement of N bioavailability in the rice crop season (Zhao et al., 2015).  
318 Organic/inorganic combination fertilizer application also increases uptake by crops compared  
319 with the traditional farmers' practice (Peng et al., 2006). These findings suggest that the ISSM  
320 strategy is an effective method for improving grain yield and agronomic NUE for future  
321 sustainable rice agriculture in China.

#### 322 4.2 CH<sub>4</sub> and N<sub>2</sub>O emissions as affected by ISSM strategies

323 During the three years, the annual cumulative CH<sub>4</sub> emissions, on average, varied from 133 to  
324 469 kg C ha<sup>-1</sup>yr<sup>-1</sup> (Table 2), and these values fell within the range of 4.1 to 1015.6 kg CH<sub>4</sub>  
325 ha<sup>-1</sup> observed previously in a rice field (Huang et al., 2004). Methane emissions were highest  
326 during rice season, but only during the flooding period. Mainly because CH<sub>4</sub> was produced in  
327 the anaerobic zones of submerged soils by methanogens and is oxidized into CO<sub>2</sub> by  
328 methanotrophs in the aerobic zones of wetland soils and in upland soils (Le Mer and Roger,  
329 2001). The MANOVA results indicated that obvious effects of cultivation patterns and  
330 years on CH<sub>4</sub> emissions were found during the rice-wheat rotations (Table 3, *P* < 0.001). The  
331 CH<sub>4</sub> emissions were not significantly affected by the cycles but affected by crop season  
332 (Table 5, Fig. 2). In this study, no significant difference in CH<sub>4</sub> emission was observed  
333 between the FP, ISSM-N1 and ISSM-N2 plots. However, compared with the FP plot, the  
334 ISSM-N3 and ISSM-N4 scenarios emitted 87 and 118% more CH<sub>4</sub>, respectively (Table 5),

335 which is probably due to the incorporation of the organic rapeseed cake manure. Previous  
336 reports support the observations that CH<sub>4</sub> emissions were significantly increased with the  
337 application of organic amendments (Ma et al., 2009; Thangarajan et al., 2013; Zou et al.,  
338 2005). Apparently, additional application of Si and Zn fertilizers had no significant effect on  
339 CH<sub>4</sub> and N<sub>2</sub>O fluxes, which was consistent with the result of Xie et al. (2015). Moreover, rice  
340 growth was found to be significantly increased under the ISSM-N3 and ISSM-N4 scenarios.  
341 In this case, the organic matter inputs such as root litter and rhizodeposits in the ISSM-N3 and  
342 ISSM-N4 scenarios were probably also higher than in the other plots, and thus soil C input,  
343 which served as an additional source of substrates for the methanogens in the rice paddies,  
344 likely contributed to the increase in CH<sub>4</sub> emissions (Ma et al., 2009). Finally, because the rice  
345 plants acted as the main pathway for CH<sub>4</sub> transports from the soil to the atmosphere, the  
346 higher biomass may have facilitated more CH<sub>4</sub> emissions (Yan et al., 2005).

347 Denitrification and nitrification are the main processes that produce N<sub>2</sub>O in the soil (Paul  
348 et al., 1993). The N<sub>2</sub>O emission patterns varied during the rice and wheat growing seasons  
349 which were partially associated with the anaerobic conditions prevailing in a rice paddy.  
350 Changes in the soil water content strongly influenced the soil N<sub>2</sub>O emissions and resulted in  
351 negligible N<sub>2</sub>O emissions when the rice field was flooded (Fig. 3), which is consistent with  
352 previous reports (Akiyama et al., 2005; Murdiyarso et al., 2010). When the soil water content  
353 was below saturation, N<sub>2</sub>O emissions increase with soil moisture; however, N<sub>2</sub>O emissions  
354 gradually decreased with the soil saturation condition (Rudaz et al., 1999). A relatively high  
355 N<sub>2</sub>O peak was observed in the first two weeks of the wheat-growing season (Fig. 3), possibly  
356 because soil changes from flooded to drained conditions may have enhanced N<sub>2</sub>O release  
357 (Deng et al., 2012). Alternation of drainage and flooding may induce large amounts of N<sub>2</sub>O  
358 emissions, particularly in fertilized systems; this has commonly been shown in earlier studies  
359 (Wang et al., 2012; Xiong et al., 2007; Zou et al., 2005). The seasonal and annual rates of N<sub>2</sub>O  
360 emissions were significantly affected by the cultivation practices and years (Table 3).  
361 Compared with the FP plot, the ISSM-N2 scenario significantly decreased the seasonal N<sub>2</sub>O  
362 emissions in this study, which may have resulted from a reduction in the N fertilizer rate  
363 (Table 1, Table 2). The total N<sub>2</sub>O emissions decreased by 7–38% and 26–42% in the rice and  
364 wheat seasons, respectively, when the conventional N management (300 kg N ha<sup>-1</sup> for rice

365 and 180 kg N ha<sup>-1</sup> per crop for wheat) changed to optimum N management (225–270 kg N  
366 ha<sup>-1</sup> for rice and 135–162 kg N ha<sup>-1</sup> per crop for wheat). It is likely that more N<sub>2</sub>O was  
367 emitted (Mosier et al., 2006) as a result of the additional N made available to the soil  
368 microbes through N fertilizer application, which also probably contributed increased CH<sub>4</sub>  
369 emissions (Banger et al., 2013). Strategies that can reduce N fertilization rates without  
370 influencing crop yields can inevitably lower GHG emissions (Mosier et al., 2006). Nitrogen  
371 leaching and volatilization are the important components of reactive N releases but not  
372 included in the current GHG budget.

#### 373 4.3 GWP and GHGI as affected by ISSM strategies

374 The GWP in our study (10871–22711 kg CO<sub>2</sub> eq. ha<sup>-1</sup>) with the ISSM strategies was higher  
375 than that in a double-cropping cereal rotation (1346–4684 kg CO<sub>2</sub> eq. ha<sup>-1</sup>) and a rice-wheat  
376 annual rotation (290–4580 kg CO<sub>2</sub> eq. ha<sup>-1</sup>) reported by Huang et al. (2013b) and Yang et al.  
377 (2015), respectively. Dominant CH<sub>4</sub> emissions as well as additional CO<sub>2</sub> emissions due to the  
378 use of machinery/equipment for irrigation and farm operations under the ISSM strategies may  
379 increase the GWP more than in other cropping systems (emit more CO<sub>2</sub> equivalent emissions  
380 of 2439–5694 kg CO<sub>2</sub> eq. ha<sup>-1</sup> for agricultural management practices in the present study).  
381 However, the current GWP was comparable to that of a double-rice cropping system (13407–  
382 26066 kg CO<sub>2</sub> eq. ha<sup>-1</sup>) (Shang et al., 2011). The GHGIs, which ranged from 0.71 to 1.25 kg  
383 CO<sub>2</sub> eq. kg<sup>-1</sup> grain in this study, were slightly higher than previous estimates of 0.24–0.74 kg  
384 CO<sub>2</sub> eq. kg<sup>-1</sup> grain from rice paddies with midseason drainage and organic manure  
385 incorporation (Qin et al., 2010; Li et al., 2006) but were lower than the DNDC model  
386 estimates for continuous waterlogged paddies (3.22 kg CO<sub>2</sub> eq. kg<sup>-1</sup> grain) (Li et al., 2006).  
387 Differences in GWP or GHGI were found in the cultivation patterns over the three rice-wheat  
388 rotations (Table 5). The ISSM-N1 and ISSM-N2 scenarios with optimized ISSM strategies led  
389 to a lower GWP than the FP by a certain extent, but there were not significant differences  
390 among the FP, ISSM-N1 and ISSM-N2 plots (Table 5). Compared with the FP, the ISSM-N1  
391 and ISSM-N2 scenarios significantly reduced the GHGI, which was mainly due to higher  
392 yields. In spite of the similar GWP compared with the FP plot, the lowest GHGI (0.71 kg CO<sub>2</sub>  
393 eq. kg<sup>-1</sup> grain) was obtained under the ISSM-N2 scenario. This finding is consistent with the  
394 suggestion made by Burney et al. (2010), i.e., that the net effect of higher yields offsets

395 emissions. It is well known that CH<sub>4</sub> emissions dominate the GWP in rice paddies (Ma et al.,  
396 2013; Shang et al., 2011). In comparison to the GWP (12371 kg CO<sub>2</sub> eq. ha<sup>-1</sup>yr<sup>-1</sup>) and GHGI  
397 (0.87 kg CO<sub>2</sub> eq. kg<sup>-1</sup> grain) of the FP, the ISSM-N3 and ISSM-N4 scenarios increased both  
398 the GWP and GHGI, mainly because these scenarios notably increased the CH<sub>4</sub> emissions  
399 compared with the FP, which resulted in relatively higher GWP (Table 5).

400 Agricultural management practices that change one type of GWP source/sink may also  
401 impact other sources/sinks and therefore change the GWP and GHGI (Mosier et al., 2006;  
402 Shang et al., 2011). Although the N-fertilizer plots, especially those with the incorporation of  
403 organic fertilizer, increased the annual CH<sub>4</sub> and N<sub>2</sub>O emissions, they increased the SOC  
404 sequestration in this cropping system, which is agreement with previous reports (Huang and  
405 Sun, 2006). This may be due to the incorporation of rapeseed cake and enhanced  
406 below-ground crop residue associated with higher crop productivity (Ma et al., 2013). In the  
407 present study, the ISSM-N2 scenario with ISSM strategies decreased the CH<sub>4</sub> and N<sub>2</sub>O  
408 emissions as well as the energy consumption related to irrigation and the manufacture and  
409 transport of N fertilizer (depending on coal combustion), ultimately leading to a decrease in  
410 the GWP relative to the FP plot. Moreover, despite the lower N fertilizer input, the grain yield  
411 did not decline and the GHGI of the ISSM-N2 scenario was thus lower than of the FP plot,  
412 indicating less consumption of CO<sub>2</sub> equivalents per unit of grain produced. We demonstrate  
413 that high yield and agronomic NUE, together with low GWP, are not conflicting goals by  
414 optimizing ISSM strategies.

#### 415 4.4 Main components of GWP and GHGI and implementation significance for the ISSM 416 strategies

417 Determining the main components of the GWP and GHGI in specific cropping systems is  
418 very important for mitigating GHG emissions in the future, because the benefits of C  
419 sequestration would be negated by CH<sub>4</sub> and N<sub>2</sub>O emissions and the CO<sub>2</sub> equivalents released  
420 with the use of high N fertilizer application rates (Schlesinger, 2010). In the current study, the  
421 five main components of the CO<sub>2</sub> equivalents for the GWP were ranked in decreasing order of  
422 importance as follows: CH<sub>4</sub> emissions > agrochemical inputs of N fertilizer > farm operations  
423 related to irrigation > SOC sequestration > N<sub>2</sub>O emissions (Table 5). Of the two crops, CH<sub>4</sub>  
424 and irrigation were important for rice, but less important for wheat, in which N<sub>2</sub>O losses were

425 expected to have a higher weight (Supplementary resource 2). Methane emissions, the most  
426 important component of GWP in this typical rice-wheat rotation system, could be further  
427 mitigated by some other strategies, such as reasonable irrigation (Zou et al., 2005; Wang et al.,  
428 2012).

429 Although N fertilizer application increased SOC sequestration when it was applied  
430 with rapeseed cake manure, this benefit was consistently overshadowed, on a CO<sub>2</sub> equivalent  
431 basis, by the increases in CH<sub>4</sub> and N<sub>2</sub>O emissions (Table 5). Similar results have been  
432 reported, i.e., GHG emissions substantially offset SOC increases (Six et al., 2004). It is  
433 possible that the realization of reducing the GWP and GHGI in China should focus on  
434 increasing the SOC and simultaneously decreasing the CO<sub>2</sub> equivalents from CH<sub>4</sub> emissions  
435 and N fertilizer inputs. Several studies reported possible methods for these types of mitigation  
436 strategies, such as optimizing the chemical fertilizer application amount and rate (Ju et al.,  
437 2011), the amount of water used for irrigation (Gao et al., 2015), and the timing and rate of N  
438 using the in-season N management approach, as well as improving the N fertilizer  
439 manufacturing technologies (Zhang et al., 2013), and using nitrification inhibitors or  
440 polymer-coated controlled-release fertilizers (Hu et al., 2013).

441 China is a rapidly developing country that faces the dual challenge of substantially  
442 increasing grain yields and at the same time reducing the substantial environmental impact of  
443 intensive agriculture (Chen et al., 2011). We used the ISSM strategies to develop a rice  
444 production system that achieved mean yields of 10.63 t ha<sup>-1</sup> (an increment of almost 24%)  
445 and an agronomic NUE of 13.20 kg grain kg N<sup>-1</sup> (an increment of 43%) in long-term field  
446 experiments compared with current farmers' practices. The ISSM redesigned the whole  
447 production system only for the rice crop based on the local environment and drawing on  
448 appropriate fertilizer varieties and application ratios, crop densities and an advanced water  
449 regime management. If the ISSM strategies were also developed for the rotated wheat crop,  
450 the overall performance of the whole rice-wheat system would be much improved, with  
451 further increases in yield and reductions in the GWP and GHGI. We conclude that the ISSM  
452 strategies are promising, particularly the ISSM-N2 scenario, which is the most favorable to  
453 realize higher yields with lower environmental impact. The proposed ISSM strategies can  
454 provide substantial benefits to intensive agricultural systems and can be applied feasibly using



455 current technologies.

## 456 **5 Conclusions**

457 Reasonable agricultural management practices are the key to reducing GHG emissions from  
458 agricultural ecosystems. This study provided an insight into the complete GHG emission  
459 accounting of the GWP and GHGI affected by different ISSM scenarios. After a three-year  
460 field experiment, we found that the CH<sub>4</sub> emissions, production of N fertilizer, irrigation, SOC  
461 sequestration and N<sub>2</sub>O fluxes were the main components of the GWP in a typical rice-wheat  
462 rotation system. In contrast with the FP, ISSM-N1 and ISSM-N2 significantly reduced the  
463 GHGI, though they resulted in similar GWPs, and ISSM-N3 and ISSM-N4 remarkably  
464 increased the GWP and GHGI. By adopting the ISSM-N2 strategy, the conventional N  
465 application rate was reduced by 10% while the rice yield was significantly increased by 16%,  
466 the NUE was improved by 67% and the GHGI was lowered by 23%. ISSM scenarios could be  
467 adopted for both food security and environmental protection with specific targets. We propose  
468 that the ISSM-N2 scenario is the most appropriate management strategy (10% reduction of N  
469 input, no rapeseed manure and higher plant density) for realizing higher yields and NUE,  
470 together with some potential to reduce GHGI by integrated soil-crop management. For  
471 simultaneously mitigating GHG emissions, further research on integrated soil-crop system  
472 managements is required particularly for mitigating CH<sub>4</sub> emissions in sustainable rice  
473 agriculture.

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**Table 1**

The establishment of different treatments for the annual rice-wheat rotations during the 2011–2014 cycle.

| Scenario   | NN <sup>a</sup>      | FP             | ISSM-N1        | ISSM-N2        | ISSM-N3            | ISSM-N4            |
|--|----------------------|----------------|----------------|----------------|--------------------|--------------------|
| Rice-growing season  |                      |                |                |                |                    |                    |
| Chemical fertilizer application rate<br>(N:P <sub>2</sub> O <sub>5</sub> :K <sub>2</sub> O:Na <sub>2</sub> SiO <sub>3</sub> :ZnSO <sub>4</sub> , kg ha <sup>-1</sup> ) | 0:90:120:0:0         | 300:90:120:0:0 | 225:90:120:0:0 | 270:90:120:0:0 | 300:108:144:225:15 | 375:126:180:225:15 |
| Split N application ratio  |                      | 6:2:0:2        | 5:1:2:2        | 5:1:2:2        | 5:1:2:2            | 5:1:2:2            |
| Rapeseed cake manure (t ha <sup>-1</sup> )   | 0                    | 0              | 0              | 0              | 2.25 <sup>c</sup>  | 2.25               |
| Water regime   | F-D-F-M <sup>b</sup> | F-D-F-M        | F-D-F-M        | F-D-F-M        | F-D-F-M            | F-D-F-M            |
| Planting density (cm)  | 20×20                | 20×20          | 20×15          | 20×15          | 20×15              | 20×20              |
| Wheat-growing season   |                      |                |                |                |                    |                    |
| Chemical fertilizer application rate<br>(N:P <sub>2</sub> O <sub>5</sub> :K <sub>2</sub> O, kg ha <sup>-1</sup> )  | 0:90:180             | 180:90:180     | 135:90:180     | 162:90:180     | 180:108:216        | 225:126:270        |
| Split N application ratio  |                      | 6:1:3          | 6:1:3          | 6:1:3          | 6:1:3              | 6:1:3              |
| Seed sowing density (kg ha <sup>-1</sup> )   | 180                  | 180            | 180            | 180            | 180                | 180                |

<sup>a</sup>NN, no N application; FP, farmers' practice; The four integrated soil-crop system management (ISSM) practices at different nitrogen application rates relative to the FP rate of 300 kg N ha<sup>-1</sup> for the rice crop and 180 kg N ha<sup>-1</sup> for the wheat crop, namely, ISSM-N1 (25% reduction), ISSM-N2 (10% reduction), ISSM-N3 (FP rate) and ISSM-N4 (25% increase). Urea, calcium biphosphate and potassium chloride were used as N, P and K fertilizer respectively.

<sup>b</sup>F-D-F-M, flooding-midseason drainage-re-flooding-moist irrigation.

<sup>c</sup>112.5 kg N ha<sup>-1</sup> in the form of rapeseed cake was applied as basal fertilizer and included in the total N rate for calculating agronomic NUE.

**Table 2**

Seasonal CH<sub>4</sub> and N<sub>2</sub>O emissions, and yields during rice and wheat cropping seasons in the three cycles of 2011–2014.

| Treatment                      | Rice season                                 |  |                                | Wheat season                                |  |                                |
|--------------------------------|---|--|--------------------------------|---|--|--------------------------------|
|                                | CH <sub>4</sub><br>(kg C ha <sup>-1</sup> ) | N <sub>2</sub> O<br>(kg N ha <sup>-1</sup> ) | Yield<br>(t ha <sup>-1</sup> ) | CH <sub>4</sub><br>(kg C ha <sup>-1</sup> ) | N <sub>2</sub> O<br>(kg N ha <sup>-1</sup> ) | Yield<br>(t ha <sup>-1</sup> ) |
| 2011                           |   |  |                                |   |  |                                |
| NN                             | 153±10.8c                                   | 0.03±0.05c                                   | 5.85±0.08f                     | -0.48±0.63a                                 | 0.45±0.09d                                   | 1.74±0.18d                     |
| FP                             | 266±25.3b                                   | 0.11±0.08c                                   | 8.38±0.35e                     | -0.48±1.86a                                 | 1.43±0.19b                                   | 5.67±0.20b                     |
| ISSM-N1                        | 212±30.3bc                                  | 0.08±0.03c                                   | 9.27±0.26d                     | 0.78±0.97a                                  | 0.65±0.11cd                                  | 5.05±0.16c                     |
| ISSM-N2                        | 220±32.5bc                                  | 0.17±0.11bc                                  | 9.79±0.44c                     | 2.25±2.07a                                  | 0.80±0.06c                                   | 5.71±0.18b                     |
| ISSM-N3                        | 518±58.9a                                   | 0.38±0.15ab                                  | 10.81±0.26b                    | 0.04±3.23a                                  | 1.40±0.10b                                   | 5.31±0.26bc                    |
| ISSM-N4                        | 561±50.9a                                   | 0.37±0.07a                                   | 11.76±0.24a                    | -0.09±1.40a                                 | 1.93±0.09a                                   | 6.15±0.15a                     |
| 2012                           |   |  |                                |   |  |                                |
| NN                             | 149±25.8d                                   | 0.13±0.10c                                   | 5.80±0.22f                     | -4.32±7.29a                                 | 0.65±0.09d                                   | 1.73±0.11c                     |
| FP                             | 239±34.5c                                   | 0.33±0.11bc                                  | 8.72±0.62e                     | 4.85±10.30a                                 | 2.13±0.43ab                                  | 5.64±0.34ab                    |
| ISSM-N1                        | 226±30.4cd                                  | 0.27±0.07bc                                  | 9.43±0.34d                     | 1.46±6.38a                                  | 1.39±0.14c                                   | 4.94±0.38b                     |
| ISSM-N2                        | 228±32.6cd                                  | 0.38±0.29bc                                  | 9.99±0.50c                     | -1.02±0.84a                                 | 1.77±0.38bc                                  | 5.78±0.59ab                    |
| ISSM-N3                        | 431±26.8b                                   | 0.52±0.16ab                                  | 10.92±0.61b                    | 2.45±8.35a                                  | 2.19±0.24ab                                  | 5.39±0.39ab                    |
| ISSM-N4                        | 536±58.7a                                   | 0.78±0.13a                                   | 12.24±0.60a                    | 5.91±6.18a                                  | 2.61±0.42a                                   | 6.10±0.49a                     |
| 2013                           |   |  |                                |   |  |                                |
| NN                             | 101±39.2b                                   | 0.16±0.09b                                   | 5.84±0.15f                     | -1.45±1.34a                                 | 0.35±0.06c                                   | 1.80±0.03c                     |
| FP                             | 141±25.2b                                   | 0.43±0.39ab                                  | 8.67±0.26e                     | -3.70±1.76a                                 | 0.80±0.20ab                                  | 5.70±0.30ab                    |
| ISSM-N1                        | 135±15.7b                                   | 0.19±0.16ab                                  | 9.66±0.29d                     | -1.00±1.61a                                 | 0.49±0.16bc                                  | 5.15±0.20b                     |
| ISSM-N2                        | 129±32.2b                                   | 0.26±0.13ab                                  | 10.15±0.07c                    | -0.79±1.60a                                 | 0.69±0.24abc                                 | 5.80±0.18ab                    |
| ISSM-N3                        | 256±45.6a                                   | 0.59±0.42ab                                  | 11.14±0.10b                    | -0.62±1.14a                                 | 0.71±0.10ab                                  | 5.51±0.33ab                    |
| ISSM-N4                        | 304±22.3a                                   | 0.74±0.40a                                   | 12.34±0.16a                    | 0.55±1.68a                                  | 1.02±0.11a                                   | 6.19±0.63a                     |
| Average 2011–2013 <sup>a</sup> |   |  |                                |   |  |                                |
| NN <sup>b</sup>                | 135±19.6d                                   | 0.11±0.05c                                   | 5.83±0.04f                     | -2.08±1.89a                                 | 0.48±0.07d                                   | 1.75±0.04d                     |
| FP <sup>b</sup>                | 215±19.9c                                   | 0.29±0.13bc                                  | 8.59±0.25e                     | 0.22±3.96a                                  | 1.45±0.24b                                   | 5.67±0.16b                     |
| ISSM-N1 <sup>b</sup>           | 191±19.2c                                   | 0.18±0.06c                                   | 9.45±0.18d                     | 0.42±2.77a                                  | 0.84±0.08c                                   | 5.04±0.08c                     |
| ISSM-N2 <sup>b</sup>           | 192±11.6c                                   | 0.27±0.12bc                                  | 9.98±0.25c                     | 0.15±0.58a                                  | 1.08±0.12c                                   | 5.76±0.22ab                    |
| ISSM-N3 <sup>b</sup>           | 402±23.8b                                   | 0.50±0.16ab                                  | 10.95±0.13b                    | 0.63±3.51a                                  | 1.43±0.05b                                   | 5.40±0.16bc                    |
| ISSM-N4 <sup>b</sup>           | 467±39.2a                                   | 0.68±0.15a                                   | 12.11±0.28a                    | 2.12±2.57a                                  | 1.85±0.16a                                   | 6.14±0.35a                     |

<sup>a</sup>Mean ± SD, different lower case letters within the same column for each item indicate significant differences at  $P < 0.05$  according to Tukey's multiple range test.

<sup>b</sup>See Table 1 for treatment codes.

**Table 3**

Repeated-measures analysis of variance (MANOVA) for the effects of cultivation patterns (P) and cropping year (Y) on mean CH<sub>4</sub> and N<sub>2</sub>O emissions, and mean rice and wheat grain yields in the 2011–2014 cycle.

| Crop season | Source           | <i>df</i> | CH <sub>4</sub><br>(kg C ha <sup>-1</sup> ) | N <sub>2</sub> O<br>(kg N ha <sup>-1</sup> ) | Yield<br>(t ha <sup>-1</sup> ) |
|-------------|------------------|-----------|---|--|--------------------------------|
| Rice        | Between subjects |           |   |  |                                |
|             | P                | 5         | 35.3***                                     | 3.71***                                      | 123***                         |
|             | Within subjects  |           |   |  |                                |
|             | Y                | 2         | 20.7***                                     | 0.88**                                       | 1.15**                         |
|             | P×Y              | 10        | 6.73***                                     | 0.15   | 0.37                           |
| Wheat       | Between subjects |           |   |  |                                |
|             | P                | 5         | 0.26  | 14.8***                                      | 76.3***                        |
|             | Within subjects  |           |   |  |                                |
|             | Y                | 2         | 0.55*                                       | 15.1***                                      | 0.08                           |
|             | P×Y              | 10        | 0.83  | 4.39***                                      | 0.05                           |
| Rice-Wheat  | Between subjects |           |   |  |                                |
|             | P                | 5         | 37.2***                                     | 24.2***                                      | 153***                         |
|             | Within subjects  |           |   |  |                                |
|             | Y                | 2         | 20.5***                                     | 5.83***                                      | 0.70*                          |
|             | P×Y              | 10        | 6.50***                                     | 1.11   | 0.17                           |

*df* – degrees of freedom, \*  $P < 0.05$ , \*\*  $P < 0.01$ , and \*\*\* $P < 0.001$  represent significant at the 0.05, 0.01 and 0.001 probability level, respectively.



**Table 4**

Agricultural management practices for chemical inputs and farm operations and contributions to carbon dioxide equivalents (kg CO<sub>2</sub> eq. ha<sup>-1</sup>yr<sup>-1</sup>) in the annual rice-wheat rotations from 2011 to 2014 (chemical inputs and farm operations used in each year were similar except for irrigation water).

| Treatment       | Chemical inputs (kg ha <sup>-1</sup> ) <sup>a</sup>                          |     |     |     |    |           |             |           | Farm operations <sup>c</sup>   |      |      |   |                          |                                       |   |                                       |
|-----------------|--|-----|-----|-----|----|-----------|-------------|-----------|--|------|------|---|--------------------------|---------------------------------------|---|---------------------------------------|
|                 | N  | P   | K   | Si  | Zn | Herbicide | Insecticide | Fungicide | Irrigation (cm) <sup>b</sup>   |      |      | Tillage and raking<br>(kg diesel ha <sup>-1</sup> ) | Crop planting<br>(event) | Farm manure<br>(kg ha <sup>-1</sup> ) | Crop harvest<br>(kg diesel ha <sup>-1</sup> ) | Threshing<br>(kw•h ha <sup>-1</sup> ) |
|                 |  |     |     |     |    |           |             |           | 2011   | 2012 | 2013 |   |                          |                                       |   |                                       |
| NN <sup>d</sup> | 0  | 180 | 300 | 0   | 0  | 2         | 18          | 4         | 75   | 80   | 80   | 37  | 2                        | 0                                     | 11  | 135                                   |
| FP              | 480  | 180 | 300 | 0   | 0  | 2         | 20          | 4.4       | 75   | 80   | 80   | 37  | 2                        | 0                                     | 11  | 147                                   |
| ISSM-N1         | 360  | 180 | 300 | 0   | 0  | 2         | 20          | 4.4       | 50   | 65   | 55   | 37  | 2                        | 0                                     | 11  | 139                                   |
| ISSM-N2         | 432  | 180 | 300 | 0   | 0  | 2         | 20          | 4.4       | 50   | 65   | 55   | 37  | 2                        | 0                                     | 11  | 177                                   |
| ISSM-N3         | 480  | 216 | 360 | 225 | 15 | 2         | 27          | 6         | 50   | 65   | 55   | 37  | 2                        | 2250                                  | 11  | 177                                   |
| ISSM-N4         | 600  | 252 | 450 | 225 | 15 | 2         | 41          | 9         | 50   | 65   | 55   | 37  | 2                        | 2250                                  | 11  | 275                                   |
|                 | Chemical inputs (E <sub>i</sub> ) (kg CO <sub>2</sub> eq. ha <sup>-1</sup> ) |     |     |     |    |           |             |           | Farm operations (E <sub>o</sub> ) (kg CO <sub>2</sub> eq. ha <sup>-1</sup> ) |      |      |   |                          |                                       |   |                                       |
| NN              | 0  | 132 | 165 | 0   | 0  | 46        | 338         | 53        | 1419   | 1514 | 1514 | 127   | 23                       | 0                                     | 37  | 36                                    |
| FP              | 2288   | 132 | 165 | 0   | 0  | 46        | 375         | 59        | 1419   | 1514 | 1514 | 127   | 23                       | 0                                     | 37  | 39                                    |
| ISSM-N1         | 1716   | 132 | 165 | 0   | 0  | 46        | 375         | 59        | 946  | 1230 | 1041 | 127   | 23                       | 0                                     | 37  | 37                                    |
| ISSM-N2         | 2059   | 132 | 165 | 0   | 0  | 46        | 375         | 59        | 946  | 1230 | 1041 | 127   | 23                       | 0                                     | 37  | 47                                    |
| ISSM-N3         | 2288   | 158 | 198 | 58  | 6  | 46        | 506         | 79        | 946  | 1230 | 1041 | 127   | 23                       | 62                                    | 37  | 47                                    |
| ISSM-N4         | 2860   | 185 | 248 | 58  | 6  | 46        | 768         | 129       | 946  | 1230 | 1041 | 127   | 23                       | 62                                    | 37  | 73                                    |

<sup>a</sup>The carbon emission coefficients were 1.3, 0.2, 0.15, 6.3, 5.1 and 3.9 C cost (kg C eq. kg<sup>-1</sup> active ingredient) per applied nitrogen fertilizer, phosphorus, potassium, herbicide, insecticide and fungicide, respectively, as referred to in Lal (2004). We collected data specific to China's fertilizer manufacture and consumption, and then estimated carbon emissions coefficients were 0.07 and 0.1 C cost (kg C eq. kg<sup>-1</sup> active ingredient) per applied Si and Zn fertilizer, respectively.

<sup>b</sup>The carbon emission coefficient for irrigation was 5.16 C cost (kg C eq. cm<sup>-1</sup> ha<sup>-1</sup>) as referred to in Lal (2004).

<sup>c</sup>The carbon emission coefficients were 0.94 C cost (kg C eq. kg<sup>-1</sup> diesel) for tillage, raking and harvesting, 3.2 C cost (kg C eq. event<sup>-1</sup>) for crop planting, 0.0075 C cost (kg C eq. kg<sup>-1</sup>) for farm manure application and 0.0725 C cost (kg C eq. (kw•h)<sup>-1</sup>) for threshing, as referred to in Lal (2004).

<sup>d</sup>See Table 1 for treatment codes.

**Table 5**

Mean global warming potential (GWP) and greenhouse gas intensity (GHGI) over the three rice season, wheat season and annual cycles of the 2011rice season–2014wheat season.

| Treatment           | CH <sub>4</sub>  | N <sub>2</sub> O | Ei   | Eo   | SOCSR      | GWP <sup>a</sup>                    | Grain yield | GHGI <sup>b</sup>                            |
|---------------------|--|------------------|------|------|------------|-------------------------------------|-------------|--|
|                     | kg CO <sub>2</sub> eq. ha <sup>-1</sup> yr <sup>-1</sup> |                  |      |      |            | t ha <sup>-1</sup> yr <sup>-1</sup> |             | kg CO <sub>2</sub> eq. t <sup>-1</sup> grain |
| Rice season         |  |                  |      |      |            |                                     |             |  |
| NN                  | 5026±733d  | 44±20c           | 424  | 1601 | -396±164c  | 7492±706d                           | 5.83±0.04f  | 1285±123b                                    |
| FP                  | 8035±742c  | 121±53bc         | 1859 | 1603 | 585±198ab  | 11032±555c                          | 8.59±0.25e  | 1285±68b                                     |
| ISSM-N1             | 7132±716c  | 75±24c           | 1502 | 1191 | 246±218b   | 9654±800c                           | 9.45±0.18d  | 1021±81c                                     |
| ISSM-N2             | 7186±434c  | 112±49bc         | 1716 | 1198 | 355±97ab   | 9858±484c                           | 9.98±0.25c  | 989±67c                                      |
| ISSM-N3             | 15005±888b   | 208±66ab         | 2037 | 1260 | 691±252a   | 17818±786b                          | 10.95±0.13b | 1626±54a                                     |
| ISSM-N4             | 17427±1463a  | 284±60a          | 2626 | 1280 | 773±174a   | 20844±1452a                         | 12.11±0.28a | 1720±108a                                    |
| Wheat season        |  |                  |      |      |            |                                     |             |  |
| NN                  | -78±71a  | 201±28d          | 310  | 104  | -396±164c  | 934±214b                            | 1.75±0.04d  | 533±125a                                     |
| FP                  | 8±148a   | 605±99b          | 1206 | 105  | 585±198ab  | 1339±129b                           | 5.67±0.16b  | 236±21b                                      |
| ISSM-N1             | 16±103a  | 351±32c          | 991  | 105  | 246±218b   | 1217±342b                           | 5.04±0.08c  | 241±68b                                      |
| ISSM-N2             | 6±22a  | 451±49c          | 1120 | 108  | 355±97ab   | 1329±109b                           | 5.76±0.22ab | 231±26b                                      |
| ISSM-N3             | 23±131a  | 598±20b          | 1302 | 108  | 691±252a   | 1340±290b                           | 5.40±0.16bc | 247±48b                                      |
| ISSM-N4             | 79±96a   | 772±66a          | 1674 | 114  | 773±174a   | 1867±175a                           | 6.14±0.35a  | 305±33b                                      |
| Rice-wheat rotation |  |                  |      |      |            |                                     |             |  |
| NN <sup>d</sup>     | 4948±704d <sup>c</sup>                                   | 246±26d          | 734  | 1705 | -792±327c  | 8425±711d                           | 7.58±0.04d  | 1111±94b                                     |
| FP                  | 8043±858c  | 725±49b          | 3065 | 1708 | 1170±396ab | 12371±583c                          | 14.26±0.36c | 868±29c                                      |
| ISSM-N1             | 7141±709c  | 426±55c          | 2493 | 1296 | 491±435b   | 10871±990c                          | 14.50±0.14c | 750±68d                                      |
| ISSM-N2             | 7192±424c  | 563±86c          | 2836 | 1306 | 709±193ab  | 11187±552c                          | 15.74±0.44b | 712±52d                                      |
| ISSM-N3             | 15028±833b   | 806±77b          | 3339 | 1368 | 1383±503a  | 19158±761b                          | 16.36±0.18b | 1171±37ab                                    |
| ISSM-N4             | 17506±1396a  | 1056±58a         | 4300 | 1394 | 1545±348a  | 22711±1438a                         | 18.26±0.46a | 1245±93a                                     |

<sup>a</sup>GWP (kg CO<sub>2</sub> eq. ha<sup>-1</sup>yr<sup>-1</sup>) = 28 × CH<sub>4</sub> + 265 × N<sub>2</sub>O + Ei + Eo - 44/12 × SOCSR, Ei (agrochemical inputs), Eo (farm operations), SOCSR (SOC sequestration rate) is divided by 2 to roughly estimate the GWP from rice and wheat season, respectively. All other items were actually measured for each season.

<sup>b</sup>GHGI (kg CO<sub>2</sub> eq. t<sup>-1</sup> grain) = GWP/grain yields

<sup>c</sup>Different lower case letters within the same column for each item indicate significant differences at *P*<0.05 based on Tukey's multiple range tests.

<sup>d</sup>See Table 1 for treatment codes.

**Fig 1** Rice and wheat agronomic nitrogen use efficiency (NUE) in 2011–2014 in Changshu, China.

Different letters indicate a significant difference between treatments ( $p < 0.05$ ). See Table 1 for treatment codes.

**Fig 2** Seasonal variation of methane ( $\text{CH}_4$ ) fluxes from the rice-wheat rotation cropping systems from

2011 to 2014. The black and gray part in figure separates different grain growth periods. See Table 1 for treatment codes. The solid arrows indicate fertilization.

**Fig 3** Seasonal variation of nitrous oxide ( $\text{N}_2\text{O}$ ) fluxes from rice-wheat rotation cropping systems in three annual cycles over the period 2011–2014. The black and gray part in the figure separates different growth periods. See Table 1 for treatment codes. The solid arrows indicate fertilization.

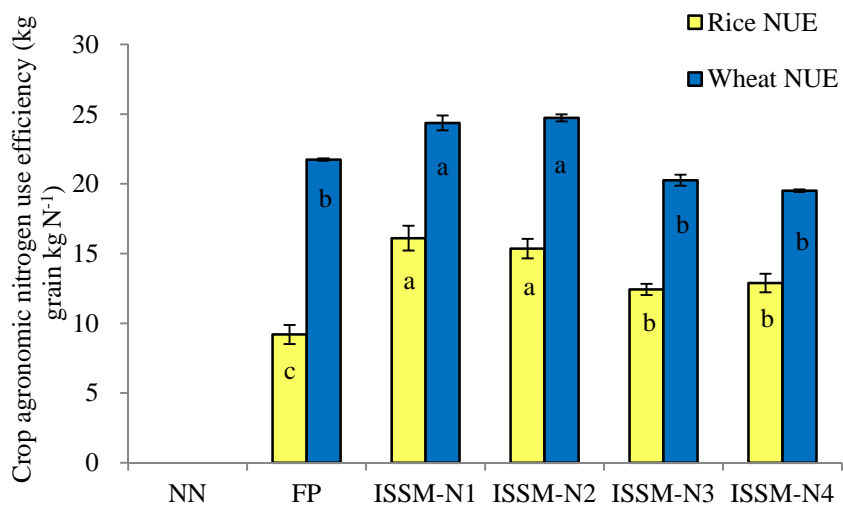


Fig. 1

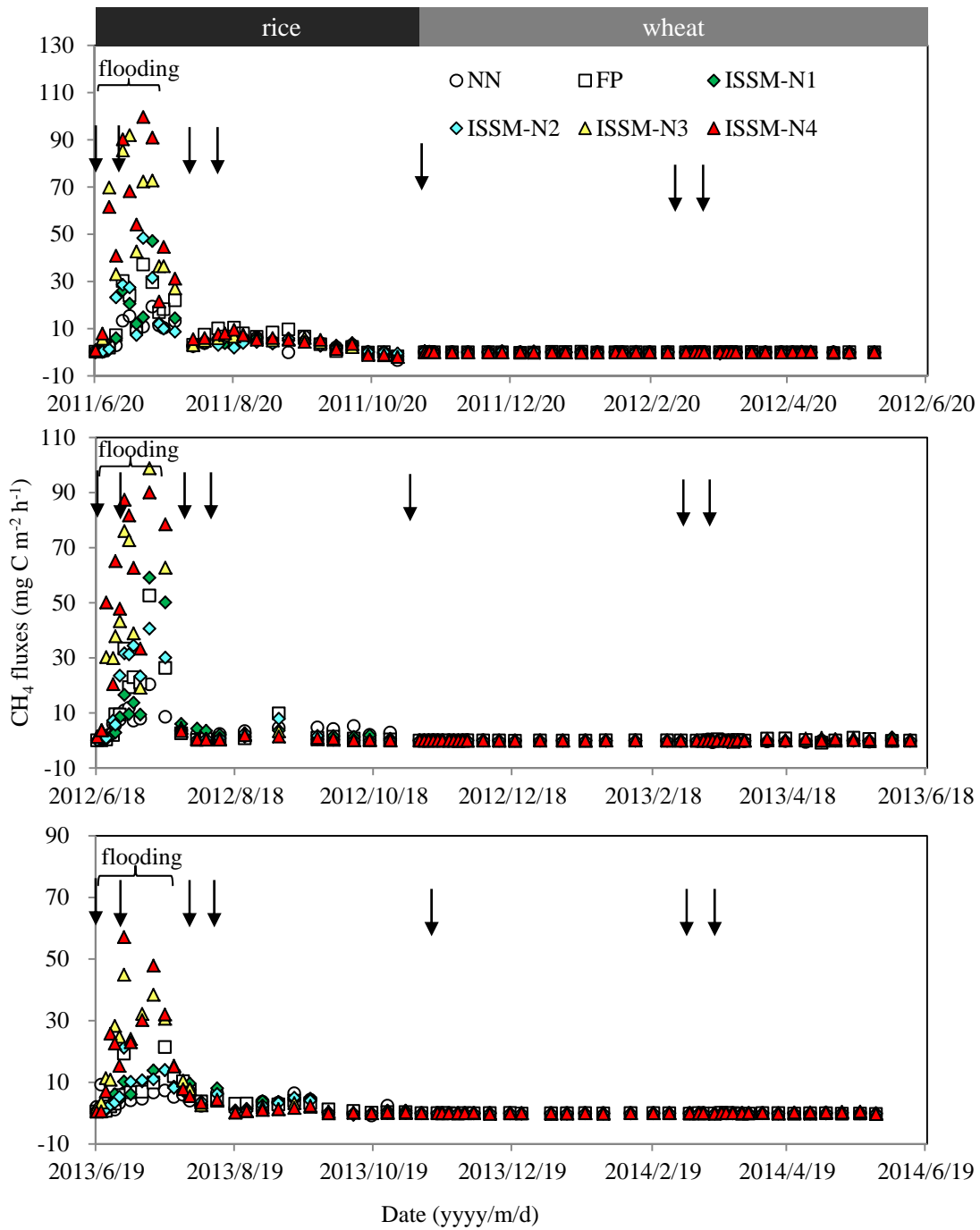


Fig. 2

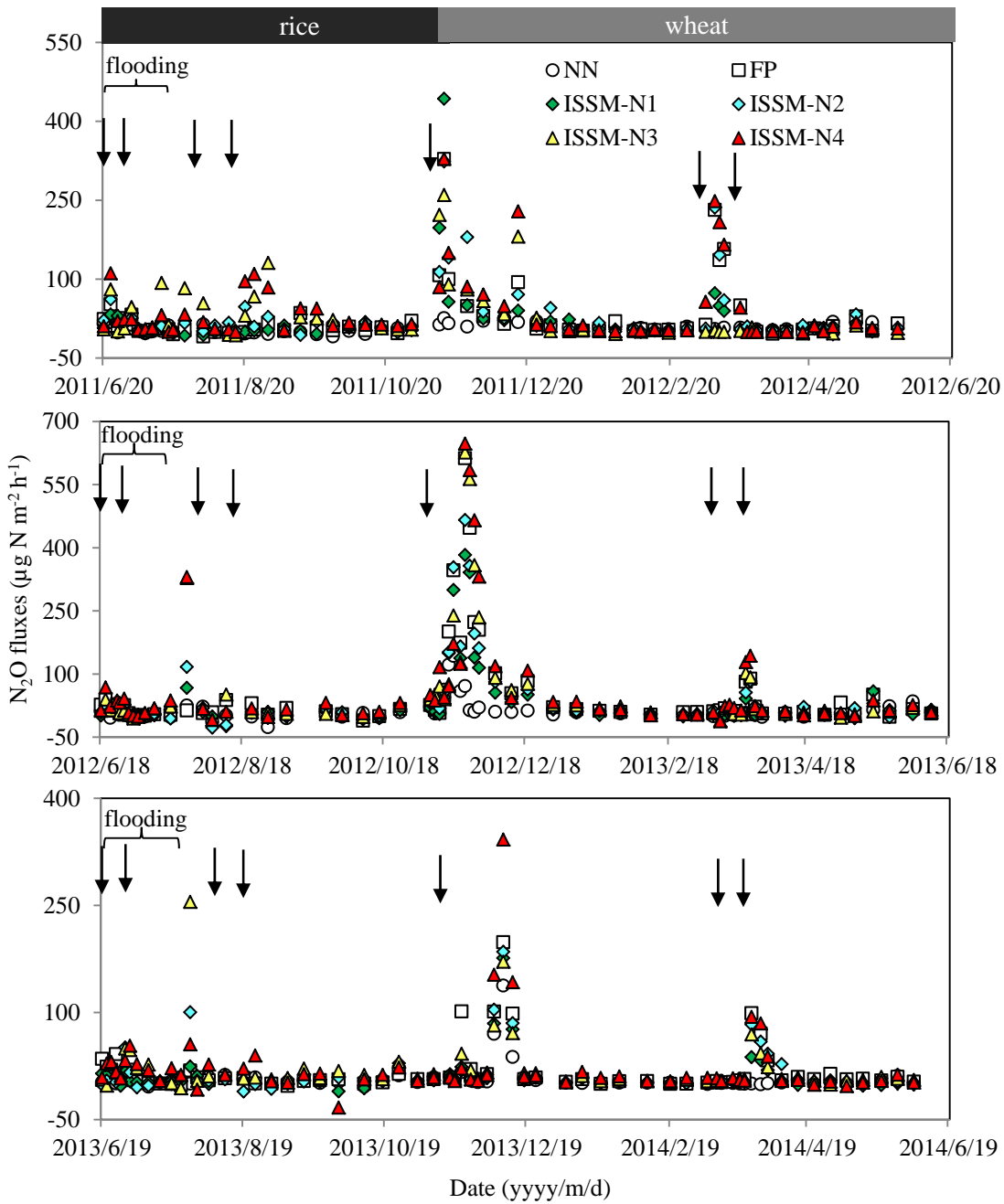


Fig. 3