

Response of CO₂ and H₂O fluxes of a mountainous tropical rainforest in equatorial Indonesia to El Niño events

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Abstract

The possible impact of El Niño-Southern Oscillation (ENSO) events on the main components of CO₂ and H₂O fluxes between tropical rainforest and atmosphere is investigated. The fluxes were continuously measured in a pristine mountainous tropical rainforest growing in Central Sulawesi in Indonesia using the eddy covariance method for the period from January 2004 to June 2008. During this period, two episodes of El Niño and one episode of La Niña were observed. All these ENSO episodes had moderate intensity and were of Central Pacific type. The temporal variability analysis of the main meteorological parameters and components of CO₂ and H₂O exchange showed a high sensitivity of Evapotranspiration (ET) and Gross Primary Production (GPP) of the tropical rainforest to meteorological variations caused by both El Niño and La Niña episodes. Incoming solar radiation is the main governing factor that is responsible for ET and GPP

1 variability. Ecosystem Respiration (RE) dynamics depend mainly on the air temperature changes
2 and are almost insensitive to ENSO. Changes of precipitation due to moderate ENSO events did not
3 cause any notable effect on ET and GPP, mainly because of sufficient soil moisture conditions even
4 in periods of anomalous reduction of precipitation in the region.
5

6 **1. Introduction**

7 The contribution of tropical rainforests to the global budget of greenhouse gases, their
8 possible impact on the climatic system, and their sensitivity to climatic changes are key topics of
9 numerous theoretical and experimental studies (Clark and Clark, 1994; Grace et al., 1995, 1996;
10 Malhi et al., 1999; Ciais et al., 2009; Lewis et al., 2009; Phillips et al., 2009; Malhi, 2010; Fisher et
11 al., 2013; Moser et al., 2014). The area covered by tropical rainforests was drastically reduced
12 during the last century, mainly due to human activities and presently there are less than 11.0 million
13 km² remaining (Malhi, 2010). While deforestation rates in the tropical forests of Brazil are now
14 declining, countries in South-East Asia, particularly Indonesia, show globally the largest increase in
15 forest loss (Hansen et al., 2013), resulting in major changes in carbon and water fluxes between the
16 land surface and the atmosphere. Therefore, during the last decade the tropical forest ecosystems of
17 South-East Asia and especially Indonesia are the focus area of intensive studies of biogeochemical
18 cycle and land surface - atmosphere interactions. On the one hand, it is necessary to know how
19 these tropical forests influence the global and regional climate, and on the other hand, how they
20 respond to changes of regional climatic conditions.

21 Climate and weather conditions in the equatorial Pacific and South-Eastern part of Asia are
22 mainly influenced by the Intertropical Convergence Zone (ITCZ) which is seasonally positioned
23 north and south of the equator. Another very important factor affecting the climate of South-East
24 Asia is the well-known coupled oceanic and atmospheric phenomenon, El Niño-Southern
25 Oscillation (ENSO). During the warm phase of ENSO, termed "El Niño", sea surface temperature
26 (SST) in the central and eastern parts of the equatorial Pacific sharply increases, and during a cold
27 phase of the phenomenon, termed "La Niña", the SST in these areas is lower than usual. Both
28 phenomena, El Niño and La Niña, lead to essential changes of pressure distribution and atmospheric
29 circulation and, as a result, to anomalous changes of precipitation amount, solar radiation, and
30 temperature fields, both in the regions of sea surface temperature anomalies and in a wide range of
31 remote areas through the mechanism of atmospheric bridges (Wang, 2002; Graf and Zanchettin,
32 2012). Typically, in Indonesia El Niño results in dryer conditions and La Niña results in wetter
33 conditions, potentially impacting the land vegetation (Erasmí et al., 2009). ENSO events are
34 irregular, characterised by different intensity and, are usually observed at intervals of 2-7 years.

1 To describe the possible effects of ENSO events on CO₂ and H₂O exchange between land
2 surface and the atmosphere, many studies for different Western Pacific regions were carried out
3 during recent decades (Feely et al., 1998; Malhi et al., 1999; Rayner and Law, 1999; Aiba and
4 Kitayama, 2002; Hirano et al., 2007; Erasmi et al., 2008; Gerold and Leemhuis, 2010). They are
5 mainly based on the results of modelling experiments and remote sensing data (Rayner and Law,
6 1999). Experimental results based on direct measurements of CO₂ and H₂O fluxes, which allow
7 studying the response of individual terrestrial ecosystems to anomalous weather conditions, are still
8 very limited (e.g. Hirano et al., 2007; Moser et al., 2014). Existing monitoring networks in
9 equatorial regions of the Western Pacific are associated mainly with lowland areas and do not cover
10 mountainous rainforest regions, even though mountainous regions cover some of the last remaining
11 undisturbed rainforest in South-East Asia. Most attention in former studies was paid to the
12 description of plant response to anomalously dry and warm weather during El Niño events (Aiba
13 and Kitayama, 2002; Hirano et al., 2007; Moser et al., 2014). The possible changes in plant
14 functioning during La Niña events are still not clarified. In particular, Malhi et al. (1999) reported
15 that for Amazon region in the South America El Niño periods are strongly associated with enhanced
16 dry seasons that probably result in increased carbon loss, either through water stress causing
17 reduced photosynthesis or increased tree mortality. Aiba and Kitayama (2002) examined the effects
18 of the 1997–98 El Niño drought on nine rainforests of Mount Kinabalu in Borneo using forest
19 inventory and showed that El Niño increased the tree mortality for lowland forests. However, it did
20 not affect the growth rate of the trees of upland forests (higher than 1,700 m) where mortality was
21 restricted by some understorey species only. Eddy covariance measurements of the CO₂ fluxes in a
22 tropical peat swamp forest in Central Kalimantan, Indonesia, for the period from 2002 to 2004,
23 provided by Hirano et al. (2007), showed that during the El Niño event in the period November-
24 December 2002 the annual net CO₂ release reached maximal values, mainly due to strong decrease
25 of GPP in the late dry season, because of dense smoke emitted from large-scale fires. Effects of El
26 Niño on annual RE in 2002 were insignificant.

27 There is a lack of experimental data on CO₂ and H₂O fluxes in mountainous rainforests in
28 equatorial regions of the Western Pacific, and on their response to ENSO. Hence, the main
29 objective of this study was to evaluate and quantify the impact of ENSO events on the main
30 components of CO₂ and H₂O fluxes in a pristine mountainous tropical rainforest growing in Central
31 Sulawesi, Indonesia. The methodology used was analysis of long-term eddy covariance flux
32 measurement data.

33

34 **2. Materials and Methods**

1 **2.1 El Niño's types and intensity**

2 Nowadays, two types of ENSO can be distinguished: 1) the canonical or conventional El
3 Niño, which is characterised by SST anomalies located in the eastern Pacific near the South
4 American coast (Rasmusson and Carpenter, 1982) and 2) the Central Pacific El Niño or El Niño
5 Modoki (Larkin and Harrison, 2005; Ashok et al., 2007; Kug et al., 2009; Ashok and Yamagata,
6 2009; Gushchina and Dewitte, 2012). In 2003, the new definition of the conventional El Niño was
7 accepted by the National Oceanic and Atmospheric Administration (NOAA) of the USA, in
8 referring to the warming of the Pacific region between 5°N - 5°S and 170° - 120°W. According to
9 Ashok et al. (2007) the Central Pacific El Niño/El Niño Modoki - i.e. unusually high SST - occurs
10 roughly in the region between 160°E - 140°W and 10°N - 10°S.

11 As criteria to assess the intensity of ENSO events, a wide range of indexes based on
12 different combinations of sea level pressure and SST data in various areas of the Pacific are used.
13 For diagnostics of the central Pacific El Niño, the SST anomalies (in °C) in Nino4 region (5°N -
14 5°S and 160°E - 150°W) are broadly used (Figure 1). The monthly SST anomalies (in °C) in
15 Nino3.4 region (5°N - 5°S and 170° - 120°W) are used to diagnose both types of El Niño
16 phenomenon: canonical and Central Pacific (Download Climate Timeseries, 2013).

17

18 **2.2 Experimental site**

19 The tropical rainforest selected for the study is situated near the village Bariri in the southern
20 part of the Lore Lindu National Park of Central Sulawesi in Indonesia (1°39.47'S and 120°10.409'E
21 or UTM 51S 185482 m east and 9816523 m north) (Figure 1). The site is located on a large plateau
22 of several kilometres in size at about 1,430 m above sea level surrounded by mountain chains
23 surmounting the plane by another 300 m to 400 m. Within 500 m around the tower the elevation
24 varies between 1,390 and 1,430 metres. Wind field measurement with a sonic anemometer indicate
25 a slope of around 2-3°, which is similar to many Fluxnet sites. About 1,000 m to the east from the
26 experimental site, the forest is replaced by a meadow; in all other directions the forest extends
27 several kilometres.(Ibrom et al., 2007).

28 According to the Köppen climate classification the study area relates to tropical rainforest
29 climate (Af) (Chen D. and Chen H.W., 2013). Weather conditions of the region are mainly
30 influenced by the ITCZ. During the wet season (typically, from November to April) the area is
31 influenced by very moist northeast monsoons coming from the Pacific. Maximum precipitation
32 during the observation period from January 2004 to July 2008 was observed in April - with
33 258.0±148.0 mm month⁻¹. The drier season usually lasts from May to October. The precipitation
34 minimum was observed in September with 195.0±48.0 mm month⁻¹. The September-October period

1 was also characterised by maximal incoming solar radiation, up to 650 ± 47.0 MJ m⁻² month⁻¹,
2 mainly because of a significant decrease of convective clouds, due to the reversing of oceanic
3 northeast monsoon to a southeast monsoon blowing from the Australian continent. The mean
4 annual precipitation amount exceeded 2000 mm. The mean monthly air temperature varies between
5 19.4 and 19.7 °C. The mean annual air temperature was 19.5 °C (Falk et al., 2005; Ibrom et al.,
6 2007).

7 The vegetation at the experimental site is very diverse and represented by more than 88
8 different tree species per hectare. Among the dominant species are *Castanopsis accuminatissima*
9 BL. (29%), *Canarium vulgare* Leenh. (18%) and *Ficus spec.* (9.5%). The density of trees, with
10 diameter at breast height larger than 0.1 m, is 550 trees per ha. In addition, there is more than a 10-
11 fold larger number of smaller trees per hectare with stem diameter lower than 0.1 m. The total basal
12 area of trees reached 53 m² per ha. Leaf area index (LAI) is about 7.2 m² m⁻². LAI has been
13 estimated using an indirect hemispherical photography approach with a correction for leaf clumping
14 effects. The height of the trees, with diameters at breast height larger than 0.1 m, varies between the
15 lowest at 12 m and the highest at 36 m. The mean tree height is 21 m (Ibrom et al., 2007).

16

17 **2.3 Flux measurements**

18 CO₂ and H₂O fluxes were measured from 2004 to 2008 within the framework of the
19 STORMA project (Stability of Rainforest Margins in Indonesia, SFB 552), supported by the
20 German Science Foundation (DFG). The eddy covariance equipment for flux measurement was
21 installed on a meteorological tower of 70 m height at the 48 m level, i.e. ca. 12 m higher than the
22 maximal tree height. The measuring system consists of a three-dimensional sonic anemometer
23 (USA-1, Metek, Germany) and an open-path CO₂ and H₂O infrared gas analyzer (IRGA, LI-7500,
24 Li-Cor, USA) (Falk et al., 2005; Ibrom et al., 2007; Panferov et al., 2009). The open-path IRGA
25 was calibrated with calibration gases two times per year and showed no considerable sensitivity
26 drift within one year of operation. Turbulence data were sampled at 10 Hz and stored as raw data on
27 an industrial mini PC (Kontron, Germany). All instruments were powered by batteries, which were
28 charged by solar panels, mounted on the tower. The system is entirely self-sustaining and has been
29 proven to run unattended over a period of several months. Post-field data processing on eddy
30 covariance flux estimates was carried out strictly according to the established recommendations for
31 data analysis (Aubinet et al., 2012). In addition to the procedures described in Falk et al. (2005) and
32 Ibrom et al. (2007), we corrected the flux data for CO₂ or H₂O density fluctuations due to heat
33 conduction from the open-path sensor (Burba et al., 2008; Järvi et al. 2009) using finally the
34 suggested method as described in Reverter et al. (2011).

1 The system operated at ca. 70% of the time. Ca. 30% of the measured flux data were negatively
2 affected by rain and other unfavourable conditions and removed. From night time ecosystem
3 respiration data a friction velocity (u_*) threshold value of 0.25 m s^{-1} was estimated (Aubinet et al.,
4 2000), i.e. at u_* values above this threshold the measured night time flux became independent from
5 u_* . Night time flux values that were measured at $u_* < 0.25 \text{ m s}^{-1}$ were removed, which left 15% of
6 the measured night time flux data in the data set. For filling the gaps in the measured Net
7 Ecosystem Exchange (NEE) data, as well as the gaps in net radiation, sensible and latent heat flux
8 records the process-based Mixfor-SVAT model (Olchev et al., 2002; 2008) were applied. The
9 Mixfor-SVAT model was also used to quantify RE and forest canopy transpiration. The model was
10 validated using long-term data records obtained for the tropical rainforest in Bariri under well-
11 developed turbulent conditions. The results of model validation showed a good agreement of model
12 calculations with field observations for a broad spectrum of weather and soil moisture conditions
13 (Falk et al., 2005; Falge et al., 2005; Olchev et al., 2008). GPP of the tropical rainforest was derived
14 as a difference between measured NEE and RE.

15

16 **2.4 Micrometeorological measurements**

17 Air temperature, relative humidity and horizontal wind speed were measured at 4 levels
18 above and at 2 levels inside the forest canopy using ventilated and sheltered thermo-hygrometers
19 and cup anemometers (Friedrichs Co., Germany) installed on the tower. Short- and long-wave
20 radiation components were measured below and above the canopy with CM6B and CG1 sensors
21 (Kipp & Zonen, The Netherlands). Rainfall intensity was measured on top of the tower with a
22 tipping bucket in a Hellman-type rain gauge. To fill the gaps in measuring records the
23 meteorological data from an autonomic meteorological station, situated about 900 m away from the
24 tower outside the forest on a nearby meadow, were used. For the analysis, the monthly mean values
25 of air temperature and monthly sums of precipitation and solar energy were calculated.

26

27 **2.5 Data analysis**

28 To estimate the possible impact of ENSO events on CO_2 and H_2O fluxes in the tropical
29 rainforest at Bariri the temporal variability of monthly NEE, GPP, RE and ET in periods with
30 different ENSO intensity was analysed. To quantify the ENSO impacts on meteorological
31 parameters and fluxes and to distinguish them from effects caused by the seasonal migration of the
32 ITCZ, the intra-annual patterns of CO_2 and H_2O fluxes as well as meteorological conditions during
33 the measuring period were also evaluated.

1 In the first step to assess the possible impact of ENSO events on meteorological parameters
2 (global solar radiation (G), precipitation amount (P), air temperature (T) and CO₂ and H₂O fluxes,
3 the correlation between the absolute values of monthly G, P, T, NEE, GPP, RE, ET and monthly
4 SST-anomalies in Nino4 and Nino3.4 regions (Nino4 and Nino3.4 indexes) were analysed.

5 In the second step we analyzed the correlation between the deviations of monthly
6 meteorological parameter and flux values from their monthly averages over the entire measuring
7 period and the Nino4/Nino3.4 indexes.. The deviation in the case of GPP (ΔGPP) was estimated as

$$\Delta GPP_{Month,Year} = GPP_{Month,Year} - \frac{1}{N} \sum_{Year=2004}^{2008} GPP_{Month,Year}$$

9 where $GPP_{Month,Year}$ is total monthly GPP for a particular month (January to December) and
10 corresponding year (2004 to 2008), $\frac{1}{N} \sum_{Year=2004}^{2008} GPP_{Month,Year}$ is monthly GPP for this particular
11 month averaged for the entire measuring period (2004 to 2008); N is number of years. Positive
12 values in ΔGPP , ΔRE , and ΔNEE indicate GPP, RE higher and NEE (carbon uptake) lower than
13 average.

14 The typical timescale of full ENSO cycle is estimated to be about 48-52 months (Setoh et al
15 1999) whereas the timescale of the main meteorological parameters (global solar radiation (G),
16 precipitation amount (P), air temperature (T)) is characterized by much higher month-to-month
17 variability even after annual trend filtering. In order to filter the high-frequency oscillation in the
18 time-series of atmospheric characteristics and monthly NEE, GPP, RE, ET anomalies the simple
19 centered moving average smoothing procedure was applied. The moving averages (MA) of
20 variables were calculated over 7 months (centered value ± 3 months).

21 Statistical analysis included both simple correlation and cross-correlation analysis
22 (Chatfield, 2004). Cross-correlation analysis was used to take into account the possible forward and
23 backward time shifts of maximal anomalies of meteorological parameters and CO₂ and H₂O fluxes
24 in respect to time of the ENSO culmination. To describe the relationships between atmospheric
25 fluxes and meteorological parameters the monthly non-smoothed values were used.

27 3. Results

28 During the measuring period, two El Niño (August 2004 - March 2005 and October 2006 -
29 January 2007) and one La Niña (November 2007 - April 2008) phenomena were observed. All
30 events had moderate intensity. Both warm events could be classified as the Central Pacific or
31 Modoki type, according to Ashok et al. (2007), since the SST-anomalies were centred in Nino3.4
32 and Nino4 regions (Figure 1).

1 Analysis of the intra-annual pattern of CO₂ and H₂O fluxes shows a relatively weak seasonal
2 variability (Figure 2). The maximal values of GPP were obtained during the second part of the drier
3 season - from August to October (278±13 gC m⁻² month⁻¹) - which is also characterised by maximal
4 values of incoming solar radiation. The mean monthly air temperature in the period varied from
5 minimal values in August (19.2±0.2 °C) to maximal values in October (19.8±0.2 °C). The minimal
6 GPP values were obtained in transition periods between more wet and dry seasons - in May - June
7 and November - December (240±15 and 249±21 gC m⁻² month⁻¹, respectively). These periods are
8 also characterised by minimal amounts of incoming solar radiation (512±40 MJ m⁻² month⁻¹).
9 Maximal RE (206±10 gC m⁻² month⁻¹) and values were obtained in October, which corresponds to
10 the period of maximal air temperature and insolation. The local maximum of RE in April - May
11 (199±4 gC m⁻² month⁻¹) is also well correlated with a small increase of the air temperature in these
12 months. The minimal RE was observed in February and June-August (174±10 and 187±15 gC m⁻²
13 month⁻¹, respectively). The intra-annual pattern of ET was closely related to the seasonal variability
14 of GPP. The maximum values of ET were also observed in October (136±4 mm), in the month of
15 maximal incoming solar radiation and highest values of air temperature. In spite of a large amount
16 of precipitation and a high air temperature during the period from March to June, ET in this period
17 was much lower than in September and October (e.g. 105±8 mm in April).

18 Comparisons of monthly NEE, GPP, RE, ET and SST-anomalies in Nino4 and Nino3.4
19 regions (Nino4 and Nino3.4 indexes) indicate relatively low correlations. Changes of the Nino4
20 index can explain about 12% of the observed variability in GPP (coefficient of determination,
21 r²=0.12 under significance level p<0.05), 9% of RE (r²=0.09, p<0.05), 9% of NEE (r²=0.09,
22 p>0.05), 6% of ET (r²=0.06, p<0.05) and only about 1% of transpiration (TR) (r²=0.01, p>0.05).
23 Similar values were obtained in correlation analysis for the Nino3.4 index. In the period of El Niño
24 peak phases (September 2004 - January 2005 and October 2006 - January 2007) the values ET and
25 GPP tend to increase in the study area. An increase of RE was indicated only during the second El
26 Niño event from October 2006 to January 2007. The effect of El Niño on NEE was insignificant.
27 The effect of La Niña on CO₂ and H₂O flux components was very small and manifested only in a
28 slight increase of NEE.

29 Analysis of the temporal variability of the centered moving average values of ΔGPP
30 (ΔGPP_{MA}) (Figure 3) in contrast to comparisons of absolute monthly GPP indicates a relatively high
31 correlation between ΔGPP_{MA} and both Nino4 (r²=0.52, p<0.05) and Nino3.4 (r²=0.60, p<0.05)
32 indexes. Close correlation between the intensity of ENSO events and ΔGPP_{MA} can be explained by
33 the influence of ENSO initiating processes and ENSO itself on total cloud amount in the region and,
34 as a result, on monthly sums of incoming G (Figure 4). Variability of G (ΔG_{MA}) is very closely
35 correlated with Nino4 and Nino3.4 indexes (r²=0.48, p<0.05 for both indexes) (Figure 4) and it can

1 explain 69% of variability of GPP ($r^2=0.69$, $p<0.05$). The maximal deviations of ΔGPP_{MA} and
2 ΔG_{MA} from mean values (averaged for the entire measuring period) are occurring 2-3 months before
3 the peak phase of the ENSO events (Figure 5). The maximal cross-correlation coefficients in this
4 period reached 0.76 for ΔG_{MA} , and 0.86 - for ΔGPP_{MA} . The effect of T changes (ΔT) on ΔGPP is
5 very low ($r^2=0.01$, $p>0.05$).

6 The correlation between ΔT_{MA} and Nino4, Nino3.4 indexes are relatively low ($r^2=0.15$,
7 $p>0.05$ for Nino4 and $r^2=0.05$, $p>0.05$ for Nino3.4) and it can explain the very weak correlations
8 between ΔRE_{MA} and ENSO indexes ($r^2=0.10$, $p<0.05$ for Nino4 and $r^2=0.04$, $p>0.05$ for Nino3.4)
9 (Figures 3-4). The maximal deviations of T_{MA} and RE_{MA} from mean values (averaged for the entire
10 measuring period) are occurring 2 months after the peak phase of the ENSO events and it has
11 negative sign (Figure 5). The cross-correlation coefficient in this period is -0.53 ($p<0.05$).

12 Despite the relatively close dependence of ΔGPP_{MA} on ENSO intensity, the correlations
13 between ΔNEE_{MA} and Nino4, Nino3.4 indexes are lower ($r^2 = 0.31$, $p<0.05$ for Nino4 and $r^2 = 0.37$,
14 $p<0.05$ for Nino3.4), mainly because of their very low correlation during the first part of the
15 measuring period (before December 2005). During the second part of the considered period (from
16 June 2006 to June 2008) with one strong El Niño (October 2006 - January 2007) and one La Niña
17 (November 2004 - April 2008) events ΔNEE_{MA} and Nino4, Nino3.4 indexes are correlated much
18 better. It can be explained by the influence of ΔRE_{MA} on ΔNEE_{MA} dynamics that is mainly
19 governed by temperature variability and which is, as already mentioned, very poorly correlated with
20 Nino4/Nino3.4 indexes (Figures 3-4).

21 Taking into account that the monthly anomalies of NEE might be biased by a still
22 unaccounted advection effects at night-time, despite u^* filtering, , we additionally examined NEE at
23 midday (10:00-14:00), when turbulent mixing is typically well developed. Data analysis based on
24 midday NEE shows a similar clear relationship with the ENSO index (Figure 6) with $r^2 = 0.59$
25 under $p<0.05$. The maximal deviations of both NEE_{MA} and midday NEE_{MA} from the their mean
26 values occurred simultaneously within the peak phase of the ENSO events (Figure 5).

27 Analysis of the temporal variability of the moving average values of monthly ET (ΔET_{MA})
28 showed a high correlation to ENSO activity as well: $r^2 = 0.72$, $p<0.05$ for Nino4 and $r^2 = 0.70$,
29 $p<0.05$ for Nino3.4 (Figure 7), probably also triggered by G_{MA} , which in turn correlated strongly
30 with both the Nino4 and the Nino3.4 index. Periods of extreme ΔET_{MA} values and maximal ENSO
31 intensity occurred simultaneously (Figure 5). Correlations between ΔET and ΔT , as well as between
32 ΔET and ΔP , are insignificant - $r^2=0.09$ ($p>0.05$) and $r^2=0.01$ ($p>0.05$), respectively. However,
33 figures 4 and 5 clearly show a time delay in ΔP_{MA} oscillation, relative to Nino4 and Nino3.4
34 patterns. The maximal negative deviations of ΔP_{MA} are observed about eight months before,(cross-
35 correlation between ΔP_{MA} and Nino 4 index 0.72, $p<0.05$) and maximal positive deviation of ΔP_{MA}

1 - about four-five months after the peak phases of ENSO (cross-correlation between ΔP_{MA} and Nino
2 4 index - 0.40, $p < 0.05$), respectively.

3 To explain a very low sensitivity of ET to P changes, we analysed the intra-annual
4 variability of the ratio between ET and potential evaporation (PET), as well as between ET and P.
5 PET was derived using the well-known Priestley and Taylor (1972) approach and it is equal to
6 evaporation from wet ground or open water surface.

7 The mean annual ET during the measuring period is considerably lower than P
8 ($ET/P = 0.742$). Over the annual course, the ratio varied between 0.58 (in March and November) to
9 1.85 (in August and October). During dry periods before the positive phase of ENSO, the mean
10 values of the ET/P ratio grow up to 1.9-2.1. During the periods of negative Nino4 and Nino3.4
11 anomalies the mean monthly ET/P ratio fell, in some months, down to 0.3. Correlation analysis of
12 temporal variability of $\Delta(ET/P)$ and $\Delta(ET/P)_{MA}$ ratios and Nino4 and Nino3.4 indexes (Figure 7) did
13 not show any statistically significant relationships. However, it should be mentioned that the
14 temporal pattern of $\Delta(ET/P)$ and $\Delta(ET/P)_{MA}$ is characterised by two peaks that were observed in
15 July of 2005 and April 2007, about 6-8 months prior to the El Niño culmination (Figure 7).

16 The monthly mean ET/PET ratio has a feeble intra-annual course with maximum in June
17 (0.93 ± 0.03) and with minima in February and October (0.84 ± 0.06). The averaged annual ET/PET
18 ratio for the entire measuring period was 0.880 ± 0.055 . The minimal values of $(ET/PET)_{MA}$
19 ($(ET/PET)_{MA} = 0.81$) were observed during the El Niño culmination in 2005-2006, and the maximal
20 values, during the period of maximal intensity of La Niña in 2008 ($(ET/PET)_{MA} = 0.93$). Thus,
21 monthly ET rates are relatively close to PET values during the whole year including the periods of
22 maximal ENSO activity. The relative soil water content of the upper 30 cm horizon calculated using
23 the Mixfor-SVAT model during the entire period of the field measurements, including the periods
24 with maximal values of the ET/P ratio, was always higher than 80%. This, together with the
25 ET/PET ratio, is a clear indicator of permanently sufficient soil moisture conditions in the study
26 area, including periods of El Niño and La Niña culminations, explaining the very low sensitivity of
27 ΔET to ΔP .

28

29 **4. Discussion**

30 The provided analysis of the temporal variability for the main components of carbon and
31 water balances in the tropical rainforest showed a high correlation between Nino4 and Nino3.4 SST
32 anomalies, characterising the ENSO intensity with GPP_{MA} and ET_{MA} deviations from monthly
33 averages over the entire measuring period. Application of the centered moving average smoothing
34 procedure allows us to filter the high-frequency month-to-month oscillations in the time-series of

1 atmospheric characteristics caused by local and regional circulation processes that are not directly
2 connected with ENSO activity. The relationships between ΔGPP_{MA} , ΔET_{MA} and Nino4 and Nino3.4
3 indexes are mainly governed on the one hand by the dependency of the incoming solar radiation on
4 ENSO development – surface water warming in Nino 3.4 and 4 regions generally results in a
5 decrease of cloudiness above the study region and thus – in increase of incoming solar radiation. On
6 the other hand there are many data about a high correlation between monthly GPP and ET rates and
7 incoming and absorbed solar radiation (e.g. Ibrom et al., 2008). The effects of monthly air
8 temperature and precipitation changes on ΔGPP and ΔET variability are relatively poor, mainly due
9 to the low correlations between ΔT_{MA} , ΔP_{MA} and ENSO intensity.

10 The cross-correlation analysis (Fig. 5) shows that the ΔGPP_{MA} and ΔG_{MA} have a small 2-3
11 month backward shift relatively to the course of Nino4 SST, i.e. the maxima in GPP_{MA} occur earlier
12 than ENSO culmination in the central Pacific (Nino4 SST anomaly). The maximal values of ΔE_{MA}
13 occurred simultaneously with El Niño and La Niña culminations. Such an effect of El Niño
14 episodes on G can be explained, as mentioned above, by a decrease of the cloud amount in the
15 region of Indonesia, due to the El Niño-associated shift of the Walker circulation cell, and
16 corresponding zone of deep convection, from the maritime continent of Indonesia toward the
17 dateline following SST anomalies displacement. El Nino usually begins in April, and toward
18 August-September the ascending branch of the Walker cell leaves Indonesia and migrates eastward
19 to the Pacific. Therefore, 3-4 months before the El Niño culmination in December-January, a
20 decrease in cloud amount is observed over Indonesia. Weakening of El Niño, in turn, leads to a
21 backward shift of intensive convection zone westward. It can result in increasing precipitation
22 amounts in the region during the second half of the wet period after passing the maximal El Niño
23 activity and also the gradual increase of the cloudiness and decrease of incoming solar radiation.
24 The opposite effect takes place during the La Niña with similar phase shift: simultaneously, with the
25 spreading of a negative SST anomaly over the Pacific, the increasing of deep convection over
26 Indonesia occurs, which results in an increase of cloudiness and precipitation, being more
27 pronounced as it falls into the dry period of the year. The lower panels of Figure 4 indicate
28 however, that the decrease of radiation due to increase of cloudiness does not depend linearly on La
29 Nina intensity, reaching a saturation state at approximately $-20..-30 MJ m^{-2} month^{-1}$.

30 A relatively poor correlation between ΔT_{MA} patterns and ENSO activity and an insignificant
31 influence of ΔT on ΔGPP and ΔET can be mainly explained by the small intra-annual amplitude of
32 the air temperature in the study area not exceeding $1.0\text{ }^{\circ}C$, as well as by the low dependence of the
33 air temperature on incoming solar radiation. The mean monthly temperatures ranged in the intra-
34 annual course between $19.5\text{ }^{\circ}C$ and $20.5\text{ }^{\circ}C$. Maximal air temperatures do not exceed $28.5\text{ }^{\circ}C$, even
35 on sunny days. Such optimal thermal conditions with high precipitation amount provide sufficient

1 soil moistening and relatively comfortable conditions for tree growth during the whole year. As is
2 was already mentioned even during the El Niño culmination in 2005-2006 the ET/PET did not
3 decrease below 0.74, $(ET/PET)_{MA} > 0.81$, and the relative soil water content of the upper 30 cm
4 horizon was always higher than 80%.

5 The analysis of absolute and relative changes of GPP and ET during the periods of maximal
6 El Niño and La Niña activities showed that GPP during the El Niño culminations of 2005 and 2007
7 increased by about $20 \text{ gC m}^{-2} \text{ month}^{-1}$ (6-7%). ΔGPP_{MA} was about $9 \text{ gC m}^{-2} \text{ month}^{-1}$ (2-3%), ΔET -
8 about 40 mm month^{-1} (about 30%) and ΔET_{MA} - about 10 mm month^{-1} (6-7%). Thus, the maximal
9 ΔGPP was two times lower than the mean annual amplitude of GPP (Figure 2). The maximal ΔET
10 was equal to the annual amplitude of ET (Figure 2). During the La Niña culmination of 2008 the
11 maximal relative changes of GPP were higher than the relative changes observed during El Niño
12 events: ΔGPP was about $-22 \text{ gC m}^{-2} \text{ month}^{-1}$ (8%), ΔGPP_{MA} - about $-12 \text{ gC m}^{-2} \text{ month}^{-1}$ (4%). The
13 maximal decrease of ΔET in the period was relatively small: ΔET - about $-12 \text{ mm month}^{-1}$ (10%)
14 and ΔET_{MA} - about -5 mm month^{-1} (4%). ΔET was about 3 times lower than the mean annual
15 amplitude of ET. Interestingly the radiation dependent GPP (as represented by smoothed 7 month
16 mean) does not demonstrate any prolonged constant period during La Niña phases though the
17 radiation does. During the first cold event the GPP-reduction is not as strong as during the second
18 one, although the G-reductions are nearly of same strength. It could be assumed that in the first case
19 the effect of radiation decrease on GPP was compensated by other factors like slight increase of the
20 air temperature.

21 Additionally, we investigated the influence of other climatic anomalies in the region on CO_2
22 and H_2O fluxes of the tropical rainforest, such as the Madden-Julian oscillation (MJO) and the
23 Indian Ocean Dipole (IOD). The MJO is characterised by an eastward propagation of large regions
24 of enhanced and suppressed deep convection from the Indian ocean toward central Pacific (Zhang,
25 2005). Each MJO cycle lasts approximately 30–60 days and includes wetter (positive) and drier
26 (negative) phases. As an estimation of deep convection intensity in the tropics, the outgoing long-
27 wave radiation (OLR) measured at the top of the atmosphere is commonly used. It was recently
28 shown that 6-12 months prior to the onset of an El Niño episode a drastic intensification of the MJO
29 occurs in the Western Pacific (Zhang and Gottschalck, 2002; Lau, 2005; Hendon et al., 2007;
30 Gushchina and Dewitte, 2011). Furthermore, MJO behaviour varies significantly during the ENSO
31 cycle: it is significantly decreased during the maxima of conventional El Niño episodes, while it is
32 still active during the peak phase of central Pacific events. MJO rarely occurs during La
33 Niña episodes (Gushchina and Dewitte, 2012). As MJO is strongly responsible for intra-seasonal
34 variation of precipitation in the study region, the occurrence of MJO events was compared to the
35 significant anomalies of ET/P ratio and of key meteorological variables. No evidence of MJO

1 influence is observed: the positive and negative anomalies of ET/P ratio are associated to positive,
2 negative and zero anomalies of OLR, filtered in the MJO interval. Also, no significant relation
3 emerged from the correlation analysis.

4 Correlations between MJO index (Wheeler and Kiladis, 1999; Gushchina and Dewitte,
5 2011), and the deviations of key meteorological parameters from monthly averages during the study
6 period were very low: $r^2 = 0.03$ for T, $r^2 = 0.03$ for P and $r^2 = 0.01$ for G ($p > 0.05$, in both cases).

7 The Indian Ocean Dipole (IOD) is characterised by changes of the SST in the western
8 Indian Ocean, resulting in intensive rainfall in the western part of Indonesia during the positive
9 phase and corresponding precipitation reduction during the negative phase (Saji et al., 1999). To
10 find a possible influence of IOD events on temporal variability of meteorological parameters and
11 CO₂ and H₂O fluxes, the monthly mean IOD index (Dipole Mode Index, DMI) was used. Results
12 showed that with respect to the western part of Indonesia situated close to Indian Ocean the IOD
13 phenomenon has no significant impact on meteorological conditions and fluxes of the area of
14 Central Sulawesi.

15

16 **5. Conclusions**

17 CO₂ and H₂O fluxes in the mountainous tropical rainforest in Central Sulawesi in Indonesia
18 showed a high sensitivity of monthly GPP and ET to ENSO intensity for the period from January
19 2004 to June 2008. This was mainly governed by the high dependency of incoming solar radiation
20 (*G*) to Nino4 and Nino3.4 SST changes and the strong sensitivity of GPP and ET on *G*.

21 Interestingly, we observed time shifts between the SST anomalies and smoothed GPP
22 anomalies driven by radiation anomalies. The maximal deviations of GPP and *G* from their mean
23 values occurred 2-3 months before the peak phase of the ENSO events. The effect of ENSO
24 intensity on RE was relatively low, mainly due to its weak effect on air temperature. Anyway, the
25 small cross-correlation between RE and ENSO intensity had a compensatory effect on the timing of
26 NEE, which thus was - like evapotranspiration - in synchrony with El Niño culminations. Unlike
27 the observations in other tropical sites, precipitation variations had no influence on the CO₂ and
28 H₂O fluxes at study site, mainly due to the permanently sufficient soil moisture condition in the
29 study area.

30 Other climatic anomalies in the Western Pacific region, such as the Indian Ocean Dipole and
31 the Madden-Julian oscillation, did not show any significant effect on neither the meteorological
32 conditions nor the CO₂ and H₂O fluxes in the investigated mountainous tropical rainforest in
33 Central Sulawesi.

1 It is important to emphasise that the considered observation period does not cover a period
2 with extreme El Niño events, such as, e.g., the 1982-83 and 1997-98 events, when the anomaly of
3 Nino3.4 SST, during several months, exceeded 2.6°C and more significant changes of surface water
4 availability were observed. Also, in lowland parts of Sulawesi, characterised by higher temperatures
5 and lower precipitation, the vegetation response to ENSO events is likely to be different and more
6 pronounced (Erasmí et al., 2009).

7 All observed ENSO events during the selected period are classified as Central Pacific type.
8 Recently, Yeh et al. (2009) showed that under projected climate change the proportion of Central
9 Pacific ENSO events might increase. Furthermore, Cai et al. (2014, 2015) showed that current
10 projections of climate change for the 21st century suggest an increased future likelihood of both El
11 Niño and La Niña events. Based on the results of our study, potential increases in ENSO activity
12 would result in an increased variability of the CO₂ and H₂O exchange between atmosphere and the
13 tropical rainforests in such regions.

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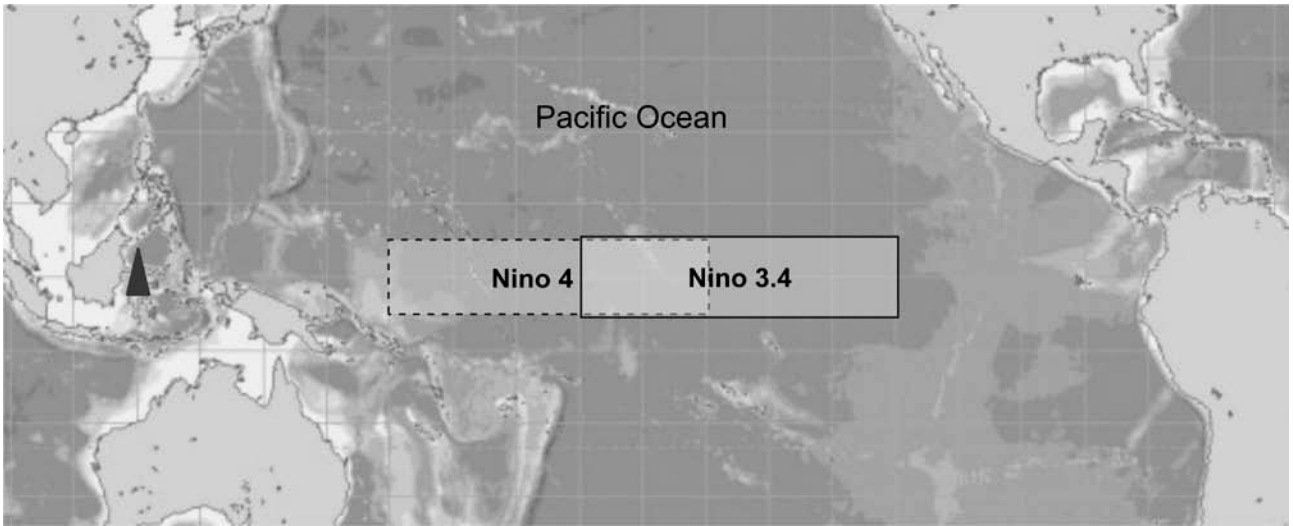
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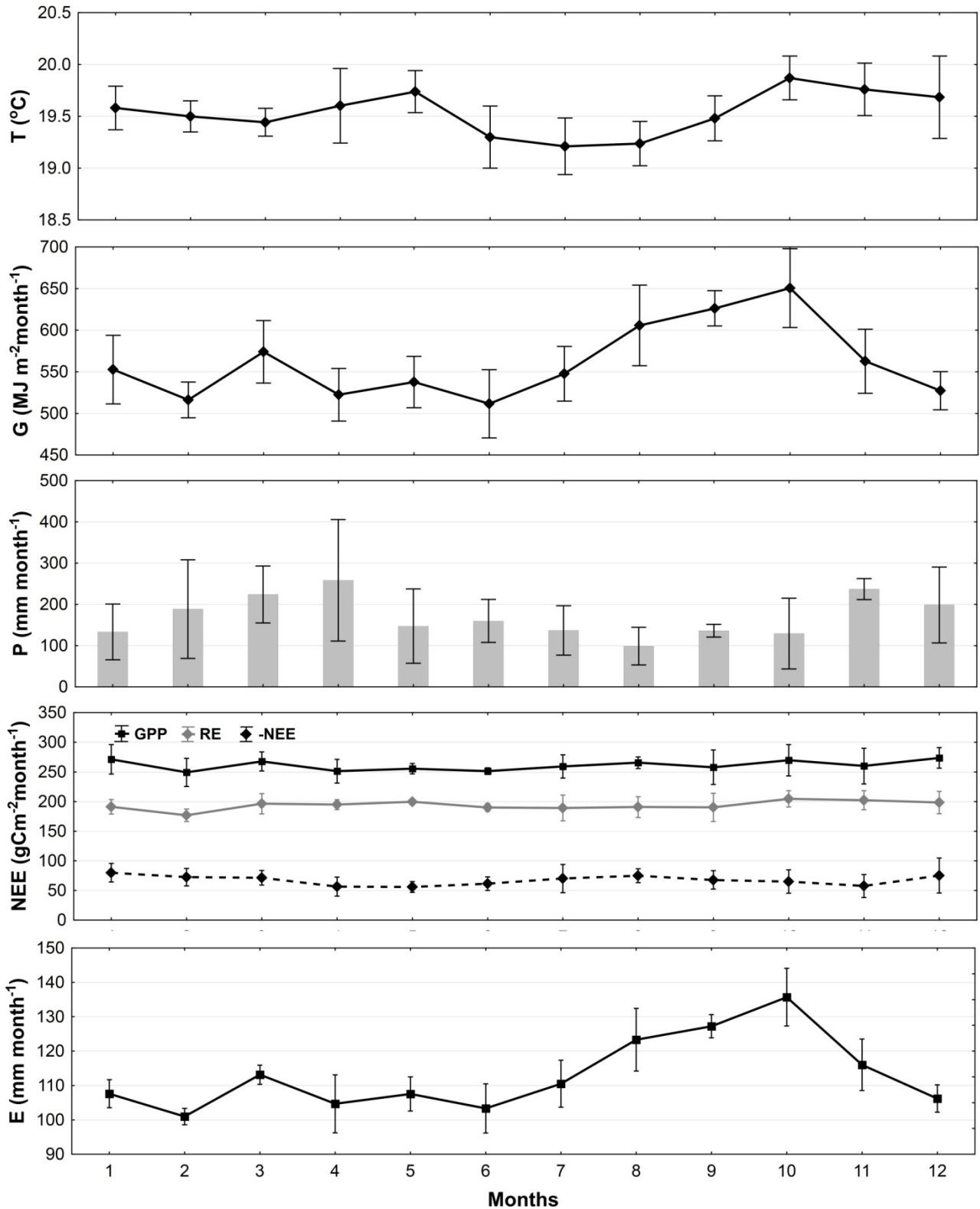
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Figure 1. Geographical location of a study area (marked by black triangle) in tropical rain forest in Central Sulawesi (Indonesia) and Nino4 and Nino3.4 regions.

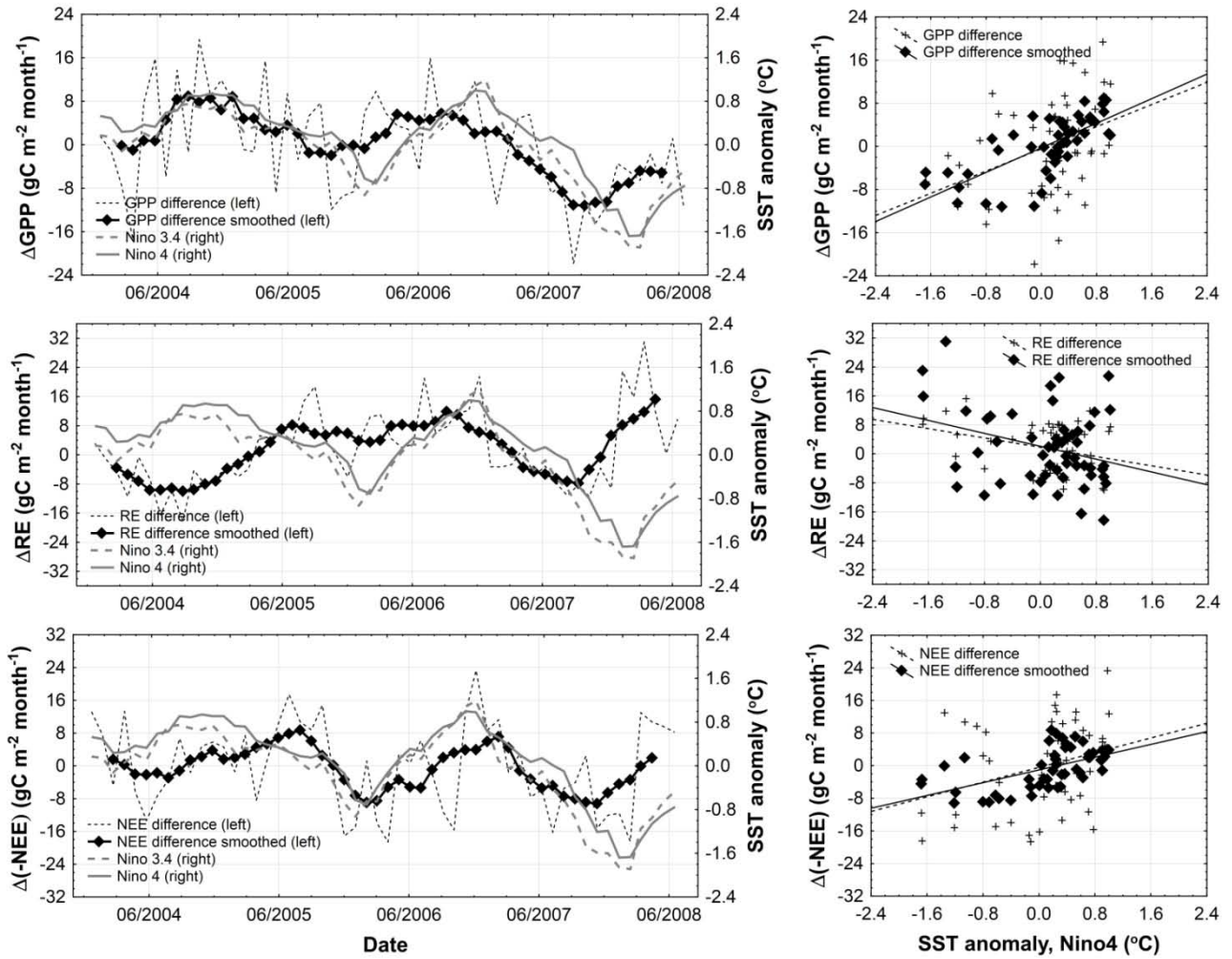


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3 Figure 2. Mean intra-annual courses of air temperature (T), global solar radiation (G), precipitation
 4 (P), NEE, GPP, RE and ET for the tropical rain forest in Bariri. Vertical whiskers indicate standard
 5 deviations (SD).

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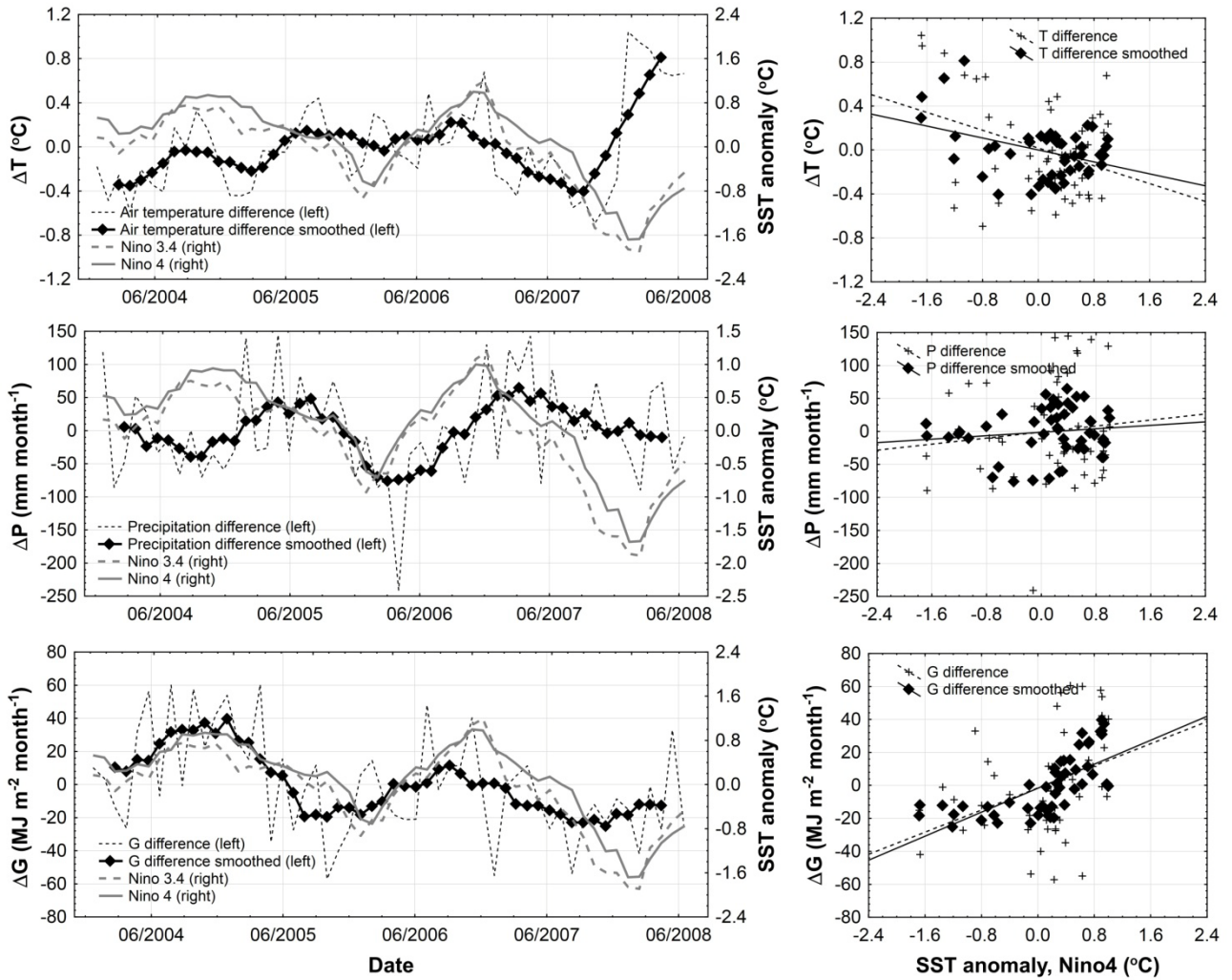
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3 Figure 3. Comparisons of inter-annual pattern of SST anomalies in Nino4 and Nino3.4 zones of
4 equatorial Pacific with variability of both deviations and 7 month (± 3 months) moving average
5 deviations of monthly GPP, RE and NEE values from mean monthly values of GPP, RE and NEE
6 averaged over the entire measuring period from 2004 to 2008.

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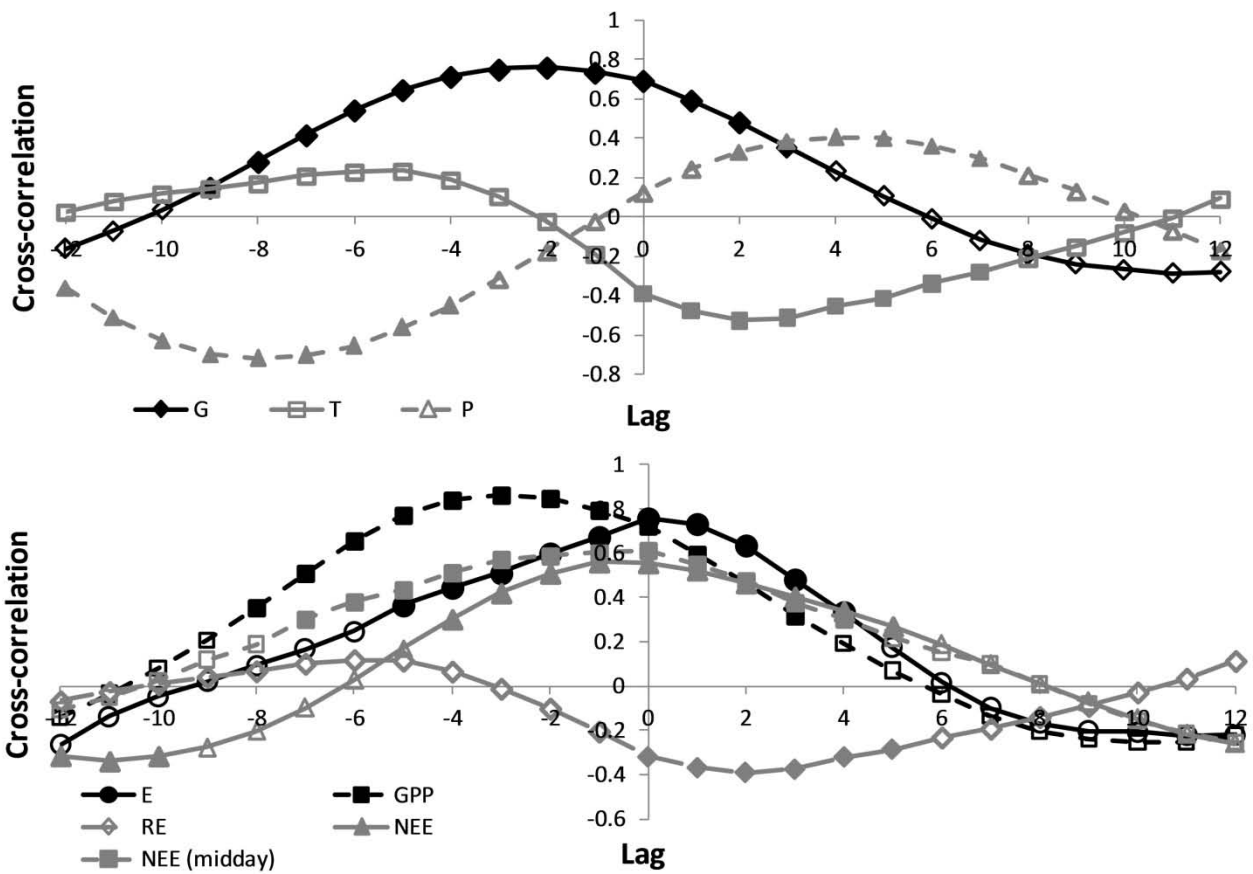
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3 Figure 4. Comparisons of inter-annual pattern of SST anomalies in Nino4 and Nino3.4 zones of
 4 equatorial Pacific with variability of both deviations and 7 month (± 3 months) moving average
 5 deviations of monthly air temperature (T), precipitation (P) and global radiation (G) values from
 6 mean monthly values of T, P and G averaged over the entire measuring period from 2004 to 2008.

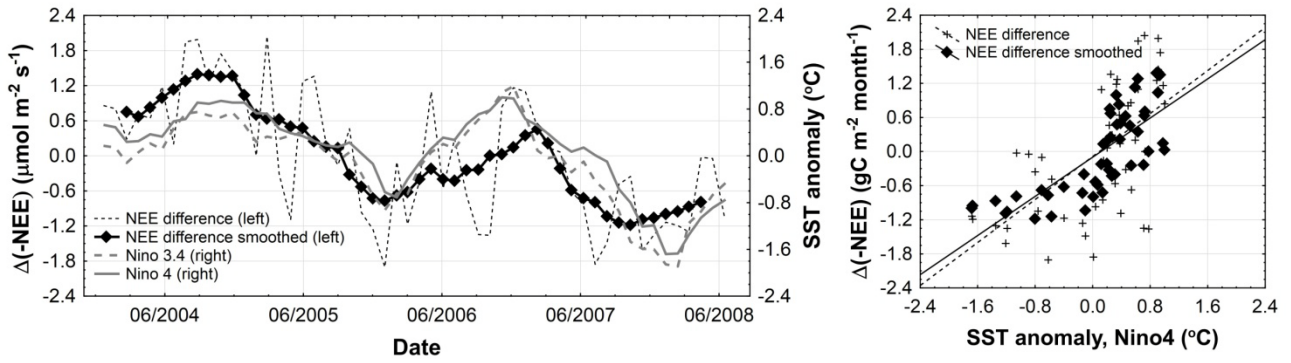
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Figure 5. Cross-correlation functions between ΔG_{MA} , ΔT_{MA} , ΔP_{MA} , ΔE_{MA} , ΔGPP_{MA} , ΔRE_{MA} , ΔNEE_{MA} and midday ΔNEE_{MA} values and SST anomalies in Nino4 zone of equatorial Pacific. Filled symbols are corresponded to p-value < 0.05 and non-filled symbols - to $p > 0.05$. Lag step is 1 month.

1

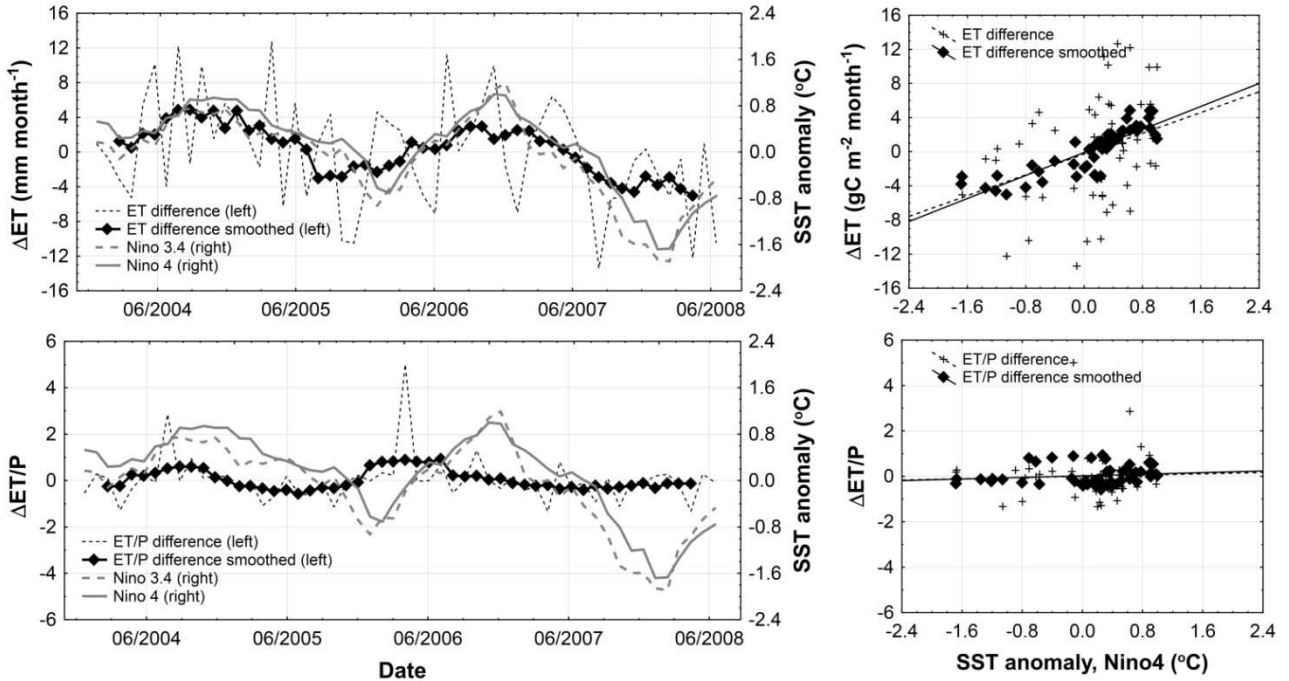


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3 Figure 6. Comparisons of inter-annual pattern of SST anomalies in Nino4 and Nino3.4 zones of
4 equatorial Pacific with variability of both deviations and 7 month (± 3 months) moving average
5 deviations of midday NEE (10:00-14:00) values from mean monthly midday values of NEE
6 averaged over the entire measuring period from 2004 to 2008.

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4 Figure 7. Comparisons of inter-annual pattern of SST anomalies in Nino4 and Nino3.4 zones of
5 equatorial Pacific with variability of both deviations and 7 month (± 3 months) moving average
6 deviations of monthly ET rate and ratio ET/P from mean monthly ET rate and ET/P averaged over
7 the entire measuring period from 2004 to 2008.

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