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November 5, 2015

Georg Wohlfahrt Associate Editor of Biogeosciences Copernicus Publications www.biogeosciences.net

Dear Dr. Wohlfahrt,

Thank you for taking over as associate editor of our manuscript bg-2015-217 ("The influence of warm-season precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site" by myself, Peter Blanken, Andrew Turnipseed, Jia Hu, and Russ Monson) which we submitted for publication as a research article in the EGU journal *Biogeosciences*. At the end of this letter we have included a short list that highlights the most important changes made to our manuscript, our replies to all the referee comments, and a pdf highlighting the textual changes to the manuscript (created using latexdiff). These are very similar to the documents posted to the discussion article webpage. In addition, we have uploaded the revised manuscript and abstract as pdf's via the manuscript portal of the Copernicus Office webpage.

If there are any questions or problems with the submission of our revised manuscript please don't hesitate to contact me.

Sincerely,

Som Buns

Sean P. Burns





Interactive comment on "The effect of warmseason precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site" by S. P. Burns et al.

List of Revisions to bg-2015-217

S. P. Burns et al.

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Date: November 5, 2015

Here, we list the major revisions to manuscript bg-2015-217. Additional manuscript changes are described in our point-by-point responses to the reviewer comments.

- 1. Jia Hu from Montana State University (an expert on forest transpiration) is now included as a co-author. Our analysis now includes transpiration data that Jia collected near the AmeriFlux tower as part of her PhD research at the University of Colorado.
- 2. These transpiration data (collected during the summers of 2004, 2006 and 2007) show that on wDry days, transpiration is approximately the same on dDry days. Therefore, the increased LE on wDry days is primarily due to increased evaporation and not increased transpiration. We added the transpiration information to Fig. 9 and it is discussed in section 3.2.5 of the revised manuscript.
- 3. We changed the format of Fig. 9 (attached at the end of this document). We think this new format more clearly shows the effect of precipitation state on the fluxes.
- 4. We concluded that the flux-partitioning methods of Reichstein and Lasslop did not have a significant impact on the results. Therefore, we removed any references to the flux-partitioning in the discussion and results. This also allowed us to remove Fig. S1 in the discussion paper from the revised manuscript.
- 5. In an effort to make the results and discussion section more clear (based on a suggestion by Referee #2), we redefined the subsections in Sect. 3.2:

Sect. 3.2.1 Wind, turbulence, vertical temperature profiles, and near-ground stability

Sect. 3.2.2 Atmospheric scalars (T_a , q), soil temperature, soil moisture, and soil heat flux

Sect. 3.2.3 Atmospheric CO₂ dry mole fraction

Sect. 3.2.4 Net radiation and turbulent energy fluxes

Sect. 3.2.5 The evaporative contribution to LE

Sect. 3.2.6 Net ecosystem exchange of CO₂ (NEE)

- 6. We shortened the length of the results and discussion section by \approx 8%.
- 7. Based on advice from Referee #1, we changed the nomenclature that identifies the daily precipitation state from "Dry1, Wet1, Wet2, Dry2" to "dDry, dWet, wWet, wDry". In the new nomenclature the lower case letter indicates whether the preceding day was wet or dry, while the "Dry" or "Wet" indicates the precipitation state of the current day. This new nomenclature will be used throughout our replies to the reviewers and is described in Sect. 2.3 of the revised manuscript.
- 8. Based on advice from Referee #1, we have included the storage terms in our analysis of the surface energy balance. As part of this, we added a new figure to the appendix (Fig. S2 in the revised manuscript) that shows the magnitude of the storage terms and how they changed with precipitation state. Please see our replies to Referee #1 for more details.
- 9. In the conclusions section we added a list of possible future improvements for the surface energy balance calculation (and measurements) at the US-NR1 site.
- Based on advice from Referee #2, we examined leaf-wetness sensor data and have included the diel cycle of leaf-wetness for different precipitation states in Fig. 3c of the revised manuscript. We further discuss the leaf-wetness data in our reply to Referee #2 (Comment 4).
- 11. Based on advice from Referee #2 (and in an effort to shorten/focus the manuscript), we have removed plots of the standard deviation of data from the different precipitation states. We also removed the panels related to CO₂ in Fig. 6 of the discussion paper.
- 12. Additional references added to the manuscript are listed below. At the end of this document we have attached a pdf which shows changes to the text using latexdiff (as suggested in the "Manuscript preparation guidelines for authors" section on the BG website). Removed text is shown in red, added text is in blue.

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Figure 9: The mean warm-season diel cycle of (a) net radiation R_{net} , (b) net ecosystem exchange of CO₂ NEE, (c) latent heat flux LE, (d) sensible heat flux H, and (e) transpiration (in relative units). The diel cycle for each precipitation states are shifted to the right following the description above panel (a). For reference, the dDry diel cycle is repeated in all columns as a red line. In (a), incoming shortwave radiation at the top of the atmosphere $(Q_{SW}^{\downarrow})_{TOA}$ is shown as a black line in the dDry column (using the right-hand axes in (a)). Transpiration is estimated from several pine trees near the US-NR1 tower during the summers of 2004, 2006, and 2007. For all other variables, the diel cycle is calculated from 30 min measurements between years 1999–2012.

Interactive comment on "The effect of warmseason precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site" by S. P. Burns et al.

Reply to Referee #1

S. P. Burns et al.

sean@ucar.edu

Date: November 5, 2015

The comments by Referee 1 are greatly appreciated. We have listed the comments by Referee 1 below in italics, followed by our responses.

Under the category "General Comments":

Referee Comment: "The effect of war-season precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site" by Burns et al., investigates the modification of precipitation on the measured meteorological variables and ecosystem fluxes at diel cycle during the warm-season period at Niwot Ridge Subalpine Forest AmeriFlux Site. The manuscript is very detailed, well written, however also very long. In my opinion, it will be a very good contribution to Biogeosciences, but it definitely requires a substantial revisions before publication, especially addressing the goals and some technical details.

General comments: Burns et al. "The effect of warm-season precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site" undertakes a worthwhile objective, but in its present form fails to deliver on that objective. There are several serious issues.

Reply to Referee Comment: We thank Referee 1 for noting the positive aspects and objective of our manuscript. We will address any parts of the manuscript that "failed to deliver" our objectives in the replies to more specific comments below.

Comment 1: *1*) *The goal is to evaluate the effect of precipitation events on the diel cycle of a suite of fluxes and met variables, but the analysis does not accomplish that goal.*

Reply to Comment 1: We feel that our analysis achieved this goal by explicitly showing how the diel cycle of scalars and fluxes were affected by days with precipitation (relative to to days without precipitation). Our answers to comments 1a–c are provided below.

Comment 1a: *a) Current form of nomenclature is confusing. I highly recommend changing the nomenclature. As an example, the nomenclature could be made much clearer by using the convention dD, wD, dW and wW, where lower case refers to the previous day and upper case refers to the analyzed day.*

Reply to Comment 1a: This is an excellent idea. We took this idea one step forward and included the full word "Dry" and "Wet" for the current day. So our categories are: dDry, dWet, wWet, and wDry. We have modified the text and figures to use this nomenclature.

Comment 1b: b) But I would argue that the only meaningful comparison is of dD and wD. They are meaningful because: 1) the sensors are dry and so the flux data are not infilled; and 2) they do not face the confounding effects of cloud differences – both dD and wD are mostly sunny with similar Rn. The dW and wW stratifications do little that say that wet days tend to be cloudier than dry days, with lower Rn and thus altered H and LE, which is not worth saying.

Reply to Comment 1b: Though we agree that rain does affect the sensors, we don't fully agree with this statement. First, the sensors will work when it is raining lightly so it is only periods with heavy-rain which are gap-filled. The amount of gap-filled data is shown in Table 2 and even in wWet conditions this only accounts for roughly 30-40% of the time periods. While we agree this is far from perfect (and make a note in the text that our results should be considered with this in mind), we feel that gap-filling is the current "state of the measurement" so it's useful to show these results. We leave it up to the reader to decide if these results are truly valid or not. If gap-filling during heavy precipitation is not used, then every paper that analyzes fluxes at an annual time-scale would also be considered problematic and/or invalid.

With regard to wet days being cloudier than dry days: the important result we have presented is not that H and LE were altered due to cloudiness, it's that the surface energy balance was roughly the same for all precipitation conditions as shown in Fig. 13. This means that even though the radiant energy was reduced on wet days, the turbulent fluxes were responding in an appropriate manner.

Comment 1c: *c)* The paper title and many statements within make causal statements about a precipitation effect. Be careful. All the analysis does is to compare dD, wD, dW and wW days, which is much different. I am not sure what term to use, but perhaps (?) precipitation events? What you call a precipitation effect is confounded by other associated difference, including cloudiness, frontal air-mass passage, and differences in convective BL-top entrainment. The objective is NOT achieved.

Reply to Comment 1c: This is a good point and we completely agree that precipitation and other environmental variables are co-dependent. Any study of the natural world needs to deal with this issue. We made a statement in the conclusions (at the bottom of p. 8969 in the discussion paper) that, we think, addresses this issue. The statement is:

Our study has provided an example of one way to look at the complex interconnections between variables that make modeling ecosystems so challenging...[text not shown]...We have shown that precipitation is intrinsically linked to changes in air temperature, pressure, and atmospheric humidity.

We have presented our results as one way to look at how precipitation changes the fluxes and surface energy balance. It is surely not the only way to look at precipitation effects. When we analyzed the data based on precipitation state we were not necessarily expecting the other variables (such as air temperature) to follow the pattern of precipitation (as shown in Fig. 6). In hindsight, this makes perfect sense because it

tends to rain on cooler days. In order to soften any statements that our study shows a direct effect of precipitation we replaced the word "effect" in the title with "influence", so the title of the revised manuscript is, "The influence of warm-season precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site". The comment about "causal statements" within the text is a good one. Within the text we have tried to use the term "precipitation state" to refer to how variables were changed on a particular type of day (i.e., a dDry versus wDry day).

Comment 1d: *d)* The interesting points to make are in comparing dD and dW, looking at H versus LE partitioning and associated diel cycles in NEE. These results may be interesting. I would suggest a further stratification, with both dD and wD stratified into sunny and cloudy (but define sunny and cloudy and use more stringent criteria, e.g. sunny (daily total SWdown/SWtop-of-atmosphere > 0.6 or 0.7) and cloudy (SWd/SWtoa < 0.3 or 0.4).

Reply to Comment 1d: This type of analysis was done for Dry1 (dDry) days. It seems the reviewer wants something similar done for Dry2 (wDry) days? This is a good idea, but then the study becomes focused on the effect of clouds (not on precipitation). Though clouds and precipitation are certainly related to each other, it is our preference to keep a focus on precipitation so we did not follow the advice of the reviewer and pursue this comparison (at least not for this paper). Also, we are trying to shorten the manuscript, so if we were to add this extra analysis it would make the manuscript even longer (opposite of our intention).

Comment 2: 2) The partitioning of ET into E and T is not convincing for either day or night.

Reply to Comment 2: Our replies are below. In the revised manuscript, we have created a subsection that specifically addresses the partitioning of ET, Sect. 3.2.5, "The evaporative contribution to LE".

Comment 2a: *a)* The arguments that the nighttime ET is pure E and also represents daytime E may be incorrect. Surely, as you yourself say, the day-night VPD difference will cause a day-night difference in E.

Reply to Comment 2a: We found that when conditions were dry, there was very little dependence of LE on VPD. For example, compare dDry and wDry days versus VPD in Fig. 11a3; LE from both dDry and wDry days are close to each other and show little VPD dependence (the same is true for dWet and dDry days in Fig. 11a1). Since there is reduced liquid water present in the soil, the soil resistance to evaporation is probably controlling evaporation more than any effect due to VPD differences. In Sect. 3.2.5, we clearly state that we have assumed daytime evaporation is similar to nighttime LE in dry conditions. We have also provided evidence why we think this assumption is true. If the reviewer has a specific reference which shows that soil evaporation in dry conditions has a large VPD-dependence, we would be willing to re-consider this assumption.

Comment 2b: *b) It is equally dangerous to assume that the daytime wD versus dD difference in ET is a measure of E. Wet canopy conditions will be energy-limited, favour E over T, and suppress T relative to dry canopy conditions.*

Reply to Comment 2b: To address this question we thought it would be extremely useful to add transpiration measurements to our analysis. As a result, we invited Jia Hu to join as a co-author and include her transpiration data collected during the summers of 2004, 2006 and 2007. Though sampled over a much shorter period than the fluxes, we added the transpiration data to Fig. 9 in the revised manuscript. These data give us an idea that mid-day transpiration was similar in both wDry and dDry conditions (what is shown in Fig. 9 is for pine trees, but spruce trees show even closer agreement in T between wDry and dDry conditions). Since transpiration and Rnet were similar in dDry and wDry conditions, this means the increase in LE is due primarily to increased evaporation. We have quantified this difference and explained our assumptions in Sect. 3.2.5. We also revised our nomenclature to make the point that wDry days are not necessarily with a fully wet canopy, but instead these are conditions where the forest is transitioning from wet to dry and has a mostly dry canopy (based on leaf-wetness data) with a relatively high amount of liquid water in the soil (which provides an evaporative source).

Comment 3: 3) The use of the term frontal passages to denote your four stratifications, which becomes a major part of the Conclusions, is not warranted. A lot of the warm-season precipitation is convective and has nothing to do with airmass change.

Reply to Comment 3: We explained our use of the term "frontal passage" in section 3.2.2 of the discussion paper with the following text:

Classical cold-front systems over flat terrain are associated with pre-frontal wind shifts and pressure troughs (e.g., Schultz, 2005). Mountains, however, have a large impact on the movement of air masses and can considerably alter the classical description of frontal passages (e.g., Egger and Hoinka, 1992; Whiteman, 2000). Our classification of the composite plots as a "frontal passage" is simply because there was colder air present at the site during the Wet1 and Wet2 periods.

While we agree that a significant percentage of the precipitation events at the site are convective in nature, we found that during periods with two days in a row of *above-average* precipitation three things occurred: (1) there was a significant drop in the air temperature (see Figs. 5 and 6), (2) barometric pressure was lower, and (3) the mean CO2 of the atmosphere was distinctly different (see Fig. 7a). These factors taken together led us to the conclusion that a different air mass was present at the site on wWet days. It makes perfect sense that when above-average precipitation occurs on consecutive days this is not a "normal" event and due to a large-scale weather system. The key here is that we are classifying "wet" days as precipitation that is close to the average precipitation for the site. So most small convective storms are excluded from the wet-day classification. We feel that we have clearly stated and defined what we mean by a frontal passage so have left this description as-is.

Comment 4: 4) Contrary to the secondary objective (L18 p.8944) and conclusions, the paper contains nothing about inter-annual variability. It simply makes use of 14-years of data.

Reply to Comment 4: The interannual variability of NEE, LE, and H are shown in Fig. 2 (right-hand panels) and discussed in Sect. 3.1 of the discussion paper.

Comment 5: 5) The paper needs to be rewritten with much greater focus, clearer primary conclusions, and much less reporting of results that are purely descriptive but do not support the primary conclusions. I suggest that you focus on the suggestion from 1d above, and then introduce the met and state variables only as they add physical, mechanistic understanding.

Reply to Comment 5: We have made modifications to the text that attempt to focus the results more clearly. As part of this effort, we redefined the subsections in Sect. 3.2. We feel that the suggestion in 1d above leads to a study of clouds and not precipitation. Our goal is to broadly show how precipitation affected many of the measurements at the site (not only the fluxes). A future study that focuses more on the mechanistic effects of precipitation (and includes a modeling aspect) is being considered for a future study.

Under the category "Other suggestions":

Comment 1: 1) If the REBS Q7.1 was so different than the CNR1, why was it used? It has known deficiencies.

Reply to Comment 1: The disadvantage of using the CNR1 for our study is that in summers of 1999, 2004, and 2005 there was no CNR1 on the US-NR1 tower. Furthermore, the CNR1 sensor used prior to 2005 appears to have a much larger value of outgoing shortwave radiation than those from the CNR1 sensor installed in late 2005. Therefore, we would need to either reduce the amount of data in our analysis or come up with an ad-hoc correction for the Q-7.1 $R_{\rm net}$ data. For simplicity, we opted to use the Q-7.1 sensor in our analysis.

In Figure C1 below we compare the changes to the energy balance if we use the REBS Q-7.1 sensor (top row) or the CNR1 sensor (middle row). There is almost no change during the daytime and a small change at night (with REB Q-7.1 leading to a SEB that is slightly closer to 1). We felt that the comparison between the Q-7.1 and CNR1 has already been discussed within the literature (e.g., Turnipseed et al. (2002), see their p. 183 and pp. 189-190; and Burns et al. (2012), see their Fig. 6) and re-hashing this comparison would detract from the main message of the paper (i.e., precipitation effects). The main conclusion from these previous studies is that the CRN1/Q-7.1 differences are primarily due to longwave radiation. During the daytime, the longwave radiation component of $R_{\rm net}$ is a small percentage of $R_{\rm net}$, and the sensor difference are more important.

For completeness, we have included a comparison between the Q-7.1 and CNR1 sensors in Fig. C2 and a short summary here:

 The mean difference is between 5-20 W m⁻² over the diel cycle (Q-7.1 > CNR1). This difference is slightly smaller in the afternoon and larger during the morning transition which suggests one sensor might be slightly tilted relative to the other.

- The standard deviation of the difference is fairly constant at night with a value of around 14 W m $^{-2}.$
- The Q-7.1 sensor was found to be closer to closing the surface energy balance (e.g., Turnipseed et al., 2002). This does not imply that the Q-7.1 is correct. Further study is probably needed to establish the reason for this difference.

Comment 2: 2) Were H, LE and NEE computed to include the storage changes in the air-layer below the flux measurement? They should be, esp. for an analysis of the diel cycle from such a tall flux tower.

Reply to Comment 2: By definition, NEE includes the CO_2 storage term below the flux-measurement level. The storage terms for H and LE are rather small so they were not included in the original analysis. However, in the revised manuscript, we have now included all the associated storage terms as suggested in the next comment.

Comment 3: 3) Likewise, if you have Ssoil and S canopy, why not use them? With the soil heat flux plates so deep in a forest-floor horizon, Ssoil is large and Gz is a poor estimate of G.

Reply to Comment 3: This is an excellent idea. We originally thought that including the storage terms would add too much extra information to the manuscript, but we agree with the referee that this should be done. Though these terms are not large, they have a significant effect on the energy balance and we have now included them. We show the effect of including the storage terms on the SEB in Figure C1 below (compare the top and bottom row). Interestingly, inclusion of the storage terms pushes the SEB closer to 1 during the daytime, but makes it further from 1 at night. In order to keep the length of the manuscript reasonable, we added the description of the storage terms to the appendix. We have listed several possible reasons for lack of SEB closure and possible improvements to the SEB calculations in the conclusions of the revised manuscript.

Under the category "Minor Comments":

Comment 1: 1. It may be beneficial to give root depth and/or soil depth in the 2.1. Site description part.

Reply to Comment 1: The root depth is not something we explicitly measured, but visual inspection of fallen trees suggest that rooting depth is in the range of 40-100 cm. We added the following text to the site description:

Empirical evidence from windthrown trees suggest rooting depths of 40-100 cm which is consistent with depths from similar subalpine forests (e.g., Alexander, 1987) and as discussed in Hu et al. (2010a). **Comment 2**: 2. For ET separation into E and T, it may be good to check ecosystem specific T values reported by Schlesinger and Jaseckho (2014). Schlesinger W.H. and S. Jaseckho, 2014. Transpiration in the global cycle. Agricultural and forest Meteorology, 189-190, 115-117.

Reply to Comment 2: We included Schlesinger and Jasechko (2014) as an update to Jasechko et al. (2013) and added the following text to Sect. 3.2.5:

In a survey of 81 different studies from around the world, Schlesinger and Jasechko (2014) found that the ratio of transpiration to evapotranspiration in temperate coniferous forests have a typical range between 50-65%. This is a large-scale estimate from the perspective of an overall water budget that does not include details such as a dependence of evapotranspiration on LAI or surface wetness (they also note that uncertainties in their estimates are large).

The discussion in Sect. 3.2.5 of the revised manuscript has been changed to reflect this new information.

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Figure C1: Similar to Fig. 13 a1-a2 in the discussion manuscript. (Top row) using REBS Q-7.1 for Rnet; (middle row) using CNR1 for Rnet; (bottom row) using REBS and including the storage terms. Note: the bottom row assumes dry conditions for the soil properties so it is slightly different than what is shown in Fig. 13 in the revised manuscript.



Figure C2: The six-year (top) mean and (bottom) difference statistics for R_{net} in July for the Q-7.1 and CNR1 sensors at the US-NR1 tower.

Interactive comment on "The effect of warmseason precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site" by S. P. Burns et al.

Reply to Referee #2

S. P. Burns et al.

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Date: November 5, 2015

The thoughtful comments by Referee 2 are greatly appreciated. The comments by Referee 2 are shown in italics followed by our reply. We have enumerated the comments so they are easier to reference.

Reply to General Comments:

Comment 1: In this manuscript Burns et al., describe changes to the energy balance, latent and sensible heat fluxes associated with warm season precipitation events in a forest in Colorado. The work utilizes a 14 year EC timeseries, which provides the authors enough data to develop precipitation composites. This is generally an issue because precipitation is sporadic and thus difficult to get a "generic" picture of its effect on the forest fluxes. The motivation for the work is well founded as the effects of precipitation are generally ambiguous, for the reasons mentioned in the previous sentence. The methods and development of diurnal composites emerges as a very clear way to visualize and isolate the effects of precipitation. The analysis is unique and the conclusions well supported by the analysis. Overall, I have very few comments on the approach. The data treatment was conservative and not over-interpreted..

Reply to Comment 1: We agree with the summary of the manuscript by Referee 2 and appreciate that they see the value of the analysis we have presented.

Comment 2: The main issue with the paper is its organization. It is very long, containing (if my count is accurate) 101 figure panels. All of the figures and analysis are certainly useful but not necessary. The shear scope of the paper, I think, makes it rather unapproachable. I would recommend, for example, removing the panels showing the diurnal cycles of standard deviations. It can simply be stated how the SD changes through the day without needing to spend so much space and discussion on this. The organization of the text also requires some consideration. The choice to merge Results and Discussions into a single (16 page) section I would recommend against. By embedding the discussion within the results it reduces the coherence and flow of the paper. I would simply report each results but strip out discussion of its significance. Then write a purely "Discussion" section which develops how the ecosystem response to precipitation events emerges from all of these analyses. The significance of the work gets lost by interweaving so much interesting discussion within the more banal description of results. Further, because the

Discussion is not presented in isolation it requires Summary and Conclusions section which is too long. Thus, if the Discussion was isolated the Summary and Conclusions could be shortened to simply a paragraph.

<u>Reply to Comment 2:</u> We agree with the suggestion to remove the plots of the standard deviation and have removed these panels from the revised manuscript. We carefully considered re-arranging the manuscript as suggested by the reviewer. In the end, we decided it was better to reduce the text from the results/discussion section (which we reduced by by $\approx 8\%$) and create new subsections that better separate the topics within the results/discussion section. Therefore, we divided Sect 3.2 into these subsections:

Sect. 3.2.1 Wind, turbulence, vertical temperature profiles, and near-ground stability Sect. 3.2.2 Atmospheric scalars (T_a , q), soil temperature, soil moisture, and soil heat flux Sect. 3.2.3 Atmospheric CO₂ dry mole fraction

Sect. 3.2.4 Net radiation and turbulent energy fluxes

Sect. 3.2.5 The evaporative contribution to LE

Sect. 3.2.6 Net ecosystem exchange of CO₂ (NEE)

We decided this was preferable to creating a stand-alone discussion section which would require referencing back to figures already introduced within the results section.

Comment 3: Although my previous comments were critical of the length of the paper, it would be useful to also include a few timeseries' of fluxes during precipitation events. In other words show how the system evolves, not in a composite sense, as the forest transitions from dry to wet to dry. These figures could be included as supplemental.

Reply to Comment 3: This is an excellent idea and we have added an example time series of the fluxes as a supplement to the revised manuscript in Fig. S3.

Comment 4: If the site includes a Leaf Wetness Sensor, this also struck me as a potentially critical piece of information. There is a general lack of discussion on how the formation of dew and or occult precipitation just following a rain storm when so much excess vapor is available. The leaf wetness sensor would help shed some light on whether there is surface condensate that is lingering post storm and how this influences the latent heat budget.

Reply to Comment 4: This is also a very good idea. We have now included the leaf-wetness sensor data in Fig.3 of the revised manuscript. The revised Fig.3 is shown as Fig. R1 at the end of this document. The leaf-wetness data reveal a few interesting features that are not discernable from the precipitation data. For example: (1) for all precipitation states, the minimum in leaf-wetness occurs just after sunrise in the early-morning, (2) on a wDry day, there is a trend from a leaf wetness value of around 0.6 just past midnight to 0.2 at around sunrise (this is consistent with the canopy drying out following a wet day), and (3) in the afternoons and evening hours, the leaf wetness values were similar for dDry/wDry days (with values around 0.2–0.3) and for dWet/wWet days are similar (with values between 0.6-0.8).

Specific Comments:

Comment 5: *Pg.* 8941 4-5 the first sentence seems to suggest that precipitation is a disturbance akin to fires, clear cutting etc. . . I would just lead with the second sentence. 10 "processes" 13 My understanding, though I cannot think of a reference, is that rain can also displace soil air with high CO2 into the atmosphere.

Reply to Comment 5: line 4-5: We agree that the first sentence about fires, clear-cutting, etc is a bit off-topic and have removed it. line 10: we changed "process" to "processes". line 13: We modified this sentence to include the possibility of rain displacing CO2-laden air from the soil pore space into the atmosphere. This issue has been discussed by several articles already cited in our manuscript (e.g., Hirano et al., 2003; Huxman et al., 2004; Ryan and Law, 2005).

Comment 6: Pg. 8947 16 "daytime,"

Reply to Comment 6: This text has been removed.

Comment 7: *Pg.* 8951 23 The drop in *LE* seems to occur when snowpack is still present this seems inconsistent with the explanation that latent heat flux drop because snow is no longer present. 26 Increased transpiration but also increased VPD, which reaches higher maximum values in the summer. 3.2.1 This section also considers temperature but the header doesn't indicate this.

<u>Reply to Comment 7:</u> line 23: The reason that there is a slight drop in LE during April and May (ie, when snow is usually present) is explained by the sentence on lines 26-27 of the discussion paper, which is "Also, winds are much stronger in winter which would promote higher evaporation." Here, we made a mistake in claiming that the winds are much stronger in "winter". The mean wind speed (similar for both daytime and nighttime) for Nov to Feb is between 6–7 m s⁻¹, however, in April and May the mean wind speed drops to around 4 m s⁻¹. To make this point more clearly we modified the text from lines 26-27, to be,

"Also, winds are much stronger between November and February which promotes higher evaporation."

line 26: We agree that VPD is also a factor and modified the sentence in question to be,

"In the spring and summer LE increased during the day from around 50 W m⁻² to 150 W m⁻² primarily due to increased forest transpiration, as well as increased VPD."

section 3.2.1: We considered the vertical temperature gradient as part of stability. However, we agree that we should explicitly list the air temperature in this subsection heading so we modified the heading to be,

Sect. 3.2.1 Wind, turbulence, vertical temperature profiles, and near-ground stability

Comment 8: *Pg.* 8956 27 "*mid-day, the soil*": *Figures 7 and 8. I was curious about the presentation of composite CO2 mixing ratios over a 14 year period when background CO2 levels have risen substantially. This would lead to biases if, for some reason, the days were not distributed evenly across this 14 year period. I would perhaps consider normalizing the CO2 mixing ratios to the average of that given day.*

Reply to Comment 8: line 27: We added a comma after "mid-day". Figures 7 and 8: We discussed the issue of how the trend of increasing CO2 might affect our results. In fact, because Fig 8d-f is relative to the top level this effectively removes the effect of any long-term trend on the results. Periods are only used when data from all levels were available, so the only way a bias could affect the composites is if the CO2 of the air near the ground was somehow changing differently with time compared to the CO2 of the above-canopy air. We do not think this is likely, so have not changed anything in the plots.

Comment 9: Pg. 8959 11-14 This sentence is redundant. The method is described elsewhere.

Reply to Comment 9: lines 11-14: this is where we first introduce the figures with net radiation and the fluxes to the reader. We also describe how Fig. 10 is related to Fig. 9. This does not seem to be redundant information. In the revised manuscript this text has been modified considerably, which likely makes this a moot point.

Comment 10: *Pg. 8962 13 My sense is the original data from Jasechko et al., have largely been negated by a follow up paper: Schelsinger and Jasechko 2014 : "Transpiration in the global water cycle", which brought the average T fraction closer to 60-70%*

Reply to Comment 10: Thanks for pointing out the paper by Schlesinger and Jasechko (2014). We included Schlesinger and Jasechko (2014) as an update to Jasechko et al. (2013) and added the following text to Sect. 3.2.5:

In a survey of 81 different studies from around the world, Schlesinger and Jasechko (2014) found that the ratio of transpiration to evapotranspiration in temperate coniferous forests have a typical range between 50-65%. This is a large-scale estimate from the perspective of an overall water budget that does not include details such as a dependence of evapotranspiration on LAI or surface wetness (they also note that uncertainties in their estimates are large).

The discussion in Sect. 3.2.5 of the revised manuscript has been changed to reflect this new information.

Comment 11: *Pg.* 8963 8 *NEE* wasn't "reduced" but made less negative (i.e. increased). 18-21 Sentence typo in here.

Reply to Comment 11: line 8: Good point. We modified CO2 with, "magnitude', so the revised sentence is:

As one would expect, the magnitude of daytime NEE was reduced during wet conditions due to decreased photosynthetically active radiation (PAR) which is shown as a decrease in $R_{\rm net}$ in Fig. 9a.

lines 18-21: Thanks for pointing this out. We fixed this error.



Figure R1: Frequency distributions of wind direction WD for different precipitation states for (a1) nighttime (00:00–04:00 MST) (a2) mid-day (10:00–14:00 MST), and (a3) late evening (19:00–23:00 MST) periods. Because there are a different number of 30 min periods within each precipitation state, the frequency distributions were created by randomly selecting 800 values for each precipitation state. Below (a1–a3), the mean warm-season diel cycle of (b) precipitation, (c) leaf wetness, (d) horizontal wind speed U at 21.5 m, (e) friction velocity u_* , and (f) bulk Richardson number Ri_b are shown. These composites are from 30 min data during the warm-season between years 1999–2012. For all panels, each line represents a different precipitation state as shown in the legend of panel (b). [NOTE: This is Fig. 3 in the revised manuscript.]

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The **effect influence** of warm-season precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site

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Abstract. Precipitation changes the physical and biological characteristics of an ecosystem. Using a precipitation-based conditional sampling technique and a 14 year dataset from a 25 m micrometeorological tower in a high-elevation subalpine forest, we examined how warm-season precipitation affected the above-canopy diel cycle of wind and turbulence, net radiation R_{net} , ecosystem eddy

5 covariance fluxes (sensible heat H, latent heat LE, and CO₂ net ecosystem exchange NEE) and vertical profiles of scalars (air temperature T_a , specific humidity q, and CO₂ dry mole fraction χ_c). This analysis allowed us to examine how precipitation modified these variables from hourly (i.e., the diel cycle) to multi-day time-scales (i.e., typical of a weather-system frontal passage).

During mid-day we found: (i) even though precipitation caused mean changes on the order of 50-

- 10 70% to R_{net} , H, and LE, the surface energy balance (SEB) was relatively insensitive to precipitation with mid-day closure values ranging between 70-8090-110%, and (ii) compared to a typical dry day, a day following a rainy day was characterized by increased ecosystem uptake of CO₂ (NEE increased by $\approx 10\%$), enhanced evaporative cooling (mid-day LE increased by $\approx 30 \text{ W m}^{-2}$), and a smaller amount of sensible heat transfer (mid-day H decreased by $\approx 70 \text{ W m}^{-2}$). Based on the mean
- 15 diel cycle, the evaporative contribution to total evapotranspiration was, on average, around 6 % in dry conditions and 20between 15-25 % in wet-partially-wet conditions. Furthermore, increased LE lasted at least 18 h following a rain event. At night, even though precipitation (and accompanying clouds) reduced the magnitude of R_{net} and increased LE-, LE increased by $\approx 10 \text{ W m}^{-2}$ due to increased evaporation. Any effect of precipitation on the nocturnal SEB closure and NEE was overshadowed
- 20 by atmospheric phenomena such as horizontal advection and decoupling that create measurement difficulties. Above-canopy mean χ_c during wet conditions was found to be about 2–3 µmol mol⁻¹

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larger than χ_c on dry days. This difference was fairly constant over the full diel cycle suggesting that it was due to synoptic weather patterns (different air masses and/or effects of barometric pressure). In the evening hours during wet conditions, weakly stable conditions resulted in smaller vertical

25 χ_c differences compared to those in dry conditions. Finally, the effect of clouds on the timing and magnitude of daytime ecosystem fluxes is described.

1 Introduction

Forest ecosystem disturbances can be natural (e.g., wildfire, insect outbreaks) or anthropogenic (clear-cutting of forests, etc.) in origin. Warm-season precipitation is a common perturbation that

- 30 changes the physical and biological properties of a forest ecosystem. The most obvious effect is the wetting of vegetation and ground surfaces which provides liquid water for evaporation and changes the surface energy partitioning between sensible heat flux H and latent heat flux LE (i.e., evapotranspiration). Such changes are important in the modeling of ecosystem process on both local and global scales (e.g., Bonan, 2008). Liquid water infiltration also changes the thermal
- 35 diffusivity of the soil (Garratt, 1992; Cuenca et al., 1996; Moene and Van Dam, 2014) as well as the rain itself transporting heat into the soil (Kollet et al., 2009). Rain can also After entering the soil, rain can have either of two opposing mechanophysical effects on the soil-atmosphere CO₂ exchange. It can either displace high CO₂-laden air from the soil, or suppress the release of CO₂ from soil-because of inhibited diffusion/transport due to water-filled soil pore space
- 40 (Hirano et al., 2003; Ryan and Law, 2005)(Hirano et al., 2003; Huxman et al., 2004; Ryan and Law, 2005). The soil and the atmosphere near the ground are closely coupled, and therefore soil moisture changes also affect near-ground atmospheric properties (Betts and Ball, 1995; Pattantyús-Ábrahám and Jánosi, 2004).

Rain has been shown to cause short-lived increases in soil respiration by microorganisms (by
as much as a factor of ten) in diverse ecosystems ranging from: deciduous eastern US forests (Lee et al., 2004; Savage et al., 2009), ponderosa pine plantations (Irvine and Law, 2002; Tang et al., 2005; Misson et al., 2006), California oak-savanna grasslands (Xu et al., 2004), Colorado shortgrass steppe (Munson et al., 2010; Parton et al., 2012), arid/semi-arid regions across the western US (Huxman et al., 2004; Austin et al., 2004; Ivans et al., 2006; Jenerette et al., 2008; Bowling et al., 2011),

- 50 Mediterranean oak woodlands (Jarvis et al., 2007), and abandoned agricultural fields (Inglima et al., 2009). The pulse of CO₂ emitted from soil that accompanies precipitation following a long drought period is one aspect of the so-called Birch effect (named after H. F. Birch (1912–1982), see Jarvis et al. (2007); Borken and Matzner (2009); Unger et al. (2010) for a summary). The timing, size, and duration of the precipitation event (as well as the number of previous wet–dry cycles) all affect the
- 55 magnitude of the microbial and plant/tree responses to the water entering the system. The response of soil respiration to a rain pulse typically has an exponential decay with time (Xu et al., 2004; Jenerette

et al., 2008). The Birch effect is especially important for the carbon balance in arid or water-limited ecosystems where background soil respiration rates are generally low.

Net ecosystem exchange of CO_2 (NEE) is calculated from the above-canopy eddy covariance CO_2 vertical flux plus the temporal changes in the CO_2 dry mole fraction between the flux

- 60 CO_2 vertical flux plus the temporal changes in the CO_2 dry mole fraction between the flux measurement-level and the ground (i.e., the CO_2 storage term). The studies listed in the previous paragraph have used a combination of eddy-covariance, soil chambers, and continuous in-situ CO_2 mixing ratio measurements to examine ecosystem responses to precipitation. Many of these studies have also shown that CO_2 pulses due to the Birch effect have an important influence on the seasonal
- 65 and annual budget of NEE for that particular ecosystem (e.g., Lee et al., 2004; Jarvis et al., 2007; Parton et al., 2012). In the current study we will not be concerned with mechanistic or biological aspects of the Birch effect, but instead focus on how precipitation affects above-canopy NEE and any possible implications on the annual carbon budget.

Evaporation from wet surfaces was initially modeled by Penman (1948) using available energy 70 (primarily net radiation), the difference between saturation vapor pressure and atmospheric vapor pressure at a given temperature (i.e., $e_s - e_d$, also known as the vapor pressure deficit, VPD), and aerodynamic resistances to formulate an expression for surface LE. The concepts by Penman were extended to include transpiration by Monteith (1965) who introduced the concept of canopy resistance (a resistance to transpiration which is in series with the aerodynamic resistance, but controlled

- 75 by the leaf stomates) leading to the Penman–Monteith equation for latent heat flux over dry vegetation. Based on these formulations, the fundamental variables which are believed to control evapotranspiration are net radiation, sensible heat flux, atmospheric stability (which affects the aerodynamic resistances), stomatal resistance, and VPD. In a fully wet canopy, transpiration becomes small and most available energy is used to evaporate liquid water intercepted by the canopy elements and within
- 80 the soil (e.g., Geiger et al., 2003). It has been questioned whether stomates respond to the rate of transpiration rather than VPD (e.g., Monteith, 1995)(e.g., Monteith, 1995; Pieruschka et al., 2010). It has also been shown that stability/wind speed only has a small direct effect on transpiration (e.g., Kim et al., 2014). Since our studyis focused on both evaporation and transpiration changes, we focus on the diel changes in the measured variables listed aboveIn our study, we will not consider
- 85 any effects on transpiration due to seasonal changes in leaf area (e.g., Lindroth, 1985) or variation in soil water potential (e.g., Tan and Black, 1976).

Near vegetated surfaces, it is known that the atmospheric fluxes of CO_2 and water vapor are correlated to each other because the leaf stomates control both photosynthesis and transpiration (Monteith, 1965; Brutsaert, 1982; Jarvis and McNaughton, 1986; Katul et al., 2012; Wang and Dickinson,

90 2012). There are also temporal changes (and feedbacks) to LE related to boundary layer growth and entrainment which are summarized by van Heerwaarden et al. (2009, 2010). One of the drawbacks to the eddy covariance measurement of LE is that the contributions from the physical process of evaporation are not easily separated from the biological process of transpiration without making some

assumptions of stomatal behavior (e.g., Scanlon and Kustas, 2010), using isotopic methods (e.g.,

- 95 Yakir and Sternberg, 2000; Williams et al., 2004; Werner et al., 2012; Jasechko et al., 2013; Berkelhammer et al., 2013), or having additional measurements, such as sap flow (e.g., Hogg et al., 1997; Oishi et al., 2008; Staudt et al., 2011) or weighing lysimeters (e.g., Grimmond et al., 1992; Rana and Katerji, 2000; Blanken et al., 2001). Another technique uses above-canopy eddy-covariance instruments for evapotranspiration coupled with sub-canopy instruments to estimate evaporation (e.g.,
- 100 Blanken et al., 1997; Law et al., 2000; Wilson et al., 2001; Staudt et al., 2011); this method, however, can have issues with varying flux footprint sizes (Misson et al., 2007). An accurate way to separate transpiration and evaporation has been a goal of the ecosystem-measurement community for many years, especially an understanding of how this ratio changes during the transition between a wet and dry canopy (e.g., Shuttleworth, 1976, 2007).
- 105 Numerous studies have looked at the annual and interannual relationship between precipitation, water fluxes and NEE at the climate scale (Aubinet et al., 2000; Wilson et al., 2001; Law et al., 2002; Malhi et al., 2002; Thomas et al., 2009; Hu et al., 2010a; Polley et al., 2010, and many others). However, a comprehensive examination of the effect of precipitation on ecosystem-scale eddy covariance fluxes at the diel (i.e., hourly or "weather-front") time scale is lacking.
- Our study uses fourteen years of data from a high-elevation subalpine forest AmeriFlux site to explore how warm-season rain events (defined as a daily precipitation total greater than 3 mm) change the mean meteorological variables (horizontal wind speed U, air temperature T_a and specific humidity q), the surface energy fluxes (latent and sensible heat), and carbon dioxide (both CO₂ mole fraction and NEE) over the diel cycle. From this analysis we can evaluate both the magnitude and
- 115 timing of how the energy balance terms and NEE are modified by the presence of rainwater in the soil and on the vegetation. Precipitation is also closely linked to changes in air temperature and humidity as weather fronts and storm systems pass by the site. Since NEE and the energy fluxes depend on meteorological variables such as net radiation, air temperature and VPD, it can be difficult to separate out the effect of precipitation vs. other environmental changes (Turnipseed et al.,
- 120 2009; Riveros-Iregui et al., 2011). To estimate the atmospheric stability, we use the bulk Richardson number (Ri_b) calculated with sensors near the ground and above the canopy.

Though the primary goal of our study is to quantify how precipitation modifies the warm-season mean diel cycle of the measured scalars and fluxes, a secondary goal is to present the 14 year mean and interannual variability of the energy fluxes and NEE measured at the Niwot Ridge Subalpine

125 Forest AmeriFlux site. These results will serve as an update to the original set of papers (e.g., Monson et al., 2002; Turnipseed et al., 2002) that examined the ecosystem fluxes from the Niwot Ridge AmeriFlux site over ten years ago and were based on two years of measurements.

2 Data and methods

2.1 Site description

- 130 Our study uses data from the Niwot Ridge Subalpine Forest AmeriFlux site (site US-NR1, more information available at http://ameriflux.lbl.gov) located in the Rocky Mountains about 8 km east of the Continental Divide. The US-NR1 measurements started in November 1998. The site is on the side of an ancient moraine with granitic-rocky-podzolic soil (typically classified as a loamy sand in dry locations) overlain by a shallow layer (≈ 10 cm) of organic material (Marr, 1961; Scott-
- 135 Denton et al., 2003). The subalpine forest near the tree density near the US-NR1 27-m walk-up scaffolding tower is around 4000 trees ha⁻¹ with a leaf area index (LAI) of 3.8–4.2 m² m⁻² and tree heights of 12–13 m (Turnipseed et al., 2002; Monson et al., 2010). The subalpine forest surrounding the US-NR1 tower was established in the early 1900s following logging operations, and is primarily composed of subalpine fir (*Abies lasiocarpa* var. *bifolia*) and Englemann spruce (*Picea engelmannii*)
- 140 to the west with west of the tower, and lodgepole pine (*Pinus contorta*) to the east east of the tower. Smaller patches of aspen (*Populus tremuloides*) and limber pine (*Pinus flexilis*) are also present. The tree density near the US-NR1 Tower is around 4000 trees Empirical evidence from windthrown trees suggest rooting depths of 40-100 with a leaf area index (LAI) of 3.8–4.2 and tree heights of 12–13 (Turnipseed et al., 2002; Monson et al., 2010)cm which is consistent with depths from similar
- 145 subalpine forests (e.g., Alexander, 1987) and as discussed in Hu et al. (2010a). Recent analysis of tree ring cores near the US-NR1 tower at the site has revealed a significant presence of remnant trees which are older (over 200 years old) and larger than the trees that became established after logging in the early 1900s (R. Alexander, F. Babst, and D. J. P. Moore, University of Arizona, unpublished data).
- At the US-NR1 subalpine forest, ecosystem processes are closely linked to the presence of snow (Knowles et al., 2014), which typically arrives in October or November, reaches a maximum depth in early April (snow water equivalent (SWE) ≈ 30 cm), and melts by early June. Sometime in March or April, the snowpack becomes isothermal (Burns et al., 2013) and liquid water becomes available in the soil, which initiates the photosynthetic uptake of CO₂ by the forest (Monson et al., 2005).
- 155 The long-term mean annual precipitation at the site is around 800 mm with about 40% of the total from warm-season rain, which typically occurs every 2–4 days and has an average daily total of around 4 mm (Hu et al., 2010a). According to the Köppen–Geiger climate classification system (Kottek et al., 2006) the site is type Dfc which corresponds to a cold, snowy/moist continental climate with precipitation spread fairly evenly throughout the year. The forest could also be classified as
- 160 climate type H which is sometimes used for mountain locations (Greenland, 2005). The summer precipitation timing is primarily controlled by the mountain-plain atmospheric dynamics and thus usually occurs in the afternoon when upslope flows trigger convective thunderstorms (Brazel and

Brazel, 1983; Parrish et al., 1990; Whiteman, 2000; Turnipseed et al., 2004; Burns et al., 2011; Zardi and Whiteman, 2013).

165 2.2 Surface energy balance, measurements, and data details

The terms in the surface energy balance (SEB) are,

$$R_{\rm a} \equiv R_{\rm net} - G_z - S_{\rm soiltot} - S_{\rm canopy} = H + LE + E_{\rm adv}, \tag{1}$$

where R_a is the available energy, R_{net} is net radiation, G_z G is soil heat flux measured at depth z, and at the ground surface, and S_{tot} is the heat and water vapor storage terms in the two storage terms

- 170 account for the heat stored in the soil (S_{soil}) and in the biomass and airspace between the ground and the turbulent flux measurement level (S_{canopy}) as well as the energy consumed by photosynthesis. All terms in Eq. (1) have units of W m⁻². Positive R_{net} indicates radiative warming of the surface, whereas a positive sign for the other terms in Eq. (1) indicate surface cooling $\cdot S_{canopy}$ and S_{soil} are typically less than or energy being stored. The S_{tot} terms are typically on the order of 10% of R_{net}
- 175 (Oncley et al., 2007). (Turnipseed et al., 2002; Oncley et al., 2007; Lindroth et al., 2010). S_{tot} and Gare discussed in detail in Appendix A2. The horizontal advection of heat and water vapor (E_{adv}) requires spatially distributed measurements, and is thought to be a primary reason that Eq. (1) does not balance at most flux sites (Leuning et al., 2012). The heat flux at the soil surface (G)was determined from G_z with 4–5 soil heat flux plates (REBS, model HFT-1) dispersed near the tower
- 180 at a depth of 8–10When the winds are light (below about 3–4. Turnipseed et al. (2002) showed that the storage terms and G_z at m s⁻¹), horizontal advection becomes important which results in a lack of SEB closure at the US-NR1 were small (less than 8 of R_{net}). Therefore, we neglect S_{canopy} and S_{soil} and assume the surface heat flux is close to our measured soil heat flux (i.e., G ≈ G_z). site (Turnipseed et al., 2002). In our discussions, the simple-SEB closure fraction refers to the ratio of the sum of the turbulent fluxes to the available energy, i.e., (H + LE)/(R_{net} G)LE)/R_a.
 - R_{net} was measured at 25 m above ground level (a.g.l.) with both a net (REBS, model Q-7.1) and four-component (Kipp and Zonen, model CNR1) radiometer. R_{net} from the Q-7.1 sensor is about 15% closer to closing the SEB than with the CNR1 sensor (Turnipseed et al., 2002; Burns et al., 2012). Since the Q-7.1 radiometer operated during the entire 14 year period, it is the primary R_{net}
- 190 sensor in our study. Calculation of the top-of-the-atmosphere incoming solar radiation $(Q_{SW}^{\downarrow})_{TOA}$ is described in Appendix A1. The turbulent fluxes H and LE were measured at 21.5 m a.g.l. using standard eddy covariance flux data-processing techniques (e.g., Aubinet et al., 2012) and instrumentation (a 3-D sonic anemometer (Campbell Scientific, model CSAT3), krypton hygrometer (Campbell Scientific, model KH2O), and closed-path infrared gas analyzer (IRGA; LI-COR, model LI-6262)). Fur-
- 195 ther details on the specific instrumentation and data-processing techniques are provided elsewhere (Monson et al., 2002; Turnipseed et al., 2002, 2003; Burns et al., 2013). Additional measurements

used in our study are described in Appendix A1 while further details about updates to the US-NR1 flux calculations are in Appendix A_{2A3}^{2A3} .

Turnipseed et al. (2002) studied the energy balance at the US-NR1 site and found that during the daytime the sum of the turbulent fluxes accounts for around 85 of the radiative energy input into

- the forest. At night, under moderate turbulent conditions, simple SEB closure was comparable to the daytime; however, when the night-time conditions were either calm or extremely turbulent, *H* and LE only accounted for 20–60 of the net longwave radiative flux. Burns et al. (2012) has recently shown that the lack of SEB closure for wind speeds larger than around 8 was, at least partly, due to
- 205 an issue with the CSAT3 sonic anemometer firmware. In the summer at US-NR1, wind speeds are rarely larger than 8 so the empirical correction for *H* was not used in our study. When the winds are light (below about 3–4), horizontal advection is believed to be the primary reason for the lack of SEB closure.

2.3 Analysis methods

200

- 210 Precipitation is notoriously difficult to study because of its intermittent, binary nature (e.g., it will often start, stop, re-start, and falls with varying intensity) which leads to non-normal statistical properties (e.g., Zawadzki, 1973). To study the impact of rain, we followed a methodology similar to that of Turnipseed et al. (2009) and tagged days when the daily rainfall exceeded 3 mm as "wet" days. Table 1 shows the number of wet days for each year and warm-season month within our
- 215 study. The choice to use 3 mm as the wet-day criteria was a balance between effectively capturing the effect of precipitation and providing enough wet periods to improve the wet-day statistics. Diel-If we designate the precipitation state of the preceding day with a lower-case letter, then diel patterns for "dry days following a dry day" (designated as Dry1dDry days), "wet days following a dry day" (designated Wet1dWet days), "wet days following a wet day" (designated Wet1dWet days), "wet days following a wet day" (designated Wet1dWet days).
- 220 Wet2wWetdays), and "dry days following a wet day" (designated Dry2wDry days) were analyzed to determine the effect of a-precipitation on the weather and climate as well as the fluxes. If the The term "wet days" is used it includes both Wet1 and Wet2includes both dWet and wWetdays whereas the term "dry days" includes both Dry1 and Dry2dDry and wDry days. In addition to these categories, we further separated the Dry1dDry days into sunny (Dry1-CleardDry-Clear) and cloudy
- 225 (Dry1-CloudydDry-Cloudy) days. These techniques are similar to the clustering analysis used by Berkelhammer et al. (2013).

Since not every variable was continuously measured for all 14 years, some variables were necessarily analyzed over shorter periods than others. A summary of the variables studied, the number of days each variable falls into each precipitation category, and gap-filling statistics of selected vari-

230 ables is provided in Table 2. Unless noted otherwise, the data analysis used in our study are based on 30 min statistics. In addition to analyzing the mean diel cycle, we also examined the day-to-day variability in the diel cycle by calculating the standard deviation of the 30 min data within each composited time-ofday bin. This statistic will be designated the SD-Bin or variabilityin our discussion and plots. For

- 235 brevity, the focus in the current paper is on the mean results; more details on variability can be found within the discussion paper (i.e., Burns et al., 2015). To further quantify and summarize the main results of our analysis, the diel cycle was broken up into three distinct periods: mid-day (10:00– 14:00 MST), late evening (19:00–23:00 MST), and nighttime (00:00–04:00 MST). Motivation for breaking up the night into two distinct periods is provided by Burns et al. (2011) who showed that
- 240 the variability of the turbulence activity (expressed by the SD-Bin of the standard deviation of the vertical wind) increased by about a factor of two at around 23:00 MST (see their Fig. 4d). Other flux sites with sloped terrain have also shown distinct differences in the CO₂ storage before and after midnight (e.g., Aubinet et al., 2005)which provides additional motivation for separating the night into two periods... Choosing these particular periods avoids the evening and morning transition
- 245 periods which are complicated by the fluxes and scalar gradients becoming small and/or changing sign (e.g., Lothon et al., 2014).

Additional information related to the diel cycle was provided by estimating the top of the atmosphere incoming solar radiation $(Q_{SW}^{\downarrow})_{TOA}$. The sun position was calculated for the US-NR1 tower latitude and longitude with the SEA-MAT Air-Sea toolbox

250 (Woods Hole Oceanographic Institution, 2013) which uses algorithms based on the 1978 edition of the Almanac for Computers (Nautical Almanac Office, U. S. Naval Observatory).

In order to select the warm-season period, the smoothed seasonal cycle of NEE and the turbulent energy fluxes were calculated using a 20 day mean sliding window applied to the 30 min data. Smoothing removes the effect of large-scale weather patterns (and precipitation) which typically

255 have a period of 4–7 days. Interannual variability was calculated by taking the standard deviation among the 14 yearly smoothed time series. Since our interest is in the diel cycle, these statistics were determined for mid-day (10:00–14:00 MST), nighttime (00:00–04:00 MST), and the full (24 h) time series.

The ecosystem respiration R_{eco} was estimated for each 30 min time period based on measured nocturnal NEE (both with and without the <u>friction velocity</u> (u_*) filter applied), as well as two fluxpartitioning algorithms that separate NEE into R_{eco} and gross primary productivity GPP (Stoy et al., 2006). One algorithm takes into account the seasonal temperature-dependence of R_{eco} (Reichstein et al., 2005), and the other uses light-response curves (Lasslop et al., 2010). Reichstein and Lasslop R_{eco} were calculated with on-line flux-partitioning software (Max Planck Institute for Biogeochem-

istry, 2013). With regard to our analysis, R_{eco} from the flux-partitioning methods and measured nocturnal NEE produced very similar results which are shown in Burns et al. (2015). Therefore, we only use the measured nocturnal NEE herein, and will not include the Reichstein or Lasslop R_{eco} results. Unless noted otherwise, we will use the u_* filtered NEE in our analysis. Further discussion of partitioning NEE at the US-NR1 site is provided elsewhere (Zobitz et al., 2008; Bowling et al., 2014).

Near the ground, the bulk Richardson number Ri_b is often used to characterize stability. Large negative Ri_b indicates unstable "free convection" conditions and large positive Ri_b indicates strong stability(e.g., ?). In more stable conditions, less mixing is expected and larger vertical scalar gradients should exist(e.g., Schaeffer et al., 2008a; Burns et al., 2011). We calculated Ri_b between the
highest (z₂ = 21.5 m, around twice canopy height) and lowest (z₁ = 2 m) measurement level using:

$$Ri_{b} = \frac{g}{\overline{T}_{a}} \frac{(\theta_{2} - \theta_{1})(z_{2} - z_{1})}{U^{2}},$$
(2)

where g is acceleration due to gravity, \overline{T}_{a} is the average air temperature of the layer, θ is potential temperature, and U is the above-canopy horizontal vectorial mean wind speed (i.e., $U = (u^{2} + v^{2})^{1/2}$ where u and v are the streamwise and crosswise planar-fit horizontal wind components). We did not

280 use U near the ground because this level is deep within the canopy where U is small (less than $0.5 \,\mathrm{m\,s^{-1}}$) due to the momentum absorbed by the needles, branches and boles of the trees. In this respect, the shear-generated turbulence is related to above-canopy wind speed whereas the buoyancy is related to the temperature difference between near the ground and the overlying air. Because Ri_b is a ratio of two variables, it can become less useful when either the numerator or denominator becomes

285 very small. above-canopy/near-ground temperature difference.

3 Results and discussion

3.1 Typical seasonal cycle and variability

We chose to define the start of the warm-season as the date when diurnal changes in the soil temperature first occurred (i.e., the date of near-complete snowpack ablation). For the 14 years of our study, the warm-season start dates ranged from mid-May to mid-June with an average start date of around 1 June (as shown in Fig. 1a and listed in Table 1). Though snow can occur during this period the warm season, it is a rare event and usually melts quickly. The start of the growing-season (based on NEE, as described in Hu et al., 2010a) typically preceded the start of the warm-season by 2–4 weeks (Fig. 1a). The warm-season start date was also around the time that the volumetric soil

- 295 moisture content (VWC) reached a maximum (Fig. 1b), and the month following the disappearance of the snowpack was usually when the soil dried out (though there were exceptions, such as 2004). In the warm-season, large precipitation events led to a sharp increase in VWC followed by a gradual return (over several days or weeks) to drier soil conditions. We chose 30 September as the end of the warm-season for reasons described below.
- 300 The typical smoothed seasonal cycles of above-canopy NEE, LE and *H* are shown in Fig. 2a. For NEE, the dormant period (i.e., when the forest was inactive) was exemplified by almost no difference between the daytime and nighttime NEE, which lasted from roughly early November to mid-April.

When daytime NEE switches from positive to negative, it indicates the start of the growing season. The snowmelt period exhibited strong CO_2 uptake because soil respiration was suppressed due to

- 305 low soil temperature (Fig. 2a). In February–March, daytime H reached a maximum because net radiation increased and transpiration was small. Nighttime H stayed at around -50 W m^{-2} throughout the entire year. One might expect nocturnal H in winter to be different than summer, but in winter most of the above-canopy H was due to heat transfer between the forest canopy and atmosphere, not the atmosphere and snow-covered ground (Burns et al., 2013). Related to LE, there are two interest-
- 310 ing observations in Fig. 2a. First, outside the growing season, daytime LE was larger than nighttime LE. This is presumably because air temperature is higher during the daytime which increases the saturation vapor pressure and results in a larger sublimation/evaporation rate (e.g., Dalton, 1802). Second, nighttime LE in winter was around $25 \,\mathrm{W m^{-2}}$ which decreased to $10 \,\mathrm{W m^{-2}}$ in summer. Despite warmer summer temperatures, we suspect the larger nocturnal LE in winter was due to the
- 315 ubiquitous presence of a snowpack that serves as a source of sublimation/evaporation for 24 h every day (compared to summer when the ground periodically dries out). Also, winds are much stronger in winter which would promote higher between November and February which promotes higher sublimation/evaporation. In the spring and summer LE increased during the day from around 50 to 150 W m⁻² primarily due to increased forest transpiration as well as increased VPD. In July-
- 320 August, as the soil dried out and warmed up, soil microbial activity increased (e.g., Scott-Denton et al., 2006), and NEE moved closer to having photosynthetic uptake of CO₂ balanced by respiration.

When winds are light and mechanical turbulence is small, decoupling between the air near the ground and above-canopy air can occur (e.g., Baldocchi et al., 2000; Baldocchi, 2003). The noc-

- turnal NEE data shown in Fig. 2a have been calculated using the friction velocity (u_*) both with (solid line) and without (dashed line) the u_* filtering technique (Goulden et al., 1996) which replaces NEE during periods of weak ground-atmosphere coupling $(u_* < 0.2 \text{ m s}^{-1})$ with an empirical relationship between NEE and soil temperature. This leads to the question of whether the application of the filtering by u_* created the apparent increase in nocturnal NEE (or respiration)
- 330 during the summer months. In Supplement Fig.S1, we include both the non- u_* filtered NEE along with ecosystem respiration calculated from the algorithm of Reichstein et al. (2005) and Lasslop et al. (2010). Though the u_* filter enhanced the value of ecosystem respiration nocturnal NEE by around $0.5 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1}$ compared to unfiltered NEE, the mid-summer increase was present in both. Ecosystem respiration calculated from the algorithm of Lasslop et al. (2010) was
- 335 slightly larger than that from Reichstein et al. (2005) which was closer to the measured nocturnal values. Recent research in the ecosystem-flux community has suggested that the standard deviation of the vertical wind σ_w (e.g., Acevedo et al., 2009; Oliveira et al., 2013; Alekseychik et al., 2013; Thomas et al., 2013) or the Monin–Obukhov stability parameter (e.g., Novick et al., 2004) are better

measures of decoupling than u_* ; however, the results we show are not going to be strongly affected 340 by which variable is used to determine the coupling state.

The daytime interannual variability of NEE, LE and *H* was larger than the nighttime interannual variability (Fig. 2b) due to the wide range of daytime surface solar conditions (e.g., clear or cloudy days). The peak in the interannual variability of daytime NEE during April and May was due to year-to-year differences in the timing of snowmelt and initiation of photosynthetic forest uptake of CO₂ at the site (Monson et al., 2005; Hu et al., 2010a). Though NEE interannual variability peaked

at this time, there was no corresponding peak in LE or H variability.

The average start of the warm season occurred when daytime NEE uptake was strong (greater than $8 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1}$) and immediately followed the peak in NEE interannual variability (Fig. 2b). There was not a similar increase in NEE variability to mark the end of the warm season; however, the date

350 when daytime NEE decreased sharply was the end of September. For this reason, we chose the end of September as the end of the warm-season. By choosing the end of September we also avoid periods in October when snowfall occurs. On average, the period we chose for the warm season started on 1 June and ended on 30 September as indicated by the vertical lines in Fig. 2. occurred.

Based on eight years of precipitation data from a nearby U.S. Climate Reference Network (USCRN) site, April had the most precipitation (with a mean of around 120, most all of it falling as snow) followed by July with 90 of precipitation (Fig. S2a). April and July were also the months with the largest variability between years and the variations between years were about 50 of the mean value (Fig. S2b). These trends generally agree with the long-term precipitation measurements from the LTER C-1 (1953–2012) station where the effect of undercatch by the LTER gauge is noticeable

360 during the winter months. Further discussion on the precipitation measurements used in our study are in Appendix A1.

3.2 The effect of wet conditions on the diel cycle

After each day was organized into the precipitation categories described in Sect. 2.3, we observed a peak in precipitation during the early afternoon on wet days as would be expected for a mountainplain type weather system (Fig. 3b1b). Over the 14 years of our study, the average length of time for a dry period was around 2.5 days with a standard deviation of 3 days. Two days in a row with above-average rain (i.e., Wet2wWet days) was recorded around 90 times out of 1740 total warmseason days between 1999 and 2012 (Table 2). These rare events were typically the result of largescale synoptic weather systems which explains why significant morning precipitation occurred on

370 Wet2wWet days (i.e., Fig. 3b1). b). The leaf wetness data reveals that, on average, dDry days had mean value less than 0.2 while wet periods were closer to 0.8 (Fig. 3c). On wDry days there was a steady decrease in leaf wetness from midnight until the early morning hours. All precipitation states had a minimum in leaf wetness between around 0800–1000 MST which is likely related to a large-scale phenomena, such as the entrainment of dry air at the top of the boundary layer.

- One obvious complication with the precipitation-related analysis is that the open-path instrumentation (e.g., sonic anemometers) are affected by water droplets, and do not work properly during heavy precipitation events which is why the percent of gap-filling periods for the fluxes increases on the wet days (Table 2). Though we do not have a way around this issue, we can only point out that the scalar measurements were not affected by precipitation and can provide which provides some
- 380 degree of insight. When we restricted the analysis to time periods without any gap-filled flux data, the results are similar to what we are showing here.

Over the next several sections we will examine how the diel cycle of the measurements (winds, soil properties, radiation, scalars, and fluxes) were affected by these different precipitation states. Because Dryl-dDry conditions were the most common, we will typically describe the changes or

385 differences relative to the Dry1 dDry state.

3.2.1 Wind, turbulence, vertical temperature profiles, and near-ground stability

As mentioned in Sect. 2.1, the above-canopy wind direction at the site is primarily controlled by the large-scale mountain-plain dynamics resulting in directions that were typically either upslope (from the east) or downslope (from the west). At night, the above-canopy winds were almost exclusively

- 390 downslope with very little effect from precipitation except for a small occurrence of upslope flow during Wet2-wWet conditions (i.e., Fig. 3a1). There was a more consistent flow direction in the early morning hours as demonstrated by the higher peak in the frequency distribution of Fig. 3a1 compared to Fig. 3a3. This suggests that the drainage flow became more persistent and consistent as the night progresses. During mid-day, wet conditions had a more frequent occurrence of upslope winds
- 395 than downslope winds, whereas during dry days there was nearly an equal number of upslope and downslope winds (Fig. 3a2). This is to be expected because the upslope winds can trigger convection which (potentially) leads to precipitation.

The diel cycle of horizontal wind speed during dry conditions was characterized by a dip of about $1 \,\mathrm{m\,s^{-1}}$ during the morning and evening transitions, with the evening transition having the lowest

400 wind speed values (Fig. 3eld). On Dry1 and Dry2dDry and wDry days the wind speed overnight (on average) increased from a minimum of around 2.5 m s^{-1} at 19:00 MST to a maximum of 4 m s^{-1} at 04:00 MST. During wet conditions the dip in wind speed during the transition periods did not exist and the mean wind speed on Wet2wWet days was typically smaller than other conditions throughout the diel cycle. Mechanical turbulence (characterized by the friction velocity u_*) generally follows

405 the pattern of wind speed at night, however, during the daytime, the buoyancy generated by surface heating enhanced u_* relative to nocturnal values (Fig. 3d1e). In Dry1 dDry conditions the maximum variability in U and u_* was in the early morning (at around 06:00 MST) with less variability in the late afternoon and evening.

Near-ground vertical air temperature differences are considered because these help control the 410 near-ground stability (Fig. 4d–f). In Wet2-wWet conditions, the vertical air temperature difference was at a minimum during all times of the day. This is expected during the daytime because solar radiation, which warms the canopy and ground to create the air-surface temperature differences, was reduced on Wet2wWet days (radiation will be discussed in Sect. 3.2.3.4). In Dry2-wDry conditions during daytime, the mid-canopy was about 1 °C warmer than the air near the ground (Fig. 4e). This

- 415 stable layer in the lower canopy did not exist in any other conditions and we presume this state was due to a combination of strong net radiation (which warmed the canopy) combined with evaporation near the ground (which cooled the ground surface). The soil during a Dry2wDry day would have recently experienced rain, providing a source of liquid water for evaporation within the soil. We also note that temperature differences during Dry1dDry days were the largest of all precipitation states
- 420 for the three periods shown in Fig. 4d–f.

To combine the effects of wind speed and temperature differences on atmospheric stability, the bulk Richardson number Ri_b is also considered (Fig. 3e1f). Following the evening transition, dry conditions tended to result in a more stable atmosphere (Ri_b > 0.2) than that of wet conditions (Ri_b < 0.1). This suggests that there should be larger vertical scalar differences (i.e., less vertical mixing) during the late evening period of dry days.

3.2.2 Atmospheric scalars $(T_a, q_{\overline{r}})$, soil temperature, soil moisture, and soil heat flux

We now consider how air temperature and other scalars humidity change over the diel cycle. Dry1 dDry conditions were associated with slightly higher barometric pressure (Fig. 5a1a), relatively warmer air temperatures (Fig. 5b1c), a drier atmosphere (Fig. 5e1e), warmer and drier soils (Fig. 5d1

- 430 and elb and d), and larger 10-cm soil heat fluxes (Fig. 5flf). Barometric pressure had a mid-morning and evening peak that existed for all precipitation states which are created by thermal tides within the atmosphere (e.g., Lindzen and Chapman, 1969). The variables for Dry1dDry days generally had smaller variability compared to any of the other conditions (Fig. 5a2-f2)-with the one exception being a high variability in VPD during the Dry1dDry afternoon and evening period (Fig. 5c2).
- 435 (Burns et al., 2015). In contrast to Dry1dDry days, mean conditions during Wet2wWet days were associated with (relatively) lower barometric pressure and cooler, wetter conditions in the atmosphere and soil.

For Wet2wWet days, the soil moisture content (VWC) increased by over 50 % and T_{soil} dropped by around 2 °C relative to Dry1-dDry conditions (Table 3 and Fig. 5d1 and e1b and d). The timing

- 440 of precipitation within the diel cycle is important. For example, on the morning of Wet1dWet days, T_{soil} was about 1 °C larger than in other conditions because on Wet1dWet days the rain occurred primarily in the afternoon, not the morning (i.e., Fig. 3b1b). In fact, 21.5 m air temperature on the morning of Wet1dWet days was slightly above that of Dry1nearly the same as that of dDry days (Fig. 5b1c). The main effect of precipitation on the soil_deep_soil heat flux was between the hours of
- 11:00 and 18:00 MST, where <u>G in Dryl_G_{plate} in dDry</u> conditions had a peak of 20 W m⁻² while in Wet2_wWet conditions the peak was less than 10 W m^{-2} (Fig. 5flf). At night, <u>G G_{plate}</u> was similar

for all precipitation states suggesting that either the deeper (10 cm) soil was protected from the effect of changes in nocturnal net radiation by the overlying canopy and soil or else the changes in R_{net} were small enough that the deep soil temperature was not dramatically affected. This result also

450 implies that increased liquid water in the soil pore space did not significantly affect the soil thermal conductivity. Though the soil heat flux peaked at around mid-daythe, the 5-cm soil temperature peaked two hours later at around 14:00 MST.

If plots for each precipitation condition are arranged in the order of Dry1, Wet1, Wet2, and Dry2dDry, dWet, wWet, and wDry days the characteristics of a composite summertime cold-front

- 455 passing the tower can be approximated (Fig. 6). Classical cold-front systems over flat terrain are associated with pre-frontal wind shifts and pressure troughs (e.g., Schultz, 2005). Mountains, however, have a large impact on the movement of air masses and can considerably alter the classical description of frontal passages (e.g., Egger and Hoinka, 1992; Whiteman, 2000). Our classification of the composite plots as a "frontal passage" is simply because there was colder air present at
- 460 the site during the Wet1 and Wet2 dWet and wWet periods. For example, during Dry1dDry days the 21.5 m air temperature was around 5 °C greater than T_{soil} (Fig. 6b1). As the composite "front" passed by the tower (i.e., Wet1 and Wet2dWet and wWet days) 21.5 m T_a dropped to near T_{soil} (Fig. 6b2 and b3) and specific humidity increased by $\approx 50\%$ (Fig. 6c2 and c3). After the frontal passage (i.e., Dry2wDry days), the 21.5 m air temperature returned to being higher than the soil temperature
- 465 (Fig. 6b4). During Wet2, dry mole fraction χ_c within the canopy was elevated relative to the other conditions (Fig. 6d3). Specific numerical values and a summary of the atmospheric conditions for each precipitation state are provided in Table 3.

Taking a closer look at

3.2.3 Atmospheric CO₂ dry mole fraction

- 470 For CO₂ dry mole fraction χ_c , we found that above-canopy χ_c was largest during Wet2-wWet conditions and lowest in Dry1-dDry conditions with a fairly consistent difference of around 2– $3 \mu mol mol^{-1}$ across the entire diel cycle (Fig. 7a). We initially considered this to be an artifact of dilution due to boundary layer height differences (e.g., Culf et al., 1997), however we ruled this out because the difference was fairly consistent throughout the day and night when boundary layer
- 475 heights change dramatically. We confirmed that similar χ_{c} differences between precipitation states existed using CO₂ from a nearby Rocky Raccoon site measured above tree-line on Niwot Ridge about 3.5 km northwest of the US-NR1 tower (Stephens et al., 2011) (results not shown). Since our analysis uses a composite which approximates a cold-front passage, there is an influence of large-scale weather systems on the overall atmospheric CO₂ magnitude (e.g., Miles et al., 2012;
- 480 Lee et al., 2012). This suggests that the dependence of above-canopy χ_c on the precipitation state was due to either the composition of large-scale air masses or subsidence/convergence caused by high/low barometric pressure.

Within the canopy, this same precipitation-dependent pattern existed in the morning and during the daytime, however, in the evening, χ_c in dry conditions was about 5–8 µmol mol⁻¹ larger than

- 485 χ_c in wet conditions (Fig. 7b–c). These differences clearly show up in a vertical χ_c profile (Fig. 8c). To avoid the confounding factor of synoptic weather systems, the lower panels in Fig. 8 show the vertical χ_c differences ($\Delta \chi_c$) relative to the top tower level (21.5 m a.g.1.). The mid-day $\Delta \chi_c$ profile (Fig. 8e) shows a photosynthetic deficit of around 1 µmol mol⁻¹ in the mid-canopy due to vegetative uptake of CO₂ which is consistent with previous studies at the site (Bowling et al., 2009; Burns et al.,
- 490 2011). In the nighttime hours (00:00–04:00 MST) the different precipitation states did not affect the $\Delta \chi_c$ profile (Fig. 8d) which contrasts with the late evening $\Delta \chi_c$ profile that shows a difference of around 5–9 µmol mol⁻¹ between wet and dry conditions within the lower canopy (Fig. 8f). Synoptic—Though synoptic barometric pressure changes have recently been suggested as
- a mechanism for enhancing the exchange of deep-soil CO_2 with the atmosphere , whereas the upper soil is more influenced by processes such as soil respiration and pressure-pumping (e.g., Sánchez-Cañete et al., 2013). In light of the differences in near-ground stability during the evening (discussed in Sect. 3.2.1), it seems likely that atmospheric stability was playing a more important role than barometric pressure in controlling the observed nocturnal $\Delta \chi_c$ differences.

A close examination of Fig. 8f reveals that the late evening wet conditions had near-ground to

- 500 above-canopy $\Delta \chi_c$ differences that were around 35. In contrast, for all conditions in Fig.8d and dry conditions in Fig.8f the $\Delta \chi_c$ differences were greater than 40 (also see Table 3). The (e.g., Sánchez-Cañete et al., 2013), the larger $\Delta \chi_c$ differences in dry conditions are consistent with the near-ground atmospheric stability being larger during dry conditions . We also note that between (discussed in Sect. 3.2.1), Between 00:00–04:00 MST Ri_b was generally near or above 0.2 for both
- wet and dry conditions while whereas in the evening period the wet days had $Ri_b \approx 0.1$ on wet days $Ri_b was \approx 0.1$. As shown in previous work at the US-NR1 site (e.g., Schaeffer et al., 2008a; Burns et al., 2011), $\Delta \chi_c$ differences have a transition region between weakly stable and strongly stable conditions that occurs at $Ri_b \approx 0.25$ which is nominally related to the change from a fully turbulent to non-turbulent flow. It appears that the stability in the early evening on wet days is such that the
- 510 atmosphere was slightly unstable which enhanced the vertical mixing and reduced the vertical $\Delta \chi_c$ differences. Furthermore, the controls on the stability between Wet1 and Wet2dWet and wWet days were slightly different. On Wet1 dWet evenings, wind speed was slightly elevated (Fig. 3d1d) which resulted in less stable conditions. In contrast, on Wet2 wWet evenings it was the reduced vertical temperature differences (Fig. 4f) that was the primary controlling factor in reducing the stability.

515 3.2.4 Net radiation , and turbulent energy fluxes, and net ecosystem exchange of (NEE)

The full diel cycle of net radiation, the turbulent energy fluxes, and NEENEE, and transpiration are shown in Fig. 9 for mean values (a1 d1) and variability or SD-Bin (a2 d2). where the diel cycles are arranged by dDry, dWet, wWet, and wDry conditions. The dDry conditions are repeated in each

column to make comparison between conditions easier. In order to better quantify the impact of pre-

- 520 cipitation <u>state</u> on the fluxes, we have arranged the fluxes by Dry1, Wet1, Wet2, and Dry2 conditions similar to what was shown previously with the scalar measurements (i.e., Fig. 6). This summary , however, also show a summary that only includes mean mid-day (Fig. 10, left-column) and late evening and nighttime values (Fig. 10, right-column). Choosing these specific periods avoids the evening and morning transition periods which are complicated by the fluxes and scalar gradients
- 525 becoming small and/or changing sign (e.g., Lothon et al., 2014). To make interpretation of the quantitative changes more accessible, each panel in Fig. 10 shows the fractional change from the maximum (or minimum) value within that panel. In addition to the figures, the The mean values for each precipitation state are also listed in Table 3.

When precipitation occurred, cloudiness increased and net radiation at mid-day was reduced 530 (Fig. 9a1). Dry1a). dDry days had a mean mid-day value of nearly 600 W m⁻² which decreased by around 50 % to 300 W m⁻² during Wet2wWet days, then recovered on Dry2wDry days to nearly 550 W m⁻² (i.e., about 10 % smaller than R_{net} during Dry1-dDry conditions) (Fig. 10a1). The variability of R_{net} was similar for all precipitation conditions, though Dry1 conditions typically had the smallest variability during the morning hours (Fig. 9a2).

- At night, though the absolute value of the mean net radiation was an order of magnitude smaller than the daytime values, the fractional changes and pattern of nocturnal R_{net} due to different precipitation states (Fig. 10a2) were similar to those of mid-day R_{net} (Fig. 10a1). If we assume that wet nights were cloudier than dry nights, the radiative surface cooling on clear nights was around -70 Wm^{-2} while cloudy nights was closer to -30 Wm^{-2} . The reduction of the magnitude of R_{net}
- 540 on wet nights was primarily due to changes in cloud cover as well as changes to the turbulent fluxes. Sensible heat flux during mid-day had a similar pattern to net radiation, with a large decrease in H (by $\approx 70\%$) between Dry1 and Wet2 dDry and wWet conditions, followed by an increase toward Dry1 a return toward dDry H on Dry2wDry days (Fig. 10d1). In contrast, latent heat flux followed a slightly different pattern – the different pattern – the largest mean mid-day LE occurred
- 545 on a Dry2wDry day with a value of around 200 W m⁻², which was around 15 % larger than mid-day LE on Dry1dDry days (Fig. 9c, Fig. 10c1). The extra energy used by LE (coupled with slightly lower R_{net} values on Dry2wDry days) explains why mid-day H only recovered to within 80 W m⁻² (or 30%) of Dry1-dDry H (as dictated by the SEB (Eq. (1)) and shown in Fig. 9d1) as dictated by the SEB equation (1).-d.
- 550 At night, latent heat flux cooled the surface and was strongly affected by changes in the precipitation state (Fig. 10c2) following a pattern similar to that of nocturnal R_{net} (Fig. 10a2). Nocturnal sensible heat flux changed by around 30–40% during the different precipitation states but the pattern did not clearly follow that of either R_{net} or LE (Fig. 10d2). At night, H generally warms the surface (including the forest vegetation and other biomass) following the air-surface
- 555 temperature gradient (i.e., similar to the vertical temperature differences shown in Fig. 4d and f).

In this way, H acts to compensate for air-surface temperature differences that might be generated by the surface cooling effects of R_{net} and LE. Even though the vertical air temperature differences were largest during dDry conditions (Fig. 4d and f) the largest sensible heat flux occurred during wDry periods between 00:00–04:00 MST (Fig. 10d2). This is exactly when LE was at a maximum

560 (so evaporative cooling would be expected) and a close look at Fig. 4f reveals that the temperature difference between the air just above the ground and soil was larger in wDry conditions than dDry conditions. We should also note that what is shown in Fig. 4d and f are vertical air temperature differences which serve as a surrogate for the actual difference between air temperature and the surface elements (i.e., tree branches, needles, boles, and the soil surface) (e.g., Froelich et al., 2011).

565

3.2.5 The evaporative contribution to LE

The increased LE values on Dry2wDry days was presumably due to evaporation of the intercepted liquid water present on vegetation and in the soil. Because of the effect of temperature on saturation vapor pressure (and thus VPD) one cannot assume <u>outright</u> that nocturnal LE is representative of day-

- 570 time evaporation (e.g., Brutsaert, 1982). To further explore this issue, we have plotted LE vs. VPD in Fig. 11 where we observe that nocturnal LE in dry conditions was $\approx 10 \,\mathrm{W \, m^{-2}}$ with a weak dependence on VPD. The trend toward less evaporation in dDry conditions is due to a large soil resistance to evaporation when the soil/litter surface under a canopy is dry (Baldocchi and Meyers, 1991). This is consistent with our assumption that there was there being a small, consistent persistent baseline
- 575 level of evaporation in dry conditions and we make an assumption that this level of evaporation is similar during the daytime. Therefore, in Dry1 dDry conditions we can estimate that evaporation was $\approx 10 \text{ W m}^{-2}$ and evapotranspiration was $\approx 170 \text{ W m}^{-2}$ (based on mid-day LE, Fig. 10c1). This suggests that, on average, evaporation comprised about 6 % of evapotranspiration in dry conditions. Since
- 580 Can we make a similar estimate of the evaporative contribution to LE as the canopy and soil are drying out? By comparing dDry and wDry conditions we make the following observations: (1) mid-day LE in wDry conditions was larger than dDry conditions (Fig. 9c), (2) mid-day transpiration was relatively smaller in wDry conditions than dDry conditions (Fig. 9e), (3) net radiation in Dry1 and Dry2-dDry and wDry conditions was similar , we can get (Fig. 9a), (4) soil moisture
- 585 content was relatively high on wDry days (Fig. 5d), suggesting the presence of an available source of liquid water for evaporation, and (5) previous research of transpiration at the US-NR1 site (Turnipseed et al., 2009; Hu et al., 2010b) has shown that ecosystem-scale transpiration increases as VPD increases. We also observe that daytime LE follows a trend with VPD that is very similar to that of transpiration measured within the forest (as shown by the dashed black lines in Fig. 11a2).
- 590 From (1) and (2) above, we can conclude that the daytime increase in wDry LE was primarily caused by an increase in evaporation, not transpiration. If we also consider how LE varied with

<u>VPD</u> a rough estimate of daytime evaporation <u>comes</u> from the LE difference during <u>Dry1 and Dry2</u> <u>dDry and wDry</u> conditions (shown as <u>a the solid</u> black line in Fig. 11a2). As the atmosphere becomes drier the LE difference increased from near 15 W m^{-2} to around 50 W m^{-2} where it flat-

- tens out in drier conditions (for VPD > 1.2). Previous research at the US-NR1 site has shown large differences in transpiration between the dominant tree species (Hu et al., 2010b), but the general relationship between ecosystem-scale transpiration and VPD is similar to what is shown in Fig. 11a2 (Turnipseed et al., 2009). 0.5). Therefore, following a rain event, daytime evaporation was somewhere between 15–50 W m⁻² (black line in Fig. 11a2), while mid-day evapotranspiration increased
- 600 from 100-225 W m⁻² (Dry2-wDry line in Fig. 11a2). If we take the overall average of this ratio, it suggests that evaporation comprised about 20 between 15-25 % of evapotranspiration in wet conditions.

We also observed that increased LE lasted throughout a Dry2 until around 18:00 MST when LE came within around 10 of LE in Dry1 conditions (Figs. 9c1 and 11a3). This suggests that

- 605 the evaporative effect lasted at least 18 following a significant precipitation event. Central to our calculations is the assumption that LE at night was primarily evaporation. Some evidence exists that the needle stomates opening at night combined with cuticular water loss could lead to small amounts of nocturnal transpiration (e.g., Novick et al., 2009). If this occurred at US-NR1, it is likely a small effect which is further discussed by Turnipseed et al. (2009). We should also emphasize that our
- 610 results are mean estimates and the variability around these mean values are large (i.e., as shown in Fig. 11b1–b4). Some of this variability is due to the random nature of turbulence in the atmosphere, whereas some can be explained by differences in net radiation, atmospheric stability, air temperature, and stomatal control.

The modeling study of Moore et al. (2008) based on sap flow measurements at the US-NR1 site 615 found that transpiration in the warm-season accounted for about 30 of total evapotranspiration,

- whereas our findings suggest that transpiration accounted for between 80 (wet conditions) to 94 (dry conditions) of evapotranspiration. The large discrepancy between these estimates and the model results might be due to the simplicity of the model used by Moore et al. (D.J.P. Moore, personal communication, 2015). Compared to eddy-covariance techniques, sap flow sensors have
- 620 typically underestimated transpiration and there are scaling issues to contend with as well as other measurement challenges (e.g., Hogg et al., 1997; Wilson et al., 2001; Staudt et al., 2011). The trend toward less evaporation in Dry1 conditions is consistent with a large resistance to evaporation being present when the soil/litter surface under a canopy is dry (Baldocchi and Meyers, 1991). Based on lysimeter measurements of evaporation, it was found that transpiration comprised about 95 of total
- 625 evapotranspiration during the growing season in a boreal aspen forest (Blanken et al., 2001). The as the forest transitioned from wet to dry conditions.

The partitioning of evapotranspiration for a forest is strongly dependent on the vegetation density and modeling efforts by Lawrence et al. (2007) suggest that, for a canopy density similar to that of

the US-NR1 forest (i.e., LAI \approx 4), transpiration should be around 80 % of evapotranspiration. The In

- 630 a survey of 81 different studies from around the world, Schlesinger and Jasechko (2014) found that the ratio of transpiration to evapotranspiration in temperate coniferous forests have a typical range between 50-65%. This is a large-scale estimate from the perspective of an overall water budget that does not include details such as a dependence of evapotranspiration on LAI or surface wetness (they also note that uncertainties in their estimates are large). For the spruce forest studied by Staudt et al.
- 635 (2011) with LAI ≈ 4.8 , they found that transpiration accounted for about 90 % of total evapotranspiration (in generally dry conditions).

On a larger (global) scale it has recently been suggested from isotope measurements that transpiration contributes 80–90Based on lysimeter measurements of evaporation, it was found that transpiration comprised about 95% to the total annual terrestrial evapotranspiration

640 (Jasechko et al., 2013). This result appears consistent with our estimate of transpiration for the warm-season months; however, similar to the GLEES Rocky Mountain forest site described by ?, the US-NR1 forest only has active transpiration for 4–5 months of the year (e. g., Fig. 2a) so the annual contribution of transpiration is much reduced and sublimation of snow plays a significant roleof total evapotranspiration during the growing season in a boreal aspen forest (Blanken et al., 2001).

645 The values we determined are within a similar range to these previous studies.

At night, latent heat flux cooled the surface and was strongly affected by changes in the precipitation state (Fig. 10c2) following a pattern similar to that of nocturnal R_{net} (Fig. 10a2). Nocturnal sensible heat flux changed by around 30–40 during the different precipitation states but the pattern did not clearly follow that of either R_{net} or LE (Fig. 10d2). At night, H generally

- 650 warms the surface (including the forest vegetation and other biomass) following the air-surface temperaturegradient (i. e., similar to the vertical temperature differences shown in Our results are mean estimates and the variability around these mean values can be large (e.g., Burns et al., 2015). Some of this variability is due to the random nature of turbulence in the atmosphere, whereas some can be explained by differences in net radiation, atmospheric stability, air temperature, and stomatal
- 655 control. For example, in the scatter plots of Fig. 4d and f). In this way, *H* acts to compensate for air-surface temperature differences that might be generated by the surface cooling effects of 11b1-b4, the LE data with larger *R*_{net} and LE. Even though the vertical air temperature differences were largest during Dry1 conditions (Fig.values generally fall above the bin-averaged line that is drawn through the cloud of data points.
- 660 We also observed that increased LE lasted throughout a wDry 4d and f) the largest sensible heat flux occurred during Dry2 periods between 00:00–04:day until around 18:00 MST (Figwhen LE came within around 10% of LE in dDry conditions (Figs. 10d29c and 11a3). This is exactly when suggests that the evaporative effect lasted at least 18 h following a significant precipitation event. Central to our calculations is the assumption that LE was at a maximum (so evaporative cooling
- 665 would be expected) and a close look at Fig. 4f reveals that the temperature difference between the

air just above the ground and soil was larger in Dry2 conditions than Dry1 conditions. We should also note that what is shown in Fig. 4d and f are vertical air temperature differences which serve as a surrogate for the actual difference between air temperature and the surface elements (i. e., tree branches, needles, boles, and the soil surface) (e.g., Froelich et al., 2011). at night was primarily

670 evaporation. Some evidence exists that the needle stomates opening at night combined with cuticular water loss could lead to small amounts of nocturnal transpiration (e.g., Novick et al., 2009). If this occurred at US-NR1, it is likely a small effect which is further discussed by Turnipseed et al. (2009).

3.2.6 Net ecosystem exchange of CO₂ (NEE)

As one would expect, the magnitude of daytime NEE was reduced during wet conditions due to decreased photosynthetically active radiation (PAR) which is shown as a decrease in R_{net} in Fig. 9a1a. The ratio between mid-day PAR and R_{net} was similar for all precipitation states (Table 3) and we will use R_{net} as a surrogate for PAR in our discussion. The Dry2wDry days were when the forest was most effective at assimilating CO₂ and NEE increased by over 3 µmol m⁻² s⁻¹ (≈ 30 %) between 680 Wet2 and Dry2wWet and wDry days (Fig. 10b1).

Nocturnal NEE was not affected very much (less than 10%) by changes in the precipitation state and any effect was overshadowed by the difference between NEE in the late evening compared to the early morning (Figs. 9b1 b and 10b2). The models of respiration by Reichstein and Lasslop produced results similar to the measured nocturnal NEE. The good agreement between

- 685 the 14 smoothed nighttime NEE measurement and R_{eco} calculated from the flux-partitioning (i.e., Fig. S1nocturnal Though the seasonal nocturnal ecosystem respiration signal was, at least for the seasonal-scale, apparently captured at the 21.5 m measurement level - (i.e., Fig. 2a), it appears that the effect of advection on the diel cycle is larger than any effect of precipitation.
- The striking difference between the effect of precipitation on the transport of CO₂ (NEE) 690 compared to water vapor (LE) is perplexing because one would expect the turbulence to transport water vapor and CO₂ in a similar manner. A few possible reasons for this difference are: (1) soil respiration at the US-NR1 site was not strongly affected by precipitation, (2) long dry periods are rare enough that the Birch effect (i.e., CO₂ pulse following precipitation) did not have a large impact on the overall warm-season NEE statistics, (3) the
- 695 measurement of NEE at 21.5 m was not accurately describing the soil respiration at the soil surface due to surface decoupling and/or other problems related to stable conditions (e.g., Staebler and Fitzjarrald, 2004; Finnigan, 2008; Aubinet, 2008; Thomas et al., 2013; Alekseychik et al., 2013), or (e.g., Mahrt, 1999; Staebler and Fitzjarrald, 2004; Finnigan, 2008; Aubinet, 2008; Thomas et al., 2013).
 (4) the difference in vertical location of these two scalar sources (e.g., liquid water evaporates
- from the vegetation surfaces as well as at the ground whereas respiration of CO_2 occurs almost exclusively at the ground) caused differences in the sensitivity to precipitation (Edburg et al., 2012),

or (5) an effect of the shorter atmospheric residence-time and larger background variability of water vapor compared to CO_2 which affects the surface fluxes. Previous measurements (mostly during the daytime) of soil respiration R_{soil} at US-NR1 with a manual chamber system by Scott-Denton

- et al. (2003, 2006) found that the dependence of soil respiration on soil moisture over a given summer was small. It has also been suggested by Huxman et al. (2004, 2003) that ecosystem respiration at the US-NR1 site is subject to controls from temperature and radiation as much as from precipitation (in contrast to an arid or semi-arid ecosystem such as a desert grassland where R_{eco} is strongly dependent on precipitation). The CO₂ pulse related to the Birch effect has been
- 710 detected by eddy-covariance at a wide variety of ecosystems that are listed in the introduction. For the current study, the relevant results are: (i) the 21.5 m nocturnal NEE measurements were able to detect the increase in nocturnal ecosystem respiration over the warm-season (Fig. 2a), and (ii) the nocturnal NEE was not strongly affected by precipitation (Fig. 10b2). This suggests that, at the seasonal/annual time-scale, precipitation plays a minor role in modifying the contribution of ecosystem respiration to the above-canopy NEE for this subalpine ecosystem.
- So far we have primarily discussed the mean changes to the ecosystem fluxes due to precipitation. Since these flux calculations are affected by turbulent atmospheric motions that have a large random component (e.g., Baldocchi, 2003; ?) and there is natural day-to-day (and seasonal) variability during a particular time of day, the variability (SD-Bin) around the mean flux value is large
- 720 (Fig. 9a2–d2). Typically, SD-Bin for the flux is on the order of 50 of the mean flux. The variability also provides some insight into the various physical processes taking place. For example, Dry1 conditions resulted in the smallest variability for mid-day NEE and LE, but not for *H*. Furthermore, in the morning hours (07:00–10:00 MST), the variability of both NEE and LE was largest for Wet2 conditions (Fig. 9b2–c2). This shows the connection that NEE and LE have through the opening of
- 725 stomates that provide pathways for both transpiration and photosynthesis. The fact that the variability for LE was elevated during Dry2 conditions (both between 00:00-04:00 MST and throughout the day) was due to the extra evaporation that occurs in Dry2 conditions as discussed above. These changes to LE also increased the Dry2 variability of sensible heat flux between 00:00-04:00 MST, but not in the evening hours. For models of ecosystem processes, the mean is often emphasized, but
- 730 we point out that it is also important to understand the day-to-day variability in diel composites.

3.3 Asymmetry in the diel cycle of net radiation and turbulent fluxes

One other interesting aspect of the diel cycle is related to the timing of fluxes relative to solar noon. As one would expect, the top of the atmosphere radiation reached a maximum near 12:00 MST (Fig. 9a1a). In contrast, the maximums for composited R_{net} , LE, and H occurred at about 11:00 MST

on dry days and 10:00 MST on wet days (Fig. 9a1, c1-d1a, c-d). For NEE, the peak uptake of CO₂ was between 09:00–10:00 MST on both wet and dry days (Fig. 9b1b). The fact that the peak in

the energy fluxes was different for wet and dry conditions suggests that clouds were affecting the composited diel cycle.

In Fig. 12 we further examine the role of clouds on the diel cycle by sub-dividing the

- 740 DryldDry days into clear sky (Dryl-CleardDry-Clear) and cloudy (Dryl-CloudydDry-Cloudy) days. Clear skies occurred on about 18% of the DryldDry days and this is reflected by the fact that the Dryl-dDry statistics closely follow those of Dryl-Cloudy dDry-Cloudy statistics. The peak in R_{net} , LE, and H during Dryl-Clear dDry-Clear days were all near 12:00 MST which was consistent with the timing of the maximum top of the atmosphere radiation.
- 745 On Dry1-Clear dDry-Clear days, R_{net} was enhanced by an additional 30% compared to cloudy days (Fig. 12a1a). This enhanced incoming radiation was reflected by larger turbulent energy (LE and H) fluxes on Dry1-Clear dDry-Clear days (Fig. 12e1-d1c-d). Consistent with the findings by Monson et al. (2002), NEE was slightly smaller on days with clear skies suggesting that the forest was taking up more CO₂ when clouds were present (Fig. 12b1b). This result is partially due to CO₂
- vegetation reaching a saturation point with increasing radiation (e.g., Ruimy et al., 1995), as well as research that has shown diffuse radiative conditions are more conducive to photosynthetic uptake of CO₂ by vegetation (e.g., Gu et al., 1999, 2002; Law et al., 2002; Wang et al., 2008). (Further discussion is in Monson et al., 2002). If LE was completely controlled by stomates, one would expect that LE would follow NEE and be larger on Dry1-Cloudy dDry-Cloudy days. However,
- 755 the effect of much higher R_{net} on clear days also affects LE (through the SEB equation) and drives it to slightly higher levels on Dry1-Clear dDry-Clear days.

The variability of net radiation during Dry1-Clear days closely approximated the variability of the top of the atmosphere radiation (Fig. 12a2) which suggests we successfully selected the clear days. It is also of note that the variability of mid-day sensible heat flux (Fig. 12a2) was strongly

760 affected by clouds (similar to R_{net}), whereas the variability of mid-day NEE and especially LE were only slightly changed by clouds. This is an example of the unique connections between R_{net} and Hcompared to those between NEE and LE.

3.4 The surface energy balance (SEB) closure

- Though the individual components in the SEB balance equation (i.e., Eq. 1) were dramatically affected by precipitation (i.e., Fig. 10), the overall mean simple-SEB closure fraction during mid-day was fairly consistent at around 0.7-0.8-0.9-1.1 (Fig. 13a1). The missing 20 in the This degree of energy closure is similar to that observed by previous studies research at the site (e.g., Turnipseed et al., 2002; Burns et al., 2012). (e.g., Turnipseed et al., 2002). It appears that wet conditions lead to values which are slightly above 1 and dry conditions are slightly below 1. This sug-
- 770 gests that the turbulent fluxes were consistently measured for each precipitation state and whatever is causing the missing 20 is likely unrelated to precipitation, there could be some small effect of precipitation on the SEB closure.

The nighttime simple surface energy balance SEB closure during the evening hours (19:00–23:00 MST) was at around 40-500.3-0.4 while closure during the early morning hours (00:00–

- 04:00 MST) was closer to 60-70.0.4-0.5. Previous research has shown that these low nocturnal closure values are during periods of low winds that lead to large horizontal advection (Turnipseed et al., 2002; Burns et al., 2012). Any effect of precipitation on the SEB at night was overshadowed by these large differences related to the time of day. The effect of drainage flows on horizontal CO₂ advection at US-NR1 have been summarized in previous studies (e.g., Sun et al.,
- 780 2007; Yi et al., 2008) and our objective is to point out that the SEB was most affected in the late evening and closure improved after midnight, presumably because the wind speed and variability of mechanical turbulence increased. This result is consistent with the findings of Burns et al. (2011) that there is increased turbulence variability in the nocturnal boundary layer after around 23:00 MST. However, we have also reported (in Sect. 3.2.1) that stability tends to get stronger as the night pro-
- 785 gresses, especially in Dryl-dDry conditions. Though outside the scope of the current study, our suspicion is that as the stability and wind speed increase during the night it leads to the formation of intermittent turbulent events caused by increased wind shear. In terms of precipitation, it is clear that the pattern of stability was disrupted by the rain event (affecting both the wind speed and vertical temperature gradients) and the nocturnal dry periods tended to be more stable ($Ri_b > 0.2$) at night
- than the wet periods ($Ri_b < 0.2$) as shown in Fig. 13c2. The decreased stability in wet conditions is especially prevalent in the early evenings as discussed previously in relation to the vertical CO₂ profiles (Sect. 3.2.2.3). Changes in VPD were closely related to changes in air temperature as reflected in how mean VPD changed with the precipitation state (Fig. 13b1 and b2). It is interesting that the pattern for nocturnal VPD (Fig. 13b2) was similar to that of stability (Fig. 13c2).

795 4 Summary and conclusions

Based on fourteen years of 30 min measurements, the typical seasonal cycle and interannual variability of turbulent fluxes of sensible and latent heat and NEE from just-above a high-elevation subalpine forest were presented. We used the snowpack ablation date to determine the start of the warm-season and related this to the smoothed annual fluxesannual-flux time series. The warm-season was further

- analyzed to determine how precipitation perturbed the ecosystem fluxes on a diel (i.e., hourly) timescale. A simple, novel conditional sampling method based on whether the mean daily precipitation was greater than 3 mm day^{-1} was used which essentially created a 4 day composite of a cold front passing by the tower (the dry days prior to the cold front, a day when the precipitation started, a day with precipitation on the preceding day, and the day following the precipitation event). Though the
- wet days comprised only 17% of the warm-season days, they accounted for around 85% of the total precipitation.

The results showed what might be expected for a cold-front passage in a mountainous location: an afternoon peak in precipitation, a 6 $^{\circ}$ C drop in air temperature, and a 50 % increase in specific humidity. Changing from dry conditions to the wet, cool period of the composite front, we found the follow-

- 810 ing changes during mid-day: net radiation decreased from around 585 to 275 W m⁻² (over 50%), sensible heat flux decreased from 280 to 85 W m⁻² (around 70%), latent heat flux was reduced from 170 to 125 W m⁻² (around 25%), and NEE was reduced from -7.8 to -5.4 µmol m⁻² s⁻¹ (around 30%). Despite these dramatic changes to the individual component energy fluxes, the simple surface energy balance (SEB) closure during the daytime remained between 70-80was between 90-110%
- 815 throughout the 4 day composite frontal passage (Fig. 13a1). This level of SEB closure is consistent with previous studies research at the site (e.g., Turnipseed et al., 2002; Burns et al., 2012) and suggests that whatever is causing the closure imbalance is a phenomena unrelated to precipitation and clouds(e.g., Turnipseed et al., 2002) and there was a slight dependence on the precipitation state. In our study, most of the storage terms were calculated based on biomass properties in the lower
- 820 part of the canopy. Several recommendations of potential improvements with regard to the SEB are; (1) take into account the vertical variation of biomass properties, (2) use canopy and needle temperatures based on radiometric temperature measurements, (3) calculate storage terms using temperature lags in the soil and biomass (e.g., Lindroth et al., 2010), (4) improve our knowledge of soil properties (especially how they vary with depth), (5) examine the effect of flow distortion on
- the turbulent fluxes (e.g., Horst et al., 2015), and (6) explore calculating the sensible heat flux using a thermocouple rather than sonic temperature for warm-season conditions (e.g., Burns et al., 2012).

For a typical day following a rain event, net radiation and sensible heat flux both recovered to slightly below dry-day values. Latent heat flux, however, increased from a dry-day value of $170 \,\mathrm{W}\,\mathrm{m}^{-2}$ to nearly $200 \,\mathrm{W}\,\mathrm{m}^{-2}$. Because LE also increased at night we conclude that LE primarily

- 830 increased due to evaporation of liquid water from the wet vegetation surfaces and groundwithin the soil. The enhanced LE due to evaporation lasted at least 18 h, after which time it returned to a value similar to that of dry conditions (Fig. 9e1c). Another example of the effect of increased evaporation was the creation of a mid-day stable temperature layer within the forest sub-canopy (Fig. 4e). We conclude that the stable layer formed due to a combination of the vegetation being warmed by solar
- 835 radiation and evaporative cooling near the ground. For NEE, we found that the subalpine forest at the US-NR1 site was most effective in assimilating CO₂ on the day following a significant rain event. A closer look at the diel cycle reveals that increased NEE occurred during the afternoon of a day following rain (Fig. 9b1b).

Any effect of precipitation on nocturnal NEE and SEB closure was overshadowed by the influence
 of low winds and drainage flows. Precipitation also disrupted the typical dry-day diel pattern in several distinct ways: (1) it eliminated the dip of ≈ 1 m s⁻¹ in above-canopy horizontal wind speed during the morning and evening transitions (Fig. 3eld), (2) it generally led to lower overall levels of mechanical turbulence (Fig. 3e2e), and (3) it decreased the magnitude of subcanopy/above-canopy

vertical air temperature differences (Fig. 4). These effects resulted in weakly stable conditions in

- the late evening during wet periods ($Ri_b \approx 0.1$) compared to the more strongly stable dry periods ($Ri_b \approx 0.2$). These stability differences contributed to smaller CO_2 vertical differences (relative to above-canopy CO_2) in the wet (less stable) conditions. After midnight, stability increased for both wet and dry conditions which created CO_2 vertical differences that were similar in both wet and dry conditions. Despite the stronger stability after midnight there was also increased wind speed and mechanical turbulence (especially in dry conditions) which should result in increased vertical
- mixing. Further examination of these nighttime phenomena are beyond the scope of the current study but are recommended for future investigations.

By comparing cloudy and cloud-free days during dry periods we found that clouds shifted the diel maximum in sensible and latent heat fluxes from 12:00 MST on clear days to around 11:00 MST on

- 855 cloudy days. Also, mid-day net radiation and sensible heat flux were enhanced by about 20% on clear days relative to cloudy days. In contrast, the timing of the peak in NEE (at around 10:00 MST) was unaffected by clouds and the forest was more efficient at assimilating CO_2 on cloudy days than clear days (Fig. 12b1b).
- Our study has provided an example of one way to look at the complex interconnections 860 between variables that make modeling ecosystems so challenging. We have centered our study on precipitation, but these techniques could easily be adapted to focus on some over variable. Furthermore, this type of analysis could be used to evaluate models at the hourly time-scale (e.g., Matheny et al., 2014). We have shown that precipitation is intrinsically linked to changes in air temperature, pressure, and atmospheric humidity. Our focus was on the local
- 865 near-ground and source effects on the scalars and fluxes relative to precipitation . The during the warm-season. Three items that we did not fully consider in our analysis are: (1) there are undoubtedly sub-seasonal variations within the warm season that might reveal different responses to precipitation, (2) we did not examine the effect of the magnitude of precipitation events on our results, and (3) the atmospheric boundary layer, and specifically the boundary layer
- 870 height and entrainment, will also have an impact on the near-surface scalar concentrations and fluxes (e.g., Culf et al., 1997; van Heerwaarden et al., 2009; Pino et al., 2012)(e.g., Culf et al., 1997; Freedman et al., 2001; van Heerwaarden et al. Characteristics such as boundary-layer height are linked to the larger-scale flows at the mountainous US-NR1 research site and will be considered in a future study.

Appendix A: Additional data details

875 A1 Additional measurements and calculations

At US-NR1, the mean temperature and humidity profiles were measured with three mechanically aspirated, slow-response temperature-humidity sensors (Vaisala, model HMP35-D) installed at 2, 8, and 21.5 m a.g.l.. The vertical resolution of the temperature measurements temperature profile

was enhanced by a set of twelve unaspirated bare 0.254 mm diameter type-E chromel-constantan

- thermocouples distributed between the ground and 21.98 m a.g.l.. In October 2005, a soil moisture sensor (Campbell Scientific, model CS616) and soil temperature sensor (Campbell Scientific, model CS107) were installed horizontally at a depth of 5 cm within 15 m of the US-NR1 tower. Prior to deployment, the CS107 thermistor was calibrated against a NIST-standard temperature sensor at the National Center for Atmospheric Research (NCAR) Integrated Surface Flux System (ISFS)
- calibration facility. These sensors were incorporated in the US-NR1 dataset starting in January 2006. Prior to this, an average of 5 soil temperature sensors (REBS, model STP-1) and 8 soil moisture sensors (Campbell Scientific, model CS615) were used to determine the soil properties. The CS615 sensors were inserted into the soil at a 45° angle providing an average moisture content over the upper 15 cm of the soil. Soil heat flux (*G*_{plate}) was measured with 4–5 soil heat flux plates (REBS, model HFT-1) dispersed near the tower at a depth of 8–10 cm.
- Additional information related to the diel cycle was provided by estimating the top-of-the-atmosphere incoming solar radiation $(Q_{SW}^{\downarrow})_{TOA}$. The sun position was calculated for the US-NR1 tower latitude and longitude with the SEA-MAT Air-Sea toolbox (Woods Hole Oceanographic Institution, 2013) which uses algorithms based on the 1978 edition of the Almanac for Computers (Nautical Almanac Office, U. S. Naval Observatory).
- Heat-pulse sap flow sensors were installed in the three dominant tree species (spruce, pine, and fir) near the US-NR1 tower during the summers of 2004, 2006 and 2007. Further details about the instrumentation and methods used are in Moore et al. (2008) and Hu et al. (2010b). In general, the pine and spruce trees make the largest contribution to transpiration and empirical relationships
- 900 between transpiration and VPD from the summer of 2006 determined by Hu et al. (2010b) are shown in Fig. 11a2. For our study, we selected sensors for each summer from different pine and spruce trees that had similar year-to-year values of sap flow. To track relative changes in transpiration, we normalized the sap flow measurements using the maximum sap flow over the diel cycle in dDry conditions as shown for the pine trees in Fig. 9e. Here, we observed that the mid-day transpiration
- 905 rate for pine trees on wDry days was about 20% lower than that of dDry days. For spruce trees, the mid-day transpiration rate on wDry days was very similar to that of dDry days (results not shown). Leaf wetness was measured just below canopy-top with a horizontally-oriented resistive-grid type wetness sensor (Campbell Scientific, model 237) between 1 July of 1999 to the present day. The output from the sensor has been normalized so that a value of zero corresponds to dry conditions
- 910 while a value of one corresponds to completely wet conditions. Values between 0 and 1 correspond to "slightly wet" conditions.

Precipitation was measured on the US-NR1 tower at 11.5 m (canopy top) with a tipping bucket rain gauge (Campbell Scientific, Met One Model 385) starting in late summer of 1999. Two nearby precipitation-measurement sites were used to check the Met One data quality and for gap-filling. One

915 station was part of the U.S. Climate Reference Network (USCRN; Diamond et al., 2013) (site: CO

Boulder 14 W, Mountain Research Station, Hills Mill) located about 700 m northeast of US-NR1. These measurements started in 2004 using a Geonor T-200B precipitation gauge with a Small Double Fence Intercomparison Reference (SDFIR) type of wind shield around the gauge. The second precipitation site was site is operated by the Niwot Ridge Long Term Ecological Research (LTER)

- 920 Mountain Climate Program who used where both a Geonor T-200B gauge (unshielded) and, for the longer-term record dating back to 1953, a Belfort precipitation gauge strip-chart recorder for daily precipitation amounts were used (e.g., Greenland, 1989; Williams et al., 1996). The LTER sensors were located about 550 m northeast of the US-NR1 tower. Though in winter the unshielded Met One gauge grossly underestimated total precipitation due to snow blowing by the tipping bucket gauge
- 925 (e.g., Rasmussen et al., 2012), the warm-season cumulative precipitation between the USCRN and Met One gauges were typically within about 20 cm of each other (with a typical mean value of 250 cm). However, starting in summer of 2011, the Met One gauge started showing much greater precipitation amounts which we suspect was due to the "points" which hold the tipping bucket becoming worn and loose (in winter of 2013, the sensor failed completely). Therefore, the precipitation
- 930 data used for the summers of 2011 and 2012 were exclusively from the USCRN sensor. Because the US-NR1 Met One sensor was not installed until late summer of 1999, the LTER Geonor data were used for the 1999 warm season. However, prior to year 2000, only daily precipitation was measured by LTER so hourly precipitation data were not available for 1999 which 1999. This allows for the determination of a wet day in summer 1999, but not examination of the diel cycle of precipitation.
- 935 Based on eight years of precipitation data from a nearby U.S. Climate Reference Network (USCRN) site, April had the most precipitation (with a mean of around 120 mm, almost all falling as snow) followed by July with 90 mm of precipitation (Fig. S1a). April and July were also the months with the largest variability between years and the variations between years were about 50% of the mean value (Fig. S1b). These trends generally agree with the long-term precipitation
- 940 measurements from the LTER C-1 (1953–2012) station where the effect of undercatch by the LTER gauge is noticeable during the winter months.

Carbon dioxide dry mole fraction was measured on the US-NR1 tower with a tunable diode laser (TDL) absorption spectrometer (Campbell Scientific, model TGA100A) as described by Bowling et al. (2005); Schaeffer et al. (2008b). Measurements were made in summer of 2003 and continuously

- 945 from fall of 2005 to the present. For our study, nine TDL inlets between 0.1 and 21.5 m a.g.l. were used to evaluate the CO₂ profile. The precision of TDL CO₂ mole fraction is estimated to be about 0.2 μmol mol⁻¹ (Schaeffer et al., 2008b). The TDL CO₂ data were downloaded on 7 January 2013 from http://biologylabs.utah.edu/bowling/. For calculating the storage term in NEE, an independent CO₂-profile system with a closed-path IRGA (LI-COR, model LI-6251) was used as described in
- 950 Monson et al. (2002). The TDL data were downloaded on-

A2 Soil heat flux and storage terms in the surface energy balance

The storage terms in the surface energy balance are,

$$S_{\text{tot}} = S_H + S_{\text{LE}} + S_{\text{b}} + S_{\text{n}} + J_A, \tag{A1}$$

where S_H and S_{LE} are the sensible and latent heat energy stored in the air space between the

- **955** ground and flux-measurement level, S_b is heat stored in the tree boles, and S_p is heat stored in the tree needles. J_A is the energy consumed by photosynthesis which was estimated by Turnipseed et al. (2002) to be small, so we have neglected it. The tree bole temperatures were measured with thermocouples in each tree species (7 January 2013 from . pine trees, 3 fir trees, and 2 spruce trees) at a nominal depth of 3 cm into the bole and at three vertical heights (near the ground.
- 960 0.5 m, and 1.5 m). The 1.5 m sensors were used to calculate the S_b term (to avoid snowpack effects in winter). Bole temperatures from the summers of 2011 and 2012 had a multiplexer problem, so these years were excluded from the storage term calculation. The needle temperature was estimated using the 8-m air temperature as a proxy for the true needle temperature. The storage terms in Eq. (A1) were all calculated as described by Turnipseed et al. (2002) and interested readers should
- 965 look there for additional details. The individual storage terms are shown over the diel cycle for each precipitation states in Fig. S2b1-b4. S_{tot} was at a maximum during dry conditions with a value near 100 W m^{-2} which corresponds to about 15 % of R_{net} (Fig. S2a1-a4).

The heat flux at the soil surface (G) was calculated from the average soil heat flux from the $\approx 10 \text{ cm}$ deep heat-flux plates combined with the heat storage in the soil above the heat-flux plates 970 S_{soil} (e.g., Oncley et al., 2007),

$$G = G_{\text{plate}} + S_{\text{soil}}.$$
 (A2)

The soil storage term was calculated with,

$$S_{\text{soil}} = C_{\text{soil}} z_p \frac{dT_{\text{soil}}}{dt},\tag{A3}$$

where C_{soil} is the volumetric heat capacity of the soil [J m⁻³ K⁻¹], z_p is the depth of the heat-flux
plates, and T_{soil} is the average temperature of the soil layer above the heat-flux plates. For T_{soil}, the CS107 sensor at a depth of 5 cm was used starting in summer of 2006. If the heat capacity of air within the soil matrix is neglected, then C_{soil} depends on the amount of water within the soil and can be calculated from,

$$C_{\text{soil}} = \rho_{\text{water}} c_{\text{water}} VWC + \rho_{\text{soil.dry}} c_{\text{soil.dry}}, \tag{A4}$$

980 where the density of dry soil ρ_{soildry} was assumed to be 1700 kg m^{-3} with a specific heat capacity c_{soildry} of $900 \text{ J kg}^{-1} \text{ K}^{-1}$. For water, the values of ρ_{water} and c_{water} used were 998 kg m^{-3} and $4182 \text{ J kg}^{-1} \text{ K}^{-1}$, respectively. The volumetric water content VWC of the soil ranged between less than $0.1 \text{ m}^3 \text{ m}^{-3}$ for dry soil to around $0.4 \text{ m}^3 \text{ m}^{-3}$ for saturated soil. At mid-day, the soil storage term was found to be about twice as large as the measured soil heat flux (Fig. S2c1-c4).

985 A3 Updates to US-NR1 AmeriFlux data

The version of the US-NR1 AmeriFlux data used in our study (ver.2011.04.20 for years 1998-2010, ver.2012.03.12 for 2011, and ver.2013.02.28 for 2012) includes a correction for an error in the closed-path IRGA CO_2 flux calculation where a water-vapor correction was applied twice: first, as a sample-by-sample dilution correction and second by including the Webb–Pearman–Leuning

- 990 (WPL) term in the CO₂ flux (e.g., Ibrom et al., 2007). After the error was discovered in Fall of 2010, the CO₂ flux (and NEE) for all years were re-calculated from the raw 10 Hz data with only the dilution correction applied and the updated/fixed data set was released on 20 April 2011 (http://urquell.colorado.edu/data_ameriflux/). Though the point-by-point difference between the correct and incorrect 30 min NEE values appears small, when accumulated over a year, the correctly-
- 995 calculated NEE approximately doubled the annual uptake of CO_2 by the US-NR1 forest. The accumulation of a systematic measurement error over time is a well-known issue in the flux community (Moncrieff et al., 1996). Several side-by-side instrument comparisons by the AmeriFlux QA/QC team (e.g., Schmidt et al., 2012) have found the US-NR1 measurements to be of high quality (and also helped to assess the calculation error of the CO_2 flux).

1000 A4 Time series of measured fluxes

During the discussion portion of the review it was suggested that a time series of the fluxes be provided. Bin-averaging can sometimes produce mis-leading results so we agreed with this suggestion. A time series of the measured fluxes is shown in Fig. S3. This period includes a large rain event between days 188-191. On the day following this rainy period, there was enhanced latent

1005 heat flux (Fig. S3c) which is a characteristic similar to what we found using the bin-averaged data.

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- 1010 Sébastien Biraud and Stephen Chan in 2013; and Christoph Thomas in 2006). Carbon dioxide profile measurements were supported by grants to Dave Bowling from the U.S. Department of Energy (DOE), Office of Science, Office of Biological and Environmental Research, Terrestrial Ecosystem Science Program under Award Numbers DE-SC0005236 and DE-SC001625. We also acknowledge the U.S. Climate Reference Network (USCRN) for collecting high-quality data and creating a database that is accessible and simple. The US-NR1 AmeriFlux
- 1015 site is currently supported by the U.S. DOE, Office of Science through the AmeriFlux Management Project (AMP) at Lawrence Berkeley National Laboratory under Award Number 7094866. The National Center for Atmospheric Research (NCAR) is sponsored by NSF.

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