

Author's responses

Journal: BG

Title: Seasonal and size-dependent variations in the phytoplankton growth and microzooplankton grazing in the southern South China Sea under the influence of the East Asian monsoon

Author(s): L. Zhou et al.

MS No.: bg-2015-114

Dear Editors,

We are submitting a revised manuscript entitled “Seasonal and size-dependent variations in the phytoplankton growth and microzooplankton grazing in the southern South China Sea under the influence of the East Asian monsoon” for your consideration of publication in Biogeosciences. The manuscript is a revised version of our previous one. We do appreciate the reviewers’ comments and suggestion on the manuscript. We have incorporated all the comments provided to the previous version as detailed below. Please see enclosed our point-by-point responses to the comments. A marked-up version is also appended. We are confident that the present manuscript has been improved for publication in Biogeosciences.

We appreciate your time in considering our manuscript.

Yours sincerely,

Linbin Zhou

E-mail: zhoulb@scsio.ac.cn

Point-by-point responses to the comments

We have received two reviews of your revised manuscript. The referee #1 and I recognized that the authors made a significant effort to improve the contents of your paper. However, both the referee #1 and a new reviewer have claimed that your manuscript should be modified further (see the file attached). So please make a further revised manuscript

Response: Thanks for your positive comments. We have modified the manuscript on the basis of the reviewers' comments. Please see our point-by-point responses to the comments.

Author's responses to comments from Reviewer #1

Reviewer #1

It is obvious that the authors have made great efforts in addressing the reviewers' comments. Although this study still has some (serious) limitations, it might be unfair to demand every point to be satisfactorily addressed.

Response: Thanks very much for your positive comments and understanding.

However, I think that there are two points that the authors should address before acceptance:

- 1) The nutrient data are really weird. You cannot publish these problematic data without good explanation on BG.

Response: we deleted the nutrient data about phosphate and nitrite plus nitrate.

- 2) It is not difficult to calculate the standard errors of growth and grazing rates of large and small phytoplankton. These standard errors must be taken into account for comparing the rates of different phytoplankton.

Response: we calculated and added the standard errors of growth and grazing rates of large and small phytoplankton in Table 3. However, honestly speaking, we do not really know how to use these standard errors when compare the rates of different phytoplankton, since the standard errors were significantly influenced by the number of data point for the regression estimation for the rates, and generally, when the data point for the regression is less, the standard error tends to be higher, vice versa.

Reviewer#3

In this manuscript Zhou et al. use the dilution technique to estimate the phytoplankton growth and the microzooplankton grazing rates in the SSCS zone. They compare the rates between seasons and phytoplankton size fractions. The data are interesting and offer value to the scientific community; there are few studies that simultaneously analyze the seasonal variation of phytoplankton growth and microzooplankton grazing rates.

Response: Thanks very much for your positive comments.

However, I think that some aspects should be modified for the publication of the manuscript in Biogeosciences, especially in the discussion section, which includes some unlikely or wrong explanations and argumentations. Below are few suggestions that may improve the quality of the manuscript.

Response: Thanks for giving the suggestions. Following the suggestions, we have modified our manuscript. Please see our point-by-point responses to the detailed suggestions.

Introduction

The authors should provide a more complete description of the differences in the sea-water properties (salinity, mixed layer depth, etc.) between winter and summer. The analysis of the differences between both seasons is one of the objectives of the study and is one of the main topics in the discussion. The authors should include at least one sentence after P. 4, line 15, and provide some references. Longhurst (2007) defined a biogeochemical province for an area including the area of study. This reference could be useful in case there were not other specific articles.

Response: Following this comment, we added one sentence there and added the suggested reference. We also pointed out in the Introduction that our studied area belongs to the Archipelagic Deep Basins Province (ARCH) defined by Longhurst (2007).

By the way, We found that the Archipelagic Deep Basins Province (ARCH) defined by Longhurst (2007) covers the area of the South China Sea, but a suspected wrong abbreviation EChinS (SChinS may be the right one, but we are not sure) for the South China Sea is marked in Longhurst (2007)'s Fig. 11.14. Below please see the Fig. 11.14 in Longhurst (2007).

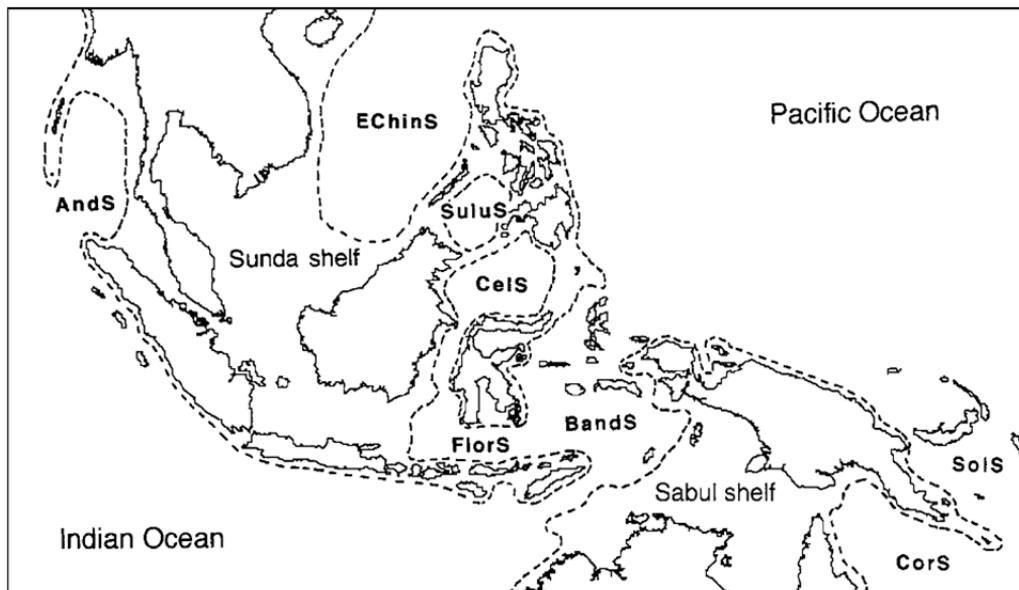


Fig. 11.14 This bathymetric map of the Indo-Pacific archipelago illustrates the characteristics of ARCH and SUND provinces. The dashed line is the 200-m isobath, enclosing SUND, whereas the deep basins of ARCH are indicated by abbreviations representing individually the Andaman, South China, Sulu, Celebes, Flores, Banda, Solomon and Coral Seas. Note that a central region of coral atolls inhabits the South China Sea and that these are visible in satellite chlorophyll fields.

Longhurst, A. R.: Chapter 11 - The Pacific Ocean. In: Ecological Geography of the Sea (Second Edition), Longhurst, A. R. (Ed.), Academic Press, Burlington, 2007.

The following lines (P. 4, line 19- P. 5, line 2) do not provide any relevant information for understanding the system; they seem to be only a tribute, certainly deserved, to the scientists who previously studied that area.

Response: Yes, here we want to give our tribute to the deceased Professor Qingchao Chen, a famous marine biologist, at least in Asia. Thanks for your understanding.

Material and methods

Just out of curiosity, why the authors prepare different dilution treatments depending on the season?

Response: Honestly, we did not intendedly do it, and we thought different dilution treatments could not impact the estimation of the rates theoretically.

P. 6, lines 5-6: The use of light filters do not avoid the occurrence of photoacclimation, which could occur due to the variation between days in the cloudiness and the incident light, or associated to the incubation at a constant irradiance (phytoplankton suffer vertical displacements in the sea).

Response: we had used the same treatment to do dilution experiments in the offshore waters of the South China Sea (Zhou et al., 2015), and by monitoring

cellular chlorophyll *a* content during the incubation using the flow cytometry, we found the treatment was effective to avoid occurrence of photoacclimation. So we used it again in the present study.

Zhou, L., Tan, Y., Huang, L., and Li, G.: Does microzooplankton grazing contribute to the pico-phytoplankton dominance in subtropical and tropical oligotrophic waters?, Acta Ecol. Sin., 35, 29-38, doi:10.1016/j.chnaes.2014.12.007, 2015a.

On P. 6, lines 26-29, the authors indicate that “When saturated or saturated-increasing grazing was observed as a departure from the assumed linear model...”. How were those departures from the linear model detected? If they were estimated by visual inspection of the plots, the authors should indicate it. Some statistical analyses could also be conducted to support the detection of non-linear responses. In this way, Chen et al. (2014) fitted the data using a secondorder polynomial. When the second order term was statistically different from zero they determined that the relationship between the phytoplankton net growth rate and the dilution factor was non-linear. Model selection using a first-order and a second-order polynomial to fit the data could be also carried out to check non-linear relationships.

Chen, B., Laws, E. A., Liu, H., & Huang, B. (2014). Estimating microzooplankton grazing halfsaturation constants from dilution experiments with nonlinear feeding kinetics. *Limnol. Oceanogr*, 59(3), 639-644.

Response: Thanks for the suggestion. We estimated them by visual inspection of the plots. Following the suggestion, we rewrote the sentence.

P. 7, lines 6-7: The authors indicate that “Net growth rate was also used as a proxy for the actual trophic state of the system being investigated (Calbet et al., 2011)”. Probably I missed something, but I find the citation inappropriate. Calbet et al. (2011) relate the trophic state with the quotient between heterotrophic and autotrophic carbon, but not with the net growth rate.

Response: Following the comments, we deleted the sentence and the citation.

Results

Vertical profiles of environmental variables during the days in which experiments were performed would be more informative than the values showed in tables 1 and 2. Those graphs should be drawn for salinity (or density), and if it were possible for nutrients, in the seven stations sampled in both seasons (winter and summer). Vertical profiles could be grouped by station and variable (2 vertical profiles in each graph).

Response: Following the suggestion, vertical profiles of seawater salinity, temperature and silicate concentration were grouped by station for the two

seasons. The vertical profiles were illustrated in Fig. 2 in the revised latest version.

Nutrient data are odd. How is it possible a ten-fold increase in phosphate and silicate concentrations in winter accompanied by a ten-fold decrease in nitrate plus nitrite concentration? This should be clarified in the discussion (see below).

Response: we deleted the nutrient data about phosphate and nitrite plus nitrate.

Why is the correlation between microzooplankton grazing rate and N or P interesting? In which way nutrients may affect microzooplankton grazing? I would only show it if some explanation would be provided in the discussion.

Response: we deleted the nutrient data about N and P.

P. 10, line 15: Give the r^2 for the relationship between μ and m in winter.

Response: Following the comments, the r^2 was given.

Discussion

The authors explain on P. 11, lines 3-5, that “Our measures to mimic the in situ light and temperature during incubation exclude light and temperature from the factors for the substantially negative μ ”. Were those measurements made in this study? If those measurements were conducted in a previous study the authors should complete the sentence and include the reference.

Response: Yes, those measurements, including cooling the deck incubator by running surface seawater to simulate in situ temperature, and covering the incubator with neutral-density screens to simulate in situ light regime were conducted in the present study.

Section 4.1.1: The authors give several and interesting explanations for the negative phytoplankton growth rates observed at KJ53.

Response: Thanks for you positive comments.

One of them is related with the silicate concentration. However, considering that the silicate concentration was higher than 3 μM , I think that it is unlikely that silicates were associated with the negative phytoplankton growth rate. I would remove it.

Response: What we wanted to say is that the low silicate may be related to a phytoplankton community decay, and thus the negative phytoplankton growth rate. We rewrote the sentence as “The lowest silicate concentration at KJ53 may be related to the phytoplankton community decay and the negative μ ”

Section 4.1.2: The authors suggest that “Microzooplankton may reach a maximum ingestion rate at high food concentration, and the maximum ingestion rate may remain constant despite further increase in prey abundance, which is often used to

explain the occurrence of saturated feeding responses in dilution experiments (Gallegos, 1989; Moigis, 2006; Teixeira and Figueiras, 2009), and could explain those in our experiments”. This explanation is very unlikely taking into account the very low Chla concentration observed in the area of study, as Lessard and Murrell 1998 suggested for the Sargasso Sea. The cited articles (Gallegos, 1989; Moigis, 2006; Teixeira and Figueiras, 2009) describe a situation observed in eutrophic waters, but not in oligotrophic waters. I would delete those lines or at least I would indicate that the explanation was proposed for eutrophic ecosystems.

Response: we rewrote the sentence and indicated that the explanation was proposed for eutrophic ecosystems.

On the other hand, considering the low Chla concentration observed in the area of study, a potential explanation for the saturated-increased responses could be the one suggested in Lessard and Murrell (1998) based on Gifford (1988) and Gallegos (1989): “If the ambient phytoplankton density is at or near the threshold level where a reduction or cessation of feeding occurs, then further dilution will not result in an increase in net growth rate. This situation would manifest itself as a flat (non-significant slope) dilution curve. If ambient phytoplankton density is above a threshold level but is diluted below it, the dilution curve would flatten at the highest dilutions (Gifford 1988, Gallegos 1989)”.

Lessard, E. J., & Murrell, M. C. (1998). Microzooplankton herbivory and phytoplankton growth in the northwestern Sargasso Sea. *Aquatic Microbial Ecology*, 16(2), 173-188.

Response: Thanks for the suggestion. We agree that the grazing threshold theory can explain flatten dilution curve at the highest dilutions. However, we can't agree that the theory could explain the phenomena of higher net growth in the least dilutions, i.e. the saturated-increased responses.

The sections 4.1.3 and 4.2 might be shortened. Although one of the purposes of discussion is to put the obtained results in context, I found them too long and descriptive.

Response: we would like to keep them to facilitate the reader's better understanding of the current status of the study on phytoplankton growth and microzooplankton grazing, and their seasonal variations in low latitude tropical waters.

The higher correlation between μ and m for the large phytoplankton size fraction is an interesting result, but I think it is not discussed.

Response: Thanks for you positive comments. Our results showed that the correlation between μ and m for the large phytoplankton size fraction was significant, while that for the smaller pico-phytoplankton size fraction was not.

We indirectly discussed this in Section 4.5 “*Decoupling between phytoplankton growth and microzooplankton grazing influenced by the winter monsoon*”. As positive correlation between μ and m indicates close coupling between phytoplankton growth and microzooplankton grazing, we argued that nutrient supply change and decreased SSS related to the northeast monsoon and the large rainfall in winter could break the coupling between μ and m for the small size fraction, but not that for the large phytoplankton size fraction. We conjecture that the decreased SSS could impact the growth of pico-phytoplankton such as *Prochlorococcus*, in the initial version in BGD, however, we do not have enough data to support the idea.

The authors suggest that the slight temperature variation between seasons could not account for the seasonal differences in μ and m . I agree with them. However, the next lines (P. 14, line 25- P. 15, line 1) talking about the temperature effects in the Arctic Ocean are from my point of view out of context; they could be deleted.

Response: Following the comments, we deleted them.

P. 15, line 7: Change “divers” to “drivers”.

Response: Corrected.

P. 15, line 21- P. 16, line 3: The authors describe a decrease in the SSS associated with the rainfall. Despite SSS is shown in tables 1 and 2, graphs showing the vertical profiles would be more informative and would bring consistency to the discussion.

Response: Following the comment. We added a new figure (Figure 2) in the revised latest version to illustrate the vertical profiles of salinity, the result indeed bring consistency to the discussion.

P. 16, lines 14-21: In my opinion the decrease in salinity reported in the present study cannot affect mesozooplankton in the magnitude required to promote the cascading effects mentioned by the authors. The cited articles (Grindley 1964, Zhou et al., 2015b) describe this effect in estuarine and river plumes, where the salinity gradient is more marked. Therefore, the authors should indicate that this salinity effect on mesozooplankton was observed in estuarine waters, but not in the open ocean, unless they could provide any reference. Nevertheless, I recommend removing this section.

Response: we would like to keep this speculation as possible explanation. Following the comments, we rewrote the sentences to indicate that the salinity effect was observed in estuarine and inshore waters.

Section 4.4: Why P increases whereas N decreases in winter? This is the key issue

that the authors should clarify. The discussion is not convincing and fails to address the question. It is easy to understand that vertical mixing increases nutrient concentrations and that stratification promotes nutrient depletion. However, how can vertical mixing increase the P concentration while a tenfold decrease in the N concentration occurs simultaneously? Or, how can the stratification promoted by the differences in salinity be associated with low N and high P concentrations? Why phytoplankton deplete N but not P? Could vertical mixing be important enough to promote the increase in P and Si concentrations taking into account the strong thermocline that possibly exist? (Again, vertical profiles would help to analyze and understand how the system works). Could those high P and Si concentrations be associated with river discharge in winter? On the other hand, the hypothesis about the role of nitrogen fixation could explain an anomalously high N concentration respect to the P concentration in summer, but not the observed seasonal pattern. Have this seasonal pattern been observed in the area of study before? And in other tropical, subtropical or temperate areas? The plotting of vertical profiles with nutrient data would give support to the discussion.

Response: we deleted all the nutrients data about N and P, and all the Section 4.4. References cited in the deleted content were also removed from the reference list.

P. 18, line 8: Add “growth” after phytoplankton.

Response: Corrected.

P. 18, lines 13-16: The authors indicate that “...the comings of strong northeast monsoon supply nutrients from deep water to the surface by enhancing vertical mixing. This episodic input of nutrients could break the coupling between phytoplankton and microzooplankton by stimulating μ overwhelming corresponding m (Irigoien et al., 2005)”. However, nutrients did not limit the phytoplankton growth during summer, as it is showed by the μ/μ_n ratios, and μ was higher in summer. I would indicate that the input of nutrients could stimulate the growth of phytoplankton groups which are rare in summer, changing the phytoplankton community composition and breaking the coupling between μ and m (especially if there were any article reporting the change in phytoplankton community composition).

Response: We rewrote the sentence as “This episodic input of nutrients could break the coupling between phytoplankton and microzooplankton by stimulating μ overwhelming corresponding m and/or changing the phytoplankton community composition (Irigoien et al., 2005)”

P. 19, line 1: Delete one point.

Response: Corrected.

Tables and figures

Table 5: What measurement of variability is shown in the table? Indicate it.

Response: Corrected.

Figure 1: What does the oval drawn with dashed line show? Indicate it in the figure caption. Why the NanSha islands, which are colored in grey in the global map, are not colored in the detailed map?

Response: The dashed circle shows the schematic area of the Nansha Islands.

Following the comment, we indicate it in the figure caption.

The Nansha Islands are not colored because our map has a limited resolution in altitude.

Correction List

Page 1: the affiliations of the authors are revised.

Lines 12-13 Page 2: Change “*The μ and m were significantly correlated with salinity and dissolved inorganic nutrients, which indicated that salient seasonal variations...*” to “*The μ and m were significantly correlated with seawater salinity and temperature, and phytoplankton biomass, which indicated that salient seasonal variations...*”

Lines 13-15, Page 4: a new sentence is added after the sentence.

Lines 15-19, Page 4: change “*Mesoscale eddies with obvious seasonal variation ...occur during the prevailing periods of the monsoons and wintertime in the SSCS*” to “*Mesoscale eddies with obvious seasonal variation ...during the prevailing periods of the monsoons and wintertime occur in the SSCS*”

Lines 19-20, Page 4: change the sentence as “*There are hundreds of coral shoals, reefs and islands called the Nansha Islands located in this area, making the SSCS be worthy of a component of the Archipelagic Deep Basins Province (ARCH) defined by Longhurst (2007)*”

Lines 13-17, Page 6: the paragraph is revised.

Lines 26-28, Page 6: the sentence is revised.

Lines 6-7, Page 7: the sentence is deleted.

Lines 23-24, Page 7: delete “, and the concentration of nitrate plus nitrite”.

Lines 25-26, Page 7: change “*while the concentration of silicate was significantly higher in the winter cruise (independent t-test, $p < 0.05$) than that in the summer cruise*” to “*while the concentration of silicate was significantly higher in the winter cruise (independent t-test, $p < 0.05$) than that in the summer cruise*”, and a new sentence is added.

Lines 26-27, Page 7 to Lines 1-2, Page 8: delete the sentence.

Lines 9-11, Page 8: replace “Fig. 2” as “Fig. 3”.

Line 9, Page 9: replace “Fig. 3” as “Fig. 4”.

Line 22, Page 9: delete “as well as the net growth rate (μ -m, data not shown),”

Lines 6-7, Page 10: delete “phosphate ($r = -0.57$, $p < 0.01$) and”

Lines 7-10, Page 10: rewrite the sentence as “*The m were also positively correlated with SST ($r = 0.62$, $p < 0.01$) and SSS ($r = 0.48$, $p < 0.05$), but was negatively correlated with Chla ($r = -0.66$, $p < 0.01$) (Table 4).*”

Lines 13-17, Page 10: replace “Fig. 4” as “ Fig. 5”.

Line 23, Page 10: replace “Fig. 2” as “Fig. 3”.

Line 10, Page 11: rewrite the sentence as “*The lowest silicate concentration at KJ53 may be related to the phytoplankton community decay and the negative μ* ”

Line 3, Page 12: insert “for eutrophic ecosystems”

Lines 2-5, Page 15: delete the two sentences.

Line 7, Page 15 : replace “ divers” as “ drivers”

Line 9, Page 15: delete “ and phosphate”

Line 11, Page 15: delete “, phosphate”.

Line 25, Page 15: replace “Fig. 5” as “Fig. 6”

Line 3, Page 16: add “ (Fig. 2)” at the end of the sentence.

Line 5, Page 16: delete “ such as nitrogen”.

Lines 10-11, Page 16: delete “extremely lower concentration of nitrate plus nitrite and”.

Line 12, Page 16: replace “were” as “ was”.

Lines 14-17, Page 16: the sentence as revised.

Line 27, Page 16 to Line 3, Page 18: the whole section is deleted.

Line 4, Page 18: replace “4.5” by “ 4.4”

Line 8, Page 18: insert “growth” after “phytoplankton”.

Line 16, Page 18: insert “ and/or changing the phytoplankton community composition” after “m”.

Line 21, Page 18: replace “4.6” by “4.5”.

Line 1, Page 19: delete one dot.

Line 9, Page 19: replace “4.7” by “4.6”.

Line 24, Page 19: replace “Fig. 3” by “Fig. 4”.

Line 26, Page 20: replace “Figure 5” by “Figure 6”.

Line 5-9, Page 21: the content is rewritten.

Lines 17-19, Page 22: deleted.

Lines 23-25, Page 23: deleted.

Lines 34-39, Page 23: deleted.

Lines 7-8, Page 24: deleted.

Lines 13-15, Page 24: deleted.

Lines 24-25, Page 25: insert a new reference after the reference.

Lines 35-36, Page 25: deleted.

Lines 25-28, Page 26: deleted.

Lines 9-11, 19-21, Page 27: deleted.

Page 31: revised.

Page 32: revised.

Page 33: revised.

Page 34: the figure caption is revised. And a new figure showing the vertical profiles of environmental variables is added after.

Page 35: replace “Figure 2” by “Figure 3”

Page 36: replace “Figure 3” by “Figure 4”

Page 37: replace “Figure 4” by “Figure 5”

Page 38: replace “Figure 5” by “Figure 6”.

A marked-up manuscript version

1 **Seasonal and size-dependent variations in the phytoplankton**
2 **growth and microzooplankton grazing in the southern South China**
3 **Sea under the influence of the East Asian monsoon**

4

5 | Linbin Zhou^{1,2}, Yehui Tan^{1,2*}, Liangmin Huang^{1,2}, Zifeng Hu^{1,2,3}, Zhixin Ke^{1,2}

6 | [1] CAS Key Laboratory of Tropical Marine Bio-resources and Ecology, South China Sea Institute of
7 | Oceanology, Chinese Academy of Sciences, Guangzhou 510301, China

8 | [\[2\] Guangdong Provincial Key Laboratory of Applied Marine Biology, Guangzhou 510301, China](#)

9 | [\[2,3\] University of Chinese Academy of Sciences, Beijing 100049, China](#)

10 |

11 | *Correspondence to: Yehui Tan (tanyh@scsio.ac.cn)

12

1 **Abstract**

2 To examine seasonal and size-dependent variations in the phytoplankton growth and
3 microzooplankton grazing in oligotrophic tropical waters under the influence of seasonal
4 reversing monsoon, dilution experiments were conducted during the summer 2009 (21 May to
5 9 June) and winter 2010 (9 to 18 November) in the southern South China Sea (SSCS). The
6 results showed that environmental variables, phytoplankton biomass, phytoplankton growth
7 rate (μ), microzooplankton grazing rate (m), and relationship (coupling) between the μ and
8 m , rather than the microzooplankton grazing impact on phytoplankton (m/μ) significantly
9 varied between the two seasons. Higher relative preference index (RPI) for and m on the
10 larger-sized ($> 3 \mu\text{m}$) phytoplankton than pico-phytoplankton ($< 3 \mu\text{m}$), indicating significant
11 size-selective grazing by microzooplankton on the larger-sized phytoplankton, were also
12 observed. The μ and m were significantly correlated with seawater salinity and dissolved
13 inorganic nutrients, temperature, and phytoplankton biomass, which indicated that salient
14 seasonal variations in the phytoplankton growth and microzooplankton grazing in the SSCS
15 were closely related to the environmental variables under the influence of the East Asian
16 monsoon. We propose that intermittent arrivals of the northeast winter monsoon could lead to
17 the low μ and m , and the decoupling between the μ and m in the SSCS, through influencing
18 nutrient supply to the surface water, and inducing surface seawater salinity decrease. The low
19 m/μ ($< 50\%$ on average) indicates low remineralization of organic matter mediated by
20 microzooplankton and mismatch between the μ and m , and thus probably accounts for part of
21 the high vertical biogenic particle fluxes in the prevailing periods of the monsoons in the
22 SSCS. The size-selective grazing suggests that microzooplankton grazing contributes to the
23 pico-phytoplankton dominance in the oligotrophic tropical waters such as that of the SSCS.

24

25 **Key words:** Phytoplankton growth; Microzooplankton grazing; Seasonal variation;

26 Size-selective; Southern South China Sea; East Asian monsoon

27

1 Introduction

Phytoplankton growth and microzooplankton grazing are crucial processes relating to ocean biogeochemistry and energy flow in the pelagic food web. Phytoplankton provide almost all the primary production in the upper ocean. Microzooplankton ($< 200 \mu\text{m}$) consumes most of the daily primary production in the sea, and regulates phytoplankton community composition, and affects the ultimate fate of the phytoplankton-derived primary production (Banse, 2007, 2013; Landry and Calbet, 2004; Schmoker et al., 2013).

The dilution technique introduced by Landry and Hassett (1982) has extensively been used to estimate phytoplankton growth and synchronous microzooplankton grazing rates in varied marine ecosystems, although later modification and increasing use has also brought scrutiny and critiques (Dolan and Mckeon, 2004; Gallegos, 1989; Gutiérrez-Rodríguez et al., 2009; Teixeira and Figueiras, 2009). Based on global data collection, Schomoker et al. (2013) pointed out that seasonality of environmental variables and phytoplankton community induces seasonal variations in the phytoplankton growth and microzooplankton grazing in polar and sub-polar, temperate regions, and tropical waters with salient seasonal reversing monsoon. However, there are few studies investigating both the phytoplankton growth and microzooplankton grazing in tropical oceans (Caron and Dennett, 1999; Chen et al., 2013; Landry et al., 1995, 1998, 2011), and the seasonality of phytoplankton growth and microzooplankton grazing in tropical waters influenced by salient seasonal reversing monsoon is still less known.

Microzooplankton may modify their food preference depending on the morphology, abundance and quality of prey, which would cause phytoplankton community shift in species and/or size composition (Teixeira and Figueiras, 2009). Phytoplankton community in oligotrophic subtropical and tropical waters is usually dominated by small-sized pico-cells ($< 3 \mu\text{m}$); the pico-phytoplankton consists of three major groups including *Prochlorococcus*, *Synechococcus* and diverse types of pico-eukaryotic phytoplankton (Zubkov et al., 2000). Microzooplankton including both autotrophic and heterotrophic nanoflagellates can actively consume pico-sized prey (An-Yi et al., 2009; Christaki et al., 2005; Frias-Lopez et al., 2009).

1 Landry et al. (1998) proposed that the balance between microzooplankton grazing and
2 phytoplankton growth was consistent with the dominance of pico-plankton in oligotrophic
3 offshore regions in the Arabian Sea. Significantly higher microzooplankton grazing rates on
4 the large-sized phytoplankton ($> 5 \mu\text{m}$) than the smaller one ($< 5 \mu\text{m}$) have been recently
5 reported in the oligotrophic subtropical Northeast Atlantic (Cáceres et al., 2013). Our recent
6 results suggest that size-selective grazing by microzooplankton on nano-sized (3–20 μm)
7 phytoplankton contributes to the pico-phytoplankton dominance in the oligotrophic tropical
8 waters of the South China Sea (SCS) in summer (Zhou et al., 2015a). However, until now, the
9 role played by microzooplankton in the pico-phytoplankton dominance in oligotrophic
10 tropical waters is still less examined.

11 The southern SCS (SSCS) is characterized with permanent water stratification and
12 oligotrophic conditions in the upper layer, and is affected by seasonal reversing monsoon.
13 During the middle of May to September, the SSCS is under the influence of the southwest
14 summer monsoon, while this area is influenced by the stronger northeast winter monsoon
15 during November to the next April (Su, 2004). Seasonal variation of seawater salinity and
16 temperature, vertical nutrient flux and mixed-layer depth driven by the East Asian Monsoon
17 have been reported (Fang et al., 2002; Liu et al., 2002; Longhurst 2007; Ning et al., 2004).
18 Mesoscale eddies with obvious seasonal variation (Fang et al., 2002; Fang et al., 1998; Zhang
19 et al., 2014), and seasonal pattern of higher phytoplankton biomass, primary production and
20 vertical biogenic particle fluxes ~~occur~~ during the prevailing periods of the monsoons and
21 wintertime occur in the SSCS (Liu et al., 2002; Ning et al., 2004; Wan et al., 2010). There are
22 hundreds of coral shoals, reefs and islands called the Nansha Islands located in this area, e
23 SSCS, making the SSCS be worthy of a component of the Archipelagic Deep Basins Province
24 (ARCH) defined by Longhurst (2007), ~~called the Nansha Islands~~. Many researchers including
25 Qingchao Chen, Liangmin Huang and their co-workers, from the South China Sea Institute of
26 Oceanology, Chinese Academy of Sciences, have previously investigated the environment
27 variables, marine species diversity and ecological processes in the coral reef lagoons of the
28 Nansha Islands and its adjacent waters during the 1980s to 1990s (e.g. Chen and
29 Mutidisciplinary Expedition to Nansha Islands, 1991, 1989, 1994, 1996, 1998; Huang and

1 Multidisciplinary Expedition to Nansha Islands, 1997). Their works provide valuable
2 contributions to the understanding of the taxonomic composition and distribution of
3 phytoplankton, bacteria, zooplankton and fish, and ecological processes such as primary
4 production in the SSCS. However, these results are seldom published in international media
5 even scientific journals in Chinese, and thus less known to the scientific community. So far,
6 there is no data reported on the microzooplankton grazing in this region. We hypothesize that
7 seasonal changes in both the phytoplankton growth and microzooplankton grazing is expected
8 in the tropical waters influenced by salient seasonal reversing monsoon, and
9 microzooplankton contributes to the phytoplankton size composition through size-selective
10 grazing in the SSCS.

11 To test the hypotheses, we conducted a series of dilution experiments in adjacent waters of the
12 Nansha Islands in the SSCS during two cruises in May to June 2009 and November 2010.
13 Significant seasonal variations in the phytoplankton growth and microzooplankton grazing
14 and apparently size-selective grazing were observed.

15

16 **2 Material and methods**

17 Dilution experiments (Landry and Hassett, 1982) were conducted at 14 stations during 21
18 May to 9 June 2009 (summer), and at 10 stations during 9 November to 18 November 2010
19 (winter). Stations KJ28 and KJ32 were located at the basin waters northwest to the Nansha
20 Islands; station YS was located at the lagoon of the Yongshu Reef, the other stations were
21 distributed in waters around the Nansha Islands (Fig. 1).

22 Surface seawater was collected, and pre-screened with a 200- μm nylon netting for dilution
23 experiments at each station. Particle-free seawater was obtained by filtering the seawater
24 through a filter with a pore size of 0.22 μm . All the bottles, containers and filters were soaked
25 in 10% HCl for more than 10 h, and thoroughly washed with deionized water and
26 MiliQ-water before each cruise. The bottles and containers were washed with 10% HCl,
27 deionized water and ambient seawater before each experiment. Measured amount of
28 particle-free seawater was firstly added to the 2.4-L polycarbonate bottles, and unfiltered

1 seawater was added and filled the bottles. Four dilution treatments of 25, 50, 75 and 100%
2 unfiltered seawater were prepared for the summer experiments, and another four dilution
3 treatments of 37.5, 58.3, 79.2 and 100% unfiltered seawater were prepared for the winter
4 experiments. All the bottles were enriched with additional nutrients (final concentrations of
5 0.5 μM NH_4Cl , 0.03 μM KH_2PO_4 , 1.0 nM FeSO_4 and 0.1 nM MnCl_2) to promote constant
6 phytoplankton growth. Two bottles were filled with unfiltered seawater without nutrient
7 enrichment served as no nutrient controls. Another two bottles filled with unfiltered seawater
8 were sacrificed for initial samples of chlorophyll *a* (Chla). All of the bottles were incubated
9 for 24 h in a deck incubator cooled by running surface seawater and covered with
10 neutral-density screens to simulate in situ light regime. These measures have been proved
11 effective to avoid phytoplankton photoacclimation during the incubation (Zhou et al., 2015a)

12 Seawater was filtered through a sequence of 3- μm pore size polycarbonate filter and GF/F
13 filter for size-fractionated Chla of the larger-sized ($> 3 \mu\text{m}$) and pico- ($< 3 \mu\text{m}$) phytoplankton.
14 Total Chla was calculated as the sum of the two size fractions or directly sampled by filtering
15 0.5 to 1 L seawater on the GF/F filter. The filters were extracted in 90% acetone at -20°C for
16 24 h. The Chla concentration was measured by fluorometry using a Turner Designs Model 10
17 Fluorometer (Parsons et al., 1984).

18 Seawater temperature, salinity and ~~dissolved inorganic nutrients~~ silicate concentration were
19 also measured. Temperature and salinity were determined by
20 Conductivity-Temperature-Depth probes. ~~Dissolved inorganic nutrients such as~~
21 silicate ~~Silicate in seawater, phosphate and nitrate plus nitrite were was~~ seawater was analyzed
22 with a flow-injection autoanalyzer (Quickchem 8500, Lachat Instruments) following the
23 standard manuals.

24 Assuming an exponential growth model, the net growth rate (k_d) of phytoplankton in a
25 dilution treatment was calculated according to the formula $k_d = \ln(P_t/dP_0)$, where d is the
26 dilution factor (the proportion of unfiltered seawater), P_t is the Chla concentration after
27 incubation, P_0 is the initial Chla concentration. Phytoplankton growth rates with nutrient
28 amendment (μ_n) and microzooplankton grazing rates (m) were estimated from Model I linear

1 regressions of net growth rate (k) vs dilution factor (d). In situ phytoplankton instantaneous
2 growth rates (μ) were calculated as the sum of m and net growth rate in control bottles
3 without added nutrients.

4 When saturated or saturated-increasing grazing was observed as a departure from the assumed
5 linear model (Gallegos, 1989; Teixeira and Figueiras, 2009) by visual inspection of the plots,
6 m and μ were calculated on the basis of the method of Chen et al.(2009a).

7 Size-fractionated ($> 3 \mu\text{m}$ and $< 3 \mu\text{m}$) phytoplankton growth and mortality rates were
8 estimated for experiments at YS and KJ35 during the summer cruise, and all the experiments
9 during the winter cruise.

10 Nutrient limitation index indicating nutrient sufficiency for phytoplankton growth was
11 assessed by the ratio μ/μ_n , which is expected to vary with system trophic state (Landry et al.,
12 1998). ~~Net growth rate was also used as a proxy for the actual trophic state of the system~~
13 ~~being investigated (Calbet et al., 2011)~~. The microzooplankton grazing impact on
14 phytoplankton was assessed by the ratio of microzooplankton grazing to phytoplankton
15 growth rates (m/μ), and measures the extent to which the daily phytoplankton production is
16 consumed and balanced by microzooplankton grazing (Landry et al., 1998).

17 Grazing selectivity for size-fractionated phytoplankton was analyzed following the relative
18 preference index (RPI) provided by Obayashi and Tanoue (2002) as $\text{RPI} = \frac{[mchla_i]/\sum[mchla_i]}{[chla_i]/\sum[chla_i]}$,
19 where $[mchla]$ is the amount of daily grazed Chla ($\mu\text{g L}^{-1}\text{d}^{-1}$), subscript i refers to each size
20 fraction analyzed and $[chla]$ is the concentration of Chla ($\mu\text{g L}^{-1}$). RPI > 1 indicates positive
21 selection and vice versa.

22 All the statistical analyses were conducted by using the SPSS 17.0. The average value of the
23 ratio variables such as m/μ was presented as the geometric mean, while other average values
24 were presented as the arithmetic mean.

25

26 **3 Results**

27 **3.1 Environmental variables and Chla**

1 Environmental variables and Chla in surface seawater were different between the two cruises
2 (Table 1 and Table 2). Surface seawater temperature (SST) and salinity (SSS), ~~and the~~
3 ~~concentration of nitrate plus nitrite~~ were significantly lower (independent t-test, $p < 0.05$ or
4 0.01), while the concentrations of silicate ~~and phosphate werewas~~ significantly higher in the
5 winter cruise (independent t-test, $p < 0.05$ ~~or 0.01~~) than ~~those that~~ in the summer cruise.
6 Vertical profiles of these variables also demonstrated the significant seasonal variations (Fig.
7 2). ~~The concentration of phosphate (mean \pm sd: $0.04 \pm 0.03 \mu\text{mol L}^{-1}$) during the summer~~
8 ~~cruise and that of nitrate plus nitrite (mean \pm sd: $0.04 \pm 0.02 \mu\text{mol L}^{-1}$) during the winter~~
9 ~~cruise were almost undetectable.~~ The concentration of Chla in the winter cruise (mean \pm sd:
10 $0.104 \pm 0.024 \mu\text{g L}^{-1}$) was about two times that in the summer cruise (mean \pm sd: $0.066 \pm$
11 $0.022 \mu\text{g L}^{-1}$) (independent t-test, $p < 0.01$). Pico-phytoplankton ($< 3 \mu\text{m}$) accounted for most
12 ($> 80\%$) of the total Chla during both the cruises. The proportion of pico-phytoplankton in the
13 winter cruise (mean \pm sd: $81.9\% \pm 5.0\%$) was similar to that in the summer cruise (mean \pm sd:
14 $83.0\% \pm 1.8\%$) (independent t-test, $p > 0.1$).

15 **3.2 Feeding responses in dilution experiments**

16 The detailed results of each dilution experiment were listed in Table 1 and Table 2. Except for
17 linear feeding responses, both the saturated and saturated-increased feeding responses
18 described by Teixeira and Figueiras (2009) occurred in the dilution experiments during both
19 the cruises (Fig. 2Fig. 3a-c). Non-linear feeding responses occurred at eight of the 14
20 experiments during the summer cruise, while those occurred at four of the 10 experiments
21 during the winter cruise (Table 1 and Table 2). During the winter cruise, substantially
22 negative phytoplankton growth rates (μ) and high microzooplankton grazing rates (m) were
23 observed at KJ53 (Fig. 2Fig. 3d-f). The negative μ obtained at KJ53 and the derivative
24 parameters (e.g. m/μ) were not included for the comparison between seasons and size
25 fractions.

26 **3.3 Comparison of the phytoplankton growth and microzooplankton grazing** 27 **between the two seasons**

1 The phytoplankton growth and microzooplankton grazing were significantly different
2 between the two cruises. The μ in the winter cruise (mean \pm sd: $0.54 \pm 0.22 \text{ d}^{-1}$) were
3 significantly lower than those in the summer cruise (mean \pm sd: $0.92 \pm 0.32 \text{ d}^{-1}$) (independent
4 t-test, $p < 0.01$). The m in the winter cruise (mean \pm sd: $0.27 \pm 0.13 \text{ d}^{-1}$) were also
5 significantly lower than those in the summer cruise (mean \pm sd: $0.46 \pm 0.20 \text{ d}^{-1}$) (independent
6 t-test, $p < 0.01$). However, the m/μ ratio was almost equally low both in the summer
7 (geometric mean \pm sd: $49\% \pm 23\%$) and winter (geometric mean \pm sd: $48\% \pm 33\%$) cruises.

8 **3.4 Size-dependent phytoplankton growth and microzooplankton grazing**

9 The μ and m varied between size fractions. Higher m on the larger size fraction were observed
10 at YS (0.65 d^{-1} for the size fraction $> 3 \mu\text{m}$ vs 0.33 d^{-1} for the size fraction $< 3 \mu\text{m}$) and KJ35
11 (0.39 d^{-1} for the size fraction $> 3 \mu\text{m}$ vs 0.30 d^{-1} for the size fraction $< 3 \mu\text{m}$) during the
12 summer cruise. The μ of the larger-sized ($> 3 \mu\text{m}$) and pico- ($< 3 \mu\text{m}$) phytoplankton were
13 1.07 d^{-1} and 0.75 d^{-1} at YS, and 0.69 d^{-1} and 0.90 d^{-1} at KJ35, respectively. During the winter
14 cruise, the m on the larger size fraction (mean \pm sd: $0.50 \pm 0.41 \text{ d}^{-1}$) were higher than those on
15 pico-phytoplankton (mean \pm sd: $0.27 \pm 0.27 \text{ d}^{-1}$) at seven of the 10 experiments, and the μ of
16 the larger size fraction (mean \pm sd: $0.88 \pm 0.38 \text{ d}^{-1}$) were also higher than those of
17 pico-phytoplankton (mean \pm sd: $0.42 \pm 0.31 \text{ d}^{-1}$) at seven of the nine experiments (exclude
18 data at KJ53) (Table 3). The m/μ was higher for the larger size fraction (57.3% at KJ35 and
19 61.2% at YS) than pico-phytoplankton (33.3% at KJ35 and 44.0% at YS) during the summer
20 cruise, while the m/μ was not significantly different between the two size fractions ($41.1\% \pm$
21 82.8% for the size fraction $> 3 \mu\text{m}$, and $39.4\% \pm 21.1\%$ for the size fraction $< 3 \mu\text{m}$) during
22 the winter cruise (Table 3).

23 Higher RPI for the larger-sized phytoplankton than pico-phytoplankton was observed during
24 both the cruises (Fig. 3 Fig. 4). The RPI for the larger-sized phytoplankton was higher than
25 one (geomean \pm sd: 1.44 ± 0.31), while the RPI for pico-phytoplankton was lower than one
26 (geomean \pm sd: 0.90 ± 0.07) during the summer cruise. The RPI was significantly
27 (Mann-Whitney test, $p < 0.05$) higher for the larger-sized phytoplankton (geomean \pm sd: 1.44
28 ± 1.57) than pico-phytoplankton (geomean \pm sd: 0.61 ± 0.35) during the winter cruise.

1 3.5 Nutrient limitation to the phytoplankton growth

2 Nutrient limitation index (μ/μ_n), ~~as well as the net growth rate (μ , m , data not shown)~~, was
3 significantly higher (Mann-Whitney test, $p < 0.05$) during the summer cruise (geometric mean
4 \pm sd: 0.80 ± 0.25) than the winter cruise (geometric mean \pm sd: 0.54 ± 0.30). The μ/μ_n was
5 approximate to or larger than one at five of the 14 experiments in the summer. In contrast, the
6 μ/μ_n was apparently lower than one at eight of the nine experiments (excluding data at KJ53)
7 in the winter (Table 1 and Table 2).

8 3.6 Correlations between the growth and grazing rates with environmental 9 variables

10 Taking all the data from the two cruises together, the average μ and m were $0.77 \pm 0.34 \text{ d}^{-1}$
11 and $0.39 \pm 0.20 \text{ d}^{-1}$. The μ were positively correlated with SST ($r = 0.43$, $p < 0.05$), SSS ($r =$
12 0.55 , $p < 0.01$), μ/μ_n ($r = 0.50$, $p < 0.05$), net phytoplankton growth rate ($r = 0.83$, $p < 0.01$)
13 and m ($r = 0.76$, $p < 0.01$), but were negatively correlated with ~~phosphate ($r = -0.57$, $p < 0.01$)~~
14 ~~and~~ Chla ($r = -0.65$, $p < 0.01$) (Table 4). The m were also positively correlated with SST ($r =$
15 0.62 , $p < 0.01$) ~~and~~, SSS ($r = 0.48$, $p < 0.05$) ~~and nitrate plus nitrite ($r = -0.54$, $p < 0.01$)~~, ~~and~~
16 ~~but~~ was negatively correlated with Chla ($r = -0.66$, $p < 0.01$) ~~and phosphate ($r = -0.54$, $p <$~~
17 ~~0.01)~~ (Table 4).

18 3.7 Correlations between the phytoplankton growth and microzooplankton 19 grazing

20 The positive correlation ($r^2 = 0.57$, $p < 0.01$) between μ and m was observed during the
21 summer cruise (~~Fig. 4~~[Fig. 5a](#)). However, there was no significant correlation between the total
22 μ and m during the winter cruise (~~$r^2 = 0.12$, $p > 0.1$~~). By grouping μ and m separately for each
23 size fraction, it is found that the correlation was significant for the larger size fraction ($r^2 =$
24 0.84 , $p < 0.05$) but not for the pico-phytoplankton ($r^2 = 0.41$, $p = 0.07$) (~~Fig. 4~~[Fig. 5b](#)).

25

26 4 Discussion

27 4.1 Comparisons with other studies

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1 All the μ (except those obtained at KJ53 during the winter cruise) and m were within the
2 reviewed ranges based on global data collection (Calbet and Landry, 2004; Schmoker et al.,
3 2013). Substantive m but negative μ were observed at KJ53 (Fig. 2Fig. 3d–f), the only station
4 located on the continental shelf with a bottom depth less than 200 m (Fig. 1).

5 **4.1.1 Negative phytoplankton growth rates**

6 Negative μ have been extensively reported in previous dilution experiments (e.g. Burkill et al.,
7 1987; Loebl and Beusekon, 2008; Suzuki et al., 2002; Zhou et al., 2011). Zhou et al. (2013)
8 reviewed that temperature and light regime during incubation, insufficient sampling,
9 contamination of particle-free water and the added nutrients, and decay of phytoplankton
10 blooms could be the possible reasons for the negative μ in dilution experiments. Our measures
11 to mimic the in situ light and temperature during incubation exclude light and temperature
12 from the factors for the substantially negative μ . Insufficient sampling also unlikely
13 contributed to the negative μ since sufficient mixing was conducted before Chla sampling.
14 The substantive m could exclude contamination as the reason for the negative rates, because
15 contamination could not only cause phytoplankton death, but also less microzooplankton
16 grazing. We conjecture that phytoplankton community decay may occur and lead to the
17 negative μ at KJ53. The lowest silicate concentration at KJ53 may be related to the
18 phytoplankton community decay and the negative μ . Why the lowest silicate concentration
19 occurred and to what extent the low nutrient condition was related to the negative
20 phytoplankton growth is a topic that needs further assessment.

21 **4.1.2 Non-linear feeding responses**

22 Non-linear feeding responses including both the saturated and saturated-increased types
23 occurred in our dilution experiments. Non-linear responses were usually observed in
24 eutrophic waters with high prey abundance (Elser and Frees, 1995; Gallegos, 1989; Teixeira
25 and Figueiras, 2009). Non-linear feeding responses in dilution experiments conducted in the
26 oligotrophic subtropical Northeast Atlantic in summer have also been reported by Quevedo
27 and Anadón (2001) and Cáceres et al. (2013). However, the authors did not explain the
28 underlying reasons for these phenomena. The oligotrophic conditions and low phytoplankton

1 biomass in their study area were similar to those in the oligotrophic tropical waters of the
2 SSCS. Teixeira and Figueiras (2009) proposed that changes in the specific phytoplankton
3 growth rate due to varied nutrient limitation in experimental bottles, in the mortality rate
4 related to microzooplankton abundance and feeding behavior and even virus infection could
5 be related to the non-linear responses. As additional nutrients were added in the experiments
6 bottles, we do not think that nutrient limitation was the factor causing the non-linear
7 responses in our experiments. Rather we think that the sufficient nutrients added in the
8 experimental bottles led to high phytoplankton abundance in the less diluted bottles.
9 Microzooplankton may reach a maximum ingestion rate at high food concentration, and the
10 maximum ingestion rate may remain constant despite further increase in prey abundance,
11 which is often used to explain the occurrence of saturated feeding responses in dilution
12 experiments [for eutrophic ecosystems](#) (Gallegos, 1989; Moigis, 2006; Teixeira and Figueiras,
13 2009), and could explain those in our experiments. While the occurrence of
14 saturated-increased responses implies that decrease in the ingestion rate should be occurred to
15 the further increase in food availability. There is no concluded explanation for the decrease in
16 the ingestion rate. Teixeira and Figueira (2009) proposed that prey selection by
17 microzooplankton in waters with high and diverse food abundance may account for the
18 decrease. We consider that nutrient amendment in the experimental bottles may give rise to
19 relatively higher phytoplankton (food) abundance, leading to decrease in the ingestion rate
20 and accounting for the occurrence of saturated-increased responses in our experiments.

21 **4.1.3 Phytoplankton growth and microzooplankton grazing rates in low latitude** 22 **tropical waters**

23 There is no study on microzooplankton grazing in the SSCS, especially in waters around the
24 Nansha Islands. If any, Chen et al. (2009b) reported an average μ of $0.75 \pm 0.62 \text{ d}^{-1}$ and an
25 average m of $0.65 \pm 0.51 \text{ d}^{-1}$ in the western SCS northwest to the present study waters in
26 summer. These rates were similar to our results observed during the summer cruise (Table 5).

27 There are few studies on microzooplankton grazing in low latitude tropical waters such as the
28 SSCS. Landry et al. (1995) reported an average μ of $0.83 \pm 0.42 \text{ d}^{-1}$ and m of $0.72 \pm 0.56 \text{ d}^{-1}$

1 in February–March, and an average μ of $0.98 \pm 0.31 \text{ d}^{-1}$ and m of $0.57 \pm 0.17 \text{ d}^{-1}$ in August–
2 September in the central equatorial Pacific Ocean. These results are similar to ours observed
3 during the summer cruise. Landry et al. (2011) reported a low average μ of $0.43 \pm 0.14 \text{ d}^{-1}$ and
4 m of $0.31 \pm 0.11 \text{ d}^{-1}$ in the high-nutrient-low-chlorophyll (HNLC) equatorial Pacific Ocean.
5 These rates are similar to the rates observed during the winter cruise in the present study.
6 Yang et al. (2004) investigated the phytoplankton growth and microzooplankton grazing in
7 the western Pacific waters with latitudes similar to those of the SCS. Their reported μ and m
8 in summer were 0.35 to 0.75 d^{-1} , and 0.51 to 0.67 d^{-1} , which are similar to our results
9 observed during the summer cruise. Landry et al. (1998) showed an average μ of 0.5 d^{-1} and
10 an average m of 0.6 d^{-1} at the oligotrophic stations in the subtropical and tropical Arabian Sea.
11 Caron and Denett (1999) reported the m of $0.35 \pm 0.18 \text{ d}^{-1}$ and $0.30 \pm 0.17 \text{ d}^{-1}$ during the
12 northeast monsoon season and spring intermonsoon season in the Arabian Sea, respectively.
13 The m were similar to the low m ($0.27 \pm 0.13 \text{ d}^{-1}$) observed during the northeast monsoon
14 season in the present study.

15 The growth and grazing rates of pico-phytoplankton estimated in the present study fall into
16 the middle range of those rates of the main pico-phytoplankton groups (*Prochlorococcus*,
17 *Synechococcus* and eukaryotic pico-phytoplankton) reported in previous studies (reviewed
18 data in Table II in Hirose et al., 2008). Based on cell cycle analysis, Yang and Jiao (2002)
19 reported the in situ *Prochlorococcus* growth rate of 0.54 d^{-1} at the chlorophyll maximum layer
20 in the SCS in May. This rate is lower than the pico-phytoplankton growth rate observed (at
21 YS and KJ35) during the summer cruise, but slightly higher than that (0.42 d^{-1} on average)
22 observed during the winter cruise. Lower average growth rate (0.15 d^{-1}) of *Prochlorococcus*
23 in the subtropical and tropical Atlantic has been reported by Zubkov et al. (2000).

24 **4.2 Seasonal variations in phytoplankton growth and microzooplankton grazing**

25 Our results showed pronounced seasonal changes in the phytoplankton growth and
26 microzooplankton grazing, but not the microzooplankton grazing impact on phytoplankton
27 (m/μ), in the SCS. Many studies have found pronounced seasonality in the phytoplankton
28 growth and microzooplankton grazing in temperate and high latitude waters (e.g. Calbet et al.,

1 2008; Kim et al., 2007; Lawrence and Menden-Deuer, 2012; Loebl and Beusekon, 2008).
2 Nevertheless, there are few studies on the seasonality of the microzooplankton grazing in
3 oligotrophic tropical waters. From the perspective of phytoplankton growth, Landry et al.
4 (2011) reported that μ was slightly higher in December than September in the HNLC waters
5 of the equatorial Pacific, but the rate was not significantly different between periods of the
6 southwest monsoon and the early northeast monsoon in the Arabian Sea (Landry et al., 1998);
7 while Caron and Denett (1999) demonstrated that μ was approximately twice as high during
8 the northeast monsoon season than the spring intermonsoon period in the Arabian Sea. Chen
9 et al. (2013) observed that μ in surface water of the northern SCS was significantly higher in
10 the summer than winter. However, the previous studies showed no seasonal variation in
11 microzooplankton grazing in the HNLC waters of the equatorial Pacific (Landry et al., 1995,
12 2011), the Arabian Sea (Caron and Dennett, 1999; Landry et al., 1998) and oligotrophic
13 surface waters of the northern SCS (Chen et al., 2013). Significantly lower m in the summer
14 than winter at the chlorophyll maximum layer in the northern SCS has been reported by Chen
15 et al. (2013). From the data published by Quevedo and Anadón (2001), we calculated that the
16 μ and m were higher in the summer ($0.61 \pm 0.43 \text{ d}^{-1}$ and $0.49 \pm 0.28 \text{ d}^{-1}$) than in the spring
17 ($0.33 \pm 0.27 \text{ d}^{-1}$ and $0.29 \pm 0.18 \text{ d}^{-1}$) in the oligotrophic subtropical Northeast Atlantic. Lower
18 average μ and m for pico-phytoplankton in the winter than summer have been recently
19 reported in the subtropical East China Sea (Guo et al., 2014). These results are consistent with
20 the significant seasonal variations in the phytoplankton growth and microzooplankton grazing
21 in the SSCS in the present study.

22 **4.3 East Asian monsoon influencing seasonal variations in phytoplankton** 23 **growth and microzooplankton grazing by affecting nutrient supply and salinity** 24 **decrease in surface water**

25 Seasonal variations in environmental variables, related to the East Asian monsoon, may
26 directly and /or indirectly influence phytoplankton growth and microzooplankton grazing.
27 Significant seasonal changes in a host of environmental variables including salinity, dissolved
28 inorganic nutrients, and phytoplankton biomass were indeed observed (Table 5). The

1 correlation between μ and m with the environmental variables indicate that seasonal
2 variations in the phytoplankton growth and microzooplankton grazing were related to the
3 contrasting environmental conditions under the influence of the East Asian monsoon (Table
4 4).

5 Many studies have showed increased m during the warmest seasons and reduced m and μ in
6 winter months (e.g. Ara and Hiromi, 2009; Lawrence and Menden-Deuer, 2012). Both the μ
7 and m were positively correlated with temperature in the present study. However, the mean
8 seasonal temperature discrepancy was less than 0.4°C and not significant (independent t-test,
9 $p = 0.055$) (Table 5). We do not think this slightly temperature variation alone could account
10 for the substantial decrease in the μ and m observed in the northeast monsoon season.

11 ~~Substantive m at near freezing temperature in the Arctic Ocean have been reported (Franzè~~
12 ~~and Lavrentyev, 2014; Sherr et al., 2013; Sherr et al., 2009). This suggested that the~~
13 ~~physiological effect of temperature was not important for the m variation in the Arctic Ocean,~~
14 ~~let alone in the tropical waters of the SCS.—~~

15 We think that changes in dissolved inorganic nutrients and other factors associated with SSS
16 may be the main drivers for the variations in the phytoplankton growth and microzooplankton
17 grazing observed in the present study. The significantly higher concentration of dissolved
18 inorganic nutrients such as silicate and phosphate could support the nearly twice as high Chla
19 concentration during the northeast monsoon season than the summer. The higher
20 concentrations of silicate, phosphate and Chla are consistent with previous results of
21 relatively higher dissolved nutrients, phytoplankton biomass and primary production in
22 surface waters of the SCS in winter (Liu et al., 2002; Ning et al., 2004). It is easy to
23 understand that the stronger northeast monsoon could increase nutrient supply to the surface
24 layer by enhancing vertical mixing and basin-scale uplift of nutricline depth (Liu et al., 2002).
25 However, this kind of nutrient supply in the SCS could be episodically influenced by
26 intermittent arrivals of the strong northeast monsoon in the form of strong cold air. Therefore,
27 we infer that nutrient supply to the surface water under the influence of the northeast
28 monsoon may stimulate sporadic enhancement in phytoplankton production and biomass.

1 The arrival of strong northeast monsoon may bring not only nutrient supply to the surface
2 water as a result of enhanced vertical mixing, but also SSS decrease due to heavy frontal
3 rainfall when the cold air meet the warm and wet local air. A strong rainy season is usually
4 developed from October to January in the SCS (Wyrki, 1961). The remote sensing data
5 from the Tropical Rainfall Measuring Mission indeed showed that apparently larger rainfall
6 occurred during the winter than summer (~~Fig. 5~~Fig. 6). The large rainfall could dilute and
7 freshen the surface seawater (as those observed during the winter cruise). In addition, after the
8 passage of the strong cold air, the winds over the SCS are always weak. The low-wind
9 condition could facilitate the less saline surface water overlying on the more saline deep water
10 to form the “freshwater cap” (Zeng et al., 2009). The salinity discrepancy between surface
11 water and that at 25 m in depth was several times higher during the winter cruise (0.99) than
12 the summer cruise (0.17) (Table 1 and Table 2), indicating that a freshwater cap formed
13 during the winter cruise in the SCS (Fig. 2).

14 The freshwater cap could enhance water stratification and block nutrient supply to the surface
15 layer, thus nutrients ~~such as nitrogen~~ in surface water could be depleted by the phytoplankton
16 community, and lead to nutrient limitation to the growth of the phytoplankton community
17 with already increased biomass. The high μ/μ_n (approximate to or higher than one) indicated
18 that phytoplankton growth was only slightly or even not nutrient-limited during the summer
19 cruise (Table 1). Similar results in the oligotrophic subtropical Northeast Atlantic have also
20 been reported (Cáceres et al., 2013; Quevedo and Anadón, 2001). In contrast, ~~extremely lower~~
21 ~~concentration of nitrate plus nitrite and~~ severe nutrient limitation indicated by the lower μ/μ_n
22 (0.54 ± 0.30) ~~were was~~ observed during the winter, which may account for part of the low μ
23 (Table 5).

24 The freshwater cap could also impact the microzooplankton grazing indirectly. First, the
25 formation of freshwater cap ~~may-might~~ inhibit the migration of mesozooplankton (e.g.
26 copepods) into the water with lower salinity (~~Grindley, 1964~~) and change the
27 mesozooplankton composition- in the water column in the studied waters, as similar salinity
28 effects on mesozooplankton have been observed in estuarine and inshore waters-(Grindley,
29 1964; (Zhou et al., 2015b), which can release the mesozooplankton grazing pressure on

1 ciliates, then through trophic cascades increase the ciliate grazing on nanoflagellates (HNF)
2 (Chen et al., 2012), reducing the abundance of HNF the main grazer on pico-phytoplankton
3 (Safi and Hall, 1999), and releasing the grazing pressure on pico-phytoplankton (Klauschies
4 et al., 2012). Second, as discussed above, the impeding effect of freshwater cap on
5 phytoplankton accesses to nutrients could lead to poor food quality of phytoplankton as prey,
6 and thus reduce the grazing activity of microzooplankton. Both the arguments suggest that the
7 SSS decrease could result in low microzooplankton grazing rate on pico-phytoplankton such
8 as that observed in the winter cruise.

9 ~~4.4 Monsoon related vertical mixing and biological activity affecting the~~ 10 ~~contrasting seasonal shifts of nutrients~~

11 ~~Why the seasonal shift of nitrate plus nitrite was different from those of phosphate and silicate~~
12 ~~is open to discuss. The seasonal varied pattern and concentrations of phosphate and silicate,~~
13 ~~and the summer concentration of nitrate plus nitrite, in surface seawater of the SCS, were~~
14 ~~consistent with the results reported by Ning et al. (2004). They explained that the low~~
15 ~~phosphate concentration in surface seawater probably resulted from phytoplankton~~
16 ~~consumption and less supplementation from deep water because of the strong thermocline in~~
17 ~~summer. Relatively high concentrations of phosphate and silicate (Table 2 and Table 5) could~~
18 ~~be supplied from deep water, as a result of vertical mixing caused by the strong northeast~~
19 ~~monsoon. However, the nitrate plus nitrite concentration in the present study was more than~~
20 ~~one order of magnitude lower in the winter than summer. The nearly undetectable nitrate plus~~
21 ~~nitrite concentration in the winter is different from the results reported by Ning et al. (2004),~~
22 ~~but similar to the results reported by Chen et al. (1997). Chen et al. (1997) documented that~~
23 ~~the concentration of nitrate plus nitrite was undetectable in adjacent waters of the Nansha~~
24 ~~Islands in winter, and proposed that nitrogen was the limiting factor for the primary~~
25 ~~production.~~

26 ~~We propose that nutrients in surface waters were influenced by both vertical mixing and~~
27 ~~phytoplankton consumption and release. In the summer, the low even depleted concentrations~~
28 ~~of phosphate and silicate could be resulted from phytoplankton consumption and less~~

1 supplementation from deep water because of the strong thermocline formed in summer. In
2 addition, the probably high nitrogen fixation in the SCS (Wong et al., 2002) may not only
3 provide a large input of nitrogen to the surface water, supporting the growth of nitrogen fixers
4 and other phytoplankton (Foster et al., 2011; Groszkopf et al., 2012; Mulholland and Bronk,
5 2004) and preventing a depletion of the nitrate plus nitrite in the surface water, but may also
6 lead to a more severe phosphorus-depleted condition, as nitrogen fixation consumes a large
7 amount of phosphorus (Dyhrman et al., 2006; Zehr and Paerl, 2008). In the winter, the strong
8 northeast monsoon could induce vertical mixing and large rainfall, both of them may cause
9 community decay of nitrogen fixers. The harmful effects of low salinity on *Trichodesmium*
10 growth and nitrogen fixation, and the influence of rainfall on the occurrence of
11 *Trichodesmium* blooms have been reported (Fu and Bell, 2003; Lugomela et al., 2002). As
12 discussed in Section 4.3, after the passage of the strong northeast monsoon, nitrogen in the
13 form of nitrate plus nitrite could be firstly depleted by phytoplankton consumption, leading to
14 the extremely low concentration of nitrate plus nitrite observed during the winter cruise.

15 **4.5.4 Decoupling between phytoplankton growth and microzooplankton grazing** 16 **influenced by the winter monsoon**

17 The different relationship between μ and m suggested that coupling between
18 phytoplankton and microzooplankton also varied between the two seasons. Close coupling
19 between phytoplankton growth and microzooplankton grazing indicated by the positive
20 correlation between μ and m were broadly reported in previous studies (discussion in Zhou et
21 al., 2011). Our results also showed that the microzooplankton grazing was closely coupled
22 with the phytoplankton growth in the summer cruise, but was not in the winter cruise. We
23 consider that the influence of the northeast monsoon could break the coupling between
24 phytoplankton growth and microzooplankton grazing in winter. Firstly, the comings of strong
25 northeast monsoon supply nutrients from deep water to the surface by enhancing vertical
26 mixing. This episodic input of nutrients could break the coupling between phytoplankton and
27 microzooplankton by stimulating μ overwhelming corresponding m and/or changing the
28 phytoplankton community composition (Irigoiien et al., 2005). Secondly, large rainfall and the
29 resulted SSS decrease may decouple the phytoplankton (especially the pico-phytoplankton)

1 growth and microzooplankton grazing through indirectly influencing the phytoplankton
2 growth and microzooplankton grazing as discussed in Section 4.3.

3 **4.6–5 Implications of the low microzooplankton grazing impact on** 4 **phytoplankton**

5 As discussed in Section 4.1.3, our data of the μ and m were similar to most of the previous
6 results observed in low latitude tropical waters, but the m/μ in the SCS was relatively lower
7 ($< 50\%$ on average) than those reported in the previous studies except that a similar value
8 (49%) in the Arabian Sea during the northeast monsoon season has been reported by Caron
9 and Denett (1999). Our m/μ is also lower than the mean values in most of the global sea
10 regions (Schmoker et al., 2013). The low m/μ , i.e. the high growth differential over grazing
11 indicates low remineralization of organic matter mediated by microzooplankton and mismatch
12 between the primary production and microzooplankton grazing. As a result, potentially high
13 vertical biogenic particle fluxes via the formation of phytoplankton aggregates and
14 consumption of those aggregates by mesozooplankton could occur (Legendre and
15 Rassoulzadegan, 1996; Schmoker et al., 2013). Higher vertical biogenic particle fluxes in the
16 prevailing periods of the monsoons than between the monsoons in the SCS was indeed
17 observed (Wan et al., 2010). Our results suggest that the high growth differential over
18 microzooplankton grazing may account for part of the high vertical biogenic particle fluxes in
19 the SCS.

20 **4.7.6 Size-selective grazing contributes to the pico-phytoplankton dominance in** 21 **the oligotrophic waters of the SCS**

22 Size selectivity of microzooplankton grazing have been proposed in previous studies (e.g.,
23 Burkill et al., 1987; Froneman and Perissinotto, 1996; Huang et al., 2011; Kuipers and Witte,
24 1999; Sun et al., 2004), and varied patterns of the size selectivity have been reported. For
25 example, higher grazing rate on smaller phytoplankton has been reported accounting for the
26 larger-sized phytoplankton dominance in eutrophic waters (e.g. Strom et al., 2007), but no
27 such pattern were found in other studies (Lie and Wong, 2010; Safi et al., 2007; Strom and
28 Fredrickson, 2008; Zhou et al., 2011). In contrast, Zhou et al. (2015a) found that

1 microzooplankton selectively grazed on nano-phytoplankton (3–20 μm) in the oligotrophic
2 waters of the SCS in summer, and proposed that the size-selective grazing on
3 nano-phytoplankton contributes to the pico-phytoplankton dominance there. Higher
4 microzooplankton grazing rate on the large-sized phytoplankton ($> 5 \mu\text{m}$) than the smaller
5 one ($< 5 \mu\text{m}$) has also been recently reported in the oligotrophic subtropical Northeast
6 Atlantic (Cáceres et al., 2013).

7 The higher RPI (Fig. 3 Fig. 4) for and m (Table 1 and Table 2) on the larger-sized
8 phytoplankton than pico-phytoplankton suggests that microzooplankton selectively grazed on
9 the larger-sized phytoplankton in the SSCS both in the summer and winter. The size-selective
10 grazing balanced part of the relative higher growth rate of the larger-sized phytoplankton (> 3
11 μm), and led to the nearly equal grazing impact (m/μ) on both the larger-sized phytoplankton
12 and pico-phytoplankton in the winter (Table 3). As a result, microzooplankton grazing
13 maintained the pico-phytoplankton dominance in the study waters during the winter.
14 Therefore, on the basis of the results observed in the present study and those reported by Zhou
15 et al. (2015a) and Cáceres et al. (2013), we propose that microzooplankton grazing
16 contributes to the pico-phytoplankton dominance in oligotrophic subtropical and tropical
17 waters such as that of the SCS.

18

19 **5 Conclusions**

20 Significant seasonal variations in μ and m as well as environmental variables under the
21 influence of the East Asian monsoon were observed in the SSCS. Nutrient supply to the
22 surface influenced by vertical mixing and SSS decrease related to large rainfall were
23 considered as the main factors accounting for the significant low μ and m , and the decoupling
24 between the μ and m in the SSCS in the winter.

25 The m/μ did not significantly vary between the two seasons. The low m/μ ($< 50\%$ on average),
26 i.e. the high growth differential over microzooplankton grazing indicates low remineralization
27 of organic matter mediated by microzooplankton and mismatch between the μ and m , and

1 may account for part of the high vertical biogenic particle fluxes in the prevailing periods of
2 the monsoons in the SSCS.

3 Significant size-selective grazing on the larger-sized ($> 3 \mu\text{m}$) phytoplankton was observed in
4 the SSCS both in the summer and winter, which indicates that microzooplankton grazing
5 contributes to the pico-phytoplankton dominance in the oligotrophic tropical waters of the
6 SCS.

7

8 **Author contribution**

9 Linbin Zhou designed the experiments and carried them out. Yehui Tan and Liangmin Huang
10 supervised the projects. Zifeng Hu provided the monthly rainfall data and the Figure [S6](#).
11 Zhixin Ke provided part of the nutrient data. Linbin Zhou prepared the manuscript with
12 contributions from all authors.

13

14 **Acknowledgments**

15 We thank the captain and crew of R/V *Shiyan 3* for their assistance, Shengfu Wang and Shuai
16 Xing for providing part of the nutrient data, [and three anonymous reviewers for their helpful](#)
17 [comments.](#) This worked was supported by the National Natural Science Foundation of China
18 (Grant [noNo.](#) 41130855; 41276162), the Strategic Priority Research Program of the Chinese
19 Academy of Sciences (Grant [noNo.](#) XDA05030403; XDA11020203), [the Natural Science](#)
20 [Foundation of Guangdong Province, China \(Grant No. 2015A030310169\)](#), [the Science and](#)
21 [Technology Planning Project of Guangdong Province, China \(Grant No. 2014B030301064\)](#).

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1 **Table 1** Summary of environmental variables, phytoplankton growth rates with (μ_n , d⁻¹) and (μ , d⁻¹) without nutrient amendment, and
2 microzooplankton grazing rates (m , d⁻¹) in the southern South China Sea in May–June 2009. SST, surface seawater temperature (°C);
3 SSS, surface seawater salinity; 25-T (S) seawater temperature (salinity) at 25 m in depth; Si, silicate ($\mu\text{mol L}^{-1}$); P, phosphate (μmol
4 L^{-1}); N, nitrate plus nitrite ($\mu\text{mol L}^{-1}$); Chla, chlorophyll *a* ($\mu\text{g L}^{-1}$); n, the number of data points in the linear part; R², the determination
5 coefficient of the regression of the linear part; the superscript ‘a’ denotes a saturated feeding response; the superscript ‘b’ denotes a
6 saturated-increased feeding response. The significant level (*p*) of regressions when saturated or saturated-increased feeding responses
7 occurred was in some occasions > 0.05 owing to the few points included in the regression.

Station	Date	Depth	SST	SSS	25-T	25-S	Si	P	N	Chla	μ_n	m	μ	R ²	n	<i>p</i>	μ/μ_n	m/μ
KJ28	21-May	2899	29.90	32.90	28.02	33.07	2.0	0.04	0.67	0.087	1.01	0.34	1.09	0.88	6 ^a	0.006	1.08	0.31
KJ35	22-May	3243	29.60	32.41	29.05	32.91	1.6	0.02	0.71	0.086	1.11	0.30	0.85	0.83	4 ^a	0.086	0.77	0.35
YS	23-May	28	29.10	32.77	-	-	0.3	0.07	0.53	0.082	0.74	0.40	0.82	0.50	8	0.052	1.12	0.49
KJ41	25-May	1724	29.93	32.83	28.60	33.25	1.7	0.06	2.26	0.079	1.04	0.57	0.84	0.76	8	0.005	0.80	0.68
KJ47	26-May	468	31.03	32.60	29.63	32.90	1.8	0.04	0.74	0.049	1.10	0.61	0.90	0.87	6 ^b	0.007	0.82	0.67
NS25	28-May	2025	30.12	32.72	29.65	32.74	6.8	0.06	1.46	0.036	1.16	0.71	1.30	0.66	8	0.015	1.12	0.55
NS19	30-May	2057	30.28	32.94	29.18	33.20	1.0	0.04	1.02	0.050	1.51	0.79	1.20	0.61	4 ^b	0.216	0.79	0.66
KJ39	31-May	2001	29.60	32.89	29.11	33.03	9.0	0.01	0.61	0.050	1.50	0.49	0.83	0.85	8	0.001	0.55	0.59
NS16	31-May	1589	29.88	32.94	29.77	33.01	5.2	0.00	0.82	0.044	1.00	0.46	1.04	0.56	8	0.033	1.05	0.44
KJ65	02-Jun	2999	28.95	32.82	28.78	32.90	3.4	0.05	1.46	0.096	0.64	0.21	0.19	0.90	6 ^b	0.004	0.29	1.13
KJ69	05-Jun	1522	29.02	32.86	28.93	32.93	6.5	0.03	0.49	0.057	2.30	0.66	1.50	0.99	8	<0.001	0.65	0.44
KJ73	06-Jun	1785	29.50	32.84	29.24	32.90	6.4	0.05	0.80	0.045	0.78	0.48	0.87	0.30	4 ^b	0.450	1.11	0.55
NS12	07-Jun	920	29.26	33.05	28.90	33.12	4.6	0.01	0.86	0.062	1.19	0.39	0.88	0.82	6 ^b	0.013	0.73	0.45
KJ32	09-Jun	4229	28.84	32.97	28.85	33.00	3.2	0.11	0.20	0.105	0.54	0.09	0.54	0.99	4 ^a	0.006	0.99	0.16

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1 **Table 2** Summary of environmental variables, phytoplankton growth rates with (μ_n , d^{-1}) and without (μ , d^{-1}) nutrient amendment, and
2 microzooplankton grazing rates (m , d^{-1}) in the southern South China Sea in November 2010. SST, surface seawater temperature ($^{\circ}C$);
3 SSS, surface seawater salinity; 25-T (S) seawater temperature (salinity) at 25 m in depth; Si, silicate ($\mu mol L^{-1}$); P, phosphate (μmol
4 L^{-1}); N, nitrate plus nitrite ($\mu mol L^{-1}$); Chla, chlorophyll *a* ($\mu g L^{-1}$); n, the number of data points in the linear part; R^2 , the determination
5 coefficient of the regression of the linear part; the superscript ‘a’ denotes a saturated feeding response; the superscript ‘b’ denotes a
6 saturated-increased feeding response. The significant level (*p*) of regressions when saturated-increased feeding responses occurred was
7 in some occasions > 0.05 owing to the few points included in the regression.

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Station	Date	Depth	SST	SSS	25-T	25-S	Si	P	N	$> 3 \mu m$ Chla	$< 3 \mu m$ Chla	μ_n	<i>m</i>	μ	R^2	n	<i>p</i>	μ/μ_n	<i>m</i> / μ
KJ28	09-Nov	2538	28.89	32.35	28.74	33.33	8.4	0.37	0.03	0.013	0.120	0.66	0.17	0.45	0.77	8	0.009	0.69	0.38
KJ32	09-Nov	4229	29.07	32.20	28.93	33.50	6.5	0.36	0.02	0.024	0.085	1.30	0.16	0.74	0.37	8	0.108	0.57	0.22
KJ35	10-Nov	2903	28.97	31.86	28.05	32.15	5.9	0.41	0.02	0.013	0.084	1.28	0.43	0.96	0.67	6	0.047	0.75	0.45
KJ39	11-Nov	1996	29.22	31.70	29.41	33.11	20.2	0.34	0.04	0.015	0.091	0.77	0.26	0.28	0.89	4 ^b	0.059	0.37	0.92
KJ42	12-Nov	1460	29.62	31.46	29.32	32.66	7.3	0.36	0.02	0.017	0.088	0.39	0.13	0.50	0.38	4 ^b	0.383	1.27	0.25
KJ47	13-Nov	511	29.45	32.31	29.33	32.36	9.7	0.28	0.07	0.037	0.121	1.84	0.46	0.60	0.12	6	0.497	0.33	0.77
KJ50	14-Nov	1259	29.22	31.69	29.18	32.98	6.0	0.36	0.02	0.020	0.069	0.90	0.13	0.43	0.94	4 ^b	0.030	0.48	0.30
KJ53	14-Nov	145	29.62	32.06	28.92	33.20	3.8	0.36	0.07	0.017	0.050	-2.03	1.01	-2.06	0.65	8	0.015	-	-
KJ65	16-Nov	2100	29.26	31.83	29.27	32.93	6.6	0.36	0.02	0.014	0.077	0.97	0.33	0.28	0.52	6	0.106	0.29	1.15
KJ73	18-Nov	1672	29.42	31.72	29.50	32.84	4.4	0.34	0.07	0.017	0.077	0.87	0.34	0.57	0.94	4 ^a	0.030	0.66	0.59

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1 **Table 4** Pearson correlation analyses between dilution experimental parameters and
 2 environmental variables. μ , phytoplankton growth rates without nutrient amendment; μ_n ,
 3 phytoplankton growth rates with nutrient amendment; m , microzooplankton grazing rates; SST,
 4 surface seawater temperature; SSS, surface seawater salinity; Si, silicate; ~~P, phosphate; N, nitrate-~~
 5 ~~plus nitrite~~; Chla, chlorophyll a .

6

	μ	m	SST	SSS	Si	Chla	m/μ	μ/μ_n	$\mu-m$
μ	1	.762**	.425*	.547**	-.348	-.646**	-.356	.496*	.827**
m		1	.617**	.477*	-.206	-.660**	.252	.163	.266
SST			1	.225	-.289	-.542**	.093	.332	.098
SSS				1	-.465*	-.519*	-.061	.293	.396
Si					1	.304	.239	-.406	-.336
P						.690**	.002	-.357	-.383
N						-.541**	.246	.221	.079
Chla						1	-.007	-.404	-.389
m/μ							1	-.597**	-.748**
μ/μ_n								1	.596**
$\mu-m$									1

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7 ** , Significant correlation at the level of 0.01; * , Significant correlation at the level of 0.05.

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1 **Table 5** Comparisons of environmental variables, and phytoplankton growth and microzooplankton grazing between May–June 2009
 2 and November 2010 in the southern South China Sea. SST, surface seawater temperature (°C); SSS, surface seawater salinity; Si,
 3 silicate ($\mu\text{mol L}^{-1}$); ~~P, phosphate ($\mu\text{mol L}^{-1}$); N, nitrate plus nitrite ($\mu\text{mol L}^{-1}$);~~ Chla, chlorophyll *a* ($\mu\text{g L}^{-1}$); μ_n , phytoplankton growth
 4 rates with nutrient amendment (d^{-1}); μ , phytoplankton growth rates without nutrient amendment (d^{-1}); *m*, microzooplankton grazing
 5 rates (d^{-1}); *p*, the significant level. The mean ratio values of μ/μ_n and m/μ were compared based on the Mann-Whitney test, while the
 6 other mean values were compared based on the independent t-test. The ratio values of μ/μ_n and m/μ were shown as geometric mean \pm
 7 standard deviation, while other parameters were displayed as arithmetic mean \pm standard deviation.

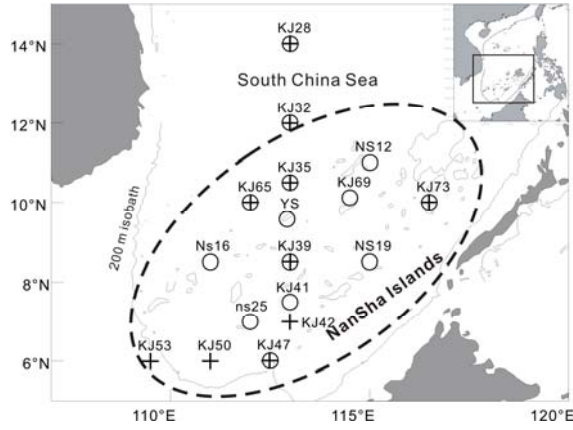
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Season	SST	SSS	Si	P	N	Chla	μ/μ_n	<i>m</i>	μ	m/μ
Summer	29.64 \pm 0.60	32.82 \pm 0.16	3.8 \pm 2.6	0.04 \pm 0.03	0.90 \pm 0.52	0.066 \pm 0.022	0.80 \pm 0.25	0.46 \pm 0.20	0.92 \pm 0.32	0.49 \pm 0.23
Winter	29.27 \pm 0.25	31.92 \pm 0.30	7.9 \pm 4.7	0.35 \pm 0.03	0.04 \pm 0.02	0.104 \pm 0.024	0.54 \pm 0.30	0.27 \pm 0.13	0.54 \pm 0.22	0.48 \pm 0.33
<i>p</i>	0.055	< 0.01	< 0.05	< 0.01	< 0.01	< 0.01	< 0.05	< 0.01	< 0.01	> 0.1

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3 **Figure 1.** Stations for dilution experiments in the southern South China Sea during May to June
4 2009 and November 2010. The open circle indicates experimental stations during May to June
5 2009; the cross indicates experimental stations during November 2010. The dashed circle shows
6 the schematic area of the Nansha Islands.

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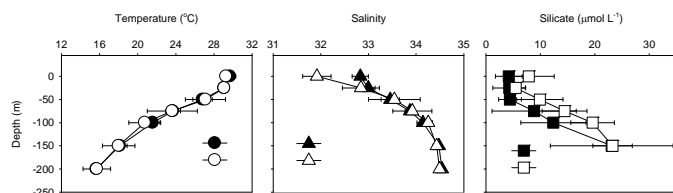


Figure 2. Vertical profiles of seawater temperature, salinity and silicate concentration in the southern South China Sea during May to June 2009 (summer) and November 2010 (winter). The filled circle, triangle and square represent variables observed in the summer, while the empty ones represent variables observed in the winter. The error bar indicates standard deviation.

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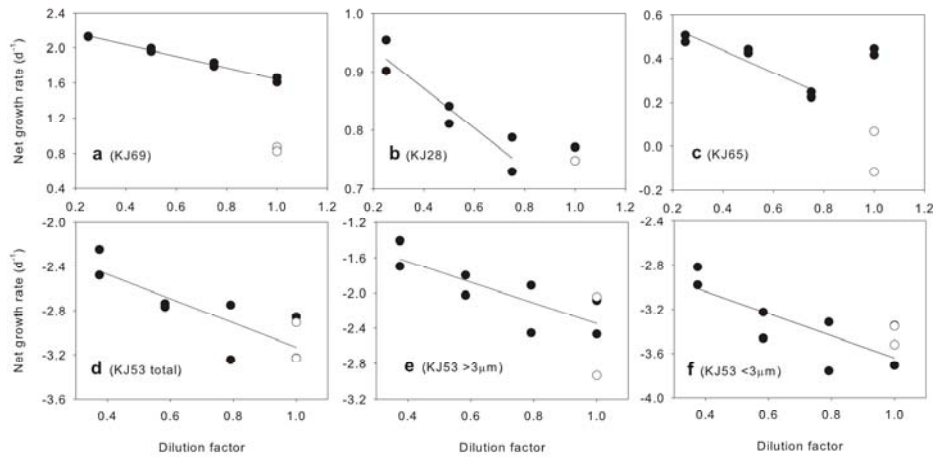
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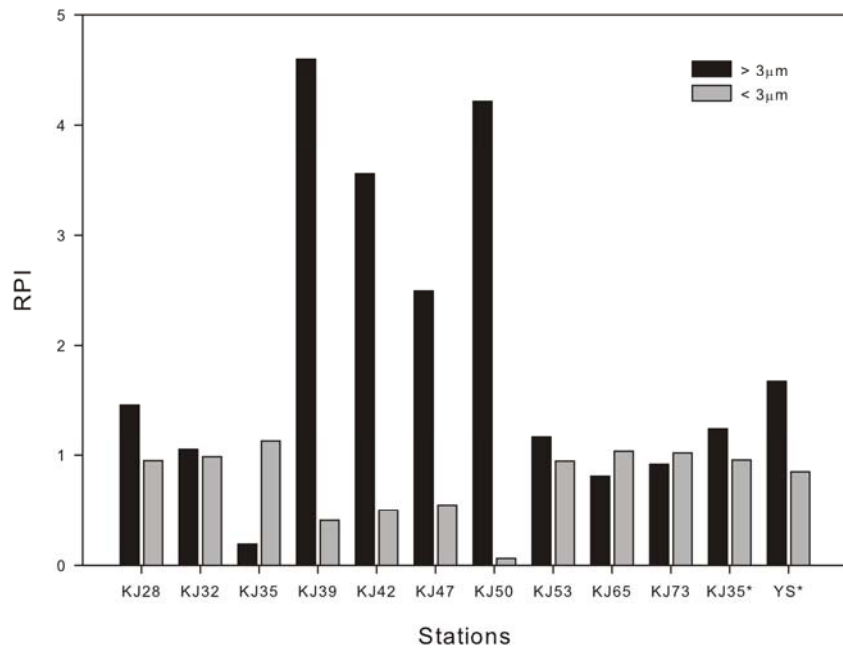
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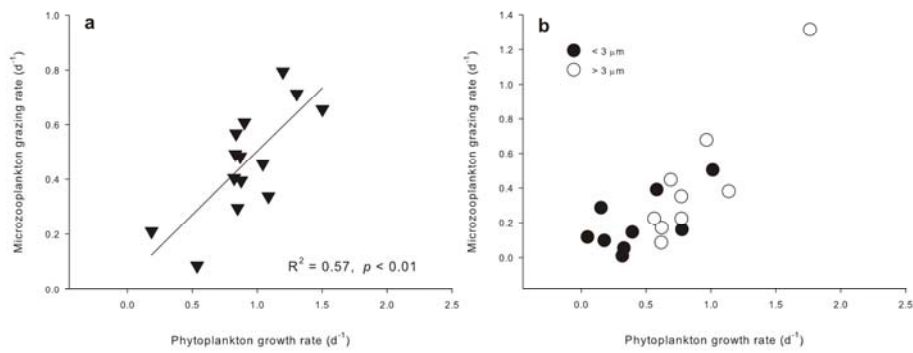
3 **Figure 23.** Examples of dilution experiment plots of net phytoplankton growth rate as a function
4 of dilution factor. (a) Linear feeding responses in the dilution experiment at KJ69; (b) saturated
5 feeding responses in the dilution experiment at KJ28; (c) saturated-increasing feeding responses
6 in the dilution experiment at KJ65; (d-f) negative phytoplankton growth rates at KJ53, (d) for
7 total phytoplankton, (e) for the size fraction > 3 µm and (f) for the size fraction < 3 µm; the black
8 circle indicates experimental bottles enriched with addition nutrients; the open circle indicates
9 experimental bottles without nutrient amendment.

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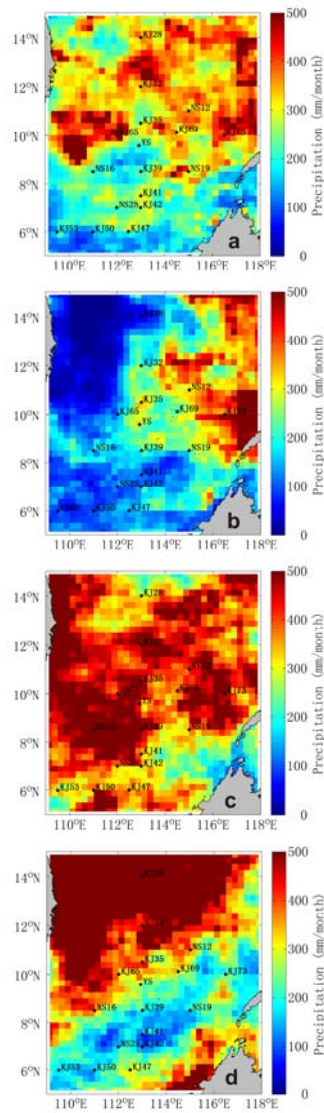
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 2 **Figure 34.** Relative preference index (RPI) for size-fractionated phytoplankton. > 3 μm, size
 3 fraction larger than 3 μm; < 3 μm, size fraction smaller than 3 μm; the asterisk indicates
 4 experiments conducted in May 2009, others were conducted in November 2010. RPI > 1
 5 indicates positive selection and vice versa.

6



1
 2 | **Figure 45.** Correlation between phytoplankton growth and microzooplankton grazing rates.
 3 (a) May to June 2009; (b) November 2010; > 3 μm, size fraction larger than 3 μm; < 3 μm, size
 4 fraction smaller than 3 μm.

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 2 | **Figure 56.** Monthly rainfall in the southern South China Sea estimated on the basis of data from
 3 the Tropical Rainfall Measuring Mission. **(a)** May 2009; **(b)** June 2009; **(c)** October 2010; **(d)**
 4 November 2010.

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