

1 **Effects of nitrate and phosphate supply on chromophoric and fluorescent dissolved**
2 **organic matter in the Eastern Tropical North Atlantic: a mesocosm study**

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25 Key words: Dissolved Organic Carbon (DOC), humic-like fluorescence, tyrosine-like
26 fluorescence, tryptophan-like fluorescence

27 **Abstract**

28 In open ocean regions, as is the Eastern Tropical North Atlantic (ETNA), pelagic production
29 is the main source of dissolved organic matter (DOM) and is affected by dissolved inorganic
30 nitrogen (DIN) and phosphorus (DIP) concentrations. Changes in pelagic production under
31 nutrient amendments were shown to also modify DOM quantity and quality. However, little
32 information is available about the effects of nutrient variability on chromophoric (CDOM)
33 and fluorescent (FDOM) DOM dynamics. Here we present results from two mesocosm
34 experiments (“Varied P” and “Varied N”) conducted with a natural plankton community from
35 the ETNA, where the effects of DIP and DIN supply on DOM optical properties were studied.
36 CDOM accumulated proportionally to phytoplankton biomass during the experiments.
37 Spectral slope (S) decreased over time indicating accumulation of high molecular weight
38 DOM. In Varied N, an additional CDOM portion, as a result of bacterial DOM reworking,
39 was determined. It increased the CDOM fraction in DOC proportionally to the supplied DIN.
40 The humic-like FDOM component (Comp.1) was produced by bacteria proportionally to DIN
41 supply. The protein-like FDOM component (Comp.2) was released irrespectively to
42 phytoplankton or bacterial biomass, but depending on DIP and DIN concentrations. Under
43 high DIN supply, Comp.2 was removed by bacterial reworking, leading to an accumulation of
44 humic-like Comp.1. No influence of nutrient availability on amino acid-like FDOM
45 component in peptide form (Comp.3) was observed. Comp.3 potentially acted as an
46 intermediate product during formation or degradation Comp.2. Our findings suggest that
47 changes in nutrient concentrations may lead to substantial responses in the quantity and
48 quality of optically active DOM and, therefore, might bias results of the applied *in situ* optical
49 techniques of DOC estimations concentrations in open ocean regions.

50 **Introduction**

51 Dissolved organic matter (DOM) is the largest dynamic pool of organic carbon in the ocean.
52 Its global inventory constitutes of approximately 662 petagrams of carbon (PgC) (Hansell et
53 al., 2009). Labile and semi-labile high molecular weight (HMW) DOM is released primarily
54 by phytoplankton (Carlson and Hansell, 2015). It is used as substrate by heterotrophic
55 communities, which, in turn, release less bioavailable semi-refractory or even refractory
56 DOM, thereby modifying the quantity and quality of the DOM pool (Azam et al., 1983,
57 Ogawa et al., 2001, Jiao et al., 2010). Therefore, natural DOM is a complex mixture of
58 organic compounds with different characteristics, such as molecular structure and molecular
59 weight, resulting in different optical properties (Stedmon and Nelson, 2015).

60 For instance, the presence of conjugated double bonds (polyenes) results in the absorption of
61 light in the UV and visible wavelengths (Stedmon and Álvarez-Salgado, 2011). The light
62 absorbing DOM fraction is referred to as ‘chromophoric’ or ‘colored’ DOM (CDOM) (Coble,
63 2007). Due to its abilities to absorb in a wide wavelength range, CDOM may protect primary
64 producers from harmful UV irradiation in the water column, but may also reduce
65 photosynthetically active radiation, as it absorbs at similar wavelength as the first chlorophyll
66 absorption maximum (~443 nm) (Zepp et al., 2008). Photons, absorbed by CDOM, may
67 induce the formation of free radicals, which by colliding with other molecules or other
68 radicals produce new organic molecules, reducing metals or introducing short inorganic and
69 organic substances as byproducts (Sulzberger and Durisch-Kaiser, 2008). Modified by
70 photoreactions, CDOM may serve, as biological substrates for auto- and heterotrophic
71 communities, by releasing nutrients and low molecular weight (LMW) organic compounds, as
72 well as a source of trace gases (e.g. CO, CO₂) (Kieber et al., 1990, Moran and Zepp, 1997,
73 Kieber et al., 1999).

74 CDOM absorption has often been used as an indicator for dissolved organic carbon (DOC)
75 concentrations in the Ocean (Fichot and Benner, 2011, 2012, Rochelle-Newall et al., 2014).
76 For example, DOC concentration in estuarine surface waters can be derived from CDOM
77 absorption by remote sensing techniques, assuming a direct relationship between CDOM
78 absorption and DOC concentrations (Del Castillo, 2005, 2007). In the open ocean, however,
79 this relationship varies throughout the water column (Nelson and Siegel, 2013), and factors
80 affecting it are poorly understood.

81 A better knowledge on factors influencing the CDOM/DOC relationship could improve our
82 understanding of DOM cycling, as well as of the regulation of light attenuation in the ocean.

83 Furthermore, the knowledge of the factors, influencing the open ocean CDOM/DOC
84 relationship would be useful for the estimation of DOC concentrations from CDOM
85 absorption measurements by remote sensing techniques.

86 As CDOM embodies a complex mixture of organic compounds that have overlapping
87 absorption spectra, with, generally, no single compound dominating (Del Vecchio and
88 Blough, 2004), CDOM absorbance spectra decrease exponentially toward longer wavelength,
89 with no discernible peaks. Therefore, the CDOM concentration is commonly expressed as
90 absorption coefficient at chosen wavelength (e.g. 325, 355, 375nm) (Stedmon and Markager,
91 2001, Fichot and Benner, 2012, Nelson and Siegel, 2013).

92 To derive information on CDOM quality, such as molecular weight and modification
93 processes, spectral slopes (S) of CDOM light absorption and spectral slopes ratio (S_R) are used
94 (Helms et al., 2008, Zhang et al., 2009). It has been shown that S decrease with increasing
95 DOM molecular weight, and, therefore, may be used as an indicator of
96 accumulation/degradation of bioavailable HMW-DOM (De Haan and De Boer, 1987, Helms
97 et al., 2008, Zhang et al., 2009).

98 The ratio of S at wavelength region 275-295 nm ($S_{275-295}$) to S at 350-400 nm ($S_{350-400}$), S_R , is
99 used to estimate CDOM transformation processes. S_R increases as CDOM becomes involved
100 in photoreactions and decreases as CDOM undergoes microbial reworking (Helms et al.,
101 2008).

102 The presence of aromatic rings in CDOM often also results in fluorescence (Stedmon and
103 Álvarez-Salgado, 2011). Fluorescent DOM (FDOM) excitation/emission (Ex/Em) spectra
104 allow discriminating between different pools of CDOM (Coble, 2007, Stedmon and Bro,
105 2008, Mopper et al., 2007, Yamashita et al., 2010). The substances that are excited and emit
106 in the UV spectral range commonly correspond to labile proteinaceous DOM, and therefore
107 are referred to as amino acid-like (tyrosine- and tryptophan-like) FDOM (e.g. Coble, 1996).
108 The substances that are excited in the UV spectral range, but emit in the visible spectral range
109 were identified as fulvic- and humic-like FDOM (Gueguen and Kowalczyk, 2013). Tyrosine-
110 and Tryptophan-like substances have been used for the assessment of *in situ* primary
111 productivity, while humic-like substances are used for the indication of allochthonous (e.g.
112 riverine) DOM or microbial DOM transformation (Coble, 1996).

113 Although the CDOM and FDOM distribution and cycling has been described for many open
114 ocean sites (Jørgensen et al., 2011, Kowalczyk et al., 2013, Nelson and Siegel, 2013), specific
115 sources and factors influencing their composition and transformations are yet not well
116 understood.

117 For example, CDOM accumulation is often related to nutrient remineralization (Swan et al.,
118 2009, Nelson and Siegel, 2013). However, the effects of nutrient variability on CDOM
119 concentration and on the relationship between CDOM and DOC are largely understudied.

120 Stedmon and Markager (2005) have reported that nutrients affect freshly produced marine
121 FDOM pools in temperate climate conditions (Raunefjord, Norway). In their study, the amino
122 acid-like fluorescence was enhanced under phosphate (P) and silica limitation, but was
123 independent from phytoplankton composition. Bacterially produced humic-like FDOM
124 components were reported to accumulate under P and silica limitation as well. Later, by
125 addition of different synthetic dissolved organic and inorganic nitrogen (N) substrates to
126 microbial incubations, Biers et al. (2007) emphasized the role of N in CDOM accumulation.
127 They showed that CDOM and FDOM production by bacteria, cultured in natural seawater
128 medium, can be affected to different degrees by the chemical composition and steric effects of
129 the organic N source, while inorganic N sources do not contribute significantly to CDOM or
130 FDOM accumulation. On the other hand, Kramer and Herndl (2004) demonstrated that
131 bacteria may be able to transform about 30% of taken up inorganic N into semi-labile to
132 refractory humic DOM.

133 Stedmon and Markager (2005), however, revealed some doubts about a setup of P limitation.
134 Besides, Kramer and Herndl (2004) and Biers et al. (2007) were based on single bacterial
135 cultures, and phytoplankton and net-effects, associated with natural aquatic bacterial
136 community, were excluded. Therefore, the influence of inorganic nutrients on CDOM
137 concentration and FDOM components in natural waters remains to be resolved.

138 In the open ocean regions, as is the Eastern Tropical North Atlantic (ETNA), pelagic
139 production of DOM is, supposedly, of greater importance than terrestrial DOM input (e.g.
140 Coble et al., 2007).

141 In classical view, the ETNA is considered an “excess N” region compared to the ‘Redfield
142 N:P ratio’ of 16 (see Redfield, 1987 and Gruber and Sarmento, 1997) reflecting high rates of
143 biological N-fixation due to Saharan dust deposition, with N:P ratios 16-25 at depth (see
144 Fanning, 1992). It features a shallow Oxygen Minimum Zone (OMZ) at about 100 m depth
145 with oxygen concentrations about $60 \mu\text{mol O}_2 \text{ kg}^{-1}$ (Brandt et al., 2015) and a deeper OMZ at
146 approximately 300-600 m depth with oxygen concentrations up to $40 \text{ O}_2 \mu\text{mol kg}^{-1}$
147 (Karstensen et al., 2008). However, eddies originating in the Mauritanian upwelling regime
148 and propagating westward can harbor much lower oxygen concentrations ($\sim 4 \mu\text{mol O}_2 \text{ kg}^{-1}$;
149 Karstensen et al., 2014), potentially enabling N-loss processes (Strous et al., 2006, Kartal et

150 al., 2007, Jetten et al., 2009, Jayakumar et al., 2009). Those mesoscale eddies, may transport
151 nutrient loaded but relatively N deficient waters to the surface (McGillicuddy et al., 2003,
152 2007, Mathis et al., 2007). Furthermore, it has been shown that non-diazotroph primary
153 production in the surface waters of ETNA can be N-limited (Franz et al., 2012, Hauss et al.,
154 2013).

155 Here we investigated the effects of different dissolved inorganic nitrogen (DIN) and dissolved
156 inorganic phosphorous (DIP) concentrations and of their supply ratio (DIN:DIP) on DOM
157 quantity and quality by using spectroscopic methods of DOM analysis (e.g. accumulation and
158 properties of CDOM and FDOM) during mesocosm study with natural pelagic community off
159 the Cape Verdean Archipelago, an area, affected by low oxygen-core eddies.

160 During these mesocosm experiments, we tested whether (1) pelagic production is a source of
161 CDOM and FDOM, (2) CDOM and FDOM accumulation and composition are affected by
162 changes in nutrient stoichiometry, and whether (3) the relationship between CDOM
163 absorption and DOC concentrations is stable under variable nutrient concentrations.

164 To do so, DOC concentrations, CDOM absorption and CDOM spectral properties ($S_{275-295}$ and
165 S_R), FDOM fluorescence, as well as chlorophyll *a* (chl *a*), and bacterial abundance, were
166 analyzed during the course of two mesocosm experiments, conducted as a part of the
167 Collaborative Research Centre 754 (SFB754) “Climate-Biogeochemistry Interactions in the
168 Tropical Ocean” (www.sfb754.de).

169 2. Methods

170 2.1 Setup of the mesocosms experiment

171 Two 8-day mesocosm experiments were conducted consecutively in October 2012 at the
172 Instituto Nacional de Desenvolvimento das Pescas (INDP), Mindelo, Cape Verde. Seawater
173 from 5 m depth was collected into four 600L tanks in the night of the 01.10/02.10 and
174 11.10/12.10 for the first and second experiment, respectively. The sampling was done with the
175 RV *Islândia* south of São Vicente (16°44.4'N, 25°09.4'W). For each experiment, sixteen
176 mesocosm-bags were placed floating in 4 'flow-through' cooling baths that were kept at
177 surface seawater temperature (25.9 - 28.7°C) with the water from the Mindelo bay in front of
178 the INDP. The mesocosms were filled alternately (about 10 seconds per filling event) and
179 randomly from the tanks by gravity flow using submerged hose in order to achieve even
180 distribution of the water and minimize bubble formation. A mesh to filter zooplankton was
181 not used. The precise volume of each mesocosm was determined by addition of 1.5 mmol of
182 silicate and subsequent measurement of the resulting silicate concentration. The water volume
183 in the mesocosms ranged from 106 to 145L. For simulation of surface water conditions, the
184 mesocosms were shaded with blue transparent lids to approximately 20% of sunlit irradiation
185 ($56\text{-}420 \mu\text{E m}^{-2} \text{s}^{-1}$, depending on cloud cover).

186 Nutrients were manipulated by adding different amounts of phosphate (DIP) and nitrate
187 (DIN). In the first experiment, the DIP supply was varied ("Varied P") at relatively constant
188 DIN concentrations in twelve of the sixteen mesocosms, while in the second experiment the
189 initial DIN concentrations were varied ("Varied N") at constant DIP supply in twelve of the
190 sixteen mesocosms.

191 In addition to this, four 'cornerpoints', where both, DIN and DIP, were varied, were chosen to
192 be repeated during both experiments (see target DIN and DIP values in Table 1). However,
193 during the first experiment, setting the nutrient levels in one of the 'cornerpoint' mesocosms
194 (mesocosm 10) was not successful and it was decided to adjust the DIN and DIP
195 concentrations in this mesocosm to 'Redfield N:P ratio' of 16 (Redfield, 1987) and therefore
196 add another replicate to the treatment 12.00N/0.75P. Another 'cornerpoint' mesocosm
197 (mesocosm 5) during the first experiment was excluded from further analyses as no algal
198 bloom had developed.

199 Initial sampling for biogeochemical parameters was accomplished immediately after the
200 mesocosms filling (day 1). Nutrients were added after the initial sampling. Daily water
201 sampling was conducted between 9:00 and 10:30 a.m. on days 2 to 8.

202 The target and actual nutrient concentrations are shown in Table 1 and the corresponding
203 treatment indications will be used in the following.

204 **2.2 Sampling and Analyses**

205 **2.2.1 Particulate organic matter**

206 Samples of 500 mL for chl *a* measurements were vacuum-filtered (< 200 mbar) onto
207 Whatman GF/F filters (25 mm, 0.7 µm), 1 ml of ultrapure water was added and the filters
208 were frozen at -20°C for at least 24 hours. Subsequently, pigments were extracted using
209 acetone and measured in a Trilogy® fluorometer (Turner Designs) calibrated with a chl *a*
210 standard (*Anacystis nidulans*, Walter CMP, Kiel, Germany) dilution series (Parsons et al.,
211 1984).

212 For bacterial cell counts, samples (5 mL) were fixed with 2% formaldehyde, frozen at -80°C
213 and transported to the home laboratory. Samples were diluted 1:3, stained with SYBR-Green
214 and measured at a flow rate of 11.0 µL min⁻¹ by flow cytometry (FACScalibur, Becton
215 Dickinson, San Jose, CA, USA).

216 **2.2.3 Dissolved organic matter**

217 Dissolved organic carbon (DOC) duplicate samples (20 mL) were filtered through combusted
218 GF/F filters and collected in combusted glass ampoules. Samples were acidified with 80 µL
219 of 85% phosphoric acid, flame sealed and stored at 4°C in the dark until analysis.

220 DOC samples were analysed by applying the high-temperature catalytic oxidation method
221 (TOC -VCSH, Shimadzu) adapted from Sugimura and Suzuki (1998). The instrument was
222 calibrated every 8-10 days by measuring of 6 standard solutions of 0, 500, 1000, 1500, 2500
223 and 5000 µgC L⁻¹, prepared using a potassium hydrogen phthalate standard (Merck 109017).
224 Every day before each set of measurements, ultrapure (MilliQ) water was used for setting the
225 instrument baseline, following by the measurement of the deep-sea water standard (Dennis
226 Hansell, RSMAS, University of Miami) with known DOC concentration in order to verify
227 result representation by the instrument. Additionally, two DOC control samples were prepared
228 each day of measurement using a potassium hydrogen phthalate standard (Merck 109017).
229 The control samples had dissolved carbon concentrations within the range of those in samples
230 and were measured along the sample analyses in order to avoid mistakes due to baseline flow
231 during measurements. The DOC concentration was determined in each sample out of 5 to 8
232 replicate injections.

233 For chromophoric dissolved organic matter (CDOM) and fluorescent dissolved organic matter
234 (FDOM), duplicate samples of 35ml for each parameter were collected daily into combusted
235 (450°C, 8 hours) amber-glass vials after filtering through 0.45 µm polyethersulfone syringe
236 filters (CHROMAPHIL® Xtra PES-45/25, MACHEREY-NAGEL GmbH & Co.KG). The
237 samples were stored at 4°C in the dark during 6 month pending analyses. All samples were
238 brought to room temperature before analyses.

239 Absorption of chromophoric dissolved organic matter (CDOM) was detected using a 100 cm
240 path length liquid waveguide cell (LWCC-2100, World Precision Instruments, Sarasota,
241 Florida) and a UV-VIS spectrophotometer (Ocean Optics USB 4000) in conjunction with the
242 Ocean Optics DT-MINI-CS light source. The absorbance was measured against ultrapure
243 water (MilliQ) by injection to the cell with a peristaltic pump. The measurements were done
244 over spectral range of 178.23 to 885.21 nm at 0.22 nm interval.

245 For the determination of fluorescent dissolved organic matter (FDOM), 3D fluorescence
246 spectroscopy - Excitation-Emission Matrix Spectroscopy (EEMs) - was performed using a
247 Cary Eclipse Fluorescence Spectrophotometer (Agilent Technologies) equipped with a xenon
248 flash lamp. The fluorescence spectra for samples were measured in a 4-optical window 1 cm
249 Quartz SUPRASIL® precision cell (Hellma®Analytcs). The blank-3D fluorescence spectra
250 and Water Raman scans were performed daily using an Ultra-Pure Water Standard sealed
251 cuvette (3/Q/10/WATER; Starna Scientific Ltd). The experimental wavelength range for
252 sample and ultra-pure water scans was 230 to 455 nm in 5 nm intervals on excitation and 290
253 to 700 nm in 2 nm intervals on emission. Water Raman scans were recorded from 285 to 450
254 nm at 1 nm intervals for emission at the 275 nm excitation wavelength (Murphy et al., 2013).
255 All fluorescence measurements were managed at 19°C (Cary Single Cell Peltier Assesory,
256 VARIAN), PMT 900V, 0.2 s integration times and 5 nm slit width on excitation and emission
257 monochromators. The absorbance for EEMs corrections was procured simultaneously with
258 Shimadzu® 1800 UV-VIS double-beam spectrophotometer. The absorbance was measured at
259 the room temperature (~19°C) in 2-optical window 5 cm Quartz SUPRASIL® precision cell
260 (Hellma®Analytcs). The measurements were done at 1 nm wavelengths intervals from 230 to
261 750 nm against MilliQ water as a reference. The obtained data were converted to absorbance
262 in a 1 cm cell.

263 **2.3 Data evaluation**

264 **2.3.1 CDOM**

265 The measured CDOM absorbance spectra were corrected to the refractive index of remaining
266 particulate matter and colloids after Zhang et al. (2009) and for salinity after Nelson et al.
267 (2007), and converted to absorption coefficients according to Bricaud et al. (1981):

$$268 \quad (1) \quad a_{CDOM}(\lambda) = 2.303 \times A(\lambda)/L;$$

269 where $a_{CDOM}(\lambda)$ – is the absorption coefficient at wavelength λ (m^{-1}), $A(\lambda)$ - is the
270 absorbance value at same wavelength and L – is the effective optical path length (m).

271 In in rivers and the coastal waters, absorption coefficients at 355 ($a_{CDOM}(355)$) and 375
272 ($a_{CDOM}(375)$) nm are commonly used to express CDOM concentrations (Granskog et al.,
273 2007, Stedmon et al., 2011). Absorption coefficients at 440-445nm ($a_{CDOM}(440)$) are used for
274 comparison of field CDOM measurements to remote sensing (Swan et al., 2013). In open
275 ocean blue waters, absorbance at wavelengths of 400-600 nm is very low. Therefore,
276 absorption at 325 nm ($a_{CDOM}(325)$) is often used for expression of the open ocean CDOM
277 concentrations (Nelson and Siegel, 2013). The area off Cape Verdean Archipelago, where
278 water for mesocosms was taken, is not influenced by river inflow and is considered as the
279 open ocean area. Thus, $a_{CDOM}(325)$ was chosen for expression of CDOM concentration.

280 Spectral slopes for the intervals 275-295nm ($S_{275-295}$) and 350-400 ($S_{350-400}$) were calculated
281 after Helms et al. (2008) using log-transform linear regression.

282 The CDOM alteration indicator, slope ratio (S_R), was also calculated after Helms et al. (2008)
283 as well, as ratio of $S_{275-295}$ to $S_{350-400}$.

284 To describe changes in CDOM spectral properties along with change in CDOM
285 concentration, the following equation was used:

$$286 \quad (2) \quad S_{275-295} = \alpha + \beta/a_{CDOM}(325);$$

287 where α and β are the regression coefficients.

288 The variability of the relationship $a_{CDOM}(325)/DOC$ vs $S_{275-295}$, as possible tool for DOC
289 estimation from spectroscopic measurements, was expressed as:

$$290 \quad (3) \quad a_{CDOM}(325)/DOC = e^{(\gamma - \delta S_{275-295})} + e^{(\varepsilon - \zeta S_{275-295})};$$

291 where γ , δ , ε and ζ are regression coefficients.

292 **2.3.2 FDOM**

293 The 3D fluorescence spectra were corrected for spectral bias, background signals and inner
294 filter effects. Each EEM was normalized to the area of the ultra-pure water Raman peaks,

295 measured in the same day. EEMs were combined into three-dimensional data array, analyzed
296 by PARAFAC (Stedmon and Bro, 2008) and validated by split-half analysis using “drEEM
297 toolbox for MATLAB” after Murphy et al. (2013).

298 Only up to three components could be validated. For models with more than three
299 components the results varied during split-half analysis (see Murphy et al., 2013), indicating
300 the possibility of identifying the instrument noise as a signal (e.g. Stedmon and Markager,
301 2005). The fluorescence of each component is stated as fluorescence at excitation and
302 emission maximums in Raman units (RU).

303 **2.3.3 Mesocosm data treatment**

304 Based on the nutrient component that was mainly varied, the experiments are referred to as
305 Varied P and Varied N in the following.

306 High variability of CDOM components (Fig.S1) was observed on day 1 and day 2 of Varied P
307 and day 1 of Varied N. This variability was likely associated to the filling and manipulation of
308 the mesocosm bags and vanished afterwards. These days were excluded from further
309 calculations, and day 3 and day 2 were defined as “start” or “beginning” of Varied P and
310 Varied N, respectively. Day 8 was defined as the “end” of both experiments. To exclude
311 initial variability, changes of the different DOM parameters over time were calculated as the
312 difference between sampling day and start day:

$$313 \quad (4) \quad \Delta C_i(k) = C_i(k) - C_i(start);$$

314 where C is a concentration, absorption or fluorescence intensity, i is a mesocosm id ($i = 1 -$
315 16) and k is the day of experiment.

316 For the presentation of the development over time, POM and DOM Δ -values were averaged
317 for each nutrient treatment.

318 The ‘cornerpoints’ are not presented in the DOM development plots, since both DIN and DIP
319 in them were modified. Therefore, including these treatments could bias the interpretation of
320 effects induced by single inorganic nutrients. However, in plots and analyses where DIP or
321 DIN influence was investigated all treatments were included to avoid a single nutrient effect
322 overestimation.

323 For an estimation of the drivers of changes in DOM optical properties, the covariance of total
324 accumulation of DOM compounds ($\Delta_8\text{DOM}$) with the cumulative sum of POM (Σ_{POM})
325 parameters was tested by linear regression analysis.

326 Mean normalized deviations (mean dev. %), calculated as:

327 (5)
$$mean\ dev\ \% = \frac{100}{\overline{\Delta C}n} \sum_{start}^{end} \Delta Ci(k) - \overline{\Delta C(k)};$$

328 where C - is a concentration, absorption or fluorescence intensity, k – is the day of experiment,
329 n – is a total number of days ($n = end - start$) and i - is a mesocosm ID ($i = 1 - 16$);
330 $\Delta Ci(k)$ is calculated by equation (4), $\overline{\Delta C(k)}$ – is the mean ΔC for all mesocosms at the day k ,
331 and $\overline{\Delta C}$ – is average ΔC for all mesocosms during the whole experiment. Mean dev. (%) were
332 tested against nutrient supply (Varied P and Varied N) and DIN:DIP supply ratio in the
333 mesocosms at day 2 in order to estimate the nutrient and stoichiometry effect on DOM
334 accumulation in the mesocosms.

335 All statistical tests in this work were performed by the use of Sigma Plot 12.0 (Systat). The
336 significance level was $p < 0.05$.

337 **3. Results**

338 **3.1 Particulate organic matter development**

339 After nutrient addition, a phytoplankton bloom development was observed in all mesocosms
340 during both experiments. Maximum chl *a* concentrations in Varied P occurred at day 5
341 (Fig.1a), with higher concentrations in treatments with initial nutrients supplied at lower or
342 equal to Redfield N:P ratio (12.00N/0.75P, 12.00N/1.25P, 12.00N/1.75P). However, no
343 significant relationship of the cumulative sums of chl *a* ($\Sigma_{\text{chl } a}$) to DIP concentration was
344 recognized ($p>0.05$, $n=15$). In Varied N, chl *a* concentrations reached its maximum at day 6
345 (Fig.1b) and $\Sigma_{\text{chl } a}$ were significantly affected by the initial DIN concentrations (Wilcoxon
346 rank test: $p<0.001$, $n=16$), indicating that DIN was regulating phytoplankton biomass buildup.

347 Bacterial abundance increased until day 6 (paired t-test: $p>0.001$, $n=31$) in all mesocosms and
348 then stayed relatively constant towards the end of both experiments (paired t-test: $p>0.05$,
349 $n=31$; Fig.1c, d). In Varied P, cumulative sums of bacterial abundance (Σ_{bac}) were not related
350 to the initial DIP supply ($p>0.05$, $n=15$). Highest bacterial abundance was observed at day 6,
351 yielding $2.0\pm 0.7\times 10^6$ mL⁻¹ averaged for all treatments (Fig.1c). In contrast, in Varied N, Σ_{bac}
352 was significantly positively correlated to DIN amendments ($p<0.01$, $n=16$). The highest
353 bacterial abundance of $2.6\pm 0.2\times 10^6$ mL⁻¹ was observed at day 6 in the treatment with the
354 highest initial DIN concentration (20.00N/0.75P).

355 **3.2 Dissolved organic matter**

356 **3.2.1 Dissolved organic matter concentration**

357 The initial DOC concentration (day 3), did not differ significantly between treatments in
358 Varied P (one way ANOVA: $p>0.05$, $n=15$) and was 99 ± 5 $\mu\text{mol L}^{-1}$ on average. In contrast, in
359 Varied N initial DOC concentrations (day 2) varied significantly among treatments (Holm-
360 Sidak test: $p<0.001$, $n=16$) with 87 ± 2 $\mu\text{mol L}^{-1}$ in the treatment with second lowest initial
361 DIN concentrations (4.00N/0.75P), 91 ± 1 $\mu\text{mol L}^{-1}$ on average for the Redfield DIN:DIP
362 treatment (12.00N/0.75P) and for the treatment with the lowest initial DIN concentrations
363 (2.00N/0.75P), and 95 ± 3 $\mu\text{mol L}^{-1}$ in the treatment with the highest initial DIN concentrations
364 (20.00N/0.75P). The calculation of DOC accumulation (ΔDOC) thus allowed a better
365 comparison of bulk DOC dynamics between treatments than absolute concentrations and will
366 be given in the following.

367 During both experiments, DOC accumulated significantly over time (paired t-test of start and
368 end values: $p<0.001$, $n=15$ and 16, respectively) with generally higher accumulation observed

369 in Varied N than in Varied P (Mann-Whitney rank sum test: $p < 0.001$, $n = 120$). On day 8,
370 accumulation of DOC ($\Delta_8\text{DOC}$) was highest ($33 \pm 23 \mu\text{mol L}^{-1}$) in the highest DIP treatment
371 (12.00N/1.75P) in Varied P (Fig.2a), as well as in the highest DIN treatment (20.00N/0.75P)
372 in Varied N ($67 \pm 3 \mu\text{mol L}^{-1}$) (Fig.2b).

373 Initial average CDOM absorption at 325 nm ($a_{\text{CDOM}(325)}$) was $0.17 \pm 0.03 \text{ m}^{-1}$ and 0.15 ± 0.01
374 m^{-1} for mesocosms of Varied P and Varied N, respectively (Fig.S1c, d). For both experiments,
375 the starting CDOM absorption values were not significantly different between treatments (one
376 way ANOVA: $p > 0.05$, $n = 15$ and $p > 0.05$, $n = 16$). However, they differed between the two
377 experiments (one way ANOVA: $p < 0.05$, $n = 31$). CDOM accumulation ($\Delta a_{\text{CDOM}(325)}$) will be
378 given in the following, as it allows a better comparison of CDOM dynamics between
379 experiments than absolute absorption coefficients.

380 CDOM accumulated over time during both experiments (paired t-test of start and end values:
381 $p < 0.001$, $n = 15$ and $p < 0.001$, $n = 16$, respectively). CDOM accumulation on day 8
382 ($\Delta_8 a_{\text{CDOM}(325)}$) was highest in the medium to high DIP treatment (12.00N/0.75P,
383 12.00N/1.25P, 12.00N/1.75P) in Varied P ($0.35 \pm 0.03 \text{ m}^{-1}$) (Fig.2c) and in the highest DIN
384 treatment (20.00N/0.75P) in Varied N ($0.48 \pm 0.13 \text{ m}^{-1}$) (Fig.2d).

385 Spectral slopes, calculated within the 275-295 nm spectral range, ($S_{275-295}$) differed between
386 treatments in the beginning of Varied N (one way ANOVA: $p < 0.05$, $n = 16$), whereas
387 treatments in the beginning of Varied P were not significantly different (one way ANOVA:
388 $p > 0.05$, $n = 15$). In order to avoid the influence of initial differences of spectral slopes on data
389 analyses, daily changes in spectral slopes ($\Delta S_{275-295}$) were calculated. More negative $\Delta S_{275-295}$
390 indicate that the spectral slope is decreasing. As the spectral slope decreased, CDOM
391 absorption at longer wavelengths became higher, indicating accumulation of HMW CDOM.

392 $S_{275-295}$ decreased over time in both experiments (paired t-test of start and end values: $p < 0.01$,
393 $n = 15$ and $p < 0.01$, $n = 16$, for Varied P and Varied N respectively). The most negative $\Delta S_{275-295}$
394 values ($-0.016 \pm 0.004 \text{ nm}^{-1}$ and $-0.014 \pm 0.002 \text{ nm}^{-1}$) were observed in the treatments with
395 medium and high initial DIP concentrations (12.00N/0.75P, 12.00N/1.25P, 12.00N/1.75P) at
396 the end (day 8) of Varied P (Fig.2e) and in the treatment with the highest initial DIN
397 concentrations (20.00N/0.75P) in at the end (day 8) of Varied N (Fig.2f), respectively. In
398 general, $\Delta S_{275-295}$ decreased faster in treatments with medium and high initial DIP
399 concentrations (12.00N/0.75P, 12.00N/1.25P, 12.00N/1.75P) in Varied P and in treatment
400 with the highest initial DIN concentrations (20.00N/0.75P) in Varied N (Table 2).

401 In the relationship between $S_{275-295}$ and $a_{CDOM}(325)$ no apparent differences between
402 treatments were found. The relationship could be explained by equation (2) with $\alpha = 0.022$
403 and $\delta = 0.0035$ (Fig.3).

404 The S_R had much larger uncertainties within treatments than spectral slopes themselves. The
405 initial S_R (day 3 and day 2) was not statistically different among treatments in each experiment
406 (one way ANOVA: $p > 0.05$, $n = 15$ and 16 , respectively) and between experiments (one way
407 ANOVA: $p > 0.05$, $n = 31$).

408 S_R increased only slightly over time in almost all mesocosms of Varied P (paired t-test of start
409 and end values: $p < 0.05$, $n = 15$; Fig.2g). In Varied N, S_R increased significantly on day 5
410 (paired t-test of start and day 5 values: $p < 0.001$, $n = 16$) and decreased again slightly on day 7
411 (paired t-test of day 5 and day 7 values: $p < 0.05$, $n = 16$) in almost all mesocosms (Fig.2h).

412 Three FDOM components with distinct spectral properties were identified during PARAFAC
413 analysis of our dataset. The first FDOM component (Comp.1) was excited at 235 nm and
414 emitted at 440-460 nm, the second (Comp.2) and the third (Comp.3) FDOM components were
415 excited at 275 and 265 nm and emitted at 340 and 294 nm respectively. Both also had
416 secondary excitation peaks at wavelength less than 230 nm (Table 3, Fig.4).

417 The initial fluorescence of Comp.1 was 0.019 ± 0.001 Raman Units (RU) in Varied P and
418 0.0108 ± 0.0009 RU in Varied N. Initially, Comp.1 fluorescence was not significantly different
419 between treatments in both, Varied P and Varied N (one way ANOVA: $p > 0.05$, $n = 15$ and
420 $p > 0.05$, $n = 16$, respectively) in contrast to initial differences between two experiments (one
421 way ANOVA: $p < 0.01$, $n = 31$).

422 Subtracting the initial fluorescence of Comp.1 (Δ Comp.1) allowed tracing the accumulation
423 of freshly-produced Comp.1 during the experiments (Fig.2i, j).

424 Δ Comp.1 indicated an accumulation of Comp.1 over time in both experiments (paired t-test of
425 start and end values: $p < 0.001$, $n = 15$ and $p < 0.001$, $n = 16$). In Varied P, differences in Δ Comp.1
426 fluorescence between treatments at the end of the experiment were not significant (t-test:
427 $p > 0.05$, $n = 6$) and revealed 0.014 ± 0.004 RU on the average for all mesocosms (Fig.2i). In
428 Varied N, the highest Δ Comp.1 fluorescence intensities of 0.025 ± 0.004 RU were found in the
429 treatment with the highest DIN supply (20.00N/0.75P) (Fig.2j). Here, clear differences were
430 observed between treatments at the end of the experiment (one way ANOVA: $p < 0.01$, $n = 8$).

431 The fluorescence intensities of Comp.2 were almost identical at the start of Varied P and
432 Varied N, yielding 0.029 ± 0.005 RU and 0.029 ± 0.007 RU, respectively. No significant

433 differences were observed between treatments (one way ANOVA: $p>0.05$, $n=15$ and $p>0.05$,
434 $n=16$, for Varied P and Varied N respectively) and experiments (one way ANOVA: $p>0.05$,
435 $n=31$).

436 Comp.2 fluorescence increased in all mesocosms over time (paired t-test of start and end
437 values: $p<0.001$, $n=15$ and $p<0.001$, $n=16$) (Fig.2k, l). At the end (day 8) of Varied P, the
438 maximum Δ Comp.2 fluorescence was 0.063 ± 0.007 RU in the treatment with highest DIP
439 addition (12.00N/1.75P) (Fig.2k). At day 8, it was significantly higher than that in the
440 treatment with the lowest initial DIP concentration (12.00N/0.25P) (t-test: $p<0.05$, $n=6$).
441 Differences between treatments with the highest (20.00N/0.75P) and the lowest (2.00N/0.75P)
442 initial DIN concentrations at the end (day 8) of Varied N were not significant (t-test: $p>0.05$,
443 $n=6$) and the maximum Δ Comp.2 fluorescence comprised 0.04 ± 0.03 RU on average for all
444 mesocosms (Fig.2l).

445 The Comp.3 fluorescence intensity was highly variable during both experiments (Fig.2m, n).
446 Its starting values were not statistically different between Varied P and Varied N (two way
447 ANOVA: $p>0.05$, $n=31$) and comprised 0.03 ± 0.02 RU in both.

448 In Varied P, Comp.3 fluorescence intensity increased from start until day 5 (paired t-test of
449 start and day 5 values: $p<0.05$, $n=15$) and decreased after day 6 until end of experiment
450 (paired t-test of day 5 and end values: $p<0.05$, $n=15$) (Fig.2m). In Varied N, Comp.3
451 accumulated significantly only after day 6 (paired t-test of day 6 and end values: $p<0.05$,
452 $n=16$) (Fig.2n).

453 **3.2.2 Assessing the origin of optically active dissolved organic matter**

454 To investigate a potential influence of phytoplankton or bacteria abundances on DOC
455 concentrations and CDOM and FDOM accumulation, cumulative sums of chl *a* ($\Sigma_{\text{chl } a}$) and
456 bacterial abundance (Σ_{bac}) of each mesocosm (Section S2) were tested against total
457 accumulation of DOM components at day 8 ($\Delta_8\text{DOM}$) using linear regression analysis.

458 Values of $\Delta_8\text{DOC}$ correlated significantly with $\Sigma_{\text{chl } a}$ in Varied P ($p<0.05$, $n=15$) and in Varied
459 N ($p<0.001$, $n=16$), but not with Σ_{bac} ($p>0.05$, $n=15$ and $p>0.05$, $n=16$, respectively).

460 CDOM accumulation ($\Delta_8 a_{\text{CDOM}(325)}$) correlated significantly to $\Sigma_{\text{chl } a}$ in Varied P ($p<0.05$,
461 $n=15$) and Varied N ($p<0.001$, $n=16$), indicating that phytoplankton biomass was regulating
462 CDOM dynamics in both experiments. While no covariance of $\Delta_8 a_{\text{CDOM}(325)}$ with Σ_{bac} was
463 observed during Varied P ($p>0.05$, $n=15$), a significant correlation of $\Delta_8 a_{\text{CDOM}(325)}$ with Σ_{bac}

464 ($p < 0.05$, $n = 16$) occurred in Varied N, indicating that bacteria may be partially responsible for
465 CDOM dynamics under DIN stimulation.

466 $\Delta_{\text{Comp.1}}$ behaved similar to $\Delta_{8a_{\text{CDOM}}(325)}$ during both experiments. However, $\Delta_{8\text{Comp.1}}$
467 was neither correlated to Σ_{bac} ($p > 0.05$, $n = 15$), nor to $\Sigma_{\text{chl } a}$ concentration ($p > 0.05$, $n = 15$) in
468 Varied P. In contrast $\Delta_{8\text{Comp.1}}$ was significantly correlated to both, $\Sigma_{\text{chl } a}$ ($p < 0.001$, $n = 16$)
469 and Σ_{bac} ($p < 0.05$, $n = 16$) in Varied N.

470 Similar to $\Delta_{8\text{Comp.1}}$, in Varied P, $\Delta_{8\text{Comp.2}}$ did not reveal a significant relationship to $\Sigma_{\text{chl } a}$
471 ($p > 0.05$, $n = 15$) concentration or to Σ_{bac} ($p > 0.05$, $n = 15$). In Varied N, $\Delta_{8\text{Comp.2}}$ also did not
472 correlate to $\Sigma_{\text{chl } a}$ concentration ($p > 0.05$, $n = 16$), but it covariate significantly to Σ_{bac} ($p < 0.01$,
473 $n = 16$), supporting a potential influence of bacterial abundance on fluorescence intensities of
474 Comp.2.

475 In contrast to $\Delta_{8\text{Comp.1}}$ and $\Delta_{8\text{Comp.2}}$, $\Delta_{8\text{Comp.3}}$ did not covariate, neither with Σ_{bac}
476 ($p > 0.05$, $n = 15$ and $p > 0.05$, $n = 16$), nor with $\Sigma_{\text{chl } a}$ concentration ($p > 0.05$, $n = 15$ and $p > 0.05$,
477 $n = 16$) in both experiments.

478 **3.2.3 Effect of inorganic nutrients on optically active DOM**

479 To assess the nutrient influence on DOM accumulation, mean normalized deviations (mean
480 dev. %) of Δ_{DOC} , Δ_{CDOM} ($\Delta_{a_{\text{CDOM}}(325)}$) and Δ_{FDOM} were calculated for each mesocosm
481 (including “corner” points) and tested against initial DIP supply in Varied P, and against
482 initial DIN supply in Varied N using linear regression analysis (Fig.5), and also against
483 DIN:DIP ratio combining both experiments.

484 DOC accumulation was related to the initial inorganic nutrient supply in both experiments.
485 Higher Δ_{DOC} (mean dev. %) corresponded to higher DIP supply ($p < 0.05$, $n = 15$) in Varied P
486 (Fig. 5a) and to higher DIN supply ($p < 0.05$, $n = 16$) in Varied N (Fig. 5b). However, no overall
487 effect of DIN:DIP ratios was revealed when data from both experiments were combined
488 ($p > 0.05$, $n = 31$). Therefore, accumulation of DOC, in general, was dependent rather on total
489 initial amount of macronutrients, than on the relative concentration of DIN to DIP.

490 Δ_{CDOM} (mean dev. %) correlated significantly to DIN supply ($p < 0.001$, $n = 14$) (Fig.4c), but
491 not to DIP supply ($p > 0.05$, $n = 15$) (Fig.5d). Similar to Δ_{DOC} (mean dev. %), no effect of
492 initial DIN:DIP ratios on Δ_{CDOM} (mean dev. %) was determined ($p > 0.05$, $n = 31$).

493 $\Delta\text{Comp.1}$ (mean dev. %) did not exhibit a significant relationship to the initial DIP supply
494 ($p>0.05$, $n=15$) (Fig.5e), but correlated significantly to the initial DIN concentrations
495 ($p<0.001$, $n=12$) (Fig.5f).

496 Oppositely, $\Delta\text{Comp.2}$ (mean dev. %) increased with initial DIP supply ($p<0.05$, $n=14$)
497 (Fig.5g), but not with initial DIN supply ($p>0.05$, $n=12$) (Fig.5h). Thus, Comp.2 accumulation
498 was higher under the higher DIP concentrations.

499 In contrast to both previous FDOM components, $\Delta\text{Comp.3}$ (mean dev. %) did not reveal
500 covariance neither to DIP ($p>0.05$, $n=15$) (Fig.5i), nor to DIN ($p>0.05$, $n=12$) initial supply
501 (Fig.5n).

502 No overall effect of DIN:DIP ratios on $\Delta\text{Comp.1}$, $\Delta\text{Comp.2}$ and $\Delta\text{Comp.3}$ (mean dev. %) was
503 determined when data from both experiments were combined ($p>0.05$, $n=27$, $p>0.05$, $n=27$
504 and $p>0.05$, $n=27$, respectively).

505 Hence, accumulation of Comp.1 was dependent on the initial DIN concentrations,
506 accumulation of Comp.2 increased with increase of initial DIP concentrations and Comp.3
507 was unaffected by nutrient treatments.

508 **3.2.4 Nutrients effects on the relationship between CDOM and DOC**

509 To investigate the relationship between CDOM absorption and DOC concentrations during
510 the course of the experiments, daily DOM accumulation (ΔDOC) was tested against daily
511 accumulation of CDOM at 325 nm ($\Delta a_{\text{CDOM}(325)}$) by linear regression analysis for each
512 mesocosm and for all data combined (Fig.6a, b). Direct overall relationships were observed
513 between ΔDOC and $\Delta a_{\text{CDOM}(325)}$ in both, Varied P ($p<0.001$, $n=75$) and Varied N ($p<0.001$,
514 $n=95$).

515 The estimated slopes of linear regressions, determined for each mesocosm for $\Delta a_{\text{CDOM}(325)}$
516 vs ΔDOC ($d\Delta a_{\text{CDOM}(325)}/d\Delta\text{DOC}$), were tested for correlation with the initial DIP (Fig.6c)
517 and DIN (Fig.6d) concentration, in Varied P and Varied N, respectively. The
518 $d\Delta a_{\text{CDOM}(325)}/d\Delta\text{DOC}$ significantly increased with an increase of initial DIN supply ($p<0.01$,
519 $n=16$), indicating that the colored fraction of DOC was affected by nutrient availability,
520 specifically by DIN supply.

521 Although the relationship between CDOM and DOC revealed a dependency on initial DIN
522 supply, the values of $a_{\text{CDOM}(325)}$ to DOC ratio ($a_{\text{CDOM}(325)}/\text{DOC}$) did not reveal a significant
523 nutrient effect, when plotted against $S_{275-295}$ (Fig.6e).

524 All data of $S_{275-295}$ and $a_{\text{CDOM}}(325)/\text{DOC}$ of our study could be described by the equation (3),
525 with coefficients γ , δ , ε and ζ equal to 5.67, 81.23, 3.18 and 23.03, respectively (Fig.6e).

526 **4. Discussion**

527 **4.1 Nutrient effects on the production and cycling of optically active DOM**

528 Our results indicate that chl *a* accumulation and bacterial growth were stimulated by DIN
529 supply. Along with the response of POM production to inorganic nutrient amendments,
530 changes in the optically active DOM fractions were observed.

531 Initial DOC concentrations, measured in both experiments (Fig.S1a, b), were in the range or
532 slightly higher of those previously reported and modelled for the upper 30 m of the Tropical
533 Atlantic Ocean watercolumn (Hansell et al., 2009).

534 In both experiments, DOC accumulated over time (Fig. 2a, b) and seemed to be produced
535 mainly through phytoplankton release. The highest DOC accumulation was observed on the
536 moment of rapid transition from nutrient replete to nutrient depleted conditions (see also
537 Engel et al., 2015). That is in line with previous studies (Engel et al., 2002, Conan et al., 2007,
538 Carlson and Hansell, 2015) showing DOM accumulation after the onset of nutrient limitation,
539 while the chl *a* signal decreased.

540 The effect of initial nutrient concentrations on DOC accumulation (Fig. 5a, b), observed in
541 our study, was shown previously. In a mesocosm study with ETNA waters, Franz et al. (2012)
542 observed that higher DOC concentrations developed when the initial inorganic nitrogen
543 supply was high. As well, DOC concentrations in their study were even higher when high
544 DIN concentrations were combined with high DIP supply. In their mesocosm experiment in
545 Raunefjord, Conan et al. (2007) and Stedmon and Markager (2005) observed that at silicate-
546 replete conditions, DOC concentrations under high initial DIN supply did not vary
547 significantly from those under high initial DIP concentrations. In our study, silicate was also
548 not limiting phytoplankton growth and higher DOC concentrations occurred at higher DIP as
549 well as at higher DIN concentrations, supporting earlier findings.

550 Bacterial turnover may have influenced the composition of DOM (as it is seen by changes in
551 spectral slope ratios and FDOM components) while DOC concentrations seemed to be not
552 related to bacterial abundances. This observation may be explained by rapid bacterial
553 consumption of labile DOM accompanied by the bacterial release of altered humic-like DOM
554 (Azam et al., 1983, Ogawa et al., 2001), which are therefore not influencing measured DOC
555 concentrations (e.g. Kirchman, 1991).

556 At the beginning of the experiment, CDOM absorption coefficients were in the range of those
557 previously reported for open waters of the Atlantic Ocean, while the final CDOM absorptions

558 were twice as high (Fig.S1c, d; Andrew et al., 2013, Nelson and Siegel, 2013). Similar to our
559 experiments, CDOM absorption was previously shown to accumulate by factor of 2 during
560 mesocosm studies, such as study by Pavlov et al. (2014), where nutrient levels for DIN were
561 kept at $5 \mu\text{mol L}^{-1}$ and $0.32 \mu\text{mol L}^{-1}$ for DIP.

562 In our experiments, the accumulation of CDOM during the phytoplankton bloom (Fig. 2c, d)
563 as well as significant covariance to phytoplankton pigment – chl *a* - concentration suggests
564 that phytoplankton was the major source of CDOM. This is consistent with previous studies
565 that show CDOM to be produced by extracellular release from phytoplankton (Romera-
566 Castillo et al., 2010) or by phytoplankton degradation or lysis (Hu et al., 2006, Zhang et al.,
567 2009, Organelli et al., 2014).

568 The decrease of CDOM spectral slopes over time (Fig. 2e, f) along with the increase in
569 CDOM concentrations (Fig.3) indicated that absorption in the visible wavelength range
570 increased relatively to the UV wavelength range. As the absorption at longer wavelength is
571 corresponding to larger molecules, we may assume that HMW-CDOM accumulated during
572 both experiments. HMW-DOM was previously shown to be more labile for bacterial
573 consumption than low molecular weight DOM (at molecular weight cutoff of 1 kDa) (Benner
574 and Amon, 2015), as bacterial activity was higher, when incubating with HMW-DOM (Amon
575 and Benner, 1996). Furthermore HMW-DOM is typically accounting for 30 to 60 % of the
576 total DOM released via phytoplankton (Biddanda and Benner, 1997, Engel et al., 2011).
577 Therefore, we consider the spectral slope decrease over time as an indication of labile CDOM
578 production via phytoplankton release.

579 In treatments with high initial DIN concentrations, bacterial abundance was significantly
580 higher than in those with lower initial DIN concentrations. Furthermore, bacterial abundances
581 in Varied N correlated significantly to CDOM concentrations. We therefore suggest that
582 higher bacterial abundance may have been responsible for an additional production of CDOM
583 in mesocosms, particularly in those with high initial DIN supply.

584 This suggestion is made also based on changes in optical properties during our study. As
585 Helms et al (2008) and Zhang et al. (2009) showed before, the spectral slope ratio (S_R)
586 decreases, when bacterial modification of CDOM takes place. A slight decrease of S_R towards
587 the end of Varied N (Fig.2 h), most likely indicated that CDOM was reworked by bacteria.
588 Our conclusion of additional CDOM production by bacteria in this experiment is also in
589 agreement with previous studies, where DOM bacterial reworking was indicated as CDOM

590 source (Rochelle-Newall and Fisher, 2002, Kramer and Herndl, 2004, Nelson et al., 2004,
591 Biers et al., 2007, Swan et al., 2009, Nelson and Siegel, 2013).

592 However, due to its large uncertainties within treatments, S_R was not sufficient to estimate the
593 degree of bacterial CDOM production, most likely due to screening of the effect by
594 simultaneous high HMW-DOM production via phytoplankton release. Therefore, CDOM
595 production via phytoplankton release, which occurred proportionally to phytoplankton
596 biomass, was likely more pronounced than CDOM production via bacterial reworking of
597 labile DOM.

598 The CDOM to DOC ratio was also affected by variable initial DIN concentrations. A
599 significant positive correlation of CDOM accumulation over time with DOC concentration
600 was found in both experiments (Fig.6a, b), indicating that DOC and CDOM had been affected
601 by the same processes. Estimated slopes of Δ CDOM against Δ DOC (Fig. 6d), in Varied N,
602 were highest at highest initial DIN concentrations, indicating that relative proportion of
603 CDOM in bulk DOM may be regulated by the presence of DIN.

604 Factors, influencing the ratio between CDOM absorption and DOC concentrations are little
605 understood so far. It is known that CDOM absorption often co-varies with DOC concentration
606 in river estuaries and coastal seas, which are influenced to a high degree by conservative
607 mixing of riverine and marine waters (Nelson and Siegel, 2013, Rochelle-Newall et al., 2014).
608 However, in the open ocean, the relation is losing its consistency (Nelson and Siegel, 2013).
609 We suggest that under higher initial DIN concentrations bacterial abundance is higher and
610 such is the bacterial reworking of DOM. Higher bacterial reworking, in its turn, causes an
611 increase in the proportion of the colored fraction in DOM. Our results suggest that an increase
612 of initial DIN concentrations by $10 \mu\text{mol L}^{-1}$ would cause an increase in CDOM accumulation
613 ($\Delta a_{\text{CDOM}(325)}$) by $1.4 \times 10^{-3} \text{ m}^{-1} \mu\text{mol}^{-1} \text{ L}$ (see Fig.6d) relative to accumulation of DOC
614 (Δ DOC). The change, however, is small, compared to those, caused by other factors, as, for
615 instance, mixing and photochemical oxidation (Stedmon and Nelson, 2015). Nonetheless, the
616 effect may be important in regimes or at times, where or when changes of DIN concentrations
617 are high.

618 When CDOM properties, such as spectral slopes $S_{275-295}$, were also taken into account, the
619 variance of relationship between CDOM and DOC between treatments was not as apparent
620 (Fig.6e). We found a good correspondence between $S_{275-295}$ and $a_{\text{CDOM}(325)}/\text{DOC}$ ratio during
621 our study, which could be explained by equation (3). Our data suggest, that the stable $S_{275-295}$
622 to $a_{\text{CDOM}(325)}/\text{DOC}$ relationship could be used for DOC estimation in the open ocean, when

623 $S_{275-295}$ and $a_{CDOM}(325)$ are known, as, for instance, in field studies, where optical sensors are
624 used. For remote sensing, however, an application of this relationship would be rather
625 difficult, since ocean color remote sensing measurements are limited to an “optical window”
626 of visible to near-infrared wavelength range (Robinson, 2010).

627 Besides absorption, FDOM fractions were more sensitive to nutrient amendments. During our
628 study, three different fluorescent components could be identified (Fig.4).

629 The characteristics of the first component, Comp.1 (Table 3), were similar to those of the
630 humic-like peak ‘A’ described by Coble et al. (1996). The Comp.1 fluorescence was within
631 the reported range of A-like peak fluorescence intensities for the open ocean area (Jørgensen
632 et al., 2011) or slightly higher towards the end of experiments depending on mesocosm
633 treatment (Fig.S1i, j).

634 Marine humic substances were previously assigned to bacterially derived substances due to
635 significant covariance of their concentrations to apparent oxygen utilization in deep open
636 ocean waters (Swan et al., 2009, Kowalczyk et al., 2013, Nelson and Siegel, 2013). As well,
637 previous studies of Stedmon and Markager (2005), Kowalczyk et al. (2009) and Zhang et al.
638 (2009) showed that humic-like components, similar by spectral properties to Comp.1, were
639 produced via microbial DOM reworking (Table 3).

640 In our study, in Varied N, Comp.1 was strongly correlated to initial DIN concentrations, as
641 the final Comp.1 fluorescence intensity was almost three fold higher at the highest initial DIN
642 supply than that in the treatments with lowest DIN supply. Thus, since bacterial abundance
643 was DIN dependent in this experiment and Comp.1 fluorescence intensities correlated
644 significantly to bacterial abundances, the bacteria were likely responsible for Comp.1
645 occurrence during our experiments. The proportional to DIN bacterial production of humic-
646 like Comp.1 in our study is in agreement with Kramer and Herndl (2004) and Biers et al.
647 (2007), where DIN and its organic derivatives were considered to be the primary drivers of
648 humic-like DOM accumulation via bacterial reworking.

649 In Varied P, however, Comp.1 was not related to bacterial abundance. No significant
650 differences between treatments were noticed for bacterial abundance and only little
651 differences occurred for Comp.1 at similar initial DIN supply concentrations. Thus, under
652 equal initial DIN concentrations bacterial reworking of DOM could occur at similar degree,
653 causing the absence of covariance of Comp.1 with bacterial abundance.

654 The higher concentrations of Comp.1 at the end of our experiments compared to
655 concentrations measured in open ocean (Jørgensen et al., 2011) may be explained by slightly
656 higher substrate availability in the mesocosms than that in the North Atlantic.

657 The fluorescence properties of the second FDOM component, Comp.2 (Table 3), were similar
658 to that of the previously defined amino acid-like fluorescence (Mopper and Schulz, 1993,
659 Coble et al., 1996, Stedmon and Markager, 2005): tryptophan-like peak 'T' (Coble et al.,
660 1996). The fluorescence intensities of this component were in the range of that previously
661 reported for open ocean area (Jørgensen et al., 2011) for the whole experimental period
662 (Fig.S1k, l).

663 Similar by spectral properties to Comp.2, amino acid-like compounds were previously
664 hypothesized to represent the fluorescence of the bound-to-protein matrix amino acids
665 tryptophan and tyrosine (Stedmon and Markager, 2005) and were assumed to be produced by
666 phytoplankton (Mopper and Schulz, 1993, Coble et al., 1996). We, therefore, consider
667 Comp.2 as an indicator of phytoplankton-produced proteinaceous DOM and as possible
668 precursor for humic-like FDOM.

669 In Varied P, Comp.2 accumulated proportionally to initial DIP concentrations and its
670 concentration was not corresponding to chl *a* concentration. This might indicate that
671 proteinaceous DOM release by phytoplankton is controlled by nutrient availability, rather
672 than by phytoplankton biomass itself, i.e. proteinaceous DOM is produced as a part of an
673 "overflow mechanism" (Wood and Van Valen, 1990) of extracellular release.

674 In Varied N, again no covariance of Comp.2 to chl *a* was determined. However, a covariance
675 of Comp.2 with initial DIN concentrations did not occur as well. As bacteria were more
676 abundant in treatments with higher initial DIN supply and also Comp.2 intensities revealed
677 significant correspondence to bacteria, we suggest that bacterial reworking may have
678 regulated Comp.2 fluorescence intensities, particularly under high initial DIN concentrations.

679 Previously, Stedmon and Markager (2005) showed an accumulation of a FDOM component,
680 with spectral properties similar to Comp.2, during their mesocosm study treatments of high
681 DIN and high DIP concentrations. This component was also shown to be consumed during
682 dark and light incubations, when bacteria were added. Kirchman et al. (1991) showed that
683 DOM uptake can be accompanied by a decrease in DIN concentrations, indicating the
684 importance of DIN presence during bacterial reworking of labile DOM. Therefore, Comp.2
685 production might be dependent on initial DIP and DIN availability, similarly to the increase of
686 DOC concentrations. As well as at high initial DIN concentrations, Comp.2 may serve a

687 substrate for developing bacteria, i.e. it can be consumed by bacteria that, in their turn, release
688 humic-like Comp.1.

689 The spectral properties of the third fluorescent component (Comp.3) were similar to that of
690 amino acid-like fluorescence (Table 3) (Mopper and Schulz, 1993, Coble et al., 1996,
691 Stedmon and Markager, 2005): tyrosine-like peak 'B' (Coble et al., 1996) and were in the
692 range of those previously reported for open ocean area (Jørgensen et al., 2011; Fig.S1m, n).

693 The development patterns as well as no clear response towards nutrient amendments of
694 Comp.3 made it very difficult to interpret.

695 In Varied P, Comp.3 fluorescence intensities were highest at the day of chl *a* maximum (Fig.
696 2m). Thus, Comp.3 could be released by phytoplankton at the growth phase, while after the
697 chl *a* maximum, rapid bacterial reworking of DOM or abiotic aggregation to Comp.2 could
698 remove Comp.3 from the mesocosms.

699 In Varied N, Comp.3 fluorescence intensities were generally low, but increased at the end of
700 experiment (Fig. 2n). Therefore, the process of bacterial Comp.2 reworking could lead to
701 Comp.3 release as byproduct at the final stage of Varied N. On the other hand, Comp.3
702 accumulation towards the end of this experiment could be a result of extracellular release of
703 higher amounts of amino acid-like substances, which accumulated under high DIN
704 concentrations within phytoplankton tissues during its growth.

705 A fluorescent substance, similar by spectral properties to Comp.3, was previously
706 hypothesized to represent the tryptophan and tyrosine in peptides by Stedmon and Markager
707 (2005), as it had been previously found accumulating during the denaturation of proteins
708 (Determann et al., 1998). In their study, Stedmon and Markager (2005) found no effect of
709 microbial reworking on the abundance of this fluorescence substance in the dark and light
710 incubations with bacteria. However, as this substance was removed during thier mesocosm
711 experiment, they hypothesized spontaneous abiotic aggregation or photochemically induced
712 flocculation as possible removal mechanisms.

713 We, therefore, conclude that Comp.3 potentially acted as an intermediate product during the
714 formation or degradation of proteinaceous Comp.2 in our study. Still, the interpretation of the
715 Comp.3 development remains speculative.

716 It was hypothesized previously that phosphorus limitation leads to accumulation of DOM
717 more resistant to microbial degradation (Kragh and Sondergaard, 2009), e.g. due to
718 phytoplankton extracellular release of this 'poor quality' DOM or limitation of bacterial DOM

719 consumption (Carlson and Hansell, 2015). Based on changes in optical DOM properties (S_R ,
720 Comp.1, Comp.2) in our study, we suggest that labile DOM in the ETNA accumulates
721 proportionally to either DIN or DIP concentrations. However, the ‘poor quality’ DOM
722 accumulates more under high DIN concentrations (i.e. phosphorus limitation), due to bacterial
723 DOM reworking. And even though bacterial activity per cell might have been limited by
724 phosphorus availability, higher bacterial abundance in treatments with higher initial DIN
725 supply would lead to more pronounced net accumulation of more resistant to microbial
726 degradation DOM.

727 Overall, the variances of CDOM and FDOM concentrations in the treatment with DIN:DIP of
728 16 (12.00N/0.75P) for each experiment were higher than the variance in this treatment
729 between experiments. Therefore, the effects of nutrients on CDOM and FDOM concentrations
730 were considered much stronger, than possible effects, caused by differences in initial
731 sensitivity to nutrient additions. However, due to the divergence in development pattern for
732 some of optically active parameters (S_R , Comp.3), we cannot exclude the difference in pelagic
733 communities during Varied P and Varied N from the aspects that can cause an additional
734 CDOM and FDOM variability during our study.

735 Another important aspect that could cause an additional CDOM and FDOM variability, and,
736 therefore, bias the interpretation of obtained results during the mesocosm experiments, is the
737 length of the sample storage. In our study, CDOM and FDOM samples were filtered through
738 0.45 μm pore-size filters and stored in the dark and cold (+4°C) for approximately 6 month
739 pending analyses due to logistical reasons. This time-period is long and CDOM and FDOM
740 concentrations could be affected by remained bacteria during storage. The long-term storage
741 of open ocean CDOM samples has been tested previously by Swan et al. (2009). They
742 demonstrated that the CDOM changes are unappreciable, when the storage of pre-filtered
743 CDOM samples at 4°C does not exceed one year. Furthermore, during our study, FDOM
744 samples from all the mesocosms were measured for day 4 of each experiment (31 samples in
745 total) in approximately 3 month after main set of measurements has been accomplished. No
746 drastic or appreciable changes in FDOM components concentrations have been noticed as
747 they developed, e.g. neither between replicates, nor between treatments. Therefore, despite,
748 the pore-sizes of our filters were larger, than those, used by Swan et al. (2009), we believe,
749 that due to generally low CDOM and FDOM concentrations the error that could occur, would
750 not majorly influence the CDOM and FDOM development patterns during our observations.

751 **5. Conclusions**

752 Our study shows that during phytoplankton blooms DOM is largely derived from
753 phytoplankton, while its optical properties undergo considerable changes due to bacterial
754 reworking. Thus, optically active proteinaceous substances are freshly produced by
755 phytoplankton release. They are, however, consumed and reworked by bacteria, leading to
756 accumulation of less-bioavailable optically active humic substances.

757 Our experiments indicate that DIN is the major macronutrient regulating the accumulation of
758 bacterially originated optically active humic substances, while the accumulation of labile
759 proteinaceous substances via phytoplankton is rather regulated by DIN and DIP. An input of
760 humic substances can increase the CDOM/DOC ratio and therewith affect predictions of DOC
761 concentration based on CDOM absorption. Still, a relationship between CDOM spectral
762 properties and CDOM and DOC concentrations can be derived, which is not influenced by
763 nutrient differences.

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775

776 All data will be available at www.pangaea.de upon publication of the manuscript.

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1025

1026 Table1. Varied P and Varied N: target concentrations and measured concentrations of DIN and DIN
 1027 and treatment identifications according to target nutrients concentrations.

Mesocosm ID	Varied P					Varied N				
	target		Measured		Treatment	target		measured		Treatment
DIN	DIP	DIN	DIP	DIN		DIP	DIN	DIP		
1	12.00	0.75	11.52	0.73	12.00N/0.75P	12.00	0.75	12.58	0.47	12.00N/0.75P
2	12.00	0.75	10.97	0.68	12.00N/0.75P	12.00	0.75	12.36	0.51	12.00N/0.75P
3	12.00	0.75	10.63	0.52	12.00N/0.75P	12.00	0.75	12.61	0.51	12.00N/0.75P
4	6.35	1.10	5.65	1.00	6.35N/1.10P	6.35	0.40	6.91	0.18	6.35N/0.40P
5	-	-	-	-	-	17.65	1.10	18.43	0.79	17.65N/1.10P
6	12.00	1.25	10.74	1.14	12.00N/1.25P	20.00	0.75	20.56	0.47	20.00N/0.75P
7	12.00	1.25	11.16	1.12	12.00N/1.25P	20.00	0.75	20.60	0.45	20.00N/0.75P
8	12.00	1.25	10.89	1.09	12.00N/1.25P	20.00	0.75	21.90	0.45	20.00N/0.75P
9	12.00	1.75	10.55	1.56	12.00N/1.75P	4.00	0.75	4.62	0.44	4.00N/0.75P
10	12.00	0.75	10.82	0.61	12.00N/0.75P	17.65	0.40	18.47	0.22	17.65N/0.40P
11	12.00	1.75	10.82	1.58	12.00N/1.75P	4.00	0.75	4.49	0.47	4.00N/0.75P
12	12.00	1.75	11.07	1.53	12.00N/1.75P	4.00	0.75	3.99	0.49	4.00N/0.75P
13	12.00	0.25	11.16	0.14	12.00N/0.25P	2.00	0.75	2.06	0.46	2.00N/0.75P
14	12.00	0.25	11.18	0.16	12.00N/0.25P	6.35	1.10	6.69	0.78	6.35N/1.10P
15	17.65	1.10	16.90	1.01	17.65N/1.10P	2.00	0.75	1.87	0.56	2.00N/0.75P
16	12.00	0.25	11.33	0.15	12.00N/0.25P	2.00	0.75	2.71	0.48	2.00N/0.75P

1028 Table 2. Estimated linear change (per day) ($dS_{275-295}$) of spectral slope parameters for replicated treatments.

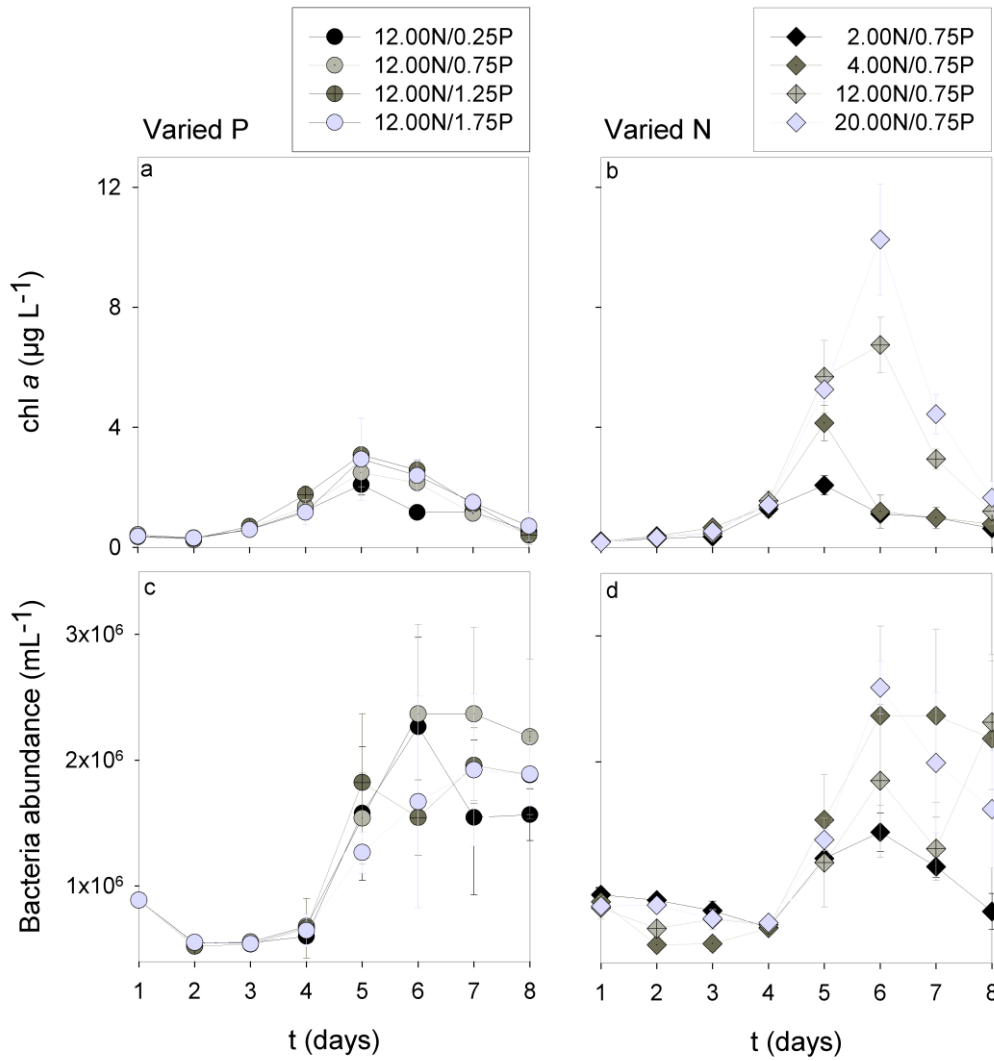
Parameter	Varied P				Varied N			
	12.00N/0.25P	12.00N/0.75P	12.00N/1.25P	12.00N/1.75P	2.00N/0.75P	4.00N/0.75P	12.00N/0.75P	20.00N/0.75P
$dS_{275-295}$ ($\text{nm}^{-1} \text{d}^{-1}$)	-2.3×10^{-3}	-3.2×10^{-3}	-4.0×10^{-3}	-3.0×10^{-3}	-1.4×10^{-3}	-2.3×10^{-3}	-3.2×10^{-3}	-3.3×10^{-3}

1029 Table 3 Spectral characteristics of excitation and emission maximums and range of intensities (Fmax
 1030 range) of the three fluorescent components identified by PARAFAC modelling in this study and their
 1031 comparison with previously reported ones

this study				Literature		
Peak (region)	Excitation max	Emission max	Fmax range (RU)	Peak (region)	Autor	Properties
Comp.1	235	440-460 (300)	0.0090-0.0450	1 (<240(355)/476)	Stedmon and Markager 2005	Humic-like; Accumulated in P- and Si- limited bags <i>Source:</i> Microbial degradation, <i>Sink:</i> Photodegradation
				A (230-260/380-460)	Coble 1996	humic-, fulvik-like; <i>Source:</i> autochthonous, allochthonous; terrestrial
				C3 (250 (310)/400)	Kowalczyk et al. 2009	<i>Source:</i> Bacterial reworking
				C3 (255(330)/412)	Zhang et al. 2009	Terrestrial and marine humic-like; <i>Source:</i> microbial activity
				1(<230-260/400-500)	Ishii et al. 2012	Small-sized molecules, Photoresistant, biologically unavailible, conservative tracer; <i>Source:</i> Photodegradation
Comp.2	<230(275)	340	0.0200-0.1305	6 (280/338)	Stedmon and Markager 2005	Protein-like; Tryptophan-like fluorescence of protenacious material <i>Source:</i> algae at the growth; <i>Sink:</i> UV, microbial reworking
				T (275/340)	Coble 2007	Tryptophan-like, protein-like; autochthonous
				peak-T (275/358)	Romera-Castillo et al. 2010	protein-like; <i>Source:</i> sterile algae
Comp.3	265	290-300	0.0004-0.2105	4(275/306(338))	Stedmon and Markager 2005	Protein-like: fluorescence of tryptophan and tyrosine in peptides Higher production rates during establishing algal bloom <i>Source:</i> growing algae <i>Sink:</i> aggregation or microbial uptake
				B (275/305)	Coble 2007	Tyrosine-like, protein-like; <i>Source:</i> autochthonous
				C2 (275/<300)	Zhang et al. 2009	Tyrosine-like, protein-like; <i>Source:</i> autochthonous
				7 (270/299)	Yamashita et al. 2008	Tyrosine-like, protein-like; <i>Source:</i> autochthonous

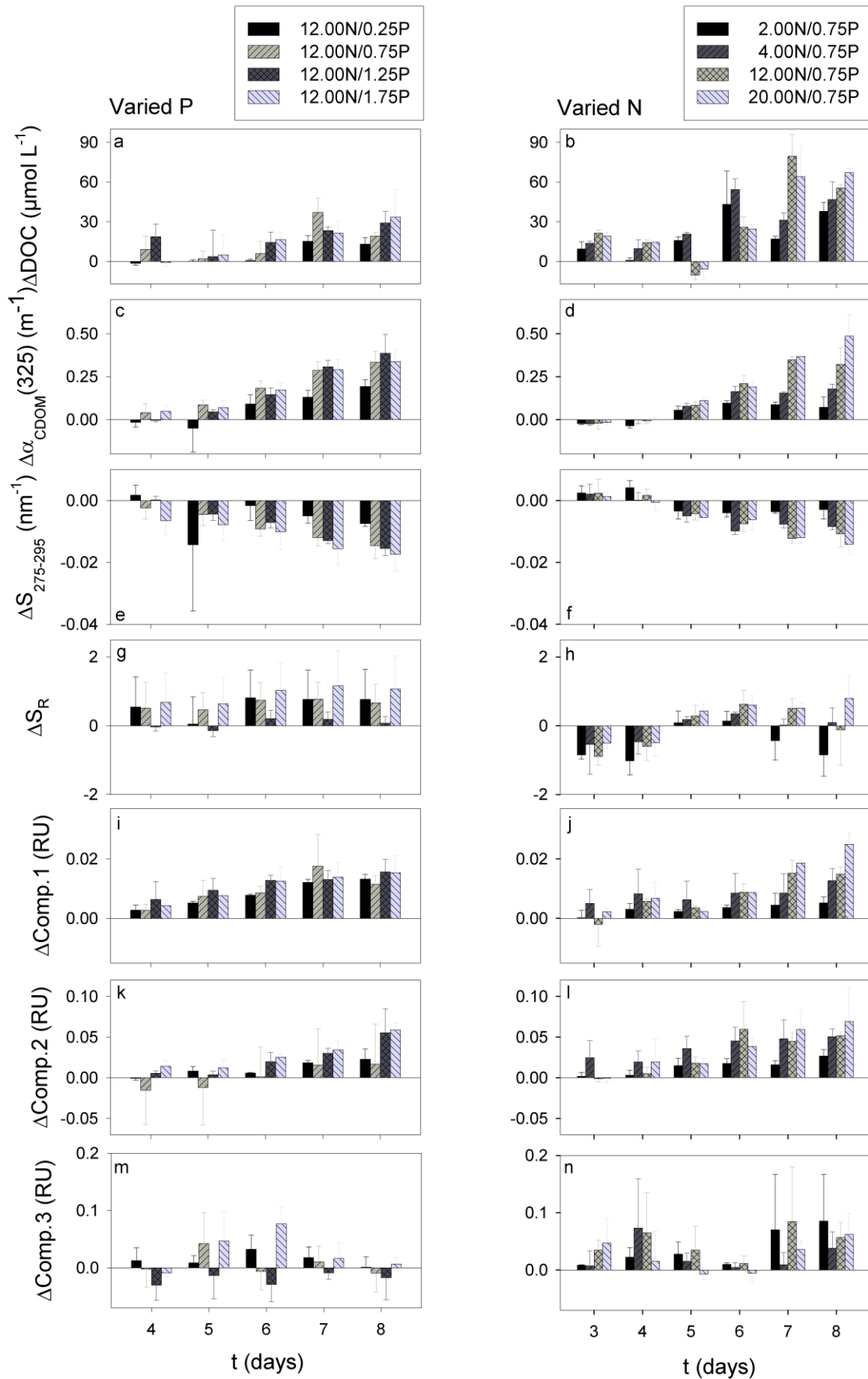
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1035 Fig.1 Mean development of chl *a* (a), bacterial abundance (c) in replicated treatments during Varied P;
 1036 and chl *a* (b), bacterial abundance (d) in replicated treatments during Varied N



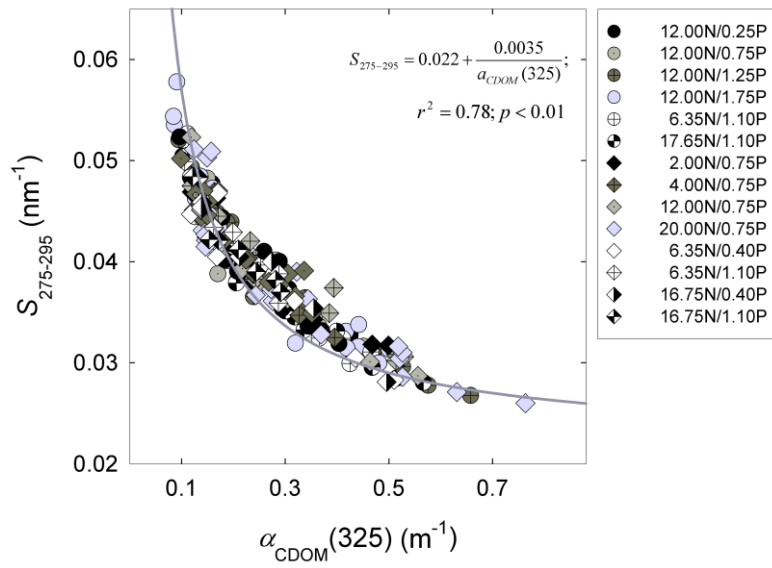
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1038 Fig.2 Accumulation over time: of DOC (ΔDOC) (a) during the Varied P and (b) Varied N, of CDOM

1039 ($\Delta\alpha_{\text{CDOM}(325)}$) (c) during the Varied P and (d) Varied N, of Spectral Slope ($\Delta S_{275-295}$) (e) during the

1040 Varied P and (f) Varied N, of spectral slope ratio (ΔS_R) (g) during the Varied P and (h) Varied N, of
1041 first FDOM component fluorescence intensity ($\Delta \text{Comp.1}$) (i) during the Varied P and (j) Varied N, of
1042 second FDOM component fluorescence intensity ($\Delta \text{Comp.2}$) (k) during the Varied P and (l) Varied N,
1043 of third FDOM component fluorescence intensity ($\Delta \text{Comp.3}$) (m) during the Varied P and (n) Varied
1044 N
1045

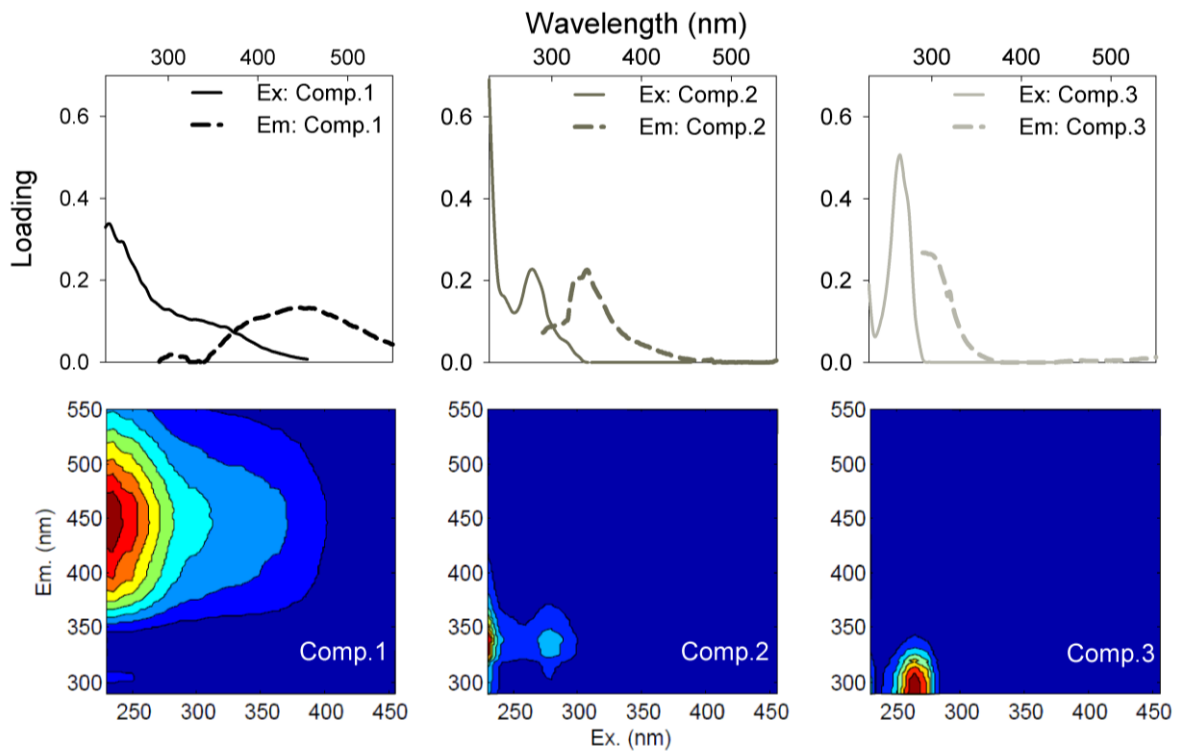
Varied P and Varied N



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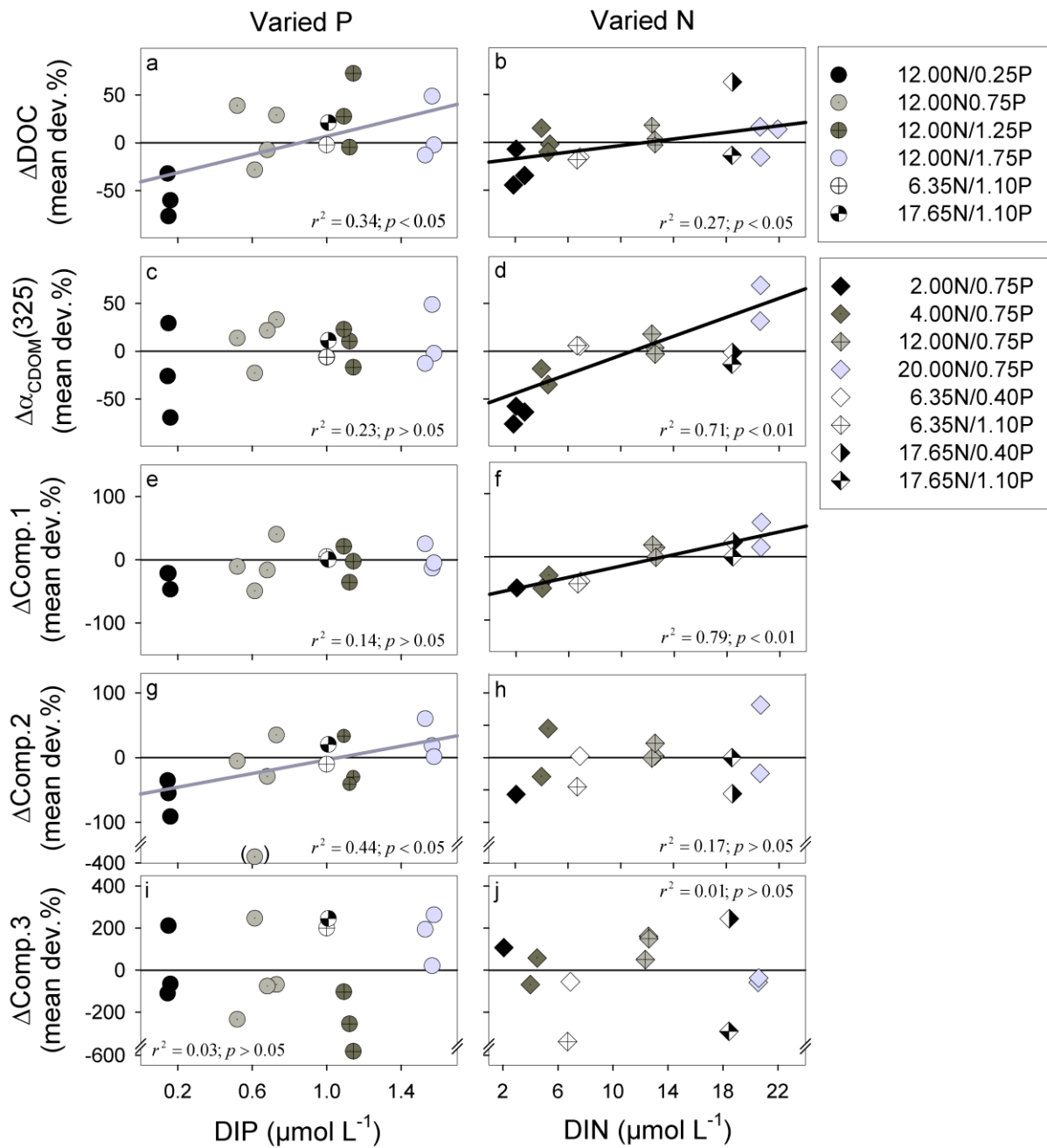
1047 Fig.3 Spectral slope $S_{275-295}$ against CDOM ($a_{CDOM}(325)$) obtained during both, Varied P and Varied N
 1048 experiments (symbols). The dark-grey line is the best fit to the data

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1051 Fig.4. Spectral loadings (upper panel) and fingerprints (lower panel) of the FDOM components

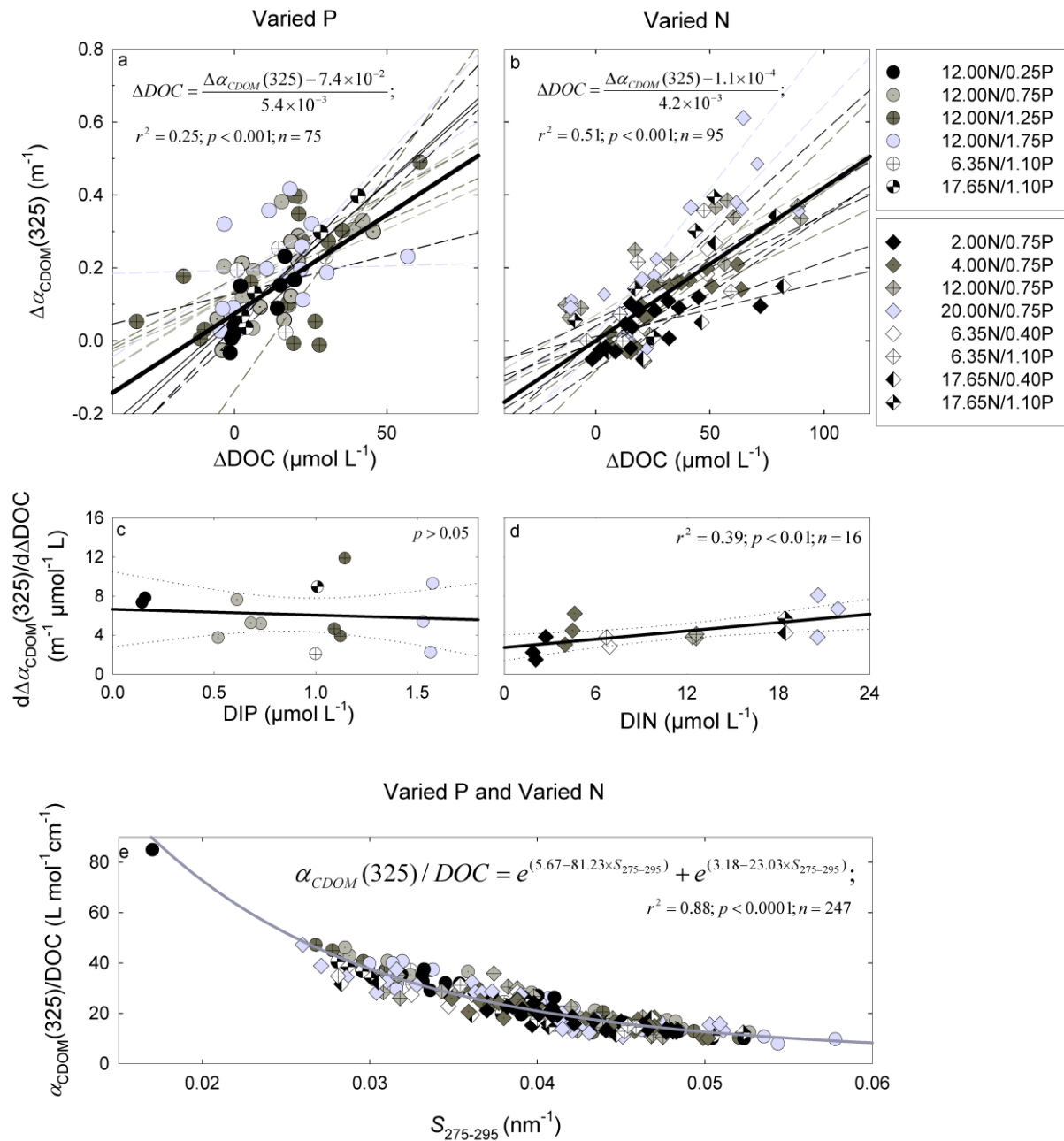


1052

1053 Fig.5. Mean normalized deviations of DOM accumulation against initial nutrients supply. The Δ DOC
 1054 against DIP initial supply in Varied P (a) and against DIN initial supply in Varied N (b), the CDOM
 1055 absorption at ($\Delta a_{\text{CDOM}(325)}$) against DIP initial supply in Varied P (c) and against DIN initial supply
 1056 in Varied N (d), the first FDOM component intensity (Δ Comp.1) against DIP initial supply in Varied
 1057 P (e) and against DIN initial supply in Varied N (f), the second FDOM component intensity
 1058 (Δ Comp.2) against DIP initial supply in Varied P (g) and against DIN initial supply in Varied N (h)
 1059 and the third FDOM component intensity (Δ Comp.3) against DIP initial supply in Varied P (i) and
 1060 against DIN initial supply in Varied N (j) are shown as dashed symbols. The linear regressions are
 1061 shown by thick light-grey lines in Varied P and by thick black lines in Varied N for those DOM

1062 parameters, where covariance with initial nutrients supply was significant. The symbol in brackets in
1063 (g) was considered as an outlier and excluded from linear regression analysis.

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1065

1066 Fig.6 Regression plots of ΔDOC against $\Delta a_{CDOM}(325)$ (a) during Varied P (shaded circles) and (b)

1067 during Varied N (shaded diamonds). The regression lines for each mesocosm are shown in dashed

1068 lines; thick black lines are regressions for all data from Varied P and Varied N respectively. The

1069 estimated slopes, of regressions for each mesocosm from (a, b) are plotted as shaded circles for Varied

1070 P (c) and shaded diamonds for Varied N. The thick black line is the linear regression line with 95%

1071 confidence interval (thin dotted lines). The slope estimated covariance in Varied N to DIN initial

1072 supply can be expressed as: $slope_{estimated} = 2.7 \times 10^{-3} + 0.14 \times 10^{-3} DIN$ (d). A spectral slope

1073 $S_{275-295}$ against $a_{CDOM}(325) / DOC$ for all mesocosms from both experiments are shown as shaded

1074 symbols (e). The dark-grey line is the best fit to the data, obtained in this study.