

1 **Ground cover rice production systems increase soil carbon**
2 **and nitrogen stocks at regional scale**

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21 **Abstract**

22 Rice production is increasingly limited by water scarcity. Covering paddy rice soils with films
23 (so called ground cover rice production system: GCRPS) can significantly reduce water
24 demand as well as overcome temperature limitations at the beginning of the growing season,
25 which results in greater grain yields in relatively cold regions and also in those suffering from
26 seasonal water shortages. However, it has been speculated that both increased soil aeration
27 and temperature under GCRPS result in lower soil organic carbon and nitrogen stocks. Here
28 we report on a regional-scale experiment conducted in Shiyan, a typical rice-producing
29 mountainous area of China. We sampled paired adjacent Paddy and GCRPS fields at 49
30 representative sites. Measured parameters included soil carbon (C) and nitrogen (N) stocks (to
31 1m depth), soil physical and chemical properties, $\delta^{15}\text{N}$ composition of plants and soils,
32 potential C mineralization rates, and soil organic C (SOC) fractions at all sampling sites. Root
33 biomass was also quantified at one intensively monitored site.

34 The study showed that: 1) GCRPS increased SOC and N stocks 5-20 years following
35 conversion from traditional Paddy systems; 2) there were no differences between GCRPS and
36 Paddy in soil physical and chemical properties for the various soil depths with the exception
37 of soil bulk density; 3) GCRPS increased above-ground and root biomass in all soil layers
38 down to a 40 cm depth; 4) $\delta^{15}\text{N}$ values were lower in soils and plant leaves indicating lower
39 NH_3 volatilization losses from GCRPS than in Paddy systems; and 5) GCRPS had lower C
40 mineralization potential than that observed in Paddy systems over a 200 days incubation
41 period. Our results suggest that GCRPS is an innovative production technique that not only
42 increases rice yields using less irrigation water, but that it also increases SOC and N stocks.

43

44 Key words: soil organic carbon and nitrogen stocks, region scale evaluation, water-saving rice,
45 above- and below-ground biomass, $\delta^{15}\text{N}$, potential carbon mineralization rates.

46 **1 Introduction**

47 Globally more than 3 billion people depend on rice as a staple food (FAOSTAT,
48 2011). Water used for irrigation is becoming increasingly scarce due to growing water
49 demands from increasing populations and economies across Asia and from projected
50 climatic changes. It is expected that by 2025 about 15 million ha of irrigated rice, 27
51 million ha of rainfed rice, and nearly 20 million ha of rainfed upland rice will suffer
52 from water scarcity worldwide (Bouman, 2007). An annual increase of about 8-10
53 million tons will be required to meet the global forecasted needs over the next 20
54 years (IRRI, 2011). In this scenario, water-saving technologies are urgently needed to
55 cope with such rice production demands.

56

57 China is the world's largest rice producer with an average rice production rate of 197
58 million tons yr⁻¹, which in 2009 was grown on c.30 million hectares and accounted for
59 43.7% of the total national cereal grain production (Fan et al., 2010). Water shortages
60 already affect more than 4 million ha devoted to rice production in China, and a
61 significant proportion of this area also show comparatively low yields resulted from
62 low-temperature limitations. One of the most promising techniques to overcome these
63 limitations is the Ground Cover Rice Production System (GCRPS). Here, the soil is
64 covered - typically with plastic film - to reduce evaporation, seepage losses and
65 increase springtime soil temperatures. The soil is kept moist between irrigation
66 periods thanks to the covering material, which reduces irrigation water demand by 50-
67 90% (Tao et al., 2015). The actual reduction in irrigation water demand is dependent
68 on soil type, precipitation and cultivation duration (Tao et al., 2006; Liu et al., 2003).
69 Furthermore, high-yielding lowland rice varieties (middle-duration cultivar, about 140
70 days) can still be grown in upland locations using GCRPS, which results in similar or

71 even greater yields than Paddy systems (Qu et al., 2012; Liu et al., 2013, 2014, Tao et
72 al 2015). Thus, GCRPS is consistent with China's 12th Five Year Plan that requires
73 development of technologies to reduce the water demand and greenhouse gas
74 emissions (GHG) in agricultural production (Yao et al., 2014; Tao et al., 2015).

75

76 Improving rice production systems should not be solely focused on increasing
77 productivity, but should also consider other aspects affecting sustainability, such as
78 preservation of optimal levels of SOC and total N. Soil organic matter (SOM) helps to
79 maintain soil structure and fertility, decreases the risk of soil erosion and degradation
80 (Watts et al., 2006; Powlson et al., 2011), provides nutrients to plants and soil
81 microbes (Tiessen et al., 1994), and increases soil water holding capacity, thereby
82 improving the systems' ability to resist drought stress (Rawls et al., 2003). The
83 sustainability of a production system tends to be correlated with the maintenance or
84 increase of SOM stocks, which tends to lead to increased yield potentials worldwide
85 (Lehmann, 2007). The amount of organic C stored in a soil is a fine balance between
86 organic C inputs, mineralization and lateral exports (Jenny, 1941; Amundson, 2001).
87 These processes are strongly affected by temperature, plant available water, soil
88 mineral composition, and the chemical properties of the precursor biomass (Swift,
89 2001; Saiz et al., 2012).

90

91 Compared to upland cereals production systems, submerged paddy rice cultivation is
92 considered to be a sustainable cropping system because the permanent presence of
93 water results in anoxic conditions that drive soil redox potential to the lowest natural
94 levels (Gao et al., 2004; Pan et al., 2010). It is widely acknowledged that
95 decomposition of SOM is slower in submerged than in aerated soils (Sahrawat, 2004),

96 and previous studies have shown that continuous rice cropping on submerged soils
97 may favour the maintenance, and even the increase of SOM stocks (Cassman et al.,
98 1995; Bronson et al., 1997; Witt et al., 2000). Consequently, it has been hypothesized
99 that the absence of permanently anaerobic conditions, in conjunction with increased
100 soil temperatures under GCRPS cultivation may result in either unchanged or
101 increased SOC losses as a result of potentially enhanced microbial decomposition
102 (Pan et al., 2003, 2010; Qu et al., 2012). Indeed, earlier studies showed trends
103 towards lower SOC and total N stocks in fields using the plastic film-based GCRPS
104 technique. However, these studies have only investigated the topsoil (0-20 cm) above
105 the hardpan at a single experimental site (Li et al., 2007; Fan et al., 2012; Qu et al.,
106 2012). The GCRPS-induced shift from flooded soils to higher aeration and soil
107 temperatures at the start of the growing season may result in reduced CH₄ emissions,
108 while N₂O emissions (Kreye et al., 2007; Yao et al., 2014) and C mineralization rates
109 may increase (Koch et al., 2007). On the other hand, high ammonia volatilization in
110 Paddy systems tends to result in low N use efficiency (approx. 30%) (Ju et al., 2009)
111 and covering the soil surface might reduce ammonia volatilization rates, increase
112 fertilizer use efficiency, plant biomass and/or soil N stocks. Furthermore, variable soil
113 water regimes such as observed under GCRPS cultivation can increase root biomass
114 (Thakur et al., 2011; Uga et al., 2013), which in turn could promote C inputs into the
115 soil. A thorough regional-scale evaluation of GCRPS effects on SOC and total N
116 stocks is needed to address these effects, but has not yet been reported.

117

118 To evaluate the impact of GCRPS on soil C and N stocks as well as identifying the
119 primary N loss pathways from GCRPS and Paddy system using the natural
120 abundances of ¹⁵N, we conducted a field study sampling 49 pairs of neighbouring

121 GCRPS and Paddy fields in the Shiyan region, Central China, where the GCRPS
122 technique was first introduced approximately 20 years ago. We hypothesized that
123 decreased soil moisture conditions and increased soil temperature and redox potential
124 in GCRPS would stimulate soil C and N mineralization, leading to an overall
125 reduction of soil C and N stocks under GCRPS at a regional scale.
126

127 **2 Materials and methods**

128 **2.1 Sampling region characteristics**

129 The study was situated in Shiyan region, Hubei province, Central China (32°02' to
130 33°10'N, 109°44'to 111°04'E, 169 m to 661 m a.s.l., see Table S1), where GCRPS
131 was introduced at the end of the last century (Shen et al., 1997; Liang et al., 1999).
132 Shiyan is located in the QinBaShan Mountains with peaks reaching a maximum
133 altitude of 2740 m a.s.l.. The area is in the northern subtropical agro-climatic zone of
134 China's eastern monsoon region (Smit and Cai, 1996). Low temperatures at the start
135 of the growing season together with severe seasonal water scarcity often limit rice
136 production in these mountainous regions (Shen et al., 1997). The mean annual
137 temperature and rainfall (calculated for the 1961-2009 period from seven
138 meteorological stations located in the respective counties of Shiyan) are 15.3 °C and
139 829 mm respectively (Zhu et al., 2010). There is little inter-annual variation in
140 temperature and rainfall (coefficient of variations of 0.01 and 0.05). Annual rainfall
141 patterns show pronounced seasonality, with approximately 45% (375 mm) of the
142 rainfall occurring during the summer period (June to August). The mean total
143 sunshine hours per year are 1835 h (Zhu et al., 2010). Traditional lowland rice
144 cultivation (Paddy) and GCRPS are spatially interwoven, because only some farmer
145 adopted GCRPS after its invention two decades ago. This limited adoption is due to
146 the implications GCRPS cultivation has on farming activities, labour demand and
147 associated costs (Zhou et al., 2008). In most cases the adoption of GCRPS by
148 individual farmers was documented by the local administration so it was possible to
149 trace specific land management records for the selected sites and fields.

150

151 **2.2 Site and field selection**

152 Site selection was performed by experienced staff members from the Department of
153 Agriculture in Shiyao and extension personnel who have been working closely with
154 farmers at the individual local villages. Specific attention was paid to ensure proper
155 representativeness of the different rice growing areas (i.e. varying altitudes,
156 contrasting soil types and proper coverage of the range of time since adoption of
157 GCRPS). Information on fertilizer use, and soil and crop management was obtained
158 through farmer interviews (Table S2). Topdressing is not used in GCRPS since the
159 plastic film covers the soil surface; rather the farmers usually broadcast all the
160 fertilizer before transplanting (Liu et al., 2013). The day before transplanting, a
161 compound NPK fertilizer and urea were applied to the soil surface in a single dose
162 and incorporated into the soil by ploughing. The total N input was about 150 kg N ha⁻¹
163 for GCRPS. The soil surface was then levelled and covered with a transparent film 5
164 µm thick (Liu et al., 2013). For Paddy systems, an average of 100 kg N ha⁻¹ was
165 applied as a compound NPK fertilizer to the soil surface and incorporated to a depth
166 of 20 cm before transplanting. At tillering and grain filling stages, additional doses of
167 40 kg N ha⁻¹ were given as urea in order to increase rice milling quality, protein
168 content (Wopereis-Pura et al., 2002; Leesawatwong et al., 2005) and yield. This
169 resulted in a total N application rate of approximately 180 kg N ha⁻¹ for the paddy rice
170 system.

171

172 We compared, across a region of 5 000 km², 49 pairs of neighbouring fields that were
173 managed either as traditional paddy rice fields or where GCRPS had been applied
174 continuously for 5-20 years. A total of 49 sites with paired treatments consisting of
175 GCRPS vs permanent flooding paddy fields were selected for soil and plant sampling.
176 Regardless of the current production system, all sites had been growing rice for more

177 than 40 years. The distance between the paired plots were in most cases less than 100
178 m, with only 9 out of 49 paired plots being more than 250 m apart (Table S1).
179 Geographical coordinates of the sites and fields were recorded by GPS (Garmin
180 Colorado 300) and altitudes were obtained using the Global Digital Elevation Model
181 (GDEM) provided by NASA and METI (2008).

182

183 **2.3 Sampling methodology and analytical procedure**

184 Soil samples from the 49 paired sites were collected before field preparation during
185 March and April 2011. These sites represented a wide range of different soil types
186 (Table S1). At each of the 98 fields, six to nine spatial replicates were taken with the
187 aid of a soil corer (3.5 cm diameter) at four depths intervals (0-20, 20-40, 40-60, 70-
188 90 cm). Additionally, three replicate samples were collected from each soil profile
189 excavated in each field for each depth and analysed for bulk density (Blake and
190 Hartge, 1986) and soil texture (Gee, 1986).

191

192 Soil samples for each depth interval were air dried for 5 days and sieved to 2 mm.
193 Identifiable plant material (>2 mm) was removed during sieving. Soil pH (Mc Lean,
194 1982) was measured in 1:2.5 soil-water solution using a combined electrode pH meter
195 (HI 98121, Hanna Instruments, Kehl am Rhein, Germany). Extractable soil NO_3^- -N
196 and NH_4^+ -N (Keeney and Nelson, 1982) was estimated from 1:10 soil- CaCl_2 (0.01M)
197 extracts using an autoanalyser (AA3, Bran & Luebbe, Nordstadt Germany). Sub-
198 samples for determination of soil C and N concentration and ^{15}N isotope natural
199 abundance were powdered in a ball mill (MM200, Retsch, Haan Germany) with the
200 soil carbonates removed prior to C analyses (Harris et al., 2001; Walthert et al., 2010).
201 Analyses were conducted using a Costech Elemental Analyzer (Costech International

202 S.p.A., Milano, Italy) fitted with a zero-blank auto-sampler coupled via a ConFloIII to
203 a Thermo Finnigan Delta V Plus isotope ratio mass spectrometer (Thermo Scientific,
204 Waltham, MA, USA). Soil C and N stocks were calculated using element
205 concentrations and bulk density data for all sites.

206

207 Leaves at maximum tillering stage and aboveground plant biomass at maturity stage
208 were sampled from 36 paired sites (at some sites rice was not planted as foreseen due
209 to a severe drought) with three replicates from each site used for analysis of ¹⁵N
210 natural abundance using a CN analyser coupled to a mass spectrometer (see above).
211 Carbon and N concentrations were then determined by an elemental analyzer
212 (EA1108). Carbon and N assimilated in aboveground biomass were calculated as the
213 sum of grain and straw dry matter multiplied by grain and straw C or N concentration
214 at harvest.

215

216 Root biomass was quantified at a long-term experimental site in Fang County
217 (32°07'N, 110°43'E; Fig. S1; Tao et al., 2015) where 22 paired GCRPS and Paddy
218 sites were located (Table S1). The site consists of the two production systems (Paddy
219 and GCRPS) and two N fertilizer application rates (0, 150 kg N ha⁻¹) in three-fold
220 replication. All 12 subplots (8.5 m × 9.5 m) were arranged in a complete randomized
221 block design. Root biomass was quantified for three replicate cores in each of the
222 subplots. For this purpose, soil columns (40 cm height and 15 cm diameter) were
223 collected at the maximum tillering stage using stainless steel cylinders. The soil
224 column was separated into depth intervals of 0-10, 10-20 and 20-40 cm. Soil samples
225 were placed in mesh bags and set in a water stream to remove soil particles and then

226 cleaned by tap water on a 0.2 mm mesh. Cleaned root samples in different soil depths
227 were transferred into small envelopes and oven-dried at 75 °C for 24 h.

228

229 Potential soil C mineralization rates from all 49 paired Paddy and GCRPS sites were
230 determined using a laboratory incubation assay. Three soil samples with a volume of
231 20 cm × 10 cm × 20 cm (depth) were sampled at each site using a spade. Samples
232 were composited and air dried. Three replicates with 30 g of soils were incubated for
233 200 days at 25 °C at 60% soil water-holding capacity in 150 ml bottles. CO₂ fluxes
234 were measured daily for the first 10 days, then every three days for the following
235 three weeks and then every 1-2 weeks afterwards. The gas measurement period was
236 from 5 min to 4 hours depending on CO₂ flux rates. For flux measurements, the jars
237 were closed gas-tight and CO₂ headspace concentrations were measured with a non-
238 dispersive infrared sensor (Premier, Dynamet, United Kingdom) at 10-second
239 intervals. CO₂ fluxes were calculated from concentration changes with time,
240 considering headspace volume, temperature and air pressure. Total cumulative
241 emissions were obtained by summing the measured daily fluxes using trapezoidal
242 integration assuming a linear change in flux between measurements.

243

244 Organic matter (OM) fractions were physically separated before and after incubation
245 using a slightly modified procedure to that described in Zimmermann et al. (2007).
246 Briefly, 30 grams of dried soil (<2 mm) were added to 161 mL water and dispersed by
247 means of a calibrated ultrasonic probe (Labsonic 2000, B Braun, Melsungen,
248 Germany) using a light output energy (22 J ml⁻¹). The dispersed suspension was then
249 wet sieved over a 53 µm mesh size until achievement of clear rinsing water. The
250 fraction > 53 µm was dried at 40 °C and weighed. This fraction contained sand-size

251 particles and aggregates (Heavy fraction, HF), as well as particulate organic matter
252 (Light fraction, LF). These two fractions were separated using the procedure for
253 recovery of organic matter from soils using static dense media as described in Wurster
254 et al. (2010). The dried fraction $>53 \mu\text{m}$ was stirred in a water:sodium polytungstate
255 solution with a density of 1.87 g cm^{-3} . The mixture was centrifuged at 1000 g for 15
256 min, and allowed to settle overnight prior to freezing. The LF was subsequently
257 decanted and both fractions were then washed with deionized water, dried at $40 \text{ }^\circ\text{C}$ and
258 weighed. The solution $<53 \mu\text{m}$ (silt and clay) was filtered through a $0.45 \mu\text{m}$
259 membrane filter and the material retained in the membrane (s+c) was then dried at
260 $40 \text{ }^\circ\text{C}$ and weighed. An aliquot of the filtrate was frozen to determine the amount of
261 dissolved organic carbon (DOC) using a C/N liquid analyser (Multi N/C 3100
262 Anaytik Jena, Jena, Germany).

263

264 **2.4 Statistical Analyses**

265 All statistical analysis and calculations were performed in the Statistics Analysis
266 System (SAS, version 8.2). Shapiro-Wilk tests were applied to check for normal
267 distribution. Non-parametric tests were applied if the data was not normally
268 distributed. Before any statistical test was performed, we tested for significant
269 differences between GCRPS and Paddy according to a model that included soil type,
270 years since conversion, soil type and elevation as potential variables influencing the
271 percentage change of SOC/N stocks between both systems. However, we found that
272 the percentage change of SOC/N stocks was not significantly affected by soil type,
273 years since conversion, elevation nor by any of the interactions. Therefore, we pooled
274 over different soil types, years since conversion and elevation in the subsequent
275 statistical analysis (Table S3). A paired t-test was used to test for differences in soil

276 texture (clay, silt and sand content), bulk density, pH and mineral N concentrations
277 (N_{min}) between GCRPS and Paddy. All statistical analyses and calculations were
278 performed using parametric (paired and two-tailed t-test, Pearson chi-square) and non-
279 parametric (Wilcoxon matched pairs rank sum test; two-tailed) tests. Differences in
280 root biomass between the two systems were tested using the general linear model
281 (GLM) procedure. Results are expressed as arithmetic means \pm standard error of the
282 means, levels of significance for all tests of *=0.05, **= 0.01, ***=0.001%
283 probability level respectively and ns=not significant were used.
284

285 **3 Results**

286 Average SOC concentrations and stocks were higher in GCRPS than in Paddy for
287 each soil depth interval except for the top layer (0-20 cm; Fig. 1a, c; see Table S4 for
288 details). Similarly, total N concentrations and stocks over the 1m profile also tended
289 to be larger in GCRPS than in Paddy, although significant differences were only
290 observed in the 20-40 cm depth interval (Fig. 1b, d; Table S4). There were no
291 detectable differences in soil texture (Fig. 2a, b, c; Table S4), pH or mineral N content
292 (Fig. 2e, f; Table S4) between GCRPS and Paddy for any soil depth interval. Soil bulk
293 density (Fig. 2d; Table S4) tended to be lower in GCRPS than in Paddy over the 1m
294 soil profile, although significant differences were only found in the 20-40 cm depth
295 interval ($P < 0.0001$).

296

297 Mean C and N assimilation rates in aboveground biomass at maturity were higher in
298 GCRPS than in Paddy (Fig. 3; $P < 0.0001$, $= 0.0002$ for C and N). Root biomass from
299 the one selected site was significantly affected by production system, but not by N
300 fertilizer rates or by the interaction of production system and N fertilization (Fig. 4;
301 Table S4). Pooled over the two N fertilizer rates, the root biomass at maximum
302 tillering stage was significantly greater in GCRPS than in Paddy for all depth intervals
303 down to 40 cm depth (Fig. 4).

304

305 Potential C mineralization rates did not differ between GCRPS and Paddy (data not
306 shown), although Paddy soils showed a tendency towards higher cumulative C loss
307 compared to GCRPS over the 200-day incubation period (Fig. 5). For the GCRPS, the
308 SOC contents of the various fractions were similar before and after the incubation
309 experiment (Fig. 6). However for the Paddy treatment, the amount of SOC in the

310 heavy fraction was significantly lower after incubation compared to before the
311 incubation ($P < 0.05$). No differences were found in the s+c, LF and DOC fractions
312 before and after the incubation (Fig. 6).

313

314 Mean soil $\delta^{15}\text{N}$ signatures were lower in GCRPS than in Paddy at each depth interval
315 (Fig. 7a; Table S4). The average $\delta^{15}\text{N}$ signature in plant leaves was also lower ($P <$
316 0.0001) in GCRPS compared to Paddy at maximum tillering stage (Fig. 7b). Ln-
317 transformed soil N concentrations were inversely correlated with corresponding $\delta^{15}\text{N}$
318 values in either GCRPS or Paddy (Fig. 8).

319

320

321 **4 Discussion**

322 Here, we provide a thorough regional-scale evaluation of GCRPS effects on SOC and
323 total N stocks, based on sampling of cultivated fields at 49 paired sites (i.e. adjacent
324 sites experiencing comparable soil and environmental conditions, Figs. 2 and S1 and
325 Tables S1 and S4) down to 1 m depth across an entire geographical region. Our
326 results show that within the sampling region, conversion of Paddy to GCRPS
327 increased SOC concentrations (Fig. 1a; Table S4) and storage (Fig. 1c; Table S4) after
328 5 years since the time of conversion. We were able to identify two main processes that
329 contributed to the positive effect of GCRPS on SOC stocks.

330 *a) Increased above- and belowground carbon inputs* Plant residues and organic
331 fertilizers directly affect the amount and quality of organic matter above the hardpan
332 (between 20 - 40 cm), while the accumulation and stabilisation of subsoil OM in these
333 agricultural systems derives mainly from dissolved OM leached from the plough layer
334 (Tanji et al., 2003). In our study we observed larger aboveground biomass and grain
335 yields for GCRPS compared to traditional Paddy (Fig. 3; Liu et al., 2013).
336 Furthermore, root biomass was also found to be greater under GCRPS cultivation in
337 all soil layers down to 40 cm depth (Fig. 4; Table S4).

338 Recent literature has confirmed that rice cultivation under variable soil water regimes
339 such as GCRPS results both in higher root biomass (Thakur et al., 2011; Uga et al.,
340 2013), and more rhizodeposits (Tian et al., 2013) compared to traditional flooded
341 Paddy, likely because the larger aboveground biomass and grain yields require a
342 larger root system to absorb more nutrients from the soil (Liu et al., 2003). GCRPS
343 also promotes increased soil NO_3^- concentrations that can lead to more balanced plant
344 N nutrition (NO_3^- and NH_4^+), which is beneficial for crop growth (Nacry et al., 2013).
345 Moreover, the fluctuating soil water content inherent to GCRPS, which varies

346 between 80-90% water holding capacity (WHC), can limit the accessibility to some
347 micronutrients (e.g. Mn, Fe) in the topsoil if they are oxidised to forms that cannot be
348 directly assimilated by the plant (Tao et al., 2007; Kreye et al., 2009). For example,
349 the lack of standing water may cause increased soil aeration, and thus, higher redox
350 potentials (Tao et al., 2007), resulting in the oxidized form of Mn that greatly lowers
351 its availability to the plant (Norvell, 1988). Therefore, rice plants in GCRPS need to
352 develop stronger root systems capable of accessing deeper soil layers to obtain a
353 balanced micro-nutrient supply. Even if just a few fine roots penetrate the hardpan
354 they may represent a large difference in deep SOC storage as root channels may
355 further promote percolation of organic compounds into the subsoil.

356 *b) Greater physical protection of soil organic matter against microbial degradation*

357 We conducted soil incubations under controlled environmental conditions using soils
358 from all field sites to test whether GCRPS would enhance SOM stabilisation or
359 increase C mineralization, promoting net losses of SOM (Xiong et al., 2014). Our
360 results showed no significant differences in mineralization rates between soils from
361 the GCRPS and Paddy systems for all measuring dates over a 200-day incubation,
362 although cumulative C losses over the entire incubation period were consistently
363 greater for Paddy soils (Fig. 5). This could suggest that SOM in fields managed under
364 GCRPS may be more effectively preserved than SOM in traditional Paddy systems.
365 Besides the physicochemical protection offered by clay minerals (Koegel-Knabner et
366 al., 2010; Saiz et al., 2012) other stabilizing mechanisms could be conferred through
367 higher OM inputs resultant from enhanced above and belowground biomass
368 production, as higher OM input rates are known to promote stable micro and
369 mesoaggregates (Six et al., 2004). However, we did not observe significant
370 differences between both systems in the physically protected fractions for the topmost

371 soil layer (Fig. 6). It is likely though, that aggregation and/or stabilisation might
372 become more relevant at deeper locations where the differences in SOC
373 concentrations were greater. Indeed, the strong anaerobiosis and stabilisation
374 conditions prevailing at depth would likely promote OM accumulation below the
375 hardpan, as we found in our study (Fig. 1; Koegel-Knabner et al., 2010). Also relevant
376 within this context is the contrasting soil redox conditions observed between the two
377 systems (Liu et al., 2013). The more frequent oscillation in redox conditions (aerobic
378 to anaerobic and back) in GCRPS may have a strong positive influence on the
379 generation of organo-mineral complexes, which are of paramount importance for
380 stabilisation of OM in Paddy soils (Koegel-Knabner et al., 2010).

381

382 Similar to SOC concentrations and stocks, soil organic N concentrations and stocks
383 were larger in GCRPS than in paddy fields over the 1m soil profile. However,
384 significant differences were only observed in the 20-40 cm depth interval (Fig. 1b, 1d).
385 In addition, we observed $\delta^{15}\text{N}$ enrichment in paddy soils for all soil depths (Fig. 7a),
386 which was also reflected in the plant biomass (Fig. 7b). Bulk soil $\delta^{15}\text{N}$ is a combined
387 signal for organic and mineral N compounds and may be affected by (1) the amount
388 and isotopic signature of applied fertilizer (Yun et al., 2011), (2) isotopic fractionation
389 occurring during N cycle processes such as N mineralization, nitrification and
390 assimilation (Bedard-Haughn et al., 2003), and (3) ^{15}N depletion of gaseous N
391 compounds produced during denitrification and ammonia volatilization with
392 subsequent ^{15}N enrichment of the remaining soil N (Bedard-Haughn et al., 2003).
393 Based on farmers' interviews, the dominant fertilizer used was a compound NPK
394 fertilizer with urea as the N form ($\delta^{15}\text{N}$ of ca. 0.5‰) (Yun et al., 2011). As well as
395 urea-N, 11 of the 98 sites received manure ($\delta^{15}\text{N} > 10\text{‰}$). Most crucially, N

396 fertilization rates were comparable for both management systems (GCRPS: approx.
397 150 kg N ha⁻¹; Paddy: approx. 180 kg N ha⁻¹). Therefore, kinetic isotope fractionation
398 processes in the soil rather than mixing of different N sources with distinct $\delta^{15}\text{N}$
399 signatures likely account for the observed differences in soil $\delta^{15}\text{N}$. This is confirmed
400 by the observation that Ln-transformed soil N concentrations were inversely
401 correlated with the $\delta^{15}\text{N}$ values (Fig. 8).

402

403 The largest fractionation factors are consistently reported for gaseous N losses
404 (Bedard-Haughn et al., 2003; Robinson, 2001) so it is likely that changes in N₂, N₂O,
405 NO and NH₃ losses account for the ¹⁵N enrichment in Paddy soils. Nitrification- and
406 denitrification - induced losses of N₂, N₂O and NO were expected to increase under
407 unsaturated soils typical for GCRPS cultivation as compared to continuous flooding
408 of Paddy soils that has also been documented in earlier studies (Kreye et al., 2007;
409 Yao et al., 2014). Therefore, we can rule out both fertilizer effects and changes in
410 denitrification losses as significant factors explaining lower $\delta^{15}\text{N}$ in GCRPS soils. The
411 ¹⁵N enrichment in Paddy soils and increased soil N stocks under GCRPS are therefore
412 more likely related to ammonia volatilization following fertilizer application.
413 Ammonia loss from urea fertilization in Paddy rice fields can be very high with
414 emission factors ranging from 9-40% of applied N (Xu et al., 2013). Covering the soil
415 with a plastic film immediately after fertilizer application (Zhuang and Wang. 2010)
416 or manure deposits (Webb et al., 2013) greatly reduces NH₃ volatilization losses.
417 Therefore, we expect that the greater soil N stocks in GCRPS fields were associated
418 with decreased NH₃ volatilization.

419

420 **5 Conclusion**

421 We demonstrate for the first time, across a wide range of spatially representative
422 paired sites under real farming conditions, that GCRPS significantly increased soil
423 organic C and total N stocks under varying edaphic conditions. GCRPS also increased
424 above - and belowground root biomass in all soil layers down to 40 cm depth. These
425 indicate that GCRPS is a stable and sustainable technique that maintains key soil
426 functions while increasing rice yields and expanding the cultivation of a valuable crop
427 into regions where it has been hampered by low seasonal temperatures and/or a lack
428 of irrigation water. However, the use of plastic sheets as cover material remains an
429 obstacle because plastic residues often remain in the field and pollute the environment.
430 Biologically degradable films may be a suitable solution to overcome this problem,
431 and supplying such films with micronutrients may allow a more effective and
432 integrated nutrient management that could further boost grain yields.

433

434 **Author contributions.** M. Liu and M. Dannenmann contributed equally to this work.
435 S. Lin and K. Butterbach-Bahl designed the experiments. M. Liu, S. Lin, M.
436 Dannenmann, S. Sippel, Z. Yao and K. Butterbach-Bahl conducted the regional field
437 sampling. M. Liu performed the lab analysis and statistical analysis. G. Yan and G.
438 Saiz performed the incubation and fractionation experiment. Y. Tao and Y. Zhang
439 carried out the field experiment and were in charge of the root biomass. M. Liu, S. Lin,
440 M. Dannenmann, G. Saiz, K. Butterbach-Bahl and D.E. Pelster wrote the manuscript.
441 All authors commented and revised the manuscript.

442

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449

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671 **Figure captions**

672

673 **Figure 1 Concentrations and stocks of soil organic carbon and total nitrogen in**
674 **traditional Paddy and GCRPS at different soil depths.** Data presented are the
675 mean values pooled over 49 paired sites (for 0-20 & 20-40 cm, n=147; 40-60 cm,
676 n=108; 70-90 cm, n=63). Errors bars indicate the standard error of the means. ***, **, *
677 * Significant at 0.001, 0.01, 0.05 probability level respectively; ns-not significant.

678

679 **Figure 2 Average soil clay, silt and sand contents** (for 0-20 and 20-40 cm, n=49;
680 40-60 cm, n=36; 70-90 cm, n=21), **soil bulk density, pH and mineral nitrogen**
681 **concentrations** (N_{min} ; for 0-20 and 20-40 cm, n=147; 40-60 cm, n=108; 70-90 cm,
682 n=63) **at different soil depths from 49 paired sites cultivated either under**
683 **traditional Paddy or GCRPS.** Errors bars indicate s.e.m. *** Significant at 0.001
684 probability level respectively; ns-not significant.

685

686 **Figure 3 Carbon and nitrogen assimilated in aboveground biomass at maturity**
687 **(n=108).** Data presented are the means pooled over 36 paired sites (these represent all
688 the sites where rice was grown in 2011) with three replicates at each site. Errors bars
689 indicate s.e.m. Bars labeled with different lowercase letters indicate statistically
690 significant differences ($P < 0.05$) between Paddy and GCRPS.

691

692 **Figure 4 Root dry matter at maximum tillering stage for different soil depths in**
693 **traditional Paddy and GCRPS.** n = 18. Error bars denote s.e.m. Bars labelled with
694 different lowercase letters indicate differences ($P < 0.05$) between Paddy and GCRPS.

695

696 **Figure 5 Differences in cumulative organic carbon mineralization during a 200 d**
697 **incubation period of top soils (0 - 20 cm) collected from either Paddy or GCRPS.**

698 Data presented are the mean values pooled over 49 paired sites. Error bars indicate
699 s.e.m.

700

701 **Figure 6 Relative SOC fractionation (% of total) of topsoils (0 - 20 cm) from**
702 **either Paddy or GCRPS grown rice fields for the different physically separated**
703 **fractions before and after a 200 d incubation period.** s+c = fraction < 53 μm ,

704 HF/LF = heavy/light fraction > 53 μm , DOC = dissolved organic carbon < 0.45 μm .

705 GCRPS (n=18) and Paddy (n=18) (random selection of 18 out of 49 paired sites).

706 Error bars denote s.e.m. The asterisk indicates significant differences between pre and
707 post incubation (P<0.05).

708

709 **Figure 7 (a) Soil $\delta^{15}\text{N}$ isotopic signature in traditional Paddy and GCRPS at**
710 **different soil depths.** Data presented are the mean values pooled over 49 paired sites
711 (for 0-20 & 20-40 cm, n=147; 40-60 cm, n=108; 70-90 cm, n=63). **(b) $\delta^{15}\text{N}$ signature**

712 **in plant leaves at maximum tillering stage.** Data presented are the means pooled
713 over 36 paired sites (these represent all the sites where rice was grown in 2011) with
714 three replicates at each site, n=108. Errors bars indicate the s.e.m. ***, **, *

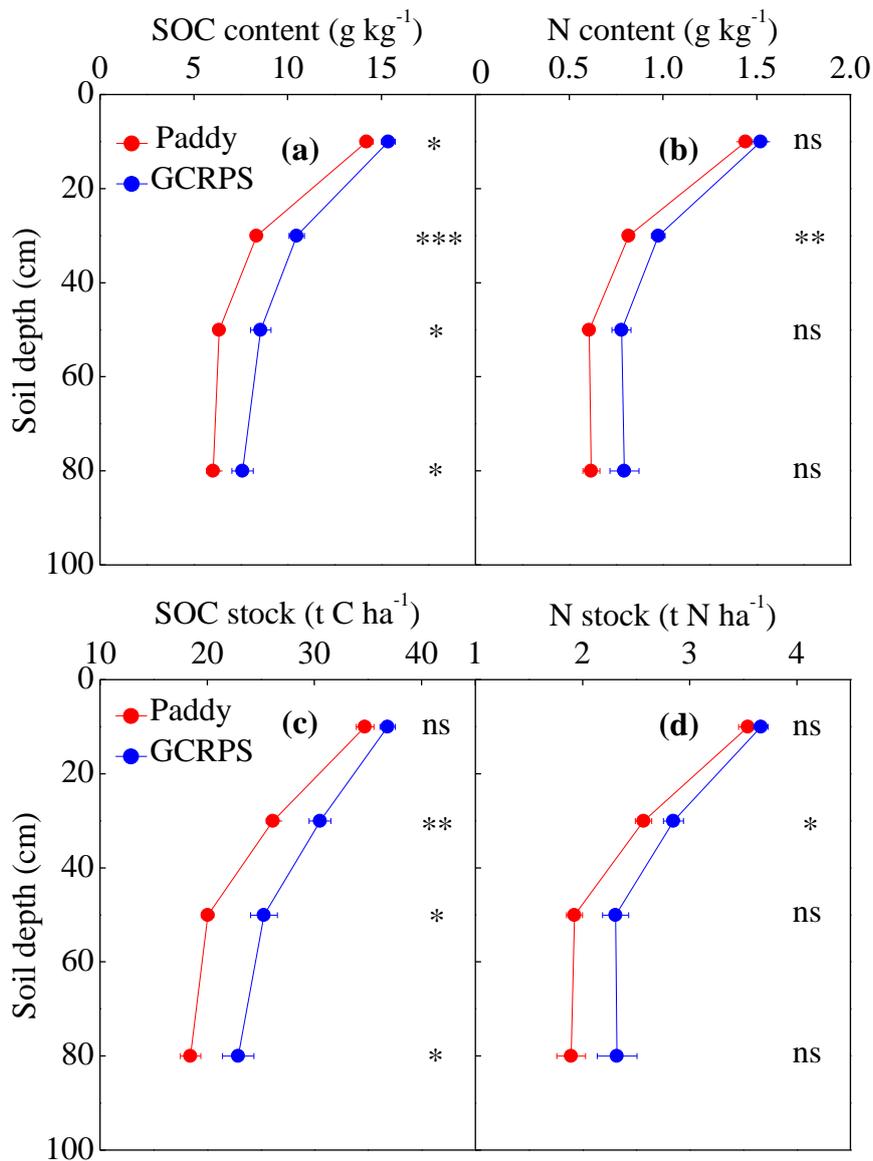
715 Significant at 0.001, 0.01, 0.05 probability level respectively; ns-not significant. Bars

716 labelled with different lowercase letters indicate differences (P < 0.05) between Paddy
717 and GCRPS.

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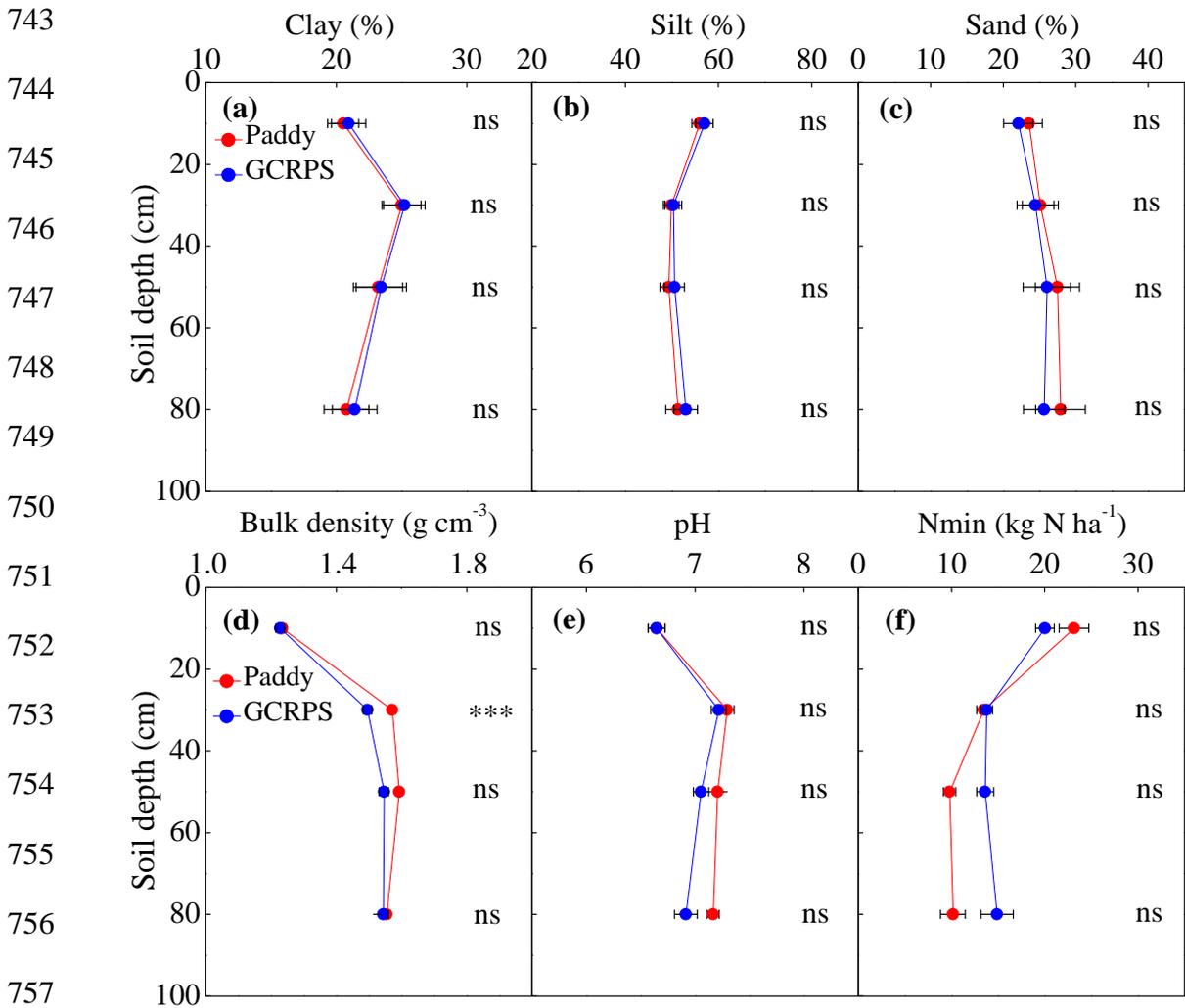
719 **Figure 8 Correlation of $\delta^{15}\text{N}$ with Ln transformed soil total nitrogen content up**
720 **to 1 m depth.** Data presented are all the individual samples measured across the 49
721 paired sites, which consist of three replicates for each site (n=465).
722

723 **Figure 1**



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742 **Figure 2**



760 **Figure 3**

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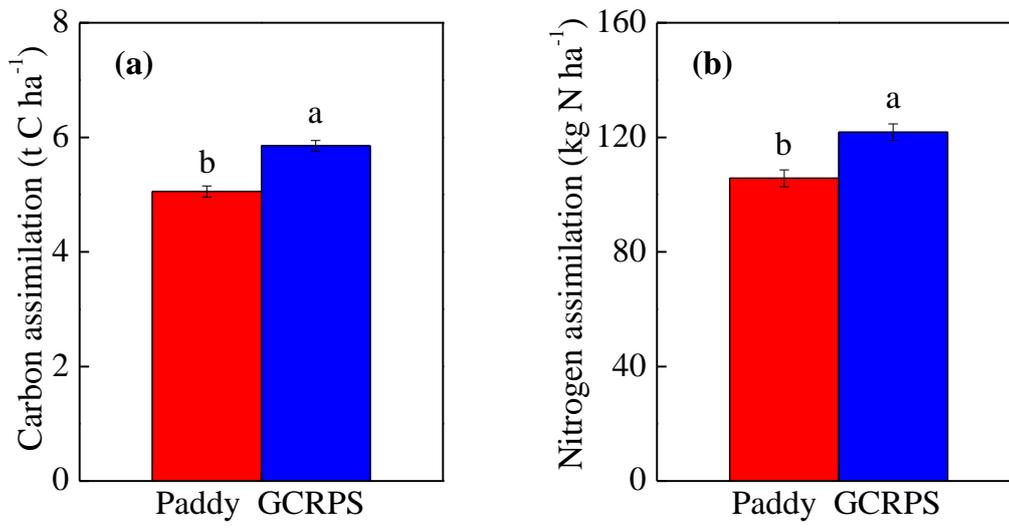
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770 **Figure 4**

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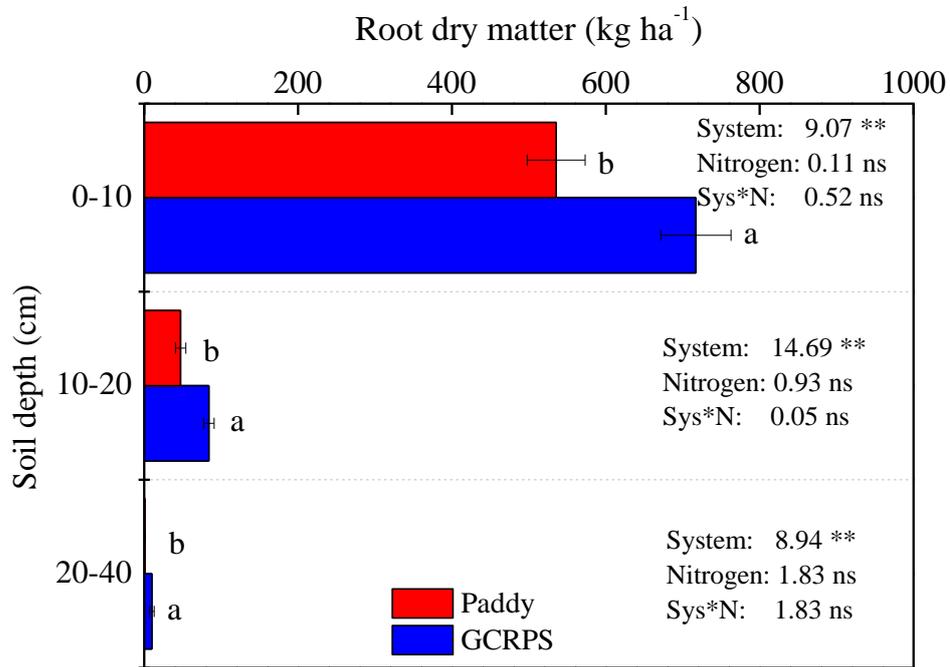
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783 **Figure 5**

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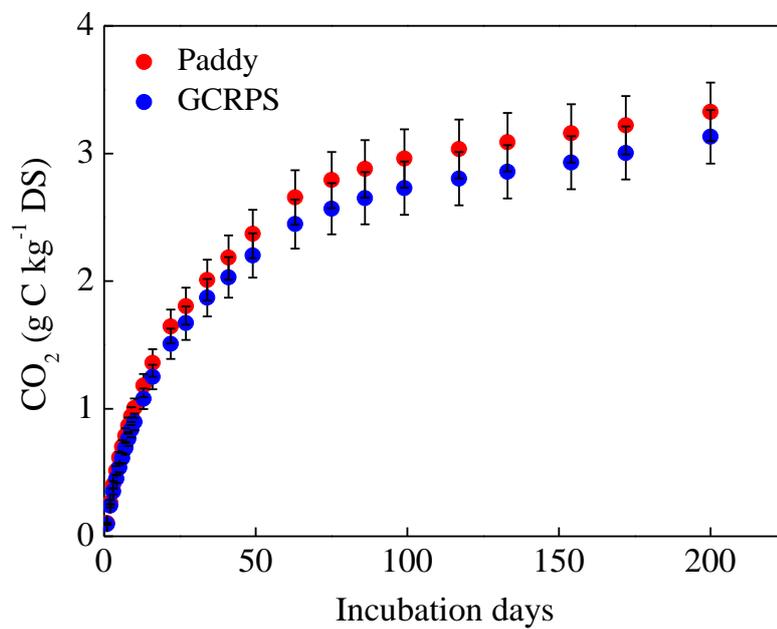
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794 **Figure 6**

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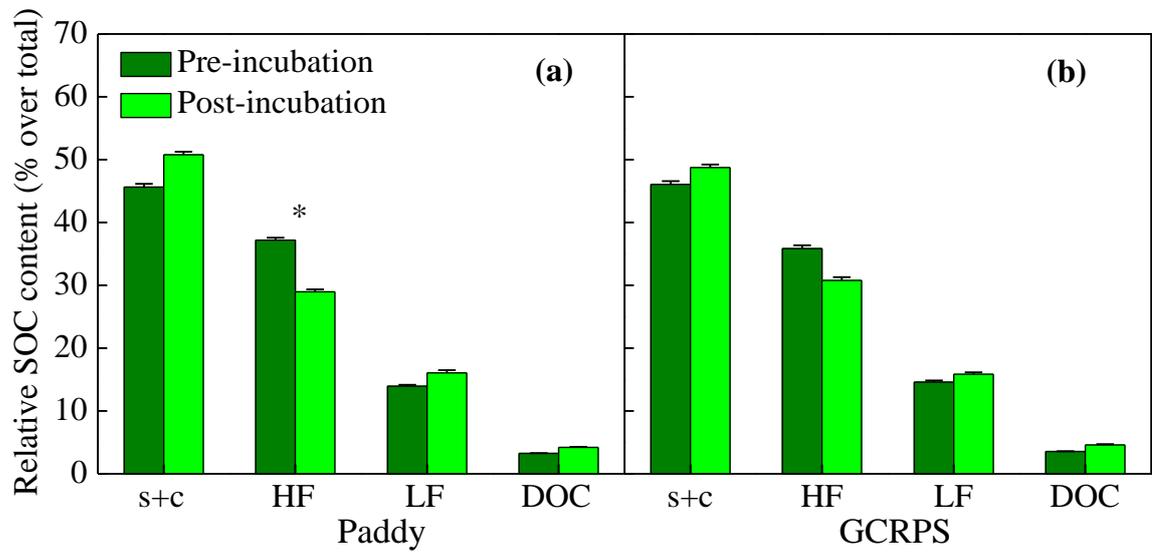
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805 **Figure 7**

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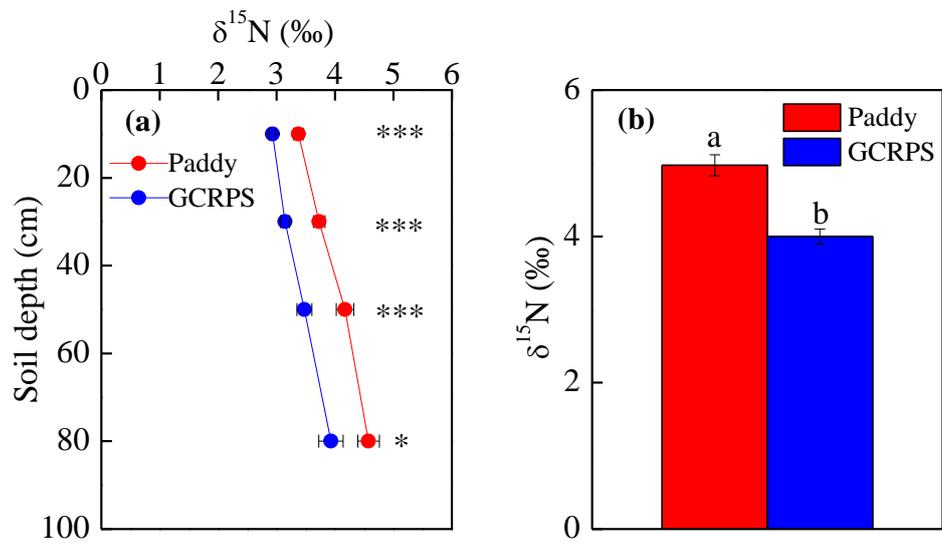
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815 **Figure 8**

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