1	November 30 th , 201:
2	
3	Dear Editor,
4	
5	We thank the reviewer for helpful comments. Our point-to-point responses to his/her
6	comments are shown by bold letters below. We also include the revised manuscript with
7	details of our revisions highlighted by blue color. We are looking forward to hearing from
8	you.
9	
10	Sincerely yours,
11	
12	Qian Li
13	South China Sea Institute of Oceanology, Guangzhou, China
14	Email: qianli@sesio.ac.en

Response to Reviewer

1. I cannot agree the conclusions that upward flux of nitrate controls phytoplankton production and patchy distributions. At least, the authors should discuss why Chl a amount remained low while the nutrients were supplied richly at station C6 if the data removed. Additionally, the Chl a amount at C11 was outlier in the relationship. The relationship between Chl a amount and the nitrate upward flux except C6, C9 and C11 showed the significant positive relationship. This relationship indicate that nutrient upward flux basically controls the phytoplankton abundance, however, the patchy Chl a variations (for example at C11 and C6) are not controlled by the upward flux. That is, I consider that the patchiness of Chl a concentration is not largely controlled by the upward flux.

Response: Though nutricline and the depth of chlorophyll maximum were slightly uplifted, station C6 showed relatively lower chlorophyll concentration, which could be caused by along-shelf transport of low-chlorophyll waters over the slope as station C6 was located near the top of the shelf-slope. As has been clearly stated in our previous response, the regression between int-chla and vertical nutrient fluxes is only doable for deep-water stations (C7-C13, A, B) including the offshore pelagic zone and the water intrusion zone. Station C6 should not be included in the regression since it is right on the top of the continental slope subjecting to influence by along-shelf flows. Our regression does not exclude station C11. Integrated chlorophyll and vertical nutrient flux showed a significant correlationship without C9. Therefore phytoplankton patchiness in the offshore NSCS was largely (but not solely) controlled by upward flux.

2. The connectivity of the between the line observations (C1-C12) and the stations A, B was unclear. In particular, the area near "station B was well documented for its high turbulent mixing" (L358-360), and so the station B is not the representative station of nSCS. I consider that the observation values such as the phytoplankton growth and the grazing rates in the nSCS cannot be discussed based on the station B data as I pointed out in previous reviews.

Response: The reviewer's argument on this point is nonsense. It is not fair to say that station B is not a representative station of NSCS because of its high turbulent mixing. There are many locations of the NSCS near the shelf-edge showing high vertical diffusivity (Liu and Losovatsky, 2012). Spatial heterogeneity of turbulent mixing in the NSCS has been well documented as a result of the complex physical dynamics in the NSCS (Tian et al., JPO, 2009; Liu and Losovatsky, 2012).

3. The abstract is the only description of the results and is not organized. I think what new or innovative is necessary to publish in Biogeosciences.

Response: What we have summarized in the abstract is the new findings from results, but not the sorely description of results as claimed by the reviewer. Anyway, we have reorganized the abstract to emphasize more on the major finding and

61 62	conclusive remarks from the paper.
63	4. Specific Comments
64	L126: Not only the company, but also the product name is necessary.
65	120. Not only the company, but also the product name is necessary.
66 67	Response: done, product name of QSP200L has been added.
68	5. L136: Bran+Luebbe
69	
70	Response: done, "Lube" has been corrected to Luebbe"
71	
72	6. L192: I understood the calculation. However, the calculated nutrient gradient is not at
73	the depth of Zi. It was at the depth of $(Zi + Zi+1)/2$.
74	
75	Response: It is impossible to calculate gradient at depth of $(Z_i + Z_{i+1})/2$ since there is
76	not data at this depth. On the other hand, one can argue that the gradient calculated
77	from Zi to $(Z_i + Z_{i+1})/2$ would be the same as the gradient calculated from Z_i to Z_{i+1}
78	by linear interpolation.
79	
80	7. L471: "n ="
81	
82	Response: done, "n=9" has been added.

83 84 85	Phytoplankton dynamics driven by vertical nutrient fluxes during the spring inter-monsoon period in the northeastern South China Sea
86	Qian P. Li*, Yuan Dong, Yanjun Wang
87	South China Sea Institute of Oceanology, Chinese Academy of Sciences, Guangzhou,
88	China
89	
90	
91	Submitted to Biogeosciences on March 27, 2015
92	Revised July 29, 2015
93	2 nd revised October 5, 2015
94	3 nd revised November 30, 2015
95	
96	*Correspondence to: qianli@scsio.ac.cn

Abstract 97 98 A field survey from the coastal ocean zones to the offshore pelagic zones of the northeastern South China Sea (nSCS) was conducted during the inter-monsoon period of 99 May 2014 when the region was characterized by prevailing low-nutrient conditions. 100 Comprehensive field measurements were made for not only hydrographic and 101 biogeochemical properties but also phytoplankton growth and microzooplankton grazing 102 rates. We also performed estimations of the vertical turbulent diffusivity and diffusive 103 nutrient fluxes using a Thorpe-scale method and the upwelling nutrient fluxes by Ekman 104 pumping using satellite-derived wind stress curl. Our results indicated a positive 105 correlation between the integrated phytoplankton chlorophyll-a and vertical nutrient 106 fluxes in the offshore region of the nSCS during the study period. We found a generally 107 increasing role of turbulent diffusion but decreasing role of curl-driven upwelling on 108 109 vertical transport of nutrients from the coastal ocean zones to the offshore pelagic zones. Elevated nutrient fluxes near Dongsha Islands supported high new production leading to 110 net growth of phytoplankton community, whereas the low fluxes near southwest Taiwan 111

had resulted in a negative net community growth leading to decline of a surface

curl-driven upwelling during the spring inter-monsoon period.

phytoplankton bloom. Overall, phytoplankton dynamics in the large part of the nSCS

could be largely driven by vertical nutrient fluxes including turbulent diffusion and

112113

114

115

116

117

1. Introduction

118

Nutrient fluxes from below the euphotic zone are essential for phytoplankton primary 119 production in the surface ocean (Eppley and Peterson, 1979), while the mechanisms 120 regulating those fluxes are still inadequately understood in the northeastern South China 121 Sea (nSCS), particularly during the spring intermonsoon period. Wind-driven coastal 122 upwelling, river discharge, and inter-shelf nutrient transport were important mechanisms 123 supplying nutrients to the euphotic zone of the nSCS (Liu et al., 2002; Gan et al., 2010; 124 Han et al., 2013), while their contributions to primary production were mostly limited to 125 coastal regions as these nutrients would be mostly utilized in the coastal waters before 126 reaching the large area of the nSCS. Kuroshio intrusion would dilute the nSCS waters 127 with the low nutrient North Pacific waters (Farris and Wimbush. 1996), which appeared 128 to be much weaker during April-September (Centurioni et al., 2004). Contribution of 129 130 nitrogen fixation to new production of the nSCS was generally negligible compared to the nitrate-based new production (Chen et al., 2005; Bombar et al., 2010). Atmospheric 131 deposition of anthropogenic nitrogen could support up to ~20% of the annual new 132 production in the nSCS exceeding those from riverine inputs (Kim et al., 2014). But its 133 contribution would be much less during the spring inter-monsoon season as the reduced 134 rate of atmospheric deposition (Lin et al., 2009). 135 Diapycnal mixing by turbulent dissipation was recently found to be important for the 136 supply of new nitrogen in the nSCS, where the vertical turbulent diffusivities were an 137 order of magnitude higher than the adjacent West Pacific Ocean (Tian et al., 2009; Liu 138 139 and Lozovatsky 2012; Yang et al., 2014). It was also suggested that phytoplankton blooms off the west coast of the nSCS could be induced by wind stress curl-driven 140 upwelling during the spring inter-monsoon season (Wang and Tang 2014), which would 141 cause a local uplift of isopycnals leading to nutrient injection into the euphotic zone with 142 subsequent changes of community structure and productivity (Rykaczewski and Checkley 143 2008; Li et al., 2015). By modifying the surface wind stress and wind stress curl via 144 air-sea coupling, the eddy-induced Ekman pumping (Gaube et al., 2013) was important 145 for phytoplankton production in the nSCS during the inter-monsoon transition period (Lin 146 et al., 2010). As both intermittent turbulent diffusion and wind-driven Ekman pumping 147 affect the vertical transport of nutrients on temporal scales similar to the generation time 148

of phytoplankton, they will have large influences on plankton dynamics of the upper ocean (Cullen et al., 2002). It is therefore important to investigate the roles of these two mechanisms in driving the variability of phytoplankton biomass and primary production in the large area of the nSCS.

Spatial distribution of phytoplankton at sea is a result of complex interactions

between physical and biological processes (Davis et al., 1991; Abraham 1998). In addition to the vertical nutrient fluxes, phytoplankton biomass and productivity of the nSCS are influenced by growth-grazing dynamics (Chen 2005; Huang et al., 2011; Zhou et al., 2011; Chen et al., 2013). Shifts in the dominance of phytoplankton species in the western South China Sea were believed to be driven by a close coupling of the mortality rates of different phytoplankton groups via common grazers such as nanoflagellates (Chen et al., 2009). There was on average ~61% of phytoplankton growth lost to microzooplankton grazing in coastal upwelling regions of the nSCS in response to increased nutrient fluxes, whereas growth and grazing mortality rates were mostly balanced on the shelf and shelf break areas without upwelling events (Huang et al., 2011). It was also suggested that the balance of phytoplankton growth and microzooplankton grazing in the pelagic nSCS could be perturbed by physical disturbances such as eddies, fronts, and typhoons, leading to large deviations of planktonic ecosystem from the steady state (Zhou et al., 2011; Chen et al., 2013).

Here, we present results of a field survey from the coastal ocean zones to the offshore pelagic zones in the nSCS conducted during the spring inter-monsoon transition of May 2014, when the region was characterized by prevailing low nutrient conditions as a result of weak and variable winds (Lin et al., 2010). Comprehensive measurements were made for hydrographic and biogeochemical properties, as well as biological rates including phytoplankton growth and grazing rates and net nutrient consumption rates. We also performed estimations of the vertical turbulent diffusivity and diffusive nutrient fluxes using a Thorpe-scale method (Gargett and Garner 2008; Li et al., 2012) and the upwelling nutrient fluxes by Ekman pumping using satellite-derived wind stress curl (Gill 1982; Risien and Chelton 2008). In synthesizing these field data, the focus of this paper are to (1) investigate the spatial patterns of vertical nutrient fluxes in the nSCS, (2) determine the relative roles of turbulent diffusion and Ekman pumping to vertical transport of

nutrients in the upper ocean, and (3) understand the linkage between vertical nutrient fluxes and phytoplankton dynamics in the nSCS during the spring inter-monsoon period.

182 183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

199

200201

202

203

204

205

206

207

208

209

210

180

181

2. Materials and methods

2.1. Site description, field sampling, and measurements

There are typically high nutrients in the coastal regions of the nSCS due to river discharge, inter-shelf transport, and upwelling and mixing (Gan et al., 2010), in contrast to the oligotrophic low-latitude offshore regions with strong stratification. The nSCS is also strongly influenced by Kuroshio intrusion through the Luzon Strait (Farris and Wimbush 1996). The intruded Kuroshio waters with higher temperature and salinity but lower nutrients are often transported westward via eddies and Ekman advection (Centurioni et al., 2004) influencing the large area of the nSCS on seasonal time-scales. A field survey of the nSCS (Fig. 1) was conducted during May 2014 aboard the R/VShiyan III of the South China Sea Institute of Oceanology. From May 14th to May 16th, 2014, a transect from the coastal waters near Shantou to the offshore waters near the Luzon Strait was comprehensively sampled to investigate the spatial patterns of hydrographic and biogeochemical properties of the nSCS. Station S₁ (22°N, 119.5°E) was chosen as a reference time-series station with continuous CTD sampling of 13 casts within 24 hours (start: 10:00 am, May 18th, 2014). Stations A (21.9°N, 120°E with a bottom depth of 1547 m) near the southwest of Taiwan and station B (20.5°N, 117°E with a bottom depth of 607 m) in the southeast of Dongsha Islands were selected for dilution experiments to quantify phytoplankton growth and microzooplankton grazing rates. Discrete seawater samples at depths of 0 m, 25 m, 50 m, 75 m, 100 m, 200 m, 300 m, 500 m, and 700 m were collected using a SeaBird SBE 9/11 CTD rosette water sampler system, providing high resolution hydrographic measurements of the upper water column with internal pressure, conductivity, and temperature sensors. We define euphotic zone as the layer above 1% of surface Photosynthetically Active Radiation (PAR), measured by a PAR sensor (QSP200L, Biospherical Instrument, Inc.). After inline filtrations from the PVC Niskin bottles through 0.8 µm Nuclepore filters, seawater samples for nutrients were frozen immediately and stored in a refrigerator until final analyses after the cruise.

For chlorophyll-a sampling, 500 ml of seawater was gently filtered (<50 mmHg) through

a GF/F (Whatman) filter, which was wrapped in a piece of aluminum foil and kept at 211 -20°C on board. Upon return to the lab, chlorophyll-a samples were sonicated for 20 min 212 and extracted in 5 ml 90% acetone at 4°C in the dark for 24 hours. These samples were 213 centrifuged at 4000 rpm for 10 min before final determinations by standard fluorescence 214 methods (Parsons et al., 1984) using a Turner Designs Model 10 Fluorometer. 215 Concentrations of nitrate plus nitrite, phosphate and silicate were determined by a Seal 216 AA3 auto analyzer (Bran-Luebbe, GmbH). The low concentrations of nitrate plus nitrite 217 and phosphate within the euphotic zone were also determined by the long-cell method (Li 218 et al., 2008; Li and Hansell 2008) by incorporating a 50 cm liquid waveguide cell to AA3 219 with detection limits of $\sim 0.02 \,\mu\text{M}$ and $\sim 0.01 \,\mu\text{M}$, respectively. 220

221

222

2.2. Remote sensing observations

High-resolution satellite data, including sea surface temperature (SST), sea surface 223 224 chlorophyll (SSChl), surface geostrophic velocities, as well as surface wind stresses and Ekman velocities, were used to assess the spatial change of these surface properties in the 225 nSCS during the study period. Monthly averaged sea surface chlorophyll- $a (0.04 \times 0.04)$ 226 was acquired from the NASA's Moderate Resolution Imaging Spectroradiometer data 227 observed by the Aqua Satellite (MODIS-Aqua). Surface velocity fields (0.3°×0.3°) were 228 derived from multi-satellite altimeter (TOPEX, JASON-1, ERS-2, ENVISAT and GFO) 229 and scatterometer data distributed by the NOAA's Ocean Surface Current Analysis 230 -Realtime (OSCAR) program, which had been largely validated by a variety of field 231 232 measurements including global drifts, moorings, and shipboard ADCP. Daily sea surface temperature (0.1°×0.1°) was acquired from the NOAA's Geostationary Operational 233 234 Environmental Satellite –Polar Operational Environmental Satellite program (GOES-POES). Daily Ekman upwelling velocities and surface wind stresses with a 235 resolution of 0.25°×0.25° were derived from the Advanced Scatterometer data by the 236 European Meteorological and Operational satellite program (METOP-ASCAT). The 237 Ekman pumping velocity (w_e , negative for downwelling) at the depth of Ekman layer is 238 calculated as (Gill, 1982) 239

$$w_e = \frac{1}{\rho_w} \left(\nabla \times \frac{\tau}{f} \right)$$

241 (1)

where ρ_w is the density of seawater, which is assumed constant at 1024 kg m⁻³; f is the

Coriolis parameter; τ is the vector of wind stress.

244

245

249

258

262

243

2.3 Thorpe-scale analyses and vertical diffusivity

We applied a Thorpe-scale based approach (Thorpe 1977; Galbraith and Kelley 1996;

Gargett and Garner 2008; Li et al., 2012) to estimate fine structure and turbulent

248 diffusivity for each station using CTD downcast data. The method combines several

criteria to determine the real overturns from a density profile (Li et al., 2012), including

250 the test of minimum thickness, the run-length and water mass tests (Galbraith and Kelley

251 1996), as well as the tests of minimal overturn ratio and maximal T/S tightness (Gargett

and Garner 2008). These criteria ensure that the maximal density difference within an

overturn is greater than twice the measurement noise (0.001 kg m⁻³). The length scale of

an overturn is larger than twice the vertical resolution (Nyquist theorem) and larger than a

255 minimum thickness (Galbraith and Kelley 1996). The percentage of positive/negative

displacements within an overturn (the overturn ratio) is larger than 0.2 and the deviations

on a T/S diagram are less than 0.003 (Gargett and Garner 2008). The vertical resolution

of CTD sampling during the cruise was ~10 cm with a fall rate of ~2.4 m s⁻¹. Therefore,

only overturns larger than 0.5 m are included, to obtain five data point resolution. We

discard data in the upper 10 m, as the Thorpe approach is not strictly valid there. Once an

overturn is identified, the Thorpe scale (L_T) is calculated from the root mean square of the

vertical displacement (d_z) as $L_T = (\sum d_z^2)^{0.5}$.

Turbulent kinetic energy dissipation rate (ε) is calculated from L_T and N by

$$\varepsilon = 0.64 \cdot L_T^2 \cdot N^3$$

265 (2)

where N is the buoyancy frequency given by $N^2 = -g\rho_0^{-1}(\partial \rho/\partial z)$ with g the gravitational

acceleration, ρ_0 the mean density, and $\partial \rho / \partial z$ the density gradient across each overturn

(Galbraith and Kelley 1996). According to Osborn (1980), the vertical diffusivity (K_z) can

be estimated from ε and N by

$$K_z = 0.2 \cdot \varepsilon \cdot N^{-2}$$

271	(3)
272	The diffusive nutrient fluxes at the depth of interest can be estimated by multiplying the
273	diffusivity (K_z) by the local nutrient gradient $(\partial C/\partial z)$. Nutrient gradient, at the depth of Z_i
274	with the concentration of C_i , is approximately estimated by $(C_{i+1}-C_i)/(Z_{i+1}-Z_i)$, with C_{i+1}
275	the concentrations at Z_{i+1} immediately next to Z_i .
276	
277	2.4 Setup of dilution experiments
278	Phytoplankton growth and microzooplankton grazing in the surface waters of stations
279	A and B near the edge of continental shelf were assessed on board using dilution
280	technique (Landry and Hassett 1982; Landry et al., 1998; Li et al., 2011) on May 13 th and
281	May 17 th , 2014. All the bottles, tubing and carboys were soaked in 10% (v/v)
282	hydrochloric acid solution for over 24 hours and they were rinsed several times with
283	deionized water and seawater before each experiment. Surface seawater, collected by an
284	acid-washed polyethylene bucket, was screened through a 200-µm mesh before being
285	transferred into polycarbonate carboys as raw seawater. A dilution series was prepared
286	with 0%, 25%, 50%, 75%, and 100% unfiltered seawater in duplicated polycarbonate
287	bottles (0% unfiltered seawater sample was not performed at station B). Measured
288	amounts of particle-free seawater, obtained by filtering the raw seawater with 0.45 μm
289	filters, were added to 2.4-liter polycarbonate bottles. These samples were then enriched
290	with additional nutrients to promote constant growth of phytoplankton. Finally, each
291	bottle was gently filled with unfiltered seawater to its capacity. There was also one bottle
292	filled with 100% unfiltered raw seawater without nutrient enrichment to serve as the
293	control for our experiment. All the bottles were tightly capped and incubated for 24 hours
294	in a deck incubator, which was covered with a neutral density screen to mimic the natural
295	sunlight and filled with flowing seawater from the sea surface to control the temperature.
296	Duplicate 300 ml samples were taken from each bottle before and after the dilution
297	experiments for chlorophyll-a measurements.
298	Specific rates of nutrient-saturated phytoplankton growth (μ_n, d^{-1}) and
299	microzooplankton grazing (g, d^{-1}) are estimated by least-square regression between the
300	net growth rates (η, d^{-1}) and the dilution factors (D) as

$$\eta = \frac{1}{t} \ln \left(\frac{P_t}{P_0} \right) = \mu_n - D \cdot g$$
302
(4)

where P_0 and P_t are the initial and final concentrations of chlorophyll-a, respectively and t is the duration of the incubation. The natural phytoplankton growth rate (μ), which is often subjected to nutrient limitation (Landry et al., 1998), is finally estimated from the net growth rate of raw seawater without nutrient enrichment (η_{raw}) by $\mu = \eta_{raw} + g$.

To examine the response of the phytoplankton community to nutrient enrichment, two bottles of raw seawater with nutrient additions were incubated for 4 days, with chlorophyll-a and nutrient samples taken at the very beginning and each day afterwards. Nutrient data within the exponential growth phase is used to estimate the specific net nutrient consumption rate (m) of the incubated community by linear regression of ln(C) and t assuming

$$\frac{dC}{dt} = -m \cdot C$$
314
(5)

where C is the concentration of dissolved nutrients in the sample.

3. Results

3.1 Hydrographic dynamics of the nSCS

During the survey of May 2014, waters of the nSCS can be grouped into three regions (Fig. 1): the coastal ocean zone (stations C_{1-6}), the offshore pelagic zone (stations C_{7-10}), and the water-intrusion zone near the Luzon Strait (stations C_{11-13}). These three different zones were influenced by a diverse set of physical processes. The coastal ocean zone, which can be further separated into two subregions including the nearshore area (stations C_{1-2}) and the continental shelf (stations C_{3-6}), was strongly affected by wind-driven upwelling processes including Ekman transport and Ekman pumping (Gan et al., 2010). The nearshore area was characterized by low sea surface temperature (Fig. 2a) as a result of upwelling via Ekman transport driven by southwest monsoon along the shore. Ekman pumping induced by wind stress curl showed a significant increase near the edge of the continental shelf far away from the coastline (Fig. 2b). Upward transport of the deeper

water with lower temperature but higher salinity along the shelf slope was clearly seen 330 during the transect (Fig. 3a and 3b), which could be a result of direct upwelling or 331 alongshore advection of upwelled waters from upstream. Both the offshore pelagic zone 332 and the water-intrusion zone are far from the coast with bottom depths more than 2000 m 333 (Fig. 1). The offshore pelagic zone was relatively stable with weak surface geostrophic 334 currents, while the water-intrusion zone was strongly influenced by Kuroshio intrusion 335 through the Luzon Strait (Fig. 2a). 336 Sea surface temperature from satellite showed a generally increasing trend from the 337 coastal regions near Shantou to the offshore regions near Luzon Strait due to the 338 decreasing latitude (Fig. 2a). The observed cross-shelf gradient of surface temperature 339 from the discrete bottle measurements is in good agreement with the satellite SST data, 340 with an average of 24.0 ± 0.6 °C near the coast, 25.2 ± 0.2 °C on the continental shelf, 341 28.4 ± 0.5 °C in the offshore pelagic zone, and 29.1 ± 0.5 °C near the Luzon Strait (Fig. 342 3a). Surface salinity was less variable than temperature from nearshore to offshore with a 343 difference of less than 0.3 during the survey (Fig. 3b). Although there was slightly higher 344 surface salinity on the continental shelf (34.1 \pm 0.1), the average salinity concentration at 345 346 the surface in the coastal ocean zone (33.9 \pm 0.2) was generally the same as those of the offshore pelagic zone (33.8 \pm 0.1) and the water-intrusion zone (33.9 \pm 0.3). Substantially 347 higher subsurface salinities within the euphotic zone between the offshore pelagic zone 348 and the water-intrusion zone (Fig. 3b) could come from the upwelled Pacific waters 349 southwest of Taiwan (Chao et al., 1996). 350 Directions of wind stresses in the nSCS were generally southwest during the study 351 period except two regions where wind stress changed direction (vectors of Fig. 2b): one 352 in the northwest of Dongsha Islands with southerly winds and the other in the Luzon 353 Strait with westerly winds. There were several places of curl-driven upwelling in the 354 offshore deep-water regions, though the entire area was predominantly downwelling. 355 Large curl-driven upwelling ($>0.5\times10^{-5}$ m s⁻¹) was only observed near the edge of the 356 continental shelf over abrupt changes of bathymetry. Strong temporal variations of 357 Ekman pumping velocity (Fig. 2d) could be found in the coastal station of C₆ and the 358

sampling duration of May 14th-16th, 2014 are relatively low, they are representative of the

offshore station of C₁₃. Though the vertical velocities by Ekman pumping during our

359

entire spring intermonsoon period from May 8th to June 7th, 2014 with substantially low wind intensity (Fig. 2d).

3.2 Spatial patterns of chlorophyll-a and nutrients in the nSCS

Sea surface chlorophyll-a in the nSCS during May 2014 was very high in the coastal ocean zone – particularly in the near-shore regions – and decreased slightly on the continental shelf (Fig. 2c). In contrast, there was generally low sea surface chlorophyll-a in the large areas of the offshore pelagic zone and the water-intrusion zone. Concentrations of the surface chlorophyll-a from discrete measurements during our survey (Fig. 3c), varying from 0.04 to 0.92 μ g L⁻¹, is in good agreement with the satellite remote sensing data. In particular, surface chlorophyll-a along the section shows a general seaward-decreasing trend from the costal regions of 0.72 \pm 0.36 μ g L⁻¹ to the offshore regions of 0.09 \pm 0.04 μ g L⁻¹, which is consistent with the decrease of surface nitrate concentrations from >1.0 μ mol L⁻¹ near coast to <1.0 μ mol L⁻¹ in offshore (Fig. 3d). There was a surface chlorophyll patch (~0.3 μ g L⁻¹) found at station C₁₁ between the offshore pelagic zone and the water-intrusion zone during the transect study (Fig. 3c), which could result from a surface phytoplankton bloom spreading from the southwest coast of Taiwan to the offshore regions of the central nSCS (Fig. 2c).

Phytoplankton chlorophyll-a was vertically well mixed in the coastal ocean zone, with clear subsurface maxima of chlorophyll-a only found in the offshore pelagic zone and the water-intrusion zone (Fig. 3c). The depth of the subsurface chlorophyll maxima followed the σ_{θ} = 23.5 isopycnal, which became much shallower when approaching the continental shelf from offshore. The vertical distribution of nutrients along the section generally followed the isopycnal surfaces in the upper water column (Fig. 3d-f), revealing the importance of physical control on upper ocean biogeochemistry. The observed uplifts of isopycnals as well as the depths of chlorophyll maximum and nutricline at stations C_6 , C_8 , C_9 , C_{10} , and C_{12} are consistent with positive upwelling velocities driven by wind stress curl (Fig. 2b). Interestingly, there were substantially higher phosphate and silicate concentrations at depths of ~200 m (across the σ_{θ} = 25.5 isopycnal) for both stations C_9 and C_{11} in the offshore regions, which could be due to either a horizontal or vertical injection event prior to our survey. Elevated chlorophyll-a at station C_{11} was

accompanied by not only the subsurface high nutrients but also the high salinity in the euphotic zone, suggesting possible vertical and horizontal nutrient transports in the upper layer. Curiously, low chlorophyll-a was found at station C_9 , which showed the highest nutrient concentrations and nutrient gradients. Along the density interval of σ_{θ} = 25 and σ_{θ} = 26 in the water-intrusion zone there was evidence for isopycnal mixing between the high-nutrient nSCS waters and the adjacent waters of Luzon Strait with lower nutrient but higher temperature/salinity.

398399

400

401 402

403

404

405

406

407

408

409

410

411

412

413

414

415

416

417

418

419

420

421

422

392

393

394

395

396

397

3.3 Vertical diffusivity and diffusive nutrient fluxes

Turbulent diffusivity estimated by Thorpe analyses varied substantially from the edge of continental shelf to the west of Luzon Strait during May 2014 (Fig. 4). An overall averaged K_z of 2.5×10⁻⁴ m² s⁻¹ for the upper 300 m of the offshore deep-water stations is much higher than the oceanic background diffusivity of 10⁻⁵ m² s⁻¹, but is comparable to the previous basin-scale estimates in the nSCS (Tian et al., 2009; Liu and Lozovatsky 2012). There were relatively high mean diffusivities of 3.6×10^{-4} and 3.3×10^{-4} m² s⁻¹ at stations C₈ and C₁₁, compared to 2.5×10⁻⁵ m² s⁻¹ of station C₉. Although the nitrate gradient at the based of euphotic zone in C₉ (0.12 mmol m⁻²) was about twice of that in C_{11} (0.06 mmol m⁻²), its diffusive nitrate flux (0.26 mmol m⁻² d⁻¹) was only about 15% of that in C_{11} . Our data reveals a general decreasing of mean diffusivity from $1.1 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ of C_5 on the continental shelf, to 6.3×10^{-4} m² s⁻¹ of C_6 over the continental slope, and to 9.1×10⁻⁵ m² s⁻¹ of C₇ in the offshore pelagic zone. Yang et al. (2014) measured turbulent diffusivity along a short section near the edge of the continental shelf southwest of Taiwan using a microstructure profiler during May 2004 – about the same place as our stations C₅ to C₇ (Fig. 1). Their results showed high turbulent mixing over the continental shelf with a mean diffusivity of 1.6×10^{-3} m² s⁻¹ but a much lower diffusivity of 5.2×10^{-4} m² s⁻¹ over the slope (Yang et al., 2014), which are well comparable with our estimates using Thorpe analyses.

Due to intermittent nature of the turbulence dissipation, the vertical structures of diffusivity observed during our study were quite patchy (Fig. 4). In order to investigate the vertical patterns of turbulent diffusivity, we compared the observations of the two incubation stations (stations A and B) with that of the reference time-series station S_1 (Fig.

5), which had a better vertical resolution of diffusivity. It is not surprising to find that the 423 diffusivity profile of station A is quite similar to that of station S_1 (Fig. 5), as the two 424 stations are very close to each other (Fig. 1). However, there are substantially higher 425 diffusivities found in station B than in station S₁ (Fig. 5). The average diffusivity at 100 m 426 during our study was about 1.6×10⁻⁴ m² s⁻¹ in station A but about 4.4×10⁻⁴ m² s⁻¹ in station 427 B. The corresponding diffusive nitrate fluxes at the base of euphotic zone were thus about 428 0.65 mmol m⁻² d⁻¹ in station A and 3.03 mmol m⁻² d⁻¹ in station B, given their nitrate 429 gradients of 0.05 and 0.08 mmol m⁻² at 100 m, respectively (Table 1). Region of the 430 southeast Dongsha Islands near station B has been well documented for its high turbulent 431 mixing because of internal waves (e.g. Lien et al., 2005; Chow et al., 2008). Enhanced 432 vertical mixing by nonlinear internal waves generated at the shelf edge near Dongsha 433 Islands (Lien et al., 2005) would lead to a higher surface chlorophyll-a and net primary 434 production than the adjacent areas with less influence of internal waves during the 435 436 summertime (Pan et al., 2012). The high diffusivity and diffusive nitrate flux at station B may also be contributed by physical dynamics associated with high internal waves found 437 438 in this region. The frontal zones at the edge of eddies are often places of increased vertical mixing (Klein and Lapeyre 2009; Li et al., 2012), though the eddy-induced 439 vertical fluxes may vary substantially between cyclonic, anticyclonic and mode-water 440 eddies (McGillicuddy et al., 2007). 441

442443

444

445

446

447

448

449

450

451

452

453

3.4 Rates of phytoplankton growth, microzooplankton grazing, and specific nutrient consumption

Hydrographic and biogeochemical conditions of the two incubation stations were quite different, with much higher temperature (Fig. 6) and salinity (data not shown) but lower nutrients and nutrient gradients in station A than in station B (Fig. 6). Station A was at the edge of a surface phytoplankton bloom (Fig. 2c) spreading from the southwest coast of Taiwan to the offshore pelagic regions, while station B was near the central nSCS with very low sea surface chlorophyll-a (<0.1 μ g L⁻¹). Except for the surface layer, chlorophyll-a concentration of station B was generally much higher than that of station A throughout the water column. There was a clear subsurface chlorophyll-a were found for μ 4 μ g L⁻¹ at 50 m for station B (Fig. 6), while double peaks of chlorophyll-a were found for

station A with a surface maximum of \sim 0.3 $\mu g \ L^{-1}$ and a subsurface maximum of \sim 0.1 $\mu g \ L^{-1}$ at 75 m.

Rates of phytoplankton growth and microzooplankton grazing at the surface were substantially different between the two stations. The nutrient-saturated phytoplankton growth rate was 1.24 d⁻¹ at station B, which was about three times of that at station A (0.44 d⁻¹). On the other hand, the microzooplankton grazing rate of 0.43 d⁻¹ at station A was only slightly lower than the grazing rate of 0.60 d⁻¹ at station B (Fig. 7). The natural growth rate of phytoplankton, after correction for the effects of nutrient enrichment as described in section 2.3, was 0.28 d⁻¹ at station A, much lower than the rate of 1.18 d⁻¹ in station B. The rates measured at station B during May 2014 are comparable with previous estimates of growth rates of 1.03 d⁻¹ and grazing rates of 0.62 d⁻¹ near Dongsha Islands during July 2009 (Chen et al., 2013). Our results for station A are also in good agreement with those found in the non-upwelling area of the south Taiwan Strait (Huang et al., 2011), which suggested mean rates of 0.4-0.5 d⁻¹ and 0.3-0.7 d⁻¹ for phytoplankton growth and microzooplankton grazing during July 2004 and 2005.

Incubation experiments in station A revealed an exponential growth of phytoplankton chlorophyll-*a* in response to nutrient addition within the first two days, before reaching a stable growth phase on the third day and a decay phase on the fourth day; the chlorophyll-*a* of the control experiment with raw seawater without nutrient additions quickly decreased as nutrients were consumed in the bottles (Fig. 8a). In contrast, phytoplankton of station B showed no response to nutrient enrichment within the first two days of incubation compared to the control experiment (Fig. 8b). Significant increase of incubated chlorophyll-*a* for station B was only found during the last two days of experiment (Fig. 8b). Nutrient utilization during nutrient-enrichment incubations at these two stations were also quite different, with a much slower specific rate of nutrient consumption at station B (0.46 d⁻¹) than at station A (1.03 d⁻¹). These results suggest that there was stronger nutrient limitation of the phytoplankton community at station A than station B during our cruise.

4. Discussion

4. 1 Roles of turbulent mixing and curl-driven upwelling on nutrient fluxes of the nSCS

during the spring inter-monsoon transition period

485

486

487

488

491

492

493

494

495

496

497

498

499

500

501

502

503 504

505

506

507

508

509

510

511512

513

514

production in the nSCS.

If the horizontal and atmospheric inputs are ignored, the total nutrient flux into the euphotic zone (J_{total}) is the sum of diffusive flux due to turbulent dissipation $(J_{dif}=K_z\partial C/\partial z)$ and the advective flux due to upwelling $(J_{uvw}=wC, \text{ negative for downwelling})$:

489
$$J_{total} = K_z \frac{\partial C}{\partial z} + wC$$
490 (6)

To assess the roles of turbulent diffusion and Ekman pumping on vertical transport of nutrients in the nSCS, the diffusive and advective nitrate fluxes at the base of euphotic zone was estimated from the continental shelf to the open sea during May 2014 (see Table 1 for details). Vertical velocity (w) at the based of euphotic zone is assumed equal to the curl-driven upwelling/downwelling velocity (w_e) by Ekman pumping. We have neglected Ekman transport as its effect is restricted only to the near coast (Gan et al., 2010). Variations of w during the transect study is consistent with the isopycnal oscillation along the section (Fig. 3), suggesting the important role of Ekman pumping on physical dynamics of the water column. At the continental slope of station C_6 , the vertical nitrate fluxes were largely supported by curl-driven upwelling, with turbulent mixing playing a minor role due to low nitrate gradients. In contrast, the diffusive nitrate flux was over three times of the upwelled nitrate flux at station C₇, immediately adjacent to C₆. Except for station C₁₂, curl-driven downwelling was observed in the deep-water regions during the transect study, leading to downward transport of the low-nutrient surface water to the deeper layer. The upward nitrate fluxes in these stations were thus determined by the intensities of diffusive fluxes working against the downwelling fluxes. There was a negative nitrate flux found at station C₉ where downwelling was stronger than the upward

For the deep-water stations including the offshore pelagic zone and the water intrusion zone, the integrated chlorophyll-a biomass during the transect study shows a positive correlation with the upward nitrate flux ($\int Chl \cdot dz = 16.75 \times J_{total} + 7.7$, $r^2 = 0.58$,

controls the vertical fluxes of nutrients into the euphotic zone to support phytoplankton

diffusion, resulting in a loss of nitrate from the euphotic zone. Our findings suggest that it

is the interplay of turbulent diffusion and curl-driven upwelling/downwelling that

p=0.014) when stations C₉ is not included (Table 1), supporting the important role of 515 bottom-up control on phytoplankton production in our study area (Chen 2005). Station C₆ 516 should be excluded from the regression since it is near the top of the shelf-slope 517 subjecting to influence by along-shelf transport of low-chlorophyll waters, which could 518 have resulted in the relatively lower chlorophyll-a biomass but higher vertical nutrient 519 supplies observed in this station. From the regression slope of 16.75, we could estimate a 520 specific new production by vertical nitrate supply of 0.060 molN (gChl)⁻¹ d⁻¹, which is 521 slightly lower than 0.063-0.088 molN (gChl)⁻¹ d⁻¹ reported in the nSCS by Chen (2005). 522 Assuming a vertically constant rate of phytoplankton specific growth, a gram 523 chlorophyll-to-carbon ratio of 0.03 and a molar C/N ratio of 6.625, we estimate a 524 vertically integrated primary production of ~12.3 mmolN m⁻² d⁻¹ in station B and ~1.8 525 mmolN m⁻² d⁻¹ in station A. The contribution of vertical nutrient fluxes to primary 526 production could thus be \sim 11% and \sim 26% in stations B and A, respectively, which are 527 comparable with the f-ratio of 0.14-0.20 previously estimated in the nSCS from late 528 March to October (Chen, 2005). In steady status, the net primary production of 529 phytoplankton should be balanced by the upward nutrient flux as well as the downward 530 531 particle flux. Therefore, a high nutrient flux would correspond to a high net primary production and thus a high biomass accumulation, if other conditions remain the same 532 (species, temperature, light, grazing, etc). Station C₉ is interesting in that the vertical 533 nutrient fluxes are net downward out of euphotic zone, suggesting that the station may 534 not be in steady status. High nutrients here are likely a result of strong horizontal input or 535 536 a previous diapycnal nutrient injection. In this case, large drawdown of nutrients will be expected by fast growing phytoplankton and by the downward transport of nutrients out 537 of euphotic zone. 538 Uncertainty of the vertical nutrient flux could be contributed by errors in the 539 determinations of vertical diffusivity and vertical velocity, as well as nutrient 540 concentration and gradient. Calculation errors of vertical diffusivity by the Thorpe-scale 541 approach, estimated from the time-series station S_1 , were 0.87×10^{-4} m⁻² s⁻¹ at 50 m (n=5), 542 0.71×10^{-4} m⁻² s⁻¹ at 100 m (n=6), and 0.46×10^{-4} m⁻² s⁻¹ at 150 m (n=7). We therefore 543 obtain an average of 0.68×10^{-4} m⁻² s⁻¹ for the overall uncertainty of diffusivity 544 determined in our study. Uncertainty of vertical velocity by Ekman pumping from 545

satellite observations could be approximately determined at each station by their standard deviations over the sampling duration of May 14th-16th, 2014. Measurement errors of nutrients at depths during the field study should be negligible as the concentrations are considerably higher than the detection limits of the analytical methods. We are not able to quantify the uncertainty of nutrient gradient, as we have only one cast for each station with reduced resolution below the euphotic layer. Meanwhile, the nutrient gradient and related diffusive flux that we have calculated at the base of euphotic zone could be interpreted as a mean value between the two adjacent bottle depths (100-200 m). The final uncertainties for the vertical nutrient fluxes are summarized in Table 1, which vary substantially from 0.34 to 0.98 mmol m⁻² d⁻¹ (n=9) for stations in the offshore regions.

556557

558

559

560

561562

563

564

565

566567

568

569

570

571

572

573

574

575

576

546

547

548

549

550

551

552

553

554

555

4.2 Impact of growth-grazing dynamics on phytoplankton chlorophyll biomass in the nSCS

Distributions of phytoplankton in the ocean are controlled by complex physical and biological interactions. To assess the influence of growth-grazing dynamics on phytoplankton chlorophyll-a biomass in the nSCS, two stations with distinct biogeochemical settings and nutrient fluxes were selected for measurements of phytoplankton growth and microzooplankton grazing rates. In addition, the community response to nutrient enrichments at the two stations was assessed by continuous incubations for up to four days. Previous studies indicates that surface phytoplankton community in the southeast Dongsha Islands is dominated by both diatom and picoplankton such as *Prochlorococcus*, while picoplankton with negligible diatoms are found in the non-upwelling area south of the Taiwan Strait during late spring and early summer (Yang 2009; Huang et al., 2011). Our results of substantially high phytoplankton growth rates observed at station B southeast of Dongsha Islands are in agreement with its high nutrient concentrations and nutrient fluxes compared to station A south of Taiwan Strait. When released from the constraints by nutrient limitation, phytoplankton community will be expected to shift from dominance by picoplankton toward a higher relative abundance of larger phytoplankton because of their higher intrinsic capacity for growth (Agawin et al., 2000).

Percentage of the primary production consumed by microzooplankton can be

estimated by the ratio of microzooplankton grazing over phytoplankton growth (g/μ) (Landry et al., 1998). High g/μ ratios (~1.5) at station A suggest an elevated role of the microbial food web in the south Taiwan Strait, promoting nutrient recycling to support further phytoplankton growth. Whereas, the relatively higher microzooplankton grazing rate but lower g/μ ratio at station B may indicate a greater efficiency of carbon export near the Dongsha Islands, as the greater loss of diatoms through sinking or grazing by mesozooplankton in regions with high nutrient supply (Landry et al., 1998). Natural growth of phytoplankton at station B was much higher than its grazing mortality, leading to a large net growth rate (growth minus grazing) of 0.58 d⁻¹, which is consistent with the high integrated chlorophyll biomass in this station. In contrast, a negative net growth rate of -0.15 d⁻¹ was found at station A as a result of higher grazing pressure. The specific phosphate consumption rate of 1.03 d⁻¹ at station A was about twice of that at station B (0.46 d⁻¹) suggesting a larger nutrient demand at station A. There was actually a faster response of phytoplankton to nutrient enrichment at station A than at station B indicating a stronger nutrient limitation in the south Taiwan Strait. The negative net community growth and the higher nutrient consumption rate at station A are consistent with the spring phytoplankton bloom of the southwest Taiwan observed in the satellite data (Fig. 2c) being in its decline phase. Indeed, the area of the phytoplankton bloom decreased substantially within two weeks and was not visible by the middle of June, 2014 (from weekly mean sea surface chlorophyll-a data of MODIS Aqua) supporting the important role of grazing activity on phytoplankton distribution in the nSCS. In conclusion, we have conducted a preliminary study on vertical nutrient fluxes and

577

578

579

580

581

582

583

584

585

586

587

588

589

590

591

592593

594

595

596

597 598

599

600

601

602

603

604

605

606

607

In conclusion, we have conducted a preliminary study on vertical nutrient fluxes and phytoplankton dynamics in the nSCS. Our results suggest that phytoplankton patchiness in the nSCS during the spring inter-monsoon of May 2014 was largely controlled by vertical nutrient fluxes, which were driven by both turbulent diffusion and wind stress curl-driven upwelling. Our results also revealed an increasing role of turbulent diffusion but a decreasing role of curl-driven upwelling on vertical transport of nutrients from the coastal ocean zones to the offshore pelagic zones in the nSCS. Elevated nutrient fluxes observed near the Dongsha Islands were found to support high new production leading to net growth of phytoplankton community, whereas the low nutrient fluxes of the south Taiwan Strait resulted in a negative net community growth leading to decline of a

phytoplankton bloom. As the findings presented here is limited by the very narrow area and the very short period of sampling time, future studies may be improved by addressing the variability of vertical nutrient fluxes and its relationship to phytoplankton dynamics on a much longer time scale over a much broader area of the nSCS.

Acknowledgements

We are grateful to the captain and crew of the *R/V Shiyan III* for their helps during the field work. We also thank two anonymous reviewers for helpful comments. This work is supported by a startup fund from a National Talent-Recruitment Program and a grant from the Chinese Academy of Sciences' Strategic Pilot Project No.XDA110202014 (to QPL).

- 619 References
- Abraham, E.R.: The generation of plankton patchiness by turbulent stirring, Nature, 391,
- 621 577-580, 1998.
- Agawin, N.S.R., Duarte, C.M., and Agusti, S.: Nutrient and temperature control of the
- 623 contribution of picoplankton to phytoplankton biomass and production, Limnol. Oceanogr., 45,
- 624 591-600, 2000.
- Bombar, D., Dippner, J.W., Doan, H.N., Ngoc, L.N., Liskow, I., Loick-Wilde, N., and Voss,
- 626 M.: Sources of new nitrogen in the Vietnamese upwelling region of the South China Sea, J.
- 627 Geophys. Res., 115, C06018, doi:10.1029/2008JC005154, 2010.
- 628 Centurioni, L.R., Niiler, P.P., and Lee, D.K.: Observations of inflow of Philippine Sea surface
- water into the South China Sea through the Luzon Strait, J. Phys. Oceanogr., 34, 113-121, 2004.
- Chao, S.Y., Shaw, P.T., and Wu, S.Y.: Deep water ventilation in the South China Sea,
- 631 Deep-Sea Res., I 43, 445-466, 1996.
- Chen, B., Liu, H., Landry, M.R., Dai, M., Huang, B., and Sun, J.: Close coupling between
- phytoplankton growth and microzooplankton grazing in the western South China Sea, Limnol.
- 634 Oceanogr., 54, 1084-1097, 2009.
- Chen, B., Zheng, L., Huang, B., Song, S., and Liu, H.: Seasonal and spatial comparisons of
- 636 phytoplankton growth and mortality rates due to microzooplankton grazing in the northern South
- 637 China Sea, Biogeosciences, 10, 2775-2785, 2013.
- 638 Chen, Y.L.: Spatial and seasonal variations of nitrate-based new production and primary
- production in the South China Sea, Deep-Sea Res., II, 52, 319-340, 2005
- Chow, C., Hu, J., Centurioni, L.R., and Niiler, P.P.: Mesoscale Dongsha cyclonic eddy in the
- northern South China Sea by drifter and satellite observations, J. Geophys. Res., 113, C04018,
- doi:10.1029/2007JC004542, 2008.
- 643 Cullen, J.J., Franks, P.J.S., Karl, D.M., and Longhurst, A.: Physical influences on marine
- ecosystem dynamics, in: The sea, 12, Robinson, A.R., McCarthy, J.J., Rothschild, B.J. (eds), John
- 645 Wiley & Sons, New York, 297–336, 2002.
- Davis, C.S., Flierl, G.R., Wiebe, P.H., and Franks, P.J.S.: Micropatchiness, turbulence and
- recruitment in plankton, J. Mar. Res., 43, 109-151, 1991.
- Eppley, R.W., and Peterson, B.J.: Particulate organic matter flux and planktonic new
- 649 production in the deep ocean, Nature, 282, 677-680,1979.
- Farris, A., and Wimbush, M.: Wind-induced intrusion into the South China Sea, J. Oceanogr.,
- 651 52, 771–784, 1996.
- Galbraith, P.S., and Kelley, D.E.: Identifying Overturns in CTD Profiles, J. Atmos. Ocean.

- 653 Tech., 13, 688–702, 1996.
- Gan, J., Lu, Z., Dai, M., Cheung, A., Liu, H., and Harrison, P.: Biological response to
- 655 intensified upwelling and to a river plume in the northeastern South China Sea: A modeling study,
- 656 J. Geophys. Res., 115, doi: 10.1029/2009jc005569, 2010.
- Gargett, A. E., and Garner, T.: Determining Thorpe scales from ship-lowered CTD density
- 658 profiles, J. Atmos. Ocean. Tech., 25, 1657–1670, 2008.
- Gaube, P., Chelton, D.B., Strutton, P.G., and Behrenfeld, M.J.: Satellite observations of
- chlorophyll, phytoplankton biomass, and Ekman pumping in nonlinear mesoscale eddies, J.
- Geophys. Res., 118, 6349-6370, doi:10.1002/2013JC009027, 2013.
- Gill, A.E. (Eds.): Atmosphere-Ocean Dynamics, International Geophysics Series, 30,
- Academic Press, London, 1982.
- Han, A., Dai, M., Gan, J., Kao, S., Zhao, X., Jan, S., Li, Q., Lin, H., Chen, C., Wang, L., Hu,
- J. Wang, L., and Gong. F.: Inter-shelf nutrient transport from the East China Sea as a major
- nutrient source supporting winter primary production on the northeaster South China Sea shelf,
- 667 Biogeosciences, 10, 8159-8170, 2013.
- Huang, B., Xiang, W., Zeng, X., Chiang, K., Tian, H., Hu, J., Lan, W., and Hong, H.:
- Phytoplankton growth and microzooplankton grazing in a subtropical coastal upwelling system in
- 670 the Taiwan Strait, Cont. Shelf Res, 31, 48-56, 2011.
- Kim, T.K., Lee, K., Duce, R., Liss, P.: Impact of atmospheric nitrogen deposition on
- phytoplankton productivity in the South China Sea, Geophys. Res. Letters, 41(9), 3156-3162,
- 673 2013.
- Klein, P., and Lapeyre, G.: The oceanic vertical pump induced by mesoscale and
- submesoscale turbulence, Annu. Rev. Mar. Sci., 1, 351-375, 2009.
- Landry, M.R., Brown, S.L., Campbell, L., Constantinou, J., and Liu, B.: Spatial patterns in
- 677 phytoplankton growth and microzooplankton grazing in the Arabian Sea during monsoon forcing,
- 678 Deep-Sea Res., II, 45, 2353-2368, 1998.
- 679 Landry, M.R., and Hassett, R. P.: Estimating the grazing impact of marine micro-zooplankton,
- 680 Mar. Biol., 67(3), 283-288, 1982.
- Li, Q.P., Franks, P.J.S., and Landry, M.R.: Microzooplankton grazing dynamics:
- parameterizing grazing models with dilution experiment data in the California Current Ecosystem,
- 683 Mar. Ecol. Prog. Ser., 438, 59-69, 2011.
- Li, Q.P., Franks, P.J.S., Ohman, M.D., and Landry, M.R.: Enhanced nitrate flux and biological
- processes in a frontal zone of the California Current System, J. Plankton Res., 34, 790-801, 2012.
- Li, Q.P., and Hansell, D.A.: Nutrient distribution in baroclinic eddies of the oligotrophic

- North Atlantic and inferred impacts on biology, Deep-Sea Res., II, 55, 1291-1299, 2008.
- Li, Q.P., Hansell, D.A., and Zhang, J.Z.: Underway monitoring of nanomolar nitrate plus
- nitrite and phosphate in oligotrophic seawater, Limnol. Oceanogr. Methods, 6, 319-326, 2008.
- 690 Li, Q.P., Wang, Y., Dong, Y., and Gan, J.: Modeling long-term change of planktonic
- 691 ecosystems in the Northern South China Sea and the upstream Kuroshio Current, J. Geophys.
- 692 Res., 120, doi:10.1002/2014JC010609, 2015
- Lien, R., Tang, T., Chang, M., and D'Asaro, E.A.: Energy of nonlinear internal waves in the
- 694 South China Sea, Geophys. Res. Lett., 32, L05615, doi:10.1029/2004GL022012, 2005.
- Lin, I., Lien, C., Wu, C., Wong, G.T.F., Huang, C., and Chiang, T.: Enhanced primary
- 696 production in the oligotrophic South China Sea by eddy injection in spring, Geophys. Res. Letters,
- 697 37, L16602, doi:10.1029/2010GL043872, 2010.
- Lin, I., Wong, G.T.F., Lien, C., Chien, C., Huang, C., and Chen, J.: Aerosol impact on the
- 699 South China Sea biogeochemistry: an early assessment from remote sensing, Geophys. Res.
- 700 Letters, 36, L17605, doi:10.1029/2009GL037484, 2009.
- Liu, K.K., Chao, S.Y., Shaw, P.T., Gong, G.C., Chen, C.C., and Tang, T.Y.: Monsoon-forced
- 702 chlorophyll distribution and primary production in the South China Sea: observations and a
- 703 numerical study, Deep-Sea Res., I, 49, 1387-1412, 2002.
- Liu, X., Furuya, K., Shiozaki, T., Masuda, T., Kodama, T., Sato, M., Kaneko, H., Nagasawa,
- M. and Yasuda, I.: Variability in nitrogen sources for new production in the vicinity of the shelf
- edge of the East China Sea in summer, Cont., Shelf Res., 61-62, 23-30, 2013.
- Liu, Z.Y., and Lozovatsky, I.: Upper pycnocline turbulence in the northern South China Sea,
- 708 Chin. Sci. Bull., 57(18), 2302-2306, 2012.
- McGillicuddy, D.J., Anderson, L., Bates, N., Bibby, T., Buesseler, K., Carlson, C., Davis, C.,
- 710 Ewart, C., Falkowski, P., Goldthwait, S., Hansell, D.A., Jenkins, W.J., Johnson, R., Kosnyrev, V.,
- 711 Ledwell, J.R., Li, Q.P., Siegel, D.A., and Steinberg, D.K.: Eddy-wind interactions stimulate
- extraordinary mid-ocean plankton blooms, Science, 316, 1021-1026, 2007.
- Osborn, T.R.: Estimates of the local rate of vertical diffusion from dissipation measurements,
- 714 J. Phys. Oceanogr., 10(1), 83-89, 1980.
- Pan, X., Wong, G.T.F., Shiah, F.K., and Ho. T.Y.: Enhancement of biological production by
- 716 internal waves: observations in the summertime in the northern South China Sea, J. Oceanogr., 68,
- 717 427-437, 2012.
- 718 Parsons, T.R., Maita, Y., and Lalli, C.M. (Eds.): A manual of chemical and biological methods
- 719 for seawater analysis, Pergamum Press, Oxford, 1984.
- Risien, C.M., and Chelton, D.B.: A global climatology of surface wind and wind stress fields

- from eight year QuickSCAT scatterometer data, J. Phys. Oceanogr., 38, 2379-2412, 2008.
- Rykaczewski, R.R., and Checkley, D.M.: Influence of ocean winds on the pelagic ecosystem
- 723 in upwelling regions, PNAS, 105(6), 1065–1970, 2008.
- Strom, S. L., Macri, E. L., and Olson, M. B.: Microzooplankton grazing in the coastal Gulf of
- Alaska: Variations in top-down control of phytoplankton, Limnol. Oceanogr., 52, 1480–1494,
- 726 2007.
- Tian, J., Yang, Q., and Zhao, W.: Enhanced diapycnal mixing in the South China Sea. J. Phys.
- 728 Oceanogr., 39, 3191-3203, 2009.
- Thorpe, S.A.: Turbulence and mixing in a Scottish loch, Phil. Trans. Royal Soc., London A,
- 730 286, 125–181, 1977.
- Wang, J., and Tang, D.: Phytoplankton patchiness during spring intermonsoon in west coast
- 732 of South China Sea, Deep-Sea Res, II, 101, 120-128, 2014.
- Yang, Q., Tian, J., Zhao, W., Liang, X., and Zhou, L.: Observations of turbulence on the shelf
- and slope of northern South China Sea, Deep-Sea Res., I, 87, 43-52, 2014.
- Yang, Y.H.: Phytoplankton community structure of the northern South China Sea and the
- Philippine Sea, Master Thesis (in CHN), National Taiwan Normal University, Taiwan, 73 pp.,
- 737 2009.
- Zhou, L., Tan, Y., Huang, L., Huang, J., Liu, H., and Lian, X.: Phytoplankton growth and
- 739 microzooplankton grazing in the continental shelf area of northeastern South China Sea after
- 740 typhoon Fengshen, Cont. Shelf Res., 31, 1663-1671, 2011.

Table 1: Comparisons of integrated chlorophyll-a ($\int Chl \cdot dz$), nitrate gradient ($\partial C/\partial z$), nitrate concentration (NO_3), vertical diffusivity (K_z), upwelling velocity (W_e), diffusive nitrate flux (J_{dif}), upwelled nitrate flux (J_{upw}), and total nitrate flux (J_{total}) for transect stations C₆₋₁₂ and incubation stations A and B at ~1% light depth (~100m depth).

Station		∂ <i>C/</i> ∂ <i>z</i> [mmol m ⁻⁴]	<i>NO</i> ₃ [mmol m ⁻³]	${}^{a}K_{z}$ [10 ⁻⁴ m ² s ⁻¹]	^b w _e [10 ⁻⁵ m s ⁻¹]	J_{dif} [mmol m $^{-2}$ d $^{-1}$]	$^{ m c}J_{upw}$ [mmol m $^{ m c}$ d $^{ m -1}$]	J_{total} [mmol m $^{ ext{-}2}$ d $^{ ext{-}1}$]
C_6	16.8	0.001	5.01	6.30±0.68	0.28±0.02	0.05±0.01	1.21±0.09	1.27±0.10
C_7	20.2	0.077	6.42	0.91±0.68	0.03±0.05	0.60±0.45	0.17±0.27	0.77±0.73
C_8	22.1	0.079	7.47	3.60±0.68	-0.21±0.08	2.44±0.46	-1.36±0.52	1.09±0.98
C_9	15.4	0.122	9.52	0.25±0.68	-0.12±0.03	0.26±0.72	-0.99±0.25	-0.72±0.96
C_{10}	21.7	0.082	9.37	3.45±0.68	-0.18±0.03	2.44±0.48	-1.46±0.24	0.99±0.72
C_{11}	38.7	0.060	2.08	3.30±0.68	-0.27±0.07	1.71±0.35	-0.49±0.13	1.23±0.48
C_{12}	20.7	0.029	3.93	1.53±0.68	0.05±0.05	0.39±0.17	0.17±0.17	0.56±0.34
C_{13}	13.2	0.046	1.98	2.26±0.68	-0.27±0.17	0.91±0.27	-0.46±0.29	0.44±0.56
A	15.7	0.047	2.09	1.60±0.68	-0.09±0.04	0.65±0.28	-0.16±0.08	0.49±0.35
В	24.8	0.080	4.82	4.40±0.68	-0.41±0.11	3.03±0.47	-1.71±0.46	1.33±0.93

^a uncertainty of K_z from Thorpe analyses is estimated as 0.68×10^{-4} m² s⁻¹ (see text for detail)

⁷⁴⁷ b w_e are 3-day-mean of May 14th-16th, 2014, except station B that is of May 12th-14th, 2014

^c assuming vertical velocity at the depth of 100m is equal to w_e .

- Figure 1: Sampling map in the northeastern South China Sea during May 2014. Dash
- lines show the topography of the study area; solid dots are the stations for a transect study
- 751 (C_{1-13}) during May 14th-16th, 2014; star is a time-series reference station (S_1); filled
- squares are two stations where shipboard dilution experiments were performed (A and B).
- 753 Inserted plot shows the temperature/salinity diagram for the transect with arrows
- ridicating waters from the coastal ocean zone (thick gray lines), the offshore pelagic zone
- 755 (thick black lines), and the Kuroshio intrusion zone (thin lines).

- Figure 2: Spatial distributions of (a) sea surface temperature, (b) curl-driven upwelling
- velocity, and (c) sea surface chlorophyll during the survey, together with (d) the
- time-series of curl-driven upwelling and wind stress at stations C₆ and C₁₃ during
- May-June, 2014. Vectors in panel (a) and panel (b) are surface geostrophic currents and
- wind stresses, respectively; geostrophic current is from OSCAR data; upwelling velocity
- and wind stress are from 3-day mean METOP-ASCAT data; sea surface temperature is
- 3-day-mean GOES-POES data; sea surface chlorophyll-a is monthly MODIS-Aqua data.

764

- Figure 3: Vertical distributions of (a) temperature [T], (b) salinity [S], (c) chlorophyll-a
- [Chl-a], (d) nitrate [NO₃], (e) silicate [Si(OH)₄], and (f) phosphate [PO₄] along the coastal
- transect of the northern South China Sea. Overlaid white lines in each panel are
- isopycnals.

769

- Figure 4: Profiles of Thorpe displacement (d_z) , Thorpe scale (L_T) , and turbulent
- diffusivity (K_z) for nine stations $(C_5, C_6, C_7, C_8, C_9, C_{10}, C_{11}, C_{12}, C_{13})$ from the edge of
- continental shelf to the west of Luzon Strait. Locations of these stations are shown in
- 773 Figure 1.

774

- Figure 5: Comparisons of vertical turbulent diffusivities (K_z) between two stations A and
- B. Black line is the result of the reference station S₁ with continuous CTD sampling up to
- 13 casts; circles are for station A (2 casts) with squares for station B (2 casts).

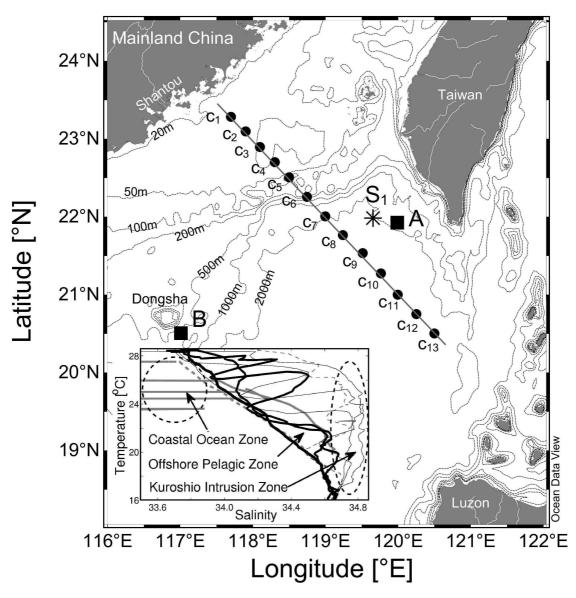
778

- Figure 6: Comparisons of vertical profiles of chlorophyll-a [Chl-a], temperature [T],
- nutrients $[Si(OH)_4, NO_3, PO_4]$, and nutrient gradients between two incubation stations A
- and B. Thick lines in each panel are for bottom axis with thin lines (open symbols) for top
- axis; dash lines are for station A with solid lines for station B.

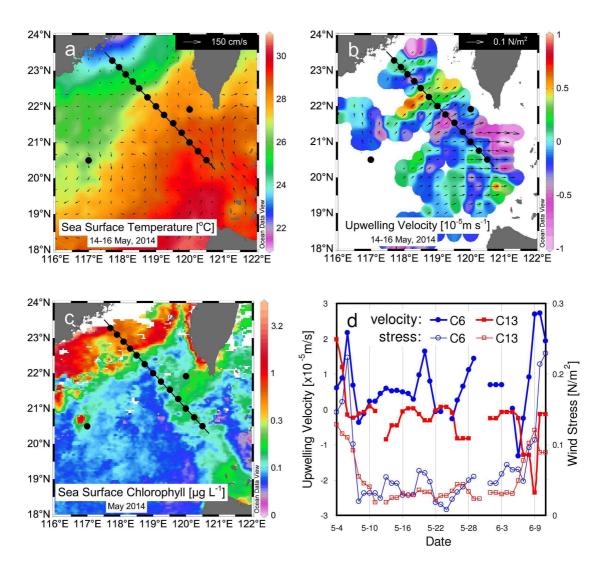
783

- Figure 7: Dilution experiment plots of phytoplankton net growth rates against the dilution
- factors for stations A and B. Filled circles are net growth rates of the raw seawater
- 786 without nutrient enrichments.

- Figure 8: Temporal variations of chlorophyll-a and phosphate during incubations with
- and without nutrient enrichments in stations A and B. Dash lines (filled symbols) are for
- chlorophyll-a in left axis with thin lines (open symbols) for phosphate in right axis;
- 791 control is the incubation of raw seawater without nutrient addition.

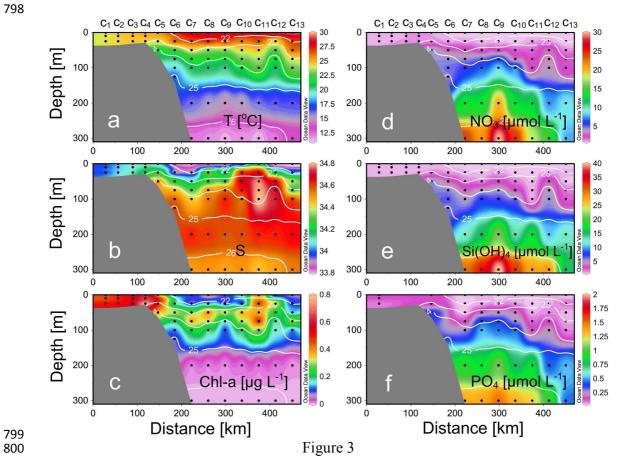


794 Figure 1



797 Figure 2





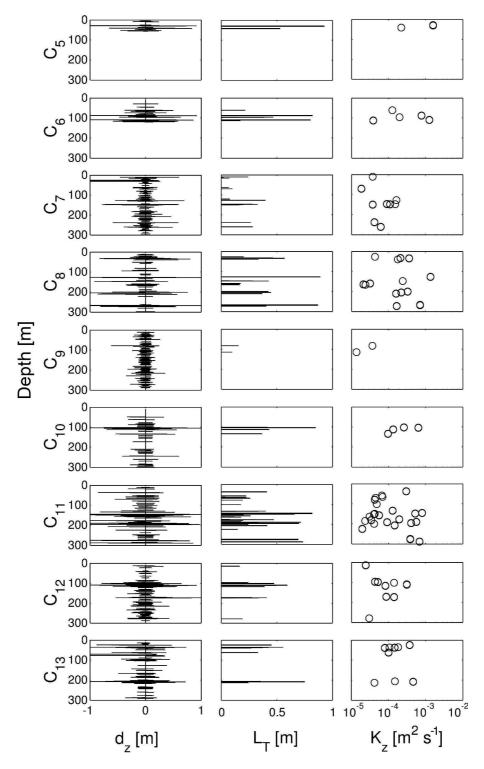
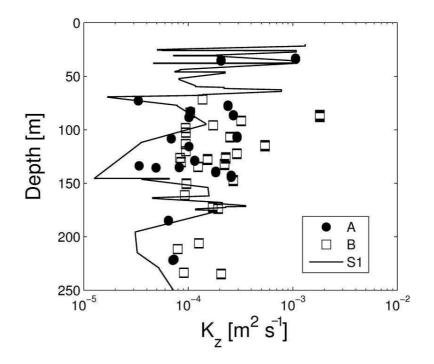
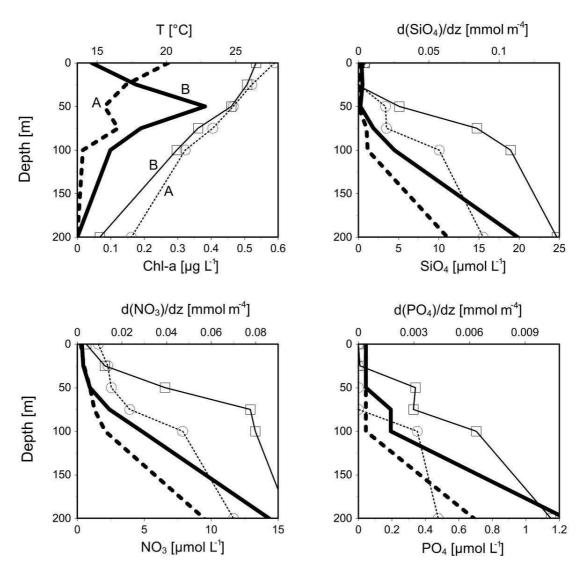
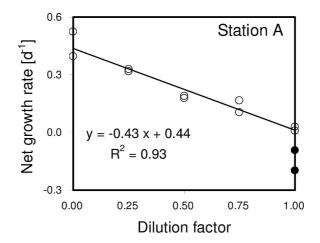


Figure 4





808 Figure 6



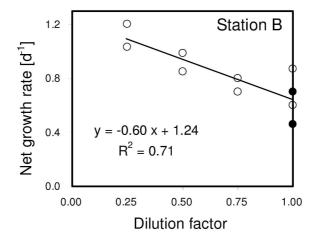
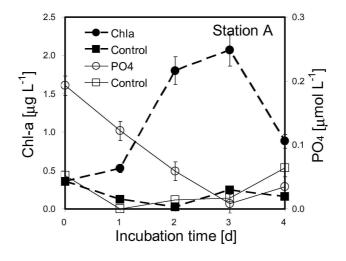


Figure 7



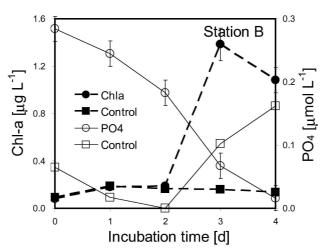


Figure 8