

1 **Insights into the transfer of silicon isotopes into the sediment record**

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18 19 20 **Abstract:**

21 The first $\delta^{30}\text{Si}_{\text{diatom}}$ data from lacustrine sediment traps are presented from Lake Baikal, Siberia.
22 Data are compared with March surface water (upper 180 m) $\delta^{30}\text{Si}_{\text{DSi}}$ compositions for which a
23 mean value of $+2.28\% \pm 0.09$ (95% confidence) is derived. This value acts as the pre-diatom
24 bloom baseline silicic acid isotopic composition of waters ($\delta^{30}\text{Si}_{\text{DSi initial}}$). Open traps were
25 deployed along the depth of the Lake Baikal south basin water column between 2012-2013.
26 Diatom assemblages display a dominance ($> 85\%$) of the spring/summer bloom species
27 *Synedra acus* var *radians*, so that $\delta^{30}\text{Si}_{\text{diatom}}$ compositions reflect predominantly
28 spring/summer bloom utilisation. Diatoms were isolated from open traps and in addition, from
29 3 monthly (sequencing) traps (May, July and August 2012) for $\delta^{30}\text{Si}_{\text{diatom}}$ analyses. Mean
30 $\delta^{30}\text{Si}_{\text{diatom}}$ values for open traps are $+1.23\% \pm 0.06$ (at 95% confidence and MSWD of 2.9).
31 Total dry mass sediment fluxes are highest in June 2012, which we attribute to the initial
32 export of the dominant spring diatom bloom. We therefore argue that May $\delta^{30}\text{Si}_{\text{diatom}}$
33 signatures ($+0.67\% \pm 0.06$) when compared with mean upper water $\delta^{30}\text{Si}_{\text{DSi initial}}$ (e.g. pre-
34 bloom) signatures can be used to provide a snapshot estimation of diatom uptake fractionation
35 factors (ϵ_{uptake}) in Lake Baikal. A ϵ_{uptake} estimation of -1.61% is therefore derived, although
36 we emphasise that synchronous monthly $\delta^{30}\text{Si}_{\text{DSi}}$ and $\delta^{30}\text{Si}_{\text{diatom}}$ data would be need to provide
37 more robust estimations and therefore more rigorously test this, particularly when taking into

38 consideration any progressive enrichment of the DSi pool as blooms persist. The near
39 constant $\delta^{30}\text{Si}_{\text{diatom}}$ compositions in open traps demonstrates the full preservation of the signal
40 through the water column and thereby justifies the use and application of the technique in
41 biogeochemical and palaeoenvironmental research. Data are finally compared with lake
42 sediment core samples, collected from the south basin. Values of $+1.30\text{‰} \pm 0.08$ (2σ) and
43 $+1.43\text{‰} \pm 0.13$ (2σ) were derived for cores BAIK13_1C (0.6-0.8 cm core depth) and at
44 BAIK13_4F (0.2-0.4 cm core depth) respectively. Trap data highlight the absence of a
45 fractionation factor associated with diatom dissolution ($\epsilon_{\text{dissolution}}$) (particularly as *Synedra acus*
46 var *radians*, the dominant taxa in the traps, is very susceptible to dissolution) down the water
47 column and in the lake surface sediments, thus validating the application of $\delta^{30}\text{Si}_{\text{diatom}}$ analyses
48 in Lake Baikal and other freshwater systems, in palaeoreconstructions.

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50

51 1. Introduction

52 Records of diatom silicon isotopes ($\delta^{30}\text{Si}_{\text{diatom}}$) provide a key means to investigate changes in
53 the global silicon cycle (De La Rocha, 2006; Hendry and Brzezinski, 2014; Leng et al., 2009;
54 Tréguer and De La Rocha, 2013). Through measurements of $\delta^{30}\text{Si}$ (including of diatoms;
55 $\delta^{30}\text{Si}_{\text{diatom}}$ and the dissolved silicon (DSi) phase; $\delta^{30}\text{Si}_{\text{DSi}}$) it has been possible to elucidate a
56 more comprehensive understanding of biogeochemical cycling both on continents (e.g.
57 Cockerton et al., 2013; Opfergelt et al., 2011) and in the ocean (Fripiat et al., 2012) allowing,
58 for example, an assessment of the role of the marine biological pump in regulating past
59 changes in atmospheric $p\text{CO}_2$ (e.g. Pichevin et al., 2009). These studies and their
60 interpretations rely on work that has examined the mechanics of diatom silicon isotope
61 fractionation, demonstrating an enrichment factor (ϵ_{uptake} ; resulting from the discrimination by
62 diatoms against the heavier ^{30}Si isotope) of $-1.1 \pm 0.4\text{‰}$ to $-1.2 \pm 0.2\text{‰}$. In this case ϵ_{uptake} is
63 the per mil enrichment between the resulting product and its substrate. Estimations of ϵ_{uptake}
64 ($-1.1 \pm 0.4\text{‰}$ to $-1.2 \pm 0.2\text{‰}$) have to date shown it to be independent of temperature,
65 $p\text{CO}_{2(\text{aq})}$ and other vital effects (De La Rocha et al., 1997; Fripiat et al., 2011; Milligan et al.,
66 2004; Varela et al., 2004), although more recent work on marine diatoms in laboratory
67 cultures has argued for a species dependent fractionation effect (Sutton et al., 2013). In this
68 case, ϵ_{uptake} estimations were documented between $-0.53 \pm 0.11\text{‰}$ and $-0.56 \pm 0.07\text{‰}$ for the
69 species *Fragilariopsis kerguelensis* (depending on culturing strains used) and up to $-2.09 \pm$
70 0.09‰ for the species *Chaetoceros brevis* (Sutton et al, 2013).

71

72 A further assumption is that the isotopic signatures captured by diatoms in the photic zone are
73 faithfully transported through the water column and into the sediment record, without
74 alteration from dissolution or other processes. This has been questioned by evidence from

75 diatom cultures which have revealed a diatom dissolution induced fractionation ($\epsilon_{\text{dissolution}}$) of
76 $-0.55 \pm 0.05\%$ (from the preferential release of the heavier ^{30}Si isotope into the dissolved
77 phase, over the lighter ^{28}Si during dissolution) that is independent of inter-species variations
78 or temperature (Demarest et al., 2009), although the importance and indeed existence of an
79 $\epsilon_{\text{dissolution}}$ has been questioned by studies in the natural environment (Egan et al., 2012) and the
80 laboratory (Wetzel et al., 2014). Whilst measurements of $\delta^{30}\text{Si}_{\text{diatom}}$ from sediment traps
81 (Varela et al., 2004), core-tops (Egan et al., 2012) and in situ water column biogenic silica
82 (BSi) (Fripiat et al., 2012) in marine systems have been used in isolation, an integrated record
83 is needed to document the fate of $\delta^{30}\text{Si}_{\text{diatom}}$ as diatoms sink through the water and become
84 incorporated into the sediment record, particularly in a lacustrine system where hitherto no
85 such work has taken place. Here, we present pre-diatom bloom $\delta^{30}\text{Si}_{\text{DSi initial}}$ and $\delta^{30}\text{Si}_{\text{diatom}}$ data
86 from Lake Baikal, Siberia (Fig. 1). By analysing samples from sediment traps through
87 the $>1,600$ m water column and a sediment core from the same site (Figure 1), we document
88 the good transfer of the photic zone $\delta^{30}\text{Si}_{\text{DSi}}$ signature into diatoms and into the sediment
89 record.

90

91 Unlike in ocean systems, where $\delta^{30}\text{Si}_{\text{diatom}}$ analyses have been used as a tracer for past surface
92 water DSi utilisation and /or supply (De La Rocha, 2006; Panizzo et al. 2013; Pichevin et al.,
93 2012), its application in lake systems has not been as fully explored. To date, only a handful
94 of studies have aimed to validate the proxy in lacustrine systems via in situ measurements of
95 seasonal DSi and BSi (Alleman et al., 2005; Opfergelt et al., 2011). Here we present a further
96 validation of the proxy (e.g. estimations of ϵ_{uptake}), which also aims to address more fully the
97 preservation of the signal to the sediment record ($\epsilon_{\text{dissolution}}$), which is of great importance in
98 Lake Baikal where dissolution of diatoms is prevalent. This is particularly important if
99 measurements of $\delta^{30}\text{Si}_{\text{diatom}}$ are to be used to reconstruct past DSi utilisation and/or supply in
100 relation to climatic and/or environmental perturbations (Street-Perrott et al., 2008; Swann et
101 al., 2010). Furthermore, with recent evidence highlighting the perturbation of the steady state
102 delivery of DSi to ocean systems as a result of lacustrine burial (Frings et al., 2014) the
103 application of $\delta^{30}\text{Si}_{\text{diatom}}$ techniques may be of great value in the future.

104

105 The main objectives of this study are to therefore:

- 106 1. Use annual sediment trap data as a means to document the good transfer of surface
107 $\delta^{30}\text{Si}_{\text{diatom}}$ compositions to the sediment record and validate the use of $\delta^{30}\text{Si}_{\text{diatom}}$ methods in
108 Lake Baikal as a proxy for DSi utilisation/supply
- 109 2. Use sediment trap data, for the first time, to attempt to validate fundamental principles of
110 ϵ_{uptake} and $\epsilon_{\text{dissolution}}$, in Lake Baikal, which to date have been more widely investigated in
111 marine systems.

112

113

114 **2. Lake Baikal**

115 Lake Baikal (103°43'-109°58'E and 51°28'-55°47'N) is the world's deepest and most
116 voluminous lake (23,615 km³) containing one fifth of global freshwater not stored in glaciers
117 and ice caps (Atlas Baikal, 1993; Gronskaia and Litova, 1991; Sherstyankin et al., 2006).
118 Divided into three basins (south, central and north) the Academician Ridge separates the
119 central (max depth 1,642 m) and north (max depth 904 m) basins while the Buguldeika ridge
120 running north-easterly from the shallow waters of the Selenga delta, divides the south (max
121 depth 1,460 m) and central basins (Sherstyankin et al., 2006)(Figure 1). This study will focus
122 on the southern basin (where sediment traps were deployed; Figure 1), which has an estimated
123 average depth of 853 m (Sherstyankin et al., 2006) and a long water residence time of 377-
124 400 years (Gronskaia and Litova, 1991), although the residency time of silicon in the lake is
125 estimated to be shorter at 170 years (Falkner et al., 1997).

126 Diatom dissolution in Lake Baikal occurs mainly at the bottom sediment-water interface as
127 opposed to during down-column settling of diatoms (Ryves et al., 2003) with Müller et al
128 (2005) showing that remineralisation processes are an important constituent of surface water
129 nutrient renewal. Lake Baikal may be thought of as having two differing water masses with
130 the mesothermal maximum (MTM) separating them at a depth of c. 200-300 m (Kipfer and
131 Peeters, 2000; Ravens et al., 2000). In the upper waters (above c. 200-300 m) both convective
132 and wind forced mixing occurs twice a year (Shimaraev et al., 1994; Troitskaya et al., 2014)
133 during spring and autumn overturn periods. These overturn periods follow (precede) ice off
134 (on) respectively and are separated by a period of summer surface water stratification (e.g.
135 above the MTM). Diatom productivity in the lake is most notable during these overturn
136 periods although spring diatom blooms tend to dominate annual productivity. Below c. 300 m
137 (e.g. below the MTM) waters are permanently stratified (Ravens et al., 2000; Shimaraev et al.,
138 1994; Shimaraev and Granin, 1991) although despite this the water column of Lake Baikal is
139 oxygenated throughout and it is estimated that c. 10% of its deeper water is renewed each
140 year through down-welling episodes (Hohmann et al., 1997; Kipfer et al., 1996; Shimaraev et
141 al., 1993; Weiss et al., 1991).

142

143 **3. Methods:**

144 **3.1. Sample locations**

145 Upper water column (top 180 m) samples for DSi concentrations and $\delta^{30}\text{Si}_{\text{DSi}}$ analyses were
146 collected on two occasions, when the lake was ice-covered, less than two weeks apart, in

147 March 2013 at site BAIK13_1 (sampling a and b; Table 1) in the south basin of Lake Baikal
148 (Figure 1; 51.76778°N and 104.41611°E) using a 2 litre Van Dorn sampler. This sampling
149 coincided with the period when: 1) riverine and precipitation inflows to the lake are minimal;
150 and 2) photosynthetic activity in the lake was low (as demonstrated by negligible in-situ Chl *a*
151 measurements). We argue that the average of these captured, pre-bloom, DSi and $\delta^{30}\text{Si}_{\text{DSi}}$
152 values represent the baseline nutrient conditions of the upper waters of the South Basin.
153 Samples were filtered on collection through 0.4 μm polycarbonate filters (Whatman) before
154 storage in 125 ml acid washed LDPE bottles and acidified with Superpure HCl to a pH above
155 2.

156

157 At the same site, samples were collected from open sediment traps (n=10) deployed by
158 EAWAG and the Institute of Earth's Crust/SB-RAS between March 2012 and March 2013
159 (from 100 to 1350 m water depth; Table 2) and from monthly sequencing traps (n=3) on the
160 same array at a water depth of 100 m. For all open traps and for three of the monthly traps
161 (A4: 17th May 2012 to 7th June 2012, A6: 4th July 2012 to 31st July 2012 and A7: 31st July
162 2012 to 21st August 2012) it was possible to extract sufficient diatoms for isotope analysis
163 (see below).

164

165 Sediment cores were collected from site BAIK13_1 (51.76778°E and 104.41611°N; Fig. 1)
166 and from the nearby BAIK13_4 (51.69272°N and 104.30003°E; Fig. 1) using a UWITEC
167 corer through c. 78–90cm of ice with on site sub-sampling at 0.25 cm intervals. Both
168 sediment cores were dated using ^{210}Pb dating (at University College London) using the CRS
169 (constant rate of supply) model (Appleby and Oldfield, 1978), which is in agreement with the
170 individual ^{137}Cs record for the two cores. Sub-samples corresponding to 0.6-0.8 cm at
171 BAIK13_1 (core BAIK13_1C; age = 2007 AD \pm 2 years) and 0.2-0.4 cm at BAIK13_4F
172 (core BAIK13_4F; age = 2012 AD \pm 7 years: the sampling period covered by the sediment
173 traps) were processed to obtain diatoms for $\delta^{30}\text{Si}_{\text{diatom}}$ analysis.

174

175 **3.2. Analytical methods**

176 **3.2.1. Diatom counting**

177 To assess the taxonomic composition of diatoms in the sediment trap samples, diatom slides
178 were prepared using a protocol that omits any chemical treatments or centrifugation in order
179 to minimise further diatom dissolution and valve breakage (see Mackay et al., 1998 for full
180 details). Slides were counted using a Zeiss light microscope with oil immersion and phase
181 contrast at x1000 magnification. Microspheres at a known concentration of 8.2×10^6 spheres
182 ml^{-1} , were added to all samples in order to calculate diatom concentrations.

183

184 **3.2.2. Silicon isotope sample preparation**

185 Prior to isotope analysis 0.7-1.0 g of sediment core (dry weight) and trap material (wet weight)
186 was digested of organic matter with analytical grade H₂O₂ (30%) at 75°C for c. 12 hours. This
187 was followed by heavy density separation using sodium polytungstate (Sometu Europa) at x
188 2,500 rpm for fifteen minutes, with centrifuge break off, at a specific gravity between 2.10-
189 2.25 g ml⁻¹ (adjusted to suit sample contamination) to remove lithogenic particles and clays.
190 Samples were washed (up to 10 times) with deionised water at x 2,500 rpm for five minutes
191 before visual inspection for contaminants at x 400 magnification on a Zeiss inverted light
192 microscope. All samples showed no evidence of external contaminants that would impact the
193 isotopic measurements (as displayed in light microscopy images; Figure 2a and b).

194

195 Silicon concentrations on all 25 samples (10 March lake water and 13 diatom opal trap
196 samples (open Z and sequencing A traps) and 2 lake surface sediment samples) were
197 measured on an Inductively Coupled Plasma-Mass Spectrometer (ICP-MS) (Agilent
198 Technologies 7500) at the British Geological Survey. Diatom samples were digested using the
199 NaOH fusion method (Georg et al., 2006) with 1-3 mg of powdered material fused with a 200
200 mg NaOH (Quartz Merk) pellet in a silver crucible, covered within a Ni crucible with lid, for
201 10 minutes in a muffle furnace at 730°C. Following fusion, silver crucibles were placed in a
202 30 ml Teflon Savillex beaker and rinsed with Milli Q water before adding Ultra Purity Acid
203 (UPA) HCl (Romil) to reach a pH above 2. Samples were sonicated to ensure they were fully
204 dissolved and mixed before leaving overnight in the dark.

205

206 Water samples with DS_i concentrations <1.5 ppm were pre-concentrated prior to column
207 chemistry by evaporating 30 ml of sample to 5 ml at 70°C on a hotplate in a Teflon Savillex
208 beaker in a laminar flow hood. This follows Hughes et al (2011), who showed no evaporative
209 alteration of Si in samples and reference materials, provided samples are not evaporated to
210 dryness. This was not conducted for sample BAIK1a_100 m as there was insufficient sample
211 to do so (Table 1). Following pre-concentration, samples were purified by passing a known
212 volume (between 1 and 2.5 ml depending on Si concentration) through a 1.8 ml cationic resin
213 bed (BioRad AG50W-X12) (Georg et al., 2006) and eluted with 3 ml of Milli Q water in
214 order to obtain an optimal Si concentration of between 3-10 ppm.

215

216 **3.2.3. Silicon isotope analysis**

217 All isotope analyses were carried out on a ThermoScientific Neptune Plus MC-ICP-MS (multi
218 collector inductively coupled plasma mass spectrometer), operated in wet-plasma mode using
219 the method/settings outlined in Cockerton et al (2013). To overcome any analytical bias due
220 to differing matrices, samples and reference materials were acidified using HCl (to a

221 concentration of 0.05 M, using Romil UPA) and sulphuric acid (to a concentration of 0.003 M,
222 using Romil UPA) following the recommendations of Hughes et al (2011) the principle being
223 that doping samples and standards alike, above and beyond the natural abundance of Cl⁻ and
224 SO₄²⁻, will evoke a similar mass bias response in each. All samples were doped with ~300 ppb
225 magnesium (Mg, Alfa Aesar SpectraPure) to allow the data to be corrected for the effects of
226 instrument induced mass bias (Cardinal et al., 2003; Hughes et al., 2011). In order to do this
227 Mg concentrations were the same in both standard and samples.

228

229 Background signal contributions on ²⁸Si were typically between 50 and 100mV. Total
230 procedural blanks for water samples were 15 ng compared to typical sample amounts of 4000
231 ng. Procedural blank compositions are difficult to accurately measure (due to exceedingly low
232 Si signals), but as a worse-case scenario may have deviated from sample compositions by ca.
233 0.38%, contributing up to a ca. 0.02‰ shift in typical sample compositions. This increases to
234 c. 0.20‰ compositional shift in exceptional cases i.e. for one sample replicate (BAIK13_1,
235 0m), which has a Si concentration of much less than 1ppm. Fusion procedural blanks were c.
236 42 ng compared to typical fusion sample amounts of 4900 ng. Again Procedural blank
237 compositions are difficult to accurately measure, but may have deviated from sample
238 compositions by c. 0.04%, contributing up to a less than 0.01‰ shift in the sample
239 compositions.

240

241 The validation material (Diatomite) was analysed repeatedly during each analytical session
242 and a secondary reference material (an in-house river water sample, RMR4) was also
243 periodically analysed. Data were corrected on-line for mass bias using an exponential
244 function, assuming ²⁴Mg/²⁵Mg = 0.126633. All uncertainties are reported at 2σ absolute, and
245 incorporate an excess variance derived from the Diatomite validation material, which was
246 quadratically added to the analytical uncertainty of each measurement. δ³⁰Si:δ²⁹Si ratios of all
247 data were compared with the mass dependent fractionation line (1.93), with which all data
248 comply (Johnson et al., 2004). Long term (~ 2 years) variance for the method is: Diatomite =
249 +1.23‰ ± 0.16‰ (2σ, n=210) (consensus value of +1.26‰ ± 0.2‰, 2σ; Reynolds et al., 2007)
250 and RMR4 = +0.88‰ ± 0.20‰ (2σ, n=42).

251

252 4. Results

253 Below ice δ³⁰Si_{DSi} and DSi values in March 2013 from the top 1 m of the water column,
254 collected within 2 weeks of each other, are +2.34‰ ± 0.15 (2σ), 1.22 ppm and +2.16‰ ± 0.09
255 (2σ), 0.74 ppm for BAIK13_1a and BAIK13_1b respectively (Figure 3; Table 1). DSi
256 compositions show some variability with depth at both sites, with overall trends showing

257 decreasing concentrations with depth (Figure 3), with the exception of the surface sample at
258 BAIK13_1b (0.74 ppm). As we are unable to fully account for this variability in DSi
259 concentrations, we use a weighted mean surface water (e.g. above the MTM) $\delta^{30}\text{Si}_{\text{DSi}}$
260 compositions collected in March before the diatom bloom period, to act as the baseline
261 isotopic composition (as will be discussed in Section 5.1). This is in order to compare with
262 open trap data and estimate the fractionation effect of diatoms (ϵ_{uptake}). In this case, $\delta^{30}\text{Si}_{\text{DSi}}$
263 means are +2.28 ($\pm 0.09\text{‰}$, 95% confidence; Table 1), although some variability is
264 highlighted between data (e.g. mean square weighted deviation (MSWD) = 4.1; Table 1).

265

266 ICP-MS data of diatom opal show that ratios of Al:Si are all <0.01 (data not shown),
267 indicating that contamination in all sediment trap and core samples is negligible. This was
268 confirmed by visual inspection of the diatom samples by light microscopy (Figures 2a and b),
269 prior to analysis. Sediment trap diatoms are dominated ($> 85\%$) by the species *Synedra acus*
270 var *radians*. Diatom concentrations show some variability, varying between c. 3×10^4 and $7 \times$
271 10^4 valves/g wet weight (Figure 4), although lowest concentrations are seen in the open
272 sediment trap at 1,350 m depth (3×10^4 valves/g wet weight Figure 4). This is coincident with
273 lowest diatom (*Synedra acus* var *radians*) valve abundances also (86%; Table 2). $\delta^{30}\text{Si}_{\text{diatom}}$
274 data from the open sediment traps show little variability (within analytical uncertainty) down
275 the water column profile in Lake Baikal (Table 2; Figure 4) with values ranging from +1.11‰
276 and +1.38‰ (weighted mean +1.23‰; 0.06 at 95% confidence). Sequencing (A) traps from
277 May, July and August following the onset of major diatom productivity in early spring show a
278 degree of variability with July and August $\delta^{30}\text{Si}_{\text{diatom}}$ data similar to the open sediment traps
279 but data from May lower at 0.67‰ (Table 1). Surface sediment results from BAIK13_1C
280 (0.6-0.8 cm core depth) and BAIK13_4F (0.2-0.4 cm core depth) are very similar to the both
281 open (Z) and July, August sequencing (A) traps with $\delta^{30}\text{Si}_{\text{diatom}}$ signatures of +1.30‰ ± 0.08
282 (2 σ) and +1.43‰ ± 0.13 (2 σ) respectively (Table 2). Open trap total dry mass fluxes show a
283 near constant value down the Lake Baikal water column (Table 2), with values ranging
284 between 289.64 mg m⁻² d⁻¹ at 1300 m water depth and 327.32 mg m⁻² d⁻¹ at 900 m water depth.
285 Sequencing traps show the highest peak in total dry mass fluxes for the month of June
286 1649.52 mg m⁻² d⁻¹ (although black particulate matter, of unknown origin is also present) and
287 remain higher (compared to winter months) from July to October (Figure 5).

288

289 5. Discussion

290 The extreme continentality of the region around Lake Baikal generates cold, dry winters that
291 create an extensive ice cover over the lake from October/November-May/June (north basin)
292 and January-April/May (south basin) (Atlas Baikalia, 1993). This ice-cover plays a key role in

293 regulating seasonal diatom productivity (as discussed in Section 2) with blooms developing
294 following the: 1) reductions in ice-cover in spring; and 2) after mixed layer stratification in
295 summer (Granin et al., 2000; Jewson et al., 2009; Popovskaya, 2000; Shimaraev et al., 1994;
296 Troitskaya et al., 2014). These blooms are also coincident with periods of overturn in the
297 upper waters of the lake (e.g. above the MTM; Section 2). The March $\delta^{30}\text{Si}_{\text{DSi}}$ data in this
298 study were collected when there was no/negligible chlorophyll *a* in the water column down to
299 a depth of 200 m. Accordingly, we interpret March $\delta^{30}\text{Si}_{\text{DSi}}$ ($+2.28\% \pm 0.09$; 95% confidence
300 interval, $n = 10$; Table 1) as reflecting the pre-spring bloom isotopic composition of silicic
301 acid in the mixed layer prior to its uptake and fractionation in subsequent weeks as the spring
302 bloom develops. Whilst the open traps deployed from March 2012-March 2013 may contain
303 diatoms from both spring and autumnal blooms, we suggest that $\delta^{30}\text{Si}_{\text{diatom}}$ signatures from
304 these traps are primarily derived from the first bloom in spring/summer due to the dominance
305 of: 1) spring diatom blooms in the annual record (Popovskaya., 2000); and 2) the dominance
306 of spring/summer (May to August) blooming *S. acus* var *radians* (Ryves et al., 2003) in the
307 traps (>85% relative abundance; Figure 4). This is supported by total dry mass fluxes from
308 the 100 m sequencing traps which peak in June to September (Figure 5). We therefore argue
309 that the open trap data should be primarily reflective of spring to summer silicic acid
310 utilisation in the photic zone and so, can be used to trace the fate of surface water signatures
311 through the water column and into the sediment record.

312

313 **5.1. Estimations of diatom $\delta^{30}\text{Si}$ fractionation (ϵ)**

314 During biomineralisation diatoms discriminate against the heavier ^{30}Si isotope, preferentially
315 incorporating ^{28}Si into their frustules and leaving ambient waters enriched in ^{30}Si . Existing
316 work from culture experiments and marine environments has suggested an ϵ (the per mil
317 enrichment factor between dissolved (DSi) and solid (diatom) phases) during
318 biomineralisation (ϵ_{uptake}) of $-1.1 \pm 0.4\%$ to $-1.2 \pm 0.2\%$ (De La Rocha et al., 1997; Fripiat et
319 al., 2011; Milligan et al., 2004; Varela et al., 2004). Such estimations of ϵ_{uptake} have been
320 applied within both closed system (De La Rocha et al., 1997) and open system (Varela et al.,
321 2004) modeling as a means to estimate variations in $\delta^{30}\text{Si}$ compositions. Although, as
322 discussed in Section 1, more recent evidence from cultured marine diatoms does point to a
323 species dependent fractionation effect, which could range anywhere between $-0.53 \pm 0.11\%$
324 (*Fragilariaopsis kerguelensis* species) and $-2.09 \pm 0.09 \%$ (*Chaetoceros brevis* species)
325 (Sutton et al., 2013).

326

327 Monthly data for both $\delta^{30}\text{Si}_{\text{DSi}}$ and $\delta^{30}\text{Si}_{\text{diatom}}$ are not available in order to fully constrain ϵ_{uptake}
328 over the course of the diatom growing season in Lake Baikal (e.g. estimating variations

329 between the open and closed system models, where the import/export of DSi and BSi can be
330 more fully estimated from surface waters). Nevertheless, we can apply the data, in this
331 context, to provide a snapshot of ϵ_{uptake} , when a comparison is made between $\delta^{30}\text{Si}_{\text{DSi}}$ initial
332 and the first monthly sequencing trap $\delta^{30}\text{Si}_{\text{diatom}}$ compositions. We select the May $\delta^{30}\text{Si}_{\text{diatom}}$
333 signatures as we propose it reflects the initiation of the diatom bloom and therefore captures
334 the opal exported (based on total dry mass sediment flux data; Figure 5) from surface waters
335 at this time. These compositions will therefore most likely derive from DSi initial
336 compositions (March surface waters) before any (or minimal) progressive DSi enrichment
337 occurs. We propose these data for discursive reasons in order to extend the estimations of
338 ϵ_{uptake} from lacustrine systems and argue that they act as a snapshot estimation in this instance.

339

340 When examining sequencing trap total dry mass sediment fluxes for the year 2012-2013,
341 numbers are greatest for the month of June (Figure 5). This directly follows the period when
342 $\delta^{30}\text{Si}_{\text{diatom}}$ isotopic compositions are the lowest of the three sequencing traps presented (May
343 2012 = $+0.67\text{‰} \pm 0.06$). Although diatom concentrations are not available for the sequencing
344 traps, we propose that these higher total dry mass sediment fluxes (Figure 5) capture the
345 exported May 2012 diatom bloom (e.g. the spring bloom) following ice off and, based on flux
346 data, most likely represent the event more closely associated with pre-bloom surface water
347 (e.g. March) $\delta^{30}\text{Si}_{\text{DSi}}$ compositions ($+2.28\text{‰} \pm 0.09$; 95% confidence interval, $n = 10$; Table 1).
348 Although later monthly $\delta^{30}\text{Si}_{\text{DSi}}$ data is not available, it is probable that the heavier isotopic
349 $\delta^{30}\text{Si}_{\text{diatom}}$ compositions of July and August sequencing traps (Table 2) reflect the progressive
350 enrichment of the DSi surface pool as the bloom develops. On the contrary, open trap data
351 (Table 2) constitute the mean annual $\delta^{30}\text{Si}_{\text{diatom}}$ composition of diatoms, incorporating
352 signatures derived from throughout the year (a mean $\delta^{30}\text{Si}_{\text{diatom}}$ composition of $+1.23\text{‰} \pm 0.06$;
353 95% confidence interval, $n = 10$; Table 2).

354

355 Although diatom uptake fractionation factors cannot be fully constrained in this study
356 (particularly when addressing open trap $\delta^{30}\text{Si}_{\text{diatom}}$ signatures), due to the absence of
357 comprehensive monthly DSi and BSi data, we can still provide an estimation of ϵ_{uptake} for
358 Lake Baikal. However, we emphasise that this is for discussion purposes alone and that in
359 order for this to be a more robust estimation, there is need for more seasonal investigations.
360 Nevertheless, if we argue that May $\delta^{30}\text{Si}_{\text{diatom}}$ act as the dominant spring bloom composition
361 ($+0.67\text{‰} \pm 0.06$; Table 2) exported from the surface zone and we compare this with our
362 March mean pre-bloom spring top water (incorporating 0 to 180 m) $\delta^{30}\text{Si}_{\text{DSi}}$ composition (e.g.
363 a DSi initial) of $+2.28\text{‰} (\pm 0.09, 95\% \text{ confidence interval}, n = 10)$ (Table 1) we can derive a
364 estimation of ϵ_{uptake} of -1.61‰ (ranging between -1.46‰ and -1.70‰ when taking account

365 of respective analytical uncertainty). We propose that this reflects more fully the initial uptake
366 of DSi by diatoms, following ice-off and turnover, while later sequential trap data (of July and
367 August; $+1.22\text{‰} \pm 0.08$ and $+1.37\text{‰} \pm 0.07$ respectively; Table 2) quite possibly reflect the
368 progressive enrichment of the surface DSi pool which cannot be constrained here. Although
369 this ϵ_{uptake} estimation of -1.61‰ falls within (or just outside of; e.g. $-1.2\text{‰} \pm 0.2$ from Fripiat
370 et al, 2011) analytical uncertainty of existing estimations (e.g. from temperate/sub-polar
371 marine diatoms, $-1.1\text{‰} \pm 0.4$; De la Rocha et al., 1997) of ϵ_{uptake} we propose that they further
372 highlight the need for better estimations, given the current lack of consensus within the
373 literature. This is particularly important within the context of fresh water Si
374 palaeoreconstructions where there is a paucity of laboratory culture experiments. Furthermore,
375 as the handful of in-situ measurements derived from lacustrine studies, have calculated ϵ_{uptake}
376 values closer to -1.1‰ (e.g. Alleman et al., 2005; Opfergelt et al., 2011). What is more, these
377 estimations of ϵ_{uptake} are further compounded by the more recent evidence which has thrown
378 into question the role that species dependent fractionation factors may take during diatom
379 biomineralisation (e.g. Sutton et al, 2013) although investigations of this in lacustrine
380 environments are still to be conducted.

381

382

383 ***5.2. The fate of diatom utilisation and $\delta^{30}\text{Si}_{\text{diatom}}$ in Lake Baikal***

384 Asides from the discussions surrounding the biological uptake of DSi by diatoms and the
385 seasonal relationship between DSi compositions, the isotopic composition of trap data (Table
386 2) from down the water column (except for the May sequencing trap) (Table 2) highlights that
387 the isotopic signature incorporated into diatoms in the photic zone during biomineralisation is
388 safely transferred through the water column without alteration, either from dissolution
389 ($\epsilon_{\text{dissolution}}$) or other processes. Indeed, $\delta^{30}\text{Si}_{\text{diatom}}$ signatures through the open traps show
390 minimal variation (mean of $+1.23\text{‰} \pm 0.06$ at 95% confidence and MSWD of 2.9; Table 2).

391

392 The role of dissolution is particularly important for the species *Synedra acus* var *radians*
393 (which dominates open trap compositions for the year 2012-2013; Table 2) as literature has
394 demonstrated the fragility of this valve, particularly its sensitivity to water column and surface
395 sediment interface dissolution (Battarbee et al., 2005; Ryves et al., 2003). While this species
396 is sensitive to dissolution, Mackay et al (1998) have nevertheless documented an increased
397 percentage presence in south Basin, Lake Baikal sediments, over the past c. 60 years (to
398 between 10 and 20% relative abundance), thought to represent a biological response to late
399 20th Century warming in this region. Although the majority of dissolution in Lake Baikal
400 occurs at the surface-sediment interface, with only 1% of phytoplanktonic diatoms becoming
401 incorporated into the sediment record (Battarbee et al., 2005; Ryves et al., 2003), $\delta^{30}\text{Si}_{\text{diatom}}$ in

402 sediment core surface samples (i.e., post burial) at BAIK13_1C (0.6-0.8 cm core depth) and at
403 BAIK13_4F (0.2-0.4 cm core depth) of $+1.30\text{‰} \pm 0.08$ (2σ) and $+1.43\text{‰} \pm 0.13$ (2σ)
404 respectively (Figure 4) are also similar (within uncertainty) to the sediment trap data of $+1.23\text{‰}$
405 ± 0.06 (95% confidence). These data confirm that in contrast to previous work (Demarest et
406 al., 2009) there is no $\epsilon_{\text{dissolution}}$ or at least no other alteration of the $\delta^{30}\text{Si}_{\text{diatom}}$ signature from
407 diatoms sinking through the water column and during burial in the sediment record. This in
408 agreement with previous studies on marine diatoms (Wetzel et al., 2014) and validates that
409 $\delta^{30}\text{Si}_{\text{diatom}}$ can be used in lacustrine sediment cores to constrain biogeochemical cycling
410 (building on work by Egan et al., 2012).

411

412 **6. Conclusions:**

413 The first $\delta^{30}\text{Si}_{\text{diatom}}$ data from lacustrine sediment traps are presented from Lake Baikal, Siberia
414 and their use in interpreting the fate of $\delta^{30}\text{Si}_{\text{diatom}}$ in the sediment record is shown. Mean values
415 for open traps ($+1.23\text{‰} \pm 0.06$ at 95% confidence and MSWD of 2.9), suggesting no alteration
416 to signal through the water column. Sequencing traps (May, July and August) do show
417 variation in their $\delta^{30}\text{Si}_{\text{diatom}}$ signatures, with May the lowest at $+0.67\text{‰}$ (± 0.06). With total dry
418 mass sediment fluxes highest in June 2012, we argue that May represents the initial diatom
419 bloom export from surface waters. As such we provide a snapshot estimation of ϵ_{uptake} in Lake
420 Baikal of -1.61‰ , when comparing May $\delta^{30}\text{Si}_{\text{diatom}}$ compositions and mean surface water
421 March $\delta^{30}\text{Si}_{\text{DSi}}$ compositions ($+2.28\text{‰} \pm 0.09$ at 95% confidence). Although monthly
422 synchronous $\delta^{30}\text{Si}_{\text{DSi}}$ and $\delta^{30}\text{Si}_{\text{diatom}}$ are not available to fully constrain ϵ_{uptake} (nor indeed any
423 seasonal progressive enrichment of DSi in surface waters) in Lake Baikal surface waters, the
424 data provide a snapshot into stable isotope processes in freshwater systems which to date have
425 not been fully explored. The near constant $\delta^{30}\text{Si}_{\text{diatom}}$ compositions in open traps demonstrates
426 the full preservation of the signal through the water column and thereby justifies the use and
427 application of the technique in biogeochemical and palaeoenvironmental research. In
428 particular, data highlight the absence of a fractionation factor associated with diatom
429 dissolution ($\epsilon_{\text{dissolution}}$) down the water column, of particular importance as the diatom species
430 *Synedra acus* is known to be sensitive to dissolution with estimations of only up to 5%
431 making it to the sediment interface (Ryves et al., 2003). This is further reinforced by lake
432 surface sediment data from south basin cores, which also demonstrate the absence of $\epsilon_{\text{dissolution}}$
433 due to the similar compositions (within uncertainty) of surface sediment $\delta^{30}\text{Si}_{\text{diatom}}$ when
434 compared to trap data.

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437

438 **Acknowledgements:**

439 This project was funded by National Environmental Research Council (NERC) Standard
440 Grants NE/J00829X/1, NE/J010227/1 and NE/J007765/1. The authors would like to thank
441 Simon Chenery and Thomas Barlow (BGS) for ICP-MS analyses of dissolved silicon
442 concentrations, in addition to Steven Noble (NIGL) for his assistance and knowledge.
443 Additional thanks go to Suzanne McGowan and Sarah Roberts (University of Nottingham) for
444 their invaluable assistance in the field as well as the numerous other international colleagues
445 that participated in the Lake Baikal March 2013 field season. The authors are indebted to the
446 assistance of Nikolay M. Budnev (Irkutsk State University), the captain and crew of the
447 Geolog research boat and Dmitry Gladkochub (IEC) in facilitating and organising all Russian
448 fieldwork. All ^{210}Pb dating was conducted at the Environmental Change Research Centre,
449 University College London.

450

451 **Tables and Figures:**

452

453 Table 1. $\delta^{30}\text{Si}_{\text{DSi}}$, respective uncertainties (2σ) and DSi concentrations for sampling in South
454 Basin of Lake Baikal at site BAIK13_1 in March 2013. Data are plotted in Figure 3.

455

456 Table 2. Open, sequencing trap and sediment core $\delta^{30}\text{Si}_{\text{diatom}}$ data and respective uncertainties
457 (2σ). Mean values for open and sequencing trap $\delta^{30}\text{Si}_{\text{diatom}}$ compositions are provided along
458 with 95% confidence and the population MSWD value (in bold). Respective water column
459 depths are presented along with the relative abundance of *Synedra acus* var *radians* (data not
460 available for sequencing traps). Total dry mass sediment fluxes are also shown for open trap
461 data ($\text{mg m}^{-2} \text{d}^{-1}$). All open trap data are plotted in Figure 4.

462

463 Figure 1. Map of the Lake Baikal catchment, showing dominant inflowing rivers and the
464 Angara river outflow. The three catchments are identified as well as the location of sites
465 BAIK13_1 and BAIK13_4, where cores, sediment traps and water column profiles were
466 collected.

467

468 Figure 2. Light microscopy images of open trap diatom species from Lake Baikal (x 1000).
469 Images show the purity of samples used for $\delta^{30}\text{Si}_{\text{diatom}}$ analyses.

470

471 Figure 3. Depicting water column sampling from Lake Baikal (180 m below surface) of DSi
472 concentrations (ppm) shown in green and $\delta^{30}\text{Si}_{\text{DSi}}$ (‰) signatures. The two sampling intervals
473 (BAIK13_1a and 1b) from March 2013 are both displayed. Note the different sampling depths

474 for these two data sets. All analytical errors of uncertainty are shown in grey (2σ). All data
475 correspond to Table 1.

476

477 Figure 4. Open sediment trap (2012-2013) data from site BAIK13_1, south basin Lake Baikal.
478 Samples are displayed along a y-axis of water column depth. $\delta^{30}\text{Si}_{\text{diatom}}$ data (‰) are expressed
479 with respective analytical errors (2σ) and surface sediment samples from cores BAIK13_1C
480 and BAIK13_4F are also displayed (in green) along with mean March surface water
481 compositions (in blue). Percentage abundance of the dominant diatom *Synedra acus* var
482 *radians*, diatom concentrations (valves/g wet weight) and total dry mass sediment fluxes (mg
483 $\text{m}^{-2} \text{d}^{-1}$) are also provided. All data are presented in Table 2.

484

485 Figure 5. Total dry mass sediment fluxes ($\text{mg m}^{-2} \text{d}^{-1}$) for monthly sequencing traps,
486 positioned at 100 m water depth in the south basin of Lake Baikal (2012-2013).

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660 Table 1.

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	Water depth (m)	DSi (ppm)	$\delta^{30}\text{Si}_{\text{DSi}}$ (‰)	Prop' 2s abs	$\delta^{29}\text{Si}_{\text{DSi}}$ (‰)	Prop' 2s abs
BAIK13_1a	0.4	1.22	+2.34	0.15 ¹	+1.22	0.10 ¹
03/03/2013	10	1.19	+2.17	0.15 ¹	+1.18	0.09 ¹
	24	1.17	+2.55	0.15 ¹	+1.29	0.10 ¹
	40	1.12	+2.18	0.11	+1.18	0.06
	100	1.06	+2.22*	0.31	+1.27*	0.19
	180	0.66	+2.40	0.08	+1.23	0.04
BAIK13_1b	1	0.74	+2.16	0.09	+1.14	0.04
12/03/2013	10	1.21	+2.44	0.15 ¹	+1.20	0.05 ¹
	20	1.15	+2.28	0.10 ¹	+1.17	0.04 ¹
	50	1.16	+2.29	0.16 ¹	+1.26	0.11 ¹
W.A MEAN			+2.28	0.09¹	+1.19	0.03¹
MSDW			4.1		1.9	

662 *This water sample was not pre-concentrated, refer to methods.

663 ¹These water sample values are weighted averages for sample replicates that are analytically
664 robust. These errors are at the 95% confidence interval.

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677 Table 2.

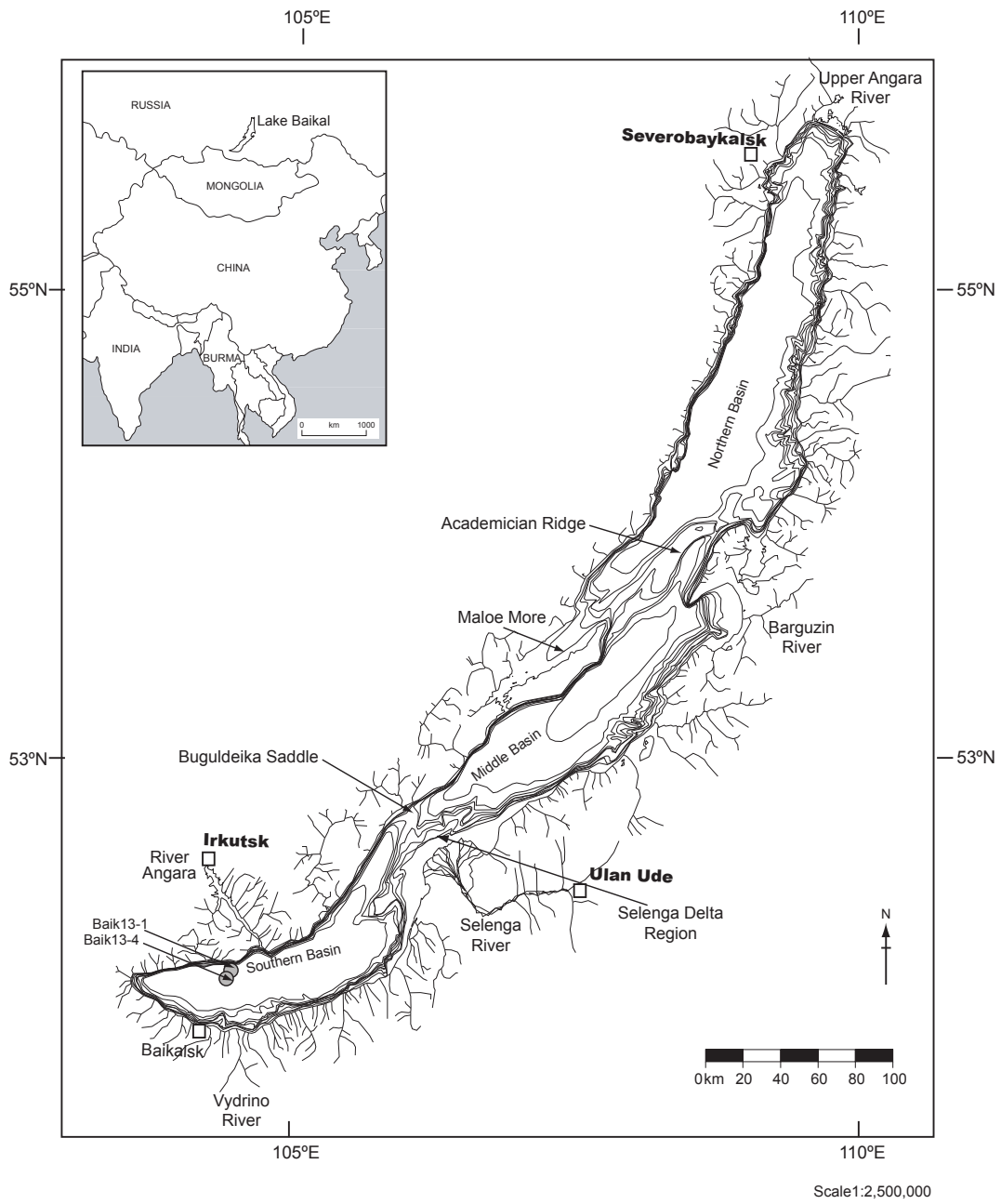
Code name	Water column depth (m)	$\delta^{30}\text{Si}_{\text{diatom}}$ (‰)	Prop' 2s abs	$\delta^{29}\text{Si}_{\text{diatom}}$ (‰)	Prop' 2s abs	Sediment Flux ($\text{mg m}^{-2} \text{d}^{-1}$)	<i>Synedra acus</i> var <i>radians</i> (% abundance)
Z1	100	+1.19	0.12	+0.62	0.07	1584	90
Z2	200	+1.28	0.11	+0.70	0.06	1503	90
Z3*	300	+1.11 ¹	0.15	+0.61 ¹	0.08	1686	93
Z4	400	+1.32 ¹	0.16	+0.69 ¹	0.10	1772	93
Z5	600	+1.38 ¹	0.15	+0.71 ¹	0.10	1942	88
Z6	700	+1.38	0.17	+0.69	0.11	1997	94
Z7	900	+1.26	0.14	+0.66	0.10	1980	92
Z8	1100	+1.21	0.13	+0.60	0.10	1887	94
Z9	1300	+1.17 ¹	0.12	+0.61 ¹	0.07	1943	92
Z10	1350	+1.25	0.11	+0.62	0.10	1999	86
W.A Mean		+1.23	0.06 ¹	+0.63	0.03 ¹		
MSWD		2.9		1.6			
Sequencing traps							
A4	May	+0.67	0.06	+0.36	0.04	1650	
A6	July	+1.22	0.08	+0.53	0.09	175	
A7	August	+1.37	0.07	+0.69	0.03	169	
Mean		+1.09	0.74 (2SD)	+0.53	0.33 (2SD)		
Sediment cores							
BAIK13_1C	0.6-0.8 cm	+1.30	0.08	+0.68	0.05		
BAIK13_4F	0.2-0.4 cm	+1.43	0.13	+0.75	0.04		

678 ¹These water sample values are weighted averages for sample replicates that are analytically
679 robust. These errors are at the 95% confidence interval.

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682 Figure 1.



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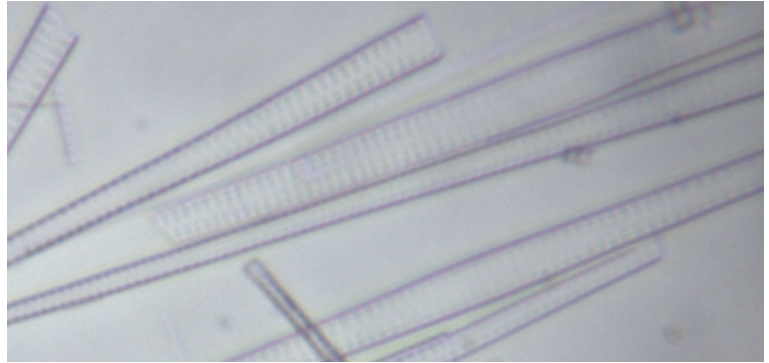
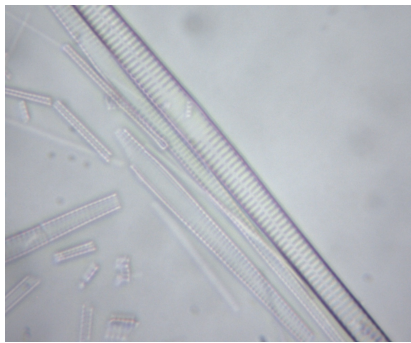
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688 Figure 2a and b.

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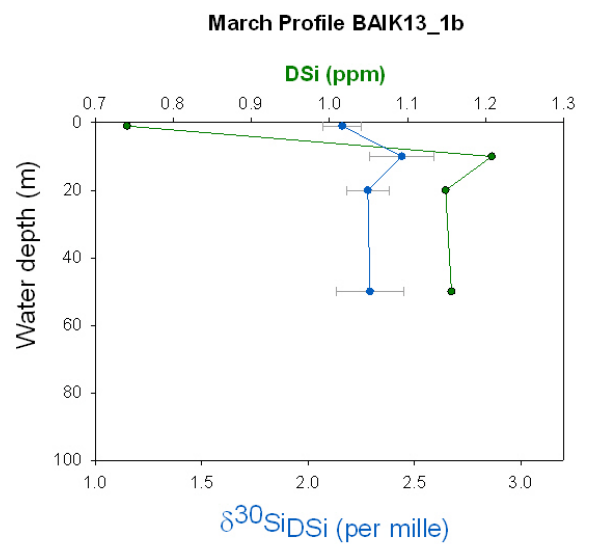
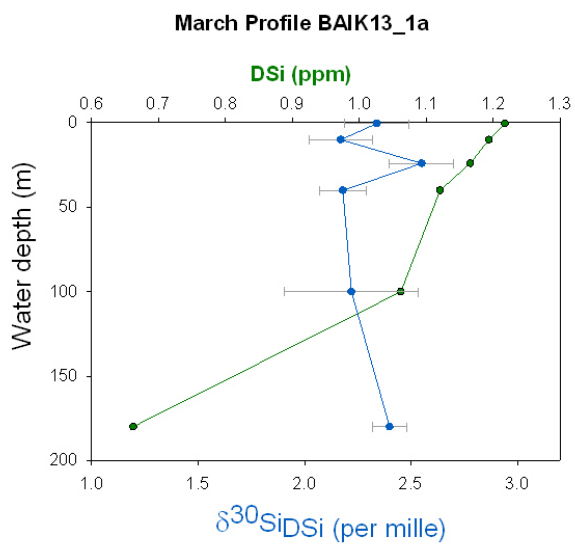
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697 Figure 3.



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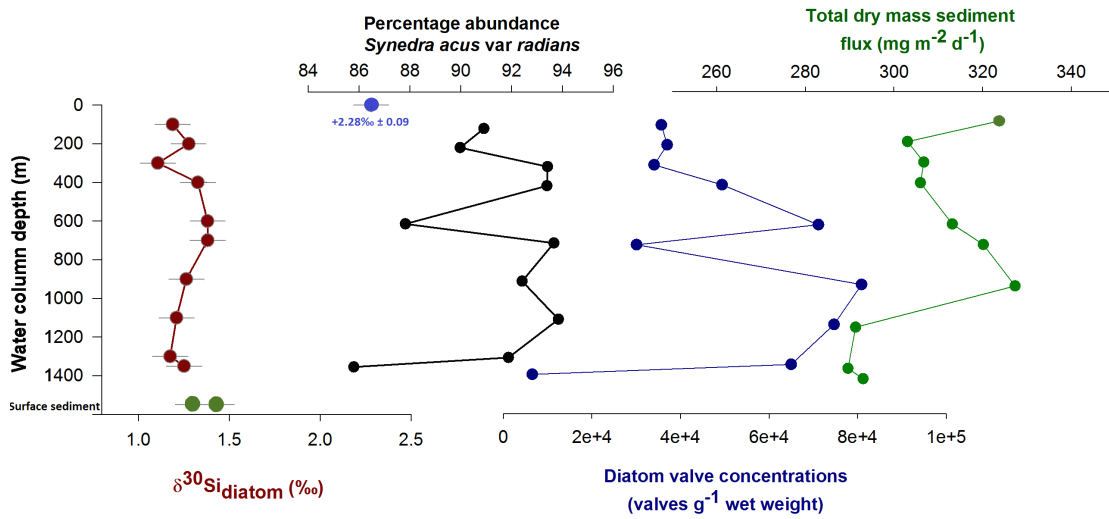
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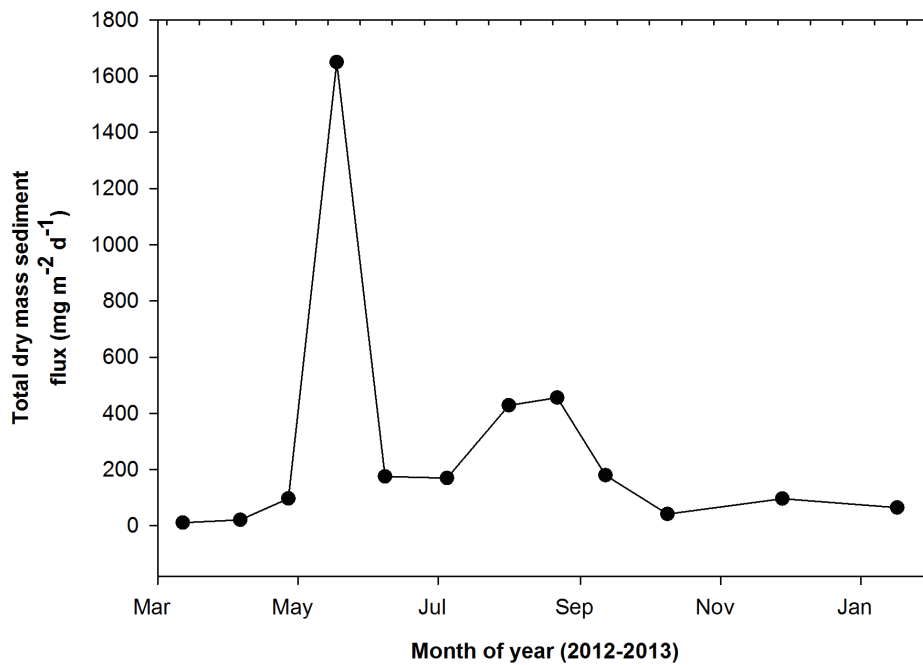
703 Figure 4.



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705 Figure 5

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