

1 **Challenges in modelling spatiotemporally varying phytoplankton blooms in the**
2 **Northwestern Arabian Sea and Gulf of Oman**

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16
17 **Abstract**

18 Recent years have shown an increase in harmful algal blooms in the Northwest Arabian Sea
19 and Gulf of Oman, raising the question of whether climate change will accelerate this trend.
20 This has led us to examine whether the Earth System Models used to simulate phytoplankton
21 productivity accurately capture bloom dynamics in this region- both in terms of the annual
22 cycle and interannual variability. Satellite data (SeaWiFS ocean color) shows two
23 climatological blooms in this region, a wintertime bloom peaking in February and a
24 summertime bloom peaking in September. On a regional scale, interannual variability of the
25 wintertime bloom is dominated by cyclonic eddies which vary in location from one year to
26 another. Two coarse (1°) models with the relatively complex biogeochemistry (TOPAZ)
27 capture the annual cycle but neither eddies nor the interannual variability. An eddy-resolving
28 model (GFDL CM2.6) with a simpler biogeochemistry (miniBLING) displays larger
29 interannual variability, but overestimates the wintertime bloom and captures eddy-bloom

30 coupling in the south but not in the north. The models fail to capture both the magnitude of
31 the wintertime bloom and its modulation by eddies in part because of their failure to capture
32 the observed sharp thermocline/nutricline in this region. When CM2.6 is able to capture such
33 features in the Southern part of the basin, eddies modulate diffusive nutrient supply to the
34 surface (a mechanism not previously emphasized in the literature). For the model to simulate
35 the observed wintertime blooms within cyclones, it will be necessary to represent this
36 relatively unusual nutrient structure as well as the cyclonic eddies. This is a challenge in the
37 Northern Arabian Sea as it requires capturing the details of the outflow from the Persian Gulf-
38 something that is poorly done in global models.

39

40 **1 Introduction**

41 The region of northwestern Arabian Sea and the Gulf of Oman (15° – 26° N, 56° – 66° E)
42 is a highly productive region (Madhupratap et al., 1996; Tang et al., 2002), with satellite
43 estimates of carbon export of $137 \text{ gC/m}^2/\text{yr}$, much higher than the $\sim 80 \text{ gC/m}^2/\text{yr}$ found in the
44 Subpolar North Atlantic and Pacific (Dunne et al., 2007). Peak chlorophyll-a concentrations
45 exceed 0.7 mg/m^3 in this region (Fig. 1a).

46 This region may be changing in important ways. In both the Persian Gulf and the Gulf
47 of Oman, there is evidence that harmful algal bloom (HABs) and their impacts are increasing
48 (Richlen et al., 2010). HAB occurrences have been more frequently reported in the Gulf of
49 Oman than in the Persian Gulf. A total of 66 red tide events (mostly dominated by *Noctiluca*
50 *scintillans*) have been recorded between 1976 and 2004 including 25 blooms resulting in mass
51 mortality of fish and marine organisms. Reasons for the increase in blooms include
52 aquaculture, industrial and sewage inputs, natural dispersal and human-aided transport, long-
53 term increases in nutrient loading and global expansion of species (Richlen et al., 2010) as
54 well as global climate change (Goes et al., 2005). The latter paper suggested that increasing
55 blooms were driven by an increase in the strength of the Asian monsoon.

56 Evaluating such a possibility and extending it into the future requires the use of Earth
57 System Models. However, such projections will only be as good as the models on which they
58 are based. In this paper we examine several models run at the Geophysical Fluid Dynamics
59 Laboratory in the Arabian Sea. We consider numerical results from five different 3D global
60 Earth system models, which we denote CORE-TOPAZ, Coupled-TOPAZ, Coupled-BLING,
61 Coupled-miniBLING, and the Geophysical Fluid Dynamics Laboratory Climate Model

62 version 2.6 (CM2.6 miniBLING). The first two of these models use the relatively complex
63 TOPAZ biogeochemistry, but have low resolution and do not resolve eddies, the third has a
64 simplified biogeochemistry (BLING, Galbraith et al., 2010) which does not carry
65 phytoplankton biomass as a separate variable while the last two models have an even simpler
66 biogeochemistry that does not directly simulate dissolved organic matter or iron cycling
67 (miniBLING, Galbraith et al., 2015b). Only the final model resolves eddies.

68 The seasonal cycle is an important metric for models to be able to simulate. The
69 Arabian Sea is influenced by a reversing monsoonal cycle (Wang and Zhao, 2008), an
70 evaporative fresh-water flux over most of the basin, and an annual mean heat gain (Banse and
71 McClain, 1986; Fischer et al., 2002). In summer (June-September), the southwest Monsoon
72 (SWM) blows strongly across the northwestern Arabian Sea (Al-Azri et al., 2010). Driven by
73 a land-sea pressure gradient, the SWM is a large-scale feature of the atmospheric circulation
74 of the tropics, extending from a surface pressure high near 30° S in the southern hemisphere
75 northward to the surface low over Asia (Anderson and Prell, 1993). During the SWM, winds
76 are steered by the East African highlands to form a strong low level atmospheric jet, referred
77 to as the Findlater Jet (Bartolacci and Luther, 1999; Honjo et al., 2000), which crosses the
78 Equator over the Indian Ocean and blows over the Arabian Sea parallel to the Omani
79 coastline in a northeast direction (Honjo et al., 2000). The orientation of the Findlater Jet
80 parallel to Omani coast leads to coastal upwelling along the coast and downwelling on the
81 eastern side of the Jet in the middle of Arabian Sea. This upwelling provides nutrients to the
82 surface layer (Fig. 1b) (Al-Azri et al., 2013; Kawamiya and Oschlies, 2003; Madhupratap et
83 al., 1996; Murtugudde et al., 2007; Veldhuis et al., 1997; Wang and Zhao, 2008). The SWM
84 does not destabilize the surface layers, which are fairly stable in northern summer (Fig. 1c).

85 The Northeast Monsoon (NEM), which happens from December through February, is
86 not as strong as the SWM (Dickey et al., 1998; Shalapyonok et al., 2001; Veldhuis et al.,
87 1997). Ocean surface wind stress is lower (0.032 N/m^2 in NEM compared to 0.127 N/m^2 in
88 SWM), and does not lead to upwelling like the SWM along the Omani coast. However,
89 negative heat flux results in a destabilizing buoyancy flux, subsequent convective overturning
90 (Barimalala et al., 2013; Kawamiya and Oschlies, 2003), and deepening and cooling to a
91 depth of $\sim 60\text{m}$ (Fig. 1c, 1d). This brings up nutrients and fuels a wintertime bloom. In
92 addition, as shown in Fig. 1d in wintertime bloom the mixed layer depth (MLD) is deeper
93 than summer.

94 A second metric of the bloom dynamics is the relationship between the blooms and
95 mesoscale eddies (Al-Azri et al., 2013; Dickey et al., 1998; Hamzehei and Bidokhti, 2013;
96 Shalapyonok et al., 2001; Gomes et al., 2005). The confluence of the Persian Gulf outflow
97 current and the East Arabian Sea Current parallel to Omani and Yemeni coastlines in Arabian
98 Sea leads to formation of a frontal zone and formation of persistent eddies in the region.
99 Because the size of eddies is comparable to the width of the Gulf of Oman, they can affect
100 mixing and transport of biota on a basin scale (Fischer et al., 2002; Piontkovski et al., 2012).
101 Piontkovski et al. (2012) suggested that the increased amplitude of the seasonal cycle of
102 chlorophyll-a might be associated with the increased variability of mesoscale eddy kinetic
103 energy (EKE) per unit mass in the Gulf of Oman or in the western Arabian Sea. Gomes et al.
104 (2008) noted potential anticorrelation between sea surface height and chlorophyll, but did not
105 find a consistent relationship over time.

106 Gaube et al. (2014) provide a global overview of how eddies influence chlorophyll
107 blooms. They find that the effect of mesoscale eddies on the chlorophyll bloom varies both
108 temporally and spatially. They identify four particular mechanisms that can be distinguished
109 by linking sea surface anomalies to chlorophyll, namely eddy stirring, trapping, eddy
110 intensification, and Ekman pumping. Although Gaube et al. (2014) find a negative correlation
111 between chlorophyll and SSH in the Arabian Sea, they do not analyse which of these
112 mechanisms is involved in this region, nor do they quantify the extent to which this
113 correlation varies over the course of the season.

114 Resplandy et al. (2011) indicated that the spatial variability associated with mesoscale
115 eddies in the Arabian Sea produces spatial variability in the bloom and that another source of
116 variability is found to be restratification at these structures. Advection from coastal region is
117 identified as the mechanism providing nutrients in summer, while vertical velocities
118 associated with mesoscale structure are found to increase the overall nutrient supply.
119 However, this work does not make clear how the spatial distribution of the eddy nutrient
120 supply is related to the eddies, not whether this relationship is the same in all seasons.

121 The structure of this paper is as follows: all datasets including ocean color data and
122 altimeter data are explained in section 2 of the paper along with the specification of five
123 different 3D global Earth system models. In section 3, the remote sensing results are used to
124 study the spatiotemporal variability of chlorophyll-a in mesoscale structures in the study
125 region. We find a seasonal relationship between SSHA and chlorophyll such that cyclonic

126 eddies are associated with blooms, but only during the winter. This means that interannual
 127 variability in blooms will be shaped by mesoscale eddy activity and may not be predictable.
 128 Results of the 3D global Earth system models are discussed in section 4. Annual cycles of
 129 variation of chlorophyll-a and nutrients for all GFDL models within the whole region are
 130 compared against the corresponding satellite results and field measurements. The models tend
 131 to overestimate wintertime productivity, in large part due to excessive mixing. They also fail
 132 to explain the bloom-SSHA relationship except in a few special cases. We argue that the
 133 eddies act to modulate turbulent mixing of nutrients to the surface- a mechanism not
 134 emphasized in previous literature. However, this can only occur if there is a strong and
 135 relatively shallow nutricline. Since the model only simulates such a feature in the Southern
 136 Arabian Sea, it does not capture the observed relationship between SSH and biology. Both the
 137 overestimation of the wintertime bloom and the failure to predict its modulation by eddies can
 138 thus be traced to difficulties in modeling the stratification of the Northwest Arabian Sea, most
 139 likely as a result of a failure to properly simulate overflows.

140

141 **2 Description of data and models**

142 **2.1 Satellite products**

143 We examine the relationship of blooms and eddies using the GSM5 Maritorena et al. (2002)
 144 product based on the SeaWiFS (Sea-viewing Wide Field-of-view Sensor) ocean color data
 145 and Sea Surface Height Anomaly (SSHA), based on altimeter data acquired from the
 146 Archiving, Validation and Interpretation of Satellite Oceanographic (AVISO) Data Center
 147 (<http://www.aviso.oceanobs.com>). The SSH anomaly is calculated relative to the annual
 148 cycle.

149 The GSM algorithm represents the normalized water leaving radiance $L_{wN}(\lambda)$ at multiple
 150 wavelengths as a nonlinear function, as following (Maritorena et al., 2002),

$$151 \hat{L}_{wN}(\lambda) = \frac{tF_0(\lambda)}{n_w^2} \sum_{i=1}^2 g_i \left\{ \frac{b_{bw}(\lambda) + b_{bp}(\lambda_0)(\lambda/\lambda_0)^{-\eta}}{b_{bw}(\lambda) + b_{bp}(\lambda_0)(\lambda/\lambda_0)^{-\eta} + a_w(\lambda) + Chl a_{ph}^*(\lambda) + a_{cdm}(\lambda_0) \exp[-S(\lambda - \lambda_0)]} \right\}^i \quad (1)$$

152 where t is the sea-air transmission factor, $F_0(\lambda)$ is the extraterrestrial solar irradiance, n_w is the
 153 index of refraction of the water, seawater backscatter $b_{bw}(\lambda)$, absorption $a_w(\lambda)$, a_{ph}^* is the
 154 chlorophyll-a (*chl*) specific absorption coefficient, S is the spectral decay constant for

155 absorption by chromophoric dissolved organic materials (CDOM), η is the power-law
156 exponent for the particulate backscattering coefficient, and λ_0 is a scaling wavelength (443
157 nm). The cdm absorption coefficient [$a_{cdm}(\lambda_0)$], and slope factor S then determine the
158 absorption across a range of wavelengths while the particulate backscatter coefficient [$b_{bp}(\lambda_0)$]
159 and coefficient η constrain the scattering. Letting λ_0 be 443 nm assuming that all terms other
160 than chl , [$a_{cdm}(\lambda_0)$] and $b_{bp}(443\text{nm})$ are constant, one can then use the normalized water
161 leaving radiance to invert for chl , a_{cdm} , and backscatter b_{bp} . One limitation of this approach is
162 that if the inherent optical properties vary with time or space, this variation will introduce
163 errors into the estimate. Following Behrenfeld et al. (2005), we convert the backscatter
164 coefficient into units of particulate carbon biomass using the relationship $p_{carb}=13000(b_{bp}-$
165 $0.00035)$.

166 Satellite-based remote sensing is the only observational method suitable for measuring
167 physical and biological properties over large regions of the ocean. However, satellite ocean
168 color and SST are limited to surface distributions and provide no information about the
169 vertical structure within the ocean (McGillicuddy et al., 2001). Additionally acquiring data
170 requires cloud-free viewing of the ocean surface, which as we will see is a problem in this
171 region at certain times of the year. This lack of information motivates our examination of
172 numerical models, which ideally could be used to provide estimates of the ocean state when
173 observations are sparse as well as to extrapolate both vertically and into the future.

174

175 **2.2 Numerical models**

176 Numerical results are presented in this paper based on the output of five different 3D
177 global Earth system models, which we denote CORE-TOPAZ, Coupled-TOPAZ, Coupled-
178 BLING/miniBLING and GFDL CM2.6 (miniBLING). The first two of these models use the
179 relatively complex TOPAZ biogeochemistry, but have low resolution and do not resolve
180 eddies. The third and fourth use two simplified biogeochemistry codes (BLING and
181 miniBLING) which do not carry phytoplankton biomass as a separate variable while the last
182 model has very high resolution and uses the miniBLING simplified biogeochemistry. Below,
183 we describe the different physical models, followed by a summary of the biogeochemical
184 codes run within these models.

185

186 2.2.1 Physical model description

187 The ocean-ice model used in the CORE-TOPAZ model follows the corresponding
188 components of the GFDL CM2.1 global coupled climate model (Delworth et al., 2006). The
189 vertical resolution ranges from 10 m over the top 200 m to a maximum thickness of 250 m at
190 5500 m depth with 50 layers in all. The meridional resolution is 1°, whereas the zonal
191 resolution varies between 1° in mid-latitudes and $\frac{1}{3}^\circ$ at the equator. North of 65°, a tripolar
192 grid is employed to avoid singularity arising from convergence of meridians at the North
193 Pole. Up-to-date parameterizations of mixed-layer dynamics, isopycnal mixing, advection by
194 subgridscale eddies, bottom topography, bottom flows, and lateral viscosity are included- for
195 more detail see Griffies et al. (2005) and Gnanadesikan et al. (2006). Both the dynamics and
196 thermodynamics sea ice are simulated with of five thickness classes of sea ice being resolved.

197 In the CORE-TOPAZ model, surface forcing is set using the Coordinated Ocean-ice
198 Reference Experiment (CORE) protocol (Griffies et al., 2009), where the inputs for
199 calculating surface fluxes are taken from an atmospheric analysis dataset adjusted to agree
200 better with in situ measurements. Sensible and latent heat fluxes are then calculated using
201 bulk formulae. Freshwater forcing is given by a combination of applied precipitation,
202 evaporation computed using bulk fluxes, and a correction diagnosed to restore surface
203 salinities in the top 10 m to climatological monthly values over 60 d. Hence, the fluxes
204 forcing the CORE runs could be thought of as “best guess” observationally based estimates.
205 Such a prescription omits important feedbacks whereby the atmosphere ensures that rainfall
206 and evaporation are consistent with each other, although the restoring correction is a crude
207 representation of these feedbacks. We use the version of the model described in Gnanadesikan
208 et al. (2011), which analyzed different modes of interannual variability in biological cycling
209 across the North Pacific Ocean.

210 The Coupled-TOPAZ model corresponds to the control simulation of the GFDL
211 ESM2M submitted as part of the IPCC AR5 process (Dunne et al., 2012). In this model the
212 ocean is coupled to the atmosphere, land, and sea ice components. Gnanadesikan et al. (2014)
213 discuss the behavior of this model in the North Atlantic, but its behavior in the Arabian Sea
214 has not been previously analyzed. Two additional versions of this model, referred to here as
215 Coupled-BLING/miniBLING, were run using the BLING and mini-BLING biogeochemical
216 models described below, but with the light field given by the TOPAZ code. The differences
217 between the 1-degree models highlight differences due to biological formulation.

218 The ocean component of ESM2M employs the MOM4p1 code of Griffies et al. (2009)
219 which largely mimics the CM2.1 ocean (identical horizontal and vertical resolution and
220 parameterization of mixing). However, ESM2M ocean uses a rescaled geopotential vertical
221 coordinate (z^* ; Adcroft et al., 2004; Stacey et al., 1995) for a more robust treatment of free
222 surface undulations. The ESM2M implementation includes updates to the K-profile
223 parameterization (Large et al., 1994) based on Danabasoglu et al. (2006), as well as model-
224 predicted chlorophyll modulation of short-wave radiation penetration through the water
225 column. ESM2M also includes completely novel parameterizations relative to CM2.1, such as
226 parameterization of submesoscale eddy-induced mixed layer restratification (Fox-Kemper et
227 al., 2008). Instead of prescribed vertical diffusivity for interior mixing (Bryan and Lewis,
228 1979), ESM2M employs the Simmons et al. (2004) scheme along with a background
229 diffusivity of $1.0 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ in the tropics and $1.5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ poleward of 30° latitude
230 following a *tanh* curve.

231 The Geophysical Fluid Dynamics Laboratory Climate Model version 2.6 (CM2.6) is a
232 high-resolution eddy-resolving model. This model has the same atmosphere model and ocean
233 Physics as CM2.5 (Delworth et al., 2012). CM2.6's ocean component has higher horizontal
234 resolution than CM2.5, with grid spacing, which is changeable from 11 km at the equator to
235 less than 4 km at very high latitudes. This means that the model is capable of resolving eddy
236 features in the tropics, as we will see below.

237

238 2.2.2 Biogeochemical Cycling codes

239 The TOPAZ code (Tracers of Ocean Productivity with Allometric Zooplankton code
240 of Dunne et al., 2010), keeps track of five inorganic nutrients used by phytoplankton: nitrate
241 and ammonia, inorganic phosphate, silicate, and dissolved iron. Additionally, the model
242 carries three other dissolved inorganic tracers: dissolved inorganic carbon, alkalinity and
243 dissolved oxygen. Based on the work of Dunne et al. (2007), the model also keeps track of
244 fine lithogenic material, which plays a role in ballasting organic material and delivering it to
245 the sediment (Armstrong et al., 2002; Klaas and Archer, 2002). The five inorganic nutrients
246 are taken up in different ways by three classes of phytoplankton: small, large and
247 diazotrophic. A comprehensive description of TOPAZ v2 can be found in the supplemental
248 material of Dunne et al. (2013).

249 TOPAZ is unusual among comprehensive Earth System Models in that it uses a highly
250 parameterized version of grazing. Instead of grazers being explicitly simulated, grazing rates
251 are simply taken as a function of phytoplankton biomass, with different power-law
252 dependence for small and large phytoplankton. The grazing formulation was fit to about 40
253 field sites to produce a size structure that transitions realistically between being dominated by
254 small phytoplankton and low particle export ratio at low levels of growth and large
255 phytoplankton and high particle export ratio in nutrient and light-replete conditions. At
256 equilibrium, the resulting parameterization produces biomass that is a function of growth rate
257 (linear for small plankton, cubic for large). A similar scaling in particle size spectrum was
258 seen across ecosystems by Kostadinov et al. (2009). In contrast to models that explicitly
259 simulate zooplankton, TOPAZ does not depend on poorly known zooplankton behavioral
260 parameters (such as handling efficiency or grazing half-saturation) or on the details of how
261 different trophic levels interact.

262 Even though it does not simulate zooplankton explicitly, TOPAZ still carries over two
263 dozen tracers, making it extremely expensive to run in high-resolution simulations. For this
264 reason Galbraith et al. (2010) developed the Biogeochemistry with Light Iron Nutrients and
265 Gasses (BLING) model, which parameterizes the entire ecosystem. The original version of
266 BLING has only five explicit tracers: dissolved inorganic phosphorus (PO_4), dissolved
267 organic phosphorus (DOP), dissolved Iron (Fe), dissolved inorganic carbon (DIC), and
268 oxygen (O_2). It includes the impacts of macronutrient and micronutrient limitation and light
269 limitation on phytoplankton by using these to calculate a growth rate. Using the same
270 machinery as TOPAZ, it then uses this growth rate and implicit treatment of community
271 structure to estimate phytoplankton biomass, and uses this biomass to calculate the rate at
272 which nutrient is taken up by plankton and cycled through the ecosystem.

273 The miniBLING code (Galbraith et al., 2015) represents a further simplification. In
274 this model the iron field is taken from a lower-resolution version of the model (an
275 approximation which has limited impact in the Arabian Sea, where phytoplankton are
276 generally not iron-limited) and so Fe is not treated prognostically. Additionally the DOP pool
277 is eliminated. Simulations using the ESM2M physical model show that control simulations of
278 oxygen and surface nutrients produced by the miniBLING and BLING models are very
279 similar to those produced in the same model with TOPAZ (Galbraith et al., 2015). Galbraith
280 et al. (2015) also show that BLING and miniBLING simulate very similar patterns of oxygen

281 change and anthropogenic uptake in a simulation where CO₂ is increased by 1% per year
282 until it is twice the preindustrial concentration.

283 It should be noted that simplified BLING and miniBLING codes neglect some
284 processes that may be important. Only nonliving components are advected and mixed by the
285 ocean circulation, which could result in inaccurate distribution of biology in frontal regions at
286 high resolution. Additionally, as will be discussed below, the lack of a biomass variable may
287 lead to overestimating how rapidly plankton inventories can grow. Also, the rich behavior of
288 the nitrogen cycle with its interaction with iron, phosphorus and oxygen cannot be simulated
289 with one macronutrient tracer (Behrenfeld 2010). Specifying iron limitation, as done in
290 miniBLING, may also have some impacts in our region. As extensively discussed by Naqvi et
291 al., (2010) there is a possibility of iron limitation over the southern parts of the Omani shelf
292 and in the offshore region during the latter part of the Southwest Monsoon, which can result
293 in high nitrate-low chlorophyll conditions. The western equatorial and southern tropical
294 region of the Indian Ocean are iron-limited and the Arabian Sea (southern parts) may become
295 iron-limited under strong upwelling conditions (Wiggert et al., 2006).

296

297 **3 Remote sensing results**

298 **3.1 Annual cycle and interannual variability**

299 We begin by using the GSM5 satellite data to examine the annual cycle and interannual
300 variability in two different regions, the whole NW Arabian Sea (56°-66° E, 15°-26° N) and a
301 smaller region including the Gulf of Oman, (60°-62° E, 22°-26° N). As shown in Fig. 2 (a) to
302 (c) for whole region, clear annual cycles of chlorophyll-a, backscattering and CDOM are
303 observed. Even larger annual cycles of variation of chlorophyll-a, backscattering and CDOM
304 are seen in the smaller region, as shown in Fig. 2 (d) to (f). More pronounced interannual
305 variability is observed in the smaller region as opposed to the larger region.

306 The annual variations of all parameters are broadly consistent with each other. The maximum
307 values associated with the summer bloom are generally seen in September, with values of 1.0
308 mg/m³, 50 mgC/m³, and 0.1 m⁻¹ for chlorophyll, particulate carbon and CDOM, respectively,
309 within the whole region. Within the smaller region, the values are 1.25 mg/m³, 65 mgC/m³,
310 and 0.125 m⁻¹ for chlorophyll, particulate carbon, and CDOM, respectively. For two years of
311 2001 and 2002, the particulate carbon values (~90 mgC/m³) are much higher than the average

312 of the other months over both regions, but the chlorophyll does not show pronounced peaks.
313 A winter bloom is also pronounced in February as a second maximum in a yearly cycle,
314 where the magnitudes are about 0.07 mg/m^3 , 40 mgC/m^3 , and 0.07 m^{-1} for chlorophyll,
315 particulate carbon and CDOM, respectively, within the whole region, and about $0.09\sim 1.5$
316 mg/m^3 , $55\sim 80 \text{ mgC/m}^3$, and $0.11\sim 0.14 \text{ m}^{-1}$ for chlorophyll, particulate carbon and CDOM,
317 respectively, within the smaller region. That the summer bloom in the both regions is stronger
318 than the winter bloom has been discussed by Al-Azri et al. (2010), and Levy et al. (2007).

319 **3.2 Variability of Chlorophyll-a in Mesoscale Structures**

320 Mesoscale structures can be seen in the Northwest Arabian Sea in both the SeaWiFS
321 chlorophyll-a distribution and AVISO sea surface height anomaly. Over the course of 2001
322 (Fig. 3), both a summer bloom (which most likely starts in August and ends in ~October) and
323 a winter bloom (which starts in January and goes away in April) can be seen in chlorophyll-a.
324 In March, the last month of the winter bloom, chlorophyll-a concentrations are high over the
325 entire region in both the anticyclones (warm eddies with positive SSHA) and the cyclones
326 (cold eddies with negative SSHA). The observed bloom in March terminates abruptly in
327 April, although the observations show that eddies are still active in the region. In June, July
328 and August, the satellite ocean color data is not available due to excessive cloudiness. In
329 September, the last month of the summer bloom, most of the region including cyclones and
330 anticyclones and coastal regions had high chlorophyll-a concentration. However in the
331 following months the bloom persists only within the cold eddies and disappears over the
332 warm eddies (a phenomenon also seen in Sargasso Sea by McGillicuddy et al., 2001). The
333 relationship between sea surface chlorophyll-a and eddies for the other years between 1998
334 and 2005 during the month of November, is shown in Fig. 4. The relationship between
335 blooms and SSHA is clear and striking. Note particularly the difference between 1998 and
336 2001, when the location of high and low chlorophyll regions switches relative to the Ras al
337 Hadd. This difference in bloom location is perfectly reflected in the different locations of the
338 eddies.

339 **3.3 Chlorophyll-Sea Surface Height Anomaly (SSHA) cross-correlation**

340 The seasonal relationship between chlorophyll and SSHA can be seen in the monthly
341 variation of the spatial cross-correlation between the two variables over the entire northwest
342 Arabian Sea. chlorophyll-SSHA cross-correlations between 1998 and 2005 in the satellite

343 data are shown in Fig. 5a. To check that the chlorophyll results are not an artifact of the
344 remote sensing inversion, two other related parameters, the backscattering coefficient (BBP)
345 and chromophoric dissolved organic matter (CDOM) are also cross-correlated with SSHA, as
346 depicted in Figs. 5b and c. The results show consistent annual cycles of variation in the cross-
347 correlation of all three variables. This suggests a repeatable yearly phenomenon in the region
348 as discussed in the previous sections. The cross-correlation results over the 8 years of study
349 show that there are several months (i.e. November-December) with relatively high anti-
350 correlation for most of the years and also several other months (i.e. April-May) with no or
351 even low positive correlation.

352 The averaged climatological monthly cross-correlation with SSHA and climatological
353 monthly values between 1998 and 2005 are shown in Fig. 6 for all parameters. Two blooms
354 ending in March (winter) and September (summer) are seen. At the peak of the blooms the
355 average cross-correlation values are very low due to the existence of blooms in both cyclones
356 and anticyclones. The months after the winter and summer blooms show a clear difference in
357 the correlation. After the winter bloom (typically April and May), the cross-correlation is
358 positive or very small, which suggests no relation between the mesoscale eddies and the
359 blooms. As discussed in Kumar et al. (2001), low primary production is observed after
360 termination of winter cooling during Spring Inter-Monsoon (SIM) (see also Gomes et al.,
361 2008). This result would be also consistent with SIM producing weak atmospheric forcing in
362 the region.

363 In contrast, after the summer bloom (typically October-December) as the average values of
364 chlorophyll-a decrease, chlorophyll and SSHA become relatively highly anti-correlated. The
365 reason for the anti-correlation is the persistence of chlorophyll at the regions with negative
366 SSHA that are typically considered to be cyclonic (cold) eddies and disappearance of
367 chlorophyll-a in positive SSHA that are assumed to be anti-cyclonic (warm) eddies. Particle
368 backscatter also provides almost same cross-correlation suggesting that the chlorophyll-a
369 signal does not result purely from photo-adaptation. Moreover, the CDOM-SSHA cross-
370 correlation shows the same annual cycle although with smaller peak values.

371 The spatial relationship between blooms and eddies seen in the Northern Arabian Sea can be
372 compared with the patterns noted by Gaube et al. (2014). Their eddy stirring mechanism
373 involves advection of high and low chlorophyll signals around an eddy, resulting in a low
374 which is offset from the center of an anticyclone and a high which is offset from the center of

375 a cyclone. Ekman pumping would be expected to produce negative anomalies in cyclones
376 with a positive “halo” and positive anomalies in anticyclones with a negative “halo” (Gaube
377 et al. (2014), Fig. 2). Trapping of chlorophyll involves eddies retaining the properties that
378 they had when shed from a boundary current, which would generally imply low values in
379 anticyclones and high values in cyclones. Eddy intensification would be expected to produce
380 the same picture, as cyclones would see rising nutriclines in the center but anticyclones would
381 see deepening nutriclines. The basic picture seen in the Arabian Sea is inconsistent with the
382 first two mechanisms but is potentially consistent with the second two. However, without in-
383 situ data it is impossible to validate either of these mechanisms.

384

385 **4 Numerical modelling results**

386 **4.1 Temporal variability**

387 Time series of chlorophyll-a , phosphate and nitrate for all GFDL models are shown in Fig. 7a
388 to c within the whole region and compared against the corresponding GSM5 satellite results
389 or WOA09. Note that the eight years of the model output, selected as the last eight years of
390 the run, would not be expected to correspond to the eight actual years in the satellite data. The
391 annual cycles of chlorophyll-a and biomass are quite similar to each other in all GFDL
392 models, insofar as they show two distinct blooms in yearly cycle. The maximum values that
393 can be considered as a winter bloom in the whole region are mostly seen around February
394 (Piontkovski et al., 2011), with values of 0.32–0.38, 0.48–0.62, 1.0–2.0, 1.5-2.2, 0.8-1.6, and
395 0.6-0.75 mgm^{-3} for chlorophyll in CORE-TOPAZ, Coupled-TOPAZ, CM2.6 (miniBLING),
396 miniBLING (Low resolution), BLING and satellite data, respectively. A summer bloom is
397 also pronounced in September as a second maximum in the yearly cycle over the whole
398 region, with peak magnitudes of about 0.25-0.52, 0.65–0.7, 0.65-1.15, 0.8-1.15, 0.5-0.75, and
399 0.75-1.3 mgm^{-3} for chlorophyll across the different datasets.

400 Notice the results from the BLING model run in the coarser resolution ESM2M code (purple
401 lines). The differences between BLING and miniBLING (light blue lines) in this code are just
402 due to having fixed iron and no dissolved phosphorus in miniBLING. The light field in these
403 ESM2M runs is computed from using TOPAZ-derived chlorophyll, so that all three models
404 see identical physical conditions. Both BLING and miniBLING in ESM2M produce an
405 asymmetry in chlorophyll between February and September that is similar to that produced in

406 CM2.6 miniBLING. This asymmetry is not seen in TOPAZ. Analysis of what drives this
407 asymmetry shows that it is not straightforward. All of the model runs show an asymmetry in
408 the nutrient concentrations that is in the opposite direction as the observations, with higher
409 nutrients in February than in September, as shown in Fig 7b. As we will show later in the
410 manuscript, this is probably associated with the models mixing to excessive depth during the
411 wintertime. However, in TOPAZ this does not produce an asymmetry in chlorophyll, while in
412 BLING and miniBLING it does. There are two possible reasons for this:

413 1) The equilibrium assumption, which means that biomass in both BLING and miniBLING is
414 not directly simulated. In TOPAZ, the growth of plankton during the spring is limited by the
415 biomass of phytoplankton, whereas in the fall TOPAZ continues to have higher heterotrophic
416 biomass (diagnosed from growth rates over previous months) that then grazes the plankton. In
417 BLING and miniBLING, by contrast, the biomass responds almost instantaneously to changes
418 in growth conditions. This means that if the growth rate increases from 0.05 day^{-1} to 0.1 day^{-1}
419 over the course of a month, the biomass associated with large phytoplankton will increase
420 eightfold, even though the additional growth should only be enough to give an increase of a
421 factor of $30 \text{ days} \times 0.05 \text{ day}^{-1} = 1.5$. Possibilities for addressing this effect include replacing the
422 DOP tracer with a biomass tracer, which could then be partitioned between the different
423 phytoplankton boxes based on the temporally smoothed growth rate, or increasing the
424 timescale over which the growth rate is smoothed when biomass is calculated.

425 2) Different handling of light limitation. In TOPAZ light limitation is calculated using the
426 instantaneous local light, whereas in BLING it is calculated using the mixed layer average
427 light. Preliminary results with a very coarse resolution model using BLING show that this
428 reduces the summer-winter asymmetry slightly, but is not sufficient to make the February
429 bloom smaller than the September bloom. This effect will also be addressed in future
430 research.

431 It is likely that all three of these factors- too deep winter mixed layers leading to too high
432 nutrients, too little light limitation and instantaneous response to changes in growth
433 conditions, are all responsible for the overly strong blooms in boreal winter in the Arabian
434 Sea.

435 To get a better sense of the mechanisms driving the blooms in the model, the biomass (mol P
436 kg^{-1}) of the miniBLING CM2.6 model is compared with the light intensity in the mixed layer
437 and the light-saturated photosynthesis rate (carbon specific) (s^{-1}) in Figs. 8a and b for January

438 of year 195. The two terms in Fig. 8 are the two terms in the model that affect growth rate.
439 Because biomass in the miniBLING model is a function of growth rate only, understanding
440 the variation in two terms is sufficient to understand what drives the variation of biomass in
441 the model. The biomass production and mixed layer light intensity (Fig. 8a) are not
442 meaningfully correlated parameters. On the other hand, the biomass and the light-saturated
443 carbon specific growth rate (Fig. 8b; indicating the degree of nutrient limitation) are
444 positively correlated. From this, it can be concluded that the blooms in this region are more
445 driven by nutrient rather than light, consistent with, for example, Gomes et al. (2008). This
446 suggests in turn that it is likely biases in nutrient supply that drive biases in productivity.

447 We can get more insight into nutrient biases by examining the individual tendency terms
448 associated with advection, vertical diffusion and subgridscale eddy fluxes and time rate of
449 change of nutrients. For simplicity, in this paper we combine the vertical diffusive flux
450 associated with small-scale mixing from the background diffusion with that due to the mixed
451 layer parameterization. Fig. 9 shows PO_4 advection, diffusion and time tendency flux terms
452 for the whole region (56° - 66°E , 15° - 26°N) over a typical year. We calculate these by
453 integrating the time tendency terms for phosphate over the top 50m. The results show that the
454 dominant source in whole region during the winter bloom is diffusion, suggesting the model
455 predicts excessively strong mixing during the wintertime. By contrast, the advection
456 dominates diffusion during the summer bloom, supplying the majority of nutrients during the
457 months of July and August. The fact that the summertime bloom is close to observations
458 suggests that the model correctly simulates this wind-driven upwelling.

459 In addition to having annual cycles that are different from observations, the models also differ
460 from data in terms of interannual variability. As shown in Fig. 10, low-resolution models
461 (CORE- and coupled-TOPAZ) provide an almost uniform seasonal coefficient of variation
462 (mean C.o.Vs are 0.15 and 0.18, respectively), while both data and eddy resolving CM2.6
463 models show higher interannual variability and seasonal changes (mean C.o.Vs are 0.35 and
464 0.5, respectively). The C.o.Vs are particularly higher during the winter and summer blooms in
465 the observations, while the low-resolution models do not see these signals. In other words, the
466 low-resolution models fail to get enough variability, while the high-resolution models produce
467 too much interannual variability. Together with the Fig. 4, this statistical analysis suggests
468 that eddies are necessary to explain the variability in the data as opposed to the low-resolution

469 models, but that the high-resolution model does not properly capture this variability. Below,
470 we examine the relationship of eddies and blooms in the high-resolution models.

471

472 **4.2 Blooms and sea surface height in CM2.6**

473 **4.2.1 Large-scale correlation**

474 The relationship between SSHA and chlorophyll is quite different in the model as compared
475 to the satellite. Monthly variation in the cross-correlation of chlorophyll and SSHA for eight
476 consequent years in CM2.6 is shown in Fig. 11. As in the remote sensing, the model shows
477 annual cycles of variation in the cross-correlation, suggesting a repeatable yearly phenomenon
478 in the region. However the structure of this annual cycle is not consistent with the satellite
479 data. The model predicts several months (i.e. March-August) with anti-correlation for most of
480 the years, but with values less than 0.5, smaller than the peak anti-correlation values in
481 satellite results. The model also predicts that several other months (i.e. October-February)
482 should have no or even positive correlation, while the satellite shows strong negative
483 correlations during these months.

484

485 **4.2.2 Blooms in Mesoscale Structures**

486 Why does the GFDL CM2.6 model not produce the same relationship between SSHA and
487 chlorophyll as the satellite? We can gain some insight by examining snapshots of the two
488 fields. In Figs. 12a and b, sea surface chlorophyll-a concentration and sea surface height
489 anomaly (SSHA) are shown at two snapshots of time, November 9th and December 28th for
490 model year 195. Comparing the figures with the corresponding satellite results in Fig. 3 for
491 the months of November and December, we see that the southern part of the GFDL model is
492 more similar to the satellite data, with high concentrations of chlorophyll-a tending to be
493 located at the center of cyclones. In contrast, in the northern part of the region, the GFDL
494 model predicts high chlorophyll at the edges of the cyclones as well as in the center of
495 anticyclones. The eddy structures have smaller diameters in GFDL results than the field
496 observations, though it is not clear whether this represents smoothing in the AVISO product
497 or some physical weakness of the model.

498 We now focus on the few examples in our model output where chlorophyll blooms are found
499 in the center of cyclonic eddies. These are denoted as E1 and E2 in Figs. 12a and b. To track
500 the movement of the selected eddies, E1 and E2, over the time from November 9th to
501 December 28th, modeled chlorophyll and SSHA are shown in Figs. 12c and d along two
502 different latitudes, 16°N (for E1) and 19°N (for E2). Fig. 12c shows that E1 moved westward
503 during this period of time, and that the chlorophyll concentration was kept high within the
504 central part of the eddy. E1 appears to be created by the passage of a cyclone, similar to the
505 eddy observed by Wang and Zhao (2008) in the aftermath of Cyclone Gonu. Similarly, as
506 shown in Figs. 12d, E2 was a persistent eddy with both central and edge blooms during the
507 month of November that started to move towards the west during the December along 19°N.
508 However, at other latitudes, the largest blooms offshore are found along gradients in SSH
509 rather than being associated with maxima or minima. This suggests a different mechanism for
510 producing blooms in the model. Following Gaube et al. (2014), it appears that the eddy
511 stirring mechanism is dominant. Satellite data (i.e. see Fig. 3 for the month of May) provide
512 some hints of high-chlorophyll plumes being advected away from coastal regions. As shown
513 in Figs. 12a and b, high velocities in the marginal region between adjacent cyclonic and
514 anticyclonic eddies can cause such plumes in the GFDL models as well.

515 Why is the model only able to simulate the relationship between SSH and chlorophyll in the
516 southern part of the domain? We hypothesize this is due to differences in stratification
517 between the two regions. The average water temperature (colors) and the macronutrient (PO_4)
518 concentrations (contours) for model year 197 are compared to the corresponding measured
519 values in World Ocean Atlas (WOA09) within the upper 200m in the northern (60°-66°E and
520 19°-23°N) and southern (60°-66°E and 15°-17°N) part of the region are shown in Fig. 13. In
521 the northern part of the region (see Fig 13a and b), the GFDL model provides a reasonably
522 good estimation of the mean temperature field near the surface, but subsurface temperatures
523 are not as consistent as there is far too little stratification. This is also associated with a very
524 weak nutricline in CM2.6. Variations in isopycnal depth will therefore not lead to big
525 differences in nutrient supply. Figs. 13c and d show the same fields for the southern part of
526 the region. Unlike the northern part of the domain, the temperature gradient over these depths
527 is well estimated by CM2.6. While the nutricline is still too weak there is some gradient in
528 nutrients between 80 and 120m.

529 As seen in Fig. 1d both the ARGO and WOA09 wintertime mixed layer depth is considerably

530 deeper than the summertime mixed layer depth, reaching a maximum of 65m. However, in
531 the northern regions of the model the MLD seems to be too deep in winter, reaching values of
532 130-150 m. This suggests that the overly deep mixed layer in the northern part of the region
533 may explain both the tendency towards an overly strong winter bloom and the failure of
534 mesoscale eddies in modulating chlorophyll blooms. If we look during the time period where
535 we have eddies E1 and E2 (Nov-Dec. year 197, Fig 6c,d) we see shallower mixed layers
536 associated with both eddies.

537 Both the temperature and mixed layer biases in the northern part of the Arabian Sea may
538 result from having too much water from the Persian Gulf in this region. This can be seen in
539 the yearly averaged subsurface salinity-density distribution over the region, shown in Figs 13e
540 and f for both WOA09 data and CM2.6 (model year 197), respectively. Fig. 13e shows two
541 separate tongues of salty water, one near the surface and one at the depth of ~300m. These
542 salty water signals are consistent with the seasonal cycle of Persian Gulf outflow as discussed
543 in Ezam et al. (2010). On the other hand, CM2.6 shows one subsurface salty water signal
544 from the northern part, which is deep and strong enough to result in weak stratification in the
545 north to a depth of 250m, as shown in Fig. 13f. These results suggest that a sharp thermocline
546 and nutricline is necessary for eddy activity to modulate the mixing of nutrients to the surface.

547 We test the idea that a sharper thermocline could modulate mixing of nutrients to the surface
548 by looking at the sources of nutrient in the southern part of Arabian Sea where eddy-bloom
549 relationships similar to observations are occasionally seen. Accordingly, the region containing
550 eddy E1 in Fig. 12 is analyzed to determine the physical mechanisms by which nutrient is
551 transported into the surface layer. Fig. 14 contrasts chlorophyll concentration, advection, and
552 diffusion terms for the region from 63°-66°E, 15°-18°N over the December of two consecutive
553 CM2.6 years of 197 and 198. In December of year 197 we see an eddy associated with a
554 bloom while there is no eddy in December of year 198 and the chlorophyll concentrations are
555 much lower. In both years the diffusive flux of nutrient to the top 50m mirrors the
556 chlorophyll. But in 197 it is larger and positive ($\sim 10 \text{ mol/m}^2/\text{month}$) in the eddy while the
557 advective flux is actually negative in this region. By contrast in Year 198, there is no cyclonic
558 eddy and the diffusive fluxes are much smaller.

559 The bloom associated with eddies E1 and E2 do not fit with any of the mechanisms
560 highlighted in Gaube et al. (2014). We first consider the mechanism of trapping. Eddy E1 is
561 generated in the ocean interior, not as a result of coastal upwelling. As shown in Fig. 15, the

562 nutrient supply rate ranges between 5 and 8 mmol/m²/month in the eddy. The concentrations
563 in this eddy are only 0.01 μM (5 mmol/m²) over the top 50 m. It cannot be the case that the
564 nutrients in the eddy can last for several months as a result of “trapping”, there must be a
565 continuous supply. Moreover although eddy E2 shows a horizontal advection signal in
566 November (with a positive ring around the edge in Fig. 12a), the signal in December has the
567 opposite sign. Eddy intensification is also an unlikely mechanism for explaining the blooms,
568 as $dSSH/dt$ is relatively small (particularly if we track the minimum SSH associated with E1
569 in Fig. 12c or E2 in Fig. 12d). Finally, Ekman pumping signatures in Gaube et al. (2014) have
570 the opposite sign as what is seen in E1 and E2.

571 Our results also contrast with those in Resplandy et al. (2011). The focus in Resplandy et al.
572 (2011) is on the productivity driven by horizontal and vertical advection in summer and
573 mostly vertical advection in winter. This contradicts our finding of a primary diffusive source
574 of nutrient in winter although it is consistent the finding of advective source of nutrients in
575 summer. We point out that in our model, the only two eddies that actually look like what we
576 see in the satellite observations involve enhanced mixing from below. This is a different result
577 from Levy et al. (2014) and Resplandy et al. (2011). Moreover it is not clear whether these
578 papers get the seasonal correlation with SSH or not. Resplandy et al. (2011) do not focus on
579 structures at the eddy scale as they are more concerned with the net impact of eddies.

580

581 To summarize, we hypothesize that

582 1. The reason that blooms are found in cyclones in the Arabian Sea during the NEM is that
583 the dominant source of nutrients to the surface, i.e. mixing (Barimalala et al., 2013;
584 Kawamiya and Oschlies, 2003) is concentrated there.

585 2. Interannual variability in wintertime blooms in the Northwest Arabian Sea is controlled by
586 the combined presence of these eddies and strength of wintertime cooling.

587 3. Excessive mixing (resulting in too weak a thermocline) prevents mixing from being
588 modulated by eddies in the model except occasionally in the southern part of our region. In
589 the real world the modulation of mixing seen in Fig. 14 extends into the Northwest Arabian
590 Sea and the Gulf of Oman.

591

592 **5 Conclusions**

593 Our analysis of bloom variability in the northwestern Arabian Sea and Gulf of Oman has
594 illustrated both similar and dissimilar descriptive features between satellites and a suite of
595 models and explored the various mechanisms involved. Satellite analyses demonstrate the
596 existence of two blooms, the stronger one associated with the Southwest Monsoon and the
597 weaker one associated with the Northeast Monsoon as also shown by Madhupratap et al.
598 (1996), Kawamiya and Oeschies (2003), Murtugudde et al. (2007), and Al-Azri et al. (2010).
599 We demonstrate a pronounced anti-correlation between SSHA and chlorophyll blooms during
600 certain times in northern winter but a much weaker relationship in other months (typically
601 northern summer) with the relationship disappearing as the blooms vanish in the months of
602 April and May (northern spring). While the depth of thermocline and nutricline and also the
603 stratification affect the convection during the Northeast Monsoon (Dickey et al., 1998; Kumar
604 et al., 2001; Wiggert et al., 2002), we show that a thin nutricline/thermocline and a strong
605 stratification are also required to enable cold eddies to bring nutrients to euphotic zone and
606 develop phytoplankton blooms. During the wintertime monsoon, while both cooling in the
607 winter and eddies control the blooms, variability in bloom location will arise from variability
608 in the location of eddies, and so may not be predictable. In contrast, during the Southwest
609 Monsoon the dominant upwelling associated with the intense environmental forcing
610 supersedes the effect of eddies and the activity of the cold eddies is not pronounced.

611 Understanding of this phenomenon has been sought using five different 3D ocean-atmosphere
612 models, including a CORE-forced ocean with the TOPAZ biogeochemistry, a coupled model
613 with the TOPAZ biogeochemistry and CM2.6. Because the coarse models with TOPAZ are
614 not able to capture eddies and the interannual variability, CM2.6 (miniBLING), a eddy-
615 resolving high resolution model, was also considered for simulating the spatial and temporal
616 changes of the bloom in the region. This model simulates the two blooms seen in the data and
617 shows that the nutrients driving the northern summer bloom are supplied by advection while
618 those driving the wintertime bloom are supplied by vertical diffusion. However, this model is
619 unable to simulate the seasonal relationship observed in the satellite products between blooms
620 and sea surface height. Although there is some anti-correlation, it tends to be associated with
621 larger spatial scales and not really related to eddies. Instead, eddies in the model usually wrap
622 the chlorophyll around themselves, producing high chlorophyll concentrations around their
623 edges and not at their centers. Comparing the model results to field measurements (WOA09)

624 showed that the model does not account for the strong thermocline and nutricline in the
625 northern part of the region. In the wintertime, this leads to excessive convective supply of
626 nutrients and too strong of a bloom. However, for a few cases, eddies with blooms at the
627 center are tracked in the southern part of the domain. In this region, consistency is observed
628 between the model results and the field data. Analysis of the term balances in mixed layer
629 show that eddies in this region modulate the diffusive supply of nutrients. We suggest that
630 what happens in the model in the Southern Arabian Sea actually describes the Arabian Sea as
631 a whole according to the observations and the field data. The model misses the eddy signal in
632 the north because it lacks a thin nutricline, motions of which will lead to differences in
633 nutrient supply. In the real world, eddies modulate the diffusive supply of nutrients during the
634 wintertime and there is more mixing in the eddy centers along with the diffusive supply
635 provided by the cooling in the wintertime.

636 Accordingly, there is a potential to improve the numerical models by better simulating the
637 Persian Gulf Outflow to produce a sharper thermocline, allowing more realistic nutrient
638 supply. Overflows are difficult to simulate in level-coordinate models because they are prone
639 to excessive entrainment of the dense plume (Winton et al., 1998). While significant effort
640 has gone into simulating the Denmark Straits overflow at coarse resolution (Legg et al.,
641 2009), our results show that smaller overflows such as the Persian Gulf may be regionally
642 significant. This may provide further impetus for using isopycnal models in high resolution
643 simulations, as such models can potentially simulate such overflows with greater fidelity.

644 It is worth noting that regional models, (such as Resplandy et al. (2011)) do have the potential
645 to better simulate the hydrography of the Northern Arabian Sea. Because such models are
646 very tightly constrained through “sponges” that restore hydrography at the boundaries, they
647 may not have the problems that global models do at representing the effects of overflows that
648 they do not properly simulate. However, such models cannot by themselves simulate the
649 effects of changing climate, which in turn changes the boundary conditions. For this reason,
650 global models must still be used for projection, making it important to identify the reasons
651 that they are not going to work.

652

653

654

655 **Acknowledgements**

656 The authors thank Eric Galbraith, Shahabeddin Torabian, Grace Kim, Carlos del Castillo, and
657 Jeremy Wardell for useful discussions. We also thank Rick Slater and Whit Anderson for
658 their support of the model simulations. Argo climatology data were collected and made freely
659 available by the International Argo Program and the national programs that contribute to it.
660 (<http://www.argo.ucsd.edu>, <http://argo.jcommops.org>). The Argo Program is part of the
661 Global Ocean Observing System.

662

663

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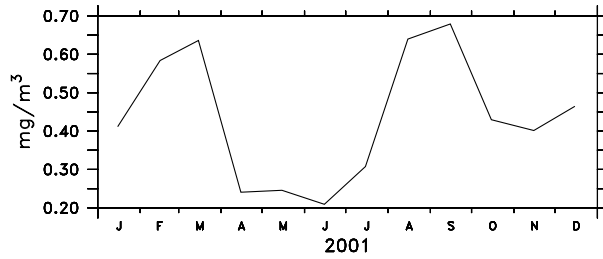
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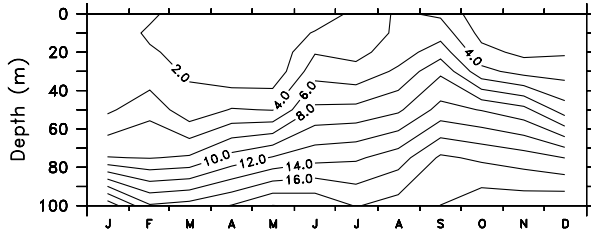
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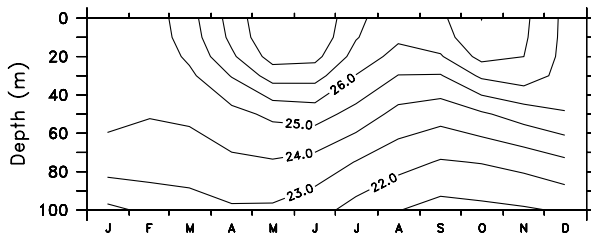
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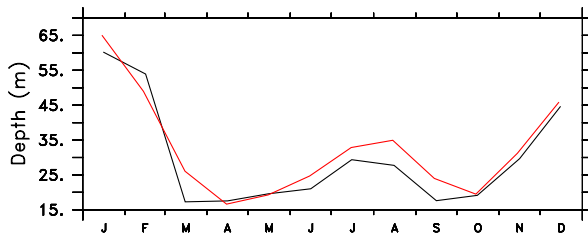
(A) GSM Chlorophyll a (mg/m^3)



(B) WOA09: Nitrate ($\mu\text{mol}/\text{l}$)



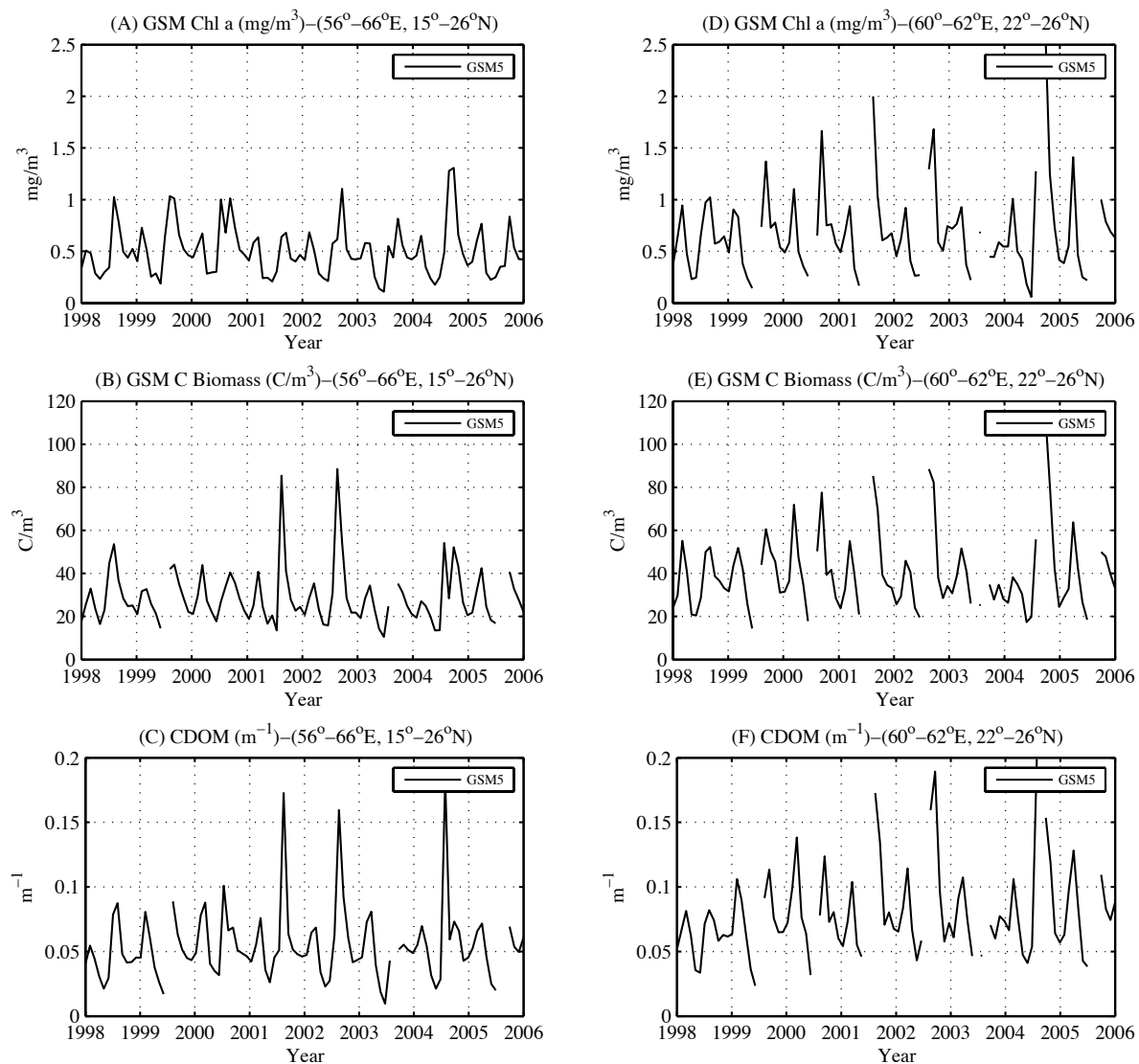
(C) WOA09: Water Temperature ($^{\circ}\text{C}$)



(D) MLD-WOA(Black) , MLD-Argo(Red)

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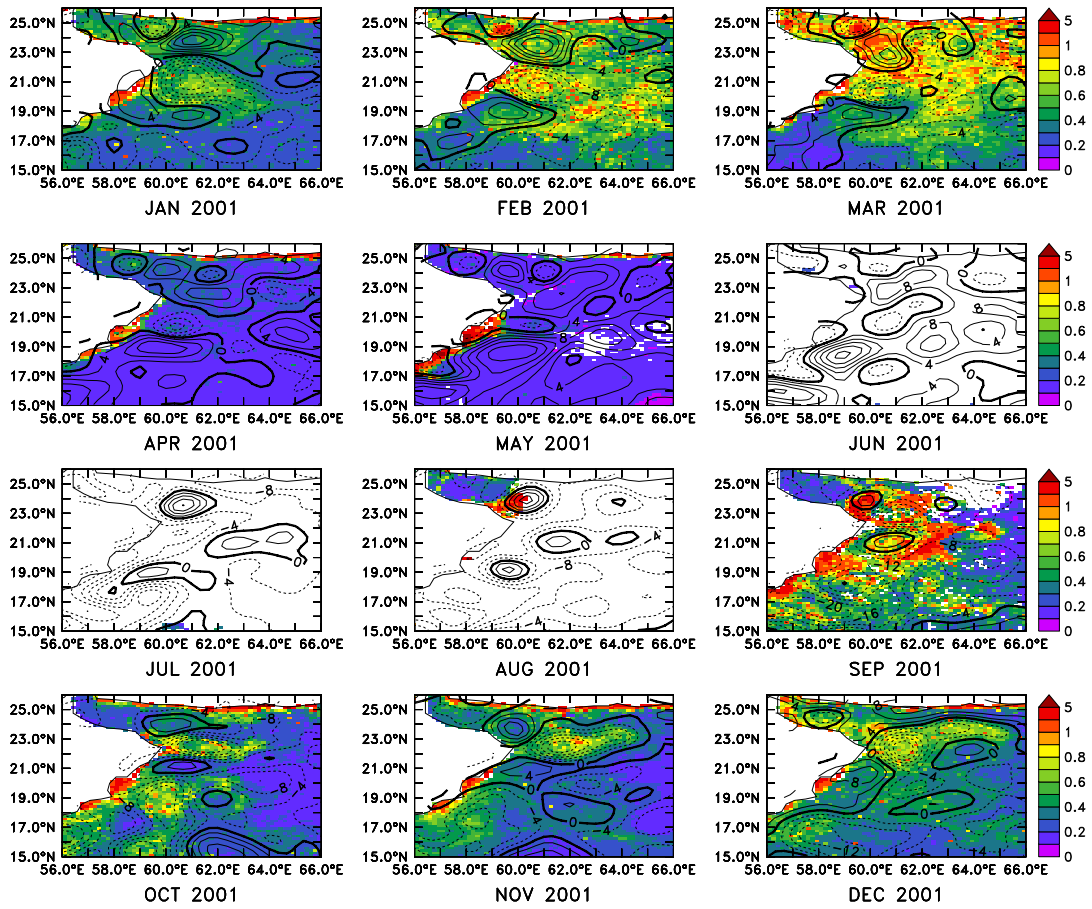
870 Figure 1. Monthly average for region from 56°E - 66°E , 15°N - 26°N : (a) Climatological surface
 871 chlorophyll-a (SeaWIFS) for a nominal year of 2001; (b) Nitrate (WOA09) over top 100m;
 872 (c) Temperature over top 100m; (d) WOA09 seasonal mixed layer depth in meters- black line
 873 shows result from World Ocean Atlas, red line from ARGO climatology (ARGO, 2015).



874

875 Figure 2. Monthly variation of organic matter in SeaWiFS satellite data between 1998 and
 876 2005 within 56°-66°E, 15°-26°N (large region); and 60°-62°E, 22°-26°N (small region): (a)
 877 and (d) chlorophyll; (b) and (e) particulate backscatter; (c) and (f) CDOM.

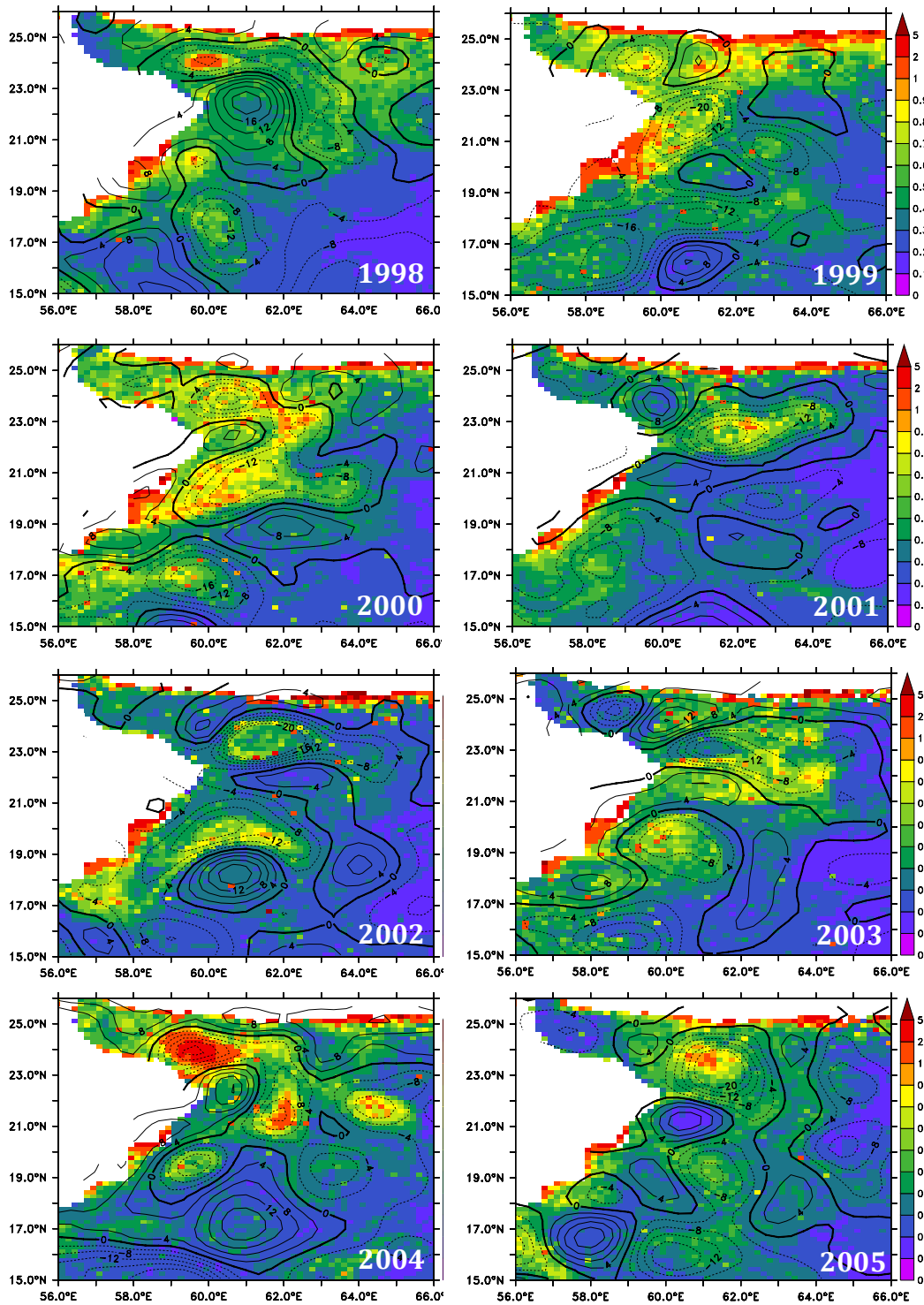
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880 Figure 3. Satellite chlorophyll-a in mg/m^3 (colors) and sea-surface height anomaly (SSHA,
 881 contours) in cm (contour interval = 5cm) in the Gulf of Oman and Northwest Arabian Sea
 882 over the course of 2001.

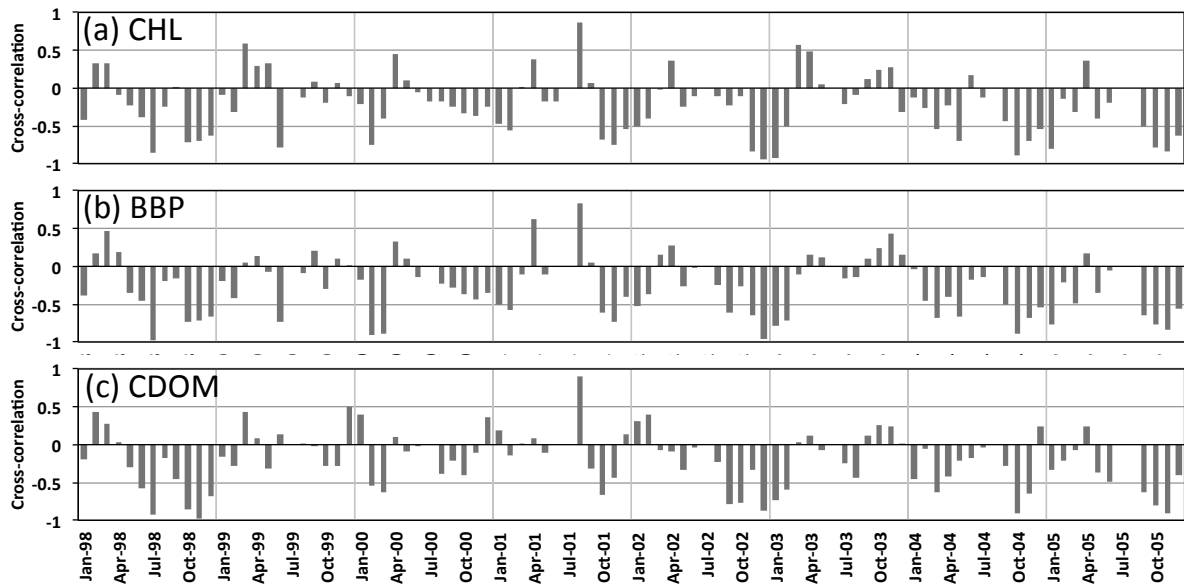
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885 Figure 4. Chlorophyll-a in mgm^{-3} (colors) and sea surface height anomaly (SSHA, contours)
 886 in cm (contour interval=5 cm) in the Gulf of Oman and Northwest Arabian Sea during
 887 November in different years.

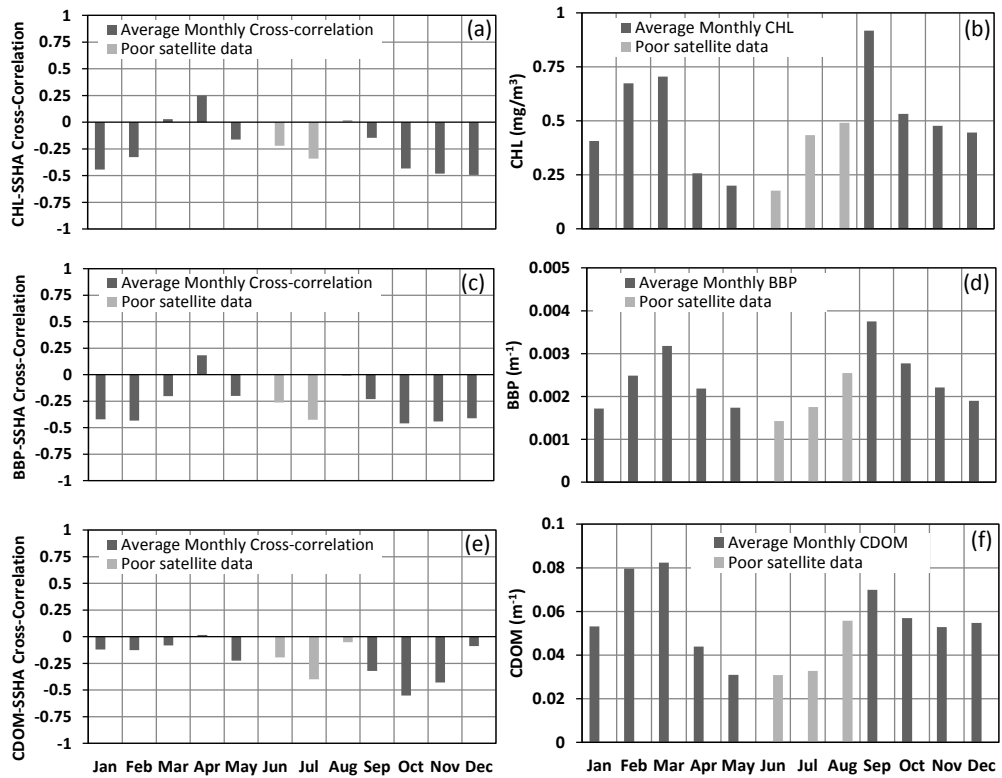
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890 Figure 5. Monthly cross-correlation with AVISO SSHA between 1998 and 2005 within 56°-
 891 66°E and 15°-26°N. for (a) satellite-estimated chlorophyll; (b) satellite estimated BBP; (c)
 892 satellite-estimated CDOM.

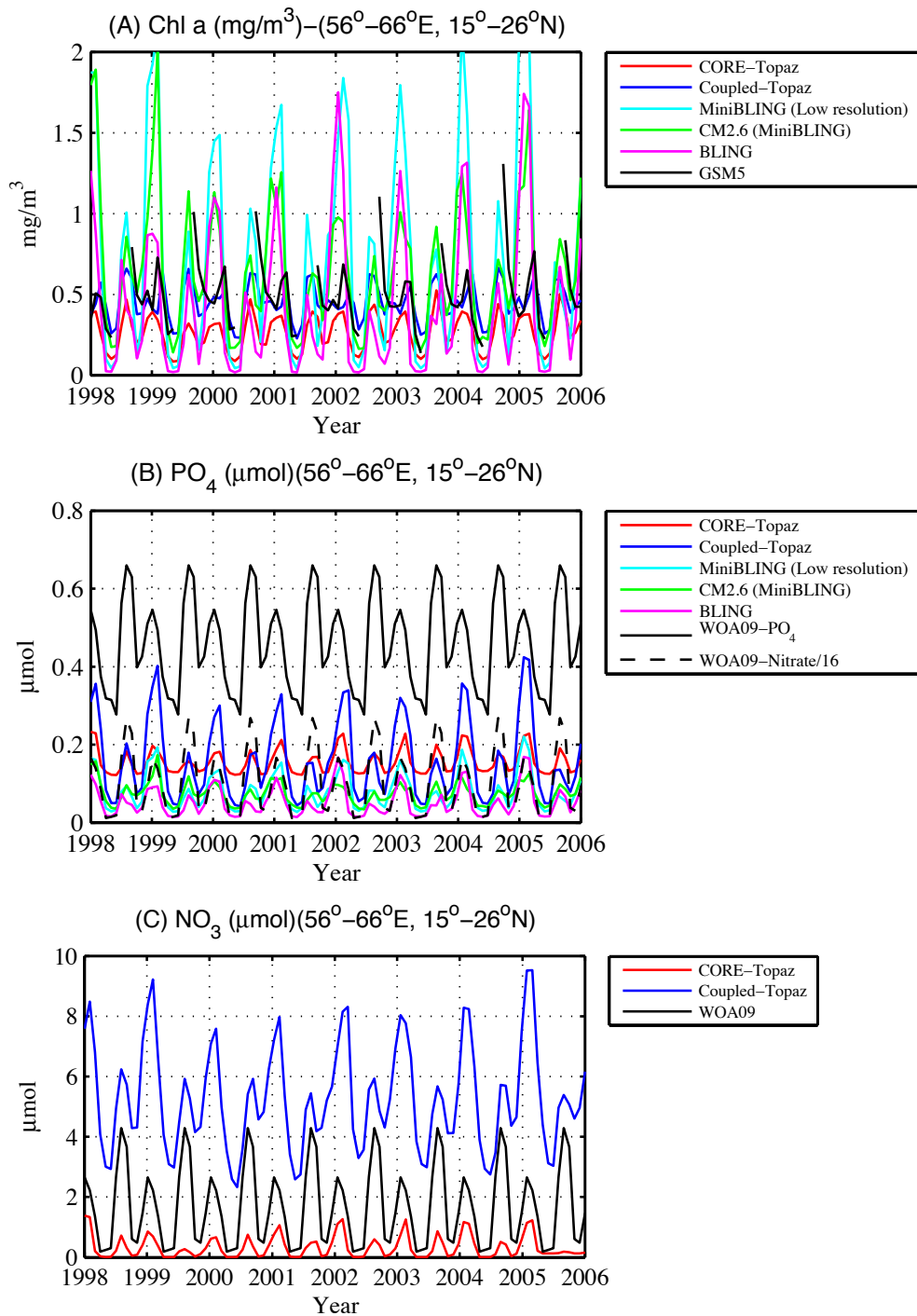
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895 Figure 6. Average monthly cross-correlation with observed SSHA and average monthly
 896 values between 1998 and 2005 within 56°-66°E and 15°-26°N for (a, b) satellite-estimated
 897 chlorophyll; (c, d) satellite-estimated backscatter; (e, f) satellite-estimated CDOM.

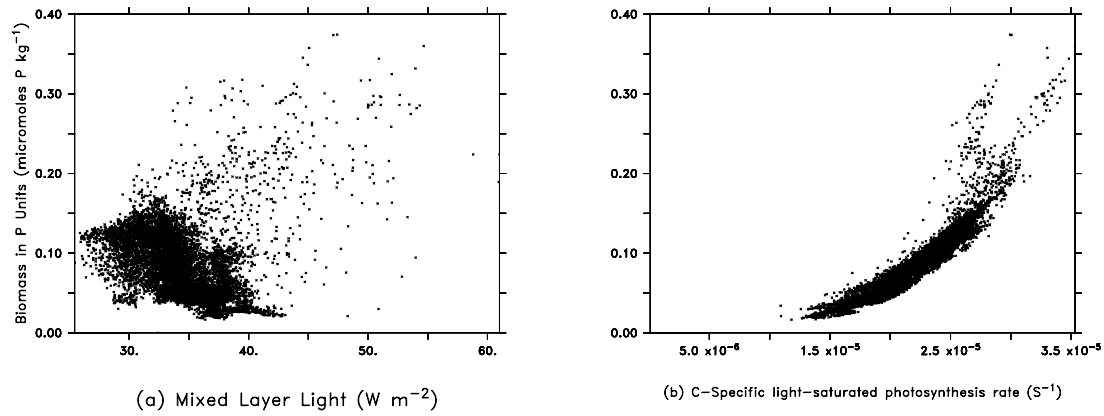
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899

900 Figure 7. Monthly variation of organic matter in satellite data between 1998 and 2005 and
 901 GFDL models (8 characteristic years) within 56° – 66°E , 15° – 26°N : (a) chlorophyll from
 902 GFDL models and GSM5 algorithm. (b) PO_4 from the BLING and miniBLING simulations,
 903 $\text{NO}_3/16$ from the TOPAZ simulations and observed PO_4 from WOA09. (c) NO_3 from the
 904 TOPAZ simulations and observed NO_3 from WOA09.

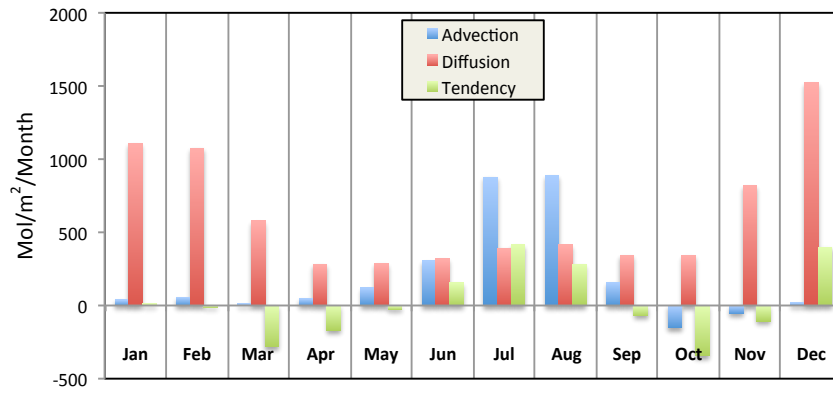
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907 Figure 8. Modeled biomass in CM2.6 in P units (mol P kg⁻¹) versus: (a) Mixed layer
 908 irradiance (Wm⁻²); (b) Light-Saturated photosynthesis rate (carbon specific) (s⁻¹) 56°-66°E,
 909 15°-26°N for January of year 195. In the model, biomass is a function of the growth rate
 910 smoothed over several days, and the light-saturated photosynthesis rate indicates the extent to
 911 which this growth rate is controlled by nutrient limitation.

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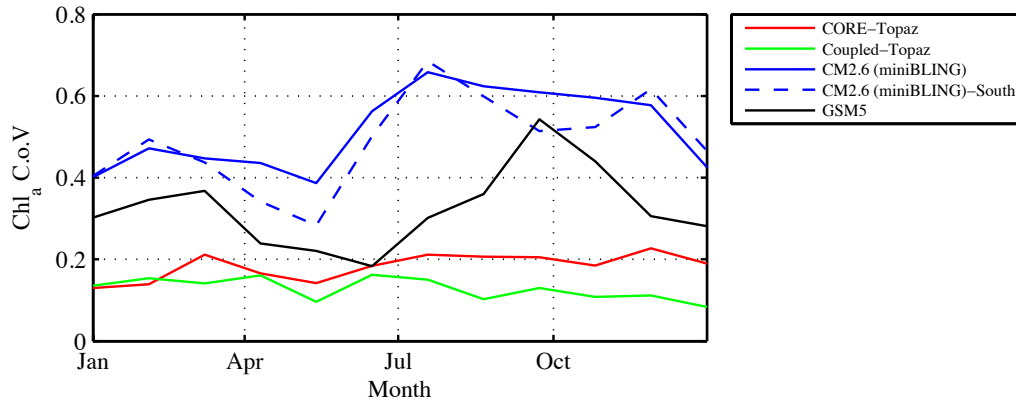


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914 Figure 9. PO₄ Advection, diffusion and tendency flux from the CM2.6 model over the whole
 915 region averaged over top 50 m (56°-66°E, 15°-26°N).

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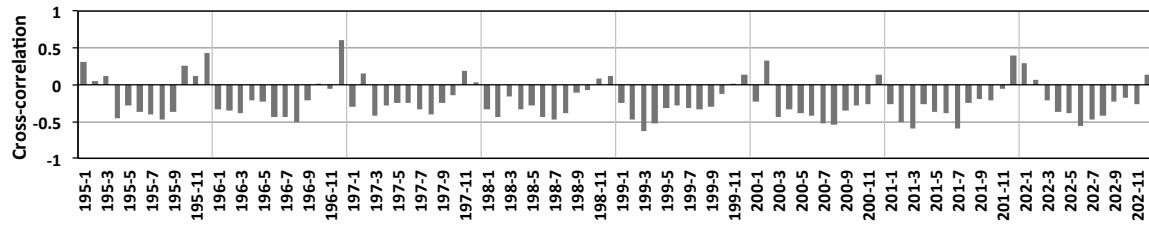


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919 Figure 10. Average monthly coefficient of variation (standard deviation/mean) of Chlorophyll
920 a in satellite data between 1998 and 2005 and GFDL models (eight characteristics years)
921 within (56°–66°E, 15°–26°N) for the satellite data (black), CORE-TOPAZ (red), COUPLED-
922 TOPAZ (green) and CM2.6 model with miniBLING (blue) and within the south region (56°–
923 66°E, 15°–19°N) for CM2.6 (miniBLING, dashed blue).

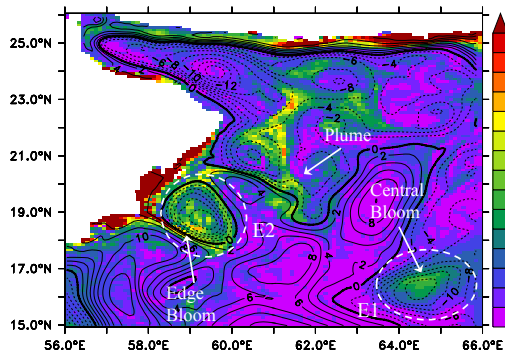
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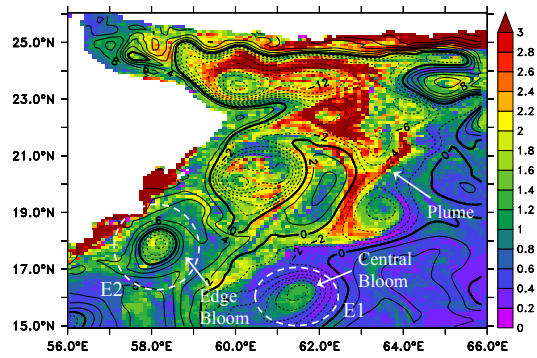


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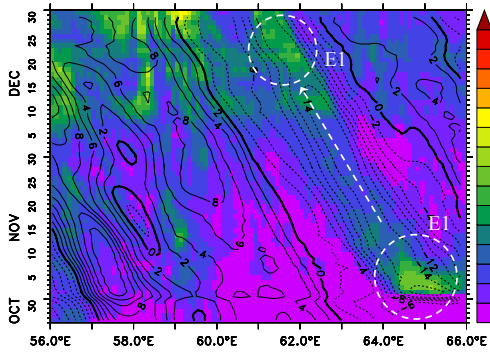
927 Figure 11. CM2.6 monthly Chlorophyll-SSHA cross-correlation over 8 years within 56°-66°E
 928 and 15°-26°N.



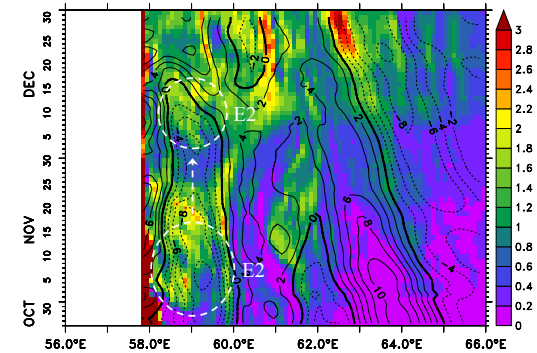
(a) Chlorophyll-a and SSHA at November 9th



(b) Chlorophyll-a and SSHA at December 28th



(c) Chlorophyll-a and SSHA: November and December along 16°N



(d) Chlorophyll-a and SSHA: November and December along 19°N

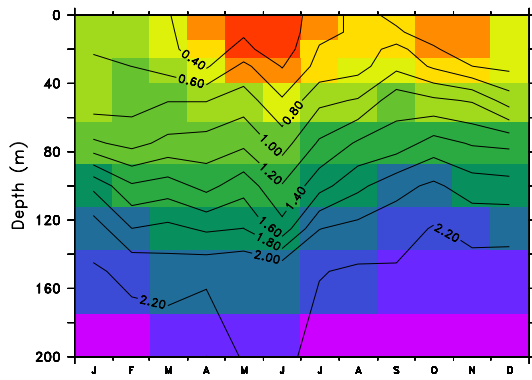
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930 Figure 12. CM2.6 (miniBLING) Surface chlorophyll-a concentration and sea surface height
 931 anomaly (SSHA) November and December during a year where the observed eddy-bloom
 932 interaction is seen in the Southern part of the Arabian Sea.

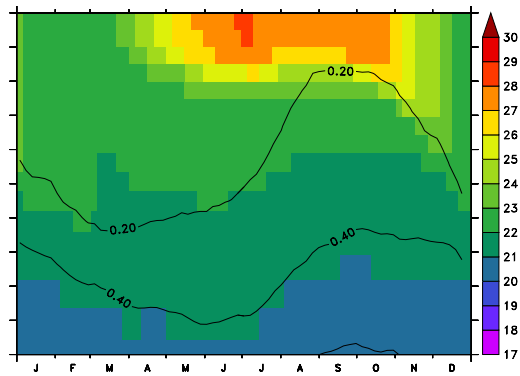
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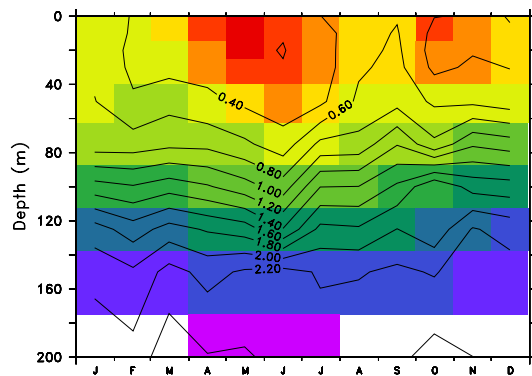
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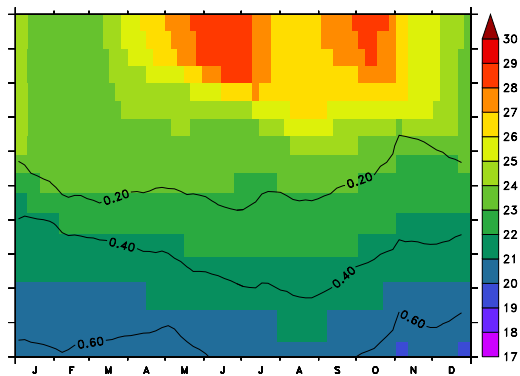
(a) Northern part; average WOA09: 60°-66°E and 19°-23°N



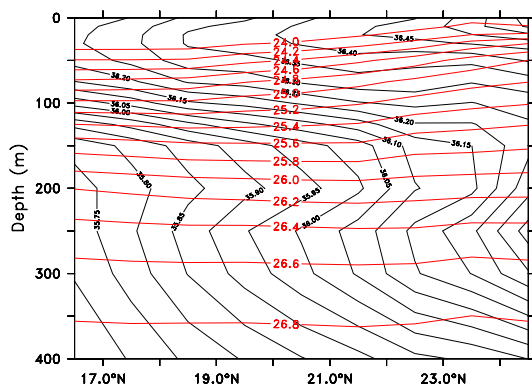
(b) Northern part; average GFDL CM2.6 (year 197): 60°-66°E and 19°-23°N



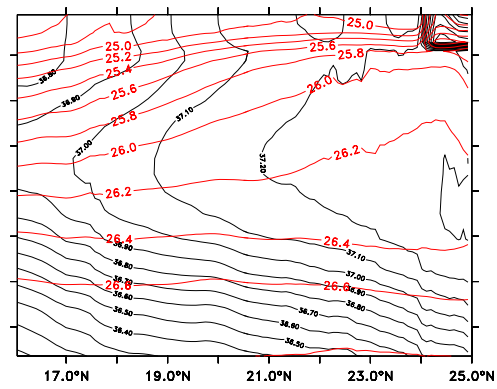
(c) Southern part; average WOA09: 60°-66°E and 19°-23°N



(d) Southern part; average GFDL CM2.6 (year 197): 60°-66°E and 19°-23°N



(e) WOA09 results: 60°-66°E

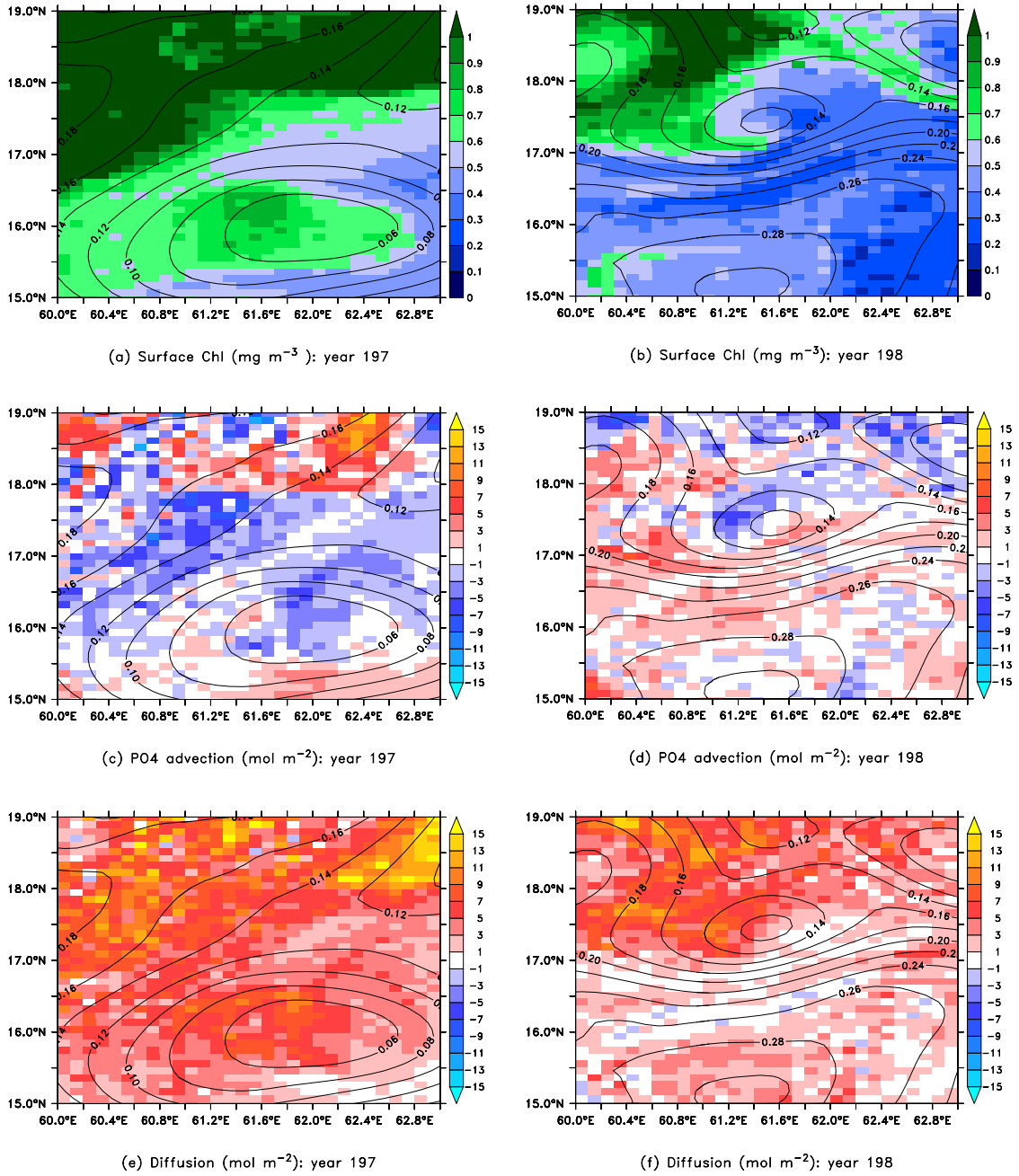


(f) GFDL CM2.6 (year 197): 60°-66°E

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937 Figure 13. (a-d) Seawater temperature (colors, °C) and Phosphate (PO₄) concentration
 938 (contours, μM) for the northern (top row) and southern (middle row) parts of the central
 939 Arabian Sea. ; (e-f) yearly averaged subsurface distribution of salinity (black contours) and
 940 potential density (red contours). Left-hand column shows observations with the Persian Gulf
 941 plume centered at 300m, right-hand column results from CM2.6 model with a much broader
 942 mixing of salinity over the top 200m.

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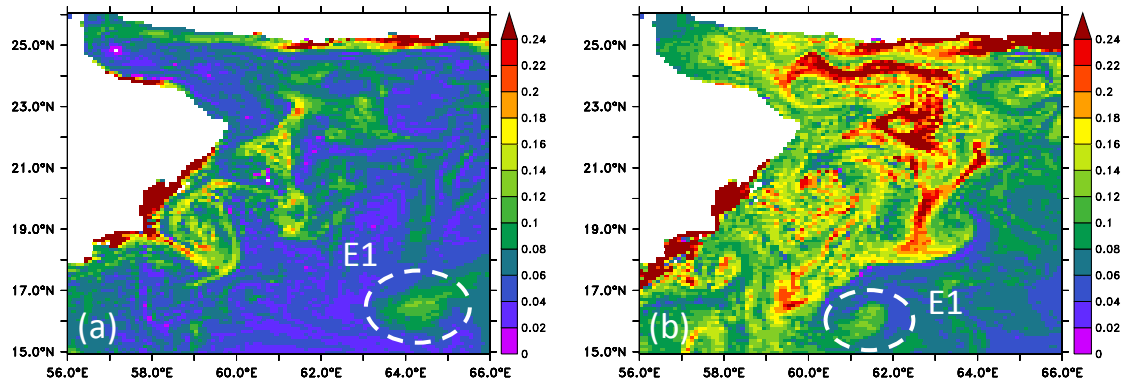


944

945 Figure 14. Surface chlorophyll in mg m^{-3} . (a-b), Advective flux of phosphate to top 50m in
 946 mol m^{-2} (c-d, colors), and diffusive flux of phosphate in mol m^{-2} (e-f, colors) with sea surface
 947 height (contours in m, contour interval 0.02m) for eddy E1 (63° - 66° E, 15° - 18° N) for the
 948 month of December during the two CM2.6 model years 197 and 198.

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950



951

952 Figure 15. (a), (b) : CM2.6 (miniBLING) PO₄ on 9 November and 28 December of year

953 197.(56°–66° E, 15°–26° N).

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