

1 **Living (Rose Bengal stained) benthic foraminiferal faunas along a**  
2 **strong bottom-water oxygen gradient on the Indian margin**  
3 **(Arabian Sea)**

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16

17 **Abstract**

18 Rose-Bengal-stained foraminiferal assemblages (> 150µm) were analysed along a five-station  
19 bathymetric transect across the core and the lower part of the oxygen minimum zone (OMZ)  
20 on the Indian margin of the Arabian Sea. Sediment cores were collected using the manned  
21 submersible *Shinkai 6500* during RV *Yokosuka* cruise YK08-11 in the post-monsoon season  
22 (October 2008) at water depths ranging from 535 to 2000 m, along a gradient from almost  
23 anoxic to well-oxygenated (0.3 µM to 108 µM) bottom waters. Stained benthic foraminifera  
24 were investigated from two different size fractions (150-300 µm and >300 µm). Stained  
25 foraminiferal densities were very high in the core of the OMZ (at 535 and 649 m) and  
26 decreased at deeper sites. The faunas (> 150 µm) were dominated (40-80%) by non-  
27 calcareous taxa at all stations. These were mainly species of *Reophax* and *Lagenammia* but

28 also included delicate monothalamous taxa (organic-walled ‘allogromiids’, agglutinated  
29 saccamminids, psammosphaerids and tubular forms). These new data from the Indian margin  
30 are compared to previous studies from the Murray Ridge, the Pakistan margin and the Oman  
31 margin. The fact that similar species were found at sites with comparable bottom-water  
32 oxygen concentrations but with very different surface water productivity suggests that, within  
33 the strongly developed Arabian Sea OMZ, bottom-water oxygen concentration, and not the  
34 organic flux to the sea floor, is the main factor controlling the species composition of the  
35 foraminiferal communities. Several foraminiferal species (e.g. *Praeglobobulimina* sp. 1,  
36 *Ammodiscus* sp. 1, *Bolivina* aff. *dilatata*) were confined to the core of the OMZ. These  
37 species are presently known only from the Arabian Sea. Because of their association with  
38 extremely low-oxygen concentrations, these species may be good markers for very low  
39 oxygen concentrations, and could be used to reconstruct past OMZ variability in the Arabian  
40 Sea.

41

## 42 **1. Introduction**

43 The ocean floor hosts rich and diverse micro-, meio- and macrofaunal communities. The  
44 distribution and abundances of these faunas are controlled by many environmental and  
45 physical parameters that change from the continental shelf to the deep ocean. In particular,  
46 organic-matter fluxes and bottom-water oxygenation exhibit drastic changes between oceanic  
47 basins. Strong gradients in both parameters are found in oxygen minimum zones (OMZ;  
48 defined by permanent hypoxia, with dissolved oxygen concentrations  $<22 \mu\text{M}$ ; Levin, 2003).  
49 These mid-water features impinge on the continental slope at upper bathyal depths in the  
50 eastern Pacific, the northern Indian Ocean (Arabian Sea and Bay of Bengal), and to a lesser  
51 extent off southwest Africa (Helly and Levin, 2004; Paulmier and Ruiz-Pino, 2009). Many  
52 studies have described dense biotic communities within OMZs, despite the low oxygen  
53 concentrations (e.g., Wishner et al., 1990; Levin et al., 1991; Jannink et al., 1998; Gooday et  
54 al., 2000, 2009; Levin et al., 2000; Levin, 2003a; Schumacher et al., 2007; Mallon et al.,  
55 2012; Pozzato et al., 2013). In general, meiofaunal organisms (mainly foraminifera and  
56 nematodes) attain high densities, although diversity tends to be low (e.g. Jannink et al., 1998;  
57 Cook et al., 2004; Schumacher et al., 2007; Larkin and Gooday, 2009; Caille et al., 2014).  
58 Megafaunal and most macrofaunal taxa are more affected by strong hypoxia than smaller  
59 organisms and usually exhibit lower abundances, except for polychaetes which are often more

60 resistant and may be abundant, even in the core regions of some OMZs (e.g. Levin et al.,  
61 1991; Levin, 2003b; Gooday et al., 2009). However, in all size classes, distinct taxonomic  
62 changes are observed across OMZs (e.g. Gooday et al., 2009), making them perfect natural  
63 laboratories to examine the influence of oxygen concentration on the density, diversity and  
64 species composition of benthic fauna.

65 In open-ocean settings, the organic flux to the sea floor is often considered as the most  
66 important parameter controlling benthic foraminiferal abundance and distribution (e.g.  
67 Altenbach, 1985, 1987; Corliss and Emerson, 1990; Gooday et al., 1990; Jorissen et al., 1995;  
68 Heinz et al., 2002; Diz et al., 2006; Gooday and Jorissen, 2012). For many foraminiferal  
69 species, there is an optimum range of organic input within which they reproduce, are  
70 competitive, and attain their maximum abundance (Altenbach et al., 1999). Some species  
71 show a strong tolerance to hypoxia and flourish in oxygen-depleted environments (e.g.  
72 Mackensen and Douglas, 1989; Gooday et al., 2000; Bernhard and Gupta, 2003; Cardich et  
73 al., 2012; Mallon et al., 2012; Sergeeva et al., 2012). Various mechanisms could explain how  
74 foraminifera survive in these adverse conditions, which many macro- and mega-faunal  
75 animals are unable to tolerate. These include anaerobic metabolic pathways, bacterial  
76 symbionts, sequestration of chloroplasts, or proliferation of peroxisomes and mitochondria  
77 (Koho and Piña-Ochoa, 2012 and reference therein). In recent studies, Risgaard-Petersen et al.  
78 (2006) and Pina-Ochoa et al. (2009) demonstrated the ability of some foraminiferal species to  
79 accumulate intracellular nitrate for use as an electron acceptor for respiration instead of  
80 oxygen.

81 In the Arabian Sea, 'live' (Rose-Bengal stained) benthic foraminifera have been mainly  
82 studied on the Oman margin (e.g., Stubbings, 1939; Hermelin and Shimmield, 1990; Naidu  
83 and Malmgren, 1995; Gooday et al., 2000) and the Pakistan margin (Jannink et al., 1998;  
84 Maas, 2000; Erbacher and Nelskamp, 2006; Schumacher et al., 2007; Larkin and Gooday,  
85 2009; Larkin et al., 2014; Enge et al., 2014). In addition, a few studies have focused on other  
86 areas, such as the Murray Ridge (Pozzato et al., 2013; Caille et al., 2014) and the central,  
87 eastern and western parts of the Arabian Sea (Kurbjeweit et al., 2000; Heinz and Hemleben,  
88 2003, 2006). Most of these studies agree that oxygen concentration and organic matter quality  
89 and quantity have a strong influence on the abundance and composition of foraminiferal  
90 faunas. However, due to the inverse relationship between these two parameters, their relative  
91 importance remains difficult to resolve.

92 Here, we provide the first account of the distribution and abundance of foraminifera across the  
93 poorly studied Indian margin in the eastern Arabian Sea (Fig. 1A). The Indian margin  
94 displays low sea-surface primary production through the entire monsoonal cycle compared to  
95 other Arabian Sea regions (Fig. 1B), suggesting that lower organic resources are available for  
96 the benthic foraminiferal faunas. In this context, the present paper focuses on how  
97 foraminiferal faunas on the Indian margin respond to the combination of organic-matter  
98 fluxes that are likely to be moderate (as indicated by satellite images of chlorophyll-a) and  
99 extremely low oxygen concentration.

100

## 101 **2. Materials and Methods**

### 102 **2.1. Study area**

#### 103 2.1.1. The Oxygen Minimum Zone

104 The Arabian Sea hosts one of the world's most intense OMZs (Helly and Levin, 2004) with  
105 almost anoxic conditions in its core ( $< 2 \mu\text{M}$ ; Paulmier and Ruiz-Pino, 2009). This is the  
106 result of the semi-enclosed nature of the northern Arabian Sea, the relatively oxygen-poor  
107 intermediate water masses originating from the Persian Gulf, and the effects of the twice-  
108 yearly strong intensification of the monsoon system. During the SW or summer monsoon  
109 (June – September), intense upwelling develops off Somalia and Oman, and off the  
110 southwestern coast of India, due to the anticyclonic surface water circulation. Upwelling leads  
111 to an increase of biological production in the photic zone (Fig. 1B; e.g. Ryther and Menzel,  
112 1965; Haake et al., 1993; Rixen et al., 1996), which triggers a strong export of organic carbon  
113 to intermediate water-depths, where it is intensely recycled and remineralized. A second  
114 maximum in primary production occurs during the NE or winter monsoon (December –  
115 March) caused by the convective mixing of the upper water column (Fig. 1B; e.g. Banse and  
116 McClain, 1986; Madhupratap et al., 1996; Caron and Dennett, 1999; Rixen et al., 2000). The  
117 highly eutrophic surface waters during monsoon periods contrast with the much less fertile  
118 conditions during the intermonsoon periods, especially in spring (Fig. 1B). Due to monsoons  
119 and their associated change in sea-surface circulation (cyclonic during the NE monsoon and  
120 anticyclonic during the SW monsoon), concentration of sea-surface primary production is  
121 different between regions and seasons (Fig. 1B). Sea-surface biological production is

122 generally believed to control the organic flux to the sea floor, and thereby the trophic  
123 resources for the benthic faunas.

124

### 125 2.1.2. Oxygen and organic matter characteristics

126 Samples were collected on the western Indian margin during RV *Yokosuka* cruise YK08-11  
127 (September to November 2008, post-monsoon period) using the manned submersible *Shinkai*  
128 6500 (Fig. 1). The sampling sites (five in total) were located in the core of the OMZ at 535 m  
129 and 649 m, the lower boundary of the core at 800 m, the lower transition at 1100 and below  
130 the OMZ at 2000 m depth. Site characteristics were presented by Hunter et al. (2011, 2012),  
131 Levin et al. (2013) and Cowie et al. (2014). The YK08-11 cruise was designed to study  
132 depositional processes, biogeochemical cycles and biological communities within the intense  
133 OMZ, which extends from 150 to 1300 m water depth on the Indian margin. Below is a brief  
134 summary of the main environmental characteristics of the OMZ relevant to our study.

135 1) In the core of the OMZ, at 535 and 649 m water depths, bottom-water dissolved oxygen  
136 concentrations (BWO) are very low, 0.35 and 0.23  $\mu\text{M}$ , respectively. These two sites are  
137 enriched in organic matter (wt % Corg  $\sim$  3.2 and  $\sim$ 5.8 %, respectively) (Cowie et al., 2014).  
138 The high concentrations of Total Hydrolysable Amino Acid (THAA) ( $\sim$ 48.8 and 79.9  $\mu\text{mol/g}$ ,  
139 respectively) reflect the presence of high quality, labile organic matter (Cowie et al., 2014).

140 2) At the lower boundary of the OMZ core, at about 800 m depth (BWO  $\sim$  2.2  $\mu\text{M}$ ), the  
141 sediment is still characterised by high organic matter content and quality (wt % Corg  $\sim$  5.6 %  
142 and THAA  $\sim$ 69.8  $\mu\text{mol/g}$ ; Cowie et al., 2014).

143 3) In the lower transitional zone, around 1100 m depth, BWO is still low ( $\sim$ 15  $\mu\text{M}$ ) but the  
144 organic matter quantity and quality start to decrease (wt % Corg  $\sim$  4.4 % and THAA  $\sim$  62.9  
145  $\mu\text{mol/g}$ ; Cowie et al., 2014).

146 4) Beneath the OMZ, at 2000 m, well-oxygenated waters (BWO  $\sim$ 136  $\mu\text{M}$ ) and poorer trophic  
147 conditions occur (wt % Corg  $\sim$  1 % and THAA  $\sim$  17  $\mu\text{mol/g}$ ; Cowie et al., 2014)

148

## 149 2.2. Foraminiferal analysis

150 Sediment samples were taken using push-cores (8.3-cm internal diameter, surface area 54.1  
151 cm<sup>2</sup>) deployed from the *Shinkai 6500* submersible. On board the *Yokosuka*, each core was  
152 sliced and each sediment slice was preserved in 8% borax-buffered formalin. The study of the  
153 benthic foraminiferal communities is based on an examination of the first two half-centimeter  
154 levels (0-1 cm).

155 In the laboratory, the fixed sediment from the 0-0.5- and 0.5-1.0-cm layers was washed  
156 separately through 300- and 150- $\mu$ m screens and stained overnight on the sieve in Rose  
157 Bengal solution. The <150  $\mu$ m size fractions were kept and stored in borax-buffered formalin  
158 for possible future analyses. The 150-300 and > 300  $\mu$ m fractions of the two sediment layers  
159 were sorted wet (in water) under a binocular microscope for all Rose-Bengal-stained  
160 foraminifera.

161 The Rose Bengal technique is considered to be an inexpensive and easy method to recognize  
162 foraminifera that were alive (or recently alive) when collected (Walton, 1952; Murray and  
163 Bowser, 2000). However, especially in low-oxygen settings, the cell material may persist long  
164 after death, resulting in false positives (Corliss and Emerson, 1990; Bernhard, 2000). In order  
165 to minimize over-estimation in the live foraminiferal counts, strict staining criteria were  
166 always applied. Specimens were considered 'alive' only when all chambers, except for the last  
167 one or two, were well stained. Furthermore, doubtful specimens were compared with  
168 perfectly stained specimens of the same species and non-transparent agglutinated and miliolid  
169 taxa were broken to inspect their contents. Soft-shelled monothalamous taxa, which are  
170 largely undescribed, were included in the data analyses. All foraminifera were identified to  
171 the lowest possible taxonomic level and assigned to informal species categories for diversity  
172 analyses. Species identifications followed previous studies from the Arabian Sea (e.g. Maas,  
173 2000; Schumacher et al., 2007; Larkin and Gooday, 2009; Caille et al., 2014; Taylor and  
174 Gooday, 2014). A special effort was made to discriminate hormosinacean species (the  
175 superfamily Hormosinacea includes genera with uniserial chambers such as *Reophax*,  
176 *Hormosina*, *Hormosinella*, and *Nodosinella*), extending the recent taxonomic study of Taylor  
177 and Gooday (2013), which was based on different material from the same sites as the present  
178 study. Deep-sea hormosinacean species are often difficult to identify; many are undescribed  
179 and some widely-reported 'species' represent complexes of morphologically similar species  
180 (i.e. morphotypes). Hence it was necessary to assign many species within the genera  
181 *Hormosina*, *Reophax* and the monothalamous genus *Lagenammia* to informal categories. In  
182 some cases, these are the same as those illustrated by Taylor and Gooday (2013) but others

183 have not been recognised previously. Since foraminifera are extremely abundant in finer  
184 sediment fractions, we confined our study to the >150- $\mu\text{m}$  fraction of the 0-1 cm layer.

185 For all stations, diversity indices, including species richness (S; count of number of taxa in a  
186 sample), Shannon index ( $H' \log_e$ ), and Evenness (J) were calculated using the statistical  
187 software "PAST" (PAleontological STatistics; Version 2.14; Hammer et al., 2009). We use  
188 the term 'entire live' to refer to all stained foraminifera, i.e. agglutinated and calcareous taxa  
189 combined.

190

### 191 **3. Results**

#### 192 **3.1. Foraminiferal densities**

193 The total densities of live (Rose-Bengal stained) foraminifera in the upper 1-cm layer (>150-  
194  $\mu\text{m}$  fraction) decreased from ~3000 ind./50  $\text{cm}^2$  at 535 m in the OMZ core to ~300 ind./50  
195  $\text{cm}^2$  at 2000 m below the OMZ (Fig. 2). Foraminifera were concentrated in the 150-300  $\mu\text{m}$   
196 fraction, which at most stations accounted for about three-quarters of the total density. Faunas  
197 were dominated by multichambered agglutinated species, which represented between 48%  
198 (2000 m) and 75% (649 m) of the assemblages, whereas calcareous species did not exceed  
199 32% (535 m) of the total fauna (Fig. 2 and Table 1). The relative abundance of  
200 monothalamids was lowest (2%) at 649 m and highest (30%) at 2000 m. The absolute  
201 densities of multichambered agglutinated and calcareous species decreased with increasing  
202 water-depth (Fig. 2). At 535 m, in the core of the OMZ, their densities were ~1870  
203 (agglutinated) and ~990 ind./50 $\text{cm}^2$  (calcareous), respectively, compared to ~150 ind./50  $\text{cm}^2$   
204 and ~55 ind./50  $\text{cm}^2$ , at the deepest site (2000 m). Monothalamids did not show a clear trends  
205 along the transect. The highest densities were found in the core (535 m; ~150 ind. /50 $\text{cm}^2$ ) and  
206 in the lower part (1100 m; ~115 ind. /50  $\text{cm}^2$ ) of the OMZ. The lowest densities (~25 ind.  
207 /50 $\text{cm}^2$ ) were found at the 649 m site.

208

#### 209 **3.2. Species richness, diversity and dominance of living foraminiferal faunas**

210 A total of 214 morphospecies was identified (0-1 cm layer, 150- $\mu\text{m}$  size fraction), of which  
211 131 were agglutinated (77 referred to the superfamily Hormosinacea), 79 were hyaline and

212 only 4 were miliolids. Monothalamous species, the majority of which were undescribed, were  
213 included in the diversity analysis.

214 Species richness (S) of the entire live faunas varied along the transect (Fig. 3). In the core of  
215 the OMZ, a total of 72 species was recognised at 535 m compared to 62 species at 649 m.  
216 Species richness increased at sites below the OMZ core to a maximum of 84 species at 1100  
217 m (Fig. 3). In contrast to the number of multichambered agglutinated species, which more or  
218 less mirrored the total number of 'live' species, calcareous species richness was more constant  
219 between sites, ranging from a maximum of 32 species at 535 m to a minimum of 25 at 649  
220 and 2000 m (Fig. 3). The Shannon (H') and Fisher Alpha indices showed a similar trend  
221 toward higher values at greater depth and more elevated oxygen concentration, but in contrast  
222 to species richness, these indices were higher for the entire live fauna at 800 m than at 1100  
223 m. The 1100 m site exhibited a lower H' value (~3) than the 800 and 2000 m sites (Fig. 3). In  
224 the case of the calcareous component, the trend in the Fisher index was broadly similar to that  
225 of the entire live assemblage with a distinct decrease from maximal values at 800 m to lower  
226 values at 1100 and 2000 m. This pattern was not seen in the Shannon index, however (Fig. 3).  
227 For agglutinated taxa Shannon and Fisher indices were very similar between 800 and 1100 m  
228 (Fig. 3).

229 Evenness fluctuated along the transect (Fig. 3). There was an increase from low values at 535  
230 m to a peak at 800 m in the total and multichambered agglutinated components, followed by a  
231 sharp decline to 1100 m with higher values again at 2000 m. For calcareous species, evenness  
232 followed a similar trend down to 800 m but then increased further at 1100 m followed by  
233 somewhat lower values at 2000 m. The evenness of the calcareous and agglutinated species  
234 was consistently higher than that of the entire live fauna. When considering calcareous and  
235 agglutinated species separately, diversity measures may be substantially different from those  
236 of the entire live fauna.

237

### 238 **3.3. Foraminiferal assemblage composition**

239 In general, the live faunas were mainly represented by perforate calcareous and agglutinated  
240 foraminifera. Soft-shelled monothalamous taxa were not considered in this analysis in order  
241 to allow a better comparison with previous studies.



242 Most of the abundant species were agglutinated, in many cases assigned to the genera  
243 *Reophax* and *Lagenammina* (Fig. 4A, Table 1). The agglutinated genus *Ammodiscus*, which  
244 belongs to a lineage that includes spirillinids and miliolids (Pawlowski et al., 2013), was  
245 common at the 535 and 649 m sites in the OMZ core. Relatively few of the species  
246 representing >2% of the assemblages were calcareous (Fig. 4B, Table 1). Miliolids were very  
247 rare.

248 The faunal composition displayed important changes along the transect (Fig. 4). In general,  
249 different assemblages were observed at different sites, particularly in the case of the  
250 calcareous taxa. In the core of the OMZ (535 m and 649 m), where the oxygen concentration  
251 was very low, the agglutinated fauna was dominated by *Reophax* sp. 7, *Reophax* sp. 10, *R.*  
252 *bilocularis*, *Lagenammina* sp. 2, *Eggerella* sp. 1, *Eggerella* sp.2, *Cribrostomoides wiesneri*  
253 and *Ammodiscus* sp.. The most common calcareous species in the OMZ core were *Bolivina*  
254 *aff. dilatata*, *Cassidulina* sp. 1, *Praeglobobulimina* sp. 1, *Hoeglundina cf. elegans*, *Uvigerina*  
255 *peregrina* type *parva* at 535 m, with *Cassidulina* sp. 1 and *Ehrenbergina trigona* at 649 m  
256 (Fig. 4B). The 800 m site had a very different fauna, mostly composed of *Lagenammina* spp.  
257 1, 5 and 13, *Reophax dentaliniformis*, *R. agglutinatus*, *Cribrostomoides* sp. and *Chilostomella*  
258 *oolina*, (Fig. 4). At 1100 m, in the lower transition zone of the OMZ, two *Reophax* species, *R.*  
259 *spiculifera* and *R. horridus*, were predominant; other agglutinated species included *Reophax*  
260 spp. 3, 13 and 27, *Ammoscalaria tenuimargo* and *Semivulvulina* sp. 1. At this site, all  
261 calcareous species had relative abundances of less than 2%. Finally, at the deepest site (2000  
262 m), foraminiferal assemblages consisted mainly of *Reophax aff. scorpiurus*, *Reophax* spp. 5,  
263 29 and 31, *Lagenammina* spp. 22 and 23, *Recurvoides contortus*, *Bulimina aculeata* and  
264 *Hoeglundina cf. elegans* (Fig. 4).

265 The cumulative percentage of all species representing <2% of the 'live' assemblages ("others"  
266 in Figs. 4A and 4B) was large, especially for the calcareous component, at sites below the  
267 core of the OMZ (800 to 2000 m). Considering all sites across the transect together, only 8  
268 calcareous species, out of a total of 83, were sufficiently abundant to represent >2% of the  
269 assemblage at least at one station (Fig. 4B).

270

## 271 **4. Discussion**

### 272 **4.1. Limitations of the study**

273 Our analyses were confined to the uppermost (0-1 cm) sediment layer, which would normally  
274 result in an under-representation of deeper-dwelling species. Foraminiferal microhabitats are  
275 largely controlled by organic matter input and oxygen penetration into the sediment (Corliss  
276 and Emerson, 1990; Jorissen et al., 1995). As a result, although foraminifera often occur in  
277 sediment layers down to a depth of 5 cm or more where oxygen is plentiful, they are generally  
278 concentrated near the sediment surface in hypoxic, organically-enriched settings. Previous  
279 studies of the Arabian Sea OMZ core found most of the stained fauna in the first cm of the  
280 sediment (e.g. Jannink et al., 1998; Maas, 2000; Schumacher et al., 2007; Larkin and Gooday,  
281 2009). In many hypoxic settings, compression of redox profiles leads to the absence of a well-  
282 defined vertical sequence of microhabitats and the presence of deep-infaunal taxa close to the  
283 sediment surface. Intermediate and deep infaunal taxa were scarce on the Indian margin, and  
284 have rarely been reported within the OMZ in previous Arabian Sea studies (Jannink et al.,  
285 1998; Kurbjeweit et al., 2000; Mass, 2000, Schumacher et al., 2007; Larkin et al., 2009;  
286 Caille et al., 2014). In our material, *Chilostomella oolina* was the only species of this type  
287 that represented >2% of the 'live' fauna, being found mainly at 800 m (Fig. 4B). Similar  
288 observations were made by Schumacher et al. (2007) on the Pakistan margin, where *C. oolina*  
289 was found exclusively in the 0-0.5 cm layer across the OMZ (306-738 m depth). Species of  
290 the deep infaunal genus *Globobulimina* are also abundant in the first sediment cm on the  
291 Pakistan margin (at 576 m; Erbacher and Nelskamp, 2006). These species occur at several cm  
292 depth in the sediment in better oxygenated environments (Corliss and Emerson, 1990;  
293 Kitazato, 1994; Rathburn et al., 2000). Since our study was limited to the topmost cm of the  
294 sediment, it is possible that intermediate- and deep-infaunal species were missed at  
295 transitional sites and below the OMZ (1100 and 2000 m). However, we think that it is  
296 unlikely that analysis of deeper sediment layers would have led to substantial changes of  
297 foraminiferal diversity, although density values may have slightly increased, especially at the  
298 deeper sites (1100 and 2000 m). This view is supported by data in Caille et al. (2014) from  
299 sites located along an oxygen gradient on the Murray Ridge (885 to 3010 m depth), where  
300 foraminiferal diversity was very similar in the 0-1 and 0-10 cm sediment layers (>150  $\mu$ m  
301 fraction).

302 Confining our analyses to the >150  $\mu$ m size fraction introduces a second source of bias. In  
303 low-oxygen settings, small-sized (63-150  $\mu$ m) foraminifera are particularly abundant (e.g.  
304 Jannink et al., 1998; Gooday et al., 2000; Schumacher et al., 2007), possibly because small  
305 species have a higher tolerance to low-oxygen conditions (Caille et al., 2014). However, the

306 very considerable time and effort involved in sorting the dense populations present in size  
307 fractions <150  $\mu\text{m}$  precluded their analysis in the present study. Even so, the >150  $\mu\text{m}$  size  
308 fraction alone yielded abundant and diverse faunas with stained assemblages containing  
309 between 279 and 3177 individuals, belonging to 214 species. Moreover, Schumacher et al.  
310 (2007) and Caille et al. (2014) demonstrated that adding the small-sized fraction (63-150  $\mu\text{m}$ )  
311 did not lead to a major change in bathymetric trends in foraminiferal diversity and faunal  
312 composition. Although analysing the finer size fractions may add some small-sized species,  
313 leading to slight changes in absolute and relative abundances, most of the species found in  
314 the small size fraction occurred also in the coarser fraction. Finally, a major advantage of  
315 working on the >150  $\mu\text{m}$  size fraction is that it allows direct comparison with  
316 paleoceanographic studies, which are mainly based on the >125 or >150  $\mu\text{m}$  fractions.

317

#### 318 **4.2. Dense high diversity assemblages in extreme hypoxic conditions**

319 On the basis of macrofaunal data from the Arabian Sea, Levin and Gage (1998) concluded  
320 that species dominance is related to the organic carbon flux to the sea floor, whereas species  
321 richness is mainly related to BWO concentrations. In a general sense and at a community  
322 scale, our Indian margin density and diversity patterns confirm these conclusions; absolute  
323 densities were higher in the core of the OMZ than at deeper sites (Fig. 2) whereas diversity  
324 indices described the opposite trend ( Fig. 3). Community densities have largely been  
325 discussed in previous studies carried in the Arabian Sea OMZ (e.g. Jannink et al., 1998;  
326 Gooday et al., 2000; Schumacher et al., 2007; Larkin et al., 2009; Caille et al., 2014). On the  
327 Indian margin, trends in foraminiferal density across the OMZ are very similar to those  
328 reported in previous studies in the Arabian Sea and are explained by the large quantities of  
329 labile organic matter present in the OMZ sediments (Fig. 2). At the time of sampling  
330 (September – October 2008), the Indian margin OMZ was extremely hypoxic compared to  
331 previous observations in the Arabian Sea (e.g. Maas, 2000; Gooday et al., 2000; Schumacher  
332 et al., 2007; Larkin and Gooday, 2009; Vandewiele et al., 2009; Koho et al., 2013; Caille et  
333 al., 2014). Oxygen concentrations in the core of the OMZ were  $\sim 0.3 \mu\text{M}$  compared to  $\sim 2 \mu\text{M}$   
334 on the Murray Ridge (Pozzato et al., 2013; Koho et al., 2013; Caille et al., 2014) and  $\sim 4 \mu\text{M}$   
335 on the Pakistan margin (Schumacher et al., 2007; Larkin and Gooday, 2009). However, the  
336 performance of oxygen sensors is continuously improving, and detection limits are decreasing.

337 As a result, discrepancies between studies may in part be due to instrumental bias,  
338 particularly when there is a substantial time lag between the different sampling periods.

339 In spite of the very low oxygen concentrations, species richness (i.e. the number of species) in  
340 our samples was surprisingly high, particularly at the most severely hypoxic site (535 m; Fig.  
341 3), compared to previous data from the Arabian Sea (e.g. Jannink et al., 1998; Maas, 2000;  
342 Schumacher et al., 2007; Larkin and Gooday, 2009; Cauille et al., 2014). This difference may  
343 be the result of our unusually careful taxonomical analysis of the samples, which took into  
344 account monothalamids and the diverse hormosinaceans. These species are often difficult to  
345 identify and many are undescribed (Taylor and Gooday, 2014). A total of 77 hormosinacean  
346 species was recognized, based on the number of chambers, chamber shape and size, wall  
347 construction, and the shape of the apertural neck. Similar careful taxonomy was applied to a  
348 sample from the core of the Oman margin OMZ (412 m depth), where BWO levels were  
349 more than one order of magnitude higher than off India, although still very low ( $\sim 5.5 \mu\text{M}$   
350 versus  $\sim 0.35 \mu\text{M}$ ) (Gooday et al., 2000). Foraminiferal species diversity and richness there  
351 were comparable to values reported in the present study ( $H'(\log_e) \sim 2.8$ ,  $S=64$ ), although the  
352 hormosinaceans were less diverse (Gooday et al., 2000).

353 Compared to the monothalamids and hormosinaceans, the taxonomy of calcareous  
354 foraminifera in the Arabian Sea is better known and their comparison with earlier studies is  
355 more straightforward. To facilitate comparisons with the data of Schumacher et al. (2007) and  
356 Cauille et al. (2014) diversity metrics were recalculated for calcareous species only (Fig. 6).  
357 These reveal that faunal diversity within (535 to 1100 m depth) and below (2000 m) the  
358 Indian margin OMZ is still higher than in other parts of the Arabian Sea. The high diversity in  
359 the core of the OMZ (Fig. 6), where oxygen concentrations were almost zero ( $\sim 0.3 \mu\text{M}$ ; at  
360 535 m) at the time of sampling, is particularly striking (Fig. 5). The presence at the 535-m site  
361 of a species that we assign to *Hoeglundina* cf. *elegans* is also very surprising (Fig. 4 B).  
362 Based on a fossil record from the Murray Ridge (Northern Arabian Sea) spanning the last  
363 120,000 years, den Dulk et al. (1998) concluded that *H. elegans* can tolerate mild hypoxia but  
364 not the severely hypoxic conditions found in the core of the OMZ. The occurrence of a  
365 morphologically similar species in the OMZ core on the Indian margin could be explained by  
366 the hydrodynamic setting of this region. At 535 m, sharp-crested ripples (crests up to 10 – 12  
367 cm high) were observed (Hunter et al., 2011; observations of U. Witte reported in Taylor and  
368 Gooday, 2014), indicating rapid water movements. A current speed of 15 cm/s was recorded  
369 here during the *Yokosuka* cruise (observations of H. Nomaki, reported in Taylor and Gooday,

370 2014). Intermittent strong currents could lead to short periodic increases of BWO allowing  
371 low-oxygen-sensitive species such as *H. cf. elegans* to colonise the area, where they can  
372 apparently persist during the severely hypoxic periods. This hypothesis is supported by the  
373 occurrence at 535 m of numerous dead thyasirid bivalves and gastropods (between 150-300  
374  $\mu\text{m}$  in size), which usually do not inhabit such severely oxygen depleted environments (Levin,  
375 2003b). Thyasirids have been reported in the lower part of the OMZ on the Pakistan margin,  
376 where BWO varies between  $\sim 4.5$  and  $9 \mu\text{M}$  (Oliver and Levin, 2006; Levin et al., 2009).  
377 *Hoeglundina elegans* is a commonly reported deep-sea species that is distributed across a  
378 wide bathymetric and geographical range in all oceans (Murray, 1991). Most records are from  
379 bathyal ( $<3000$  m) or even sublittoral (e.g., 140 m; Fontanier et al., 2002) depths, but  
380 morphologically identical specimens also occur at  $> 4000$  m in the eastern equatorial Pacific  
381 (Gooday, unpublished data). The species found in the OMZ core on the Indian margin appears  
382 identical to typical examples of *H. elegans* in terms of test morphology. Nevertheless, we  
383 refer to it as *H. cf. elegans* because its occurrence in a severely hypoxic setting is  
384 unexpected, and for consistency with Enge et al. (2014). Whether it is genetically coherent  
385 with *H. elegans* from oxic environments in other oceans remains to be determined.

386 Another factor that could explain the high diversity of stained foraminifera in the OMZ core  
387 off India is the post-mortem preservation of the cell material. In this severely hypoxic  
388 environment the cytoplasm could persist for months or longer (Corliss and Emerson, 1990;  
389 Bernhard, 2000). Although, as explained above, strict staining criteria were applied, it might  
390 still be difficult to confidently discriminate between individuals that had been dead for some  
391 time and those that were alive when collected. More reliable assays, such as the Cell Tracker  
392 Green (CTG) technique (e.g. Bernhard, 2000; Bernhard et al., 2006), could give a better  
393 estimate of the “living” fauna. Alternatively, the temporal persistence of species that are not  
394 known for their tolerance of low-oxygen conditions could be explained by a shift to anaerobic  
395 types of metabolism. Many foraminiferal species can accumulate intracellular nitrates in low-  
396 oxygen settings (Piña-Ochoa et al., 2010), and some are able to respire these nitrates  
397 (Risgaard-Petersen et al., 20xx). Unfortunately, no measurement of nitrate concentrations and  
398 denitrification rates are available for the dominant species of the Indian Margin OMZ.  
399 Another possibility is that these foraminifera can lower their metabolism (i.e., become  
400 dormant) during the most adverse periods. Geslin et al. (2011) showed that most benthic  
401 foraminiferal species have low oxygen respiration rates per unit of cytoplasmic volume,

402 estimated by assuming that the internal test volume corresponds to 75% of the total test  
403 volume (Hannah et al., 1994) and is entirely filled with cytoplasm.

404

### 405 **4.3. The tolerance of agglutinated foraminifera to low-oxygen conditions**

406 Agglutinant foraminiferal species are often considered to be less tolerant of low-oxygen  
407 conditions than calcareous foraminifera (Moodley et al., 1997; Gooday et al., 2000, 2001,  
408 2009; Neira et al., 2001). However, this is not the case everywhere. In the Black Sea, soft-  
409 shelled monothalamids were more abundant than calcareous foraminifera in samples taken  
410 across the transition from hypoxic to sulphidic conditions (depth range 120-240 m) (Sergeeva  
411 et al., 2012). On the Indian margin, agglutinated species are the dominant component (up to  
412 76% at 649 m) of foraminiferal assemblages. They include soft-shelled monothalamids, but  
413 the majority belong to genera such as *Reophax* and *Hormosinella* together with species of the  
414 single-chambered genus *Lagenammia* (Fig. 4B and Table 1). On the Indian margin, where  
415 oxygen concentrations during the *Yukosuka* cruise were extremely low in the OMZ core, these  
416 multi-chambered uniserial agglutinants are more abundant than calcareous foraminifera.

417 Previous studies have observed differences in foraminiferal composition related to sediment  
418 characteristics (e.g. Kaminski, 1985). The hydrodynamic context of the Indian margin, and  
419 particularly of sites in the OMZ core (535 to 800 m), influences sediment grain size. At the  
420 535 m site, the sand fraction represents 44% of the sediment compared to 9.6% at 800 m  
421 (Levin et al., 2013). Therefore, it is possible that the dominance of hormosinacean species at  
422 the OMZ sites is partly a consequence of the relatively coarse sediment. However,  
423 hormosinaceans, together with *Lagenammia*, are common in many fine-grained, deep-sea  
424 settings (e.g. Gooday et al., 2010b). In the Arabian Sea, similar proportions of *Reophax* and  
425 *Lagenammia* species have been reported in and below the OMZ on the Murray Ridge  
426 (Caulle et al., 2014) and the Oman margin (Gooday et al., 2000), and in the core of OMZ on  
427 the Pakistan margin (Larkin and Gooday, 2009) (Fig. 6). The general abundance of  
428 hormosinacean species associated with the Arabian Sea OMZ suggests that it cannot be  
429 attributed to sediment grain size alone. In fact, these observations reinforce our conclusion  
430 that some hormosinaceans can tolerate strong oxygen depletion (Caulle et al., 2014).

431 Nevertheless, a tolerance to hypoxia does not fully explain why hormosinacean and  
432 *Lagenammia* species are so common in our samples. One possible explanation is that it is  
433 related to the quality of the available organic matter. Many agglutinated foraminifera seem to

434 be less dependent on fresh food inputs than calcareous taxa, feeding instead on more  
435 refractory material (e.g. Gooday, 2003; Gooday et al., 2008; Koho, 2008; Koho et al., 2008;  
436 Phipps et al., 2012; Caille et al., 2014). Another possible factor is that enhanced organic  
437 matter recycling and associated CO<sub>2</sub> release into the pore waters depresses pH within OMZs  
438 (Gooday et al., 2010a), making the secretion of a carbonate test more energetically  
439 demanding. Seawater pH is reduced to below 7.1 on the Oman margin between 391 and 1265  
440 m (Milliman et al., 1999). Taylor and Gooday (2014) observed that some globigerinacean  
441 shells incorporated in the tests of stained *Reophax* and *Lagenammmina* specimens at our 535  
442 and 800 m sites were partly corroded, and traces of dissolution were evident in our material as  
443 well. It is clear, however, that different hormosinacean and *Lagenammmina* species display  
444 different degrees of tolerance to hypoxia on the Indian margin. For instance, *Reophax* spp. 7  
445 and 10 were mainly found in the core (535 - 800 m depth) (Fig. 4A and Table 1), and appear  
446 to be particularly well adapted to oxygen-poor conditions. It is possible that they have a  
447 facultative anaerobe metabolism. It has been demonstrated that some foraminiferal species  
448 may use nitrate as a second source of electron acceptor for respiration (denitrification)  
449 (Risgaard-Petersen et al., 2006; Piña-Ochoa et al., 2010). In fact, Piña-Ochoa et al. (2010)  
450 showed that some agglutinated foraminifera collect nitrate, although only four *Reophax*  
451 specimens (3 *Reophax micaceus* from the Bay of Biscay and 1 *Reophax* sp. from the Perù  
452 OMZ) were measured and no nitrate pool were detected. It can be suggested that  
453 hormosinacean species from the Arabian Sea OMZ are able to denitrify to thrive under this  
454 severe environment. However, further investigations are needed before any firm conclusions  
455 can be made on this topic.

456 Unlike *Reophax* spp. 7 and 10, *Reophax* aff. *scorpiurus*, *Reophax* spp. 31 and 5 were  
457 confined to the deepest site (2000 m) (Fig. 4A and Table 1). Indeed, these species are  
458 abundant and diverse in many deep-sea environments, including fully oxic abyssal plains  
459 (e.g., Gooday et al., 2010b).

460

#### 461 **4.4. Distribution of species across the OMZ**

462 There is a succession of both calcareous and agglutinated foraminiferal species along the  
463 OMZ transect (Fig. 4 and Table 1). Sites in the core (535 and 649 m) have rather similar  
464 assemblages and are dominated (> 2%) by *Reophax* spp. 7 and 10 and *Ammodiscus* sp. 1  
465 (agglutinated), and *Cassidulina* sp. 1, *Bolivina* aff. *dilatata*, *Praeglobobulimina* sp. 1,

466 *Hoeglundina cf. elegans* and *Ehrenbergina trigona* (calcareous) (Fig. 4 and Table 1). Most of  
467 these species are restricted to these two sites where oxygen concentrations were extremely  
468 low at the time of sampling (BWO ~0.2 and 0.3  $\mu\text{M}$ ). The calcareous species, except for *H.*  
469 *cf. elegans* (see above), are typical of organically-enriched environments with low oxygen  
470 concentrations (Bernhard and Gupta, 2003). Many of them (*Hoeglundina cf. elegans* as well  
471 as *Uvigerina peregrina*, *Cassidulina* sp. 1 and *Praeglobobulimina* sp. 1) demonstrated a large  
472 and rapid (~ 4 days) carbon uptake in tracer experiments on the Indian margin using labelled  
473 phytodetritus (Enge et al., 2014). *Uvigerina ex gr. semiornata* exhibited a similar response in  
474 experiments conducted on the Pakistan margin in 2003 (Larkin et al., 2014). The ability of  
475 these species to feed rapidly on organic matter under extremely low oxygen concentrations  
476 may lead to the development of large population densities.

477 The lower boundaries of OMZs are often characterised by enhanced biogeochemical activity  
478 (Paulmier and Ruiz-Pino, 2009) and elevated faunal abundance in both the benthic and  
479 pelagic communities (e.g. Sanders and Hessler, 1969; Mullins et al., 1985; Ward et al., 1989;  
480 Levin et al., 1991; Wishner et al., 1995; Levin, 2003) On the Pakistan margin an edge effect  
481 of this kind was evident among foraminiferal populations at around 750 m depth (Gooday et  
482 al., 2009) and might therefore be expected at our 800 m site (BWO ~2.2  $\mu\text{M}$ ). Hence it is  
483 rather surprisingly that faunal density are minimal here (~320 ind/50cm<sup>2</sup>; Fig. 2). If  
484 foraminifera do exhibit an edge effect on the Indian margin it must be at some depth between  
485 800 m and the next deepest site at 1100 m.

486 The assemblage at 800 m comprises a mixture of calcareous species typical of the OMZ core  
487 (e.g. *Bolivina aff. dilatata*, *Cassidulina* sp. 1) and those that are more widely distributed (e.g.  
488 *Cancris auriculus*, *Chilostomella oolina*), together with *Reophax* spp. 7 and 10 and  
489 *Lagenamma* sp. 1 (Fig. 4 and Table 1). Apparently, the oxygen concentration here is still  
490 low enough to allow species adapted to the core of the OMZ to remain competitive, but also  
491 high enough to allow taxa such as *Cancris auriculus* and *Chilostomella oolina* to colonise the  
492 site, resulting in maximum diversity. *Cancris auriculus* is also found in the OMZ (BWO ~ 5  
493  $\mu\text{M}$ ) on the Pakistan margin (Larkin and Gooday, 2009) A different foraminiferal assemblage  
494 is observed in the lower part of the OMZ (1100 m; BWO ~15  $\mu\text{M}$ ), where *Reophax horridus*,  
495 *Reophax spiculifera*, *Reophax* sp. 10, *Chilostomella oolina*, *Hoeglundina cf. elegans*,  
496 *Globocassidulina subglobosa* and *Bulimina aculeata* (Fig. 4 and Table 1) are all abundant.  
497 Most of these species are widely distributed in the bathyal deep sea and, except for *H. cf.*  
498 *elegans*, they are not found in the OMZ core. An inability to tolerate very low oxygen



499 concentrations, perhaps combined with strong competition from better adapted species,  
500 probably precludes their penetration into this harsh environment. It thus appears that there is a  
501 critical oxygen threshold between  $\sim 2 \mu\text{M}$  and  $\sim 15 \mu\text{M}$  (i.e. 800 and 1100 m depth) for benthic  
502 foraminifera on our Indian margin transect. Due to the sample site spacing, it is difficult to  
503 specify a more precise value.

504 Changes in the composition of foraminiferal assemblages are also observed in other areas of  
505 the Arabian Sea. On the Pakistan margin, Schumacher et al. (2007) found transitional  
506 assemblages, mainly composed of *Bolivina* aff. *dilatata*, *Praeglobobulimina* sp. 1, *Uvigerina*  
507 *peregrina* and *Chilostomella oolina*, between  $\sim 600$  and  $\sim 800$  m (BWO  $4.7 - 5.8 \mu\text{M}$ ), a depth  
508 range spanning the lower part of the OMZ core and the upper part of the 'lower transition  
509 zone' (Gooday et al., 2009). On the Murray Ridge, this change occurs at 1172 – 1306 m  
510 (BWO  $\sim 5.0 - 13.8 \mu\text{M}$ ) where the widely distributed species (e.g., *Globocassidulina*  
511 *subglobosa*, *Ehrenbergina trigona*, *Fursenkoina* spp.) occur together with species typical of  
512 the OMZ core that may have more restricted distributions (e.g. *Ammodiscus* sp. 1) (Caulle et  
513 al., 2014). These studies are consistent with the existence of a transitional assemblage where  
514 the BWO starts to increase, either at the base of the OMZ core, or around the lower boundary  
515 of the OMZ.

516

#### 517 **4.5. BWO, deposit and flux of organic matter, benthic foraminiferal faunas: comparison** 518 **of study sites**

519 Compared to previous studies in the Arabian Sea (e.g. Maas, 2000; Gooday et al., 2000;  
520 Schumacher et al., 2007; Vandewiele et al., 2009; Larkin and Gooday, 2009; Koho et al.,  
521 2013; Caulle et al., 2014), the OMZ of the Indian margin appears to be more severely  
522 depleted in oxygen, in view of possible bias due to instrumental development. In fact,  
523 conditions here were virtually anoxic, at least during the sampling period. On the other hand,  
524 the similar values for surface sediment organic matter quantity and quality (THAA content)  
525 between study areas suggests an absence of major regional differences in these parameters  
526 (Fig. 5; Vandewiele et al., 2009; Koho et al., 2013; Cowie et al., 2014). This is surprising in  
527 view of the concentrations of sea-surface chlorophyll a, mirroring primary production, which  
528 are much lower on the Indian margin compared to the rest of the Arabian Sea (Fig. 1B). The  
529 comparably high Corg values of the superficial sediments here (in contrast to the low surface  
530 water PP) could be partly explained by the lower oxygen concentrations on the Indian Margin

531 (Fig. 5) coupled to local hydrodynamics (seasonal development of a belt of intense oxygen  
532 depletion linked to northward surface currents) leading to a better preservation of organic  
533 matter (Cowie et al., 2014). This would imply that the availability of labile organic carbon on  
534 the Indian margin is only partly determined by the sea-surface primary productivity. Instead,  
535 severe mid-water hypoxia leading to limited organic matter degradation during transit through  
536 the water column may play an important role in the presence of abundant high quality OM on  
537 the sea floor. However, it has to be kept in mind that there are many indicators of OM quality  
538 (e.g. THAA, concentration of single amino-acid, enzymatically hydrolysable amino acids)  
539 and their interpretations are often problematic. It is not clear how these indices describe the  
540 bio-availability of the organic components. Nevertheless, we think that our data suggest that  
541 on the Indian margin, BWO as well as hydrodynamics represent a more important control on  
542 benthic foraminiferal abundance and species composition than sea-surface primary production.

543 The same foraminiferal species are found on the Indian margin and at previously studied sites  
544 in the Arabian Sea (Fig. 7). *Praeglobobulimina* sp. 1 is restricted to extremely low oxygen  
545 concentrations ( $<10 \mu\text{M}$ ), mainly corresponding to the core of the OMZ on the Indian margin,  
546 the Murray ridge and the Pakistan margin, while *Cassidulina* sp. 1 and *Bolivina* aff. *dilatata*  
547 are generally found at oxygen concentrations  $<10 \mu\text{M}$  (Fig. 7). This highlights the strong  
548 adaptation of these indicator species to hypoxic environments. *Praeglobobulimina* sp. 1 and  
549 *B.* aff. *dilatata* have not been reported in other oceanic basins, including the OMZ in the East  
550 Pacific or the well-studied upwelling area off North-West Africa. This raises the issue of  
551 whether some species inhabiting OMZs are endemic to particular regions. It has been  
552 suggested that severely stressed environments, notably by extreme hypoxia, may induce rapid  
553 morphological and genetic changes (Verhallen, 1987) as well as promoting allopatric  
554 speciation through the creation of barriers to gene flow (Rogers, 2000). The visually  
555 conspicuous spider crab *Encephaloides armstrongii* is an example of a species that appears to  
556 be restricted to the OMZ in the northern Arabian Sea and Bay of Bengal (Creasey et al.,  
557 1997). However, the question of endemism within OMZ settings needs to be explored through  
558 a combination of molecular genetics and morphological analysis.

559 *Ehrenbergina trigona* and *Chilostomella oolina* appear in a BWO interval from  $\sim 0$  to  $\sim 22$   
560  $\mu\text{M}$  (Fig. 7). It appears that many Arabian Sea foraminiferal species are living in a specific  
561 range of BWO concentrations, defining ecological niches for the different species. The fact  
562 that the same species occur on the Indian margin, the Pakistan margin and the Murray ridge,  
563 in the same BWO interval, but probably with very different export production (based on

564 satellite images of chlorophyll-a), provides support for the dominant role of BWO, rather than  
565 organic flux to the sea floor, in regulating the benthic foraminiferal assemblages.

566

#### 567 **4.6. Implications in paleoceanography**

568 Our results suggest that BWO may play the major role in regulating benthic foraminiferal  
569 assemblages in the Arabian Sea. This conclusion could have important implications for the  
570 reconstruction OMZ variability in the Arabian Sea over geological time scales. Foraminiferal  
571 marker species typical of the OMZ (*Praeglobobulimina* sp. 1, *Cassidulina* sp. 1, *Bolivina* aff.  
572 *dilatata*, *Ehrenbergina trigona*) should provide critical and reliable information about past  
573 BWO, making it possible to quantitatively reconstruct past changes in intensity and extension  
574 of the OMZ. On the other hand, some species, such as *Bulimina aculeata* and *Hoeglundina* cf.  
575 *elegans*, are both found in the OMZ and below (Fig. 7). It seems that the ecological  
576 preferences of these two species differ from site to site. This could suggest a high adaptability  
577 of these two species to several environmental conditions (BWO and organic carbon). It could  
578 also be the result of the presence of cryptic species, with a very similar morphology.  
579 However, due to their wide ecological range, *Bulimina aculeata* and *Hoeglundina* cf. *elegans*  
580 cannot be used as tracers of past-oxygen concentrations. Additionally, absolute foraminiferal  
581 diversity indices have to be interpreted very carefully in paleoreconstructions from the  
582 Arabian Sea, as our results show that low BWO does not always synonym of low  
583 foraminiferal diversity.

584

#### 585 **5. Conclusions**

586 This study focused on the response of living (Rose Bengal stained) benthic foraminifera to the  
587 combination of low organic-matter fluxes and extremely low oxygen concentrations on the  
588 poorly studied Indian margin. A transect through the OMZ yielded a dense and relatively  
589 diverse live assemblage in the extreme hypoxic conditions prevailing at 535 m in the OMZ  
590 core and a much sparser but more diverse assemblage in well-oxygenated waters at 2000 m  
591 depth, below the OMZ. The unexpectedly high diversity in the OMZ core, which contradicts  
592 the commonly accepted paradigm of low oxygen areas being characterized by low diversity  
593 faunas, may reflect the adaptation of the predominantly agglutinated species present here to  
594 these extreme conditions. However, this high diversity in the OMZ, may also result from

595 periods of higher oxygenation mediated by the activity of bottom currents in this region. The  
596 presence of *Hoeglundina* cf. *elegans* and dead thyasariid bivalves support the hypothesis of  
597 strong intermittent oxygen fluctuations. Moreover, extremely low oxygen concentrations may  
598 enhance the preservation of cellular material after the death of the organism, increasing the  
599 apparent diversity of the Rose Bengal stained assemblage.

600 The high abundances of *Reophax* and *Lagenammia* species suggest that these agglutinated  
601 foraminifera species are more tolerant to low-oxygen settings than previously thought.  
602 Although their ecology is not well understood, their presence may be related to the quality  
603 and quantity of the organic matter within the OMZ. However, foraminiferal assemblages  
604 (both agglutinated and calcareous taxa) change along the oxygen transect. An assemblage  
605 comprising species tolerant of severe hypoxia in the core of the OMZ is succeeded by a  
606 transitional assemblage in the lower part of the OMZ, and finally a fauna composed of more  
607 widely distributed species below the OMZ. We suggest that this faunal succession is mainly  
608 controlled by the bottom-water oxygen concentrations. There appears to be an oxygen  
609 threshold between 2 and 15  $\mu\text{M}$  that separates foraminifera typical of the hypoxic core, which  
610 probably have a restricted (endemic) distribution, from more cosmopolitan species that are  
611 less tolerant of hypoxia and characterise the deeper sites.

612 A comparison of benthic foraminiferal assemblages from the Indian margin with those  
613 reported in previous studies from other parts of the Arabian Sea suggests that similar species  
614 are common at sites with the same bottom-water oxygenation but subject to different organic-  
615 matter flux regimes. Thus bottom-water oxygen levels may exert the main control on species  
616 distributions in this region. This would enhance the utility of foraminiferal species as reliable  
617 tools to reconstruct past OMZ variability in the Arabian Sea.

618

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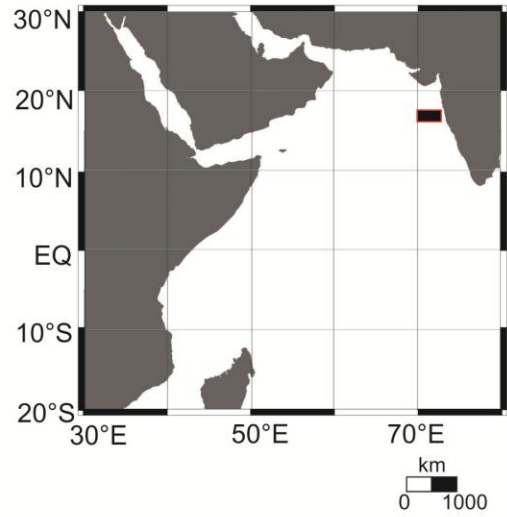
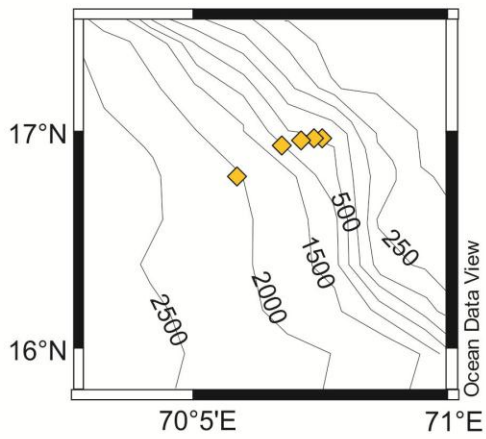
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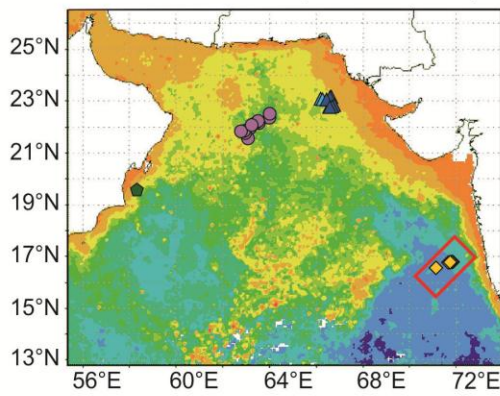
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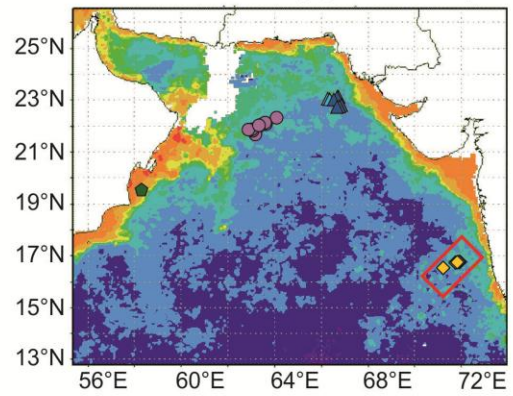


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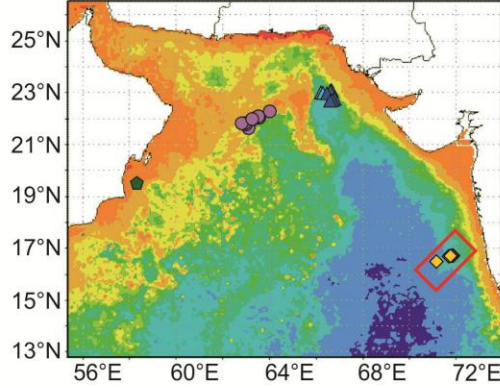
Sea-surface Chlorophyll a (mg/m<sup>3</sup>)  
Winter monsoon (Dec-Jan 2008)



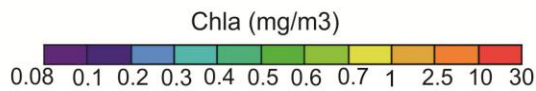
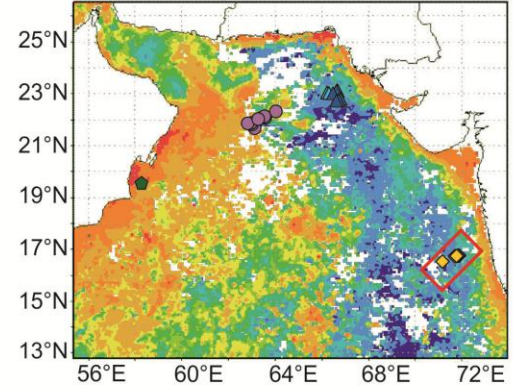
Sea-surface Chlorophyll a (mg/m<sup>3</sup>)  
Inter-monsoon (Apr-Jun 2008)



Sea-surface Chlorophyll a (mg/m<sup>3</sup>)  
Inter-monsoon (Sep-Dec 2008)



Sea-surface Chlorophyll a (mg/m<sup>3</sup>)  
Summer monsoon (Jun-Sep 2008)



◆ Indian margin (September-October 2008)

● Murray Ridge (January 2009)

▲ Pakistan margin (March - May 2003)

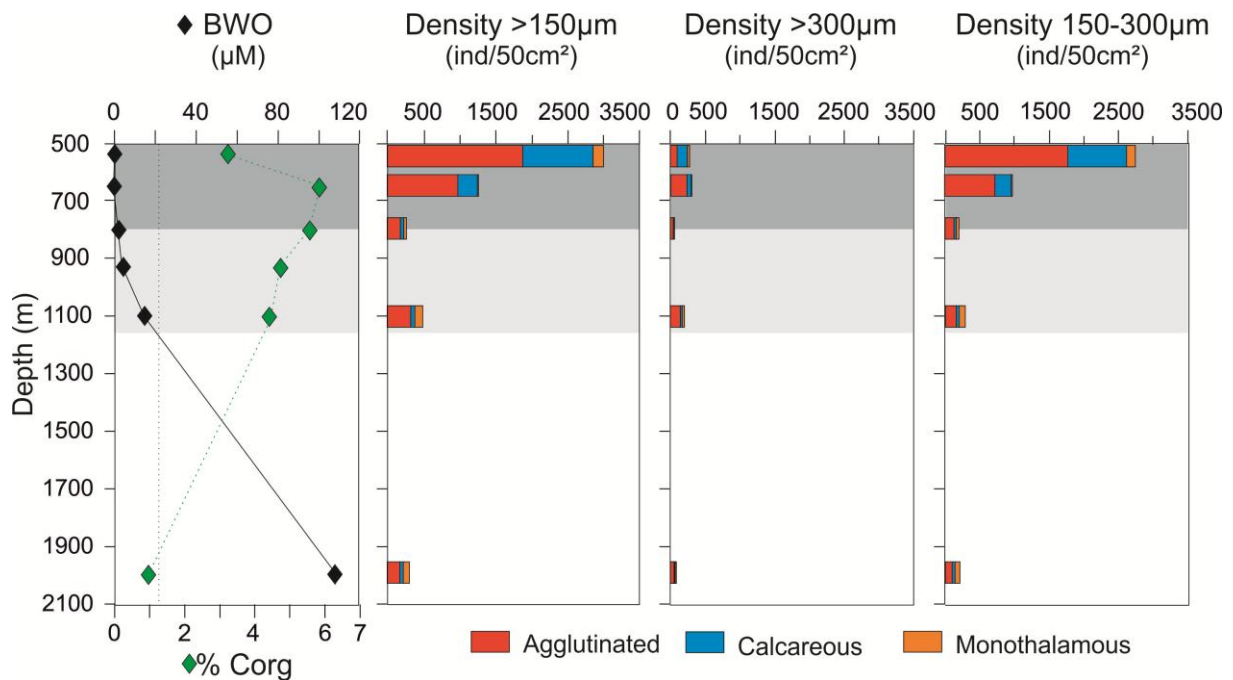
▲ Pakistan margin (September - October 2003)

◆ Oman margin (October - November 1994)

928 **Fig. 1.** (A) Study area and station location. (B) Sea-surface chlorophyll a concentration (mg/m  
 929 <sup>3</sup>) during the monsoonal cycle in 2008 at our study sites and previous studies of live benthic  
 930 foraminifera from the Arabian Sea (Gooday et al., 2000; Schumacher et al., 2007; Caille et al.,  
 931 2014); <http://gdata1.sci.gsfc.nasa.gov/daac-bin/G3/>

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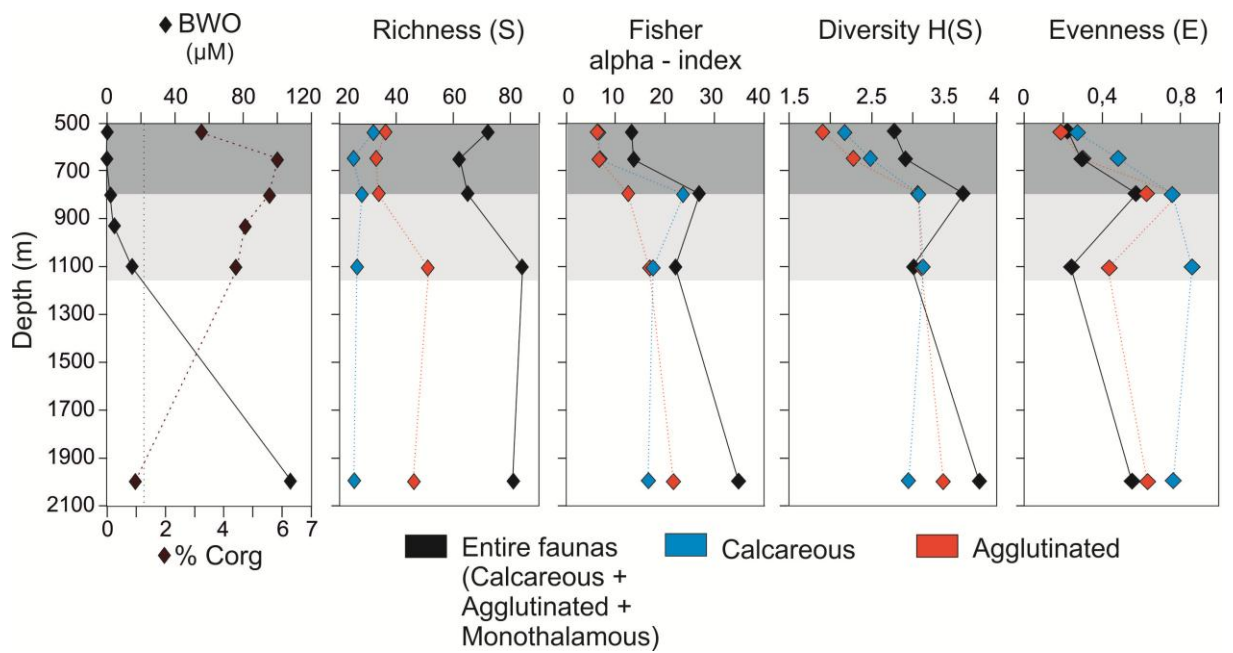


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935 **Fig. 2.** Total stained foraminiferal abundances (ind/50cm<sup>2</sup>) in the 150-300 µm, >300 µm and  
 936 >150 µm (i.e. 150-300 and >300 µm combined) fractions of the first cm (0-1 cm layer) along  
 937 the sampling transect. The BWO concentration (µM) and Corg content (wt. %) are from  
 938 Hunter et al. (2012) and Cowie et al. (2014). The vertical dashed line corresponds to the 22  
 939 µM limits defining an OMZ according to Levin et al. (2003). The dark grey shadow  
 940 represents the core of the OMZ (<2 µM; Paulmier and Ruiz-Pino, 2009) and the light grey  
 941 shadow the lower part of the OMZ (2-22 µM; Levin, 2003).

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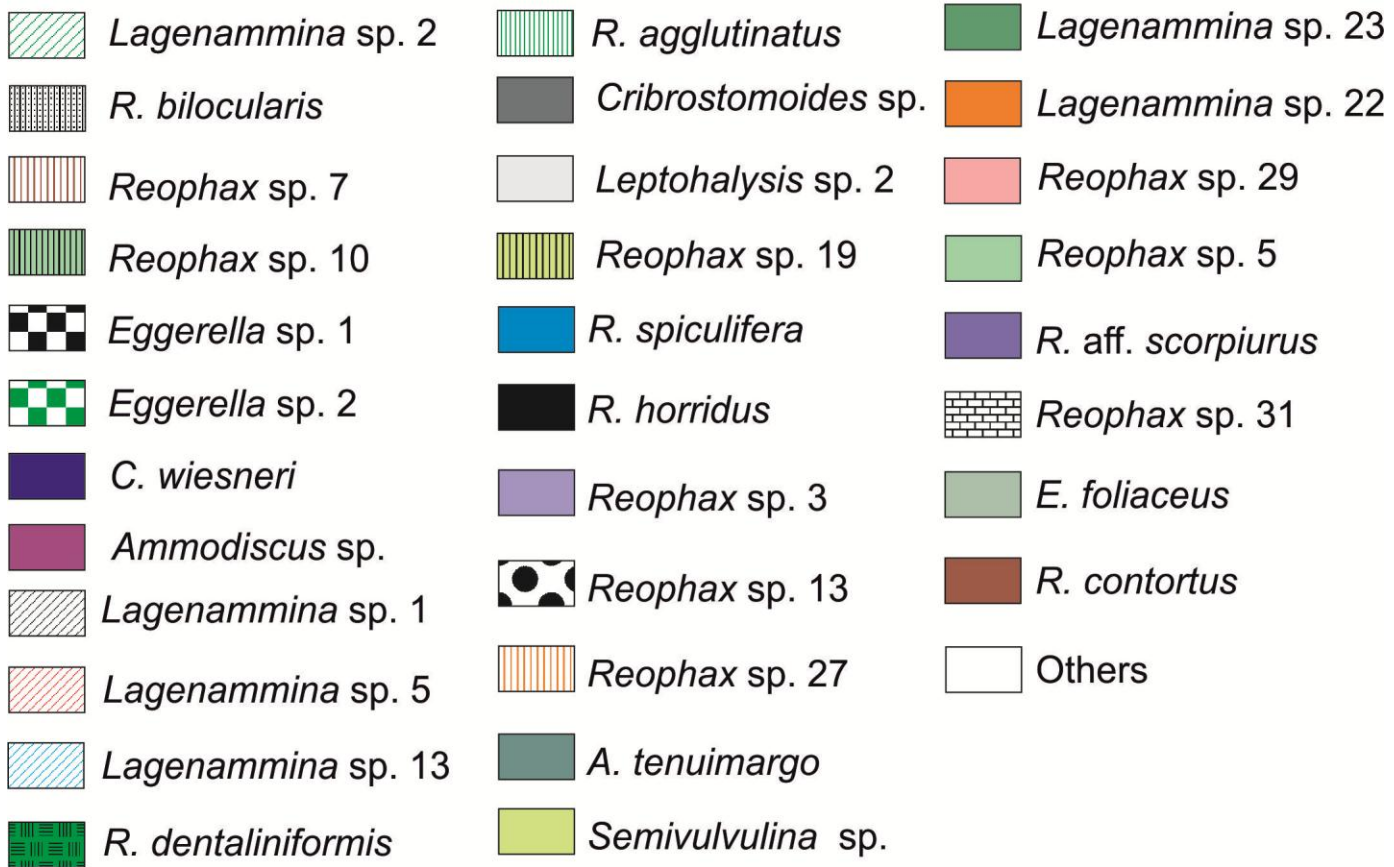
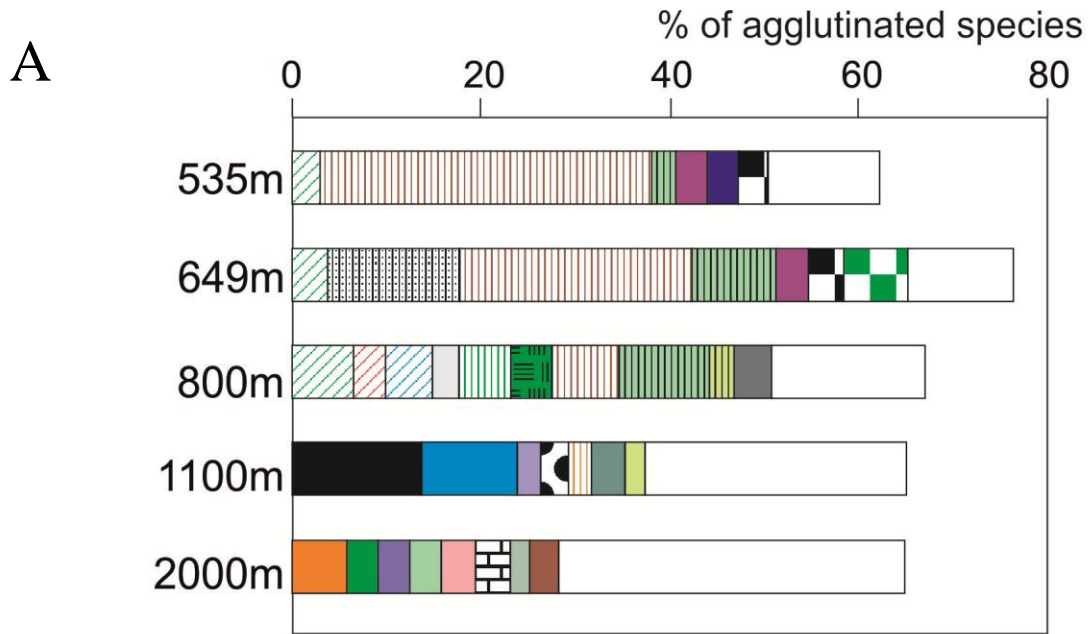


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945 **Fig. 3.** Stained foraminiferal diversity indices (in the >150µm fraction) along the sampling  
 946 transect. The Corg content (wt. %) is from Hunter et al. (2012) and Cowie et al. (2014). The  
 947 dashed line corresponds to the 22 µM limit defining an OMZ according to Levin et al. (2003).  
 948 The dark grey shadow represent the core of the OMZ (<2 µM; Paulmier and Ruiz-Pino, 2009)  
 949 and the light grey shadow the lower part of the OMZ (2-22 µM; Levin, 2003).

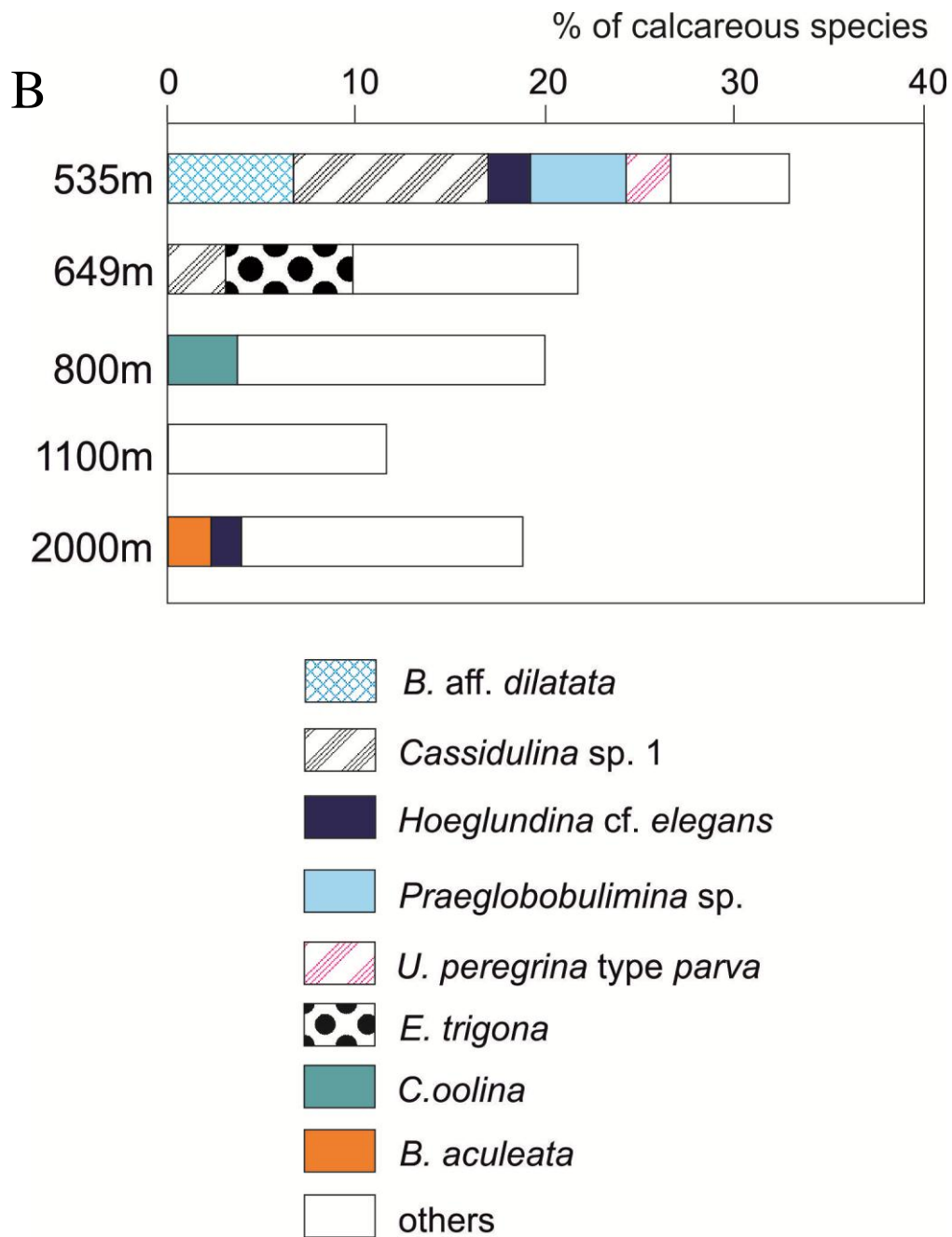
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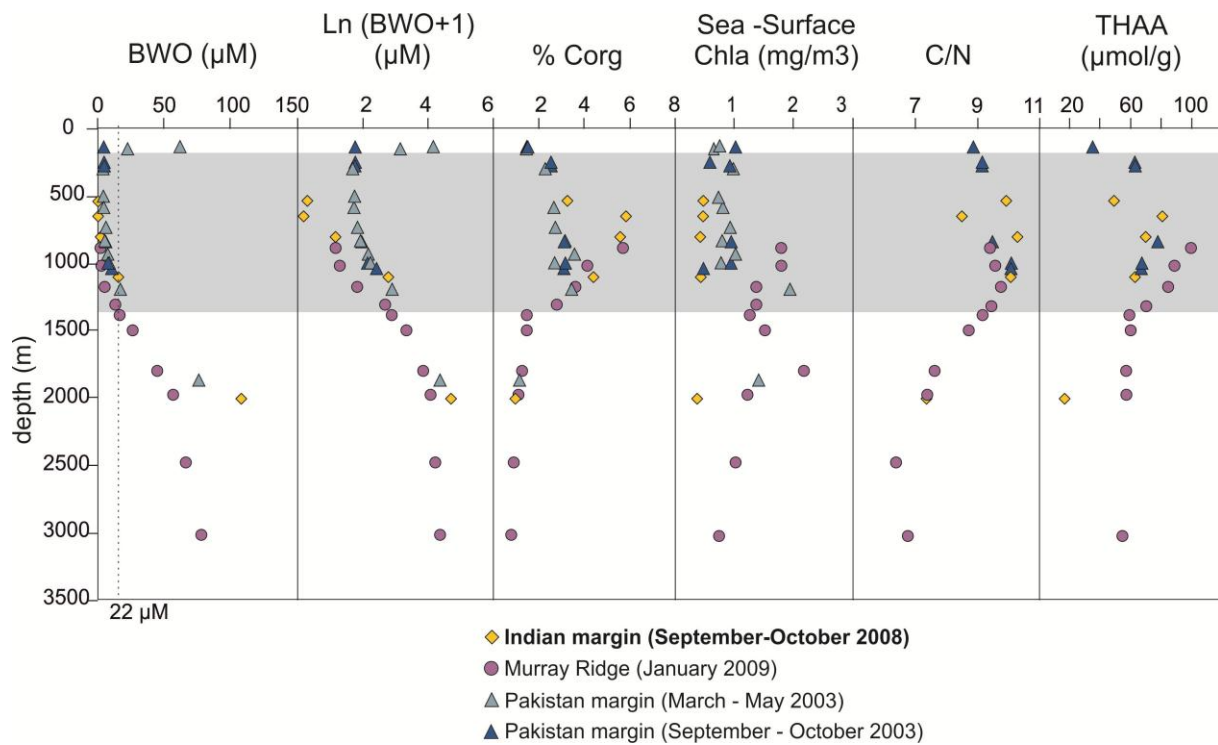


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954 **Fig. 4.** Relative abundances of 'live' foraminiferal species in the >150 µm fraction of the top  
 955 1-cm layer at each station. (A) Agglutinated assemblage. (B) Calcareous assemblage. In each  
 956 case, only the dominant species (>2% at each station) are represented individually.

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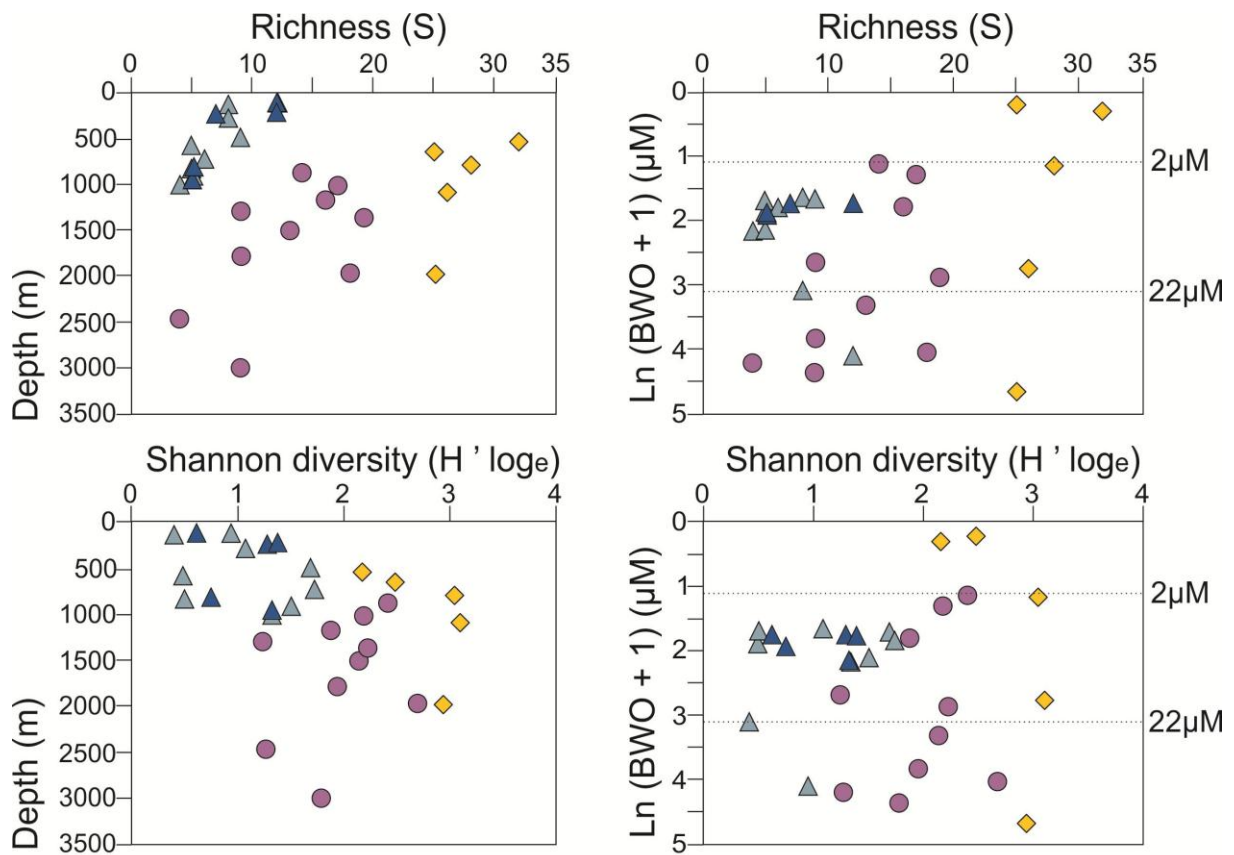


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960 **Fig. 5.** Regional comparison of the environmental parameters on the Indian and Pakistan  
 961 margins and the Murray ridge. The Corg content, C/N, THAA concentration and DI data are  
 962 from Hunter et al. (2012) and Cowie et al. (2014) for the Indian margin; from Vandewiele et  
 963 al. (2009) for the Pakistan margin; from Koho et al. (2013) for the Murray ridge. Because  
 964 some values were  $<1$ , the BWO was expressed using the natural logarithm + 1 ( $\text{Ln}(\text{BWO}+1)$ ).  
 965 The dark grey shading corresponds to the OMZ.

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- ◆ Indian margin (post-summer monsoon 2008; this study)
- Murray Ridge (winter monsoon 2009; Caulle et al., 2014)
- ▲ Pakistan margin (spring intermonsoon 2003; Schumacher et al., 2007)
- ▲ Pakistan margin (post-summer monsoon 2003; Schumacher et al., 2007)

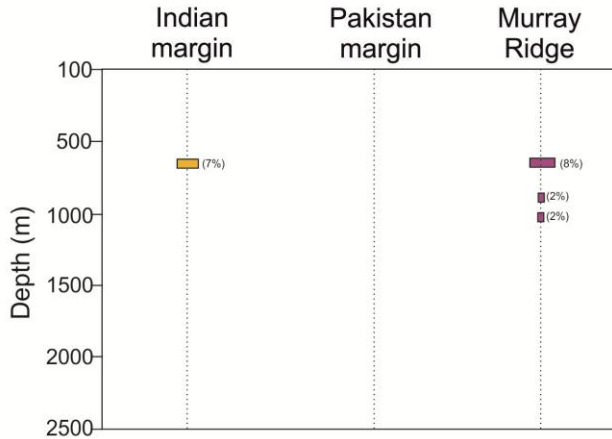
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969 **Fig. 6.** Species richness and Shannon index (calculated for the calcareous fauna only) vs.  
 970 depth (m) and Ln (BWO+1) for the Indian margin, the Pakistan margin and the Murray ridge.  
 971 Because some values were <1, the BWO was expressed using the natural logarithm + 1  
 972 (Ln(BWO+1)). The dash lines correspond to the limits of the OMZ core (2μM) and to the  
 973 lower boundary of the OMZ (22μM).

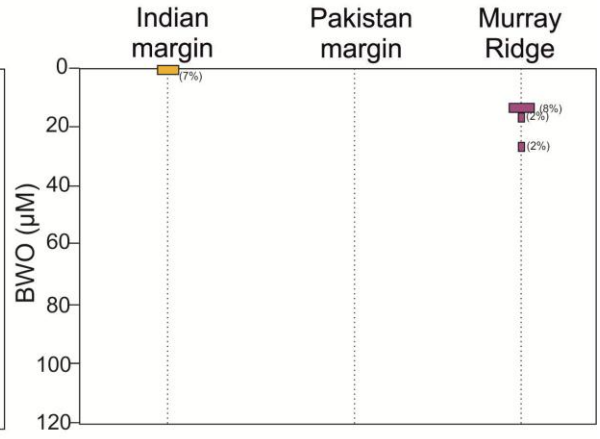
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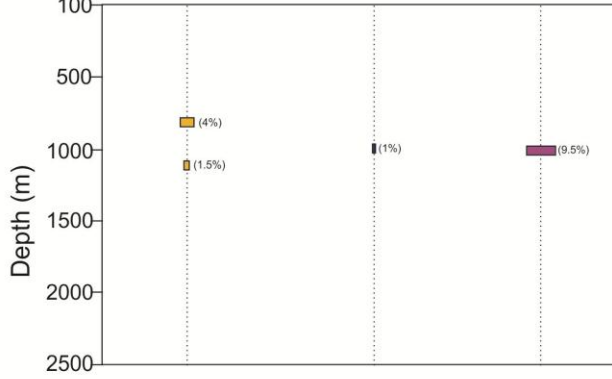
*Ehrenbergina trigona*



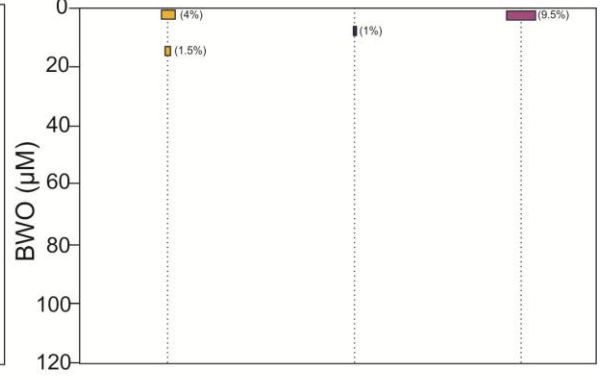
*Ehrenbergina trigona*



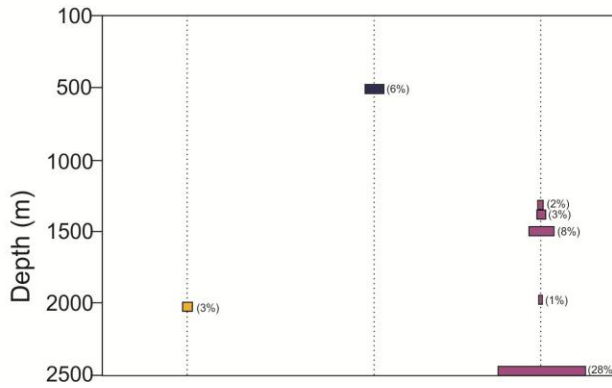
*Chilostomella oolina*



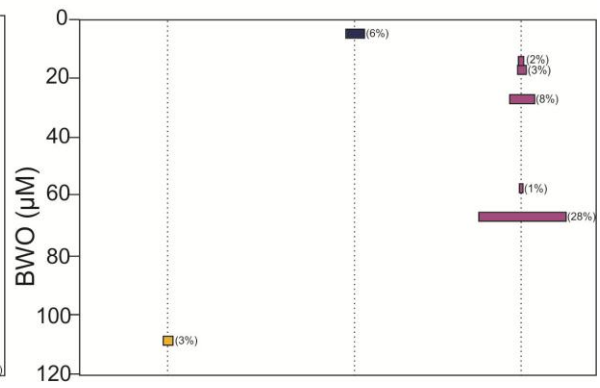
*Chilostomella oolina*



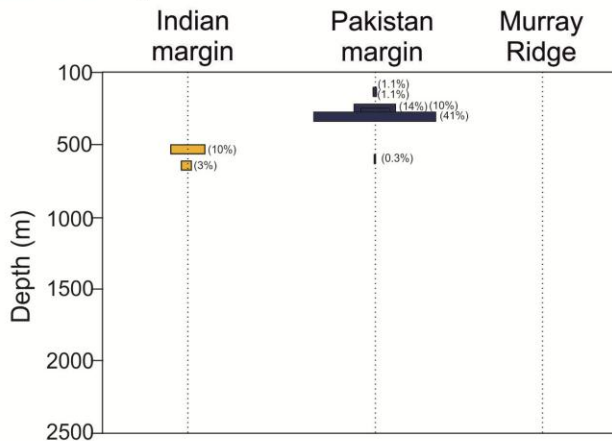
*Bulimina aculeata*



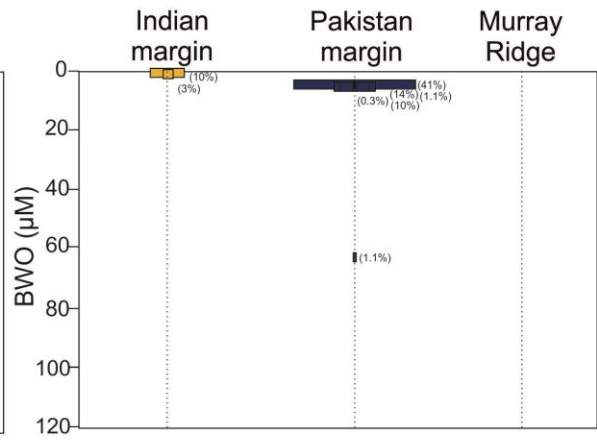
*Bulimina aculeata*



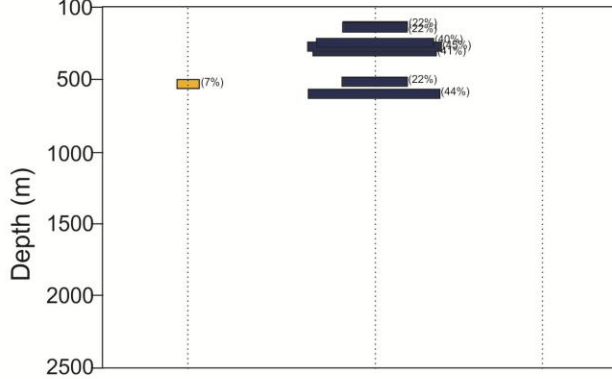
*Cassidulina* sp. 1



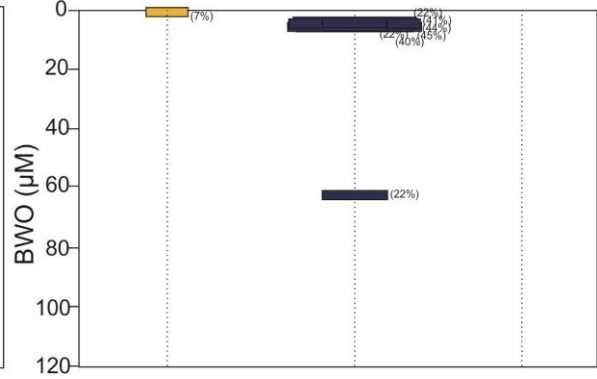
*Cassidulina* sp. 1



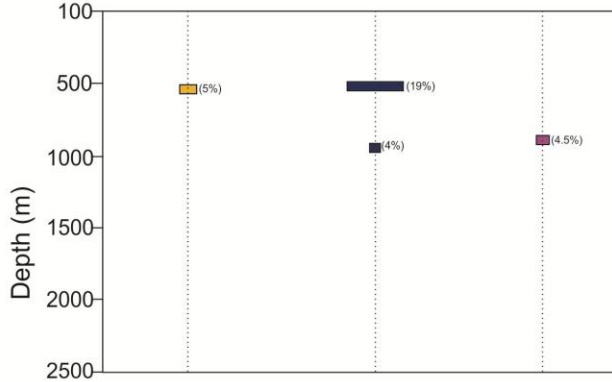
*Bolivina* aff. *dilatata*



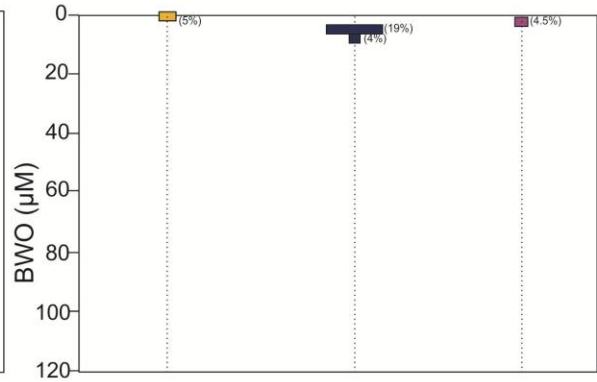
*Bolivina* aff. *dilatata*



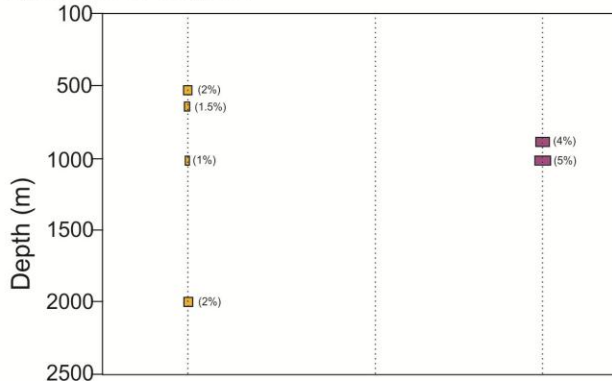
*Praeglobbulimina* sp. 1



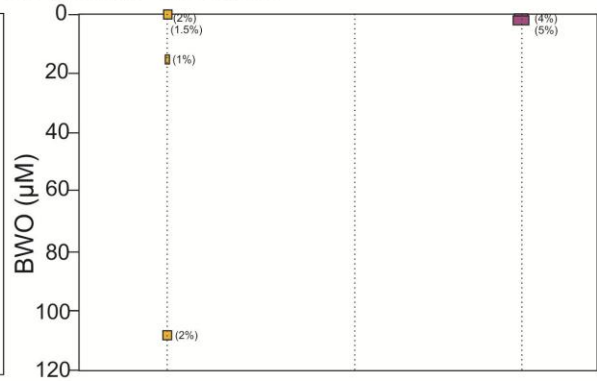
*Praeglobbulimina* sp. 1



*Hoeglundina* cf. *elegans*



*Hoeglundina* cf. *elegans*



978 **Fig. 7.** Comparison of species occurrences vs. depth (left panel) and BWO ( $\mu\text{M}$ ; right panel)  
979 for three regions in the Arabian Sea. *Cassidulina* sp. 1 (from the Indian margin) and  
980 *Cassidulina laevigata* (Schumacher et al., 2007) was grouped into *Cassidulina* sp.. Percentage  
981 species occurrences are indicated in brackets.

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535 m BWO: 0.35 µM		649 m BWO: 0.23 µM		800 m BWO: 2.2 µM		1100 m BWO: 15 µM		2000 m BWO: 108 µM	
<i>Reophax</i> sp. 7	1118 (35.1)	<i>Reophax</i> sp. 7	329 (24.5)	<i>Reophax</i> sp. 7	19 (6.8)	<i>R. horridus</i>	76 (7.6)	<i>Reophax</i> sp. 29	12 (3.7)
<i>Ammodiscus</i> sp. 1	113 (3.5)	<i>R. bilocularis</i>	190 (14.2)	<i>Lagenammina</i> sp. 1	18 (6.4)	<i>R. spiculifera</i>	53 (5.3)	<i>Lagenammina</i> sp. 23	11 (3.4)
<i>C. wiesneri</i>	104 (3.3)	<i>Reophax</i> sp. 10	118 (8.9)	<i>Reophax</i> sp. 10	18 (6.4)	<i>A. tenuimargo</i>	18 (1.8)	<i>R. aff. scorpiurus</i>	11 (3.4)
<i>Eggerella</i> sp. 2	97 (3.1)	<i>Eggerella</i> sp. 2	90 (6.7)	<i>R. agglutinatus</i>	15 (5.4)	<i>Reophax</i> sp. 13	15 (1.5)	<i>Reophax</i> sp. 31	11 (3.4)
<i>Lagenammina</i> sp. 2	92 (3)	<i>Eggerella</i> sp. 1	52 (3.9)	<i>Lagenammina</i> sp. 13	14 (5)	<i>Reophax</i> sp. 27	13 (1.3)	<i>Reophax</i> sp. 5	10 (3.1)
<i>Reophax</i> sp. 10	77 (2.4)	<i>Lagenammina</i> sp. 2	49 (3.6)	<i>R. dentaliniformis</i>	12 (4.3)	<i>Reophax</i> sp. 3	12 (1.2)	<i>R. contortus</i>	9 (2.8)
<i>Trochammina</i> sp. 1	62 (2)	<i>Ammodiscus</i> sp. 1	46 (3.4)	<i>Cribrostomoide</i> s sp.	11 (4)	<i>Semivulvulina</i> sp.	11 (1.1)	<i>E. foliaceus</i>	7 (2.2)
<i>R. aff. scorpiurus</i>	46 (1.4)	<i>C. wiesneri</i>	24 (1.8)	<i>Lagenammina</i> sp. 5	9 (3.2)	<i>Lagenammina</i> sp. 13	10 (1)	<i>Lagenammina</i> sp. 25	6 (1.8)
<i>Eggerella</i> sp. 1	42 (1.3)	<i>Spiroplectammina</i> sp. 2	17 (1.3)	<i>Reophax</i> sp. 19	8 (3)	<i>Reophax</i> sp. 10	9 (0.9)	<i>P. challengerii</i>	5 (1.5)
<i>R. bilocularis</i>	35 (1.1)	<i>R. bilocularis</i> form 2	14 (1)	<i>Leptohalysis</i> sp. 2	7 (2.5)	<i>Reophax</i> sp. 1	7 (0.7)	<i>R. dentaliniformis</i>	4 (1.2)
				<i>Reophax</i> sp. 13	5 (2.5)				
		<i>E. trigona</i>	89 (6.6)						
<i>Cassidulina</i> sp. 1	324 (10.1)	<i>Cassidulina</i> sp. 1	42 (3.1)	<i>C. oolina</i>	10 (3.6)	<i>C. oolina</i>	6 (0.6)	<i>B. aculeata</i>	7 (2.1)
<i>B. aff. dilatata</i>	216 (6.8)	<i>N. cf. umboniferus</i>	22 (1.6)	<i>C. auriculus</i>	4 (1.4)	<i>H. elegans</i>	4 (0.4)	<i>Gyroidina</i> sp. 1	6 (1.8)
<i>Praeglobobulimina</i> sp. 1	161 (5.1)	<i>H. elegans</i>	19 (1.4)	<i>Bolivina</i> sp.	3 (1.1)	<i>G. subglobosa</i>	4 (0.4)	<i>B. alazensis</i>	5 (1.5)
<i>H. elegans</i>	72 (2.2)	<i>L. cf. calcar</i>	18 (1.3)	<i>Globobulimina</i> spp.	3 (1.1)	<i>M. barleeanus</i>	4 (0.4)	<i>E. exigua</i>	5 (1.5)
<i>U. peregrina</i>	72 (2.2)	<i>Globobulimina</i> spp.	17 (1.3)	<i>N. cf. umboniferus</i>	3 (1.1)	<i>P. bulloides</i>	4 (0.4)	<i>H. elegans</i>	5 (1.5)
<i>U. ex. gr. U. semiornata</i>	39 (1.2)	<i>F. rotundata</i>	10 (0.7)	<i>P. quinqueloba</i>	3 (1.1)	<i>P. pupoides</i>	3 (0.3)	<i>P. bulloides</i>	4 (1.2)
<i>N. cf. umboniferus</i>	36 (1.1)	<i>C. oolina</i>	9 (0.7)	<i>Pullenia</i> sp.	3 (1.1)	<i>Amphycorina</i> spp.	2 (0.2)	<i>C. brady</i>	3 (0.9)
<i>P. quinqueloba</i>	25 (0.8)	<i>Praeglobobulimina</i> sp. 1	9 (0.7)	<i>B. aff. dilatata</i>	2 (0.7)	<i>B. aculeata</i>	2 (0.2)	<i>G. subglobosa</i>	3 (0.9)
<i>C. auriculus</i>	15 (0.4)	<i>Pullenia</i> sp.	9 (0.7)	<i>Cassidulina</i> sp. 1	2 (0.7)	<i>C. auriculus</i>	2 (0.2)	<i>O. umbonata</i>	3 (0.9)
<i>E. trigona</i>	11 (0.3)	<i>R. semiinvoluta</i>	9 (0.7)	<i>G. orbicularis</i>	2 (0.7)	<i>Cibicoides</i> sp.	2 (0.2)	<i>P. murrhina</i>	2 (0.6)

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1003 **Table 1.** Top 10 ranked species at each site. The number to the right of each name indicate  
1004 counts of specimens with the relative abundances (%) in brackets.

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