- 1 Representing northern peatland microtopography and
- 2 hydrology within the Community Land Model
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25 Abstract

26 Predictive understanding of northern peatland hydrology is a necessary 27 precursor to understanding the fate of massive carbon stores in these systems 28 under the influence of present and future climate change. Current models have 29 begun to address microtopographic controls on peatland hydrology, but none have 30 included a prognostic calculation of peatland water table depth for a vegetated 31 wetland, independent of prescribed regional water tables. We introduce here a new 32 configuration of the Community Land Model (CLM) which includes a fully prognostic 33 water table calculation for a vegetated peatland. Our structural and process changes 34 to CLM focus on modifications needed to represent the hydrologic cycle of bogs 35 environment with perched water tables, as well as distinct hydrologic dynamics and vegetation communities of the raised hummock and sunken hollow 36 37 microtopography characteristic of peatland bogs. The modified model was 38 parameterized and independently evaluated against observations from an 39 ombrotrophic raised-dome bog in northern Minnesota (S1-Bog), the site for the 40 Spruce and Peatland Responses Under Climatic and Environmental Change 41 experiment (SPRUCE). Simulated water table levels compared well with site-level 42 observations. The new model predicts hydrologic changes in response to planned 43 warming at the SPRUCE site. At present, standing water is commonly observed in 44 bog hollows after large rainfall events during the growing season, but simulations 45 suggest a sharp decrease in water table levels due to increased evapotranspiration 46 under the most extreme warming level, nearly eliminating the occurrence of 47 standing water in the growing season. Simulated soil energy balance was strongly

48 influenced by reduced winter snowpack under warming simulations, with the
49 warming influence on soil temperature partly offset by the loss of insulating
50 snowpack in early and late winter. The new model provides improved predictive
51 capacity for seasonal hydrological dynamics in northern peatlands, and provides a
52 useful foundation for investigation of northern peatland carbon exchange.
53

55 **1. Introduction**

56 Peatlands contain about 30% of global soil carbon, despite covering only 3% 57 of the Earth's land surface (Gorham, 1991; Bridgham et al., 2006; Tarnocai, 2009). 58 Northern peatlands play an important role in global carbon balance due to their 59 capacity to store carbon and to exchange both CO₂ and methane with the 60 atmosphere. Carbon accumulated over thousands of years in these systems is 61 projected to be vulnerable to climate warming (Wu et al., 2013). Manipulative 62 experiments and process-resolving models are needed to make defensible projections of the net carbon balance of the northern peatlands in the face of a 63 64 warming global environment. 65 In this paper we focus on hydrological dynamics of peatlands, as the water balance of peatlands plays a critical role in their carbon balance (Lafleur et al., 66 2003). Kettridge et al. (2013) showed the importance of peatland hydrology as a 67 regulatory control on carbon dynamics and the future stability of peatland carbon 68 69 stocks and regional water dynamics. Seasonal and interannual fluctuations in water 70 table elevation can affect peatland net CO₂ exchange through complex effects on soil 71 processes (Mezbahuddin et al., 2013). Modeling by Grant et al. (2012) suggested 72 that the productivity of wetlands is strongly affected by changes in water table level, 73 and that the effects are complex and site-specific. Hydrologic dynamics can affect 74 tree growth, and modify the density, size, and species distribution in peatlands 75 (MacDonald and Yin, 1999; Robreck et al., 2009). Wu et al. (2013) showed that an 76 increase of water table level by 15cm could decrease net ecosystem production by 77 up to 200% and switch peatlands from a net sink to a net source of carbon. As

78 hydrology and biogeochemical cycling are tightly linked in peatlands (Waddington 79 et al., 2001; Silvola et al., 1996; Dise et al., 2011), the accuracy of predicted peatland 80 water table dynamics is likely to affect the accuracy of the predicted peatland 81 carbon exchange. To the extent they are used to evaluate carbon cycle - climate 82 system feedbacks, a reasonable requirement for land surface models operating 83 within global climate models should therefore be that they make reliable 84 predictions of peatland hydrology and hydrologic processes. 85 Peatland surfaces are often characterized by distinct micro-topography 86 (hollows and hummocks) (Nungesser, 2003). The existence of hummock-hollow 87 microtopography has important impacts on hydrological dynamics (Lindholm and 88 Markkula 1984; Verry et al., 2011b), nutrient availability (Chapin et al., 1979; 89 Damman, 1978), plant species distribution and productivity (Andrus et al. 1983; 90 Moore 1989), and decomposition rates (Johnson and Damman 1991). Many 91 wetland ecosystem models drive biogeochemical simulations using observed water 92 table depth as an input variable (St-Hilaire et al., 2010; Frolking et al., 2002; Hilbert 93 et al., 2000). Even though such models include water table effects, the models have 94 not simulated observed variation for hummock/hollow microtopography common 95 to raised-dome bog peatlands. The absence of this important detail may limit the 96 predictive capabilities of existing peatland models. Other ecohydrological models 97 couple hydrology and carbon cycles in peatlands, but differ with respect to their 98 hydrological schemes and the way they treat (or ignore) topography (Dimitrov et al, 99 2011). Some models, such as Biome-BGC (Bond-Lamberty et al., 2007), and 100 Wetland-DNDC (Zhang et al., 2002) only simulate vertical soil water flow, neglecting

101	lateral flow components (Dimitrov et al, 2011) within peatlands. Wania et al.
102	(2010) describe a model of wetland hydrology and biogeochemistry (LPJ-WHyMe),
103	but do not include consideration of microtopography or lateral flows. Others, such
104	as BEPS (Chen et al., 2005, 2007) and InTEC v3.0 (Ju et al., 2006) include
105	sophisticated ecohydrological and biogeochemical sub-models capable of simulating
106	three-dimensional hydrology (for large scale topography) coupled to peatland
107	carbon dynamics. Sonnentag et al. (2008) further adapted BEPS to model the effects
108	of mesoscale (site level) topography on hydrology, and hence on CO_2 exchange at
109	Mer Bleue bog. Some advanced theoretical wetland models have included
110	ecohydrological feedbacks for the patterning on peatlands (Frolking et al., 2010; Mirris,
111	2013). Additionally, some cellular landscape models described by Swanson and Grigal
112	(1988), Couwenberg and Joosten (2005), Eppinga et al. (2009) and Morris et al. (2013),
113	dealing explicitly with fine-scale variability of peatland hydrology, have also been
114	applied to explore peat development. The model presented by Bohn et al. (2013) includes
115	fractional area representations for ridge and hollow in a wetland, but does not consider
116	explicit lateral fluxes between these microtopgraphic units. To the best of our
117	knowledge, only one ecosystem model currently includes representation of
118	microtopographic variability (hummock-hollow topography) with lateral
119	connection, that being the "ecosys" model (Grant et al., 2012). Ecosys tracks
120	horizontal exchange between hummock and hollow elements, but its prediction of
121	water table dynamics is constrained by specifying a regional water table at a fixed
122	height and a fixed distance from the site of interest (mainly applied for a fen
123	environment). Here we explore an extension of that approach, with lateral

124 connections between hummock and hollow elements, and with a more mechanistic 125 simulation of water table dynamics. Rather than specifying an external water table 126 height, we predict bog water table dynamics in part as a function of bog geometry, 127 including height of the bog's raised-dome center relative to a bog-scale drainage 128 element (lagg), relative surface height differences between hummock and hollow, 129 and fractional area contributions from hummocks and hollows. We implement this 130 new capability within the Community Land Model (CLM), with the aim of expanding 131 our simulations to large-scale bog simulations in subsequent studies. 132 The Community Land Model (CLM) (Oleson et al., 2013), the land component 133 of the Community Earth System Model (CESM), couples water, carbon, nitrogen, and 134 energy cycles together for the study of ecosystems. CLM does not currently 135 represent vegetated peatlands (or vegetated wetlands of any type), nor does it 136 represent lateral flow pathways common to surficial peats (Verry et al., 2011a, b). 137 To realistically represent the hydrological dynamics of raised-dome bog 138 microtopography in CLM, we incorporated structural and process changes 139 characteristic of vegetated peatlands. CLM without and with our new modifications 140 is hereafter referred to as CLM Default and CLM SPRUCE, respectively. A key 141 objective for this effort was to produce an enhanced CLM_SPRUCE capable of being 142 using for accurate simulations of high-carbon wetland hydrologic and carbon cycle 143 responses for application to plausible future climate conditions. SPRUCE, the 144 Spruce and Peatland Responses Under Climatic and Environmental Change 145 experiment, is a 10-year warming by elevated CO₂ manipulation of a high-carbon 146 forested peatland in northern Minnesota designed to provide information on

147 ecosystem changes under unique future warming and atmospheric conditions 148 (http://mnspruce.ornl.gov). The modified CLM model is parameterized from, and 149 independently evaluated against, observations from pre-treatment data sets for the 150 SPRUCE experiment and long-term peatland hydrology studies on the Marcell 151 Experimental Forest (MEF). The model improvements reported here represent the 152 first time that the isolated hydrologic cycle of an ombrotrophic bog, with its 153 characteristic raised hummocks and sunken hollows, has been represented in the 154 land surface component of an Earth system model. Hummock-hollow functionality 155 within CLM SPRUCE allows for the simulation of defensible estimates of peatland 156 water table dynamics, necessary to predict dynamic CO2 and CH4 flux components 157 for peatland carbon cycle predictions.

158 **2. Site description and measurement**

159 Our study focuses on an ombrotrophic bog (a raised-dome peat bog in which 160 water and nutrient inputs originate from atmospheric sources). The specific study 161 site is a high-carbon, boreal peatland, which is located approximately 40 km north 162 of Grand Rapids, Minnesota, USA (N 47° 30.476'; W 93° 27.162' and 412 m above 163 mean sea level). The site is designated the S1-Bog and is situated within the S1 164 watershed (Fig. 1). The S1-Bog and watershed have been part of a long-term 165 research program of the USDA Forest Service Northern Research Station at the MEF 166 for over 50 years (Verry et al., 2011c).

The S1-Bog is an 8.1-ha *Picea-Sphagnum* bog that was harvested in two
successive strip cuts 5 years apart (1969 and 1974) (Sebestyen et al., 2011a). The
bog surface has a hummock/hollow microtopography with a typical relief of 10 to

170 30 cm between the tops of the hummocks and the bottoms of the hollows (Nichols 171 1998). The elevation of the hollows is fairly consistent throughout the S1-Bog, but 172 increases along a gentle slope to the highest point of the raised-dome near the 173 center of the bog (Verry, 1984; Richardson et al., 2010). The vegetation, climate, 174 hydrology, long-term monitoring, and post-European settlement site history are 175 described in Sebestven et al. (2011a). Briefly, vegetation within the S1-Bog is 176 dominated by the tree species *Picea mariana* (Mill.) B.S.P and *Larix laricina* (Du Roi) 177 K. Koch, a variety of ericaceous shrubs, and *Sphagnum* sp. moss. Mean annual air 178 temperature is 3.4°C, and the average annual precipitation is 780 mm (Verry et al., 179 2011d), with 75% of the precipitation occuring in the snow-free period from mid-180 April to early November. Mean annual air temperatures have increased about 0.4°C 181 per decade over the last 40 years.

182 Peatlands at the MEF formed as ice-block depressions infilled over the past 183 11,000 years (Verry et al., 2011d). The peatlands are surrounded by gently sloping 184 upland mineral soils that drain toward the peatland. The peat deposit in the S1-Bog 185 is generally 2 to 4 m deep with maximum depths of 11 m (Parsekian et al., 2012). In 186 a typical year, the peatland water table fluctuates within the top 30-cm of peat 187 (Sebestyen et al., 2011b), which corresponds to peats that are least decomposed and 188 have the highest hydraulic conductivities (Verry et al., 2011a). As such, water flows 189 laterally through these highly conductive peats when water tables are near the peat 190 surface and the peatland water table is above the elevation of the peatland outlet. 191 The peatland has two hydrologically and vegetationally distinct zones: the bog and 192 the surrounding lag zone (Verry et al, 2011b). The central raised-dome bog radially

193 drains to the peatland perimeter (the lagg zone) when water tables are near the peat surface (Fig. 2). Water flows into the peatland lagg from both the upland and 194 195 bog soils and the lagg coalesces into an outlet stream (Fig. 2). Streamflow is 196 intermittent, with flow occurring during snowmelt and after large rainfall events. 197 Some water does exit the peatland through lateral subsurface flow through a sand 198 berm that forms the southern boundary of the peatland, and through the bottom of 199 the ancient lake bed. The broadly-domed surface of the bog is characterized by a 200 microtopography of raised hummocks and sunken hollows. The mean height of the 201 bog surface above the level of the lagg is estimated as 0.7m to the hummock 202 surfaces, and 0.4m to the hollow surfaces, which is typical of raised-dome bog 203 structure in general.

204 Evapotranspiration (ET) is ~65% of annual precipitation in peatlands at the

205 MEF (Brooks et al., 2011). As a part of SPRUCE pretreatment measurement

206 protocols, water table levels have been measured every 30-minutes at the

207 meteorological stations (EM1 and EM2) that are approximately 3 m apart in the S1-

208 Bog (data and metadata are available at

209 <u>ftp://mnspruce.ornl.gov/SPRUCE_EM_DATA_2010_2011/</u>). Water levels were

210 recorded from TruTrack WT-VO water level sensors (±~2mm resolution,

211 <u>http://www.trutrack.com/WT-VO.html</u>) using Campbell Scientific CR1000

212 dataloggers. The two water level sensors were placed in hollows at EM1 and EM2.

213 Water table levels have been recorded since 2011 with the exception of periods of

214 frozen peat when the sensors are nonfunctional. In this study, water table height is

215 referenced to zero at the hollow surface. Positive values indicate standing water in

the hollows, and negative values indicate that water table is below hollow surfaces.

217 While measurements of ET are not available for the S1-Bog, annual ET 218 estimated on the basis of water budget measurements is available for a 21-year 219 period of record (1979-1999) at the nearby S2-Bog (Nichols and Verry, 2001). The 220 physical setting, vegetation types and water table dynamics of the S1 and S2 Bogs 221 are similar, except the S2-Bog did not undergo the strip cuts.

222 3. Model description and experiment design

223 3.1 Model description

224 We used the Community Land Model version 4.5 (CLM4.5) as the starting point 225 for our model development and evaluation. The new features of CLM4.5 (Oleson et 226 al., 2013) compared to its predecessor CLM4 include improved canopy processes, 227 soil hydrology updates, a new lake model, a vertically resolved soil biogeochemistry 228 scheme (Koven et al. 2013), a new fire model, a methane production, oxidation, and 229 emissions model (Riley et al. 2011) and an optional runoff generation scheme (Li et 230 al. 2011). Hydrology improvements in CLM4.5 include introduction of an ice 231 impedance function, surface water and other corrections that increase the 232 consistency between soil water saturated state and water table position, allowing 233 for the maintenance of a perched water table above permafrost layers (Swenson et 234 al., 2012).

The default CLM4.5 hydrology parameterizes interception, throughfall, canopy
drip, snow accumulation and melt, water transfer between snow layers, infiltration,

237 evaporation, surface runoff, sub surface drainage, vertical transport through the 238 vadose zone, and groundwater discharge and recharge (Fig. 7.1 in Oleson et. al, 239 2013). CLM4.5 also includes hydrologic and thermal properties for organic-rich 240 peat in addition to mineral soils. CLM4.5 does not include interactions between 241 horizontally variable soil columns, so no lateral flows are represented. The default 242 CLM4.5 parameterization for subsurface drainage produces an unrealistically deep 243 water table relative to observations in wetlands (Oleson et al., 2008). For this study 244 we use the thermal and hydraulic properties of peat as defined globally in CLM 4.5 245 (Lawrence and Slater, 2007) with the exception of the maximum subsurface 246 drainage rate, which is calibrated for the site ($q_{drai,0}$, see next section).

3.2 New formulation for raised-dome bog hydrology

248 Microtopography is simulated in CLM_SPRUCE by two interconnected soil 249 profiles representing the hummock and hollow areas, with the hollow surface set 250 0.3 m lower than that of the hummock, and with otherwise identical physical 251 properties with depth. The bog area is assumed to be 75% hummock and 25% 252 hollow, an approximation based on site measurements. We added several new 253 structure and process representations to CLM4.5 to improve correspondence with 254 observed features of the S1-Bog (Fig. 2, inset). Modifications include 1) 255 reformulation of the subsurface drainage term to represent horizontal subsurface 256 flow from the bog to the lagg which then drains to the outlet stream; 2) introduction 257 of a two-column structure to represent hummock/hollow microtopography; 3) 258 addition of lateral transport to represent saturated equilibration between the 259 hummock and hollow columns; 4) introduction of surface runoff from hummocks to

hollows; and 5) drainage of surface water in the hollows to the lagg. Our intent with
this particular set of modifications was to capture the physical and hydrological
processes that distinguish an ombrotrophic bog from the more general soil
hydrology representation in the original model.

The original formulation for subsurface runoff uses a TOPMODEL-based approach for surface and subsurface runoff (Niu et al., 2005). Subsurface drainage rate q_{drai} exponentially decays with water table depth:

$$q_{drai} = q_{drai,0} \exp\left(-f_{drai}\left(z_{w}\right)\right) \tag{1}$$

267 Here, $q_{drai,0}$ is the maximum subsurface drainage rate (Kg m⁻² s⁻¹), which occurs when the water table is at the surface. f_{drai} is the exponential decay factor (m⁻¹), and 268 269 z_w is the depth of the water table below the surface (m). For our new model we use 270 the default global value f_{drai} = 2.5 m⁻¹ from CLM4.5 (Oleson et al. 2013), but we 271 modify equation (1) such that the subsurface drainage term becomes zero when the 272 water table depth drops to the local depth of the lagg z_{lagg} (0.4m relative to the 273 hollow surface and 0.7m relative to the hummock surface as a mean value for the 274 S1-Bog):

$$q_{drai} = q_{drai,0} \left(\exp\left(-f_{drai} \left(z_{w}\right)\right) - \exp\left(-f_{drai} \left(z_{lagg}\right)\right) \right) , z_{w} < z_{lagg}$$
$$q_{drai} = 0, otherwise \quad (2)$$

This model parameterization represents an assumed barrier to lateral and vertical
drainage imposed by the glacial till layer when the water table is below the level of
the lagg. At the S1-Bog, there is an observed small but persistent "deep seepage"

term representing vertical subsurface drainage from the perched bog water table
(included in Fig. 2, but set to zero for simulations here, due to lack of adequate data
for parameterization). For this study, the maximum subsurface drainage rate q_{drai,0}
is calibrated against site water table observations.

For modification (3), the simulated lateral transport of water, $q_{lat,aqu}$ (mms⁻ 1), is a function of the difference in simulated water table level between the hummock and hollow columns, the specific yield of the soil layer in question, the average hydraulic conductivity and the average horizontal distance between columns:

$$q_{lat,aqu} = \frac{\left(\frac{k_{hum} + k_{hol}}{2} \left(z_{w,hum} - z_{w,hol}^*\right)\right)}{\Delta x}$$
(3)

287 k_{hum} (mm s⁻¹) is the weighted mean saturated hydraulic conductivity of the 288 hummock layers containing the aquifer, and k_{hol} (mm s⁻¹) is the weighted mean 289 saturated hydraulic conductivity hollow layers containing the aquifer. We used 290 CLM4.5 default peatland saturated hydraulic conductivity values, which decrease as 291 a function of depth (Oleson et al. 2013) and fall in the observed range for bogs in the 292 region (Verry et al. 2011a). Δx is the horizontal separation between the hummock 293 and hollow columns, which is assumed to be 1 meter. Variables $z_{w,hum}$ and $z^*_{w,hol}$ 294 represent the hummock and adjusted hollow water table depths (meters) relative to 295 the hollow surface. The adjusted hollow water table depth $z^*_{w,hol}$ reflects a reduction 296 in water table depth by the height of surface water that is present on the hollow 297 surface. To transport water laterally between hummock and hollow, we first use

CLM's calculation of specific yield for the soil layer containing the water table and determine the difference in water table height resulting from the lateral flux. If the magnitude of the lateral flux is larger than the capacity of that layer, the water table may move into higher or lower layers using the same relationship between specific yield of those layers and water table height. Transport from hollow surface water into the hummock soil column may also occur.

Modification (4) involves directing the surface runoff term calculated on the hummock as an input term to the hollow surface. Because of the large infiltration capacity of peat, this term is most relevant when the upper peat layers of the hummock are frozen.

308 The implementation of surface water storage and runoff in CLM 4.5 309 considers microtopography across an entire grid cell rather than within the wetland 310 portion of a grid cell, and does not account for the effects of peatland 311 microtopography (Oleson et al., 2013). Here we assume that the hollows are 312 interconnected, and the surface water runoff from the hollows is determined by the 313 slope of the raised dome bog and the surface water height. Therefore for 314 modification (5), we replace the formulation of surface water runoff using the 315 formulation for wetland flow by Kadlec and Knight (2009) that includes a vertical 316 stem density gradient and a bottom slope, modified by Kazezyılmaz-Alhan et al. 317 (2007):

$$q_{h2o,sfc} = r_{h2osfc} \, z_{h2osfc}^2 \tag{4}$$

Here, $q_{h2o,sfc}$ is the surface water drainage rate (kg m⁻² s⁻¹) and z_{h2osfc} is the surface water height in the hollow (m). The parameter r_{h2osfc} is an aggregated coefficient that includes both vegetation-induced friction and the bottom slope of the hollows in the raised dome bog. This parameter is calibrated against observations to improve model performance at the S1-Bog (results in Table 1).

323 Our current implementation of CLM_SPRUCE does not include a unique 324 biophysical parameterization for Sphagnum moss, which is a recognized 325 shortcoming. Other efforts are underway to quantify the unique hydraulic and 326 physiological properties of moss, including field studies in the S1-Bog and 327 laboratory studies based on S1-Bog samples. Introduction of lateral connectivity and 328 bog geometry and microtopography are first-order steps toward improved 329 representation of peatland hydrology. We intend to include new parameterizations 330 emerging from observational and experimental efforts in subsequent work with 331 CLM_SPRUCE.

332 3.3 Simulation experiment setup

Picea, Larix, and shrubs are represented by the corresponding CLM plant functional types. Because Sphagnum moss physiology is not represented in CLM, we use the C3 grass plant functional type to represent both sedges and Sphagnum moss. Both hummock and hollows include the same vegetation distributions. Simulations were conducted using CLM_Default and CLM_SPRUCE with prescribed vegetation canopy phenology. To capture site evapotranspiration from vegetation, the maximum leaf area indices were based on site observations. Several model parameters were set to match site observations, including leaf C:N ratios, rooting
depth profiles and specific leaf area (Table 1). Since this study focuses on the site
hydrology, biogeochemistry is turned off in the model to avoid computationally
costly carbon pool spinups, and carbon fluxes have not been tracked for these
annual hydrologic simulations.

345 Half-hour SPRUCE environmental driver data are being collected and are 346 available since 2011, but a longer data sequence was needed for model simulations. 347 The model is driven by 35-year (1979-2013) environmental reanalysis data from 348 NCEP2 (Kanamitsu et al., 2002) including temperature, precipitation, specific 349 humidity, solar radiation, wind speed, pressure and long wave radiation at a 6-hour 350 time step and extracted for the gridcell containing the S1-Bog. NCEP precipitation 351 was rescaled using daily precipitation data from a recording rain gage in the nearby 352 South Meterological Station at the MEF (Sebestyen et al., 2011a).

353 The 10-year long SPRUCE climate change field experiment at the S1-Bog will 354 consist of combined manipulations of temperature (various differentials up to 9K 355 above ambient) and CO₂ concentration (ambient and 800-900 ppm). To investigate 356 how the bog water table levels in hummock/hollow microtopography may respond 357 to different warming scenarios, we performed 8 simulations from the same starting 358 point in year 2000, designed to reflect the warming treatments being implemented 359 in the field. The model simulations include a control simulation (CTL), and six 360 simulations with increasing air temperature (+3K, +6K, and +9K above ambient, 361 respectively) under two humidity conditions. Three of these six simulations used 362 the same specific humidity (Q) as CTL, which will be referred to here as 'warming

363 and constant Q'. The other three simulations used the same relative humidity (RH) 364 as CTL, (and hence, due to warmer air temperatures, higher O), denoted here as 365 'warming and constant RH'. The final simulation increased air temperature by +9K 366 and specific humidity by 30%, which is lower than the constant RH scenario. This 367 final humidity setting is based on the projection of CESM under RCP8.5 scenario at 368 the end of 21st century (Moore et al., 2013). We note that the warming and 369 constant Q scenario most closely represents the planned experimental manipulation 370 at SPRUCE, since there will be no water vapor additions. The treatments for the 371 SPRUCE field experiment will include belowground soil warming achieved with 372 vertical heating elements (Hanson et al., 2011). The purpose of the belowground 373 heating is to compensate for subsurface heat loss around the edges of the 374 aboveground enclosures. Since CLM_SPRUCE does not account for lateral heat flow, the planned SPRUCE active belowground heat manipulations are not included in the 375 376 current simulations. To estimate incoming longwave radiation under the warming 377 scenarios, we use clear-sky assumptions about atmospheric temperature, humidity, 378 and emissivity (Idso et al. 1981) 379 Parameter calibrations for q_{drai} and r_{h2osfc} are performed jointly using a 380 genetic algorithm (Thomas and Yao, 2000) requiring 1000 simulations, and

381 optimizes the model against the daily observed water table level from 2011 and

3822012. Observations from the year 2013 are used for evaluation. The calibrated

383 model with our new modifications is then compared with the observations and used384 to predict future scenarios.

385 As an independent evaluation of the modeled relationship between annual

evapotranspiration (ET) and annual air temperature (T), we compared model
results for the 21-year period 1979-1999 with observations of ET from the nearby
S2-Bog. We further explored the ET vs. T relationship over the range of warming
treatments, in an effort to place some confidence bounds on our model findings
regarding changes in bog hydrology under experimental warming.

391

392 **4. Results**

393 4.1. Simulated water table level

394 Simulations with (CLM SPRUCE) and without (CLM Default) our new 395 hydrological treatment are used to test the influence of new model representations 396 of hydrological processes at the microtopographic level of peatland hummocks and 397 hollows. CLM_Default produces a water table depth of 3-4 m (Fig. 3a), which can be 398 considered representative of the regional water table in the upland and below the 399 bog (Verry et al. 2011b), but is not realistic of the perched water table in the bog 400 itself. Reformulating lateral drainage flow from the bog to the lagg as a function of 401 the height difference between the simulated bog water table and the lagg outlet, 402 CLM SPRUCE simulates a water table depth of <1m (a perched water table, Fig. 3a). 403 CLM SPRUCE simulates independent water tables for the hummock and hollow bog 404 elements, but by parameterizing near-surface and sub-surface hydraulic 405 connectivity between hummock and hollow, the water tables in these two elements 406 track each other on short time scales (Fig. 3b). The small differences between 407 hummock and hollow water tables occur during large precipitation events. 408 CLM SPRUCE simulates standing water in the hollow during snowmelt and after

large precipitation events, with drying of the hollows due to drainage to the lagg and
evapotranspiration. In the summer of 2012 a prolonged period of low precipitation
resulted in a simulated water table decline to approximately 30 cm below the
surface of the hollow. Mean annual water budget predicted by the model has ET as
57.48% of annual precipitation, in reasonable agreement with the observed value of
65% (Fig.8).

415 Time series observations of water table height from two sensors (EM1 and 416 EM2) located within the S1-Bog and separated by \sim 3 m were available for parts of 417 calendar years 2011, 2012, and 2013. The water table depth data from these 418 sensors are in good agreement with water table data from10 additional sensors 419 distributed across the S1-Bog in 2014. Data from 2011 and 2012 were used to 420 parameterize the new lateral drainage terms in CLM_SPRUCE (Table 1), with 421 observations from 2013 used for evaluation. The model CLM SPRUCE captures the 422 timing and magnitude of observed water table dynamics in 2011 and 2012, with 423 some periods of underestimation in 2011 and overestimation in 2012, but no clear 424 indication of a consistent prediction bias. Water table height predictions for the 425 evaluation year, 2013, are in good agreement with observations ($R^2 = 0.51$) for both 426 timing and magnitude (Fig. 4).

- 427 **4.2 Simulated hydrologic response to climate warming**
- 428 4.2.1 Influence of warming and humidity changes on simulated water table
 429 heights

430 Simulated warming of the bog through an imposed increase in near-surface 431 air temperature results in model prediction of drying and a deeper water table (Fig. 432 5). The magnitude of warming effects on water table height is influenced strongly by 433 assumptions regarding changes in humidity. For the case where absolute humidity 434 $(0, \text{kg H}_20/\text{kg dry air})$ is unchanged (in comparison to control), all warming treatments (+3, +6, and +9 K) cause a deepening of water table level (Table 2), with 435 436 deepening of ~15cm year-round for the +9K scenario (Figure 5a). Under this 437 scenario the system shifts from frequent periods of standing water in the hollows in 438 spring and following large precipitation events (CTL), to an almost complete 439 absence of standing water periods (+9K). The mean state of the water table in 440 summer months for the +9K case with constant Q is lower than the deepest water 441 table exhibited in the control scenario under dry conditions in the summer of 2012. 442 Under the alternative assumption for humidity changes, in which relative humidity 443 is maintained as in the control (requiring progressive increases in absolute 444 humidity under +3, +6, and +9K warming scenarios), water table height is lowered 445 only on average by \sim 5cm, with some evidence of slower recovery from deeper 446 water table at the end of the summer 2012 dry period (Fig. 5b). The planned 447 experimental manipulations for the SPRUCE chambers will consist of increased air 448 temperature but no additions of water vapor (due to cost constraint), so the 449 eventual experimental conditions will be close to the assumptions shown here for 450 the constant Q case (Fig. 5a). Earth system model predictions for future climate 451 change actually fall somewhere between the two end-point cases illustrated in 452 Figure 6. Based on results from the CESM for a future radiative forcing of 8.5 W/m^2 ,

453 which generates a regional near-surface air temperature increase of almost 9K by

454 year 2100 and so seems a reasonable candidate global simulation for this purpose,

455 the regional specific humidity increased by 30%, corresponding to a 14% decrease

456 in relative humidity. Evaluating CLM_SPRUCE results when forced with this example

457 climate model projection, we find that the projected response for the end of the

458 century falls between the two endpoint simulations already shown, and is generally

459 closer to our constant Q case than to our constant RH case (Fig. 6).

460 **4.2.2 Influence of warming on simulated evapotranspiration**

461 Since the constant Q scenarios most closely follow the planned experimental 462 treatment, we explore evapotranspiration (ET) and its components response to the 463 warming with only these simulations. ET in CLM SPRUCE is the sum of three 464 components: transpiration (TR), canopy evaporation (E_c) and soil evaporation (E_s). 465 These modeled water budget terms include tree, shrub, and herbaceous vegetation. 466 ET and its components increase with air temperature for both hummock and 467 hollow under air temperature increased by +3K, +6K and +9K warming scenarios, 468 whose magnitudes scale with the increases of temperature (Table 2). ET is 469 predicted to increase by 53.24%, 76.7% and 87.61% for the hummock under the 470 three warming scenarios (by 61,25%, 91.5% and 116.35% for hollow), respectively. 471 Soil evaporation shows the biggest percentage increase with warming, especially 472 soil evaporation from the hollows. For example, evaporation from hollows increased 473 by about 132%, 198%, and 256% when the air temperature increased by +3K, +6K 474 and +9K, respectively. Canopy evaporation shows the smallest changes with the 475 three different increases of air temperature (Table 2). The seasonal pattern of

476 transpiration shows that warming causes higher simulated transpiration 477 throughout the growing season, with the largest absolute increases in mid-summer (Fig. 7, top row). Three year averaged time evolution of canopy evaporation 478 479 demonstrates that E_c is little affected by warming in these simulations, indicating 480 that temperatures and incident radiation are adequate to evaporate most of the 481 canopy intercepted precipitation even in the control simulation (Fig. 7, middle row). 482 Evaporation from the bog surface (E_s) in these simulations is increased under the 483 warming treatments throughout the year, with the largest increases in late winter 484 and spring (Fig. 7, bottom row). At the highest levels of warming, simulated E_s is 485 sometimes reduced compared to moderate warming in the late summer, due to 486 reduced hydraulic conductivity for the dried upper layers of the soil. While 487 observations of ET are not available for the S1-Bog site, a 21-year record of ET 488 based on water budget observations at the nearby S2-Bog provides a valuable basis 489 for evaluation of our simulation results. Comparing the predicted and observed 490 relationship of interannual ET to air temperature for a pre-warming simulation, we 491 find that the simulated and observed slopes are nearly identical for the period 1979-492 1999, although the mean ET predicted at the S1-bog is about 15% lower than the 493 mean observed at the S2-Bog (Fig. 8). Examining the same relationship for a 14-year 494 record of simulated warming at multiple warming levels, we find that the slope of 495 the interannual relationship is consistent across the warming treatments and 496 similar to the control period, although the strength of the interannual relationship 497 weakens with higher levels of warming. Taken together, the control and warming 498 experiments define a broad and approximately linear relationship between ET and

499 T with a slope that does not depart dramatically from the observed or modeled 500 interannual relationships (Fig. 8). The current model does not consider Sphagnum 501 physiology, which may help to explain the underestimation of ET since Sphagnum 502 lack stomata while the model includes stomatal regulation. The S2 bog estimates of 503 ET also include some contribution from upland vegetation, which could further 504 contribute to bias in the model-data comparison. The model predicted summertime 505 (June-August) ET rate is 2.4 mm/d, well within the range of values reported from 506 other peatlands in the northern latitudes (Moore et al., 1994; Lafleur et al., 1997; 507 Wu et al., 2010)

508 **4.3 Influence of warming on simulated snow dynamics and soil temperature**

The S1-Bog (and surrounding region) is subject to snowpack accumulation, with a persistent snowpack commonly observed for the period November to April. Our control simulation reproduces this observed behavior (results not shown). Since snow is a good thermal insulator (e.g. Ge and Gong, 2010), and since a thick snowpack occurs during the coldest part of the year, the observed average soil temperature in the bog is warmer than average air temperature, a pattern also reproduced by our control simulation.

In our warming simulations, higher air temperatures lead to a reduced
snowfall amount (some precipitation that falls as snow in the control simulation
falls as rain in the +9K warming simulation) and increased snow melt, both of which
contribute to a reduced snowpack depth, with the effect concentrated during the
period of typical highest snowpack accumulation in the late winter and spring (Fig.
9). The simulated influence on near-surface soil temperature of this modification of

522 snowpack is dramatic, with very little difference between control and +9K 523 treatment for the period January - February, warming effects increasing to a 524 maximum over the period March – April, then declining to an intermediate level of 525 warming which persists through the summer and into fall (Fig. 9). Reduced 526 insulating effect of the thinner and more intermittent snowpack in the +9K 527 simulation allows more cooling of the soil during very cold periods, negating the 528 effect of increased air temperature. The influence of warming on deeper soil 529 temperature (shown in Fig. 9 for a layer averaging 3.0 m deep) is much more 530 consistent through the seasons: it is this deep soil temperature offset which sets the 531 thermal baseline toward which shallower soil layers are drawn in the snow-free 532 season, resulting in summer and fall near-surface soil temperatures that are less 533 than the imposed warming of air temperature. The loss of insulation also results in 534 more variability and more extremes in soil temperature.

535 **5. Discussion**

536 The current study moves us closer to our long-term goal by improving the 537 prediction of peatland water table depth in CLM, and by advancing the state of 538 peatland ecosystem modeling within land surface models by introducing a 539 formulation for the prediction of bog water table depth that does not depend on an 540 externally forced regional water table. Our laterally-coupled two-column hydrology 541 scheme is a first-order approximation of the real bog's undulating hummock-hollow 542 microtopography, and provides a basis for evaluating differences in vegetation 543 distribution or function and differences in sub-surface biogeochemical processes as 544 they exist pre-treatment and as they may evolve under experimental manipulation.

545 Simulations presented here suggest that the hydrologic cycle within the S1-546 bog will respond to increased air temperature under the planned warming 547 experiment and expected under projected climate change. Specifically, water table 548 levels are expected to drop with increased air temperature as a result of increased 549 evapotranspiration. However, the predicted reduction in water table level depends 550 strongly on the level of warming and on the details of humidity modification. The 551 warming influence on water table depth is expected to be larger for the anticipated 552 experimental manipulation (close to constant 0) than would be the case if the 553 experimental manipulation included injection of water vapor with heating of near-554 surface air (maintaining constant RH).

555 The predicted influence of warming on ET under as assumption of constant 556 specific humidity is quite dramatic at the higher warming levels, producing a 557 significant drop in the simulated water table. Our evaluation of predicted ET and its 558 sensitivity to air temperature indicates that the model produces a very realistic 559 response of ET to temperature variation on interannual to decadal timescales. While 560 we do not yet have any observations from the experimental warming treatments, 561 we are able to show that the simulated response under those warming treatments 562 follows an approximately linear extension of the response in the control period. 563 Based on these preliminary evaluations, we do not have any particular reason to 564 suspect that the simulated response of ET to warming is departing in an unrealistic 565 way from the behavior of the real system. It is remarkable to note that at the highest 566 warming levels nearly all of the annual precipitation is being evaporated, with only a 567 few percent leaving as runoff. This suggests a fundamental shift in the character of

the bog under levels of warming approximating "business-as-usual" climate change
scenarios. Perched water tables would likely decline under long-term exposure to
these environmental conditions, if our model predictions are correct. Evaluations
against observations at other bog sites, in particular for sites instrumented for eddy
covariance estimates of ET, will be an important next step in our model evaluation
efforts.

574 The interactions of air warming with snowpack and soil temperature 575 simulated by CLM SPRUCE raise some interesting challenges for the eventual 576 interpretation of results from the SPRUCE warming experiment. Based on results 577 presented here, we expect soil warming to be less than near-surface air warming in 578 systems with consistent over-winter snowpack, under a scenario of radiatively-579 forced climate change. Since the experimental protocol for warming at the SPRUCE 580 field site includes active below-ground heating elements and the maintenance of 581 differential set points for below-ground temperature that match the air-warming 582 differentials, the differences between soil warming and near-surface air warming 583 expected in nature will be attenuated in the experimental plots. Our modeling 584 results suggest that extra energy will be added by the belowground control system 585 to offset the effect of reduced thermal insulation due to smaller and shorter duration 586 snowpack. This energy source belowground could drive additional interactions with 587 snowpack and other aspects of hydrologic cycle in the heated plots.

In addition to simulations aimed at improved understanding of bog response
to experimental manipulations at the plot-scale, we are also pursuing model
implementations at larger spatial scales. By extending our simulation framework to

591 include the entire bog domain, we will be able to evaluate large-scale hydrology 592 against streamflow measurements from S1 and nearby bogs. We are already 593 exploring the use of high-resolution gridded domains with explicit vertical and 594 lateral flows as a foundation for more highly parameterized simulations that could 595 allow us to estimate water, energy, and greenhouse gas fluxes for large landscapes 596 in which peatland bogs are an important component. High-resolution elevation and 597 remote sensing information could be incorporated into these simulations to derive 598 model parameters associated with microtopography, surface runoff and subsurface 599 drainage such as lagg elevation. Since the CLM framework is already well suited to 600 simulations in the upland regions of these domains, our current progress on 601 simulating bog hydrology places this large-scale simulation goal within reach. 602 The success demonstrated here with a two-column parameterization of vertical and lateral hydrologic connectivity in a landscape characterized by small-603 604 scale topographic variation suggests that similar approaches may be useful in 605 simulations of other similar landscapes. For example, our team is actively exploring 606 multi-scale simulation approaches for representing geophysical, hydrological, 607 biogeochemical, and botanical dynamics in Arctic polygonal tundra underlain by 608 permafrost (e.g. Painter et al., 2013). With a modest increase in complexity we 609 expect to be able to represent water table dynamics over regions of hundreds of m² 610 by tracking the vertical and lateral connectivity of a small number of columns 611 representing, for example, polygon edges and centers. Parameterization for such 612 coarse representations is being developed through very fine-scale process-resolving 613 thermal-hydrology simulation (e.g. Painter and Karra, 2014).

614 Simulating hydrological dynamics for microtopography of hummocks and 615 hollows in the raised-dome bog environment is a necessary step toward more 616 complete process representation that connects hydrology with vegetation dynamics, 617 soil biogeochemistry, and estimation of greenhouse gas fluxes under changing 618 climate conditions. Our initial efforts have focused on improvements in the 619 modeling of peatland hydrology, but our ultimate goal is to integrate new modeling 620 tools with observed ecosystem characteristics and results from experimental 621 manipulations to understand the interactions of climate, hydrology, vegetation 622 physiology, and biogeochemical cycling in these carbon-rich systems. Warming 623 temperatures and shifting precipitation patterns have the potential to alter all 624 aspects of these interactions, including the possibility of shifting peatland systems 625 from net sinks to net sources of carbon (Limpens et al., 2008; Ise et al., 2008). 626 It has been suggested that the *Sphagnum* layer contributes significantly to 627 total ecosystem CO₂ flux (Oechel and Van Cleve, 1986), and thus plays an important 628 role in the functioning of peatland ecosystem. Our current model work does not 629 include a moss plant functional type and instead uses C3 grass as a proxy, which 630 introduces potential biases. Mosses lack stomata, and the conductance to CO_2 631 diffusion is controlled by a passively variable water layer (Silvola, 1990; Williams 632 and Flanagan, 1996). Work is underway to introduce a new moss plant functional 633 type in CLM_SPRUCE, and we will use observations being gathered from the S1-Bog 634 to parameterize the influence of water content on *Sphagnum* photosynthesis, and to 635 better understand the influence of moss on hydrological and biogeochemical 636 conditions in peatland bogs. Previous efforts at synthesizing and modeling moss

637 physiology and physical properties are informing our progress in this area (St-

638 Hilaire et al., 2010; Turetsky et al., 2012)

639

640 **6. Conclusions**

641 In this study, the CLM model (Oleson et al., 2013) was modified to explicitly 642 simulate hydrological dynamics for the microtopography of hummocks and hollows 643 in a raised bog environment (CLM SPRUCE). The model was evaluated against half-644 hourly measurements of daily water table levels for 3 years. CLM SPRUCE captures annual mean and seasonal dynamics in water table levels reasonably well, and 645 646 eliminates deep water table and exaggerated seasonal dynamics biases associated 647 with the default version of CLM4.5. The model reproduces the relationship between 648 interannual ET and air temperature as observed at a nearby site. We used the new 649 model CLM_SPRUCE to investigate the hydrological responses to different warming 650 and humidity scenarios. Based on those simulations, we predict a deepening of the 651 bog water table for the highest warming treatment (+9K) planned in the SPRUCE 652 experiment, greatly reducing the occurrence of standing water in the hollows. We 653 estimate that the observed relationship between ET and air temperature will hold 654 under conditions of experimental warming at levels out to +9K. We also predict a 655 strong interaction between the air heating treatment and the thickness and duration 656 of snowpack, with consequences for subsurface temperatures that depend on 657 snowfall amounts and mean winter temperature. These modeling results have 658 helped raise awareness of the influence of operational decisions regarding over-659 winter heating of the sub-surface in the experimental design.

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 doi:10.1029/2001GB001838, 2002.

942 Table 1. Model parameter values which were modified from default values to reflect site-level measurements or optimized parameters. All measured

943 parameters were used to define vegetation physiology, and were applied separately to each of the dominant species or plant functional types (PFTs)

944 included in the simulations. Optimized parameters were generated at the level of the soil column. For the case of the vegetation physiological parameter

945 (mp), this means that the same value was applied for all vegetation types. Optimized hydrologic parameters were applied to both hummock and hollow 946 columns. ENFB = evergreen needleleaf forest – boreal; DNFB = deciduous needleleaf forest – boreal. Units: dim = dimensionless.

parameter	description	units	Black Spruce (ENFB)	Larch (DNFB)	Shrub	Grass
Measured			(2)	(22)		
pct_pft	Percentage of PFT in gridcell	%	35	15	20	30
leafcn	leaf carbon:nitrogen ratio	gC/gN	67	24	40	38
SLAtop	specific leaf area at canopy top	m²/gC	0.0075	0.022	0.012	0.03
Optimized parameter			Column-level			
mp	Ball-Berry stomatal conductance slope	dim	6.4			
r _{h2osfc}	surface water runoff	kg m ⁻⁴ s ⁻¹	8.40E-08			
q _{drai,0}	maximum subsurface drainage rate	kg m ⁻² s ⁻¹	9.20E-06			

Table 2. The relative changes (as compared to the control) in annual ET and its components, and water table levels (WT) (averaged over the period of

2011 to 2013) in the hummock (Hum) and hollow (Hol) under different warming scenarios with constant Q experiments (TR, Ec and Es are canopy

951 transpiration, canopy evaporation and soil evaporation, respectively).

Warming	Effects on ET (%)		Effects on TR(%)		Effects on E _c (%)		Effects on $E_s(\%)$		Effects on WT(cm)	
scenario										
	Hum	Hol	Hum	Hol	Hum	Hol	Hum	Hol	Hum	Hol
+3K	53.24	61.25	30.6	32.29	45.11	39.86	97.89	131.83	-7.32	-7.12
+6K	76.7	91.51	48.45	51.30	50.22	47.60	137.82	197.97	-12.84	-12.61
+9K	87.61	116.35	65.42	66.75	51.76	48.87	147.21	255.58	-14.60	-14.32



961 Figure 1. The topography of the S1 Watershed on the Marcell Experimental Fore

962 which contains the S1-Bog peatland.

963



Figure2. Conceptualization of hummock/hollow microtopgraphy within raiseddome bog ecosystem. The broad view shows the bog water table perched above
regional water table, due to hydrologic isolation of the bog by underlying glacial till.
The inset shows an idealized cross-section view of microtopography, with model
representation of hummock and hollow columns (black outlines) and water fluxes
(solid blue arrows) between columns and from columns to the bog-scale drainage
feature (lagg). Bog water table is shown as dashed blue line.



976 Figure 3. CLM_Default and CLM_SPRUCE simulated hummock and hollow water

table levels (a), and CLM_SPRUCE predicted water table dynamics (b) for years 2011

978 and 2012. Dashed lines show the height of the surface of the hummock (0.3m) and

979 hollow (0m).



Figure 4. The comparison of CLM_SPRUCE simulated (CTL) and observed water
table levels (EM1 and EM2) for hummocks for the years 2011 to 2013. Zero line
indicates the surface of the hollow. For clarity, model results are shown only for the
simulated hummock: simulated water table heights are nearly identical for
hummock and hollow (Data are missing from EM1 and EM2 during winter when the
bog surface is frozen and the water table sensors are not collecting data).







Figure 6. The evolution of temperature, relative humidity (RH), specific humidity
(Q), and water table levels for warming (+9K) scenarios with three different
humidity conditions: red lines designate constant RH; blue lines designate constant
Q; green lines are for increasing Q 30% and decreasing RH 14% (RCP8.5 scenario)
for years 2011-2013.



1008 Figure 7. Seasonal dynamics (averaged over the 2011-2013 period) of three

1009 components of evapotranspiration, for control and 3 warming scenarios under the

- 1010 constant Q assumption for humidity. TR, E_c and E_s are canopy transpiration, canopy
- 1011 evaporation and soil evaporation, respectively.
- 1012
- 1013



1017 Figure 8. Annual total ET vs. annual mean air temperature. Observed values from

1018 the period 1979-1999 at the S2-Bog. Model values for pre-treatment control

1019 simulation using weather data for the period 1979-1999, and for three levels of

1020 imposed warming (+3K, +6K, and +9K) based on weather data for the period 2000-

1021 2013. Regression lines are shown for interannual variation within each of these five

1022 categories.



1026 Figure 9. Differences (9K –control) in soil temperature for first (TSOIL_1) and tenth

1027 (TSOIL_10) soil layers as predicted by CLM_SPRUCE under constant Q assumptions

1028 for humidity. Also shown (right x-axis) is the difference in snowdepth (SNOWDP)

1029 over the hummock (Hum) and hollow (Hol) for the same pair of experiments. Model

1030 results are shown as the average seasonal cycle over the 2011 to 2013 period.

1031