

1 **Biodegradability of dissolved organic carbon in permafrost soils and aquatic**
2 **systems: a meta-analysis.**

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22 **ABSTRACT**

23 As Arctic regions warm and frozen soils thaw, the large organic carbon pool stored in
24 permafrost becomes increasingly vulnerable to decomposition or transport. The
25 transfer of newly mobilized carbon to the atmosphere and its potential influence upon
26 climate change will largely depend on the degradability of carbon delivered to aquatic
27 ecosystems. Dissolved organic carbon (DOC) is a key regulator of aquatic
28 metabolism, yet knowledge of the mechanistic controls on DOC biodegradability is
29 currently poor due to a scarcity of long-term data sets, limited spatial coverage of
30 available data, and methodological diversity. Here, we performed parallel
31 biodegradable DOC (BDOC) experiments at six Arctic sites (16 experiments) using a
32 standardized incubation protocol to examine the effect of methodological differences
33 commonly used in the literature. We also synthesized results from 14 aquatic and soil
34 leachate BDOC studies from across the circum-arctic permafrost region to examine
35 pan-Arctic trends in BDOC.

36

37 An increasing extent of permafrost across the landscape resulted in higher DOC
38 losses in both soil and aquatic systems. We hypothesize that the unique composition
39 of (yedoma) permafrost-derived DOC combined with limited prior microbial
40 processing due to low soil temperature and relatively short flow path lengths and
41 transport times, contributed to a higher overall terrestrial and freshwater DOC loss.
42 Additionally, we found that the fraction of BDOC decreased moving down the fluvial
43 network in continuous permafrost regions, i.e. from streams to large rivers, suggesting
44 that highly biodegradable DOC is lost in headwater streams. We also observed a
45 seasonal (Jan – Dec) decrease in BDOC in large streams and rivers, but saw no
46 apparent change in smaller streams or soil leachates. We attribute this seasonal
47 change to a combination of factors including shifts in carbon source, changing DOC
48 residence time related to increasing thaw-depth, increasing water temperatures later in
49 the summer, as well as decreasing hydrologic connectivity between soils and surface
50 water as the thaw season progresses. Our results suggest that future, climate warming-
51 induced shifts of continuous permafrost into discontinuous permafrost regions could
52 affect the degradation potential of thaw-released DOC, the amount of BDOC, as well
53 as its variability throughout the Arctic summer. We lastly recommend a standardized
54 BDOC protocol to facilitate the comparison of future work and improve our
55 knowledge of processing and transport of DOC in a changing Arctic.

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59 1. INTRODUCTION

60

61 Boreal and Arctic ecosystems contain more than half of global terrestrial organic
62 carbon (Tarnocai et al., 2009; Hugelius et al., 2014), part of which will be vulnerable
63 to microbial processing and release to the atmosphere by the end of the century
64 (Slater et al., 2013; Schaefer et al., 2014; IPCC 2013). At high latitudes, ecosystem
65 carbon balance depends largely on aquatic processes (Kling et al., 1992; Striegl et al.,
66 2012; Vonk and Gustafsson, 2013) with lakes, wetlands, rivers, and streams covering
67 more than half of the land surface in many regions (McGuire et al., 2009; Loveland et
68 al., 2000; Lammers et al., 2001; Aufdenkampe et al., 2011; Avis et al., 2011).
69 However, little is known about mechanistic controls on persistence or processing of
70 organic carbon currently flowing through Arctic watersheds (Mann et al., 2012,
71 Wickland et al., 2012), and even less is known about the behavior of permafrost-
72 derived organic carbon that is delivered to arctic freshwater and marine ecosystems
73 (Cory et al., 2013, Vonk and Gustafsson 2013).

74

75 Arctic watersheds transport an average of 34 Tg C yr⁻¹ of dissolved organic carbon
76 (DOC) and 6 Tg C yr⁻¹ of particulate organic carbon (POC) to the Arctic Ocean
77 (Holmes et al., 2012; McGuire et al., 2009), not including fluxes from coastal erosion.
78 Though no model projections of future circum-arctic hydrologic carbon flux exist, a
79 few recent studies predict that organic carbon loading to the circum-arctic watershed
80 may increase in the future (Abbott et al., in review; Laudon et al., 2012; Kicklighter et
81 al., 2013). However, observed patterns of changes in hydrological carbon loading in
82 permafrost regions are inconsistent, with increases in DOC export from areas with
83 extensive peat deposits (Frey and McClelland, 2009), but decreases in discharge-
84 normalized DOC export in other regions, due to increasing flow path lengths, and
85 increased mineralization in soils (McClelland et al., 2007; Petrone et al., 2006; Striegl
86 et al., 2005; Tank et al., 2012). Furthermore, conflicting patterns of DOC
87 biodegradability exist with respect to seasonality and permafrost extent (Kawahigashi
88 et al., 2004; Striegl et al., 2005; Holmes et al., 2008; Balcarczyk et al., 2009; Frey and
89 McClelland 2009; Vonk et al., 2013b; Abbott et al., 2014; Larouche et al., 2015). The
90 scarcity of long-term data as well as a lack of conceptualization of the processes
91 controlling DOC transport and processing represent an important source of
92 uncertainty in the permafrost-regional carbon balance.

93

94 In both terrestrial and aquatic ecosystems, much of the overall carbon mineralization
95 takes place in the dissolved form, since part of the DOC is composed of lower
96 molecular weight compounds that can be directly transported across microbial cell
97 membranes (Battin et al., 2008), though particulate matter provides surface area for
98 bacterial attachment in aquatic ecosystems (del Giorgio and Pace, 2008).
99 Biodegradable DOC (BDOC), therefore, is a key regulator of ecosystem metabolism
100 in general and the rate of permafrost carbon release to the atmosphere specifically
101 (Holmes et al., 2008; Mann et al., 2012; Wickland et al., 2012; Abbott et al., 2014).

102 While promising proxies of BDOC have been identified, including optical signatures,
103 molecular characteristics and nutrient concentrations (Balcarczyk et al., 2009,
104 Wickland et al., 2012; Abbott et al., 2014), BDOC is typically assessed through
105 incubation experiments, representing a simple metric of microbial uptake and
106 mineralization. Throughout this study we will use BDOC as a measure of DOC
107 biodegradability. While incubation experiments carried out in the laboratory do not
108 necessarily reflect in situ DOC biodegradability due to many differences including
109 temperature, light, and microbial community, they provide a useful relative measure
110 of the reactivity of different types of DOC. Most studies measure BDOC through: (i)
111 production of dissolved inorganic carbon (DIC), (ii) consumption of DOC, or (iii)
112 consumption of O₂ (McDowell et al., 2006). While these methods can give
113 comparable results, differences in experimental factors can directly influence the
114 quantification of BDOC, including duration of incubation, temperature, light
115 exposure, type of filtration, and the addition of bacterial inoculum. While this
116 methodological diversity complicates direct comparison of BDOC measurements
117 from across the Arctic permafrost-region, it also represents an opportunity to identify
118 fundamental controls on DOC processing.

119

120 We synthesized results from 14 BDOC studies within the Arctic Ocean watershed
121 representing a total of 551 individual incubations to identify controls and patterns of
122 DOC biodegradability across spatial and temporal scales (section 2.1). Based on
123 findings from these studies we developed a standard incubation method, which we
124 tested on water from soils, streams, and rivers from throughout the permafrost region
125 and across seasons (section 2.2). We examined the role of seasonality, permafrost
126 extent, and incubation design (effect of inoculation) on metrics of BDOC and
127 recommend a protocol for future BDOC incubations. A meta-analysis of the
128 combined results of our standardized circum-arctic incubations and literature
129 synthesis allowed us to identify temporal and landscape-scale patterns in BDOC
130 across Arctic regions. This study represents the first to include both soils (soil
131 leachates) and aquatic systems (streams, lakes, rivers) to explore geographical and
132 seasonal patterns of BDOC in the Arctic.

133

134 **2. METHODS**

135

136 **2.1 Literature synthesis**

137 We gathered and analyzed data from permafrost-region BDOC studies that met the
138 following criteria: 1. Located in the Arctic Ocean watershed (including the Yukon
139 River watershed); 2. Used DIC production (CO₂ evasion) or DOC loss over time to
140 assess biodegradability (we excluded studies based on O₂ loss due to complicating
141 factors such as respiratory coefficients); and 3. Incubation was performed in the dark
142 to avoid autotrophic effects or photodegradation.

143

144 A total of 14 studies with experimental data on BDOC were found (Michaelson et al.,
145 1998; Kawahigashi et al., 2004; Wickland et al., 2007; 2012; Holmes et al., 2008;
146 Balcarczyk et al., 2009; Roehm et al., 2009; Kiikkilä et al., 2011; Mann et al., 2012;
147 Olefeldt et al., 2013a and 2013b; Vonk et al., 2013a and 2013b; Abbott et al., 2014).
148 All time steps from the incubations were treated as single data points, thus not just the
149 final DOC loss (e.g. if DOC concentration was measured at days 2, 7, and 14, we
150 included the three points individually). We categorized the data (Table 1 and Fig. 2)
151 by permafrost zone (no permafrost, discontinuous, or continuous), seasonality (day of
152 year), filter pore size (0.22, 0.45, or 0.7 μm), BDOC method (DIC production or DOC
153 loss), incubation time/duration (days), incubation temperature, use of inorganic
154 nutrient additions (yes or no), sample agitation during the incubation (yes or no),
155 incubation bottle size (ranging from 40 to 3000 mL), inoculum addition at start of
156 experiment (yes or no), and oxygen availability (for soil incubations: oxic or anoxic;
157 all aquatic incubations were performed oxic). When an incubation was performed at
158 "room temperature" we assumed 20°C. For watersheds crossing permafrost
159 boundaries we chose the spatially-dominant permafrost type. We sorted the data into
160 soil leachate and aquatic incubations, with subclasses (for our categorical purposes)
161 for the aquatic data: "lakes", "streams" (<250 km²), "large streams" (250 km² to
162 25,000 km²), "rivers" (25,000 km² to 500,000 km²) and "large rivers" (>500,000 km²).

163

164 **2.2 Circum-arctic standardized incubation experiment**

165 In June to September of 2013 we performed BDOC experiments with leachates from
166 three soil cores (from near Toolik Field Station, Alaska), water from two streams
167 (Richardson Creek, Alaska; Y3, Siberia), and water from three major Arctic rivers
168 (Yukon, Mackenzie and Kolyma Rivers; Fig. 1). Soil leachates were performed by
169 adding 500 mL DI water to soil volumes of ca. 2 L, letting this stand for 24 hours, and
170 extracting using a pore water sampler measuring total leachate volume extracted.
171 Water samples were collected from the surface in pre-cleaned, pre-rinsed containers
172 and transported (dark and cool) to filtration facilities within 12 hours. We developed
173 an incubation methodology adapted for implementation at remote field sites to assure
174 applicability to future work.

175

176 We measured DOC loss over time rather than O₂ loss or DIC production, as it did not
177 require specialized supplies or instrumentation in the field. All samples were filtered
178 through pre-combusted Whatman GF/F filters (nominal pore size 0.7 μm), which are
179 commonly used throughout the literature and can be pre-cleaned through combustion
180 (450°C > 4hrs). We set up triplicate incubations with three different treatments to test
181 the effects of bacterial inoculation: (1) no inoculum, (2) 1% inoculum by volume, (3)
182 10% inoculum by volume. Inocula consisted of 1.2 μm filtered water (using pre-
183 combusted (450°C > 4hrs) Whatman GF/C filters, 1.2 μm nominal pore size) that was
184 added to sample waters (filtered at 0.7 μm) to the specified ratio.

185

186 We added 30 ml aliquots of sample into pre-combusted (550°C > 4hrs) 40 mL glass
187 incubation vials and stored them at 20°C in the dark, with no nutrient amendment. To

188 ensure oxic conditions we left vial caps loose and shook samples once a day. The
189 incubated samples were re-filtered through 0.7 μm filters (using pre-combusted glass
190 filter tower units with 25 mm GF/F filters or a cleaned syringe filter assembly) to
191 remove flocculation after 0, 2, 7, 14 and 28 days (using separate vials, in triplicate, for
192 each time step). Re-filtration removes the majority of the microbial biomass, resulting
193 in a measured DOC loss including both DOC mineralization and assimilation.
194 Samples were immediately acidified with 30 μL of concentrated HCl (high quality
195 grade; to $\text{pH} \leq 2$). Acidified sample vials were capped and stored refrigerated in the
196 dark until analysis within three months. At the time of analysis, acidified samples
197 were sparged with CO_2 free air for 8 minutes at 75 mL/min and run as non-purgable
198 organic carbon (NPOC) on either a Shimadzu TOC-V or TOC-L analyzer. DOC was
199 calculated as the mean of between three and seven injections and the coefficient of
200 variance was always $< 2\%$. BDOC is reported in percent loss at time point x (2, 7, 14
201 or 28 days) according to:

$$202 \text{BDOC}(\%)_{T=x} = ((\text{DOC}_{T=0} - \text{DOC}_{T=x}) / \text{DOC}_{T=0}) * 100\% \quad (1)$$

203

204 **2.3 Statistical analyses**

205 We combined the literature meta-analysis of 14 papers ($n=551$) with data from our
206 circum-arctic incubation experiment ($n=192$). Each of the studies identified used
207 different methods for assessing BDOC, complicating and limiting possible analyses.
208 To examine trends across the total dataset ($n = 743$) we performed categorical
209 principle component analysis (CATPCA) via optimal scaling. This approach allowed
210 us to compare the effect of multiple variables with mixed measurement levels (scalar,
211 nominal, ordinal). We then performed a standard principle component analysis (PCA)
212 using the optimally-scaled results to aid in data interpretation. Data normality was
213 assessed using the Shapiro-Wilk test ($p > 0.05$). The data were normal and did not
214 require transformation. Separate CATPCA and PCA analyses were performed on the
215 aquatic and soil leachate datasets, as well as for methodological and environmental
216 parameters (Table 1). Validity of each PCA was tested using the Barlett tests of
217 sphericity ($p < 0.001$) and Kaiser-Meyer-Olkin measures of sampling adequacy.
218 Direct oblimin rotation was applied and rotated scores used throughout, allowing for
219 correlation between scores (Manisera et al., 2010). CATPCA runs assigned measures
220 from scalar data (initial DOC, BDOC (%), latitude, longitude, Julian day, bottle size,
221 incubation time, and incubation temperature), nominal data (method of C loss,
222 shaking, nutrient addition, inoculum, oxygen availability, location in fluvial network)
223 and ordinal data (filter pore size, and permafrost extent). We considered final rotated
224 PCA correlations of >0.7 as strong, between 0.5 and 0.7 as moderate, and <0.5 as
225 weak or absent (Quinn and Keough, 2002). Although this approach has drawbacks, in
226 our opinion it proved the most representative methodology given the diverse dataset
227 which included repeated measures (i.e. multiple time points) of BDOC (Bradlow et
228 al., 2002). Additionally, we combined data from all studies carried out with
229 incubation temperatures between 15-25 $^{\circ}\text{C}$ and with incubation durations between 28-
230 34 days, which represented the most common temperature and duration in the meta-
231 analysis, to test for environmental trends (Fig. 3, 4, 5). Here we tested for differences

232 among means using analysis of variance (ANOVA). All ANOVA, CATPCA, and
233 PCA analyses were conducted in SPSS 22.

234

235 **3. RESULTS**

236

237 **3.1 Literature synthesis**

238 The 14 literature studies comprised a total of 551 data points of which 418 were
239 aquatic. Most studies were located in North America (242 data points in Alaska, USA
240 and 227 in Canada; Fig. 2a), and from regions either without permafrost (234), or
241 with continuous permafrost (230; Fig. 2c). The most common incubation
242 temperatures were 17.5 or 20°C (41% and 36% of the data, respectively; Fig. 2d). The
243 majority of studies (60% of data) used 0.7 µm glass fiber filters to determine DOC
244 (Fig. 2f). Half of the BDOC assays were incubated for between 14 and 40 days (Fig.
245 2e). Furthermore, most incubations in our synthesis were started after addition of an
246 inoculum as described in the individual studies (80% of aquatic incubations, 97% of
247 soil leachate incubations).

248

249 **3.2 Methodological factors affecting BDOC**

250 To examine the effects of inoculum addition and inoculum concentration on BDOC,
251 we compared mean BDOC across our circum-arctic standardized incubation
252 experiment (no inoculum, 1% and 10% inoculum; $n = 40$ per treatment). Amount of
253 inoculum (1% or 10%) had no effect on the proportion of BDOC (ANOVA, $p > 0.9$).
254 As the degree of inoculation had no clear systematic effect on BDOC loss (see also
255 methodological PCA results; 3.2.1) we grouped all inoculated data (independent of
256 concentration), and all non-inoculated data during our ANOVA and environmental
257 PCA analyses. In the sections below we examine the patterns present in the combined
258 analysis of aquatic and soil literature results, including our circum-arctic incubation
259 experiments.

260

261 **3.2.1 Aquatic BDOC**

262 Three principle components together explained 81% of the variance among all aquatic
263 incubation samples (PC1 = 46%, PC2 = 23%, PC3 = 12%; Table 2). The first
264 component did not correlate with BDOC but correlated positively with shaking during
265 incubation ($r = 0.97$), the method used to measure DOC loss ($r = 0.91$), incubation
266 temperature ($r = 0.84$), and correlated negatively with bottle size ($r = -0.77$) and
267 presence of inoculum ($r = -0.51$). Component 2 also did not explain much variation in
268 BDOC, but correlated with filter pore size ($r = 0.90$), nutrient addition ($r = 0.90$), and
269 the use of inoculum ($r = 0.64$). Component 3 explained the greatest proportion of
270 BDOC variance ($r = -0.83$). Component 3 also closely correlated with incubation time
271 ($r = -0.85$) and displayed a negative correlation with bottle size ($r = 0.54$). Effect of
272 oxygen availability was not examined in aquatic incubations, as all previously
273 published experiments were conducted under oxic conditions.

274

275 3.2.2 Soil leachate BDOC

276 Three principle components explained 72% of the variance across all soil incubation
277 samples (PC1 = 34%, PC2 = 21%, PC3 = 16%; Table 2). Component 1 was strongly
278 correlated with BDOC loss ($r = 0.75$), as well as the availability of oxygen in
279 incubations ($r = 0.94$), the method used to measure carbon loss ($r = 0.87$) and whether
280 samples were shaken during incubation ($r = 0.73$). Neither component 2 nor 3 closely
281 correlated with BDOC, but component 2 correlated positively with incubation time (r
282 = 0.88), filter pore size ($r = 0.74$) and temperature ($r = 0.54$), and component 3 was
283 positively correlated to bottle size ($r = 0.74$), and inoculum ($r = 0.57$) and negatively
284 related to temperature ($r = -0.66$) and shaking ($r = -0.57$).

285

286 **3.3 Environmental factors affecting BDOC**

287 Similar to section 3.2, here we present the statistical results of the fully grouped
288 dataset (i.e. inoculated and non-inoculated literature synthesis data, combined with the
289 circum-arctic incubation experiment data), concentrating on how environmental
290 variables co-vary with BDOC losses.

291

292 3.3.1 Aquatic BDOC

293 Three components explained 82% of the total variance among environmental
294 parameters from all aquatic incubations (PC1 = 52%, PC2 = 18%, PC3 = 13%; Table
295 3). The first component was moderately correlated with BDOC ($r = 0.51$) and
296 strongly correlated with location within the fluvial network ($r = 0.95$), dominant
297 permafrost type ($r = 0.94$; greater BDOC in continuous permafrost regions, see also
298 Fig. 3a), sample latitude ($r = 0.93$), and initial DOC ($r = -0.70$). The second
299 component was strongly negatively correlated with BDOC ($r = -0.71$), and was
300 explained by sample longitude ($r = 0.78$). The third component did not correlate to
301 BDOC but showed a strong correlation with sampling period (Julian day; $r = 0.95$).

302

303 3.3.2 Soil leachate BDOC

304 Two components explained 77% of the variance in environmental parameters across
305 soil leachate incubations (PC1 = 55%, PC2 = 22%; Table 3). BDOC was most closely
306 correlated to component 1 ($r = 0.81$), which was associated with latitude ($r = 0.97$)
307 and dominant permafrost type ($r = 0.96$; greater BDOC in continuous permafrost
308 regions; see also Fig. 3b), and initial DOC ($r = -0.83$). The second component did not
309 correlate with BDOC but was positively correlated to longitude ($r = 0.79$) and
310 sampling period (Julian day; $r = 0.78$).

311

312 **4. DISCUSSION**

313

314 **4.1 Methodological factors influencing BDOC**

315 Aquatic BDOC losses only showed a strong correlation with incubation time, with
316 higher total BDOC observed in longer experiments (Table 2). This is not surprising
317 yet does point out that the length of the incubation set-up will ultimately be a primary

318 factor determining the BDOC (%), and thus the importance of this consideration for
319 comparison among studies. Despite total DOC loss increasing with longer incubation
320 time, the rate of DOC loss decreases over time.

321

322 Soil leachate BDOC was not clearly affected by incubation time across experiments
323 (Table 2). We suggest that the effects of incubation time may have been masked by
324 multiple additional methodological factors significantly influencing the soil BDOC
325 experiments in particular. For example, the presence of O₂ within incubations or
326 regular bottle shaking appeared to play a crucial role in soil BDOC losses (Table 2).
327 As soil extractions typically have higher initial DOC concentrations (despite some
328 degree of dilution applied in the experiment), they may be more susceptible to oxygen
329 drawdown, increasing the importance of regular bottle shaking. Also, the method of
330 assessing carbon loss appeared to play a critical role in the amount of BDOC
331 measured during soil incubations, but not so clearly in aquatic experiments. This
332 finding contradicts with the finding of McDowell et al. (2006) that found largely
333 comparable results between available methods. We compared different methods
334 conducted on different samples, which may explain our contrasting findings.

335

336 **4.2 Environmental factors influencing BDOC**

337

338 *4.2.1 Permafrost extent and longitude*

339 Aquatic and soil BDOC losses were significantly lower in regions without permafrost
340 than in discontinuous or continuous permafrost regions (Fig. 3). This could be
341 explained by shallower hydrologic flow paths in permafrost-affected regions, which
342 would constrain water flow, and DOC origin, to relatively shallow soils. Or,
343 alternatively, the unique dissolved organic matter (DOM) composition of yedoma
344 permafrost (Abbott et al., 2014; Spencer et al., 2015), containing high levels of
345 aliphatics and carbohydrates, could allow for more rapid degradation after thaw.
346 Yedoma permafrost occupies a part of the continuous permafrost domain and its
347 unique composition will therefore contribute to the composition of the DOC release
348 from continuous permafrost. Furthermore, permafrost DOM is relatively well-
349 preserved due to limited processing of organic carbon in soils under long-term frozen
350 conditions (Khvorostyanov et al., 2008; Schuur et al., 2008), though permafrost-
351 derived DOC still shows signs of processing (Wickland et al., 2012; Abbott et al.,
352 2014). Continuous permafrost regions thus seem to receive relatively well-preserved,
353 unique DOC into soil leachates and aquatic systems leading to higher losses, whereas
354 discontinuous permafrost regions and regions without permafrost receive DOC that
355 has already been subject to some degree of degradation. The presence of permafrost
356 also impacts hydrological flowpaths and transport times, which may result in more
357 efficient delivery of relatively less-processed terrestrial DOC to aquatic systems
358 (Striegl et al., 2005; Walvoord et al., 2012). Alternatively, preferential sorption of
359 specific compounds, freeze-thaw effects, or sub-zero metabolism in permafrost could
360 increase DOC biodegradability (Abbott et al., 2014 and references therein). The
361 difference in BDOC with permafrost extent is stronger in soils than in aquatic systems

362 (Table 3, Fig. 3), likely attributable to a fresher, less altered permafrost DOC
363 signature in soils compared to aquatic DOC that has already undergone some
364 processing. Newly leached DOC from yedoma permafrost soils, representing part of
365 our continuous permafrost soil data (Fig. 1), will be subject to more rapid degradation
366 (Spencer et al., 2015).

367

368 Aquatic BDOC was negatively correlated with longitude. Judging from the prevailing
369 geographical regions in the dataset (Fig. 1) this suggests that aquatic BDOC in Alaska
370 and Canada was on average higher than in Eastern Siberia. This could be related to a
371 combination of the spatial spread in our dataset with the distribution of yedoma.
372 Yedoma is Pleistocene-aged permafrost (Zimov et al., 2006) predominantly present in
373 northeast Siberia, but also in Alaska and NW Canada (Kanevskiy et al., 2011) that
374 releases extremely biolabile DOC upon thaw (BDOC between 40-65% after 30-40
375 days of incubation, Vonk et al., 2013b; Abbott et al., 2014). In our meta-analysis,
376 most of the aquatic BDOC incubations with yedoma-derived DOC are located in
377 Alaska, which could explain the longitudinal pattern.

378

379 4.2.2 Patterns within the fluvial network

380 In continuous permafrost regions, aquatic BDOC changes within the fluvial network
381 (Fig. 4). Here, large rivers (defined as watersheds larger than 500,000 km²) showed
382 significantly lower BDOC than streams, large streams, and rivers. We should note
383 here that streams (<250km², n=149) and large rivers (>500,000 km², n=60) are
384 overrepresented in the continuous permafrost dataset, when compared to large streams
385 (250 - 25,000km², n=46) and rivers (25,000-500,000km², n=18). Nevertheless, this
386 suggests that continuous permafrost regions may release DOC that degrades more
387 rapidly with the movement from headwaters to larger rivers in the fluvial network
388 than DOC that is released from discontinuous permafrost regions or regions without
389 permafrost. Pleistocene yedoma could be such a source, as its strong degradation
390 potential (Vonk et al., 2013a; 2013b; Abbott et al., 2014) leads to preferential
391 utilization in headwater streams (Mann et al., 2015; Spencer et al., 2015).

392

393 4.2.3 Seasonality

394 BDOC decreased with Julian day for large streams, rivers and large rivers (Fig. 5c) in
395 both continuous and discontinuous permafrost regions, whereas streams (Fig. 5b) and
396 soil leachates (Fig. 5a) showed no seasonal pattern. This pattern may be associated
397 with shifts in carbon source (winter and spring DOC in several Arctic rivers is more
398 biolabile than in summer; Wickland et al., 2012; Mann et al., 2012; Holmes et al.,
399 2008) but it is likely more related to a changing hydrologic residence time. In boreal
400 and Arctic systems soil thaw-depth increases throughout the summer, resulting in
401 longer water residence times in soils and headwater streams (Harms and Jones, 2012;
402 Jones and Rinehart, 2010; Koch et al., 2013). This allows more time for
403 biodegradable carbon compounds to be mineralized before reaching the river late in
404 the season, effectively reducing measured BDOC in higher-order streams and rivers
405 later in the season. Increasing water temperature through the season could magnify

406 this effect with little mineralization early in the year when soils and streams are cold
407 but accelerating biolabile carbon removal in summer. Hydrologic connectivity
408 between soils and surface waters is generally weaker later in summer (Striegl et al.,
409 2005; Spencer et al., 2008; Koch et al., 2013), which could explain the absence of
410 seasonal trends for soils and streams (Fig. 5a, b). Furthermore, soil core leachates
411 from a near-surface core that developed fresh plant growth during the growing season
412 showed higher BDOC than cores without fresh plant growth (Fig. 6). These local
413 plant growth-induced spikes in BDOC, likely induced by root exudates (Marschner
414 and Kalbitz, 2003) could also mask seasonal trends in soil leachate BDOC and instead
415 highlight spatial variability.

416

417 4.2.4 Other factors affecting BDOC

418 There are multiple factors that affect in situ BDOC that neither we nor the
419 investigated literature studies have considered. One of these factors is the effect of
420 light. Photochemical processes can lead to rapid DOC losses (up to 30% in 14 days;
421 Mann et al., 2012) and may alter the DOC composition so that it is more susceptible
422 to microbial degradation (Cory et al., 2013; Laurion and Mladenov, 2013). The
423 presence of clay minerals can affect photochemical decomposition of DOC (Tietjen et
424 al., 2005). Furthermore, POC also serves as an important catalyst in DOC biolability
425 (Battin et al., 2008), . In this study we do not investigate any potential co-
426 metabolizing effects of POC degradation, or for the biodegradability of POC itself,
427 which could be substantial (Sánchez-García et al., 2011; Richardson et al., 2013).

428

429 Something we could not directly address in our synthesis was the effect of DOM
430 composition, which can be related to the depth of the active layer and the associated
431 retention of certain fractions of the DOC pool. For example, sugars and microbially-
432 derived organic matter appear more biolabile than plant-derived organic matter
433 (Balcarczyk et al., 2009; Mann et al., 2012). Also, permafrost DOM appears to be
434 enriched in hydrogen-rich, aliphatic compounds that are preferentially degraded in
435 incubation experiments (Spencer et al., 2015). The preferential degradation of
436 biolabile components of the bulk DOC results in an enrichment of more recalcitrant
437 components in soil pore waters (Wickland et al., 2007) and in larger rivers
438 downstream (Spencer et al., 2015).

439

440 Another factor that could affect BDOC is nitrogen release from thawing permafrost
441 (Harden et al., 2012; Keuper et al., 2012; Harms et al., 2014). High nitrogen levels
442 have been found to correlate with high BDOC (Holmes et al., 2008; Wickland et al.,
443 2012), although we do not find a strong correlation in our meta-analysis and other
444 studies show little response of BDOC to inorganic nutrient additions (Abbott et al.,
445 2014; Mann et al., 2015).

446

447 **4.3 Circum-arctic patterns in BDOC**

448

449 4.3.1 Geographical and seasonal patterns in BDOC

450 We identified distinct large-scale patterns in the biodegradability of DOC, which we
451 illustrate in a conceptual diagram (Fig. 7). The percentage BDOC in both soil and
452 aquatic systems increased from regions without permafrost to regions with continuous
453 permafrost. We attribute this increase to better preservation of DOC in permafrost
454 regions where frozen storage has limited processing of the soil organic matter, and to
455 stronger hydrologic connectivity between terrestrial and aquatic systems.
456 Furthermore, within aquatic networks, BDOC was lower in large river systems
457 compared with streams, and this pattern was most pronounced in continuous
458 permafrost regions. This suggests that continuous permafrost regions release DOC
459 sources such as Pleistocene yedoma that degrade rapidly in the fluvial network (Vonk
460 et al., 2013b; Abbott et al., 2014; Mann et al., 2015; Spencer et al., 2015).

461
462 Aquatic BDOC in large streams and rivers decreased as the Arctic summer
463 progressed. This pattern was absent for soils and streams. This could be related to a
464 variety of factors such as seasonal shifts in carbon sources, changing DOC residence
465 time related to increasing thaw-depth, increasing water temperatures later in the
466 summer, as well as decreasing hydrologic connectivity between soils and surface
467 waters when the season progresses. Alternatively, the integrating character of rivers
468 and larger streams could mask local-scale heterogeneity that is more apparent in small
469 streams and soil leachates.

470

471 *4.3.2 Circum-arctic fluxes of BDOC*

472 Evaluating aquatic DOC export fluxes through sampling at river mouth locations near
473 the Arctic Ocean underestimates the importance of the fluvial network for processing
474 DOM. Literature estimates of watershed-scale aquatic C gas fluxes vary widely
475 between 0.5 and 10 gC/m²/yr (all normalized to catchment area; Striegl et al., 2012;
476 Lundin et al., 2013; Denfeld et al., 2013; Crawford et al., 2013). When extrapolated to
477 the Arctic Ocean watershed (20.5 x 10⁶ km²; Holmes et al., 2013) this could result in
478 a total gaseous C emission between 10 and 200 Tg C/yr. These estimates seem
479 reasonable compared to an annual Arctic Ocean watershed DOC flux of 34 Tg
480 (Holmes et al., 2012), where 34 Tg is based on river mouth monitoring and ignores
481 processing *within the watershed* prior to arriving at the river mouth. Also, a
482 significant fraction of the emitted flux originates from weathering and soil respiration
483 sources (Striegl et al., 2005; Humborg et al., 2009).

484

485 Wickland et al., (2012) estimated that the combined BDOC exported by the six largest
486 Arctic rivers to the Arctic Ocean is 2.3 Tg C/yr, based on empirical relations between
487 BDOC and DOC:DIN (dissolved inorganic nitrogen) ratios. Importantly, these
488 watershed-scale estimates exclude processing and retention of DOC in soils, *prior to*
489 delivery to aquatic networks. As we have seen in this study, soil BDOC is on average
490 higher than aquatic BDOC. By using the % permafrost extent in the Arctic Ocean
491 watershed from Holmes et al., (2013), 45% continuous, 31% discontinuous (including
492 sporadic and isolated) and 26% without permafrost, and average soil BDOC values
493 for each permafrost zone (20, 15 and 8 BDOC for continuous, discontinuous and no

494 permafrost regions, respectively; mean values from Fig. 3b) we can calculate the
495 permafrost-normalized average soil BDOC to be 16%. Inclusion of DOC processing
496 within soils is likely to significantly raise the 2.3 Tg C/yr estimate for aquatic
497 networks alone (Wickland et al., 2012). However, questions about the linkages
498 between soil and stream BDOC with deepening active layer depths remain. Changes
499 in hydrological flow paths associated with deepening active layers could reduce the
500 inputs of DOC due to mineral sorption and additional processing during transport
501 (MacLean et al., 1999; Striegl et al., 2005; O'Donnell et al., 2010) but the net effects
502 of permafrost thaw on BDOC inputs to streams are not yet well characterized.

503

504 **4.4 Method considerations and recommendations**

505 In order to compare BDOC losses across Arctic, and alternate systems, it is crucial to
506 standardize the methods with which biodegradability is assessed. Our meta-analysis
507 highlighted the significant variability in incubation design across the currently
508 available literature making robust comparisons of BDOC across studies challenging.
509 We suggest the following DOC incubation method, which is intentionally kept simple
510 to be feasible at more remote field sites (a more detailed protocol is available in the
511 supplementary information). Additionally, we suggest a few optional protocol steps
512 that could be used to assess further environmental controls on BDOC.

513

514 *Standardized DOC incubation protocol*

- 515 • As soon as possible after collection, filter water samples through pre-combusted
516 (450°C >4hrs) 0.7 µm glass fiber filters and chill (ca. 4°C) until ready to incubate.
517 ⇒ Rapid incubation setup is strongly recommended since many biolabile DOC
518 compounds have turnover times of hours. We advocate against freezing
519 samples due to DOC flocculation, compositional and structural changes in the
520 DOC, and bacterial viability (Fellman et al., 2008)
- 521 • Decant filtrate into triplicate sets of 40 mL pre-combusted (550°C >4hrs) glass
522 vials, and fill each vial with 30 mL filtrate. Use a triplicate glass vial set for each
523 time point in your incubation. We recommend five time points at which one
524 triplicate set will be consecutively removed from incubation: T = 0, T = 2, T = 7, T
525 = 14 and T = 28 days. Use clean caps with silicone or teflon septa (avoid rubber
526 which can leach DOC). Potentially, a longer time step (T=90; e.g. Holmes et al.,
527 2008) can be added to assess less labile DOC. In that case, we also recommend
528 assessing DIC production (see additional protocol steps, below) as this method is
529 more sensitive in detecting small changes. We want to point out, however, that the
530 majority of the incubations will respond within 28 days, and longer incubations
531 will introduce issues such as bottle effects.
532 ⇒ Our reasons for recommending 40mL glass vials are several; they are
533 commonly available, they can be cleaned through pre-ashing, the required
534 total volume per incubation is relatively small but sufficient for analysis, and
535 our analyses suggest that variation in bottle size may affect BDOC results.

- 536 • Inoculation of samples is not needed as filtration through 0.7 μ m allows for a
537 sufficient amount of bacteria to pass the filter.
- 538 • Incubate the vials in the dark (to avoid autotrophic respiration and
539 photodegradation), with loose caps and regular shaking to avoid oxygen-depletion.
- 540 • We recommend performing sample incubation at room temperature (20°C), as this
541 is most common, relatively easy to maintain, and allows comparison between
542 studies. This will provide the potential BDOC as 20°C is generally above ambient
543 temperature. Document the temperature throughout the experiment precisely.
- 544 ⇒ If possible, the incubations should be carried out at a stable temperature for
545 example by using an oven or incubator.
- 546 • Re-filter the incubated samples through pre-combusted (450°C >4hrs) 0.7 μ m
547 filters (to avoid problems with flocculation and remove most microbial biomass)
548 for each time step. Store the filtered samples in pre-combusted (550°C >4hrs)
549 40mL glass vials, acidify to pH 2 with 30 μ L concentrated HCl. Cap tightly and
550 store dark and chilled until analysis.
- 551 • For logistical reasons, we recommend assessment of BDOC through DOC loss (see
552 equation 1).
- 553 • For details regarding DOC analysis, see the supplementary information. Note that
554 samples with low initial DOC concentrations may approach the detection limit of
555 OC analyzers.

556

557 *Additional protocol steps:*

- 558 • **Ambient incubation temperature:** Incubate at the ambient temperature of the
559 water or soil from where the sample was collected to allow for application of
560 results to ambient conditions. Run control incubations at 20°C.
- 561 • **Nutrient amendment:** Because the effect of nutrients on DOC processing is
562 unclear, we recommend running experiments both with and without added
563 nutrients. Amount of added nutrients should be adapted in relation to initial
564 nutrient concentration according to the Redfield ratio, but in general an amendment
565 of NO₃⁻ (to a concentration of 80 μ m), NH₄⁺ (80 μ m) and PO₄³⁻ (10 μ m; Holmes et
566 al., 2008) is appropriate for aquatic and soil leachates. Run control incubations
567 without nutrient amendment.
- 568 • **DIC production:** If field and laboratory settings allow we recommend also
569 assessing C loss through DIC production, to provide BDOC estimates through two
570 independent methods. We suggest to measure the CO₂ concentration in the
571 headspace of the incubation flask and calculate the change in DIC (headspace CO₂
572 plus dissolved CO₂, carbonate, and bicarbonate in the aqueous phase). This method
573 is detailed in Kalbitz et al., (2003). Keep all other parameters (such as filter pore
574 size, incubation temperature, and approximate sample volume) similar to the
575 control incubation that measures DOC loss.
- 576 • **Light incubation:** Dark incubations eliminate effects of autotrophic respiration
577 and photodegradation; however to simulate realistic DOC drawdown, light is a
578 critical factor (Mann et al., 2012; Cory et al., 2013).

579 • **DOC ‘quality’ (composition) measurements:** If possible, we recommend
580 assessing DOM compositional information for, at least, initial water samples or
581 soil leachates and, if possible, also on incubated waters and soil leachates (i.e.,
582 post-incubation). These measures may include optical properties (specific
583 ultraviolet absorbance, fluorescence excitation-emission matrices), and compound-
584 specific analyses (carbohydrates, amino acids, lignin phenols, Fourier transform
585 ion cyclotron resonance mass spectrometry, etc.). Note that short sample storage
586 times are desirable for most analyses.

587

588

589 **5. CONCLUSIONS**

590

591 Half of the global belowground soil OC pool is stored in circum-arctic permafrost but
592 little is known about the processes controlling transport and degradation of DOC, a
593 key regulator of the rate of permafrost carbon release from the Arctic watershed to the
594 atmosphere. We synthesized results from 14 BDOC studies from the permafrost
595 region and complemented this with novel BDOC data determined using a
596 standardized method from across the Arctic. We observed a large variability in soil
597 and aquatic BDOC, even under uniform conditions. Despite the significant
598 heterogeneity, we found that both soil and aquatic DOC is more biodegradable in
599 regions with continuous permafrost compared to regions without permafrost. Within
600 continuous permafrost regions, the degradability of DOC decreased from headwater
601 streams to larger river systems, suggesting that permafrost DOC is preferentially
602 utilized within the network. Furthermore, we discovered that aquatic BDOC in large
603 streams and rivers decreased as the Arctic summer progressed, whereas this pattern
604 was absent for soils and small streams.

605

606 Based on our synthesis of BDOC studies and additional measurements, we predict
607 that slow future transformation of continuous permafrost into discontinuous
608 permafrost regions could release an initial, relatively short-term, pulse of
609 biodegradable DOC but will on longer timescales possibly lead to the release of DOC
610 that is more recalcitrant. The total gaseous watershed C flux may, however, increase
611 as more DOC could be processed within soils prior to release into aquatic networks
612 due to deeper thaw depths and increasing residence time (Striegl et al., 2005).
613 Furthermore, a lengthening of the arctic summer thaw period could result in lower
614 DOC biodegradability in large streams and rivers, but higher biodegradability in small
615 streams and soils.

616

617 The Arctic is changing, and so is the coupling between its carbon and hydrologic
618 cycles. There still are large uncertainties related to processing and transport of DOC,
619 and little data are available from northern Canada and Russia, from discontinuous
620 permafrost regions, and across all seasons. We strongly recommend that future studies
621 of DOC degradability assess BDOC by means of our standardized DOC incubation

622 protocol, to facilitate optimal use and integration of future datasets with existing
623 knowledge.

624

625 **Supplementary information**

626 - Incubation protocol

627 - Table S1: Site characteristics and BDOC results from our standardized circum-
628 arctic incubation experiments

629

630 **Acknowledgments**

631 We would like to thank Greg Fiske for help with spatial analyses and creating Figure
632 1. For financial support, we would like to thank the Dutch NWO (Veni grant
633 #863.12.004), the Permafrost Carbon Network, and the US-NSF (Arctic Great Rivers
634 Observatory, The Polaris Project #1044610, and Detecting the signature of permafrost
635 thaw in Arctic rivers #1203885; #1500169), NSERC Discovery and Northern
636 Research Supplement funds, the Graduate School at the University of New
637 Hampshire, and USGS Climate and Land Use and Water Mission Areas. For field and
638 laboratory support we would like to thank the Aurora Research Station in Inuvik,
639 NWT/Canada, North-East Science Station, Cherskii/Russia (Nikita Zimov, Anya
640 Davydova), the Woods Hole Research Center (Ekaterina Bulygina, Sue Natali), and
641 Travis Drake (USGS). We thank Britta Voss (USGS) and Travis Drake (USGS) for
642 comments on an early draft. Any use of trade or product names is for descriptive
643 purposes only and does not imply endorsement by the U.S. Government.

644

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Table 1 List of methodological and environmental parameters we included in our meta-analysis. Variables are classified as scalar (no symbol), nominal (*) and ordinal (**). For scalar parameters we have listed the data range, for categorical (nominal and ordinal) data we have listed the number of categories along with their definition.

Parameter	Unit	Type of data and range or categories			Comments
		Scalar	Categorical		
		Data range	Number of categories	Definition of categories (PCA value assigned)	
BDOC	%	0 - 67			
Methodological					
Nutrients*	--		2	No nutrients (1) - nutrients added (2)	
Filter pore size**	µm		3	0.7 (1) - 0.45 (2) - 0.2 (3)	
Inoculation*	--		2	Not inoculated (1) - inoculated (2)	For experimental data, we identified not inoculated - 1% inoculated - 10% inoculated
Shaking*	--		2	No shaking (1) - shaking (2)	
Oxygen*	--		2	Anoxic (1) - oxic (2)	All aquatic incubations were assumed to be performed under oxic conditions
Bottle size	mL	40 - 3000			
Method of analysis*	--		2	DIC production (1) - DOC loss (2)	
Incubation temperature	°C	3.5 - 25			In the literature synthesis, we assumed "room temperature" was 20°C.
Incubation time	days	1 - 97			
Environmental					
Permafrost**	--		3	No permafrost (1) discontinuous (2) - continuous (3)	Dominant permafrost type in each catchment was used.
Location in aquatic network*	--		6	Soil leachate (1) - lake (2) - stream (3) - large stream (4) - river (5) - large river (6)	Based on watershed size: streams <250km ² ; large streams 250-25,000 km ² ; rivers 25,000-500,000km ² ; large rivers >500,000km ²
Soil aquatic*	--		2	Aquatic (1) - soil (2)	

Table 2 Correlations between methodological variables and BDOC principle component axis (1, 2, 3) in a structure matrix for aquatic incubation data points) and soil incubations (202 data points). Correlations above 0.7 are considered strong, and correlations above 0.5 (italic) as moderate. Aquatic samples were incubated under oxic conditions and so this was excluded from PCA. Similarly, none of the soil incubations were nutrient-amended so excluded from PCA. The parameters are ordered based upon their importance explaining axis 1. Variables are classified as scalar (no symbol), nominal ordinal (**).

	Aquatic		
	1	2	3
Shaking*	0.97	0.07	-0.46
Method C loss*	0.91	0.09	-0.30
Temperature	0.84	0.11	-0.18
Bottle size	-0.77	0.08	<i>0.54</i>
Filter pore size**	0.34	0.90	-0.44
Nutrient addition*	0.37	0.90	-0.45
Inoculum*	<i>-0.51</i>	<i>0.64</i>	0.32
Incubation time	0.34	0.12	-0.85
BDOC	0.23	0.26	-0.83
% variance explained	46	23	12

	Soil		
	1	2	3
O ₂ availability*	0.94	-0.16	-0.06
Method C loss*	0.87	-0.30	0.02
BDOC	0.75	<i>0.37</i>	<i>-0.02</i>
Shaking*	0.73	-0.05	<i>-0.57</i>
Incubation time	0.06	0.88	-0.13
Filter pore size**	-0.25	0.74	0.25
Bottle size	0.06	0.10	0.74
Temperature	-0.05	<i>0.54</i>	-0.66
Inoculum*	-0.44	0.08	<i>0.57</i>
% variance explained	34	21	16

Table 3 Correlations between environmental variables and BDOC for each principle component axis in a structural matrix for aquatic incubations (505 data points) and soil incubations (165 data points). Correlations above 0.7 (in bold) are considered strong, and correlations above 0.5 (italic) as moderate. The parameters are ordered based upon their importance to explaining factor 1. Variables are classified as scalar (no symbol), nominal (*) and ordinal (**). Location in stream network, i.e. streams, large streams, rivers and large rivers, is indicated as 'network'.

	Aquatic		
	1	2	3
Network*	0.95	-0.05	-0.21
Permafrost**	0.94	0.05	-0.06
Latitude	0.93	0.06	-0.07
DOC initial	-0.70	-0.11	0.47
Longitude	0.41	0.78	0.12
BDOC	<i>0.51</i>	-0.71	-0.05
Julian day	-0.14	0.11	0.95
% variance explained	52	18	13

	Soil	
	1	2
Latitude	0.97	-0.08
Permafrost**	0.96	-0.13
DOC initial	-0.83	0.30
BDOC	0.81	0.15
Longitude	-0.22	0.79
Julian day	0.06	0.78
% variance explained	55	22

Figure 1

Map of the hydrological network (blue) in the Arctic Ocean watershed (boundary in red) with points showing literature data (blue for aquatic, red for soil) and experimental data (green for aquatic, orange for soil).

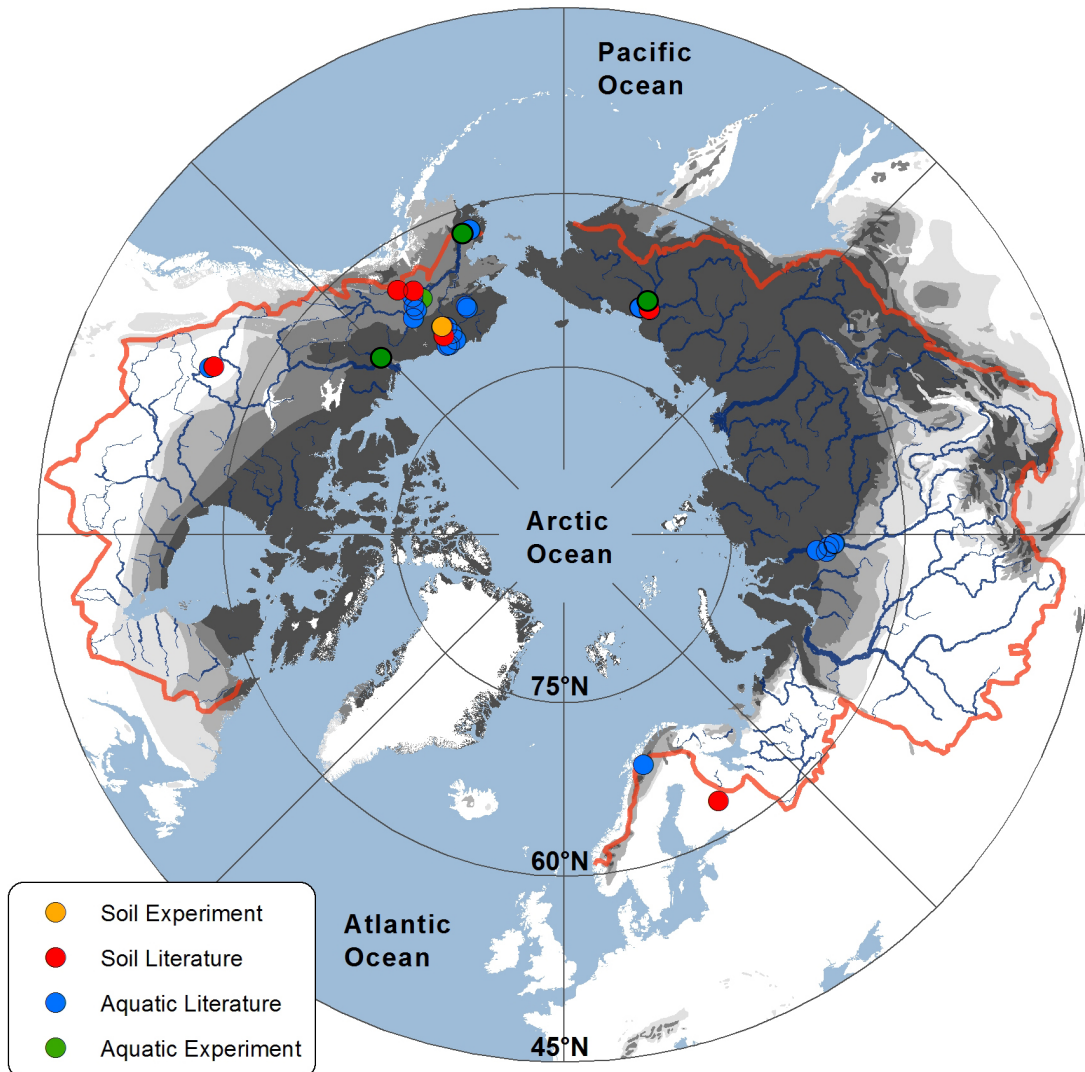


Figure 2

Histograms of environmental and methodological variety reported in the synthesized literature (n=426, see section 2.3), with (a) region/country, (b) soil leachate and type of aquatic study (categorized as streams (<250km²), large streams (>250km² and <25,000km²), rivers (>25,000km² and <500,000km²) and large rivers (>500,000km²)), (c) permafrost zonation, (d) incubation temperature in °C, (e) incubation time (categorized in <7 days, 7-14 days, 14-40 days, and >40 days, and (f) filtration pore size (µm). Green represents soil leachate data, blue represents aquatic data. The y-axis shows number of data points.

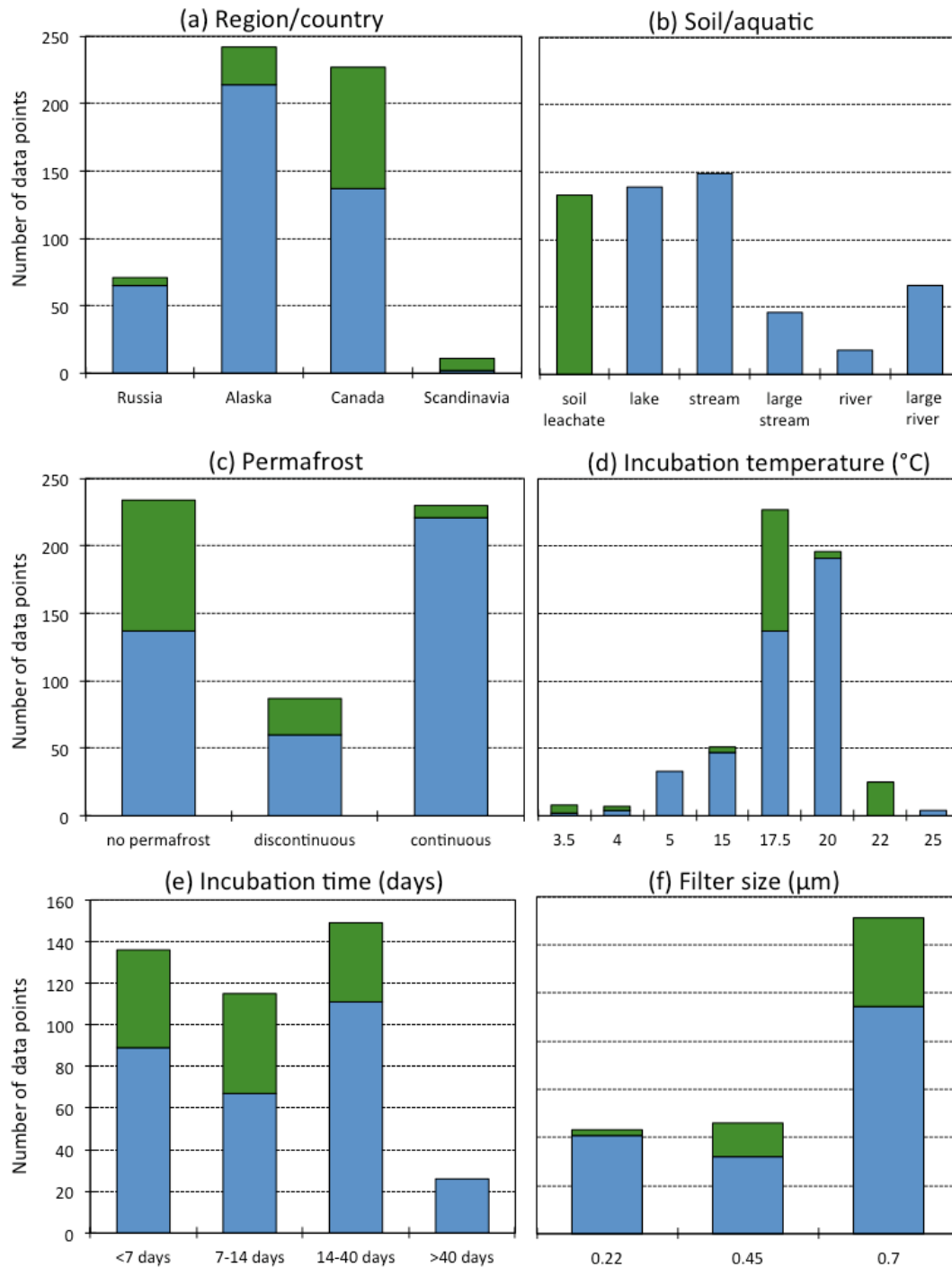


Figure 3

(a) Aquatic and (b) soil leachate BDOC data (15-25°C, n=205) after 28-34 days incubation across dominant permafrost type from literature-synthesis and our circum-arctic experiment. The data are shown as 5th to 95th percentiles (points), 25th, 50th, and 75th percentiles (lines), median value (bold line) and mean value (dashed line). The number of data points used are listed below the box plots.

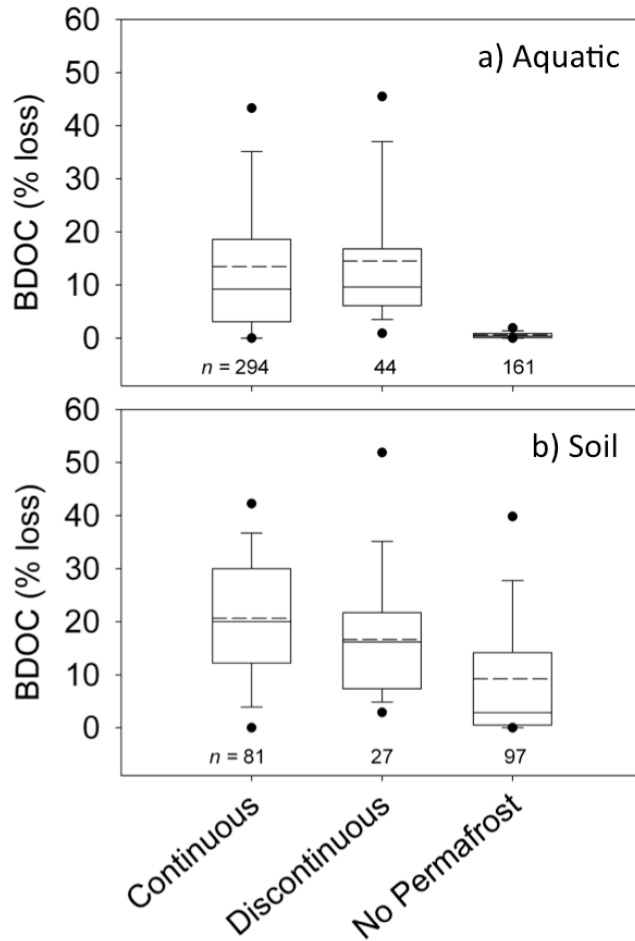


Figure 4

Aquatic BDOC data for 15-25°C after 28-34 days incubation for streams (<250 km²), large streams (>250 km², <25,000 km²), rivers (>25,000 km², <500,000 km²), and large rivers (>500,000 km²) clustered for (a) discontinuous and (b) continuous permafrost zones. Symbology as in Fig. 3. A plot for 'no permafrost regions' is not shown as here only BDOC data for rivers were available (median BDOC = 0.44 %, mean BDOC = 0.69 %; n = 25). The number of data points used are listed below the box plots.

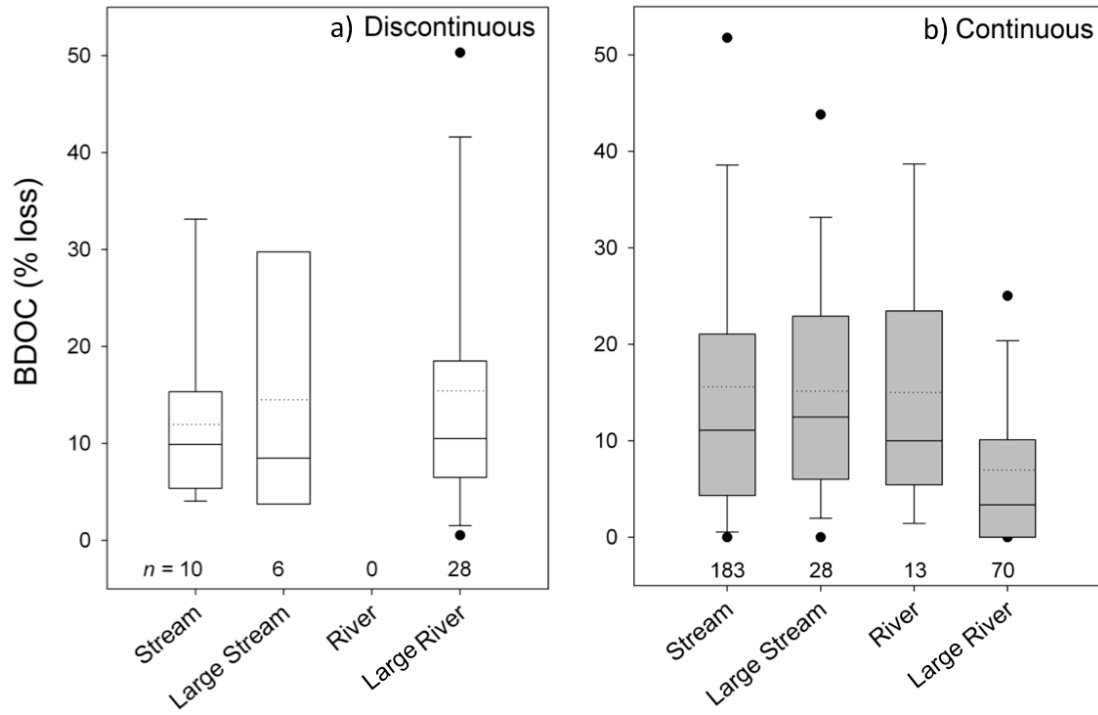


Figure 5

Seasonal BDOC losses (shown against Julian day) at 15-25°C after 28-34 days incubation for (a) soil leachates, (b) streams and (c) clustered large streams, rivers and large rivers for regions without permafrost, discontinuous permafrost and continuous permafrost. Trend lines denote significant relationships where present. Solid line represents linear fit in discontinuous permafrost ($r^2 = 0.33$, $p = 0.0003$) and dashed line continuous permafrost ($r^2 = 0.29$, $p < 0.0001$).

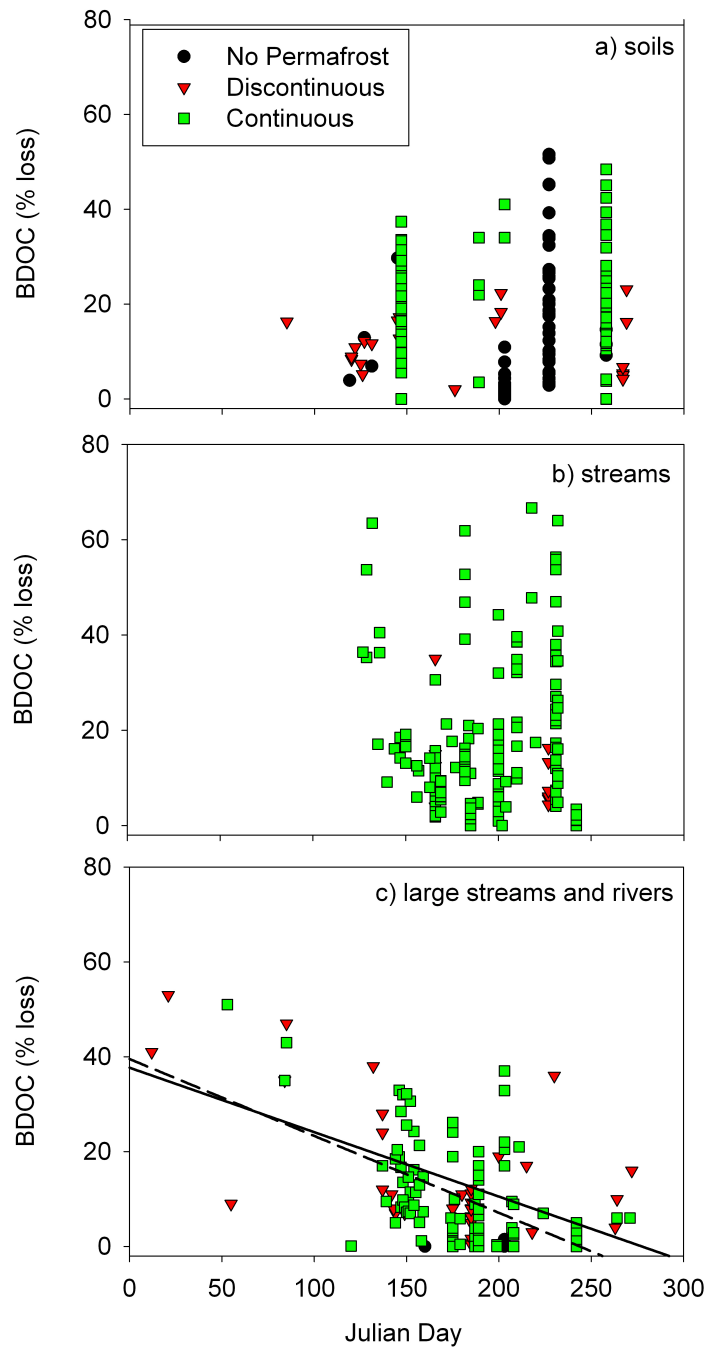


Figure 6

BDOC losses (at 20°C) after 28 day incubation for soil leachates from three cores collected near Toolik, Alaska, as part of our circum-arctic incubation experiment (see section 2.1). Soil leachates were collected and incubated both in spring (circles) and fall (diamonds). In core 1 we observed active plant growth during the spring and fall incubations.

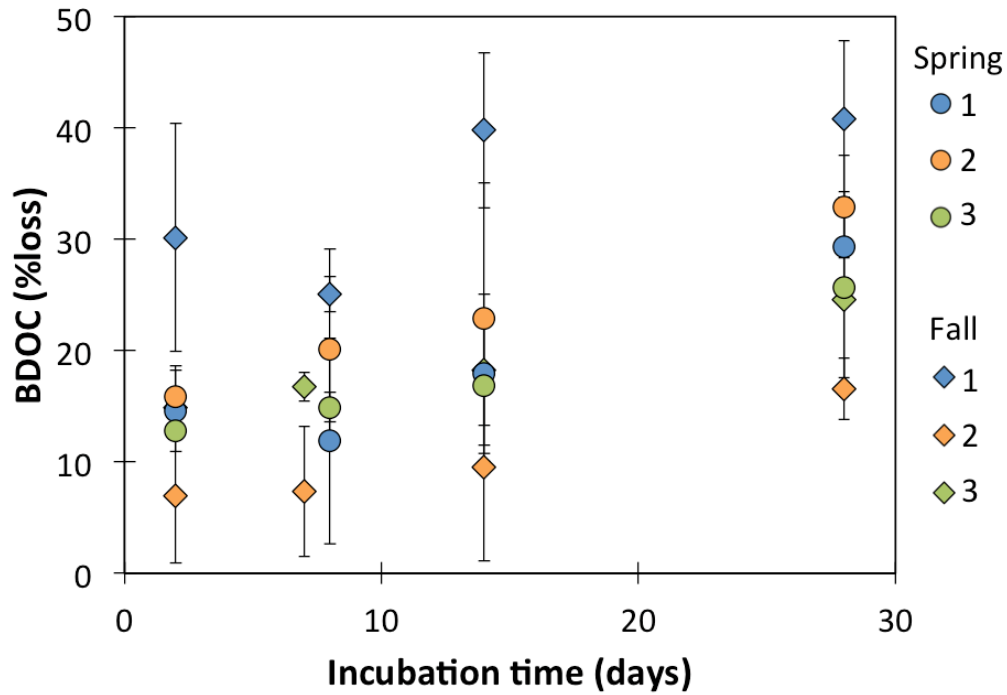


Figure 7

Conceptual graph of landscape-scale and seasonal trends in % BDOC where the upper blue box represents aquatic systems, and the lower brown box represents soils. Aquatic BDOC increases with decreasing catchment area, and aquatic and soil BDOC increase with increasing permafrost extent in the landscape. Aquatic BDOC in watersheds varies temporally, with more BDOC found in winter and spring than late summer.

