

Response of CO<sub>2</sub> and H<sub>2</sub>O fluxes of a mountainous tropical rain forest

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# Response of CO<sub>2</sub> and H<sub>2</sub>O fluxes of a mountainous tropical rain forest in equatorial Indonesia to El Niño events

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Received: 8 February 2015 – Accepted: 24 February 2015 – Published: 16 March 2015

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Published by Copernicus Publications on behalf of the European Geosciences Union.

**BGD**

12, 4405–4431, 2015

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## Abstract

The possible impact of El Niño–Southern Oscillation (ENSO) events on the main components of CO<sub>2</sub> and H<sub>2</sub>O fluxes in a pristine mountainous tropical rainforest growing in Central Sulawesi in Indonesia is described. The fluxes were continuously measured using the eddy covariance method for the period from January 2004 to June 2008. During this period, two episodes of El Niño and one episode of La Niña were observed. All these ENSO episodes had moderate intensity and were of Central Pacific type. The temporal variability analysis of the main meteorological parameters and components of CO<sub>2</sub> and H<sub>2</sub>O exchange showed a very high sensitivity of Evapotranspiration (ET) and Gross Primary Production (GPP) of the tropical rain forest to meteorological variations caused by both El Niño and La Niña episodes. Incoming solar radiation is the main governing factor that is responsible for ET and GPP variability. Ecosystem Respiration (RE) dynamics depend mainly on the air temperature changes and are almost insensitive to ENSO. Changes of precipitation due to moderate ENSO events did not cause any notable effect on ET and GPP, mainly because of sufficient soil moisture conditions even in periods of anomalous reduction of precipitation in the region.

## 1 Introduction

The contribution of tropical rainforests to the global budget of greenhouse gases, their possible impact on the climatic system, and their sensitivity to climatic changes are key topics of numerous theoretical and experimental studies (Clark and Clark, 1994; Grace et al., 1995, 1996; Malhi et al., 1999; Ciais et al., 2009; Lewis et al., 2009; Phillips et al., 2009; Malhi, 2010; Fisher et al., 2013; Moser et al., 2014). The area covered by tropical rainforests was drastically reduced during the last century, mainly due to human activities and presently there are less than 11.0 million km<sup>2</sup> remaining (Malhi, 2010). While deforestation rates in the tropical forests of Brazil are now declining, countries in South-East Asia, particularly Indonesia, show globally the largest increase in

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forest loss (Hansen et al., 2013), resulting in major changes in carbon and water fluxes between the land surface and the atmosphere. Therefore, during the last decade the tropical forest ecosystems of South-East Asia and especially Indonesia are the focus area of intensive studies of biogeochemical cycle and land surface–atmosphere interactions. On the one hand, it is necessary to know how these tropical forests influence the global and regional climate, and on the other hand, how they respond to changes of regional climatic conditions.

Climate and weather conditions in the equatorial Pacific and South-Eastern part of Asia are mainly influenced by the Intertropical Convergence Zone (ITCZ) which is seasonally positioned north and south of the equator. Another very important factor affecting the climate of South-East Asia is the well-known coupled oceanic and atmospheric phenomenon, El Niño–Southern Oscillation (ENSO). During the warm phase of ENSO, termed “El Niño”, sea surface temperature (SST) in the central and eastern parts of the equatorial Pacific sharply increases, and during a cold phase of the phenomenon, termed “La Niña”, the SST in these areas is lower than usual. Both phenomena, El Niño and La Niña, lead to essential changes of pressure distribution and atmospheric circulation and, as a result, to anomalous changes of precipitation amount, solar radiation, and temperature fields, both in the regions of sea surface temperature anomalies and in a wide range of remote areas through the mechanism of atmospheric bridges (Wang, 2002; Graf and Zanchettin, 2012). Typically, in Indonesia El Niño results in dryer conditions and La Niña results in wetter conditions, potentially impacting the land vegetation (Erasmi et al., 2009). ENSO events are irregular, characterised by different intensity and, are usually observed at intervals of 2–7 years.

To describe the possible effects of ENSO events on CO<sub>2</sub> and H<sub>2</sub>O exchange between land surface and the atmosphere, many studies for different Western Pacific regions were carried out during recent decades (Feely et al., 1998; Malhi et al., 1999; Rayner and Law, 1999; Aiba and Kitayama, 2002; Hirano et al., 2007; Erasmi et al., 2008; Gerold and Leemhuis, 2010). They are mainly based on the results of modelling experiments and remote sensing data (Rayner and Law, 1999). Experimental results

based on direct measurements of CO<sub>2</sub> and H<sub>2</sub>O fluxes, which allow studying the response of individual terrestrial ecosystems to anomalous weather conditions, are still very limited (e.g. Hirano et al., 2007; Moser et al., 2014). Existing monitoring networks in equatorial regions of the Western Pacific are associated mainly with lowland areas and do not cover mountainous rainforest regions, even though mountainous regions cover some of the last remaining undisturbed rainforest in South East Asia. Most attention in former studies was paid to the description of plant response to anomalously dry and warm weather during El Niño events (Aiba and Kitayama, 2002; Hirano et al., 2007; Moser et al., 2014). The possible changes in plant functioning during La Niña events are still not clarified. In particular, Malhi et al. (1999) reported that El Niño periods are strongly associated with enhanced dry seasons that probably result in increased carbon loss, either through water stress causing reduced photosynthesis or increased tree mortality. Aiba and Kitayama (2002) examined the effects of the 1997–98 El Niño drought on nine rainforests of Mount Kinabalu in Borneo using forest inventory and showed that El Niño increased the tree mortality for lowland forests. However, it did not affect the growth rate of the trees of upland forests (higher than 1700 m) where mortality was restricted by some understorey species only. Eddy covariance measurements of the CO<sub>2</sub> fluxes in a tropical peat swamp forest in Central Kalimantan, Indonesia, for the period from 2002 to 2004, provided by Hirano et al. (2007), showed that during the El Niño event in the period November–December 2002 the net annual CO<sub>2</sub> release reached maximal values, mainly due to strong decrease of GPP in the late dry season, because of dense smoke emitted from large-scale fires. Effects of El Niño on annual RE in 2002 were insignificant.

There is a great lack of experimental data on CO<sub>2</sub> and H<sub>2</sub>O fluxes in mountainous rainforests in equatorial regions of the Western Pacific, and on their response to ENSO. Hence, the main objective of this study was to evaluate and quantify the impact of ENSO events on the main components of CO<sub>2</sub> and H<sub>2</sub>O fluxes in a pristine mountainous tropical rainforest growing in Central Sulawesi, Indonesia. The methodology used was analysis of long-term eddy covariance flux measurement data.

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## 2 Materials and methods

### 2.1 El Niño's types and intensity

Nowadays, two types of ENSO can be distinguished: (1) the canonical or conventional El Niño, which is characterised by SST anomalies located in the eastern Pacific near the South American coast (Rasmusson and Carpenter, 1982) and (2) the Central Pacific El Niño or El Niño Modoki (Larkin and Harrison, 2005; Ashok et al., 2007; Kug et al., 2009; Ashok and Yamagata, 2009; Gushchina and Dewitte, 2012). In 2003, the new definition of the conventional El Niño was accepted by the National Oceanic and Atmospheric Administration (NOAA) of the USA, in referring to the warming of the Pacific region between 5° N–5° S and 170–120° W. According to Ashok et al. (2007) the Central Pacific El Niño/El Niño Modoki – i.e. unusually high SST – occurs roughly in the region between 160° E–140° W and 10° N–10° S.

As criteria to assess the intensity of ENSO events, a wide range of indexes based on different combinations of sea level pressure and SST data in various areas of the Pacific are used. For diagnostics of the central Pacific El Niño, the SST anomalies (in °C) in Nino4 region (5° N–5° S and 160° E–150° W) are broadly used (Fig. 1). The SST anomalies (in °C) in Niño 3.4 region (5° N–5° S and 170–120° W) are used to diagnose both types of El Niño phenomenon: canonical and Central Pacific (Download Climate Timeseries, 2013).

### 2.2 Experimental site

The tropical rainforest selected for the study is situated near the village Bariri in the southern part of the Lore Lindu National Park of Central Sulawesi in Indonesia (1°39.47' S and 120°10.409' E or UTM 51 S 185482 m east and 9816523 m north) (Fig. 1). It lies on a small plateau (about 1430 m a.s.l.) surrounded by mountain chains surmounting the plane by another 300 m to 400 m. Within 500 m around the tower the elevation varies between 1390 and 1430 m. Thus, the ground surface has a gentle

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westward inclination that does not exceed 5°. About 1000 m to the east from the experimental site, the forest is replaced by a meadow (Ibrom et al., 2007).

According to the Köppen climate classification the study area relates to tropical rain-forest climate (Af) (Chen and Chen, 2013). Weather conditions of the region are mainly influenced by the ITCZ. During the wet season (typically, from November to April) the area is influenced by very moist northeast monsoons coming from the Pacific. Maximum precipitation is observed in April – with  $258.0 \pm 148.0 \text{ mm month}^{-1}$ . The drier season usually lasts from May to October. The precipitation minimum is observed in September with  $195.0 \pm 48.0 \text{ mm month}^{-1}$ . The September–October period is also characterised by maximal incoming solar radiation, up to  $650 \pm 47.0 \text{ MJ m}^{-2} \text{ month}^{-1}$ , mainly because of a significant decrease of convective clouds, due to the reversing of oceanic northeast monsoon to a southeast monsoon blowing from the Australian continent. The mean annual precipitation amount exceeds 2000 mm. The mean monthly air temperature varies between 19.4 and 19.7 °C. The mean annual air temperature is 19.5 °C (Falk et al., 2005; Ibrom et al., 2007).

The vegetation at the experimental site is very diverse and represented by more than 88 different tree species per hectare. Among the dominant species are *Castanopsis accuminatissima* BL. (29%), *Canarium vulgare* Leenh. (18%) and *Ficus spec.* (9.5%). The density of trees, with diameter at breast height larger than 0.1 m, is 550 trees per ha. In addition, there is more than a 10-fold larger number of smaller trees per hectare with stem diameter lower than 0.1 m. The total basal area of trees reached  $53 \text{ m}^2 \text{ ha}^{-1}$ . Leaf area index (LAI) is about  $7.2 \text{ m}^2 \text{ m}^{-2}$ . LAI has been estimated using an indirect hemispherical photography approach with a correction for leaf clumping effects. The height of the trees, with diameters at breast height larger than 0.1 m, varies between lowest at 12 m and highest at 36 m. The mean tree height is 21 m (Ibrom et al., 2007).

### 2.3 Flux measurements

CO<sub>2</sub> and H<sub>2</sub>O fluxes were measured from 2004 to 2008 within the framework of the STORMA project (Stability of Rainforest Margins in Indonesia, SFB 552), supported by

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the German Science Foundation (DFG). The eddy covariance equipment for flux measurement was installed on a meteorological tower of 70 m height at the 48 m level, i.e. ca. 12 m higher than maximal tree height. The measuring system consists of a three-dimensional sonic anemometer (USA-1, Metek, Germany) and an open path CO<sub>2</sub> and H<sub>2</sub>O infrared gas analyzer (IRGA, LI-7500, Li-Cor, USA; Ibrom et al., 2007; Panferov et al., 2009). The open-path IRGA was calibrated with calibration gases two times per year and showed no considerable sensitivity drift within one year of operation. Turbulence data are sampled at 10 Hz and stored as raw data on an industrial mini PC (Kontron, Germany). All instruments are powered by batteries, which are charged by solar panels, mounted on the tower. The system is entirely self-sustaining and has been proven to run unattended over a period of several months. Post-field data processing on eddy covariance flux estimates was provided according to existing rules for data analysis (Aubinet et al., 2012). For filling the gaps in the measured Net Ecosystem Exchange (NEE) data, as well as the gaps in net radiation, sensible and latent heat flux records of the process-based Mixfor-SVAT model (Olchev et al., 2002; 2008) were applied. The Mixfor-SVAT model was also used to quantify RE and forest canopy transpiration. The model was validated using long-term data records obtained for the tropical rain forest in Bariri under well-developed turbulent conditions. The results of model validation showed a good agreement of model calculations with field observations for a broad spectrum of weather and soil moisture conditions (Falk et al., 2005; Falge et al., 2005; Olchev et al., 2008). GPP of the tropical rainforest was derived as a difference between measured NEE and RE.

### 2.4 Micrometeorological measurements

Air temperature, relative humidity and horizontal wind speed were measured at 4 levels above, and at 2 levels inside, the forest canopy using ventilated and sheltered thermo-hygrometers and cup anemometers (Friedrichs Co., Germany) installed on the tower. Short- and long-wave radiation components were measured below and above the canopy with CM6B and CG1 sensors (Kipp & Zonen, the Netherlands). Rainfall



intensity was measured on top of the tower with a tipping bucket in a Hellman-type rain gauge. To fill the gaps in measuring records the meteorological data from a mobile automatic station, situated about 900 m away from the tower on a nearby meadow, were used. For the analysis, the monthly mean values of air temperature and monthly sums of precipitation and solar energy were calculated.

## 2.5 Data analysis

To estimate the possible impact of ENSO events on CO<sub>2</sub> and H<sub>2</sub>O fluxes in the tropical rainforest at Bariri the temporal variability of monthly NEE, GPP, RE and ET in periods with different ENSO intensity was analysed. To quantify the ENSO impacts on meteorological parameters and fluxes and to distinguish them from effects caused by the seasonal migration of the ITCZ, the annual patterns of CO<sub>2</sub> and H<sub>2</sub>O fluxes as well as meteorological conditions during the measuring period were also evaluated.

In the first step to assess the possible impact of ENSO events on CO<sub>2</sub> and H<sub>2</sub>O fluxes, the possible correlation between monthly NEE, GPP, RE, ET and SST-anomalies in Nino4 and Nino3.4 regions (Nino4 and Nino3.4 indexes) were analysed.

In the second step, for a more accurate analysis of possible responses of H<sub>2</sub>O and CO<sub>2</sub> fluxes in the tropical rain forest to ENSO forcing and to distinguish from their annual cycle, the absolute deviations of monthly flux values from monthly averages over the entire measuring period were calculated. The deviation in the case of GPP ( $\Delta$ GPP) was estimated as

$$\Delta GPP_{\text{Month, Year}} = GPP_{\text{Month, Year}} - \frac{1}{N} \sum_{\text{Year} = 2004}^{2008} GPP_{\text{Month, Year}}$$

where  $GPP_{\text{Month, Year}}$  is total monthly GPP for a particular month (January to December) and corresponding year (2004 to 2008),  $\frac{1}{N} \sum_{\text{Year} = 2004}^{2008} GPP_{\text{Month, Year}}$  is monthly GPP for this particular month averaged for the entire measuring period (2004 to 2008);  $N$  is number of years. The temporal variability of deviations was then compared to Nino3.4

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and Nino4 indexes. Positive values in  $\Delta$ GPP,  $\Delta$ RE, and  $\Delta$ NEE indicate GPP, RE higher and NEE (carbon uptake) lower than average. In order to avoid any possible influence of short-term month-to-month flux fluctuations, smoothed moving average (MA) curves of flux deviations were analysed. For the smoothing procedure, the 7 month ( $\pm 3$  months) running mean was used. Similar deviations were calculated also for mean monthly air temperature ( $T$ ), global solar radiation ( $G$ ) and precipitation amount ( $P$ ).

### 3 Results

During the measuring period, two El Niño (August 2004–March 2005 and October 2006–January 2007) and one La Niña (November 2007–April 2008) phenomena were observed. All events had moderate intensity. Both warm events could be classified as the Central Pacific or Modoki type, according to Ashok et al. (2007), since the SST-anomalies were centred in Nino3.4 and Nino4 regions (Fig. 1).

Analysis of the annual pattern of  $\text{CO}_2$  and  $\text{H}_2\text{O}$  fluxes shows a relatively weak seasonal variability (Fig. 2). The maximal values of GPP were obtained during the second part of the drier season – from August to October ( $278 \pm 13 \text{ g C m}^{-2} \text{ month}^{-1}$ ) – which is also characterised by maximal values of incoming solar radiation. The mean monthly air temperature in the period varied from minimal values in August ( $19.2 \pm 0.2^\circ\text{C}$ ) to maximal values in October ( $19.8 \pm 0.2^\circ\text{C}$ ). The minimal GPP values were obtained in transition periods between more wet and dry seasons – in May–June and November–December ( $240 \pm 15$  and  $249 \pm 21 \text{ g C m}^{-2} \text{ month}^{-1}$ , respectively). These periods are also characterised by minimal amounts of incoming solar radiation ( $512 \pm 40 \text{ MJ m}^{-2} \text{ month}^{-1}$ ). Maximal RE ( $206 \pm 10 \text{ g C m}^{-2} \text{ month}^{-1}$ ) and values were obtained in October, which corresponds to the period of maximal air temperature and insolation. The local maximum of RE in April–May ( $199 \pm 4 \text{ g C m}^{-2} \text{ month}^{-1}$ ) is also well correlated with a small increase of the air temperature in these months. The minimal RE was observed in February and June–August ( $174 \pm 10$  and  $187 \pm 15 \text{ g C m}^{-2} \text{ month}^{-1}$ , respectively). The annual pattern of ET was closely related to the seasonal variability

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of GPP. The maximum values of ET were also observed in October ( $136 \pm 4$  mm), in the month of maximal incoming solar radiation and highest values of air temperature. In spite of a large amount of precipitation and a high air temperature during the period from March to June, ET in this period was much lower than in September and October (e.g.  $105 \pm 8$  mm in April).

Comparisons of monthly NEE, GPP, RE, ET and SST-anomalies in Nino4 and Nino3.4 regions (Nino4 and Nino3.4 indexes) indicate relatively low correlations. Changes of the Nino4 index can explain about 12% of the observed variability in GPP (coefficient of determination,  $r^2 = 0.12$ ,  $p < 0.05$ ), 9% of RE ( $r^2 = 0.09$ ,  $p < 0.05$ ), 9% of NEE ( $r^2 = 0.09$ ,  $p > 0.05$ ), 6% of ET ( $r^2 = 0.06$ ,  $p < 0.05$ ) and only about 1% of transpiration (TR) ( $r^2 = 0.01$ ,  $p > 0.05$ ). Similar values were obtained in correlation analysis for the Nino3.4 index. In the period of El Niño peak phases (September 2004–January 2005 and October 2006–January 2007) the values ET and GPP tend to increase in the study area. An increase of RE was indicated only during the second El Niño event from October 2006 to January 2007. The effect of El Niño on NEE was insignificant. The effect of La Niña on CO<sub>2</sub> and H<sub>2</sub>O flux components was very small and manifested only in a slight increase of NEE.

Analysis of the temporal variability of the moving average values of  $\Delta GPP$  ( $\Delta GPP_{MA}$ ) (Fig. 3) indicates a relatively high correlation between  $\Delta GPP_{MA}$  and both Nino4 ( $r^2 = 0.52$ ,  $p < 0.05$ ) and Nino3.4 ( $r^2 = 0.60$ ,  $p < 0.05$ ) indexes. Close correlation between the intensity of ENSO events and  $\Delta GPP_{MA}$  can be explained by the influence of ENSO on total cloud amount in the region and, as a result, on monthly sums of incoming  $G$  (Fig. 4). Variability of  $G$  ( $\Delta G_{MA}$ ) is very closely correlated with Nino4 and Nino3.4 ( $r^2 = 0.48$ ,  $p < 0.05$  for both indexes) and it can explain 69% of variability of GPP ( $r^2 = 0.69$ ,  $p < 0.5$ ). The maximal deviations of  $\Delta G_{MA}$  from mean values (averaged for the entire measuring period) are occurring a few months before the peak phase of the ENSO events. The effect of T changes on  $\Delta GPP_{MA}$  is very low ( $r^2 = 0.01$ ,  $p > 0.05$ ). The correlation between  $\Delta T_{MA}$  and Nino4, Nino3.4 indexes are also very low ( $r^2 = 0.15$ ,  $p > 0.05$  for Nino4 and  $r^2 = 0.05$ ,  $p > 0.05$  for Nino3.4) and it can explain the very weak

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correlations between  $\Delta RE_{MA}$  and ENSO indexes ( $r^2 = 0.10$ ,  $p < 0.05$  for Nino4 and  $r^2 = 0.04$ ,  $p > 0.05$  for Nino3.4) (Figs. 3 and 4).

Despite the relatively close dependence of  $\Delta GPP_{MA}$  on ENSO intensity, the correlations between  $\Delta NEE_{MA}$  and Nino4, Nino3.4 indexes are lower ( $r^2 = 0.31$ ,  $p < 0.05$  for Nino4 and  $r^2 = 0.37$ ,  $p < 0.05$  for Nino3.4), mainly because of their very low correlation during the first part of the measuring period (before December 2005). During the second part of the considered period (from June 2006 to June 2008) with one strong El Niño (October 2006–January 2007) and one La Niña (November 2004–April 2008), events  $\Delta NEE_{MA}$  and Nino4, Nino3.4 indexes are correlated much better. Such a trend can be explained by the influence of  $\Delta RE_{MA}$  on  $\Delta NEE_{MA}$  dynamics that is mainly governed by temperature variability and which is, as already mentioned, very poorly correlated with Nino4/Nino3.4 indexes (Figs. 3 and 4).

ET ( $\Delta ET_{MA}$  variability) showed a well response to ENSO activity as well:  $r^2 = 0.72$ ,  $p < 0.05$  for Nino4 and  $r^2 = 0.70$ ,  $p < 0.05$  for Nino3.4 (Fig. 5) probably also triggered by the very high correlation between  $\Delta G_{MA}$  and Nino4, Nino3.4 indexes and  $\Delta G_{MA}$  and  $\Delta ET_{MA}$ . Interestingly, a small backward phase shift is observed between periods of extreme  $\Delta ET_{MA}$  values and maximal intensity of ENSO. Correlations between  $\Delta ET_{MA}$  and  $\Delta T_{MA}$ , as well as between  $\Delta ET_{MA}$  and  $\Delta P_{MA}$ , are insignificant –  $r^2 = 0.09$  and  $r^2 = 0.01$ , respectively. However, Fig. 4 clearly shows a manifested time delay in  $\Delta P_{MA}$  oscillation, relative to Nino4 and Nino3.4 patterns, when maximal positive or negative deviations of  $\Delta P_{MA}$  are indicated about five months after peak phases of ENSO. The best correlation between the time-shifted time series of  $\Delta P_{MA}$  from ENSO index patterns is much higher ( $r^2 = 0.32$ ,  $p < 0.05$  for Nino4 and  $r^2 = 0.28$ ,  $p < 0.05$  for Nino3.4).

To explain a very low sensitivity of ET to  $P$  changes, we analysed the annual variability of the ratio between ET and potential evaporation (PET), as well as between ET and  $P$ . PET was derived using the well-known Priestley and Taylor (1972) approach and it is equal to evaporation from wet ground or open water surface.

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The mean annual ET during the measuring period is considerably lower than  $P$  ( $ET/P = 0.742$ ). Over the annual course, the ratio varied between 0.58 (in March and November) to 1.85 (in August and October). During dry periods before the positive phase of ENSO, the mean values of the  $ET/P$  ratio grow up to 1.9–2.1. During the periods of negative Nino4 and Nino3.4 anomalies the mean monthly  $ET/P$  ratio fell, in some months, down to 0.3. Correlation analysis of temporal variability of  $\Delta(ET/P)$  and  $\Delta(ET/P)_{MA}$  ratios and Nino4 and Nino3.4 indexes (Fig. 5) did not show any statistically significant relationships. However, it should be mentioned that the temporal pattern of  $\Delta(ET/P)$  and  $\Delta(ET/P)_{MA}$  is characterised by two peaks that were observed in July of 2005 and April 2007, about 6–8 months prior to the El Niño culmination (Fig. 5).

The monthly mean  $ET/PET$  ratio has a feeble annual trend with maximum in June ( $0.93 \pm 0.03$ ) and with minimum in February and October ( $0.84 \pm 0.06$ ). The averaged annual  $ET/PET$  ratio for the entire measuring period was  $0.880 \pm 0.055$ . The minimal values of  $\Delta(ET/PET)_{MA}$  ( $\Delta(ET/PET)_{MA} = 0.81$ ) were observed during the El Niño culmination in 2005–2006, and the maximal values, during the period of maximal intensity of La Niña in 2008 ( $\Delta(ET/PET)_{MA} = 0.93$ ). Thus, monthly ET rates are relatively close to PET values during the whole year including the periods of maximal ENSO activity. The relative soil water content of the upper 30 cm horizon calculated using the Mixfor-SVAT model during the entire period of the field measurements, including the periods with maximal values of the  $ET/P$  ratio, was always higher than 80 %. This, together with the  $ET/PET$  ratio, is a clear indicator of permanently sufficient soil moisture conditions in the study area, including periods of El Niño and La Niña culminations, explaining the very low sensitivity of  $\Delta ET$  to  $\Delta P$ .

## 4 Discussion

The provided analysis of the temporal variability for the main components of carbon and water balances in the tropical rainforest showed a very high correlation between ENSO Nino4 and Nino3.4 SST anomalies, characterising the ENSO intensity with  $\Delta GPP_{MA}$

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and  $\Delta ET_{MA}$  deviations from monthly averages over the entire measuring period. These relationships are mainly governed by the strong dependency of the incoming solar radiation on ENSO intensity and high correlation between monthly GPP and ET rates and solar radiation absorbed by the ground surface (Ibrom et al., 2008). The effects of monthly air temperature and precipitation changes on  $\Delta GPP$  and  $\Delta ET$  variability are relatively poor, mainly due to the low correlations between  $\Delta T_{MA}$ ,  $\Delta P_{MA}$  and ENSO intensity. The maximal intensity of ENSO events is usually observed in the period of December to February. During the year, the intensity of ENSO associated anomalies changed drastically, including the change of anomaly sign. As a result, the effect of ENSO in annual values of both meteorological parameters and fluxes is very poorly manifested.

The patterns of  $\Delta GPP_{MA}$ ,  $\Delta ET_{MA}$  and  $\Delta G_{MA}$  have a clearly manifested 1–3 month backward shift relative to the course of Nino4 SST, i.e. the maxima in GPP and ET occur earlier than ENSO culmination in the central Pacific (Nino4 SST anomaly). Such an effect of El Niño episodes on the seasonality of  $G$  can be explained by a decrease of the cloud amount in the region of Indonesia, due to the El Niño-associated shift of the Walker circulation cell, and corresponding zone of deep convection, from the maritime continent of Indonesia toward the dateline following SST anomalies displacement. El Niño usually begins in April, and toward August–September the ascending branch of the Walker cell leaves Indonesia and migrates to the Pacific. Therefore, 3–4 months before the El Niño culmination in December–January, a decrease in cloud amount is observed over Indonesia. Weakening of El Niño, in turn, leads to a backward shift of intensive convection zone westward. It can result in increasing precipitation amounts in the region during the second half of the wet period after passing the maximal El Niño activity, and also the gradual increase of the cloudiness and decrease of incoming solar radiation. The opposite effect takes place during the La Niña with similar phase shift: simultaneously, with the spreading of a negative SST anomaly over the Pacific, the increasing of deep convection over Indonesia occurs, which results in an increase of



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estimation of deep convection intensity in the tropics, the outgoing long-wave radiation (OLR) measured at the top of the atmosphere is commonly used. It was recently shown that 6–12 months prior to the onset of an El Niño episode a drastic intensification of the MJO occurs in the Western Pacific (Zhang and Gottschalck, 2002; Lau, 2005; Hendon et al., 2007; Gushchina and Dewitte, 2011). Furthermore, MJO behaviour varies significantly during the ENSO cycle: it is significantly decreased during the maxima of conventional El Niño episodes, while it is still active during the peak phase of central Pacific events. MJO rarely occurs during La Niña episodes (Gushchina and Dewitte, 2012). As MJO is strongly responsible for intra-seasonal variation of precipitation in the study region, the occurrence of MJO events was compared to the significant anomalies of ET/*P* ratio and of key meteorological variables. No evidence of MJO influence is observed: the positive and negative anomalies of ET/*P* ratio are associated to positive, negative and zero anomalies of OLR, filtered in the MJO interval. Also, no significant relation emerged from the correlation analysis.

Correlations between MJO index (Wheeler and Kiladis, 1999; Gushchina and Dewitte, 2011), and the deviations of key meteorological parameters from monthly averages during the study period were very low:  $r^2 = 0.03$  for *T*,  $r^2 = 0.03$  for *P* and  $r^2 = 0.01$  for *G* ( $p > 0.05$ , in both cases).

The Indian Ocean Dipole (IOD) is characterised by changes of the SST in the western Indian Ocean, resulting in intensive rainfall in the western part of Indonesia during the positive phase and corresponding precipitation reduction during the negative phase (Saji et al., 1999). To find a possible influence of IOD events on temporal variability of meteorological parameters and CO<sub>2</sub> and H<sub>2</sub>O fluxes, the monthly mean IOD index (Dipole Mode Index, DMI) was used. Results showed that with respect to the western part of Indonesia situated close to Indian Ocean the IOD phenomenon has no significant impact on meteorological conditions and fluxes of the area of Central Sulawesi.



## 5 Conclusions

CO<sub>2</sub> and H<sub>2</sub>O fluxes, in the mountainous tropical rain forest in Central Sulawesi in Indonesia, showed a very high sensitivity of monthly GPP and ET to ENSO intensity for the period from January 2004 to June 2008. It is mainly governed by a high sensitivity of incoming solar radiation to Nino4 and Nino3.4 SST changes and the influence of incoming solar radiation on GPP and ET. In contrast, RE pattern is mainly influenced by air temperature variation, which is however not significantly influenced by ENSO activity. Thus, there is no significant relationship between Nino4 and Nino3.4 SST dynamics and RE pattern. Correlation between ENSO intensity and variation of monthly mean NEE values is also relatively low. Precipitation variation has no influence on CO<sub>2</sub> and H<sub>2</sub>O fluxes, mainly due to the permanently sufficient soil moisture condition in the study area.

Other climatic anomalous events in the Western Pacific region, such as the Indian Ocean Dipole and the Madden–Julian oscillation, did not show any significant effect on meteorological conditions or CO<sub>2</sub> and H<sub>2</sub>O fluxes in the tropical rain forest in Central Sulawesi.

It is important to emphasise that the considered observation period does not cover the period with extreme El Niño events, such as, e.g., the 1982–1983 and 1997–1998 events, when the anomaly of Nino3.4 SST, during several months, exceeded 2.6 and more significant changes of surface moistening conditions could be observed. It can be also expected that in lowland parts of Sulawesi, characterised by higher temperatures and lower precipitation, the response to ENSO events can be also more pronounced.

All observed ENSO events during the selected period are classified as Central Pacific type. Recently, Yeh et al. (2009) showed that under projected climate change the proportion of Central Pacific ENSO events might increase. Furthermore, Cai et al. (2014, 2015) showed that current projections of climate change for the 21st century are associated with a general increase of both El Niño and La Niña events. Taking this into account the results of the present study indicate possible substantial variations of the

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*Acknowledgement.* The study was supported by the German Science Foundation under the projects “Stability of Rainforest Margins in Indonesia”, STORMA (SFB 552), “Ecological and Socioeconomic Functions of Tropical Lowland Rainforest Transformation Systems (Sumatra, Indonesia)” (SFB 990) and KN 582/8-1. The Russian Science Foundation (grant RSCF 14-27-00065) supports A. Olchev in part of the model development.

This open-access publication is funded by the University of Göttingen.

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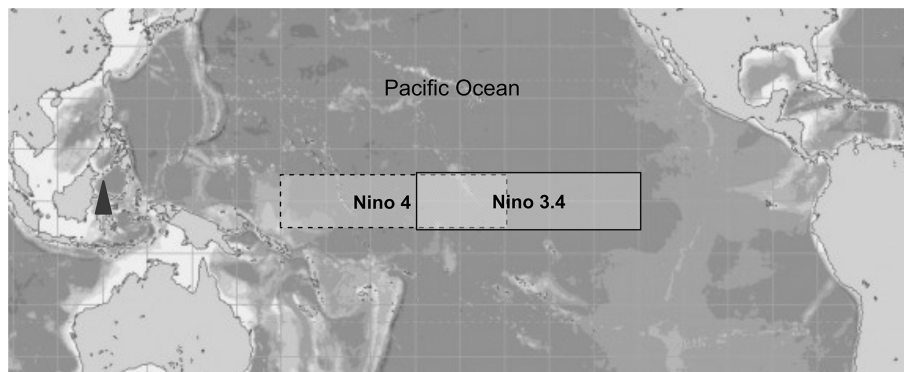
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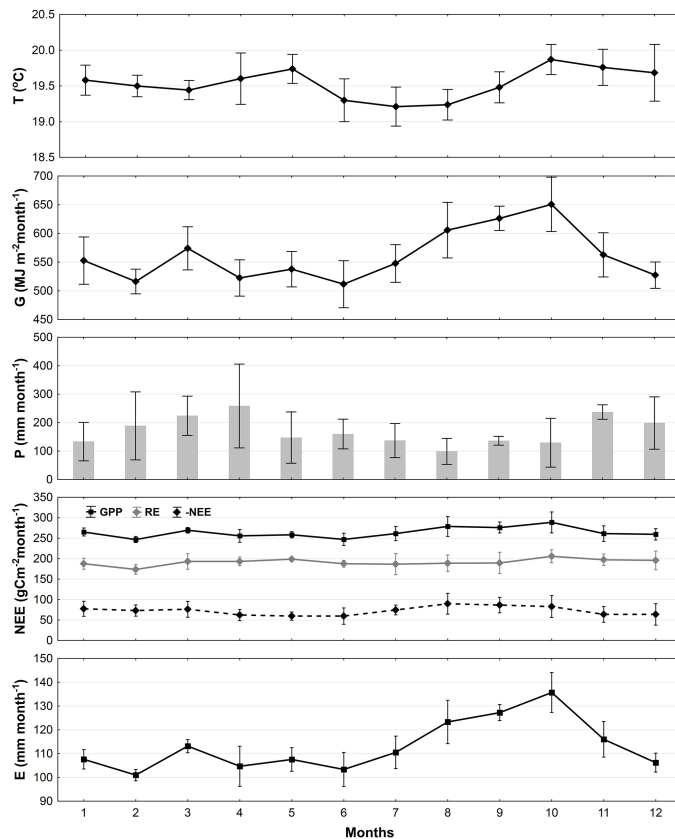


**Figure 1.** Geographical location of a study area (marked by black triangle) in tropical rain forest in Central Sulawesi (Indonesia) and Nino4 and Nino3.4 regions.

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**Figure 2.** Mean annual trends of air temperature ( $T$ ), global solar radiation ( $G$ ), precipitation ( $P$ ),  $\text{NEE}$ ,  $\text{GPP}$ ,  $\text{RE}$  and  $\text{ET}$  for the tropical rain forest in Bariri. Vertical whiskers indicate SD.

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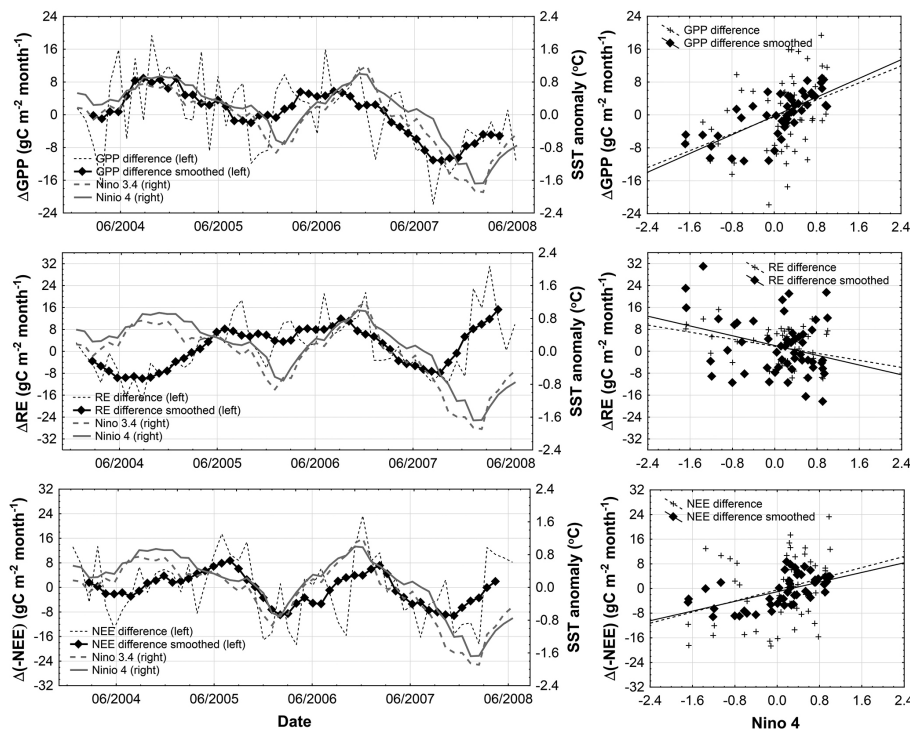
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**Figure 3.** Comparisons of interannual pattern of SST anomalies in Nino4 and Nino3.4 zones of equatorial Pacific with variability of both deviations and 6 months running mean deviations of monthly GPP, RE and NEE values from mean monthly values of GPP, RE and NEE averaged over the entire measuring period from 2004 to 2008.

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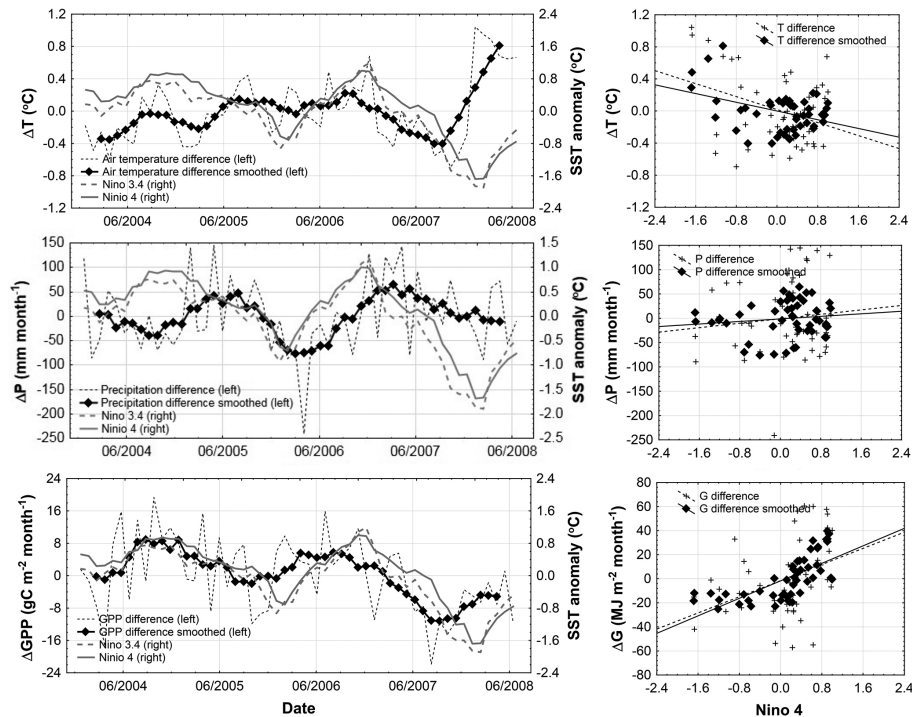
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## Response of CO<sub>2</sub> and H<sub>2</sub>O fluxes of a mountainous tropical rain forest

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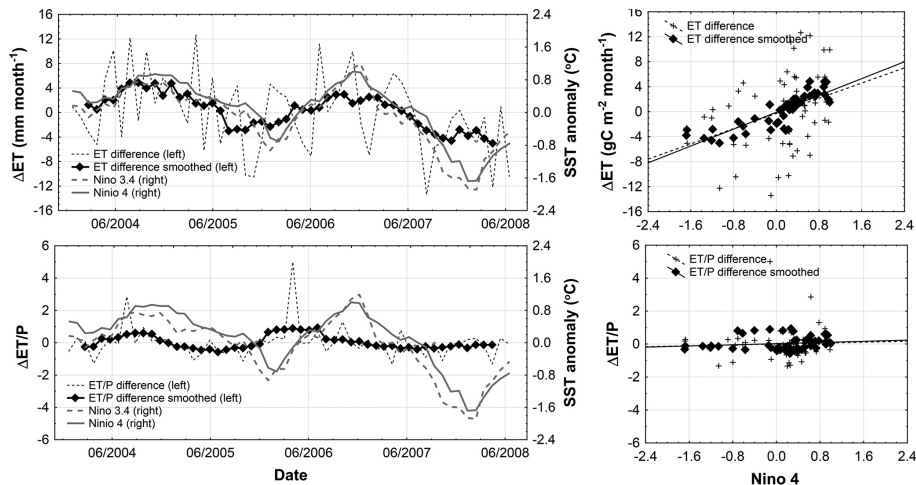


**Figure 4.** Comparisons of interannual pattern of SST anomalies in Nino4 and Nino3.4 zones of equatorial Pacific with variability of both deviations and 6 months running mean deviations of monthly air temperature ( $T$ ), precipitation ( $P$ ) and global radiation ( $G$ ) values from mean monthly values of  $T$ ,  $P$  and  $G$  averaged over the entire measuring period from 2004 to 2008.

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**Figure 5.** Comparisons of interannual pattern of SST anomalies in Nino4 and Nino3.4 zones of equatorial Pacific with variability of both deviations and 6 months running mean deviations of monthly ET rate and ratio ET/P from mean monthly ET rate and ET/P averaged over the entire measuring period from 2004 to 2008.

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