

# Global spatiotemporal distribution of soil respiration modeled using a global database

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## Abstract

The flux of carbon dioxide from the soil to the atmosphere (soil respiration) is one of the major fluxes in the global carbon cycle. At present, the accumulated field observation data cover a wide range of geographical locations and climate conditions. However, there are still large uncertainties in the magnitude and spatiotemporal variation of global soil respiration. Using a global soil respiration dataset, we developed a climate-driven model of soil respiration by modifying and updating Raich's model, and the global spatiotemporal distribution of soil respiration was examined using this model. The model was applied at a spatial resolution of  $0.5^\circ$  and a monthly time step. Soil respiration was divided into the heterotrophic and autotrophic components of respiration using an empirical model. The estimated mean annual global soil respiration was  $91 \text{ Pg C yr}^{-1}$  (between 1965 and 2012;

1 Monte Carlo 95% confidence interval: 87–95 Pg C yr<sup>-1</sup>) and increased at the rate of 0.09 Pg C  
2 yr<sup>-2</sup>. The contribution of soil respiration from boreal regions to the total increase in global soil  
3 respiration was on the same order of magnitude as that of tropical and temperate regions,  
4 despite a lower absolute magnitude of soil respiration in boreal regions. The estimated annual  
5 global heterotrophic respiration and global autotrophic respiration were 51 and 40 Pg C yr<sup>-1</sup>,  
6 respectively. The global soil respiration responded to the increase in air temperature at the rate  
7 of 3.3 Pg C yr<sup>-1</sup> °C<sup>-1</sup>, and Q<sub>10</sub>=1.4. Our study scaled up observed soil respiration values from  
8 field measurements to estimate global soil respiration and provide a data-oriented estimate of  
9 global soil respiration. The estimates are based on a semi-empirical model parameterized with  
10 over one thousand data points. Our analysis indicates that the climate controls on soil  
11 respiration may translate into an increasing trend in global soil respiration and emphasizes the  
12 relevance of the soil carbon flux from soil to the atmosphere in response to climate change.  
13 Further approaches should additionally focus on climate controls in soil respiration in  
14 combination with changes in vegetation dynamics and soil carbon stocks along with their  
15 effects on the long temporal dynamics of soil respiration. We expect that these spatiotemporal  
16 estimates will provide a benchmark for future studies and also help to constrain process-  
17 oriented models.

18

## 19 **1 Introduction**

20 The carbon balance of terrestrial ecosystems is the result of the balance between carbon  
21 uptake by plants and carbon loss by plant and soil respiration (Beer et al., 2010; Luysaert et  
22 al., 2007; Malhi et al., 1999; Le Quéré et al., 2009, 2014; Trumbore, 2006). The value of the  
23 balance, i.e., whether terrestrial ecosystems act as sinks or sources of carbon, has been a  
24 subject of considerable interest for studies of climate change. Accurate evaluations of each  
25 sink/source component and their response to environmental factors are thus essential for  
26 understanding future changes in the terrestrial carbon balance.

27 The carbon dioxide (CO<sub>2</sub>) flux from the soil to the atmosphere (called soil respiration,  $R_S$ ) is  
28 one of the major fluxes in the global carbon cycle (Schlesinger and Andrews, 2000).  $R_S$   
29 primarily consists of heterotrophic respiration (soil organic matter decomposition) and  
30 autotrophic respiration (root respiration) (Bond-Lamberty et al., 2004; Hanson et al., 2000).  
31  $R_S$  is the main contributor to the total ecosystem respiration (Malhi et al., 1999); hence,  $R_S$   
32 plays a role in determining the carbon balance of terrestrial ecosystems.  $R_S$  is sensitive to

1 environmental factors (e.g., temperature and precipitation) (Davidson et al., 1998; Hashimoto  
2 et al., 2011b; Raich and Schlesinger, 1992; Raich et al., 2002; Schlesinger and Andrews,  
3 2000), and future climate change is expected to increase the rate of  $R_S$  at the global scale  
4 (Bond-Lamberty and Thomson, 2010b; Hashimoto et al., 2011a; Raich et al., 2002). Even a  
5 small change in the global  $R_S$  rate will have a strong impact on the terrestrial carbon cycle and  
6 may accelerate the increase in the atmospheric  $\text{CO}_2$  concentration (IPCC, 2001, 2007).

7 Observations of  $R_S$  have a long history; in particular, the amount of collected field data  
8 increased rapidly in the 1990s, and there are now thousands of records of observed data  
9 (Bond-Lamberty and Thomson, 2010a; Chen et al., 2014). Recently, a global dataset of  
10 observed  $R_S$  was established by collecting data from available studies published in the peer-  
11 reviewed scientific literature (Bond-Lamberty and Thomson, 2010a). The use of the  
12 accumulated data for field observations will improve the estimation of global  $R_S$ .

13 The number of global estimates of  $R_S$  is, however, quite limited compared to the estimates of  
14 other terrestrial carbon fluxes (e.g., gross and net primary production (GPP and NPP,  
15 respectively)), or other greenhouse gas fluxes (e.g., methane and nitrous oxide). For instance,  
16 based on a literature survey (Ito, 2011), there are at least 251 estimates of global NPP. For  $R_S$ ,  
17 to the best of our knowledge, there are only six global estimates, ranging from 68 to 98  $\text{Pg C}$   
18  $\text{yr}^{-1}$  and, thus, characterized by a large uncertainty (Hashimoto, 2012). Another example that  
19 indicates the large uncertainty in estimating  $R_S$  are the large variations in estimates of soil  
20 carbon stocks and heterotrophic respiration simulated by the state-of-the-art Earth system  
21 models of the Coupled Model Intercomparison Project Phase 5 (CMIP5; [http://cmip-  
22 pcmdi.llnl.gov/cmip5/](http://cmip-pcmdi.llnl.gov/cmip5/)) (Exbrayat et al., 2014; Todd-Brown et al., 2013), which is a model  
23 intercomparison project that provides scientific knowledge to the Intergovernmental Panel on  
24 Climate Change (Taylor et al., 2012). These facts suggest that further efforts should attempt  
25 to constrain the estimate of global  $R_S$  by employing a model-data integration approach and  
26 field measurements.

27 The purpose of this study was to provide a new global estimate of the spatiotemporal  
28 distribution of  $R_S$  based on the available observational datasets. Using a global  $R_S$  dataset  
29 (Bond-Lamberty and Thomson, 2010a), we developed a semi-empirical climate-driven model  
30 for  $R_S$ . The temperature and precipitation functions of Raich's model were refined, and the  
31 parameters of the model were newly determined using over one thousand data points. We  
32 explored the spatiotemporal distribution of  $R_S$  and examined the temperature sensitivity of  $R_S$ .

1 We further divided the estimated global  $R_S$  into the heterotrophic and autotrophic components  
2 of  $R_S$  using an empirical relationship between  $R_S$  and heterotrophic respiration and examined  
3 the distribution of each type of respiration.

4

## 5 **2 Materials and methods**

### 6 **2.1 Models**

7 We developed a climate-driven model by updating Raich's model (Raich and Potter, 1995;  
8 Raich et al., 2002). The original model, equation (1), simulates the  $R_S$  as a function of  
9 temperature and water (precipitation), and its sensitivities are parameterized using three  
10 constants ( $F$ ,  $K$ , and  $Q$ ). The model is applied at a monthly time step and requires the monthly  
11 mean air temperature ( $T$ , °C) and monthly precipitation ( $P$ , cm).

$$12 \quad moRs = F \times e^{Q \cdot T} \times \frac{P}{K + P}, \quad (1)$$

13 where,  $moR_S$  is the mean monthly  $R_S$  ( $\text{gC m}^{-2} \text{d}^{-1}$ ), and  $F$  ( $\text{gC m}^{-2} \text{d}^{-1}$ ),  $Q$  ( $^{\circ}\text{C}^{-1}$ ), and  $K$  ( $\text{cm}$   
14  $\text{mo}^{-1}$ ) are the parameters. The advantage of this model is its simplicity. Although there are  
15 numerous factors that affect  $R_S$  (Chen et al., 2014), it is often recognized that temperature and  
16 precipitation are the two best predictors to represent the spatiotemporal variability of  $R_S$   
17 (Bond-Lamberty and Thomson, 2010b). In this study, the temperature and water functions of  
18 the original model were modified as follows:

$$19 \quad moRs = F \times e^{(aT - bT^2)} \times \frac{\alpha P + (1 - \alpha)P_{m-1}}{K + \alpha P + (1 - \alpha)P_{m-1}} \quad (2)$$

20 where,  $F$  ( $\text{gC m}^{-2} \text{d}^{-1}$ ) and  $K$  ( $\text{cm mo}^{-1}$ ) are the parameters;  $a$  ( $^{\circ}\text{C}^{-1}$ ) and  $b$  ( $^{\circ}\text{C}^{-2}$ ) are the  
21 parameters for the temperature function; and  $\alpha$  is the parameter for the precipitation function;  
22  $P_{m-1}$  (cm) is the precipitation of the previous month.

23 First, we introduced a more flexible temperature function that has been reported to behave  
24 better than the simple exponential temperature function (Tuomi et al., 2008). This function  
25 peaks at  $T = a(2b)^{-1}$ , and the function can take either a convex shape or a simple exponential-  
26 like shape depending on the parameters  $a$  and  $b$ . The simple exponential temperature function  
27 has been widely applied to the modeling of the temperature sensitivity of  $R_S$ , but a limitation  
28 is often pointed out in that the  $Q_{10}$  value (the factor by which the respiration rate increases for

1 a temperature interval of  $10^{\circ}\text{C}$ ) of the exponential function does not change across  
2 temperature, while analyses of observed temperature sensitivities of  $R_S$  suggest that the  $Q_{10}$   
3 value decreases with an increase in temperature (Kirschbaum, 1995; Tuomi et al., 2008) (but  
4 see Mahecha et al., 2010). The  $Q_{10}$  value of the new temperature function can change across  
5 temperature ranges.

6 Second, we adopted the weighted average of the precipitation of both the current month and  
7 the previous month instead of only using the precipitation of the current month. One of the  
8 limitations of the precipitation function of the original model was the so-called “zero-  
9 precipitation-zero-respiration” problem (Reichstein et al., 2003). In the original precipitation  
10 function,  $R_S$  becomes zero when precipitation of the present month is zero; however, although  
11 zero precipitation occurs at times, even in temperate regions,  $R_S$  can be maintained. However,  
12 this assumption of  $R_S$  is reasonable when we focus on a global-scale evaluation and  
13 distinguish between very dry regions, such as deserts, and other regions. Including a soil  
14 water sub model to simulate the soil water conditions would be one solution, but we used a  
15 weighted average of precipitation here to avoid model complexity. By modifying the  
16 temperature and precipitation functions, the model has an increased flexibility, and global  
17 parameters for the model were estimated.

## 18 **2.2 Dataset**

19 The  $R_S$  data used in this study were obtained from the SRDB database (Bond-Lamberty and  
20 Thomson, 2010a) (version 3). The database covers a wide range of geographical and climatic  
21 spaces (Fig. S1 in the Supplement), although the availability is limited for certain regions  
22 (i.e., with low temperature, and with high temperature and low precipitation). For the purpose  
23 of modeling, the data from non-experimentally manipulated, non-agricultural ecosystems that  
24 had been measured using an infrared gas analyzer or gas chromatograph were extracted. The  
25 data with quality check flags, except for Q01, Q02, and Q03, were excluded. We further  
26 extracted the data with the location information (latitude and longitude) to support their  
27 combination with the monthly climate data from the global climate dataset. Annual  $R_S$  in the  
28 SRDB was used for data-model synthesis. Some of the data points in the SRDB are based on  
29 multi-year observations; however, the data were not weighted in this study. Each data point  
30 has the information of the year in which the study was performed or the middle year if the  
31 observation was conducted in multiple years, and we assumed that the data were obtained in a  
32 year of observation (or in the middle year if multiple years) and linked to the climate data. For

1 each data point, we ran the model using a monthly time step and calculated the annual  $R_S$ . The  
2 air temperature and precipitation were derived from the CRU3.21 climate data (University of  
3 East Anglia Climatic Research Unit (CRU) [Jones Phil and Harris Ian], 2013). The spatial  
4 resolution of the climate data is  $0.5^\circ$ . Using the latitude and longitude information and the  
5 year of observation in the SRDB, we extracted the monthly climate data from the climate  
6 dataset. The number of data points used for model parameterization was 1638.

7 We examined other models that included leaf area index (LAI) and GPP for the  
8 parameterization of  $F$  in equation (1) (Mahecha et al., 2010; Migliavacca et al., 2011;  
9 Reichstein et al., 2003) (Table S1 in the Supplement). The model with LAI and GPP was  
10 characterized by a higher  $R^2$  value than the simple climate-driven model (Table S1 in the  
11 Supplement), which supports the hypothesis that vegetation substantially influences the  
12 variation in  $R_S$  (Migliavacca et al., 2011; Reichstein et al., 2003; Wang et al., 2010).  
13 However, the number of data points in the database with LAI and GPP were limited, and  
14 including LAI and GPP resulted in losses of over 70% and 90% of the data points,  
15 respectively (Table S1 in the Supplement) (e.g., Bond-Lamberty and Thomson, 2010b). For  
16 the purpose of providing global estimates based on the accumulated observed data, we placed  
17 a higher value on relatively larger data points that cover wider geographical and climatic  
18 spaces rather than building additional mechanistic models. Hence, the above-described  
19 climate-driven model was adopted for the estimation of global  $R_S$  in this study. Similar to  
20 previous studies, the impact of land use change was not included in this study.

### 21 **2.3 Parameterization**

22 We used a Bayesian calibration scheme to determine the best parameter sets and their  
23 uncertainty (Bates and Campbell, 2001; Hashimoto et al., 2011b; Van Oijen et al., 2005;  
24 Ricciuto et al., 2008; Zobitz et al., 2008). We assumed a uniform distribution for the a priori  
25 distribution of every parameter ( $F$ ,  $a$ ,  $b$ ,  $K$ ,  $\alpha$ ) and assumed a Gaussian model-data error  
26 (standard deviation:  $\sigma$ ). To generate the a posteriori distribution, we performed a Markov  
27 Chain Monte Carlo simulation (MCMC) based on the Metropolis-Hastings (M-H) algorithm;  
28 the log-likelihood was used in practice. The MCMC program was coded in C, and the  
29 statistical analyses of the output were conducted using R versions 3.0.2 and 3.1.0 (R Core  
30 team, 2013). We conducted 100,000 iterations of sampling and discarded the first 20,000  
31 iterations as the burn-in period. The maximum a posteriori estimates (MAP) were designated  
32 as the best-fit parameters. Geweke's Z-score was calculated for convergence diagnostics

1 (Geweke, 1992); a Geweke's Z-score range of  $\pm 1.96$  indicates convergence (significance level  
2 of 5%).

### 3 **2.4 Global application**

4 The  $R_S$  was evaluated at a spatial resolution of  $0.5^\circ$  and a monthly time resolution. The air  
5 temperature and precipitation were derived from the CRU 3.21 climate data (University of  
6 East Anglia Climatic Research Unit (CRU) [Jones Phil and Harris Ian], 2013). The global  
7 land-use data in SYNMAP (Jung et al., 2006) were converted to  $0.5^\circ$  for use in this study. We  
8 calculated the  $R_S$  for the period from 1965 to 2012. A Monte Carlo simulation was applied to  
9 evaluate the uncertainty of the estimates; the model was run 1,000 times using the parameter  
10 uncertainties derived from the Bayesian calibration.

### 11 **2.5 Partitioning the total $R_S$ into the heterotrophic ( $R_H$ ) and autotrophic ( $R_A$ ) 12 respiration components**

13 The estimated annual  $R_S$  was divided into heterotrophic respiration ( $R_H$ ) and autotrophic  
14 respiration ( $R_A$ ) using an empirical relationship derived by a meta-analysis (Bond-Lamberty et  
15 al., 2004). From that meta-analysis, a global relationship between the heterotrophic and  
16 autotrophic components of  $R_S$  was established from the analysis of published data. We  
17 adopted this relationship:

$$18 \ln(anR_H) = 1.22 + 0.73 \ln(anR_S). \quad (3)$$

19 The annual  $R_H$  ( $anR_H$ ) was estimated by substituting the calculated annual  $R_S$  ( $anR_S$ ) into the  
20 above-described relationship. The annual  $R_A$  was then calculated by subtracting the annual  $R_H$   
21 from the annual  $R_S$ .

### 22 **2.6 Comparison with Earth system models**

23 The estimated  $R_H$  was compared with the estimates from Earth system models provided by  
24 CMIP5. We calculated global  $R_H$  using 20 Earth system models of the CMIP5 (Table S2 in  
25 the Supplement) and compared the results with our estimate.

### 26 **2.7 Statistical analysis**

27 We defined tropical, temperate, and boreal regions based on the annual temperature ( $T < 2^\circ\text{C}$ ,  
28  $2^\circ\text{C} \leq T \leq 17^\circ\text{C}$ ,  $17^\circ\text{C} < T$ ) after a previous study (Bond-Lamberty and Thomson, 2010b).

1 Statistical analyses were conducted using R versions 3.0.2 and 3.1.0 (R Core team, 2013). The  
2 Mann-Kendall trend test was applied to test for the significance of trends (R package, Kendall  
3 version 2.2).

4

### 5 **3 Results**

6 Table 1 lists the a priori and a posteriori distributions of the parameters, and the estimated  
7 best parameters with their uncertainties and statistics. The temperature function and  
8 precipitation function developed in this study are depicted in Figure 1, and the two original  
9 functions are also plotted. Regarding the temperature function, the three lines were  
10 approximately overlapping under 10°C, but the differences among the three lines increased  
11 with an increase in temperature. The temperature sensitivity of the newly estimated function  
12 was attenuated at high temperatures compared to the simple exponential functions applied in  
13 the original temperature functions, for which the temperature sensitivity steadily increased.  
14 Depending upon the parameterization, the newly introduced function can either peak at a  
15 certain temperature or behave as a simple exponential function. Our parameterization did not  
16 result in a peak in this temperature range, but the temperature sensitivity decreased as the  
17 temperature increased. The newly estimated precipitation function was similar to that of the  
18 previous study (Raich and Potter, 1995); note that the precipitation used in this study is the  
19 weighted average of the precipitation of the current month and the previous month. The best  
20 value for the weighting factor  $\alpha$  was 0.98, but  $\alpha$  was characterized by a large uncertainty  
21 (0.03–0.99, 95% confidence interval).

22 The estimated mean annual global  $R_S$  was 91 Pg C yr<sup>-1</sup> (1965–2012; Monte Carlo 95%  
23 confidence interval: 87–95 Pg C yr<sup>-1</sup>), and the spatial distribution of  $R_S$  is depicted in Figure  
24 2. The estimated  $R_S$  was high in tropical regions and low in boreal regions, following a  
25 temperature-oriented gradient from near the equator to higher latitudes, but the estimated  
26 values were low in dry regions as well (Fig. 2AB). Latitudinally, the regions between 30°S–  
27 30°N contributed the most to global  $R_S$ , but the contribution of the region between 30°N–  
28 60°N was also large (Fig. 2C). The contributions of the tropical, temperate, and boreal regions  
29 were 64, 24, and 12%, respectively, of global  $R_S$ . The monthly global  $R_S$  was lowest in  
30 February (5.7 Pg C mo<sup>-1</sup>) and greatest in July (9.4 Pg C mo<sup>-1</sup>) (Figs. S2 and S3 in the  
31 Supplement). The mean annual grid-cell  $R_S$  was characterized by a broad distribution, ranging  
32 from 0 to greater than 1500 g C m<sup>-2</sup> yr<sup>-1</sup> (Fig. S4 in the Supplement).

1 The estimated  $R_S$  followed an increasing trend over time, with fluctuations, and the rate of the  
2 estimated increase was  $0.09 \text{ Pg C yr}^{-2}$  ( $P < 0.0001$ ) between 1965 and 2012 and  $0.14 \text{ Pg C yr}^{-2}$   
3 ( $P = 0.0015$ ) between 1990 and 2012 (Fig. 3, Table S3 in the Supplement). The lowest value of  
4  $R_S$  ( $88 \text{ Pg C yr}^{-1}$ ) occurred in both 1965 and 1970, and the highest value ( $95 \text{ Pg C yr}^{-1}$ )  
5 occurred in 2010. The higher and lower values were mainly coincident with El Niño Southern  
6 Oscillation events. The trends were examined for the tropical, temperate, and boreal regions:  
7 the annual variation was largest in tropical regions and was lowest in boreal regions (Fig. 4  
8 and Fig. S5 in the Supplement). In tropical regions, large fluctuations in  $R_S$  occurred during  
9 the 1970s. In all regions, the  $R_S$  followed an increasing trend with time. The rates of increase  
10 in  $R_S$  for the tropical, temperate, and boreal regions were  $0.048$  ( $P < 0.0001$ ),  $0.025$   
11 ( $P < 0.0001$ ), and  $0.021$  ( $P < 0.0001$ )  $\text{Pg C yr}^{-2}$ , respectively; hence, the highest rates of increase  
12 occurred in the tropical regions. The proportional increases in the  $R_S$  of the tropical,  
13 temperate, and boreal regions were 0.08, 0.11, and 0.19%, respectively; thus, the proportional  
14 increase was greatest for the boreal regions. The difference between the earlier and later  
15 period of the simulation is shown by latitude in Figure 2D. The  $R_S$  increased at nearly all  
16 latitudes. There were large increases in  $R_S$  between  $0^\circ\text{N}$  and  $30^\circ\text{N}$  and between  $30^\circ\text{N}$  and  
17  $70^\circ\text{N}$ .

18 The relationship between the annual mean global temperature and the global  $R_S$  is  
19 characterized by a slope of  $3.3 \text{ Pg C yr}^{-1} \text{ }^\circ\text{C}^{-1}$  ( $P < 0.0001$ ) (Fig. 5) and a  $Q_{10}$  value of 1.4  
20 (derived by fitting an exponential function). Figure 6 presents the distribution of the  $Q_{10}$   
21 values at the grid scale, which was calculated using the temperature function estimated in this  
22 study and the mean temperature of each grid. The  $Q_{10}$  values varied between 1 and 2 and were  
23 lower in the regions near the equator and higher in the regions at high latitudes with colder  
24 climates.

25 The estimated global  $R_H$  and  $R_A$  were  $51$  and  $40 \text{ Pg C yr}^{-1}$ , respectively. The spatial  
26 distributions of  $R_H$  and  $R_A$  are depicted in Figure 7. Both the  $R_H$  and the  $R_A$  were high in  
27 tropical regions and low in cold and/or dry regions. The  $R_H$  and  $R_A$  were nearly equivalent to  
28 each other, but in the regions of high  $R_S$ ,  $R_A$  was greater than  $R_H$ ; and in the regions with low  
29  $R_S$ ,  $R_H$  was greater than  $R_A$ . The distribution of the  $R_A:R_S$  ratio indicates that, in tropical and  
30 temperate regions, the  $R_A$  component contributes approximately 40–50% of  $R_S$ , while  $R_A$   
31 accounted for less than 30% of  $R_S$  in cold and/or dry regions (Fig. 8).

1 Figure 9 compares the  $R_H$  estimated by our model to those estimated using other Earth system  
2 models. The value of  $R_H$  estimated by the Earth system models varied from 40 Pg C yr<sup>-1</sup> to  
3 greater than 77 Pg C yr<sup>-1</sup>. The mean of the results from the Earth system models (54 Pg C  
4 yr<sup>-1</sup>, 1965–2004) was similar to our estimate. The latitudinal distributions of  $R_H$  differed  
5 among the Earth system models (Figure 10). In particular, the differences among models were  
6 large between 30°S and 10°N and from 40°N to 70°N. The distribution of the  $R_H$  estimated  
7 using this model was primarily in accordance with the mean of Earth system models;  
8 however, a large difference was noted between 10°S and 10°N.

9

## 10 **4 Discussion**

### 11 **4.1 Spatiotemporal distribution of $R_S$**

12 Overall, the estimated  $R_S$  was high in tropical regions and low in cold and/or dry regions. The  
13 model parameters derived from the parameterization (Table 1 and Fig. 1) indicate that the  $R_S$   
14 increases under conditions of high temperature and high precipitation. Our modeling suggests  
15 that the spatial distribution of  $R_S$  at global scale is controlled by both precipitation and  
16 temperature (Fig. 2A and Fig. S6 in the Supplement). These patterns basically agree with  
17 those reported in previous studies (Bond-Lamberty and Thomson, 2010b; Chen et al., 2010;  
18 Hashimoto, 2012; Raich et al., 2002). However, the estimated total global  $R_S$  of this study (91  
19 Pg C yr<sup>-1</sup>) differs from the results of the previous studies. Previous estimates can be roughly  
20 divided into two categories, the highest estimate of 98 Pg C yr<sup>-1</sup> (Bond-Lamberty and  
21 Thomson, 2010b) and the other estimates (76 Pg C yr<sup>-1</sup>, on average,  $N=5$ ) (Hashimoto, 2012;  
22 Raich and Potter, 1995; Raich and Schlesinger, 1992; Raich et al., 2002; Schlesinger, 1977)  
23 (Table S4 in the Supplement). Our estimate is based on the same dataset as that analyzed for  
24 the highest estimate (Bond-Lamberty and Thomson, 2010b), but the new estimate of this  
25 study was 7% lower than that estimate. We speculate that one of the reasons for this  
26 difference might be the differences in model structure. A non-linear model was used in this  
27 study, while linear models were employed in the previous study. In particular, we assumed  
28 that  $R_S$  is sensibly reduced when the sum of precipitation of the current month and previous  
29 month is zero. The  $R_S$  was very low in dry regions (e.g., deserts in Africa and Mongolia) (Fig.  
30 2). The numbers of observations are quite limited for very dry regions and deserts; for this  
31 reason, although we considered that it is reasonable to assume approximately zero-respiration

1 in these regions, we should consider the potentially high uncertainty in these estimates.  
2 However, the new estimate was higher than other previous estimates (i.e., all of the estimates  
3 other than Bond-Lamberty and Thomson 2010b). In particular, the new estimate was higher  
4 than that of Raich et al. (2002) despite using nearly the same model structure. We attribute  
5 this difference to the differences in the datasets analyzed for parameterization (Table S1 and  
6 Fig. S7 in the Supplement).

7 The global  $R_S$  followed an increasing trend, and the rate of the increase was comparable to  
8 that estimated by a previous study (Bond-Lamberty and Thomson, 2010b). Our model did not  
9 include a detailed carbon cycle for the evaluated ecosystems; hence, it is not possible to argue  
10 that this increasing trend indicates a net loss of carbon from the soil to the atmosphere  
11 (Gottschalk et al., 2012; Smith and Fang, 2010). However, our analysis provides additional  
12 data to support an increasing trend for global  $R_S$ , even though a new model was applied for  
13 this study, and supports the assumption that the soil carbon flux from soil to the atmosphere is  
14 increasing in response to climate change.

## 15 **4.2 Heterotrophic and autotrophic respirations**

16 Although the number of reports of  $R_H$  is limited, our estimate of  $R_H$  is comparable to those of  
17 previous studies (IPCC, 2001; Potter and Klooster, 1997; Sitch et al., 2015; Tian et al., 2015).  
18 In addition, the mean value of  $R_H$  estimated using the Earth system models is comparable to  
19 our estimate (Fig. 9). This agreement might imply that the carbon cycles in the Earth system  
20 models are, to an extent, well constrained by the carbon influx terms (GPP and NPP), and  
21 there are, in comparison to  $R_H$ , numerous global estimates for GPP and NPP. However, when  
22 we look at the results from each Earth system model, the differences among estimates are  
23 distinct in terms of the magnitude and spatial distribution. Because the air temperature  
24 simulated by the models in CMIP5 is well correlated with CRU surface air temperature  
25 (Todd-Brown et al., 2013), the variation in  $R_H$  might be attributable to the differences in the  
26 description of the terrestrial carbon cycle in each model.  $R_H$  is a major carbon flux in an  
27 ecosystem carbon cycle; therefore, the large variation in  $R_H$  indicates that there are large  
28 uncertainties in the overall flows of carbon in ecosystems (e.g., photosynthesis and  
29 respiration) associated with the Earth system model. In addition, the  $Q_{10}$  value for  $R_H$  in each  
30 Earth system model in CMIP5 ranged from 1.4 to 2.2 (Todd-Brown et al., 2014); thus, the  
31 range of  $Q_{10}$  is wide enough and must contribute to the large variation in  $R_H$ . In fact, there are  
32 large variations among estimates of soil carbon stocks and soil carbon responses to climate

1 change generated using Earth system models (Carvalhais et al., 2014; Nishina et al., 2014;  
2 Todd-Brown et al., 2013).

3 The mean terrestrial NPP reported in previous studies was  $56.2 \pm 14.3 \text{ Pg C yr}^{-1}$  based on a  
4 thorough literature survey (Ito, 2011) (most data included were published after 1990), and our  
5 estimated  $R_H$  between 1990 and 2012 was  $51.5 \text{ Pg C yr}^{-1}$ . The residual, the so-called net  
6 ecosystem production, is then  $4.7 \text{ Pg C yr}^{-1}$ . The global terrestrial carbon sink for 1990–2009  
7 was estimated to be  $2.4 \text{ Pg C yr}^{-1}$  (Sitch et al., 2015); when global fire carbon emission ( $2.0$   
8  $\text{Pg C yr}^{-1}$ ; 1997–2009) (van der Werf et al., 2010) is taken into account, despite that these  
9 figures are based on different approaches, the figures show surprising consistency.

10 Although previously reported NPP trends vary and are still debated (Table S5 in the  
11 Supplement) (Ahlström et al., 2012) and care must be taken to ensure that different climate  
12 data were used among the studies, comparing the trends of  $R_H$  with those of NPP may imply  
13 possible changes in net global ecosystem carbon uptake. Before 2000, both NPP and  $R_H$   
14 showed increasing trends (Table S5 in the Supplement); however, the reported trends of NPP  
15 were larger than that of  $R_H$  estimated in this study, suggesting a possible increase in global  
16 ecosystem carbon uptake. In the 2000s, the increasing trend of NPP is likely to continue;  
17 however, one study reported the possible decline of NPP, which may imply the possible  
18 diminishment of increasing global ecosystem carbon uptake (Table S5 in the Supplement).  
19 However, in this study,  $R_H$  was estimated using a simple empirical relationship with  $R_S$ , and  
20 the interannual changes in  $R_S$  are mostly climate-driven and do not include process-based  
21 changes in the carbon cycle. Therefore, the trends in  $R_H$  obtained in this study may be  
22 underestimated and must be carefully evaluated.

23 The estimated global-scale contribution of  $R_A$  (root respiration) to total  $R_S$  was 44%. At the  
24 grid scale, there was considerable variation in the  $R_A:R_S$  ratio, which is in agreement with the  
25 reports based on compilations of previous laboratory and field studies (Hanson et al., 2000).  
26 However, although there are observational reports of  $R_A:R_S$  ratio greater than 0.5, such high  
27  $R_A:R_S$  ratios were not observed in our modeling study because of the relationship between  $R_S$   
28 and  $R_H$  applied in this study. Another reason might be that the compilation studies included  
29 data observed under various vegetation/soil conditions and seasons, while our study provides  
30 a spatiotemporal average. For example, the  $R_A:R_S$  ratio will be high in densely planted  
31 vegetation.

### 1 **4.3 Contributions of tropical, temperate, and boreal regions**

2 Our study, similar to previous studies, revealed that tropical regions contribute the largest  
3 proportion of global  $R_S$  (Bond-Lamberty and Thomson, 2010b; Hashimoto, 2012; Raich et al.,  
4 2002). This finding is not surprising because  $R_S$  responds to temperature exponentially and  
5 also because there are large amounts of litter input to soil in tropical regions. However,  
6 strikingly, the contribution of  $R_S$  from boreal regions to the rate of increase in  $R_S$  at the global  
7 scale for the study period was on the same order of magnitude with that of the contributions  
8 from tropical and temperate regions despite the lower contribution of  $R_S$  from boreal regions  
9 to the total global  $R_S$  in terms of absolute magnitude. This relatively large contribution is  
10 attributed to the temperature sensitivity of  $R_S$  (quasi-linear response) and the magnitude of the  
11 temperature increase in boreal regions, which was greater than the increase for tropical  
12 regions. At present, tropical regions are the most influential regions in terms of global  $R_S$   
13 (e.g., 64% of the global  $R_S$  based on our results). As suggested in previous studies, the  
14 importance of boreal regions in global carbon cycle is increasing and will continue to increase  
15 because a large amount of carbon is stored in soils in boreal regions (Batjes, 1996; Dixon et  
16 al., 1994; Eswaran et al., 1993; Post et al., 1982).

### 17 **4.4 Temperature sensitivity**

18  $R_S$  is strongly influenced by temperature, and an understanding of the response of global  $R_S$  to  
19 the change in global temperature is critical to understanding and predicting the response of the  
20 terrestrial carbon cycle under climate change. In our study, global  $R_S$  responded to the  
21 increase in global air temperature, over the study period, at the rate of  $3.3 \text{ Pg C yr}^{-1} \text{ }^\circ\text{C}^{-1}$   
22 ( $Q_{10}=1.4$ , based on the air temperature, not the soil temperature), which is in accord with the  
23 results of previous studies (Bond-Lamberty and Thomson, 2010b; Raich et al., 2002; Zhou et  
24 al., 2009). There are several estimates of the global  $Q_{10}$  for  $R_H$  (organic matter decomposition)  
25 or ecosystem respiration (the sum of plant and soil respiration), and, approximately, these  
26 values range from 1–2 and are distributed around 1.5 (Ise and Moorcroft, 2006; Jones and  
27 Cox, 2001; Kaminski et al., 2002; Mahecha et al., 2010; Todd-Brown et al., 2013; Zhou et al.,  
28 2009) (Table S6 in the Supplement). At the field scale, the observed  $Q_{10}$  values of  $R_S$  are  
29 typically in the range of 2.0–3.0, are characterized by high variability, and decrease with an  
30 increase in temperature (Bond-Lamberty and Thomson, 2010a; Kirschbaum, 1995; Wang et  
31 al., 2010; Wei et al., 2010), although the calculated  $Q_{10}$  value depends on temperature range  
32 and on the analyzed temperature (air/soil temperature, depth of soil temperature). In regards to

1 ecosystem respiration, the observed temperature sensitivity at the ecosystem level is  
2 seasonally confounded, and an unconfounded  $Q_{10}$  value of 1.4 has been suggested, even  
3 among multiple biomes and independent of the mean temperature (Mahecha et al., 2010). In  
4 other words, the  $Q_{10}$  value, which has a value of 1.4, is observed to be approximately 2–3  
5 (with a high degree of variation) at the field scale, while the globally estimated value (1.5) is  
6 approximately equal to the intrinsic value. These apparent differences in temperature  
7 sensitivity are curious and are probably attributed to the confounding effects of other  
8 ecophysiological factors (e.g., photosynthesis), and differences among analyses conducted at  
9 multiple spatiotemporal scales (e.g., Kirschbaum, 2010; Subke and Bahn, 2010). These  
10 apparent differences in temperature sensitivity have not yet been fully interpreted. Some  
11 studies have addressed this issue; for example, a modeling study (Kirschbaum, 2010)  
12 reproduced, in part, such changes in temperature sensitivity across scale that is introduced by  
13 seasonal temperature variations. When process-oriented ecosystem models are applied at the  
14 field or grid scale and then scaled up to the global scale, comparisons of the global scale  
15 temperature sensitivity of such scaling efforts with the results of our study may be useful for  
16 examining whether  $R_S$  has been properly scaled.

#### 17 **4.5 Conclusions and future work**

18 In this study, we estimated the spatiotemporal variation of global  $R_S$  using a global soil  
19 database, SRDB, and a semi-empirical model. The study scaled up the observed field-scale  $R_S$   
20 values to a global-scale  $R_S$  to provide a data-oriented estimate of global  $R_S$ . The estimated  
21 mean annual global  $R_S$  was 91 Pg C yr<sup>-1</sup> (1965–2012; Monte Carlo 95% confidence interval:  
22 87–95 Pg C yr<sup>-1</sup>), which differs from those of previous studies. Our model does not include  
23 detailed processes for ecosystem carbon cycles, imparting both limitations and advantages to  
24 this study. For example, plant photosynthesis, belowground carbon allocation, soil carbon  
25 stock changes, land-use changes, and nitrogen transformations can affect  $R_S$ , and in particular,  
26 these processes play important roles in long-term simulations of terrestrial carbon cycles.  
27 Estimation of  $R_S$  by satellite remote sensing (e.g. normalized difference vegetation index,  
28 NDVI), which includes the vegetation information, may be a promising solution (Huang et al.,  
29 2013). In regards to boreal regions, the impact of permafrost melting, which is an important  
30 process in northern regions, was not explicitly considered in this study, although SRDB  
31 includes some data measured in permafrost regions. However, simple semi-empirical models  
32 are good at assimilating accumulated observed field data and providing data-oriented

1 estimations. The relationship between  $R_H$  and  $R_S$  is derived from data observations for forest  
2 ecosystems, which could affect our estimate of  $R_H$ . The resolution of our analysis is coarse  
3 compared to the scale of the field observations.

4 Our study has demonstrated that the accumulated data for  $R_S$  can be used to develop simple,  
5 data-oriented models, but in the future, datasets that include other related processes/properties  
6 (e.g., LAI, and GPP) will be necessary to generate relatively more sophisticated, simple  
7 models and to further constrain process-oriented models. Nevertheless, our approach, the use  
8 of a simple model for the analysis of accumulated data resources, provides data-oriented  
9 estimates and can be used to bridge a gap between process-oriented modeling and observed  
10 datasets. We expect that our data-oriented, spatiotemporal estimates will serve as benchmarks  
11 and also help to constrain process-oriented models and Earth system models. The gridded  
12 outputs are available at <http://cse.ffpri.affrc.go.jp/shojih/data/index.html>.

13

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1 **Table 1.** A priori and a posteriori probability distributions of the parameters. The MAP is the  
2 maximum a posteriori estimate. A uniform distribution was assumed for every a priori  
3 distribution. CI indicates the confidence interval.  $F$ ,  $a$ ,  $b$ ,  $K$ , and  $\alpha$  are the model parameters,  
4 and  $\sigma$  is the standard deviation of the model-data error.

5

Parameters	Prior	MAP	Mean	Median	SD	95% CI	Kurtosis	Geweke's $P$	Geweke's $Z$
$F$	0.1–5.0	1.68	1.76	1.76	0.15	1.478 – 2.049	2.83	0.59	0.22
$a$	0.001–0.1	0.0528	0.049	0.049	0.01	0.0335 – 0.0725	2.99	0.32	–0.48
$b$	0.00001–0.005	0.000628	0.0006	0.0005	0.0003	0.0001 – 0.0012	2.97	0.31	–0.50
$K$	0.01–10.0	1.20	1.46	1.42	0.35	0.861 – 2.211	3.00	0.45	–0.12
$\alpha$	0.0–1.0	0.98	0.47	0.41	0.33	0.0254 – 0.987	1.56	0.51	0.03
$\sigma$	100.0–1500.0	375.5	377.6	377.5	6.61	365.0 – 390.8	3.07	0.52	0.05

1 **Figure 1.** Shapes of the temperature function (A) and precipitation function (B). The red line  
2 represents the results of this study, and the green-dashed and blue-dashed lines indicate the  
3 functions estimated by previous studies (Raich and Potter, 1995; Raich et al., 2002). The grey  
4 area is the 95% confidence interval of the estimated functions.

5

6 **Figure 2.** Spatial distribution of the estimated annual soil respiration (A), the latitudinal  
7 patterns of soil respiration components (B, C), and difference between the earlier (1965–  
8 1989) and later (1990–2012) periods of the simulation (D).

9

10 **Figure 3.** Temporal variation of the estimated global soil respiration. The grey region  
11 indicates the 95% confidence limits of the Monte Carlo simulation ( $N=1000$ ). The orange line  
12 represents the 5-year moving average.

13

14 **Figure 4.** Interannual variations of soil respiration for boreal, temperate, and tropical regions.  
15 The orange lines represent the 5-year moving averages.

16

17 **Figure 5.** Relationship between the global mean air-temperature anomaly and the soil-  
18 respiration anomaly. The anomaly was calculated as the deviation from the 1965–2012 mean.

19

20 **Figure 6.** Spatial distribution of  $Q_{10}$  values estimated using the temperature function of  
21 equation (2) ( $f_T = \exp(aT - bT^2)$ ) and the mean temperature of each grid ( $T_M$ ). The  $Q_{10}$  value was  
22 calculated by  $f_T(T_M+5)/f_T(T_M-5)$ .

23

24 **Figure 7.** Spatial distribution of heterotrophic respiration (A) and autotrophic respiration (B).  
25 C and D depict the latitudinal distributions of heterotrophic and autotrophic respiration per  
26 square meter and per  $0.5^\circ$ , respectively.

27

28 **Figure 8.** Distribution of the ratio of autotrophic respiration to total soil respiration.

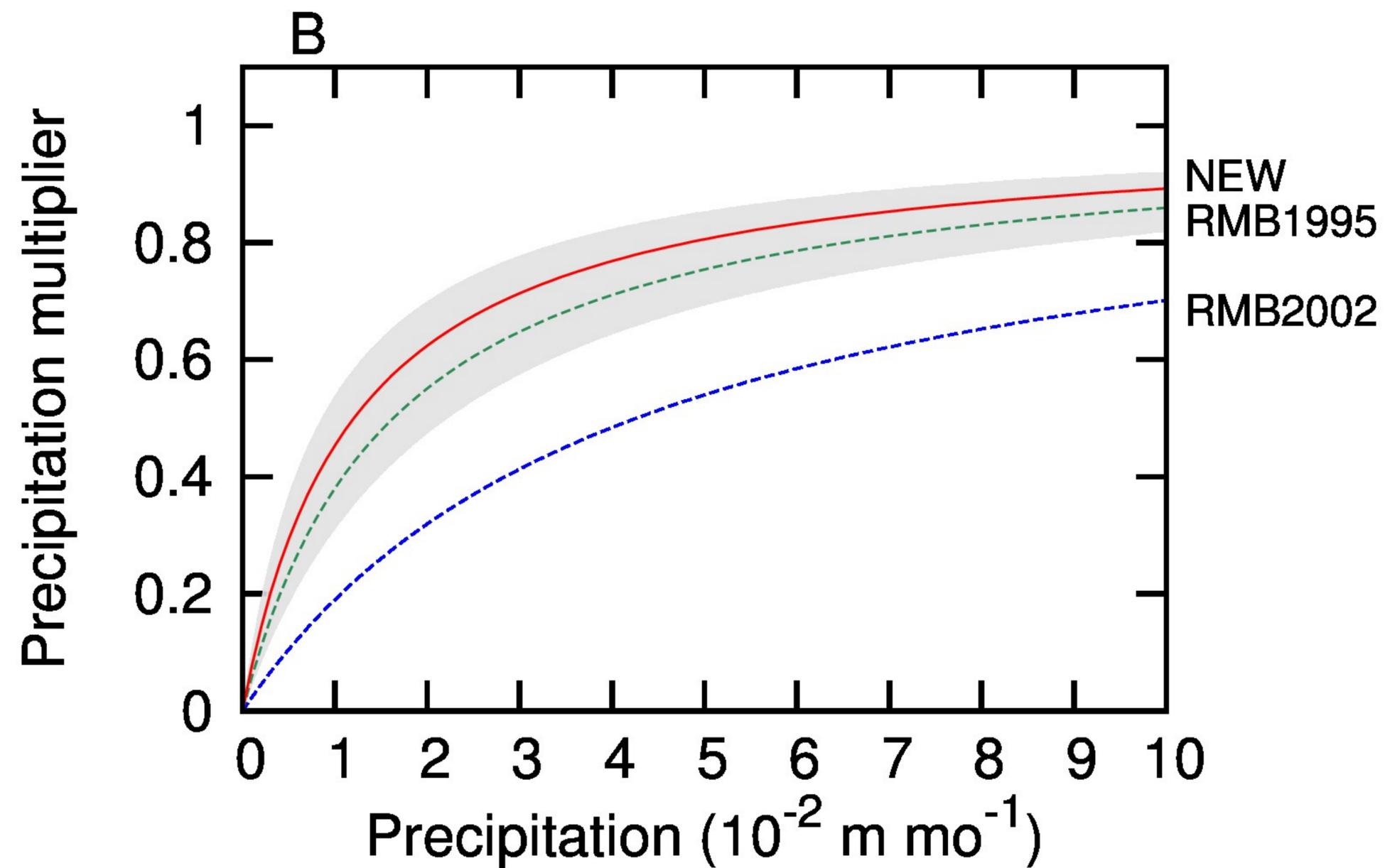
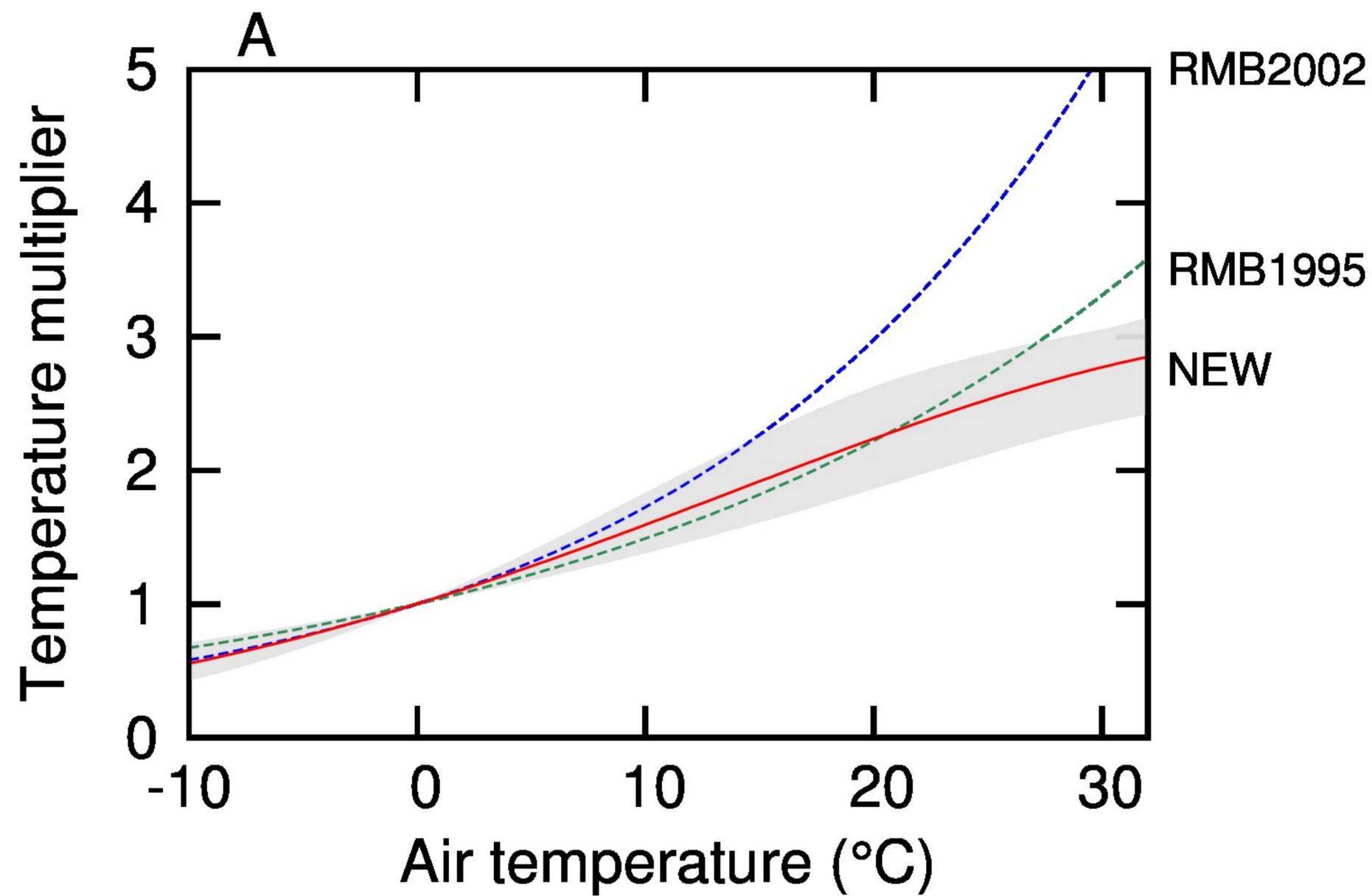
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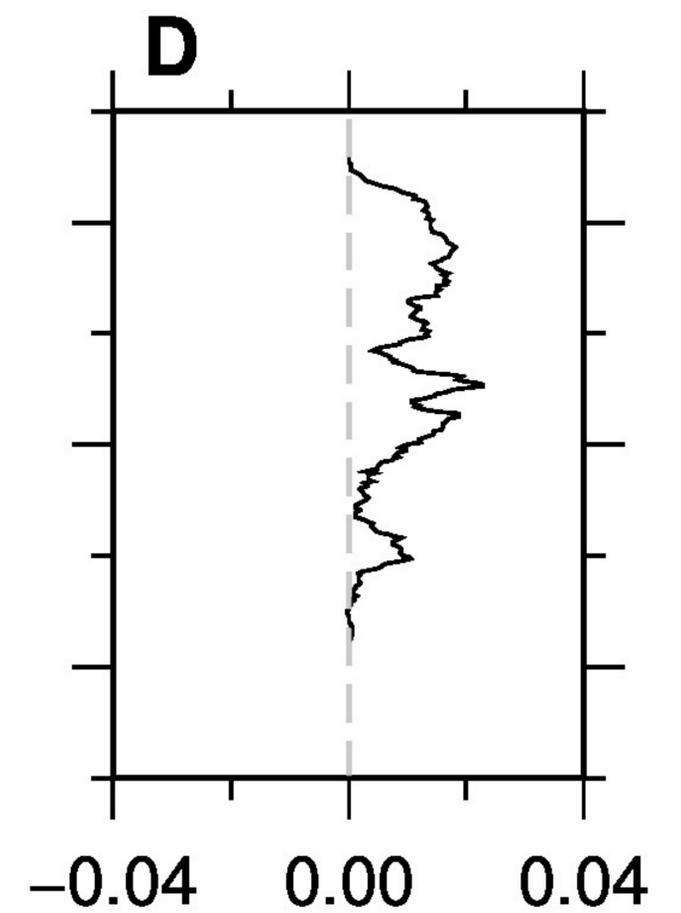
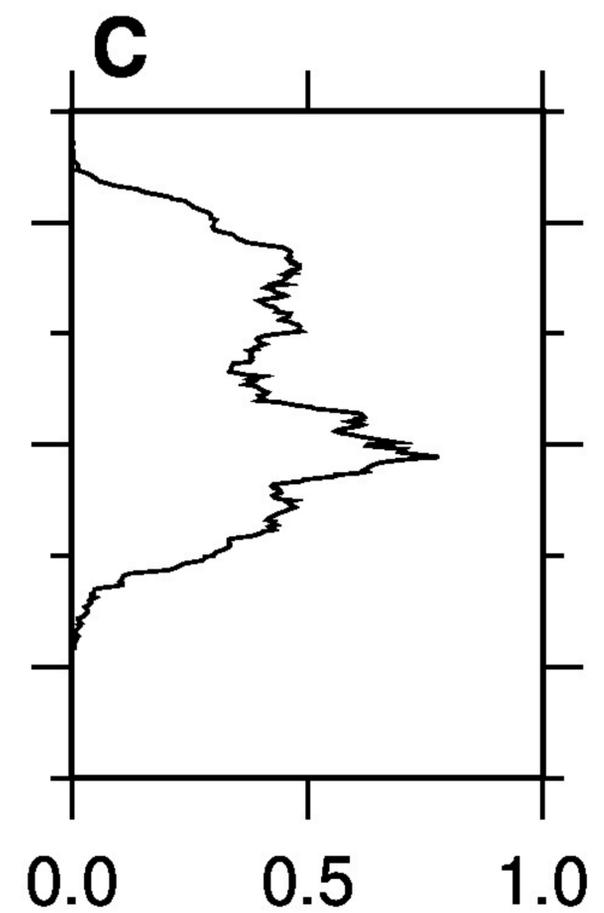
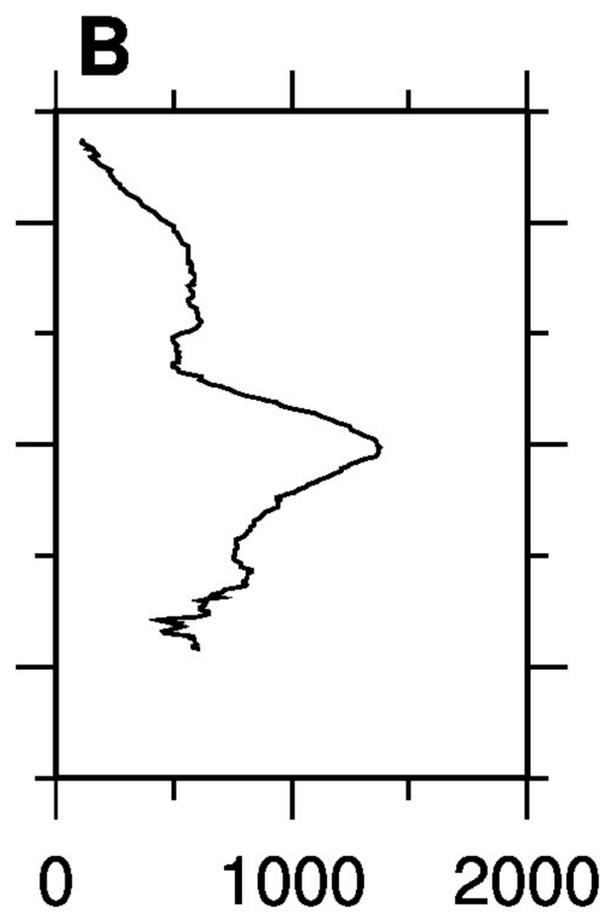
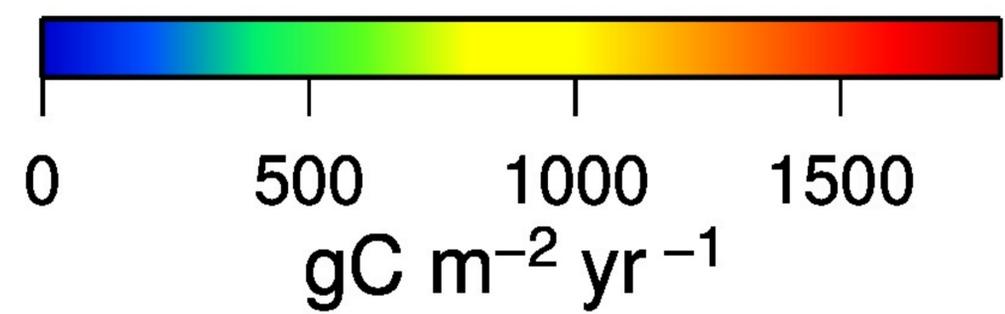
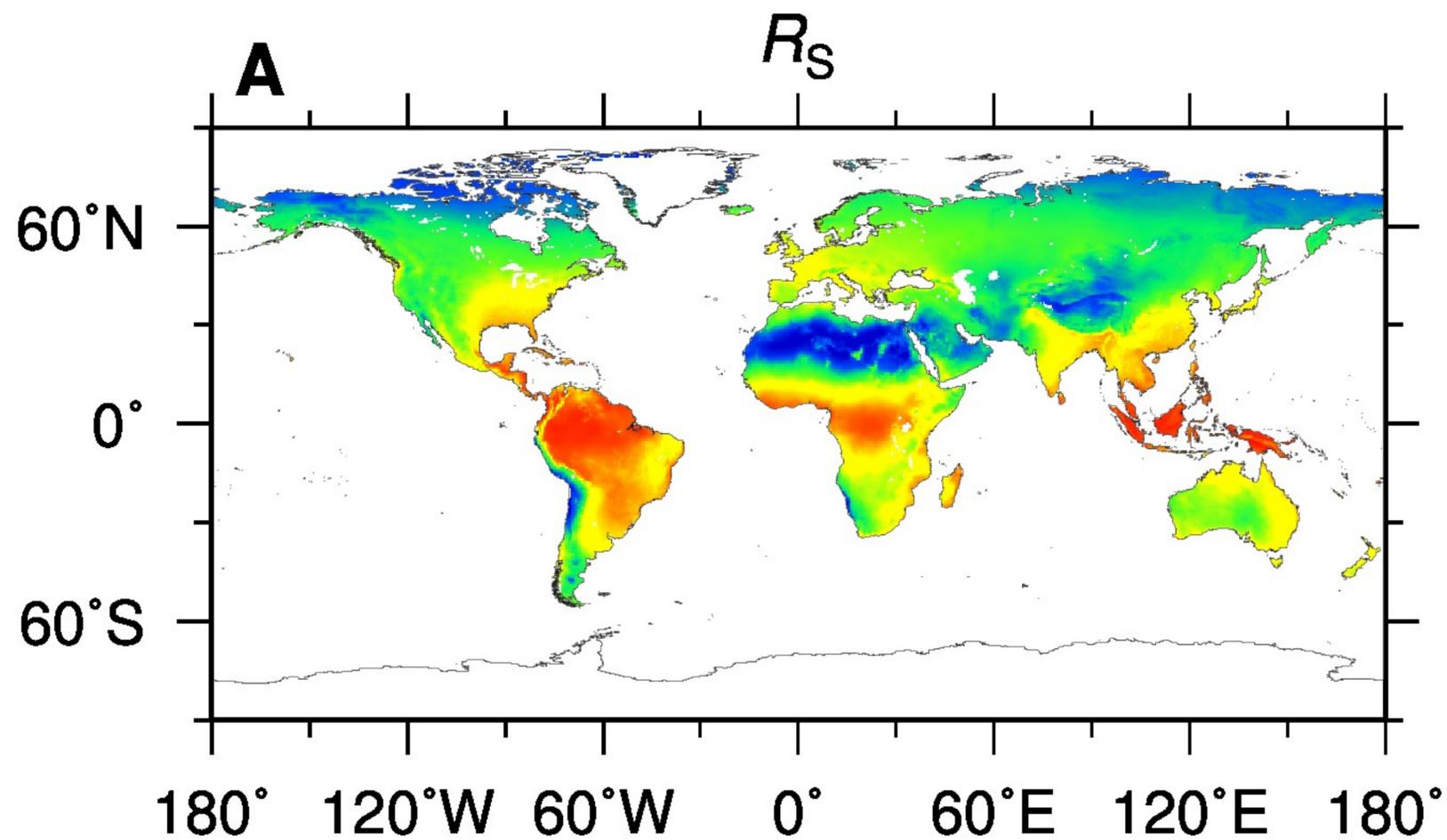
2 **Figure 9.** Comparison of global heterotrophic respiration. The grey bars are the results from  
3 the 20 Earth system models of the CMIP5 (1965–2004; please see Table S2 in the  
4 Supplement). The orange line represents the result of this study. The blue line indicates the  
5 mean of the results of the 20 Earth system models.

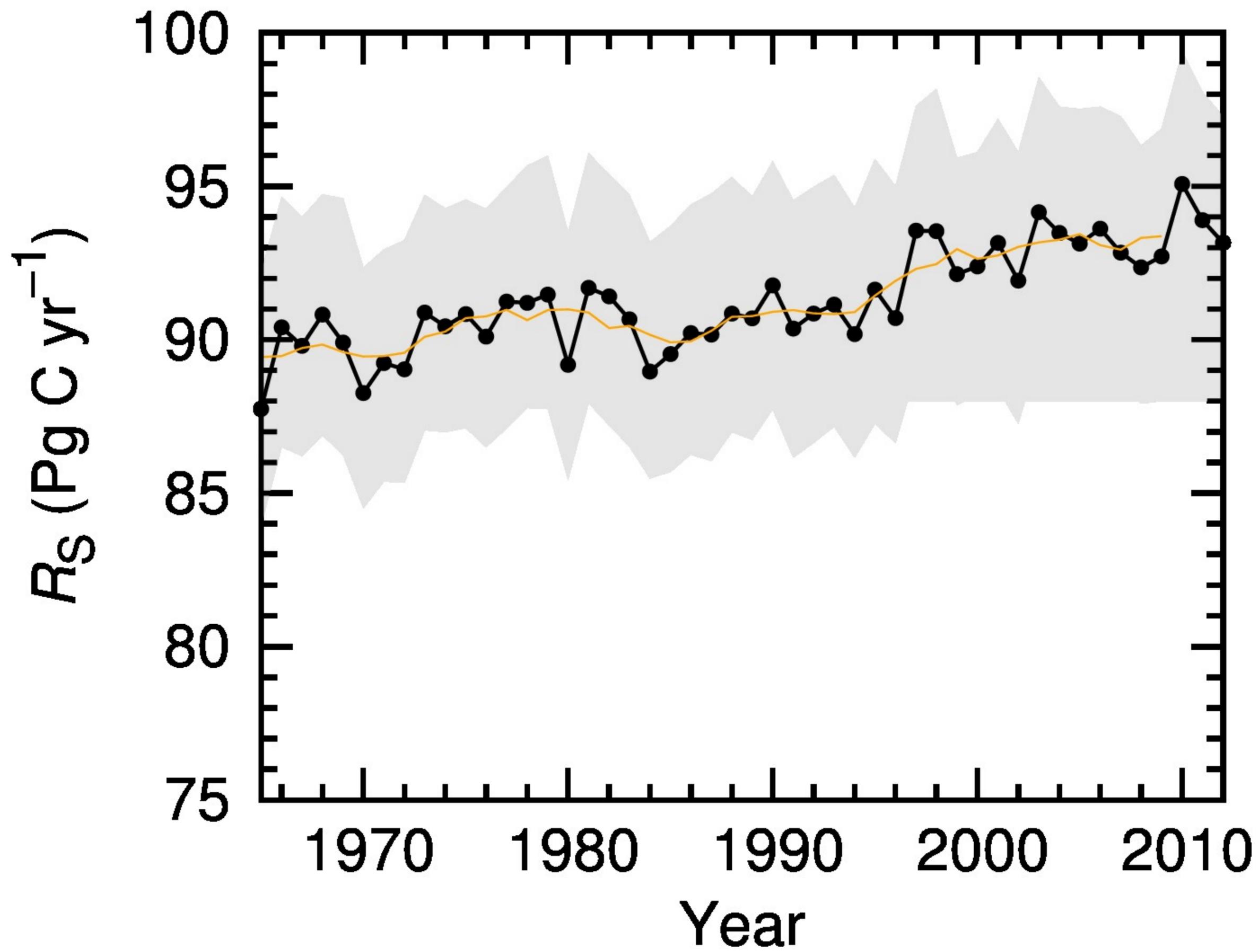
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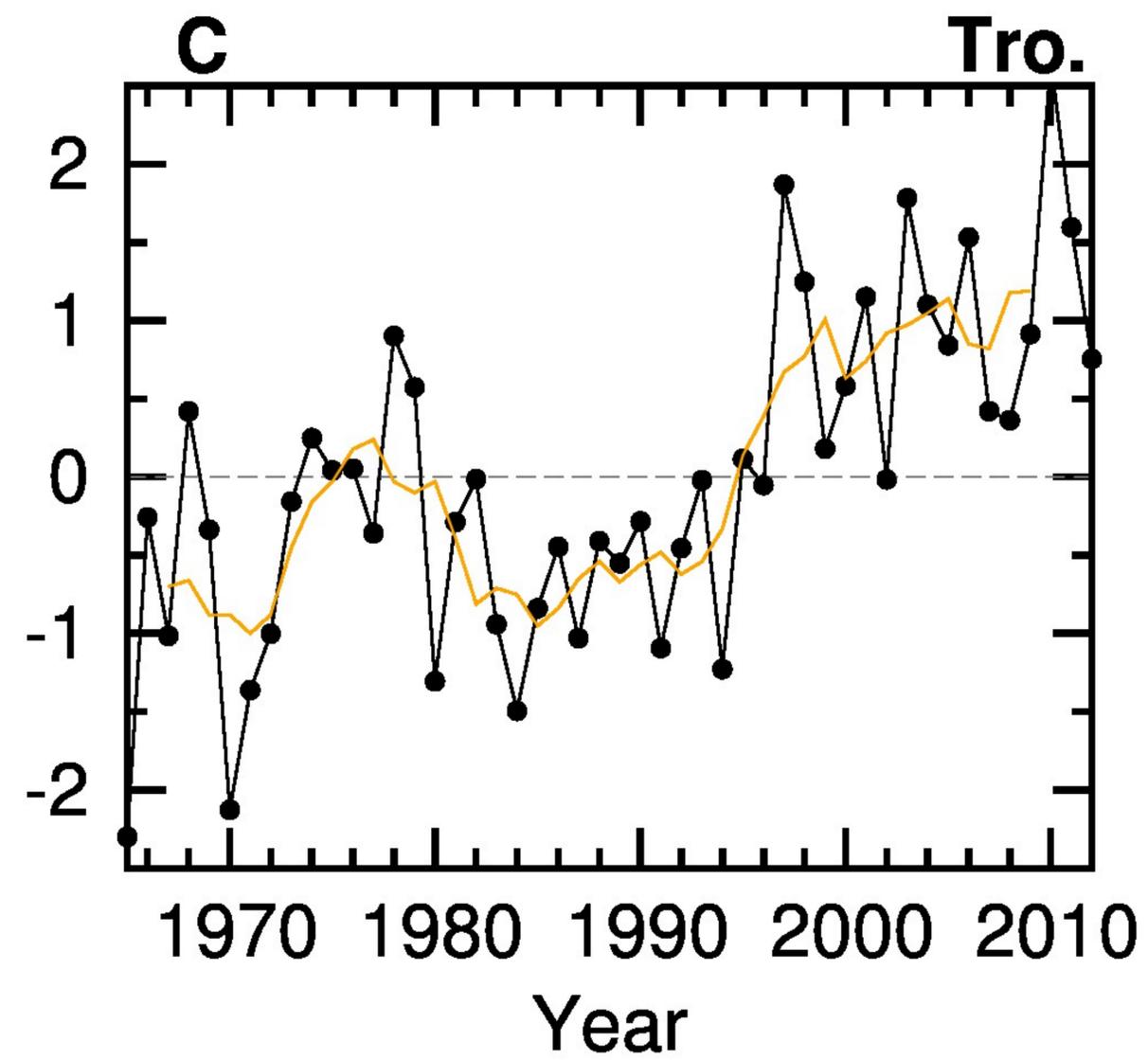
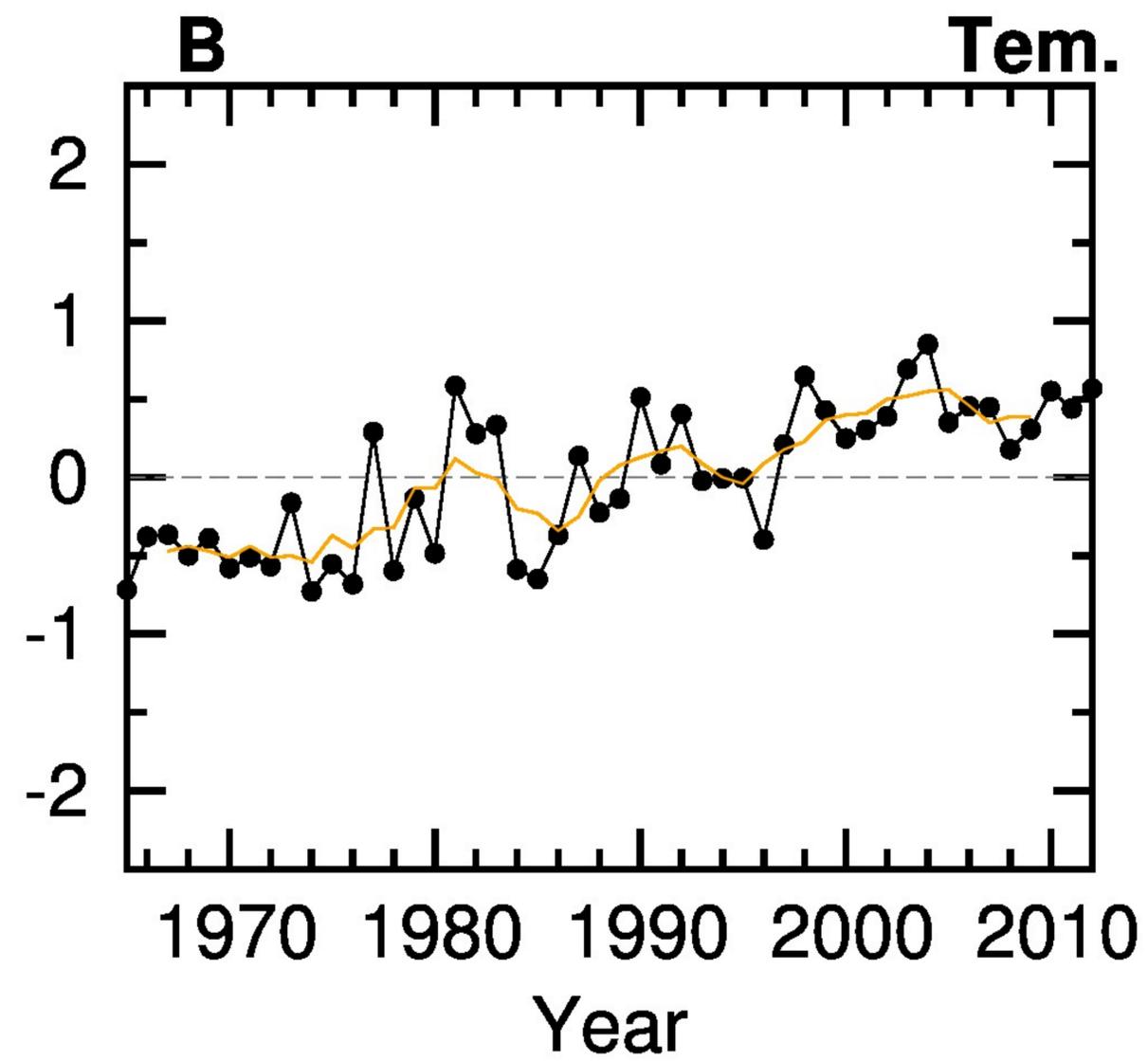
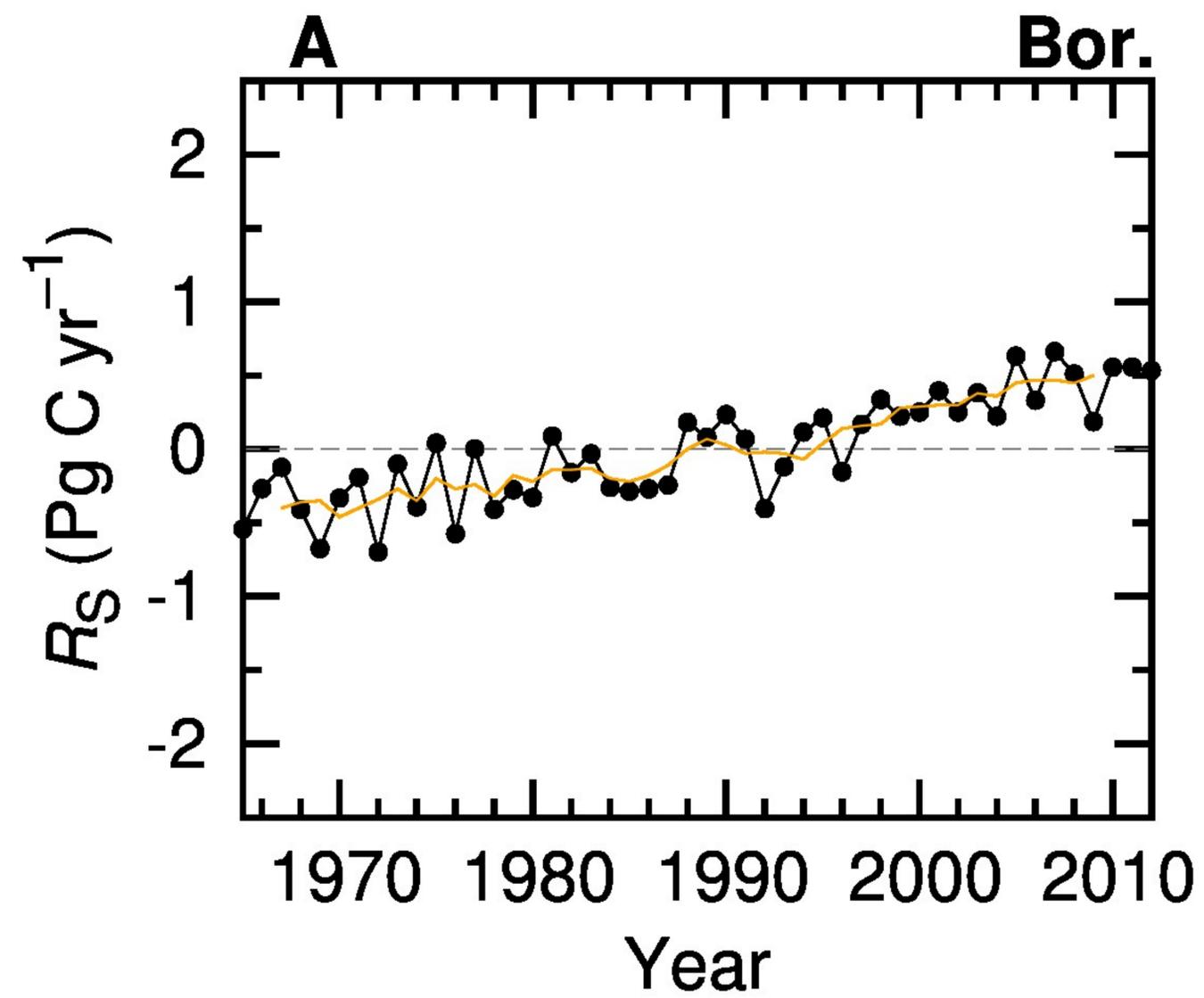
7 **Figure 10.** Latitudinal distribution of heterotrophic respiration. The orange line represents the  
8 results of this study. The grey lines are the results from the 20 Earth system models (please  
9 see Table S2 in the Supplement). A smoothing spline was fit to each result because of the  
10 variation in the grid sizes of the Earth system models. The solid blue lines and broken blue  
11 lines indicate the mean and standard deviation, respectively, of the results of the 20 Earth  
12 system models.

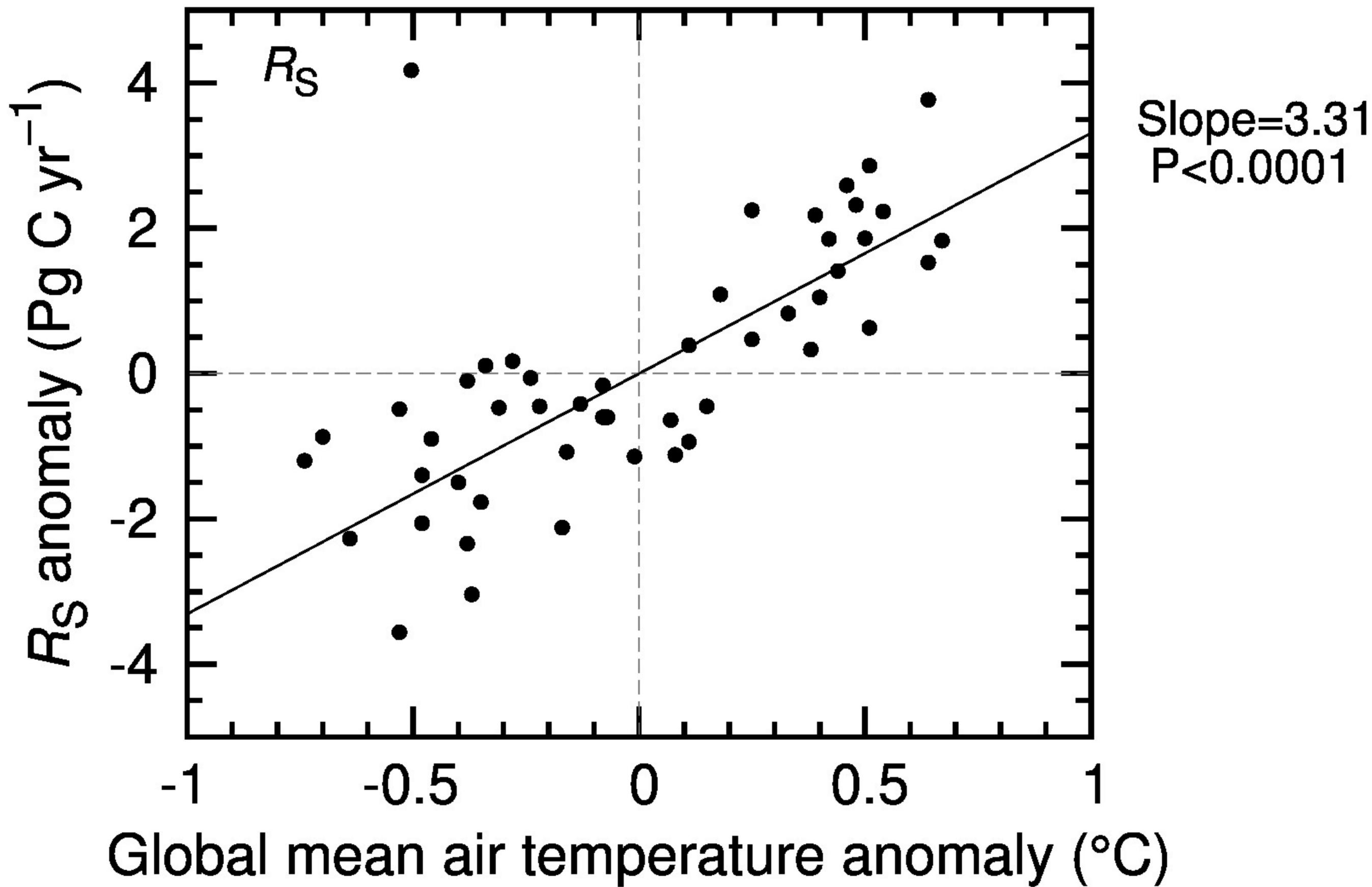
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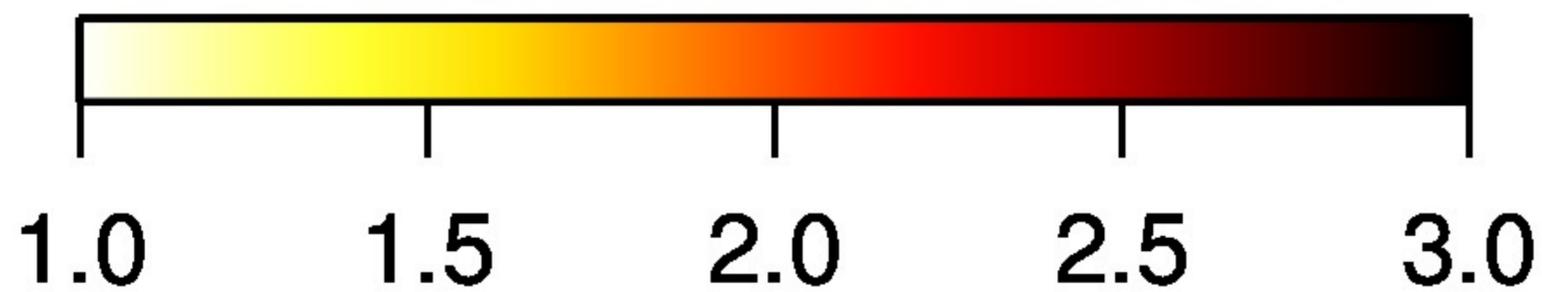
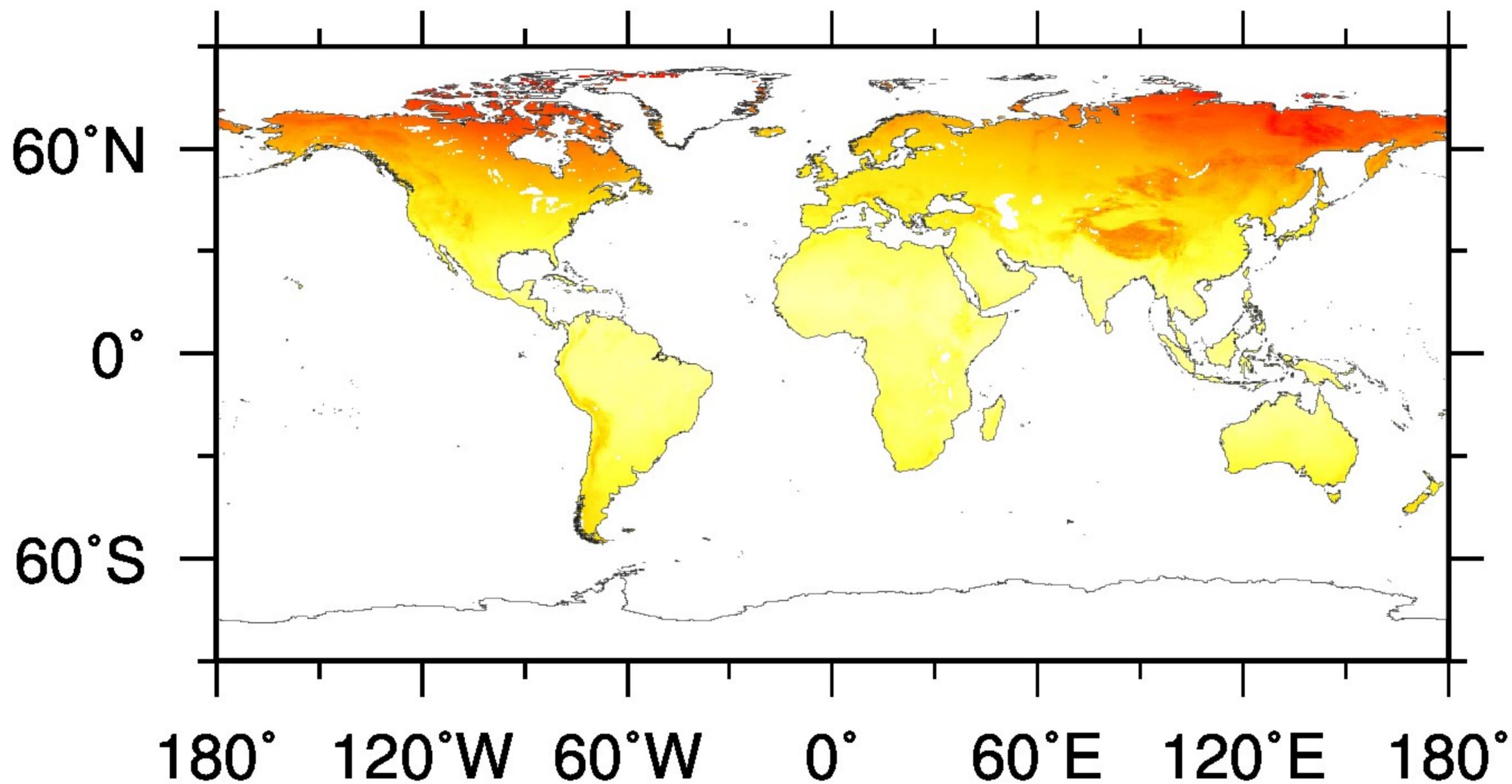


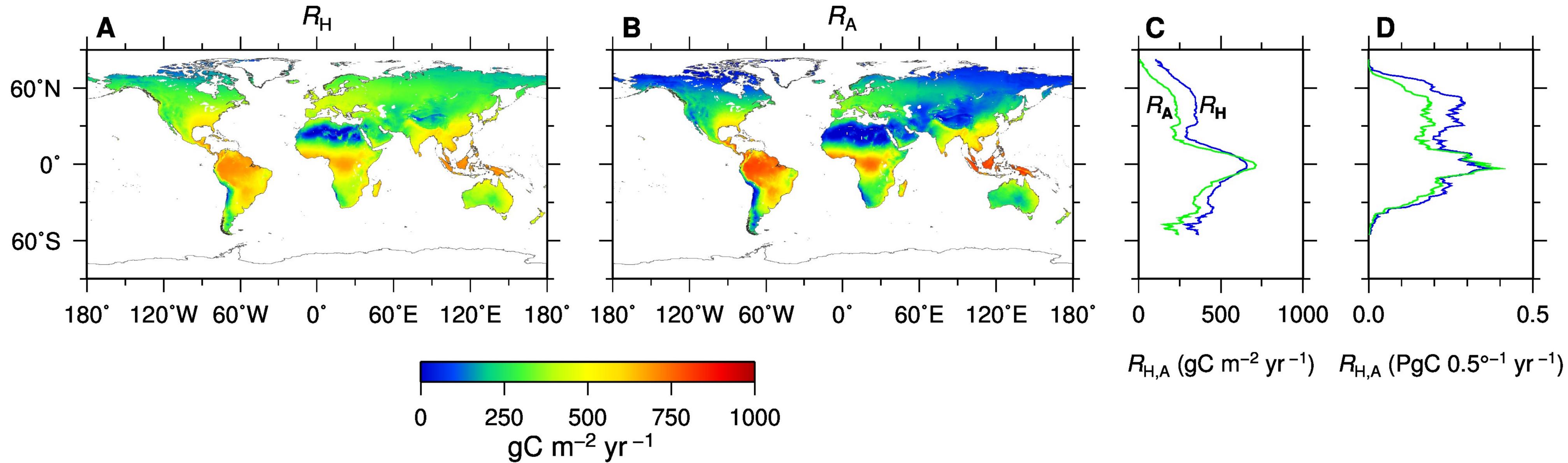






$Q_{10}$





# $R_A$ ratio

