

Dear Clare Woulds,

Thank you for your positive response.

Please find below a detailed response to your request for further changes, and a copy of the manuscript with these changes highlighted using track changes. I have also uploaded a separate pdf version with these changes accepted as required by the submission system.

Please note that below, page and line numbers refer to this document.

Please also note that I have changed the reference to my submitted paper to JMS back to 'in prep.', as this journal could not find a sufficient number of reviewers. I will submit it to a different journal in the very near future.

Yours sincerely,

Johan van der Molen

1) In your response to the reviewer comment starting on page 4 line 15 (of the author response document) you have provided an extra figure and given detail of where and by how much the model differs from observations. Please now add some discussion and justification to address the question of whether a model which does not reproduce tides accurately in part of the region it covers is suitable for modelling far field effects. In particular, the reviewer asks '...why the model is failing elsewhere, such as the Celtic Seas, and do these failure mechanisms come into play in the modified tidal system?' please provide an answer to this in the manuscript.

*A: I'm sorry, I had somehow or other missed this.*

*p. 17, l. 4, add: ...and in the North Sea...*

*p. 17, l. 11, add paragraph:*

The larger differences of modelled tides with observations in the Irish and Celtic Seas are most likely due to the local bathymetry, topography and coast-line geometry which are much more complex over extensive areas than elsewhere in the model domain and not always captured at the model resolution, combined with very high tidal ranges. These kind of differences have also been reported before for other models of the Irish and Celtic Seas and have been shown to reduce with increased model resolution (Jones and Davies, 1996; Young et al., 2004; Jones and Davies, 2007). The validation results indicate that these differences are contained within the Celtic and Irish Seas, and hence are unlikely to influence the changes in tides, spm concentrations and biogeochemistry found in the North Sea in response to the tidal power extraction scenarios presented here.

*p. 24, l. 15, add reference:*

Jones, J.E., and Davies, A.M.: A high-resolution, three-dimensional model of the M2, M4, M6, S2, N2, K1 and O1 tides in the eastern Irish Sea, Est., Coast. and Shelf Sci. 42, 311-346, 1996.

*p.28, l. 1, add reference:*

Young, E.F., Brown, J., Aldridge, J.N., Horsburgh, K.J., Fernand, L.: Development and application of a three-dimensional baroclinic model to the study of the seasonal circulation in the Celtic Sea, Cont. Shelf Res. 24, 13-36, 2004.

2) The point made by the reviewer at the top pf page 11 (of the author response document) is not fully addressed in the change made to the manuscript. Please amend so that you directly

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1 mention the feature off Ireland then give the range of explanations for why it is there.  
2 *A: This point did not seem important enough to include a lot of detail, so I summarised it into*  
3 *one additional sentence in order not to interrupt the flow of the text. However it's now been*  
4 *expanded.*  
5 *p. 15, l. 30, add: ...and to the south of Ireland...*  
6 *p. 16, l. 1, add: ...tides in the North Sea at the...*  
7 *p. 16, l. 2, add:*  
8 Note that the graphical representation, with a colour change at zero, brings these features out;  
9 they would not show up if the smallest colour bin was straddling zero. At the extreme  
10 southwestern end at the shelf edge, the change was slightly more prominent, probably because  
11 the strong spatial gradients make it more sensitive to changes. For the 800 MW scenario, the  
12 filtering mechanism removed these small changes.  
13 *p. 16, l. 7-8, change sentence into:*  
14 For the 8 GW scenario, depending on the location, the changes in shear stress ran up to 10%  
15 of the reference scenario.  
16  
17

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# Potential environmental impact of tidal energy extraction in the Pentland Firth at large spatial scales: results of a biogeochemical model

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## Abstract

A model study was carried out of the potential large-scale (>100 km) effects of marine renewable tidal energy generation in the Pentland Firth, using the 3D hydrodynamics-biogeochemistry model GETM-ERSEM-BFM. A realistic 800 MW scenario and a high-impact scenario with massive expansion of tidal energy extraction to 8 GW scenario were considered. The realistic 800 MW scenario suggested minor effects on the tides, and undetectable effects on the biogeochemistry. The massive-expansion 8 GW scenario suggested effects would be observed over hundreds of kilometres away with changes of up to 10% in tidal and ecosystem variables, in particular in a broad area in the vicinity of The Wash. There, waters became less turbid, and primary production increased with associated increases in faunal ecosystem variables. Moreover, a one-off increase in carbon storage in the sea bed was detected. Although these first results suggest positive environmental effects, further investigation is recommended of: i) the residual circulation in the vicinity of the Pentland Firth and effects on larval dispersal using a higher resolution model; ii) ecosystem effects with (future) state-of-the-art models if energy extraction substantially beyond 1 GW is planned.

## 1 Introduction

### 1.1 Background

Techniques to generate marine renewable energy are maturing, with wind turbines currently being installed in hundreds to thousands, first commercial models of tidal energy generators becoming available, with wave-energy generators not far behind and macro-algae farming at field-testing research stage. Energy in the atmospheric and marine environment is a resource that is not replenished immediately and at a local scale by solar or orbital sources, and is subject to physical conservation laws. Hence, extracting energy for human use leaves less energy remaining in the system, at least for some distance downstream of the extraction area. As a result, if applied in large farms with hundreds of devices, marine renewable energy extraction has the potential to noticeably alter the local and regional hydrography, and through that influence the marine ecosystem. Potential effects on the physical marine environment include changes in tidal currents, residual circulation, wave climate, bed-shear stress and associated transport of materials, turbulence, turbidity, water temperature, salinity and stratification, and noise levels. Knock-on effects on the biological marine environment could include changes in nutrient and plankton transport (including larval stages), changes in primary production, changes in food availability and feeding and migration behavior, and resulting changes in species composition and distribution. All of these potential effects, including many others, have been identified in a series of review studies (Gill, 2005; Cada et al., 2007; Boehlert and Gill, 2010; Frid et al., 2012; Kadiri et al., 2012; Hooper and Austen, 2013). Whereas effects on the local hydrodynamics are often investigated as part of the design procedure, potential larger scale effects on the hydrodynamics and in particular the ecosystem are largely unknown, although the first studies are starting to emerge (see Neil et al., 2009 for tidal turbine effects on sediment dynamics in the Bristol Channel; Wolf et al., 2009 for effects of multiple tidal barrages in the Irish sea; Defne et al, 2011 for tidal energy extraction on estuarine hydrodynamics in Georgia, USA; Shapiro, 2011 for a hypothetical tidal farm in the Celtic Sea; Ahmadian and Falconer, 2012 for effects of tidal turbines on the hydrodynamics in the Bristol Channel; Aldridge et al., 2012 for a hypothetical macro-algae farm in the north-western North Sea; and van der Molen et al., 2014 for a hypothetical wind farm in the North Sea). These studies found a varying degree of potential impacts, depending on the location, the extraction technique and (subset of) processes under investigation and the models and assumptions used. These first results, combined with increasing (inter)national legislation to regulate the anthropogenic use

1 of the marine environment (eg., the Marine Strategy Framework Directive (European  
2 Commission, 2008) to promote healthy and productive seas), indicate that more should be done  
3 to investigate the effects of marine renewable energy extraction on the environment, including  
4 combined effects of large-scale extractions and interactions with other economic activities such  
5 as fishing, and climate change to ensure that marine renewable energy extraction can be carried  
6 out in a sustainable way. As the scales of extraction increase, and various farms/extraction  
7 schemes start to interact with one-another, more knowledge will become increasingly  
8 necessary.

9 Recently, the Crown Estate has licensed areas in the Pentland Firth and around the Orkney  
10 Islands for tidal and wave energy generation (The Crown Estate, 2013). Shields et al. (2009)  
11 outlined gaps in the knowledge on ecological impacts of tidal energy extraction in the Pentland  
12 Firth. Here, we assume that the licensed tidal power extraction in the Pentland Firth will be  
13 realised, and use a coupled hydrodynamics-biogeochemistry model to investigate the potential  
14 large-scale (hundreds to thousands of km) effects of on tides, currents, biogeochemistry and the  
15 planktonic and benthic ecosystem. In order to put this into perspective, provide a crude estimate  
16 for extrapolation, and give an indication of a far-future scenario and/or potential cumulative  
17 effects with (as yet hypothetical) multiple other extraction schemes 'upstream' of the Pentland  
18 Firth, we also investigated an enhanced, and at the current state of technology purely academic  
19 massive-expansion scenario in which ten times the licensed amount of energy was extracted.  
20 More detailed, local effects, including array optimization for combinations of criteria including  
21 power yield, cost and environmental effects, were investigated as part of the same project by  
22 Funke et al. (2014) and Martin-Short et al. (2015).

23

## 24 1.2 Study area

25 The shelf to the west and north of the UK (Figure 1) is typically one to several hundreds of km  
26 wide, and has a depth of 100-200 m. The Celtic and Irish Seas separate Ireland from the  
27 mainland of the UK, and the English Channel separates the UK from the continent in the south.  
28 The North Sea to the east of the UK has typical depths of over 100 m in the north, and less than  
29 50 m in the south. The North-west European shelf, and in particular the North Sea, support a  
30 high biological production, but are at the same time used heavily for a range of economic  
31 activities including shipping, fishing, oil and gas extraction, pipe lines, and aggregate

1 extraction, while also containing a large number of marine protected areas of various types (see,  
2 e.g., Paramor et al., 2009, OSPAR, 2010).

3 The Pentland Firth is a narrow strait situated between main-land Scotland and the Orkney  
4 Islands. It has a maximum water depth of 80 m in the main channel, and tidal current speeds in  
5 excess of  $3 \text{ ms}^{-1}$  (see Easton et al., 2012 for details on the tides in Pentland Firth). It serves as a  
6 conduit for some of the tidal energy propagating as Kelvin waves in a clockwise direction on  
7 the North-west European continental shelf along the Atlantic coasts of the UK, around the north  
8 of Scotland, into the North Sea, down the east coast of the UK and across to the coasts of the  
9 Netherlands, Germany, Denmark and Norway (see, eg., Holt et al., 2001). Also, some of the  
10 residual flows into the North Sea enter through the Pentland Firth. Within the North Sea, the  
11 tides interact with the topography, wave climate and river runoff to create a range of  
12 stratification and mixing conditions (Pingree et al., 1978; van Leeuwen et al., 2015), and sea  
13 bed disturbance and transport mechanisms (van der Molen, 2002; Aldridge et al., 2015). The  
14 North Sea supports a high level of primary productivity, which has been augmented by varying  
15 and, since 1985, gradually reducing levels of anthropogenic riverine nutrient loads, and which  
16 depends on local SPM concentrations that affect the availability of light (e.g., Lenhart et al.,  
17 2010).

18 For five sites (Figure 1), time-series observations of biogeochemical variables from SmartBuoy  
19 (Greenwood et al., 2010) were used for model confirmation (Section 3.2). Note that we have  
20 followed the definitions of verification, validation and confirmation proposed by Oreskes et al.  
21 (1994). Site 1, Warp Anchorage, is situated in well-mixed conditions at 15 m water depth in a  
22 channel in the Thames Estuary. Site 2, Liverpool Bay, is situated in intermittently stratified,  
23 river-influenced conditions (e.g., Verspecht et al., 2009) at 23 m water depth in the eastern Irish  
24 Sea, and forms part of the Liverpool Bay Coastal Observatory (<http://cobs.pol.ac.uk/cobs>). Site  
25 3, West Gabbard, is situated in well-mixed conditions in 32 m water depth in the southern bight  
26 of the North Sea. Site 4, Oyster Grounds, was situated in mostly seasonally stratified waters in  
27 45 m water depth. Site 5, North Dogger, was situated in seasonally stratified waters in 80 m  
28 water depth. Sites 4 and 5 were studied extensively as part of the Marine Ecosystem  
29 Connections programme (see Painting and Foster, 2013 and references therein).

## **2 Methods**

### **2.1 SmartBuoy**

SmartBuoys are instrumented moorings deployed to make high frequency measurements of physical, chemical and biological variables (Mills et al. 2005) which are published online (<https://www.cefas.co.uk/publications-data/smartbuoys/>). SmartBuoys have been deployed in UK and Dutch waters as components of monitoring programmes designed to meet the needs of international legislation such as the Marine Strategy Framework Directive and within specific research projects. SmartBuoys were configured to determine turbidity, chlorophyll fluorescence, salinity, temperature and dissolved oxygen and data processed according to Greenwood et al. (2010). Concentrations of suspended particulate matter and chlorophyll were derived from measurements of turbidity and chlorophyll fluorescence respectively (Greenwood et al. 2010).

Discrete samples were collected on all SmartBuoys using an automated Aquamonitor and subsequently analysed for TOxN (total oxidisable nitrogen) and silicate according to Gowen et al (2008). In addition on Warp, West Gabbard, Liverpool Bay and North Dogger, TOxN was determined using an automated in situ NAS-2E or NAS-3X nutrient analyser. Daily mean values were calculated from all data which passed the quality assurance process. All SmartBuoys in this study were operational for the whole period apart from North Dogger which was deployed between February 2007 and September 2008.

### **2.2 North-west European Shelf setup for GETM-ERSEM**

The 3D hydrodynamic model GETM (General Estuarine Transport Model, [www.getm.eu](http://www.getm.eu); Burchard & Bolding, 2002) solves the shallow-water, heat balance and density equations. It uses GOTM to solve the vertical dimension. For the current work, GETM was run on a spherical grid covering the area 46.4°N-63°N, 17.25°W-13°E with a resolution of 0.02° longitude and 0.05° latitude (approximately 5 km), and 25 non-equidistant layers in the vertical. The model bathymetry was based on the NOOS bathymetry ([www.noos.cc/index.php?id=173](http://www.noos.cc/index.php?id=173)). At this resolution, the Pentland Firth is resolved by several model grid cells, which cannot reproduce local detail, but should be sufficient to study the potential far-field effects of tidal energy extraction. The model was forced with tidal constituents derived from TOPEX-POSEIDON

1 satellite altimetry (LeProvost et al., 1998), atmospheric forcing from the ECMWF ERA-40 and  
2 Operational Reanalysis (ECMWF, 2006a,b), interpolated river runoff from a range of  
3 observational data sets (the National River Flow Archive  
4 ([www.ceh.ac.uk/data/nrfa/index.html](http://www.ceh.ac.uk/data/nrfa/index.html)) for UK rivers, the Agence de l'eau Loire-Bretagne,  
5 Agence de l'eau Seine-Normandie and IFREMER for French rivers, the DONAR database for  
6 Netherlands rivers, ARGE Elbe, the Niedersächsisches Landesamt für Ökologie and the  
7 Bundesanstalt für Gewässerkunde for German rivers, and the Institute for Marine Research,  
8 Bergen, for Norwegian rivers; see also Lenhart et al., 2010), and depth-resolved temperature-  
9 and salinity boundary conditions from ECMW-ORAS4 (Balmaseda et al., 2013; Mogensen et  
10 al., 2012; <http://www.ecmwf.int/products/forecasts/d/charts/oras4/reanalysis/>). Boundary  
11 conditions for nutrients are taken from the World Ocean Atlas monthly climatology (Garcia et  
12 al., 2010).

13  
14 The ERSEM-BFM (European Regional Seas Ecosystem Model - Biogeochemical Flux Model)  
15 version used here (19-02-2015) is a development of the model ERSEM III (see Baretta et al.,  
16 1995; Ruardij and Van Raaphorst, 1995; Ruardij et al., 1997; Vichi et al., 2003; Vichi et al.,  
17 2004; Ruardij et al., 2005; Vichi et al., 2007; Van der Molen et al., 2013; van der Molen et al.,  
18 2014; [www.nioz.nl/northsea\\_model](http://www.nioz.nl/northsea_model)), and describes the dynamics of the biogeochemical fluxes  
19 within the pelagic and benthic environment. The ERSEM-BFM model simulates the cycles of  
20 carbon, nitrogen, phosphorus, silicate and oxygen and allows for variable internal nutrient ratios  
21 inside organisms, based on external availability and physiological status. The model applies a  
22 functional group approach and contains five pelagic phytoplankton groups, four main  
23 zooplankton groups and five benthic faunal groups, the latter comprising four macrofauna and  
24 one meiofauna groups. Pelagic and benthic aerobic and anaerobic bacteria are also included.  
25 The pelagic module includes a number of processes in addition to those included in the oceanic  
26 version presented by Vichi et al. (2007) to make it suitable for temperate shelf seas: (i) a  
27 parameterisation for diatoms allowing growth in spring, (ii) enhanced transparent exopolymer  
28 particles (TEP) excretion by diatoms under nutrient stress, (iii) the associated formation of  
29 macro-aggregates consisting of TEP and diatoms, leading to enhanced sinking rates and a  
30 sufficient food supply to the benthic system especially in the deeper offshore areas (Engel,  
31 2000), (iv) a *Phaeocystis* functional group for improved simulation of primary production in  
32 coastal areas (Peperzak et al., 1998), (v) a pelagic filter-feeder larvae stage, and (vi) benthic



diatoms, including resuspension, transport and pelagic growth. The suspended particulate matter (SPM) module, containing contributions by waves and currents, and included for improved simulation of the under-water light climate, has been developed further compared to the version used by van der Molen et al. (2014). It now includes full 3D transport, according to formulations similar to the method of van der Molen et al. (2009), but uses only one SPM fraction subject to a concentration-dependent settling velocity to parameterise the effects of multiple grain sizes for computational efficiency (van der Molen et al., 2016in prep.). An experimental method to include resuspension of particulate organic matter as a proportion of the SPM resuspension is also included.

### 2.3 Model implementation of tidal turbines

For each grid cell in the model that contained tidal turbines, an additional frictional sink term  $S_f$  was applied to the u- and v-momentum equations, respectively, throughout the water column, using the mechanisms introduced in GETM by Rennau et al. (2012):

$$S_{f,u} = C_{d,t} u \sqrt{(u^2 + v^2)}, \quad S_{f,v} = C_{d,t} v \sqrt{(u^2 + v^2)} \quad (1)$$

where  $u$  and  $v$  are the depth-averaged horizontal velocity components in the longitudinal and latitudinal directions, respectively. The coefficient for the additional friction induced by the tidal turbines  $C_{d,t}$  was calculated as (Stefan Kramer, pers. comm., 2014):

$$C_{d,t} = \frac{1}{2} N C_{thr} \frac{\frac{\pi}{4} D_{rotor}^2}{dx dy H} \quad (2)$$

where  $dx$  and  $dy$  the local grid spacing in the longitudinal and latitudinal direction, respectively, in m,  $H$  the local instantaneous water depth,  $N$  the number of rotors (note that, depending on the make and type, a tidal energy generation device can consist of multiple rotors) in the grid cell,  $C_{thr}$  the non-dimensional thrust coefficient of each rotor, and  $D_{rotor}$  the rotor diameter. For this work, we have assumed Triton 3 Tidal Stream Generators (3 rotors of 1MW each per

device,  $D_{rotor}=20$  m), and have assumed a typical value  $C_{thr}=0.6$  (note that in reality, thrust coefficients tend to vary depending on operating conditions).

## 2.4 Model experiments

Because of differences in response times, and different requirements for model output, separate sets of model runs were carried out to study the effects on tidal propagation and biogeochemistry, respectively.

### 2.4.1 Tidal propagation

The hydrodynamics model was run from 1 January 1997 to 30 June 2001 from initial conditions consisting of a cold start for tides, and 3D temperature and salinity fields derived from ECMW-ORAS4. Subsequently, it was run for 6 months storing hourly fields, which were subjected to tidal harmonic analysis, resolving a residual, 5 diurnal, 11 semi-diurnal, and 5 shallow-water constituents for elevations and depth-averaged velocity components in the longitudinal and latitudinal directions.

The  $M_2$  tidal constituents were compared with data from tide gauges and current meters from Jones (1983), Gjevik and Straume (1989), Smithson (1992), MARIS (pers. comm., 1998), FRV (pers. comm., 1998), Young et al. (2000), Jones and Davies (2007), and Easton et al. (2012) (see Figure 1 for locations). In addition, time series of flow velocities within the Pentland Firth were compared with ADCP observations (Gardline Surveys, 2001), supplied originally from the Maritime and Coastguard Agency through the Environmental Research Institute and Heriot Watt University (see also Dillon, 2007), see Figure 2 for locations.

Subsequently, two model scenarios with tidal energy extraction were run: one scenario using a uniform distribution of the planned energy extraction within the Pentland Firth (800 MW as currently proposed, The Crown Estate, 2013), see Figure 2, and a similar scenario extracting 8 GW. A uniform distribution was chosen because the shelf model does not resolve the licensed areas; moreover an 8 GW extraction would likely occupy a substantial proportion of

the Pentland Firth. Harmonic analysis was carried out on these results, and the difference with the reference scenario was mapped for i) the  $M_2$  constituent to assess the main impact on overall tidal propagation, ii) the  $M_4$  constituent to assess the main impact on tidal asymmetry and potential effects on the transport of particulate material with a non-zero settling velocity, and iii) on the residual velocity to assess the potential effects on the transport of particulate and dissolved material.

#### 2.4.2 Biogeochemistry

The coupled hydrodynamics-biogeochemical model was run for three years: 2006-2008 (reference run). These years were chosen because of the availability of validation data, and to assess the potential of longer-term accumulation of the potential effects of tidal energy extraction. Longer runs would have been desirable, but were not possible with the financial and computational resource available. The spin-up period covered 2000-2005, with minor fixes to improve model stability applied in January 2004. The biogeochemistry state at the start of the spin-up period was taken from the end results of a run with an earlier, very similar model version covering 1995-2008. Model confirmation of this reference run consisted of a time-series comparison with SmartBuoy observations at 5 sites representing different hydrographic conditions, involving nutrient concentrations, SPM concentrations and chlorophyll concentrations (Greenwood et al., 2010). As nitrite concentrations are usually small, we compared modelled nitrate with observed TOxN. Subsequently, three scenario runs were carried out for 2006-2008: a duplicate reference run, and the 800 MW and 8 GW tidal energy extraction scenarios. For the purpose of this paper, annual averages were calculated for all ecosystem variables for each scenario for each year, and differences with the reference run were calculated. Investigation of changes within seasons could be considered for further work. Comparison of the reference run and the duplicate reference run indicated that results for water depths of more than several hundreds of metres (i.e. off the shelf edge, and to some extent in the Norwegian Channel) did not reproduce because of different realisations of stochastically driven eddy-type processes, and that some of these effects propagated onto the shelf, obscuring the effects of the tidal energy extraction. To remove these, the 800 MW and 8 GW scenarios were filtered by, for each ecosystem variable, applying the following mask to each of the wet points in the model:

1

$$M = \left[ \frac{|D_s|}{|D_R|} > T_1 \right] \& \left[ \nabla \frac{D_R}{|R|} < T_2 \right] \quad (3)$$

3

4 Here, the mask  $M$  gets a value of 0 or 1,  $D_s$  is the difference of the scenario and the reference  
 5 run,  $D_R$  the difference of the reference runs,  $R$  the value of the reference run,  $T_1=2.0$  and  $T_2=1.0$   
 6 empirical thresholds (the values of which were determined by trial and error), and  $\nabla$  a gradient  
 7 operator taking the magnitude of the local spatial gradient scaled by the horizontal grid-  
 8 averaged value of the wet points. Essentially, this filter removes cells with a small scenario  
 9 difference compared with the difference between the reference runs, and cells where the spatial  
 10 variability of the difference of the reference runs is high. We acknowledge that this filtering  
 11 method is relatively crude, and that it could be improved either by taking (multi-)decadal  
 12 averages, or by using means and standard deviations derived from a sufficiently large number  
 13 of realisations of the reference run. However, these methods would involve a computational  
 14 effort far beyond the resources available for this project. We are confident that the cheap method  
 15 applied here is effective enough to support the results presented in this paper.

16 As renewable energy generation is, among others, done to reduce CO<sub>2</sub> emissions, and carbon  
 17 cycling is an important element of the marine ecosystem, we also looked at effects on CO<sub>2</sub>  
 18 uptake from the atmosphere, and particulate carbon storage in the sea bed.

19

## 20 **3 Results**

### 21 **3.1 Tidal model confirmation**

22 Scatter plots of the difference between model and observations at the tide gauge and current-  
 23 meter locations (Figure 1, Figure 3) showed reasonable agreement for many stations. A  
 24 substantial number of stations showed substantial differences; these are located mostly within  
 25 the Irish Sea. M<sub>2</sub> elevation amplitudes typically agreed within 20 cm, but with high scatter for  
 26 amplitudes over 2 m. M<sub>2</sub> phases typically agreed within 30 degrees. M<sub>2</sub> current meter  
 27 amplitudes (magnitude of the semi-major axis of the current ellipse; exclusively from the Irish  
 28 and Celtic seas, Figure 1) mostly agreed within 15 cms<sup>-1</sup>, with phases within 30 degrees. M<sub>4</sub>  
 29 tidal elevation amplitudes were mostly within 5 cms<sup>-1</sup> of the observations, with high scatter and

1 a suggestion of under-prediction for amplitudes above 5  $\text{cm s}^{-1}$ .  $M_4$  phases were mostly within  
2 50 degrees.

3  
4 Considering the spatial distribution of the differences between model and observations in the  
5 area of interest around northern Scotland (Figure 4),  $M_2$  elevation amplitudes were mostly  
6 within a few cm, and  $M_2$  phases were within a few degrees.  $M_4$  elevation amplitudes were also  
7 within a few cm, but  $M_4$  phase differences were substantial, and negative in the west, and  
8 positive in the east. In the southern North Sea (Figure 5a) differences between modelled and  
9 observed  $M_2$  tidal elevations were typically within a few cm for offshore stations, and, with  
10 some exceptions, within 10 cm for coastal stations.  $M_2$  tidal phases (Figure 5b) were typically  
11 within 20 degrees. In the Celtic and Irish Seas (Figure 5c) differences between modelled and  
12 observed  $M_2$  tidal elevations ran up to several tens of cm, with over-estimations in the Bristol  
13 Channel and in the north around the southwestern Scottish islands, and under-estimations  
14 within the Irish Sea.  $M_2$  tidal phases (Figure 5d), with a few exceptions, were typically within  
15 15 degrees.

16  
17 Modelled current speeds at the ADCP locations (Figure 6) were more or less in phase with the  
18 observations. At ADCP site 1, the modelled difference between peak flood and ebb currents  
19 was substantially smaller than observed, with the model more or less reproducing the ebb  
20 currents, and underestimating flood currents. At ADCP site 2, the observed asymmetry between  
21 flood and ebb currents was much smaller than at site 1, and the model reproduced the currents  
22 very well.

### 24 3.2 Biogeochemical model confirmation

25 For SmartBuoy site 1 (Warp Anchorage, Figure 1), the seasonal cycle in SPM concentrations  
26 (Figure 7a) was reproduced by the model, but peak concentrations were over-estimated,  
27 probably because the buoy is in a sheltered position behind a sand bank that the model cannot  
28 resolve. Chlorophyll concentrations (Figure 7b) were represented well with good low winter  
29 concentrations, a slight early onset of the spring bloom, good representation of peak  
30 concentrations, and under-estimated autumn bloom values. Nutrient concentrations (Figure

7c,d) were overestimated substantially by the model, in particular in winter. This is an artifact of the newly introduced organic matter resuspension mechanism, which buries too much material in the coastal zone. This will be addressed in a subsequent model version.

At SmartBuoy site 2 (Liverpool Bay, Figure 1), SPM concentrations (Figure 8a) were slightly under-predicted. Chlorophyll concentrations (Figure 8b) were represented well. In similarity to Smartbuoy site 1, (winter) nutrient concentrations (Figure 8c,d) were substantially over-predicted.

At SmartBuoy site 3 (West Gabbard, Figure 1), peak concentrations of SPM (Figure 9a) were over-predicted, but with good representation of the seasonal cycle. Chlorophyll concentrations (Figure 9b) were represented well, but with under-estimation of the maximum spring bloom in two out of the three years. Nutrient concentrations (Figure 9c,d) were represented reasonably well.

Smartbuoy site 4 (Oyster Grounds, Figure 1) showed good seasonality but an over-estimate in peak SPM concentrations (Figure 10a), good representation of chlorophyll except for an over-estimate of spring-bloom values (Figure 10b), and good representation of nutrient concentrations (Figure 10c,d).

Winter SPM concentrations (Figure 11a) at Smartbuoy site 5 (North Dogger, Figure 1) were over-estimated, while chlorophyll concentrations (Figure 11b) were reasonable. Winter nutrient concentrations (Figure 11c,d) were approximately half the observed values.

To obtain an impression of how well the model captures temporal and spatial variations in chlorophyll concentrations, the modelled surface chlorophyll concentrations were compared with daily satellite-derived chlorophyll concentrations from the MODIS satellite ([modis.gsfc.nasa.gov](http://modis.gsfc.nasa.gov)), obtained from the Ifremer ftp server (<ftp.ifremer.fr:/ifremer/cersat/products/gridded/ocean-color/atlantic>, processed as described by Gohin et al. (2005) and Gohin (2011)) for the growing season of 2008 (Figure 12). Figure 12a presents the true model mean, and Figure 12b the satellite mean. The model results were sub-sampled to account only for clear days to obtain a less biased comparison with the satellite observations (Figure 12c); see Figure 12d for the number of clear days according to the satellite. Comparison of Figure 12a and c suggests that the satellite average may be an over-estimate of the true growing-season mean, possibly because of increased chlorophyll production during clear days and/or enhanced vertical mixing during cloudy (and most likely more windy) days. The bias in model chlorophyll as compared to the satellite (Figure 12e) suggested an over-

estimate in coastal chlorophyll concentrations as well as in the area between the Dogger Bank and the continental coast, and slight under-estimates in more offshore areas. The correlation between model and satellite was generally positive (Figure 12f), with areas of poor performance in the Norwegian Trench, the Atlantic Ocean off the shelf edge, and in the area near the Dogger Bank that coincides with the over-estimates of the mean. Similar comparisons of SPM concentrations with satellite observations are available in Van der Molen et al. [\(in prep. 2016\)](#).

### 3.3 Effects on tides

For the 800 MW scenario, differences in tidal elevations with the reference scenario were very small (Figure 13).  $M_2$  elevation amplitudes (Figure 13a) were up to 1 cm higher to the west of the Pentland Firth, and a few mm smaller along the east coast of the UK down to East Anglia.  $M_4$  elevation amplitudes (Figure 13b) were a few mm smaller within the Pentland Firth, and up to 1 mm higher in Moray Firth. For the 8 GW scenario,  $M_2$  elevation amplitudes (Figure 13c) were up to 8 cm higher to the west of the Pentland Firth, and up to 4 cm lower along the east coast of the UK.  $M_4$  elevation amplitudes (Figure 13d) were up to 3 cm smaller within the Pentland Firth, and up to 1 cm higher in the Moray Firth.

Considering currents (Figure 14), for the 800 GW scenario,  $M_2$  currents (Figure 14a) changed by up to 2  $\text{cms}^{-1}$  within the Pentland Firth, and by only a few  $\text{mms}^{-1}$  elsewhere. Changes in residual velocities (Figure 14b) were up to 3  $\text{cms}^{-1}$  in the Pentland Firth, and very small elsewhere. For the 8 GW scenario,  $M_2$  currents (Figure 14c) were similar within the Pentland Firth, and up to 10  $\text{cms}^{-1}$  different on either side of the Pentland Firth. Changes in residual velocities (Figure 14d) were up to 10  $\text{cms}^{-1}$  in the immediate vicinity of the Pentland Firth, and up to 5  $\text{cms}^{-1}$  at considerable distance away from the Pentland Firth.

### 3.4 Effects on biogeochemistry and ecosystem

The model detected increases in annually-averaged current-induced bed-shear stress around the Orkney's for both the 800 MW scenario (Figure 15c) and the 8 GW scenario (Figure 15e) (see Figure 15a for the results of the reference run). Moreover, reductions in shear stress were detected all along the UK east coast, with largest reductions in the vicinity of the Wash. For the 8 GW scenario, an increase was detected in the Straits of Dover. Furthermore, small changes were apparent in the English Channel [and to the south of Ireland](#) up to the shelf edge, most

1 likely due to the change in the tides in the North Sea at the partially reflecting boundary that  
2 the Straits of Dover present to this highly energetic tidal sub-system. Note that the graphical  
3 representation, with a colour change at zero, brings these features out; they would not show up  
4 if the smallest colour bin was straddling zero. At the extreme southwestern end at the shelf  
5 edge, the change was slightly more prominent, probably because the strong spatial gradients  
6 make it more sensitive to changes. For the 800 MW scenario, the filtering mechanism removed  
7 these small changes. For the 8 GW scenario, depending on the location, the changes in shear  
8 stress ran up to 10% of the reference scenario. For a large area centered around the Wash, where  
9 waters are shallow and shear stresses relatively large, these changes in bed-shear stress led to a  
10 reduction in annually-averaged surface SPM concentrations (Figure 15b,d,f). For the 8 GW  
11 scenario, this reduction in SPM concentration led to higher primary production in the light-  
12 limited area around the Wash as shown in Figure 16a,e. This was caused mainly by an increase  
13 in diatoms and phaeocystis colonies (not shown). Associated with this increase was a decrease  
14 in annually averaged nutrient concentrations, shown here for nitrate (Figure 16b,f). Similar  
15 changes were not detected for the 800 MW scenario (Figure 16c,d). Pelagic and benthic fauna  
16 profited from the increase in production in the 8 GW scenario, as shown here for omnivorous  
17 mesozooplankton and suspension feeders (Figure 17a,b,e,f). The zooplankton also showed  
18 increase biomass further north along the UK coast. This was also evident in the 800 MW  
19 scenario (Figure 17c), whereas suspension feeders did not show a response (Figure 17d). The  
20 reduced bed-shear stress also induced an increase in annually averaged particulate organic  
21 carbon in the sea bed in a wide area centered around the Wash for the 8 GW scenario (Figure  
22 18a,e). Again, nothing was detected for the 800 MW scenario (Figure 18c). For the sea-surface  
23 CO<sub>2</sub> flux, some spatial changes were suggested for both scenario's (Figure 18b,d,f), but no clear  
24 net change. All the results were presented for the last year of the three-year scenario runs, 2008,  
25 to allow the changes induced by introducing the turbines in January 2006 to become effective.  
26 The results were similar, however, to those found for 2006 and 2007 (not shown here for  
27 brevity), with the exception of a net air-to-sea CO<sub>2</sub> flux for 2006, which suggests a quick  
28 transition to a state with slightly higher carbon content. In addition to the results presented here,  
29 numerous other model variables were investigated, but none showed significant changes not  
30 related to the mechanisms presented here.

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## 4 Discussion and conclusions

### 4.1 Tides

The good agreement of the model with observed tidal characteristics in the area around Scotland and in the North Sea, and in particular with the ADCP observations within the Pentland Firth, indicated that the model is suitable to study the large-scale effects of tidal energy extraction in the Pentland Firth. The difference in tidal asymmetry between the two ADCPs suggests that local bathymetry plays an important role in these observations. Such differences cannot be expected to be picked up by a model of the resolution used. However, increasing the resolution would make the model too costly if run with a biogeochemistry model. For a very high-resolution study of tidal turbines in part of the Pentland Firth, see Martin-Short et al. (2015).

The larger differences of modelled tides with observations in the Irish and Celtic Seas are most likely due to the local bathymetry, topography and coast-line geometry which are much more complex over extensive areas than elsewhere in the model domain and not always captured at the model resolution, combined with very high tidal ranges. These kind of differences have also been reported before for other models of the Irish and Celtic Seas and have been shown to reduce with increased model resolution (Jones and Davies, 1996; Young et al., 2004; Jones and Davies, 2007). The validation results indicate that these differences are contained within the Celtic and Irish Seas, and hence are unlikely to influence the changes in tides, spm concentrations and biogeochemistry found in the North Sea in response to the tidal power extraction scenarios presented here.

The model results for the 800 MW scenario suggested that far-field effects on tidal elevations, currents and residual circulation would be negligible, and would most likely not be measurable. The model results for the 8 GW scenario suggested measurable changes in the Pentland Firth and Orkneys area, and along most of east coast of the UK. This change in the tidal system is equivalent with more radical results reported by Wolf et al. (2009) for power generation with multiple barrage systems in the Irish Sea. Changes in transport pathways should be expected within the Pentland Firth and its approaches for suspended and dissolved materials due to the changes in residual flows, and in the Morray Firth for bed-load materials due to the increase in tidal asymmetry; similar effects of tidal stream generators on a smaller, local scale were suggested by Neil et al. (2009) and Ahmadian and Falconer (2012). It is likely that, for realistic cases, the results presented here would be modulated to some extent by the actual spatial

distribution of tidal energy generation devices. The difference in the response of the  $M_2$  tidal currents within the Pentland Firth between the two scenarios suggests a change to complete friction-dominated conditions in the 8 GW scenario, resulting in only small changes in tidal velocities within the Pentland Firth as the energy extracted is compensated for by increased tidal surface elevation differences between the two ends of the channel. This result suggests that, as far as the response of the local tidal system within the Pentland Firth is concerned, large amounts of tidal energy can potentially be harvested without reducing the effectiveness of individual turbines by a reduction in overall current speeds. This result contrasts with that found by Shapiro (2011) for a farm at open sea, where the tidal flow progressively evaded the farm area with increasing power extraction.

The changes in tidal amplitude along the east coast of the UK suggest that local, high-resolution model studies of the impact of tidal energy devices should include sufficiently large spatial scales (in this case up to a few thousands of km) to prevent boundary conditions from affecting the results, either by i) using large-scale models with local grid refinement, ii) two-way nested models, or iii) one-way nested models with inclusion of the energy extraction at all nest levels.

## 4.2 Biogeochemistry

The model results for SPM, chlorophyll, nitrate and silicate corresponded well with time-series observations from 5 stations situated in very different hydrographic conditions. The exception was winter-nutrient concentrations in the near-shore locations, which were over-estimated. As the most dominant effects of the tidal energy extraction scenarios were in a very turbid area where phytoplankton growth is light-limited, this artifact is not expected to affect the main results of this study.

For the 800 MW scenario, as was to be expected from the minor changes in tidal conditions, and apart from coherent minor changes in bed-shear stress and SPM concentrations along the (central and southern parts of) the UK east coast, the biogeochemical model did not demonstrate clear differences with the reference scenario.

For the 8 GW scenario, changes in ecosystem variables of up to 10% were simulated in a substantial area in the vicinity of The Wash. The mechanism was through reduced bed-shear stress, reduced SPM concentrations and increased light availability, leading to increased primary production, secondary production and benthic biomass. This mechanism has also been

identified in earlier studies on potential and observed effects of tidal barrages (Radford and Ruardij, 1987; Kadiri et al., 2012; Hooper and Austen, 2013). These studies focused on the local scale, however, making direct comparison and contrasting of barrage and tidal stream methods difficult because the present study does not resolve the local scales in detail. For some ecosystem variables, changes also occurred further north along the coast. In terms of carbon cycling, we found a minor increase in particulate carbon content in the sea bed in the area associated with the increase in productivity. This increase was most likely caused primarily by a combination of increased production of detrital material, improved hydrodynamic conditions for settling of particulates, and a reduction in current-induced resuspension relative to the amount of detritus in the sediments (the absolute resuspension and settling rates increased, but to a smaller proportion than the content of detritus in the sediments). Aerobic benthic bacterial biomass also increased in the model, so the increase in particulate carbon in the sea bed was probably reduced by an increase in bacterial decomposition. It is possible that changes in bioturbation associated with the increase in benthic biomass also influenced the balance, but information on this activity was not stored. This increase in benthic particulate organic carbon content appeared to be a one-off, acquired as the system adjusted in the first year of the scenario simulation, and did not change substantially in the subsequent two years.

#### **4.3 Concluding remarks**

The model did not detect significant changes for the currently licensed energy extraction of 800 MW, with potential exception of residual currents in the vicinity of the Pentland Firth. These need to be investigated further, at higher resolution, and in conjunction with particle tracking to assess potential effects on larval dispersal and recruitment. A broad area in the vicinity of The Wash appeared to be most sensitive to the massive-expansion 8 GW scenario. The model results indicated an increase in productivity. Local fisheries could benefit, in particular of shell fish and crustaceans. A limited, one-off increase in carbon storage in the sea bed was simulated, which could be regarded as an additional positive contribution to mitigating CO<sub>2</sub>-induced climate change. However, the authors are of the opinion that further investigations of far-field effects would be advisable if tidal energy extraction was planned beyond the currently licensed 800 MW, or if substantial additional tidal energy extraction were planned at other sites along the coast, as the effects of multiple sites are likely to interact (Wolf et al., 2009). Moreover,

1 interactions with climate change and potential effects of other marine renewable energy  
2 generation schemes should be investigated.  
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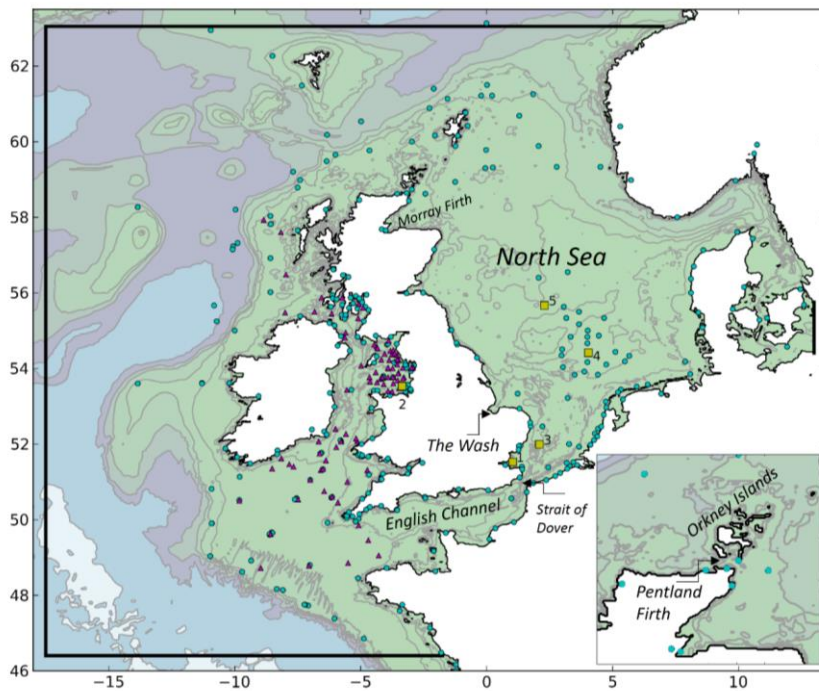
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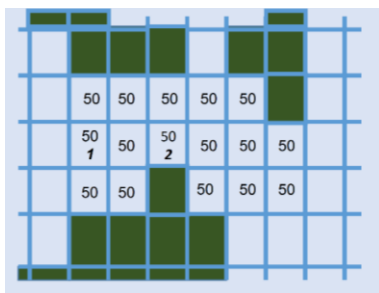
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3 Figure 1. Model area (thick line) with tide gauge (green circles), current meter (purple  
4 triangles) stations and SmartBuoy stations (yellow squares; 1: Warp Anchorage, 2: Liverpool  
5 Bay, 3: West Gabbard, 4: Oyster Grounds, 5: North Dogger ). Depth contours: 25, 40, 80,  
6 150, 300, 600, 1200, 2400, 4800 m. Inset: Pentland Firth area.

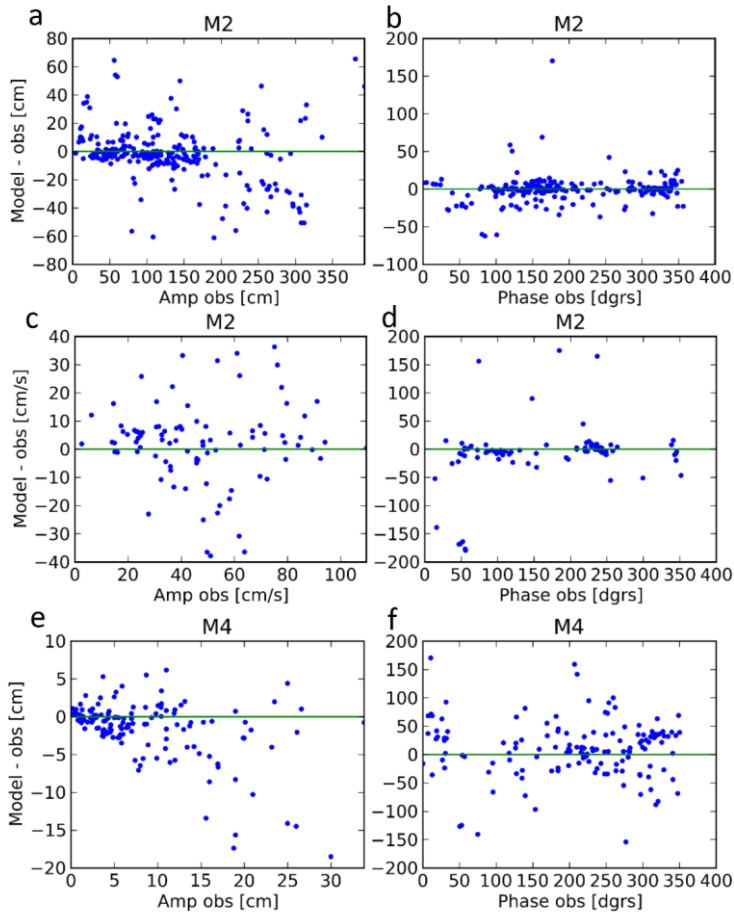
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9 Figure 2. Model grid in the Pentland Firth, with uniform distribution of 800 MW tidal power  
10 extraction (numbers in MW). Bold, italic numbers indicate the grid cells coinciding with the  
11 ADCP locations. Green coloured cells are land.

1



2

3 Figure 3. Scatter diagrams of difference of model results and observations for a) and b): M2  
 4 tidal elevation amplitudes and phases, c) and d) M2 tidal current speed ellipse semi-major  
 5 axis and phase, and d) and e) M4 tidal elevation amplitudes and phases.

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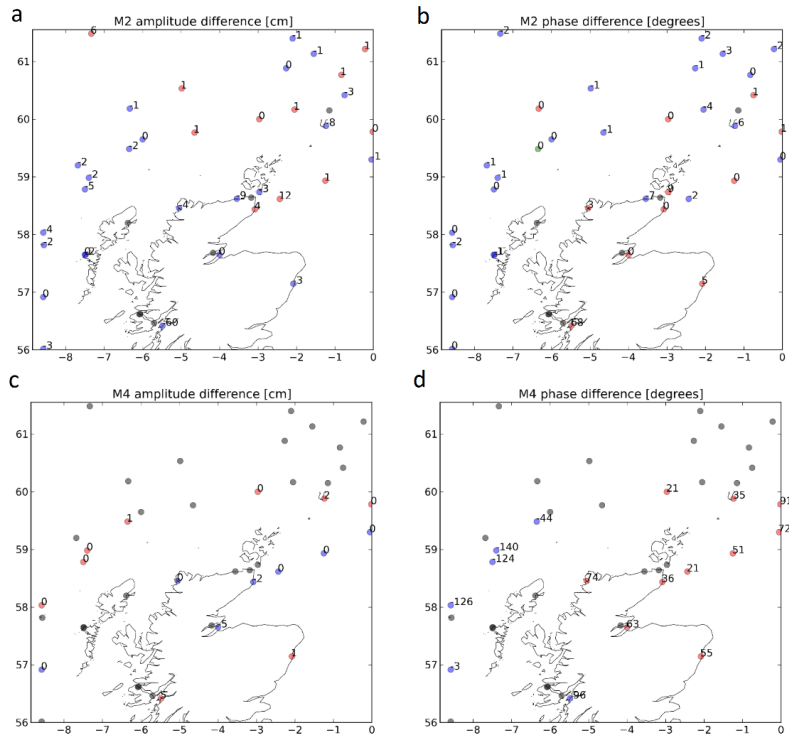


Figure 4. Spatial distribution of difference between model and observations of: M2 elevation amplitude (a) and phase (b); and : M4 elevation amplitude (c) and phase (d). Blue circles: model smaller than observations; red circles: model larger than observations; grey circles: no data, or dry model grid cell at tide gauge location.

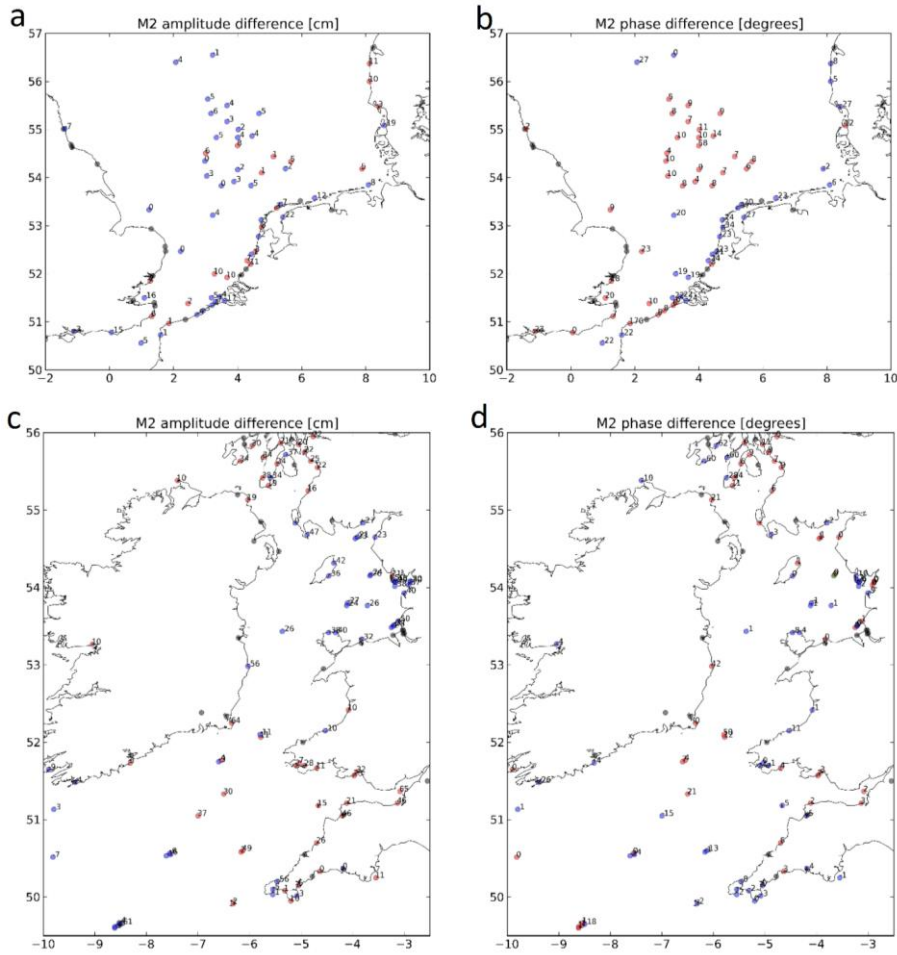


Figure 5. Spatial distribution of difference between model and observations of M2 tidal elevations. a) amplitude and b) phase for the southern North Sea; and c) amplitude and d) phase for the Irish and Celtic Seas. Blue circles: model smaller than observations; red circles: model larger than observations; grey circles: no data, or dry model grid cell at tide gauge location.



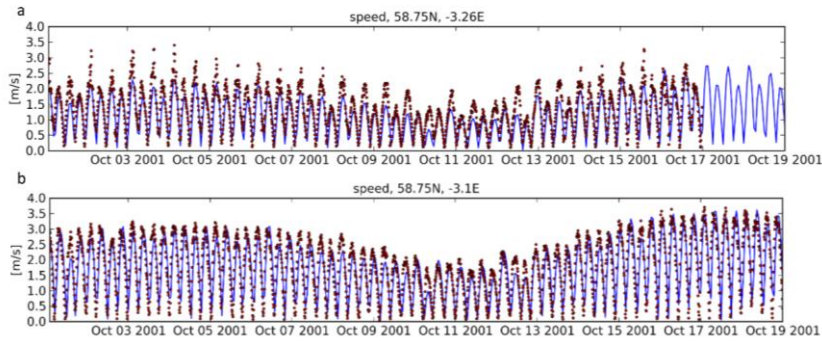


Figure 6. Comparison of modelled tidal current speed in the Pentland Firth with ADCP observations (Gardline Surveys, 2001): a) ADCP 1, b) ADCP 2. Dots: observations, blue line: model results. For locations see Figure 2.

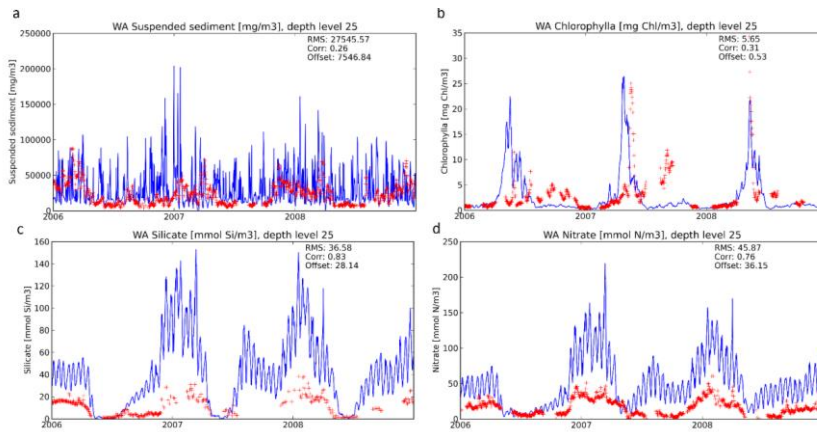


Figure 7. Comparison of model (blue line) with observations (red crosses), for the Warp Anchorage SmartBuoy. a) SPM, b) chlorophyll, c) silicate, d) nitrate.

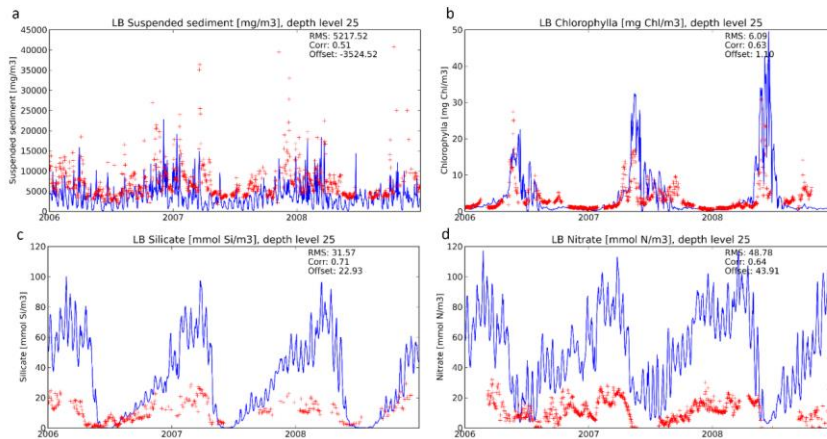


Figure 8. Comparison of model (blue line) with observations (red crosses), for the Liverpool Bay SmartBuoy. a) SPM, b) chlorophyll, c) silicate, d) nitrate.

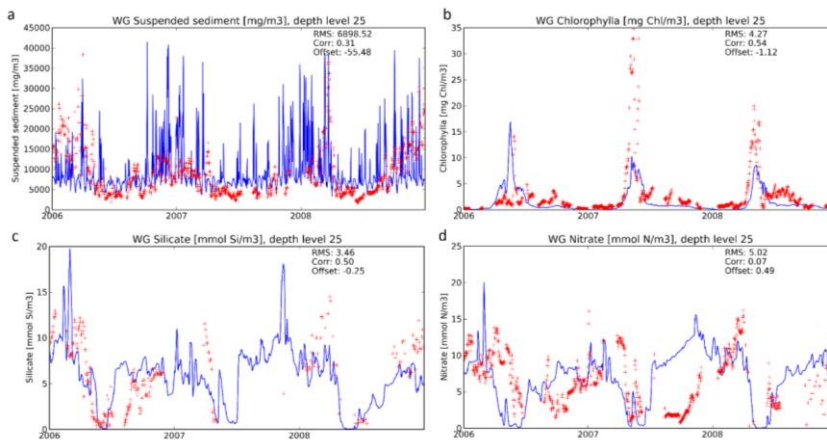


Figure 9. Comparison of model (blue line) with observations (red crosses), for the West Gabbard SmartBuoy. a) SPM, b) chlorophyll, c) silicate, d) nitrate.

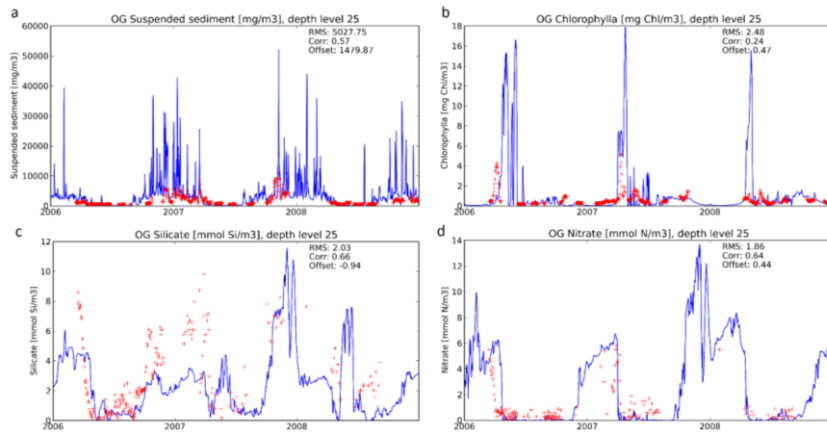


Figure 10. Comparison of model (blue line) with observations (red crosses), for the Oyster Grounds SmartBuoy. a) SPM, b) chlorophyll, c) silicate, d) nitrate.

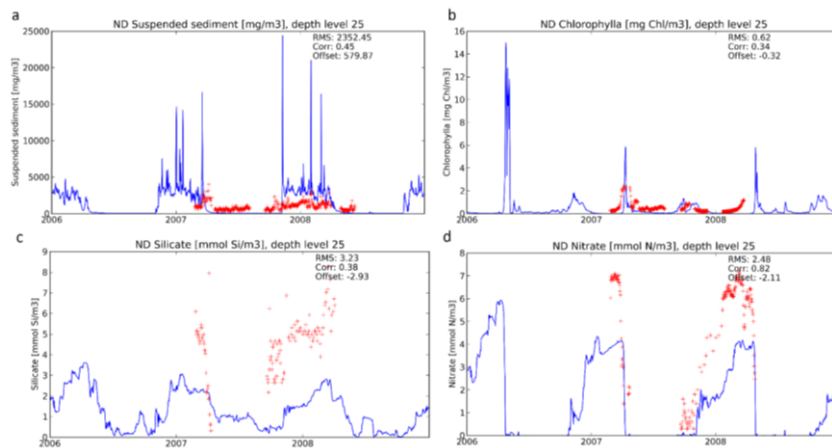


Figure 11. Comparison of model (blue line) with observations (red crosses), for the North Dogger SmartBuoy. a) SPM, b) chlorophyll, c) silicate, d) nitrate.

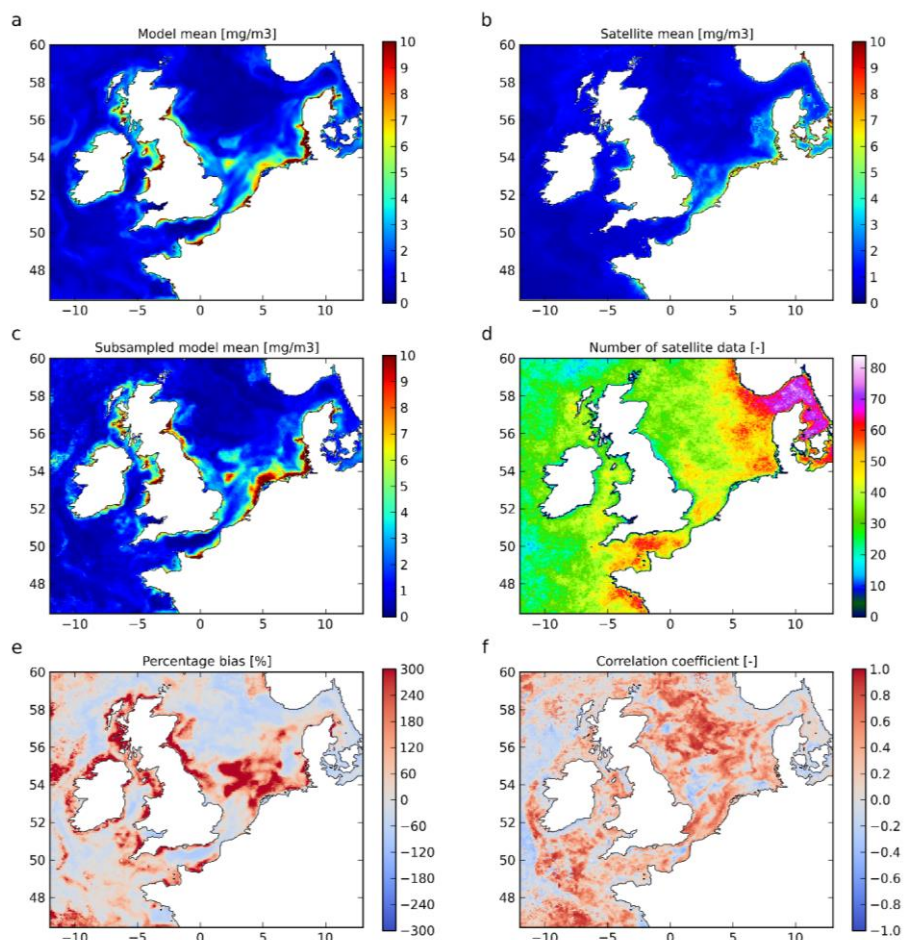


Figure 12. Comparison of modelled daily surface chlorophyll concentrations with daily chlorophyll composites from the MODIS satellite (Gohin et al., 2005; Gohin, 2011) for the growing season from 1 March 2008 to 30 September 2008. a) Model growing-season average, b) satellite growing-season averaged, c) sub-sampled model growing-season average with cloudy pixels removed, d) number of clear days in the period according to the satellite, e) relative model bias compared to the satellite, f) correlation coefficient between model and satellite.

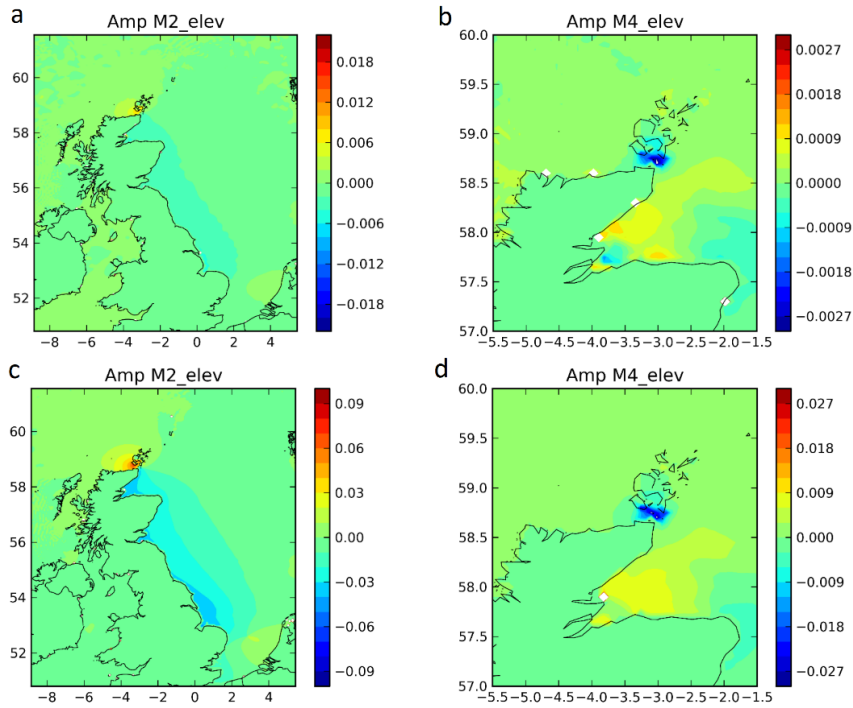


Figure 13. Difference in tidal elevations between scenario run and reference run. a) M2 amplitude [m] and b) M4 amplitude [m] for the 800 MW extraction scenario. c) M2 amplitude [m] and d) M4 amplitude [m] for the 8 GW extraction scenario.

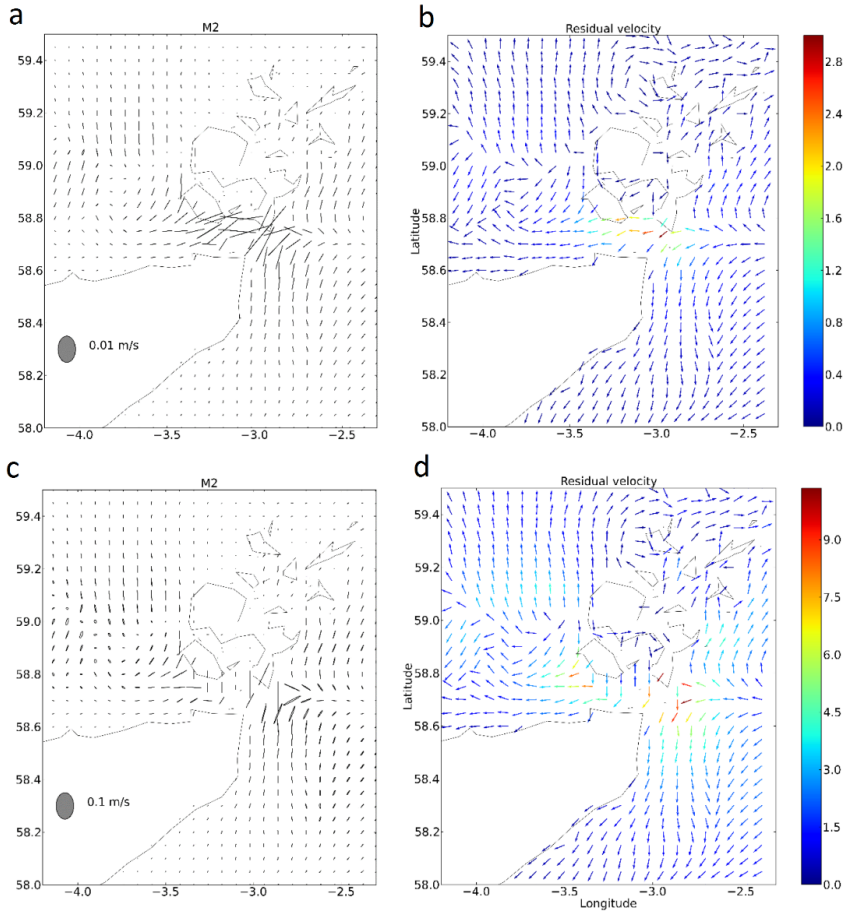


Figure 14. Difference in currents between scenario run and reference run. a) M2 tidal current ellipses and b) residual currents [ $\text{cm/s}$ ] for the 800 MW extraction scenario. c) M2 tidal current ellipses and d) residual currents [ $\text{cm/s}$ ] for the 8 GW extraction scenario.



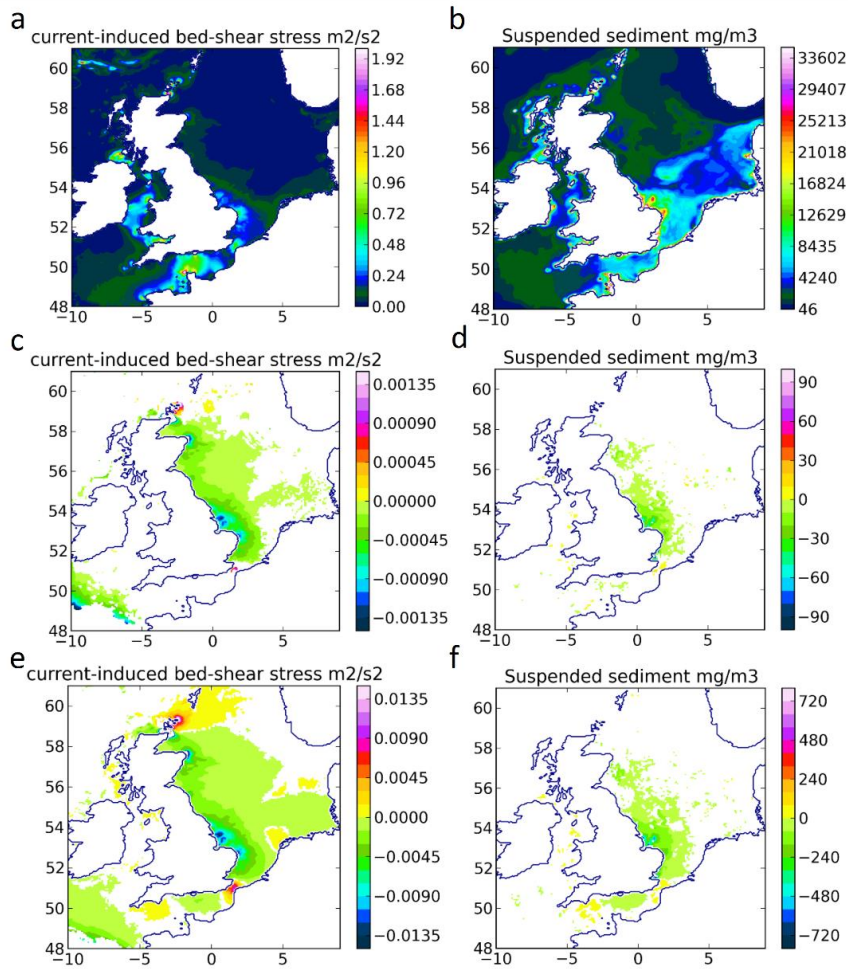


Figure 15. a) annually averaged current-induced bed-shear stress for 2008. b) annually averaged surface SPM concentration for 2008. c) and d): changes in a) and b) for the 800 MW extraction scenario. e) and f): changes in a) and b) for the 8 GW extraction scenario. White areas were masked out.

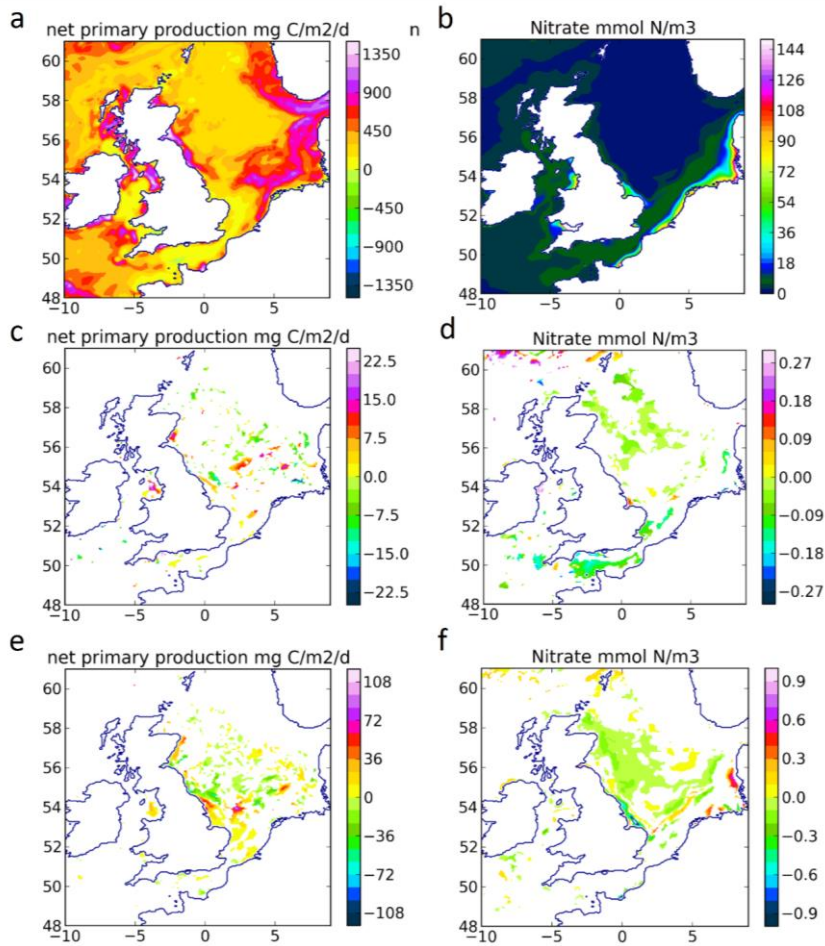


Figure 16. a) annually averaged net primary production for 2008. b) annually averaged surface nitrate concentration for 2008. c) and d): changes in a) and b) for the 800 MW extraction scenario. e) and f): changes in a) and b) for the 8 GW extraction scenario. White areas were masked out.



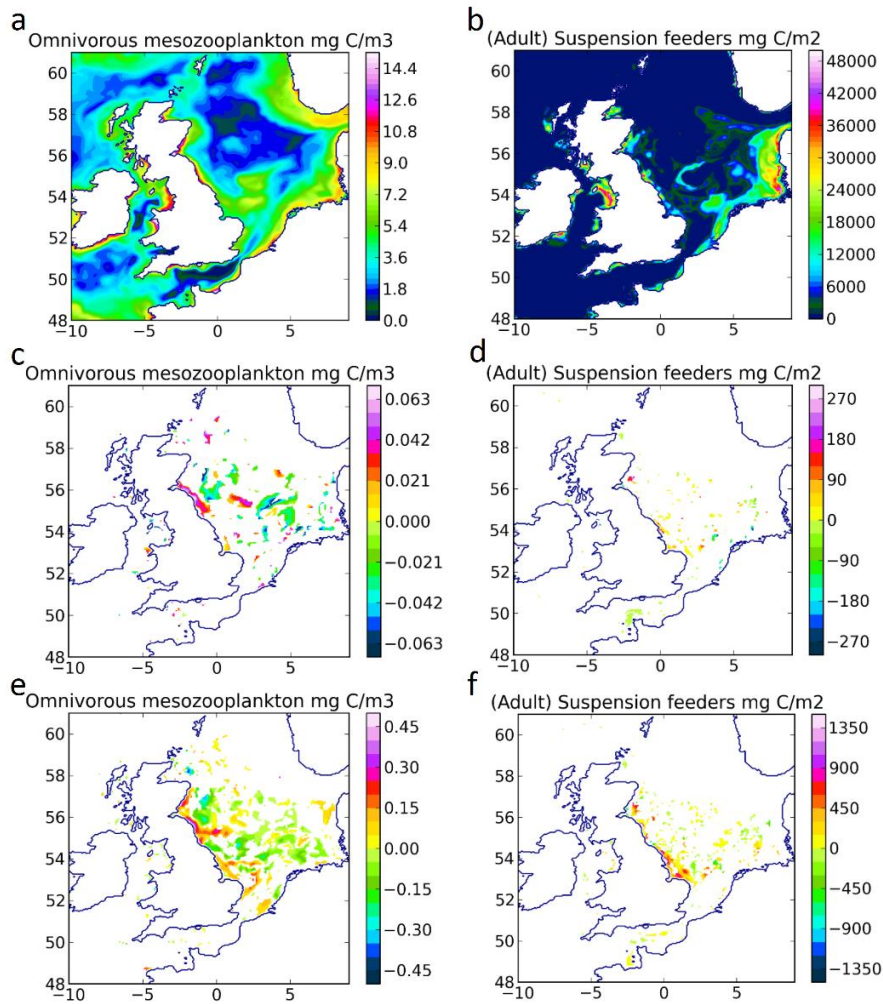


Figure 17. a) annually averaged omnivorous mesozooplankton carbon biomass for 2008. b) annually averaged suspension feeder carbon biomass for 2008. c) and d): changes in a) and b) for the 800 MW extraction scenario. e) and f): changes in a) and b) for the 8 GW extraction scenario. White areas were masked out.

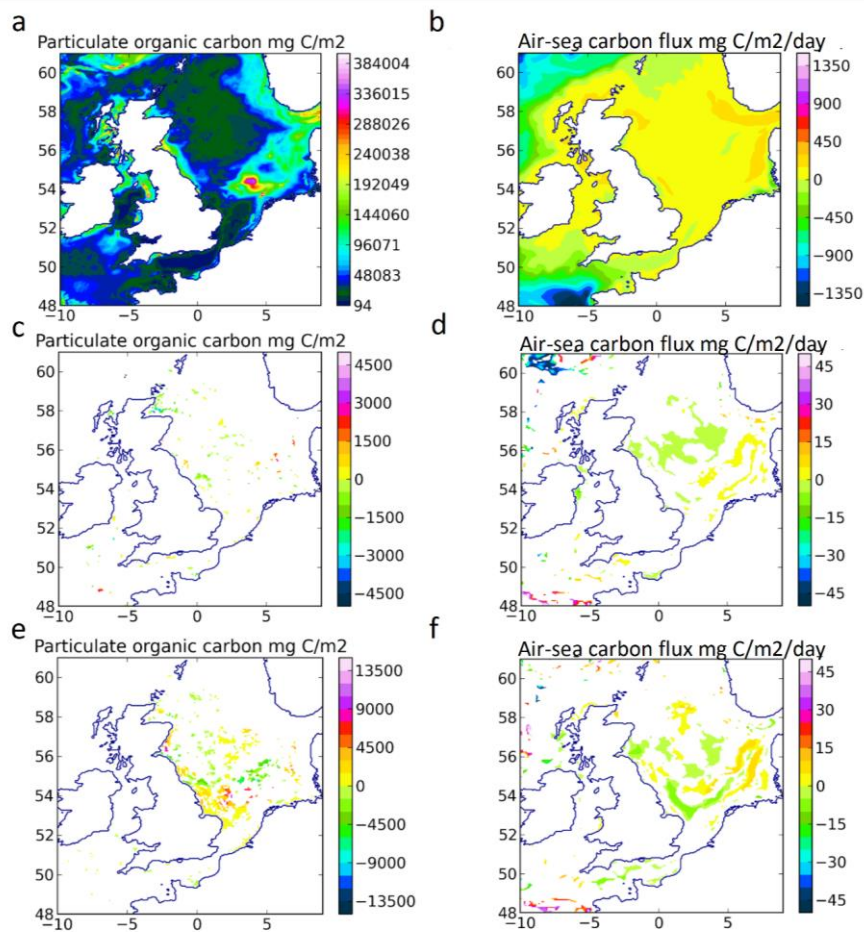


Figure 18. a) annually averaged benthic particulate organic carbon for 2008. b) annually averaged sea-surface CO<sub>2</sub> flux for 2008. c) and d): changes in a) and b) for the 800 MW extraction scenario. e) and f): changes in a) and b) for the 8 GW extraction scenario. White areas were masked out.