

# 1           **Insights into the transfer of silicon isotopes into the sediment record**

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## 20   **Abstract:**

21  The first  $\delta^{30}\text{Si}_{\text{diatom}}$  data from lacustrine sediment traps are presented from Lake Baikal, Siberia.  
22  Data are compared with March surface water (upper 180 m)  $\delta^{30}\text{Si}_{\text{DSi}}$  compositions for which a  
23  mean value of  $+2.28\% \pm 0.09$  (95% confidence) is derived. This value acts as the pre-diatom  
24  bloom baseline silicic acid isotopic composition of waters ( $\delta^{30}\text{Si}_{\text{DSi initial}}$ ). Open traps were  
25  deployed along the depth of the Lake Baikal south basin water column between 2012-2013.  
26  Diatom assemblages display a dominance ( $> 85\%$ ) of the spring/summer bloom species  
27  *Synedra acus* var *radians*, so that  $\delta^{30}\text{Si}_{\text{diatom}}$  compositions reflect spring/summer bloom  
28  utilisation. Diatoms were isolated from open traps and in addition, from 3 monthly  
29  (sequencing) traps (May, July and August 2012) for  $\delta^{30}\text{Si}_{\text{diatom}}$  analyses. Mean  $\delta^{30}\text{Si}_{\text{diatom}}$   
30  values for open traps are  $+1.23\% \pm 0.06$  (at 95% confidence and MSWD of 2.9) and, when  
31  compared with mean upper water  $\delta^{30}\text{Si}_{\text{DSi}}$  signatures, suggest a diatom fractionation factor  
32  ( $\epsilon_{\text{uptake}}$ ) of  $-1.05\%$ , which is in good agreement with published values from oceanic and other  
33  freshwater systems. Although synchronous monthly  $\delta^{30}\text{Si}_{\text{DSi}}$  and  $\delta^{30}\text{Si}_{\text{diatom}}$  data are not  
34  available to rigorously test this estimation of  $\epsilon_{\text{uptake}}$ , nor to also document any alteration to the  
35  surface layer dissolved silica (DSi) pool via the progressive enrichment of DSi during diatom  
36  productivity the near constant  $\delta^{30}\text{Si}_{\text{diatom}}$  compositions in open traps demonstrates the full  
37  preservation of the signal through the water column and thereby justifies the use and

38 application of the technique in biogeochemical and palaeoenvironmental research. Data are  
39 finally compared with lake sediment core samples, collected from the south basin. Values of  
40  $+1.30\text{‰} \pm 0.08$  ( $2\sigma$ ) and  $+1.43\text{‰} \pm 0.13$  ( $2\sigma$ ) were derived for cores BAIK13\_1C (0.6-0.8 cm  
41 core depth) and at BAIK13\_4F (0.2-0.4 cm core depth) respectively. Trap data highlight the  
42 absence of a fractionation factor associated with diatom dissolution ( $\epsilon_{\text{dissolution}}$ ) (particularly as  
43 *Synedra acus* var *radians*, the dominant taxa in the traps, is very susceptible to dissolution)  
44 down the water column and in the lake surface sediments, thus validating the application of  
45  $\delta^{30}\text{Si}_{\text{diatom}}$  analyses in Lake Baikal and other freshwater systems, in palaeoreconstructions.

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## 49 1. Introduction

50 Records of diatom silicon isotopes ( $\delta^{30}\text{Si}_{\text{diatom}}$ ) provide a key means to investigate changes in  
51 the global silicon cycle (De La Rocha, 2006; Hendry and Brzezinski, 2014; Leng et al., 2009;  
52 Tréguer and De La Rocha, 2013). Through measurements of  $\delta^{30}\text{Si}$  (including of diatoms;  
53  $\delta^{30}\text{Si}_{\text{diatom}}$  and the dissolved silicon (DSi) phase;  $\delta^{30}\text{Si}_{\text{DSi}}$ ) it has been possible to elucidate a  
54 more comprehensive understanding of biogeochemical cycling both on continents (e.g.  
55 Cockerton et al., 2013; Opfergelt et al., 2011) and in the ocean (Fripiat et al., 2012) allowing,  
56 for example, an assessment of the role of the marine biological pump in regulating past  
57 changes in atmospheric  $p\text{CO}_2$  (e.g. Pichevin et al., 2009). These studies and their  
58 interpretations rely on work that has examined the mechanics of diatom silicon isotope  
59 fractionation, demonstrating an enrichment factor ( $\epsilon_{\text{uptake}}$ ; resulting from the discrimination by  
60 diatoms against the heavier  $^{30}\text{Si}$  isotope) of  $-1.1 \pm 0.4\text{‰}$  to  $-1.2 \pm 0.2\text{‰}$ . In this case  $\epsilon_{\text{uptake}}$  is  
61 the per mil enrichment between the resulting product and its substrate. Estimations of  $\epsilon_{\text{uptake}}$   
62 ( $-1.1 \pm 0.4\text{‰}$  to  $-1.2 \pm 0.2\text{‰}$ ) have to date shown it to be independent of temperature,  
63  $p\text{CO}_{2(\text{aq})}$  and other vital effects (De La Rocha et al., 1997; Fripiat et al., 2011; Milligan et al.,  
64 2004; Varela et al., 2004), although recent work on marine diatoms in laboratory cultures has  
65 argued for a species dependent fractionation effect (Sutton et al., 2013).

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67 A further assumption is that the isotopic signatures captured by diatoms in the photic zone are  
68 faithfully transported through the water column and into the sediment record, without  
69 alteration from dissolution or other processes. This has been questioned by evidence from  
70 diatom cultures which have revealed a diatom dissolution induced fractionation ( $\epsilon_{\text{dissolution}}$ ) of  
71  $-0.55 \pm 0.05\text{‰}$  (from the preferential release of the heavier  $^{30}\text{Si}$  isotope into the dissolved  
72 phase, over the lighter  $^{28}\text{Si}$  during dissolution) that is independent of inter-species variations  
73 or temperature (Demarest et al., 2009), although the importance and indeed existence of an  
74  $\epsilon_{\text{dissolution}}$  has been questioned by studies in the natural environment (Egan et al., 2012; Wetzel

75 et al., 2014). Whilst measurements of  $\delta^{30}\text{Si}_{\text{diatom}}$  from sediment traps (Varela et al., 2004),  
76 core-tops (Egan et al., 2012) and in situ water column biogenic silica (BSi) (Fripiat et al.,  
77 2012) in marine systems have been used in isolation, an integrated record is needed to  
78 document the fate of  $\delta^{30}\text{Si}_{\text{diatom}}$  as diatoms sink through the water and become incorporated  
79 into the sediment record, particularly in a lacustrine system where hitherto no such work has  
80 taken place. Here, we present pre-diatom bloom  $\delta^{30}\text{Si}_{\text{DSi initial}}$  and  $\delta^{30}\text{Si}_{\text{diatom}}$  data from Lake  
81 Baikal, Siberia (Fig. 1). By analysing samples from sediment traps through the >1,600 m  
82 water column and a sediment core from the same site (Figure 1), we document the good  
83 transfer of the photic zone  $\delta^{30}\text{Si}_{\text{DSi}}$  signature into diatoms and into the sediment record.

84

85 Unlike in ocean systems, where  $\delta^{30}\text{Si}_{\text{diatom}}$  analyses have been used as a tracer for past surface  
86 water DSi utilisation and /or supply (De La Rocha, 2006; Panizzo et al. 2013; Pichevin et al.,  
87 2012), its application in lake systems has not been as fully explored. To date, only a handful  
88 of studies have aimed to validate the proxy in lacustrine systems via in situ measurements of  
89 seasonal DSi and BSi (Alleman et al., 2005; Opfergelt et al., 2011). Here we present a further  
90 validation of the proxy (e.g. estimations of  $\epsilon_{\text{uptake}}$ ), which also aims to address more fully the  
91 preservation of the signal to the sediment record ( $\epsilon_{\text{dissolution}}$ ), which is of great importance in  
92 Lake Baikal where dissolution of diatoms is prevalent. This is particularly important if  
93 measurements of  $\delta^{30}\text{Si}_{\text{diatom}}$  are to be used to reconstruct past DSi utilisation and/or supply in  
94 relation to climatic and/or environmental perturbations (Street-Perrott et al., 2008; Swann et  
95 al., 2010). Furthermore, with recent evidence highlighting the perturbation of the steady state  
96 delivery of DSi to ocean systems as a result of lacustrine burial (Frings et al., 2014) the  
97 application of  $\delta^{30}\text{Si}_{\text{diatom}}$  techniques may be of great value in the future.

98

99 The main objectives of this study are to therefore:

- 100 1. Use annual sediment trap data as a means to document the good transfer of surface  
101  $\delta^{30}\text{Si}_{\text{diatom}}$  compositions to the sediment record and validate the use of  $\delta^{30}\text{Si}_{\text{diatom}}$  methods in  
102 Lake Baikal as a proxy for DSi utilisation/supply
- 103 2. Use sediment trap data, for the first time, to attempt to validate fundamental principles of  
104  $\epsilon_{\text{uptake}}$  and  $\epsilon_{\text{dissolution}}$ , in Lake Baikal, which to date have been more widely investigated in  
105 marine systems.

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## 108 **2. Lake Baikal**

109 Lake Baikal (103°43'-109°58'E and 51°28'-55°47'N) is the world's deepest and most  
110 voluminous lake (23,615 km<sup>3</sup>) containing one fifth of global freshwater not stored in glaciers

111 and ice caps (Gronskaya and Litova, 1991; Sherstyankin et al., 2006). Divided into three  
112 basins (south, central and north) the Academician Ridge separates the central (max depth  
113 1,642 m) and north (max depth 904 m) basins while the Buguldeika ridge running north-  
114 easterly from the shallow waters of the Selenga delta, divides the south (max depth 1,460 m)  
115 and central basins (Sherstyankin et al., 2006)(Figure 1). This study will focus on the southern  
116 basin (where sediment traps were deployed; Figure 1), which has an estimated average depth  
117 of 853 m (Sherstyankin et al., 2006) and a long water residency time of 377-400 years  
118 (Gronskaya and Litova, 1991), although the residency time of silicon in the lake is estimated  
119 to be shorter at 170 years (Falkner et al., 1997).

120 Diatom dissolution in Lake Baikal occurs mainly at the bottom sediment-water interface as  
121 opposed to during down-column settling of diatoms (Ryves et al., 2003) with Müller et al  
122 (2005) showing that remineralisation processes are an important constituent of surface water  
123 nutrient renewal. Lake Baikal may be thought of as having two differing water masses with  
124 the mesothermal maximum (MTM) separating them at a depth of c. 200-300 m (Kipfer and  
125 Peeters, 2000; Ravens et al., 2000). In the upper waters (above c. 200-300 m) both convective  
126 and wind forced mixing occurs twice a year (Shimaraev et al., 1994; Troitskaya et al., 2014)  
127 during spring and autumn overturn periods. These overturn periods proceed (precede) ice off  
128 (on) respectively and are separated by a period of summer surface water stratification (e.g.  
129 above the MTM). Diatom productivity in the lake is most notable during these overturn  
130 periods although spring diatom blooms tend to dominate annual productivity. Below c. 300 m  
131 (e.g. below the MTM) waters are permanently stratified (Ravens et al., 2000; Shimaraev et al.,  
132 1994; Shimaraev and Granin, 1991) although despite this the water column of Lake Baikal is  
133 oxygenated throughout and it is estimated that c. 10% of its deeper water is renewed each  
134 year through down-welling episodes (Hohmann et al., 1997; Kipfer et al., 1996; Shimaraev et  
135 al., 1993; Weiss et al., 1991).

136

### 137 **3. Methods:**

#### 138 **3.1. Sample locations**

139 Upper water column (top 180 m) samples for DSi concentrations and  $\delta^{30}\text{Si}_{\text{DSi}}$  analyses were  
140 collected on two occasions, when the lake was ice-covered, less than two weeks apart, in  
141 March 2013 at site BAIK13\_1 (sampling a and b; Table 1) in the south basin of Lake Baikal  
142 (Figure 1; 51.76778°N and 104.41611°E) using a 2 litre Van Dorn sampler. This sampling  
143 coincided with the period when: 1) riverine and precipitation inflows to the lake are minimal;  
144 and 2) photosynthetic activity in the lake was low (as demonstrated by negligible in-situ Chl *a*  
145 measurements). We argue that the average of these captured, pre-bloom, DSi and  $\delta^{30}\text{Si}_{\text{DSi}}$

146 values represent the baseline nutrient conditions of the upper waters of the South Basin.  
147 Samples were filtered on collection through 0.4 µm polycarbonate filters (Whatman) before  
148 storage in 125 ml acid washed LDPE bottles and acidified with Superpure HCl to a pH above  
149 2.

150

151 At the same site, samples were collected from open sediment traps (n=10) deployed by  
152 EAWAG and the Institute of Earth's Crust/SB-RAS between March 2012 and March 2013  
153 (from 100 to 1350 m water depth; Table 2) and from monthly sequencing traps (n=3) on the  
154 same array at a water depth of 100 m. For all open traps and for three of the monthly traps  
155 (A4: 17<sup>th</sup> May 2012 to 7<sup>th</sup> June 2012, A6: 4<sup>th</sup> July 2012 to 31<sup>st</sup> July 2012 and A7: 31<sup>st</sup> July  
156 2012 to 21<sup>st</sup> August 2012) it was possible to extract sufficient diatoms for isotope analysis  
157 (see below).

158

159 Sediment cores were collected from site BAIK13\_1 (51.76778°E and 104.41611°N; Fig. 1)  
160 and from the nearby BAIK13\_4 (51.69272°N and 104.30003°E; Fig. 1) using a UWITEC  
161 corer through c. 78–90cm of ice with on site sub-sampling at 0.25 cm intervals. Both  
162 sediment cores were dated using <sup>210</sup>Pb dating (at University College London) using the CRS  
163 (constant rate of supply) model (Appleby and Oldfield, 1978), which is in agreement with the  
164 individual <sup>137</sup>Cs record for the two cores. Sub-samples corresponding to 0.6-0.8 cm at  
165 BAIK13\_1 (core BAIK13\_1C; age = 2007 AD ± 2 years) and 0.2-0.4 cm at BAIK13\_4F  
166 (core BAIK13\_4F; age = 2012 AD ± 7 years: the sampling period covered by the sediment  
167 traps) were processed to obtain diatoms for δ<sup>30</sup>Si<sub>diatom</sub> analysis.

168

## 169 **3.2. Analytical methods**

### 170 **3.2.1. Diatom counting**

171 To assess the taxonomic composition of diatoms in the sediment trap samples, diatom slides  
172 were prepared using a protocol that omits any chemical treatments or centrifugation in order  
173 to minimise further diatom dissolution and valve breakage (see Mackay et al., 1998 for full  
174 details). Slides were counted using a Zeiss light microscope with oil immersion and phase  
175 contrast at x1000 magnification. Microspheres at a known concentration of 8.2 x 10<sup>6</sup>, were  
176 added to all samples in order to calculate diatom concentrations.

177

### 178 **3.2.2. Silicon isotope sample preparation**

179 Prior to isotope analysis 0.7-1.0 g of sediment core (dry weight) and trap material (wet weight)  
180 was digested of organic matter with analytical grade H<sub>2</sub>O<sub>2</sub> (30%) at 75°C for c. 12 hours. This  
181 was followed by heavy density separation using sodium polytungstate (Sometu Europa) at x  
182 2,500 rpm for fifteen minutes, with centrifuge break off, at a specific gravity between 2.10-

183 2.25 g ml<sup>-1</sup> (adjusted to suit sample contamination) to remove lithogenic particles and clays.  
184 Samples were washed (up to 10 times) with deionised water at x 2,500 rpm for five minutes  
185 before visual inspection for contaminants at x 400 magnification on a Zeiss inverted light  
186 microscope. All samples showed no evidence of external contaminants that would impact the  
187 isotopic measurements (as displayed in light microscopy images; Figure 2a and b).

188

189 Silicon concentrations on all 25 samples (10 March lake water and 13 diatom opal trap  
190 samples (Z and A traps) and 2 lake surface sediment samples) were measured on an  
191 Inductively Coupled Plasma-Mass Spectrometer (ICP-MS) (Agilent Technologies 7500) at  
192 the British Geological Survey. Diatom samples were digested using the NaOH fusion method  
193 (Georg et al., 2006) with 1-3 mg of powdered material fused with a 200 mg NaOH (Quartz  
194 Merk) pellet in a silver crucible, covered within a Ni crucible with lid, for 10 minutes in a  
195 muffle furnace at 730°C. Following fusion, silver crucibles were placed in a 30 ml Teflon  
196 Savillex beaker and rinsed with Milli Q water before adding Ultra Purity Acid (UPA) HCl  
197 (Romil) to reach a pH above 2. Samples were sonicated to ensure they were fully dissolved  
198 and mixed before leaving overnight in the dark.

199

200 Water samples with DSi concentrations <1.5 ppm were pre-concentrated prior to column  
201 chemistry by evaporating 30 ml of sample to 5 ml at 70°C on a hotplate in a Teflon Savillex  
202 beaker in a laminar flow hood. This follows Hughes et al (2011), who showed no evaporative  
203 alteration of Si in samples and reference materials, provided samples are not evaporated to  
204 dryness. This was not conducted for sample BAIK1a\_100 m as there was insufficient sample  
205 to do so (Table 1). Following pre-concentration, samples were purified by passing a known  
206 volume (between 1 and 2.5 ml depending on Si concentration) through a 1.8 ml cationic resin  
207 bed (BioRad AG50W-X12) (Georg et al., 2006) and eluted with 3 ml of Milli Q water in  
208 order to obtain an optimal Si concentration of between 3-10 ppm.

209

### 210 **3.2.3. Silicon isotope analysis**

211 All isotope analyses were carried out on a ThermoScientific Neptune Plus MC-ICP-MS (multi  
212 collector inductively coupled plasma mass spectrometer), operated in wet-plasma mode using  
213 the method/settings outlined in Cockerton et al (2013). To overcome any analytical bias due  
214 to differing matrices, samples and reference materials were acidified using HCl (to a  
215 concentration of 0.05 M, using Romil UPA) and sulphuric acid (to a concentration of 0.003 M,  
216 using Romil UPA) following the recommendations of Hughes et al (2011) the principle being  
217 that doping samples and standards alike, above and beyond the natural abundance of Cl<sup>-</sup> and  
218 SO<sub>4</sub><sup>2-</sup>, will evoke a similar mass bias response in each. All samples were doped with ~300 ppb  
219 magnesium (Mg, Alfa Aesar SpectraPure) to allow the data to be corrected for the effects of



220 instrument induced mass bias (Cardinal et al., 2003; Hughes et al., 2011). In order to do this  
221 Mg concentrations were the same in both standard and samples.

222

223 Background signal contributions on  $^{28}\text{Si}$  were typically between 50 and 100mV. Total  
224 procedural blanks for water samples were 15 ng compared to typical sample amounts of 4000  
225 ng. Procedural blank compositions are difficult to accurately measure (due to exceedingly low  
226 Si signals), but as a worse-case scenario may have deviated from sample compositions by ca.  
227 0.38%, contributing up to a ca. 0.02‰ shift in typical sample compositions. This increases to  
228 c. 0.20‰ compositional shift in exceptional cases i.e. for one sample replicate (BAIK13\_1,  
229 0m), which has a Si concentration of much less than 1ppm. Fusion procedural blanks were c.  
230 42 ng compared to typical fusion sample amounts of 4900 ng. Again Procedural blank  
231 compositions are difficult to accurately measure, but may have deviated from sample  
232 compositions by c. 0.04%, contributing up to a less than 0.01‰ shift in the sample  
233 compositions.

234

235 The validation material (Diatomite) was analysed repeatedly during each analytical session  
236 and a secondary reference material (an in-house river water sample, RMR4) was also  
237 periodically analysed. Data were corrected on-line for mass bias using an exponential  
238 function, assuming  $^{24}\text{Mg}/^{25}\text{Mg} = 0.126633$ . All uncertainties are reported at  $2\sigma$  absolute, and  
239 incorporate an excess variance derived from the Diatomite validation material, which was  
240 quadratically added to the analytical uncertainty of each measurement.  $\delta^{30}\text{Si}:\delta^{29}\text{Si}$  ratios of all  
241 data were compared with the mass dependent fractionation line (1.93), with which all data  
242 comply (Johnson et al., 2004). Long term ( $\sim 2$  years) variance for the method is: Diatomite =  
243  $+1.23\text{‰} \pm 0.16\text{‰}$  ( $2\sigma$ ,  $n=210$ ) (consensus value of  $+1.26\text{‰} \pm 0.2\text{‰}$ ,  $2\sigma$ ; Reynolds et al., 2007)  
244 and RMR4 =  $+0.88\text{‰} \pm 0.20\text{‰}$  ( $2\sigma$ ,  $n=42$ ).

245

#### 246 4. Results

247 Below ice  $\delta^{30}\text{Si}_{\text{DSi}}$  and DSi values in March 2013 from the top 1 m of the water column,  
248 collected within 2 weeks of each other, are  $+2.34\text{‰} \pm 0.15$  ( $2\sigma$ ), 1.22 ppm and  $+2.16\text{‰} \pm 0.09$   
249 ( $2\sigma$ ), 0.74 ppm for BAIK13\_1a and BAIK13\_1b respectively (Figure 3; Table 1). DSi  
250 compositions show some variability with depth at both sites, with overall trends showing  
251 decreasing concentrations with depth (Figure 3), with the exception of the surface sample at  
252 BAIK13\_1b (0.74 ppm). As we are unable to fully account for this variability in DSi  
253 concentrations, we use a weighted mean surface water (e.g. above the MTM)  $\delta^{30}\text{Si}_{\text{DSi}}$   
254 compositions collected in March before the diatom bloom period, to act as the baseline  
255 isotopic composition (as will be discussed in Section 5.1). This is in order to compare with

256 open trap data and estimate the fractionation effect of diatoms ( $\epsilon_{\text{uptake}}$ ). In this case,  $\delta^{30}\text{Si}_{\text{DSi}}$   
257 means are +2.28 ( $\pm 0.09\%$ , 95% confidence; Table 1), although some variability is  
258 highlighted between data (e.g. mean square weighted deviation (MSWD) = 4.1; Table 1).

259

260 ICP-MS data of diatom opal show that ratios of Al:Si are all  $<0.01$  (data not shown),  
261 indicating that contamination in all sediment trap and core samples is negligible. This was  
262 confirmed by visual inspection of the diatom samples by light microscopy (Figures 2a and b),  
263 prior to analysis. Sediment trap diatoms are dominated ( $> 85\%$ ) by the species *Synedra acus*  
264 var *radians*. Diatom concentrations show some variability, varying between c.  $3 \times 10^4$  and  $7 \times$   
265  $10^4$  valves/g wet weight (Figure 4), although lowest concentrations are seen in the open  
266 sediment trap at 1,350 m depth ( $3 \times 10^4$  valves/g wet weight Figure 4). This is coincident with  
267 lowest diatom (*Synedra acus* var *radians*) valve abundances also (86%; Table 2).  $\delta^{30}\text{Si}_{\text{diatom}}$   
268 data from the open sediment traps show little variability (within analytical uncertainty) down  
269 the water column profile in Lake Baikal (Table 2; Figure 4) with values ranging from +1.11‰  
270 and +1.38‰ (weighted mean +1.23‰; 0.06 at 95% confidence). Sequencing (A) traps from  
271 May, July and August following the onset of major diatom productivity in early spring show a  
272 degree of variability with July and August  $\delta^{30}\text{Si}_{\text{diatom}}$  data similar to the open sediment traps  
273 but data from May lower at 0.67‰ (Table 1). Surface sediment results from BAIK13\_1C  
274 (0.6-0.8 cm core depth) and BAIK13\_4F (0.2-0.4 cm core depth) are very similar to the both  
275 open (Z) and July, August sequencing (A) traps with  $\delta^{30}\text{Si}_{\text{diatom}}$  signatures of +1.30‰  $\pm 0.08$   
276 (2 $\sigma$ ) and +1.43‰  $\pm 0.13$  (2 $\sigma$ ) respectively (Table 2). Open trap total dry mass fluxes show a  
277 near constant value down the Lake Baikal water column (Table 2), with values ranging  
278 between 289.64 mg m<sup>-2</sup> d<sup>-1</sup> at 1300 m water depth and 327.32 mg m<sup>-2</sup> d<sup>-1</sup> at 900 m water depth.  
279 Sequencing traps show the highest peak in total dry mass fluxes for the month of June  
280 1649.52 mg m<sup>-2</sup> d<sup>-1</sup> (although black particulate matter, of unknown origin is also present) and  
281 remain higher (compared to winter months) from July to October (Figure 5).

282

## 283 5. Discussion

284 The extreme continentality of the region around Lake Baikal generates cold, dry winters that  
285 create an extensive ice cover over the lake from October/November-May/June (north basin)  
286 and January-April/May (south basin). This ice-cover plays a key role in regulating seasonal  
287 diatom productivity (as discussed in Section 2) with blooms developing following the: 1)  
288 reductions in ice-cover in spring; and 2) mixed layer stratification in summer (Granin et al.,  
289 2000; Jewson et al., 2009; Popovskaya, 2000; Shimaraev et al., 1994; Troitskaya et al., 2014).  
290 These blooms are also coincident with periods of overturn in the upper waters of the lake (e.g.  
291 above the MTM; Section 2). The March  $\delta^{30}\text{Si}_{\text{DSi}}$  data in this study were collected when there  
292 was no/negligible chlorophyll *a* in the water column down to a depth of 200 m. Accordingly,



293 we interpret March  $\delta^{30}\text{Si}_{\text{DSi}}$  as reflecting the pre-spring bloom isotopic composition of silicic  
294 acid in the mixed layer prior to its uptake and fractionation in subsequent weeks as the spring  
295 bloom develops. Whilst the open traps deployed from March 2012-March 2013 may contain  
296 diatoms from both spring and autumnal blooms, we suggest that  $\delta^{30}\text{Si}_{\text{diatom}}$  signature from  
297 these traps are primarily derived from the first bloom in spring/summer due to the dominance  
298 of: 1) spring diatom blooms in the annual record (Popovskaya, 2000); and 2) the dominance  
299 of spring/summer (May to August) blooming *S. acus* var *radians* (Ryves et al., 2003) in the  
300 traps (>85% relative abundance; Figure 4). This is supported by dry mass fluxes from the 100  
301 m sequencing traps which peak in June to September (Figure 5). We therefore argue that the  
302 open trap data should be primarily reflective of spring to summer silicic acid utilisation in the  
303 photic zone and so, can be used to trace the fate of surface water signatures through the water  
304 column and into the sediment record.

305

### 306 **5.1. Diatom $\delta^{30}\text{Si}$ fractionation ( $\epsilon$ )**

307 During biomineralisation diatoms discriminate against the heavier  $^{30}\text{Si}$  isotope, preferentially  
308 incorporating  $^{28}\text{Si}$  into their frustules and leaving ambient waters enriched in  $^{30}\text{Si}$ . Existing  
309 work from culture experiments and marine environments has suggested an  $\epsilon$  (the per mil  
310 enrichment factor between dissolved (DSi) and solid (diatom) phases) during  
311 biomineralisation ( $\epsilon_{\text{uptake}}$ ) of  $-1.1 \pm 0.4\text{‰}$  to  $-1.2 \pm 0.2\text{‰}$  (De La Rocha et al., 1997; Fripiat et  
312 al., 2011; Milligan et al., 2004; Varela et al., 2004). Such estimations of  $\epsilon_{\text{uptake}}$  have been  
313 applied within both closed system (De La Rocha et al., 1997) and open system (Varela et al.,  
314 2004) modeling as a means to estimate variations in  $\delta^{30}\text{Si}$  compositions. Although, as  
315 discussed in Section 1, some recent evidence from cultured marine diatoms does suggest  
316 species dependent fractionation effects (Sutton et al., 2013).

317

318 Monthly data for both  $\delta^{30}\text{Si}_{\text{DSi}}$  and  $\delta^{30}\text{Si}_{\text{diatom}}$  are not available in order to fully constrain  $\epsilon_{\text{uptake}}$   
319 over the course of the diatom growing season (e.g. estimating variations between the open and  
320 closed system models, where the import/export of DSi and BSi can be more fully estimated  
321 from surface waters). Nevertheless, we can apply the data in this context to provide a  
322 snapshot of  $\epsilon_{\text{uptake}}$ , when a comparison is made between  $\delta^{30}\text{Si}_{\text{DSi}}$  initial and annual open trap  
323 compositions (e.g. the resulting  $\delta^{30}\text{Si}_{\text{diatom}}$  product). Our work, therefore extends this  
324 estimation of  $\epsilon_{\text{uptake}}$  into lacustrine systems by suggesting a diatom fractionation effect ( $\epsilon_{\text{uptake}}$ )  
325 of  $-1.05\text{‰}$  (within uncertainty of previous estimates) based on a comparison of the mean pre-  
326 bloom spring top water (incorporating 0 to 180 m)  $\delta^{30}\text{Si}_{\text{DSi}}$  compositions of  $+2.28\text{‰}$  ( $\pm 0.09$ ,  
327 95% confidence interval,  $n = 10$ ) (Table 1) and the mean open sediment trap  $\delta^{30}\text{Si}_{\text{diatom}}$  of  
328  $+1.23\text{‰} \pm 0.06$  (95% confidence interval,  $n = 10$ ) (Table 2). Evidence for a similar (within

329 analytical uncertainty)  $\epsilon_{\text{uptake}}$  between marine and lacustrine systems both validates existing  
330 studies on freshwater systems (Alleman et al., 2005; Chaplignin et al., 2012; Street-Perrott et  
331 al., 2008; Swann et al., 2010) and opens future applications of  $\delta^{30}\text{Si}_{\text{diatom}}$  analyses in these  
332 environments. We propose that this fractionation factor of  $-1.05\text{‰}$ , based on data derived  
333 from open sediment traps, can be used to interpret changes in  $\delta^{30}\text{Si}_{\text{diatom}}$  within the sediment  
334 record. However, to fully constrain silicon cycling in Lake Baikal and highlight any possible  
335 seasonal variations in  $\epsilon_{\text{uptake}}$ , monthly  $\delta^{30}\text{Si}_{\text{diatom}}$  and  $\delta^{30}\text{Si}_{\text{DSi}}$  data are needed across the year.  
336 Here we are only able to present  $\delta^{30}\text{Si}_{\text{diatom}}$  data from sequencing traps in May, July and  
337 August, due to the limited amount of material in the traps, and the absence of corresponding  
338 monthly  $\delta^{30}\text{Si}_{\text{DSi}}$ .

339

### 340 ***5.2. The fate of diatom utilisation and $\delta^{30}\text{Si}_{\text{diatom}}$ in Lake Baikal***

341  $\delta^{30}\text{Si}_{\text{diatom}}$  signatures through the open traps show minimal variation (mean of  $+1.23\text{‰} \pm 0.06$   
342 at 95% confidence and MSWD of 2.9; Table 2). Similar values are also seen in the  
343 sequencing traps, except in May when values are considerably lower at  $+0.67\text{‰}$  ( $\pm 0.06\text{‰}$ ;  
344  $2\sigma$ ). When applying the calculated mean annual  $\epsilon_{\text{uptake}}$  of  $-1.05\text{‰}$  to the May (2012) data, a  
345  $\delta^{30}\text{Si}_{\text{DSi}}$  of between  $+1.66$  to  $+1.78\text{‰}$  (when taking into account the  $\delta^{30}\text{Si}_{\text{diatom}}$  analytical  
346 variability of  $2\sigma$ ) is estimated. These values fall outside of the uncertainty of weighted mean  
347 March surface (namely depths above the MTM) water data ( $+2.28\text{‰} \pm 0.09$ , 95% confidence  
348 interval; Table 1).

349

350 One option is that the May  $\delta^{30}\text{Si}_{\text{DSi}}$  is lower than (below ice) March  $\delta^{30}\text{Si}_{\text{DSi}}$  ( $+2.28\text{‰} \pm 0.09$ ,  
351 95% confidence interval). Although deep water compositional data are not available, one  
352 possible explanation for a lower May  $\delta^{30}\text{Si}_{\text{DSi}}$  (based on the assumption that  $\epsilon_{\text{uptake}}$  does not  
353 change) is the mixing of surface and deeper waters (which typically have a higher DSi  
354 concentration and lower  $\delta^{30}\text{Si}_{\text{DSi}}$  signature, if an analogue from the deep Lake Tanganyika is  
355 applied; e.g. Alleman et al., 2005). Without corresponding monthly DSi endmembers for  
356 May and the other monthly sequencing traps, we are unable to fully constrain this or quantify  
357 the seasonal utilisation of DSi using either open or closed system mass balance modelling.

358

359 Asides from the discussions surrounding the biological uptake of DSi by diatoms and the  
360 seasonal relationship between DSi compositions, the isotopic composition of trap data (Table  
361 2) from down the water column (except for the May sequencing trap) (Table 2) highlights that  
362 the isotopic signature incorporated into diatoms in the photic zone during biomineralisation is  
363 safely transferred through the water column without alteration, either from dissolution  
364 ( $\epsilon_{\text{dissolution}}$ ) or other processes. This is particularly important for the species *Synedra acus* var  
365 *radians* (which dominates open trap compositions for the year 2012-2013; Table 2) as

366 literature has demonstrated the fragility of this valve, particularly its sensitivity to water  
367 column and surface sediment interface dissolution (Battarbee et al., 2005; Ryves et al., 2003).  
368 While this species is sensitive to dissolution, Mackay et al (1998) have nevertheless  
369 documented an increased percentage presence in south Basin, Lake Baikal sediments, over the  
370 past c. 60 years (to between 10 and 20% relative abundance), thought to represent a biological  
371 response to late 20<sup>th</sup> Century warming in this region. Although the majority of dissolution in  
372 Lake Baikal occurs at the surface-sediment interface, with only 1% of phytoplanktonic  
373 diatoms becoming incorporated into the sediment record (Battarbee et al., 2005; Ryves et al.,  
374 2003),  $\delta^{30}\text{Si}_{\text{diatom}}$  in sediment core surface samples (i.e., post burial) at BAIK13\_1C (0.6-0.8  
375 cm core depth) and at BAIK13\_4F (0.2-0.4 cm core depth) of  $+1.30\text{‰} \pm 0.08$  ( $2\sigma$ ) and  $+1.43\text{‰}$   
376  $\pm 0.13$  ( $2\sigma$ ) respectively (Figure 4) are also similar (within uncertainty) to the sediment trap  
377 data of  $+1.23\text{‰} \pm 0.06$  (95% confidence). These data confirm that in contrast to previous  
378 work (Demarest et al., 2009) there is no  $\epsilon_{\text{dissolution}}$  or at least no other alteration of the  $\delta^{30}\text{Si}_{\text{diatom}}$   
379 signature from diatoms sinking through the water column and during burial in the sediment  
380 record. This in agreement with previous studies on marine diatoms (Wetzel et al., 2014) and  
381 validates that  $\delta^{30}\text{Si}_{\text{diatom}}$  can be used in lacustrine sediment cores to constrain biogeochemical  
382 cycling (building on work by Egan et al., 2012).

383

## 384 **6. Conclusions:**

385 The first  $\delta^{30}\text{Si}_{\text{diatom}}$  data from lacustrine sediment traps are presented from Lake Baikal, Siberia  
386 and their use in interpreting the fate of  $\delta^{30}\text{Si}_{\text{diatom}}$  in the sediment record is shown. Mean values  
387 for open traps ( $+1.23\text{‰} \pm 0.06$  at 95% confidence and MSWD of 2.9), when compared with  
388 mean surface water March  $\delta^{30}\text{Si}_{\text{DSi}}$  compositions ( $+2.28\text{‰} \pm 0.09$  at 95% confidence) suggest a  
389  $\epsilon_{\text{uptake}}$  of  $-1.05\text{‰}$ , which is in good agreement with published values from marine and other  
390 lacustrine systems of between  $-1.1$  and  $-1.2\text{‰}$ . Although monthly synchronous  $\delta^{30}\text{Si}_{\text{DSi}}$  and  
391  $\delta^{30}\text{Si}_{\text{diatom}}$  are not available to fully constrain  $\epsilon_{\text{uptake}}$  (nor indeed any seasonal progressive  
392 enrichment of DSi in surface waters) in Lake Baikal surface waters, the data provide a  
393 snapshot into stable isotope processes in freshwater systems which to date have not been fully  
394 explored. The near constant  $\delta^{30}\text{Si}_{\text{diatom}}$  compositions in open traps demonstrates the full  
395 preservation of the signal through the water column and thereby justifies the use and  
396 application of the technique in biogeochemical and palaeoenvironmental research. In  
397 particular, data highlight the absence of a fractionation factor associated with diatom  
398 dissolution ( $\epsilon_{\text{dissolution}}$ ) down the water column, of particular importance as the diatom species  
399 *Synedra acus* is known to be sensitive to dissolution with estimations of only up to 5%  
400 making it to the sediment interface (Ryves et al., 2003). This is further reinforced by lake  
401 surface sediment data from south basin cores, which also demonstrate the absence of  $\epsilon_{\text{dissolution}}$

402 due to the similar compositions (within uncertainty) of surface sediment  $\delta^{30}\text{Si}_{\text{diatom}}$  when  
403 compared to trap data.

404

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417

418 **Tables and Figures:**

419

420 Table 1.  $\delta^{30}\text{Si}_{\text{DSi}}$ , respective uncertainties ( $2\sigma$ ) and DSi concentrations for sampling in South  
421 Basin of Lake Baikal at site BAIK13\_1 in March 2013. Data are plotted in Figure 3.

422

423 Table 2. Open, sequencing trap and sediment core  $\delta^{30}\text{Si}_{\text{diatom}}$  data and respective uncertainties  
424 ( $2\sigma$ ). Mean values for open and sequencing trap  $\delta^{30}\text{Si}_{\text{diatom}}$  compositions are provided along  
425 with 95% confidence and the population MSWD value (in bold). Respective water column  
426 depths are presented along with the relative abundance of *Synedra acus* var *radians* (data not  
427 available for sequencing traps). Total dry mass sediment fluxes are also shown for open trap  
428 data ( $\text{mg m}^{-2} \text{d}^{-1}$ ). All open trap data are plotted in Figure 4.

429

430 Figure 1. Map of the Lake Baikal catchment, showing dominant inflowing rivers and the  
431 Angara river outflow. The three catchments are identified as well as the location of sites  
432 BAIK13\_1 and BAIK13\_4, where cores, sediment traps and water column profiles were  
433 collected.

434

435 Figure 2. Light microscopy images of open trap diatom species from Lake Baikal (x 1000).  
436 Images show the purity of samples used for  $\delta^{30}\text{Si}_{\text{diatom}}$  analyses.

437

438 Figure 3. Depicting water column sampling from Lake Baikal (180 m below surface) of DSi  
439 concentrations (ppm) shown in green and  $\delta^{30}\text{Si}_{\text{DSi}}$  (‰) signatures. The two sampling intervals  
440 (BAIK13\_1a and 1b) from March 2013 are both displayed. Note the different sampling depths  
441 for these two data sets. All analytical errors of uncertainty are shown in grey ( $2\sigma$ ). All data  
442 correspond to Table 1.

443

444 Figure 4. Open sediment trap (2012-2013) data from site BAIK13\_1, south basin Lake Baikal.  
445 Samples are displayed along a y-axis of water column depth.  $\delta^{30}\text{Si}_{\text{diatom}}$  data (‰) are expressed  
446 with respective analytical errors ( $2\sigma$ ) and surface sediment samples from cores BAIK13\_1C  
447 and BAIK13\_4F are also displayed (in green) along with mean March surface water  
448 compositions (in blue). As estimation of  $\epsilon_{\text{uptake}}$  is also presented. Percentage abundance of the  
449 dominant diatom *Synedra acus var radians*, diatom concentrations (valves/g wet weight) and  
450 total dry mass sediment fluxes ( $\text{mg m}^{-2} \text{d}^{-1}$ ) are also provided. All data are presented in Table  
451 2.

452

453 Figure 5. Total dry mass sediment fluxes ( $\text{mg m}^{-2} \text{d}^{-1}$ ) for monthly sequencing traps,  
454 positioned at 100 m water depth in the south basin of Lake Baikal (2012-2013).

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652 Table 1.

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	Water depth (m)	DSi (ppm)	$\delta^{30}\text{Si}_{\text{DSi}}$ (‰)	Prop' 2s abs	$\delta^{29}\text{Si}_{\text{DSi}}$ (‰)	Prop' 2s abs
<b>BAIK13_1a</b>	0.4	1.22	<b>+2.34</b>	0.15 <sup>1</sup>	<b>+1.22</b>	0.10 <sup>1</sup>
03/03/2013	10	1.19	<b>+2.17</b>	0.15 <sup>1</sup>	<b>+1.18</b>	0.09 <sup>1</sup>
	24	1.17	<b>+2.55</b>	0.15 <sup>1</sup>	<b>+1.29</b>	0.10 <sup>1</sup>
	40	1.12	<b>+2.18</b>	0.11	<b>+1.18</b>	0.06
	100	1.06	<b>+2.22*</b>	0.31	<b>+1.27*</b>	0.19
	180	0.66	<b>+2.40</b>	0.08	<b>+1.23</b>	0.04
<b>BAIK13_1b</b>	1	0.74	<b>+2.16</b>	0.09	<b>+1.14</b>	0.04
12/03/2013	10	1.21	<b>+2.44</b>	0.15 <sup>1</sup>	<b>+1.20</b>	0.05 <sup>1</sup>
	20	1.15	<b>+2.28</b>	0.10 <sup>1</sup>	<b>+1.17</b>	0.04 <sup>1</sup>
	50	1.16	<b>+2.29</b>	0.16 <sup>1</sup>	<b>+1.26</b>	0.11 <sup>1</sup>
<b>W.A MEAN</b>			<b>+2.28</b>	<b>0.09<sup>1</sup></b>	<b>+1.19</b>	<b>0.03<sup>1</sup></b>
<b>MSDW</b>			<b>4.1</b>		<b>1.9</b>	

654 \*This water sample was not pre-concentrated, refer to methods.

655 <sup>1</sup>These water sample values are weighted averages for sample replicates that are analytically  
656 robust. These errors are at the 95% confidence interval.

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669 Table 2.

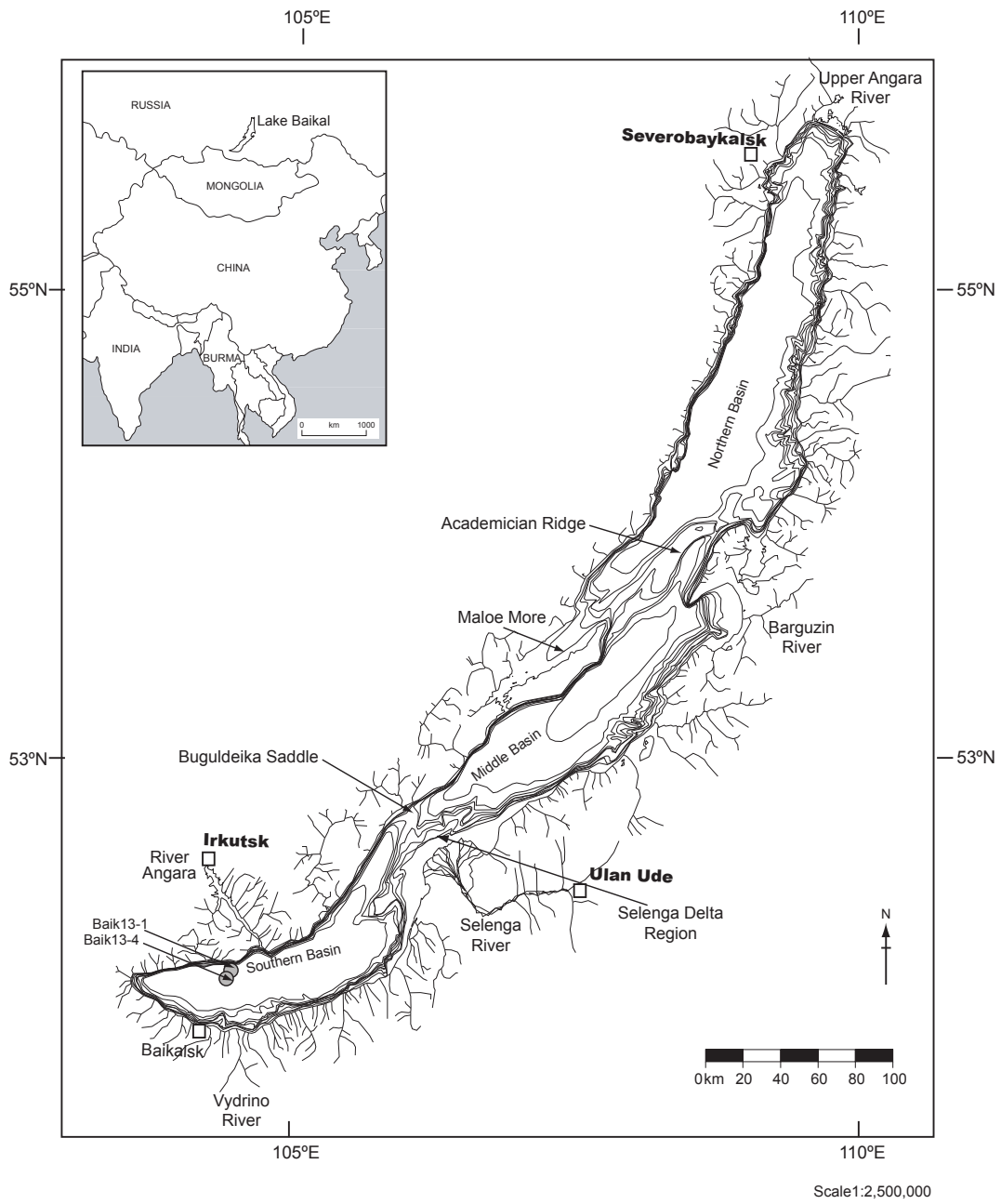
Code name	Water column depth (m)	$\delta^{30}\text{Si}_{\text{diatom}}$ (‰)	Prop' 2s abs	$\delta^{29}\text{Si}_{\text{diatom}}$ (‰)	Prop' 2s abs	Sediment Flux ( $\text{mg m}^{-2} \text{d}^{-1}$ )	<i>Synedra acus</i> var <i>radians</i> (% abundance)
Z1	100	+1.19	0.12	+0.62	0.07	1584	90
Z2	200	+1.28	0.11	+0.70	0.06	1503	90
Z3*	300	+1.11 <sup>1</sup>	0.15	+0.61 <sup>1</sup>	0.08	1686	93
Z4	400	+1.32 <sup>1</sup>	0.16	+0.69 <sup>1</sup>	0.10	1772	93
Z5	600	+1.38 <sup>1</sup>	0.15	+0.71 <sup>1</sup>	0.10	1942	88
Z6	700	+1.38	0.17	+0.69	0.11	1997	94
Z7	900	+1.26	0.14	+0.66	0.10	1980	92
Z8	1100	+1.21	0.13	+0.60	0.10	1887	94
Z9	1300	+1.17 <sup>1</sup>	0.12	+0.61 <sup>1</sup>	0.07	1943	92
Z10	1350	+1.25	0.11	+0.62	0.10	1999	86
<b>W.A Mean</b>		<b>+1.23</b>	0.06 <sup>1</sup>	<b>+0.63</b>	0.03 <sup>1</sup>		
<b>MSWD</b>		<b>2.9</b>		<b>1.6</b>			
<b>Sequencing traps</b>							
<b>A4</b>	May	<b>+0.67</b>	0.06	<b>+0.36</b>	0.04	1650	
<b>A6</b>	July	<b>+1.22</b>	0.08	<b>+0.53</b>	0.09	175	
<b>A7</b>	August	<b>+1.37</b>	0.07	<b>+0.69</b>	0.03	169	
<b>Mean</b>		<b>+1.09</b>	0.74 (2SD)	<b>+0.53</b>	0.33 (2SD)		
<b>Sediment cores</b>							
<b>BAIK13_1C</b>	0.6-0.8 cm	<b>+1.30</b>	0.08	<b>+0.68</b>	0.05		
<b>BAIK13_4F</b>	0.2-0.4 cm	<b>+1.43</b>	0.13	<b>+0.75</b>	0.04		

670 <sup>1</sup>These water sample values are weighted averages for sample replicates that are analytically  
671 robust. These errors are at the 95% confidence interval.

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674 Figure 1.



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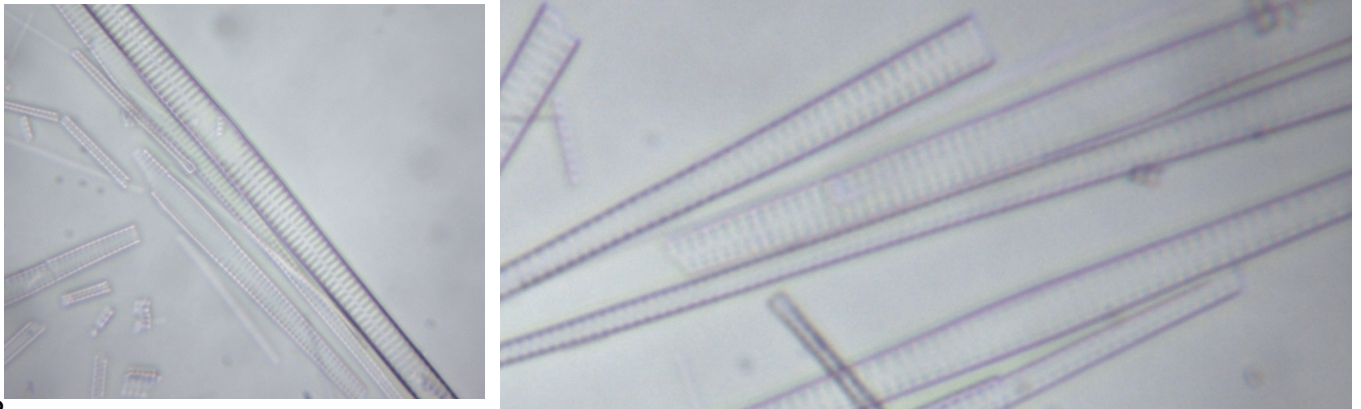
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680 Figure 2a and b.

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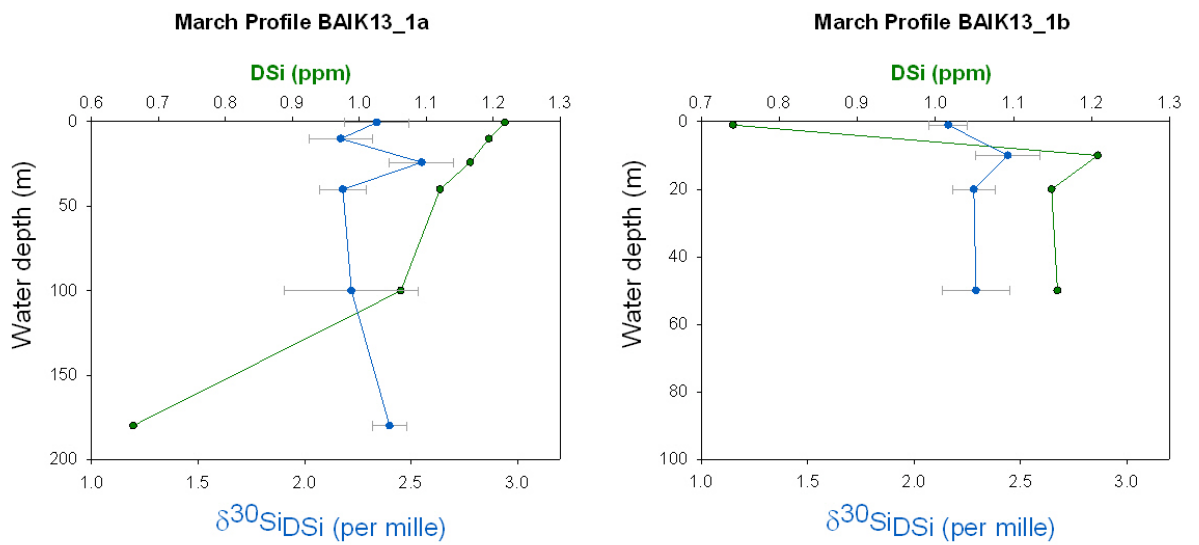
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689 Figure 3.



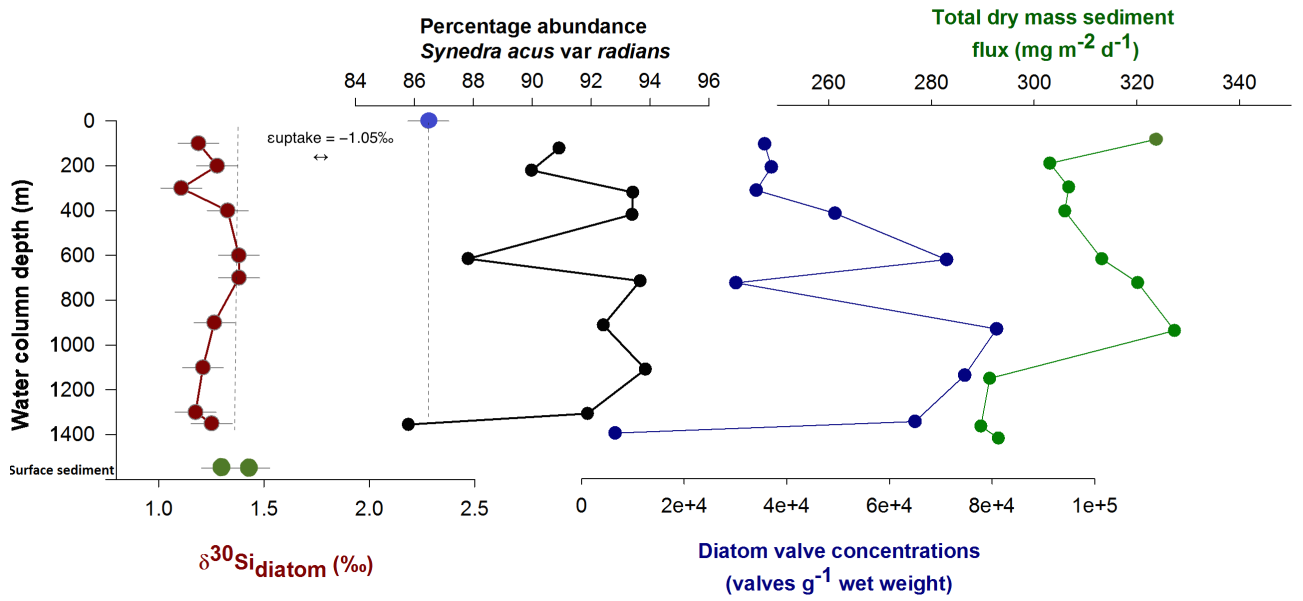
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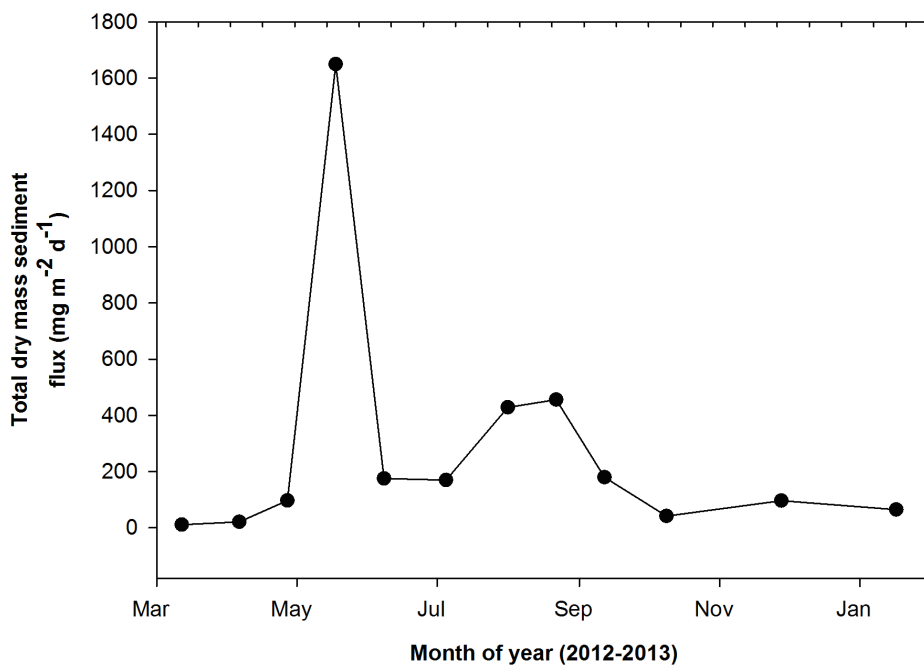
694 Figure 4.



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696 Figure 5

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