Responses to comments

Reply to Dr. Williams, Associate Editor

Responses to comments in the open discussion appear to be satisfactory. When preparing your revised version, please make all associated changes to the paper. In addition, I would again request a few changes as requested in my earlier comments, one minor and one major. (1) As requested earlier, please explain how forest area is estimated. This is such a critical element of the present analysis that it deserves a fuller description here. Your earlier response in the initial review phase was fitting but it was not included in the main manuscript. Please include it this time where appropriate, similar to what you wrote...: "Forest area was estimated by the ratio of "forest" plots to the total plots in the systematic sampling across China."

Reply: Thank you very much for your comments. In forest inventory of China, the systematic sampling was conducted at the provincial level. For each forest type, the inventories documented detailed information on age class, area, and volume, and the forest area was estimated by the "ratio method" in the systematic sampling across the province. Based on the sampling method, the ratio of forest area (*Pi*) for a certain forest type (i) can be expressed as:

$$P_i = \frac{m_i}{n} \tag{1}$$

where *n* represents the number of all the sampling plots, m_i represents the number of plots classified as type *i*(including various types of land categories, vegetation types, forest types and other land classification attributions), then, the area of forest type *i* can be estimated by the following equation:

$$A_i = A \times P_i \tag{2}$$

where *A* means the overall area in the forest inventory for a province, and the total forest area equals to the summed area of all forest types in this province.

Following your suggestions we have added a brief description in the revised MS (lines 101-104). To make a better understanding for the readers of the journal, we described in some details the estimation method for forest area and stand volume in China Forest Inventory Data in supplementary materials (Appendix F).

(2) As noted in my earlier review, one weakness in the use of the forest identity approach for this attribution exercise is the way in which it relies on net area changes rather than gross changes in forest area (e.g. gross gain and gross loss), and thus the way in which it assumes that all forest areas can be assigned the average forest biomass. In reality, a gain in forest area invariably involves the addition of a lower than average biomass density in the stand, as gains involve recruitment of young forests with low stocks. Conversely, a loss in forest area typically involves the clearing of an area with higher than average biomass density. The forest identity approach, and this present analysis, appears to ignore this important distinction.

It would be possible to fix this by utilizing the full power of the forest inventory data, which records volume at the plot scale. These plot scale data could be used directly to compute gains in biomass from the gain in forest area over an interval of time, as well as gains in biomass from growth in areas that remained forest over that same interval of time. In the absence of this level of detail, the attribution of carbon stock changes to area change seems almost surely biased, with a net gain in area being assigned a larger fraction of total stock changes than it would appear to deserve.

What in the present analysis protects against this bias? Can you obtain a more accurate estimate of the area versus density attribution by using the full detail of the plot data? Such an improvement in the analysis would significantly strengthen the work in my opinion so I would ask the authors to address this as wholly as possible before the manuscript is accepted for final publication.

Reply: Thanks for your insightful comments. Indeed, the inventory data at plot level may test the results of "net change method" obtained from the forest identity approach. Unfortunately China's Forest Inventory (CFI) does not provide these kind of data, and is only available for these forest variables at provincial level for researchers (lines 90-106). Despite the lack of plot scale analysis, the Forest Identity approach is mathematically correct and is of important significance in quantifying forest carbon dynamics in our study because of several reasons.

For example, while applying Forest Identity, the researchers mostly focus on the forest transition at a large geographic scale (national, regional or worldwide scale) (Waggoner 2008). By integrating variables quantitatively into forest attributes (Eq. 3), the identify approach has been proved to be a simple but good method for large-scale and inventory based forest carbon estimates (Kauppi et al., 2006; Saikku et al., 2008; Shi et al., 2011, Fang et al., 2014a).

$$M = A \times D \tag{3}$$

where *M*, *A*, and *D* represent total biomass C stock (Tg C or Pg C), total forest area (ha), and mean biomass C density (Mg C ha⁻¹), respectively.

Compared with the ground observations or remote sensing method in national forest inventory, the forest identify method didn't make large uncertainties in forest carbon estimate (Waggoner and Ausubel, 2007; Waggoner 2008). Meanwhile, in our study, for all forests the interactive effects between changing area and changing biomass density only make an error of about 3 Tg C yr⁻¹ (accounting for 5% of total C sinks in study periods) for interpreting contributions of area and density, thus it is reasonable to use the "net change method" to indicate the changes of forest carbon at national level, since net changes in area and in density are most dominant control factors.

In addition, without forest identity, the plot level data are hard to quantify the large-scale carbon sequestration attributing to areal expansion or increase in density because of changing number and locations of plots in different inventory periods.

All those suggest that, a fully understanding of forest carbon dynamics at large scale need the improvements not only in data refining but also in methodological improvement. Thank you for your understanding.

Reference:

- Guo, Z., Hu, H., Li, P., Li, N. and Fang, J.: Spatio-temporal changes in biomass carbon sinks in China's forests from 1977 to 2008, Sci. China Life Sci., 56(7), 661–671, 2013.
- Kauppi, P. E., Ausubel, J. H., Fang, J., Mather, A. S., Sedjo, R. A. and Waggoner, P. E.: Returning forests analyzed with the forest identity, Proc. Natl. Acad. Sci., 103(46), 17574–17579, 2006.
- Saikku, L., Rautiainen, A. and Kauppi, P. E.: The sustainability challenge of meeting carbon dioxide targets in Europe by 2020, Energy Policy, 36(2), 730–742, doi:10.1016/j.enpol.2007.10.007, 2008.
- Shi, L., Zhao, S., Tang, Z. and Fang, J.: The Changes in China's Forests: An Analysis Using the Forest Identity, PLoS ONE, 6(6), e20778, doi:10.1371/journal.pone.0020778, 2011.
- Waggoner, P. E.: Using the Forest Identity to Grasp and Comprehend the Swelling Mass of Forest Statistics, Int. For. Rev., 10(4), 689–694, 2008.
- Waggoner, P. E. and Ausubel, J. H.: Quandaries of forest area, volume, biomass, and carbon explored with the forest identity, Conn. Agric. Exp. Stn. Bull., 1011, 1–14, 2007.

Responses to Referee #1

(1) The biomass expansion factor (BEF) doesn't seem to account for differences in wood density, or, at least, the authors don't mention their assumptions concerning wood density. Was one value used throughout? Is it possible that planted forests have a different wood density than natural forests, or that there have been changes through time?

Reply: Thanks for your comments. The BEF, is defined as the ratio of stand biomass to timber volume (Mg m⁻³), and is used to convert timber volume from forest inventory to biomass. The parameter of wood density was not taken into account, but the ratio indeed has contained wood density. As previous studies suggested, BEF is not constant, and varies with forest age, site class, stand density, and site quality (e.g., Brown et al., 1999; Fang and Wang 2001). Fang et al. (2001, 2005, 2014a) and many others have derived a simple equation from direct field measurements to express the BEF-timber volume relationship by forest type in China, Japan, and other countries. This simple mathematic relationship fits for almost all forest types. With this simple BEF approach, one can easily calculate regional or national forest biomass based on direct field measurements and forest inventory data. In this study, we used the BEF method with parameters for each forest type from Guo et al. (2010).

(2) The results would be better integrated and more compelling if there were a summary Figure that went beyond relative. The authors should consider a summary Figure (Fig. 5) that shows total biomass (PgC) (all forests) through time. Fig. 5a might break the total into natural and planted forests, and Fig. 5b might break the total into those resulting from growth in biomass density and those resulting from changes in areal extent. Such a Fig. would show the relative sizes of these different components to the 30-year gain in biomass. It would make the paper appeal to a wider audience.

Reply: Follow you suggestions, we added a Fig. 5 in revised MS as below to demonstrate the total carbon sink resulting from growth in biomass density and areal expansion.

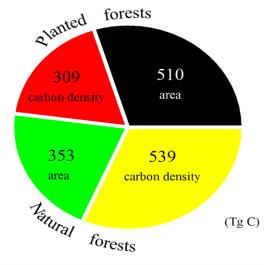


Fig. 5. Summary of the biomass carbon accumulation induced by areal expansion and increase in

carbon density for natural and planted forests of China in the period 1977-2008.

(3) Minor comments Abstract, line 13: The authors might consider adding "(which account for ??% of all forests)" after "natural forests: " The natural forests must account for a rather small fraction because the findings for planted and total forests are similar despite the reverse contribution of growth to natural forest sinks.

Reply: Yes, all the corrections have been done.

Reference:

- Brown, S. L., Schroeder, P. and Kern, J. S.: Spatial distribution of biomass in forests of the eastern USA, For. Ecol. Manag., 123(1), 81–90, 1999.
- Fang, J., Chen, A., Peng, C., Zhao, S. and Ci, L.: Changes in forest biomass carbon storage in China between 1949 and 1998, Science, 292(5525), 2320–2322, 2001.
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- Fang, J., Guo, Z., Hu, H., Kato, T., Muraoka, H. and Son, Y.: Forest biomass carbon sinks in East Asia, with special reference to the relative contributions of forest expansion and forest growth, Glob. Change Biol., 20(6), 2019–2030, doi:10.1111/gcb.12512, 2014.
- Fang, J.-Y. and Wang, Z. M.: Forest biomass estimation at regional and global levels, with special reference to China's forest biomass, Ecol. Res., 16(3), 587–592, doi:10.1046/j.1440-1703.2001.00419.x, 2001.
- Guo, Z., Fang, J., Pan, Y. and Birdsey, R.: Inventory-based estimates of forest biomass carbon stocks in China: a comparison of three methods, For. Ecol. Manag., 259(7), 1225–1231, 2010.

Responses to Referee #2

(1) It would be useful if the authors could clarify in the methods whether their estimates are aboveground biomass only or whether the expansion factors include root biomass. Although the title makes it clear that the estimates are limited to forest biomass C, in a few places the authors leave the impression that they discuss the entire forest carbon sink. Somewhere in methods and/or discussion an additional sentence would be useful to make it clear that dead wood, litter and soil C stock changes are not evaluated. Thus, actual carbon sinks are probably larger than those reported for forest biomass alone.

Reply: Thanks for your comments. As you pointed out, the method description was been simplified in this MS, which might make some confuse in understanding the concept of "forest biomass". First of all, the data using to calculate the BEF contained the total weight of leaf, branch, twig, stem and root from the field measurements, thus the forest biomass used in this study represented the total biomass for each type of forest, equaling to the sum of above- and blow- ground living biomass. In this MS, all the declaration referred to "forest biomass" means the "living stand forest biomass", so the biomass of dead wood, litter or soil C stock was not evaluated. We have added such descriptions in the revised MS.

(2) P9598-27: "have faced long-term deforestation pressure, especially from commercial logging (e.g. timber extraction) and land-use change (e.g., farming)". You need to be very clear here as to whether the commercial logging follows a land-use change, in which case it is deforestation, or whether regeneration follows, in which case this is not deforestation or land-use change. International definitions are very clear that logging followed by reforestation is not deforestation.

Reply: Thanks for your insightful comments! In this part, we initially wanted to introduce the excessive logging pressure faced by China's natural forest, but didn't make it clearly. As you have commented, commercial logging (e.g. timber extraction) followed by reforestation is not deforestation; however, excessive logging might be one of the main reason resulting in a forest decline. We have corrected the statement in the revised MS.

(3) P9599-5: "areal contraction was responsible for all of the C loss in the late 1990s" –this should probably read : : : was responsible for the NET carbon loss – because this is all you evaluate here and gross carbon losses will be higher than the observed net losses. To state "all C losses" implies gross C losses and you have not evaluated these here and you just stated that industrial harvesting also contributed to carbon losses.

Reply: Thanks for your correction! In this paper, the carbon loss means a minus change in forest biomass carbon pool during the study period. We have changed this description in the revised MS.

We also thank you for all other comments and suggestions, and all the corrections have been done

in the revised MS.

List of corrections for the revised MS, BG-2015-153

- 1. Line 4, delete the superscript letter "b" and the comma of "Fang"
- 2. Line 31, add the word "biomass" after "China's forest"
- 3. Line 40, replace "persistently increasing" by "sustained"
- 4. Lines 44-45, adjust the font format to Times New Roman
- 5. Lines 72-73, revise "developingestimating" to "develop the method to estimate" and add period at the end of sentence
- 6. Lines 102-106, revise the sentence "For each forestat provincial level." as "At the provincial level, the inventories documented detailed information on age class, area, and volume for each forest type, and forest area was estimated by the "ratio method" in the systematic sampling across each province (see Appendix F)"
- 7. Lines 109-110, delete the sentence "Note that...included in this study."
- 8. Line 150, revise the format of Equation 4
- 9. Lines 185-188, revise the sentence "in contrast to panted ……respectively (Fig. 1c)." as "In contrast to planted forests, areal expansion of natural forests was found to be a smaller contributor to the C sink (892.1 Tg C) than increased biomass density (39.6% vs. 60.4%) at the national level, with *a* and *d* of 0.27 and 0.41% year⁻¹, respectively (Fig. 1c)."
- 10. Line 257, add "(Fig.5)" after "driving agents"
- 11. Line 278, revise "become" to "became"
- 12. Lines 312-313, replace the sentence "However, natural forests...(Li, 2004; Lei, 2005)," by "However, natural forests have faced long-term logging pressure (e.g. timber extraction and farming) (Li, 2004; Lei, 2005),"
- 13. Line 320, delete the words "all of " and add "net" before "C loss"
- 14. Line 341, revise the word " are" as "is"
- 15. Line 342, revise the word "Used" as "Using"
- 16. Lines 492-494, insert Figure 5 and its legend
- 17. Line 509, revise the province name "shanxi" (located between "xizang" and "gansu") as "shaanxi"
- 18. Line 514,auto fit the Table of Appendix E to the content, revise the province name "shanxi" (located between "xizang" and "gansu") as "shaanxi"

19. Lines 517-554, add the description of estimation method for forest area and stand volume in CFID as Appendix F

1	The relative contributions of forest growth and areal expansion to forest biomass carbon
2	
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23 ABSTRACT

Forests play a leading role in regional and global terrestrial carbon (C) cycles. Changes in C 24 25 sequestration within forests can be attributed to areal expansion (increase in forest area) and forest growth (increase in biomass density). Detailed assessment of the relative contributions 26 of areal expansion and forest growth to C sinks is crucial to reveal the mechanisms that 27 28 control forest C sinks and is helpful for developing sustainable forest management policies in the face of climate change. Using the Forest Identity concept and forest inventory data, this 29 30 study quantified the spatial and temporal changes in the relative contributions of forest areal expansion and increased biomass growth to China's forest biomass C sinks from 1977 to 2008. 31 32 Over the last 30 years, the areal expansion of forests was a larger contributor to C sinks than 33 forest growth for all forests and planted forests in China (74.6% vs. 25.4% for all forests, and 62.4% vs. 37.8% for plantations). However, for natural forests, forest growth made a larger 34 contribution than areal expansion (60.4% vs. 39.6%). The relative contribution of forest 35 growth of planted forests showed an increasing trend from an initial 25.3% to 61.0% in the 36 later period of 1998 to 2003, but for natural forests, the relative contributions were variable 37 without clear trends owing to the drastic changes in forest area and biomass density over the 38 last 30 years. Our findings suggest that afforestation can continue to increase the C sink of 39 China's forests in the future subject to sustain ed persistently increasing forest growth after 40 41 establishment of plantation.

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43 *Keywords*:

biomass density, biomass expansion factor, carbon sink, forest area, forest growth, forestidentity

46 **1. Introduction**

As the largest terrestrial ecosystem, forests occupy around 30% of the global land surface 47 area (Bonan, 2008; Pan et al., 2013) and play a dominant role in regional and global carbon 48 (C) cycles because of their huge capacity for C storage and high productivity (Leith and 49 Whittaker, 1975; Malhi et al., 2002; Pan et al., 2011). Forests can be sources of atmospheric 50 CO₂ following anthropogenic and natural disturbances, but can also function as C sinks to 51 sequester or conserve large quantities of C during regrowth after disturbances (Brown et al., 52 1996, 1999; Brown and Schroeder, 1999; Hu and Wang, 2008; Pan et al., 2011). Therefore, 53 54 investigation of the possible mechanisms of forest C dynamics is of scientific and political importance (Watson et al., 2000; Fang et al., 2001, 2014a, b; Janssens et al., 2003; Nabuurs et 55 al., 2003; Birdsey et al., 2006; McKinley et al., 2011). 56

57 China has the fifth-largest forest area of any country in the world (Ministry of Forest of China, 2009) and encompasses a variety of forest biomes, from boreal forests in the north to 58 subtropical/tropical evergreen broadleaf forests in the south (Fang et al., 2010). With the 59 implementation of national afforestation and reforestation programs since the late 1970s, such 60 as the Three-north Protective Forest Program, the Natural Forest Conservation Program, and 61 the Wetland Restoration Program, forest ecosystems in China are credited with making a 62 significant contribution to regional and global C sinks in recent decades (Fang et al., 2001, 63 64 2014a; Fang & Chen, 2001; Lei, 2005; Xu et al., 2010; Pan et al., 2011; Guo et al., 2013). 65 Based on the biomass expansion factor (BEF) method and China's forest inventory data, Guo et al. (2013) estimated the spatio-temporal changes in the forest biomass C sink from 1977 to 66 2008 and concluded that the annual biomass C sink (70.2 Tg C year⁻¹, 1 Tg = 10^{12} g) offset 67 68 7.8% of the contemporary CO_2 emissions in the country.

In general, increased forest biomass C sinks are driven by forest areal expansion and forest
regrowth. The Forest Identity concept, developed for separating the variables of change in

forest area, biomass and C densities (Kauppi et al. 2006, Waggoner, 2008), is useful to 71 72 developing the method to estimate ing the change in forest biomass C stock driven by different causes. Using the Forest Identity concept, Shi et al. (2011) evaluated the status of 73 74 change in China's forests and showed that the increase in C sequestration was attributable to the increase in forest area and growing stock density over the last three decades. More 75 recently, to explore the mechanisms that drive forest C sinks in East Asia, Fang et al. (2014a) 76 77 used the Forest Identity approach to estimate the relative contributions of changing forest area and forest C density to the forest biomass C sink in China, Japan and South Korea. These 78 79 studies found that the relative contributions of the changing factors varied among countries and forest origin (planted vs. natural forests). Specifically, it was reported that forest areal 80 expansion made a larger contribution to C sinks than increased biomass density for all forests. 81 82 However, the study of Fang et al. (2014a) did not analyze the spatial and temporal variability in the relative contributions of forest areal expansion and increased biomass density to 83 China's forest C sinks. In this study, we used the Forest Identity concept and forest inventory 84 data to quantify in detail the spatial and temporal difference in the relative contributions of 85 forest areal expansion and increased biomass density to China's forest C sinks during the past 86 30 years. Furthermore, we discussed the primary reasons for reduced biomass C stocks of 87 natural forests in some provinces of China. 88

89

90 2. Data and Methods

91 *2.1. Forest inventory data*

China's forest inventory data (CFID) for the periods 1977–1981, 1984–1988, 1989–1993,
1994–1998, 1999–2003, and 2004–2008 were used in this study (Chinese Ministry of Forestry,
1983, 1989, 1994, 2000, 2005, 2010). These inventories were compiled from more than
250,000 plots (160,000 permanent sample plots plus 90,000 temporary sample plots) across

the country. Systematic sampling with a grid of 2 km by 2 km or 4 km by 4 km and an area of 96 10 m by 10 m was used depending on forest region. In CFID, China's forests were classified 97 into three categories: stands (including natural and planted forests), economic forests (woods 98 with the primary objective of production of fruits, edible oils, drinks, flavorings, industrial 99 raw materials, and medicinal materials), and bamboo forests (Guo et al. 2013). In the present 100 study, "forest" refers only to a "forest stand" with canopy coverage $\geq 20\%$ and therefore 101 102 excludes economic and bamboo forests (Fang et al., 2007). At the provincial level, the inventories documented detailed information on age class, area, and volume fFor each forest 103 104 type, in which f, the inventories documented detailed information on age class, area, and volume at the provincial level. orest area was estimated by the "ratio method" in the 105 106 systematic sampling across each province (see Appendix FA). To investigate spatial variation, 107 we divided the national land area into six broad regions-North, Northeast, East, South Central, Southwest, and Northwest—consistent with the method of Fang et al. (2001) (Fig. 108 1d). Note that due to a lack of data, forests in Hong Kong, Macao and Taiwan were not-109 included in this study. 110

111

112 2.2. Calculation of forest biomass C stocks

In this study, we used the continuous biomass expansion factor (BEF, defined as the ratio of 113 stand biomass to timber volume) method with parameters for each forest type taken from Guo 114 115 et al. (2013) to calculate forest biomass in China, because the CFID only report the forest area and timber volume for each forest type. The BEF method was firstly developed from the 116 allometric relationships between forest biomass and forest timber volume (Fang et al 1998; 117 Brown and Schroeder, 1999), then evolved to be the continuous BEF method based on the 118 reciprocal equation expressing BEF-timber volume relationship (Fang et al. 1998, 2001, 119 2005): 120

121 BEF= a + b/x

In Eq. (1), *x* is the timber volume per unit area (m³ ha⁻¹), and *a* and *b* are constants for each
specific forest type. With this simple BEF approach, one can easily calculate regional or
national forest biomass based on direct field measurements and forest inventory data.
Calculations with the BEF method are well documented by Fang et al. (2001, 2014a) and the
BEF method has been applied previously to estimate China's forest stand biomass (Fang et al.,
2007; Guo et al., 2013). In this study, the ratio of 0.5 was used to convert biomass to C stock
(Fang et al., 2001).

129 2.3. Calculation of the relative contributions of forest areal expansion and increased biomass
130 density

131 Using the Forest Identity concept (Kauppi et al., 2006; Waggoner, 2008), Fang et al. (2014a)

132 proposed the method to separate relative contribution of forest areal expansion and forest

133 growth to changes in forest biomass stock (or biomass C sink/source). According to Fang et al.

134 (2014a), the relationships among forest area (A), biomass C density (D), and total biomass C

135 stock (*M*) can be formulated by Eq. (2), and their respective rates of change (a, d, and m)

136 over time (t) can be derived- from Eqs. (3) and (4).

$$137 \qquad M = A \times D \ . \tag{2}$$

138 Because
$$\ln(M) = \ln(A) + \ln(D)$$
,

the relative change rates of *M*, *A*, and *D* over time (*m*, *a*, and *d*) are the direct result ofdifferentiating the equation over time

141
$$\frac{1}{M}\frac{dM}{dt} = \frac{1}{A}\frac{dA}{dt} + \frac{1}{D}\frac{dD}{dt}, \text{ or } \frac{d\ln(M)}{dt} = \frac{d\ln(A)}{dt} + \frac{d\ln(D)}{dt}$$
(3)

Let the real change rate (*m*, *a* and *d*) among two inventory periods approximately equal to thechange rate of its natural logarithm:

144
$$m \approx \frac{d \ln(M)}{dt}, a \approx \frac{d \ln(A)}{dt}, d \approx \frac{d \ln(D)}{dt}$$

145 Then, m = a + d

where *M*, *A*, and *D* represent total biomass C stock (Tg C or Pg C, $1Tg = 10^{12}$ g, $1Pg = 10^{15}$ g), forest area (ha), and biomass C density (Mg C ha⁻¹, $1Mg = 10^{6}$ g), respectively; and *m*, *a*, and *d* are the corresponding derivatives (or rate of change) of these attributes over time (*t*). The rates (*m*, *a*, and *d*) can be approximately calculated by the following formulas (Eq. 4):

150 Change rate(% yr⁻¹) $\approx \frac{2(X_2 - X_1)}{(X_2 + X_1)(t_2 - t_1)}$ 100% (4)

where
$$X_1$$
 and X_2 represent the forest area (*A*) or biomass C density (*D*) in the forest inventory
period going from t_1 and t_2 , respectively.

Thus, the relative contribution of change in forest area (R_a , %) and change in biomass density (R_d , %) to the change in forest biomass C stock can be expressed as Eq. (5):

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$$R_a(\%) = a/m \times 100; R_d(\%) = d/m \times 100$$
 (5)

156

157 **3. Results**

3.1. Spatial pattern of the relative contributions of forest area and biomass density to C sinks 158 Figure 1 shows the results of the national and regional relative contributions of forest areal 159 expansion (a) and increased biomass C density (d) to the C sinks for all, planted, and natural 160 forests between the late 1970s (1977–1981) and the 2000s (2004–2008). For all forests in 161 China, the mean rates of change in forest area and biomass density were 0.85% year⁻¹ and 162 0.29% year⁻¹, respectively, with a larger contribution by the former than that of the latter 163 (74.6% vs. 25.4%) to the net change of carbon stock (1709.7 Tg C) (Fig. 1a, Appendix A). As 164 shown in Fig. 1a, forest stands in all regions have increased in area and C density, and 165 166 functioned as C sinks during the period 1977–2008 (also see Appendix A), but the relative contributions differed considerably among regions. Within the Southwest, South Central and 167 East regions, forest area increased remarkably, and thus areal expansion made a larger 168

169 contribution than that of increased biomass density to the C sinks (the relative contributions of forest area in these regions were 89.6%, 65.4%, and 76.2%, respectively). In addition, forest 170 C sinks within these three regions were much larger than those of other regions in China 171 (Appendix A). The relative contributions of changes in forest area and biomass density were 172 similar in the North (53.3% vs. 56.7%) and Northwest (46.1% vs. 53.9%) regions. However, 173 in the Northeast region forest area increased only slightly, with a mean change of 0.06% 174 year⁻¹, and thus made a small contribution (18.3%) to the regional C sink over the past 30 175 176 years.

177 Planted forests have functioned as C sinks (817.6 Tg C) in the past three decades (Appendix B), and areal expansion made a larger contribution to the C sink than did change in 178 biomass density in all regions (Fig. 1b). At the national level, the area of planted forests 179 increased at a mean rate of 3.18% year⁻¹ and contributed 62.2% to biomass C sinks of planted 180 forests between 1977 and 2008. Among the six regions, the largest contribution of areal 181 expansion (78.2%) was in the Southwest, followed by the North (71.2%), South Central 182 (60.4%) and East (57.1%) regions. The contributions of areal expansion and increased 183 biomass density were approximately equal to 50% in the Northeast and Northwest regions. 184 In contrast to planted forests, areal expansion increased biomass density of natural forests 185 was found to be a greater smaller contributor to the C sink (892.1 Tg C) than increased 186 biomass density areal expansion (39.6% $\frac{60.4\%}{50.4\%}$ vs. 60.4% $\frac{39.6\%}{50.6\%}$) at the national level, with a 187 d-and a-d of 0.27-0.41 and -0.270.41% year⁻¹, respectively (Fig. 1c). However, the patterns 188 were not consistent at the regional level: forest areal expansion made a larger contribution to 189 the C sink than did increased biomass density in the Southwest (63.2% vs. 36.8%) and South 190 191 Central (58.0% vs. 42.0%) regions, and in the East region areal expansion was responsible for all of the C sink (104.0%), because the C density of natural forests has shrunk by 0.49% over 192 the last 30 years (d = -0.02% year⁻¹) (also see Appendix C). Conversely, in North and 193

Northwest China, increased C density dominated the C sinks, with contributions of 98.4% and 195 107.0%, respectively. In the Northeast region, the area of natural forest has decreased at a 196 mean rate of 0.27% year⁻¹, which exceeds the increase in C density (d = 0.24% year⁻¹), and 197 has ultimately contributed fully to the C source of the natural forest in this region. 198 *3.2 Temporal dynamics of the relative contributions of forest area and biomass density to C*

199 *sinks*

We further explored changes of the relative contributions of forest areal expansion and 200 biomass density to C sinks of Chinese forests from 1977 to 2008 (Fig. 2), by calculating the 201 202 change rates (a and d) and the relative contribution rates for the six forest inventory periods. For planted forests, the rate of change in forest area was highest in the 1980s (1981–1988; 203 Fig. 2a) with a mean increase of 5.45% year⁻¹, then decreased until the late 1990s 204 (1993–1998), and thereafter increased in the 2000s. Over the same period, forest biomass C 205 density has experienced slow but relatively steady enhancement from the early 1980s to the 206 early 2000s (Fig. 2a), reaching the highest rate of increase in the period 1998–2003 (d =207 2.33% yr⁻¹), and then decreased abruptly to a low rate of increase (0.60% year⁻¹) in the late 208 2000s (2003–2008). The relative contribution of areal expansion declined from 74.4% 209 between 1981 and 1988 to 39.0% between 1998 and 2003, whereas the contribution of 210 increased C density increased from 25.6% to 61.0% over the same period (Fig. 2c). After 211 2003, on account of the rapid growth in forest area (Fig. 2a), the contribution of areal 212 213 expansion increased and became the dominant contributor to the C sink of China's planted forest (87.7% vs. 12.3% for 2003-2008). 214

In contrast to planted forest, the areal expansion and increase of C density in natural forests were more dynamic, having relatively lower rates of change less than 1.5% year⁻¹ over the study period (Fig. 2b). Furthermore, negative growth was observed in forest area (a =-1.80% year⁻¹ for 1993–1998) and biomass C density (d = -0.08 and -0.20% year⁻¹ for

1981–1988 and 1998–2003, respectively) in natural forest over the study period. Aligning
with dynamic rates of change, the relative contribution of forest areal expansion showed a
generally decreasing trend from 1981 (366.7%) to 2008 (70.2%), in contrast to the increase in
C density (Fig. 2d). In addition, areal expansion always made a greater impact on the carbon
sink than did the change in C density in most of the inventory periods, except for the period of
1988–1993, when increased C density made a slightly larger contribution than areal expansion
(51.1% vs. 48.9%).

226 *3.3 Causes of C loss of natural forests at the provincial level*

227 Over past three decades, planted forests have functioned as C sinks in all provinces of China (Appendix D). However, three provinces showed a distinct C loss in their natural 228 forests over the study period (Appendix E): Heilongjiang (located in Northeast), Gansu 229 230 (Northwest), and Fujian (East). Among these provinces, Heilongjiang contained the largest area of natural forest (1817.9 10⁴ ha; 1977–1981) in China, of which the biomass C stock has 231 shrunk by 47.2 Tg C (783.7 Tg C during 1977 –1981 to 736.5 Tg C in the 2000s). The C 232 stocks of natural forest in Gansu and Fujian also underwent a decline from 87.0 and 132.8 Tg 233 C in the 1970s to 82.4 and 128.9 Tg C in the 2000s, respectively. Here, we focused on these 234 three provinces to explore the reasons for the declines in C stock of the natural forests over 235 the past 30 years by quantifying the relative contributions of changes in forest area and C 236 density. 237

Among the three provinces, biomass C density of natural forests increased more or less from 1977 to 2008; the rate of change was highest in Gansu (d = 0.66% year⁻¹), whereas only slight increases were observed in Heilongjiang and Fujian (Fig. 3, Appendix E). Conversely, the forest area in these provinces experienced more obvious decreases. The forest area in Heilongjiang decreased dramatically by 133.6 10⁴ ha (a = -0.28% year⁻¹) over the last 30 years, followed by that of Gansu (41.1 10⁴ ha, a = -0.85% year⁻¹) and Fujian (12.9 10⁴ ha, a =

-0.14% year⁻¹). Detailed analysis of the temporal dynamics of change rates in these provinces
demonstrated that most of the decline in forest area occurred between 1981 and 1998 (Fig. 4a,
c and e), whereas the contributions of forest area to the C stock change of these provinces
increased rapidly, attaining their highest values (Fig. 4b, d and f). Overall, the rapid decline in
forest area has exceeded the contribution of increased C density, and ultimately caused the C
loss in these provinces (Figs. 3 and 4).

250

251 4. Discussion

4.1. Relative contributions of changes in forest area and biomass density to the C sink in
China's forests

Over the past three decades, areal expansion and forest growth have increased C stocks in both planted (817.6 Tg C) and natural (892.1 Tg C) forests (Appendices B and C). However, the mechanisms underlying the C sinks differed markedly with various effects from these two driving agents (Fig. 5).

For planted forests, areal expansion made a larger contribution than did biomass growth 258 at both national and regional levels (Fig. 1b). Benefiting from the implementation of national 259 afforestation and reforestation projects since the 1970s (Fang et al., 2001; Li, 2004; FAO, 260 2006; Wang et al., 2007), the area of planted forest in China has expanded dramatically from 261 16.95 10⁶ ha to 24.05 10⁶ ha over the last 30 years (Appendix B). Meanwhile, the growth of 262 263 these young forests also made a significant contribution to C sequestration; the biomass density of planted forest has increased by 71.2% from an initial density of 15.6 Mg C ha⁻¹ to 264 26.7 Mg C ha⁻¹ in the late 2000s (2004–2008), which indicates that planted forest could still 265 sequester additional C through future growth (Guo et al., 2010; Xu et al., 2010). 266 Compared to planted forests, growth of existing natural forests was a larger contributor to 267 the C sink than areal expansion at the national level (60.4% vs. 39.6% for density change vs. 268

269 area change), because the biomass density has increased more rapidly, with a net gain of 4.8 Mg C ha⁻¹ (11.6%), than did forest area (7.4%). Regional disparities were also apparent. 270 Forest growth dominated the C sink in the North and Northwest regions, but made a smaller 271 contribution in the Southwest, South Central and East regions (Fig. 1c). The inconsistent 272 patterns in the contributions of forest growth and areal expansion may be associated with 273 differences in forest management policies, harvest intensity, and climatic factors (e.g., the 274 275 warming climate, increasing summer precipitation, elevated CO₂, and natural nitrogen deposition) among these regions (Fang et al., 2004; Du et al., 2014; Also see in Fang et al. 276 277 2014b). For instance, southern and southwest China has experienced drier and hotter climate in the last 3 decades while northern China becaome wetter and had longer growing seasons 278 279 (Peng et al., 2011), which may effectively contribute to the enhanced C densities in the 280 northern regions

4.2. Dynamics of areal expansion and forest growth in planted and natural forests

It is generally recognized that areal expansion and forest growth are closely associated 282 with the intensity of reforestation and loss of forest cover (e.g. deforestation, industrial 283 harvest or natural disturbance). Therefore, implementation of forest management policies may 284 have a strong impact on forest C sequestration via the introduction of a variety forest projects 285 in a country (Brown et al., 1997; Fang et al., 2001; Birdsey et al., 2006; Kauppi et al., 2006). 286 Naturally, different forest management policies and projects would alter the rate of change in 287 288 forest expansion and growth at different levels, ultimately leading to mechanisms regulating C sequestration among natural and planted forests. 289

The decline followed by an increasing trend in the areal expansion in planted forests was strongly associated with the stages of forest restoration projects conducted in China (Fig. 2a). The nationwide reforestation projects in China can be divided into two stages. Aiming to provide resistance to harsh weathers and environmental protection, the first stage was initiated

294 in the 1970s and peaked in the 1980s; the forests established in this period were specifically targeted for environmental protection in some regions or provinces (Li, 2004; Wang et al., 295 2007). The second stage, initiated from the late 2000s, included six major forestry projects: 296 Natural Forest Conservation Projects (2000), Three-North Protection Forest System (2000), 297 Wild Life and Nature Reserve Construction Projects (2001), Grain for Green Project (2002), 298 Fast-growing Forests in Key Areas Projects (2002), and the Beijing-Tianjin-Hebei Sandstorm 299 Source Treatment Project (2002) (Lei, 2005; Liu, 2006; Wang et al., 2007). Compared with 300 the first stage, the second stage covered more than 97% of counties in the country, and was 301 302 designed for a broader range of ecosystem services and multiple goals (e.g., biodiversity conservation and development of fast-growing plantations for industry). Rapid and 303 concentrated afforestation projects would indeed enlarge the forest area and enhance the 304 305 relative contribution of areal expansion to the C sink in a short period (i.e., in the periods 1981–1988 and 2003–2008; Fig. 2c). However, once the projects were slowed down or 306 finished, forest growth would take over, accelerating under favorable growth conditions and 307 effective management and leading to improvement in the relative contribution of C density to 308 the C sink over a longer time frame (Fig. 2c). 309

The natural forests in China constitute a large C stock, of which its proportion to total 310 forest biomass C stock was 83.40% in the late 2000s (2004–2008). However, natural forests 311 312 have faced long-term l-deforestation ogging pressure, especially from commercial logging 313 (e.g. timber extraction and) and land-use change (e.g., farming) (Li, 2004; Lei, 2005), in addition to other degrading factors, such as increased wildfires or extreme weather events (Shi, 314 2011). In the present study, owing to the drastic changes in forest area and biomass density 315 316 over the last 30 years (Fig. 2b), the relative contributions were variable without clear trends (Fig. 2d). For instance, in the period 1993–1998 biomass density increased from 43.2 Mg C 317 ha⁻¹ to 46.0 Mg C ha⁻¹ (d = 1.25% year⁻¹), but forest area decreased by 0.97×10^6 ha (a =318

-1.79% year⁻¹) in the same period (Appendix C, Fig. 2b). Thus, areal contraction was 319 320 responsible for all of the net C loss in the late 1990s. Analysis of C sinks at the provincial level also revealed that forest area declined at a relatively higher rate than the increase in 321 322 biomass density in some provinces, making areal reduction the primary reason for C loss in natural forests (Fig. 3). Notably, since the late 1990s (1994–1998), natural forests in China 323 have functioned as a persistent C sink, probably owing to implementation of the nationwide 324 Natural Forest Conservation Project starting in 1998 (Appendix C) (Shen, 2000; Lei, 2005; 325 Ministry of Forestry of China, 2009; Guo, 2013). Subsequently, the relative contribution of 326 327 changes in biomass has shown a constantly increase (Fig. 2d).

328 *4.3 Uncertainty of estimates*

Uncertainties in our studies mainly arise from the quality of forest area and timber volume 329 330 data in the forest inventories and the estimation of national biomass stocks using the BEF method. On the one hand, precision in the forest area and timber volume data was required to 331 be >90% in almost all provinces (>85% in Beijing, Shanghai, and Tianjin) (Xiao, 2005). On 332 the other hand, the R^2 values of the BEF equations used to convert timber volume to biomass 333 for most dominant tree species or forest types exceeded 0.8 (Fang et al., 2014a). Therefore, 334 the data and method used in the present study show relatively high precision. Previous studies 335 have reported that the estimation error of biomass stocks at the national level are expected to 336 be less than 3% in China (Fang et al., 1996). 337

338 Conclusions

With the implementations of national afforestation and reforestation programs since the late 1970s, China <u>isare</u> credited with making a significant contribution to regional and global C sinks in recent decades. Us<u>inged</u> forest identity and CFID, this study quantified in detail the relative contributions of forest areal expansion and increased biomass density to China's forest C sinks during the past 30 years. Our findings suggested that the mechanisms

344	underlying the C sinks for natural and planted forests differed markedly with various effects
345	from these two driving agents. The areal expansion of forests was a larger contributor to C
346	sinks than forest growth for all forests and planted forests while forest growth (e.g. increased
347	biomass density) made a larger contribution for natural forests. Furthermore, the increasing
348	trend in the relative contribution of forest growth to C sinks for planted forests highlight that
349	afforestation can continue to increase the C sink of China's forests in the future subject to
350	persistently-increasing forest growth after establishment of plantation.

352 Author contributions

- J. F., J.Z., and P.L. designed the research; P. L., J.Z., H.H., Z.G., and J.F. performed the
- research; P.L., J.Z., and J.F analyzed data; J.F., Y.P. and R.B. contributed new analytic tools;

P.L. and J.Z. prepared the manuscript with contributions from all co-authors.

356

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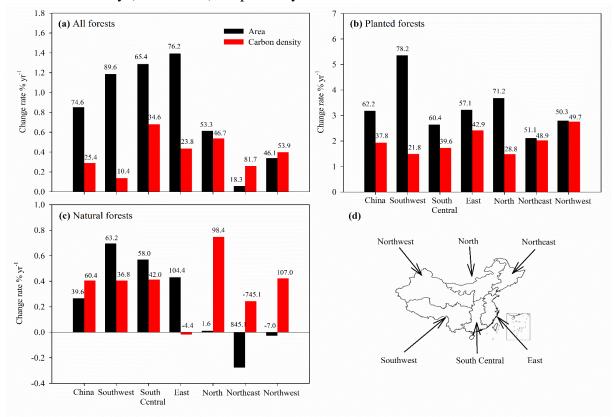
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Fig. 1. Rate of change and relative contributions of forest area and biomass density to carbon sinks in all (a), planted (b) and natural (c) forests in six broad regions of China for the period 1977–2008. The division of these six broad regions are indicated as (d). Bars and numbers above represent the change rates and their relative contributions of forest area (in black color) and carbon density (in red color), respectively.



- **Fig. 2.** Relative contributions and the dynamics of areal expansion and forest growth to
- 472 carbon sinks in planted (a and c) and natural (b and d) forests of China in the period
- 473 1977–2008. Bars and points represent the rates of change and relative contributions of forest
- 474 area (in black color) and carbon density (in red color), respectively.

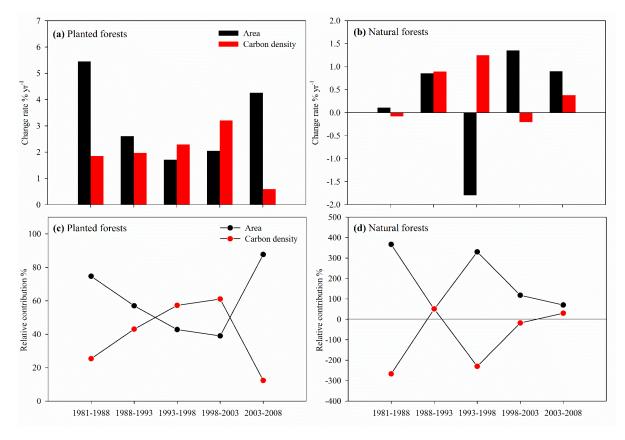
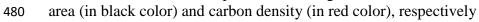


Fig. 3. Rate of change and the relative contributions of changes in forest area and carbon

density of natural forests to carbon loss in three provinces of China in the period 1977–2008.

479 Bars and numbers above represent the change rates and their relative contributions of forest



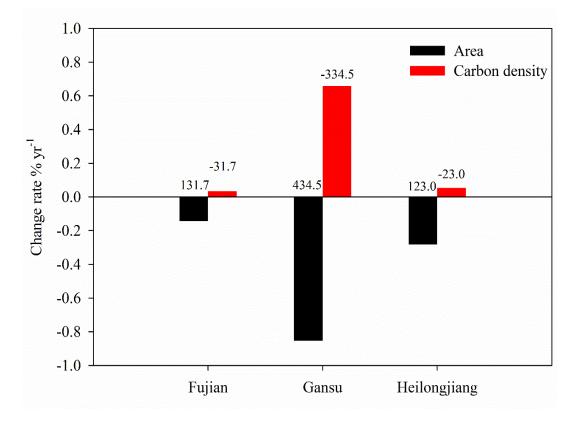
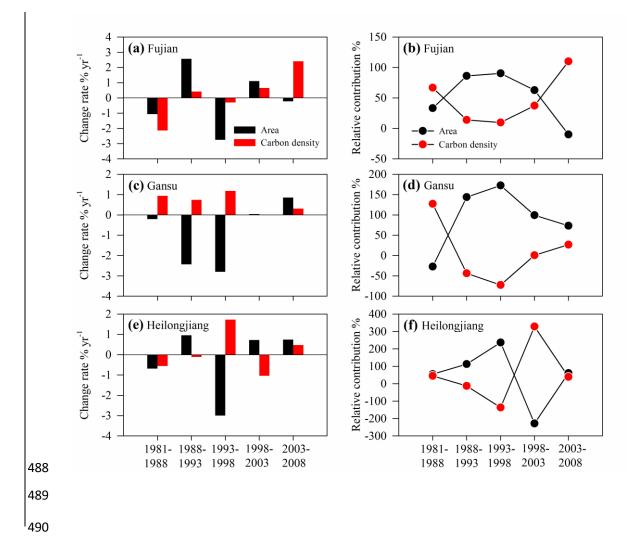
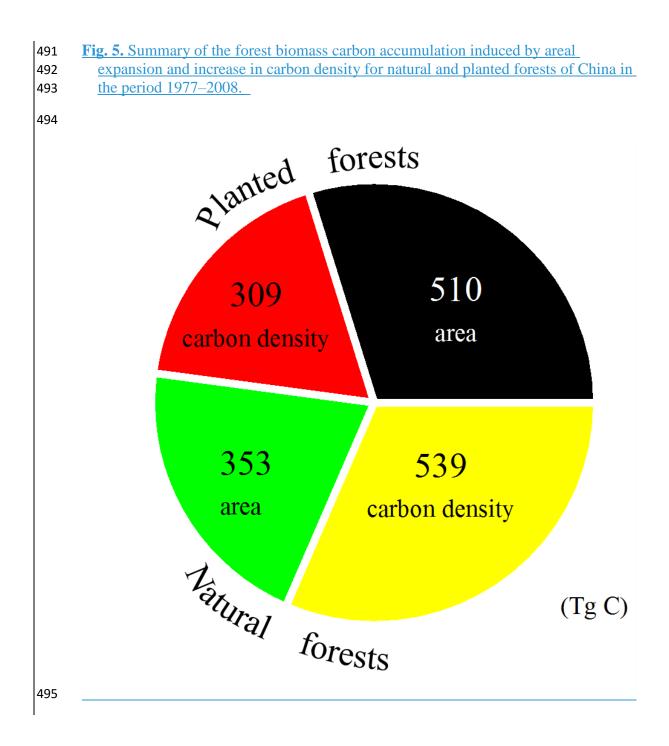


Fig. 4. Rate of change (a, c and e) and relative contributions of changes (b, d and f) in
forest area and carbon density of natural forests to carbon loss in three provinces of
China in the period 1977–2008. Bars and points represent the rates of change and
relative contributions of forest area (in black color) and carbon density (in red color),
respectively.





Period	China	North	Northea	East	South	Southw	Northw
Area (10 ⁴ ha)			st		Central	est	est
1977–1981	12350.3	1849.1	2953.9	1525.9	2173.3	2939.3	908.8
1984–1988	12350.5	1899.8	3054.2	1723.2	2173.3	3333.0	1016.6
1989–1993	13971.5	1997.1	3130.5	1904.2	2446.0	3532.6	961.2
1994–1998	13240.6	1761.0	2769.8	1903.9	2498.8	3409.7	897.4
1999–2003	14278.7	2003.3	2826.3	2026.7	2720.0	3802.2	900.3
2004–2008	15559.0	2182.9	3000.7	2232.6	3087.3	4059.2	996.3
Net change	3208.7	333.9	46.8	706.7	914.0	1119.9	87.5
C stock (Tg C			- · -				
1977–1981	4717.4	556.7	1249.9	384.5	456.4	1719.7	350.2
1984–1988	4884.8	593.6	1256.4	377.0	428.0	1857.3	372.6
1989–1993	5402.3	629.3	1308.7	428.8	505.4	2151.5	378.5
1994–1998	5387.9	621.3	1257.1	435.2	545.5	2145.4	383.5
1999–2003	5862.5	701.1	1272.8	515.7	653.0	2326.6	393.4
2004–2008	6427.1	760.1	1362.2	632.8	779.3	2465.3	427.4
Net change	1709.7	203.4	112.3	248.4	322.9	745.6	77.2
C density (Mg	$(C ha^{-1})$						
1977–1981	38.2	30.1	42.3	25.2	21.0	58.5	38.5
1984–1988	37.1	31.2	41.1	21.9	20.0	55.7	36.6
1989–1993	38.7	31.5	41.8	22.5	20.7	60.9	39.4
1994–1998	40.7	35.3	45.4	22.9	21.8	62.9	42.7
1999–2003	41.1	35.0	45.0	25.4	24.0	61.2	43.7
2004–2008	41.3	34.8	45.4	28.3	25.2	60.7	42.9
Net change	3.1	4.7	3.1	3.1	4.2	2.2	4.4
C sink (Tg C	year ⁻¹)						
1981–1988	23.9	5.3	0.9	-1.1	-4.1	19.6	3.2
1988–1993	103.5	7.2	10.5	10.4	15.5	58.8	1.2
1993–1998	-2.9	-1.6	-10.3	1.3	8.0	-1.2	1.0
1998–2003	94.9	16.0	3.1	16.1	21.5	36.2	2.0
2003-2008	112.9	11.8	17.9	23.4	25.3	27.8	6.8

496 Appendix A. Forest area, carbon stock, and carbon sinks for six regions in China
497 from 1977 to 2008

Period	China	North	Northea	East	South	Southwe	Northwe
			st		Central	st	st
Area $(10^4 ha)$							
1977–1981	1595.2	166.2	298.2	365.4	586.1	101.9	77.3
1984–1988	2347.2	244.7	497.8	583.0	595.9	277.1	148.7
1989–1993	2675.2	308.7	456.8	680.8	761.9	339.1	127.9
1994–1998	2914.4	309.5	474.4	717.5	878.5	396.7	137.9
1999–2003	3229.4	386.2	461.9	769.2	976.3	495.9	139.8
2004–2008	3999.9	494.4	536.6	928.8	1235.8	633.3	170.9
Net change	2404.6	328.2	238.3	563.4	649.6	531.4	93.6
C stock (Tg C)						
1977–1981	249.5	23.5	57.1	52.2	88.2	18.5	10.1
1984–1988	418.0	41.4	105.7	105.0	96.5	47.1	22.3
1989–1993	525.8	55.6	105.5	136.0	138.1	62.1	28.4
1994–1998	642.4	63.0	130.7	153.2	171.0	87.3	37.2
1999–2003	836.1	82.5	150.3	203.7	231.0	130.8	37.7
2004–2008	1067.1	104.8	179.9	261.4	299.0	173.0	49.1
Net change	817.6	81.4	122.8	209.2	210.8	154.5	39.0
C density (Mg	$C ha^{-1}$						
1977–1981	15.6	14.1	19.1	14.3	15.0	18.1	13.1
1984–1988	17.8	16.9	21.2	18.0	16.2	17.0	15.0
1989–1993	19.7	18.0	23.1	20.0	18.1	18.3	22.2
1994–1998	22.0	20.4	27.5	21.4	19.5	22.0	27.0
1999–2003	25.9	21.4	32.5	26.5	23.7	26.4	27.0
2004–2008	26.7	21.2	33.5	28.1	24.2	27.3	28.7
Net change	11.0	7.1	14.4	13.9	9.2	9.2	15.6
C sink (Tg C y	year ⁻¹)						
1981–1988	24.1	2.6	6.9	7.5	1.2	4.1	1.7
1988–1993	21.6	2.8	0.0	6.2	8.3	3.0	1.2
1993–1998	23.3	1.5	5.0	3.4	6.6	5.0	1.7
1998–2003	38.7	3.9	3.9	10.1	12.0	8.7	0.1
2003-2008	46.2	4.5	5.9	11.5	13.6	8.4	2.3

Appendix B. Forest area, carbon stock, and carbon sinks of planted forests for six regions in China from 1977 to 2008

Period	China	North	Northeas	East	South	Southwe	Northwe
			t		Central	st	st
Area (10 ⁴ ha)							
1977–1981	10755.0	1682.8	2655.6	1160.5	1587.2	2837.3	831.5
1984–1988	10822.0	1655.1	2556.5	1140.3	1546.4	3055.9	867.9
1989–1993	11296.2	1688.3	2673.6	1223.3	1684.1	3193.5	833.3
1994–1998	10326.1	1451.6	2295.5	1186.4	1620.3	3012.9	759.5
1999–2003	11049.3	1617.0	2364.4	1257.5	1743.7	3306.2	760.4
2004–2008	11559.1	1688.5	2464.1	1303.8	1851.5	3425.9	825.4
Net change	804.1	5.7	-191.5	143.2	264.3	588.5	-6.1
C stock (Tg C)							
1977–1981	4467.8	533.2	1192.8	332.3	368.2	1701.2	340.0
1984–1988	4466.8	552.2	1150.8	272.0	331.5	1810.2	350.3
1989–1993	4876.5	573.7	1203.2	292.8	367.3	2089.4	350.0
1994–1998	4745.5	558.3	1126.4	282.0	374.5	2058.0	346.3
1999–2003	5026.4	618.6	1122.5	311.9	422.0	2195.7	355.7
2004–2008	5360.0	655.3	1182.3	371.5	480.3	2292.3	378.3
Net change	892.1	122.0	-10.5	39.2	112.1	591.1	38.3
C density (Mg	$C ha^{-1}$)						
1977–1981	41.5	31.7	44.9	28.6	23.2	60.0	40.9
1984–1988	41.3	33.4	45.0	23.9	21.4	59.2	40.4
1989–1993	43.2	34.0	45.0	23.9	21.8	65.4	42.0
1994–1998	46.0	38.5	49.1	23.8	23.1	68.3	45.6
1999–2003	45.5	38.3	47.5	24.8	24.2	66.4	46.8
2004–2008	46.4	38.8	48.0	28.5	25.9	66.9	45.8
Net change	4.8	7.1	3.1	-0.1	2.7	7.0	4.9
C sink (Tg C y	ear^{-1})						
1981–1988	-0.1	2.7	-6.0	-8.6	-5.3	15.6	1.5
1988–1993	81.9	4.3	10.5	4.2	7.2	55.8	0.0
1993–1998	-26.2	-3.1	-15.4	-2.2	1.4	-6.3	-0.7
1998–2003	56.2	12.1	-0.8	6.0	9.5	27.5	1.9
2003-2008	66.7	7.3	12.0	11.9	11.7	19.3	4.5

Appendix C. Forest area, carbon stock, and carbon sinks of natural forests for six 505 regions in China from 1977 to 2008

Province	Area (10^4 ha)			Carbon density (Mg C ha ⁻¹)			Carbon stock (Tg C)		
	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Chang
Beijing	2.6	19.3	16.8	11.5	18.1	6.6	0.3	3.5	3.2
Tianjin	0.6	5.0	4.4	11.4	21.4	10.0	0.1	1.1	1.0
Hebei	43.1	122.2	79.0	12.5	20.7	8.2	5.4	25.3	19.9
Shanxi	12.5	57.2	44.7	13.2	21.9	8.7	1.6	12.5	10.9
Neimenggu	107.5	290.7	183.2	14.9	21.5	6.5	16.1	62.4	46.4
Liaoning	129.9	166.8	36.8	15.4	26.8	11.4	20.0	44.7	24.7
Jilin	88.1	141.5	53.3	21.6	39.7	18.1	19.0	56.1	37.2
Heilongjiang	80.2	228.4	148.2	22.6	34.6	12.0	18.1	79.0	60.9
Shanghai	0.0	3.4	3.4	0.0	16.9	16.9	0.0	0.6	0.6
Jiangsu	18.6	71.1	52.4	12.5	25.0	12.5	2.3	17.7	15.4
Zhejiang	63.5	118.5	55.1	12.6	24.6	12.0	8.0	29.2	21.2
Anhui	53.8	136.8	83.0	13.4	25.5	12.2	7.2	34.9	27.8
Fujian	108.6	239.8	131.2	18.8	37.3	18.4	20.5	89.4	68.9
Jiangxi	61.7	213.1	151.4	13.6	26.1	12.5	8.4	55.6	47.2
Shandong	59.2	146.0	86.8	9.9	23.3	13.4	5.9	34.0	28.1
Henan	37.7	164.6	126.9	12.7	25.4	12.8	4.8	41.9	37.1
Hubei	79.5	110.4	30.9	11.4	21.8	10.4	9.1	24.1	15.0
Hunan	115.5	290.8	175.3	13.1	25.4	12.2	15.2	73.8	58.6
Guangdong	183.8	343.5	159.7	14.9	20.0	5.1	27.3	68.5	41.2
Guangxi	148.7	293.1	144.4	19.6	28.1	8.5	29.1	82.4	53.3
Hainan	19.4	33.4	14.0	18.0	25.1	7.0	2.4	8.4	5.9
Sichuan	37.2	332.6	295.4	16.0	26.2	10.2	5.9	87.0	81.1
Guizhou	34.1	143.5	109.4	21.0	28.7	7.7	7.1	41.1	34.0
Yunnan	30.5	154.5	124.0	17.7	28.6	10.8	5.4	44.2	38.7
Xizang	0.2	2.8	2.6	0.0	24.4	24.4	0.0	0.7	0.7
Sha <u>a</u> nxi	33.2	77.1	43.8	12.8	21.1	8.3	4.3	16.3	12.0
Gansu	19.2	55.7	36.4	12.8	24.4	11.7	2.5	13.6	11.2
Qinghai	2.3	4.1	1.8	23.6	36.5	12.9	0.5	1.5	1.0

509 Appendix D. Changes in forest area, carbon density, and carbon stock for planted forests in provinces of China for the period 1977–2008

Ningxia	6.8	5.9	-0.9	12.2	21.3	9.1	0.8	1.3	0.4	
Xinjiang	15.7	28.2	12.5	13.1	58.4	45.3	0.0	2.1	2.1	

510 Bold italic font refers to the values in Hainan and Guangdong acquired from the forest inventory data in the period of 1984–1988, because these

511 two provinces were not separated administratively until 1988 and their separate inventory data was lacked for the period of 1977–1981.

Province		Area $(10^4 ha)$		Carbon density (Mg C ha ⁻¹)			Carbon stock (Tg C)		
	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Change
Beijing	5.8	16.2	10.4	15.5	17.9	2.4	0.9	2.9	2.0
Tianjin	0.5	0.4	0.1	14.4	18.2	3.9	0.1	0.1	0.0
Hebei	103.0	166.1	63.0	16.1	17.5	1.3	16.6	29.0	12.4
Shanxi	77.1	115.2	38.1	27.2	28.5	1.3	21.0	32.8	11.9
Neimenggu	1496.3	1390.5	-105.8	33.1	42.5	9.4	494.7	590.4	95.8
Liaoning	172.6	194.6	22.0	29.3	35.2	5.9	50.6	68.6	18.0
Jilin	665.2	585.3	-79.9	53.9	64.5	10.5	358.6	377.2	18.7
Heilongjiang	1817.9	1684.3	-133.6	43.1	43.7	0.6	783.7	736.5	-47.2
Shanghai	0.2	0.0	-0.2	8.8	0.0	-8.8	0.0	0.0	0.0
Jiangsu	2.9	3.4	0.4	12.5	18.4	5.9	0.4	0.6	0.3
Zhejiang	227.7	275.1	47.4	19.2	21.5	2.3	43.8	59.2	15.4
Anhui	135.7	134.0	-1.7	20.4	25.2	4.8	27.6	33.8	6.1
Fujian	339.1	326.2	-12.9	39.2	39.5	0.4	132.8	128.9	-3.8
Jiangxi	442.0	555.0	113.0	28.7	26.6	-2.1	126.7	147.5	20.9
Shandong	12.9	10.1	-2.8	8.0	13.8	5.8	1.0	1.4	0.4
Henan	101.1	118.7	17.6	19.7	25.6	5.9	19.9	30.4	10.5
Hubei	317.9	397.4	79.5	18.4	23.1	4.7	58.6	91.8	33.2
Hunan	379.7	435.8	56.1	21.5	21.8	0.3	81.8	95.0	13.2
Guangdong	320.0	335.3	15.3	19.7	27.1	7.4	62.9	90.8	27.9
Guangxi	394.9	513.6	118.7	26.3	27.9	1.6	103.8	143.5	39.6
Hainan	<i>49.5</i>	50.7	1.3	49.4	56.7	7.3	24.4	28.8	4.3
Sichuan	765.8	1014.7	248.9	60.5	62.3	1.8	463.7	632.3	168.6
Guizhou	225.6	254.6	29.0	28.6	28.4	-0.1	64.5	72.4	7.9
Yunnan	1056.7	1318.2	261.5	52.2	53.4	1.2	551.2	703.6	152.4
Xizang	789.2	838.4	49.1	78.8	105.4	26.6	621.9	884.0	262.1
Shaanxi	487.6	490.0	2.3	32.4	36.0	3.6	158.0	176.5	18.6
Gansu	198.9	157.8	-41.1	43.7	52.3	8.5	87.0	82.4	-4.5
Qinghai	21.9	31.4	9.6	41.9	52.1	10.2	9.2	16.4	7.2

Appendix E. Changes in forest area, carbon density, and carbon stock for natural forests in provinces of China for the period 1977–2008

Ningxia	4.1	5.2	1.1	22.6	31.3	8.7	0.9	1.6	0.7
Xinjiang	119.1	141.1	22.0	71.4	71.8	0.5	85.0	101.3	16.3

514 Bold italic font refers to the values in Hainan and Guangdong acquired from the forest inventory data in the period of 1984–1988, because these

two provinces were not separated administratively until 1988 and their separate inventory data was lacked for the period of 1977–1981.

Appendix F. The estimation methods for forest area and stand volume in CFID. 516 a) Forest area estimation 517 518 In forest inventory of China, the systematic sampling was conducted at the provincial level. Based on the sampling method, the ratio of forest area (P_i) for a certain forest type (i)519 can be expressed as: 520 $p_i = \frac{m_i}{n}$ 521 (1) $S_{p_i} = \sqrt{\frac{p_i(1-p_i)}{n-1}}$ (2)522 where *n* represents the number of all the sampling plots, m_i represents the number of plots 523 classified as type *i* (including various types of land categories, vegetation types, forest types 524 and other land classification attributions), Spi represents the standard deviation of Pi. 525 then, the area of forest $i = (\hat{A}_i)$ can be estimated by the following equation 526 $\hat{A}_i = A \cdot p_i$ (3)527 where A means the overall area in the forest inventory for one province, and the total area 528 equals to the sum area of all kinds of forests. 529 The limit of error for the area estimation is calculated by the following equation 530 $\Delta_A = A \cdot t_{\alpha} \cdot S_{n}$ (4) 531 where t_a is the reliability index, the estimation interval can be expressed as $\hat{A}_i \pm \Delta_{A_i}$. 532 The sampling precision (P_{Ai}) can be expressed as: 533 $P_{A_i} = (1 - \frac{t_a \cdot S_{p_i}}{p}) \cdot 100\%$ _____ (5) 534 535 b) Forest volume estimation 536 The mean stand volume for forest *i* can be expressed as: 537 $\overline{V_i} = \frac{1}{n} \sum_{i=1}^n V_{ij}$ (6)538 Where *V_{ij}* represents the stand volume of plot *j* for forest *i*. 539 The sampling variance is calculated as 540

541
$$S_{V_{i}}^{2} = \frac{1}{n-1} \sum_{j=1}^{n} (V_{ij} - \overline{V_{i}})^{2}$$
(7)
542
$$S_{\overline{V_{i}}} = \frac{S_{V_{i}}}{\sqrt{n}}$$
(8)
543 The overall stand volumes for forest *i* can be estimated as:
544
$$\hat{V_{i}} = \frac{A}{a} \cdot \overline{V_{i}}$$
(9)
545 where *A* means the overall area in the forest inventory for one province, *a* means the area of
546 the sampling plot.
547 The limit of error for the overall estimation of forest *i* can be calculated by the following
548 equation: $\Delta_{V_{i}} = \frac{A}{a} \cdot t_{a} \cdot S_{\overline{V_{i}}}$ (10)
550 where t_{a} is the reliability index, the estimation interval can be expressed as $\hat{V_{i}} \pm \Delta_{V_{i}}$.
551 The sampling precision (P_{v}) can be expressed as:
552 $P_{V_{i}} = (1 - \frac{t_{a} \cdot S_{V_{i}}}{V_{i}}) \cdot 100\%$ (11)