

We are grateful to the Associate Editor for the quick handling of the revision process. This document contains our initial responses to the referees' comments as (> response...), as well as our final responses as (>> description of changes). Page and line references in the final responses refer to the new, revised manuscript, which is attached here in its marked-up version, and provided as a clean file separately.

Response to Anonymous Referee #1

> We are grateful to AR #1 for the constructive comments and detail below our suggested revisions to the manuscript.

Perhaps what is missing, is the answer to the question what of these outcomes can really be attributed to the specific models or whether it is just caused by a particular selection of parameter. In other words, how robust are these differences, given that the parameter space can be changed? I think a total sensitivity analysis is beyond the scope of this neat (!) paper. However, having worked with all the formulations the author will have a feel, what parameters in the different models are important. To demonstrate this in some way would further improve the paper. This could be done through a few key additional experiments in the best case, or through a conceptual walk through of the formulation in the discussion, and explain in a what-if scenario. Overall, I think what I am looking for is a subsection teasing out effects parameterization vs. model formulation on the model outcome.

> We agree that a sensitivity study would be very interesting, but a full analysis involving the internal feedbacks in the model would increase the manuscript length substantially. We further believe that for most schemes (FOR, AET, NPP, NDT), parameter changes would have straightforward consequences (both in unperturbed and eCO2 scenarios), because BNF scales more or less directly with the relevant parameter values. For the two other schemes (NDS, OPT) this is less obvious, but could be supplemented by some theoretical considerations (see "Parameter_sens..." plots). We will discuss the likely sensitivities based on such conceptual analysis, showing the relative importance of parameters. We will add a paragraph to the discussion of the revised manuscript which will summarize our thoughts on parameter sensitivity.

>> We have added the supplementary Figure B9, which conceptually shows the effect of variation in key parameters in the NDS and OPT models. We have revised the corresponding discussion paragraph to discuss the likely effects of parameter changes in all BNF schemes (p 18 | 5-12).

Further, in the discussion of the current map of BNF it might be interesting to discuss the need of BNF in NDT, NDS and OPT. For example, high losses, over which plants do not have control for, can increase BNF in these the formulations that include plant status or invoke the optimality approach. Hi uncontrollable losses (Thomas et al., 2015) would create N limitation and thus induce N fixation in these model formulations. Can hot spots of BNF for these formulations be explained by high "uncontrollable" losses in OCN?

> We have looked into this (see scatterplots) to check for the relationship between BNF and N loss in the current maps. There is an approximate trend of higher BNF with higher N losses, however, this is not fundamentally different between the FOR, AET, PRO and NDT, NDS, OPT model groups. The highest BNF values in NDT, NDS do occur when N losses are high, although high BNF can also happen in NDS when N losses are low. It is not clear that high BNF occurred because high N losses removed

N from the system and thus increased plant N demand. It might be that N demand was high to begin with, leading to high BNF input, thereby also increasing N losses because the entirety of added N was not assimilated by plants. Based on the lack of clear evidence of model differences in this aspect, we would be hesitant to add this issue to the current manuscript.

>> Although we did not find that hotspots of BNF coincide with high N losses in the N demand-based models, we added some discussion of this aspect to the corresponding paragraph (p 16 l 21 to p 17 l 7).

P19431 L16: Zaehle unpublished. Perhaps the authors can explain a bit more instead of adding this reference?

> We apologize for the confusion caused by the "unpublished" reference. The NDT approach was developed in 2010, but never described or applied in a published study. We suggest to simply remove the (S. Zaehle, unpublished, 2010) reference from the text. The Supplementary Information describes this approach in detail, however, we will add more information to the main text to make the link between N limitation and BNF clearer.

>> We removed the unpublished reference and added some clarification to address the comment by Joshua Fisher below. We have revised the NDT description paragraph to not describe this approach as our version of FUN (p 7 l 20 to p 8 l 4). We explain high tropical BNF in NDT primarily as a result of high temperatures, not "low BNF costs" (p 11 ll 19-20). We have changed the discussion paragraph about NDT and NDS (p 16 l 21 to p 17 l 7) to mostly avoid definite qualitative statements ("obvious", "evidently too sensitive").

P19432 L7: This sentence is long and awkward, and thus hard to understand. Can you rephrase?

> We propose changing "The plants' N requirement is determined by comparing the N potentially required to build new biomass from acquired C to the N available to the plant in its labile N reserve." to "From potential NPP, the amount of N required to support this growth is determined according to the tissue C:N ratios. This amount is then compared to the N available to the plant in its labile N reserve, giving the plants' additional N demand."

>> We changed the text accordingly (p 8 ll 9-12).

P19434 L7: Gradually increasing CO₂ concentration. This also occurs in A, doesn't it? Perhaps just state that the gradual increase in CO₂ is higher in B compared to A.

> We propose changing "gradually increasing" to "a larger increase in", and change P19434 L 8 (B) to (B; Fig. 2).

>> We changed the text accordingly (p 9 ll 28-30).

P19438 L 17: Although the author state "long-term" it would be helpful to say somewhere in this paragraph that the discussion here refers to simulations B and C.

> We propose to begin this paragraph with "When comparing simulations B and C, ...".

>> We changed the text accordingly (p 13 l 23).

Table 2: It would add some information, if the N budget could be closed in Table 2. I feel

the only budget terms would be N₂ and NO loss (the remainder of the gaseous losses from nitrification-denitrification), fire and inputs via fertilizer deposition and accumulation. The question of N budget is hinted already via N leaching losses, see authors' note on P19437 L6:10. But a more thorough discussion about the "openness" of the N cycle would be a helpful and important insight. For example, for 2000-2013, one can then see how much of the N is accumulated (since this is transient), vs. lost via the different loss pathways.

> We agree and propose to add the missing N loss pathways and N accumulation in the biosphere to Table 2, and to add some discussion on the varying N-cycle openness to the corresponding results paragraph (P19435 L 3-12).

>> We have revised Table 2 to now include the full N budget. We also added additional measures of "N cycle openness", namely N loss/mineralization and N loss/accumulation, and added some findings to the corresponding results section (p 12 II 16-26). Note that Table 2 now gives global values for the year 2000 (instead of 2000-2013 as before) to remove some effects on N accumulation of strongly variable land-use change beyond 2005. We have also corrected a mistake where part of crop BNF was added to the N fertilizer value for the global unperturbed state. This means that our model estimates of contemporary BNF are now slightly higher, and the corresponding mentions have been adjusted throughout the text and in Figure 4. Note that this does not qualitatively affect any of our findings or other results, including the eCO₂ responses.

P19439 L 3-6: I am not sure that NDT and NDS formulation would allow "excessive" fixation, given their formulation where BNF only occurs if it is really beneficial. Perhaps one could say that BNF may remove strong constraints and restore (?, not sure if it's the right word) pre-eCO₂ N levels of N limitation?

> We were led to call the magnitudes of BNF under eCO₂ in NDT and NDS "excessive", because of the very open N cycle under eCO₂, where large N inputs resulted in large N losses. Plants were apparently simulated to maintain "average" C:N ratios, rather than maximize growth responses. Therefore, the simulated plants did not incorporate the entirety of added N to support growth, even though this was the main motivation of the NDT and NDS formulations, and much of the added N was lost from the ecosystem. We propose to change "excessive" to "large", and to add some of this discussion to the corresponding discussion section (currently P19442 L 1-10).

>> We changed "excessive" to "larger" (p 14 I 10), and revised the discussion paragraph beginning on p 16 I 21.

P19441 L6: typo "dynamics"

> Thanks to AR #1 for spotting this, this will be corrected.

>> p 15 II 31.

P19448: L 21: I have trouble with the units $\text{NPP}_{\text{pot}} \cdot \text{f}_{\text{cost}} / \text{CN}_{\text{Leaf}}$ would be $[\text{gC y}^{-1} \cdot \text{gC gN}^{-1} / (\text{gC gN}^{-1}) = \text{gC y}^{-1}]$?. However shouldn't demand be in gNy^{-1} Table A1: I think this is a very valuable table. Would it also be possible to add the PFT specific parameter values?

> Our mistake here is that f_{cost} was erroneously given a unit in Table A1, when it actually is a dimensionless scaling factor that accounts for the allocation of N to tissues with different C:N ratios. The correction equation is: $D [\text{gN}] = \text{NPP}_{\text{pot}} [\text{gC}] \cdot$

fcost [1] / CN_Leaf [gCgN-1] - N_avail [gN]. We will correct this in Table A1. We will also add the PFT-specific parameters as a new Table in the Supplementary Information, to avoid further complication of Table A1.

>> We have fixed Table A1 and the description of fcost in the Appendix (p 23 ll 8-10). We have added a new Table A2 that specifies the PFT-specific parameters.

Response to Anonymous Referee #2

> We are grateful to AR #2 for the constructive comments and detail below our suggested revisions to the manuscript.

Consider exploring how interannual variability in NPP was sensitive to how N fixation was implemented. Did one approach show greater variability than another? This could help think about how the N fixation approach interacts with climate.

> Previous work with O-CN (Zaehle et al., 2010) has shown that the simulated interannual variability of the C cycle is in general not strongly affected by the simulated interannual variability of the N cycle. Because simulated BNF on global average only contributes roughly a tenth of annual N cycling, it is unlikely that internal variations in BNF will have strong influence on interannual C cycle variability, e.g in NPP, see Table 2. Therefore, we believe that adding this analysis would not substantially advance the understanding of the interaction of BNF and climate. It would require a set of new simulations with focus on climate, which we consider outside the scope of this study. (Zaehle, S., A. D. Friend, P. Friedlingstein, F. Dentener, P. Peylin, and M. Schulz (2010), Carbon and nitrogen cycle dynamics in the O-CN land surface model: 2. Role of the nitrogen cycle in the historical terrestrial carbon balance, *Global Biogeochemical Cycles*, 24(1).)

>> No changes were made in the revised manuscript.

It might be valuable to explore how the N fixation approach influenced the baseline (1860) patterns and magnitude of N limitation. Did one approach lead to a more N limited state? If N limitation is low in the baseline (1860) state of the model for all N fixation approaches, that could partially explain why the NPP and GPP is so similar between approaches (i.e., nitrogen doesn't matter much in the model). Other work with the O-CN has suggested that N limitation is small in the O-CN (Thomas et al. 2013 *Global Change Biology*)

> We agree that the initial BNF and N limitation states would be helpful to highlight. We propose to add global 1860 BNF and leaf C:N values (reflecting N limitation of vegetation) for the models to the corresponding discussion paragraph (P19443 L 27 - P19444 L 1-9), and further discuss here the possible impact on simulated NPP and GPP. We would like to add that "N limitation", i.e. the difference between a N enabled and N disabled simulation, is not necessarily low in O-CN (see Zaehle, 2013), even if the effect of N dynamics is generally smaller than simulated by the CLM 4.5 model, as used in Thomas et al. 2013. (Zaehle, S. (2013), Terrestrial nitrogen-carbon cycle interactions at the global scale, *Philosophical Transactions of the Royal Society B: Biological Sciences*, 368(1621), 20130125-20130125.

, Thomas, R. Q., S. Zaehle, P. H. Templer, and C. L. Goodale (2013), Global patterns of nitrogen limitation: confronting two global biogeochemical models with observations, *Global Change Biology*, 19(10), 2986-2998.)

>> We checked the average global leaf C:N ratios at the 1860 state as an indicator for N limitation, and they do not indicate strong N limitation for the initial state, with little variety between BNF approaches. This indeed further explains the small NPP variety in simulation S1. We added this aspect to the discussion (p 18 l 25 to p 19 l 9).

The color scale on figure 3 and 5 (especially the b panels) makes it hard to see key differences that are highlighted in the text. The greens and blues cover a large fraction of the scale but are difficult to differentiate.

> We have experimented with the colormap and suggest to invert the color scheme, so that 0 is red, and the crucial differences will be shown as variations between red and yellow/green, which will be easier to see than blue and green.

>> We have revised Figs. 3, 5, B1-4 to have a new colormap that should make the differences clearer.

I may have missed it but the text doesn't seem to define the NDS and NDS acronyms

> All model acronyms are defined in section 2.2, see e.g. current P19429 L 26. We think that there is no change to the manuscript needed here.

>> We made no changes to the manuscript.

Page 19433, Paragraph at Line 19: more clearly state that a unique spin-up was done for each N fixation implementation.

> We agree, this information will be added to the paragraph beginning at P 19433 L 19.

>> We have added this information to the text (p 9 l 17).

Page 19443, Line 1: In this paragraph (or somewhere else if more appropriate), it would be good to highlight that the O-CN was able to simulate the different N fixation approaches because it includes dynamic labile C and labile N pools. Without these pools the NDS and NDT approaches could not be simulated. Likewise, the dynamics of the labile C and labile N pools (and the foliar C:N) are likely an example of how the results for the NDS and NDT are partially model specific.

> We agree that this is another limitation to point out, and we propose to add this to the cited paragraph. Nevertheless, we do not think that the model specifics of O-CN affect the general functioning of the different BNF schemes, and that in particular the differences in model behaviour under elevated CO2 are robust against these O-CN specifics.

>> We have added the labile pools as another important O-CN characteristic that may not be found/ treated differently in another TBM (p 17 ll 29-30).

Figure 4a: What do the horizontal dotted lines signify?

> These are grid lines. If needed, we could revise the plot to show the grid lines in front of the bars, instead of behind them.

>> We have changed Fig. 4a so that the grid lines are plotted in front of the bars.

Response to Joshua Fisher

> We thank Joshua Fisher for his interest and his helpful comments on the manuscript.

BNF in FUN was constructed *relative* to the costs of the other N uptake pathways. BNF would not occur if the other pathways are cheaper. So, if this is left un-checked in O-CN (this wasn't clear), then you may get BNF occurring when it otherwise should not be.

As such, the cost of BNF in FUN was specified *high* relative to the other costs, so you wouldn't actually get it much except under very high N limitation. So, I wouldn't necessarily say that "NDT" has "low N fixation costs". I guess everything is relative. As Reviewer 1 insightfully pointed out, NDT should also be constrained by NPP and demand relative to C:N ratios. Even in strong N limitation (e.g., those boreal forests where BNF increased "beyond plausible rates"), if there isn't much NPP, then BNF cannot occur. The NPP used in FUN is after respiratory costs. Perhaps the NPP is too high in O-CN in these regions?

The eCO₂ case would provide more C to pay for BNF in NDT, as you correctly pointed out; but, payment is still limited by available water and C:N ratios. FUN wouldn't just pay for more BNF ad infinitum just because it could.

> We apologize if this was not made clear enough in the manuscript, but NDT was not meant as our representation of FUN. FUN was cited mainly as a source for its reasoning to include the temperature sensitivity of Nitrogenase activity *sensu* Houlton et al. (2008). The revised version will clarify this issue. Some of the characteristics of FUN, such as the carbon cost evaluation between root uptake and BNF, can be found in the OPT approach. In our manuscript, we have adopted the scheme described by Rastetter et al. (2001), on which also FUN is based. (Fisher, J. B., S. Sitch, Y. Malhi, R. A. Fisher, C. Huntingford, and S. Y. Tan (2010), Carbon cost of plant nitrogen acquisition: A mechanistic, globally applicable model of plant nitrogen uptake, retranslocation, and fixation, *Global Biogeochemical Cycles*, 24(1).)

> None of the models in our study would indefinitely pay for more BNF. In both NDT and OPT, the occurrence of BNF does not directly feed back on root uptake. However, the root N uptake capacity affects the choice to do BNF in both models (in NDT, NDS as this controls the available C and N for growth, in OPT, because the costs of fixation

are evaluated compared to the current root N uptake capacity and costs), limiting the investment into BNF in the long-term.

> The C used for BNF costs in NDT is a fraction of the plants' labile C pool, which reflects the N limitation on C assimilation on the one hand, and N limitation on growth and turnover on the other hand. Different to the FUN approach, which directly relies on NPP as C source for BNF, the labile C pool for fixation is not only affected by moisture control on photosynthesis, but also by N limitation on growth. While little labile C is available if N is sufficient in supply (much of the assimilated C is used for growth), labile C accumulates under N deficit. In NDT therefore, the N fixation capacity increases with N stress, and decreases with increasing root supply of N. In other words, if root uptake provides enough N in NDT, no BNF occurs.

> Therefore, high boreal BNF under eCO₂ in NDT is not necessarily a result of high NPP, but rather high N demand; It might be that the NPP constraint (that does seem to work reasonably without perturbation, Fig. B1 (d)) is simply offset under high CO₂ concentrations at the end of the experiments.

> We still agree that we should not refer to the BNF costs in NDT as "low" (P19440 L 19-20). Instead, high tropical BNF in NDT should be explained as a result of high temperatures, high N losses, high C availability, combined with comparably low cost, relative to other biomes. Note, however, that the C costs for N fixation in the tropics are not much lower than the average values implied by NDS and OPT.

Finally, you (and I've heard this from others, so I'm in the minority here) remarked that the sensitivity of NDT to "instantaneous" changes is a bad thing. I still wonder about that. For instance, we find many observations of N fixing plants that have stopped fixing in relative instantaneous time scales because N has become more readily (i.e., cheaper) available from the soil, or N demand/NPP has come down; this can change if conditions change. Again, timing is all relative.

> We agree that such a statement should be made with caution, especially when experimental evidence is ambivalent. Our reasoning to see strong short-term responses as implausible is based on the following: 1. Even if field studies find such responses, scaling from individual plants/small stands to entire ecosystems somewhat contains the assumption of ubiquity of BNF on large scales, which is not really the case. 2. In multi-year FACE experiments, N limitation on eCO₂ responses was not consistently lifted by BNF.

We would still propose to revise the corresponding discussion paragraph (P19442 L 1-10) to address this issue a bit more sensitively.

>> We are grateful to Joshua Fisher for pointing us towards the lack of clarity in our description of the NDT model. For the changes made, please see the corresponding response to AR #1.

Variability of projected terrestrial biosphere responses to elevated levels of atmospheric CO₂ due to uncertainty in biological nitrogen fixation

J. Meyerholt^{1,2}, S. Zaehle^{1,3}, M. J. Smith^{4,3}

[1]{Biogeochemical Integration Department, Max Planck Institute for Biogeochemistry, Jena, Germany}

[2]{International Max Planck Research School (IMPRS) for Global Biogeochemical Cycles, Jena, Germany}

[3]{[Michael-Stifel-Center Jena for Data-driven and Simulation Science, Jena, Germany](#)}

[4]{Computational Science Laboratory, Microsoft Research Cambridge, United Kingdom}

Correspondence to: J. Meyerholt (jmeyer@bgc-jena.mpg.de)

Abstract

Including a terrestrial nitrogen (N) cycle in Earth system models has led to substantial attenuation of predicted biosphere-climate feedbacks. However, the magnitude of this attenuation remains uncertain. A particularly important, but highly uncertain process is biological nitrogen fixation (BNF), which is the largest natural input of N to land ecosystems globally. In order to quantify this uncertainty, and estimate likely effects on terrestrial biosphere dynamics, we applied six alternative formulations of BNF spanning the range of process formulations in current state-of-the-art biosphere models within a common framework, the O-CN model: a global map of static BNF rates, two empirical relationships between BNF and other ecosystem variables (net primary productivity (~~NPP~~)—and evapotranspiration), two process-oriented formulations based on plant N status, and an optimality-based approach. We examined the resulting differences in model predictions under ambient and elevated atmospheric [CO₂] and found that the predicted global BNF rates and their spatial distribution for contemporary conditions were broadly comparable, ranging from ~~95108~~ to ~~1348~~ Tg N yr⁻¹ (median ~~14298~~ Tg N yr⁻¹), despite distinct regional patterns associated with the assumptions of each approach. Notwithstanding, model responses in BNF rates to elevated levels of atmospheric [CO₂] (+200 ppm) ranged between -4 Tg N yr⁻¹ (-3%) and 56 Tg N yr⁻¹ (+42%) (median 7 Tg N yr⁻¹ (+8%)). As a consequence, future projections of

global ecosystem carbon (C) storage (+281 to +353 Pg C, or +13 to +16%), as well as N₂O emission (-1.6 to +0.5 Tg N yr⁻¹, or -19 to +7%) differed significantly across the different model formulations. Our results emphasize the importance of better understanding the nature and magnitude of BNF responses to change-induced perturbations, particularly through new empirical perturbation experiments and improved model representation.

1 Introduction

Understanding the mechanisms underpinning feedbacks between climate change and land carbon (C) storage is a major challenge in Earth system research (Friedlingstein et al., 2006; Bonan, 2008; Arora et al., 2013; Smith et al., 2013). Ecosystem nitrogen (N) availability strongly affects terrestrial vegetation and soil responses to climate change (Hungate et al., 2003; Gruber and Galloway, 2008; Zaehle, 2013). The terrestrial N cycle receives inputs from atmospheric deposition and biological N fixation (BNF) and ecosystem outputs as leaching and gaseous losses, which together determine the long-term terrestrial N balance, and thus N availability. Statistical studies have suggested that the contemporary magnitude and likely future changes in BNF may be an important factor in regulating the amount of N available to support future ecosystem C sequestration, particularly in response to elevated atmospheric carbon dioxide (CO₂) concentrations (eCO₂) (Hungate et al., 2003; Wang and Houlton, 2009), however, without providing detailed knowledge on the underlying spatio-temporal development of BNF and its driving factors.

A new generation of terrestrial biosphere models (TBMs) that include a representation of the dynamics of various N cycle components has been developed to analyze the consequences of limited terrestrial N availability; see Zaehle and Dalmonech (2011) for a review. These C-N models predict that ecosystem N availability attenuates the responses of the terrestrial C cycle to eCO₂ and climate change, thereby altering the C-cycle related biosphere-climate feedbacks (Thornton et al., 2007; Sokolov et al., 2008; Zaehle et al., 2010b; Arora et al., 2013; Smith et al., 2014; Zhang et al., 2014). Furthermore, atmospheric CO₂ and climate change modulate the terrestrial source of the greenhouse gas N₂O, potentially providing an additional feedback to the climate system (Stocker et al., 2013; Zaehle, 2013). However, many aspects of the functioning of the terrestrial N cycle and its interactions with the C cycle, as well as the causes of wide-spread terrestrial N limitation remain poorly understood.

One reason for the occurrence of N limitation is that BNF, the microbial reduction of quasi-inert atmospheric N (N_2) into plant-available reactive N, is an energy-costly process and therefore not ubiquitous in many energy-limited ecosystems (Postgate, 1970; Vitousek and Howarth, 1991). Symbiotic BNF is carried out by microbes that inhabit root nodules in plants (Gutschick, 1981) and is commonly assumed to contribute the bulk of global BNF (Cleveland, 1999). Plants that exhibit these symbioses with microbes, often legumes, are frequently referred to as "N fixers". Asymbiotic forms of BNF include plant-associated BNF (N fixing microbes inhabiting the plant rhizosphere but not entering direct plant-microbe symbioses), as well as heterotrophic BNF carried out by free-living bacteria. Furthermore, BNF from mycorrhizal fungi (Franklin et al., 2014) and cryptogamic communities (Elbert et al., 2012) has been shown to be of significant magnitude. These groups of N fixing organisms are phylogenetically diverse and poorly understood (Vitousek et al., 2013), making the quantification of global BNF rates challenging. Efforts towards global-scale quantifications of ecosystem BNF rates have not progressed beyond integrated biome-scale estimates extrapolated from few point measurements ($100\text{--}290 \text{ Tg N yr}^{-1}$, Cleveland et al., 1999) and estimates based on heuristic assumptions (128 Tg N yr^{-1} , Galloway et al., 2004; 44 or 58 Tg N yr^{-1} , Vitousek et al., 2013). Such understanding has been hampered by practical and methodological uncertainties in plot-scale measurements, as well as by regional undersampling.

Although these rates indicate that BNF is the largest natural input of reactive N to the terrestrial biosphere and N fixing plants should have a competitive advantage in N-limited ecosystems such as old-growth temperate and boreal forests, the N input from BNF is not sufficient to lift the wide-spread N limitation of terrestrial production (Vitousek and Howarth, 1991). Rather, symbiotic BNF in particular has been characterized as an early-successional phenomenon. The absence of N fixers from high-latitude old-growth forests has been attributed to co-limitation by the availability of other resources (most prominently phosphorus and/or light, both of which are required in higher abundance by N fixers relative to non-fixers), environmental factors such as soil temperature, and increased herbivory preference for N fixers (Vitousek and Field, 1999; Vitousek et al., 2002; Wang et al., 2007; Houlton et al., 2008; Menge et al., 2008). To date, such insights on the controlling factors of BNF have not been incorporated into models meant for global representation of biogeochemical processes in the biosphere.

1 The majority of C-N TBMs relies on the empirical relationship between observation-based
2 estimates of BNF and actual evapotranspiration (ET) developed by Cleveland et al. (1999),
3 based on earlier works suggesting a link between high rates of BNF and water losses in humid
4 ecosystems (Schimel et al., 1996). This approach was originally taken with awareness that it
5 largely ignored the biogeochemistry of BNF, and thus applied as a (time-invariant)
6 climatology to drive N cycle models (Zaehle et al., 2010b), but also applied as a dynamic-
7 process representation (Yang et al., 2009; Wania et al., 2012; Smith et al., 2014). Cleveland et
8 al. (1999) also presented a second, considerably weaker correlation of BNF with net primary
9 productivity (NPP), which was subsequently applied in TBMs as well (Thornton et al., 2007;
10 Goll et al., 2012).

11 Other model representations were developed for global models to treat BNF based on plant
12 physiology rather than empirical relationships. Gerber et al. (2010) presented an approach that
13 determines ecosystem BNF rates based on vegetation N demand, availability of soil reactive
14 N, and light availability. In this model, simulated BNF rates are the result of biogeochemical
15 ecosystem processes and also take effects of forest succession or disturbance into account.
16 Another class of models have focused on the optimization of plant C investment into resource
17 acquisition (Rastetter et al., 2001; Wang et al., 2007; Fisher et al., 2010), including symbiotic
18 BNF. Here, ecosystem BNF rates are the result of a cost-benefit evaluation that maximizes the
19 plants' competitiveness for nutrients. This concept was subsequently applied to generate
20 symbiotic BNF input rates for a TBM as well (Wang et al., 2010).

21 It is presently unclear how the uncertainty regarding terrestrial BNF affects the projections of
22 terrestrial biosphere dynamics. In a first attempt, Wieder et al. (2015) tested the BNF
23 representations based on empirical BNF to NPP and ET relationships as described by
24 Cleveland et al. (1999) in the CLM4.5 model under the "business-as-usual" representative
25 concentration pathway RCP 8.5 (Moss et al., 2010). They found a moderate global BNF
26 increase for the NPP approach and an eventual BNF decrease for the ET approach. While
27 informative, this study only considered the two most common BNF representations, both of
28 which are simple enough for their responses to global change and the consequences for model
29 predictions to be relatively straightforward. Other approaches, however, might introduce more
30 complexity into the simulated biosphere responses to change, which calls for a comparison of
31 a more complete set of BNF representations in TBMs.

To assess this uncertainty, we tested six alternative approaches to represent BNF embedded within the framework of a common TBM, the O-CN model (Zaehle and Friend, 2010), which comprises a comprehensive description of the terrestrial C and N cycles and their interactions with the terrestrial energy and water balance. Applying all BNF schemes directly in a full TBM allowed us to appraise the consequences of uncertainty in BNF representations for the simulated C cycle. The BNF models included a prescribed global map of static BNF rates, two simple empirical relationships between BNF and other ecosystem variables (NPP and ET), two formulations based on plant N status, and an approach following a basic form of optimality of plant N acquisition (Table 1).

We first applied these alternative BNF model versions of O-CN to simulate the pre-industrial to present-day global patterns of the terrestrial C and N cycle to analyze the implied spatial patterns of BNF and associated projected C and N fluxes. We then sought to test the implied sensitivity of BNF, and thus the coupled C-N cycles, to changes in N limitation. We did this by driving the model versions with idealized transient and step-wise eCO₂ scenarios to make the functional model differences clearly apparent. The increased C availability increased plant N demand, and this demand was met with a variety of approaches to determine the ecosystem N input of BNF, which emphasized the different characteristics of the alternative approaches. In particular, we expected a pronounced discrepancy between empirical and mechanistic BNF representations, highlighting a previously unquantified source of variation in the predictions of C-N terrestrial biosphere models.

2 Methods

2.1 O-CN

The O-CN model (Zaehle and Friend, 2010) is an extended version of ORCHIDEE (Krinner et al., 2005), the land surface model of the IPSL Earth System Model (Dufresne et al., 2013). O-CN has been extended to represent, among other things, key terrestrial N cycle processes in the vegetation and soil compartments (Fig. 1). It simulates density-based representations of the C and N dynamics of 12 plant functional types (PFTs) on a global grid, and is applied here at a spatial resolution of 1°×1°. The representation of the N cycle includes: (1) prognostic plant tissue and soil organic matter N concentrations; (2) N-dependent leaf-level photosynthesis and plant respiration; (3) N-dependent allocation of assimilates to various

plant organs with different C:N ratios; (4) N-dependent soil organic matter decomposition and N mineralization, following the CENTURY soil model (Parton et al., 1993); (5) N inputs from atmospheric deposition and fixation, as well as leaching and gaseous N losses resulting from nitrification and denitrification processes in the soil. The treatment of inorganic soil N (Zaehle et al., 2011) largely follows the LPJ-DyN approach (Xu and Prentice, 2008), with additions from the DNDC model (Li et al., 2000). See Zaehle and Friend (2010) for a detailed description of O-CN.

2.2 BNF models

We conducted simulations applying six alternative models of symbiotic BNF currently applied in TBMs, which are described in Sect. 2.2.1 to 2.2.6 (Zaehle and Dalmonech, 2011; Table 1; Appendix). Conceptually, the BNF models can be summarized as model forcing (time-invariant map of BNF rates (FOR)); two empirical models relating N fixation to vegetation production or water loss, as presented by the review of Cleveland et al. (1999) (AET, PRO); two process-oriented models that heuristically account for the dependency of N fixation on vegetation N demand (NDT, NDS); and one model following a basic concept of plant fitness optimality of N acquisition (OPT). As only the FOR model implicitly accounted for asymbiotic N fixation, the other five models included an additional term representing this pathway that contributes strongly to N fixation in ecosystems with low vegetation cover (derived in Sect. 2.2.7). N fixed through symbiotic BNF was added to the labile N pool of the plants, whereas asymbiotic BNF was added to the ammonium soil pool.

2.2.1 FOR

The FOR model uses a static global map of BNF rates as model forcing, derived from an empirical, linear correlation between data-based estimates of ecosystem BNF rates and modeled ET (Cleveland et al., 1999). The map was derived by using Cleveland's central regression parameters with a climatology of 1961-2000 ET (Prentice et al., 1993). To avoid N accumulation in systems with low plant N requirement (i.e. low plant productivity or high N availability), BNF in this approach is set to converge towards zero when soil inorganic N concentrations exceed 2 g N m^{-2} . Thus, average BNF rates still vary due to any mechanics that affect the soil N pool, such as seasonal variations in plant N uptake and organic matter mineralization, or long-term shifts in these quantities under perturbation. Because this approach does not separate between symbiotic and asymbiotic pathways, BNF in FOR is

added directly to the soil N pool. This is the original O-CN BNF representation (Zaehle and Friend, 2010).

2.2.2 AET

The AET model determines BNF as a linear function of modeled ET, based on the observation that high BNF rates occur in humid ecosystems that have large N stocks, but also high N loss rates (Schimel et al., 1996). The most widely used parametrization for this regression is the central estimate of the slope between ET and BNF, as estimated by Cleveland et al. (1999), which is also applied here. The difference between the FOR and AET models is that in FOR, ET is the time-invariant annual evapotranspiration, whereas in AET, ET is the daily evapotranspiration as prognostically modeled by the water and energy flux component of O-CN (Krinner et al., 2005). This BNF representation was previously applied in the ISAM (Yang et al., 2009), UVic (Wania et al., 2012), and LPJ-GUESS (Smith et al., 2014) models.

2.2.3 PRO

The PRO model determines BNF as a function of the daily modeled NPP. The model is based on the estimates presented in Cleveland et al. (1999), and follows the qualitative observation (Vitousek and Howarth, 1991) that the highest BNF rates are typically observed in high-productivity ecosystems. Instantaneous BNF is calculated as a saturating function of NPP, ensuring that the fixation rate does not increase strongly when NPP is high. This BNF representation was previously used in the CLM (Thornton et al., 2007) and JSBACH (Goll et al., 2012) models.

2.2.4 NDT

The NDT model considers BNF as a supplementary pathway to N uptake via roots, ~~following arguments presented by Fisher et al. (2010), but,~~ allowing both uptake pathways to co-occur in time and space ~~(Zaehle, unpublished, 2010)~~. BNF is assumed to be primarily ~~related~~ driven ~~to~~ by the difference between the ability of plants to acquire N from the soil and their N demand according to their C assimilation. Thus, BNF increases linearly with foliar C:N above a PFT-specific value, related to the PFT-specific average observed foliar C:N. The energy cost required for fixing N is assumed to be satisfied by the available labile C reserve, and is assumed to follow an inverse bell-shaped function of daily temperature due to the kinetics of

the Nitrogenase enzyme (Houlton et al., 2008). Thereby, the assumption is made that in environments colder (or warmer) than 25°C, more C needs to be invested into BNF (Fisher et al., 2010). The costs of root N uptake are implicitly accounted for through root turnover, leading to higher uptake costs for higher investment into uptake structures (i.e. roots) to attain a given rate of BNF. BNF is thus limited by the N status of the plant and its C resources.

2.2.5 NDS

The NDS model is driven by plant N demand and follows the BNF representation in the LM3V model (Gerber et al., 2010). The model up- and down-regulates BNF rates as a function of the plants' N requirement and N status, as well as light-limitation outside the tropics. ~~The plants' N requirement is determined by comparing the N potentially required to build new biomass from acquired C to the N available to the plant in its labile N reserve.~~ From potential NPP, the amount of N required to support this growth is determined according to the current plant tissue C:N and allocation fractions. The plant's N deficit is then determined as the difference to the N available in the labile N pool, which contains the N from root uptake. The plants' N status is taken into account to ensure that BNF increases when plants are more N-limited, determined by the relationship between current leaf C:N and prescribed maximum and minimum ratios.

2.2.6 OPT

The OPT model uses an optimality-based approach that follows the concept described by Rastetter et al. (2001). In this model, BNF only occurs when the C cost of BNF, indicative of energy (glucose) investment, is lower than the C cost of root N uptake. This cost of C investment in root N uptake is evaluated as the potential plant C gain if a marginal amount of C was allocated to leaves for photosynthesis, relative to the potential plant N gain if that same marginal amount of C was allocated to increase fine root mass instead. This way, the C cost of root N uptake is defined as the amount of C from photosynthesis the plant relinquishes in favour of investment into root N uptake. If this cost is higher than the (fixed) C cost of BNF, BNF occurs and is determined as a saturating function of root mass and the difference in C cost between root N uptake and BNF. Notably, the occurrence and magnitude of BNF does not feed back on the determination of plant root N uptake in this approach.

As described by Rastetter et al. (2001), BNF is favored in OPT when the environmental conditions promote high photosynthetic efficiency, e.g. through high irradiation or elevated

atmospheric CO₂ concentrations, and increasing leaf mass is a worthwhile investment. Furthermore, high plant root mass or low soil inorganic N availability will increase the C cost of increasing root N uptake and consequently favor BNF. This approach has not been used in a TBM thus far. However, a modified version that includes phosphorus dynamics (Wang et al., 2007) was used to generate symbiotic BNF input for the CASA model (Wang et al., 2010).

2.2.7 Asymbiotic BNF

Asymbiotic BNF was calculated for the fraction of the soil receiving light, thus declining with increasing light interception by the vegetation. A maximum rate of 0.2 g N m⁻² yr⁻¹ was assumed based on the data presented by Cleveland et al. (1999), which was modulated by soil moisture availability and soil temperature to account for reduced biochemical activity in dry, cold, or hot environments.

2.3 Modeling protocol and experiment design

All simulation experiments were repeated for each of the six BNF models described above. The aim was to elucidate the effects of the alternative representations on estimates of present-day BNF and its impact on terrestrial C and N cycles, as well as on projections of the consequences of increasing atmospheric CO₂ concentrations, a key factor in decreasing N availability over time.

Prior to all experiments, the O-CN soil and vegetation C and N pools were spun-up to equilibrium for each BNF approach separately under representative pre-industrial forcing, including pre-industrial atmospheric CO₂ concentrations (Etheridge et al., 1996; Sitch et al., 2015), estimated 1860 atmospheric N deposition (Lamarque et al., 2010), estimated 1860 land-use from the HYDE database (Goldewijk et al., 2001), PFT distribution from the SYNMAP dataset (Jung et al., 2006), estimated 1860 artificial N fertilizer application as described in Zaehle et al. (2011), as well as climate data from randomly drawn years (1901–1930) from the CRU-NCEP data set (N. Viovy, personal communication, 2014). From the 1860 state, we performed a transient simulation from 1860 to 2013 with time-varying climate, N deposition, land-use, and fertilizer data, as well as observed changes in atmospheric CO₂ concentration (A; Fig. 2). We used this simulation to evaluate the differences in estimates of the global C and N cycles under present-day conditions, as described in Sect. 3.1.

We then evaluated the effect of eCO₂ on terrestrial C and N fluxes for the different models by comparing A to a simulation with ~~gradually increasing~~ a larger increase in atmospheric CO₂ concentrations (B; Fig. 2), with the other forcings as in A (Sect. 3.2). To avoid a dependency of the simulations on a specific future emission pathway under a particular scenario, we applied a monotonic increase of atmospheric CO₂ from 1860 conditions (286 ppm) at a rate of 0.5% yr⁻¹, which corresponds to an average growth rate of 2.1 ppm yr⁻¹, approximately comparable to the currently observed growth rate of atmospheric CO₂, arriving at 600 ppm at the end of the simulation. We also compared B to a simulation with CO₂ fixed at 1860 conditions (286 ppm, C) to elucidate the cumulative effect of eCO₂ on the time evolution of key ecosystem fluxes and stocks of C and N.

The BNF models likely have different sensitivities to different time-scales of eCO₂ perturbations, which subsequently could feed back on model predictions. Therefore, we further evaluated the effect of time scale by adding a step-increase of CO₂ to the transient simulation A. For this experiment (D), atmospheric CO₂ concentrations were increased relative to A by 200 ppm for every year from 1996 (or simulation year 136) onwards. In other words, we simulated a global Free Air CO₂ Enrichment (FACE) experiment, akin to actual local scale FACE field experiments (McCarthy et al., 2010; Norby et al., 2010). While these experiments are artificial in their step-increases of atmospheric CO₂ concentrations, they provide clear insights into direct vegetation responses to eCO₂ (Zaehle et al., 2014). This experiment enabled us to compare the simulated ecosystem responses to eCO₂ between the gradual and step-increase eCO₂ experiments (B vs. C and D vs. A).

3 Results

3.1 Ambient atmospheric CO₂ concentrations

The model-median simulated global BNF rates (simulation A) for the 2000-2013 period (Fig. 3a) followed a distribution that was largely consistent with previous estimates (Cleveland et al., 1999). BNF increased approximately along a latitudinal gradient from arctic and boreal regions (characterized by low surface temperatures, low ET, and strong N limitation) to the tropics (characterized by high temperatures, high humidity, and high N turnover). The predicted total global BNF rates for 2000 ranged from ~~91508~~ to ~~1348~~ Tg N yr⁻¹, with a median of ~~14298~~ Tg N yr⁻¹ (Table 2). The global rates of asymbiotic BNF were in the range

of 1.4 - 1.6 Tg N yr⁻¹, which, in dependence on the respective simulated symbiotic BNF, resulted in fractions of asymbiotic BNF in total BNF between 1.30% (~~AET~~NDS) and 1.64% (OPT).

Notwithstanding, individual BNF models differed considerably in their predictions in many regions (Fig. 3b). In Europe, the eastern US, East Asia, and extratropical South America, the empirical models (AET, PRO) predicted higher BNF rates than the other approaches. In these regions with wide-spread human activity, fertilizer application and atmospheric N deposition caused high N availability for plants, which either directly reduced BNF (FOR, OPT), or over time diminished the plants' N demand and thereby BNF (NDT, NDS). These mechanisms did not apply in the empirical models. Another important model difference is the large discrepancy in simulated BNF in northern Russia and Canada (Fig. 3b) that mainly stems from very high BNF rates predicted by the N demand-based models (NDT, NDS). In both approaches, strong N limitation in these regions increased BNF beyond plausible rates (Cleveland et al., 1999), occasionally in excess of 3 g N m⁻² yr⁻¹ in the case of NDS (Fig. 4b). The lack of temperature control on BNF in NDS resulted in notably higher predicted BNF rates in the boreal zone than in NDT, which led to substantial alleviation of N limitation (Figs. B5 - B8).

All models simulated the highest cumulative BNF rates for tropical forests and global grasslands (Fig. 4). Yet, the variation in predicted tropical BNF rates was high. Low tropical BNF in PRO was the result of the prescribed saturating function of BNF with NPP. In OPT, tropical BNF was limited by shading under dense canopy and high soil N abundance. All other models predicted higher tropical BNF rates, governed by ET (FOR, AET), high temperatures (implying low costs of BNF combined with moderate N requirements (NDT)), or high foliar biomass, to which potential BNF rates were scaled (NDS). Grasslands and boreal forests contributed strongly to global BNF particularly for NDS, because this model simulated a larger production in boreal and tundra vegetation than the other models, resulting from the implicit feedback between BNF and leaf production (Fig. B2). As noted above, the models disagreed on the amount of BNF from crop vegetation, with the empirical approaches (that do not constrain BNF by the plants' N demand) suggesting ~~substantial~~the largest rates of agricultural BNF (AET, PRO). For models, in which the plant N status was a determining factor of BNF rates (NDT, NDS), N fertilization ~~minimized~~reduced the crop plants' N demand, resulting in comparatively low BNF rates. Interestingly, although high soil N

availability from fertilization leads to lower BNF in the OPT model, it was not ~~eliminated~~
~~altogether~~ strongly reduced, suggesting that N fertilizer application was not sufficient to lift N
limitation in all regions of the world.

The model uncertainty in BNF did not cause large uncertainty in the predicted global gross
and net primary productivity (GPP and NPP; Table 2). Notably, the inclusion of respiration
costs of BNF in NDT, NDS, and OPT did not result in a significant reduction in C-use
efficiency, potentially because of the reduced severity of N limitation, which reduced excess
respiration. The spatial patterns of simulated rates of NPP were also very similar for large
parts of the terrestrial biosphere, despite the diverging rates of BNF (Figs. 3c and d). This
indicated that BNF did not strongly control N limitation throughout regions and other factors
such as light and temperature were also important controls on NPP. Notable exceptions were
regions of low production, such as arid and cold regions. The model divergence in NPP in
cold regions reflected that the models predicted a variable spread of vegetation growth in the
boreal zone. The lower bound of the production range was associated with AET, which
simulated very low rates of boreal BNF due to low boreal ET, causing N-limited vegetation
growth. On the other hand, the high boreal BNF rates predicted by NDS enabled vegetation
growth far into the strongly N-limited tundra regions. In most other regions, especially those
with high simulated NPP, the differences between models in BNF barely affected NPP.

The between-model difference in N input rates was, however, reflected in the other branches
of the N cycle (Table 2), notably the global terrestrial (including agriculture) gaseous N_2O
loss and export of N to groundwater and rivers (subsumed as leaching). The model versions in
which BNF was dependent on the N demand of plants (NDT, NDS, OPT) had comparatively
low ~~ratios~~ of N lost from the ecosystem, likely resulting from the synchronization of
ecosystem N input and plant N demand. The variation in N cycle openness (N loss per N
mineralization) was low (6% median relative deviation (MRD)). However, the ratio of N loss
to ecosystem N accumulation was notably lower in the N demand-based models (37% MRD),
because they predicted both relatively lower losses and relatively higher accumulation. ~~In~~
~~particular, the~~ ~~resulting~~ uncertainty in the magnitude of contemporary emissions of the
greenhouse gas N_2O emissions (10 - 13 Tg N yr⁻¹, ~~corresponding to~~ 14% ~~median relative~~
~~deviation (MRD)~~) was approximately of the same ~~close to the magnitude as the~~ uncertainty in
BNF (95108 - 1348 Tg N yr⁻¹, 120% MRD).

3.2 Ecosystem responses to eCO₂

We next analyzed the effect of increasing N stress through CO₂ fertilization by comparing the final 13 years of the simulations B and A (Fig. 5). For an average atmospheric CO₂ concentration difference of 211 ppm, the predicted total global BNF response to eCO₂ ranged between a 4 Tg N yr⁻¹ reduction (AET) and an increase of 56 Tg N yr⁻¹ (NDS) (median increase of 7 Tg N yr⁻¹), corresponding to ~~-34~~ and ~~4238~~ % (median ~~86~~%) of the average BNF rates under ambient CO₂ (Fig. 3a), respectively. The median predicted responses of global BNF rates to eCO₂ (Fig. 5a and b) indicated a substantial increase in N fixation in many regions. In the N-demand based approaches, increased C availability increased global plant N demand, having a strong relative effect in boreal and northern temperate regions that were already strongly N limited (Figs. 5b and B3). The eCO₂ experiment also resulted in predicted global NPP increases (Fig. 5c and d). The predictions ranged between 15 and 21 Pg C yr⁻¹ (median 17 Pg C yr⁻¹), with all models simulating the highest NPP increases in the tropics (Fig. B4).

The increase in BNF rates in responses to eCO₂ was by far strongest in the N-demand based models (Fig. 6). The increased C fixation under eCO₂ temporarily increased the simulated labile reserve of allocatable C, which in NDT was directly connected to predicted BNF rates. In NDS, the increase in vegetation N demand outweighed light limitation as a determining factor of BNF responses outside the tropics (Fig. 6a and b). The empirical approaches predicted low (PRO) or negative (AET) global BNF responses (Figs. 6 and B3). The positive effect in PRO was an indirect effect of CO₂ fertilization, whereas the negative effect in AET was driven by the reduction of stomatal conductance in response to eCO₂. In OPT, eCO₂ led to more efficient photosynthesis, which reduced C allocation to roots for N uptake and thereby increased global BNF rates moderately.

The above variation between models in BNF response magnitudes did not translate into strong disagreement in predicted NPP responses (Fig. 6), as BNF dynamics were not the sole determinant of NPP responses to eCO₂. Despite the considerable spread of vegetation into the boreal zone predicted by the N-demand based models, the largest disagreement was found in the temperate zone (Figs. 6b and B4).

When comparing simulations B and C, the long-term responses to eCO₂ in BNF and NPP also affected the global terrestrial C storage and gaseous N emissions (Fig. 7). After 154 years of eCO₂ perturbation, the total global ecosystem N stock had increased within a range of 5.1 and 11.9 Pg N. These responses were in part shaped by additional BNF inputs between -0.2

1 and 11.4 Pg N. The additional ecosystem N supported a total ecosystem C sequestration
2 between 419 and 528 Pg C (Fig. 7c), with the models that predicted high N accumulation per
3 N loss (NDT, NDS, OPT, see Table 2) also predicting high C sequestration. These ecosystem
4 C storage responses correspond to a range of C-concentration interactions in the sense of
5 Gregory et al. (2009) between 1.3 and 1.6 Pg C ppm⁻¹ CO₂, noting that the absolute numbers
6 derived from these studies are not comparable, because the increment of gradual CO₂ increase
7 was only half in our study compared to Gregory et al. (2009).

8 The choice of BNF model also had substantial effects on other quantities relevant for
9 biogeochemistry-climate effects, in particular the predicted responses of N₂O emissions to
10 eCO₂ (Fig. 7d). In the larger group of models suggesting moderate changes in global and
11 regional BNF, global N₂O emission rates were simulated to decrease with eCO₂. With
12 increased C availability, the plants' N demand for constructing new tissue increased as well,
13 depleting the soil N pools and leaving less N for denitrification. However, when the BNF
14 responses became ~~very~~-larger over time in NDT and NDS, the BNF increase eventually
15 caused N₂O emission to rise, as ~~excessive~~ larger amounts of N entered the system and became
16 subject to denitrification.

17 Comparing these long-term eCO₂ effects to the effects of a step-increase of atmospheric CO₂
18 concentrations (i.e. comparing simulations D and A) sheds further light on the temporal
19 behaviour of the different BNF models (markers in Fig. 7). The ranking of the BNF schemes
20 in terms of eCO₂ response magnitudes was similar between the short-term and long-term
21 experiments. The step-increase in atmospheric CO₂ led to short-term BNF responses that were
22 virtually identical to the long-term responses at comparable increases in atmospheric CO₂
23 concentrations (200 ppm; Fig. 7a). This indicates that the mechanisms shaping eCO₂
24 responses in the different BNF models were already effective in the short-term (less than 5
25 simulation years). Uncertainty in the short-term BNF response led to a range of global NPP
26 stimulation between 20 and 30% for the 200 ppm increase. However, the NPP responses in
27 the short-term experiments were systematically lower than in the scenario with gradually
28 increased atmospheric CO₂ (Fig. 7b), indicating the importance of ecosystem N accumulation
29 through enhanced BNF for determining the CO₂ response of plant production in the long-term
30 experiments. None of the models predicted a quick increase in N₂O emission, as this was a
31 soil N accumulation effect over time (Fig. 7d). However, the variability between BNF models

was already sizable and qualitatively similar to the long-term experiment, with the N-demand based models resulting in the smallest decrease in N₂O emission in response to eCO₂.

4 Discussion

Given the large variation in approaches used to calculate BNF in this study, ranging from empirical correlation to process-oriented models, our simulations resulted in surprisingly similar estimates of BNF for the contemporary period over large parts of the terrestrial biosphere, despite very notable regional differences. The predicted range of global present-day BNF rates of ~~95~~108-134 Tg N yr⁻¹ compared reasonably well with the conservative end of the data-based estimates of 100-290 Tg N yr⁻¹ (Cleveland et al., 1999), which had been used to inform the central estimate of 128 Tg N yr⁻¹ in Galloway et al. (2004). Furthermore, the estimates compare well with the higher end of the more recent, inverse estimate of 40-100 Tg N yr⁻¹ (Vitousek et al., 2013), referring to pre-industrial BNF.

One of the prominent regions for which simulated BNF was highly uncertain were high-latitude ecosystems (Fig. 3). Open vegetation in these ecosystems contributed to very high BNF in the NDS scheme in boreal forests and grasslands (Fig. 4b), which made this scheme distinct from the others in this region. We also found a strong heterogeneity of predicted BNF rates for tropical forests, with the OPT model simulating comparatively low BNF, comparable only to the PRO scheme, which had low tropical BNF resulting from the saturating relationship between NPP and BNF. The other models tended to simulate substantially higher BNF, either because of high ET (AET), ~~low N-fixation costs~~favorable growth conditions and sufficient C supply (NDT), or high leaf area (NDS). It is challenging to judge the validity of any model based on the comparison of our simulations to Cleveland's database, given the large uncertainty in the BNF measurements themselves, and in particular in the scaling of plant-scale estimates to ecosystem-scale estimates. Nonetheless, even allowing for a high uncertainty range in the data, the large predicted values of the NDS scheme in the high latitudes appear unlikely. Similarly, the lack of a response of the empirical schemes to N availability caused these schemes to predict likely too high BNF in intensively fertilized croplands due to their presumed static relationship between BNF and AET or NPP, respectively (AET, PRO; Figs. 3 and B1), entailing larger N losses simulated by these schemes in croplands. Finally, our simulations suggest high-latitude and tropical ecosystems

1 to be most important regions to gather new data in order to reduce uncertainty in the current
2 generation of BNF models.

3 In order to further elucidate the consequences of the alternative hypotheses about the control
4 of BNF in the current generation of global ecosystem models, and thus to test the suitability
5 of these models for modeling terrestrial biosphere dynamics, we analyzed the response of
6 BNF to a perturbation of the N limitation experienced by the vegetation through manipulation
7 of their C uptake. The consequences of variety in BNF representation was apparent in the
8 modeled global BNF responses to eCO₂ (Figs. 6 and 7a), which included slight decreases,
9 slight to moderate increases, and very large increases. Experimental field studies on BNF
10 under eCO₂ are rare and inconclusive, presumably owing to the regulatory impacts of
11 micronutrients and vegetation dynamics. Field experiments have found very large eCO₂
12 responses of BNF in fertilized grasslands (Hartwig et al., 2000; Lüscher et al., 2000), but also
13 moderate responses that declined and became negative over time in subtropical oak
14 woodlands (Hungate et al., 2004, 2014). Heterotrophic fixation was shown not to be affected
15 by eCO₂ at the Duke FACE experiment (Hofmockel and Schlesinger, 2007). This calls for
16 further long-term studies that estimate BNF responses to perturbation. The ecosystem-scale
17 controls on BNF are still poorly characterized, and promising hypotheses on the role of forest
18 succession and micronutrients (Vitousek and Howarth, 1991; Houlton et al., 2008) have
19 largely gone untested.

20 Given the current data availability, we have limited means of evaluating our global model
21 responses for their plausibility. The empirical BNF models FOR, AET, and PRO are based on
22 observed correlations, but they lack the inclusion of process understanding and may thereby
23 lead to counterintuitive model behaviour under perturbation scenarios (Wieder et al., 2015).
24 In particular, the coupling of BNF with NPP in the PRO scheme can lead to a positive
25 feedback between ecosystem N input and plant growth, which, although attenuated by the
26 saturating nature of the mathematical formulation, remains unsatisfying.

27 Attempting to incorporate process hypotheses rather than empirical relationships ~~may be~~
28 expedient and also led to lower N losses relative to ecosystem N accumulation in comparison
29 with other approaches (Table 2), which heuristically appears to be more plausible;
30 ~~however~~ Yet, the behaviour of the plant N status-based models NDT and NDS was likely
31 implausible in other aspects, particularly ~~obvious in~~ the strong, quasi-instantaneous increase
32 of BNF under the scenario of a step-increase in atmospheric CO₂ (Fig. 7). Short-term BNF

responses of such magnitude would have likely been detected in local field experiments, which was not consistently the case (see above). In their current state, NDT and NDS are ~~evidently too very~~ sensitive to instantaneous shifts in plant N demand. It was suggested before that, without perturbation, the degree of modeled N limitation is controlled by the magnitudes of BNF and N losses (Thomas et al., 2015). We did not generally find that NDT and NDS predicted higher BNF than other approaches in regions with high N losses. However, the large N inputs under eCO₂ resulted in large N losses because more N was added from BNF than could be incorporated into biomass according to vegetation C:N stoichiometry. Also, the fixed N that was used to satisfy the plants' N demand eventually entered the soil through ecosystem turnover, where it became subjected to the N loss pathways. Another key factor ~~herefor the~~ high BNF responses in NDT and NDS might be the assumption that all types of vegetation are associated with BNF, thus N-demand based schemes may benefit from more explicit distinction between N fixers and non-fixers in the future.

The optimality-based BNF approach described by Rastetter et al. (2001) has thus far not been applied in a TBM, although it was used to generate a static map of BNF inputs for the CASA model (Wang et al., 2010). We have demonstrated here that this approach can be successfully integrated into the dynamic calculations of a global model without any problems of stability or increased computational demand. OPT predicted the lowest amount of global BNF for ~~the~~ 2000s (~~93108~~ Tg N yr⁻¹), which conformed with the recent trend in literature to postulate lower tropical BNF rates than previously assumed (Sullivan et al., 2014). Optimality has been an emerging perspective in vegetation modeling in recent years, in particular as a means to model plant allocation responses to perturbations such as eCO₂ (Dybzinski et al., 2015). For BNF, it appears indeed reasonable to assume plant BNF activity to be governed by energetic constraints and optimal C investment, rather than a mass-balancing approach. However, one might debate the validity of OPT, as it optimizes C investment into plant N acquisition within the O-CN model that determined all other ecosystem fluxes based on traditional process formulations. Still, OPT could be considered an early example of how optimality could be adapted in TBMs and could be extended to other processes in future model generations. As it stands, however, the lack of global observational constraints prevents a meaningful evaluation of OPT.

Our modeling approach was limited in that it tested BNF formulations within the same O-CN framework that were in part extracted from other TBMs. This entails possible biases in C-N

cycle processes other than BNF that are treated distinctly in O-CN, ~~e.g.~~ This includes the plant allocation of assimilates ~~or~~ stoichiometric flexibility in plant tissues (Zaehle and Friend, 2010; Meyerholt and Zaehle, 2015), as well as the inclusion of labile plant C and N pools, which are instrumental in NDT, NDS, and OPT. In fact, the uncertainty between TBMs in representing other N cycle processes may be comparable to the uncertainty in BNF representations (Zaehle and Dalmonech, 2011). Nevertheless, we believe that our adoptions of the BNF approaches are representative, as we used the original model parametrizations (Appendix). For instance, the strong sensitivity of BNF to eCO_2 in NDS was also found for the LM3V model (Huang and Gerber, 2015). The overarching principles that the BNF models follow were not changed, and we trust that consequences of the predicted BNF rates on model functioning would give similar qualitative results in a different framework. The consequences of different parametrizations are fairly obvious for the FOR, AET, PRO, and NDT schemes, as BNF scales directly with the respective parameters (a and b in Eq. A1; c and d in Eq. A2; c_{fix} in Eq. A3 and j in Eq. A5). This is less obvious for the NDS and OPT schemes, in which the parameters determine either the relationship between plant N status and N demand (NDS), or the assumed Michaelis-Menten kinetics of BNF (OPT). These parameter effects can be understood by conceptually considering the respective components of the NDS and OPT schemes (Fig. B9).

The effect of the alternative BNF process representations was significant also for predictions on other contemporary key N fluxes (Table 2, Fig. 7). In particular, we found a pronounced effect of BNF variation on predicted gaseous N_2O emission, including N_2O . This was not only the case for the contemporary period: our results demonstrate a large divergence in the CO_2 response of global N_2O emissions, which, integrated over time, would notably affect atmospheric N_2O concentrations. Notably, the N demand-based BNF models predicted BNF increases high enough to result in an increase in N_2O emission after some decades of eCO_2 . This result is a direct consequence of the representation of N loss processes in O-CN, which bases the magnitudes of loss fluxes on the size of the simulated soil inorganic N pool (Zaehle and Friend, 2010). ~~€~~ This approach is very common among TBMs (Zaehle and Dalmonech, 2011), but an alternative approach such as turnover-based N losses might lead to an attenuated effect of BNF uncertainty on N_2O emission.

With local exceptions, uncertainty in BNF had a small effect on the estimated contemporary global vegetation production (NPP) and C storage (Table 2). To first order, this can be

understood by the comparatively low contribution of BNF to annual N uptake in most ecosystems: In O-CN, as in most other TBMs, BNF only makes up approximately 10% of plant N acquisition, with the rest being satisfied by root N uptake (Table 2). Variation in BNF will therefore only affect plant growth to a smaller degree. In the case of O-CN, the variable C:N stoichiometry in organic tissues further implies that plant N gain does not directly entail plant growth (assuming other factors non-limiting), e.g. because tissue N concentrations may be increased to enable more efficient leaf photosynthesis. The small variation in contemporary NPP is further explained by the fact that despite regional differences in N limitation evidenced by moderate regional differences in foliar stoichiometry, on global average, the simulated vegetation growth was not strongly N limited for any BNF approach after model spin-up (1860). It was previously shown that the frequency distribution and median of simulated leaf C:N ratios in O-CN roughly corresponds to observations (Fig. S5 in Zaehle et al., 2010b). The simulated leaf C:N ratios were not close to the prescribed minimal and maximal values (Table A2) and approximately similar between BNF approaches (average global ratios between 30 (AET) and 33 (NDS, OPT)).~~In addition, model spin-up led to a quasi-equilibrium state, in which the variety in N input largely synchronized with variety in N losses, rather than propagate to NPP variety.~~

Unlike the small effect under contemporary conditions, the uncertainty in predicted BNF rates under eCO₂ had a sizeable effect on the predicted NPP and C sequestration, resulting from the differences in gradual ecosystem N accumulation (Fig. 7). The ecosystem N input from BNF became a crucial factor under increased vegetation N stress, and resulted in a 20% variation of the C sequestration per unit atmospheric CO₂ increase (the C-concentration interaction sensu Gregory et al. (2009)). This magnitude of variation is similar to the difference in the C-concentration interaction between entire C-N TBMs (c.f. Thornton et al., 2007; Zaehle et al., 2010a), notwithstanding the limited comparability of the absolute interaction terms due to heterogeneous experimental setups between our and the other studies. This finding underlines previous suggestions that understanding global BNF is important to enable better constrained global change predictions (Thomas et al., 2015).

Previous studies have already suggested the importance of future changes in BNF for estimates of the capacity of the terrestrial biosphere to respond to CO₂ fertilization (Hungate et al., 2003; Wang and Houlton, 2009). However, these studies were based on global or hemispheric means, assigned a posteriori stoichiometric ratios to bulk terrestrial C stocks,

1 ignored important components of the terrestrial N cycle (such as N losses), any transient
2 dynamics, and - more fundamentally - did not account for any interactions of BNF with the C
3 and N cycles. While our results are consistent with these studies regarding the likely
4 magnitude of the global BNF flux uncertainty, and possible consequences for terrestrial C
5 stocks, our study offers a more in-depth insight into the importance of BNF, as it dynamically
6 and in a transient manner accounts for all the major feedback mechanisms associated with
7 changing BNF. Model-model and model-data intercomparison for contemporary and
8 perturbed simulations have allowed us to isolate regions with high or low confidence in the
9 predicted BNF trends, and to identify measurements required to reduce uncertainty. Finally,
10 we have been able to make a first assessment on the consequence of BNF uncertainty for
11 future predictions of N₂O emissions, which have been ignored by the studies mentioned
12 above.

14 **5 Conclusions**

15 We have shown that the current generation of TBMs uses BNF representations that lead to
16 variable ecosystem flux predictions in both ambient and eCO₂ scenarios. The consequences of
17 this variation extend beyond the prediction of BNF rates to predictions of other key properties
18 such as ecosystem C storage and N₂O emissions. Given that estimating the severity of N
19 constraints on C cycle responses to global change is a major challenge for TBMs, this process
20 uncertainty needs to be resolved to enable more reliable model predictions. However, in light
21 of the deficient process understanding and limited observational constraints, finding better
22 ways to capture the largest natural ecosystem input of N in models will be challenging. Future
23 work is needed to build and improve on current process-oriented representations. The most
24 likely avenues will presumably include appropriate TBM representations of plant community
25 structural dynamics and phosphorus cycling (Thomas et al., 2015; Wieder et al., 2015). These
26 undertakings will prove challenging in themselves: Most TBMs still rely on more or less
27 static PFT representations of vegetation, and the global phosphorus cycle is even more poorly
28 constrained by quantitative process understanding than the N cycle (Reed et al., 2015). While
29 such additions will add new sources of model variation, we suspect BNF to be an example
30 where appropriate N cycle process representation can benefit from the introduction of
31 additional model complexity. Further, we would advise to include the concept of optimality in
32 future BNF representations, as in our estimation, OPT has performed reasonably in the

analysis presented here. Not least, current BNF model representations treat asymbiotic BNF negligently if at all. A more explicit inclusion of this pathway and its regulatory characteristics is warranted by the important role it plays in several ecosystems (Cleveland et al., 1999).

We contend that improving the representation of BNF in TBMs will be greatly aided by a future emphasis on field experiments conducted under environmental perturbations, and will likely require the inclusion of additional ecological and nutritional constraints.

Appendix A: BNF model description

This text gives full details about the different biological nitrogen (N) fixation (BNF) schemes applied in the O-CN model, as presented in Sect. 2.2. A full list of variables, parameters, and units can be found in Table A1.

AET (Sect. 2.2.2)

$$BNF = a * ET + b \quad , \quad (A1)$$

with slope a and intercept b and actual evapotranspiration ET (mm yr^{-1}).

PRO (Sect. 2.2.3)

$$BNF = c * (1 - e^{d*NPP}) \quad , \quad (A2)$$

with the heuristically derived coefficients c and d and net primary productivity NPP ($\text{g C m}^{-2} \text{yr}^{-1}$).

NDT (Sect. 2.2.4)

The BNF rate is a function of the carbon (C) available for energy investment into BNF (C_{inv}), the temperature function tf , and a prescribed BNF C investment cost per unit N fixed (c_{fix}):

$$BNF = C_{inv} / \left(\frac{C_{fix}}{tf} \right) \quad . \quad (A3)$$

The function tf scales with surface temperature and was adapted from Houlton et al. (2008):

$$tf = f * e^{g+h*T*(1-\frac{T}{T_i})}, \quad (A4)$$

where T is the surface temperature in °C. The C available for energy investment into BNF (C_{inv}) is defined as a fraction of the plants' labile C reserve (C_{labile}) and modified by two additional functions that represent temperature-scaling (ξ) and the dependence on the plants' N concentration (η):

$$C_{inv} = j * C_{labile} * \xi * \eta \quad , \quad (A5)$$

where j is the fraction of C_{labile} available for investment into BNF (as C_{labile} also contains the assimilated C available for allocation to plant growth). The ξ function sets C_{inv} to zero at extreme temperatures:

$$\xi = \max\left(1 - \frac{0.1}{tf}, 0\right) \quad . \quad (A6)$$

The η function scales C_{inv} with the plants' N status, represented by their leaf C:N ratios:

$$\eta = \max\left(\frac{CN_{Leaf,min}}{CN_{Leaf}} - \frac{CN_{Leaf,min}}{CN_{Leaf,act}}, 0\right) \quad , \quad (A7)$$

where $CN_{Leaf,min}$ is the prescribed minimum leaf C:N ratio, CN_{Leaf} is a prescribed average C:N ratio specific to the respective plant functional type (PFT), and $CN_{Leaf,act}$ is the actual instantaneous leaf C:N ratio. When $CN_{Leaf,act}$ is lower or equal to CN_{Leaf} , η is zero. Thus BNF only occurs when the leaf N concentrations are below the prescribed optimum.

NDS (Sect. 2.2.5)

$$BNF = BNF_L * C_{Leaf} \quad , \quad (A8)$$

where C_{Leaf} is the leaf C pool size and BNF_L is the BNF rate per unit leaf C , described in differential form:

$$\frac{\partial BNF_L}{\partial t} = \lambda * \psi - \sigma * BNF_L \quad , \quad (A9)$$

where σ is the PFT-specific time scale associated with the down-regulation of BNF, ψ is the plants' N demand per unit leaf C, and λ is the characteristic time scale of BNF up-regulation, based on the PFT-specific time scale λ_0 . For tropical plants, $\lambda = \lambda_0$. For all other PFTs, the up-regulation of BNF is light-driven and influenced by leaf shading:

$$\lambda = \lambda_0 * e^{-0.5*SLA*C_{Leaf}} , \quad (A10)$$

where SLA is the specific leaf area. The establishment of BNF is controlled by the plants' local N demand ψ per unit leaf C, which in turn is determined by the plant N deficit (D) and a function (κ) that scales the advantageousness of BNF with the plants' N status:

$$\psi = \frac{D*\kappa}{C_{Leaf}} . \quad (A11)$$

We define D as the difference between the N that is required to build new biomass from newly acquired C and the N that is available to the plant for allocation to new biomass:

$$D = NPP_{pot} * \frac{f_{cost}}{CN_{Leaf}} - N_{avail} , \quad (A12)$$

where NPP_{pot} is the allocatable C after respiration costs are satisfied, f_{cost} is a dimensionless scaling factor that accounts for the allocation of the N required to sequester one unit C based on the current allocation fractions to plant organs with different N concentrations, CN_{Leaf} is a prescribed leaf C:N ratio as an approximation to the target C:N ratio of newly grown biomass, and N_{avail} is the N available to the plant for new growth, defined as 0.9 times the size of the plant's labile N reserve. κ is a function representing the hypothesis that BNF is more opportune if the plant's growth is more severely N limited, indicated by the plant N status (x):

$$\kappa = \varphi * \frac{e^{-\varphi*x}}{1-e^{-\varphi}} , \quad (A13)$$

with the parameter φ . We define the plant's N status x by comparing its actual leaf C:N ratio to the prescribed minimum and maximum values:

$$x = 1 - \frac{1/CN_{Leaf,min}^{-1}/CN_{Leaf,act}}{1/CN_{Leaf,min}^{-1}/CN_{Leaf,max}} . \quad (A14)$$

$CN_{Leaf,min}$ and $CN_{Leaf,max}$ are the PFT-specific minimum and maximum leaf C:N ratios attainable in O-CN, and $CN_{Leaf,act}$ is the actual instantaneous leaf C:N ratio. As the plant's actual leaf C:N ratio increases from $CN_{Leaf,min}$ to $CN_{Leaf,max}$, its N status decreases from 1 to 0.

OPT (Sect. 2.2.6)

To determine the instantaneous C gain per unit leaf area (k), we consider the relationship of gross primary productivity (GPP) and the fraction of absorbed photosynthetically active radiation, which depends on the specific leaf area and leaf mass:

$$k = \frac{GPP}{1 - e^{-0.5 * SLA * C_{Leaf}}} \quad . \quad (A15)$$

We then derive the marginal C gain with C investment into leaves, gc , from the difference in k when an infinitesimal amount of leaf C (δC) is added to the vegetation:

$$gc = k * (e^{-0.5 * SLA * C_{Leaf}} - e^{-0.5 * SLA * (C_{Leaf} + \delta C)}) \quad . \quad (A16)$$

In O-CN, the increase in root N uptake (N_{up}) with a small increase in root C (C_{Root}) is linear, therefore we approximate the marginal increase of N_{up} with C investment into fine roots, gn , as the instantaneous C_{Root} -specific N uptake:

$$gn = \frac{N_{up}}{C_{Root}} \quad , \quad (A17)$$

We then evaluate the C cost of N uptake (r_{Nup}) as:

$$r_{Nup} = \frac{gc}{gn} \quad . \quad (A18)$$

If r_{Nup} is larger than the C cost of BNF (r_{Fix} , assumed constant), BNF is calculated as a saturating function of $(r_{Nup} - r_{Fix})$ and root mass:

$$BNF = C_{Root} * v_{max,Fix} * \frac{(r_{Nup} - r_{Fix})}{k_{Fix} + (r_{Nup} - r_{Fix})} \quad , \quad (A19)$$

where $v_{max,Fix}$ is a maximum BNF rate and k_{Fix} is a half-saturation constant. In case the C cost of BNF is higher than the cost of root N uptake, no symbiotic BNF occurs.

Asymbiotic BNF (Sect. 2.2.7)

The asymbiotic BNF rate scales with the same temperature function applied in the NDT approach, but rather than the surface temperature, the function ts involves the soil temperature T_s :

$$ts = m * e^{n+o*T_s*(1-\frac{T_s}{p})} \quad . \quad (A20)$$

Asymbiotic BNF is only calculated for the fraction of the soil surface receiving solar energy. We consider light limitation by applying the simple shading function vf , causing BNF to converge towards zero with canopy closure:

$$vf = e^{(-0.5*SLA*C_{Leaf})} \quad , \quad (A21)$$

where SLA is the specific leaf area of the respective PFT and C_{Leaf} is the leaf C pool size. Also, the limiting effect of drought conditions on heterotrophic BNF is taken into account by including the soil moisture function Φ :

$$\Phi = \frac{\sigma}{z*\sigma_{max}} \quad , \quad (A22)$$

where σ is the current amount of water stored in the soil, z is the total depth of the soil reservoir, and σ_{max} is the amount of water stored in a water saturated soil column. The asymbiotic BNF rate is then obtained as:

$$BNF_a = BNF_{a,max} * ts * vf * \Phi \quad , \quad (A23)$$

where $BNF_{a,max}$ is the maximum asymbiotic BNF rate [Cleveland *et al.*, 1999].

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Table 1. Overview of the different biological nitrogen (N) fixation (BNF) models used in this study. Appendix A provides full details of the models. NPP = net primary productivity; ET = actual evapotranspiration (excluding soil evaporation), T = air temperature.

BNF model	FOR	AET	PRO	NDT	NDS	OPT
Type	Forcing	Empirical		N-demand based		Optimal
Asymbiotic BNF	Global map of BNF rates, based on correlation with ET; BNF converges towards zero when soil N pool exceeds 2 g N m ⁻²	f(soil temperature, shading, soil moisture)				
Symbiotic BNF		f(ET)	f(NPP)	f(plant N demand, <i>T</i> , plant labile C reserve)	f(plant N demand, shading outside tropics, leaf C)	f(plant C cost of root N uptake, root C)
Reference	Zaehle and Friend (2010)	Cleveland et al. (1999)	Thornton et al. (2007)	-	Gerber et al. (2010)	Rastetter et al. (2001)

Table 2. Key ecosystem variables as simulated by O-CN applying the different biological nitrogen (N) fixation (BNF) models (global averages for 2000-2013, simulation A). ~~N-loss comprises gaseous and leaching losses of ammonium and nitrate from the soil.~~ MRD denotes the median relative deviation from the respective model-median. For BNF, MRD is taken for the sums of asymbiotic and symbiotic BNF. The same holds for the BNF estimate from FOR, as this model does not distinguish between the two pathways of BNF. ~~"Obs" gives literature estimates of global N fluxes where possible.~~ "N accumulation" denotes the change in the vegetation and soil N stocks over the year 2000. Our simulations did not include N losses from fire. Note that rounding errors may affect the budget between inputs, losses, and accumulation to a small degree. ~~"Obs" gives literature estimates of global N fluxes where possible.~~ ~~Not shown are additional forcing inputs of reactive N from atmospheric deposition (63 Tg N yr⁻¹) and fertilizer application (98 Tg N yr⁻¹).~~

	MRD	FOR	AET	PRO	NDT	NDS	OPT	Obs
GPP (Pg C yr ⁻¹)	1%	152	153	153	154	156	149	123-175 ^a
NPP (Pg C yr ⁻¹)	2%	74	73	75	76	79	76	59.9-62.6 ^b
Plant root N uptake (Tg N yr ⁻¹)	<u>2%</u>	<u>1349</u>	<u>1250</u>	<u>1275</u>	<u>1281</u>	<u>1338</u>	<u>1267</u>	
<u>N input (Tg N yr⁻¹)</u>	<u>5%</u>	<u>272</u>	<u>284</u>	<u>266</u>	<u>274</u>	<u>294</u>	<u>254</u>	
<u>N deposition</u>	-	<u>63</u>	<u>63</u>	<u>63</u>	<u>63</u>	<u>63</u>	<u>63</u>	
<u>N fertilizer</u>	-	<u>83</u>	<u>83</u>	<u>83</u>	<u>83</u>	<u>83</u>	<u>83</u>	
Symbiotic BNF (Tg N yr ⁻¹)	<u>120%</u>	<u>12426</u>	<u>13237</u>	<u>11059</u>	<u>12137</u>	<u>14337</u>	<u>93106</u>	44-290 ^c
Asymbiotic BNF (Tg N yr ⁻¹)			1.6	1.5	1.6	1.4	1.5	
Plant N root uptake (Tg N yr ⁻¹)	<u>2%</u>	<u>1349</u>	<u>1250</u>	<u>1275</u>	<u>1281</u>	<u>1338</u>	<u>1267</u>	

N losses (Tg N yr⁻¹)	<u>108%</u>	<u>27556</u>	<u>27563</u>	<u>25646</u>	<u>22432</u>	<u>25858</u>	<u>21428</u>	
<u>N₂ emission</u>	<u>15%</u>	<u>90</u>	<u>99</u>	<u>91</u>	<u>86</u>	<u>92</u>	<u>89</u>	
N₂O emission (Tg N yr⁻¹)	14%	13	13	12	11	12	10	<u>8.5- 103.78</u> ^d
<u>NO_x emission</u>	<u>8%</u>	<u>13</u>	<u>13</u>	<u>12</u>	<u>11</u>	<u>12</u>	<u>11</u>	<u>8.7-11.7</u> ^d
<u>NH₃ emission</u>	<u>26%</u>	<u>5</u>	<u>5</u>	<u>5</u>	<u>3</u>	<u>6</u>	<u>3</u>	<u>31.4-40.4</u> ^d
<u>N-leaching (Tg N yr⁻¹)</u>	9%	108	105	99	92	108	88	59 ^e
<u>Harvest</u>	<u>3%</u>	<u>27</u>	<u>29</u>	<u>28</u>	<u>29</u>	<u>28</u>	<u>28</u>	
<u>N accumulation (Tg N yr⁻¹)</u>	<u>34%</u>	<u>15</u>	<u>20</u>	<u>19</u>	<u>39</u>	<u>33</u>	<u>25</u>	
<u>N loss / mineralization</u>	<u>6%</u>	<u>0.19</u>	<u>0.19</u>	<u>0.18</u>	<u>0.17</u>	<u>0.18</u>	<u>0.17</u>	
<u>N loss / accumulation</u>	<u>37%</u>	<u>17</u>	<u>13</u>	<u>13</u>	<u>6</u>	<u>8</u>	<u>9</u>	

1 ^aBeer et al. (2010), Welp et al. (2011).

2 ^bSaugier and Roy (2001).

3 ^cCleveland et al. (1999), Galloway et al. (2004), Vitousek et al. (2013).

4 ^dOlivier et al. (1998) ~~and~~ Ciais et al. (2013).

5 ^eBoyer et al. (2006).

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Table A1. List of variable and parameter names used in the description of the biological N fixation (BNF) models (Appendix A). C: Carbon; N: Nitrogen; PFT : Plant functional type. PFT-specific parameters are given in Table A2.

Variable / Parameter	Description	Value, Unit
Shared		
<i>BNF</i>	Symbiotic BNF rate	$\text{g N m}^{-2} \text{yr}^{-1}$
<i>SLA</i>	Specific leaf area	$\text{m}^2 \text{g}^{-1} \text{C}$
<i>C_{Leaf}</i>	Plant leaf C pool	g C m^{-2}
<i>CN_{Leaf,min}</i>	Minimum attainable leaf C:N ratio <u>(PFT-specific)</u>	-
<i>CN_{Leaf,max}</i>	Maximum attainable leaf C:N ratio <u>(PFT-specific)</u>	-
<i>CN_{Leaf}</i>	Standard leaf C:N ratio (PFT-specific)	-
<i>CN_{Leaf,act}</i>	Actual leaf C:N ratio	-
AET		
<i>ET</i>	Actual evapotranspiration	mm yr^{-1}
<i>a</i>	Slope of the linear function in Eq. A1	$0.00234 \text{ g N mm}^{-1} \text{m}^{-2}$
<i>b</i>	Intercept of the linear function in Eq. A1	$-0.0172 \text{ g N m}^{-2} \text{yr}^{-1}$
PRO		
<i>NPP</i>	Net primary production	$\text{g C m}^{-2} \text{yr}^{-1}$
<i>c</i>	Coefficient in Eq. A2	$1.8 \text{ g N m}^{-2} \text{yr}^{-1}$
<i>d</i>	Coefficient in Eq. A2	$-0.003 \text{ m}^2 \text{yr g}^{-1} \text{C}$
NDT		

tf	Temperature sensitivity function	-
T	Surface temperature	°C
f	Coefficient in Eq. A4	1.25
g	Coefficient in Eq. A4	-3.62
h	Coefficient in Eq. A4	0.27 °C ⁻¹
i	Reference temperature in Eq. A4	50.3 °C
j	Fraction of labile C pool for BNF investment in Eq. A5	0.05
C_{inv}	Instantaneously available C for investment into BNF	g C m ⁻²
C_{labile}	Plant labile C pool	g C m ⁻²
ξ	Temperature scaling function	-
η	Function scaling with plant N status	-
c_{fix}	C investment cost per unit N fixed	6 g C g ⁻¹ N yr ⁻¹

NDS

λ_0	Light-unlimited establishment rate of N fixers <u>(PFT-specific)</u>	yr ⁻¹
λ	Light-limited establishment rate of N fixers <u>(PFT-specific)</u>	
	Plant N demand per unit leaf C	yr ⁻¹
ψ	Plant N deficit	
D	Scaling function	g N m ⁻² g ⁻¹ C
κ	Allocatable C after respiration	g N m ⁻²
NPP_{pot}	N required for C-sequestration under current allocation <u>Scaling factor</u>	- g C m ⁻²
f_{cost}	Available N for plant growth	g N g⁻¹ C
N_{avail}	Parameter in Eq. A13	g N m ⁻²
φ	Plant N status function	3
x	BNF per unit leaf C	-
BNF_L	Decay rate of N fixers (PFT-specific)	g N m ⁻² yr ⁻¹
σ		yr ⁻¹

OPT

C_{Root}	Plant root C pool	g C m^{-2}
x	Instantaneous C gain per unit leaf area	$\text{g C m}^{-2} \text{yr}^{-1}$
GPP	Instantaneous gross primary production	$\text{g C m}^{-2} \text{yr}^{-1}$
gc	Marginal C gain with C investment into leaves	$\text{g C m}^{-2} \text{yr}^{-1}$
δC	Infinitesimal amount of C	g C m^{-2}
gn	Marginal N uptake increase with root C investment	$\text{g N m}^{-2} \text{yr}^{-1}$
N_{up}	Root N uptake	$\text{g N m}^{-2} \text{yr}^{-1}$
r_{Nup}	C cost of root N uptake	$\text{g C g}^{-1} \text{N}$
r_{Fix}	C cost of N fixation	$9 \text{ g C g}^{-1} \text{N}$
$v_{max,Fix}$	Maximum BNF per unit root C in Eq. A19	$0.0225 \text{ g N g}^{-1} \text{C yr}^{-1}$
k_{Fix}	Half-saturation constant in Eq. A19	$50 \text{ g C g}^{-1} \text{N}$

Asymbiotic BNF

ts	Temperature sensitivity function	-
T_s	Soil temperature	$^{\circ}\text{C}$
m	Coefficient in Eq. A20	1.25
n	Coefficient in Eq. A20	-3.62
o	Coefficient in Eq. A20	$0.27 ^{\circ}\text{C}^{-1}$
p	Reference temperature in Eq. A20	$50.3 ^{\circ}\text{C}$
νf	Light limitation function	-
Φ	Soil moisture function	-
σ	Amount of water in the soil	mm m^{-2}
z	Depth of soil water reservoir	2 m
σ_{max}	Maximum soil water content	150 mm m^{-3}
BNF_a	Asymbiotic BNF rate	$\text{g N m}^{-2} \text{yr}^{-1}$
$BNF_{a,max}$	Maximum asymbiotic BNF rate	$0.2 \text{ g N m}^{-2} \text{yr}^{-1}$

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Table A2. PFT-specific parameters. The CN parameters were used in all models, the λ_0 and σ parameters were used in the NDS model (see Table A1). The PFT classes are defined in Table B1.

<u>PFT</u>	<u>CN_{leaf}</u>	<u>CN_{leaf,min}</u>	<u>CN_{leaf,max}</u>	<u>λ_0 (yr⁻¹)</u>	<u>σ (yr⁻¹)</u>
<u>1</u>	<u>25</u>	<u>16</u>	<u>45</u>	<u>12</u>	<u>12</u>
<u>2</u>	<u>25</u>	<u>16</u>	<u>45</u>	<u>12</u>	<u>12</u>
<u>3</u>	<u>35</u>	<u>20</u>	<u>55</u>	<u>1</u>	<u>1</u>
<u>4</u>	<u>42</u>	<u>28</u>	<u>75</u>	<u>0.2</u>	<u>0.2</u>
<u>5</u>	<u>25</u>	<u>16</u>	<u>45</u>	<u>0.2</u>	<u>0.2</u>
<u>6</u>	<u>25</u>	<u>16</u>	<u>45</u>	<u>0.2</u>	<u>0.2</u>
<u>7</u>	<u>42</u>	<u>28</u>	<u>75</u>	<u>0.1</u>	<u>0.1</u>
<u>8</u>	<u>25</u>	<u>16</u>	<u>45</u>	<u>0.1</u>	<u>0.1</u>
<u>9</u>	<u>24</u>	<u>18</u>	<u>36</u>	<u>0.1</u>	<u>0.1</u>
<u>10</u>	<u>26</u>	<u>16</u>	<u>47</u>	<u>1</u>	<u>1</u>
<u>11</u>	<u>26</u>	<u>16</u>	<u>47</u>	<u>1</u>	<u>1</u>
<u>12</u>	<u>35</u>	<u>20</u>	<u>55</u>	<u>1</u>	<u>1</u>

Table B1. Adaptation of the vegetation types from the original data assembly (Cleveland et al., 1999; Table 13) into the plant functional types (PFTs) in O-CN ("Obs" in Fig. 4).

PFTs in O-CN	Vegetation types in Cleveland et al. [1999]
<u>1.</u> Tropical broadleaved evergreen	Tropical savannah (50%), tropical evergreen forest, xeromorphic forest, tropical forested floodplain, wet savannah (50%)
<u>2.</u> Tropical broadleaved raingreen	Tropical deciduous forest
<u>3.</u> C4 grasses	Tropical savannah (50%), tropical non-forested floodplain, wet savannah (50%)
<u>4.</u> Temperate needle-leaved evergreen	Temperate mixed forest (50%), temperate coniferous forest
<u>5.</u> Temperate broadleaved evergreen	Temperate broadleaved evergreen forest
<u>6.</u> Temperate broadleaved summergreen	Temperate mixed forest (50%), temperate deciduous forest, temperate forested floodplain, temperate steppe (30%), mediterranean shrubland, arid shrublands
<u>7.</u> Boreal needle-leaved evergreen	Boreal forest
<u>8.</u> Boreal broadleaved summergreen	Boreal woodland, moist tundra
<u>9.</u> Boreal needle-leaved summergreen	-
<u>10.</u> C3 grasses	Polar desert/alpine tundra, tall/medium grassland, short grassland, desert, temperate non-forested floodplain, temperate steppe (70%)
<u>11.</u> C3 crop plants	-
<u>12.</u> C4 crop plants	-

Figure 1. Scheme of nitrogen (N) cycle representation in O-CN. Reactive N species (ammonium, nitrate) enter the ecosystem through atmospheric deposition directly into the pool of soil inorganic N, as well as through biological N fixation (BNF, as ammonium). N from asymbiotic BNF (a) enters the soil inorganic N pool, whereas N from symbiotic BNF (s) becomes directly available to plants for allocation to their various organs. N in plant litter is assimilated into soil organic matter and may be mineralized and transferred to the soil inorganic N pool, depending on that pool's size and the C:N ratio of the soil organic matter. The soil inorganic N pool is depleted by plant root N uptake, immobilization (transfer to soil organic matter), as well as by leaching or gaseous loss processes. Global magnitudes of the key N fluxes in O-CN can be found in Table 2. O-CN does not include fluxes of geological N inputs, plant organic N uptake, or canopy N uptake.

Figure 2. Atmospheric CO₂ concentrations applied in the simulations.

Figure 3. Global biological nitrogen (N) fixation (BNF) and net primary production (NPP) rates, as simulated by O-CN (simulation A) applying the six different BNF models for 2000-2013. **(a)** Model-median BNF ($\text{g N m}^{-2} \text{ yr}^{-1}$). **(b)** Median relative deviation (MRD) from the median BNF across models (%). **(c)** Model-median NPP ($\text{kg C m}^{-2} \text{ yr}^{-1}$). **(d)** MRD from the median NPP across models (%). Figures B1 and B2 provide BNF and NPP maps for each model separately.

Figure 4. Average biological nitrogen (N) fixation (BNF) rates in different biome types as simulated by O-CN, applying the different BNF models for the year 2000-2013 (simulation A). **(a)** Total global BNF rates (Tg N yr^{-1}), segments indicate the contributions of individual biome types. "Obs" denotes data-based estimates, as published in Table 13 of Cleveland et al. (1999) (conservative estimates of total N fixation). **(b)** BNF rates ($\text{g N m}^{-2} \text{ yr}^{-1}$) as simulated

by the different BNF models, compared with the conservative estimates by Cleveland et al. (1999). For the modeled BNF rates, markers indicate the mean value over all grid cells that included the respective biome type, error bars indicate the corresponding standard deviation. The black line is the one-to-one line. Details on the classification of vegetation types from the data source into the plant functional types applied in O-CN can be found in Table B1.

Figure 5. Responses in simulated biological nitrogen (N) fixation (BNF) and net primary production (NPP) rates to elevated atmospheric CO₂ concentrations (eCO₂), taken as the difference between the simulations B (eCO₂) and A (ambient CO₂), averaged over the experiment years 140-153, corresponding to a difference in atmospheric CO₂ concentrations of 211 ppm. **(a)** Absolute model-median BNF responses. **(b)** Relative model-median BNF responses ((treatment/control -1)×100, %). **(c)** Absolute model-median NPP responses. **(d)** Relative model-median NPP responses. Figures B3 and B4 provide BNF and NPP maps for each model separately.

Figure 6. Net primary productivity (NPP) and biological nitrogen (N) fixation (BNF) responses to elevated atmospheric CO₂ concentrations (eCO₂), taken as the absolute difference between the simulations B (eCO₂) and A (ambient CO₂), averaged over the experiment years 140-153, corresponding to a difference in atmospheric CO₂ concentrations of 211 ppm. Each marker represents one global latitudinal band of 1° extent. **(a)** Responses in the boreal latitudes (90 - 61°N). **(b)** Responses in the temperate latitudes (60 - 31°N, 31 - 60°S). **(c)** Responses in the tropical latitudes (30°N - 30°S).

Figure 7. Simulated ecosystem responses to elevated atmospheric CO₂ concentrations (eCO₂) as global time series, obtained using six different biological nitrogen (N) fixation (BNF) schemes. Curves show the differences between the simulations B (atmospheric CO₂ concentrations gradually increasing from 286 ppm to 600 ppm) and C (atmospheric CO₂ fixed at 286 ppm). Markers show the responses between the simulations D (observed atmospheric CO₂ +200 ppm) and A (observed atmospheric CO₂), calculated as averages over the simulation years 136-140. They are plotted at the simulation year 108, so that for all

responses, the difference between control and treatment in atmospheric CO₂ concentration was approximately 200 ppm. **(a)** Relative BNF responses ((treatment/control-1)×100). **(b)** Relative net primary production (NPP) responses. **(c)** Absolute ecosystem carbon (C) storage responses (treatment - control). **(d)** Absolute N₂O emission responses.

Figure B1. Global biological nitrogen (N) fixation (BNF) rates, as simulated by O-CN applying the six different BNF models for 2000-2013. **(a)** FOR; **(b)** AET; **(c)** PRO; **(d)** NDT; **(e)** NDS; **(f)** OPT.

Figure B2. Global net primary productivity (NPP) rates, as simulated by O-CN applying the six different biological nitrogen fixation models for 2000-2013. **(a)** FOR; **(b)** AET; **(c)** PRO; **(d)** NDT; **(e)** NDS; **(f)** OPT.

Figure B3. Responses in simulated biological nitrogen (N) fixation (BNF) rates to elevated atmospheric CO₂ concentrations (eCO₂, Fig. 5, (treatment/control -1)×100), averaged over the experiment years 140-153. **(a)** FOR; **(b)** AET; **(c)** PRO; **(d)** NDT; **(e)** NDS; **(f)** OPT.

Figure B4. Responses in simulated net primary productivity (NPP) rates to elevated atmospheric CO₂ concentrations (eCO₂, Fig. 5, (treatment/control -1)×100), averaged over the experiment years 140-153. **(a)** FOR; **(b)** AET; **(c)** PRO; **(d)** NDT; **(e)** NDS; **(f)** OPT.

Figure B5. Simulated (simulation A) global relationship between biological nitrogen (N) fixation (BNF) and evapotranspiration (ET), averaged for 2000-2013. Each marker represents one O-CN grid cell. Colors indicate the dominant vegetation type in each grid cell. Trop = Tropical forest, C4 = C₄ grassland, Temp = Temperate forest, Bor = Boreal forest, C3 = C₃ grassland, Crop = Agriculture.

Figure B6. Simulated (simulation A) global relationship between biological nitrogen (N) fixation (BNF) and net primary productivity (NPP), averaged for 2000-2013. Each marker represents one O-CN grid cell. Colors indicate the dominant vegetation type in each grid cell. Trop = Tropical forest, C4 = C₄ grassland, Temp = Temperate forest, Bor = Boreal forest, C3 = C₃ grassland, Crop = Agriculture.

Figure B7. Simulated (A) global relationship between biological nitrogen (N) fixation (BNF) and the relative distance of leaf C:N ratios from the minimal value ("N stress factor"), averaged for 2000-2013. Each marker represents one O-CN grid cell. Colors indicate the dominant vegetation type in each grid cell. Trop = Tropical forest, C4 = C₄ grassland, Temp = Temperate forest, Bor = Boreal forest, C3 = C₃ grassland, Crop = Agriculture.

Figure B8. Simulated (A) global relationship between biological nitrogen (N) fixation (BNF) and surface temperature (T), averaged for 2000-2013. Each marker represents one O-CN grid cell. Colors indicate the dominant vegetation type in each grid cell. Trop = Tropical forest, C4 = C₄ grassland, Temp = Temperate forest, Bor = Boreal forest, C3 = C₃ grassland, Crop = Agriculture.

Figure B9. Conceptual parameter sensitivity in the NDS and OPT models. **(a) NDS:** Sensitivity of the scaling function κ , that scales plant N demand with plant N status according to Eqs. A13 and A14, to variation in the current leaf C:N ratio $CN_{\text{Leaf,act}}$ and the scaling parameter ϕ . We assumed that $CN_{\text{Leaf,min}}=20$ and $CN_{\text{Leaf,max}}=40$. **(b) OPT:** Sensitivity of BNF ($\text{g N m}^{-2} \text{ yr}^{-1}$) to variation in the root N uptake cost r_{Nup} ($\text{g C g}^{-1} \text{ N}$) and the half-saturation constant k_{Fix} ($\text{g C g}^{-1} \text{ N}$) according to Eq. A19. C_{root} was fixed at 200 g C m^{-2} , $v_{\text{max,Fix}}$ was fixed at $0.0225 \text{ g N g}^{-1} \text{ C yr}^{-1}$, and r_{Fix} was fixed at $9 \text{ g C g}^{-1} \text{ N}$. The arrow indicates that BNF is zero when $r_{\text{Nup}}=r_{\text{Fix}}$, therefore variation in r_{Fix} would shift the functions in x-direction. **(c) OPT:** Sensitivity of BNF to variation in r_{Nup} and the maximum BNF per unit root C, $v_{\text{max,Fix}}$, according to Eq. A19. C_{root} was fixed at 200 g C m^{-2} , k_{Fix} was fixed at $50 \text{ g C g}^{-1} \text{ N}$, and r_{Fix} was fixed at $9 \text{ g C g}^{-1} \text{ N}$.