Dear Dr. Jens-Arne Subke,

Many thanks to you for your helpful and valuable comments which we appreciate and have been taken into account carefully in the revised manuscript. We here submit this revised manuscript. Our detailed responses to your comments were listed below and italicized in blue color.

With best regards, Tianshan and all coauthors

Associate Editor Decision: Publish subject to minor revisions (Editor review) (14 Sep 2015) by Dr. Jens-Arne Subke

Comments to the Author:

Dear Dr. Zha.

Many thanks for the revised manuscript. I think that you have managed to incorporate the referees' comments well. The main message of soil respiration being driven by organic matter in the soil and/or root-derived respiration is now clearer, but I find that there is still confusion caused by your use of the term "substrate". This tends to refer to recent, root-derived photosynthates, even though obviously any form of organic matter can for the substrate of metabolic activity. This is an ambiguity I found confusing when re-reading the paper.

There is also a tendency to repeat the findings with regards to substrate distribution and phenology, which could be tightened up - I highlight one paragraph in particular below.

Overall I think that this paper can be published in Biogeosciences, provided you can make the further edits outlined here.

Re: We clarified the term 'substrate' and tightened up repetitions with regards to substrate distribution and phenology in the revised manuscript. The manuscript was revised thoroughly in consideration of your comments and suggestions.

Detailed comments:

p. 2, 1. 7: delete "topographic"; change "flowering-bearing" to "flower-bearing" Re: The word 'topographic' was replaced with 'micro-topographic' because the variation here refers to micro-topographic variation. We replaced "flowering-bearing" by "flower-bearing" throughout our revised manuscript. (see p. 2, l. 7-8 in the revised manuscript)

p. 3, l. 3: The statement that desert ecosystems cover 45% is not correct. Asner et al refer to arid and semi-arid ecosystems, which is not the same. When cold deserts are excluded, the number reduces further. As your investigation looks at C dynamics in hot deserts (which differ dramatically from those of the Arctic), I would like you to include the appropriate figure for arid and semiarid ecosystems, and excluding Arctic ecosystems.

Re: We corrected the statement by "Arid, semiarid and dry-subhumid ecosystems occupy 41% of the terrestrial surface (Safriel and Adeel, 2005)." See p. 3, l. 3-5 in the revised manuscript.

- p. 3, 1. 10: Please rephrase: "..., it is necessary to understand..."
- Re: The sentence was rephrased, we replaced "there is an urgent need..." by "it is necessary...". See p. 3, l. 11-12 in the revised manuscript.
- p. 3, l. 16: "... exhibit significant heterogeneity as a result..."

Re: We replaced "strong topographic heterogeneity" with "significant heterogeneity". See p.3, l. 18.

p. 5, l. 17/18: Please indicate which direction (i.e. E or W) are lee- and wind-ward.

Re: We added the word "in the east" and "in the west" next to the word "windward" and "leeward", respectively. (see p. 5, l. 18-19 in the revised manuscript)

p. 6, l. 4: Delete "gas"!

Re: We deleted "gas". See p. 6, l. 8 in the revised manuscript.

p. 7, l. 17: Replace "less" by "fewer". Also: "analyses" rather than "analysis".

Re: We replaced "less" and "analysis" by "fewer" and "analyses", respectively. See p. 7, l. 19 in the revised manuscript.

- p. 7, 1. 18: This is not clear; I suggest "... were first screened and values beyond the range of -1 and 15 μ mol m⁻² s⁻¹ (mean \pm 5 standard deviations) were removed..." Re: We agree. We replaced "using limit checking, in which" by "and". See p. 7, l. 20 in the revised manuscript.
- p. 7, 1. 18-21: are the "spurious results" included in the 3% associated with instrument failure and quality control? If not, please indicate the percentage.

Re: Yes, the "spurious results" here were included in quality control.

p. 7, l. 21: Why were days of rainfall excluded?

Re: Because high CVs among slopes over the sand dune during rainfall confound the estimation of CVs in each phenophases, thus we excluded the days of rainfall.

p. 8, 1. 22: I suggest "only minor" rather than "nominal".

Re: We agree. We replaced "nominal" by "only minor". See p. 9, l. 1 in the revised manuscript.

p. 9, 1. 13/14: Rather than giving detailed p-values, simply indicate "p > 0.05".

Re: We indicated "p > 0.05" instead of specific p-values. See p. 9, l. 15-16 in the revised manuscript.

p. 9, l. 15; Please switch figures 5 and 6 round so that you refer to them in the appropriate order.

Re: We switched figures 5 and 6 round and changed their reference accordingly. See p. 32-31 in the revised manuscript.

p. 10, 1. 21-22: Delete "Thus, we... vice versa."

Re: We deleted the sentence "Thus, we... vice versa.". See p. 11, l. 1-2 in the revised manuscript.

p. 11, 1. 1-4: This paragraph would benefit from a concluding sentence for your observations regarding topographic position. Something along the lines that topographic position or height within the sand dune per se is a poor predictor of Rs.

Re: We agree. We added a statement "It is therefore concluded that topographic

Re: We agree. We added a statement "It is therefore concluded that topographic position or height within the sand dune per se is a poor predictor of R_s ." at the end of this paragraph. See p. 11, l. 6-8.

p. 12, l. 2/3: This sentence makes no sense. Are you saying that forests and grasslands are not limited by the supply of recent photosynthates? Litter and dissolved organic matter from litter are also substrates! Please make this much clearer.

Re: We clarified this by deleting the sentence "These forests and grasslands are generally not limited by substrate supply, thus more litterfall and heterotrophic respiration" in the revised manuscript. See p. 12, l. 5-8.

p. 12, 1. 9; "input of Ts and VWC" makes no sense.

Re: We deleted "based on inputs of Ts and VWC". See p. 12, l. 12 in the revised manuscript.

p. 12, l. 15: "flower-bearing"

Re: We replaced "flowering and bearing" by "flower-bearing" throughout our revised manuscript.

p. 12, 1. 15-21: This part of the discussion overlooks (or avoids) the fact that root biomass, litter amount and soil N are closely correlated.

Re: We agree with you that root biomass, litter amount and soil N are closely correlated. Class and regression tree analysis can cope with the interactions between variables. We use class and regression tree analysis to explore the main factors controlling spatial variability in Rs. Thus, we thought that main driving factors of topographic heterogeneity in R_s varied with phenophases.

p. 13, l. 12-20; this paragraph does not add anything new that hasn't been discussed in earlier paragraphs.

Re: In the earlier paragraphs, we make some inferences to explain our findings. In this paragraph, we use our results to confirm these inferences. Thus we would like to keep this paragraph which is a confirmation of earlier inferences.

p. 14, l. 6: "downed photosynthetic products" makes no sense. Are you referring to labile, exuded sugars "recent photosynthates", or litter, or both? All of these are photosynthetic products!

Re: We were referring both recent photosynthates and litterfall. We clearified this statement with "...both recent photosynthetic products and litterfall..." in p. 14 l. 10-11 in the revised manuscript.

Tables 1-3: Please report consistent numbers of significant digits, not fixed numbers of decimal places. E.g. in Table 1: "23.8" for Ts, but more decimal places for values of SWC. I suggest three significant digits for all mean values, but error terms may be fine with only 2 sign. digits, or matching number of decimal places of mean values.

Re: We revised the significant digits as suggested. See Table 1-2 in p. 25-26 in the revised manuscript.

Table 2: Include brackets for error term of N on north-facing slope.

Re: We revised table 2 as suggested. See Table 2 in p. 24 in the revised manuscript

p. 28, l. 5; "flower-bearing"

Re: We replaced "flowering-bearing" by "flower-bearing" throughout our revised manuscript.

p. 28, l. 6: "shown", not "showed".

Re: we agree. We replaced "showed" with "shown". See p. 30, l. 6 in the revised manuscript.

p. 28, l. 9-11: "Error bars represent standard errors." No need of following sentence, as this is explained in the legend.

Re: We agree. The following sentence was deleted from p. 30, l. 10-11 in the revised manuscript.

Fig. 4: State that, where shown, lines are linear regressions with p < 0.05.

Answer: we agree. We added the statement "Lines are linear regressions with p < 0.05." in the legend of figure 4 in p. 31, l. 5-6 in the revised manuscript.

- 1 Micro-topographic variation in soil respiration and its
- 2 controlling factors vary with plant phenophases in a desert-
- 3 shrub ecosystem

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Abstract

- Soil respiration (R_s) and its biophysical controls were measured over a fixed sand dune in a desert-
- shrub ecosystem in northwest China in 2012 to explore the mechanisms controlling the spatial
- 20 heterogeneity in R_s and to understand the plant effects on the spatial variation in R_s in different

phenophases. The measurements were carried out on four slope orientations (i.e., windward, leeward, north- and south-face) and three height positions on each slope (i.e., lower, upper, and top) across the phenophases of the dominant shrub species ($Artemisia\ ordosica$). Coefficient of variation (i.e., standard deviation/mean) of R_s across the 11 microsites over our measurement period was 23.5%. Soil respiration was highest on the leeward slope, but lowest on the windward slope. Over the measurement period, plant-related factors, rather than micro-hydrometeorological factors, affected the topographic micro-topographic variation in R_s . During the flowering bearingflower-bearing phase, root biomass affected R_s most, explaining 72% of the total variation. During the leaf coloration-defoliation phase, soil nitrogen content affected R_s the most, explaining 56% of the total variation. Our findings highlight that spatial pattern in R_s was dependent on plant distribution over a desert sand dune, and plant-related factors largely regulated topographic variation in R_s , and such regulations varied with plant phenology.

14 1 Introduction

Soil respiration (R_s) plays an important role in the global carbon (C) cycle (Bond-Lamberty and Thomson, 2010a). Even relatively small increases in R_s may have a profound impact on atmospheric CO₂ concentrations, exerting a positive feedback to global warming (Schlesinger and Andrews, 2000; Davidson and Janssens, 2006; Luo, 2007). However, estimating soil C release is usually difficult at large spatiotemporal scales, mainly due to the strong heterogeneity in R_s (Bond-Lamberty and Thomson, 2010b; Luo et al., 2012; Jia et al., 2013). Such heterogeneity depends strongly on the variability of substrate of respiration (e.g., soil organic matter and living biomass (Martin and Bolstad, 2009; Geng et al., 2012; Ngao et al., 2012)) and hydrometeorological factors

(e.g., soil water content (SWC) and soil temperature (T_s) ; Marrin and Bolstad, 2009; Ngao et al., 1 2 2012). Desert ecosystems cover up to 45% of the global terrestrial surface (Asner et al., 2003). Arid, 3 semiarid and dry-subhumid ecosystems occupy 41% of the terrestrial surface (Safriel and Adeel, 4 2005). The C cycle in desert ecosystems cannot be ignored in the global C cycle (Austin, 2011; 5 6 Poulter et al., 2014). R_s represents the second largest C flux between ecosystems and the 7 atmosphere (Raich and Schlesinger, 1992; Raich et al., 2002), and exhibits high spatial variation in 8 desert ecosystems (Mahall et al., 1992; Gold et al., 1995; Xie et al., 2001). In addition, active, semiactive aeolian, and fixed sand dunes are the primary features of desert landscapes. Since the greatest 9 10 amount of living biomass occurs on fixed sand dunes (Roles et al., 2001), its R_s contributes significantly to C emissions to the atmosphere. Thus, for accurate regional C estimates, there is an 11 urgent needit is necessary to understand the mechanisms driving spatial pattern in R_s over fixed 12 sand dunes. 13 Generally, topographic variation in R_s in arid and semi-arid areas can be explained by 14 15 hydrometeorological factors alone, especially by SWC (Xu and Wan, 2008; and Liu et al., 2010). This is because T_s and SWC are reported to have a strong primary control on the spatiotemporal 16 variation in R_s (Lloyd and Taylor, 1994; Davidson et al., 1998; Wang et al., 2014), as they both 17 exhibit strong topographicsignificant heterogeneity as a result of the influence of topography in 18 governing the amount of solar radiation received and the re-distribution of surface and shallow 19 subsurface water (Kang et al., 2003; Liu et al., 2010). Heterogeneity in micro-hydrometeorological 20 21 factors also has an explicit role in defining the formation and patchy distribution of vegetation 22 growing in an area (Richerson and Lum, 1980; Parker, 1991). Distribution of vegetation, in turn, constrains the spatial variation in R_s through its patchy supply of substrate to R_s . In desert 23 ecosystems, such plant effects usually refer to a phenomenon called "fertility islands". This 24 3

- 1 phenomenon is observed by the enrichment of water, nutrient, and root biomass in vicinity of plant
- 2 canopies (Hook et al., 1991, Schlesinger and Raikes, 1996), resulting from several plant-scale
- 3 processes, including plant-facilitated entrapment of soil particles and organic matter propelled by
- 4 wind or rain droplets, canopy shading, hydraulic lift, and accumulation and decomposition of
- 5 litterfall (Schlesinger and Raikes, 1996; Stock et al., 1999; Thompson et al., 2005, Li et al., 2007).
- 6 Consequently, plant controls on R_s could be nested within micro-hydrometeorological controls,
- 7 leading to greater complexity in plant-soil interaction.
- 8 Moreover, both micro-hydrometeorological and plant control could vary with plant phenophases
- 9 (Fu et al., 2002; Dungan et al., 2003; Kang et al., 2003; Yuste et al., 2004; Tang et al., 2005; Tamai,
- 2010; Asaeda and Rashid, 2014; Osono, 2014), as current photosynthate supply and decomposition
- and supplementation of litterfall changes over time. For example, root activity and rhizosphere
- processes could vary with plant phenophases (Fu et al., 2002), and potentially alter the relationship
- between R_s and T_s (Yuste et al., 2004; DeForest et al., 2006). Previous studies have focused on the
- influences of plant phenology on the temporal dynamics of R_s . However, understanding the
- temporal changes in effects of plants on the spatial variation in R_s is still an important gap in our
- 16 knowledge concerning plant-soil interactions in desert environments.
- We hypothesized that over a fixed sand dune: (1) topographic heterogeneity in R_s is controlled to a
- large measure by the distribution of substrate of respiration supplied by the annual casting of plant
- 19 photosynthates and nitrogen compounds during leaf-fall; and (2) drivers of topographic variation in
- 20 R_s vary with plant phenology. To test our hypothesis, R_s and associated micro-hydrometeorological
- and plant-related factors were measured over a fixed sand dune in a desert-shrub ecosystem in
- 22 northwest China.

1 2 Materials and methods

2.1 Site description

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The study was conducted on a fixed sand dune at Yanchi Research station of Beijing Forestry 3 University, Ningxia, northwest China (107.20° - 107.26° E, 37.68° - 37.73° N, 1550 m a.s.l.). The 4 site is located at the edge of the Mu Us desert in a transition zone between arid and semi-arid 5 6 climatic conditions. Based on 51-years (1954 - 2004) of data from the meteorological station of 7 Yanchi County, the mean annual temperature is 8.1 °C. The mean annual precipitation is 292 mm (with a range of 250 - 350 mm), of which 62% falls between July and September, and the mean 8 9 annual total potential evaporation is 2024 mm (Wang et al., 2014). The sandy soil has a bulk density of 1.6 \pm 0.02 g cm⁻³. The water-filled pore space across all the microsites was 23.42 \pm 0.92%. Soil 10 organic matter and CaCO₃ content, and pH were 0.21 - 2.14 g kg⁻¹, 0.23 - 0.54 g kg⁻¹, and 7.76 -11 9.08, respectively (Feng et al., 2013). The vegetation at our study site was regenerated since aerial 12 seeding in 1998 and was dominated by semi-shrub species of is Artemisia ordosica, which averaged 13 50 cm tall with a canopy size of about 80 cm \times 60 cm. The coverage percentage of plant in this area 14 ranged from 30 - 60%. 15

2.2 Measurements of soil CO₂ efflux and micro-hydrometeorological factors

Soil CO₂ efflux (R_s , µmol CO₂ m⁻² s⁻¹) was measured with 11 automated chambers from June to October 2012. The measurements were taken on different slope orientations (i.e., windward in the east, leeward in the west, and north- and south-facing slopes) and positions (i.e., lower, upper, and top positions) on a typical shrub-dominated sand dune. The sand dune was of typical size for the study area, with a (i) height of 2.9 m, (ii) 7.6 m long leeward slope, (iii) 15.6 m long windward slope, (iv) 13.3 m long north-facing slope, and (v) 13.4 m long south-facing slope. Soil respiration

1 was measured in situ using an automated chamber system (model Li-8100A, equipped with Li-8150 multiplexer, LI-COR, Nebraska, USA). Measurements were made along two 26 m line transects 2 that intersected at perpendicular angles at the centre of the sand dune (Fig. 1). The R_s system 3 included the installation of 11 permanent opaque chambers set on PVC collars (model Li-104, LI-4 COR, Nebraska, USA). The collars, with a diameter of 20.3 cm and a height of 10 cm, were 5 6 inserted into the soil at a 7 cm depth. The gas chambers were located at about 3 to 5 m apart along 7 the line transects, each placement representing different sand-dune microsites: top (position 1); 8 upper parts of both the windward (position 2) and leeward slopes (position 7); lower parts of both 9 the windward (position 3) and leeward slopes (position 8); and the microsites from top to the bottom of both the south- (position 4, 5, 6) and north-facing slopes (position 9, 10, 11; Fig. 1). 10 Soil respiration measurement time for each chamber was 3 minutes and 15 seconds, including a 30 s 11 pre-purge, a 45 s post-purge, and a 2 minute observation period. Any plant re-growth within the 12 measurement collar was manually removed in a timely manner. Hourly soil temperature $(T_s, {}^{\circ}C)$ and 13 volumetric soil water content (SWC, m³ m⁻³) were measured simultaneously at a 10 cm depth 14 outside of each chamber using 8150-203 soil temperature and EC_{H2O} soil moisture sensors (LI-COR, 15 Nebraska, USA). For more details concerning measurement protocol for R_s , SWC, and T_s , see Wang 16 et al. (2014). 17

2.3 Measurements of plant-related factors

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Plant-related factors considered in this work were root biomass (kg m⁻³), litterfall (kg m⁻²), total soil nitrogen content (g kg⁻¹) within the first 25 cm of the soil, leaf area index (LAI, m² m⁻²), and plant phenophases. Usually soil samples were collected near each R_s chamber on the 15th and 30th day of each month using a soil corer (25 cm in height and 5 cm in diameter). However, collection of soil samples was delayed for several days after rain events to avoid its effects on soil nitrogen content.

Three soil replicates were taken near each collar (within 0.5 m), and mixed before they were air 1 dried and sieved through 1, 0.5, 0.25 mm meshes. During sieving, roots and litterfall were first 2 picked up manually, and scraps of litterfall remaining were separated from the sand by washing the 3 sample with clean water. Dead and alive roots were sorted out by color. The black ones were dead 4 roots and mixed with litterfall as the litterfall sample. The yellow ones were alive roots. Both alive 5 roots and litterfall samples were oven dried at 70 °C to a constant weight. Then the soil samples 6 were sieved through a 0.25 mm mesh for total soil nitrogen measurements. Total nitrogen in the soil 7 8 was determined with a Kjeldahl Total Nitrogen Apparatus (FOSS 2200, Foss, Denmark). LAI was measured near each chamber within one hour immediately after sunset using LI-COR 9 2000 (LI-COR, Nebraska, USA) with a 90° view cap, twice or three times every month during the 10 measurement period. At each measurement time, LAI was measured close to ground surface in 11 eight directions, which were roughly uniformly distributed within a 0.5 m radius around the 12 chamber, in order to well reflect the effect of vegetation around. Final output of LAI value was 13 14 mean of the eight records of the eight directions. In addition, the phenological phases of Artemisia 15 ordosica were observed and recorded over the growing season at weekly intervals. Three obvious phases identified were leaf expansion (phase I), flowering-bearing (phase II), and 16

2.4 Data analysis

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leaf coloration-defoliation (phase III; Fig. 2).

Due to <u>less-fewer</u> measurements in Phase I, data <u>analysis-analyses</u> were mainly concentrated on Phase II and Phase III. Hourly R_s data were first screened <u>using limit checking</u>, in <u>whichand</u> values beyond the range of -1 to 15 μ mol CO₂ m⁻² s⁻¹ (mean \pm 5 standard deviation) were removed from the dataset as spurious values (Wang et al., 2014). Instrument failure and quality control procedures resulted in the removal of about 3% of the data from 4 June to 22 October 2012. The days of

1 rainfall were also excluded during our analyses. Daily mean values (total n = 92) of R_s , T_s and SWC 2 were used in further analyses. We assumed these biweekly measurements of LAI (total n = 8), roots (total n = 7), litterfall (total n = 7) and soil nitrogen (total n = 7) represent or reflect the average 3 variation over the defined time period, because those variables are slow responsive factors to 4 environmental changes and remain relatively stable over two-week period. The point values in the 5 6 regression for target variables represent the average characteristics over the defined time period. We calculated the daily coefficient of variation (CV, standard deviation/mean) in R_s across the 11 7 8 positions as a metric of spatial variability. CV for each of phenophases or whole measurement 9 period was calculated as a mean of daily CV over the corresponding period. 10 Pearson correlation and regression analyses were used to examine the relationship between R_s and micro-hydrometeorological and plant-related factors. Class and Regression Tree analysis (CART) 11 were used to explore the main factors controlling spatial variability in R_s (Breiman et al., 1984). We 12 excluded measurements during rainfall events in both the correlation and CART analyses, due to 13 the prevalence of CO₂ flux pulses during these events (Figure 5 in Wang et al., 2014). In CART 14 15 analyses, we first set the split value to 1, and then used cost-complexity tree pruning based on a 10fold cross-validation (Venables and Ripley, 2002). All statistical analyses were performed in Matlab 16

3 Results

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3.1 Topographic heterogeneity in plant-related and micro-hydrometeorological

(R2010b, Mathworks Inc., Natick MA, USA); the significance level was set at 0.05.

factors, and in soil respiration

Plant-related factors (i.e., litterfall, root biomass, and soil nitrogen) except LAI, exhibited large spatial heterogeneity (Table 1, Fig. 3). The mean daily CVs of litterfall, root biomass, and soil nitrogen were 82.0, 64.7, and 49.1%, respectively (Table 1). In contrast, among the four slopes, the

- 1 micro-hydrometeorological factors exhibited nominal only minor spatial variation (Table 1, Fig. 3).
- The mean daily CVs of T_s and SWC were only 4.6 and 22.0%, respectively (Table 1). Among the
- 3 four slopes, mean SWC changed very little (0.01 0.03 m³ m⁻³; Table 2). Soil temperature (T_s)
- 4 differed among the four slopes (p < 0.001), with mean differences ranging from 0.5 to 2.4 °C (Table
- 5 2). Among the three height positions (lower, upper, and top positions), there were no consistent
- 6 pattern in both plant-related and micro-hydrometeorological factors (Fig. 3).
- Soil respiration on the four slopes exhibited obvious differences (Table 2). The lowest averaged $R_{\rm s}$
- 8 on the windward slope was 58% of the highest R_s on the leeward slope (Table 2). Mean daily CV
- 9 for R_s across the 11 microsites was 23.5% over the entire measurement period (Table 1). Among the
- three height positions for all slopes, no consistent pattern existed in R_s (Fig. 3a).

3.2 Relationships between soil respiration, micro-hydrometeorological, and plant-

related factors over the measurement period

- Topographic heterogeneity in R_s was correlated positively with the plant-related factors, like root
- biomass (Table 3, Fig. 4a), litterfall (Table 3, Fig. 4b), and soil nitrogen over the measurement
- period (Table 3, Fig. 4c), but not with the micro-hydrometeorological factors (i.e., T_s ; p = 0.061;
- 16 Table 3 and SWC; p = 0.852 > 0.05; Table 3). Aside from R_s , both root biomass and soil nitrogen
- 17 were correlated positively with litterfall (Fig. 6a5a, b).

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3.3 Relationship between micro-hydrometeorological factors, plant-related factors,

and soil respiration for different phenophases

- During phase II (Fig. 2), the spatial variation in R_s correlated with the spatial variation in root
- biomass, litterfall, and soil nitrogen (Table 3, Fig. 4d, e, f; Fig. 5d6d, f, g). Variation in root biomass,
- litterfall, and soil nitrogen explained the majority (> 61%) of the variation in R_s among the 11

microsites (Table 3, Fig 4). Regression slopes between R_s and root biomass, litterfall, and soil nitrogen were 0.94, 0.51 and 0.77, respectively (Table 3). Based on CART analysis, the root biomass was the most significant factor affecting the spatial variation in R_s during phase II (Fig. 7a). During phase III (Fig. 2), spatial variation in R_s was strongly correlated to the spatial variation in root biomass and soil nitrogen content (Table 3, Fig. 4d, f; Fig. 5k6k, n). Variation in soil nitrogen content and root biomass explained 56 and 39% of the variation in R_s among the 11 microsites, respectively (Table 3, Fig. 4d, f). Regression slopes between R_s and root biomass and R_s and soil nitrogen content were 0.14 and 0.63 (Table 3). Based on CART analysis, soil nitrogen content affected the spatial variation in R_s the most during phase III (Fig. 7b).

4 Discussion

4.1 Spatial patterns in soil respiration with respect to plant patchiness

The observed pattern that R_s on the leeward slope was highest among the four slope orientations, was consistent with the findings of Chai et al. (2012), who found that R_s on leeward slopes was over two times greater than R_s on windward slopes of a sand dune. The greater R_s on leeward slopes may be explained by the presence of larger patches of vegetation, most likely supported by the better soil conditions induced by the "fertility island" effect, addressed earlier (Table 2). Associated with the "fertility island" effect is the enrichment of the soil near the plants by the annual casting of photosynthates and nitrogen compounds with annual litterfall. In contrast, on the windward slope the level of soil enrichment is limited as a result of smaller vegetation patches, leading to suppression of R_s . Higher supply of photosynthetic products and soil nitrogen in vicinity to the plants was reported in previous studies by Scott-Denton et al. (2003) and Tang et al. (2005).

However, we did not find consistent patterns in R_s between height positions. Thus, we could not draw the conclusion that R_s at the top position was higher than that at low positions or vice versa. As a comparison, Chai et al., 2012 observed earlier that R_s was lower in the lower positions, whereas Xu and Wan (2008) and Liu et al. (2010) showed opposite result. The magnitude of R_s at a given slope position was consistent with those of plant-related factors (Table 3, Fig. 4), $R_{\rm s}$ being highest at positions closest to the plants regardless of height gradients along the slopes. It is therefore concluded that topographic position or height within the sand dune per se is a poor predictor of R_s .

4.2 Plant drivers of the topographic variation in soil respiration over the measurement period

Our present finding that plant-related factors drive the topographic variation in R_s over a sand dune (Table 3, Fig. 4) was consistent with those of Sponseller and Fisher (2008). They reported that spatial patterns in R_s in the Sonoran Desert were related to plant size and productivity, but unrelated to soil water. Desert ecosystems are generally limited with substrate supply due to their low living biomass and accumulated soil organic matter, but feature large spatial heterogeneity in respiration substrate due to the "fertility-island" effect (Gold et al., 1995; Xie et al., 2001). This can be supported by large CVs (49.1, 64.7 and 82.0%) in plant-related factors of our study. In contrast, CVs for the hydrometeorological factors were much smaller (4.6 and 22.0%). Positive relationship between plant-related factors (litterfall, soil nitrogen, and root biomass), together with their positive effects on R_s (Figs. 4, 6), emphasized that spatial variation in supply of respiration substrate regulated spatial variation in R_s . Current photosynthate is supplied for root and rhizosphere respiration (Högberg et al., 2001; Tang et al., 2005; Han et al., 2014). Litterfall is supplied for heterotrophic microbe respiration (Reichstein et al., 2002; Zhou et al., 2013). These processes are

- influenced by soil nitrogen content (Allison et al., 2008; Deng et al., 2010). These findings support
- our first hypothesis that topographic heterogeneity in R_s was controlled by the respiration substrate
- 3 supply provided by plants.

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- 4 In contrast, many studies in forests and grasslands report that topographic heterogeneity in R_s can be
- 5 suitably explained by T_s and SWC (Kang et al., 2003; Liu et al., 2010). These forests and grasslands
- 6 are generally not limited by substrate supply, thus more litterfall and heterotrophic respiration.
- 7 Spatial variation in T_s and SWC is reported to contribute to the spatial variation in R_s in forest
- 8 ecosystems. We suggested future studies on spatially scaling up soil respiration in desert
- 9 ecosystems should consider the spatial variation in substrate supply more than temperature.
- Our finding that plants controlled the topographic variation in R_s over a fixed sand dune, along with
- similar findings by other studies (Moyano et al., 2008; Kuzyakov and Gavrichkova, 2010; Han et al.,
- 12 2014), challenge the legitimacy of empirical models of R_s based on inputs of T_s and VWC at the
- sand-dune scale. As an alternative, we suggest that photosynthesis-related parameters (or variables,
- 14 e.g. root biomass and litterfall) ought to be incorporated into these models for an improved
- characterization of soil-plant relationships in desert ecosystems.

4.3 Drivers of topographic heterogeneity in soil respiration as a function of plant phenology

- In our study, topographic heterogeneity in R_s at the flowering and bearing phase
- 19 (phase II) was significantly related to root biomass, litterfall, and soil nitrogen content. But with
- 20 consideration of interactions between these plant-related factors (Figure 6), only root biomass was
- 21 identified as the most important driving factor. In contrast, topographic heterogeneity in R_s at the
- leaf coloration and defoliation phase (phase III) was significantly related to root biomass and soil

nitrogen content, but only soil nitrogen content was identified as important. Our results support our 1 second hypothesis that drivers of topographic variation in R_s varies with plant phenophases. 2 From phase II to III, photosynthetic pigments and leaf photosynthesis declined, and induced large 3 decreases in current photosynthate supply for roots and rhizosphere microorganisms (Hanson et al., 4 5 2000; Fu et al., 2002; Sey et al., 2010). Decomposition of litterfall results in a high percentage of 6 recalcitrant substrates during phase II, but addition of fresh litterfall during phase III increases the 7 percentage of liable substrates (Lebret et al., 2001; Regina, 2001; Ochieng and Erftemeijer, 2002). 8 Thus, changes in substrate supply between the two phenophases could result in seasonal changes in the relative contribution of autotrophic and heterotrophic respiration to total R_s . Root respiration 9 10 contributes largely to total R_s during the growing season, but heterotrophic respiration contributes largely during the senescence season (Fu et al., 2002; Sey et al., 2010; Mauritz and Lipson, 2013). 11 Specifically, in our study, autotrophic respiration may contribute largely to the total R_s during the 12 phase II, but heterotrophic respiration may contribute largely during the phase III. We could not test 13 14 this inference directly due to lack of independent measurements of different R_s components. 15 However, some of our results can indirectly confirm this inference. In our study, R_s was sensitive to root biomass during the phase II, but less sensitive during the phase 16 III, indicating a decrease in relative contribution of root respiration to R_s during the phase III. R_s 17 was related to litterfall during the phase II, unlike during the phase III, indicating the switched 18 substrate pool and disproportionate addition of fresh litterfall. However, the controlling factors of 19 autotrophic and heterotrophic respiration can be quite different. Autotrophic respiration is shown in 20 21 others studies be largely controlled by root biomass and soil nitrogen (Tu et al., 2013; Zhou et al., 22 2014), and heterotrophic respiration by the amount of litterfall and soil nitrogen (Yan et al., 2010; Sayer et al., 2011). Thus, the key factor controlling the variation in R_s can be different for the 23

different plant phenophases.

- 1 There has been a clear lack of understanding on the effects of plant phenology on the driving forces
- of topographic heterogeneity in R_s (Janssens et al., 2004; Bahn et al., 2008; Talmon et al., 2011). In
- 3 this sense, our work provides new knowledge of driving mechanisms of topographic heterogeneity
- 4 in R_s as affected by plant phenology. Based on our work, we suggest that the influences of plant
- 5 phenology on both current photosynthate and litterfall supply should not be neglected, and
- 6 partitioning R_s is necessary for future studies on the spatial heterogeneity in R_s .

8

18

5 Conclusions

- 9 Soil respiration was the highest on the leeward slope, but the lowest on the windward slope.
- 10 Topographic heterogeneity in R_s was related to the variation in supply of downed both recent
- 11 photosynthetic products and litterfall, indicating that plants largely control the topographic
- heterogeneity in R_s over a sand dune. Due to the "fertility island" effect, spatial patterns of R_s on
- different slopes were related to the distance from plant patches, regardless of micro-topographic
- relief. Drivers of topographic heterogeneity in R_s varied with plant phenophases. Our findings
- highlight the importance of plants in controlling the spatial variation in R_s in desert ecosystems. We
- suggest that future studies on the spatial variation in R_s should consider both the spatial and the
- temporal effects of vegetation, especially in desert environments.

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Table 1. Average values (standard error in brackets) and CV (%) for micro-hydrometeorological factors and plant-related factors over the three plant phenophases and measurement period. The time scale for the means was showing in Fig. 2. *R*_s: soil respiration (μmol CO₂ m⁻² s⁻¹); *T*_s: soil temperature at 10 cm depth (°C); SWC: volumetric soil water content (m³ m⁻³); Litter: litterfall (kg m⁻²); Root: root biomass (kg m⁻³); LAI: leaf area index (m² m⁻²); N: soil nitrogen content at 0 - 25 cm depth (g kg⁻¹); Phase I: leaf expansion; Phase II: flowering bearingflower-bearing; Phase III: coloration-defoliation..

Variale	Phase II		Phase III		Measurement period	
	mean (SE)	CV%	mean (SE)	CV%	mean (SE)	CV%
R_{s}	1.49 (0.11)	25.2	0.90 <u>0</u> (0.06)	21.3	1.21 (0.09)	23.5
T_{s}	23.82 (0.24)	3.4 <u>0</u>	14.9 <mark>0</mark> (0.33)	7.4 <u>0</u>	19.74 (0.27)	4.6 <u>0</u>
SWC	0.08979 (0.01)	20.8	0. <u>0971</u> 10 (0.01)	24.0	0.09 <u>31</u> (0.01)	22.0
Litter	0.7 <u>37</u> 4 (0.17)	78.5	0.26 <u>2</u> (0.05)	67.7	0.6293 (0.16)	82.0
Root	0.59 <u>3</u> (0.15)	84.2	0.90 <u>4</u> (0.25)	92.2	0.75 <u>3</u> (0.14)	64.7
LAI	1.76 (0.12)	22.7	1.72 (0.12)	23.9	1.76 (0.12)	21.8
N	0.18 <u>1</u> (0.02)	43.5	0.13 <u>5</u> (0.02)	53.3	0.1384 (0.02)	49.1

1 Table 2. Mean values (standard error in brackets) of micro-hydrometeorological and plant-related

- 2 factors on different slope orientations over the measurement period. R_s : soil respiration (µmol CO₂
- 3 $\text{m}^{-2} \text{ s}^{-1}$); T_{s} : soil temperature (°C); SWC: volumetric soil water content (m³ m⁻³); Litter: litterfall (kg
- 4 m⁻²); Root: root biomass (kg m⁻³); LAI: leaf area index (m² m⁻²); N: soil nitrogen content at 0 25
- 5 cm soil depths (g kg⁻¹).

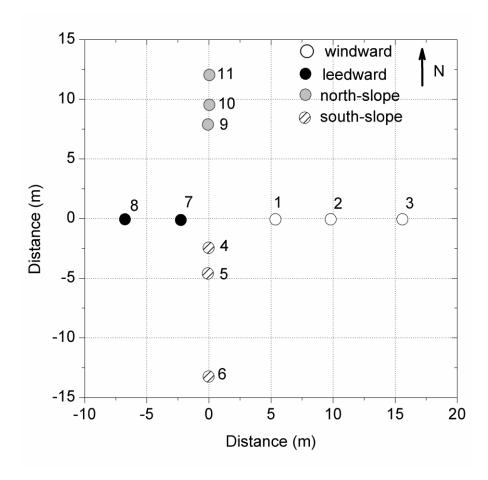
	Windward	Leeward	North-facing	South-facing
$R_{\rm s}$	0.96 <u>4</u> (0.05)	1.65 (0.03)	1.13 (0.27)	1.27 (0.19)
$T_{ m s}$	19.9 <mark>4</mark> (0.60)	18. <u>4</u> 36 (0.08)	20. <u>8</u> 77 (0.23)	19.4 <mark>4</mark> (0.09)
SWC	0. <u>0964</u> 10 (0.02)	0.1 <u>07</u> 4 (0.04)	0.08 <u>19</u> (0.02)	0.09 <u>18</u> (0.02)
Litter	0.4 <u>87</u> 9 (0.29)	1.53 (0.37)	0.23 <u>1</u> (0.15)	0.67 <u>1</u> (0.28)
Root	0.3 <u>09</u> 1 (0.13)	1.4 <u>05</u> 4 (0.02)	0.46 <u>0</u> (0.03)	0.32 <u>2</u> (0.17)
LAI	1.80 (0.48)	2.22 (0.43)	1.70 (0.05)	1.47 (0.25)
N	0.1 <u>09</u> 4 (0.03)	0.25 <u>4</u> (0.04)	0.08489 (0.02)	0.14 <u>1</u> (0.03)

Table 3. Regression equations between R_s (y) and different micro-hydrometeorological and plantrelated factors (x) for the measurement period and flowering bearingflower-bearing (phase II) and leaf coloration-defoliation phase (phase III). T_s : soil temperature (${}^{\circ}$ C); SWC: volumetric soil water

4 content (m³ m-³); Litter: litterfall (kg m-²); Root: root biomass (kg m-³); LAI: leaf area index (m² m-²

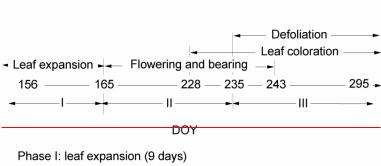
5 ²); N: soil nitrogen content at 0 - 25 cm soil depth	$s (g kg^{-1}).$
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Phase	Factors	Equation	R^2	p
	Root biomass	$y = 0.51 \ x + 0.93$	0.61	0.004
	Litterfall	$y = 0.43 \ x + 0.95$	0.59	0.005
Whole	$T_{ m s}$	y = -0.18 x + 4.84	0.34	0.061
period	SWC	y = 0.89 x + 1.13	< 0.01	0.852
	LAI	$y = 0.28 \ x + 0.73$	0.14	0.256
	N	$y = 3.48 \ x + 0.74$	0.68	0.002
	Root biomass	y = 0.64 x + 1.11	0.72	0.001
	Litterfall	$y = 0.51 \ x + 1.11$	0.61	0.004
Phase II	$T_{ m s}$	$y = -0.23 \ x + 7.00$	0.25	0.118
	SWC	$y = -0.27 \ x + 1.51$	< 0.01	0.969
	LAI	$y = 0.48 \ x + 0.64$	0.26	0.108
	N	$y = 5.04 \ x + 0.77$	0.68	0.001
	Root biomass	$y = 0.14 \ x + 0.77$	0.37	0.048
	Litterfall	$y = 0.55 \ x + 0.76$	0.26	0.112
Phase III	$T_{ m s}$	$y = -0.09 \ x + 2.24$	0.26	0.105
	SWC	$y = 0.39 \ x + 0.86$	< 0.01	0.887
	LAI	$y = 0.11 \ x + 0.71$	0.05	0.492
	N	$y = 1.99 \ x + 0.63$	0.56	0.008



3 Figure 1. Schematic of measurement positions (11) distributed on four slopes (windward, leeward,

north- and south-facing slope) over a fixed sand dune as well as plot positions and labels.



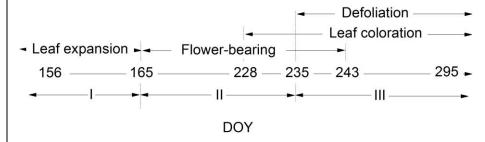
Phase I: leaf expansion (9 days)

Phase II: flowering-bearing (70 days)

Phase III: coloration-defoliation (60 days)



1



Phase I: leaf expansion (9 days)
Phase II: flower-bearing (70 days)

Phase III: coloration-defoliation (60 days)

2

- 3 Figure 2. Phenophases of Artemisia ordosica over the measurement period. Three phases
- 4 considered, included leaf expansion (phase I), flowering bearing (phase II), and leaf
- 5 coloration-defoliation (phase III) phases.

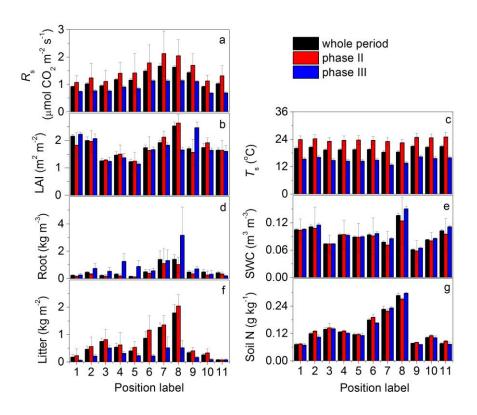


Figure 3. Mean values of soil respiration (*R*_s), micro-hydrometeorological (*T*_s and SWC) and plant-related factors (litter, root, soil N and LAI) at 11 positions over the measurement period (whole period), flowering bearingflower-bearing phase (phase II), and leaf coloration-defoliation phase (phase III) from June to October 2012. Time scales of each phenophases were showed shown in Figure 2. *R*_s: soil respiration (μmol CO₂ m⁻² s⁻¹); *T*_s: soil temperature (°C); SWC: volumetric soil water content (m³ m⁻³); Litter: litterfall (kg m⁻²); Root: root biomass (kg m⁻³); LAI: leaf area index (m² m⁻²); Soil N: soil nitrogen content at 0 - 25 cm soil depths (g kg⁻¹). Error bar represents standard error. Black color represents for whole period. Red color represents for flowering bearing phase.

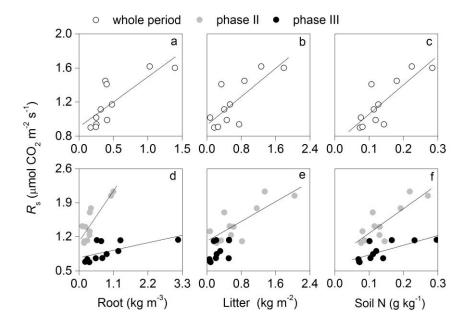


Figure 4. Relationships between soil respiration (R_s) and root biomass, and litterfall and soil nitrogen over the measurement period (open circles) and during flowering bearingflower-bearing (phase II; gray circles) and leaf coloration-defoliation (phase III; black circles) phase. Lines are linear regressions with p < 0.05. Equations, R^2 , and p-values are given in Table 3.

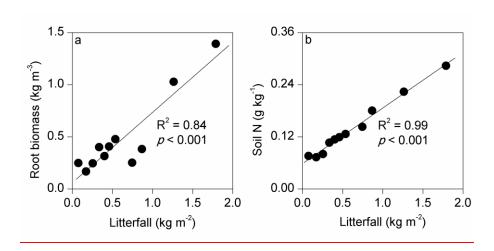
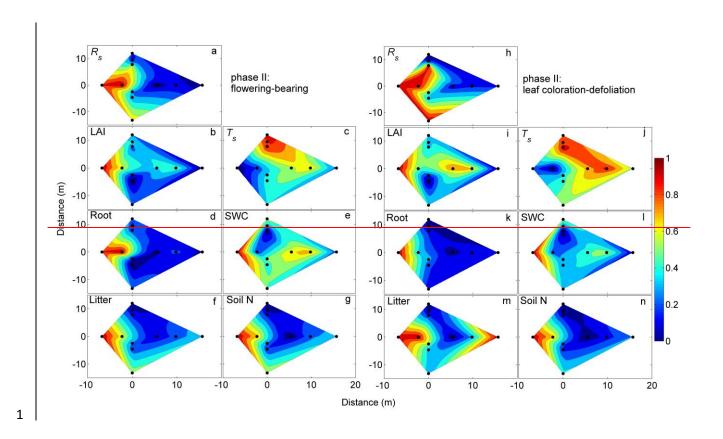


Figure 5. Relationships between litterfall and root (a), and soil nitrogen content (soil N) (b) over the measurement period.



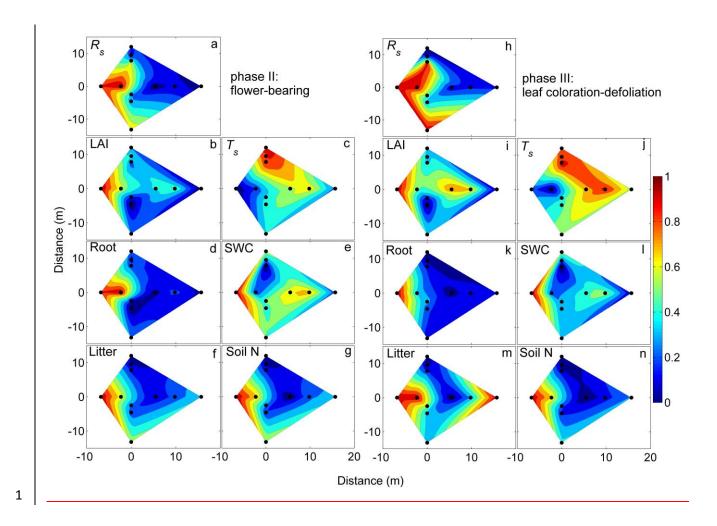


Figure 5. Spatial patterns of soil respiration (R_s), micro-hydro meteorological (T_s and SWC) and plant-related factors (Litter, Root, Soil N and LAI) over the sand dune in flowering bearingflower-bearing phase (phase II) and coloration-defoliation phase (phase III). Data values for all variables were normalized into the range of 0 - 1 using feature scaling method ($X_{normalized} = (X - X_{min}) / (X_{max} - X_{min})$). Black dots represent the measurement positions as showed in Fig. 1.

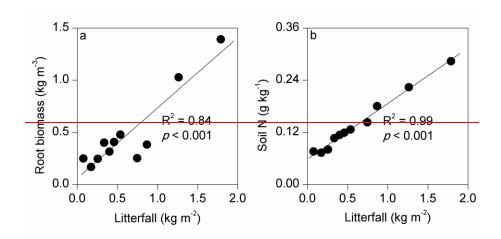


Figure 6. Relationships between litterfall and root (a), and soil nitrogen content (soil N) (b) over the measurement period.

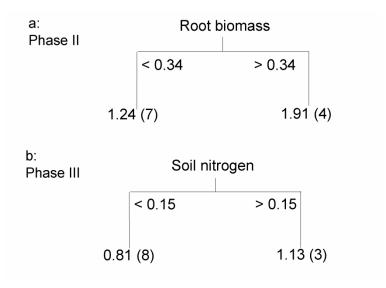


Figure 7. Results of CART analysis with key factors explaining spatial variability in soil respiration at the <u>flowering bearingflower-bearing</u> (a) and coloration-defoliation phases (b). Predictor variables are depicted at the top of each branch. Their critical values are shown at the side of each branch and the mean soil CO₂ efflux (including number of observations in parentheses) is reported below the terminal nodes.