# **Responses to comments**

# **Reply to Dr. Williams, Associate Editor**

# Comments to the Author:

Thank you for providing a full report of the results in Table 1 and for working through the associated calculations in the response. The results clearly show a conundrum that derives from a problem with using average C density for the attribution analysis. As I noted before, the conundrum is that the carbon sinks attributed to areal expansion and density increases for planted forests plus natural forests does not sum to the carbon sink attribution for all forests. This is not logical nor quantitatively sound and it derives from the use of mean C density applied to the areal expansion for all forests when most of the areal expansion was in fact in the planted forests which have lower carbon density. This is the same problem I raised at the beginning of the review process and throughout. Here I illustrate the problem in two ways.

Again, the attribution to area versus density for All Forests uses an average carbon density which is incorrect and leads to erroneous interpretation of the relative importance of area versus density in the contributing to the large forest C sink in China. It inflates the apparent importance of areal expansion by using an unrepresentative carbon density for the planted forests which are the forests that experienced most of the areal expansion. Those forests have a density of about (26.7 + 15.6) / 2 = 21.15 MgC ha-1 while all forests have more than double the average density at about 44 MgC ha-1. Thus, areal expansion of planted forests does not add the carbon density of an average forest but rather only the carbon density of the planted forests, which hold less carbon.

Another, even more persuasive, illustration of this flaw is seen in the apparent increase in average density over all forests, which is lower than the actual increase in average density of either planted forests or natural forests. Quantitatively, the average density increase over all forests was only 3.1 MgC ha-1 over the interval (41.3 - 28.2 MgC ha-1 from Table 1), while Natural Forests, which account for most of the forestland, saw an increase of 4.9 MgC ha-1, and Planted Forests saw an even greater density increase of 11.1 MgC ha-1. How could both the planted and natural forests have a larger C density increase than All Forests which includes both categories? Again, this derives from a misleading use of averaging. Because the planted forests saw such a large increase in area, their proportion of all forests rose substantially. Because they have a lower carbon density, this makes it look like carbon density increased less than it did in either of the two components (planted and natural) because the increase in carbon density gets diluted by the increasing proportion of all forests that is of low density (planted). This clearly misattributes the rate of carbon density increase, making it look less important than it actually was.

These flaws are of major significance to the conclusions of the work and therefore the

must be corrected before the work can be accepted for publication. The way to correct these issues is to add up the carbon sinks attributed separately for planted forests and natural forests to get the combined carbon sink strengths inferred for All Forests. This will show that area and density increases had about equal importance. The importance of afforestation will still be true. The abstract, discussion, and conclusions can all be adjusted to match this corrected analysis and interpretation. Table 1 should be included in the main manuscript. However, the results for Ma and Md for "All Forests" should be replaced with the sum of the results for "Planted Forests" and "Natural Forests". Figure 5 could be left as either a bar chart (not histogram) or pie chart, which are equally effective in my opinion and which effectively convey the same information.

I apologize for this painstaking and enduring scrutiny and I thank you for your patience and diligence in addressing these concerns. I truly believe that this is important work that needs to be published not only for science but also for society given its relevance, for example, to national and international forest and carbon management policies. This is why the work is receiving such a carefully review, ensuring that the analysis holds up and that the interpretation of the drivers of the aggregate carbon sink in China is a correct reflection of reality and what the data show.

**Reply:** We also thank for your nice comments and the tireless efforts to improve the manuscript. As you have commented, when combining the two categories together, the relative contributions of areal expansion for all forests would be overestimated mostly because of the overestimation of mean density for planted forests. The overestimated carbon sinks nearly equaled to 542 Tg C (increase in planted forest area between 1977-2008 multiplies changes between mean density of planted forests and all forests in 2008). The relative contributions (R, %) for all forests should equals to the sum of which in planted (R<sub>P</sub>, %) and natural (R<sub>n</sub>, %), but weighted by their relative carbon sink ratios (r, %) (Eq.I).

$$R = R_p \times r_p + R_n \times r_n \tag{1}$$

Where  $r_p$  represents the ratio of carbon sinks of planted forests to all forests and  $r_n$  was the ratio of carbon sinks of natural forests to all forests.

In fact, the **carbon sink weighted method** was just what you have suggested in the early comments, which was indeed better to illustrate the relative contributions of forest density to carbon sinks, since in most case density of planted forests was much lower than that of natural forests. Following your suggestions, we have revised the MS as below:

- (1) First of all, we recalculated the relative contributions for All Forests by adding up the absolute carbon numbers from Planted and Natural forests as you had suggested. Thus, for all forests, areal expansion and growth in density nearly contributed equally (50.4% vs. 49.6%) to the total forests biomass C sinks of China in study period. This result has been stated in the section of Abstract (lines 33-37), Results (lines 200-204) and Conclusions (lines 353-354).
- (2) In the section of Methods, we added the Equation 6 and 7 to describe the estimation of carbon sequestrations attributing to areal expansion or density (lines 154-162).

The carbon sinks attributing to areal expansion (Ma) or growth in forest density (Md) was

derived from the multiplication of the relative contribution (%) and the total carbon sinks  $(\Delta M)$ 

$$M_a = R_a (\%) \times \Delta M ; \quad M_d = R_d (\%) \times \Delta M \tag{6}$$

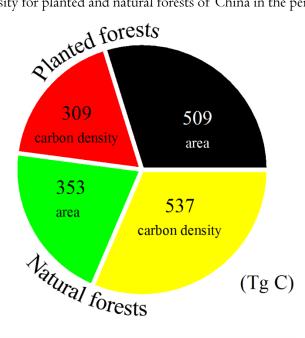
For all forests, the relative contributions (R, %) of areal expansion or growth in density were calculated by the ratio of carbon sinks in planted and natural forests to the carbon sinks of all forests (Eq.7).

$$R_a(\%) = \{M_a(planted) + M_a(natural)\} \times 100 / \Delta M;$$

$$R_d(\%) = \{ M_d(planted) + M_d(natural) \} \times 100 / \Delta M;$$
(7)

(3) Fig.5 was redrew as a pie chart and Table I was also added in the main text following your suggestions.

Fig. 5. Summary of the forest biomass carbon sinks attributing to areal expansion and increase in carbon density for planted and natural forests of China in the period 1977–2008



Meanwhile, we have also updated all the citations of Tables. Figures and Appendixes in the revised MS. We hope the flaws of the method have been corrected through these revisions and thanks for your helpful suggestions.

List of changes

- 1. Lines 33-37, in abstract, change the relative contribution of area and density for all forest from 74.6% vs.25.4% to 50.4% vs. 49.6% and also the relative statement.
- 2. Line106, change Appendix F to Appendix E.
- 3. Line 108, change Fig.1c to Fig.1b.
- 4. Lines 154-157, add Equation 6 and 7.
- 5. Lines 163-183, delate the text about the relative contributions for all forests.
- 6. Lines 185-204, lines 239-240, lines 266, line 268 and line 284, update the citations for figures and appendixes in revised MS.
- 7. Lines 205-209, add the new results of relative contributions for all forests.
- 8. Lines 358-359, add the results for all forests in the section of Conclusions.
- 9. Lines 480-483, add Table 1 in the main MS.
- 10. Lines 484-488, redraw the Fig.1 and update the legends.
- 11. Lines 510-512, replace the Fig.5 (histogram) by the pie chart to indicate the C sinks attributing to areal expansion and growth in density within natural and planted forests.
- 12. Line 515-535, delate Appendix A and update the legends of the Appendixes.

1	The relative contributions of forest growth and areal expansion to forest biomass carbon
2	
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# 23 ABSTRACT

Forests play a leading role in regional and global terrestrial carbon (C) cycles. Changes in C 24 25 sequestration within forests can be attributed to areal expansion (increase in forest area) and forest growth (increase in biomass density). Detailed assessment of the relative contributions 26 of areal expansion and forest growth to C sinks is crucial to reveal the mechanisms that 27 28 control forest C sinks and is helpful for developing sustainable forest management policies in the face of climate change. Using the Forest Identity concept and forest inventory data, this 29 30 study quantified the spatial and temporal changes in the relative contributions of forest areal expansion and increased biomass growth to China's forest biomass C sinks from 1977 to 2008. 31 Over the last 30 years, the areal expansion of forests was a larger contributor to C sinks than 32 forest growth for all forests and planted forests in China (74.6% vs. 25.4% for all forests, and 33 34 62.2% vs. 37.8% for plantations). However, for natural forests, forest growth made a larger contribution than areal expansion (60.4% vs. 39.6%). For all forests (planted and natural 35 forests), growth in area and density contributed equally to the total C sinks of forest biomass 36 in China (50.4% vs. 49.6%). The relative contribution of forest growth of planted forests 37 showed an increasing trend from an initial 25.3% to 61.0% in the later period of 1998 to 2003, 38 but for natural forests, the relative contributions were variable without clear trends owing to 39 the drastic changes in forest area and biomass density over the last 30 years. Our findings 40 41 suggest that afforestation can continue to increase the C sink of China's forests in the future subject to sustain forest growth after establishment of plantation. 42 43

44 Keywords:

biomass density, biomass expansion factor, carbon sink, forest area, forest growth, forestidentity

### 47 **1. Introduction**

As the largest terrestrial ecosystem, forests occupy around 30% of the global land surface 48 area (Bonan, 2008; Pan et al., 2013) and play a dominant role in regional and global carbon 49 (C) cycles because of their huge capacity for C storage and high productivity (Leith and 50 Whittaker, 1975; Malhi et al., 2002; Pan et al., 2011). Forests can be sources of atmospheric 51 CO<sub>2</sub> following anthropogenic and natural disturbances, but can also function as C sinks to 52 sequester or conserve large quantities of C during regrowth after disturbances (Brown et al., 53 1996, 1999; Brown and Schroeder, 1999; Hu and Wang, 2008; Pan et al., 2011). Therefore, 54 55 investigation of the possible mechanisms of forest C dynamics is of scientific and political importance (Watson et al., 2000; Fang et al., 2001, 2014a, b; Janssens et al., 2003; Nabuurs et 56 al., 2003; Birdsey et al., 2006; McKinley et al., 2011). 57

58 China has the fifth-largest forest area of any country in the world (Ministry of Forest of China, 2009) and encompasses a variety of forest biomes, from boreal forests in the north to 59 subtropical/tropical evergreen broadleaf forests in the south (Fang et al., 2010). With the 60 61 implementation of national afforestation and reforestation programs since the late 1970s, such as the Three-north Protective Forest Program, the Natural Forest Conservation Program, and 62 the Wetland Restoration Program, forest ecosystems in China are credited with making a 63 significant contribution to regional and global C sinks in recent decades (Fang et al., 2001, 64 65 2014a; Fang & Chen, 2001; Lei, 2005; Xu et al., 2010; Pan et al., 2011; Guo et al., 2013). 66 Based on the biomass expansion factor (BEF) method and China's forest inventory data, Guo et al. (2013) estimated the spatio-temporal changes in the forest biomass C sink from 1977 to 67 2008 and concluded that the annual biomass C sink (70.2 Tg C year<sup>-1</sup>, 1 Tg =  $10^{12}$  g) offset 68 69 7.8% of the contemporary  $CO_2$  emissions in the country.

In general, increased forest biomass C sinks are driven by forest areal expansion and forest
 regrowth. The Forest Identity concept, developed for separating the variables of change in

forest area, biomass and C densities (Kauppi et al. 2006, Waggoner, 2008), is useful to 72 develop the method to estimate the change in forest biomass C stock driven by different 73 causes. Using the Forest Identity concept, Shi et al. (2011) evaluated the status of change in 74 75 China's forests and showed that the increase in C sequestration was attributable to the increase in forest area and growing stock density over the last three decades. More recently, to 76 explore the mechanisms that drive forest C sinks in East Asia, Fang et al. (2014a) used the 77 78 Forest Identity approach to estimate the relative contributions of changing forest area and forest C density to the forest biomass C sink in China, Japan and South Korea. These studies 79 80 found that the relative contributions of the changing factors varied among countries and forest origin (planted vs. natural forests). Specifically, it was reported that forest areal expansion 81 made a larger contribution to C sinks than increased biomass density for all forests. However, 82 83 the study of Fang et al. (2014a) did not analyze the spatial and temporal variability in the relative contributions of forest areal expansion and increased biomass density to China's 84 forest C sinks. In this study, we used the Forest Identity concept and forest inventory data to 85 quantify in detail the spatial and temporal difference in the relative contributions of forest 86 areal expansion and increased biomass density to China's forest C sinks during the past 30 87 years. Furthermore, we discussed the primary reasons for reduced biomass C stocks of natural 88 forests in some provinces of China. 89

90

#### 91 2. Data and Methods

#### 92 2.1. Forest inventory data

China's forest inventory data (CFID) for the periods 1977–1981, 1984–1988, 1989–1993,
1994–1998, 1999–2003, and 2004–2008 were used in this study (Chinese Ministry of Forestry,
1983, 1989, 1994, 2000, 2005, 2010). These inventories were compiled from more than
250,000 plots (160,000 permanent sample plots plus 90,000 temporary sample plots) across

97 the country. Systematic sampling with a grid of 2 km by 2 km or 4 km by 4 km and an area of 10 m by 10 m was used depending on forest region. In CFID, China's forests were classified 98 into three categories: stands (including natural and planted forests), economic forests (woods 99 with the primary objective of production of fruits, edible oils, drinks, flavorings, industrial 100 raw materials, and medicinal materials), and bamboo forests (Guo et al. 2013). In the present 101 study, "forest" refers only to a "forest stand" with canopy coverage  $\geq 20\%$  and therefore 102 excludes economic and bamboo forests (Fang et al., 2007). At the provincial level, the 103 inventories documented detailed information on age class, area, and volume for each forest 104 105 type, in which forest area was estimated by the "ratio method" in the systematic sampling across each province (see Appendix EF). To investigate spatial variation, we divided the 106 107 national land area into six broad regions-North, Northeast, East, South Central, Southwest, 108 and Northwest—consistent with the method of Fang et al. (2001) (Fig. 1cd).

109

# 110 2.2. Calculation of forest biomass C stocks

In this study, we used the continuous biomass expansion factor (BEF, defined as the ratio of 111 stand biomass to timber volume) method with parameters for each forest type taken from Guo 112 et al. (2013) to calculate forest biomass in China, because the CFID only report the forest area 113 and timber volume for each forest type. The BEF method was firstly developed from the 114 allometric relationships between forest biomass and forest timber volume (Fang et al 1998; 115 116 Brown and Schroeder, 1999), then evolved to be the continuous BEF method based on the reciprocal equation expressing BEF-timber volume relationship (Fang et al. 1998, 2001, 117 2005): 118

 $119 \quad \text{BEF}=a+b/x \tag{1}$ 

120 In Eq. (1), x is the timber volume per unit area (m<sup>3</sup> ha<sup>-1</sup>), and a and b are constants for each

specific forest type. With this simple BEF approach, one can easily calculate regional or

- 122 national forest biomass based on direct field measurements and forest inventory data.
- 123 Calculations with the BEF method are well documented by Fang et al. (2001, 2014a) and the
- 124 BEF method has been applied previously to estimate China's forest stand biomass (Fang et al.,
- 125 2007; Guo et al., 2013). In this study, the ratio of 0.5 was used to convert biomass to C stock
- 126 (Fang et al., 2001).
- 127 2.3. Calculation of the relative contributions of forest areal expansion and increased biomass
  128 density
- Using the Forest Identity concept (Kauppi et al., 2006; Waggoner, 2008), Fang et al. (2014a)
- 130 proposed the method to separate relative contribution of forest areal expansion and forest
- 131 growth to changes in forest biomass stock (or biomass C sink/source). According to Fang et al.
- 132 (2014a), the relationships among forest area (A), biomass C density (D), and total biomass C
- 133 stock (*M*) can be formulated by Eq. (2), and their respective rates of change (a, d, and m)
- 134 over time (t) can be derived- from Eqs. (3) and (4).

$$135 \qquad M = A \times D \,. \tag{2}$$

- 136 Because  $\ln(M) = \ln(A) + \ln(D)$ ,
- 137 the relative change rates of M, A, and D over time (m, a, and d) are the direct result of
- 138 differentiating the equation over time

139 
$$\frac{1}{M}\frac{dM}{dt} = \frac{1}{A}\frac{dA}{dt} + \frac{1}{D}\frac{dD}{dt}, \text{ or } \frac{d\ln(M)}{dt} = \frac{d\ln(A)}{dt} + \frac{d\ln(D)}{dt}$$
(3)

Let the real change rate (*m*, *a* and *d*) among two inventory periods approximately equal to thechange rate of its natural logarithm:

142 
$$m \approx \frac{d \ln(M)}{dt}, a \approx \frac{d \ln(A)}{dt}, d \approx \frac{d \ln(D)}{dt}$$

143 Then, m = a + d

where *M*, *A*, and *D* represent total biomass C stock (Tg C or Pg C,  $1Tg = 10^{12}$  g,  $1Pg = 10^{15}$  g), forest area (ha), and biomass C density (Mg C ha<sup>-1</sup>,  $1Mg = 10^{6}$  g), respectively; and *m*, *a*, and

- 146 d are the corresponding derivatives (or rate of change) of these attributes over time (t).
- 147 The rates (m, a, and d) can be approximately calculated by the following formulas (Eq. 4):

148 Change rate(% yr<sup>-1</sup>) 
$$\approx \frac{2(X_2 - X_1)}{(X_2 + X_1)(t_2 - t_1)} 100\%$$
 (4)

149 where  $X_1$  and  $X_2$  represent the forest area (*A*) or biomass C density (*D*) in the forest inventory 150 period going from  $t_1$  and  $t_2$ , respectively.

- 151 Thus, the relative contribution of change in forest area  $(R_a, \%)$  and change in biomass density
- 152  $(R_d, \%)$  to the change in forest biomass C stock can be expressed as Eq. (5):
- 153  $R_a(\%) = a/m \times 100; R_d(\%) = d/m \times 100$  (5)
- 154 The carbon sinks attributing to areal expansion  $(M_a)$  or growth in forest density  $(M_d)$  was
- 155 derived from the multiplication of the relative contribution (%) and the total carbon sinks

157 
$$\underline{M_a = R_a(\%) \times \Delta M}; \quad \underline{M_d = R_d(\%) \times \Delta M}$$
(6)

158 For all forests, the relative contributions (R, %) of areal expansion or growth in density were

- 159 <u>calculated by the ratio of carbon sinks in planted and natural forests to the carbon sinks of all</u>
- 160 <u>forests (Eq.7).</u>
- 161  $\underline{R_a(\%)} = \{\underline{M_a(planted)} + \underline{M_a(natural)}\} \times 100 / \underline{\Delta M};$
- 162  $\underline{R_d(\%)} = \{\underline{M_d(planted)} + \underline{M_d(natural)}\} \times 100 / \underline{\Delta}\underline{M};$ (7)
- 163

# 164 **3. Results**

3.1. Spatial pattern of the relative contributions of forest area and biomass density to C sinks
Figure 1 shows the results of the national and regional relative contributions of forest areal
expansion (*a*) and increased biomass C density (*d*) to the C sinks\_\_\_for all, planted, and natural
forests between the late 1970s (1977–1981) and the 2000s (2004–2008). For all forests in-

China, the mean rates of change in forest area and biomass density were 0.85% year<sup>-1</sup> and 169 0.29% year<sup>=1</sup>, respectively, with a larger contribution by the former than that of the latter-170 (74.6% vs. 25.4%) to the net change of carbon stock (1709.7 Tg C) (Fig. 1a, Appendix A). As-171 shown in Fig. 1a, forest stands in all regions have increased in area and C density, and 172 functioned as C sinks during the period 1977 2008 (also see Appendix A), but the relative-173 contributions differed considerably among regions. Within the Southwest, South Central and 174 East regions, forest area increased remarkably, and thus areal expansion made a larger-175 contribution than that of increased biomass density to the C sinks (the relative contributions of 176 forest area in these regions were 89.6%, 65.4%, and 76.2%, respectively). In addition, forest-177 C sinks within these three regions were much larger than those of other regions in China-178 179 (Appendix A). The relative contributions of changes in forest area and biomass density weresimilar in the North (53.3% vs. 56.7%) and Northwest (46.1% vs. 53.9%) regions. However, 180 in the Northeast region forest area increased only slightly, with a mean change of 0.06%-181 year<sup>-1</sup>, and thus made a small contribution (18.3%) to the regional C sink over the past 30-182 183 vears.

Planted forests have functioned as C sinks (817.6 Tg C) in the past three decades 184 185 (Appendix AB), and areal expansion made a larger contribution to the C sink than did change in biomass density in all regions (Fig. 1ab). At the national level, the area of planted forests 186 increased at a mean rate of 3.18% year<sup>-1</sup> and contributed 62.2% to biomass C sinks of planted 187 188 forests between 1977 and 2008. Among the six regions, the largest contribution of areal expansion (78.2%) was in the Southwest, followed by the North (71.2%), South Central 189 (60.4%) and East (57.1%) regions. The contributions of areal expansion and increased 190 191 biomass density were approximately equal to 50% in the Northeast and Northwest regions. In contrast to planted forests, areal expansion of natural forests was found to be a smaller 192 contributor to the C sink (892.1 Tg C) than increased biomass density (39.6% vs. 60.4%) at 193

194	the national level, with a and d of 0.27 and 0.41% year <sup>-1</sup> , respectively (Fig. 1be). However,
195	the patterns were not consistent at the regional level: forest areal expansion made a larger
196	contribution to the C sink than did increased biomass density in the Southwest (63.2% vs.
197	36.8%) and South Central (58.0% vs. 42.0%) regions, and in the East region areal expansion
198	was responsible for all of the C sink (104.0%), because the C density of natural forests has
199	shrunk by 0.49% over the last 30 years ( $d = -0.02\%$ year <sup>-1</sup> ) (also see Appendix <b><u>B</u></b> C).
200	Conversely, in North and Northwest China, increased C density dominated the C sinks, with
201	contributions of 98.4% and 107.0%, respectively. In the Northeast region, the area of natural
202	forest has decreased at a mean rate of 0.27% year <sup><math>-1</math></sup> , which exceeds the increase in C density
203	$(d = 0.24\% \text{ year}^{-1})$ , and has ultimately contributed fully to the C source of the natural forest in
204	this region.
205	On the whole, for all forests (planted and natural forests), the biomass C sink attributing to
206	areal expansion and growth in density was 862.3 Tg C and 847.5 Tg C, respectively,
207	indicating an equal relative contribution to the total forest biomass C sinks from this two
208	driving agents in study period (50.4% vs. 49.6%, Table 1)
209	
210	3.2 Temporal dynamics of the relative contributions of forest area and biomass density to $C$
211	sinks
212	We further explored changes of the relative contributions of forest areal expansion and
213	biomass density to C sinks of Chinese forests from 1977 to 2008 (Fig. 2), by calculating the
214	change rates ( $a$ and $d$ ) and the relative contribution rates for the six forest inventory periods.
215	For planted forests, the rate of change in forest area was highest in the 1980s (1981–1988;
216	Fig. 2a) with a mean increase of 5.45% year <sup><math>-1</math></sup> , then decreased until the late 1990s
217	(1993–1998), and thereafter increased in the 2000s. Over the same period, forest biomass C

(1993-1998), and thereafter increased in the 2000s. Over the same period, forest biomass C

218 density has experienced slow but relatively steady enhancement from the early 1980s to the

219 early 2000s (Fig. 2a), reaching the highest rate of increase in the period 1998–2003 (d =2.33%  $yr^{-1}$ ), and then decreased abruptly to a low rate of increase (0.60% year<sup>-1</sup>) in the late 220 2000s (2003–2008). The relative contribution of areal expansion declined from 74.4% 221 222 between 1981 and 1988 to 39.0% between 1998 and 2003, whereas the contribution of increased C density increased from 25.6% to 61.0% over the same period (Fig. 2c). After 223 2003, on account of the rapid growth in forest area (Fig. 2a), the contribution of areal 224 expansion increased and became the dominant contributor to the C sink of China's planted 225 forest (87.7% vs. 12.3% for 2003-2008). 226

227 In contrast to planted forest, the areal expansion and increase of C density in natural forests were more dynamic, having relatively lower rates of change less than 1.5% year<sup>-1</sup> over 228 the study period (Fig. 2b). Furthermore, negative growth was observed in forest area (a =229 -1.80% year<sup>-1</sup> for 1993–1998) and biomass C density (d = -0.08 and -0.20% year<sup>-1</sup> for 230 1981–1988 and 1998–2003, respectively) in natural forest over the study period. Aligning 231 with dynamic rates of change, the relative contribution of forest areal expansion showed a 232 generally decreasing trend from 1981 (366.7%) to 2008 (70.2%), in contrast to the increase in 233 C density (Fig. 2d). In addition, areal expansion always made a greater impact on the carbon 234 sink than did the change in C density in most of the inventory periods, except for the period of 235 1988–1993, when increased C density made a slightly larger contribution than areal expansion 236 (51.1% vs. 48.9%). 237

238 *3.3 Causes of C loss of natural forests at the provincial level* 

Over past three decades, planted forests have functioned as C sinks in all provinces of China (Appendix CD). However, three provinces showed a distinct C loss in their natural forests over the study period (Appendix DE): Heilongjiang (located in Northeast), Gansu (Northwest), and Fujian (East). Among these provinces, Heilongjiang contained the largest area of natural forest (1817.9  $10^4$  ha; 1977–1981) in China, of which the biomass C stock has shrunk by 47.2 Tg C (783.7 Tg C during 1977 –1981 to 736.5 Tg C in the 2000s). The C
stocks of natural forest in Gansu and Fujian also underwent a decline from 87.0 and 132.8 Tg
C in the 1970s to 82.4 and 128.9 Tg C in the 2000s, respectively. Here, we focused on these
three provinces to explore the reasons for the declines in C stock of the natural forests over
the past 30 years by quantifying the relative contributions of changes in forest area and C
density.

Among the three provinces, biomass C density of natural forests increased more or less 250 from 1977 to 2008; the rate of change was highest in Gansu (d = 0.66% year<sup>-1</sup>), whereas only 251 252 slight increases were observed in Heilongjiang and Fujian (Fig. 3, Appendix E). Conversely, the forest area in these provinces experienced more obvious decreases. The forest area in 253 Heilongjiang decreased dramatically by 133.6  $10^4$  ha (a = -0.28% year<sup>-1</sup>) over the last 30 254 years, followed by that of Gansu (41.1 10<sup>4</sup> ha, a = -0.85% year<sup>-1</sup>) and Fujian (12.9 10<sup>4</sup> ha, a =255 -0.14% year<sup>-1</sup>). Detailed analysis of the temporal dynamics of change rates in these provinces 256 demonstrated that most of the decline in forest area occurred between 1981 and 1998 (Fig. 4a, 257 c and e), whereas the contributions of forest area to the C stock change of these provinces 258 increased rapidly, attaining their highest values (Fig. 4b, d and f). Overall, the rapid decline in 259 forest area has exceeded the contribution of increased C density, and ultimately caused the C 260 loss in these provinces (Figs. 3 and 4). 261

262

# 263 **4. Discussion**

4.1. Relative contributions of changes in forest area and biomass density to the C sink in
China's forests

266 <u>Over the past three decades, Over the past three decades, areal expansion and forest</u> 267 growth have increased C stocks in both planted (817.6 Tg C) and natural (892.1 Tg C) forests-268 (Appendices B and C). However, the mechanisms underlying the C sinks differed markedly 269 with various effects from these two driving agents (Fig. 5).

For planted forests, areal expansion made a larger contribution than did biomass growth 270 at both national and regional levels (Fig. 1ab). Benefiting from the implementation of national 271 272 afforestation and reforestation projects since the 1970s (Fang et al., 2001; Li, 2004; FAO, 2006; Wang et al., 2007), the area of planted forest in China has expanded dramatically from 273 16.95 10<sup>6</sup> ha to 24.05 10<sup>6</sup> ha over the last 30 years (Appendix B). Meanwhile, the growth of 274 these young forests also made a significant contribution to C sequestration; the biomass 275 density of planted forest has increased by 71.2% from an initial density of 15.6 Mg C ha<sup>-1</sup> to 276 26.7 Mg C ha<sup>-1</sup> in the late 2000s (2004–2008), which indicates that planted forest could still 277 sequester additional C through future growth (Guo et al., 2010; Xu et al., 2010). 278 Compared to planted forests, growth of existing natural forests was a larger contributor to 279 the C sink than areal expansion at the national level (60.4% vs. 39.6% for density change vs. 280 area change), because the biomass density has increased more rapidly, with a net gain of 4.8 281 Mg C ha<sup>-1</sup> (11.6%), than did forest area (7.4%). Regional disparities were also apparent. 282 283 Forest growth dominated the C sink in the North and Northwest regions, but made a smaller contribution in the Southwest, South Central and East regions (Fig. 1be). The inconsistent 284 patterns in the contributions of forest growth and areal expansion may be associated with 285 differences in forest management policies, harvest intensity, and climatic factors (e.g., the 286 warming climate, increasing summer precipitation, elevated CO<sub>2</sub>, and natural nitrogen 287 288 deposition) among these regions (Fang et al., 2004; Du et al., 2014; Also see in Fang et al. 2014b). For instance, southern and southwest China has experienced drier and hotter climate 289 in the last 3 decades while northern China became wetter and had longer growing seasons 290 (Peng et al., 2011), which may effectively contribute to the enhanced C densities in the 291 northern regions 292

4.2. Dynamics of areal expansion and forest growth in planted and natural forests

It is generally recognized that areal expansion and forest growth are closely associated 294 with the intensity of reforestation and loss of forest cover (e.g. deforestation, industrial 295 harvest or natural disturbance). Therefore, implementation of forest management policies may 296 297 have a strong impact on forest C sequestration via the introduction of a variety forest projects in a country (Brown et al., 1997; Fang et al., 2001; Birdsey et al., 2006; Kauppi et al., 2006). 298 Naturally, different forest management policies and projects would alter the rate of change in 299 300 forest expansion and growth at different levels, ultimately leading to mechanisms regulating C sequestration among natural and planted forests. 301

302 The decline followed by an increasing trend in the areal expansion in planted forests was strongly associated with the stages of forest restoration projects conducted in China (Fig. 2a). 303 The nationwide reforestation projects in China can be divided into two stages. Aiming to 304 305 provide resistance to harsh weathers and environmental protection, the first stage was initiated 306 in the 1970s and peaked in the 1980s; the forests established in this period were specifically targeted for environmental protection in some regions or provinces (Li, 2004; Wang et al., 307 2007). The second stage, initiated from the late 2000s, included six major forestry projects: 308 Natural Forest Conservation Projects (2000), Three-North Protection Forest System (2000), 309 Wild Life and Nature Reserve Construction Projects (2001), Grain for Green Project (2002), 310 Fast-growing Forests in Key Areas Projects (2002), and the Beijing-Tianjin-Hebei Sandstorm 311 Source Treatment Project (2002) (Lei, 2005; Liu, 2006; Wang et al., 2007). Compared with 312 313 the first stage, the second stage covered more than 97% of counties in the country, and was designed for a broader range of ecosystem services and multiple goals (e.g., biodiversity 314 conservation and development of fast-growing plantations for industry). Rapid and 315 316 concentrated afforestation projects would indeed enlarge the forest area and enhance the relative contribution of areal expansion to the C sink in a short period (i.e., in the periods 317 1981–1988 and 2003–2008; Fig. 2c). However, once the projects were slowed down or 318

finished, forest growth would take over, accelerating under favorable growth conditions and
effective management and leading to improvement in the relative contribution of C density to
the C sink over a longer time frame (Fig. 2c).

The natural forests in China constitute a large C stock, of which its proportion to total 322 forest biomass C stock was 83.40% in the late 2000s (2004–2008). However, natural forests 323 have faced long-term logging pressure (e.g. timber extraction and farming) (Li, 2004; Lei, 324 2005), in addition to other degrading factors, such as increased wildfires or extreme weather 325 events (Shi, 2011). In the present study, owing to the drastic changes in forest area and 326 327 biomass density over the last 30 years (Fig. 2b), the relative contributions were variable without clear trends (Fig. 2d). For instance, in the period 1993–1998 biomass density 328 increased from 43.2 Mg C ha<sup>-1</sup> to 46.0 Mg C ha<sup>-1</sup> (d = 1.25% year<sup>-1</sup>), but forest area 329 decreased by  $0.97 \times 10^6$  ha (a = -1.79% year<sup>-1</sup>) in the same period (Appendix C, Fig. 2b). 330 Thus, areal contraction was responsible for the net C loss in the late 1990s. Analysis of C 331 sinks at the provincial level also revealed that forest area declined at a relatively higher rate 332 than the increase in biomass density in some provinces, making areal reduction the primary 333 reason for C loss in natural forests (Fig. 3). Notably, since the late 1990s (1994-1998), natural 334 forests in China have functioned as a persistent C sink, probably owing to implementation of 335 the nationwide Natural Forest Conservation Project starting in 1998 (Appendix C) (Shen, 336 2000; Lei, 2005; Ministry of Forestry of China, 2009; Guo, 2013). Subsequently, the relative 337 338 contribution of changes in biomass has shown a constantly increase (Fig. 2d).

339 *4.3 Uncertainty of estimates* 

Uncertainties in our studies mainly arise from the quality of forest area and timber volume
data in the forest inventories and the estimation of national biomass stocks using the BEF
method. On the one hand, precision in the forest area and timber volume data was required to
be >90% in almost all provinces (>85% in Beijing, Shanghai, and Tianjin) (Xiao, 2005). On

the other hand, the  $R^2$  values of the BEF equations used to convert timber volume to biomass for most dominant tree species or forest types exceeded 0.8 (Fang et al., 2014a). Therefore, the data and method used in the present study show relatively high precision. Previous studies have reported that the estimation error of biomass stocks at the national level are expected to be less than 3% in China (Fang et al., 1996).

# 349 Conclusions

With the implementations of national afforestation and reforestation programs since the late 350 1970s, China is credited with making a significant contribution to regional and global C sinks 351 352 in recent decades. Using forest identity and CFID, this study quantified in detail the relative contributions of forest areal expansion and increased biomass density to China's forest C 353 sinks during the past 30 years. Our findings suggested that the mechanisms underlying the C 354 355 sinks for natural and planted forests differed markedly with various effects from these two driving agents. The areal expansion of forests was a larger contributor to C sinks than forest 356 growth for all forests and planted forests while forest growth (e.g. increased biomass density) 357 358 made a larger contribution for natural forests. For all forests, growth in area and density each contributed equally to the total C sinks in forest biomass in China (50.4% vs. 49.6%).-359 Furthermore, the increasing trend in the relative contribution of forest growth to C sinks for 360 planted forests highlight that afforestation can continue to increase the C sink of China's 361 forests in the future subject to persistently-increasing forest growth after establishment of 362 363 plantation. 364

365

**366** Author contributions

J. F., J.Z., and P.L. designed the research; P. L., J.Z., H.H., Z.G., and J.F. performed the

research; P.L., J.Z., and J.F analyzed data; J.F., Y.P. and R.B. contributed new analytic tools;

- 369 P.L. and J.Z. prepared the manuscript with contributions from all co-authors.
- 370

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	<u>1977</u> – <u>1981</u>				<u>2004–2008</u>							
Forest type	Area	Density	Carbon stock	Area	Density	Carbon stock	<u>a</u>	<u>d</u>	<u><b>R</b></u> <i>a</i>	<u>R_d</u>	<u>Ma</u>	$\underline{\mathbf{M}}_{d}$
	<u>( 10<sup>4</sup> ha )</u>	<u>(Mg C ha<sup>-1</sup>)</u>	<u>(Tg C)</u>	<u>( 10<sup>4</sup> ha )</u>	( <u>Mg C ha</u> <sup>-1</sup> )	<u>(TgC)</u>	<u>(% yr<sup>-1</sup>)</u>	<u>( % yr<sup>-1</sup> )</u>	<u>(%)</u>	<u>(%)</u>	<u>(TgC)</u>	<u>( Tg C )</u>
Planted forests	<u>1595</u>	<u>15.6</u>	<u>249.5</u>	<u>3999</u>	<u>26.7</u>	<u>1067.1</u>	<u>3.18</u>	<u>1.93</u>	<u>62.2</u>	<u>37.8</u>	<u>508.8</u>	<u>308.8</u>
Natural forests	<u>10755</u>	<u>41.5</u>	<u>4467.8</u>	<u>11559</u>	<u>46.4</u>	<u>5360.0</u>	<u>0.27</u>	<u>0.41</u>	<u>39.6</u>	<u>60.4</u>	<u>353.5</u>	<u>538.7</u>
All forests	<u>12350</u>	<u>38.2</u>	<u>4717.4</u>	<u>15558</u>	<u>41.3</u>	<u>6427.1</u>	<u>0.85</u>	0.29	<u>50.4</u>	49.6	<u>862.3</u>	<u>847.5</u>

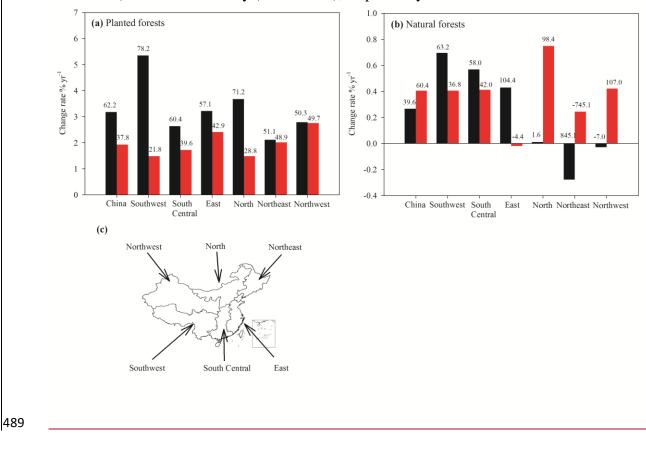
480 Table 1. Summary of forest variables for planted, natural and all forests between the forest inventory periods of 1977-1981 and 2004–2008

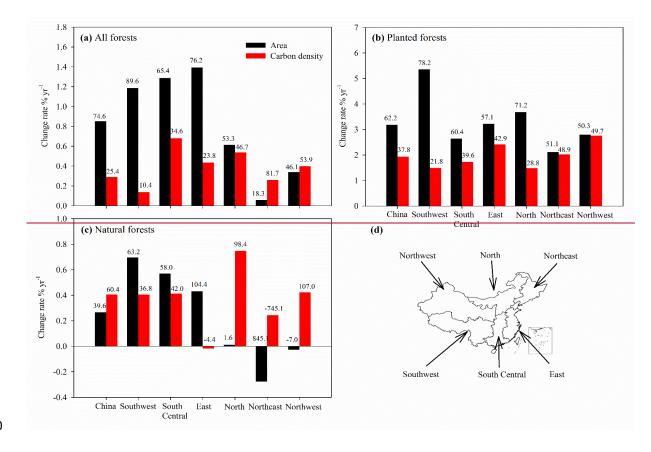
481 \*a, change rate of forest area, d, change rate of forest density, R(a), relative contribution of areal expansion to carbon sink, R(d), relative

482 <u>contribution of forest regrowth to carbon sink</u>,  $M_a$  carbon sinks attributing to areal area expansion,  $M_d$  carbon sinks attributing to growth in

483 <u>density</u>

Fig. 1. Rate of change and relative contributions of forest area and biomass density to carbon
sinks in-all (a), planted (ab) and natural (be) forests in six broad regions of China for the
period 1977–2008. The division of these six broad regions are indicated as (dc). Bars and
numbers above represent the change rates and their relative contributions of forest area (in
black color) and carbon density (in red color), respectively.

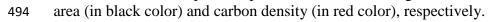


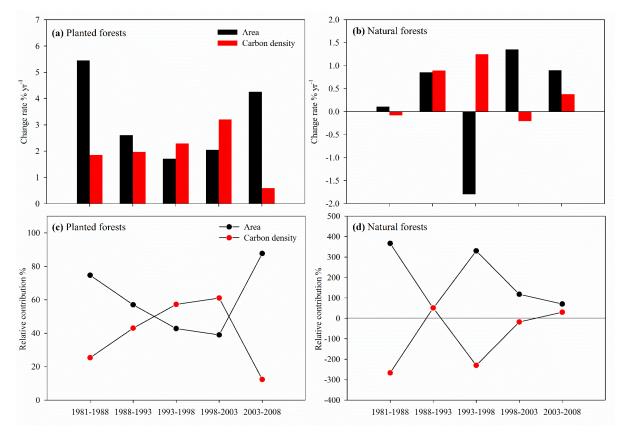


**Fig. 2.** Relative contributions and the dynamics of areal expansion and forest growth to

492 carbon sinks in planted (a and c) and natural (b and d) forests of China in the period

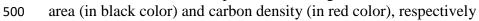
493 1977–2008. Bars and points represent the rates of change and relative contributions of forest

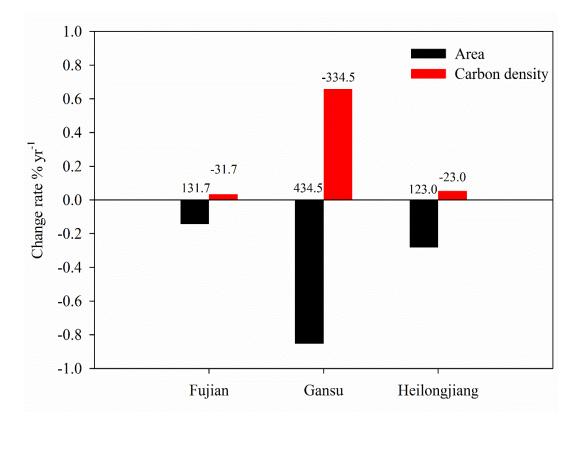




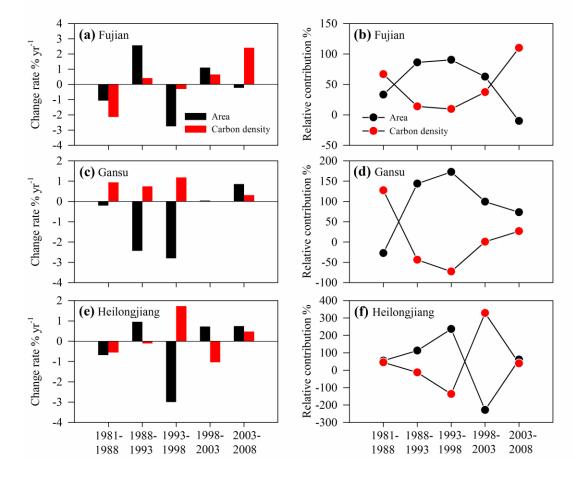
**Fig. 3.** Rate of change and the relative contributions of changes in forest area and carbon

density of natural forests to carbon loss in three provinces of China in the period 1977–2008.
Bars and numbers above represent the change rates and their relative contributions of forest

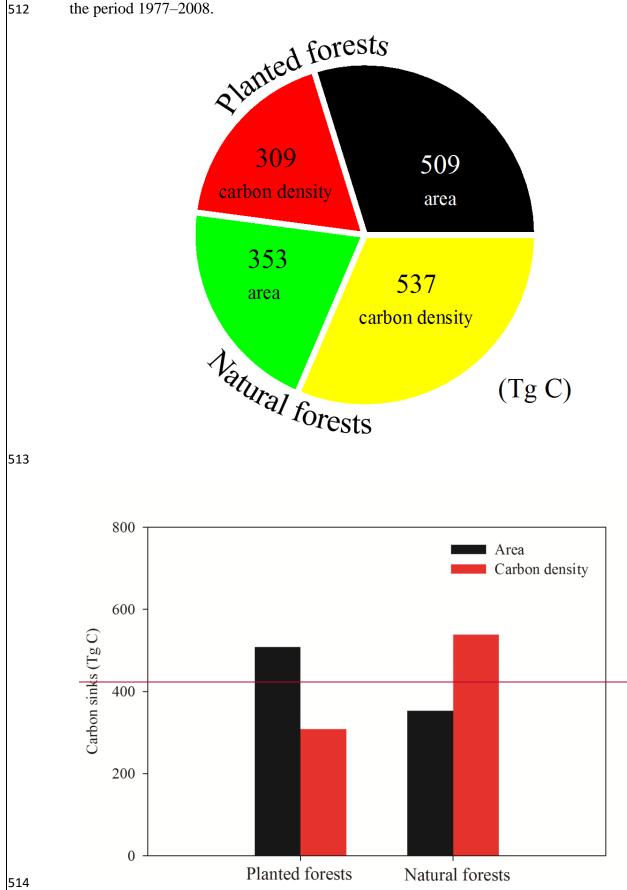




**Fig. 4.** Rate of change (a, c and e) and relative contributions of changes (b, d and f) in forest area and carbon density of natural forests to carbon loss in three provinces of China in the period 1977–2008. Bars and points represent the rates of change and relative contributions of forest area (in black color) and carbon density (in red color), respectively.



- **Fig. 5.** Summary of the forest biomass carbon <u>accumulation sinks induced by attributing to</u>
- areal expansion and increase in carbon density for planted and natural forests of China inthe period 1977–2008.



515	Appendix A. Forest area,	carbon stock, and	carbon sinks for six	<del>c regions in China from 1977</del>
516	<del>to 2008</del>			

<del>to 2008</del>							
Period	<b>China</b>	North	Northea	East	South	Southw 8 1	Northw
			<del>st</del>		Central	est	est
Area (10 <sup>4</sup> ha)							
<del>1977–1981</del>	<del>12350.3</del>	<del>1849.1</del>	<del>2953.9</del>	<del>1525.9</del>	<del>2173.3</del>	<del>2939.3</del>	<del>908.8</del>
<del>1984–1988</del>	<del>13169.1</del>	<del>1899.8</del>	<del>3054.2</del>	<del>1723.2</del>	2142.3	<del>3333.0  </del>	<del>1016.6</del>
<del>1989-1993</del>	<del>13971.5</del>	<del>1997.1</del>	<del>3130.5</del>	<del>1904.2</del>	<del>2446.0</del>	<del>3532.6</del>	<del>961.2</del>
<del>1994–1998</del>	<del>13240.6</del>	<del>1761.0</del>	<del>2769.8</del>	<del>1903.9</del>	<del>2498.8</del>	<del>3409.7</del>	<del>897.4</del>
<del>1999-2003</del>	<del>14278.7</del>	<del>2003.3</del>	<del>2826.3</del>	<del>2026.7</del>	<del>2720.0</del>	<del>3802.2</del>	<del>900.3</del>
<del>2004 2008</del>	<del>15559.0</del>	<del>2182.9</del>	<del>3000.7</del>	<del>2232.6</del>	<del>3087.3</del>	<del>4059.2</del>	<del>996.3</del>
Net change	<del>3208.7  </del>	<del>333.9 -</del>	<del>46.8</del>	<del>706.7</del>	<del>914.0 -</del>	<del>1119.9</del>	<del>87.5 -</del>
C stock (Tg C)	)						
<del>1977–1981</del>	<del>4717.4</del>	<del>556.7 -</del>	<del>1249.9</del>	<del>384.5 -</del>	<del>456.4 -</del>	<del>1719.7</del>	<del>350.2</del>
<del>1984 1988</del>	<del>4884.8</del>	<del>593.6 -</del>	<del>1256.4</del>	<del>377.0   </del>	<del>428.0  </del>	<del>1857.3 -</del>	<del>372.6  </del>
<del>1989-1993</del>	<del>5402.3</del>	<del>629.3 -</del>	<del>1308.7</del>	<del>428.8</del>	<del>505.4</del>	<del>2151.5  </del>	<del>378.5</del>
<del>1994–1998</del>	<del>5387.9</del>	<del>621.3</del>	<del>1257.1</del>	435.2	<del>545.5</del>	<del>2145.4  </del>	<del>383.5</del>
<del>1999-2003</del>	<del>5862.5</del>	<del>701.1</del>	<del>1272.8</del>	<del>515.7  </del>	<del>653.0</del>	<del>2326.6</del>	<del>393.4</del>
<del>2004-2008</del>	<del>6427.1</del>	<del>760.1</del>	<del>1362.2</del>	<del>632.8</del>	<del>779.3</del>	<del>2465.3  </del>	427.4
Net change	<del>1709.7</del>	<del>203.4</del>	<del>112.3  </del>	<del>248.4</del>	<del>322.9</del>	<del>745.6</del>	77.2
C density (Mg	<del>C ha<sup>-1</sup>)</del>						
<del>1977 1981</del>	<del>38.2</del>	<del>30.1  </del>	42.3	<del>25.2</del>	<del>21.0   </del>	<del>58.5</del>	<del>38.5</del>
<del>1984 1988</del>	<del>37.1</del>	<del>31.2</del>	41.1	<del>21.9</del>	<del>20.0   </del>	<del>55.7</del>	<del>36.6 -</del>
<del>1989-1993</del>	<del>38.7 -</del>	<del>31.5  </del>	<del>41.8</del>	22.5	<del>20.7</del>	<del>60.9 -</del>	<del>39.4</del>
<del>1994 1998</del>	4 <del>0.7</del>	<del>35.3</del>	45.4	<u>22.9</u>	<del>21.8</del>	<del>62.9_</del>	42.7
<del>1999-2003</del>	41.1	<del>35.0  </del>	4 <del>5.0</del>	25.4	<del>24.0  </del>	<del>61.2</del>	43.7
<del>2004 2008</del>	41.3	<del>34.8</del>	45.4	<del>28.3</del>	25.2	<del>60.7</del>	42.9
Net change	<del>3.1</del>	4.7	<del>3.1</del>	<del>3.1</del>	4.2	2.2	4.4
C sink (Tg C y	<del>'ear<sup>=1</sup>)</del>						
<del>1981 1988</del>	23.9	<del>5.3</del>	<del>0.9</del> -	<del>-1.1</del> -	<del>-4.1</del>	<del>19.6  </del>	<del>3.2</del>
<del>1988-1993</del>	<del>103.5</del>	7.2	<del>10.5</del>	<del>10.4</del>	<del>15.5</del>	<del>58.8</del>	<del>1.2</del>
<del>1993-1998</del>	<del>-2.9</del> -	<del>-1.6-</del>	<del>-10.3</del>	<del>1.3</del>	<del>8.0  </del>	<del>-1.2</del>	<del>1.0  </del>
<del>1998-2003</del>	<del>94.9</del>	<del>16.0  </del>	<del>3.1</del>	<del>16.1 -</del>	21.5	<del>36.2</del>	<del>2.0  </del>
<del>2003-2008</del>	<del>112.9</del>	<del>11.8</del>	<del>17.9</del>	<del>23.4</del>	25.3	<del>27.8</del>	<del>6.8</del>

Period	China	North	Northea	East	South	Southwe	Northwe
			st		Central	st	st
Area $(10^4 \text{ ha})$							
1977–1981	1595.2	166.2	298.2	365.4	586.1	101.9	77.3
1984–1988	2347.2	244.7	497.8	583.0	595.9	277.1	148.7
1989–1993	2675.2	308.7	456.8	680.8	761.9	339.1	127.9
1994–1998	2914.4	309.5	474.4	717.5	878.5	396.7	137.9
1999–2003	3229.4	386.2	461.9	769.2	976.3	495.9	139.8
2004–2008	3999.9	494.4	536.6	928.8	1235.8	633.3	170.9
Net change	2404.6	328.2	238.3	563.4	649.6	531.4	93.6
C stock (Tg C	)						
1977–1981	249.5	23.5	57.1	52.2	88.2	18.5	10.1
1984–1988	418.0	41.4	105.7	105.0	96.5	47.1	22.3
1989–1993	525.8	55.6	105.5	136.0	138.1	62.1	28.4
1994–1998	642.4	63.0	130.7	153.2	171.0	87.3	37.2
1999–2003	836.1	82.5	150.3	203.7	231.0	130.8	37.7
2004–2008	1067.1	104.8	179.9	261.4	299.0	173.0	49.1
Net change	817.6	81.4	122.8	209.2	210.8	154.5	39.0
C density (Mg	C ha <sup><math>-1</math></sup> )						
1977–1981	15.6	14.1	19.1	14.3	15.0	18.1	13.1
1984–1988	17.8	16.9	21.2	18.0	16.2	17.0	15.0
1989–1993	19.7	18.0	23.1	20.0	18.1	18.3	22.2
1994–1998	22.0	20.4	27.5	21.4	19.5	22.0	27.0
1999–2003	25.9	21.4	32.5	26.5	23.7	26.4	27.0
2004–2008	26.7	21.2	33.5	28.1	24.2	27.3	28.7
Net change	11.0	7.1	14.4	13.9	9.2	9.2	15.6
C sink (Tg C y	$/ear^{-1}$ )						
1981–1988	24.1	2.6	6.9	7.5	1.2	4.1	1.7
1988–1993	21.6	2.8	0.0	6.2	8.3	3.0	1.2
1993–1998	23.3	1.5	5.0	3.4	6.6	5.0	1.7
1998–2003	38.7	3.9	3.9	10.1	12.0	8.7	0.1
2003–2008	46.2	4.5	5.9	11.5	13.6	8.4	2.3

**Appendix** <u>A</u>**B.** Forest area, carbon stock, and carbon sinks of planted forests for six regions in China from 1977 to 2008 520

Period	China	North	Northeas	East	South	Southwe	Northw
			t		Central	st	st
Area (10 <sup>4</sup> ha)							
1977–1981	10755.0	1682.8	2655.6	1160.5	1587.2	2837.3	831.5
1984–1988	10822.0	1655.1	2556.5	1140.3	1546.4	3055.9	867.9
1989–1993	11296.2	1688.3	2673.6	1223.3	1684.1	3193.5	833.3
1994–1998	10326.1	1451.6	2295.5	1186.4	1620.3	3012.9	759.5
1999–2003	11049.3	1617.0	2364.4	1257.5	1743.7	3306.2	760.4
2004–2008	11559.1	1688.5	2464.1	1303.8	1851.5	3425.9	825.4
Net change	804.1	5.7	-191.5	143.2	264.3	588.5	-6.1
C stock (Tg C)							
1977–1981	4467.8	533.2	1192.8	332.3	368.2	1701.2	340.0
1984–1988	4466.8	552.2	1150.8	272.0	331.5	1810.2	350.3
1989–1993	4876.5	573.7	1203.2	292.8	367.3	2089.4	350.0
1994–1998	4745.5	558.3	1126.4	282.0	374.5	2058.0	346.3
1999–2003	5026.4	618.6	1122.5	311.9	422.0	2195.7	355.7
2004–2008	5360.0	655.3	1182.3	371.5	480.3	2292.3	378.3
Net change	892.1	122.0	-10.5	39.2	112.1	591.1	38.3
C density (Mg	C ha <sup>-1</sup> )						
1977–1981	41.5	31.7	44.9	28.6	23.2	60.0	40.9
1984–1988	41.3	33.4	45.0	23.9	21.4	59.2	40.4
1989–1993	43.2	34.0	45.0	23.9	21.8	65.4	42.0
1994–1998	46.0	38.5	49.1	23.8	23.1	68.3	45.6
1999–2003	45.5	38.3	47.5	24.8	24.2	66.4	46.8
2004–2008	46.4	38.8	48.0	28.5	25.9	66.9	45.8
Net change	4.8	7.1	3.1	-0.1	2.7	7.0	4.9
C sink (Tg C y	$ear^{-1}$ )						
1981–1988	-0.1	2.7	-6.0	-8.6	-5.3	15.6	1.5
1988–1993	81.9	4.3	10.5	4.2	7.2	55.8	0.0
1993–1998	-26.2	-3.1	-15.4	-2.2	1.4	-6.3	-0.7
1998–2003	56.2	12.1	-0.8	6.0	9.5	27.5	1.9
2003–2008	66.7	7.3	12.0	11.9	11.7	19.3	4.5

**Appendix** <u>B</u>**C.** Forest area, carbon stock, and carbon sinks of natural forests for six regions in China from 1977 to 2008 524

Province		Area (10 <sup>4</sup> ha)			n density (Mg		Carbon stock (Tg C)		
	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Change
Beijing	2.6	19.3	16.8	11.5	18.1	6.6	0.3	3.5	3.2
Tianjin	0.6	5.0	4.4	11.4	21.4	10.0	0.1	1.1	1.0
Hebei	43.1	122.2	79.0	12.5	20.7	8.2	5.4	25.3	19.9
Shanxi	12.5	57.2	44.7	13.2	21.9	8.7	1.6	12.5	10.9
Neimenggu	107.5	290.7	183.2	14.9	21.5	6.5	16.1	62.4	46.4
Liaoning	129.9	166.8	36.8	15.4	26.8	11.4	20.0	44.7	24.7
Jilin	88.1	141.5	53.3	21.6	39.7	18.1	19.0	56.1	37.2
Heilongjiang	80.2	228.4	148.2	22.6	34.6	12.0	18.1	79.0	60.9
Shanghai	0.0	3.4	3.4	0.0	16.9	16.9	0.0	0.6	0.6
Jiangsu	18.6	71.1	52.4	12.5	25.0	12.5	2.3	17.7	15.4
Zhejiang	63.5	118.5	55.1	12.6	24.6	12.0	8.0	29.2	21.2
Anhui	53.8	136.8	83.0	13.4	25.5	12.2	7.2	34.9	27.8
Fujian	108.6	239.8	131.2	18.8	37.3	18.4	20.5	89.4	68.9
Jiangxi	61.7	213.1	151.4	13.6	26.1	12.5	8.4	55.6	47.2
Shandong	59.2	146.0	86.8	9.9	23.3	13.4	5.9	34.0	28.1
Henan	37.7	164.6	126.9	12.7	25.4	12.8	4.8	41.9	37.1
Hubei	79.5	110.4	30.9	11.4	21.8	10.4	9.1	24.1	15.0
Hunan	115.5	290.8	175.3	13.1	25.4	12.2	15.2	73.8	58.6
Guangdong	183.8	343.5	159.7	14.9	20.0	5.1	27.3	68.5	41.2
Guangxi	148.7	293.1	144.4	19.6	28.1	8.5	29.1	82.4	53.3
Hainan	19.4	33.4	14.0	18.0	25.1	7.0	2.4	8.4	5.9
Sichuan	37.2	332.6	295.4	16.0	26.2	10.2	5.9	87.0	81.1
Guizhou	34.1	143.5	109.4	21.0	28.7	7.7	7.1	41.1	34.0
Yunnan	30.5	154.5	124.0	17.7	28.6	10.8	5.4	44.2	38.7
Xizang	0.2	2.8	2.6	0.0	24.4	24.4	0.0	0.7	0.7
Shaanxi	33.2	77.1	43.8	12.8	21.1	8.3	4.3	16.3	12.0
Gansu	19.2	55.7	36.4	12.8	24.4	11.7	2.5	13.6	11.2
Qinghai	2.3	4.1	1.8	23.6	36.5	12.9	0.5	1.5	1.0

528 Appendix <u>C</u>**P**. Changes in forest area, carbon density, and carbon stock for planted forests in provinces of China for the period 1977–2008

Ningxia	6.8	5.9	-0.9	12.2	21.3	9.1	0.8	1.3	0.4	
Xinjiang	15.7	28.2	12.5	13.1	58.4	45.3		2.1	2.1	

529 Bold italic font refers to the values in Hainan and Guangdong acquired from the forest inventory data in the period of 1984–1988, because these

two provinces were not separated administratively until 1988 and their separate inventory data was lacked for the period of 1977–1981.

Province		Area $(10^4 ha)$			n density (Mg		Carbon stock (Tg C)		
	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Change	1977–1981	2003-2008	Net Change
Beijing	5.8	16.2	10.4	15.5	17.9	2.4	0.9	2.9	2.0
Tianjin	0.5	0.4	-0.1	14.4	18.2	3.9	0.1	0.1	0.0
Hebei	103.0	166.1	63.0	16.1	17.5	1.3	16.6	29.0	12.4
Shanxi	77.1	115.2	38.1	27.2	28.5	1.3	21.0	32.8	11.9
Neimenggu	1496.3	1390.5	-105.8	33.1	42.5	9.4	494.7	590.4	95.8
Liaoning	172.6	194.6	22.0	29.3	35.2	5.9	50.6	68.6	18.0
Jilin	665.2	585.3	-79.9	53.9	64.5	10.5	358.6	377.2	18.7
Heilongjiang	1817.9	1684.3	-133.6	43.1	43.7	0.6	783.7	736.5	-47.2
Shanghai	0.2	0.0	-0.2	8.8	0.0	-8.8	0.0	0.0	0.0
Jiangsu	2.9	3.4	0.4	12.5	18.4	5.9	0.4	0.6	0.3
Zhejiang	227.7	275.1	47.4	19.2	21.5	2.3	43.8	59.2	15.4
Anhui	135.7	134.0	-1.7	20.4	25.2	4.8	27.6	33.8	6.1
Fujian	339.1	326.2	-12.9	39.2	39.5	0.4	132.8	128.9	-3.8
Jiangxi	442.0	555.0	113.0	28.7	26.6	-2.1	126.7	147.5	20.9
Shandong	12.9	10.1	-2.8	8.0	13.8	5.8	1.0	1.4	0.4
Henan	101.1	118.7	17.6	19.7	25.6	5.9	19.9	30.4	10.5
Hubei	317.9	397.4	79.5	18.4	23.1	4.7	58.6	91.8	33.2
Hunan	379.7	435.8	56.1	21.5	21.8	0.3	81.8	95.0	13.2
Guangdong	320.0	335.3	15.3	19.7	27.1	7.4	62.9	90.8	27.9
Guangxi	394.9	513.6	118.7	26.3	27.9	1.6	103.8	143.5	39.6
Hainan	<i>49.5</i>	50.7	1.3	49.4	56.7	7.3	24.4	28.8	4.3
Sichuan	765.8	1014.7	248.9	60.5	62.3	1.8	463.7	632.3	168.6
Guizhou	225.6	254.6	29.0	28.6	28.4	-0.1	64.5	72.4	7.9
Yunnan	1056.7	1318.2	261.5	52.2	53.4	1.2	551.2	703.6	152.4
Xizang	789.2	838.4	49.1	78.8	105.4	26.6	621.9	884.0	262.1
Shaanxi	487.6	490.0	2.3	32.4	36.0	3.6	158.0	176.5	18.6
Gansu	198.9	157.8	-41.1	43.7	52.3	8.5	87.0	82.4	-4.5
Qinghai	21.9	31.4	9.6	41.9	52.1	10.2	9.2	16.4	7.2
Ningxia	4.1	5.2	1.1	22.6	31.3	8.7	0.9	1.6	0.7

532 Appendix DE. Changes in forest area, carbon density, and carbon stock for natural forests in provinces of China for the period 1977–2008

Xinjiang	119.1	141.1	22.0	71.4	71.8	0.5	85.0	101.3	16.3
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Bold italic font refers to the values in Hainan and Guangdong acquired from the forest inventory data in the period of 1984–1988, because these
two provinces were not separated administratively until 1988 and their separate inventory data was lacked for the period of 1977–1981.

535 Appendix **EF**. The estimation methods for forest area and stand volume in CFID.

## 536 a) Forest area estimation

537 In forest inventory of China, the systematic sampling was conducted at the provincial level.

Based on the sampling method, the ratio of forest area  $(P_i)$  for a certain forest type (i)can be expressed as:

$$p_i = \frac{m_i}{n} \tag{1}$$

546

$$S_{p_i} = \sqrt{\frac{p_i(1-p_i)}{n-1}}$$
(2)

where *n* represents the number of all the sampling plots,  $m_i$  represents the number of plots classified as type *i* (including various types of land categories , vegetation types, forest types and other land classification attributions),  $S_{pi}$  represents the standard deviation of  $P_i$ .

then, the area of forest  $i (\hat{A}_i)$  can be estimated by the following equation

$$\hat{A}_i = A \cdot p_i \tag{3}$$

where A means the overall area in the forest inventory for one province, and the total areaequals to the sum area of all kinds of forests.

549 The limit of error for the area estimation is calculated by the following equation

 $\Delta_{A_i} = A \cdot t_{\alpha} \cdot S_{p_i}$ 

where  $t_a$  is the reliability index, the estimation interval can be expressed as  $\hat{A}_i \pm \Delta_A$ .

552 The sampling precision  $(P_{Ai})$  can be expressed as:

$$P_{A_i} = (1 - \frac{t_a \cdot S_{p_i}}{p_i}) \cdot 100\%$$
<sup>(5)</sup>

554

553

# 555 b) Forest volume estimation

556 The mean stand volume for forest i can be expressed as:

$$\overline{V_i} = \frac{1}{n} \sum_{j=1}^n V_{ij} \tag{6}$$

558 Where  $V_{ij}$  represents the stand volume of plot *j* for forest *i*.

559 The sampling variance is calculated as

560

557

$$S_{V_i}^2 = \frac{1}{n-1} \sum_{j=1}^n (V_{ij} - \overline{V_i})^2$$
(7)

35

(4)

$$S_{\overline{V_i}} = \frac{S_{V_i}}{\sqrt{n}} \tag{8}$$

562 The overall stand volumes for forest i can be estimated as:

563

$$\hat{V}_i = \frac{A}{a} \cdot \overline{V_i} \tag{9}$$

where A means the overall area in the forest inventory for one province, a means the area of the sampling plot.

The limit of error for the overall estimation of forest *i* can be calculated by the following equation: A = C

567 equation:  
568 
$$\Delta_{V_i} = \frac{A}{a} \cdot t_a \cdot S_{\overline{V_i}}$$
(10)

569 where  $t_{\alpha}$  is the reliability index, the estimation interval can be expressed as  $\hat{V}_i \pm \Delta_{V_i}$ . 570 The sampling precision  $(P_{\nu i})$  can be expressed as:

$$P_{V_i} = \left(1 - \frac{t_a \cdot S_{V_i}}{\overline{V_i}}\right) \cdot 100\% \tag{11}$$

572