Revision of Global soil nitrous oxide emissions in a dynamic carbon-nitrogen model

Dear Soenke,

Thank you very much for your competent handling of our manuscript and the opportunity to publish our work in Biogeosciences.

We have taken care of the suggested corrections, as follows

p6 l28 Te-> The Done

p7 line 29: Please change Zaehle & Friend 2010 to Smith et al. 2014 BGS. I actually do not use a transpiration relationship in a transient sense. Done

p1016: generate -> generates Corrected

p23 l17ff. If is unclear here whether you talk about the LM3V modelled soil moisture or the "data-derived" models. please be very explicit here. We changed the sentence as follows:

"However, despite using data-derived soil moisture, it appears that the prediction of soil moisture is an impediment towards validating N2O emissions at field scale *for both LM3V-N simulated and reanalysed soil moisture.*"

p25 l8 include references here We added the following references: Xu-Ri et al., 2012; Zaehle et al., 2011

p25 l13: provide reference or explain briefly why the BNF in models requires improvement (please no exhautistive discussion!)

We changed this part of the paragraph as follows

The representation of BNF in models requires improvement: currently, simple empirical relationships are used, yet BNF is the largest source of new N in terrestrial systems, and therefore is critical in the determination of N availability to nitrifiers and denitrifiers. Here we showed that different implementations of BNF (prescribed vs. responsive to plant N demand) are globally important for N2O emissions.

p27 l23 opposite -> of opposite sign?

Corrected

p27l23ff ",*while for climate it is the combination of temperature and interaction*". Sorry I don't understand this.

We rephrased to:

"On the other hand, simulations with O-CN (Zaehle et al., 2011) showed the effects of CO2 and climate to be approximately equal and of opposite sign for historic simulations covering the past 300 years that also include land-cover changes. They evaluated the effect of climate change as the difference between a simulation that consider both CO2 and climate and a simulation that does not consider climate change. Thus their climate effect contains both the single effect of climate and the interaction of climate with CO2. The temperature (i.e. climate) response on top of CO2 can in our simulation be calculated as the temperature effect plus the interaction effect (Fig 8). Analyzed in this fashion, LM3V-N's results are congruent with those of Zaehle et al. (2011), albeit we found a slightly weaker temperature effect compared to CO2."

p29 l 4 "distinct and new results ... is treated differently". Please be more precise!

We are now more explicit:

"Our sensitivity analysis shows that processes of the larger plant-soil N cycle affect fast N cycle processes as evidenced by the response to the fire and BNF modification. This sensitivity can lead to differences in N2O across models (e.g. in the response to CO2 and climate) even if existing nitrification-denitrification schemes are identical."

p2915. a response of N2O, or the N cycle in general. And - what does this imply...?

We added the central implication of the rarity of tropical manipulative experiments.

"Further, our work suggests a much stronger response to warming and CO2 in tropical forests compared to extratropical forest, thus extrapolation of mostly extra-tropical field studies to the globe warrants caution."

check spelling and formatting (N2O etc).

We did further editorial changes to eliminate spelling, grammatical, and formatting error. Please see the attached marked-up version.

Best regards, Stefan Gerber and Yuanyuan Huang

1 Global soil nitrous oxide emissions in a dynamic carbon-

2 nitrogen model

3 Y. Y. Huang¹ and S. Gerber¹

4 [1] {Soil and Water Science Department, Institute of Food and Agricultural Sciences,
5 University of Florida, Gainesville, Florida 32611}

6 Correspondence to: S. Gerber (sgerber@ufl.edu)

7

8 Abstract

9 Nitrous oxide (N₂O) is an important greenhouse gas that also contributes to the depletion of 10 stratospheric ozone. Due to its high temporal and spatial heterogeneity, a quantitative 11 understanding of terrestrial N2O emission, its variabilities and responses to climate change is 12 challenging. We added a soil N₂O emission module to the dynamic global land model LM3V-13 N, and tested its sensitivity to mechanisms that affect the level of mineral nitrogen (N) in soil 14 such as plant N uptake, biological N fixation, amount of volatilzed N redeposited after fire, and 15 nitrification-denitrification. We further tested the relationship between N2O emission and soil 16 moisture, and assessed responses to elevated CO₂ and temperature. Results extracted from the 17 corresponding gridcell (without site-specific forcing data) were comparable with the average 18 of cross-site observed annual mean emissions, although differences remained across individual 19 sites if stand-level measurements were representative of gridcell emissions. Processes, such as 20 plant N uptake and N loss through fire volatilization that regulate N availability for nitrification-21 denitrification have strong controls on N₂O fluxes in addition to the parameterization of N₂O 22 loss through nitrification and denitrification. Modelled N2O fluxes were highly sensitive to 23 water filled pore space (WFPS), with a global sensitivity of approximately 0.25 TgN per year 24 per 0.01 change in WFPS. We found that the global response of N₂O emission to CO₂ 25 fertilization was largely determined by the response of tropical emissions with reduced N2O 26 fluxes in the first few decades and increases afterwards. The initial reduction was linked to N 27 limitation under higher CO₂ level, and was alleviated through feedbacks such as biological N 28 fixation. The extratropical response was weaker and generally positive, highlighting the need to expand field studies in tropical ecosystems. We did not find synergistic effects 29 30 between between warming and CO₂ increase as reported in analyses with different models.

Warming generally enhanced N₂O efflux and the enhancement was greatly dampened when
 combined with elevated CO₂, although CO₂ alone had a small effect. The differential response
 in the tropics compared to extratropics with respect to magnitude and sign suggests caution
 when extrapolation from current field CO₂ enrichment and warming studies to the global
 scaleglobe.

6

7 1 Introduction

8 Nitrous oxide (N₂O) is a major reactant in depleting stratospheric ozone as well as an important 9 greenhouse gas (Ravishankara et al., 2009;Butterbach-Bahl et al., 2013;Ciais et al., 2013). With 10 a global warming potential of 298 times more (per unit mass) than that of carbon dioxide (CO₂) 11 over a 100-year period (Forster et al., 2007), the contributions of N₂O emissions to global 12 radiative forcing and climate change are of critical concern (Zaehle and Dalmonech, 2011). The 13 concentration of atmospheric N₂O has been increasing considerably since the industrial revolution with a linear rate of 0.73 ± 0.03 ppb yr⁻¹ over the last three decades (Ciais et al., 2013). 14 15 Although applications of synthetic fertilizer and manure during agriculture intensification have been identified as the major causes of this increase (Davidson, 2009;Zaehle and Dalmonech, 16 17 2011; Zaehle et al., 2011), nonagricultural (natural) soil is still an important N2O source (Ciais 18 et al., 2013;Syakila and Kroeze, 2011). N₂O fluxes from nonagricultural soils are highly 19 heterogeneous, which limits our ability to estimate and predict global scale budgetbudgets, and 20 quantify its the response of natural N₂O fluxes to global environmental changes (Butterbach-21 Bahl et al., 2013;Ciais et al., 2013).

22 Most of the N₂O fluxes from soil are produced by microbial nitrification and denitrification 23 (Braker and Conrad, 2011;Syakila and Kroeze, 2011). Nitrification is an aerobic process that oxidizes ammonium (NH4⁺) to nitrate (NO3⁻), during which some N is lost as N2O. 24 25 Denitrification reduces nitrate or nitrite to gaseous N (i.e. NO_x, N₂O and N₂), a process that is 26 fostered under anaerobic conditions. During denitrification N₂O is generated in intermediary 27 steps where a small portion can escape from <u>the</u> soil before further reduction to N_2 takes place. 28 Soil texture, soil NH₄⁺, soil water filled pore space (WFPS), mineralization rate, soil pH, and 29 soil temperature are well-known regulators of nitrification N2O fluxes (Parton et al., 1996;Li et 30 al., 2000;Parton et al., 2001). Denitrification and associated N₂O emissions depend primarily 31 on carbon supply, the redox potential and soil NO_3^- (Firestone and Davidson, 1989;Parton et

al., 1996). Soil moisture has a particularly strong impact (Galloway et al., 2003;Schlesinger,
2009) as it influences nitrification and denitrification rates through its regulations on substrate
availability and soil redox potential (as-oxgyen diffusion proceeds at much slower rate in water
filled than in air filled pore space), thereby also controlling the partitioning among various
denitrification products (i.e. NO_x, N₂O and N₂) (Firestone and Davidson, 1989;Parton et al.,
2001). Although emissions are known to be sensitive to soil moisture, quantitative
understanding of its role in terrestrial N₂O fluxes and variability is limited (Ciais et al., 2013).

8 At regional to global scale, the application of the "hole-in-pipe" concept (Firestone and 9 Davidson, 1989) in the CASA biosphere model pioneered one of the earliest process-based 10 estimation of natural soil N₂O fluxes. The model calculated the sum of NO, N₂O and N₂ fluxes 11 as a constant portion of gross mineralized N, and the relative ratios of N trace gases 12 (NO_x:N₂O:N₂) as a function of soil moisture (Potter et al., 1996). While the early models of 13 nitrification and denitrification are primarily conceptual driven, recent global N₂O models 14 combine advancements in global dynamic land models with more detailed processes, including 15 microbial dynamics. Xu-Ri and Prentice (2008) simplified nitrification and denitrification modules from DNDC (i.e., DeNitrification-DeComposition) (Li et al., 1992;Li et al., 2000) in 16 17 their global scale dynamic N scheme (DyN) and incorporated DyN into the LPJ dynamic global 18 vegetation model. In the DNDC approach, nitrification and denitrification were allowed to 19 occur simultaneously in aerobic and anaerobic microsites. Zaehle et al. (2011) incorporated a 20 nitrification-denitrification scheme into the O-CN land model following largely the LPJ-DyN 21 with minor modifications and additions of the effects of soil pH and chemo-denitrification that originated from DNDC (Li et al., 2000). Stocker et al. (2013) embedded the LPJ-DyN approach 22 23 into an Earth System Model and investigated the feedbacks of N2O emissions, together with 24 CO₂ and CH₄, to climate. Compared to LPJ-DyN approach, Saikawa et al. (2013) retained the 25 explicit simulation of nitrifying and denitrifying bacteria from DNDC in their CLMCN-N2O module based on CLM V3.5 land model. Simulations with O-CN demonstrated a positive 26 27 response of N₂O emissions to historical warming and a negative response to historical CO₂ 28 increase, globally. While CO₂ and interaction with climate change resulted in an increase in 29 historical and future N₂O emissions in LPJ-DyN (Xu-Ri et al., 2012) and its application in LPX-30 Bern (Stocker et al., 2013), respectively, historical CO2 change alone, i.e. single factor of Xu-31 Ri et al. (2012), caused a slight decrease in historical N₂O emissions. The negative CO₂ 32 response seems to be in disagreement with one meta-analysis of manipulative field experiments

showing an increase in N₂O emissions at elevated levels of CO₂ (Zaehle et al., 2011;Xu-Ri et
 al., 2012;van Groenigen et al., 2011). The discrepancy in response to global change factors
 needs to be addressed both in models and in the interpretation of manipulative field experiments.

4

5 Here we add a N₂O gas emission module to LM3V-N, a land model developed at the Gephysical 6 Fluid Dynamics Laboratory (GFDL). In this paper, we will first briefly introduce LM3V-N and describe the added N₂O emission module. We then subject the model to historic changes in CO₂, 7 8 N deposition, and recent climate change to infer natural N₂O emissions in the past few decades. 9 We test the model's sensitivity to soil water regime, by addressing the parameterization of soil WFPS, and by replacing the model soil moisture with two different soil moisture reanalysis 10 11 products. We also conduct sensitivity tests with regard to the general N cycling and 12 parameterization of N2O emissions. We then subject the model to step changes in atmospheric 13 CO_2 and temperature to understand modelled reponses to CO_2 fertilization/<u>and</u> climate change. 14 Since we build largely on existing parameterization of nitrification-denitrification processes, 15 we will briefly discuss implications from transferring process formulations to LM3V-N where 16 other aspects of the N cycle are treated differently.

17 2 Methods

18 2.1 Model description

19 LM3V is capable of simulating ecosystem dynamics and exchange of CO₂, water and energy 20 between land and atmosphere with the fastest time step of 30 minutes (Shevliakova et al., 2009). 21 LM3V-N expands the LM3V land model with a prognostic N cycle (Gerber et al., 2010), and 22 includes five plant functional types (PFTs):C3 and C4 grasses, tropical, temperate deciduous 23 and cold evergreen trees. Each PFT has five vegetation C pools (leaf, fine root, sapwood, labile, 24 and wood), two litter and two soil organic C pools and their corresponding N pools based on 25 the specific C:N ratios. Photosynthesis is coupled with stomatal conductance on the basis of the 26 Collatz et al.'s (1991,1992) simplification of the Farquhar scheme (Farquhar et al., 1980). Soil 27 hydrology in LM3V-N follows partly on Land Dynamics (LaD) with further improvements (Shevliakova et al., 2009; Milly and Shmakin, 2002; Milly et al., 2014). N enters the ecosystem 28 29 through atmospheric N deposition and biological N fixation (BNF), losses via fire and leaching 30 of dissolved organic N (DON) as well as mineral N. We briefly describe the major

characteristics of LM3V-N in the next subsection (2.1.1), and details are available in Gerber et
 al. (2010).

3 2.1.1 Main characteristic of LM3V-N

4 2.1.1.1 C-N coupling in vegetation

5 We briefly describe the larger plant-soil N cycle and how it links to mineral N (ammonium and 6 nitrate). Plants adjust their uptake of C and N to maintain their tissue specific C:N ratios, which 7 are PFT-dependent constants. Instead of varying C:N ratios in tissues, short-term asynchronies 8 in C and N assimilations or temporary imbalances in stoichiometry are buffered by <u>an</u> additional 9 N storage pool (*S*) in which N is allowed to accumulate once plant N demand is satisfied. The 10 optimum storage size S_{target} is based on tissue turnover $Q_{N,liv}$,

$$11 \quad S_{target} = t_h Q_{N,liv} \tag{1}$$

where t_h is the time span that buffer plant N losses (currently set as 1 year). Plant N status (*x*) is defined as the fraction of the actual N storage compared to the target storage: $x = S/S_{target}$. Consequently, N constraints on photosynthesis and soil N assimilation are based on plant N status:

16
$$A_{g,N} = A_{g,pot}(1 - e^{-x\phi})$$
 (2)

17
$$U_{N,P} = U_{N,P,pot} * \begin{cases} 1 & if \ S < S_{target} \\ 0 & else \end{cases}$$
(3)

where $A_{g,N}$ indicates N constrained rate of gross photosynthesis (µmolC m⁻² s⁻¹) and $A_{g,pot}$ corresponds to the potential photosynthetic rate without N limitation. The parameter φ mimics the metabolic deficiency as plant N decreases. $U_{N,P,pot}$ is the potential inorganic N uptake rate from soil available ammonium and nitrate pools. The actual inorganic N uptake rate ($U_{N,P}$) operates at its potential if plants are N limited and drops to zero when N storage (*S*) reaches its target size. Overall this set-up intends to overcome short-term asynchronies between C and N supply.

25 2.1.1.2 Soil C-N interactions in organic matter decomposition

26 Organic matter decomposition is based on a modified CENTURY approach (Bolker et al.,

27 1998), and amended with formulations of N dependent C and N mineralization rates. Here, we

28 use a 3 pool model where the pools broadly represent labile and structural litter, and processed

soil organic matter. Decomposition is the main source of available N for nitrification and
 denitrification. In turn, NO₃⁻ and NH₄⁺ can both trigger the decomposition of "light" organic
 matter and stabilize C in "heavy" organic matter in LM3V-N. Formation of a slow
 decomposable organic matter pool leads to immobilization of ammonium and nitrate to satisfy
 the fixed carbon to nitrogen ratio of this pool.

6 2.1.1.3 Competing sinks of available N

7 The fate of soil mineral N (i.e. ammonium and nitrate) depends on the relative strength of the 8 competing sinks, with the broad hierarchy of sorption > soil immobilization > plant uptake > 9 leaching/denitrification. This creates a tight N cycle, since internal (plant and soil) sinks 10 dominate over N losses. Denitrification thus far has been lumped with leaching losses and summed into a generic N loss term. Sorption/desorption buffers available N and is assumed to 11 12 have the highest priority and be at steady state in each model time step. N immobilization into 13 organic matter occurs during transfers among litter and soil organic matter pools. Leaching 14 losses of available N are simulated on the basis of drainage rate. Plant uptake of mineral N is a 15 combination of both active and passive processes. The active uptake is modeled as a Monod 16 function, and the passive transport is a function of available N and plant transpiration:

17
$$U_{N,P,pot,i} = \frac{v_{max}C_r N_{i,av}}{h_s(k_{p,1/2} + [N_{av}])} + [N_{i,av}]Q_{W,T}$$

where v_{max} (yr⁻¹ kgC⁻¹) stands for the maximum uptake rate per unit root mass C_r , h_s is soil depth, $k_{p,1/2}$ is the half saturation constant, and $Q_{W,T}$ represents the transpiration flux of water. TeThe subscript *i* refers to either ammonium or nitrate, while $[N_{av}]$ is the concentration of the combined dissolved ammonium nitrate pool. Potential uptake and thus effective removal of available N occurs if plants are N limited (see Equation 3).

23 2.1.1.4 N losses from organic pools

With the implementation of high ecosystem N retention under limiting condition where internal N sinks outcompeting losses from the ammonium/nitrate pools, losses via organic pathways become important (Gerber et al., 2010; Thomas et al., 2015). Over the long term, N losses via fire and DON are thus critical factors limiting ecosystem N accumulation and maintaining N limitation in LM3V-N. N volatilized via fire is approximated as a function of CO₂ produced in a fire, stoichiometric ratio of burned tissues but reduced by a global retention factor representing the fraction of N that is retained as ash (*ash_fraction*, currently set as 0.45). DON leaching is Formatted: Font: Italic

6

(4)

1 linked to hydrologic losses of dissolved organic matter (L_{DOM}) and its C:N ratio. In turn L_{DOM}

2 is based on drainage rate $(Q_{W, D})$ and a buffer or sorption parameter b_{DOM} (currently set as 20).

$$3 L_{DOM} = \frac{Q_{W,D}}{h_s b_{DOM}} DOM (5)$$

4 where *DOM* is the amount of dissolve organic matter in the soil column. Soil depth (h_s) is used 5 to convert DOM unit to concentration (in unit of kgC m⁻³). Production of DOM (in unit of kgC 6 m⁻²) is assumed to be proportional to the decomposition flux of the structural litter and soil 7 water content. Both, losses via fire and via DOM are losses from a plant-unavailable pool 8 (Thomas et al., 2015), and have the potential to increase or maintain N limitation over longer 9 timescales, and consequently reduce N availability for N₂O production through sustained and 10 strong plant N uptake.

11 2.1.1.5 Biological nitrogen fixation (BNF)

12 BNF in LM3V-N is dynamically simulated on the basis of plant N availability, N demand, and 13 light condition. BNF increases if plant N requirements are not met by uptake. The rate of up-14 regulation is swift for tropical trees but constrained by light penetrating the canopy for other 15 PFTs, mimicking the higher light requirements for new recruits that possibly can convert 16 atmospheric N2 into plant available forms. In turn, sufficient N uptake reduces BNF. The BNF 17 parameterization thus creates a negative feedback, where high plant available N and thus the 18 potential for denitrification is counteracted with reduction of N input into the plant-soil system. 19 This explicit negative feedback is different to other models where BNF is parameterized based on NPP (Thornton et al., 2007), or transpiration (Zaehle and Friend, 2010).Smith et al., 2014). 20 21 The inclusion of BNF as a negative feedback contributes to a rather tight cycling within LM3V-

22 N, with low overall rates of BNF under unperturbed conditions (Gerber et al., 2013).

23 2.1.2 Soil N₂O emission

LM3V-N assumes that nitrification is linearly scaled to ammonium content, and modified by soil temperature and soil moisture. Gaseous losses so far were not differentiated from hydrological leaching. We add a soil nitrification-denitrification module which accounts for N gaseous losses from NH₃ volatilization, nitrification and denitrification. The nitrificationdenitrification scheme implemented here combines features from both the DNDC model (Li et al., 1992;Li et al., 2000) and the CENTURY/DAYCENT <u>model</u> (Parton et al., 1996;Parton et al., 2001;Del Grosso et al., 2000). In this subsection, we provide details on the nitrification-

denitrification module which explicitly simulates N gaseous gas losses from via nitrification and
 denitrification, as well as other process modifications compared to the original LM3V-N.

3 2.1.2.1 Nitrification-Denitrification

4 Transformation among mineral N species (ammonium and nitrate) occurs mainly through two 5 microbial pathways: nitrification and denitrification. Although ongoing debate exists in whether 6 nitrification rates may be well described by bulk soil ammonium concentration or soil N 7 turnover rate (Parton et al., 1996;Zaehle and Dalmonech, 2011), we adopt the donor controlled 8 scheme (ammonium concentration). In additon to substrate, soil texture, soil water filled pore 9 space (WFPS, the fraction of soil pore space filled with water), and soil temperature are all well 10 known regulators of nitrification. As a first order approximation, nitrification rate (*N*, in unit,

11 kgN m⁻² year⁻¹) is simulated as a function of soil temperature, NH₄⁺ availability and WFPS,

12
$$N = k_n f_n(T) f_n(WFPS) \frac{N_{NH_4^+}}{b_{N,NH_4^+}}$$
 (6)

where k_n is the base nitrification rate (11000 year⁻¹, the same as in LM3V-N) (Gerber et al., 13 2010); $N_{NH_A^+}$ is ammonium content (in unit, kgN m⁻²); b_{N,NH_A^+} is the buffer or sorption 14 15 parameter for NH₄⁺ (unitless, 10 in LM3V-N) (Gerber et al., 2010); $f_n(T)$ is the temperature response function following Li et al. (2000), with an optimum temperature for nitrification at 16 17 35°C; and $f_n(WFPS)$ is the soil water response function. The effect of WFPS on nitrification is 18 texture dependent, with most of the reported optimum value around 0.6 (Parton et al., 1996;Linn 19 and Doran, 1984). We adopt the empirical WFPS response function from Parton et al. (1996) 20 with medium soil texture.

21
$$f_n(T) = (\frac{60-Tsoil}{25.78})^{3.503} \times e^{\frac{3.503 \times (Tsoil-34.22)}{25.78}}$$
 (7)

22
$$f_n(WFPS) = \left(\frac{WFPS - 1.27}{-0.67}\right)^{\frac{1.9028}{0.59988}} \times \left(\frac{WFPS - 0.0012}{0.59988}\right)^{2.84}$$
 (8)

23 where *Tsoil* is the soil temperature in degree Celsius.

Denitrification is controlled by substrate NO_3^- (electron acceptor), labile C availability (electron donor), soil moisture and temperature. Labile C availability is estimated by soil heterotrophic respiration (*HR*). Following LPJ-DyN (Xu-Ri and Prentice, 2008), denitrification is assumed to have a Q₁₀ value of 2 when the soil temperature is between 15 and 25 °C. The soil moisture response function is adopted from Parton et al. (1996). Soil pH is reported to be an important

 $1 \quad \mbox{indicator of chemodenitrification which occurs predominantly in acidic soils (pH \!\!<\!\! 5) under$

2 conditions of high nitrite concentration (Li et al., 2000). However, its role for N₂O production

3 is not well studied (Li et al., 2000) and we do not model chemodenitrification explicitly.

$$4 \quad D = k_d f_d(T) f_d(WFPS) f_g NO_3^- \tag{9}$$

5 And
$$f_g = \frac{HR}{HR + K_c} \frac{NO_3^-}{NO_3^- + K_n}$$
 (10)

$$6 NO_3^- = \frac{N_{NO_3^-}}{b_{NO_3^-}} (11)$$

where D is the denitrification rate (in unit, kgN m⁻² year⁻¹); k_d is the base denitrification rate 7 8 (8750 year⁻¹); f_g mimics the impact of labile C availability and substrate (nitrate) on the growth 9 of denitrifiers, adapted from Li et al. (2000); K_c and K_n are half-saturation constants taken from Li et al. (2000) (0.0017 and 0.0083 kgN m⁻² respectively, assuming an effective soil depth of 10 11 0.1m); $b_{NO_3^-}$ is the buffer or sorption parameter for NO₃⁻ (unitless, 1 in LM3V-N) (Gerber et 12 al., 2010); $N_{NO_3^-}$ and NO_3^- are nitrate content before and after being buffered (in unit, kgN m⁻ 13 ²), respectively; and $f_d(T)$ and $f_d(WFPS)$ are empirical soil temperature and water reponse 14 function for denitrification, adopted from Xu-Ri and Prentice (2008) and Parton et al. (1996), 15 respectively.

16
$$f_d(T) = e^{308.56 \times (\frac{1}{68.02} - \frac{1}{Tsoil+46.02})}$$
 (12)

17
$$f_d(WFPS) = \frac{1.56}{12.0^{(\frac{16.0}{12.0(2.01 \times WFPS)})}}$$
 (13)

18 2.1.2.2 Gaseous partitions from nitrification-denitrification

19 N2O is released as a byproduct during both nitrification and denitrification. The fraction of N2O 20 lost during net nitrification is uncertain (Li et al., 2000;Xu-Ri and Prentice, 2008). Here we set 21 this fraction to be 0.4%, which is higher than Goodroad and Keeney (1984), but at the low end 22 provided by Khalil et al. (2004). Nitrification also generategenerates NOx gas, in addition to 23 N2O. N losses as NOx emissions during nitrification are scaled to the -N2O release using a 24 variable NO_x:N₂O ratio ($R_{NOx:N2O}$). $R_{NOx:N2O}$ varies with relative gas diffusivity (D_r , the relative 25 gas diffusivity in soil compared to air) (Parton et al., 2001), which is calculated from air filled porosity (AFPS, i.e., the portion of soil pore space that is filled by air) (Davidson and Trumbore, 26

27 1995)

Formatted: Subscript

1
$$R_{NOX:N2O} = 15.2 + \frac{35.5 \times ATAN(0.68 \times \pi \times (10 \times D_r - 1.68))}{\pi}$$
 (14)

2
$$D_r = 0.209 \times AFPS^{\frac{1}{3}}$$
 (15)

3 where ATAN stands for the trigonometric arctangent function; *AFPS* is the air filled porosity 4 (1-WFPS), and π is the mathematical constant, approximately 3.14159.

5 During denitrification, the gaseous ratio between N₂ and N₂O ($R_{N2:N2O}$) is calculated following 6 the empirical function derived by Del Grosso et al. (2000), which combines the effects of 7 substrate (NO₃⁻) to electron donor (*HR*, the proxy for labile C) ratio and WFPS. $R_{N2:N2O}$ is 8 updated at every time step and for each grid cell.

9
$$R_{N2:N20} = Fr(\frac{NO_3^-}{HR}) \cdot Fr(WFPS)$$
(16)

10 With

11
$$Fr\left(\frac{NO_3^-}{HR}\right) = \max(0.16 \times k, k \times e^{(-0.8 \times \frac{NO_3^-}{HR})})$$
 (17)

12
$$Fr(WFPS) = \max(0.1, 0.015 \times WFPS - 0.32)$$
 (18)

where *k* is a texture dependent parameter (Table 1) estimated from Del Grosso et al. (2000). *k* controls the maximum value of the function $Fr\left(\frac{NO_3^-}{HR}\right)$.

15 2.1.2.3 Other modified processes

To complete the N loss scheme in LM3V-N, we also added NH₃ volatilization into LM3V-N. NH₃ volatilization in soil results from the difference between the equilibrium NH₃ partial pressure in soil solution and that in the air. Dissolved NH₃ is regulated by ammonium concentration and pH. The net flux of NH₃ from soil to the atmosphere varies with soil NH₃, moisture, temperature, therefore

21
$$NH_3 = k_{nh}f(pH)f_{NH_3}(T)(1 - WFPS)\frac{N_{NH_4^+}}{b_{N,NH_4^+}}$$
 (19)

where NH_3 is the net ammonia volatilization flux (in unit, kgN m⁻² year⁻¹); k_{nh} is the base ammonia volatilization rate (365 year⁻¹); f(pH) is the pH factor and f(T) is the temperature factor which are given by the following two equations:

25
$$f(pH) = e^{2 \times (pH_{soil} - 10)}$$
 (20)

26
$$f_{NH3}(T) = \min(1, e^{308.56 \times (\frac{1}{7_{1.02} - T_{soil+46.02}})})$$
 (21)

1 where pH_{soil} is the soil pH which is prescribed instead of simulated dynamically. f(pH) and f(T)

- 2 follow largely on the NH₃ volatilization scheme implemented in the dynamic global vegetation
- 3 model LPJ-DyN (Xu-Ri and Prentice, 2008).

4 2.2 Model experiments

5 2.2.1 Global hindcast with potential vegetation

6 To understand the model performance and compare with other models and observations, we 7 conducted a hindcast simulation with potential vegetation. The model resolution was set to 3.75 8 degrees longitude by 2.5 degrees latitude. We forced the model with 3 hourly reanalysis weather 9 data based on Sheffield et al. (2006). We used a 17 year recycled climate of 1948-1964 for the 10 spin-up and simulation years prior to 1948. Atmospheric CO₂ concentration was prescribed 11 with 284 ppm for model spin-up and based on ice core and atmospheric measurements for 12 transient simulations (Keeling et al., 2009). N deposition was set as natural background for 13 simulations before 1850 (Dentener and Crutzen, 1994), and interpolated linearly between the 14 natural background and a snapshot of contemporary (1995) deposition (Dentener et al., 2006) 15 for simulations after 1850. Soil pH was prescribed and derived from the Harmonized World Soil Database (HWSD) version 1.1, the same as NACP model driver data (Wei et al., 2014). 16 17 The model was spun up from bare ground without C-N interactions for the first 68 years and with C-N interactions for the following 1200 years to develop and equilibrate C and N stocks. 18 19 To accelerate the spin-up process, slow litter and soil C and N pools were set to the equilibrium 20 values based on litterfall inputs and decomposition/leaching rates every 17 years. We

- determined the model to reach a quasi-equilibrium state by confirming the drift to be less than 0.03 PgC yr⁻¹ for global C storage and 0.2 TgN yr⁻¹ for global N storage. From this quasi
- 23 equilibrium state, we initialized the global hindcast experiment starting from 1850 using the
- 24 corresponding climatic forcings, CO₂ and N deposition data. In the following analysis, we will
- 25 focus mostly on the last three decades (1970-2005).

26 **2.2.2 Sensitivity to soil water filled pore space (WFPS)**

27 While LM3V-N carries a simplified hydrology, we bracketed effects of soil moisture by

- 28 exploring the paremeterization of WFPS and by substituting the predicted soil moisture with 3-
- 29 hourly re-analysis data. Levels of soil water (in unit kg m⁻²) therefore stem from: (1) the
 - 11

1 simulated water content based on LM3V-N soil water module, hereafter LM3V-SM (2) the 2 Global Land Data Assimilation System Version 2 with the land surface model NOAH 3.3 3 (Rodell et al., 2004), hereafter NOAH-SM, and (3) the ERA Interim reanalysis dataset from 4 European Center for Medium range Weather Forecasting (ECMWF) (Dee et al., 2011), 5 hereafter ERA-SM. The latter two datasets integrate satellite and ground based obervations with land surface models. When overriding soil moisture, we linearly interpolated the 3 hourly data 6 7 onto the 30 minutes model time step. In these simulations, we allowed soil C and N dynamics to vary according to different soil moisture datasets, but kept the model prediction of soil water 8 9 to use for plant productivity and evapotranspiration.

10 Parameterization of the soil moisture effect on nitrification and denitrification are based on 11 WFPS. LM3V-N uses the concept of plant available water, where water that is available to 12 plants varies between the wilting point and field capacity. Water content above the available 13 water capacity (i.e., the difference between field capacity and wilting point) leaves the soil 14 immediately (Milly and Shmakin, 2002), and thus WFPS does not attain high values typically 15 observed during denitrification. To explore the effect of WFPS - soil moisture relationship on N₂O emissions, we calcuated WFPS using three methods. Method 1 assumes WFPS is the ratio 16 17 of available water and the available water capacity in the rooting zone. In Method 2 we assumed, 18 WFPS is the ratio of the water filled porosity and total porosity which is derived from bulk density (BD, in unit g cm⁻³). BD was obtained from the Harmonized World Soil Database 19 (HWSD) version 1.1 (Wei et al., 2014). The calculation is given by 20

21
$$WFPS = \frac{\frac{\theta}{\rho h_r}}{1-\frac{BD}{PD}}$$
 (22)

22 where θ (kg m⁻²) is the root zone soil water; h_r (m) is the effective rooting depth of vegetation; ρ is the density of water (1000 kg m⁻³); and PD is the particle density of soil (2650 kg m⁻³). 23 24 Method 1 generally leads to an overestimation of WFPS because the available water capacity 25 is smaller than total pore space. In contrast, the use of Method 2 with LM3V-SM creates an underestimation since water is not allowed to accumulate beyond field capacity and misses high 26 27 WFPS to which nitrification and denitrification are sensitive. Meanwhile, for NOAH-SM and ERA-SM data, Methods 2 is more close to the "real" WFPS and is the default method when 28 using these data sets. The third approach, which is also the default method with LM3V-SM that 29 is applied in the global hindcast experiment, the subsequent elevated CO₂ and temperature 30

responses experiment, and sensitivity tests with regard to N cycling, calculates WFPS as the
 average of the previous two methods.

3 For each soil moisture dataset (3 in total, 2 replacements and 1 simulated by LM3V-N), we 4 calculated WFPS using three methods mentioned above. We conducted transient simulations 5 with the nine different WFPSs (3 datasets × 3 methods) starting from the near equilibrium state 6 obtained in the global hindcast experiment in 2.2.1. The use of less realistic Method for WFPS 7 for each soil moisture driver (LM3V-SM, NOAH-SM and ERA-SM) offers insights of the 8 sensitivity of N2O emissions to soil moisture. The simulation procedure was the same as that in 9 global hindcast experiment except for the WFPS. ERA-SM is only available starting from 1979, 10 prior to which simulations were conducted with model default soil moisture (LM3V-SM). 11 Results from ERA-SM were analyzed starting from 1982, leaving a short period for adjustment.

12 2.2.3 Sensitivity to N cycling processes and parameterization

13 N2O emission is constrained by ecosystem availability of mineral N, which is linked to different 14 N cycling processes in addition to nitrification and denitrification processes. To test the 15 sensitivity of modelled N2O emission to the larger plant-soil N cycle, we conducted the 16 following sensitivity analyses, in form of a one at a time perturbation. We replaced the dynamic 17 BNF scheme with empirically reconstructed preindustrial fixation rates (Cleveland et al., 1999; 18 Green et al., 2004), removing the negative feedback between BNF and plant N availability. We 19 further shut off N loss pathways through DON leaching and fire volatilization (with 20 ash_fraction =1). We expect that these three modifications alleviate N limitation: Prescribed BNF may continuously add N beyond plant N demand. Further eliminating fire and DOM N 21 22 losses leave loss pathways that have to pass the available N pool thereby opening the possibility 23 of increasing gaseous losses. Further, removing these plant-unavailable pathways (Thomas et 24 al., 2015) increases N retention and opens the possibility of alleviating N limitation. In addition, 25 we modified key parameters related to general N cycling and N2O emissions one-at-a-time. We 26 multiplied several parameters that directly affect ammonium and nitrate concentration or N2O 27 fluxes by 10 (x10) or 0.1 (x0.1), while kept other parameters as defaults. Those parameters 28 control the active root N uptake rates (v_{max}), nitrification rate (k_n), denitrification rate (k_d , Kc, Kn) 29 and the fraction of net nitrification lost as N₂O (frac),

1 2.2.4 Responses to elevated CO₂ and temperature

2 Respones of N₂O emissions to atmospheric CO₂ and global warming have been reported at field 3 scale (Dijkstra et al., 2012; van Groenigen et al., 2011). Here, we evaluate the model's response 4 to step changes in form of a doubling of preindustrial CO₂ level (284 ppm to 568 ppm) and a 5 2K increase in atmospheric temperature. Starting from the same quasi-equilibrium state with potential vegetation as in the global hindcast experiment in 2.2.1, we conducted four transient 6 7 model runs: (1) the CONTROL run with the same drivers as spin-up; (2) the CO2_FERT run 8 with the same drivers as the CONTROL except a doubling of atmospheric CO₂ level; (3) the 9 TEMP run with the same drivers as the CONTROL except a 2K rise in atmospheric temperature; and (4) the CO2_FERT×TEMP run with both the doubling of CO2 and 2K rise in temperature. 10

11 For each experiment, we ran the model for 100 years and evaluated the corresponding results.

12 **2.3 Comparisons with observations**

13 We compared our model results for annual N₂O gas loss with field data: We compiled annual 14 N₂O emissions from peer-reviewed literature (see Appendix A for more information). To 15 increase the representativeness of the measurements, we included only sites with more than 3 16 months or 100 days experimental span. We limited our datasets where there was no reference 17 to a disturbance of any kind. Only locations with at least 50 years non-disturbance history for forests and 10 years for vegetation other than forests were included. The compiled 61 18 19 measurements cover a variety of spatial ranges with vegetation types including tropical 20 rainforest, temperate forest, boreal forest, tundra, savanna, perennial grass, steppe, alpine grass 21 and desert vegetation. Multiple measurements falling into the same model grid cell were 22 averaged. If the authors had indicated the dominant vegetation or soil type, we used the values 23 reported for the dominant type instead of the averaged. For multiyear measurements, even if 24 the authors gave the individual year's data, we averaged the data to avoid overweighting of long 25 term studies. If the location was between borders of different model grid cells, we averaged 26 across the neighboring grid cells.

We also compared monthly N₂O fluxes at a group of sites: (a) the Tapajós National Forest in Amazonia (3°S, 55°W), taken from Davidson et al. (2008); (b) the Hubbard Brook Experimental Forest in New Hampshire, USA (44°N, 72°W), as described in Groffman et al. (2006); (c) the cedar forest from Oita, Japan (33°N, 131°E), as described in Morishita et al.

(2007); (d) the *Leymus chinensis* (LC) and *Stipa grandis* (SG) steppe in Inner Mongolia, China
 (44°N, 117°E), taken from Xu-Ri et al. (2003); (e) the cedar forest in Fukushima, Japan (37°N,
 140°E), taken from Morishita et al. (2007); and (f) the primary (P1 and P2) and secondary (L1
 and L2) forests located at the Pasir Mayang Research Site (1°S, 102°E), Indonesia, taken from
 Ishizuka et al. (2002). In addition, daily measurements of soil temperature, soil moisture and
 N₂O emissions were compared at four German forest sites located in the same grid cell (50°N,
 8°E), as described in Schmidt et al. (1988).

8 3 Results

9 3.1 Global budget, seasonal and inter-annual variability

Our modelled global soil N₂O flux is 6.69±0.32 TgN yr⁻¹ (1970-2005 mean and standard 10 deviation among different years) (Fig.1) with LM3V-SM (Method 3, default method for 11 LM3V-N calculated soil moisture), 5.61±0.32 TgN yr⁻¹ with NOAH-SM (Method 2) and 12 13 7.47±0.30 TgN vr⁻¹ with ERA-SM (1982-2005, Method 2) which is within the range of reported values: The central estimate of N2O emission from soils under natural vegetation is 6.6 TgN yr-14 15 ¹ based on the Intergovernmental Panel on Climate Change (IPCC) AR5 (Ciais et al., 2013) (range, 3.3-9.0 TgN yr⁻¹) for the mid-1990s. Mean estimation for the period of 1975-2000 16 17 ranged from 7.4 to 10.6 TgN yr⁻¹ with different precipitation forcing data (Saikawa et al., 2013). 18 Xu-Ri et al. (2012) reported the decadal-average to be 8.3-10.3 TgN yr⁻¹ for the 20th century. Potter and Klooster (1998) reported a global mean emission rate of 9.7 TgN yr⁻¹ over 1983-19 20 1988, which is higher than the earlier version of their model (6.1 TgN yr^{-1}) (Potter et al., 1996). Other estimates include 6-7 TgN yr⁻¹ (Syakila and Kroeze, 2011), 6.8 TgN yr⁻¹ based on the O-21 22 CN model (Zaehle et al., 2011), 3.9-6.5 TgN yr⁻¹ for preindustrial periods from a top-down 23 inversion study (Hirsch et al., 2006), 1.96-4.56 TgN yr⁻¹ in 2000 extrapolated from field 24 measurements by an artificial neural network approach (Zhuang et al., 2012), 6.6-7.0 TgN yr-¹ for 1990 (Bouwman et al., 1995), and 7-16 TgN yr⁻¹ (Bowden, 1986) as well as 3-25 TgN yr⁻¹ 25 26 ¹ (Banin, 1986) from two earlier studies.

Following Thompson et al. (2014), El Niño years are set to the years with the annual
multivariate ENSO index (MEI) greater than 0.6. 1972, 1977, 1982, 1983, 1987, 1991, 1992,
1993, 1994, 1997 and 1998 were chosen as El Niño years. We detected reduced emissions

during El Niño years (Fig. 1), in line with the global atmospheric inversion study of Thompson
 et al. (2014) and the process based modelling study from Saikawa et al. (2013).

Figure 2 shows the simulated global natural soil N₂O emissions in 4 seasons averaged over the
period of 1970-2005 based on LM3V-SM (Method 3). The northern hemisphere displays a large
seasonal variability, with the highest emissions in the northern summer (JJA, June to August)
and lowest in winter (DJF, December to February). Globally, northern spring (MAM, March to
May) has the highest emission rate (2.07 TgN) followed by summer (1.89 TgN). The smaller
emissions in summer compared to spring stems from a reduced contribution of the southern
hemisphere during northern summer.

10 As expected, a large portion (more than 60%) of the soil N₂O fluxes have tropical origin (23.5 11 S to 23.5N), while emissions from cooler regions are limited by temperature and arid/semi-arid 12 regions by soil water. Our modelling results suggest year-round high emission rates from humid 13 zones of Amazonia, east central Africa, and throughout the islands of Southeast Asia, with small 14 seasonal variations (Fig. 2). Emissions from tropical savannah are highly variable, with locations of both high fluxes (seasonal mean $> 30 \text{ mgN m}^{-2} \text{ month}^{-1} \text{ or } 3.6 \text{ kg ha}^{-1} \text{ yr}^{-1}$) and low 15 fluxes (seasonal mean $< 1.3~mgN~m^{-2}$ month $^{-1}$ or 0.16 kg ha $^{-1}$ yr $^{-1}$). The simulated average 16 17 tropical emission rate is 0.78 kgN ha⁻¹ yr⁻¹ (1970-2005), within the range of estimates (0.2-1.4 kgN ha⁻¹ yr⁻¹) based on site-level observations from the database of Stehfest and Bouwman 18 (2006), but smaller than a more detailed simulation study (1.2 kgN ha⁻¹ yr⁻¹) carried out by 19 20 Werner et al. (2007). Our analysis here excluded land cover, land use changes and human 21 management impacts, while most of the observation-based or regional modelling studies did 22 not factor out those impacts. Our modelling result in natural tropics is comparable with another 23 global modelling study (average emission rate, 0.7 kgN ha⁻¹ yr⁻¹) (Zaehle et al., 2010), in which 24 the authors claimed they may underestimate the tropical N2O sources compared to the inversion 25 estimates from the atmospheric transport model TM3 (Hirsch et al., 2006).

26 3.2 Sensitivity to WFPS

The different parameterization of WFPS and the use of different soil moisture modeling and data allows to test the sensitivity of soil N₂O emissions to variable WFPS. Globally, emissions generally increase with WFPS (Fig. 3). WFPS derived from Method 1 is higher than that based on Method 2. Data-derived soil moisture datasets combined with different calculation methods together produced a range of 0.15-0.72 for the global mean WFPS (1982-2005). While mean

1 values greater than 0.6 (approximately field capacity) are less realistic, these high WFPS values 2 provide the opportunity to test the model's response to the soil moisture-based parameterization 3 of redox conditions in soils. Global soil N₂O emissions are highly sensitive to WFPS, with 4 approximately 0.25 TgN per year per 0.01 change in global mean WFPS in the range 0 to 0.6. The spatial and temporal characteristic of WFPS also matters. Emission rate from LM3V-SM 5 (Fig. 3 green cycle) is 1.13 TgN yr⁻¹ higher than that from NOAH-SM (Fig. 3 blue triangle), 6 while both model configuration have the same mean WFPS (ca. 0.21), highlighting effects of 7 regional and temporal differences between the soil moisture products. 8

9 3.3 Model-observation comparisons

10 Modelled N₂O emissions capture the average of cross-site observed annual mean emissions (0.54 vs. 0.53 kgN ha⁻¹ yr⁻¹ based on LM3V-SM) reasonably (Appendix A and Fig. 4a), but 11 12 spread considerably along the 1:1 line. The points deviating the most are from tropical forests, 13 with overestimations from montane tropical forest and underestimations from lowland tropical 14 forests if those measurements are representative of gridcell emissions. These patterns are similar as results from NOAH-SM (Appendix A and Fig. 4b) and ERA-SM (Appendix A and 15 Fig. 4c), except that the application of WFPS from NOAH-SM slightly underestimates the 16 observed global mean (0.54 vs. 0.47 kgN ha⁻¹ yr⁻¹ from NOAH-SM with WFPS based on 17 18 Method 2).

19 At the Tapajós National Forest, results from LM3V-SM capture some of the variations in N2O 20 fluxes, but the model is not able to reproduce the high emissions observed during spring (Panel 21 (a), Fig. 5), which might be caused by the underestimation of WFPS from models. We used a 22 total porosity of 0.62 (Davidson et al., 2004) to estimate root zone WFPS based on the reported 23 soil water content (Davidson et al., 2008). The average WFPS from observation is estimated to 24 be 0.49, which is higher than the modelled average of root zone WFPS for all 3 model 25 configurations (LM3V-SM₇ 0.27, NOAH-SM 0.30, and ERA-SM 0.37). WFPS varies between 26 < 0.05 and 0.45 in LM3V-SM (range from 0.20 to 0.36 in NOAH-SM and 0.30 to 0.41 in ERA-27 SM), and contrasts with observation that show seasonal variations with WFPS in the range of 28 0.37 to 0.58. At the Hubbard Brook Experimental Forest, the correlations between model results 29 and observations are 0.51 (LM3V-SM), 0.56 (NOAH-SM) and 0.62 (ERA-SM) for yellow 30 birch, 0.66 (LM3V-SM), 0.68 (NOAH-SM) and 0.70 (ERA-SM) for sugar maple, However, 31 the model is less robust in reproducing the magnitude of emission peaks. Groffman et al. (2006)

1 suggested high emissions of N_2O in winter were associated with soil freezing. However, the 2 model assumes little emissions when soil temperature is under 0 °C. In addition, observations 3 suggested N_2O uptake (negative values in Panel (b), Fig. 5) while the model does not 4 incorporate mechanisms to represent N2O uptake. At the Oita cedar forest, LM3V-N reproduces the seasonality of N₂O emissions accurately (Panel (c), Fig. 5). ERA-SM overestimates the 5 magnitude of N₂O fluxes from Inner Mongolia grassland, while the magnitudes produced from 6 7 LM3V-SM and NOAH-SM are comparable with observations. However, the timing of the 8 emission peaks are one or two month in advance in the model compared to observations (Panel 9 (d), Fig. 5). WFPS at a nearby meterological station fluctuated between 0 and 0.5 for 0-20cm 10 depth (Xu-Ri et al., 2003) which agrees with our values based on LM3V-SM and ERA-SM, but the range is lower for NOAH-SM (0.05 to 0.35). At the specific plots, Xu-Ri et al. (2003) 11 12 reported a mean WFPS of 0.32 in one plot (LC) and 0.20 from in the other plot (SG) for the 0 13 to 0.1 m depth interval which are close to ERA-SM and NOAH-SM (LM3V-SM 0.14, NOAH-SM 0.19, ERA-SM 0.30), however, no temporal information was provided for the specific sites. 14 15 At the Fukushima cedar forest, similar as at the Oita cedar forest, models are less robust at 16 capturing the magnitude of high peaks of N_2O emissions althoug the seasonality produced by 17 the model are good (Panel (e), Fig. 5). Emissions in the primary and secondary tropical 18 rainforest at the Pasir Mayang Research Site are highly variable, which makes the comparison 19 difficult (Panel (f), Fig. 5). LM3V-SM (but not ERA-SM and NOAH-SM) reproduces the low 20 emissions in September-November 1997 and the increase of emissions from secondary forests 21 in December, 1997. Overall, modeled variability is smaller compared to observation across 22 these sites.

23 The strong variability of measured N₂O emissions is further illustrated in Fig. 6. Differences in 24 measured N₂O fluxes between different forest sites are large, reflecting heterogeneity that is not 25 captured within one model grid cell. In addition, the error bars, which represent the standard deviation of measured N₂O fluxes at three different plots of the same forest, are large. The 26 standard deviation is as high as 49.27 µgN m⁻²h⁻¹, indicating the strong variability of measured 27 28 N₂O fluxes at the plot scale. Modeled N₂O fluxes are generally within the range of measured 29 N2O emissions. Model outputs slightly underestimate N2O emissions largely due to the 30 underestimation of soil water content (Panel (b) Fig. 6).

1 3.4 Sensitivity to N cycling processes and parameterization

2 Disallowing N losses through DON and fire volatilization enhance ecosystem N accumulation 3 and availability to plants and microbes, and therefore increases N₂O emissions (Panel (a), Fig.7). The gain in N₂O emissions from disallowing DON loss is small (0.12 TgN yr⁻¹). However, N₂O 4 emission is on average (1950-2005) increased by 3.63 TgN yr⁻¹ in the absence of fire 5 6 volatilization N loss (we note, that fires do occur, but N is retained as ash in the litter). The gain 7 is most evident in tropical regions (not shown), indicating the importance of fire in regulating ecosystem N status. Simulated preindustrial BNF is smaller than the empirical reconstructed 8 9 BNF (72 in LM3V-N vs. 108 TgN yr⁻¹ from empirical based data Green et al., 2004). However, BNF in LM3V-N increases with time under historical varying climate, increasing atmospheric 10 11 CO2 level and N deposition. The global average BNF duringsimulated for the period 1950-2005 12 is 100 TgN yr⁻¹, close to the empirical value. Neverthless, substitution of BNF in LM3V-N by empirical preindustrial value increased N₂O flux by 1.2 TgN yr⁻¹(Panel (a), Fig.7). 13

14 Among the specific parameters tested, N₂O emission is most sensitive to the 10 times change 15 (x10) of the fraction of net nitrification lost as N2O gas. The relative magnitude of N2O flux on 16 average (1950-2005) reaches 6.5 times of the default (Panel (b), Fig.7). Reduction (x0.1) of 17 maximum active plant N uptake strength (vmax) strongly increases N2O emissions (ca. by 3 times 18 of the default). Meanwhile, enhancement of vmax also increases N2O fluxes, reflecting the non-19 linear response of N₂O emissions to v_{max} . x10 in k_{n_x} the maximum nitrification rate k_{n_x} and 20 denitrification rate k_d increase N₂O emissions, while x0.1 decrease N₂O flux. N₂O increases 21 more with increasing k_d than with increasing k_n , whereas reduction of k_n (x0.1) produces a 22 stronger response than reduction of k_d . The half-saturation constant that represents the 23 regulation of labile carbon availability on denitrification rate, Kc, is the least sensitive parameter. 24 Meanwhile, reduction (x0.1) of the half-saturation constant Kn that represents the regulation of substrate availability on denitrification rate on average increased N2O fluxes by 4.5 TgN yr⁻¹ 25 (Panel (b), Fig.7). 26

27 3.5 CO₂ and temperature responses

Globally, N₂O emissions respond to a step CO₂ increase first with a decline to ultimately increased levels after approximately 40 years (Fig. 8a, black line). The simulated global response follows largely the behaviour as simulated for tropical forests (Fig. 8a, yellow line).
The shift from a negative to a positive response indicates possible competing mechanisms Formatted: Font: Not Italic

operating on different time scales. Field level experiments revealed the highly variable effects 1 2 of CO₂ fertilization on N₂O emissions. Based on a meta-analysis, van Groenigen et al. (2011) 3 suggested that elevated CO₂ significantly increased N₂O emission by 18.8%, while Dijkstra et 4 al. (2012) argued for a non-significant response in non-N-fertilized studies. In contrast to observation studies, the global C-N cycle model analyses from O-CN suggested negative CO2 5 fertilization effects on N2O emissions (Zaehle et al., 2011). The negative impacts (reduced N2O 6 7 flux), which are also reported in manipulative experiments, are likely from increased plant N 8 and immobilization demand under CO2 fertilization, reducing N availability for nitrifiers and 9 denitrifiers (Dijkstra et al., 2012). CO₂ fertilization on average (over 100 years) increased the 10 global mean plant nitrogen uptake rate by 10.02 kgN ha⁻¹ yr⁻¹, as shown in Fig. 9 (Panel (b)). 11 Modelled soil inorganic N content (ammonium and nitrate) is reduced at first, but the reduction 12 is not sustained. One mechanism to alleviate CO2 fertilization induced N limitation is through 13 BNF, which is on average (over 100 years) more than doubled (Fig. 9 Panel (e)). Similar to 14 manipulative field experiments (Dijkstra et al., 2012), positive effects (increase N₂O fluxes) 15 can result from the impacts of elevated CO₂ level to increase litter production (Fig. 9 Panel (a)) 16 and consequently C sources for denitrifiers, and to increase soil moisture (Fig. 9 Panel (d)) from 17 reduced stomatal conductance and leaf transpiration (Fig. 9 Panel (c)).With both positive and 18 negative mechanisms embedded in our model, the net effects depend on the relative strength of 19 the opposing forces.

20 Temperate deciduous forests, where most of the forest CO₂ fertilization experiments are 21 conducted, respond positively to elevated CO₂ level<u>levels</u> (Fig. 8a, green line). The slight 22 increase in modelled N2O emission are comparable with the mean response of field data 23 compiled for temperate forests (ca. 0.01-0.03 kgN yr⁻¹ ha⁻¹) (Dijkstra et al., 2012). A similar 24 positive response was detected for cold evergreen forests (Fig. 8a, pink line) with stronger 25 magnitude compared to temperate deciduous forests. For grasslands, Dijkstra et al. (2012) 26 reported small negative mean response from northern mixed prairie ($\Delta N_2 O$, ca. -0.01 to -0.03 kgN yr⁻¹ ha⁻¹), zero mean response from shortgrass steppe and positive mean response from 27 annual grassland (*ca.* 0.03-0.06 kgN yr⁻¹ha⁻¹). Our model shows a small negative mean response 28 29 from C4 grassland (Fig. 8a, cyan line) with the similar magnitude of that reported for the 30 Northern mixed prairie, where the composition of C4 grass varies (Dijkstra et al., 2012). A CO2 31 increase in C3 grassland initially reduces N₂O emission (Fig. 8a, blue line). However, this slight 32 negative response turns into a small positive within one decade.

1 Elevated temperature generally increases N₂O emissions except for the slight negative effect in 2 C4 grass (Fig. 8b). Overall the response to a 2 degree warming is bigger than that of doubling 3 of CO₂. The simulated temperature effects are more pronounced in the first decade and decrease 4 over time in tropical forests (Fig. 8b, yellow line), while for the temperate deciduous forests 5 (Fig. 8b, green line) and boreal forests (Fig.8b pink line), the temperature effects become more pronounced over time. Simulated temperate forest response (in the first decade) is close to that 6 7 of observed mean (ca. 0.2-0.5 kgN yr⁻¹ ha⁻¹) (Dijkstra et al., 2012). Our modelled slight negative response in C4 grass and positive in C3 grass are in alignment with data compiled by Dijkstra 8 9 et al. (2012) who reported both positive and negative responses in grasslands. 10 The results of combining CO_2 and temperature are similar to the CO_2 effect alone (Fig. 8c),

despite the fact, that the individual effect of temperature is much stronger than that of CO_2 . This antagonistic interaction (i.e. the combined enhancement in N₂O flux from elevated CO_2 and temperature are smaller than the summary of their individual effects) is also evident for C3

14 grass (first 50 years), temperate deciduous tree and cold evergreen forests (Fig. 8d).

15 4 Discussion

Our model combines two of the most widely applied biogeochemical models (DNDC and 16 17 CENTURY) with current advancements in field level studies. The model was capable of 18 reproducing the global mean natural N₂O emissions in other modeling and inverse methods, 19 and the average of observed cross-site annual mean behavior. By focusing on the role of soil 20 moisture in N₂O emissions, we found on a global scale a high dependence of simulated N₂O 21 emissions on soil moisture (WFPS), mainly driven by emissions from tropical regions. The 22 model broadly reproduced the magnitude and direction of responses to elevated CO₂ and 23 temperature from manipulative field experiments where data is avilable. Both the global total 24 emission as well as the global response to temperature and CO₂ increase followed largely the 25 response of tropical forests, where field experiments are rare and no evaluation of CO2 26 responses exist.

Soil moisture is a key variable in climate system but difficult to derive or measure at the global scale (Seneviratne et al., 2010). Our modelled fluxes were highly sensitive to WFPS, which is in agreement with observation and model synthesis studies (Heinen, 2006;Butterbach-Bahl et al., 2013). The large range when calculatingCalculating WFPS from different methods resulted in a difference of more than 5 TgN yr⁻¹ in global soil N₂O fluxes. Saikawa et al. (2013) found

an up to 3.5 TgN yr⁻¹ gap induced by different precipitation forcing data from CLMCN-N2O.
 It is difficult to single out the difference caused by soil moisture alone from their results.
 Nevertherless, those two studies did suggestour and previous results highlight the importance
 of improving the dynamics of soil water and representation of WFPS for the purpose of
 predicting soil N₂O emission and climate feedbacks.

6 The root zone soil water in LM3V-N is based on a single layer bucket model. This simplified 7 treatment of soil water dynamics may increase the difficulty in reproducing the temporal and 8 spatial dynamics of WFPS. As a first step, we used the average between the original analog in 9 LM3V-N and a formulation that was derived from soil total porosity to account for actual soil 10 moisture and the possibility of soil water above field capacity. Meanwhile, overriding soil 11 moisture with data-derived products (NOAH-SM and ERA-SM) suggests that the most realistic 12 average (1970-2005) soil N₂O emission is in the range of 5.61-7.47 TgN yr⁻¹. However, despite 13 using data-derived soil moisture, it appears that the prediction of soil moisture is an impediment 14 towards validating N2O emissions at field scale- for both LM3V-N simulated and reanalysed 15 soil moisture. If evaluated against field data, the model was capable of representing the mean 16 across sites and to a certain degree also compared adequately with site-specific time series. 17 However, across the models there are differences between sites model and data (Fig. 4) and 18 alsoparticularly peak emissions were poorly represented in the model (Fig. 5), and they can at 19 least partly be attributed to mismatches in WFPS. Overall, comparison against field data revealed that the model's variability is smaller compared to observation for both across field 20 21 sites (Fig. 4) and at different sites (Figs. 5 and 6). One of the reason for this shortcoming may be that fast transitions, such as freeze-thaw cycle (Groffman et al., 2006) and pulsing (Yienger 22 23 and Levy, 1995) are not sufficiently captured.

24 Perhaps equally important to address in future analysis, is the tremendous variability of N2O 25 emissions from site to site within the same region (see Fig. 6) This field-scale variability highlights the complexity of microscale interactions for N₂O production, which creates 26 27 notorious large spatial and temporal variabilities and are undoubtedly difficult to constrain even 28 at the stand level (Butterbach-Bahl et al., 2013). The homogeneous representation of 29 environmental drivers within model grid cells casts doubt on site-specific model-observation 30 comparison in global simulations. For example, N₂O emissions vary with topography which 31 are not treated explicitly in most of the global C-N models. 3.8 times difference was detected 32 in a montane forest (Central Sulawesi, Indonesia) moving from 1190 m to 1800m (Purbopuspito

et al., 2006), and 4.3 times difference was found from a tropical moist forest (Brazilian Atlantic
 Forest) with the altitude changing from 100m to 1000m (Sousa Neto et al., 2011).

3 Globally, N₂O emissions from nitrification-denitrification were similar to O-CN and LPJ-DyN as they are all derived from DNDC's formulation- (Xu-Ri et al., 2012; Zaehle et al., 2011). 4 5 Embedding an established N2O emission module into LM3V-N enables evaluation of the 6 response of N₂O emissions under different assumptions across models with respect to the 7 dynamics of the larger plant-soil N cycle. Generally higher inputs from BNF or restriction of 8 losses through organic N (fire, DON) enhance N₂O emissions. The representation of BNF in 9 models requires improvement-but: currently, simple empirical relationships are used, yet BNF 10 is the largest source of new N in terrestrial systems, and therefore is critical in the determination 11 of N availability to nitrifiers and denitrifiers. Here we showed here-that different 12 implementations of BNF (prescribed vs. responsive to plant N demand) are globally important 13 for N₂O emissions. Similarly, the magnitude of N lost through fire impacted N₂O emissions in 14 fire prone regions, while N emission factors are poorly constrained globally (Andreae and 15 Merlet, 2001). The strength of plant uptake of N posed a strong constraint on the availability of 16 N for nitrification-denitrification losses as it can draw down N substantially (Gerber and 17 Brookshire, 2014). A reduction of plant uptake strength allows for relatively more N allocated 18 for denitrification. More surprising was the positive effect of a stronger plant uptake capacity 19 on N₂O emissions: Enhanced plant uptake allow increased vegetation production and N 20 throughput through litterfall and mineralization in the long run, which ultimately may allow 21 higher N₂O losses. In addition to those N cycling processes, N₂O emissions were highly 22 sensitive to the fraction of N lost as N₂O during net nitrification. The fraction of N₂O lost during 23 net nitrification is uncertain. Goodroad and Keeney (1984) suggested a value of 0.1-0.2%, 24 while Khalil et al. (2004) reported a range of 0.16%-1.48% depending on the O₂ concentration. 25 We applied a global constant of 0.4% in our default simulation, bearing in mind the large 26 uncertainties associated with this parameter.

Our results showed that tropical forests play a major role in both rates of emission and responses to perturbations. Tropical forests contributed with more than 60% to the global soil N₂O fluxes. El Niño events triggered reduced soil N₂O emissions that are in our simulations similar to earlier estimates (Saikawa et al., 2013; Thompson et al., 2014). El Niño events are known to have induced several of the most well known large scale droughts and altered soil moisture dynamics (Schwalm et al., 2011) in the tropics. Tropical forest N₂O emissions were highly correlated with

root zone soil water content and contributed strongly to the global-scale fluxes of N₂O in our 1 2 model. Similarly, global responses to elevated CO2 and temperature were dominated by the 3 tropical response. In contrast to temperate and boreal forests, tropical forests responded 4 negatively to elevated CO_2 in the first few decades. The initial negative response of N_2O emissions to CO2 fertilization in tropical forests produced by LM3V-N stemmed largely from 5 increased demand and uptake of mineral N due to enhanced vegetation growth under elevated 6 7 atmospheric CO_2 level. Consequencely, less N is available for gaseous losses as the N cycle 8 tightens. If gross mineralization is used as an indicator of the rate of N flow in the "hole-in-the-9 pipe" concept and gaseous losses are proportional to mineralization, the initial negative response 10 is unlikely to be detected. We found increased mineralization rate with increased litterfall under elevated CO2, while N availability is reduced from LM3V-N. The mineralization based 11 approach is likely to predict an inrease of losses regardless of N limitation. 12

The marked decrease in our simulation for the tropcial forests also contrasts somewhat findings from manipulative field experiments where CO₂ enrichment caused decrease, <u>unchangedno</u> <u>change</u> or increase across extratropical ecosystems (Dijkstra et al., 2012;van Groenigen et al., 2011), whereas no empirical evidence is available in tropical forests. Overall, the marked differences between tropics and extratropics in the response to environmental forcing, and the large contribution of tropical forests to global N₂O emissions suggests caution when extrapolating field studies mostly carried out in extraropical regions to the globe.

20 Based on single factor analysis with LM3V-N, the initial response of N_2O emission to a 21 temperature increase was much larger than the response to increase increased atmospheric CO₂ 22 (Fig. 8). However, we found large interactions between warming and CO₂ fertilization, in that 23 the combined effect much more resembled the CO₂ effect alone. This interaction is the result 24 of two antagonistic responses where a warming lead to increased N mineralization and potential 25 N surplus, whereas a CO₂ increase fostered plant N demand that competed with microbial N₂O production. While these mechanisms are part of most models, both comparison against different 26 27 models show notable differences when analyzing these two opposing effects. For example, 28 Stocker et al. (2013) found that under future climate change scenarios, CO₂ and climate effects 29 are amplifying each other, in accordance with earlier model experiments (Xu-Ri et al., 2012). 30 In LM3V-N we find that these interactions are negative. On the other hand, simulations with 31 O-CN (Zaehle et al., 2011) showed the marginal effects of CO2 and climate to be approximately 32 equal and of opposite. The marginal effects in sign for historic simulations covering the

modeling setup of Zaehle et al. (2011) compare best with our past 300 years that also include 1 2 land-cover changes. They evaluated the effect of climate change as the difference between a 3 simulation that consider both CO2 and climate and a simulation that does not consider climate 4 change. Thus their climate effect contains both the single effect for CO2, while for of climate, 5 it is and the combination of interaction of climate with CO2. The temperature and interaction (i.e. climate) response on top of CO₂ can in our simulation be calculated as the temperature 6 7 effect plus the interaction effect (Fig. 8). Analyzed in their this fashion, LM3V-N's results are 8 congruent with those of Zaehle et al. (2011), albeit we found a slightly weaker temperature 9 effect compared to CO₂. ThisOver time, the initial response then transitions into a much larger 10 CO2 effect, while the response to temperature diminishes. This long-term response of a positive 11 CO₂ effect can be expected in a model that strongly retains N under limiting conditions such as 12 LM3V-N. Retention ultimately allows build-up of N stocks, thereby alleviating limitation and 13 increasing the substrate for nitrifiers and denitrifiers. This transition into a positive CO₂ 14 response was likely facilitated by up-regualtion of BNF (Figure 9), which acts to reduce 15 ecosystem N deficits and plant N demand in medium- to long-term. Up-regulation is expected 16 to be much weaker or absent in models where BNF is parameterized based on 17 evapotranspiration (Thomas et al., 2015). We realize that strong interactions as found here and 18 elsewhere (Xu-Ri et al., 2012; Stocker et al., 2013) may also play out when other factors are 19 considered (Brown et al., 2012), including N deposition, precipitation and land usecover change 20 (disturbance)... Therefore some of the discrepancy with other models may be caused by 21 differences in the modeling setup. In addition, step changes in atmospheric CO₂ and 22 temperature compared to gradual and sustained increases may also lead to differences. Yet 23 applying step changes is useful to test our conceptual understanding and may help explain the 24 discrepancy between the previous modeling study and meta-analysis of manipulative field 25 experiments with regard to CO₂ fertilization responses (Zaehle et al., 2011; van Groenigen et al., 2011) 26

27 5 Conclusions

We present estimates of terrestrial soil N₂O fluxes under natural vegetation (1970 to 2005) based on existing N₂O emission formulations embedded into the global C-N cycle model LM3V-N. To determine the sensitivity of the modelling result to soil water (WFPS), we replaced the root zone soil water with two other derived datasets and altered the way in which WFPS is calculated. Our best estimate of modelled global soil N₂O flux is 5.61-7.47 TgN yr⁻¹

1 (1970-2005), within the range of current understanding of soil N_2O emissions, but highly 2 sensitive to WFPS, general N cycling and parameterization of N2O losses through nitrification 3 and denitrification. Comparison against field experiments suggests that LM3V-N was able to 4 capture mean values, although site-to-site and temporal mismatches remained. Given the 5 sensitivity of N2O emissions to WFPS, improvements in soil hydrology are likely to improve 6 soil N2O emission estimates. As expected, we found that processes in the model that alleviate 7 ecosystem N limitation, such as reduced N losses through fire volatilization and increased N 8 inputs through higher biological nitrogen fixation (BNF) rate, enhance N2O emissions. 9 Responses to CO₂ and temperature perturbations showed differences compared to other models. 10 In particular elevated CO₂ curbs N₂O emissions sharply initially, but this negative response is alleviated after a few decades, likely in conjunction with fast N replenishment from up-11 12 regulated BNF. Our sensitivity analysis and the comparison with other models showed shows 13 that existing parameterizations of processes of the larger plant-soil N cycle affect fast N cycle 14 processes such as as evidenced by the response to the fire and BNF modification. This 15 sensitivity can lead to differences in N2O across models (e.g. in the response to CO2 and climate) 16 even if existing nitrification-denitrification lead to distinct and new results if the larger plant-17 soil N cycle is treated differently. More importantlyschemes are identical. Further, our work 18 suggests a strongmuch stronger response to warming and CO2 in tropical forests, where few 19 manipulative compared to extratropical forest, thus extrapolation of mostly extra-tropical field 20 studies have been carried out.to the globe warrants caution.

21

1 Appendix A: Observed annual N₂O fluxes data

2 Annual N₂O fluxes data were compiled from peer-reviewed literature. We applied simple

3 selection criteria (see the main text) to reduce the mismatches between model outputs and field

4 measurements, bearing in mind the gaps between complex field conditions and idealized model

5 forcings. Latitutes (Lat) and longitudes (Lon) in Table A1 are based on model grids.

6 Table A1 Observed annual N₂O emission data for model comparison

No	Country	Lon	Lat	Location	Veg Type	N ₂ O kgN ha ⁻	¹ yr ⁻¹			Reference
						OBS	LM3V-N	NOAH	ERA	
1	Australia	133.1	-12.3	Douglas Daly region	Savanna	0.02	0.15	0.25		Grover et al. (2012)
2	Australia	148.1	-37.3	Moe	Temperate forest	0.11	0.58	0.74	0.72	Khalil et al. (1990)
3	Australia	151.9	-27.3	South-east Queensland	Tropical forest	0.52	0.01	0.03		Rowlings et al. (2012)
4	Austria	16.9	47.8	Klausenleopoldsdorf	Temperate forest	0.62	0.64	0.52	0.53	Kesik et al. (2005)
5	Austria	9.4	47.8	Achenkirch	Temperate forest	0.35	0.54	0.48	0.47	Kesik et al. (2005)
6	Austria	13.1	47.8	Innsbruck Schottenwald and	Temperate forest	0.08	0.42	0.36	0.31	Henrich and Haselwandter (1997)
7	Austria	16.3	48.2	Klausenleopoldsdorf	Temperate forest	0.76	0.61	0.54	0.53	Kitzler et al. (2006)
8	Brazil	-61.9	-2.3	Manaus	Tropical rain forest	1.9	1.6	1.68	1.56	Luizao et al. (1989)
9	Brazil	-61.9	-2.3	Manaus	Tropical rain forest	1.930	1.71	1.74	1.55	Keller et al. (1986)
10	Brazil	-54.4	-4.8	East-central Amazonia	Tropical rain forest	2.1	1.34	2.19	1.57	Davidson et al. (2008)
11	Brazil	-46.9	-2.3	Paragominas	Rainforest	2.430	1.22	1.19	1.11	Verchot et al. (1999)
12	Burkina Faso	-1.9	10.3	Ioba	Savanna	0.6	0.03	1.32		Bruemmer et al. (2008)
13	Canada	-80.6	50.3	Ontario	Boreal forest	0.04	0.11	0.14	0.12	Schiller and Hastie (1996)
14	Canada	-106.9	52.8	Saskatchewan	Boreal forest	0.28	0.01	0.01	0.01	Simpson et al. (1997)
15	Canada	-103.1	52.8	Saskatchewan	Boreal forest	0.07	0.21	0.17		Matson et al. (2009)
16	Canada	-106.9	52.8	Saskatchewan	Boreal forest	0.09	0.01	0.01		Matson et al. (2009)
17	Canada	-73.1	45.3	Mont St. Hilaire	Temperate forest	0.42	0.54	0.46		Ullah and Moore (2011)
18	China	91.9	35.3	Tibet	Alpine grassland	0.07	0	0	0	Pei (2003)
19	China	125.6	40.3	Changbai mountain	Alpine tundra, temperate forest	0.56	0.73	0.64	0.45	Chen et al. (2000)
20	China	114.4	42.8	Inner mongolia	Temperate forest	0.73	0.1	0.14	0.71	Du et al. (2006)
22	China	133.1	47.8	Sanjiang Experimental Station	Freshwater marshes	0.21	0.34	0.35	0.34	Yu et al. (2007)
23	Denmark	13.1	55.3	Solo	Temperate forest	0.29	0.27	0.42	0.06	Kesik et al. (2005)
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24	Denmark	13.1	55.3	Denmark	Temperate forest	0.52	0.28	0.37	0.05	Struwe and Kjoller (1989)
25	Ecuador	-80.6	-4.8	Bombuscaro	Tropical forest	0.3	1.02	0		Wolf et al. (2011)
26	Finland	24.4	60.3	Southern	Boreal forest	0.78	0.62	0.35	0.17	Maljanen et al. (2006)
27	Germany	9.4	50.3	Average	Temperate forest	0.57	0.6	0.53	0.5	Templer et al. (2012)
28	Germany	9.4	52.8	Kiel	Temperate forest	0.4	0.48	0.53	0.52	Mogge et al. (1998)
29	Germany	9.4	47.8	Southwest	Temperate forest	0.93	0.56	0.51	0.49	Jungkunst et al. (2004)
30	Germany	13.1	47.8	Höglwald	Temperate forest	0.41	0.47	0.4	0.39	Luo et al. (2012)
31	Germany	9.4	52.8	Average	Temperate forest	0.66	0.44	0.5	0.5	Brumme et al. (1999)
32	Germany	9.4	52.8	Harz mountains	Mire	0.25	0.48	0.56	0.52	Tauchnitz et al. (2008)
34	Indonesia	103.1	-2.3	Jambi	Lowland tropical rainforest	0.260	0.44			Ishizuka et al. (2002)
35	Indonesia	121.9	-2.3	Central Sulawesi	Tropical seasonal rain forest	0.800	1.73	2.31	1.7	Purbopuspito et al. (2006)
36	Indonesia	114.4	-2.3	Central Kalimantan	Tropical forest	2.51	2	2.45	1.73	Takakai et al. (2006)
37	Italy	9.4	45.3	P.Ticino BoscoNegri	Temperate forest	0.18	1.38	2.8	1.82	Kesik et al. (2005)
38	Malaysia	110.6	-2.3	Sarawak	Mixed peat swamp forest	0.7	0.66	0.65	0.57	Melling et al. (2007)
39	New Zealand	170.6	-44.8	New Zealand	Temperate forest	0.01	1.24	2.84	1.24	Price et al. (2004)
40	Norway	9.4	60.3	Norway	Temperate forest	0.73	0.52	0.52	0.38	Sitaula et al. (1995)
41	Panama	-80.6	7.8	Gigante Peninsula	Tropical forests	1.6	0.2	0.39	0.39	Koehler et al. (2009)
42	Sweden	13.1	57.8	Southwestern	Temperate forest	0.07	1.86	1.67		Klemedtsson et al. (1997)
43	Sweden	13.1	57.8	Asa experimental forest	Undrained bog	0.65	0.36	0.45	0.36	von Arnold et al. (2005)
44	UK	-1.9	55.3	Northumberland	Grassland	0.3	0.4	0.5	0.41	Ball et al. (2007)
45	USA	-73.1	42.8	Harvard forest	Mixed hardwood	0.04	0.56	0.54	0.48	Bowden et al. (1990)
46	USA	-73.1	40.3	New York	Temperate forest	0.9	0.4	0.49	0.41	Duxbury et al. (1982)
47	USA	-80.6	25.3	Florida	Marsh	1	0.45	0		Duxbury et al. (1982)
48	USA	-73.1	42.8	New Hampshire	Temperate forest	0.070	0.64	2.15		Groffman et al. (2006)
49	USA	-106.9	35.3	New mexico	Temperate forest	0.06	0.41	0.51	0.43	Matson et al. (1992)
50	USA	-118.1	45.3	Washington	Temperate shrub-steppe	0.15	0.02	0.02	0.02	Mummey et al. (1997)
51	USA	-114.4	37.8	Mojave desert	Perennial grasses	0.11	0.02	0.02	0.02	Billings et al. (2002)
52	USA	-106.9	40.3	Wyoming	Sagebrush steppe	0.21	0.01	0.02	0.03	Matson et al. (1991)
53	USA	-73.1	45.3	Northeastern	Temperate forest	0.18	0.05	0.04	0.05	Castro et al. (1992)
54	USA	-69.4	45.3	Northeastern	Temperate forest	0.03	0.53	0.46	0.44	Castro et al. (1992)
55	USA	-103.1	40.3	Colorado	Temperate steppe	0.14	0.37	0.53	0.4	Mosier et al. (1996)
56	USA	-88.1	42.8	Wisconsin	Grass	0.040	0.03	0.05	0.05	Cates and Keeney (1987)
57	USA	-114.4	37.8	Nevada	Mojave desert	0.11	0.45	0.45		Billings et al. (2002)

										Guilbault and Matthias
58	USA	-110.6	32.8	Arizona	Sonoran desert	0.4	0.04	0.04	0.05	(1998)
59	USA	-118.1	45.3	Ft. Collins, Colorado	Temperate grassland	0.12	0.01	0.03	0.03	Parton et al. (1988)
60	Venezuela	-61.9	10.3	Venezuela	Savana	0.73	0.06	0.07	0.07	Simona et al. (2004)
61	Zimbabwe	31.9	-17.3	Harare	Miombo woodland savanna	0.51	0.83	1.61	0.57	Rees et al. (2005)

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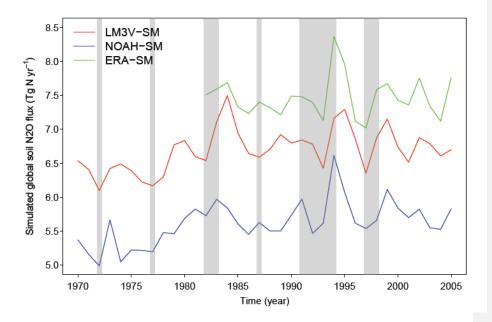
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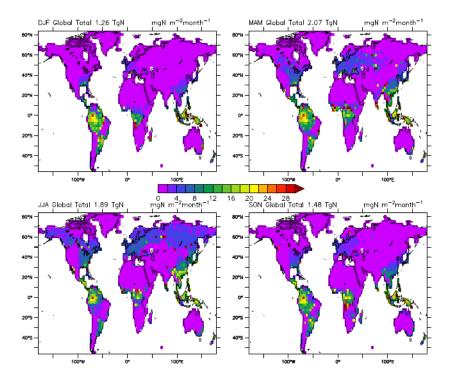
1 Figures and Tables



2

Figure 1. Simulated annual global soil N₂O emissions based on potential vegetation (19702005). Shaded grey area indicates El Niño years with the annual multivariate ENSO index (MEI)
greater than 0.6. Colours refer to different soil moisture dataset used in the estimation: red for
LM3V-SM (with WFPS calculated by Method 3); blue for NOAH-SM (Method 2) and green
for ERA-SM (Method 2). Details for these soil moisture dataset and WFPS calculating methods
is available in the main text.

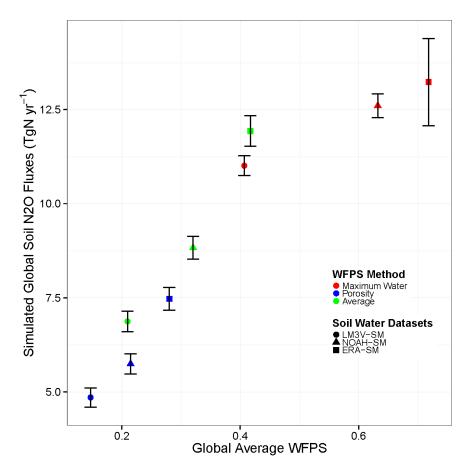
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2 Figure 2. Global seasonal mean soil N₂O emissions (with potential vegetation) averaged over

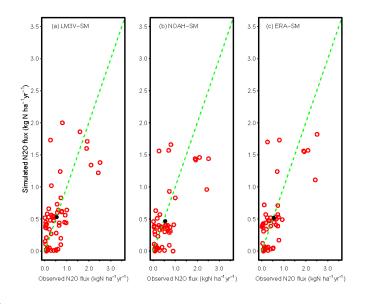
- 3 the years 1970-2005. DJF (December, January and February), stands for Northern
- 4 Hemisphere Winter; MAM (March, April and May) for Spring; JJA (June, July and August)
- 5 for Summer; and SON (September, October and November) for Autumn.

6



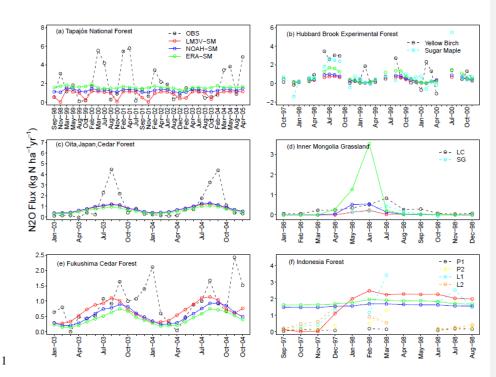
2 Figure 3. Sensitivity of simulated global soil N₂O emissions (with potential vegetation) to

- 3 water filled pore space (WFPS). The x-axis is the WFPS averaged globally over 1982-2005;
- $4 \qquad the y-axis \ represents \ the \ corresponding \ global \ total \ N_2O \ fluxes. \ A \ total \ of \ nine \ sets \ of \ WFPS$
- 5 are obtained through either different soil water datasets (colours) or varied calculation
- 6 methods (symbols). Maximum water, porosity and average correspond to method 1, method 2
- 7 and method 3 in the main text, respectively. Coloured symbols represent means and error bars
- 8 indicate interannual standard deviations.





2 Figure 4. Observed vs. simulated annual N2O emissions from natural soils. Dashed green lines 3 are the 1:1 lines. The solid circles represent the overall means. Different panels represent 4 simulations with different soil moisture data: (a) LM3V-SM (simulated by LM3V-N); (b) 5 NOAH-SM (based on land surface model NOAH 3.3 in Global Land Data Assimilation System Version 2); and (c) ERA-SM (reanalysis data from ECMWF). Water filled pore space (WFPS) 6 7 is calculated using the average of the one based on available water capacity and the one based 8 on the total porosity (Method 3, see the main text for detailed description) for panel (a); and 9 using the total porosity (Method 2) for panel (b) and (c).



2 Figure 5. Observed vs. simulated monthly N2O emissions at (a), the Tapajós National Forest in 3 east-central Amazonia (3°S, 55°W), taken from Davidson et al. (2008); (b), the Hubbard Brook 4 Experimental Forest in New Hampshire, USA (44°N, 72°W), taken from Groffman et al. (2006); 5 (c), a cedar forest at Oita, Japan (33°N, 131°E), taken from Morishita et al. (2007) ; (d), the Leymus chinensis (LC)and Stipa grandis (SG) steppe in Inner Mongolia, China (44°N, 117°E), 6 7 taken from Xu-Ri et al. (2003); (e), a cedar forest in Fukushima, Japan (37°N, 140°E), taken 8 from Morishita et al. (2007); and (f), the primary (P1 and P2) and secondary (L1 and L2) forests 9 located at the Pasir Mayang Research Site, Indonesia, taken from Ishizuka et al. (2002) (1°S, 10 102°E). Shown are modeled results from three WFPS schemes (LM3V-SM, NOAH-SM and ERA-SM) the same as in Figure 4. 11

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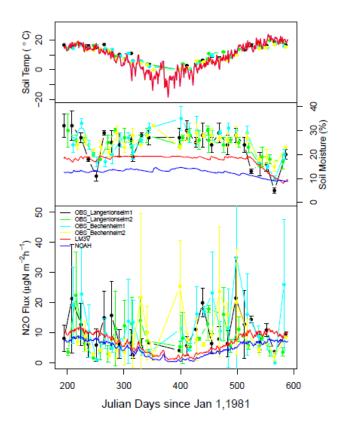


Figure 6. Comparison of (a) soil temperature (2cm from observation and 1 cm from model)
in °C; (b) soil moisture (2cm from observation and root zone from model) in % and (c) soil
N₂O emissions in µgN m⁻² h⁻¹ from observations and model outputs at four forest sites from
Germany (50°N, 8°E), taken from Schmidt et al. (1988). Shown are modeled results from two
WFPS schemes (LM3V-SM and NOAH-SM) similar as in Figure 4.

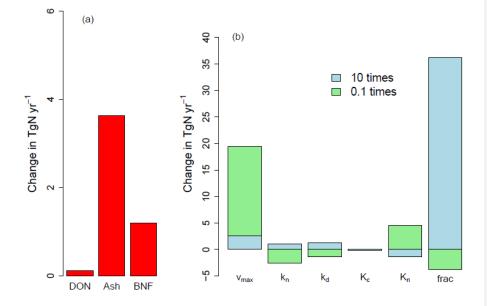
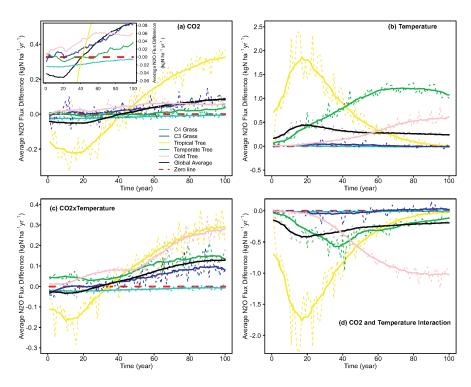


Figure 7. Changes in simulated global average N₂O (1950-2005) emissions from modifying general N cycling processes (a) and model parameters one-at-a-time (b). Altered processes include disallowing N losses through dissolved organic matter (DON in (a)) and fire volatilization (Ash in (a)), and replacing simulated biological N fixation with preindustrial N fixation rate (BNF in (a)). Parameters include: v_{max} , the maximum active N uptake rate per unit root biomass; k_n , the optimum nitrification rate; k_d , the optimum denitrification rate; Kc and Kn, the half saturation constants for labile C availability and nitrate respectively; and *frac* is the fraction of net nitrification lost as N2O. Parameters are either increased by multiplying 10 (lightblue) or reduced by multiplying 0.1 (lightgreen) relative to the defaults .



1

2 Figure 8. Soil N₂O emissions in response to step increases in atmospheric CO₂ and temperature. 3 Panel (a) is the response to CO_2 fertilization alone, expressed as the difference between CO_2 4 increased run and the control run (CO2_FERT - CONTROL), the inset zooms into the y axis 5 (flux difference) around zero; Panel (b) is the response to temperature increase alone (TEMP-6 CONTROL); Panel (c) is the combined response to both CO₂ enrichment and temperature rise 7 (CO2_FERT*TEMP-CONTROL); and Panel (d) is the interactive effect of CO2 and 8 temperature responses, which is the difference between the combined (results from Panel (c)) 9 and minus the individual responses (results from Panel (a) and (b)). Results are shown as annual 10 values (thin dashed lines) and as running average with a moving window of 17 years (period of 11recycled climate forcing, thick solid lines). The black lines represent the global average 12 response. Coloured lines indicate responses for biome as represented by each plant functional 13 type (PFT) considered in LM3V-N: C4 grass (cyan), C3 grass (blue), tropical forest (yellow), 14 temperate deciduous forest (green) and cold evergreen forest (pink). Dashed red line represents 15 the zero line.

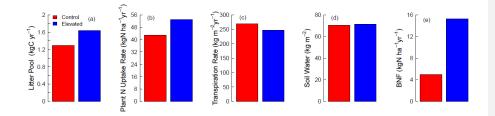




Figure 9. CO₂ fertilization effects (no temperature change) on litter pool size (Panel (a)), plant nitrogen uptake rate (Panel (b)), canopy transpiration rate (Panel (c)), soil water content in the root zone (Panel (d)) and biological nitrogen fixation (BNF) rate (Panel (e)). Shown are the 100-year average of global means (spatial) for control (284 ppm, red) and with elevated CO₂ (568 ppm, blue).

8 Table 1 Texture dependent parameter *k*, which partitions N2O/N2 gas fractions during
9 dentirification, estimated from Del Grosso et al. (2000)

Soil Texture	Coarse	Medium	Fine	Coarse medium	Coarse/ fine	Medium/ fine	Coarse/ medium/ fine	Organic
k	2	10	22	6	12	16	11	2

10