

1 **Author's Response**

2 3 **Responses to B. Stocker (Referee)**

4 We would like to thank B. Stocker very much for his helpful suggestions and interests in our
5 manuscript. We list our opinions point-by-point in response to his comments or suggests.
6 Modifications to the manuscript can be tracked in the submitted MS.

7 *SUMMARY*

8 *This paper describes the implementation of a model for inorganic soil nitrogen (N) dynamics*
9 *within a Global Dynamic Vegetation Model that explicitly treats the interactions of the carbon*
10 *(C) and N cycles. Results are presented from a simulation covering years 1970-2005 and for*
11 *several sensitivity analyses (soil moisture, elevated CO₂, warming). The model is assessed*
12 *against observational data of N₂O emissions from a set of observations that are collected for*
13 *the present study. Apart from confirming global total N₂O emissions are on the same order as*
14 *previous studies suggested (the central estimate here is 6.82 TgN₂O-N/yr), the authors*
15 *conclude that “Improvement of soil hydrology is likely to significantly reduce the large un-*
16 *certainties associated with soil N₂O emission estimates”.*

17 **Response:** Thank you for taking time reviewing our paper.

18
19 *This is a straightforward and honest model description and presentation of its performance and*
20 *presents some valuable insights into the general model behaviour in response to basic*
21 *environmental drivers (CO₂, warming, combination of the two). This is essential for the*
22 *interpretations of model results also in view of future studies addressing N₂O emissions*
23 *conducted with this version of the LM3V-N model. Benchmarking model performance and a*
24 *concise description of implemented code should be considered best practice and the study*
25 *presented here is a good attempt at this ideal.*

26 **Response:** We appreciate the reviewer's positive comments on our study.

27
28 *But does it convincingly succeed at thoroughly describing the parameterizations and*
29 *benchmarking the model performance? In this respect, I have some concerns which should be*
30 *addressed in a revised manuscript. The present study may warrant publication if the authors*
31 *address the issues raised below.*

1 **Response:** We have carefully considered all issues raised by this reviewer. Responses and
2 revisions are provided accordingly.

3

4 *In summary concerns are: - Concerning difficulties of benchmarking a coupled system:*

5 *Did the authors really look at the most important factors determining N₂O emissions?*

6 *- The authors did not attempt to decouple their new implementation of inorganic N dynamics*
7 *from the behaviour of other model parts in which their “module” implemented.*

8 *Therefore, results are subject to these other model parts.*

9 **Response:** We agree that N₂O emission from our study is subjected to the performance of other
10 model parts. Particularly the model is sensitive to processes that allow buildup of inorganic or
11 mineral nitrogen (ammonium and nitrate), which happens if nitrogen (N) is limiting for
12 decomposition and plant growth. The sensitivity to N limitation is due to the fact that
13 denitrification is considered as a “weak” sink, where removal coefficients of plants and soils
14 are much higher if there is sufficient demand for N. The second sensitivity is the fraction of
15 N₂O generated during nitrification. In this vein, we add sensitivity tests in sect. 2.2.3 and sect.
16 3.4 We now investigate model performance under altered N input from fixation, and changes
17 in other N fluxes that affect inorganic N dynamics, the concentration of inorganic N in soils,
18 and thus denitrification. These changes are associated with the following hypotheses: 1) The
19 change in N fixation from a dynamical model that responds to N limitation to a static model
20 based on reconstruction has the potential to add N critically above what is needed and remove
21 a negative feedback that is inherent to LM3V-N. This addition also moves the dynamics of
22 LM3VN towards schemes used in other models, where N fixation is scaled to net primary
23 productivity (such as CLM), or transpiration (such as ISAM). 2) The sensitivity of excluding
24 dissolved organic nitrogen reroutes some of the N that would be lost as organic form (DON,
25 fire) through the mineral pool, and can therefore increase N₂O emissions 3) Reduction in plant
26 uptake strength leaves more available N for leaching and denitrification, or in other words
27 increases the relative sink strength of denitrification vs. plant removal. 4) The parameter that
28 determines the gaseous loss during nitrification can ultimately shift the competition for the
29 overall available N because it removes N before it becomes available as nitrate. 5) allowing all
30 N from fire to remain in the plant soil system also reduces unavailable losses, and increases
31 potential denitrification losses due to resynchronization of plant demand and mineralization,

1 and the overall fact that more N is retained in the system. Overall, these sensitivity experiments
2 test how denitrification plays out within the larger soil-plant system and how the larger N cycle
3 is linked to denitrification.

4
5 *- Presentation: For a model description and benchmarking exercise like the present study, the*
6 *journal Geoscientific Model Development would suit even better than Biogeosciences. - The*
7 *authors implemented a “module” for inorganic N dynamics, but the paper focuses only on N₂O*
8 *emissions. However, N₂O emissions are governed by the inorganic N dynamics. Regarding the*
9 *aim of this paper (model description/benchmark) these other processes warrant equal weight.*

10 **Response:** We appreciate the reviewer’s suggestions for Geoscientific Model Development as
11 a better choice. We have carefully considered the reviewer’s suggestions, but we would like to
12 emphasize that model development and benchmarking is not the only focus of our study. In
13 particular, the denitrification scheme we implemented is used in other models. We think the
14 question we are asking are more of what is the result of established denitrification routines into
15 a different model knowing that each model has a different “philosophy” of the larger plant-soil
16 N cycle. We also think that the comparison against different site should not be the central part,
17 but we would like to put emphasis on the question what happens if one implements established
18 denitrification routines into a different model. How does it impact N₂O budget, N₂O fluxes at
19 different sites, the response to global change factors, and to how the water cycle is treated?
20 Emphasizing that our model is not new, we think our attempt to answer these questions fits into
21 Biogeoscience. In that sense we appreciate Beni’s suggestion to evaluate N₂O fluxes with the
22 larger N cycle in mind.

23
24 *GENERAL COMMENTS*

25 *WHY BIOGEOSCIENCES?*

26 *The present study would fit the scope of Geoscientific Model Development (another open-*
27 *access Copernicus journal with a high impact factor) perfectly. This would allow for a better*
28 *reproduceability, re-usability and tractability of code developed here. GMD requires model*
29 *code to be made public. Of course, making the entire LM3V-N code public may not be practical*
30 *here and I am aware of the challenges of de-coupling individual model parts that are usually*

1 *run in tight coupling with other model parts. However, this should not prevent development of*
2 *parts of larger models to be published in GMD. A practical solution may be found to provide*
3 *developed code as a module and some overhead to drive that module in a “demonstration*
4 *mode”. Could that be achieved? In this case, I strongly recommend publication in GMD. This*
5 *is the best way to share innovations, advance science (and even get more citations). Also the*
6 *data in Table B1 could be made publicly available in a convenient format. GMD provides a*
7 *great platform to share such data.*

8 **Response:** We share the reviewer’s opinion that public code ultimately is a great tool to
9 advance science. We also have the reservation he mentioned, with respect to the practicality of
10 doing this work. LM3V-N has a significant overhead and its implementation on a new platform
11 is complex. The solution of a demonstration code could be an alternative, but since the
12 nitrification-denitrification code is not new per se (just implemented in a different model) we
13 feel there is little to be gained from a demonstration module. We appreciate the reviewer’s
14 suggestions for Geoscientific Model Development as a better choice. We have carefully
15 considered the reviewer’s suggestions, but think Biogeoscience fits our study also.

16

17 *CHALLENGES OF BENCHMARKING A COUPLED SYSTEM*

18 *Paper deals with a process (N₂O emissions) that is very challenging to model. This is because*
19 *of the C-N cycle system dynamics with “circular coupling” where response time scales of*
20 *individual processes determine the system response on different time scales. It is inherently*
21 *difficult to thoroughly benchmark such a coupled system. The challenge is that N₂O emissions*
22 *are dependent on all aspects of the C-N cycle.*

23 **Response:** We appreciate the reviewer’s acknowledgement of the challenges associated with
24 this study, we have amended the text with insights how LM3V operates with respect to other
25 parts of the N cycle in the method section. We expanded sensitivity tests that include now
26 fixation, fire, DON losses, and plant uptake, next to the “classical” nitrification-denitrification
27 parameters.

28

29 *The study presented here appears to be subject to these problems as well. Benchmarking*
30 *individual processes in a coupled system without actually de-coupling separate model parts*

1 *may be misleading. In some instances (e.g., correlation analysis, Sect. 3.4; strong focus on*
2 *sensitivity to WFPS) the analysis presented here is subject to this problem and it is confusing*
3 *in what insight some analyses really provide.*

4 **Response:** We agree that correlation analysis does not provide much information and is
5 removed from the revised version of the manuscript. However, we think WFPS is an important
6 factor contributing to the uncertainty of terrestrial N₂O simulations and is one of the focuses of
7 this study. Because nitrification-denitrification requires spatially or temporal conditions
8 alternating aerobic and anaerobic conditions, which is parameterized via WFPS, this is probably
9 the single most important factor, after the N requirements of plant and soil have been taken care
10 of. With the improvement of the manuscript, by considering other factors, we can put the
11 sensitivity to WFPS in a much better context.

12

13 *In my understanding, N₂O emissions are determined by two (largely independent) aspects:-*
14 *denitrification/nitrification throughput; This scales linearly with substrate (nitrate and*
15 *ammonium) pool size (their Eq. A1 and A4) which in turn this is governed by the balance of net*
16 *mineralisation, plant N uptake and losses. It is thus affected by the whole system of C-N*
17 *interactions. Benchmarking this aspect of N₂O emissions thus requires a wide focus of*
18 *benchmarked quantities. - fraction of N₂O lost with denitrification/nitrification. This is*
19 *determined by soil oxidation availability (their Eq. A8 - A11).*

20 *This fraction is relatively uncertain.*

21 **Response:** We agree that N₂O emission is affected by the whole system of C-N interactions,
22 and is one of the reasons or advantage for us to analyze N₂O emissions within a global C-N
23 model. The fraction of N₂O lost during nitrification is set as a constant of 0.4%. We test the
24 sensitivity of N₂O emissions to this fraction by setting this value to 4% or 0.04%. The model is
25 most sensitive to this fraction compared to parameters regulating plant N uptake, nitrification
26 and denitrification rates. However, this fraction is very uncertain based on limited field or
27 laboratory studies. Goodroad and Keeney (1984) suggested a value of 0.1-0.2% , while Khalil
28 et al. (2004) reported a range of 0.16%-1.48% depending on the O₂ concentration. We applied
29 a value of constant 0.4% in the default run which might cause large uncertainties in our results.
30 The fraction of N₂O lost from denitrification is taken from the empirical estimation from the
31 DayCent model (the daily version of the CENTURY model), and has been assessed under

1 different conditions (Del Grosso et al., 2000). DayCent has been widely applied in trace gas
2 studies across terrestrial ecosystems. This fraction also embraces large uncertainties. We
3 acknowledge this fact in our improved manuscript.

4

5 *Thus, the challenge is that N₂O emissions are dependent on all aspects of the C-N cycle. Soil*
6 *moisture affects the amount of inorganic N subject to denitrification and nitrification. The*
7 *strong focus of this study on assessing the model sensitivity to soil moisture (water-filled pore*
8 *space, WFPS) is thus questionable.*

9 **Response:** We agree with the reviewer that N₂O emissions are dependent on various aspects
10 of implementation of C-N cycle in our model. Based on the reviewer's suggestion, we add a
11 comprehensive set of sensitivity tests in sect. 2.2.3 and sect. 3.4 (***Sensitivity to N cycling***
12 ***processes and parameterization***) to analyze the influence of N cycling processes other than
13 nitrification and denitrification on N₂O emissions. These tests include effects of biological N
14 fixation, DON losses, fire, and plant uptake capacity.

15

16 *The authors implemented a full representation of inorganic soil N dynamics (p.3106, l.1:*
17 *"Here, we add a soil nitrification–denitrification module"). However, this paper puts a very*
18 *strong focus on N₂O emissions. As mentioned above, N₂O emissions are governed by the*
19 *inorganic N dynamics. I think, benchmarking N₂O emissions would be more powerful, if*
20 *observational constraints on other quantities determining the inorganic N dynamics of different*
21 *levels be included. Examples of such quantities are: -inorganic N pool size (given net*
22 *mineralisation rates) - N loss rates (given inorganic N pool sizes) - nitrification/denitrification*
23 *rates (given inorganic N pool sizes) – sensitivity of nitrification/denitrification rates to different*
24 *soil conditions.*

25 **Response:** Agree. The focus of this manuscript is on N₂O emissions. However, N₂O fluxes are
26 strongly regulated by inorganic N dynamics. It is beneficial if other quantities regulating
27 inorganic N dynamics are validated. One of the biggest factor that sets inorganic pool sizes are
28 the sink strength of plant uptake (under N limitation), and the presence/absence of N limitation
29 (or in other models, how plant N status affect uptake). Soil N pool measurements can be helpful
30 as an additional benchmark. However, as the author noted, it is the larger plant soil N cycle,
31 including that sets plant N demand, and N limitation. These questions are great challenges for

1 all models. Unfortunately, we do not have large scale observation data available for
2 benchmarking the global model with regard to quantities such as inorganic N pool size,
3 nitrification and denitrification rates.

4

5 *SUBJECT TO PERFORMANCE OF LM3V-N*

6 *This is in some respect related to the comments raised above. The authors test the model part*
7 *representing inorganic N dynamics, as implemented in the LM3V-N model. However, some*
8 *sensitivity analyses presented here are tightly dependent on the sensitivity of the LM3V-N model*
9 *(Sect. 3.5). This requires at least a description of the general functioning of that model (How*
10 *are major N input and loss fluxes represented? What leads to N limitation? What governs N*
11 *fixation?)*

12 **Response:** Agree. Further description of the general N cycle is added to sect. **2.1.1 Main**
13 ***characteristic of LM3V-N.*** And we discuss it with respect to our newly added sensitivity
14 analysis. Adding an established nitrification-denitrification model is indeed subject to the
15 overall “philosophy” of the entire biogeochemistry model. We believe, it is thus useful to
16 evaluate N₂O emission in this sense.

17

18 *In my understanding, with inorganic N dynamics represented broadly equally (which is the case*
19 *for all global vegetation models that simulate C-N dynamics and N₂O emissions: DyN-LPJ,*
20 *Xu-Ri et al., 2012; LPX-Bern, Stocker et al., 2013; O-CN, Zaehle et al., 2011), N₂O emission*
21 *sensitivity to CO₂ and warming primarily depends on the degree of progressive N limitation*
22 *under environmental change (less N₂O emitted in a N-scarce system). Here, these models’*
23 *predictions diverge substantially. On one side, O-CN generally more N limitation under*
24 *elevated CO₂ (=increased plant demand), on the other side DyN-LPJ and LPX-Bern (pretty*
25 *much the same) does hardly generate N limitation on a decadal time scale. This model*
26 *behaviour is contingent on how N inputs into the system are simulated (we know that losses are*
27 *broadly equal as they all rely on a DNDC-type model for inorganic N dynamics). O-CN*
28 *simulates BNF using an empirical relationship with evapotranspiration. DyN-LPJ implies a*
29 *BNF flux by holding soil C:N ratio constant, i.e., higher litter-to-soil C flux implies additional*
30 *N brought into SOM, which is ultimately made available for plant N uptake after mineralisation.*
31 *To interpret the results presented here, it is crucial to understand where in this spectrum of O-*

1 *CN and DyN-LPJ this model is. The information provided in Sect. 2.1 (“BNF in LM3V-N is*
2 *dynamically simulated on the basis of plant N availability, N demand and light condition.”)*
3 *doesn’t provide sufficient insight to understand this crucial model characteristic.*

4 **Response:** The reviewer is spot on. BNF in LM3V-N is different from that of O-CN, LPJ-DyN
5 and LPX-Bern. Further description related to BNF is added to sect. 2.1.1.5. And more details
6 are available in Gerber et al. (2010). BNF in LM3V-N is active only when plant N requirement
7 is failed to be satisfied by root uptake, and is adjusted according to plant N demand. LM3V-N
8 assumes a tighter (smaller input and smaller losses) in preindustrial N cycling compared to O-
9 CN, LPJ-DyN and LPX-Bern with smaller amount of BNF (72 in LM3V-N vs. 104 TgN yr⁻¹ in
10 O-CN) (Zaehle et al., 2010). The adaptive BNF also contributes to the tighter N cycling.
11 However, in conditions of N limitation, there is considerable adjustment of BNF in response to
12 progressive N limitation, as illustrated by ca. 2 times increase averaged over 100 years (Panel
13 (3), Fig.8) under doubling of atmospheric CO₂ level. This strong negative feedback via BNF
14 alleviated N limitation initially faced by tropical forests, and turns the negative N₂O response
15 to positive after several decades. Difference in BNF is one of the major causes of divergent
16 responses to CO₂ fertilization between those models. Results related to BNF is added to sect.3.4
17 , 3.5 and discussion of the revised manuscript.

18

19 *CORRELATION ANALYSIS IN SECT. 3.4*

20 *Are correlations derived from regressing the corresponding time series of the historical run?*
21 *Temporal resolution (daily/monthly/annual)? I’m a bit confused about what such a correlation*
22 *actually represents. Short term correlations don’t necessarily represent the system’s sensitivity*
23 *to a certain input. I guess that’s really what you are after here: understand the characteristics*
24 *of the model - its sensitivity to different driving variables. Isn’t this better covered by your*
25 *analysis of step changes? The analysis presented here is particularly confusing in the case of*
26 *the correlation between N₂O emissions and Ammonium. I’m pretty sure that, if you would add*
27 *a certain amount of Ammonium everywhere (N fertilization experiments), N₂O emissions would*
28 *increase not decrease - also in the model presented here. The temporal correlation presented*
29 *here thus does not provide direct insights into the model sensitivities. I think, the confounding*
30 *aspect is that there is also a time-scale dependence of such correlations (delayed response of*
31 *some variables in the system). Another aspect that is confusing about the analysis presented in*

1 *Fig. 5 is that some correlations are with variables that are directly or indirectly external to C-*
2 *N cycling (temperature, soil moisture, GPP), while others are intrinsic quantities (nitrate,*
3 *ammonium, etc). Regarding the negative correlation of N₂O emissions with ammonium*
4 *concentrations: This is confusing as Eq. A1 says that nitrification (N₂O emissions) and*
5 *ammonium are directly proportional. I suspect that this counter-intuitive result is due to the*
6 *fact that ammonium levels are low in the tropics due to the high plant N demand. At the same*
7 *time, also net mineralisation rates must be quite large (is that so?) and nitrification rates must*
8 *be high as well which implies high N₂O emissions. Is the result presented here really indicative*
9 *of what's driving N₂O emissions?*

10 **Response:** We Agree. The correlation analysis may provide some insights, but confuse when
11 discussing mechanisms. The response to ammonium availability is such an example. On a side
12 note, because nitrification is strong in LM3V compared to other sinks, any increase in
13 nitrification strength will draw down ammonium concentration.

14 *MODEL DESCRIPTION IN APPENDIX*

15 *Appendix A contains "the heart" of this paper. This paper is primarily a model description and*
16 *benchmarking exercise. The model is not applied to address a specific question or a particular*
17 *period. I find it inconsistent with the scope of the paper, to put the actual model description (the*
18 *"heart") into the appendix.*

19 **Response:** We gladly follow the reviewer's suggestion and move the appendix into the method
20 section in the main text (sect **2.1.2 Soil N₂O emission**).

21 *"Our simulation of N₂O losses during nitrification–denitrification generally follows the "hole-*
22 *in-pipe" concept". To my understanding, this concept refers to models that assume that gaseous*
23 *N losses are proportional to net mineralisation rates. The model presented here assumes that*
24 *N losses are scale with inorganic pool sizes (proportionally for nitrification - not really a loss*
25 *term though) and with Michaelis-Menten kinematics for denitrification (not mineralisation*
26 *rates). In my understanding, the model presented here is thus not a hole-in-the-pipe model.*

27 **Response:** The original "hole-in-pipe" model assumes that gaseous N losses are proportional
28 to net mineralization rates. It describes the rate of nitrogen cycle as the amount of nitrogen
29 flowing through the pipes. N₂O leaks out of the pipes depending on nitrogen cycling rate as
30 well as the size of the holes, determined largely by soil water content (Firestone and Davidson,
31 1989). Our understanding is that this metaphor of "nitrogen flow through the pipe" is not

1 constraint to net mineralization. Instead, it is generalized to nitrogen availability and can be
2 indicated by various indices such as N mineralization, nitrification potential and the inorganic
3 pool sizes (e.g. Davidson et al. (2000)). However, we agree with the reviewer that our
4 expression is confusing. And “Our simulation of N₂O losses during nitrification-denitrification
5 generally follows the hole-in-pipe concept (Firestone and Davidson, 1989) with more detailed
6 treatment of the N flux pipes and the leaky holes (gaseous losses) in the pipes” is deleted from
7 the revised manuscript.

8 *As a further remark on the “hole-in-the-pipe”: Can’t we say that the “hole-in-the-pipe” concept*
9 *is simply wrong? In such a model, N losses are not affected by N demand. That is, if net-*
10 *mineralisation is increased, losses are increased irrespective of whether demand for N uptake*
11 *is increased. Hence, warming may not stimulate plant growth (in contradiction with*
12 *observations) and elevated CO₂ will tend to lead to a state of progressive N limitation as N*
13 *losses are not reduced. Both are not match observational findings (Melillo et al., 2011; FACE*
14 *results). Further, Davidson et al. (2007) present evidence that N₂O emissions are indeed*
15 *reduced when demand outweighs net mineralization and leads to depleted inorganic N pools.*
16 *Maybe add this to discussion.*

17 **Response:** We agree. The mineralization based approach is added to Discussion, which states
18 “If gross mineralization is used as an indicator of the rate of N flow in the “hole-in-the-pipe”
19 concept and gaseous losses are proportional to mineralization, the initial negative response is
20 unlikely to be detected. We found increased mineralization rate with increased litterfall under
21 elevated CO₂, while N availability is reduced from LM3V-N. The mineralization based
22 approach is likely to predict an increase of losses regardless of N limitation”.

23

24 *SPECIFIC COMMENTS*—————*p.3102 l.3-5: “With high temporal and spatial*
25 *heterogeneity, a quantitative understanding of terrestrial N₂O emission, its variabilities and*
26 *reponses to climate change is challenging.”* *~A~**The wording to “Due to its high temporal and*
27 *spatial ...”*

28 **Response:** Agree. Change is made to P1 line 10 of the revised manuscript.

29

1 *l.9: state explicitly if you applied the model to sites pecific driving data or extracted the*
2 *corresponding gridcell's output*

3 **Response:** Correct. We extracted the corresponding gridcell's output. Explicit explanation is
4 added to p.1 lines 16-17 of the revised manuscript, which says "Results extracted from the
5 corresponding gridcell (without site-specific forcing data) was comparable with the average of
6 cross-site observed annual mean emissions"

7

8 *l.11-15: State the response of N2O to elevated CO2.*

9 **Response:** Corrected. The revised manuscript states: We found that the global response of
10 N2O emission to CO2 fertilization was largely determined by the response of tropical emissions
11 with reduced N2O fluxes in the first few decades and increases afterwards. The initial reduction
12 was linked to N limitation under higher CO₂ level, and was alleviated through feedbacks such
13 as biological N fixation. The extratropical response was weaker and generally positive,
14 highlighting the need to expand field studies in tropical ecosystems.

15

16 *p.3103 l.1: You may state the contribution of N2O to total anthropogenic radiative forcing.*

17 **Response:** Agree. Add to p.2 lines 14-15 of the revised manuscript.

18

19 *l.4: Unclear what you mean with "comparable to the combined anthropogenic emissions"*

20 **Response:** Agree. We deleted "comparable to the combined anthropogenic emissions" to
21 reduce confusion. See p.2 line 17 of the revised manuscript.

22

23 *l.20: 'particularly' instead of 'particular'*

24 **Response:** . Change made to p.2 line 31 of the revised manuscript.

25

26 *p.3104 l.18: In my reading, LPJ DyN simulates a positive response of global N2O emissions to*
27 *CO2 (blue line is above purple line in Xu-Ri et al. (2012), Figure 5).*

1 **Response:** CO₂ plus interaction with climate result in a positive response of global N₂O
2 emissions in Xu-Ri et al., (2012), but historical CO₂ change alone (single factor, from Fig. 7 of
3 Xu-Ri et al., (2012)) causes a slight decrease in historical N₂O emissions. To clarify, we
4 rewrote this part as: Simulations with O-CN demonstrated a positive response of N₂O emissions
5 to historical warming and a negative response to historical CO₂ increase, globally. While CO₂
6 and interaction with climate change resulted in an increase in historical and future N₂O
7 emissions from LPJ-DyN (Xu-Ri et al., 2012) and its application (Stocker et al., 2013),
8 respectively, historical CO₂ change alone (single factor, from Fig. 7 of Xu-Ri et al., (2012))
9 caused a slight decrease in historical N₂O emissions.

10

11 *You may also want to refer to Stocker et al., 2013: N2O response from another implementation*
12 *of Xu-Ri's adaptation of DNDC.*

13 **Response:** We added the omitted reference to p.3 lines 21-23,27-31 of the revised manuscript.

14

15 *l.21: Xu et al., 2012 is usually referred to as Xu-Ri et al., 2012 (see references 'Xu-Ri &*
16 *Prentice, 2008' in her own publication Xu-Ri et al., 2012).*

17 **Response:** Corrected.

18

19 *l.29: "data-overriding" Can you explain this differently - wasn't clear to my first reading.*

20 **Response:** Agree. "Data-overriding" is changed to "replacing the model soil moisture"

21

22 *p.3105 l.11: Does LM3V-N use fixed prescribed C:N ratios in different compartments?*

23 **Response:** Yes. LM3V-N uses fixed prescribed C:N ratios in different compartments. In
24 addition, LM3V-N has a N storage pool that buffers asynchronies in C and N dynamics. For
25 more details, please refer to 2.1.1.1 C-N coupling in vegetation or Gerber et al. (2010).

26

27 *Please clarify. Sect. 2.2.1.: Good, accurate description.*

1 **Response:** We have trouble finding this expression in this section but stand by to make any
2 further clarification.

3

4 *p.3108 l.16: do you really mean “maximum”?*

5 **Response:** No. Maximum is deleted and text is rewording to “LM3V-N uses the concept of
6 plants available water, where the water that is available to plant varies between the wilting point
7 and field capacity”.

8

9 *l.25: I’m confused, units don’t add up. Also, it is unclear where other parameter values in Eq.*
10 *I are derived from. Eq. 1 is the only equation presented in the main body of the manuscript, yet*
11 *it describes a quantity of secondary (if not tertiary) importance (WFPS -> rates -> N2O*
12 *emissions). This appears somewhat inconsistent with the presentation of more important*
13 *equations only provided in the Appendix.*

14 *Strong emphasis is put on assessing different formulations of WFPS, yet an function of WFPS*
15 *is actually applied for determining denitrification/nitrification/volatilisation rates and*
16 *NOx:N2O partitioning in the model, and this function contains parameters which are not*
17 *described and assessed.*

18 **Response:** Further explanation of parameter values and units are added to Eq.1 (Eq. 22 in the
19 revised version). The formulation is revised as:

20

$$WFPS = \frac{\frac{\theta}{\rho h_r}}{1 - \frac{BD}{PD}}$$

21 while *WFPS* is the water filled porosity, θ (kg m⁻²) is the root zone soil water; h_r (m) is the
22 effective rooting depth of vegetation; ρ is the density of water (1000 kg m⁻³); *PD* is the particle
23 density of soil (2.65 g cm⁻³); and *BD* is the bulk density of soil (in unit g cm⁻³) obtained from
24 the Harmonized World Soil Database (HWSD) version 1.1 (Wei et al., 2014). We add more
25 detailed description of the main characteristic of LM3V-N (sect. 2.1.1) and soil N₂O emission
26 (sect. 2.1.2) to the main text. *WFPS* is involved in nitrification/denirification/volatilisation as
27 well as the partition of N gases as reported by various field and modelling studies. The
28 NOx:N₂O partitioning is taken from the empirical relationship derived by Parton et al. (2001)

1 which is applied in the daily version of the CENTURY model. These constants are empirically
2 derived based on field measurements. $\frac{D}{D_0}$ denotes the relative gas diffusivity in soil (D)
3 compared to that in the air (D_0). $\frac{D}{D_0}$ is calculated based on air filled porosity. The parameter
4 represent the gas diffusion in air (D_0) is not actually used in calculation. To clarify, we replaced
5 the notation $\frac{D}{D_0}$ by D_r in the revised manuscript (Eq. 14-15).

6

7 *p.3109 l.17: “: : : field scale.” References?*

8 **Response:** Agree. References “[Dijkstra et al., 2012](#); [van Groenigen et al., 2011](#)” are added

9

10 *l.22: At what point in the simulation does the CO2 doubling become effective?*

11 **Response:** Here, we evaluate the model’s response to step changes in form of a doubling of
12 preindustrial CO2 level (284 ppm to 568 ppm) and a 2K increase in atmospheric temperature.

13

14 *p.3110 title of Sect. 2.3: Could “: : : with environmental variables” be replaced by “: : : with
15 observations”? This would make more sense to me.*

16 **Response:** Agree.

17

18 *p.3111 l.3: Did you get this value spot-on from blindly implementing the equations with
19 parameter values described here or was there any tuning involved? Not that this would be
20 problematic, but it should be mentioned here to provide clarity.*

21 **Response:** We did not aim at this value. As the reviewers mentioned, this value is sensitive to
22 the fraction of net nitrification lost as N₂O. We highlight this now in the discussion section.

23

24 *Where does uncertainty range stem from? Why is the uncertainty range not displayed in Fig.
25 1? Or is it just a range of values for different years. Please clarify.*

26 **Response:** Based on another reviewer’s suggestion, we supplied three budget values
27 corresponding to the three soil moisture datasets. The simulated global soil N₂O flux is

1 6.69±0.32 TgN yr⁻¹ (1970-2005 mean and standard deviation among different years) (Fig.1)
2 with LM3V-SM (Method 3), 5.61±0.32 TgN yr⁻¹ with NOAH-SM (Method 2) and 7.47±0.30
3 TgN yr⁻¹ with ERA-SM (1982-2005, Method 2). The uncertainty (±) stands for the standard
4 deviation of N₂O emissions from different years for each soil moisture dataset, which we
5 clarified in our manuscript. Annual N₂O values from different soil moisture datasets are also
6 added to Fig.1.

7

8 *p.3112 l.10: highly variable savannah emissions: when high/low? during wet season?*
9 *confusing units (season⁻¹)*

10 **Response:** High emissions are during wet seasons and low emissions in dry seasons. Units are
11 changed to month⁻¹ instead of season⁻¹ for Fig.2.

12

13 *p.3115 l.5: Xu-Ri et al., 2012 suggests positive effect.*

14 **Response:** Please refer to the answer to an earlier question in p.3104 l.18. To reduce confusion,
15 we removed reference to Xu-Ri et al., 2012 in the revised manuscript.

16

17 *l.13: "net effect depend on : : : " See my general comment "SUBJECT TO PERFORMANCE*
18 *OF LM3V-N".*

19 **Response:** Agree

20 *p.3116 l.18: delete "knowledge from"*

21 **Response:** Corrected.

22

23 *p.3117 l.10: Wouldn't such environmental gradients (along which primarily temperature and*
24 *precipitation change) offer a great testbed for N₂O model benchmarking?*

25 **Response:** Agree. Environmental gradients provide us great opportunity to test the models.
26 Although altitudinal changes result in temperature or precipitation gradients, these gradients
27 are within one model grid cell and the model does not incorporate topographical information
28 explicitly. It is difficult to make use of the altitudinal data.

1

2 *p.3120 l.9: typo: “speicies”*

3 **Response:** Corrected.

4

5 *Appendix in general: Parameter values are presented in Equations without any further*
6 *description and reference. Can this be improved?*

7 **Response:** Appendix A is rewritten and moved to sect. **2.1.2 Soil N₂O emission** in the main
8 text. We replaced the notation $\frac{D}{D_0}$ by D_r in the revised manuscript (Eq. 14-15). We also add
9 corresponding refereces, units and further descriptions for parameters such as b_{N,NH_4^+} , $b_{NO_3^-}$, k .

10

11 *Fig.5: I recommend to use a two-colour scale (e.g., blue-red)*

12 **Response:** According to reviewers’ suggestions, we agree that Fig. 5 does not provide much
13 information and delete Fig.5

14

15 **References**

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21

22

23

1 **Responses to I. C. Prentice**

2 *This MS presents an extension to an existing model, allowing the simulation of N₂O emissions,*
3 *which are benchmarked against a newly compiled data set of observed emissions.*

4 **Response:** Thank you for taking time for reading and commenting on our discussion paper.

5

6 *I suggest that this work is not yet ready for publication. More work to evaluate and improve the*
7 *model is required before final publication. When it is finally published, more information*
8 *should be provided about how the modelled N cycle works, as the basic principles are not clear*
9 *from this description.*

10 **Response:** In response to this comment and comments from other reviewers, we moved and
11 amended the appendix with the model description into the main text. We further added
12 additional description of the main characteristic of LM3V-N that we thought may be relevant
13 of the fast processes that govern the dynamics of ammonium and nitrate in soil.

14

15 *Generally we might expect a publication describing a model to represent an advance in*
16 *knowledge over the current state of the art. It is not clear to me how this manuscript does so.*
17 *As one referee (Beni Stocker) has pointed out already, one would reasonably expect to see*
18 *independent evaluation of various quantities that underlie the process of N₂O emission, but this*
19 *is not provided.*

20 **Response:** While our work may not be vertical advancement, we add important pieces of
21 evidence that help the scientific community understand how N₂O emissions are dealt with in
22 global models. We clearly state that we build on earlier work that put forward formulation on
23 nitrification and denitrification. It is important that the model setup for the larger N cycle differ
24 from model to model, and thus the implementation of similar mechanism in a different model
25 provide critical insight. In response to another reviewer's comment, we provide a sensitivity
26 test to critical parameters of the larger N cycle, including mechanisms that govern N input and
27 N losses (biological N fixation, DON losses, plant uptake capacity, fire), and discuss these.

28

29 *The new data compilation, oddly and without explanation, contains only about a quarter of the*
30 *N₂O emissions data previously compiled by Xu-Ri et al. (2012).*

1 **Response:** For the observational data we compiled, we try to limit the impact of human
2 disturbance such as land use change since we do not conduct land use change simulations in
3 this study. We explain this now better in the revised paper. The larger N cycle responds
4 critically and long-lasting to disturbance (e.g. Bernal et al., 2012). Most of our data are from
5 pristine ecosystem without documented land use change, or at least have no disturbance within
6 the latest 50 years for forests and 10 years for grasslands. Therefore our selection criteria differs
7 compared to Xu-Ri et al. (2012). Despite our careful selection our compilation has 61 data
8 points only 5 less than Xu-Ri (2012).

9 *And when the data-model comparison is made (in Figure 3), the goodness of fit appears to be*
10 *inferior to that achieved by the model of Xu-Ri et al. (2012). Xu-Ri et al. (2012) also performed*
11 *a series of sensitivity experiments that showed consistency with a wide range of published*
12 *experimental findings.*

13 **Response:** We have now added more details to understand model behavior and performance.
14 This includes now a detailed sensitivity test that helps to understand how the resolution of N
15 cycle affects N₂O emissions. Further we include now time series of N₂O emissions against
16 data for a suite of sites. All these data show that resolving and predicting N₂O emission is a
17 challenge for any model.

18

19 **References**

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24 cycling and feedbacks in a global dynamic land model, Global Biogeochemical Cycles,
25 24,doi:10.1029/2008gb003336, 2010.

26

1 **Responses to A.F. Bouwman (Referee)**

2 Many thanks for reviewing our manuscript and your suggestions are very help in improving our
3 study. Please find our responses below.

4

5 *-This MS presents a module for simulating N₂O fluxes at the global scale based on equations
6 for denitrification and nitrification and considering N₂O and NO_x as fractions of the nitrogen
7 that is processed. Most model elements were borrowed from other models. I have a number of
8 serious problems with this MS:*

9 **Response:** Thank you for taking time reviewing our paper.

10

11 *-The model description in Appendix A is not complete as the units are not provided.*

12 **Response:** Agree. Appendix A is rewritten and moved to sect. 2.1.2 Soil N₂O emission. We
13 have further added more explanation on the overall plant-soil nitrogen cycle. We believe we
14 caught all instances where we missed the units.

15

16 *-It is not clear how model calculations at a resolution of 3.75 by 2.5 degrees can be meaningful,
17 since all data such as weather, soil and vegetation are kind of aggregates for that resolution,
18 and how can this be compared with point measurements.*

19 **Response:** We agree with the reviewer that evaluations of global simulations against point
20 measurements result in scale mismatches. Soil N₂O fluxes are highly variable even at the scale
21 of a single stand. Currently, a higher resolution that is feasible for global simulation (e.g. 0.5
22 by 0.5 degrees) is still much larger than the area where field measurements take place, and
23 benchmarking global simulations against field measurements still faces scale mismatches. In
24 this way, any model data comparison is incomplete. In the original manuscript, we focus on
25 capturing the average of annual mean emissions across different observations instead of one-
26 by-one comparison. This overall average emission aggregates measurements and provides some
27 information on model performance. We make reference to scale mismatches in the text. We
28 further amend our manuscript with comparison against single points (although these points still
29 represent grid-cell averages). We believe this is still informative, for example, our analysis

1 shows that, while we somewhat capture the means of the fluxes across sites. However, our
2 model has trouble simulating peak emissions. Further studies may elucidate whether a better
3 representation of these hot moments are more sensitive to processes emitted (freeze-thaw
4 cycles, pulses), or the aggregation of vegetation and climate.

5

6 *-With this spatial resolution, the time step is 30 minutes, but the authors provide annual and*
7 *seasonal numbers only. It is probably more interesting to compare the model results with*
8 *temporal distributions from field measurements. This could be done for a number of test sites*
9 *in a variety of climate and soil conditions. If not available, perhaps seasonal estimates from*
10 *experimental sites could be used to validate the model.*

11 **Response:** We have now added monthly and daily measurements to sect. 2.3 and 3.3, which
12 show, that the model agrees with the general trends in N₂O emission, but measured emission
13 peak are not realized in the model.

14

15 *-To assess model quality, it is much more interesting to analyze the functioning of the soil-plant*
16 *nitrogen cycle. How is denitrification compared to field measurements, and leaching, plant*
17 *uptake, ammonia volatilization, etc. If the large flows in the system are correct, the authors will*
18 *also be more confident about the small fluxes like N₂O and NO_x.*

19 **Response:** Assessing model quality is a challenge for all models, and several reviewers have
20 pointed out the effect of the larger N cycle on nitrification, denitrification and associated N₂O
21 fluxes. We now present an extensive sensitivity analysis that evaluates N₂O fluxes in response
22 to variation the relative strength of plant uptake, the overall supply of N to mineralization (by
23 way of modifying biological N fixation), and by specific parameters that deal with nitrification
24 and denitrification. We discuss the hierarchical system of plants and soils N demand are strong
25 sinks for ammonium and nitrate under limiting condition, resulting in leaching and
26 denitrification very small amounts. Excess nitrogen (if plants and soils have sufficient N) leads
27 to leaching and denitrification. There, the partition coefficients and the parameters that
28 determine the fate of the excess N become very important. The sensitivity analysis targets
29 exactly that question. We further point out, that we do not invent a new model but put existing
30 formulation (and parameter choices) in a new model. Currently, we do not have large scale
31 observation data such as denitrification and ammonia volatilization in relative pristine

1 ecosystems to support the benchmarking of the global model. Detailed analyses addressing this
2 are now in sect. 2.2.3, 3.4 and the discussion part of the revised manuscript.

3

4 *-Finally, a true sensitivity analysis will also show what the major variables and parameters*
5 *are. For example, the N₂O and NO_x fractions will probably pop up as important coefficients.*

6 **Response:** We add a series of sensitivity tests with regard to plant N uptake, nitrification rates,
7 denitrification rates and the fraction of N₂O lost from net nitrification in sect. 2.2.3 and sect.3.4
8 of the revised manuscript, which shows effects of the larger N cycle on the availability of N for
9 nitrification and denitrification as well as direct parameter uncertainty of the added module. We
10 found that the fraction of N₂O lost from net nitrification is the most sensitive
11 parameter. However, this fraction is very uncertain based on limited field or laboratory studies.
12 Goodroad and Keeney (1984) suggested a value of 0.1-0.2% , while Khalil et al. (2004) reported
13 a range of 0.16%-1.48% depending on the O₂ concentration. We applied a value of constant
14 0.4% in the default run which embraces large uncertainties in our modelled results.

15

16 *-I fully agree with one of the other reviewers who states that this work is not ready for*
17 *publication, and I also agree that perhaps Geoscientific Model Development is a more*
18 *appropriate journal for submitting a revised MS.*

19 **Response:** Thanks again for the helpful suggestions. We carefully considered the possibility
20 for Geoscientific Model Development. As pointed out in the response to Beni Stocker's review,
21 we highlight that this is not a new development, but the addition of an existing
22 nitrification/denitrification module to LM3VN. We would like to emphasize that the basic goal
23 of this paper is thus not presenting the new module per se, but how it performs in the context
24 of the larger plant-soil N cycle. The reviews have helped to sharpen this focus, which we think
25 makes the manuscript a nice fit for Biogeosciences.

26

27 References

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4 denitrification in soil aggregates as affected by O₂ concentration, *Soil Biology &*
5 *Biochemistry*, 36, 687-699, doi:10.1016/j.soilbio.2004.01.004, 2004.

6

1 **Responses to Anonymous Referee #3**

2

3 *The authors added a new soil N₂O emissions module to the dynamic global land model*

4 *LM3V-N, and tested its sensitivity to soil moisture regime, as well as its responses to elevated*

5 *CO₂ and temperature. However, I am not sure what the main objective of the paper is – whether*

6 *this was mainly a model development paper or whether they wanted to conduct different*

7 *sensitivity analyses. As noted by the other two reviewers, I think this paper needs major*

8 *revisions before it can be published. In my opinion, the most important is to: 1) include more*

9 *analyses instead of the speculations presented in its current status; and 2) highlight the original*

10 *contributions in this paper, specifically illustrating what is different from what already has*

11 *been published in Xu-Ri et al., 2012.*

12 **Response:** In the new manuscript we hope that we clarify that we are building on existing

13 nitrification/denitrification modules and discuss how this implementation bears out in this

14 specific coupled carbon- nitrogen cycle model. As pointed out by Beni Stocker, these processes

15 are subject to how the larger plant-soil cycle is implemented. To achieve this goal, we added a

16 set of new analysis and tested the response of N₂O emissions to assumptions related to N

17 availability for nitrification-denitrification through altering biological N fixation fluxes,

18 limiting dissolved organic N and fire volatilization N losses and changing plant N uptake

19 strength. The corresponding analyses are in presented in sect. 2.2.3 and sect. 3.4 (***Sensitivity to***

20 ***N cycling processes and parameterization***) and the discussion in the revised manuscript. Our

21 modeled response to CO₂ fertilization is different from Xu-Ri et al. (2012). Xu-Ri et al. (2012)

22 suggests a positive response globally or from tropical forest based on historical simulations and

23 combining the interaction with climate change, while we argue for a negative response from

24 tropical forest in the first three decades of imposing a doubling of atmospheric CO₂ (568 ppm).

25

26 *I first list some major concerns, followed by minor comments.*

27 *Major points:*

28 *The authors argue in the abstract ln. 7-9 on p. 3102 that “[t]he model was capable of*

29 *reproducing the average of cross-site observed annual mean emissions, although differences*

30 *remained across individual sites if stand-level measurements were representative of gridcell*

1 *emissions.” It is not obvious how they concluded that the model was indeed capable of*
2 *reproducing the observed emissions. From the Figure 3, it is also not clear if the model is*
3 *capable or not.*

4 **Response:** We now add more comparison against data, specifically we also compare time series
5 for a suite of site against the model. This allows us to discuss the model results in much more
6 detail. For example, we show and discuss in the text, that we do not capture the entire breadth
7 of N₂O emissions across sites, and also within particular sites. Our abstract reads now “Results
8 extracted from the corresponding gridcell (without site-specific forcing data) were comparable
9 with the average of cross-site observed annual mean emissions, although differences remained
10 across individual sites if stand-level measurements were representative of gridcell emissions.”.
11 While mismatches uncover model deficiencies, a point by point evaluation also bears the
12 problem of scale mismatches, and issue raised by other reviewers and discussed in the text.

13

14

15 *I would expect to see more rigorous model-obs comparisons, if this is a model*
16 *development/validation paper. As the second reviewer suggested, I would also like to see*
17 *hourly/monthly comparisons at multiple sites, and I find it odd that the model is run at “an*
18 *annual time step” as they state on ln. 8-9, p. 3113.*

19 *Why don't they get the annual average from their half-hourly simulation?*

20 **Response:** The model is run with the fastest time-step of half an hour. The results state on ln.
21 8-9, p. 3113 (from original manuscript) is the annual average from the half-hourly simulation.
22 To clarify, we reworded to “Modelled N₂O emissions capture the average of cross-site observed
23 annual mean emissions” (ln. 4-6, p. 16, revised manuscript). We further added comparison
24 based on monthly and daily site measurements to sect. 2.3 and 3.3 of the revised manuscript..

25 *Also, I recommend that they at least add their modeled values in Table B1 as well, so that the*
26 *reader can directly compare their modeled values to the observations.*

27 **Response:** We followed the suggestion: Table B1 is moved to Table A1, and modeled values
28 are added.

29

1 *With regard to soil moisture, why does Figure 3 use different methods for the different data*
2 *sets? I understand that there are three methods that the authors used for each of the three*
3 *different data sets but it does not make much sense to do a model-obs comparison in a panel,*
4 *using method 3 for part a and method 2 for parts b and c. Why not use one of the methods for*
5 *all parts? If the authors agree that soil moisture values larger than 0.6 are not reasonable,*
6 *what about the validity of the maximum water method that leads to a global mean WFPS higher*
7 *than 0.6 (Figure 4)?*

8 **Response:** We have three methods for each of the three different data sets. LM3V-SM does
9 not allow soil water to accumulate beyond field capacity. Meanwhile, the other two data sets
10 (NOAH-SM and ERA-SM) are products to emulate observed soil moisture where soil water
11 can transiently be stored above field capacity. Based on our understanding of these soil water
12 data sets, we believe WFPS is more accurately represented by method 3 for LM3V-SM (part
13 a), and by method 2 for NOAH-SM (part b) as well as ERA-SM (part c). Therefore, we use
14 different method for different soil moisture data set. WFPS higher than 0.6 are generated by the
15 two external soil moisture data sets (NOAH and ERA) through the maximum method, which
16 we mention in the text is less appropriate to use for these data, nevertheless, they provide useful
17 information in terms of the sensitivity to the soil moisture and its parameterization.

18

19 *I also found that there are some statements in this paper that should be better justified. First,*
20 *on ln. 5, p. 3115 authors state that “[t]he negative impacts (reduced N₂O flux), which are also*
21 *reported from manipulative experiments, are likely from increased plant N and immobilization*
22 *demand under CO₂ fertilization, reducing N availability for nitrifiers and denitrifiers” but is*
23 *this what they see in the model? I believe they can also draw a similar graph, illustrating plant*
24 *N and immobilization rate in time-series to see if this is indeed the response they are seeing in*
25 *the model. The same goes for the positive impacts.*

26 *I think it is important to see if the litter production and soil moisture have been increased, as*
27 *well as stomatal conductance and leaf transpiration reduction, as they imply in the paper.*

28 **Response:** This is a great suggestion. We inserted a new figure (Figure 8) into the revised
29 manuscript. The figure compares the global mean litter pool size, plant nitrogen uptake rate,
30 transpiration and soil water content in the root zone between simulations without and with CO₂
31 fertilization. Averages of global means over 100 years show an increase of plant nitrogen uptake

1 rate, litter pool size and soil water content, and a decrease of transpiration due to CO₂
2 fertilization effect.

3

4 *I'm not sure I understand the reasoning behind the statement on ln. 14-16, p. 3118: "Patterns*
5 *of seasonality, and the correlates between N₂O emissions vs. temperature and soil moisture*
6 *suggest that moisture is the dominant driver of N₂O emission in tropical regions and soil*
7 *temperature critical elsewhere." What does "dominant" mean in this case? I think that in order*
8 *to make such a statement, one needs to show the impact of different variables that are important*
9 *and how that affects their N₂O emissions.*

10 **Response:** According to other reviewer's suggestion, the correlation analysis is removed from
11 the manuscript.

12

13 *The authors write on p. 3104 that "[s]imulations with LPJ-DyN and O-CN demonstrated a*
14 *positive response of N₂O emissions to historical warming and a negative response to historical*
15 *CO₂ increase, globally. This negative CO₂ response seems to be in disagreement with one*
16 *meta-analysis of manipulative field experiments showing an increase in N₂O emissions at*
17 *elevated levels of CO₂ (Zaehle et al., 2011; Xu et al., 2012; van Groenigen et al., 2011). The*
18 *discrepancy in response to global change factors needs to be addressed both in models and in*
19 *the interpretation of manipulative field experiments." It seems that authors are misinterpreting*
20 *the work of Xu-Ri et al. (2012) (which authors write as Xu et al, (2012)). Xu-Ri et al. (2012)*
21 *states that "[i]ncreasing CO₂ generally enhanced the N₂O emission in tropical and temperate*
22 *moist forests, whilst reducing the N₂O emission in some other regions (Fig. 6)," which is*
23 *essentially the same as the argument made in the current paper. I think it would be helpful if*
24 *the authors could clarify what it is that they are arguing that is different from the conclusions*
25 *in the Xu-Ri et al. (2012), as this was not obvious to me.*

26 **Response:** Fig. 6 of Xu-Ri et al., (2012) displays the simulated global 20th century trends of
27 annual N₂O emission in simulations with (a) CO₂ and climate change and (b) fixed CO₂
28 concentration. Xu-Ri et al. (2012) states "in many tropical regions, CO₂ and climate change
29 combined synergistically to increase N₂O emission", based on their Fig .6. However, the effect
30 of CO₂ alone cannot be derived from their Fig. 6. As further illustrated in their Fig. 7, CO₂ plus
31 interaction with climate result in a positive response of global N₂O emissions, but historical

1 CO₂ change alone (single factor) causes a slight decrease in historical N₂O emissions. We agree
2 our interpretation of their result is inaccurate without explicitly state whether it is CO₂ effect
3 alone or CO₂ plus interaction with climate. In response to this and another reviewer's
4 suggestion, we rewrote this part as: „Simulations with O-CN demonstrated a positive response
5 of N₂O emissions to historical warming and a negative response to historical CO₂ increase,
6 globally. While CO₂ and interaction with climate change resulted in an increase in historical
7 and future N₂O emissions from LPJ-DyN(Xu-Ri et al., 2012) and its application (Stocker et al.
8 2013), respectively, historical CO₂ change alone (single factor, from Fig. 7 of Xu-Ri et al.,
9 (2012)) caused a slight decrease in historical N₂O emissions.“

10

11 We do not think our argument for CO₂ fertilization response is the same as Xu-Ri et al., (2012).
12 Xu-Ri et al., (2012) argues for a positive response from tropical forest based on historical
13 simulations and combining the interaction with climate change, while we produced a negative
14 response from tropical forest in the first three decades of imposing a doubling of atmospheric
15 CO₂ (568 ppm). The negative response from tropical forests is the major cause of the global
16 negative responses to CO₂ fertilization. While Xu-Ri et al., (2012) conducted historical
17 simulations, we focus on step changes of CO₂ that mimic most of the field experiment of CO₂
18 fertilization (e.g. FACE).

19

20 *Minor comments:*

21 *I am a bit confused about the Figure 1. The MEI values*
22 *(<http://www.esrl.noaa.gov/psd/enso/mei/table.html>) are higher than 0.6 on several occasions*
23 *between 1975 and 1980 (1976 Jun-Oct, 1977 Jun-1978 Mar, 1979 Jul-1980 Jul) and yet, this*
24 *figure is only showing a one gray zone during that period. Also, it is unclear which WFPS*
25 *method was used for this calculation. It would be helpful if they showed the range in interannual*
26 *emissions, based on the 3 different methods and datasets they used. The same goes for Figure*
27 *2.*

28 **Response:** Agree. The revised Figure 1 displays three sets of annual global N₂O emissions
29 corresponding to three soil moisture datasets. We used the average of 12 monthly values to
30 represent MEI of a year. Grey zones indicate the years with mean MEI greater than 0.6. And
31 grey areas do not incorporate any sub-annual information.

1 *Are RNOx:N2O and RN2:N2O values calculated at every time step for every grid cell? Or how*
2 *does it work?*

3 **Response:** Yes. RNOx:N2O and RN2:N2O values are calculated at every time step for every
4 grid cell, which we now explicitly mention in the revised manuscript.

5

6 *L. 4, P. 3102 – typo “reponses”*

7 *L. 19, p. 3109 – typo “equalibrium”.*

8 *l. 1, p. 3117 – typo “exsit”*

9 *l. 5, p. 3117 – “constraint” to “constrain”*

10 *l.29, p. 3117 – typo “oringinal” l. 8, p. 3119 – typo “aboitic”.*

11 *l. 13, p. 3119 – typo “unstand”*

12 *l. 9, p. 3120, typo – “speicies”*

13

14 **Response:** Thank you for catching those typos.

15

1 **Brief List of Relevant Changes**

2 According to the reviewer's suggestion, we made the following major changes:

3

4 1) We removed the appendix, and inserted the relevant equations that drive the dynamics
5 of the ammonium and nitrate pool into the method section.

6 2) We conducted a series of sensitivity tests, that include both parameters directly
7 associated with the fast turnover pools of ammonium and nitrate (and thus nitrification
8 and denitrification rates), and processes that affect the larger plant-soil N cycle. The
9 parameters include maximum uptake of plant roots, maximum nitrification rate,
10 maximum denitrification reate, half saturation constants for C availability and nitrate
11 during denitrification and the fraction of nitrification lost as N₂O. The sensitivity to the
12 larger plant-soil N cycle includes a) a prescribed rate of biological N fixation instead of
13 the dynamic changes formulated in LM3V-N, changes in the fraction of N volatilized
14 during fire, and a parameter that affects production and losses of dissolved organic N.
15 To that end, we provide a new figure (figure 7).

16 3) We provide site-specific evaluations, of N₂O fluxes, and how simulated N₂O at these
17 sites responds to the different treatments of soil moisture in the model. These
18 evaluations resulted in 2 new figures (Figures 5 and 6).

19 4) According to the reviewer's suggestion, we removed the old figure 2 that showed
20 correlations of N₂O fluxes with other variables.

21 5) We further created a new figure (figure 9) that shows the response of selected state
22 variables and N fluxes in response to elevated CO₂. This is in response to the request of
23 reviewer 3, to discuss how the larger plant-soil N cycle shapes the response of N₂O
24 emission to a doubling of CO₂.

25 6) Following reviewer's comments, we expanded the discussion to accommodate
26 comments regarding how the paper is interesting for the readers of Biogeoscience. We
27 specifically highlight, that we build on earlier, established formulations of
28 nitrification/denitrification and we show, how the implementation of the larger plant-
29 soil N cycle affects the modelling of the soil ammonium and nitrate availability – and
30 thus N₂O emissions.

31

1 **Marked up version of the manuscript changes**

2 **Abstract**

3 Nitrous oxide (N₂O) is an important greenhouse gas that also contributes to the depletion of
4 stratospheric ozone. ~~With~~Due to its high temporal and spatial heterogeneity, a quantitative
5 understanding of terrestrial N₂O emission, its variabilities and ~~reponses~~responses to climate
6 change is challenging. We added a soil N₂O emission module to the dynamic global land model
7 LM3V-N, and tested its sensitivity to ~~soil moisture regime and responses to elevated CO₂ and~~
8 ~~temperature. The model was capable of reproducing mechanisms that affect the level of mineral~~
9 ~~N in soil such as plant N uptake, biological N fixation, amount of volatilized N redeposited after~~
10 ~~fire, and nitrification. We further tested the relationship between N₂O emission and soil~~
11 ~~moisture, and finally assessed responses to elevated CO₂ and temperature. Results extracted~~
12 ~~from the corresponding gridcell (without site-specific forcing data) were comparable with the~~
13 average of cross-site observed annual mean emissions, although differences remained across
14 individual sites if stand-level measurements were representative of gridcell emissions.
15 ~~Processes, such as plant N uptake and N loss through fire volatilization, that regulate N~~
16 ~~availability for nitrification-denitrification have strong controls on N₂O fluxes in addition to the~~
17 ~~parameterization of N₂O loss through nitrification and denitrification.~~ Modelled N₂O fluxes
18 were highly sensitive to water filled pore space (WFPS), with a global sensitivity of
19 approximately 0.25 TgN per year per 0.01 change in WFPS. We found that the global response
20 of N₂O emission to CO₂ fertilization was largely determined by the response of tropical
21 emissions, ~~whereas~~ with reduced N₂O fluxes in the first few decades and increases afterwards.
22 The initial reduction was linked to N limitation under higher CO₂ level, and was alleviated
23 through feedbacks such as biological N fixation. The extratropical response was weaker and
24 ~~different~~generally positive, highlighting the need to expand field studies in tropical ecosystems.
25 Warming generally enhanced N₂O efflux, and the enhancement was greatly dampened when
26 combined with elevated CO₂, although CO₂ alone had a small effect. Our analysis suggests
27 caution when extrapolation from current field CO₂ enrichment and warming studies to the
28 global scale.

1

2 1 Introduction

3 Nitrous oxide (N₂O) is a major reactant in depleting stratospheric ozone as well as an important
4 greenhouse gas (~~Ravishankara et al., 2009~~[\(Ravishankara et al., 2009\)](#); Butterbach-Bahl et al.,
5 2013; Ciais et al., 2013). With a global warming potential of 298 times more (per unit mass)
6 than that of carbon dioxide (CO₂) over a 100-year period (Forster et al., 2007), the contributions
7 of N₂O emissions to global radiative forcing and climate change are of critical concern (~~Zaehle~~
8 ~~and Dalmonech, 2011~~[\(Zaehle and Dalmonech, 2011\)](#)). The concentration of atmospheric N₂O
9 has been increasing considerably since the industrial revolution with a linear rate of 0.73±0.03
10 ppb yr⁻¹ over the last three decades (Ciais et al., 2013). Although applications of synthetic
11 fertilizer and manure during agriculture intensification have been identified as the major causes
12 of this increase which has resulted in an increase of the radiative forcing by 0.125W m⁻²
13 ~~(Davidson, 2009; Davidson, 2009; Zaehle and Dalmonech, 2011); Zaehle et al., 2011)~~,
14 nonagricultural (natural) soil is still an important N₂O source ~~that is comparable to the combined~~
15 ~~anthropogenic emissions~~ (Ciais et al., 2013; Syakila and Kroeze, 2011). N₂O fluxes from
16 nonagricultural soils are highly heterogeneous, which limits our ability to estimate and predict
17 global scale budget, and quantify its response to global environmental changes (Butterbach-
18 Bahl et al., 2013; Ciais et al., 2013).

19 Most of the N₂O fluxes from soil are produced by microbial nitrification and denitrification
20 (Braker and Conrad, 2011; Syakila and Kroeze, 2011). Nitrification is an aerobic process that
21 oxidizes ammonium (NH₄⁺) to nitrate (NO₃⁻), during which some N is lost as N₂O.
22 Denitrification reduces nitrate or nitrite to gaseous N (i.e. NO_x, N₂O and N₂), a process that is
23 fostered under anaerobic conditions. N₂O is generated in intermediary steps during
24 denitrification and a small portion can escape from soil before further reduction to N₂ takes
25 place. Soil texture, soil NH₄⁺, soil water filled pore space (WFPS), mineralization rate, soil pH,
26 and soil temperature are well-known regulators of nitrification N₂O fluxes (~~Parton et al.,~~
27 ~~1996; Li et al., 2000; Parton et al., 2001~~[\(Parton et al., 1996; Li et al., 2000; Parton et al., 2001\)](#)).
28 Denitrification and associated N₂O emissions depend primarily on carbon supply, the redox
29 potential and soil NO₃⁻ (Firestone and Davidson, 1989; ~~Parton et al., 1996~~[Parton et al., 1996](#)).
30 Soil moisture has a ~~particular~~particularly strong impact (~~Galloway et al., 2003; Schlesinger,~~
31 ~~2009~~[\(Galloway et al., 2003; Schlesinger, 2009\)](#)) as it influences nitrification and denitrification

1 rates through its regulations on substrate availability and soil redox potential (as oxygen
2 diffusion proceeds at much slower rate in water filled than in air filled pore space), thereby also
3 controlling the partitioning among various denitrification products (i.e. NO_x, N₂O and N₂)
4 (Firestone and Davidson, 1989; ~~Parton et al., 2001~~ Parton et al., 2001). Although emissions are
5 known to be sensitive to soil moisture, quantitative understanding of its role in terrestrial N₂O
6 fluxes and variability is limited (Ciais et al., 2013).

7 At regional to global scale, the application of the “hole-in-pipe” concept (Firestone and
8 Davidson, 1989) in the CASA biosphere model pioneered one of the earliest process-based
9 estimation of natural soil N₂O fluxes. The model calculated the sum of NO, N₂O and N₂ fluxes
10 as a constant portion of gross mineralized N, and the relative ratios of N trace gases
11 (NO_x:N₂O:N₂) as a function of soil moisture (~~Potter et al., 1996~~ Potter et al., 1996). While the
12 early models of nitrification and denitrification are primarily conceptual driven, recent global
13 N₂O models combine advancements in global dynamic land models with more detailed
14 processes, including microbial dynamics. ~~Xu and Prentice (2008)~~ Xu-Ri and Prentice (2008)
15 simplified nitrification and denitrification modules from DNDC (i.e., DeNitrification-
16 DeComposition) (~~Li et al., 1992; Li et al., 2000~~ Li et al., 1992; Li et al., 2000) in their global
17 scale dynamic N scheme (DyN) and incorporated DyN into the LPJ dynamic global vegetation
18 model. In the DNDC approach, nitrification and denitrification were allowed to happen
19 simultaneously in aerobic and anaerobic microsites. Zaehle et al. (2011) incorporated a
20 nitrification-denitrification scheme into the O-CN land model following largely the LPJ-DyN
21 with minor modifications and additions of the effects of soil pH and chemo-denitrification that
22 originated from DNDC (~~Li et al., 2000~~ Li et al., 2000). Stocker et al. (2013) embeded the LPJ-
23 DyN approach into an Earth System Model and investigated the feedbacks of N₂O emissions,
24 together with CO₂ and CH₄, to climate. Compared to LPJ-DyN approach, ~~Saikawa et al.~~
25 ~~(2013)~~ Saikawa et al. (2013) retained the explicit simulation of nitrifying and denitrifying
26 bacteria from DNDC in their CLMCN-N₂O module based on CLM V3.5 land model.
27 Simulations with ~~LPJ-DyN and~~ O-CN demonstrated a positive response of N₂O emissions to
28 historical warming and a negative response to historical CO₂ increase, globally. ~~This~~ While CO₂
29 and interaction with climate change resulted in an increase in historical and future N₂O
30 emissions from LPJ-DyN (Xu-Ri et al., 2012) and its application in LPX-Bern (Stocker et al.,
31 2013), respectively, historical CO₂ change alone, i.e. single factor of Xu-Ri et al., (2012),
32 caused a slight decrease in historical N₂O emissions. The negative CO₂ response seems to be

1 in disagreement with one meta-analysis of manipulative field experiments showing an increase
2 in N₂O emissions at elevated levels of CO₂ (Zaehle et al., 2011;~~Xu et al., 2012~~Xu-Ri et al.,
3 2012;van Groenigen et al., 2011). The discrepancy in response to global change factors needs
4 to be addressed both in models and in the interpretation of manipulative field experiments.
5

6 Here we add a N₂O gas emission module to LM3V-N, a land model developed at the Gophysical
7 Fluid Dynamics Laboratory (GFDL). In this paper, we will first briefly introduce LM3V-N and
8 describe the added N₂O emission module. We then subject the model to historic changes in CO₂,
9 N deposition, and recent climate change to infer natural N₂O emissions in the past few decades.
10 We test the model's sensitivity to soil water regime, by addressing the parameterization of soil
11 WFPS, and by ~~data overriding of two different soil moisture reanalysis products. We~~
12 ~~then~~replacing the model soil moisture with two different soil moisture reanalysis products. We
13 also conduct sensitivity tests with regard to the general N cycling and parameterization of N₂O
14 emissions. Since we build largely on existing parameterization of nitrification-denitrification
15 processes, our focus relies on the evaluation of these processes if tranferred to a different model.
16 Finally, we subject the model to step changes in atmospheric CO₂ and temperature to
17 understand modelled reponses to CO₂ fertilization/climate change.

18 **2 Methods**

19 **2.1 Model description**

20 LM3V is capable of simulating ecosystem dynamics and exchange of CO₂, water and energy
21 between land and atmosphere with the fastest time step of 30 minutes (~~Shevliakova et al.,~~
22 2009).~~(Shevliakova et al., 2009)~~. LM3V-N expands the LM3V land model with a prognostic N
23 cycle (~~Gerber et al., 2010~~)(Gerber et al., 2010), and includes five plant functional types
24 (PFTs):C3 and C4 grasses, tropical, temperate deciduous and cold evergreen trees. Each PFT
25 has five vegetation C pools (~~leaves~~leaf, fine ~~roots~~root, sapwood, labile, and wood), two litter
26 and two soil organic C pools and their corresponding N pools based on the specific C:N ratios.
27 Photosynthesis is coupled with stomatal conductance on the basis of the Collatz et al.,
28 (1991,1992) simplification of the Farquhar scheme (Farquhar et al., 1980). ~~N enters the~~
29 ~~ecosystem through atmospheric N deposition and biological N fixation (BNF). BNF in LM3V-~~
30 ~~N is dynamically simulated on the basis of plant N availability, demand and light condition.~~
31 Soil hydrology in LM3V follows partly on Land Dynamics (LaD) with further improvements

(Shevliakova et al., 2009; Milly and Shmakin, 2002; Milly et al., 2014). N enters the ecosystem through atmospheric N deposition and biological N fixation (BNF), losses via fire and leaching of dissolved organic N (DON) as well as mineral N. Major characteristics of LM3V-N include the following 5 aspects, and details are available in Gerber et al. (2010).

2.1.1 Main characteristic of LM3V-N

2.1.1.1 C-N coupling in vegetation

We briefly describe the larger plant-soil N cycle and how it links to mineral N (ammonium and nitrate). Details are described in Gerber et al. (2010). Plants adjust their uptake of C and N to maintain their tissue specific C:N ratios, which are PFT-dependent constants. Instead of varying C:N ratios in tissues, short-term asynchronies in C and N assimilations or temporary imbalances in stoichiometry are buffered by additional N storage pool (S) in which N is allowed to accumulate once plant N demand is satisfied. The optimum storage size S_{target} is based on tissue turnover $Q_{N,liv}$.

$$S_{target} = t_h Q_{N,liv} \quad (1)$$

where t_h is the time span that buffer plant N losses (currently set as 1 year). Plant N status (x) is defined as the fraction of the actual N storage compared to the target storage: $x = S/S_{target}$. Consequently, N constraints on photosynthesis and soil N assimilation are based on plant N status:

$$A_{g,N} = A_{g,pot} (1 - e^{-x\varphi}) \quad (2)$$

$$U_{N,P} = U_{N,P,pot} * \begin{cases} 1 & \text{if } S < S_{target} \\ 0 & \text{else} \end{cases} \quad (3)$$

where $A_{g,N}$ indicates N constrained rate of gross photosynthesis ($\mu\text{molC m}^{-2} \text{s}^{-1}$) and $A_{g,pot}$ corresponds to the potential photosynthetic rate without N limitation. The parameter φ mimics the metabolic deficiency as plant N decreases. $U_{N,P,pot}$ is the potential inorganic N uptake rate from soil available ammonium and nitrate pools. The actual inorganic N uptake rate ($U_{N,P}$) operates at its potential and drops to zero when N storage (S) reaches its target size.

2.1.1.2 Soil C-N interactions in organic matter decomposition

Organic matter decomposition is based on a modified CENTURY approach (Bolker et al., 1998), and amended with ~~formulation of N dependent C and N mineralization rates~~.

1 formulations of N dependent C and N mineralization rates. N can both trigger the
2 decomposition of “light” organic matter and stabilize C in “heavy” organic matter in LM3V-N.
3 Sustained positive effect of available N on litter decomposition relies on the persistence of
4 microbial N limitation during decomposition, which is implemented through the combination
5 of available N supply to microbial organisms and their respiration rate. Further, LM3V-N
6 incorporates the negative effects of N on recalcitrant organic matter decomposition through
7 increasing the fraction of C and N fluxes into the recalcitrant pool. Formation of a slow
8 decomposable organic matter pool leads to immobilization of ammonium and nitrate to satisfy
9 the fixed carbon to nitrogen ratio of this pool.

10 2.1.1.3 Competing sinks of available N

11 The fate of soil mineral N (i.e. ammonium and nitrate) depends on the relative strength of the
12 competing sinks, with the broad hierarchy order of sorption > soil immobilization > plant
13 uptake > leaching/denitrification. Denitrification thus far is has been lumped with leaching
14 losses and summed into a generic N loss term. OverSorption/desorption buffers available N and
15 is assumed to have the long term, highest priority and be at steady state in each model time step.
16 N immobilization into organic matter occurs during transfers among litter and soil organic
17 matter pools. Leaching losses of N from fire and dissolved organic nitrogen (DON) available
18 N are critical factors limiting simulated on the ecosystem N accumulation and maintaining N
19 limitation in LM3V basis of drainage rate. Plant uptake of mineral N (Gerber et al.,
20 2010; Gerber et al., 2013). Soil hydrology in LM3V follows partly on Land Dynamics (LaD)
21 with further improvements (Shevliakova et al., 2009; Milly and Shmakin, 2002; Milly et al.,
22 2014) is a combination of both active and passive processes. The active uptake is modeled as a
23 Monod function, and the passive transport is a function of available N and plant transpiration.

24 Here, ~~we~~
$$U_{N,P,pot,i} = \frac{v_{max}C_rN_{i,av}}{h_s(k_{p,1/2} + [N_{av}])} + [N_{av}]Q_{W,T}$$

25 (4)

26 where v_{max} ($\text{yr}^{-1} \text{kgC}^{-1}$) stands for the maximum uptake rate per unit root mass C_r , h_s is soil depth,
27 $k_{p,1/2}$ is the half saturation constant, and $Q_{W,T}$ represents the transpiration flux of water. Potential
28 uptake and thus effective removal of available N occurs if plants are N limited (see Equation
29 3).

30 2.1.1.4 N losses from organic pools

1 Over the long term, N losses via fire and DON are critical factors limiting ecosystem N
2 accumulation and maintaining N limitation in LM3V-N (Gerber et al., 2010; Thomas et al.,
3 2015). N volatilized from fire is approximated as a function of C released from fire,
4 stoichiometric ratio of burned tissues and reduced by a global retention factor representing the
5 fraction of N that is retained as ash (*ash fraction*, currently set as 0.45). DON leaching is linked
6 to hydrologic losses of dissolved organic matter (L_{DOM}) and its C:N ratio. In turn L_{DOM} is based
7 on drainage rate ($Q_{W,D}$) and a buffer or sorption parameter b_{DOM} (currently set as 20).

$$8 \quad L_{DOM} = \frac{Q_{W,D}}{h_s b_{DOM}} DOM \quad (5)$$

9 where DOM is the amount of dissolve organic matter in the soil column. Soil depth (h_s) is used
10 to convert DOM unit to concentration (in unit of kgC m^{-3}). Production of DOM (in unit of kgC
11 m^{-2}) is assumed to be proportional to the decomposition flux of the structural litter and soil
12 water content. Both, losses via fire and via DOM are losses from a plant-unavailable pool
13 (Thomas et al., 2015), and have the potential to increase or maintain N limitation over longer
14 timescales, and consequently reduce N available for N_2O production through sustained and
15 strong plant N uptake (see Equations 2-4).

16 **2.1.1.5 Biological nitrogen fixation (BNF)**

17 BNF in LM3V-N is dynamically simulated on the basis of plant N availability, N demand and
18 light condition. BNF increases if plant N requirements are not met by uptake. The rate of up-
19 regulation is swift for tropical trees but constrained by light penetrating the canopy for other
20 PFTs, mimicking the higher light requirements for new recruits that possibly can convert
21 atmospheric N_2 into plant available forms. In turn, sufficient N uptake reduces BNF. The BNF
22 parameterization thus creates a negative feedback, where high plant available N and thus the
23 potential for denitrification is counteracted with reduction of N input into the plant-soil system.
24 This explicit negative feedback is different to other models where BNF is parameterized based
25 on NPP (Thornton et al., 2007), or transpiration (Zaehle and Friend, 2010).

26 **2.1.2 Soil N_2O emission**

27 LM3V-N assumes that nitrification is linearly scaled to ammonium content, and modified by
28 soil temperature and soil moisture. Gaseous losses so far were not differentiated from
29 hydrological leaching. We add a soil nitrification-denitrification module which accounts for N
30 gaseous losses from NH_3 volatilization, nitrification and denitrification. The nitrification-

denitrification scheme implemented here combines features from both the DNDC model (Li et al., 1992; Li et al., 2000) (Li et al., 1992; Li et al., 2000) and the CENTURY/DAYCENT (Parton et al., 1996; Parton et al., 2001) (Parton et al., 1996; Parton et al., 2001; Del Grosso et al., 2000). In this part, we provide details on the nitrification-denitrification module which explicitly simulates N gaseous losses from nitrification and denitrification, as well as other process modifications compared to the original LM3V-N.

2.1.2.1 Nitrification-Denitrification

Transformation among mineral N species (ammonium and nitrate) occurs mainly through two microbial pathways: nitrification and denitrification. Although ongoing debate exists in whether nitrification rates may be well described by bulk soil ammonium concentration or soil N turnover rate (Parton et al., 1996; Zaehle and Dalmonech, 2011), we adopt the donor controlled scheme (ammonium concentration). In addition to substrate, soil texture, soil water filled pore space (WFPS, the fraction of soil pore space filled with water), and soil temperature are all well known regulators of nitrification. As a first order approximation, nitrification rate (N , in unit, $\text{kgN m}^{-2} \text{ year}^{-1}$) is simulated as a function of soil temperature, NH_4^+ availability and WFPS,

$$N = k_n f_n(T) f_n(WFPS) \frac{N_{\text{NH}_4^+}}{b_{N, \text{NH}_4^+}} \quad (6)$$

where k_n is the optimum nitrification rate (11000 year^{-1} , the same as in LM3V-N) (Gerber et al., 2010); $N_{\text{NH}_4^+}$ is ammonium content (in unit, kgN m^{-2}); b_{N, NH_4^+} is the buffer or sorption parameter for NH_4^+ (unitless, 10 in LM3V-N) (Gerber et al., 2010); $f_n(T)$ is the temperature response function following Li et al. (2000), with an optimum temperature for nitrification at 35°C ; and $f_n(WFPS)$ is the soil water response function. The effect of WFPS on nitrification is texture dependent, with most of the reported optimum value around 0.6 (Parton et al., 1996; Linn and Doran, 1984). We adopt the empirical WFPS response function from Parton et al. (1996) with medium soil texture.

$$f_n(T) = \left(\frac{60 - T_{\text{soil}}}{25.78}\right)^{3.503} \times e^{\frac{3.503 \times (T_{\text{soil}} - 34.22)}{25.78}} \quad (7)$$

$$f_n(WFPS) = \left(\frac{WFPS - 1.27}{-0.67}\right)^{\frac{1.9028}{0.59988}} \times \left(\frac{WFPS - 0.0012}{0.59988}\right)^{2.84} \quad (8)$$

1 where T_{soil} is the soil temperature in degree Celsius. ~~Details of model formulation and~~
2 ~~implementation are given in Appendix A. Briefly, nitrification is treated as a donor (NH_4^+)~~
3 ~~controlled process which is further modified by soil moisture and temperature. Denitrification,~~
4 ~~a multiple step process that anaerobically reduces nitrate sequentially to the endproduct N_2 , is~~
5 ~~simplified as a single process controlled by substrate NO_3^- (electron acceptor), labile C~~
6 ~~availability (electron donor), soil moisture and temperature. Heterotropical respiration (HR) is~~
7 ~~used as a surrogate for labile C availability, similar as Del Grosso et al. (2000) and Xu and~~
8 ~~Prentice (2008). WFPS plays a crucial role in the prediction of nitrification and denitrification,~~
9 ~~as it determines movement of dissolved molecules, and more importantly, puts strong~~
10 ~~constraints on movement of oxygen in soils, affecting the soil's redox potential. We therefore~~
11 ~~use WFPS to parameterize the soil's redox potential and substrate availability to nitrifying and~~
12 ~~denitrifying microbes.~~

13
14 Denitrification is controlled by substrate NO_3^- (electron acceptor), labile C availability (electron
15 donor), soil moisture and temperature. Labile C availability is estimated by soil heterotrophic
16 respiration (HR). Following LPJ-DyN (Xu-Ri and Prentice, 2008), denitrification is assumed
17 to have a Q_{10} value of 2 when the soil temperature is between 15 and 25 °C. The soil moisture
18 response function is adopted from Parton et al. (1996). Soil pH is reported to be an important
19 indicator of chemodenitrification which occurs predominantly in acidic soils ($\text{pH} < 5$) under
20 conditions of high nitrite concentration (Li et al., 2000). However, its role for N_2O production
21 is not well studied (Li et al., 2000) and we do not model the chemodenitrification explicitly.

$$22 \quad D = k_d f_d(T) f_d(\text{WFPS}) f_g \text{NO}_3^- \quad (9)$$

$$23 \quad \text{And } f_g = \frac{\text{HR}}{\text{HR} + K_c} \frac{\text{NO}_3^-}{\text{NO}_3^- + K_n} \quad (10)$$

$$24 \quad \text{NO}_3^- = \frac{N_{\text{NO}_3^-}}{b_{\text{NO}_3^-}} \quad (11)$$

25 where D is the denitrification rate (in unit, $\text{kgN m}^{-2} \text{ year}^{-1}$); k_d is the optimum denitrification
26 rate (8750 year^{-1}); f_g mimics the impact of labile C availability and substrate (nitrate) on the
27 growth of denitrifiers, adapted from Li et al. (2000); K_c and K_n are half-saturation constants
28 taken from Li et al. (2000) (0.0017 and $0.0083 \text{ kgN m}^{-2}$ respectively, assuming an effective soil
29 depth of 0.1m); $b_{\text{NO}_3^-}$ is the buffer or sorption parameter for NO_3^- (unitless, 1 in LM3V-N)

1 (Gerber et al., 2010); $N_{NO_3^-}$ and NO_3^- are nitrate content before and after being buffered (in unit,
 2 kgN m⁻²), respectively; and $f_d(T)$ and $f_d(WFPS)$ are empirical soil temperature and water reponse
 3 function for denitrification, adopted from Xu-Ri and Prentice (2008) and Parton et al. (1996),
 4 respectively.

$$5 \quad f_d(T) = e^{308.56 \times \left(\frac{1}{68.02} + \frac{1}{T_{soil} + 46.02} \right)} \quad (12)$$

$$6 \quad f_d(WFPS) = \frac{1.56}{12.0 \left(\frac{16.0}{12.0(2.01 \times WFPS)} \right)} \quad (13)$$

7 **2.1.2.2 Gaseous partitions from nitrification-denitrification**

8 N_2O is released as a byproduct from both nitrification and denitrification. The fraction of N_2O
 9 lost from net nitrification is uncertain (Li et al., 2000; Xu-Ri and Prentice, 2008). Here we set
 10 this fraction to be 0.4%, which is higher than Goodroad and Keeney (1984), but at the low end
 11 provided by Khalil et al. (2004). N_2O and NO_x emissions from nitrification are based on the
 12 NO_x : N_2O ratio ($R_{NO_x:N_2O}$) which is updated at every time step and for each grid cell. $R_{NO_x:N_2O}$
 13 varies with relative gas diffusivity (D_r , the relative gas diffusivity in soil compared to air)
 14 (Parton et al., 2001), which is calculated from air filled porosity ($AFPS$, i.e., the portion of soil
 15 pore space that is filled by air) (Davidson and Trumbore, 1995)

$$16 \quad R_{NO_x:N_2O} = 15.2 + \frac{35.5 \times ATAN(0.68 \times \pi \times (10 \times D_r - 1.68))}{\pi} \quad (14)$$

$$17 \quad D_r = 0.209 \times AFPS^{\frac{4}{3}} \quad (15)$$

18 where ATAN stands for the trigonometric arctangent function; $AFPS$ is the air filled porosity
 19 (1-WFPS), and π is the mathematical constant, approximately 3.14159.

20 During denitrification, the gaseous ratio between N_2 and N_2O ($R_{N_2:N_2O}$) is calculated following
 21 the empirical function derived by Del Grosso et al. (2000), which combines the effects of
 22 substrate (NO_3^-) to electron donor (HR , the proxy for labile C) ratio and WFPS. $R_{N_2:N_2O}$ is
 23 updated at every time step and for each grid cell.

$$24 \quad R_{N_2:N_2O} = Fr\left(\frac{NO_3^-}{HR}\right) \cdot Fr(WFPS) \quad (16)$$

25 With

$$26 \quad Fr\left(\frac{NO_3^-}{HR}\right) = \max(0.16 \times k, k \times e^{(-0.8 \times \frac{NO_3^-}{HR})}) \quad (17)$$

$$27 \quad Fr(WFPS) = \max(0.1, 0.015 \times WFPS - 0.32) \quad (18)$$

1 where k is a texture dependent parameter (Table 1) estimated from Del Grosso et al. (2000). k
2 controls the maximum value of the function $Fr \left(\frac{NO_3^-}{HR} \right)$.

3 **2.1.2.3 Other modified processes**

4 To complete the N loss scheme in LM3V-N, we also added NH_3 volatilization into LM3V-N.
5 NH_3 volatilization in soil results from the difference between the equilibrium NH_3 partial
6 pressure in soil solution and that in the air. Dissolved NH_3 is regulated by ammonium
7 concentration and pH. The net flux of NH_3 from soil to the atmosphere varies with soil NH_3 ,
8 moisture, temperature, therefore

$$9 \quad NH_3 = k_{nh} f(pH) f_{NH_3}(T) (1 - WFPS) \frac{N_{NH_4^+}}{b_{N,NH_4^+}} \quad (19)$$

10 where NH_3 is the net ammonia volatilization flux (in unit, $kgN\ m^{-2}\ year^{-1}$); k_{nh} is the optimum
11 ammonia volatilization rate ($365\ year^{-1}$); $f(pH)$ is the pH factor and $f(T)$ is the temperature factor
12 which are given by the following two equations:

$$13 \quad f(pH) = e^{2 \times (pH_{soil} - 10)} \quad (20)$$

$$14 \quad f_{NH_3}(T) = \min\left(1, e^{308.56 \times \left(\frac{1}{71.02} - \frac{1}{T_{soil} + 46.02}\right)}\right) \quad (21)$$

15 where pH_{soil} is the soil pH which is prescribed instead of simulated dynamically. $f(pH)$ and $f(T)$
16 follow largely on the NH_3 volatilization scheme implemented in the dynamic global vegetation
17 model LPJ-DyN (Xu-Ri and Prentice, 2008).

18 **2.2 Model experiments**

19 **2.2.1 Global hindcast with potential vegetation**

20 To understand the model performance and compare with other models and observations, we
21 conducted a hindcast simulation with potential vegetation. The model resolution was set to 3.75
22 degrees longitude by 2.5 degrees latitude. We forced the model with 3 hourly reanalysis weather
23 data based on Sheffield et al. (2006). ~~N_2O is released as a byproduct from both nitrification and~~
24 ~~denitrification. The fraction of N_2O lost from net nitrification is uncertain (Li et al., 2000; Xu~~
25 ~~and Prentice, 2008). Here we set this fraction to be 0.4%, which is higher than Goodroad and~~
26 ~~Keeney (1984), but at the low end provided by Khalil et al. (2004). Gaseous losses from~~
27 ~~denitrification is partitioned among N-gaseous species (i.e. NO_x , N_2O and N_2) on the basis of~~

1 ~~NO_x:N₂O ratio ($R_{NO_x:N_2O}$) (Parton et al., 2001) and N₂:N₂O ratio ($R_{N_2:N_2O}$) (Del Grosso et al.,~~
2 ~~2000). $R_{NO_x:N_2O}$ varies with gas diffusivity (Parton et al. 2001), which is estimated from air filled~~
3 ~~porosity (Davidson and Trumbore 1995). $R_{N_2:N_2O}$ combines the effects of substrate (NO₃⁻) to~~
4 ~~electron donor ratio and WFPS (Del Grosso et al., 2000).~~

5 ~~2.21.1 Model experiments~~

6 ~~2.2.11.1.1 Global hindcast with potential vegetation~~

7 ~~To understand the model performance and compare with other models and observations, we~~
8 ~~conducted a hindcast simulation with potential vegetation. The model resolution was set to 3.75~~
9 ~~degrees longitude by 2.5 degrees latitude. We forced the model with 3 hourly reanalysis weather~~
10 ~~data based on Sheffield et al. (2006). We used a 17 year recycled climate of 1948-1964 for the~~
11 spin-up and simulation years prior to 1948. Atmospheric CO₂ concentration was prescribed
12 with 284 ppm for model spin-up and based on ice core and atmospheric measurements for
13 transient simulations (Keeling et al., 2009)(Keeling et al., 2009). N deposition was set as natural
14 background for simulations before 1850 (Dentener and Crutzen, 1994), and interpolated
15 linearly between the natural background and a snapshot of contemporary (1995) deposition
16 (Dentener et al., 2006)(Dentener et al., 2006) for simulations after 1850. Soil pH was prescribed
17 and derived from the Harmonized World Soil Database (HWSD) version 1.1, the same as
18 NACP model driver data (Wei et al., 2014).

19 The model was spun up from bare ground without C-N interactions for the first 68 years and
20 with C-N interactions for the following 1200 years to develop and equilibrate C and N stocks.
21 ~~During~~ To speedup the spin-up process, slow litter C (~~N~~) and slow soil C (~~and N~~) pools were
22 set to the equilibrium values based on litterfall inputs and decomposition/leaching rates every
23 17 years. We determined the model to reach a quasi-equilibrium state by confirming the drift to
24 be less than 0.03 PgC yr⁻¹ for global C storage and 0.2 TgN yr⁻¹ for global N storage. From this
25 quasi equilibrium state, we initialized the global hindcast experiment starting from 1850 using
26 the corresponding climatic forcings, CO₂ and N deposition data. In the following analysis, we
27 will focus mostly on the last three decades (1970-2005) ~~when most of the data are available.~~

2.2.2 Sensitivity to soil water filled pore space (WFPS)

While LM3V-N carries a simplified hydrology, we bracketed effects of soil moisture by exploring the parameterization of WFPS and by substituting the predicted soil moisture with 3-hourly re-analysis data. Levels of soil water (in ~~units~~ unit kg m^{-2}) therefore stem from: (1) the simulated water content based on LM3V-N soil water module, hereafter LM3V-SM (2) the Global Land Data Assimilation System Version 2 with the land surface model NOAH 3.3 (~~Rodell et al., 2004~~)(Rodell et al., 2004), hereafter NOAH-SM, and (3) the ERA Interim reanalysis dataset from European Center for Medium range Weather Forecasting (ECMWF) (Dee et al., 2011), hereafter ERA-SM. ~~Both of the later~~The latter two datasets integrate satellite and ground based observations with land surface models. When overriding soil moisture, we linearly interpolated the 3 hourly data onto the 30 minutes model time step. In these simulations, we allowed soil C and N dynamics to vary according to different soil moisture datasets, but kept the model prediction of soil water to use for plant productivity and evapotranspiration.

Parameterization of the soil moisture effect on nitrification and denitrification are based on WFPS. LM3V-N uses the concept of plant available water, where ~~the maximum amount of~~ water a soil can hold that is available to plants varies between the wilting point and field capacity. Water content above the available water capacity (i.e., the difference between field capacity and wilting point) leaves the soil immediately (Milly and Shmakin, 2002)(Milly and Shmakin, 2002)-, and thus WFPS does not attain high values typically observed during denitrification. To ~~test~~explore the effect of WFPS – soil moisture relationship on N_2O emissions, we calculated WFPS using three methods. Method 1 assumes WFPS is the ratio of available water and the available water capacity in the rooting zone. In ~~method~~Method 2 we assume, WFPS is the ratio of the water filled porosity and total porosity which is derived from bulk density (BD, in unit g cm^{-3}). BD was obtained from the Harmonized World Soil Database (HWSD) version 1.1 (Wei et al., 2014). The calculation is given by

$$WFPS = \frac{\frac{\theta \times 0.001}{h_r} \cdot \frac{BD}{1 - \frac{2.65}{BD}}}{1 - \frac{\rho h_r}{PD}} \quad (22)$$

where θ (kg m^{-2}) is the root zone soil water; h_r (m) is the effective rooting depth of vegetation; ρ is the density of water (1000 kg m^{-3}); and PD is the particle density of soil (2650 kg m^{-3}). Method 1 geerally leads generally to an overestimation of WFPS withbecause the available

1 water capacity smaller than total pore space. In contrast, the use of ~~method~~Method 2 with
2 LM3V-SM creates an underestimation since water is not allowed to accumulate beyond field
3 capacity and misses high WFPS to which nitrification and denitrification are sensitive.
4 Meanwhile, for NOAH-SM and ERA-SM data, Methods 2 ~~are~~is more close to the “real” WFPS-
5 and is the default method when using these data sets. In a third approach, which is also the
6 default method with LM3V-SM that is applied in the global hindcast experiment ~~and~~, the
7 following subsequent elevated CO₂ and temperature responses experiment, and sensitivity tests
8 with regard to N cycling, calculates WFPS as the average of the previous two methods.

9 For each soil moisture dataset (3 in total, 2 replacements and 1 simulated by LM3V-N), we
10 calculated WFPS using three methods mentioned above. We conducted transient simulations
11 with the nine different WFPSs (3 datasets × 3 methods) starting from the near equilibrium state
12 obtained in the global hindcast experiment in 2.2.1. The use of less realistic Method for WFPS
13 for each soil moisture driver (LM3V-SM, NOAH-SM and ERA-SM) offers insights of the
14 sensitivity of N₂O emissions to soil moisture. The simulation procedure was the same as that
15 in global hindcast experiment except for the WFPS. ERA-SM is only available starting from
16 1979, prior to which simulations were conducted with model default soil moisture (LM3V-SM).
17 Results from ERA-SM were analyzed starting from 1982, leaving a short period for adjustment.

18 2.2.3 Sensitivity to N cycling processes and parameterization

19 N₂O emission is constraint by ecosystem availability of mineral N, which is linked to different
20 N cycling processes in addition to nitrification and denitrification processes. To test the
21 sensitivity of modelled N₂O emission to the larger plant-soil N cycle, we conducted the
22 following sensitivity analyses, in form of a one at a time perturbation. We replaced the dynamic
23 BNF scheme with empirically reconstructed preindustrial fixation rates (Cleveland et al., 1999),
24 removing the negative feedback between BNF and plant N availability. We further shut off N
25 loss pathways through DON leaching and fire volatilization (with ash fraction =1). We expect
26 that these three modifications alleviate N limitation: Prescribed BNF may continuously add N
27 beyond plant N demand. Further eliminating fire and DOM N losses leave loss pathways that
28 have to pass the available N pool thereby opening the possibility of increasing gaseous losses.
29 Further, removing these plant-unavailable pathways (Thomas et al., 2015) increases N retention
30 and opens the possibility of alleviating N limitation. In addition, we modified key parameters
31 related to general N cycling and N₂O emissions one-at-a-time. We multiplied several

1 parameters that directly affect ammonium and nitrate concentration or N₂O fluxes by 10 (x10)
2 or 0.1 (x0.1), while kept other parameters as defaults. Those parameters control the active root
3 N uptake rates (v_{max}), nitrification rate (k_n), denitrification rate (k_d, K_c, K_n) and the fraction of
4 net nitrification lost as N₂O ($frac$).

5 2.2.3 **2.2.4 Responses to elevated CO₂ and temperature**

6 ~~The responses~~ Responses of N₂O emissions to atmospheric CO₂ and global warming have been
7 ~~intensively studied~~ reported at field scale. (Dijkstra et al., 2012; van Groenigen et al., 2011).
8 Here, we evaluate the model's response to step changes in form of a doubling of preindustrial
9 CO₂ level (284 ppm to 568 ppm) and a 2K increase in atmospheric temperature. Starting from
10 the same ~~quasi-equilibrium~~ equilibrium state with potential vegetation ~~obtained as~~ in the global
11 hindcast experiment in 2.2.1, we conducted four transient model runs: (1) the CONTROL run
12 with the same drivers as spin-up; (2) the CO₂_FERT run with the same drivers as the
13 CONTROL except a doubling of atmospheric CO₂ level; (3) the TEMP run with the same
14 drivers as the CONTROL except a 2K rise in atmospheric temperature; and (4) the
15 CO₂_FERT×TEMP run with both the doubling of CO₂ and 2K rise in temperature. For each
16 experiment, we ran the model for 100 years and evaluated the corresponding results.

17 **2.3 Comparisons with observations ~~and correlations with environmental~~** 18 **~~variables~~**

19 We compared our model results for annual N₂O gas loss with field data: We compiled annual
20 N₂O emissions from peer-reviewed literature (see Appendix BA for more information). To
21 increase the representativeness of the measurements, we included only sites with more than 3
22 months or 100 days experimental span. We limited our datasets where there was no reference
23 to a disturbance of any kind. Only locations with at least 50 years non-disturbance history for
24 forests and 10 years for vegetation other than forests were included. The compiled 61
25 measurements cover a variety of spatial ranges with ~~the vegetation~~ typetypes including tropical
26 rainforest, temperate forest, boreal forest, tundra, savanna, perennial grass, steppe, alpine grass
27 and desert vegetation. Multiple measurements ~~from falling into~~ the same ~~corresponding model~~
28 grid cell ~~in the model~~ were averaged. If the authors had indicated the dominant vegetation or
29 soil type, we used the values reported for the dominant type instead of the averaged. For
30 multiyear measurements ~~from the same study~~, even if the authors gave the individual year's

1 data, we averaged the data to avoid overweighting of long term studies. If the location was
2 between borders of different model grid cells, we averaged across the neighboring grid cells.

~~3 Pearson correlation coefficient with the significance threshold of $\alpha < 0.05$. We also compared
4 monthly N₂O fluxes at a group of sites: (a) the Tapajós National Forest in Amazonia (3°S,
5 55°W), taken from Davidson et al. (2008); (b) the Hubbard Brook Experimental Forest in New
6 Hampshire, USA (44°N, 72°W), as described in Groffman et al. (2006); (c) the cedar forest
7 from Oita, Japan (33°N, 131°E), as described in Morishita et al. (2007); (d) the *Leymus*
8 *chinensis* (LC) and *Stipa grandis* (SG) steppe in Inner Mongolia, China (44°N, 117°E), taken
9 from Xu-Ri et al. (2003); (e) the cedar forest in Fukushima, Japan (37°N, 140°E), taken from
10 Morishita et al. (2007); and (f) the primary (P1 and P2) and secondary (L1 and L2) forests
11 located at the Pasir Mayang Research Site (1°S, 102°E), Indonesia, taken from Ishizuka et al.
12 (2002). In addition, daily measurements of soil temperature, soil moisture and N₂O emissions
13 were compared at four German forest sites located in the same grid cell (50°N, 8°E), as
14 described in Schmidt et al. (1986). ~~was used to quantify the correlation between N₂O fluxes
15 and environmental variables, i.e. soil temperature, root zone water content, gross primary
16 productivity, net mineralization rate, soil ammonium and soil nitrate content, for each grid cell
17 from the global hindcast run.~~~~

18 3 Results

19 3.1 Global budget, seasonal and inter-annual variability

20 Our modelled global soil N₂O flux is 6.8269 ± 0.2832 TgN yr⁻¹ (1970-2005 mean and standard
21 deviation among different years) (Fig.1) with LM3V-SM (Method 3, default method for
22 LM3V-N calculated soil moisture), 5.61 ± 0.32 TgN yr⁻¹ with NOAH-SM (Method 2) and
23 7.47 ± 0.30 TgN yr⁻¹ with ERA-SM (1982-2005, Method 2) which is within the range of reported
24 values: The central estimation of N₂O emission from soils under natural vegetation is 6.6 TgN
25 yr⁻¹ based on the Intergovernmental Panel on Climate Change (IPCC) AR5 (Ciais et al., 2013)
26 (range, 3.3–9.0 TgN yr⁻¹) for the mid-1990s. Mean estimation for the period of 1975-2000
27 ranged from 7.4 to 10.6 TgN yr⁻¹ with different precipitation forcing data (Saikawa et al., 2013).
28 ~~Xu et al. (2012)~~ Xu-Ri et al. (2012) reported the decadal-average to be 8.3-10.3 TgN yr⁻¹ for the
29 20th century. ~~Potter and Klooster (1998)~~ Potter and Klooster (1998) reported a global mean
30 emission rate of 9.7 TgN yr⁻¹ over 1983-1988, which is higher than the earlier version of their

1 model (6.1 TgN yr^{-1}) (~~Potter et al., 1996~~)(Potter et al., 1996). Other estimates includes 6-7 TgN
2 yr^{-1} (Syakila and Kroeze, 2011), 6.8 TgN yr^{-1} based on the O-CN model (Zaehle et al., 2011),
3 $3.9\text{-}6.5 \text{ TgN yr}^{-1}$ for preindustrial periods from a top-down inversion study (~~Hirsch et al.,~~
4 ~~2006~~)(Hirsch et al., 2006), $1.96\text{-}4.56 \text{ TgN yr}^{-1}$ in 2000 extrapolated from field measurements
5 by an artificial neural network approach (Zhuang et al., 2012), $6.6\text{-}7.0 \text{ TgN yr}^{-1}$ for 1990
6 (Bouwman et al., 1995), and $7\text{-}16 \text{ TgN yr}^{-1}$ (Bowden, 1986) as well as $3\text{-}25 \text{ TgN yr}^{-1}$ (Banin,
7 1986) from two earlier studies.

8 Following Thompson et al. (2014), El Niño years are set to the years with the annual
9 multivariate ENSO index (MEI) greater than 0.6. 1972, 1977, 1982, 1983, 1987, 1991, 1992,
10 1993, 1994, 1997 and 1998 were chosen as El Niño years. We detected reduced emissions
11 during El Niño years (Fig. 1), in line with the global atmospheric inversion study of Thompson
12 et al. (2014) and the process based modelling study from ~~Saikawa et al. (2013)~~Saikawa et al.
13 (2013).

14 Figure 2 shows the simulated global natural soil N_2O emissions in 4 seasons averaged over the
15 period of 1970-2005- based on LM3V-SM (Method 3). The northern hemisphere displays a
16 large seasonal variability, with the highest emissions in the northern summer (JJA, June to
17 August) and lowest in winter (DJF, December to February). Globally, northern spring (MAM,
18 March to May) has the highest emission rate (2.07 TgN) followed by summer (1.89 TgN). The
19 smaller emissions in summer compared to spring stems from a reduced contribution of the
20 southern hemisphere during northern summer.

21 As expected, a large portion (more than 60%) of the soil N_2O fluxes have tropical origin (23.5
22 S to 23.5N), while emissions from cooler regions are limited by temperature and arid/semi-arid
23 regions by soil water. Our modelling results suggested year-round high emission rates from
24 humid zones of Amazonia, east central Africa, and throughout the islands of Southeast Asia,
25 with small seasonal variations (Fig. 2). Emissions from tropical savannah are highly variable,
26 with locations of both high fluxes (seasonal mean $> 9030 \text{ mgN m}^{-2} \text{ seasonmonth}^{-1}$ or 3.6 kg ha^{-1}
27 yr^{-1}) and low fluxes (seasonal mean $< 41.3 \text{ mgN m}^{-2} \text{ seasonmonth}^{-1}$ or $0.16 \text{ kg ha}^{-1} \text{ yr}^{-1}$). The
28 simulated average tropical emission rate is $0.78 \text{ kgN ha}^{-1} \text{ yr}^{-1}$ (1970-2005), within the range of
29 estimates ($0.2\text{-}1.4 \text{ kgN ha}^{-1} \text{ yr}^{-1}$) based on site-level observations from the database of ~~Stehfest~~
30 ~~and Bouwman (2006)~~Stehfest and Bouwman (2006), but smaller than a more detailed
31 simulation study ($1.2 \text{ kgN ha}^{-1} \text{ yr}^{-1}$) carried out by ~~Werner et al. (2007)~~Werner et al. (2007).

1 Our analysis here excluded land cover, land use changes and human management impacts,
2 while most of the observation-based or regional modelling studies did not factor out those
3 impacts. Our modelling result in natural tropics is comparable with another global modelling
4 study (average emission rate, $0.7 \text{ kgN ha}^{-1} \text{ yr}^{-1}$) (~~Zaehle et al., 2010~~)(Zaehle et al., 2010), in
5 which the authors claimed they ~~might~~ underestimate the tropical N_2O sources compared to
6 the inversion estimates from the atmospheric transport model TM3 (Hirsch et al., 2006).

7 **3.2 Sensitivity to WFPS**

8 ~~Soil~~The different parameterization of WFPS and the use of different soil moisture modeling
9 ~~and data~~ allows to test the sensitivity of soil N_2O emissions ~~to variable~~ WFPS. Globally,
10 ~~emissions~~ generally increase with WFPS (Fig. 43). WFPS derived from Method 1 is higher than
11 that based on Method 2. ~~Soil~~Data-derived soil moisture datasets ~~and~~ combined with different
12 calculation methods together produced a range of 0.15-0.72 for the global mean WFPS (1982-
13 2005). ~~Mean value~~ While mean values greater than 0.6 (approximately field capacity) are less
14 realistic, ~~though~~ these high WFPS values provide ~~us~~ the ~~opportunity~~ opportunity to test the
15 model's ~~sensitivity~~ response to the soil moisture-based parameterization of redox conditions in
16 ~~soils~~. Global soil N_2O emissions are highly sensitive to WFPS, with approximately 0.25 TgN
17 per year per 0.01 change in global mean WFPS in the range 0 to 0.6. The spatial and temporal
18 characteristic of WFPS also matters. ~~With mean WFPS of ca. 0.21, emission~~ Emission
19 from LM3V-SM (Fig. 43 green cycle) is 1.13 TgN yr^{-1} higher than that from NOAH-SM (Fig.
20 43 blue triangle), ~~showing~~ while both model configuration have the same mean WFPS (ca 0.21),
21 ~~highlighting~~ effects of regional and temporal differences between the soil moisture products.

22 **3.3 Model-observation comparisons**

23 Modelled N_2O emissions capture the ~~average of~~ cross-site ~~observation~~ observed annual mean
24 ~~emissions~~ (0.54 vs. $0.53 \text{ kgN ha}^{-1} \text{ yr}^{-1}$ based on LM3V-SM ~~with WFPS Method 3~~) reasonably
25 ~~at the annual time step~~ (Appendix BA and Fig. 3a4a), but spread considerably along the 1:1
26 line. The points deviating the most are from tropical forests, with overestimations from montane
27 tropical forest and underestimations from lowland tropical forests if those measurements are
28 representative of gridcell emissions. These patterns are similar as results from NOAH-SM
29 (Appendix BA and Fig. 3b4b) and ERA-SM (Appendix BA and Fig. 3c) ~~with WFPS based on~~
30 ~~Method 2,4c~~, except that the application of WFPS from NOAH-SM slightly underestimates

1 the observed global mean (0.54 vs. 0.47 kgN ha⁻¹ yr⁻¹ from NOAH-SM with WFPS based on
2 Method 2).

3 **3.4—Correlations with environmental variables**

4 ~~Figure 5 illustrates the temporal correlations between simulated monthly soil N₂O emissions~~
5 ~~and environmental variables (surface soil temperature, root zone soil water content, gross~~
6 ~~primary productivity, net mineralization rate, soil ammonium content and soil nitrate content),~~
7 ~~which were either predicted by the model or model inputs (forcings). The results show, that~~
8 ~~temperature is a strong driver of N₂O emissions in boreal and across large swaths of temperate~~
9 ~~regions. Temperature directly affects nitrification and denitrification rates, and also alters the~~
10 ~~N made available from mineralization and competition with plant uptake. Higher temperature~~
11 ~~triggers N₂O emissions from boreal and a large fraction of temperate ecosystems, while both~~
12 ~~positive and negative temperature relationships exist in tropical forests (Fig. 5a). Covariation~~
13 ~~with soil temperature result in a strong positive link between gross primary productivity, net~~
14 ~~mineralization rate and N₂O emission in the northern high latitudes (Fig. 5d). Likewise, higher~~
15 ~~root zone water content is associated with higher soil N₂O emissions except in the northern~~
16 ~~mid to high latitudes where soil temperature is the primary controller (Fig. 5a,b). Tropical~~
17 ~~forests and some of the humid temperate regions with high N₂O emissions show the strongest~~
18 ~~soil moisture-N₂O flux correlations, which partly explains the high sensitivity of global soil~~
19 ~~N₂O budget to WFPS.~~

20 ~~As expected, N₂O emissions are strongly and positively correlated with soil nitrate content at~~
21 ~~the global scale (Fig. 5f), while the relationships between N₂O emissions and soil ammonium~~
22 ~~(Fig. 5e) varies. In the humid tropics, N₂O fluxes are negatively correlated with soil ammonium~~
23 ~~content. This negative pattern results from the inverse relationship between soil ammonium~~
24 ~~content and nitrification rate. In our model, soil ammonium content is not only constrained by~~
25 ~~temperature or moisture but is also subjected to varying biological demand from plants and~~
26 ~~microbes. For example, high specific nitrification can draw down ammonium concentration in~~
27 ~~the soil. Compared to the humid tropics, soil ammonium levels in cold or dry areas appear to~~
28 ~~be mainly controlled by N supply (mostly from SOM decomposition/N mineralization). In cold~~
29 ~~(or dry) regions, SOM decomposition/N mineralization, nitrification and denitrification are all~~
30 ~~regulated by soil temperature (or moisture) (Fig. 5a). The correlation between soil ammonium~~
31 ~~and N₂O fluxes covaries with the soil temperature (or moisture)-N₂O flux relationship.~~

1 At the Tapajós National Forest, results from LM3V-SM capture some of the variations in N₂O
2 fluxes, but the model is not able to reproduce the high emissions observed during spring (Panel
3 (a), Fig. 5). At the Hubbard Brook Experimental Forest, the correlation between model results
4 and observations are 0.51 (LM3V-SM), 0.56 (NOAH-SM) and 0.62 (ERA-SM) for yellow
5 birch, 0.66 (LM3V-SM), 0.68 (NOAH-SM) and 0.70 (ERA-SM) for sugar maple. However,
6 the model is less robust in reproducing the magnitude of emission peaks. Groffman et al. (2006)
7 suggested high emissions of N₂O in winter were associated with soil freezing. However, the
8 model assumes little emissions when soil temperature is under 0 °C. In addition, observations
9 suggested N₂O uptake (negative values in Panel (b), Fig. 5) while the model does not
10 incorporate mechanisms to represent N₂O uptake. At the Oita cedar forest, model reproduces
11 the seasonality of N₂O emissions accurately (Panel (c), Fig. 5). ERA-SM overestimates the
12 magnitude of N₂O fluxes from Inner Mongolia grassland, while the magnitudes produced from
13 LM3V-SM and NOAH-SM are comparable with observations. However, the timing of the
14 emission peaks are one or two month in advance from model output compared to observations
15 (Panel (d), Fig. 5). At the Fukushima cedar forest, similar as at the Oita cedar forest, models
16 are less robust at capturing the magnitude of high peaks despite the seasonality produced by the
17 model are good (Panel (e), Fig. 5). Emissions from the primary and secondary tropical rainforest
18 at the Pasir Mayang Research Site are highly variable, which makes the comparison difficult
19 (Panel (f), Fig. 5). LM3V-SM (but not ERA-SM and NOAH-SM) reproduces the low emissions
20 in September-November 1997 and the increase of emissions from secondary forests in
21 December, 1997. Overall, modeled variability is smaller compared to observation.

22 The strong variability of measured N₂O emissions is further illustrated in Fig. 6. Difference in
23 measured N₂O fluxes between different forest sites within one grid cell is large, reflecting the
24 heterogeneity that is not captured within one grid cell. In addition, the error bars, which
25 represent the standard deviation of measured N₂O fluxes at three different plots of the same
26 forest, are large. The standard deviation is as high as 49.27 µgN m⁻²h⁻¹, indicating the strong
27 variability of measured N₂O fluxes at the plot scale. Modeled N₂O fluxes are generally within
28 the range of measured N₂O emissions. Model outputs slightly underestimate N₂O emissions
29 largely due to the underestimation of soil water content (Panel (b) Fig. 6).

3.4 Sensitivity to N cycling processes and parameterization

Disallowing of N losses through DON and fire volatilization enhance ecosystem N accumulation and availability to plants and microbes, and therefore increases N₂O emissions (Panel (a), Fig.7). The gain in N₂O emissions from disallowing DON loss is small (0.12 TgN yr⁻¹). However, N₂O emission is on average (1950-2005) increased by 3.63 TgN yr⁻¹ in the absence of fire volatilization N loss (we note, that fires do occur, but N is retained as ash in the litter). The gain is most evident in tropical regions (not shown), indicating the importance of fire in regulating ecosystem N status. Simulated preindustrial BNF is smaller than the empirical reconstructed BNF (72 in LM3V-N vs. 108 TgN yr⁻¹ from empirical based data). However, BNF in LM3V-N increases with time under historical varying climate, increasing atmospheric CO₂ level and N deposition. The global average BNF during 1950-2005 is 100 TgN yr⁻¹, close to the empirical value. Nevertheless, substitution of BNF in LM3V-N by empirical preindustrial value increased N₂O flux by 1.2 TgN yr⁻¹(Panel (a), Fig.7).

Among the specific parameters tested, N₂O emission is most sensitive to the 10 times change (x10) of the fraction of net nitrification lost as N₂O gas. The relative magnitude of N₂O flux on average (1950-2005) reaches 6.5 times of the default (Panel (b), Fig.7). Reduction (x0.1) of maximum active plant N uptake strength (v_{max}) strongly increases N₂O emissions (ca. by 3 times of the default). Meanwhile, enhancement of v_{max} also increases N₂O fluxes, reflecting the non-linear response of N₂O emissions to v_{max} . x10 in the maximum nitrification rate k_n and denitrification rate k_d increase N₂O emissions, while x0.1 decrease N₂O flux. N₂O increases more with increasing k_d than with increasing k_n , whereas reduction of k_n (x0.1) produces a stronger response than reduction of k_d . The half-saturation constant that represents the regulation of labile carbon availability on denitrification rate, K_c , is the least sensitive parameter. Meanwhile, reduction (x0.1) of the half-saturation constant K_n that represents the regulation of substrate availability on denitrification rate on average increased N₂O fluxes by 4.5 TgN yr⁻¹(Panel (b), Fig.7).

3.5 CO₂ and temperature responses

Globally, N₂O emissions respond to a step CO₂ increase first with a decline to ultimately increased levels after approximately 4540 years (Fig. 6a8a, black line). The simulated global response follows largely the behaviour as simulated for tropical forests (Fig. 6a8a, yellow line). The shift from a negative to a positive response indicates possible competing mechanisms

1 operating on different time scales. Field level experiments revealed the highly variable effects
2 of CO₂ fertilization on N₂O emissions. ~~From~~Based on a meta-analysis, van Groenigen et al.
3 (2011) suggested that elevated CO₂ significantly increased N₂O emission by 18.8%, while
4 ~~Dijkstra et al. (2012)~~Dijkstra et al. (2012) argued for a non-significant response in non-N-
5 fertilized studies. In contrast to observation studies, ~~two~~the global C-N cycle model analyses
6 ~~from O-CN~~ suggested negative ~~effects from~~CO₂ fertilization effects on N₂O emissions (Xu et
7 al., 2012; Zaehle et al., 2011)(Zaehle et al., 2011). The negative impacts (reduced N₂O flux),
8 which are also reported ~~from~~in manipulative experiments, are likely from increased plant N and
9 immobilization demand under CO₂ fertilization, reducing N availability for nitrifiers and
10 denitrifiers. ~~Positive~~ (Dijkstra et al., 2012). CO₂ fertilization on average (over 100 years)
11 increased the global mean plant nitrogen uptake rate by 10.02 kgN ha⁻¹ yr⁻¹, as shown in Fig. 9
12 (Panel (b)). Modelled soil inorganic N content (ammonium and nitrate) is reduced at first, but
13 the reduction is not sustained. One mechanism to alleviate CO₂ fertilization caused N limitation
14 is through BNF, which is on average (over 100 years) more than doubled (Fig. 9 Panel (e)).
15 Similar as manipulative field experiments (Dijkstra et al., 2012), positive effects (increase N₂O
16 fluxes) can result from the impacts of elevated CO₂ level to increase litter production (Fig. 9
17 Panel (a)) and consequently C sources for denitrifiers, and to increase soil moisture (Fig. 9
18 Panel (d)) from reduced stomatal conductance and leaf transpiration. ~~(Fig. 9 Panel (c)).~~With
19 both ~~of these~~ positive and negative mechanisms embedded in our model, the net effects depend
20 on the relative strength of ~~these~~the opposing forces.

21 Temperate deciduous forests, where most of the forest CO₂ fertilization experiments are
22 conducted, respond positively to elevated CO₂ level (Fig. ~~6a8a~~, green line). The slight increase
23 in modelled N₂O emission are comparable with the mean response of field data compiled for
24 temperate forests (*ca.* 0.01-0.03 kgN yr⁻¹ ha⁻¹) (~~Dijkstra et al., 2012)~~(Dijkstra et al., 2012). A
25 similar positive response was detected for cold evergreen forests (Fig. ~~6a8a~~, pink line) with
26 stronger magnitude compared to temperate deciduous forests. For grasslands, ~~Dijkstra et al.~~
27 ~~(2012)~~Dijkstra et al. (2012) reported small negative mean response from northern mixed prairie
28 (Δ N₂O, *ca.* -0.01 to -0.03 kgN yr⁻¹ ha⁻¹), zero mean response from shortgrass steppe and positive
29 mean response from annual grassland (*ca.* 0.03-0.06 kgN yr⁻¹ ha⁻¹). Our model shows a small
30 negative mean response from C4 grassland (Fig. ~~6a8a~~, cyan line) with the similar magnitude of
31 that reported for the Northern mixed prairie, where the composition of C4 grass varies (~~Dijkstra~~
32 ~~et al., 2012)~~. A CO₂ increase in C3 grassland initially reduces N₂O emission (Fig. 6a, blue line).

1 ~~However, this slight negative response turns into a small positive after one or two~~
2 ~~decades.~~(Dijkstra et al., 2012). A CO₂ increase in C3 grassland initially reduces N₂O emission
3 ~~(Fig. 8a, blue line). However, this slight negative response turns into a small positive within~~
4 ~~one decade.~~

5 Elevated temperature generally increases N₂O emissions except for the slight negative effect in
6 C4 grass (Fig. 6b8b). Overall the response to a 2 degree warming is bigger than that of doubling
7 of CO₂. The simulated temperature effects are more pronounced in the first decade and decrease
8 over time in tropical forests (Fig. 6b8b, yellow line), while for the temperate deciduous forests
9 (Fig. 6b8b, green line) and boreal forests (Fig. 6b8b pink line), the temperature effects become
10 more pronounced over time. Simulated temperate forest response (in the first decade) is close
11 to that of observed mean (*ca.* 0.2-0.5 kgN yr⁻¹ ha⁻¹) (Dijkstra et al., 2012).
12 Our modelled slight negative response in C4 grass and positive in C3 grass are in alignment
13 with data compiled by Dijkstra et al. (2012) who reported both positive and negative responses
14 in grasslands.

15 The results of combining CO₂ and temperature are similar to the CO₂ effect alone (Fig. 6e8c),
16 despite the fact, that the individual effect of temperature is much stronger than that of CO₂. This
17 antagonistic interaction (i.e. the combined enhancement in N₂O flux from elevated CO₂ and
18 temperature are smaller than the summary of their individual effects) is also evident for C3
19 grass (first 50 years), temperate deciduous tree and cold evergreen forests (Fig. 6d8d).

20 4 Discussion

21 Our model combines ~~knowledge from~~ two of the most widely applied biogeochemical models
22 (DNDC and CENTURY) with current advancements in field level studies. ~~Our global~~The
23 model is capable of reproducing the global mean natural N₂O emissions from other modeling
24 and inverse methods, and the average of observed cross-site annual mean behavior. By focusing
25 on the role of soil moisture in N₂O emissions, we find a global scale ~~(not surprisingly)~~ high
26 dependence of simulated N₂O emissions on soil moisture (WFPS), mainly driven by emissions
27 from tropical regions. The model broadly reproduces the magnitude and direction of responses
28 to elevated CO₂ and temperature from manipulative field experiments where data are available.
29 The global responses to elevated CO₂ and temperature follow largely the response of tropical
30 forests, where a noted absence of field experiments ~~exist. Next, we will further discuss modelled~~
31 ~~responses to soil moisture and elevated atmospheric CO₂ and temperature exist.~~

1 Evaluation of global simulations against field measurements is susceptible to scale mismatches.
2 The complexity of microscale interactions for N₂O production creates notorious large spatial
3 and temporal variabilities which are undoubtedly difficult to constraint even at the stand level
4 (Butterbach-Bahl et al., 2013). ~~The~~Daily measurements from the German forest sites (Fig.6)
5 illustrate the large variability in N₂O emissions. Further improvement in soil moisture
6 simulation will improve our estimation of N₂O fluxes at the German forest sites. However, the
7 homogeneous representation of environmental drivers within model grid cells casts doubt on
8 site-specific model-observation comparison in global simulations. For example, N₂O emissions
9 vary with topography which are not treated explicitly in most of the global C-N models. 3.8
10 times difference was detected in a montane forest (Central Sulawesi, Indonesia) moving from
11 1190 m to 1800m (~~Purbopuspito et al., 2006~~)(Purbopuspito et al., 2006), and 4.3 times
12 difference was found from a tropical moist forest (Brazilian Atlantic Forest) with the altitude
13 change from 100m to 1000m (~~Sousa Neto et al., 2011~~)(Sousa Neto et al., 2011). ~~Nevertheless,~~
14 ~~modeling approaches can offer important insights with respect to scaling our understanding of~~
15 ~~the mechanism of N₂O gas emissions to the globe.~~ However, comparison against field data
16 revealed, that the model's variability is smaller compared to observation for both across field
17 sites (Fig. 4), and at different sites (Figs. 5 and 6). One of the reason for this shortcoming may
18 be that fast transitions, such as freeze-thaw cycle (Groffman et al., 2006) and pulsing (Yienger
19 and Levy, 1995) are not sufficiently captured.

20 Soil moisture is a key variable in climate system but difficult to derive or measure at the global
21 scale (~~Seneviratne et al., 2010~~)(Seneviratne et al., 2010). Our modelled fluxes are highly
22 sensitive to WFPS, which is in agreement with observation and model synthesis studies (~~Heinen,~~
23 ~~2006~~)(Heinen, 2006; Butterbach-Bahl et al., 2013). The large range when calculating WFPS
24 from different methods resulted in a difference of more than 5 TgN yr⁻¹ in global soil N₂O
25 fluxes. ~~Saikawa et al. (2013)~~Saikawa et al. (2013) found an up to 3.5 TgN yr⁻¹ gap induced by
26 different precipitation forcing data from CLMCN-N₂O. It is difficult to single out the difference
27 caused by soil moisture alone from their results. Nevertheless, those two studies did suggest
28 the importance of improving the dynamics of soil water and representation of WFPS for the
29 purpose of predicting soil N₂O emission and climate feedbacks.

30 The root zone soil water in LM3V-N is based on a single layer bucket model. This simplified
31 treatment of soil water dynamics may increase the difficulty in reproducing the temporal and
32 spatial dynamics of WFPS. As a first step, we used the average between the ~~original~~original

1 analog in LM3V-N and ~~that~~that is derived from soil total porosity to account for actual soil
2 moisture and the possibility of soil water above field capacity. Meanwhile, ~~with~~overriding soil
3 moisture ~~replace treatments with data-derived products~~ (NOAH-SM and ERA-SM), ~~WFPS~~
4 ~~based on method 2 (total porosity) is more close to real WFPS, indicating suggests~~ that the most
5 realistic ~~average (1970-2005)~~ soil N₂O emission is in the range of 5.7461-7.47 TgN yr⁻¹. A
6 more realistic root zone water module, such as multilayer representations of biogeochemistry
7 and soil water dynamics, would refine models of soil N₂O emissions. El Niño events trigger
8 reduced soil emissions in our results similar as proposed by ~~Saikawa et al. (2013)~~Saikawa et al.
9 (2013) and Thompson et al. (2014). El Niño events are known to have induced several of the
10 most well known large scale droughts and alters soil moisture dynamics (~~Schwalm et al.,~~
11 ~~2011~~)(Schwalm et al., 2011). Tropical forests N₂O emissions are highly correlated with root
12 zone soil water content and contribute strongly to the global-scale fluxes of N₂O in our model.
13 Whether there is a strong link between soil N₂O emission anomalies and El Niño induced soil
14 moisture deviations needs further investigation with improved soil hydrology.

15 ~~Patterns of seasonality, and the correlates between N₂O emissions vs. temperature and soil~~
16 ~~moisture suggest that moisture is the dominant driver of N₂O emission in tropical regions and~~
17 ~~soil temperature critical elsewhere. However, globally~~Globally, the tropical fluxes contribute
18 with more than 60% to the global soil N₂O fluxes. Also, global responses to elevated CO₂ and
19 temperature are dominated by the tropical response. In contrast to temperate and boreal forests,
20 tropical forests respond negatively to elevated CO₂ in the first few decades. Our results
21 therefore suggest caution when extrapolating from current manipulative field studies to the
22 globe: The positive response to CO₂ enrichment as obtained from (mostly) extratropical field
23 study may be overestimated, when the studies' fluxes are scaled up to the globe. Moreover, we
24 found strong interaction of elevated CO₂ and temperature, acting to reduce soil N₂O emission
25 compared to the sum of individual responses, highlighting the non-linear impacts of CO₂ and
26 temperature on N₂O emissions. ~~We realize that this interaction~~Our results from step increases
27 of CO₂ and temperature is different from Xu-Ri et al. (2012) in which CO₂ and climate change
28 act synergistically to increase historical N₂O emissions, especially in tropical regions. CO₂
29 fertilization plus interaction with temperature rise reduce tropical N₂O fluxes in the first several
30 decades from our model. We realize that this interaction is likely to be different when
31 incorporating other factors (~~Brown et al., 2012~~), ~~such as N deposition~~(Brown et al., 2012), ~~such~~
32 as N deposition, precipitation and land use change (disturbance). In addition, step changes in

1 atmospheric CO₂ and temperature compared to gradual and sustained increases may also lead
2 to differences, and may explain the discrepancy ~~to two of~~ between the global previous modeling
3 ~~studies that suggested an overall positive response of soil N₂O emission to the effects of~~
4 ~~elevated~~ study and meta-analysis of manipulative field experiments with regard to CO₂ and
5 ~~climate change~~ fertilization responses (Xu et al., 2012; Zaehle et al., 2011)(Zaehle et al., 2011;
6 van Groenigen et al., 2011). However, step changes mimic most closely ~~manipulative~~
7 experiments. Nevertheless, the largest uncertainties lie in the tropical region where our model
8 indicated strongest responses and strongest nonlinear interactions of elevated CO₂ and
9 temperature.

10 Globally, N₂O emissions from nitrification-denitrification are similar to O-CN and LPJ-DyN
11 as they are all derived from DNDC. Embedding an established N₂O emission module into
12 LM3V-N enables evaluation of the response of N₂O emissions under different assumptions
13 across models with respect to the dynamics of the larger plant-soil N cycle. Generally higher
14 inputs from BNF or constraints on losses through organic N (fire, DON) enhance N₂O
15 emissions. The representation of of BNF in models requires improvment but we show here that
16 different implementations are globally important for N₂O emissions. Similar, the magnitude of
17 N lost through fire impacts N₂O emissions in fire prone regions, while N emission factors are
18 poorly constrained globally (Andreae and Merlet, 2001). The strength of plant uptake of N
19 poses a strong constraint on the avaiability of N for nitrification-denitrification losses as it can
20 draw down N substantially (Gerber and Brookshire, 2014). A reduction of plant uptake strength
21 allows for relatively more N allocated for denitrification. More surprising was the positive
22 effect of a stronger plant uptake capacity on N₂O emissions: Enhanced plant uptake allow
23 increased vegetation production, and an throughput through litterfall and mineralization in the
24 long run, which ultimately may allow higher N₂O losses in lieu of other export pathways. In
25 addition to those N cycling processes N₂O emission is highly sensitive to the fraction of N lost
26 as N₂O from net nitrification. The fraction of N₂O lost from net nitrification is uncertain.
27 Goodroad and Keeney (1984) suggested a value of 0.1-0.2% , while Khalil et al. (2004) reported
28 a range of 0.16%-1.48% depending on the O₂ concentration. We applied a global constant of
29 0.4% in our default simulation, bearing in mind the large uncertainties associated with this
30 parameter. We also note that this value has significant impact on global N₂O emissions.

31 The response to increases in temperature and CO₂ is a consequence of both the direct effect of
32 temperature on nitrification and denitrification, and indirect effects via water and mineral N

1 availability. The initial negative response of N₂O emissions to CO₂ fertilization from tropical
2 forests produced by LM3V-N stems largely from the increased demand and uptake of mineral
3 N due to enhanced vegetation growth under elevated atmospheric CO₂ level. Despite soil N
4 availability has been reported to decrease, unchanged or increase from manipulative CO₂
5 enrichment experiments across extrotropical ecosystems (Reich et al., 2006; Drake et al., 2011;
6 Reich and Hobbie, 2013), no empirical evidence is available in tropical forests. LM3V-N
7 produced, on average, a reduced soil mineral N concentration in tropical forests initially.
8 Consequencely, less N is available for gaseous losses. If gross mineralization is used as an
9 indicator of the rate of N flow in the “hole-in-the-pipe” concept and gaseous losses are
10 propotional to mineralization, the inital negative response is unlikely to be detected. We found
11 increased mineralization rate with increased litterfall under elevated CO₂, while N availability
12 is reduced from LM3V-N. The mineralization based approach is likely to predict an inrease of
13 losses regardless of N limitation. In LM3V-N, N availability recovers as N cycling processes
14 adjust to CO₂ fertilization, especially from BNF, but also via higher transient retention of N
15 from deposition.

16 In addition to the uncertainties mentioned above, we simplified N₂O sources and processes,
17 ignoring other microbial metabolic pathways and ~~abotic~~abiotic processes that produce or
18 consume N₂O. The global magnitude of those ignored process remains largely unexplored. We
19 do not incorporate explicit mechanisms for N₂O emissions from freeze-thaw cycle or poorly
20 drained soils (e.g.wetlands), the uptake of organic N etc., which ~~might~~are be globally important,
21 especially with future climate changes. Considering those uncertainties and gaps, more studies
22 are in need in order to ~~unstand~~undstand the terretrial N₂O emissions.

23 **5 Conclusions**

24 We present estimates of terrestrial soil N₂O fluxes under natural vegetation (1970 to 2005)
25 based on ~~a new~~existing N₂O emission ~~module~~formulations embedded into the global C-N cycle
26 model LM3V-N. To determine the sensitivity of the modelling result to soil water (WFPS), we
27 replaced the root zone soil water with two other derived datasets and altered the way in which
28 WFPS is calculated. Our best estimate of modelled global soil N₂O flux is ~~6.82±0.28~~5.61-7.47
29 TgN yr⁻¹ (1970-2005 mean and interannual variability), within the range of current
30 understanding of soil N₂O emissions, but highly sensitive to WFPS-, general N cycling and
31 parameterization of N₂O losses through nitrification and denitrification. Improvement of soil

1 hydrology is likely to significantly reduce the large uncertainties associated with soil N₂O
2 emission estimates. Although the simulated mean responses are in agreement with manipulative
3 field studies where effects of elevated CO₂ and temperature were investigated, we found that
4 the global response was dominated by tropical forest, where our model suggest a different
5 response than the field studies carried out in temperate ecosystems.
6

Appendix A: Soil N₂O emission module

~~Gaseous losses so far were not differentiated from hydrological leaching in LM3V-N. In this part, we provide details on the nitrification-denitrification module which explicitly simulates N gaseous losses from nitrification and denitrification, as well as other process modifications compared to the original LM3V-N.~~

A1 Nitrification-Denitrification

~~Transformation among inorganic N species (ammonium and nitrate) occurs mainly through two microbial pathways: nitrification and denitrification. Our simulation of N₂O losses during nitrification-denitrification generally follows the “hole-in-pipe” concept (Firestone and Davidson, 1989) with more detailed treatment of the N flux pipes and the leaky holes (gaseous losses) in the pipes.~~

~~Although ongoing debate exists in whether nitrification rates might be well described by bulk soil ammonium concentration or soil N turnover rate (Parton et al., 1996; Zaehle and Dalmonech, 2011), we adopt the donor-controlled scheme (ammonium concentration). In addition to substrate, soil texture, soil water filled pore space (WFPS, the percentage of soil pore space filled with water), and soil temperature are all well-known regulators of nitrification. As a first order approximation, nitrification rate (N) is simulated as a function of soil temperature, NH₄⁺ availability and WFPS,~~

$$N = k_n f_n(T) f_n(WFPS) \frac{N_{NH_4^+}}{b_{N,NH_4^+}} \quad (A1)$$

~~where k_n is the ammonium turnover rate (11000 year⁻¹, the same as in LM3V-N). b_{N,NH_4^+} is the buffer parameter for NH₄⁺ (10 in LM3V-N); $f_n(T)$ is the temperature response function and $f_n(WFPS)$ is the soil water response function following Li et al. (2000), with a optimum temperature for nitrification at 35°C. The effect of WFPS on nitrification is texture dependent, with most of the reported optimum value around 0.6 (Parton et al., 1996; Linn and Doran, 1984). We adopted the WFPS response function from Parton et al. (1996) with medium soil texture.~~

$$f_n(T) = \left(\frac{60-T_{soil}}{25.78}\right)^{3.503} \times e^{\frac{3.503 \times (T_{soil} - 34.22)}{25.78}} \quad (A2)$$

$$f_n(WFPS) = \left(\frac{WFPS - 1.27}{-0.67}\right)^{\frac{1.9028}{0.59988}} \times \left(\frac{WFPS - 0.0012}{0.59988}\right)^{\frac{2.84}{0.59988}} \quad (A3)$$

1 ~~where T_{soil} is the soil temperature in degree Celsius. Denitrification is controlled by substrate~~
2 ~~NO_3^- (electron acceptor), labile C availability (electron donor), soil moisture and temperature.~~
3 ~~The responses of denitrification to substrate and labile C availability follow Michaelis-Menten~~
4 ~~kinetics. Labile C availability is estimated by soil heterotrophic respiration (HR). Following~~
5 ~~LPJ-DyN (Xu and Prentice, 2008), denitrification is assumed to have a Q_{10} value of 2 when the~~
6 ~~soil temperature is between 15 and 25 °C. Soil moisture response function is based on Parton~~
7 ~~et al. (1996). Soil pH is reported to be an important indicator of chemodenitrification which~~
8 ~~occurs only in acidic soils ($pH < 5$) under conditions of high nitrite concentration. However, its~~
9 ~~role for N_2O production is not well studied (Li et al., 2000) and we do not model the~~
10 ~~chemodenitrification explicitly.~~

$$11 \quad D = f_a(T) f_d(WFPS) \frac{HR}{HR + K_c} \frac{NO_3^-}{NO_3^- + K_n} \quad (A4)$$

$$12 \quad \text{And } NO_3^- = \frac{N_{NO_3^-}}{b_{NO_3^-}} \quad (A5)$$

13 ~~where D is the denitrification, K_c , K_n are Michaelis-Menten constants taken from Li et al.~~
14 ~~(2000) (0.017 and 0.083 $kgN\ m^{-3}$ respectively); $b_{NO_3^-}$ is the buffer parameter for NO_3^- (1 in~~
15 ~~LM3V N); $f_a(T)$ and $f_d(WFPS)$ are soil temperature and water response function for~~
16 ~~denitrification given by the following two equations~~

$$17 \quad f_a(T) = e^{\frac{308.56 \times \left(\frac{1}{68.02} - \frac{1}{T_{soil} + 16.02} \right)}{16.02}} \quad (A6)$$

$$18 \quad f_d(WFPS) = \frac{1.56}{12.0 \left(\frac{1.69}{12.0(2.01 \times WFPS)} \right)} \quad (A7)$$

19 **A2 Gaseous partitions from nitrification-denitrification**

20 ~~N_2O loss from net nitrification is a constant fraction of 0.4%. NO_x emission from nitrification~~
21 ~~is based on the $NO_x:N_2O$ ratio ($R_{NO_x:N_2O}$). $R_{NO_x:N_2O}$ varies with gas diffusivity (D/D_0) (Parton~~
22 ~~et al., 2001), which is estimated from air filled porosity ($AFPS$) (Davidson and Trumbore, 1995)~~

$$23 \quad R_{NO_x:N_2O} = 15.2 + \frac{35.5 \times ATAN \left[0.68 \times \pi \times \left(10 \times \frac{D}{D_0} - 1.68 \right) \right]}{\pi} \quad (A8)$$

$$24 \quad \frac{D}{D_0} = 0.209 \times AFPS^{\frac{4}{3}} \quad (A9)$$

25 ~~where ATAN stands for the trigonometric arctangent function; $AFPS$ is the air filled porosity~~
26 ~~($1 - WFPS$), and π is the mathematical constant, approximately 3.14159.~~

1 During denitrification, the gaseous ratio between N_2 and N_2O ($R_{N_2:N_2O}$) is calculated following
 2 Del Grosso et al. (2000), which combines the effects of substrate (NO_3^-) to electron donor (HR,
 3 the proxy for labile C) ratio and WFPS.

$$4 \quad R_{N_2:N_2O} = Fr\left(\frac{NO_3^-}{HR}\right) \cdot Fr(WFPS) \quad (A10)$$

5 With

$$6 \quad Fr\left(\frac{NO_3^-}{HR}\right) = \max\left(0.16 \times k, k \times e^{\left(-0.8 \times \frac{NO_3^-}{HR}\right)}\right) \quad (A11)$$

$$7 \quad Fr(WFPS) = \max(0.1, 0.015 \times WFPS - 0.32)$$

8 where k is a texture dependent parameter (Table A1) estimated from Del Grosso et al. (2000).

9 ~~Table A1~~ Texture dependent parameter k estimated from Del Grosso et al. (2000)

Soil Texture	Coarse	Medium	Fine	Coarse/ medium	Coarse/ fine	Medium/ fine	Coarse/ medium/ fine	Organic
4	2	10	22	6	12	16	11	2

11 ~~A3 Other modified processes~~

12 ~~We also added NH_3 volatilization into LM3V-N. NH_3 volatilization in soil results from the~~
 13 ~~difference between the equilibrium NH_3 partial pressure in soil solution and that in the air.~~
 14 ~~Dissolved NH_3 is regulated by ammonium concentration and pH. The net flux of NH_3 from soil~~
 15 ~~to the atmosphere varies with soil NH_3 , moisture, temperature, therefore~~

$$16 \quad NH_3 = f(pH) f_{NH_3}(T) (1 - WFPS) \frac{N_{NH_4^+}}{b_{N,NH_4^+}} \quad (A12)$$

17 where NH_3 is the net ammonia volatilization flux from each modelling step; $f(pH)$ is the pH
 18 factor and $f(T)$ is the temperature factor which are given by the following two equations

$$19 \quad f(pH) = e^{2 \times (pH_{soil} - 10)} \quad (A13)$$

$$20 \quad f_{NH_3}(T) = \min\left(1, e^{\frac{308.56 \times \left(\frac{1}{71.02} - \frac{1}{T_{soil} + 146.02}\right)}{1}}\right) \quad (A14)$$

21 where pH_{soil} is the soil pH which is prescribed instead of simulated dynamically. $f(pH)$ and $f(T)$
 22 follow largely on the NH_3 volatilization scheme implemented in the dynamic global vegetation
 23 model LPJ-DyN (Xu and Prentice, 2008).

1 Appendix **BA**: Observed annual N₂O fluxes data

2 Annual N₂O fluxes data were compiled from peer-reviewed literature. We applied simple
 3 selection criteria (see the main text) to reduce the mismatches between model outputs and field
 4 measurements, bearing in mind the gaps between complex field conditions and idealized model
 5 forcings. Latitudes (Lat) and longitudes (Lon) in Table **B1A1** are based on model grids.

6 Table **B1A1** Observed annual N₂O emission data for model comparison

No	Country	Lon	Lat	Location	Veg Type	N ₂ O kgN ha ⁻¹ yr ⁻¹			
						<u>OBS</u>	<u>LM3V-N</u>	<u>NOAH</u>	<u>ERA</u>
1	Australia	133.1	-12.3	Douglas Daly region	Savanna	0.02	0.15	0.25	
2	Australia	148.1	-37.3	Moe	Temperate forest	0.11	0.58	0.74	0.72
3	Australia	151.9	-27.3	South-east Queensland	Tropical forest	0.52	0.01	0.03	
4	Austria	16.9	47.8	Klausenleopoldsdorf	Temperate forest	0.62	0.64	0.52	0.53
5	Austria	9.4	47.8	Achenkirch	Temperate forest	0.35	0.54	0.48	0.47
6	Austria	13.1	47.8	Innsbruck	Temperate forest	0.08	0.42	0.36	0.31
7	Austria	16.3	48.2	Schottenwald and Klausenleopoldsdorf	Temperate forest	0.76	0.61	0.54	0.53
8	Brazil	-61.9	-2.3	Manaus	Tropical rain forest	1.9	1.6	1.68	1.56
9	Brazil	-61.9	-2.3	Manaus	Tropical rain forest	1.930	1.71	1.74	1.55
10	Brazil	-54.4	-4.8	East-central Amazonia	Tropical rain forest	2.1	1.34	2.19	1.57
11	Brazil	-46.9	-2.3	Paragominas	Rainforest	2.430	1.22	1.19	1.11
12	Burkina Faso	-1.9	10.3	Ioba	Savanna	0.6	0.03	1.32	
13	Canada	-80.6	50.3	Ontario	Boreal forest	0.04	0.11	0.14	0.12
14	Canada	-106.9	52.8	Saskatchewan	Boreal forest	0.28	0.01	0.01	0.01
15	Canada	-103.1	52.8	Saskatchewan	Boreal forest	0.07	0.21	0.17	
16	Canada	-106.9	52.8	Saskatchewan	Boreal forest	0.09	0.01	0.01	
17	Canada	-73.1	45.3	Mont St. Hilaire	Temperate forest	0.42	0.54	0.46	
18	China	91.9	35.3	Tibet	Alpine grassland	0.07	0	0	0
19	China	125.6	40.3	Changbai mountain	Alpine tundra, temperate forest	0.56	0.73	0.64	0.45
20	China	114.4	42.8	Inner mongolia	Temperate forest	0.73	0.1	0.14	0.71
22	China	133.1	47.8	Sanjiang Experimental Station	Freshwater marshes	0.21	0.34	0.35	0.34
23	Denmark	13.1	55.3	Solo	Temperate forest	0.29	0.27	0.42	0.06

24	Denmark	13.1	55.3	Denmark	Temperate forest	0.52	0.28	0.37	0.05
25	Ecuador	-80.6	-4.8	Bombuscaro	Tropical forest	0.3	1.02	0	
26	Finland	24.4	60.3	Southern	Boreal forest	0.78	0.62	0.35	0.17
27	Germany	9.4	50.3	Average	Temperate forest	0.57	0.6	0.53	0.5
28	Germany	9.4	52.8	Kiel	Temperate forest	0.4	0.48	0.53	0.52
29	Germany	9.4	47.8	Southwest	Temperate forest	0.93	0.56	0.51	0.49
30	Germany	13.1	47.8	Höglwald	Temperate forest	0.41	0.47	0.4	0.39
31	Germany	9.4	52.8	Average	Temperate forest	0.66	0.44	0.5	0.5
32	Germany	9.4	52.8	Harz mountains	Mire	0.25	0.48	0.56	0.52
34	Indonesia	103.1	-2.3	Jambi	Lowland tropical rainforest	0.260	0.44		
35	Indonesia	121.9	-2.3	Central Sulawesi	Tropical seasonal rain forest	0.800	1.73	2.31	1.7
36	Indonesia	114.4	-2.3	Central Kalimantan	Tropical forest	2.51	2	2.45	1.73
37	Italy	9.4	45.3	P.Ticino BoscoNegri	Temperate forest	0.18	1.38	2.8	1.82
38	Malaysia	110.6	-2.3	Sarawak	Mixed peat swamp forest	0.7	0.66	0.65	0.57
39	New Zealand	170.6	-44.8	New Zealand	Temperate forest	0.01	1.24	2.84	1.24
40	Norway	9.4	60.3	Norway	Temperate forest	0.73	0.52	0.52	0.38
41	Panama	-80.6	7.8	Gigante Peninsula	Tropical forests	1.6	0.2	0.39	0.39
42	Sweden	13.1	57.8	Southwestern	Temperate forest	0.07	1.86	1.67	
43	Sweden	13.1	57.8	Asa experimental forest	Undrained bog	0.65	0.36	0.45	0.36
44	UK	-1.9	55.3	Northumberland	Grassland	0.3	0.4	0.5	0.41
45	USA	-73.1	42.8	Harvard forest	Mixed hardwood	0.04	0.56	0.54	0.48
46	USA	-73.1	40.3	New York	Temperate forest	0.9	0.4	0.49	0.41
47	USA	-80.6	25.3	Florida	Marsh	1	0.45	0	
48	USA	-73.1	42.8	New Hampshire	Temperate forest	0.070	0.64	2.15	
49	USA	-106.9	35.3	New mexico	Temperate forest	0.06	0.41	0.51	0.43
50	USA	-118.1	45.3	Washington	Temperate shrub-steppe	0.15	0.02	0.02	0.02
51	USA	-114.4	37.8	Mojave desert	Perennial grasses	0.11	0.02	0.02	0.02
52	USA	-106.9	40.3	Wyoming	Sagebrush steppe	0.21	0.01	0.02	0.03
53	USA	-73.1	45.3	Northeastern	Temperate forest	0.18	0.05	0.04	0.05
54	USA	-69.4	45.3	Northeastern	Temperate forest	0.03	0.53	0.46	0.44
55	USA	-103.1	40.3	Colorado	Temperate steppe	0.14	0.37	0.53	0.4
56	USA	-88.1	42.8	Wisconsin	Grass	0.040	0.03	0.05	0.05
57	USA	-114.4	37.8	Nevada	Mojave desert	0.11	0.45	0.45	

58	USA	-110.6	32.8	Arizona	Sonoran desert	0.4	0.04	0.04	0.05
59	USA	-118.1	45.3	Ft. Collins, Colorado	Temperate grassland	0.12	0.01	0.03	0.03
60	Venezuela	-61.9	10.3	Venezuela	Savana	0.73	0.06	0.07	0.07
61	Zimbabwe	31.9	-17.3	Harare	Miombo woodland savanna	0.51	0.83	1.61	0.57

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4 Information Services Center (DISC)

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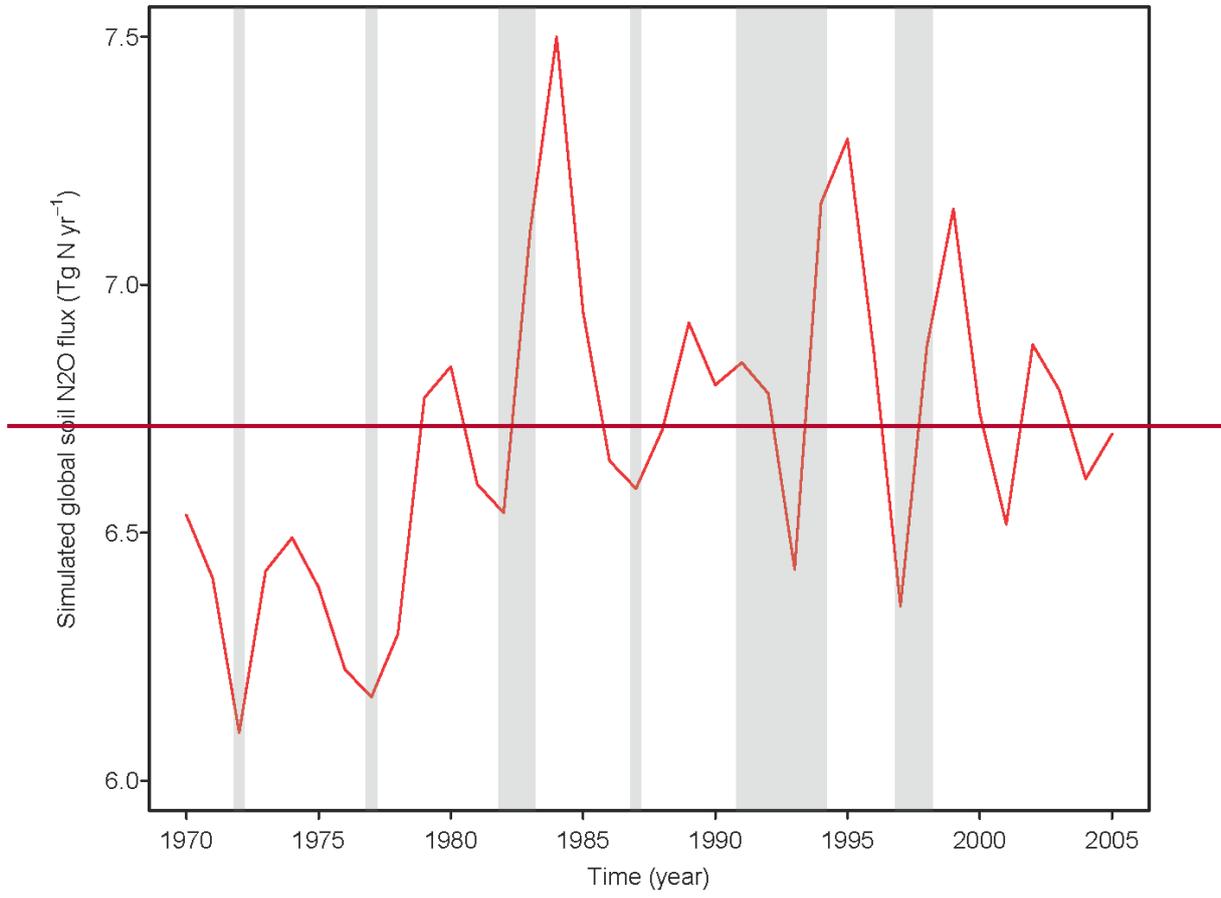
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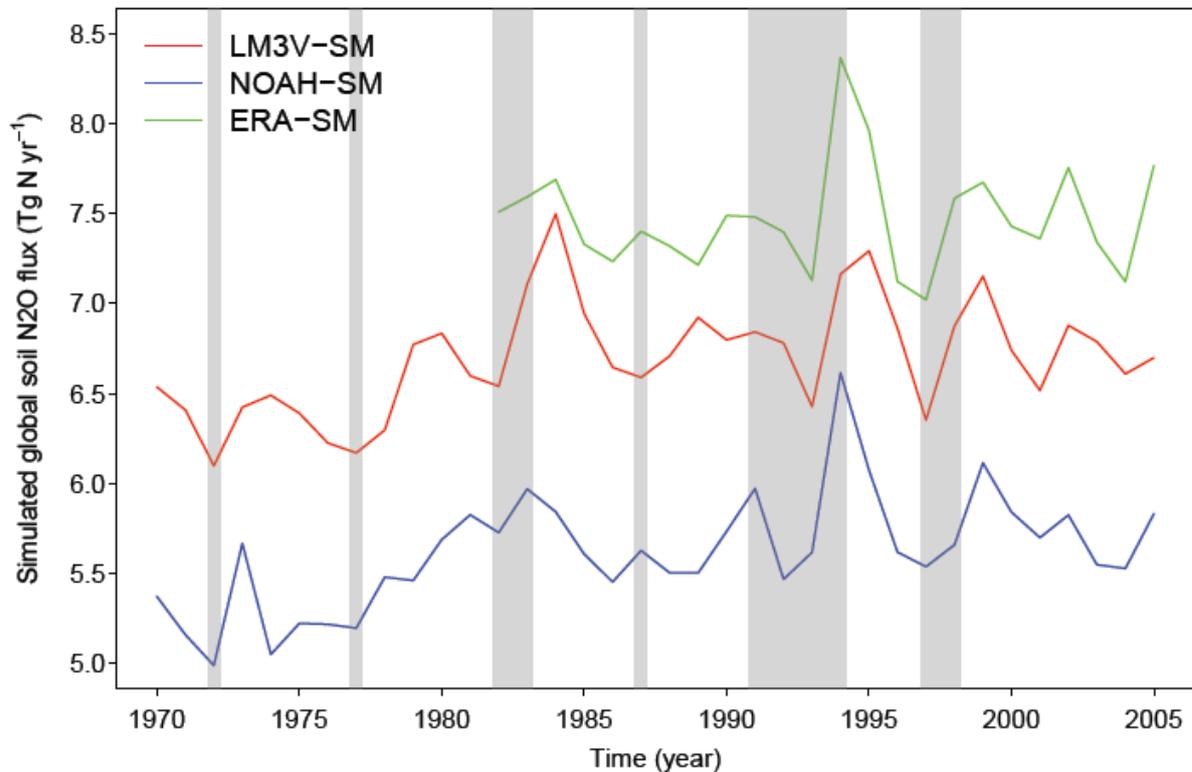
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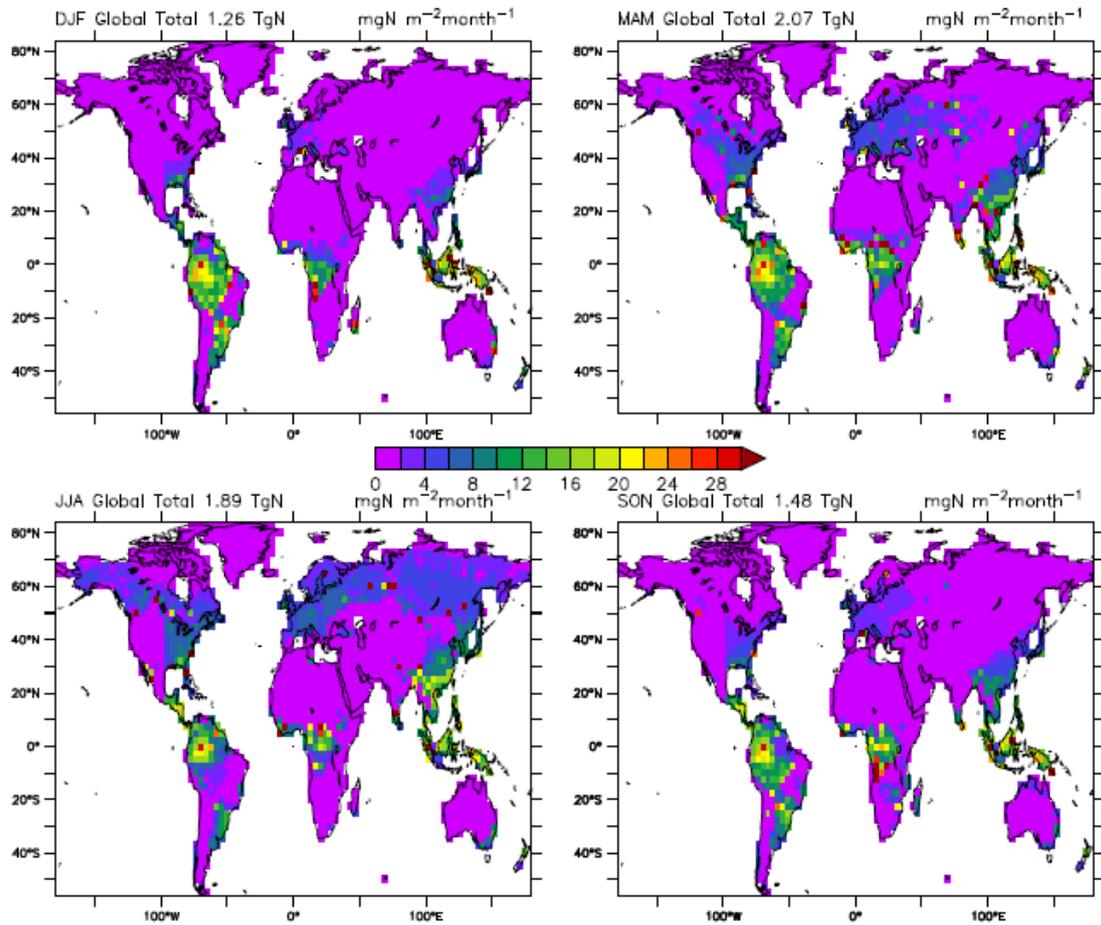
1 Figures and Tables



2

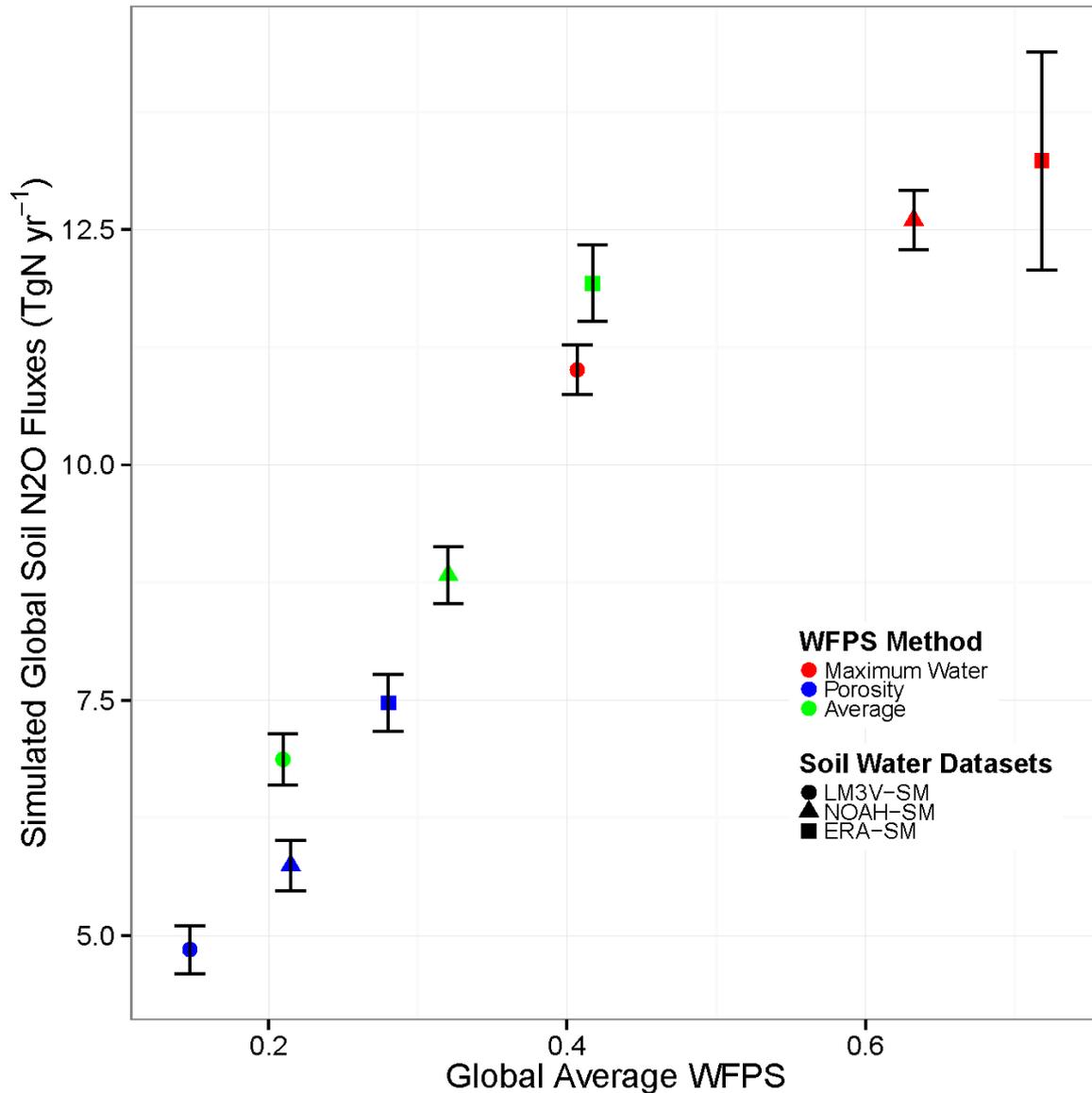
3 Figure 1. Simulated annual global soil N₂O emissions based on potential vegetation (1970-
4 2005). Shaded grey area indicates El Niño years with the [annual](#) multivariate ENSO index (MEI)
5 greater than 0.6. [Colours refer to different soil moisture dataset used in the estimation: red for](#)
6 [LM3V-SM \(with WFPS calculated by Method 3\); blue for NOAH-SM \(Method 2\) and green](#)
7 [for ERA-SM \(Method 2\). Details for these soil moisture dataset and WFPS calculating methods](#)
8 [is available in the main text.](#)

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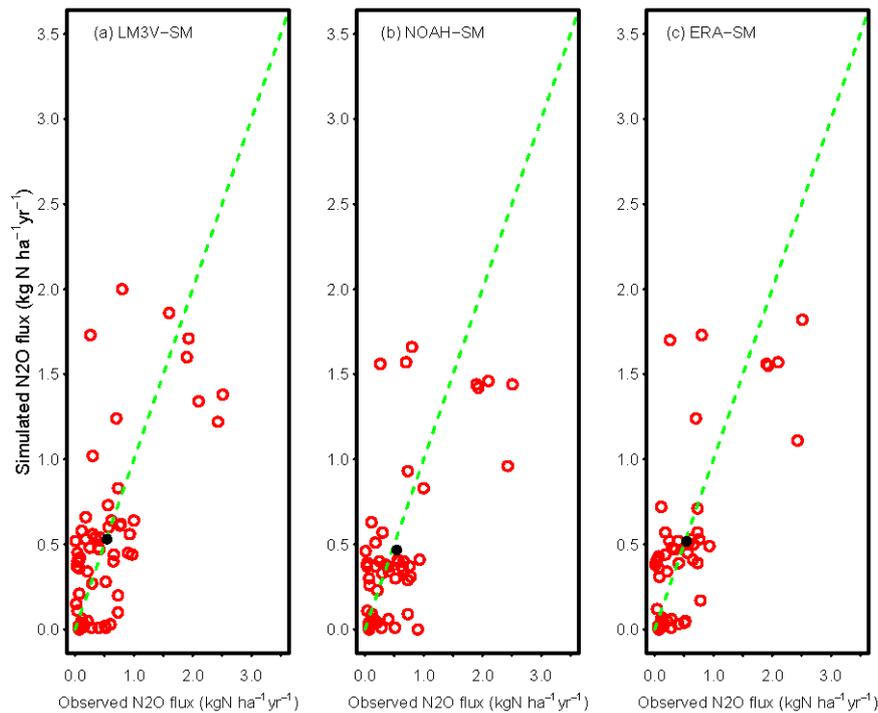


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2 Figure 2. Global seasonal mean soil N₂O emissions (with potential vegetation) averaged over
3 the years 1970-2005. DJF (December, January and February), stands for Northern
4 Hemisphere Winter; MAM (March, April and May) for Spring; JJA (June, July and August)
5 for Summer; and SON (September, October and November) for Autumn.

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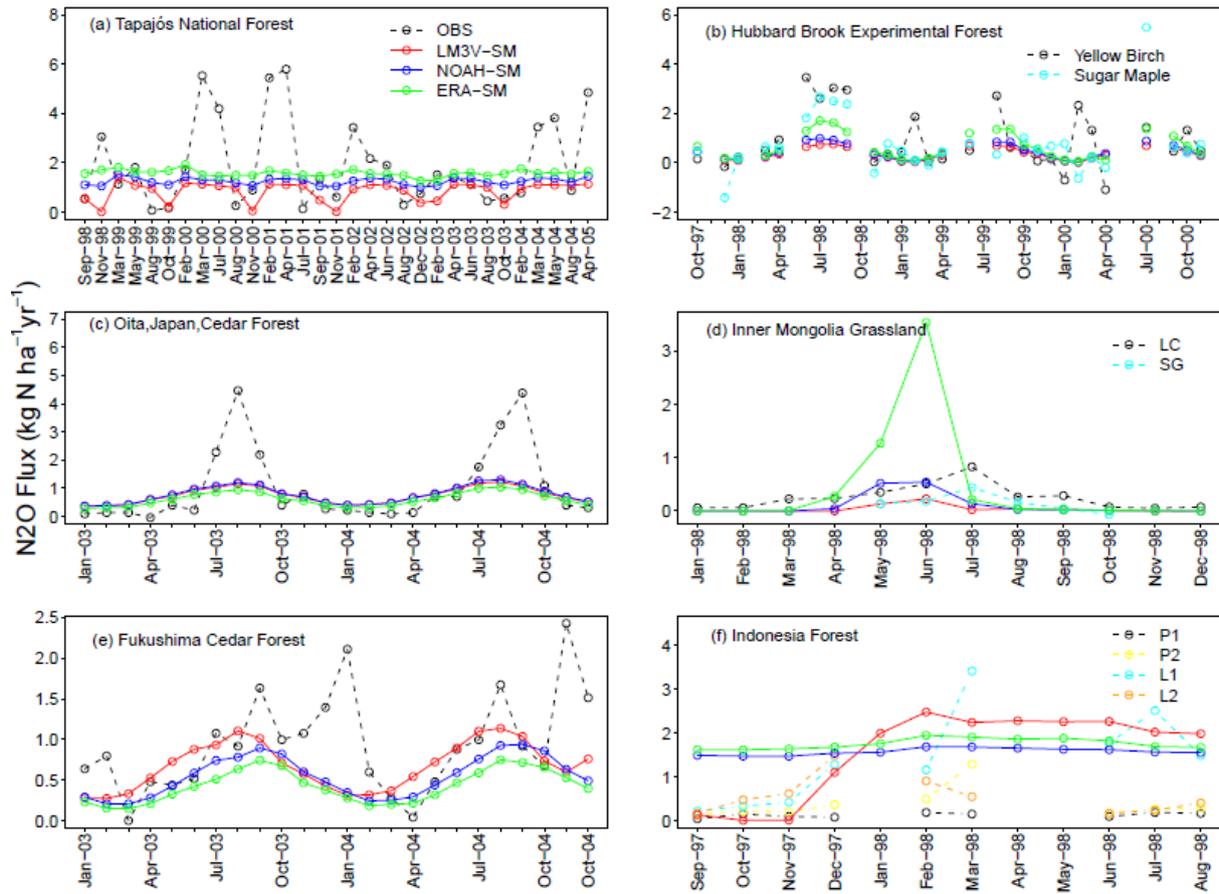
1
2 Figure 3. Sensitivity of simulated global soil N₂O emissions (with potential vegetation) to
3 water filled pore space (WFPS). The x-axis is the WFPS averaged globally over 1982-2005;
4 the y-axis represents the corresponding global total N₂O fluxes. A total of nine sets of WFPS
5 are obtained through either different soil water datasets (colours) or varied calculation
6 methods (symbols). Maximum water, porosity and average correspond to method 1, method 2
7 and method 3 in the main text, respectively. Coloured symbols represent interannual means
8 and error bars indicate interannual standard deviations.



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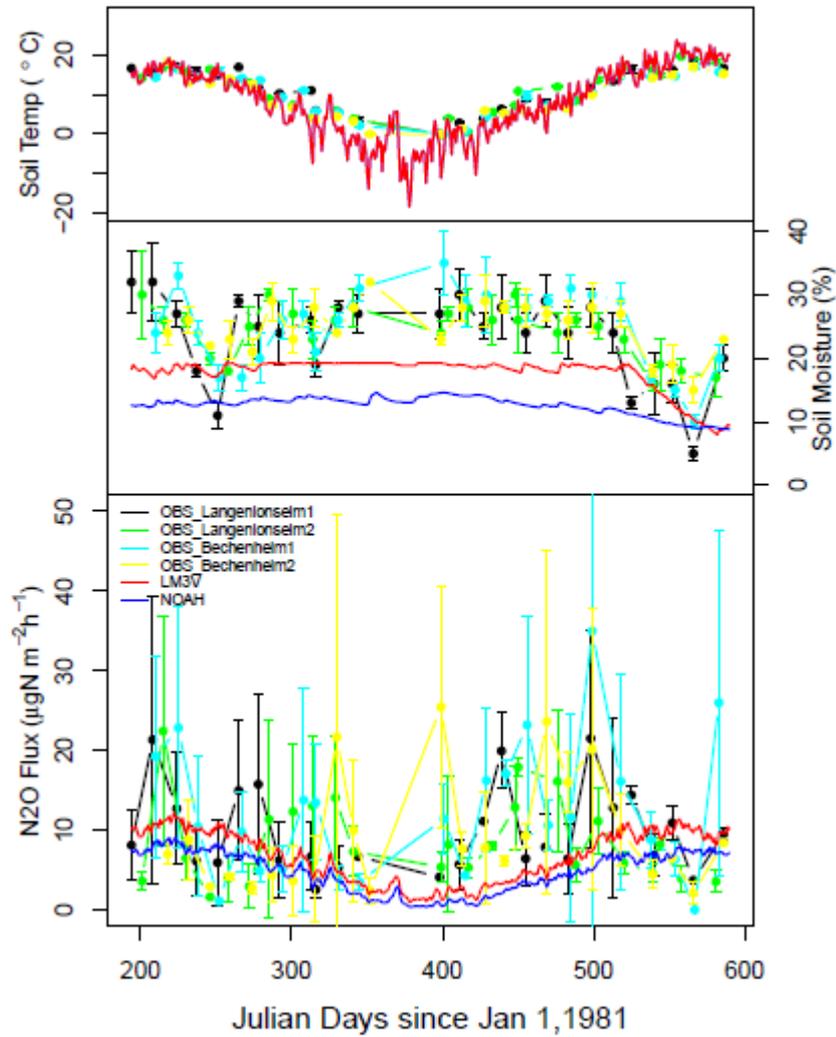
2 Figure 4. Observed vs. simulated annual N₂O emissions from natural soils. Dashed green lines
 3 are the 1:1 lines. The solid circles represent the overall means. Different panels represent
 4 simulations with different soil moisture data: (a) LM3V-SM (simulated by LM3V-N); (b)
 5 NOAH-SM (based on land surface model NOAH 3.3 in Global Land Data Assimilation System
 6 Version 2); and (c) ERA-SM (reanalysis data from ECMWF). Water filled pore space (WFPS)
 7 is calculated using the average of the one based on available water capacity and the one based
 8 on the total porosity (Method 3, see the main text for detailed description) for panel (a); and
 9 using the total porosity (Method 2) for panel (b) and (c).

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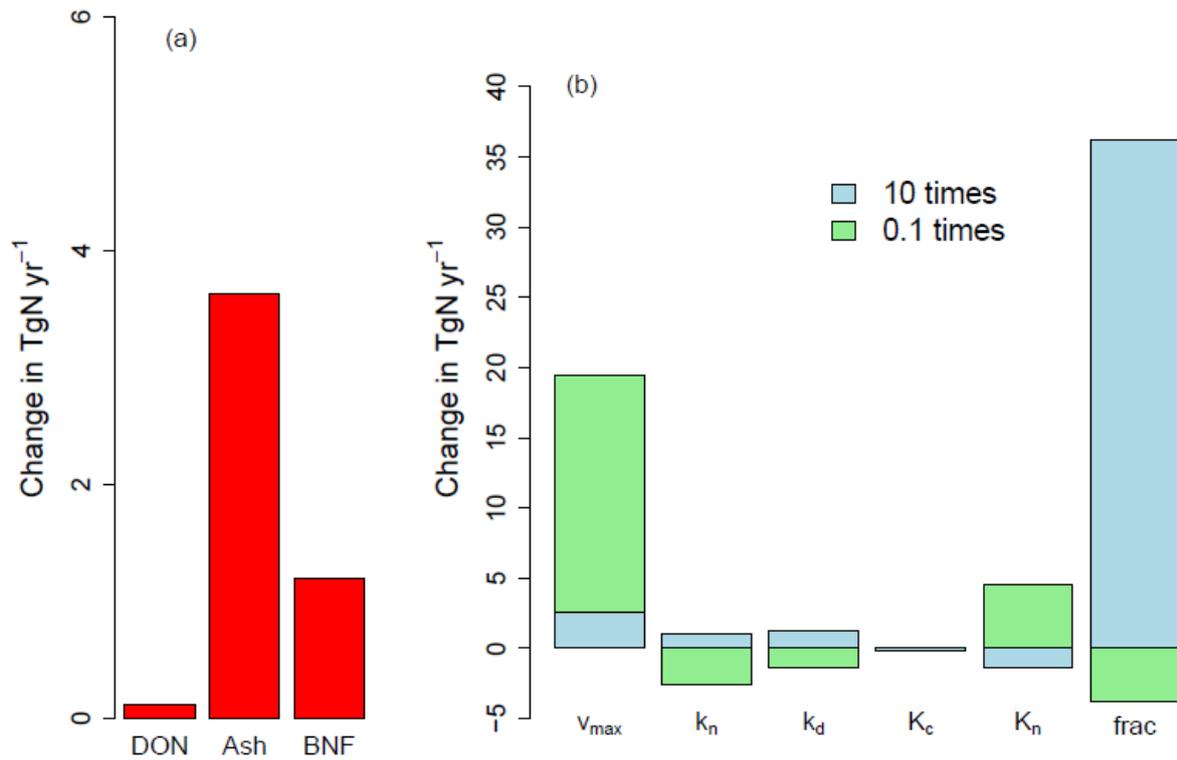
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2 Figure 5. Observed vs. simulated monthly N_2O emissions at (a), the Tapajós National Forest in
3 east-central Amazonia (3°S, 55°W), taken from Davidson et al. (2008); (b), the Hubbard Brook
4 Experimental Forest in New Hampshire, USA (44°N, 72°W), taken from Groffman et al. (2006);
5 (c), a cedar forest at Oita, Japan (33°N, 131°E), taken from Morishita et al. (2007) ; (d), the
6 *Leymus chinensis* (LC) and *Stipa grandis* (SG) steppe in Inner Mongolia, China (44°N, 117°E),
7 taken from Xu-Ri et al. (2003); (e), a cedar forest in Fukushima, Japan (37°N, 140°E), taken
8 from Morishita et al. (2007); and (f), the primary (P1 and P2) and secondary (L1 and L2) forests
9 located at the Pasir Mayang Research Site, Indonesia, taken from Ishizuka et al. (2002) (1°S,
10 102°E). Shown are modeled results from three WFPS schemes (LM3V-SM, NOAH-SM and
11 ERA-SM) the same as in Figure 4.

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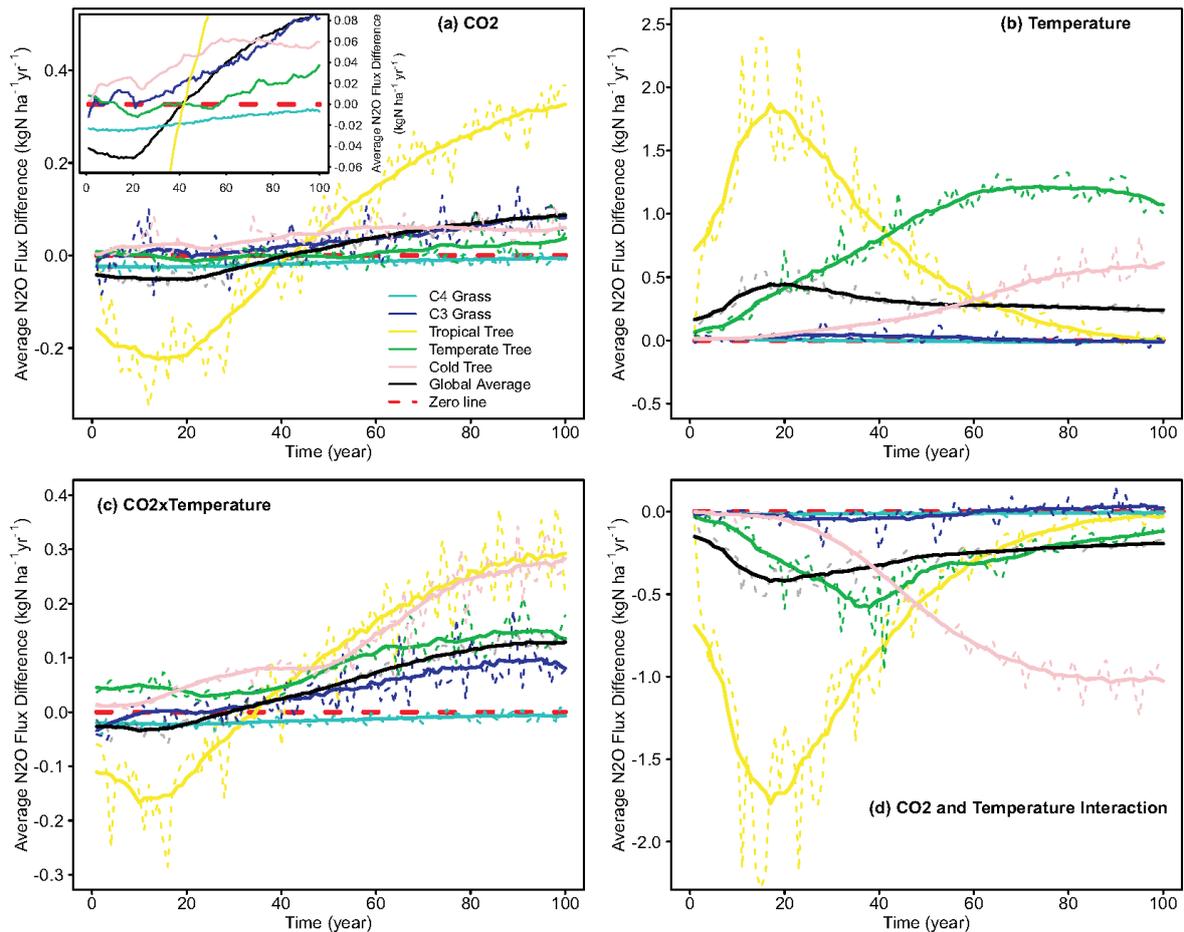
1
 2 Figure 6. Comparison of (a) soil temperature (2cm from observation and 1 cm from model)
 3 in °C; (b) soil moisture (2cm from observation and root zone from model) in % and (c) soil
 4 N₂O emissions in µgN m⁻² h⁻¹ from observations and model outputs at four forest sites from
 5 German (50°N, 8°E), taken from Schmidt et al. (1986). Shown are modeled results from two
 6 WFPS schemes (LM3V-SM and NOAH-SM) similar as in Figure 4.

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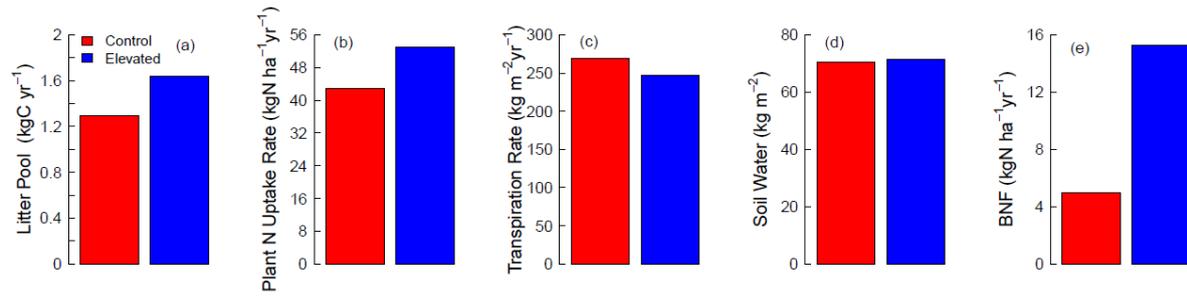


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2 Figure 7. Changes in simulated global average N₂O (1950-2005) emissions from modifying
3 general N cycling processes (a) and model parameters one-at-a-time (b). Altered processes
4 include disallowing N losses through dissolved organic matter (DON in (a)) and fire
5 volatilization (Ash in (a)), and replacing simulated biological N fixation with preindustrial N
6 fixation rate (BNF in (a)). Parameters include: v_{max} , the maximum active N uptake rate per unit
7 root biomass; k_n , the optimum nitrification rate; k_d , the optimum denitrification rate; K_c and K_n ,
8 the half saturation constants for labile C availability and nitrate respectively; and $frac$ is the
9 fraction of net nitrification lost as N₂O. Parameters are either increased by multiplying 10
10 (lightblue) or reduced by multiplying 0.1 (lightgreen) relative to the defaults .

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1
2 **Figure 8.** Soil N₂O emissions in response to step increases in atmospheric CO₂ and temperature.
3 Panel (a) is the response to CO₂ fertilization alone, expressed as the difference between CO₂
4 increased run and the control run (CO₂_FERT - CONTROL), the inset zooms into the y axis
5 (flux difference) around zero; Panel (b) is the response to temperature increase alone (TEMP-
6 CONTROL); Panel (c) is the combined response to both CO₂ enrichment and temperature rise
7 (CO₂_FERT×TEMP-CONTROL); and Panel (d) is the interactive effect of CO₂ and
8 temperature responses, which is the difference between (c) the combined (results from Panel (c))
9 and minus the individual responses (results from Panel (a) and (b)). Results are shown as annual
10 values (thin dashed lines) and as running average with a moving window of 17 years (period of
11 recycled climate forcing, thick solid lines) . The black lines represent the global average
12 response. Coloured lines indicate responses for biome as represented by each plant functional
13 type (PFT) considered in LM3V-N: C4 grass (cyan), C3 grass (blue), tropical forest (yellow),
14 temperate deciduous forest (green) and cold evergreen forest (pink). Dashed red line represents
15 the zero line.

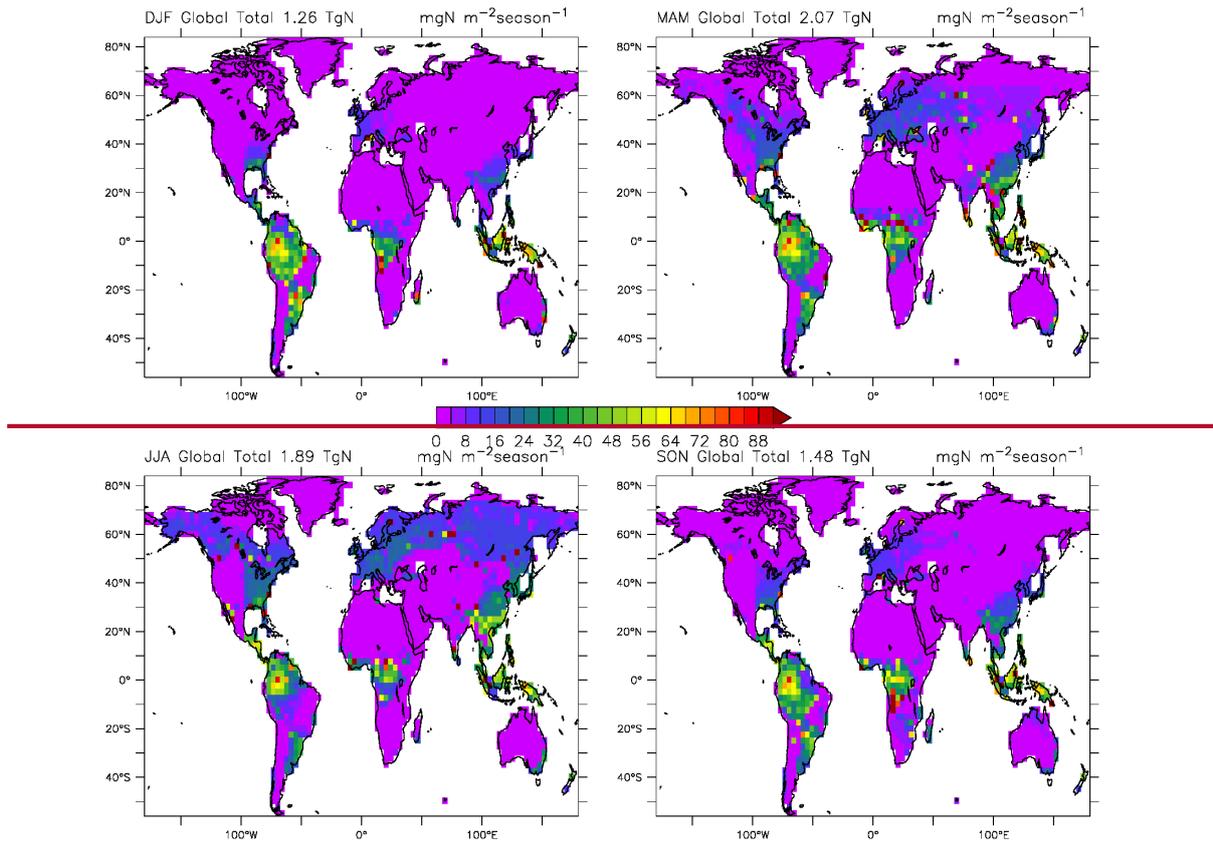


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 2 Figure 9. CO₂ fertilization effects (no temperature change) on litter pool size (Panel (a)), plant
 3 nitrogen uptake rate (Panel (b)), canopy transpiration rate (Panel (c)), soil water content in the
 4 root zone (Panel (d)) and biological nitrogen fixation (BNF) rate (Panel (e)). Shown are the
 5 100-year average of global means (spatial) for control (284 ppm, red) and with elevated CO₂
 6 (568 ppm, blue).

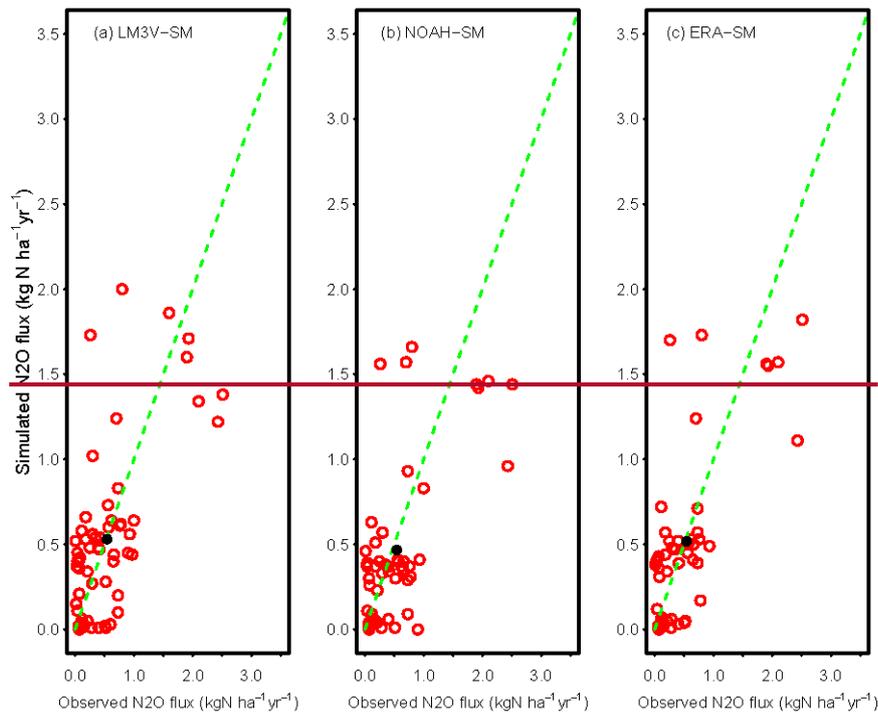
7
 8 Table 1 Texture dependent parameter *k* estimated from Del Grosso et al. (2000)

<u>Soil</u> <u>Texture</u>	<u>Coarse</u>	<u>Medium</u>	<u>Fine</u>	<u>Coarse/</u> <u>medium</u>	<u>Coarse/</u> <u>fine</u>	<u>Medium/</u> <u>fine</u>	<u>Coarse/</u> <u>medium/</u> <u>fine</u>	<u>Organic</u>
<u><i>k</i></u>	<u>2</u>	<u>10</u>	<u>22</u>	<u>6</u>	<u>12</u>	<u>16</u>	<u>11</u>	<u>2</u>

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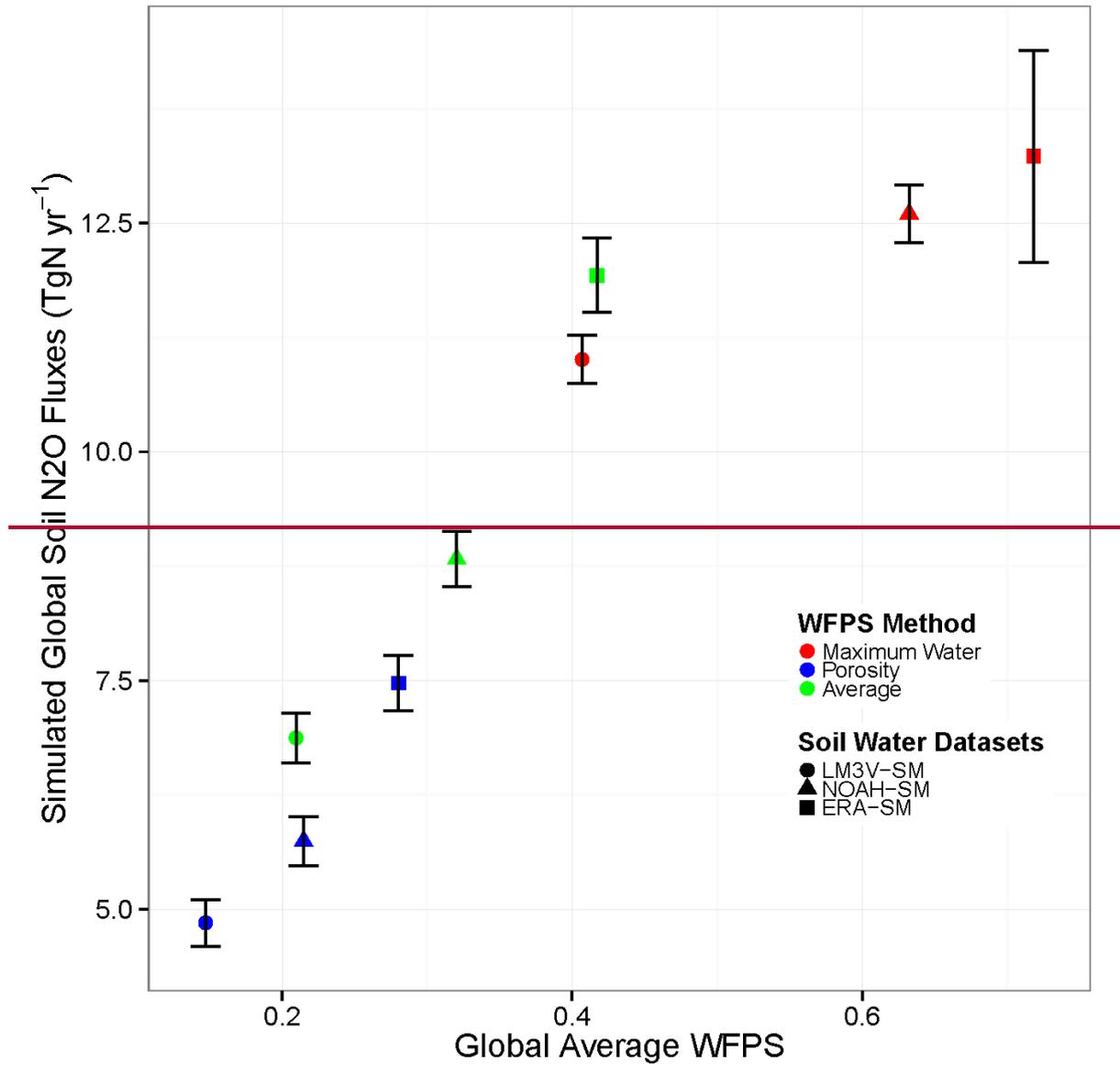


1
2 ~~Figure 2. Global seasonal mean soil N₂O emissions (with potential vegetation) averaged over~~
3 ~~the years 1970–2005. DJF (December, January and February), stands for Northern Hemisphere~~
4 ~~Winter; MAM (March, April and May) for Spring; JJA (June, July and August) for Summer;~~
5 ~~and SON (September, October and November) for Autumn.~~
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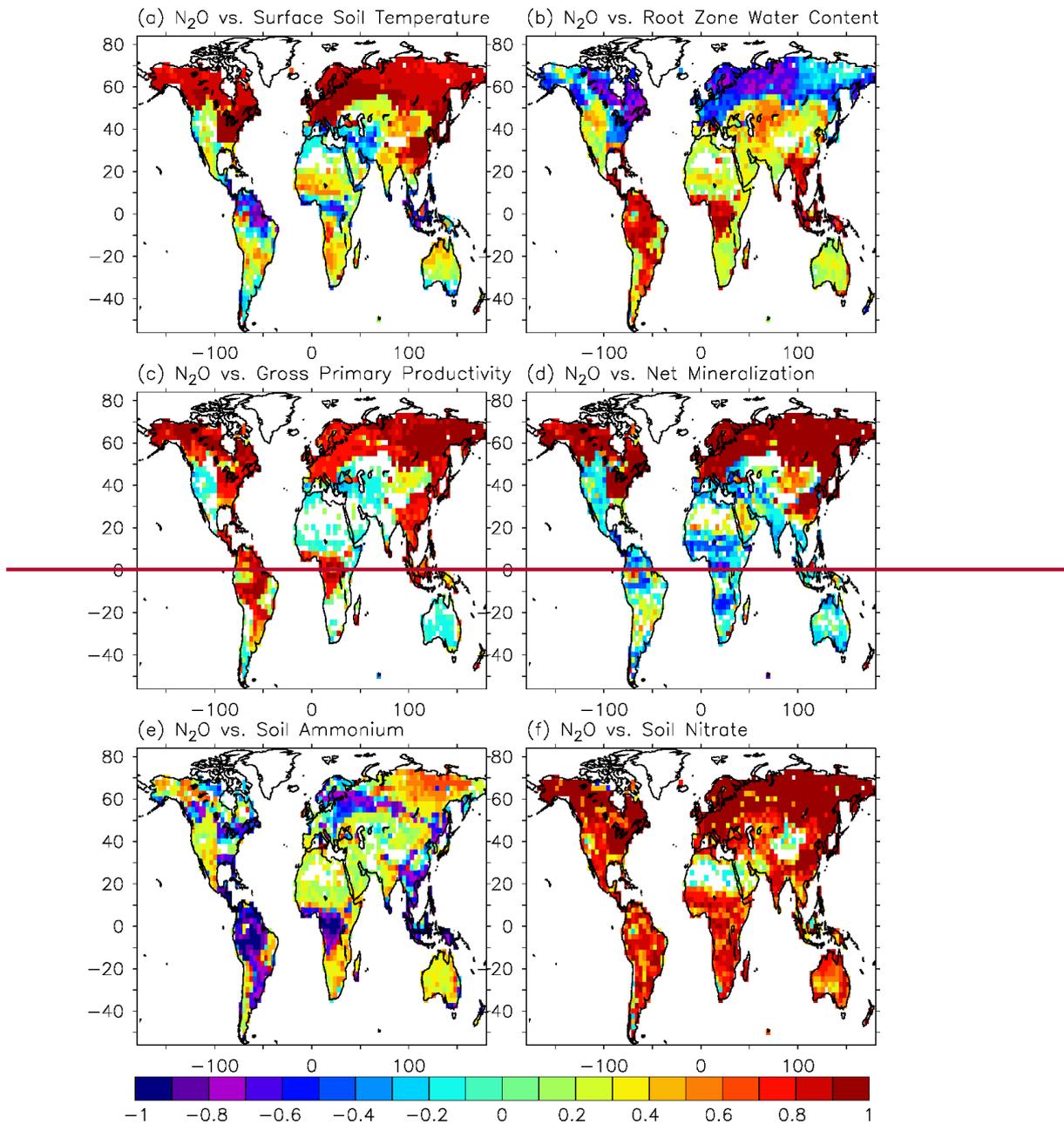
1
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3 ~~are the 1:1 lines. The solid circles represent the overall means. Different panels represent~~
4 ~~simulations with different soil moisture data: (a) LM3V-SM (simulated by LM3V-N); (b)~~
5 ~~NOAH-SM (based on land surface model NOAH 3.3 in Global Land Data Assimilation System~~
6 ~~Version 2); and (c) ERA-SM (reanalysis data from ECMWF). Water filled pore space (WFPS)~~
7 ~~is calculated using the average of the one based on available water capacity and the one based~~
8 ~~on the total porosity (Method 3, see the main text for detailed description) for panel (a); and~~
9 ~~using the total porosity (Method 2) for panel (b) and (c).~~

10

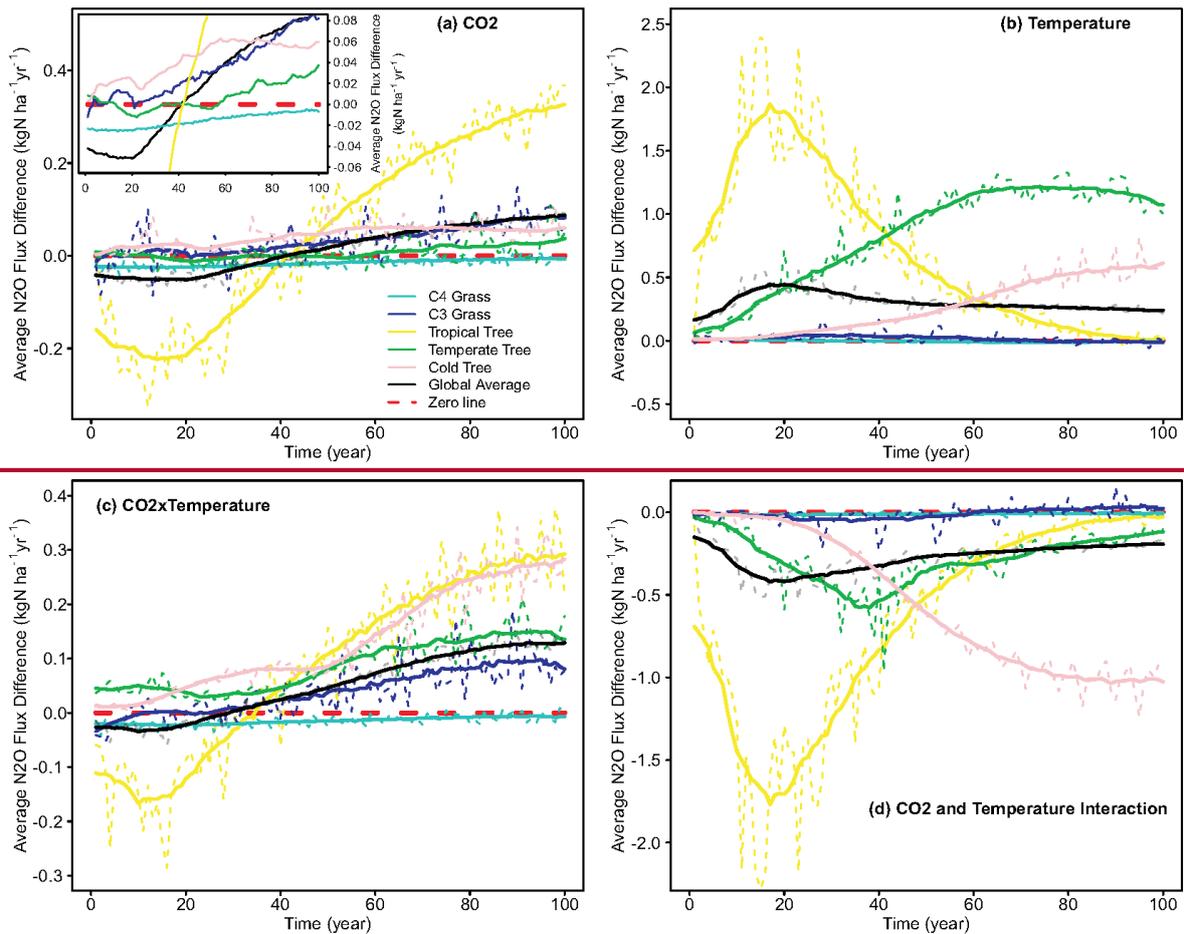


1
2 **Figure 4.** Sensitivity of simulated global soil N₂O emissions (with potential vegetation) to water
3 filled pore space (WFPS). The x axis is the WFPS averaged globally over 1982–2005; the y
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5 obtained through either different soil water datasets (colours) or varied calculation methods
6 (symbols). Coloured symbols represent interannual means and error bars indicate interannual
7 standard deviations.

8



1
 2 **Figure 5. Temporal correlations between simulated monthly natural soil N_2O emissions and a)**
 3 **surface soil temperature, b) root zone water content, c) gross primary productivity, d) net**
 4 **mineralization, e) soil ammonium, and f) soil nitrate. White areas in panel a) to f) indicate**
 5 **locations either with no data or no significant ($\alpha >$) Pearson correlation coefficients.**



1
2 ~~Figure 6. Soil N₂O emissions in response to step increases in atmospheric CO₂ and temperature.~~
3 ~~Panel (a) is the response to CO₂ fertilization alone, expressed as the difference between CO₂~~
4 ~~increased run and the control run (CO₂_FERT - CONTROL), the inset zooms into the y axis~~
5 ~~(flux difference) around zero; Panel (b) is the response to temperature increase alone (TEMP-~~
6 ~~CONTROL); Panel (c) is the combined response to both CO₂ enrichment and temperature rise~~
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10 ~~values (thin dashed lines) and as running average with a moving window of 17 years (period of~~
11 ~~recycled climate forcing, thick solid lines). The black lines represent the global average~~
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