

1 **Phytoplankton dynamics in contrasting early stage North**
2 **Atlantic spring blooms: composition, succession, and**
3 **potential drivers**

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20 Running head: Spring Bloom Phytoplankton Composition

21

22 **Abstract**

23 The spring bloom is a key annual event in the phenology of pelagic ecosystems, making a
24 major contribution to the oceanic biological carbon pump through the production and export
25 of organic carbon. However, there is little consensus as to the main drivers of spring bloom
26 formation, exacerbated by a lack of in situ observations of the phytoplankton community
27 composition and its evolution during this critical period.

28 We investigated the dynamics of the phytoplankton community structure at two contrasting
29 sites in the Iceland and Norwegian Basins during the early stage (25th March - 25th April) of
30 the 2012 North Atlantic spring bloom. The plankton composition and characteristics of the
31 initial stages of the bloom were markedly different between the two basins. The Iceland
32 Basin (ICB) appeared well mixed to > 400 m, yet surface chlorophyll *a* (0.27-2.2 mg m⁻³) and
33 primary production (0.06-0.66 mmol C m⁻³ d⁻¹) were elevated in the upper 100 m. Although
34 the Norwegian Basin (NWB) had a persistently shallower mixed layer (< 100 m), chlorophyll
35 *a* (0.58-0.93 mg m⁻³) and primary production (0.08-0.15 mmol C m⁻³ d⁻¹) remained lower
36 than in the ICB, with picoplankton (< 2 μm) dominating chlorophyll *a* biomass. The ICB
37 phytoplankton composition appeared primarily driven by the physicochemical environment,
38 with periodic events of increased mixing restricting further increases in biomass. In contrast,
39 the NWB phytoplankton community was potentially limited by physicochemical and/or
40 biological factors such as grazing.

41 Diatoms dominated the ICB, with the genus *Chaetoceros* (1-166 cells mL⁻¹) being succeeded
42 by *Pseudo-nitzschia* (0.2-210 cells mL⁻¹). However, large diatoms (> 10 μm) were virtually
43 absent (< 0.5 cells mL⁻¹) from the NWB, with only small nano-sized (< 5 μm) diatoms (i.e.
44 *Minidiscus* spp.) present (101-600 cells mL⁻¹). We suggest micro-zooplankton grazing,
45 potentially coupled with the lack of a seed population of bloom forming diatoms, was
46 restricting diatom growth in the NWB, and that large diatoms may be absent in NWB spring
47 blooms. Despite both phytoplankton communities being in the early stages of bloom
48 formation, different physicochemical and biological factors controlled bloom formation at the
49 two sites. If these differences in phytoplankton composition persist, the subsequent spring
50 blooms are likely to be significantly different in terms of biogeochemistry and trophic
51 interactions throughout the growth season, with important implications for carbon cycling
52 and organic matter export.

53 **1. Introduction**

54 The spring bloom is a key annual event in the phenology of pelagic ecosystems, where a
55 rapid increase in phytoplankton biomass has a significant influence on upper ocean
56 biogeochemistry and food-availability for higher trophic levels (Townsend et al., 1994;
57 Behrenfeld and Boss, 2014). Spring blooms are particularly prevalent in coastal and high
58 latitude waters. The high levels of phytoplankton biomass and primary production that occur
59 during these blooms, and its subsequent export out of the surface ocean, result in a significant
60 contribution to the biological carbon pump (Townsend et al., 1994; Sanders et al., 2014). The
61 North Atlantic spring bloom is one of the largest blooms on Earth, making a major
62 contribution to the annual export of $\sim 1.3 \text{ Gt C yr}^{-1}$ from the North Atlantic (Sanders et al.,
63 2014). The timing and magnitude of the spring bloom can have a significant biogeochemical
64 impact (Henson et al., 2009); hence it is important to understand both the controls on, and the
65 variability in, bloom timing, magnitude and community structure. Despite its importance,
66 there remains little consensus as to the environmental and ecological conditions required to
67 initiate high latitude spring blooms (Townsend et al., 1994; Behrenfeld, 2010; Taylor and
68 Ferrari, 2011b; Smyth et al., 2014).

69 Phytoplankton blooms occur when growth rates exceeds loss rates (i.e. a sustained period of
70 net growth); phytoplankton growth rate constraints include irradiance, nutrient supply, and
71 temperature, while losses can occur through predation, advection, mixing out of the euphotic
72 zone, sinking and viral attack (Miller, 2003). Therefore, the rapid increase in (net) growth
73 rates during the spring bloom must be due to either an alleviation of those factors
74 constraining growth, a reduction in factors determining losses, or (more likely) some
75 combination of both.

76 The critical depth hypothesis (Sverdrup, 1953), the seminal theory of spring bloom initiation,
77 proposes that there exists a critical depth such that when stratification shoals above this
78 depth, phytoplankton growth will exceed mortality and a bloom will occur. However, this
79 hypothesis has been more recently brought into question as bloom formation has been
80 observed to start earlier than expected (Mahadevan et al., 2012), and in the absence of
81 stratification (Townsend et al., 1992; Eilertsen, 1993). Several new theories have now been
82 developed to explain these occurrences (reviewed in Behrenfeld and Boss, 2014; Fischer et
83 al., 2014; Lindemann and St. John, 2014).

84 Eddies and oceanic fronts have both been identified as sources of stratification prior to the
85 wider onset of seasonal stratification (Taylor and Ferrari, 2011a; Mahadevan et al., 2012).
86 However, they do not explain blooms in the complete absence of stratification, which can
87 instead be explained by the critical turbulence hypothesis (Huisman et al., 1999; Taylor and
88 Ferrari, 2011b; Brody and Lozier, 2014; Smyth et al., 2014). These theories distinguish
89 between a convectively driven actively mixed layer and a density-defined mixed layer such
90 that if convective mixing reduces sufficiently, blooms can occur in the actively mixing layer
91 although the density-defined mixing layer remains deep. Therefore, blooms are able to form
92 in the apparent absence of stratification, as defined by the presence of a thermocline. An
93 alternative to the hypotheses concerning physical controls on bloom formation is the
94 disturbance-recovery hypothesis proposed by Behrenfeld (2010), which suggests that the
95 decoupling of phytoplankton and microzooplankton contact rates in deep winter mixed layers
96 results in phytoplankton net growth from winter onwards due to reduced mortality (via
97 grazing). It is also possible that there are multiple biological and physical controls, acting on
98 different spatial and temporal scales, that drive the heterogeneous bloom distributions
99 observed via remote sensing (e.g. Lindemann and St. John, 2014).

100 Significant interannual and decadal variability in the structure and timing of spring blooms in
101 the North Atlantic has been documented (Henson et al., 2009). Such variability in bloom
102 timing has been attributed to the variation in the winter mixed layer depth (WMLD); a deeper
103 WMLD results in a delayed bloom in the subarctic North Atlantic (Henson et al., 2009). A
104 strong latitudinal trend exists in the North Atlantic where the spring bloom propagates north
105 due to seasonal relief from light limitation at high latitudes (Siegel et al., 2002; Henson et al.,
106 2009). Both the role of the WMLD in interannual variability in bloom timing and the
107 northwards progression of bloom start dates highlight how physical processes have a clear
108 and significant impact on bloom formation. The controls on the variability in bloom
109 magnitude are less certain, although it appears to be a combination of WMLD variability
110 influencing the start date as well as biological factors such as phytoplankton composition and
111 grazing (Henson et al., 2009).

112 Despite considerable discussion on the various factors that may or may not influence bloom
113 initiation, timing, magnitude and phenology, few studies have actually examined the in situ
114 phytoplankton community. Instead, because of the need for temporally resolved data,
115 satellite-derived products and models have been used in much of the previous work on spring

116 blooms. However, such methods cannot address the potential influence of the complex
117 plankton community structure on the development of a spring bloom.

118 The traditional text book view of a phytoplankton spring bloom is that the pre-bloom pico-
119 phytoplankton (cells < 2 μm) dominated community is directly succeeded by a diatom
120 dominated community (Margalef, 1978; Barber and Hiscock, 2006); as conditions become
121 more favourable for growth, a diatom bloom develops, 'suppressing' growth of other
122 phytoplankton groups. Through either increased predation, nutrient stress or a changing
123 physical environment (Margalef, 1978), diatoms decline and are then replaced by other
124 phytoplankton such as dinoflagellates and coccolithophores (Lochte et al., 1993; Leblanc et
125 al., 2009). In this way, a series of phytoplankton functional type successions occur as the
126 spring bloom develops. That diatoms often dominate intense spring blooms is well accepted
127 (Lochte et al., 1993; Rees et al., 1999), however the dynamics of the interplay between
128 diatoms and the rest of the community have been questioned (Barber and Hiscock, 2006).
129 The rapid proliferation of diatoms in a spring bloom does not necessarily suppress other
130 phytoplankton (Lochte et al., 1993; Barber and Hiscock, 2006), and the "rising tide"
131 hypothesis states that instead of succession, the favourable conditions for diatoms also favour
132 other phytoplankton groups and therefore all phytoplankton will respond positively and grow
133 (Barber and Hiscock, 2006). The apparent suppression of the phytoplankton community by
134 diatoms is due to the relatively high intrinsic growth rates of diatoms resulting in
135 concentrations dwarfing the rest of the community. The "rising tide" hypothesis is a
136 contrasting theory to succession, however it may be that the phytoplankton community
137 response will not be universal, with some taxa-specific succession due to competition or
138 increased grazing (Brown et al., 2008). Furthermore, succession may appear to occur if
139 phytoplankton loss rates are taxonomically specific, such that while many phytoplankton
140 groups concurrently grow, successive loss of specific groups occurs.

141 The overall goal of our study was to determine the phytoplankton community structure, and
142 its evolution during the spring bloom in the North Atlantic, linking the community structure
143 to the physical environment and examining whether succession to a diatom dominated
144 environment would occur early in the growth season (March-April). Sampling for this study
145 was carried out as part of the multidisciplinary EuroBASIN "Deep Convection Cruise". The
146 timing and location of this cruise (19th March - 2nd May 2012) was chosen to try and observe
147 the transition from deep winter convection to spring stratification, and examine the physical
148 controls on the dynamics of phytoplankton, carbon export and trophic interactions. A recent

149 study has previously suggested that winter convection in the North Atlantic and Norwegian
150 Sea sustains an overwintering phytoplankton population, thus providing an inoculum for the
151 spring bloom (Backhaus et al., 2003), although this transition has not been explicitly
152 examined before.

153 **2. Methods**

154 **2.1 Sampling**

155 The Deep Convection cruise repeatedly sampled two pelagic locations in the North Atlantic
156 (Fig. 1), sited in the Iceland (ICB, 61.50 °N, 11.00 °W) and Norwegian (NWB, 62.83 °N,
157 2.50 °W) Basins, onboard the R/V *Meteor*. The ICB was visited four times, and the NWB
158 visited three times during the course of the cruise. Samples were collected from multiple
159 casts of a conductivity-temperature-depth (CTD) - Niskin rosette, equipped with a
160 fluorometer, at each station. Water samples for rates of primary production (PP), community
161 structure and ancillary parameters (chlorophyll *a* [Chl *a*], calcite [PIC], particulate silicate
162 [bSiO₂] and macronutrient concentrations) were collected from predawn (02:30-05:00 GMT)
163 casts from six light depths (55 %, 20 %, 14 %, 7 %, 5 % and 1 % of incidental PAR). The
164 depth of 1 % incident irradiance was assumed to equate to the depth of the euphotic zone
165 (e.g. Poulton et al., 2010). Optical depths were determined from a daytime CTD cast on
166 preceding days at each site. Additional samples for coccolithophore community structure and
167 ancillary parameters were collected from a second CTD cast, while samples for detailed size
168 fractionated Chl *a* were collected from a third cast.

169 **2.2 Primary production**

170 Carbon fixation rates were determined using the ¹³C stable isotope method (Legendre and
171 Gosseline, 1996). Water samples (1.2 L) collected from the six irradiance depths were
172 inoculated with 45-46 μmol L⁻¹ ¹³C labelled sodium bicarbonate, representing 1.7-1.8 % of
173 the ambient dissolved inorganic carbon pool. Samples were incubated in an on-deck
174 incubator, chilled with sea surface water, and light depths were replicated using optical filters
175 (Misty-blue and Grey, LEE™). Incubations were terminated after 24 hours by filtration onto
176 pre-ashed (> 400 °C, > 4 hours) Whatman GF/F filters. Acid-labile carbon (PIC) was
177 removed by adding 1-2 drops of 1 % HCl to the filter followed by extensive rinsing with
178 freshly filtered (Fisherbrand MF300, ~0.7 μm pore size) unlabelled seawater. Filters were
179 oven dried (40°C, 8-12 hours) and stored in Millipore PetriSlides™. A parallel 55 % bottle

180 for size fractionated primary production ($< 10 \mu\text{m}$) was incubated alongside the other
181 samples, with the incubation terminated by pre-filtration through $10\text{-}\mu\text{m}$ polycarbonate
182 (Nuclepore™) filters and the filtrate was filtered and processed as above.

183 The isotopic analysis was performed on an Automated Nitrogen and Carbon Analysis prep
184 system with a 20-20 Stable Isotope Analyser (PDZ Europa Scientific Instruments). The ^{13}C -
185 carbon fixation rate was calculated using the equations described in Legendre and Gosseline
186 (1996). The $> 10\text{-}\mu\text{m}$ PP fraction was calculated as the difference between total PP and $< 10\text{-}\mu\text{m}$
187 μm PP.

188 **2.3 Community structure**

189 Water samples for diatom and micro zooplankton counts, collected from predawn cast
190 surface samples (5-15 m), were preserved with acidic Lugol's solution (2 % final solution) in
191 100-mL amber glass bottles. Cells were counted in 50 mL Hydro-Bios chambers using a
192 Brunel SP-95-I inverted microscope (X200; Brunel Microscopes Ltd). Samples for flow
193 cytometry were fixed with glutaraldehyde (0.5 % final solution) and stored at -80°C before
194 being analysed using a FACS Calibur (Beckton Dickinson) flow cytometer (Zubkov et al.,
195 2007).

196 Water samples (0.5-1 L) for coccolithophore cell numbers and species identification were
197 collected from surface samples (5-15 m) onto cellulose nitrate filters ($0.8\text{-}\mu\text{m}$ pore size,
198 Whatman), oven dried and stored in Millipore PetriSlides™. Permanent slides of filter halves
199 were prepared and analysed using polarizing light microscopy following Poulton et al.
200 (2010). Coccolithophores were analysed to species level following Frada et al. (2010). For
201 confirmation of species identification, a subset of filter halves were analysed by scanning
202 electron microscope (SEM) following Daniels et al. (2012). Coccolithophore species were
203 identified according to Young et al. (2003).

204 **2.4 Chlorophyll *a***

205 Water samples (250 mL) for total Chl *a* analysis were filtered onto Fisherbrand MF300
206 filters. Parallel samples were filtered onto polycarbonate filters ($10\text{-}\mu\text{m}$) for $> 10 \mu\text{m}$ Chl *a*.
207 Samples for detailed size fractionated Chl *a*, collected in duplicate from a single depth in the
208 upper water column (12-35 m), were filtered in parallel onto polycarbonate filters of various
209 pore size (2, 10, $20\text{-}\mu\text{m}$) and MF300 filters (effective pore size $0.7 \mu\text{m}$). Filters were
210 extracted in 8 mL of 90 % acetone (Sigma) for 20-24 hours (dark, 4°C). Measurements of

211 Chl *a* fluorescence were analysed on a Turner Designs Trilogy Fluorometer, calibrated using
212 a solid standard and a chlorophyll *a* extract.

213 **2.5 Ancillary parameters**

214 Particulate inorganic carbon (PIC) measurements were made on water samples (500 mL)
215 filtered onto polycarbonate filters (0.8 μm pore-size, Whatman), rinsed with trace ammonium
216 solution (pH \sim 10) and oven-dried (6-8 hours, 30-40 $^{\circ}\text{C}$). The analysis was carried out
217 following Daniels et al. (2012) except that extractions were carried out in 5.0 mL of 0.4 mol
218 L^{-1} nitric acid, erroneously reported as 0.5 mL in Daniels et al. (2012). Particulate silicate
219 (bSiO_2) samples were collected onto polycarbonate filters (0.8 μm pore-size, Whatman),
220 rinsed with trace ammonium solution (pH \sim 10) and oven-dried (6-8 hours, 30-40 $^{\circ}\text{C}$).
221 Digestion of bSiO_2 was carried out in polypropylene tubes using 0.2 mol L^{-1} sodium
222 hydroxide, before being neutralised with 0.2 mol L^{-1} hydrochloric acid (Ragueneau and
223 Tréguer, 1994; Brown et al., 2003). The solutions were analysed using a SEAL QuAAtro
224 autoanalyser and no corrections were made for lithogenic silica. Macronutrients (nitrate,
225 phosphate, silicic acid) concentrations were determined following Sanders et al. (2007) on a
226 Skalar autoanalyser.

227 Samples for total dissolved inorganic carbon (C_T) were drawn into 500 ml borosilicate
228 bottles. No filtering of samples occurred prior to analysis. Samples were stored in the dark
229 and analysed within 12 hours of sampling, thus no poisoning was required. C_T was
230 determined using coulometric titration (Johnson et al., 1987) with a precision of $\leq 2 \mu\text{mol kg}^{-1}$.
231 Measurements were calibrated against certified reference material (CRM, Dickson, 2010).
232 Seawater pH_T was measured using the automated marine pH sensor (AMpS) system as
233 described in Bellerby et al. (2002) modified for discrete mode. This system is an automated
234 spectrophotometric pH sensor that makes dual measurements of thymol blue. The pH_T data
235 used in this study were computed using the total hydrogen ion concentration scale and has a
236 precision of 0.0002 pH_T and an estimated accuracy of better than 0.0025 pH_T units against
237 CRM standards. The measured C_T and pH_T , with associated temperatures and salinity, were
238 input to CO2SYS (Lewis and Wallace, 1998) to calculate saturation state of CaCO_3 using the
239 dissociation constants for carbonic acid of Dickson and Millero (1987), boric acid from
240 Dickson (1990b), sulphuric acid following Dickson (1990a) and the CO_2 solubility
241 coefficients from Weiss (1974).

242 Satellite data on Chl *a*, photosynthetically available radiation (PAR) and sea surface
243 temperature (SST) were obtained from the Aqua Moderate Resolution Image
244 Spectroradiometer (MODIS) as 4 km resolution, 8 day composites. Data were extracted as
245 averaged 3 x 3 pixel grids, centred on the sampling locations. Day length was calculated
246 according to Kirk (1994). The R/V *Meteor* was not fitted with a PAR sensor, thus satellite
247 measurements were the only available source of PAR data.

248 **2.6 Data availability**

249 Data included in the paper are available from the data repository PANGAEA via Daniels and
250 Poulton (2013) for the measurements of primary production, chlorophyll *a*, particulate
251 inorganic carbon and particulate silicate, cell counts of coccolithophores, diatoms and
252 microzooplankton; Esposito and Martin (2013) for measurements of nutrients; Paulsen et al.
253 (2014) for measurements of picoplankton and nanoplankton; and Bellerby (2014) for
254 measurements of the carbonate chemistry.

255 **3. Results**

256 **3.1 General oceanography**

257 The two sites were characterised by very different water column profiles throughout the study
258 period. In the NWB, a pycnocline persisted over the upper 400 m with a variable mixed layer
259 (20 - 100 m, Fig. 2D). In contrast, the ICB appeared well mixed over the upper 400 m when
260 considered over the equivalent density range (Fig. 2A). However, weak unstable stratification
261 was observed in the upper 100 m when examined over a much narrower range in density
262 (Fig. 2A inset).

263 Sea surface temperature (SST) showed little variation at both sites (Table 1), while the ICB
264 (8.6 - 8.9 °C) was consistently warmer than the NWB (6.5 - 7.2 °C). Satellite estimates of
265 SST were colder than in situ measurements and exhibited greater variability (Fig. 3A).
266 However, the general pattern of the ICB being warmer than the NWB was observed from
267 both in situ measurements and satellite derived ones. Sea -surface salinity (SSS), pH_T and Ω_{Ca}
268 were relatively stable throughout the study with total ranges of 35.1 - 35.3, 8.0 - 8.1 and 3.0 -
269 3.2, respectively (Table 1).

270 Initial surface water concentrations of nitrate (NO_3) and phosphate (PO_4) were $\sim 12 \text{ mmol N}$
271 m^{-3} and $\sim 0.7\text{-}0.8 \text{ mmol P m}^{-3}$ at both sites (Table 1). Silicic acid (dSi) was high throughout
272 the study period (mostly $> 4 \text{ mmol Si m}^{-3}$), with slightly higher concentrations in the NWB

273 (5.3 - 5.7 mmol Si m⁻³) than the ICB (< 5 mmol Si m⁻³). Drawdown of 1 mmol m⁻³ of NO₃
274 and dSi occurred in the ICB between the 19th and 27th April, but then returned to previous
275 levels by the 29th April. Nutrient drawdown did not occur in the NWB during the cruise
276 period.

277 Both sites showed a similar trend of increasing daily PAR during the study (Fig. 3B); a
278 twofold increase in the NWB (from 12.3 to 28.4 mol quanta m⁻² d⁻¹) and a slightly smaller
279 increase in the ICB (from 13.5 to 24.3 mol quanta m⁻² d⁻¹). Daily irradiance continued to
280 increase after the cruise finished, peaking around 40 - 45 days later at values in excess of 40
281 mol quanta m⁻² d⁻¹ (Fig. 3B). The general trend of increasing PAR was also reflected in the
282 day length (Fig. 3B). At both sites, the euphotic depth shoaled as the study progressed, from
283 115 m to 50 m in the ICB and from 80 m to 56 m in the NWB (Table 2). However, the
284 euphotic depth again deepened by 36 m between the 3rd and 4th visits to the ICB.

285 For the duration of the cruise until the 27th April (Day 118), surface and euphotic zone
286 integrated particulate silicate (bSiO₂) increased in the ICB, peaking at 0.66 mmol Si m⁻³ and
287 37.1 mmol Si m⁻², respectively (Fig. 4A, Table 2), with a significant decline in bSiO₂ after
288 this date. Lower values of bSiO₂, with little temporal variation, were found in the NWB,
289 although a small increase in surface bSiO₂ was observed between the 14th and 22nd April
290 (from 0.05 to 0.08 mmol Si m⁻³, Fig. 4A). Standing stocks of PIC were less variable than
291 bSiO₂. Highest surface values were observed during the last visit to the NWB (0.20 mmol C
292 m⁻³), while integrated calcite peaked at 11 mmol C m⁻² on the 27th April in the ICB (Table 2).

293 **3.2 Chlorophyll *a***

294 Profiles of CTD fluorescence in the NWB had a relatively consistent structure with high
295 fluorescence in the stratified upper water column (Figs. 2E & 2F). Intra-site variation can be
296 seen in the relative fluorescence values in surface waters, but a consistent increase over time
297 was not observed. Fluorescence profiles in the ICB were more variable (Figs. 2B & 2C),
298 ranging from profiles with high surface fluorescence (10th April, Day 101) to profiles with
299 elevated fluorescence throughout the upper 300 m.

300 Acetone extracted measurements of chlorophyll *a* (Chl *a*) ranged from 0.1 to 2.3 mg m⁻³ with
301 highest values generally in surface waters (5 - 15 m). Surface Chl *a* was variable in the ICB,
302 with the lowest surface values (0.27 - 0.31 mg m⁻³) measured during the first visit (Table 2).
303 Peak Chl *a* values in the ICB occurred on the 10th April (2.2 mg m⁻³), after which Chl *a*
304 declined reaching a low of 0.62 mg m⁻³ by the end of the study (but remaining above initial

305 Chl *a* values). Initial surface Chl *a* values were higher in the NWB (0.58 mg m⁻³) than the
306 ICB, and generally increased throughout the cruise. However, the magnitude of this increase
307 was significantly smaller than in the ICB, peaking at only 0.93 mg m⁻³. Euphotic zone
308 integrated Chl *a* showed a similar pattern to surface Chl *a* across both stations, with highest
309 values on the 10th April (ICB, 146.4 mg m⁻²).

310 Satellite estimates of Chl *a* also showed an increase in Chl *a* at both sites during the cruise
311 (Figs. 3C & 3D), although these values (< 0.4 mg m⁻³) were much lower than measured in
312 situ Chl *a* (Table 2). The large increase in Chl *a* associated with North Atlantic spring blooms
313 occurred between 20 and 30 days after the cruise (Figs. 3C & 3D). Both sites were
314 characterised by two peaks in Chl *a* throughout the year, one in late spring (mid-June) and
315 another in late summer (mid-August). The largest satellite-derived Chl *a* values occurred in
316 the ICB in late spring (1.7 mg m⁻³, Fig. 3C), while in the NWB, peak Chl *a* occurred during
317 the late summer bloom (1.6 mg m⁻³, Fig. 3D).

318 Size fractionated Chl *a* revealed very different communities at the two sites (Table 2 and Fig.
319 5). Initially in the ICB, approximately a quarter of the Chl *a* biomass was derived from the >
320 10 µm fraction (24 - 28 %; Table 2, Fig. 5A). On subsequent visits this increased
321 significantly to 56 - 94 % (Table 2, Fig. 5A). A general trend of an increasing contribution
322 from the > 10 µm fraction was also observed in those samples collected for more detailed
323 size fractionation (Fig. 5C). The detailed size fractionation showed that excluding the first
324 ICB visit where samples were not collected, the > 10 µm fraction was completely dominated
325 by the > 20 µm fraction in the ICB (Fig. 5C). Conversely, the > 10 µm fraction formed only a
326 minor component (< 21 %) of the Chl *a* biomass in the NWB, although the > 10 µm
327 contribution did increase throughout the cruise (Table 2, Fig. 5B). Detailed size fractionation
328 in the NWB showed that the biggest increase in contribution came from the 2-10 µm fraction,
329 increasing from 14 % to 32 % (Fig. 5D), which was due to an increase in the absolute value
330 of 2 - 10 µm Chl *a* (from 0.09 to 0.31 mg m⁻³).

331 **3.3 Primary production**

332 Primary production (PP) in surface waters (5 - 15m) ranged from 0.41 to 4.89 mmol C m⁻³ d⁻¹
333 in this study (Table 2), with PP generally decreasing with depth. Surface PP correlated well
334 with euphotic zone integrated PP ($r = 0.98$, $p < 0.001$, $n = 7$). The largest change in PP
335 occurred in the ICB, between the 26th March and the 10th April, when peak PP rates were
336 observed in both the surface waters (4.89 mmol C m⁻³ d⁻¹) and integrated over the euphotic

337 zone (221.9 mmol C m⁻² d⁻¹, Table 2). Following this peak, PP in the ICB declined, although
338 it generally remained higher than pre-peak PP rates. The > 10 µm PP fraction contributed
339 between 35 - 61 % of the total PP in the ICB. In contrast, the range and maximum rate of PP
340 in the NWB was much lower than the ICB (0.67 - 1.11 mmol C m⁻³ d⁻¹, Table 2) with the >
341 10 µm PP making up a much smaller fraction (< 20 %). However, a clear increase in the > 10
342 µm PP fraction was observed between the 14th April (5 %) and the 25th April (20 %). The
343 general trend in total and size-fractionated PP at both sites reflected that observed in the Chl *a*
344 measurements.

345 **3.4 Community structure**

346 **3.4.1 Community structure – picoplankton and nanoplankton**

347 Flow cytometry identified *Synechococcus*, autotrophic picoeukaryotes and autotrophic
348 nanoplankton (< 10 µm) in relatively high abundance in all samples (Table 3). In general,
349 *Synechococcus* and picoeukaryotes were more abundant in the NWB than the ICB. In the
350 NWB, a contrasting pattern between *Synechococcus*, nanoplankton and picoeukaryotes was
351 observed; while *Synechococcus* and the nanoplankton increased significantly from 2,617 to
352 5,483 cells mL⁻¹ and 484 to 1,384 cells mL⁻¹ respectively, a large decrease in picoeukaryotes
353 was also observed, from 18,016 to 8,456 cells mL⁻¹. A less coherent pattern was observed in
354 the ICB, where peak concentrations of both *Synechococcus* (2,112 cells mL⁻¹) and
355 picoeukaryotes (6,982 cells mL⁻¹) occurred on the 19th April, with a general decline after this
356 date.

357 **3.4.2 Community structure – coccolithophores**

358 The coccolithophore species identified by polarised light microscopy were: *Emiliania*
359 *huxleyi*, *Coccolithus pelagicus*, *Calcidiscus leptoporus*, *Coronosphaera mediterranea* and
360 *Syracosphaera pulchra*. More detailed SEM observations found a number of other species at
361 low cell densities not clearly identified by the light microscope: *Algirosphaera robusta*,
362 *Acanthoica quattrosipina*, *Calciopappus caudatus*, *Gephyrocapsa muelleriae*, *Syracosphaera*
363 *corolla*, *S. marginaporata*, *S. molischii*, *S. nodosa*, *S. ossa* and unidentified *Syracosphaera*
364 spp. Many of these coccolithophore species have cell diameters between 10 and 20 µm, with
365 the notable exceptions of *E. huxleyi*, *G. muelleriae* and the smaller *Syracosphaera* spp.
366 (Young et al., 2003). Two morphotypes of *E. huxleyi* were observed in all samples (A and B)
367 with morphotype A consistently dominant (71 - 100 % of total *E. huxleyi* numbers). The

368 coccolithophore composition at both sites were similar, with *E. huxleyi* generally the most
369 abundant species (4.4 - 28.1 cells mL⁻¹, Table 3) at both sites, while *Coccolithus pelagicus*
370 was present in all samples at relatively low cell densities (0.15 - 2.79 cells mL⁻¹). The NWB
371 was also characterised by the presence of *A. robusta* (2.7 - 12.7 cells mL⁻¹), while *S.*
372 *marginaporata* (0 - 21.3 cells mL⁻¹) was only present in the ICB.

373 A general increase in coccolithophore abundance was observed in the ICB, with a large
374 increase between the 10th and 18th April (7.7 - 42.8 cells mL⁻¹). *Emiliania huxleyi* abundance
375 decreased between the 27th and 29th April (26.7 - 13.2 cells mL⁻¹), but *C. pelagicus* remained
376 relatively constant (0.81 - 0.84 cells mL⁻¹). In the NWB, coccolithophores generally followed
377 the trend of increasing Chl *a* with increases in abundance over time (Table 3). Within the
378 coccolithophore communities, the largest relative increase in species abundance was by *C.*
379 *pelagicus* with a sevenfold increase (0.38 to 2.66 cells mL⁻¹) between the 14th and 22nd of
380 April in the NWB.

381 **3.4.3 Community structure – diatoms and microzooplankton**

382 The diatom taxa identified by light microscopy were: *Chaetoceros*, *Cylindrotheca*,
383 *Dactyliosolen*, *Guinardia*, *Leptocylindrus*, *Navicula*, *Pseudo-nitzschia*, *Rhizosolenia*,
384 *Thalassionema*, and *Thalassiosira*. Whilst samples for diatom counts were collected only
385 once per visit to each station, particulate silicate (bSiO₂) samples were collected from two
386 CTD casts per visit. As the major source of bSiO₂, the significant variability observed in
387 bSiO₂ between the two CTD casts at each visit (Fig. 4A) suggested a temporal variability in
388 the diatom cell abundance not captured in the Lugol's counts. Therefore, diatom abundance
389 counts were supplemented using SEM image based diatom counts from samples collected
390 from those CTDs where Lugol's samples were not collected (Fig. 4B). However, due to the
391 relatively smaller volumes examined by SEM (~ 4.2 mL versus 50 mL), there is a greater
392 inherent error in the counts and as such Lugol's counts were used wherever possible.

393 The diatom community was highly variable in the ICB (Fig. 4). Initially present only in very
394 low abundances (1.3 cells mL⁻¹, Table 3), a peak concentration of 249 cells mL⁻¹ was reached
395 15 days later on the 10th April (Day 101). The population then decreased over the rest of the
396 study, down to 88 cells mL⁻¹, but remained above initial levels. A shift in composition was
397 observed after the population peaked, from a *Chaetoceros* dominated community (67 - 71 %)
398 on the 7th to 10th April (Days 98 to 101) to one dominated by *Pseudo-nitzschia* (65 - 73 %,
399 Fig. 4B) on the 27th to 29th April (Days 118 to 120). Diatoms were virtually absent from light

400 microscope measurements of the NWB, reaching a maximum of only 0.5 cells mL⁻¹ (Table
401 3).

402 The main microzooplankton groups present were planktonic ciliates and small (~5 - 10 μm)
403 naked dinoflagellates (e.g. *Gyrodinium* and *Gymnodinium*). Microzooplankton concentrations
404 were ~ 4 times higher in the NWB (10.8 - 17.6 cells mL⁻¹, Table 3) than in the ICB (2.5 - 4.7
405 cells mL⁻¹, Table 3). Dinoflagellates initially dominated in the NWB (8.5 cells mL⁻¹), but were
406 succeeded by ciliates (11.9 - 12.9 cells mL⁻¹). Both dinoflagellates and ciliates were present
407 in similar concentrations in the ICB, except for the final visit, when dinoflagellates
408 dominated (4.2 cells mL⁻¹).

409 **4. Discussion**

410 **4.1 Time series or mixing?**

411 The dynamic nature of the ocean causes inherent difficulties in interpreting data collected
412 from fixed-point, Eulerian time-series, such as those in this study. The distribution of
413 phytoplankton in the ocean exhibits significant heterogeneity, which can be driven by
414 mesoscale physical processes (Martin, 2005). Therefore, Eulerian time-series are vulnerable
415 to advection such that instead of repeatedly sampling the same phytoplankton community,
416 each sample is potentially from a different population, possibly with a different composition.
417 Before examining the development of the phytoplankton community, it is therefore necessary
418 to consider the physicochemical environment. Eddies and other mesoscale features would
419 potentially cause significant variations in measured SST, SSS, nutrients and carbonate
420 chemistry. With the possible exception of the nutrient concentrations, which will also be
421 affected by the biology present, the measured physicochemical parameters were stable
422 throughout the study period (Table 1). Therefore, although we cannot rule out the influence
423 of mesoscale features and advection during the study, the relative consistency of the sampled
424 physicochemical environment suggests that the community structure is representative of the
425 location, rather than from multiple eddies, and thus we can examine how the community
426 developed during the cruise and compare between two geographically separated sites.

427 **4.2 Drivers of the phytoplankton bloom**

428 Density profiles in the Iceland Basin (ICB) were seemingly indicative of a well-mixed water
429 column (Fig. 2A), yet elevated fluorescence in the upper 100 m of the water column suggests
430 that phytoplankton cells were not being evenly mixed throughout the water column (Fig. 2B).

431 A detailed examination of the upper 100 m found small changes in the density profiles (Fig.
432 2A inset), corresponding to the elevated fluorescence, however the change in density with
433 respective to depth was smaller ($\Delta\sigma_t < 0.025$ over 1 m) than most metrics used to identify
434 mixed layers (e.g. Kara et al., 2000). Elevated fluorescence with only minimal stratification is
435 consistent with the critical turbulence hypothesis (Huisman et al., 1999); here it is likely that
436 active mixing had ceased, allowing phytoplankton net growth, while the response of the
437 physical environment was slower than the biological response, and stratification was only just
438 beginning to develop.

439 Although ICB upper water column fluorescence was elevated throughout the study, there was
440 significant variation in the magnitude and structure of the fluorescence profiles (Figs. 3B &
441 3C), as well as a peak and decline in surface chlorophyll *a* (Chl *a*) and primary production
442 (PP). The general theory of bloom formation is that once conditions are favourable for bloom
443 formation, the pre-bloom winter ecosystem will transition into a blooming ecosystem,
444 identifiable by increasing Chl *a* biomass and PP. However, we did not observe this smooth
445 transition. Instead we observed periods of stability, characterised by increased stratification,
446 Chl *a* and PP, followed by periods of instability where increased mixing weakened the
447 developing stratification. Increased mixing detrains phytoplankton out of the surface waters,
448 reducing both Chl *a* biomass and PP, and exporting them to depth (Giering et al., *in review*).
449 One such mixing event occurred between the 27th and 29th April (Days 118 and 120), where
450 minor stratification ($\Delta\sigma_t = 0.019$) disappeared ($\Delta\sigma_t < 0.001$) over the upper 25 m, surface Chl
451 *a* halved from 1.18 mg m^{-3} to 0.62 mg m^{-3} , and the fluorescence profile became well-mixed
452 (Fig. 2C). Furthermore, surface nutrients were replenished (Table 1), all of which are
453 indicative of a mixing event.

454 The transition period from winter to spring was also observed in satellite data from the ICB.
455 Bloom metrics (Siegel et al., 2002; Henson et al., 2009) of satellite Chl *a* estimate that the
456 main spring bloom did not begin until ~ 20 days after our study period (dashed line in Fig.
457 3C). However, there was a significant increase ($r = 0.99$, $p < 0.015$, $n = 4$) in Chl *a* during
458 the study period (Fig. 3C inset), consistent with our in situ observations, that suggests that
459 while the environment was not yet stable enough for sustained and rapid phytoplankton
460 growth, intermittent net phytoplankton growth did occur. Therefore, we suggest that the early
461 stages of a spring bloom are characterised by periods of instability and net growth, and that
462 rather than a single smooth transition into a bloom, for a period of weeks prior to the main
463 spring bloom event, phytoplankton form temporary mini-blooms during transient periods of

464 stability. The export flux from these pre-bloom communities is a potentially significant food
465 source to the mesopelagic (Giering et al., *in review*).

466 In contrast to the instability of the ICB, the Norwegian Basin (NWB) was relatively stable
467 with a strong and persistent pycnocline (Fig. 2D), as well as elevated fluorescence in the
468 upper mixed layer (Fig. 2E). However, a variable mixed layer that did not consistently
469 shallow in the NWB (Fig. 2D) suggests variability in the strength of the physical forcing, that
470 may explain why although Chl *a* and PP increased throughout the cruise, they remained
471 below that observed in the ICB during the study period (Table 2). Furthermore, the net
472 community growth rate (Chl *a* derived, μ_{Chl}), was relatively low (0.02 d^{-1}), suggesting that as
473 was the case for the ICB, the main spring bloom was yet to start. This was also confirmed
474 from the satellite Chl *a*, which showed a very similar pattern to the ICB: although Chl *a*
475 increased during our study period (Fig. 3D inset), the main bloom did not start until ~ 20 days
476 later (Fig. 3D). Therefore despite very different physical environments, the two sites both
477 represented early stages in the development of spring blooms.

478 Unlike the ICB, the factors limiting bloom formation in the NWB cannot easily be attributed
479 to the physicochemical environment. A switch from negative to positive net heat flux has
480 been linked to spring bloom formation (Taylor and Ferrari, 2011b; Smyth et al., 2014), but
481 here the net heat flux was negative for the majority of the study at both sites (C. Lindemann,
482 pers. comm., Giering et al., *in review*). Irradiance is a key driver of phytoplankton growth and
483 bloom formation; the main spring bloom did not occur until daily PAR reached its seasonal
484 maximum of $45 \text{ mol photons m}^{-2} \text{ d}^{-1}$ (Figs. 3B, 3C & 3D). The general increase in daily PAR
485 over our study period was coupled with an increase in Chl *a* and PP in the NWB, suggesting
486 that despite a stratified environment, irradiance was an important driving factor. Although the
487 magnitude of the daily flux of PAR at both sites was similar, Chl *a* and PP were higher in the
488 less stable ICB than the NWB, suggesting that irradiance was not the only driver of the NWB
489 phytoplankton community. Irradiance levels can also have a secondary influence on the
490 requirements for phytoplankton growth. While macronutrients were replete at both sites, we
491 did not measure micronutrients such as iron (Fe). The cellular Fe demand increases in low
492 light conditions (Moore et al., 2006), and as such Fe may be limiting at this early stage of
493 bloom formation in the Norwegian Basin. However, without measurements of Fe (or
494 phytoplankton photophysiology), we cannot directly test this hypothesis. Although
495 temperature limits phytoplankton gross growth rates (Eppley, 1972), the relatively small

496 difference in temperature between the NWB and the ICB (~1.5 - 2.5 °C) is unlikely to have a
497 significant impact on gross growth rates (Eppley, 1972).

498 Besides physicochemical drivers of bloom formation, the plankton community itself can play
499 a large role in the development and formation of a bloom. Physiological parameters such as
500 net growth rates (μ_{Chl}) and 'assimilation efficiency' (i.e. PP normalised to biomass, in this
501 case Chl *a*) can provide an insight into the state of the phytoplankton community. The NWB
502 community had a noticeably lower assimilation efficiency (13.5 - 15.8 g C [g Chl *a*]⁻¹ d⁻¹)
503 than that in the ICB (15.7 - 27.0 g C [g Chl *a*]⁻¹ d⁻¹), thus the relative increase in biomass in
504 the NWB was slower, as reflected in the growth rates where the maximum estimated (net)
505 growth rate in the NWB ($\mu_{\text{Chl}} = 0.05$ d⁻¹) was much lower than in the ICB ($\mu_{\text{Chl}} = 0.22$ d⁻¹).
506 Assimilation efficiency varies with both environmental conditions and species composition,
507 and therefore the composition of the phytoplankton community is likely to be another key
508 driver behind the contrasting phytoplankton dynamics observed in the ICB and NWB.

509 **4.3 Overall community composition**

510 The contrasting structures of Chl *a* and PP size fractions observed at the two sites (Fig. 5,
511 Table 2), were reflected in the contrasting composition of the phytoplankton communities
512 (Table 3). In the ICB, a change in dominance in both Chl *a* and PP, from < 10 µm to the > 10
513 µm fraction, occurred as the diatom abundance increased between the 26th March and the 7th
514 April. An increase in the abundance of the < 10 µm community was also observed during this
515 period, composed mainly of < 2 µm *Synechococcus* and pico-eukaryotes (Table 3, Fig. 5C).
516 However, with most of the diatom population having cells > 20 µm (Fig. 5C), their relatively
517 large size allowed the diatoms to dominate both the Chl *a* and PP while remaining
518 numerically inferior. The decline in total Chl *a* and PP later in our study was reflected by a
519 decreasing abundance of most of the phytoplankton community (Table 3). However, the
520 relative decrease of pico-phytoplankton (*Synechococcus* and picoeukaryotes) was greater
521 than that of the diatoms, such that the > 10 µm fraction increased its dominance for both Chl
522 *a* (94 %) and PP (61 %). Therefore, although surface Chl *a* and PP declined after the 'mini-
523 bloom event' which peaked around the 10th April, the community structure did not return to a
524 pre-bloom composition, but instead remained dominated by diatoms.

525 Interestingly, the phytoplankton response to the increased diatom abundance was not
526 uniform, with the nanoplankton abundance decreasing and *Synechococcus* increasing only
527 after the peak in diatom abundance. Thus, we observed that the phytoplankton community

528 response during the spring bloom was not universal across functional types as has been
529 previously observed elsewhere (Brown et al., 2008).

530 In contrast to the ICB, a large shift in the NWB community was not observed. Pico-
531 eukaryotes dominated both in terms of abundance (Table 3) and Chl *a*, through the < 2 μm
532 fraction (Fig. 5D). This is consistent with previous observations of early stage spring blooms
533 (Joint et al., 1993). Although the < 2 μm Chl *a* fraction showed little variation throughout the
534 study (0.45 - 0.58 mg m^{-3}), variation in the < 2 μm phytoplankton composition did occur,
535 with an apparent succession from pico-eukaryotes to *Synechococcus* and nanoplankton. This
536 may represent a community shift early in development of the spring bloom or may
537 demonstrate the inherent variability within pre-bloom communities.

538 The increase in total Chl *a* in the NWB was driven primarily by the 2 to 10 μm fraction,
539 which was likely composed of the nanoplankton, which itself had a threefold increase in
540 population size (from 484 to 1384 cells mL^{-1} , Table 3). The phytoplankton responsible for the
541 observed increase in the >10 μm Chl *a* and PP fraction cannot be confidently determined;
542 large diatoms were absent and thus could not contribute. The microzooplankton population
543 consisted of ciliates and dinoflagellates (*Gyrodinium* and *Gymnodinium*), both of which have
544 been reported to be mixotrophic (Putt, 1990; Stoecker, 1999), and thus could potentially have
545 contributed to the Chl *a* measurements. Furthermore, it is possible that part of the
546 nanoplankton community, as measured by flow cytometry, was > 10 μm and thus the
547 increasing concentration of nanoplankton could also contribute to the increase in the > 10 μm
548 fraction.

549 **4.4 Relative independence of the coccolithophore community**

550 The traditional view on the seasonality of coccolithophores is that they succeed the diatom
551 spring bloom, forming coccolithophore blooms in late summer. However, here we observed a
552 typical North Atlantic community of coccolithophores (Savidge et al., 1995; Dale et al.,
553 1999; Poulton et al., 2010), growing alongside the ICB diatom bloom, rather than just
554 succeeding the diatoms. This is consistent with the “rising tide” hypothesis of Barber and
555 Hiscock (2006), as well as observations from both in situ (Leblanc et al., 2009) and satellite
556 measurements (Hopkins et al., in review) suggesting that coccolithophores are present in
557 North Atlantic spring blooms. Despite the contrasting environment and overall community
558 structure of the NWB, the coccolithophore dynamics were similar, appearing independent of
559 the overall community dynamics. Species-specific growth rates of coccolithophores

560 (calculated from changes in cell concentration) found that *E. huxleyi* had the same net growth
561 rate at both sites ($\mu = 0.06 \text{ d}^{-1}$), while the net growth rate of *C. pelagicus* was comparable to
562 *E. huxleyi* in the ICB, but was slightly higher in the NWB ($\mu = 0.13 \text{ d}^{-1}$). Culture experiments
563 of *E. huxleyi* and *C. pelagicus* have found comparable gross growth rates at temperatures
564 below $10 \text{ }^{\circ}\text{C}$ (Daniels et al., 2014), and our in situ observations support this conclusion. That
565 *C. pelagicus* has higher net growth rates could also be indicative of higher grazing on the
566 relatively smaller *E. huxleyi* (Daniels et al., 2014).

567 **4.5 Contrasting patterns of diatoms**

568 The diatom bloom in the ICB, which began between the 26th March (Day 86) and the 7th
569 April (Day 98), was initially dominated by *Chaetoceros* (71 - 67 % of total cell numbers, Fig.
570 4B). As the community developed however, *Pseudo-nitzschia* succeeded as the dominant
571 diatom genera (65 - 73 % of total). Both *Chaetoceros* and *Pseudo-nitzschia* are common
572 spring bloom diatoms (Sieracki et al., 1993; Rees et al., 1999; Brown et al., 2003), with
573 *Chaetoceros* often dominant in the earlier stages of North Atlantic spring blooms (Sieracki et
574 al., 1993; Rees et al., 1999). Resting spores of *Chaetoceros* have also been observed to
575 dominate the export flux out of the Iceland Basin during the North Atlantic spring bloom in
576 May 2008 (Ryner et al., 2013), suggesting dominance of the spring bloom prior to this
577 period, consistent with the early community observed in our study.

578 *Pseudo-nitzschia* (previously identified as *Nitzschia* in other studies), tends to dominate later
579 in the spring bloom (Sieracki et al., 1993; Moore et al., 2005), also consistent with this study.
580 This suggests that as a genera, *Chaetoceros* are either able to adapt more quickly than
581 *Pseudo-nitzschia*, or that they have a wider niche of growing conditions through a large
582 diversity of species. However, once established, *Pseudo-nitzschia* are able to outcompete
583 *Chaetoceros*, resulting in a community shift. That the succession of the diatom community
584 observed in the ICB is consistent with that expected in the main diatom spring bloom,
585 suggests that a mini-diatom bloom occurred prior to the formation of the main spring bloom.

586 The observed variability in the relationship between diatoms (the main source of bSiO_2) and
587 bSiO_2 was likely due to the species-specific variability in the cellular bSiO_2 content of
588 diatoms (Baines et al., 2010). The abundance of *Pseudo-nitzschia*, rather than *Chaetoceros*,
589 best explained the trend in bSiO_2 ($r = 0.92$, $p < 0.001$, $n = 8$), suggesting that *Pseudo-*
590 *nitzschia* was the major producer of bSiO_2 . Previously *Chaetoceros* has been observed as the

591 major exporter of bSiO₂ in the Iceland Basin (Ryner et al., 2013). Here, as the major
592 producer of bSiO₂, *Pseudo-nitzschia* has the potential to also be the major exporter of bSiO₂.

593 In contrast to the ICB, diatoms appeared to be virtually absent (< 0.5 cells mL⁻¹) in the NWB.
594 While the dSi:NO₃ ratio was below the 1:1 requirement for diatoms, consistent with previous
595 studies of North Atlantic blooms (Leblanc et al., 2009), dSi did not become depleted (always
596 above 5 mmol Si m⁻³, Table 1) and thus was not limiting. Furthermore, significant and
597 increasing concentrations of particulate silicate (bSiO₂) were measured throughout the cruise
598 (Fig. 4A). As the main source of bSiO₂, diatoms would therefore be expected to be present.
599 Although absent in the Lugol's counts, examination of SEM images found significant
600 numbers (101 - 600 cells mL⁻¹) of small (< 5 μm) diatoms (predominantly *Minidiscus* spp.)
601 that were too small to be identified by light microscopy. However, they may still constitute
602 an important component of the nanoplankton, as measured by flow cytometry. As a result of
603 their small cell size, nano-sized diatoms, such as *Minidiscus*, are easily missed when
604 identifying and enumerating the phytoplankton community, and as such their potential
605 biogeochemical importance may be greatly underestimated (Hinz et al., 2012). Other nano-
606 sized diatom species have been observed as major components of the phytoplankton
607 community on the Patagonian Shelf (Poulton et al., 2013), in the Scotia Sea (Hinz et al.,
608 2012), the northeast Atlantic (Boyd and Newton, 1995; Savidge et al., 1995) and in the
609 Norwegian Sea (Dale et al., 1999).

610 The *Minidiscus* spp. observed in this study exhibited a significant increase in population size
611 during the study, from initial concentrations of 100 to 200 cells mL⁻¹, then up to 600 cells
612 mL⁻¹ by the end of the study, and correlating well with both bSiO₂ ($r = 0.93$, $p < 0.01$, $n = 6$),
613 and Chl *a* ($r = 0.93$, $p < 0.01$, $n = 6$). Furthermore, the increasing concentration of
614 *Minidiscus* corresponded to the increase in the 2 to 10 μm Chl *a* size fraction (Fig. 5D). The
615 maximum net growth rate of *Minidiscus*, estimated from changes in cell abundances ($\mu =$
616 0.13 d⁻¹), was significantly higher than that calculated for the total community using Chl *a*
617 ($\mu_{\text{Chl}} = 0.05$ d⁻¹). While different methods were used to determine these growth rates, it does
618 suggest that conditions were favourable for the small nano-sized diatoms to grow more
619 rapidly than the bulk community.

620 The question therefore remains as to why the larger (> 10 μm) diatoms were virtually absent
621 in an environment that is physically stable and nutrient replete, while small diatoms were able
622 to thrive? The fate and ecology of overwintering oceanic diatoms is poorly understood. Many
623 diatom species, both neritic and pelagic, are capable of forming resting stages that sink post

624 bloom (Smetacek, 1985; Rynearson et al., 2013), yet diatoms must be present in spring when
625 the diatom bloom begins. Therefore, either a diatom population is sustained in the upper
626 water column over winter (Backhaus et al., 2003), or the spring diatom community is sourced
627 from elsewhere (horizontally or vertically). In relatively shallow coastal environments,
628 benthic resting stages overwinter until spring when they are remixed up into the water
629 column, providing the seed population for the spring bloom (McQuoid and Godhe, 2004). It
630 is unlikely that oceanic diatom blooms are seeded from the sediment, as the depths are far too
631 great for remixing. However, viable diatom cells have been observed suspended at depth (>
632 1000 m) in the ocean (Smetacek, 1985), and it is possible that these suspended deep
633 populations are remixed to seed the spring bloom. An alternative hypothesis is based on the
634 observation that diatom blooms generally occur first in coastal waters before progressing to
635 the open ocean (Smetacek, 1985), suggesting that coastal diatom populations are horizontally
636 advected into pelagic waters, thus seeding the spring bloom in the open ocean from shelf
637 waters. The location of the source coastal populations, and their transit time to the open ocean
638 location, would then affect the timing of the diatom blooms

639 With such low concentrations of > 10 μm diatoms (< 0.5 cells mL^{-1}) in the NWB, it is
640 possible that the overwintering diatom population was too small to seed the spring bloom.
641 Grazing pressure by microzooplankton and mesozooplankton may influence the composition
642 and timing of the onset of the spring bloom (Behrenfeld and Boss, 2014). The potential
643 grazing pressure from the significant microzooplankton population (10.8 - 17.6 cells mL^{-1}) in
644 the NWB may have exerted such a control on the observed diatom population that it could
645 not develop into a diatom bloom. Instead an alternative seed population of diatoms may be
646 required to overcome the grazing pressure and initiate the diatom bloom in the NWB.
647 Whether the absence of large diatoms is a regular occurrence in the NWB, or whether inter-
648 annual shifts between small and large diatoms occur, as observed in the northeast Atlantic
649 (Boyd and Newton, 1995), will have significant implications for export and the functioning
650 of the biological carbon pump. The absence of larger diatoms in pelagic spring blooms in the
651 Norwegian Sea has also been observed by Dale et al. (1999), and it may be that large diatoms
652 are completely absent from the pelagic south east Norwegian Sea. The lack of large diatoms
653 in the NWB could explain the seasonal profile of satellite Chl *a* (Fig. 3D); with no large
654 diatoms present, the spring bloom is less intense, peaking at only ~60 % of the Chl *a*
655 concentration found in the ICB.

656 Clearly, further work is required to examine why large diatoms are absent from the initial
657 stages of the spring bloom in the NWB, and whether they ever become abundant in this
658 region.

659 **5. Conclusions**

660 During March-May 2012, satellite and in situ data from study sites in the Iceland Basin (ICB)
661 and the Norwegian Basin (NWB) suggested that despite very different physical
662 environments, the two sites both represented early stages in the development of the North
663 Atlantic spring bloom. Spring bloom initiation in the ICB was limited by the physical
664 environment, with periods of increased mixing inhibiting bloom formation. The
665 physicochemical environment alone was not limiting bloom formation in the NWB as, in
666 spite of a stable stratified water column and ample nutrients, Chl *a* biomass and primary
667 production were relatively low. Phytoplankton efficiency (Chl *a* -normalised primary
668 production) was also lower in the NWB, suggesting that the phytoplankton community
669 composition and/or physiology was also a limiting factor in bloom formation.

670 The phytoplankton community in the NWB was dominated by the < 2 μm Chl *a* fraction,
671 with high concentrations of pico-eukaryotes ($\sim 18,000$ cells mL^{-1}) succeeded by
672 *Synechococcus* and nanoplankton. In contrast, although the initial dominance of the < 10 μm
673 Chl *a* fraction (pico-eukaryotes and nanoplankton) was succeeded by diatoms dominating in
674 the > 10 μm Chl *a* fraction, the ICB phytoplankton community generally followed the “rising
675 tide” hypothesis, with most of the community positively responding to the onset of the
676 diatom bloom. Interestingly, coccolithophore dynamics were similar at both sites,
677 independent of the overall community, with similar concentrations of the main species
678 *Emiliania huxleyi* and *Coccolithus pelagicus*.

679 In terms of the diatom community, *Chaetoceros* initially dominated the ICB diatom bloom,
680 but was replaced by *Pseudo-nitzschia* as the bloom progressed, suggesting *Chaetoceros* as a
681 key species in diatom bloom formation, while *Pseudo-nitzschia* was the major source of
682 particulate silicate (bSiO_2). The lack of large (> 10 μm) bloom forming diatoms in the NWB,
683 while small (< 5 μm) diatoms were present in high numbers (101 - 600 cells mL^{-1}), suggests
684 that micro-zooplankton grazing, coupled with a potential lack of a seed population, was
685 restricting diatom growth in the NWB, or that large diatoms are absent in NWB spring
686 blooms.

687 These results suggest that despite both phytoplankton communities being in the early stage of
688 bloom formation and exhibiting positive net growth rates, different physicochemical and
689 biological factors control bloom formation with the resulting blooms likely to be significantly
690 different in terms of biogeochemistry and trophic interactions throughout the growth season.
691 Clearly, more in situ studies are needed in the transitional period between winter and the peak
692 productivity of the spring bloom to examine compositional differences, growth and mortality
693 factors, and how regional variability impacts on upper ocean biogeochemistry and deep-sea
694 fluxes of organic material. Coupled studies of satellite derived products, including bloom
695 phenology and phytoplankton physiology, and in situ processes are needed to examine the
696 full spectrum of factors forming the spring bloom.

697 **Acknowledgements**

698 The 'Deep Convection' cruise was funded by the Deutsche Forschungsgemeinschaft in a grant
699 to M.S.J with financial support for this research from the EU Framework 7 EuroBASIN
700 (EUROpean Basin-scale Analysis, Synthesis & Integration) project. C.J.D had additional
701 financial support from the UK Natural Environmental Research Council, via a Studentship,
702 A.J.P and A.P.M were also supported by NERC National Capability funding. We thank the
703 officers and crew of the R/V Meteor; Gisle Nondal, Emanuele Reggiani, Emil Jeansson and
704 Tor de Lange for the measurements of carbonate chemistry parameters; Theresa Reichelt for
705 running and processing the CTD data; Mark Stinchcombe for bSiO₂ and nutrient
706 measurements; Darryl Green and Matt Cooper for ICP-OES analyses; Stuart Painter, Keith
707 Davidson and Sharon McNeill for ¹³C and POC analyses; and Jason Hopkins for assistance
708 with satellite data. Further thanks go to Stephanie Henson for her helpful comments on an
709 early version of the paper. MODIS Aqua data were obtained from the NASA Ocean Color
710 distributed archive (<http://oceancolor.gsfc.nasa.gov/>).

711

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- 914

1 **Table 1:** Physicochemical features of the Iceland Basin and Norwegian Basin stations: SST, sea surface temperature; SSS, sea surface salinity;
 2 CT, dissolved inorganic carbon; Ω_C , calcite saturation state; NO₃, nitrate; PO₄, phosphate; dSi, silicic acid.

3

Location	Sta.	Date	Day of Year	SST (°C)	SSS	Carbonate Chemistry			Surface Macronutrients (mmol m ⁻³)		
						C _T (μmol m ⁻³)	pH _T	Ω_C	NO ₃	PO ₄	dSi
Iceland Basin	1	25 Mar	85	8.7	35.3	2149	8.0	3.1	12.3	0.79	4.7
	1	26 Mar	86	8.7	35.3	2148	8.0	3.1	12.6	0.81	4.7
	2	7 Apr	98	8.7	35.3	2140	8.0	3.1	12.4	0.81	4.5
	2	10 Apr	101	8.7	35.3	2139	8.1	3.2	11.5	0.75	4.3
	3	18 Apr	109	8.8	35.3	2144	8.1	3.2	11.6	0.79	4.3
	3	19 Apr	110	8.7	35.3	2150	8.1	3.2	11.9	0.76	4.1
	4	27 Apr	118	8.9	35.3	2135	8.1	3.2	10.7	0.70	3.1
	4	29 Apr	120	8.6	35.3	2148	-	-	12.0	0.80	4.2
Norwegian Basin	1	30 Mar	90	7.0	35.2	2142	8.1	3.0	12.1	0.67	5.3
	1	31 Mar	91	7.1	35.2	2161	8.1	3.0	12.5	0.81	5.4
	2	12 Apr	103	7.2	35.2	2153	8.1	3.0	13.4	0.84	5.6
	2	14 Apr	105	6.9	35.2	2152	8.1	3.0	13.5	0.82	5.6
	3	22 Apr	113	6.5	35.1	2150	8.1	3.0	12.2	0.79	5.7
	3	25 Apr	116	6.8	35.2	2143	8.1	3.0	12.5	0.82	5.7

4

5 **Table 2:** Biological features of the Iceland Basin and Norwegian Basin stations: Chl *a*, chlorophyll *a*; PP, primary production; bSiO₂, particulate
6 silicate; PIC, particulate inorganic carbon.

7

Location	Sta.	Date	Day of Year	Surface Chl <i>a</i> (mg m ⁻³)	Surface PP (mmol C m ⁻³ d ⁻¹)	Surface size fractions		Euphotic zone depth (m)	Euphotic zone integrals			
						>10 μm Chl <i>a</i> (%)	>10 μm PP (%)		Chl <i>a</i> (mg m ⁻²)	bSiO ₂ (mmol Si m ⁻²)	PIC (mmol C m ⁻²)	PP (mmol C m ⁻² d ⁻¹)
Iceland Basin	1	25 Mar	85	0.27		28		115	22.3	8.3	7.7	
	1	26 Mar	86	0.31	0.41	24	35	115	26.5	2.5	4.5	22.2
	2	7 Apr	98	1.13		80		72	61.4	8.7	8.7	
	2	10 Apr	101	2.18	4.89	84	61	72	146.4	19.6	6.9	221.9
	3	18 Apr	109	1.01		56		50	49.2	13.4	6.5	
	3	19 Apr	110	1.15	2.11	67	40	50	55.6	15.4	5.8	58.0
	4	27 Apr	118	1.18		-		86	75.7	37.1	11.0	
	4	29 Apr	120	0.62	1.19	94	61	86	55.3	27.6	8.1	61.5
Norwegian Basin	1	30 Mar	90	0.58		6		80	34.6	5.5	7.7	
	1	31 Mar	91	0.59	0.67	7	5	80	39.2	7.0	7.1	27.3
	2	12 Apr	103	0.54		9		65	32.3	4.4	5.9	
	2	14 Apr	105	0.69	0.90	13	5	65	37.2	4.4	6.4	38.2
	3	22 Apr	113	0.93		10		56	46.7	5.0	9.7	
	3	25 Apr	116	0.84	1.11	21	20	56	40.5	6.4	10.5	39.8

8

9 **Table 3:** Surface phytoplankton abundance at the Iceland Basin and Norwegian Basin stations, measured by flow cytometry (*Synechococcus*,
 10 pico-eukaryotes and nanoplankton), inverted microscopy (diatoms and microzooplankton) and polarizing light microscopy (coccolithophores).

11

Location	Sta.	Date	Day of Year	Depth (m)	Phytoplankton abundance (cells mL ⁻¹)								
					<i>Synechococcus</i>	Pico-eukaryotes	Nanoplankton (<10 µm)	Diatoms (>10 µm)	Micro-zooplankton	Coccolithophores			
										<i>E. huxleyi</i>	<i>C. pelagicus</i>	<i>A. robusta</i>	Others
Iceland Basin	1	25 Mar	85	5	-	-	-	-	-	7.5	0.15		1.2
	1	26 Mar	86	15	675	2347	1116	1.3	2.5	4.4	0.22		0.5
	2	7 Apr	98	5	400	3375	215	-	-	5.2	0.19		4.1
	2	10 Apr	101	10	480	6715	813	249.2	4.0	6.8	0.15		0.7
	3	18 Apr	109	5	-	-	-	-	-	16.9	0.22		25.6
	3	19 Apr	110	7	2112	6962	712	151.3	2.8	21.9	0.69		22.3
	4	27 Apr	118	8	1299	1486	298	-	-	26.7	0.81		7.9
	4	29 Apr	120	11	782	1215	313	87.8	4.7	13.2	0.84		7.5
Norwegian Basin	1	30 Mar	90	8	-	-	-	-	-	6.1	0.09	4.8	2.9
	1	31 Mar	91	10	2617	18016	484	0.2	10.8	7.2	0.28	3.8	1.0
	2	12 Apr	103	8	-	-	-	-	-	11.8	0.41	2.7	0.3
	2	14 Apr	105	10	3372	10433	858	0.1	17.6	16.0	0.38	3.7	5.1
	3	22 Apr	113	7	-	-	-	-	-	27.9	2.66	12.7	11.7
	3	25 Apr	116	7	5483	8456	1384	0.5	14.0	28.1	2.79	7.8	8.6

12

13 **Figure Captions**

14 **Fig. 1:** Sampling locations in the Iceland Basin (ICB) and the Norwegian Basin (NWB),
15 superimposed on a composite of MODIS sea surface temperature for 25 March – 29 April
16 2012.

17 **Fig. 2:** Upper water column profiles for the ICB (A, B, C) and the NWB (D, E, F), of A,D)
18 density, B,E) CTD fluorescence and C,F) CTD fluorescence normalised to peak CTD
19 fluorescence for each profile.

20 **Fig. 3:** Seasonal variation in A) satellite sea surface temperature (SST), B) satellite daily
21 incidental PAR and day length and C,D) satellite chlorophyll *a* (Chl *a*) for C) the Iceland
22 Basin (ICB) and D) the Norwegian Basin (NWB) for 2012. The grey region indicates the
23 period of the cruise. The vertical dotted lines in plots C and D indicate bloom initiation,
24 calculated following Henson et al. (2009). The insets in C & D show the variation in satellite
25 chlorophyll during the period of the cruise.

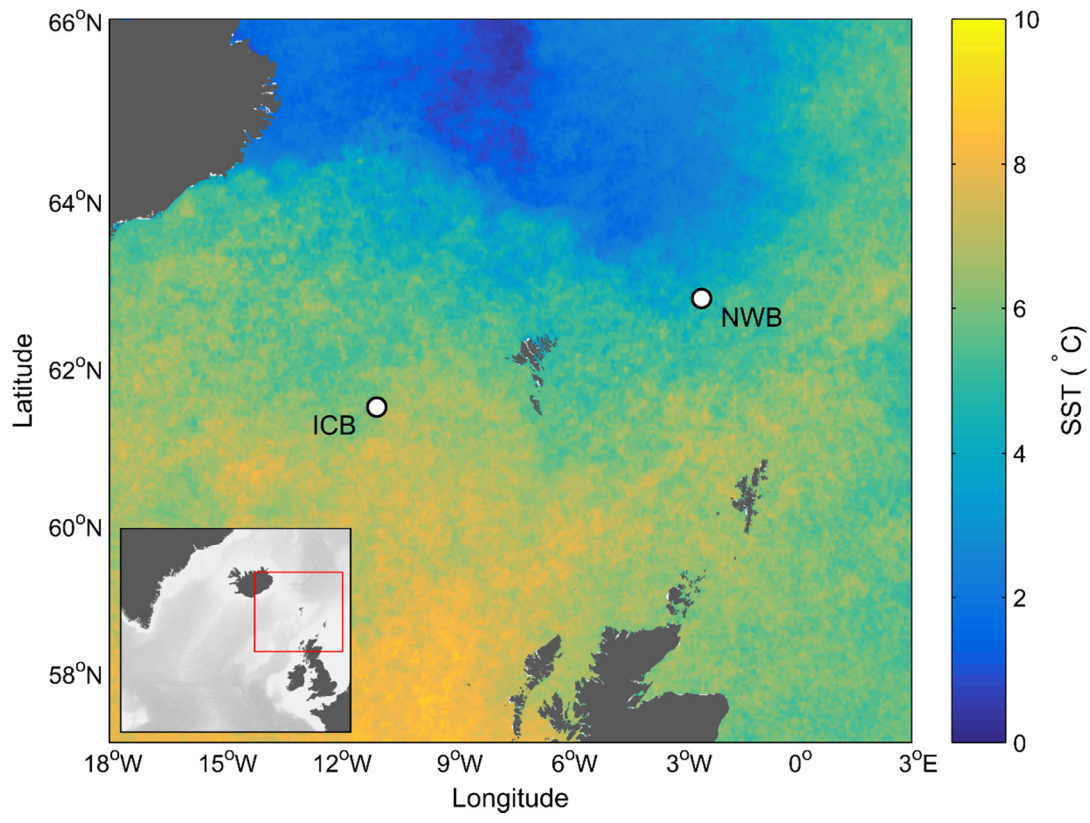
26 **Fig. 4:** Surface (5-15 m) measurements of A) Particulate silicate (bSiO₂) and B) diatom
27 species abundance in the Iceland Basin. Black symbols indicate where diatoms were counted
28 from Lugol's samples, while open symbols indicate SEM counts.

29 **Fig. 5:** Size fractionated chlorophyll *a* (Chl *a*) for A, C) the Iceland Basin, and B, D) the
30 Norwegian Basin. Plots A and B show the < 10 μm and > 10 μm fractions, C and D show the
31 < 2μm, 2-10 μm, 10-20 μm, and > 20 μm fractions.

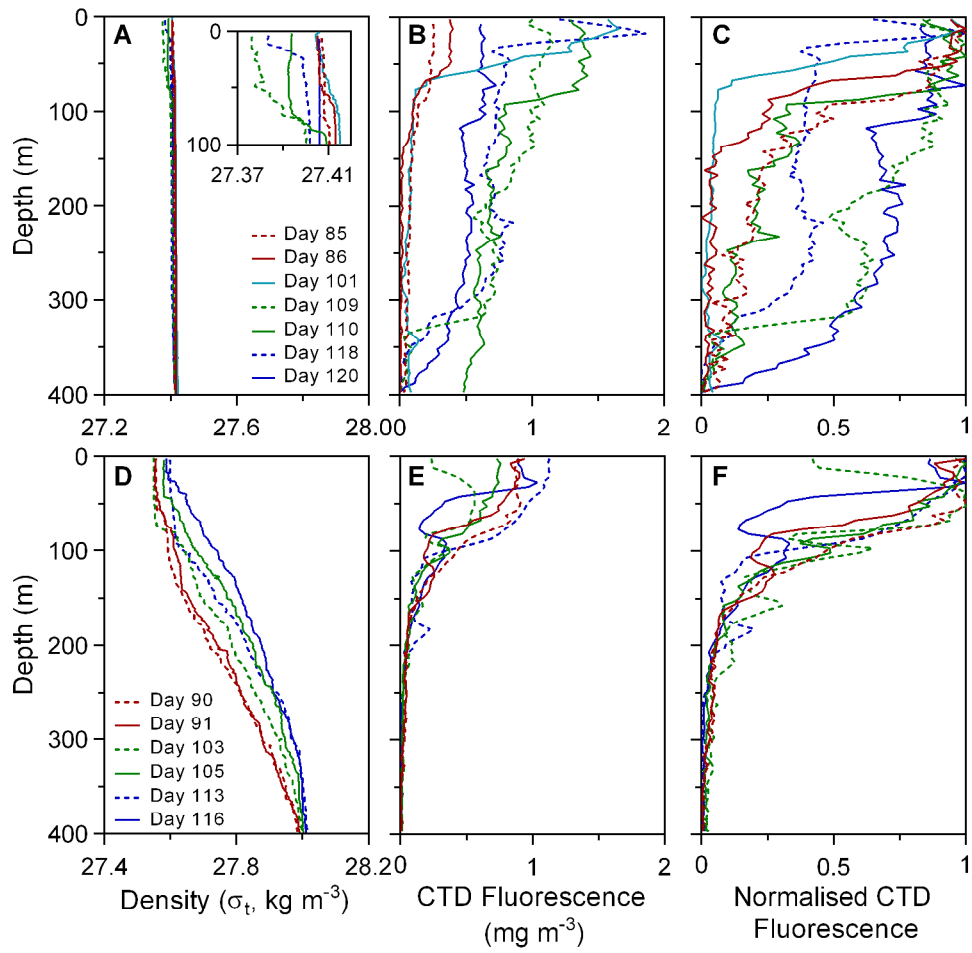
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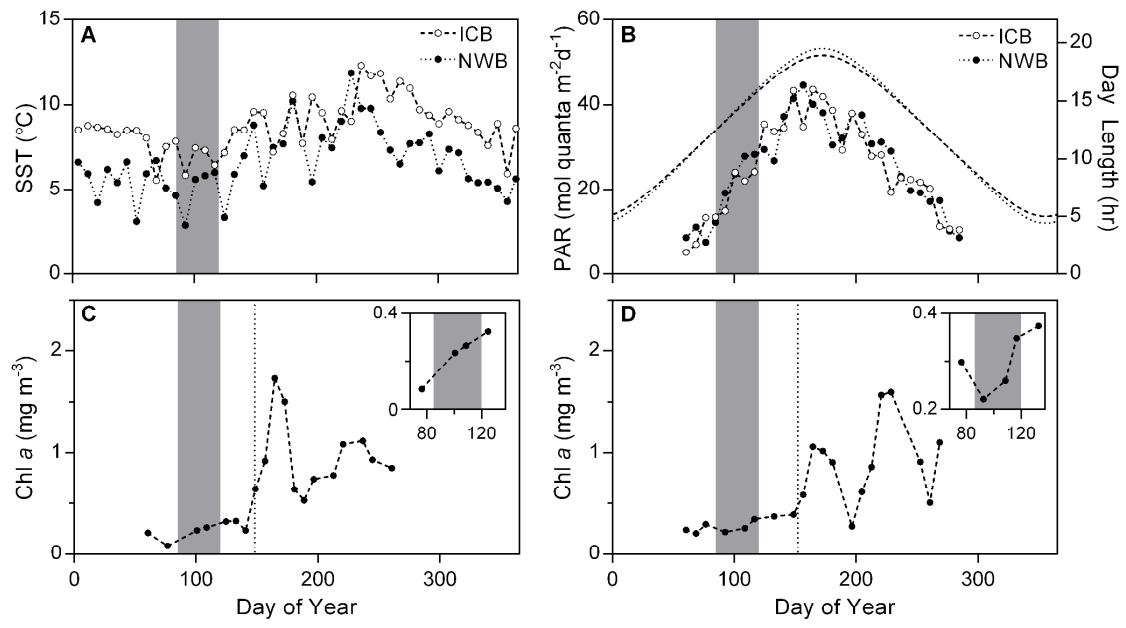
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34 **Fig. 1**

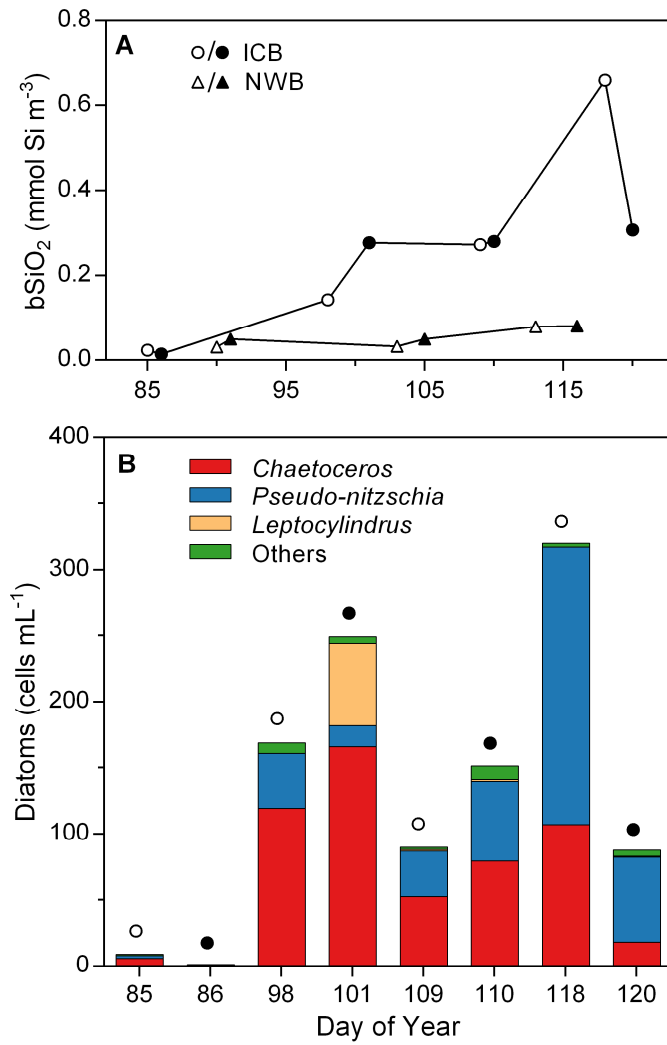


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40 **Fig. 4**

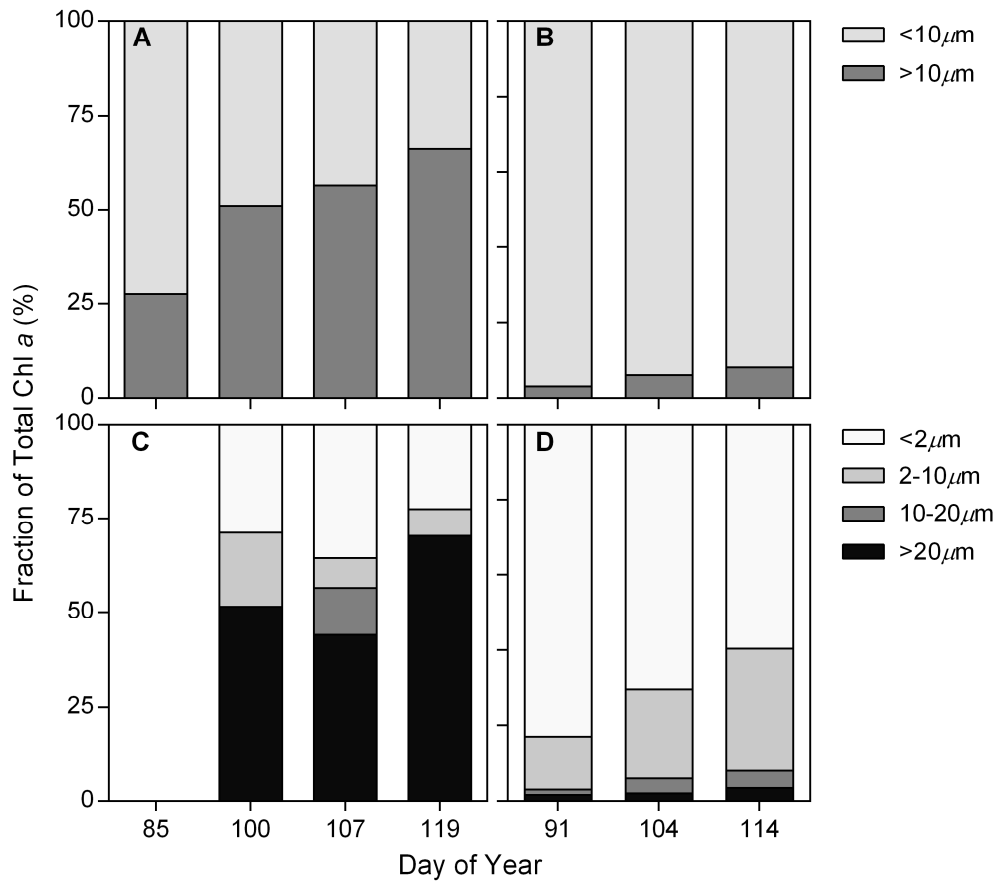


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43 **Fig. 5**

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