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# Potential environmental impact of tidal energy extraction in the Pentland Firth at large spatial scales: results of a biogeochemical model

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# Abstract

A model study was carried out of the potential large-scale (> 100 km) effects of marine renewable tidal energy generation in the Pentland Firth, using the 3-D hydrodynamics-biogeochemistry model GETM-ERSEM-BFM. A realistic 800 MW scenario and an ex-

- aggerated academic 8 GW scenario were considered. The realistic 800 MW scenario suggested minor effects on the tides, and undetectable effects on the biogeochemistry. The academic 8 GW scenario suggested effects would be observed over hundreds of kilometres away with changes of up to 10 % in tidal and ecosystem variables, in particular in a broad area in the vicinity of The Wash. There, waters became less turbid, and
- <sup>10</sup> primary production increased with associated increases in faunal ecosystem variables. Moreover, a one-off increase in carbon storage in the sea bed was detected. Although these first results suggest positive environmental effects, further investigation is recommended of: (i) the residual circulation in the vicinity of the Pentland Firth and effects on larval dispersal using a higher resolution model, (ii) ecosystem effects with (future) state-of-the-art models if energy extraction substantially beyond 1 GW is planned.

#### 1 Introduction

#### 1.1 Background

Techniques to generate marine renewable energy are maturing, with wind turbines currently being installed in hundreds to thousands, first commercial models of tidal energy
 generators becoming available, with wave-energy generators not far behind and macroalgae farming at field-testing research stage. As with any source of energy, energy in the atmospheric and marine environment is a finite resource, and subject to physical conservation laws. Hence, extracting energy for human use by definition leaves less energy remaining in the system. As a result, if applied in large farms with hundreds
 of devices, marine renewable energy extraction has the potential to noticeably alter



the local and regional hydrography, and through that influence the marine ecosystem. Potential effects on the physical marine environment include changes in tidal currents, residual circulation, wave climate, bed-shear stress and associated transport of materials, turbulence, turbidity, water temperature, salinity and stratification, and noise levels.

- Knock-on effects on the biological marine environment could include changes in nutrient and plankton transport (including larval stages), changes in primary production, changes in food availability and feeding and migration behavior, and resulting changes in species composition and distribution. All of these potential effects, including many others, have been identified in a series of review studies (Gill, 2005; Cada et al., 2007;
- Boehlert and Gill, 2010; Frid et al., 2012; Kadiri et al., 2012; Hooper and Austen, 2013). Whereas effects on the local hydrodynamics are often investigated as part of the design procedure, potential larger scale effects on the hydrodynamics and in particular the ecosystem are largely unknown, although the first studies are starting to emerge (see Neil et al., 2009 for tidal turbine effects on sediment dynamics in the Bristol Chan-
- nel; Wolf et al., 2009 for effects of multiple tidal barrages in the Irish sea; Defne et al., 2011 for tidal energy extraction on estuarine hydrodynamics in Georgia, USA; Shapiro, 2011 for a hypothetical tidal farm in the Celtic Sea; Ahmadian and Falconer, 2012 for effects of tidal turbines on the hydrodynamics in the Bristol Channel; Aldridge et al., 2012 for a hypothetical macro-algae farm in the north-western North Sea; and van der
- Molen et al., 2014 for a hypothetical wind farm in the North Sea). These studies found a varying degree of potential impacts, depending on the location, the extraction technique and (subset of) processes under investigation and the models and assumptions used. These first results, combined with increasing (inter)national legislation to regulate the anthropogenic use of the marine environment (eg., the Marine Strategy Framework
- Directive (European Commission, 2008) to promote healthy and productive seas), indicate that more should be done to investigate the effects of marine renewable energy extraction on the environment, including combined effects of large-scale extractions and interactions with other economic activities such as fishing, and climate change to ensure that marine renewable energy extraction can be carried out in a sustainable





way. As the scales of extraction increase, and various farms/extraction schemes start to interact with one-another, more knowledge will become increasingly necessary.

Recently, the Crown Estate has licensed areas in the Pentland Firth and around the Orkney Islands for tidal and wave energy generation (The Crown Estate, 2013). Shields

- <sup>5</sup> et al. (2009) outlined gaps in the knowledge on ecological impacts of tidal energy extraction in the Pentland Firth. Here, we use a coupled hydrodynamics-biogeochemistry model to investigate the potential large-scale (hundreds to thousands of km) effects of the licensed tidal power extraction in the Pentland Firth on tides, currents, biogeochemistry and the planktonic and benthic ecosystem. In order to put this into perspec-
- tive, provide a crude estimate for extrapolation, and give an indication of a far-future scenario and/or potential cumulative effects with (as yet hypothetical) multiple other extraction schemes "upstream" of the Pentland Firth, we also investigated an enhanced, and at the current state of technology purely academic, scenario in which ten times the licensed amount of energy was extracted. More detailed, local effects, including array
   optimization for combinations of criteria including power yield, cost and environmen-
- tal effects, were investigated as part of the same project by Funke et al. (2014) and Martin-Short et al. (2015).

#### 1.2 Study area

OSPAR, 2010).

The shelf to the west and north of the UK (Fig. 1) is typically one to several hundreds of km wide, and has a depth of 100–200 m. The Celtic and Irish Seas separate Ireland from the mainland of the UK, and the English Channel separates the UK from the continent in the south. The North Sea to the east of the UK has typical depths of over 100 m in the north, and less than 50 m in the south. The north-west European shelf, and in particular the North Sea, support a high biological production, but are at the same time used heavily for a range of economic activities including shipping, fishing, oil and gas extraction, pipe lines, and aggregate extraction, while also containing a large number of marine protected areas of various types (see, e.g., Paramor et al., 2009;



The Pentland Firth is a narrow strait situated between main-land Scotland and the Orkney Islands. It has a maximum water depth of 80 m in the main channel, and tidal current speeds in excess of  $3 \text{ m s}^{-1}$  (see Easton et al., 2012 for details on the tides in Pentland Firth). It serves as a conduit for some of the tidal energy propagating as Kelvin

- <sup>5</sup> waves in a clockwise direction on the North-west European continental shelf along the Atlantic coasts of the UK, around the north of Scotland, into the North Sea, down the east coast of the UK and across to the coasts of the Netherlands, Germany, Denmark and Norway (see, eg., Holt et al., 2001). Also, some of the residual flows into the North Sea enter through the Pentland Firth. Within the North Sea, the tides interact with the
- topography, wave climate and river runoff to create a range of stratification and mixing conditions (Pingree et al., 1978; van Leeuwen et al., 2015), and sea bed disturbance and transport mechanisms (van der Molen, 2002; Aldridge et al., 2015). The North Sea supports a high level of primary productivity, which, during the last decades, has been augmented by varying and gradually reducing levels of anthropogenic riverine nutrient
   loads, and which depends on local SPM concentrations that affect the availability of
  - light (e.g., Lenhart et al., 2010).

For five sites (Fig. 1), time-series observations of biogeochemical variables from SmartBuoy (Greenwood et al., 2010) were used for model confirmation (Sect. 3.2). Site 1, Warp Anchorage, is situated in well-mixed conditions at 15 m water depth in
<sup>20</sup> a channel in the Thames Estuary. Site 2, Liverpool Bay, is situated in intermittently stratified, river-influenced conditions (e.g., Verspecht et al., 2009) at 23 m water depth in the eastern Irish Sea, and forms part of the Liverpool Bay Coastal Observatory (http://cobs.pol.ac.uk/cobs). Site 3, West Gabbard, is situated in well-mixed conditions in 32 m water depth in the southern bight of the North Sea. Site 4, Oyster Grounds,

<sup>25</sup> was situated in mostly seasonally stratified waters in 45 m water depth. Site 5, North Dogger, was situated in seasonally stratified waters in 80 m water depth. Sites 4 and 5 were studied extensively as part of the Marine Ecosystem Connections programme (see Painting and Foster, 2013 and references therein).





#### 2 Methods

#### 2.1 SmartBuoy

SmartBuoys are instrumented moorings deployed to make high frequency measurements of physical, chemical and biological variables (Mills et al., 2005) which are published online (https://www.cefas.co.uk/publications-data/smartbuoys/). Smart-5 Buoys have been deployed in UK and Dutch waters as components of monitoring programmes designed to meet the needs of international legislation such as the Marine Strategy Framework Directive and within specific research projects. SmartBuoys were configured to determine turbidity, chlorophyll fluorescence, salinity, temperature and dissolved oxygen and data processed according to Greenwood et al. (2010). Concentrations of suspended particulate matter and chlorophyll were derived from measurements of turbidity and chlorophyll fluorescence respectively (Greenwood et al., 2010). Discrete samples were collected on all SmartBuoys using an automated Aguamonitor and subsequently analysed for TOxN (total oxidisable nitrogen) and silicate according to Gowen et al. (2008). In addition on Warp, West Gabbard, Liverpool Bay 15 and North Dogger, TOxN was determined using an automated in situ NAS-2E or NAS-3X nutrient analyser. Daily mean values were calculated from all data which passed the quality assurance process. All SmartBuoys in this study were operational for the whole period apart from North Dogger which was deployed between February 2007 and September 2008. 20

## 2.2 North-west European Shelf setup for GETM-ERSEM

The 3-D hydrodynamic model GETM (General Estuarine Transport Model, www.getm. eu; Burchard and Bolding, 2002) solves the shallow-water, heat balance and density equations. It uses GOTM to solve the vertical dimension. For the current work, GETM was run on a spherical grid covering the area 46.4–63° N, 17.25° W–13° E with a resolution of 0.02° longitude and 0.05° latitude (approximately 5 km), and 25 non-equidistant



layers in the vertical. The model bathymetry was based on the NOOS bathymetry (www.noos.cc/index.php?id=173). At this resolution, the Pentland Firth is resolved by several model grid cells, which cannot reproduce local detail, but should be sufficient to study the potential far-field effects of tidal energy extraction. The model was forced

- with tidal constituents derived from TOPEX-POSEIDON satellite altimetry (LeProvost et al., 1998), atmospheric forcing from the ECMWF ERA-40 and Operational Reanalysis (ECMWF, 2006a, b), interpolated river runoff from a range of observational data sets (the National River Flow Archive (www.ceh.ac.uk/data/nrfa/index.html) for UK rivers, the Agence de l'eau Loire-Bretagne, Agence de l'eau Seine-Normandie and IFREMER
- <sup>10</sup> for French rivers, the DONAR database for Netherlands rivers, ARGE Elbe, the Niedersächsisches Landesamt für Ökologie and the Bundesanstalt für Gewässerkunde for German rivers, and the Institute for Marine Research, Bergen, for Norwegian rivers; see also Lenhart et al., 2010), and depth-resolved temperature- and salinity boundary conditions from ECMW-ORAS4 (Balmaseda et al., 2013; Mogensen et al., 2012).
- The ERSEM-BFM (European Regional Seas Ecosystem Model-Biogeochemical Flux Model) version used here (19 February 2015) is a development of the model ERSEM III (see Baretta et al., 1995; Ruardij and Van Raaphorst, 1995; Ruardij et al., 1997, 2005; Vichi et al., 2003, 2004, 2007; van der Molen et al., 2013, 2014; www.nioz.nl/northsea\_model), and describes the dynamics of the biogeochemical
- fluxes within the pelagic and benthic environment. The ERSEM-BFM model simulates the cycles of carbon, nitrogen, phosphorus, silicate and oxygen and allows for variable internal nutrient ratios inside organisms, based on external availability and physiological status. The model applies a functional group approach and contains five pelagic phytoplankton groups, four main zooplankton groups and five benthic faunal groups,
- the latter comprising four macrofauna and one meiofauna groups. Pelagic and benthic aerobic and anaerobic bacteria are also included. The pelagic module includes a number of processes in addition to those included in the oceanic version presented by Vichi et al. (2007) to make it suitable for temperate shelf seas: (i) a parameterisation for diatoms allowing growth in spring, (ii) enhanced transparent exopolymer





particles (TEP) excretion by diatoms under nutrient stress, (iii) the associated formation of macro-aggregates consisting of TEP and diatoms, leading to enhanced sinking rates and a sufficient food supply to the benthic system especially in the deeper off-shore areas (Engel, 2000), (iv) a *Phaeocystis* functional group for improved simulation of primary production in coastal areas (Peperzak et al., 1998), (v) a pelagic filter-feeder larvae stage, and (vi) benthic diatoms, including resuspension, transport and pelagic growth. The suspended particulate matter (SPM) module, containing contributions by waves and currents, and included for improved simulation of the under-water light climate, has been developed further compared to the version used by van der Molen et al. (2014). It now includes full 3-D transport, according to formulations similar to the method of van der Molen et al. (2009), but uses only one SPM fraction subject to a concentration-dependent settling velocity to parameterise the effects of multiple grain sizes for computational efficiency (van der Molen et al., 2016). An experimental method to include resuspension of particulate organic matter as a proportion of the SPM resuspension is also included.

<sup>15</sup> SPM resuspension is also included.

## 2.3 Model implementation of tidal turbines

For each grid cell in the model that contained tidal turbines, an additional frictional sink term  $S_f$  was applied to the *u* and *v* momentum equations, respectively, throughout the water column, using the mechanisms introduced in GETM by Rennau et al. (2012):

<sup>20</sup> 
$$S_{f,u} = C_{d,t} u \sqrt{(u^2 + v^2)}, S_{f,v} = C_{d,t} v \sqrt{(u^2 + v^2)}$$

where u and v are the depth-averaged horizontal velocity components in the longitudinal and latitudinal directions, respectively. The coefficient for the additional friction induced by the tidal turbines  $C_{d,t}$  was calculated as (Stefan Kramer, personal communication, 2014):

<sup>25</sup> 
$$C_{d,t} = \frac{1}{2} \text{NC}_{\text{thr}} \frac{\frac{\pi}{4} D_{\text{rotor}}^2}{\text{d}x \text{d}y H}$$



(1)

(2)

where dx and dy the local grid spacing in the longitudinal and latitudinal direction, respectively, in m, H the local instantaneous water depth, N the number of rotors (note that, depending on the make and type, a tidal energy generation device can consist of multiple rotors) in the grid cell,  $C_{\rm thr}$  the non-dimensional thrust coefficient of each rotor,

<sup>5</sup> and  $D_{rotor}$  the rotor diameter. For this work, we have assumed Triton 3 Tidal Stream Generators (3 rotors of 1 MW each per device,  $D_{rotor} = 20$  m), and have assumed a typical value  $C_{thr} = 0.6$  (note that in reality, thrust coefficients tend to vary depending on operating conditions).

# 2.4 Model experiments

<sup>10</sup> Because of differences in response times, and different requirements for model output, separate sets of model runs were carried out to study the effects on tidal propagation and biogeochemistry, respectively.

# 2.4.1 Tidal propagation

The hydrodynamics model was run from 1 January 1997 to 30 June 2001 from initial conditions consisting of a cold start for tides, and 3-D temperature and salinity fields derived from ECMWF-ORAS4. Subsequently, it was run for 6 months storing hourly fields, which were subjected to tidal harmonic analysis, resolving a residual, 5 diurnal, 11 semi-diurnal, and 5 shallow-water constituents for elevations and depth-averaged velocity components in the longitudinal and latitudinal directions.

The M<sub>2</sub> tidal constituents were compared with data from tide gauges and current meters from Jones (1983), Gjevik and Straume (1989), Smithson (1992), MARIS (personal communication, 1998), FRV (personal communication, 1998), Young et al. (2000), Jones and Davies (2007), and Easton et al. (2012) (see Fig. 1 for locations). In addition, time series of flow velocities within the Pentland Firth were compared with ADCP observations (Gardline Surveys, 2001), supplied originally from the Maritime and Coastguard





Agency through the Environmental Research Institute and Heriot Watt University (see also Dillon, 2007), see Fig. 2 for locations.

Subsequently, two model scenarios with tidal energy extraction were run: one scenario using a uniform distribution of the planned energy extraction within the Pentland

- <sup>5</sup> Firth (800 MW as currently proposed, The Crown Estate, 2013), see Fig. 2, and a similar scenario extracting 8 GW. Harmonic analysis was carried out on these results, and the difference with the reference scenario was mapped for (i) the  $M_2$  constituent to assess the main impact on overall tidal propagation, (ii) the  $M_4$  constituent to assess the main impact on tidal asymmetry and potential effects on the transport of particulate material with a papt zero apttling velocity, and (iii) an the residual velocity to assess the
- <sup>10</sup> material with a non-zero settling velocity, and (iii) on the residual velocity to assess the potential effects on the transport of particulate and dissolved material.

## 2.4.2 Biogeochemistry

The coupled hydrodynamics-biogeochemical model was run for three years: 2006–2008 (reference run). These years were chosen because of the availability of validation

- <sup>15</sup> data, and to assess the potential of longer-term accumulation of the potential effects of tidal energy extraction. Longer runs would have been desirable, but were not possible with the financial and computational resource available. The spin-up period covered 2000–2005, with minor fixes to improve model stability applied in January 2004. The biogeochemistry state at the start of the spin-up period was taken from the end results
- of a run with an earlier, very similar model version covering 1995–2008. Model confirmation of this reference run consisted of a time-series comparison with SmartBuoy observations at 5 sites representing different hydrographic conditions, involving nutrient concentrations, SPM concentrations and chlorophyll concentrations (Greenwood et al., 2010). As nitrite concentrations are usually small, we compared modelled nitrate with
- observed TOxN Subsequently, three scenario runs were carried out for 2006–2008: a duplicate reference run, and the 800 MW and 8 GW tidal energy extraction scenarios. Annual averages were calculated for all ecosystem variables for each scenario for each year, and differences with the reference run were calculated. Comparison of the





reference run and the duplicate reference run indicated that results for water depths over several hundreds of metres did not reproduce because of different realisations of stochastically driven eddy-type processes, and that some of these effects propagated onto the shelf, obscuring the effects of the tidal energy extraction. To remove these, the 800 MW and 8 GW scenarios were filtered by, for each ecosystem variable, applying the following mask to each of the wet points in the model:

$$M = \begin{bmatrix} \frac{|D_{\rm s}|}{|D_{\rm R}|} > T_1 \end{bmatrix} \quad \text{and} \quad \left[ \left| \nabla \frac{D_{\rm R}}{|R|} \right| < T_2 \right] \tag{3}$$

Here, the mask *M* gets a value of 0 or 1,  $D_S$  is the difference of the scenario and the reference run,  $D_R$  the difference of the reference runs, *R* the value of the reference run,  $T_1 = 2.0$  and  $T_2 = 1.0$  empirical thresholds (the values of which were determined by trial and error), and  $\nabla$  a gradient operator taking the magnitude of the local spatial gradient scaled by the horizontal grid-averaged value of the wet points. Essentially, this filter removes cells with a small scenario difference compared with the difference of between the reference runs, and cells where the spatial variability of the difference of

the reference runs is high. We acknowledge that this filtering method is relatively crude, and that it could be improved either by taking (multi-)decadal averages, or by using means and standard deviations derived from a sufficiently large number of realisations of the reference run. However, these methods would involve a computational effort far beyond the resources available for this project. We are confident that the cheap method
 applied here is effective enough to support the results presented in this paper.

As renewable energy generation is, among others, done to reduce  $CO_2$  emissions, and carbon cycling is an important element of the marine ecosystem, we also looked at effects on  $CO_2$  uptake from the atmosphere, and particulate carbon storage in the sea bed.





#### 3 Results

#### 3.1 Tidal model confirmation

Scatter plots of the difference between model and observations at the tide gauge and current-meter locations (Fig. 1, Fig. 3) showed reasonable agreement for many stations. A substantial number of stations showed substantial differences; these are located mostly within the Irish Sea (not shown).  $M_2$  elevation amplitudes typically agreed within 20 cm, but with high scatter for amplitudes over 2 m.  $M_2$  phases typically agreed within 30°.  $M_2$  current meter amplitudes (magnitude of the semi-major axis of the current ellipse; exclusively from the Irish and Celtic seas, Fig. 1) mostly agreed within  $15 \text{ cm s}^{-1}$ , with phases within 30°.  $M_4$  tidal elevation amplitudes were mostly within  $5 \text{ cm s}^{-1}$  of the observations, with high scatter and a suggestion of under-prediction for amplitudes above  $5 \text{ cm s}^{-1}$ .  $M_4$  phases were mostly within 50°.

Considering the spatial distribution of the differences between model and observations in the area of interest around northern Scotland (Fig. 4),  $M_2$  elevation amplitudes were mostly within a few cm, and  $M_2$  phases were within a few degrees.  $M_4$  elevation amplitudes were also within a few cm, but  $M_4$  phase differences were substantial, and negative in the west, and positive in the east.

Modelled current speeds at the ADCP locations (Fig. 5) were more or less in phase with the observations. At ADCP site 1, the modelled difference between peak flood and ebb currents was substantially smaller than observed, with the model more or less reproducing the ebb currents, and underestimating flood currents. At ADCP site 2, the observed asymmetry between flood and ebb currents was much smaller than at site 1, and the model reproduced the currents very well.

#### 3.2 Biogeochemical model confirmation

<sup>25</sup> For SmartBuoy site 1 (Warp Anchorage, Fig. 1), the seasonal cycle in SPM concentrations (Fig. 6a) was reproduced by the model, but peak concentrations were over-





estimated, probably because the buoy is in a sheltered position behind a sand bank that the model cannot resolve. Chlorophyll concentrations (Fig. 6b) were represented well with good low winter concentrations, a slight early onset of the spring bloom, good representation of peak concentrations, and under-estimated autumn bloom values. Nu-

trient concentrations (Fig. 6c and d) were overestimated substantially by the model, in particular in winter. This is an artifact of the newly introduced organic matter resuspension mechanism, which buries too much material in the coastal zone. This will be addressed in a subsequent model version.

At SmartBuoy site 2 (Liverpool Bay, Fig. 1), SPM concentrations (Fig. 7a) were slightly under-predicted. Chlorophyll concentrations (Fig. 7b) were represented well. In similarity to Smartbuoy site 1, (winter) nutrient concentrations (Fig. 7c and d) were substantially over-predicted.

At SmartBuoy site 3 (West Gabbard, Fig. 1), peak concentrations of SPM (Fig. 8a) were over-predicted, but with good representation of the seasonal cycle. Chlorophyll concentrations (Fig. 8b) were represented well, but with under-estimation of the maximum spring bloom in two out of the three years. Nutrient concentrations (Fig. 8c and d) were represented reasonably well.

Smartbuoy site 4 (Oyster Grounds, Fig. 1) showed good seasonality but an overestimate in peak SPM concentrations (Fig. 9a), good representation of chlorophyll except for an over-estimate of spring-bloom values (Fig. 9b), and good representation of

nutrient concentrations (Fig. 9c and d).

Winter SPM concentrations (Fig. 10a) at Smartbuoy site 5 (North Dogger, Fig. 1) were over-estimated, while chlorophyll concentrations (Fig. 10b) were reasonable. Winter nutrient concentrations (Fig. 10c and d) were approximately half the observed values.

#### 3.3 Effects on tides

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For the 800 MW scenario, differences in tidal elevations with the reference scenario were very small (Fig. 11).  $M_2$  elevation amplitudes (Fig. 11a) were up to 1 cm higher

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to the west of the Pentland Firth, and a few mm smaller along the east coast of the UK down to East Anglia.  $M_4$  elevation amplitudes (Fig. 11b) were a few mm smaller within the Pentland Firth, and up to 1 mm higher in Moray Firth. For the 8 GW scenario,  $M_2$  elevation amplitudes (Fig. 11c) were up to 8 cm higher to the west of the Pentland <sup>5</sup> Firth, and up to 4 cm lower along the east coast of the UK.  $M_4$  elevation amplitudes (Fig. 11d) were up to 3 cm smaller within the Pentland Firth, and up to 1 cm higher in the Moray Firth.

Considering currents (Fig. 12), for the 800 GW scenario,  $M_2$  currents (Fig. 12a) changed by up to  $2 \text{ cm s}^{-1}$  within the Pentland Firth, and by only a few mms<sup>-1</sup> elsewhere. Changes in residual velocities (Fig. 12b) were up to 3 cm s<sup>-1</sup> in the Pentland Firth, and very small elsewhere. For the 8 GW scenario,  $M_2$  currents (Fig. 12c) were similar within the Pentland Firth, and up to 10 cm s<sup>-1</sup> different on either side of the Pentland Firth. Changes in residual velocities (Fig. 12d) were up to 10 cm s<sup>-1</sup> in the immediate vicinity of the Pentland Firth, and up to  $5 \,\mathrm{cm \, s^{-1}}$  at considerable distance away from the Pentland Firth.

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#### 3.4 Effects on biogeochemistry and ecosystem

The model detected increases in annually-averaged current-induced bed-shear stress around the Orkney's for both the 800 MW scenario (Fig. 13c) and the 8 GW scenario (Fig. 13e) (see Fig. 13a for the results of the reference run). Moreover, reductions in shear stress were detected all along the UK east coast, with largest reductions in the vicinity of the Wash. For the 8 GW scenario, an increase was detected in the Straits of Dover. Comparison of the two scenario's suggests that these changes were linear, with 10 times larger changes for the 8 GW scenario. For this scenario, depending on the location, the changes ran up to 10% of the reference scenario. For a large area centered around the Wash, where waters are shallow and shear stresses relatively

25 large, these changes in bed-shear stress led to a reduction in annually-averaged surface SPM concentrations with similar linear characteristics (Fig. 13b, d and f). For the





8 GW scenario, this reduction in SPM concentration led to higher primary production in the light-limited area around the Wash as shown in Fig. 14a, e. This was caused mainly by an increase in diatoms and phaeocystis colonies (not shown). Associated with this increase was a decrease in annually averaged nutrient concentrations, shown here for 5 nitrate (Fig. 14b and f). Similar changes were not detected for the 800 MW scenario (Fig. 14c and d). Pelagic and benthic fauna profited from the increase in production in the 8 GW scenario, as shown here for omnivorous mesozooplankton and suspension feeders (Fig. 15a, b, e and f). The zooplankton also showed increase biomass further north along the UK coast. This was also evident in the 800 MW scenario (Fig. 15c), whereas suspension feeders did not show a response (Fig. 15d). The reduced bedshear stress also induced an increase in annually averaged particulate organic carbon in the sea bed in a wide area centered around the Wash for the 8GW scenario (Fig. 16a and e). Again, nothing was detected for the 800 MW scenario (Fig. 16c). For the sea-surface CO<sub>2</sub> flux, some spatial changes were suggested for both scenario's (Fig. 16b, d and f), but no clear net change. All these results were for 2008. Similar 15 results were found for 2006 and 2007 (not shown here for brevity), with the exception of a net seaward CO<sub>2</sub> flux for 2006, which suggests a quick transition to a state

with slightly higher carbon content. In addition to the results presented here, numerous other model variables were investigated, but none showed significant changes not related to the mechanisms presented here.

## 4 Discussion and conclusions

## 4.1 Tides

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The good agreement of the model with observed tidal characteristics in the area around Scotland, and in particular with the ADCP observations within the Pentland Firth, indicated that the model is suitable to study the large-scale effects of tidal energy extraction

in the Pentland Firth. The difference in tidal asymmetry between the two adcp's sug-

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gests that local bathymetry plays an important role in these observations. Such differences cannot be expected to be picked up by a model of the resolution used. However, increasing the resolution would make the model too costly if run with a biogeochemistry model. For a very high-resolution study of tidal turbines in part of the Pentland Firth, see Martin-Short et al. (2015).

The model results for the 800 MW scenario suggested that far-field effects on tidal elevations, currents and residual circulation would be negligible, and would most likely not be measurable. The model results for the 8 GW scenario suggested measurable changes in the Pentland Firth and Orkneys area, and along most of east coast of the UK. This change in the tidal system is equivalent with more radical results reported by Wolf et al. (2009) for power generation with multiple barrage systems in the Irish Sea. Changes in transport pathways should be expected within the Pentland Firth and its approaches for suspended and dissolved materials due to the changes in residual flows, and in the Morray Firth for bed-load materials due to the increase in tidal

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- <sup>15</sup> asymmetry; similar effects of tidal stream generators on a smaller, local scale were suggested by Neill et al. (2009) and Ahmadian and Falconer (2012). The difference in the response of the  $M_2$  tidal currents within the Pentland Firth between the two scenarios suggests a change to complete friction-dominated conditions in the 8 GW scenario, resulting in only small changes in tidal velocities within the Pentland Firth as the energy
- extracted is compensated for by increased tidal surface elevation differences between the two ends of the channel. This result suggests that, as far as the response of the local tidal system within the Pentland Firth is concerned, large amounts of tidal energy can potentially be harvested without reducing the effectiveness of individual turbines by a reduction in overall current speeds. This result contrasts with that found by Shapiro (2011) for a farm at open sea, where the tidal flow progressively evaded the farm area
- <sup>25</sup> (2011) for a farm at open sea, where the tidal flow progressively evaded the farm area with increasing power extraction.

The changes in tidal amplitude along the east coast of the UK suggest that local, high-resolution model studies of the impact of tidal energy devices should include sufficiently large spatial scales (in this case up to a few thousands of km) to prevent





boundary conditions from affecting the results, either by (i) using large-scale models with local grid refinement, (ii) two-way nested models, or (iii) one-way nested models with inclusion of the energy extraction at all nest levels.

## 4.2 Biogeochemistry

<sup>5</sup> The model results for SPM, chlorophyll, nitrate and silicate corresponded well with time-series observations from 5 stations situated in very different hydrographic conditions. The exception was winter-nutrient concentrations in the near-shore locations, which were over-estimated. As the most dominant effects of the tidal energy extraction scenarios were in a very turbid area where phytoplankton growth is light-limited, this artifact is not expected to affect the main results of this study.

For the 800 MW scenario, as was to be expected from the minor changes in tidal conditions, and apart from coherent minor changes in bed-shear stress and SPM concentrations along the (central and southern parts of) the UK east coast, the biogeo-chemical model did not demonstrate clear differences with the reference scenario.

- <sup>15</sup> For the 8 GW scenario, changes in ecosystem variables of up to 10 % were simulated in a substantial area in the vicinity of The Wash. The mechanism was through reduced bed-shear stress, reduced SPM concentrations and increased light availability, leading to increased primary production, secondary production and benthic biomass. This mechanism has also been identified in earlier studies on potential and observed effects
- of tidal barrages (Radford and Ruardij, 1987; Kadiri et al., 2012; Hooper and Austen, 2013). These studies focused on the local scale, however, making direct comparison and contrasting of barrage and tidal stream methods difficult because the present study does not resolve the local scales in detail. For some ecosystem variables, changes also occurred further north along the coast. In terms of carbon cycling, we found a minor
- increase in particulate carbon content in the sea bed in the area associated with the increase in productivity. This increase was most likely caused primarily by a combination of increased production of detrital material, improved hydrodynamic conditions for settling of particulates, and a reduction in current-induced resuspension relative to





the amount of detritus in the sediments (the absolute resuspension and settling rates increased, but to a smaller proportion than the content of detritus in the sediments). Aerobic benthic bacterial biomass also increased in the model, so the increase in particulate carbon in the sea bed was probably reduced by an increase in bacterial de-

<sup>5</sup> composition. It is possible that changes in bioturbation associated with the increase in benthic biomass also influenced the balance, but information on this activity was not stored. This increase in benthic particulate organic carbon content appeared to be a one-off, acquired as the system adjusted in the first year of the scenario simulation, and did not change substantially in the subsequent two years.

#### 10 5 Concluding remarks

The model did not detect significant changes for the currently licensed energy extraction of 800 MW, with potential exception of residual currents in the vicinity of the Pentland Firth. These need to be investigated further, at higher resolution, and in conjunction with particle tracking to assess potential effects on larval dispersal and recruitment. Beyond 800 MW, the current results suggest a linear far-field response of the tidal sys-

- Beyond 800 MW, the current results suggest a linear far-field response of the tidal system, with associated changes to the marine ecosystem, and linear interpolation of the current results might be used as a crude first indication of potential effects. A broad area in the vicinity of The Wash appeared to be most sensitive to the 8 GW scenario. The model results indicated an increase in productivity. Local fisheries could benefit,
- in particular of shell fish and crustaceans. A limited, one-off increase in carbon storage in the sea bed was simulated, which could be regarded as an additional positive contribution to mitigating CO<sub>2</sub>-induced climate change. However, the authors are of the opinion that further investigations of far-field effects would be advisable if tidal energy extraction was planned beyond the currently licensed 800 MW, or if substantial additional tidal energy extraction were planned at other sites along the coast, as the
- effects of multiple sites are likely to interact (Wolf et al., 2009). Moreover, interactions





with climate change and potential effects of other marine renewable energy generation schemes should be investigated.

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**Figure 2.** Model grid in the Pentland Firth, with uniform distribution of 800 MW tidal power extraction (numbers in MW). Bold, italic numbers indicate the grid cells coinciding with the ADCP locations. Green coloured cells are land.







**Figure 3.** Comparison of **(a)** modelled M2 tidal constituent with tide gauge data, **(b)** modelled M2 with current meter data, and **(c)** modelled M4 with tide gauge data.



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**Figure 4.** Spatial distribution of difference between model observations of top: M2 amplitude and phase; bottom: M4 amplitude and phase. Blue circles: model smaller than observations; red circles: model larger than observations; grey circles: no data, or dry cell at tide gauge location.







**Figure 5.** Comparison of tidal currents in the Pentland Firth with ADCP observations (Gardline Surveys, 2001): (a) ADCP 1, (b) ADCP 2. Dots: observations, blue line: model results.







Figure 6. Comparison of model (blue line) with observations (red crosses), Warp Anchorage SmartBuoy. (a) SPM, (b) chlorophyll, (c) silicate, (d) nitrate.







Figure 7. As Fig. 6, but for Liverpool Bay SmartBuoy.





Figure 8. As Fig. 6, but for West Gabbard SmartBuoy.





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Figure 9. As Fig. 6, but for Oyster Grounds SmartBuoy.





Figure 10. As Fig. 6, but for North Dogger SmartBuoy.





**Figure 11.** Difference with reference run of tidal elevations. (a) M2 amplitude [m] and (b) M4 amplitude [m], both for 800 MW extraction. (c) and (d): similar for 8 GW extraction.







Figure 12. Difference with reference run of currents. (a) M2 tidal ellipses and (b) residual currents  $[cm s^{-1}]$ , both for 800 MW extraction. (c) and (d): similar for 8 GW extraction.







Figure 13. (a) annually averaged current-induced bed-shear stress for 2008. (b) annually averaged surface SPM concentration for 2008. (c) and (d): changes in (a) and (b) for the 800 MW extraction scenario. (e) and (f): changes in (a) and (b) for the 8 GW extraction scenario. White areas were masked out.







Figure 14. As Fig. 13, but for net primary production and surface nitrate concentrations.



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Figure 15. As Fig. 13, but for omnivorous mesozooplankton and suspension feeders.











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