

1 **Living (Rose Bengal stained) benthic foraminiferal faunas along a**
2 **strong bottom-water oxygen gradient on the Indian margin**
3 **(Arabian Sea)**

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16

17 **Abstract**

18 Rose-Bengal-stained foraminiferal assemblages (> 150µm) were analysed along a five-station
19 bathymetric transect across the core and the lower part of the oxygen minimum zone (OMZ)
20 on the Indian margin of the Arabian Sea. Sediment cores were collected using the manned
21 submersible *Shinkai 6500* during RV *Yokosuka* cruise YK08-11 in the post-monsoon season
22 (October 2008) at water depths ranging from 535 to 2000 m, along a gradient from almost
23 anoxic to well-oxygenated (0.3 µM to 108 µM) bottom waters. Stained benthic foraminifera
24 were investigated from two different size fractions (150-300 µm and >300µ m). Stained
25 foraminiferal densities were very high in the core of the OMZ (at 535 and 649 m) and
26 decreased at deeper sites. The faunas (> 150 µm) were dominated (40-80%) by non-
27 calcareous taxa at all stations. These were mainly species of *Reophax* and *Lagenammina* but

Commentaire [m1]: Comment 2-1

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Commentaire [m3]: Comment 2-1

28 also included delicate monothalamous taxa (organic-walled ‘allogromiids’, agglutinated
29 saccamminids, psammosphaerids and tubular forms). These new data from the Indian margin
30 are compared to previous studies from the Murray Ridge, the Pakistan margin and the Oman
31 margin. The fact that similar species were found at sites with comparable bottom-water
32 oxygen concentrations but with very different surface water productivity suggests that, within
33 the strongly developed Arabian Sea OMZ, bottom-water oxygen concentration, and not the
34 organic flux to the sea floor, is the main factor controlling the species composition of the
35 foraminiferal communities. Several foraminiferal species (e.g. *Praeglobobulimina* sp. 1,
36 *Ammodiscus* sp. 1, *Bolivina* aff. *dilatata*) were confined to the core of the OMZ. These
37 species are presently known only from the Arabian Sea. Because of their association with
38 extremely low-oxygen concentrations, these species may be good markers for very low
39 oxygen concentrations, and could be used to reconstruct past OMZ variability in the Arabian
40 Sea.

Commentaire [m4]: Comment 1-13

41

42 1. Introduction

43 The ocean floor hosts rich and diverse micro-, meio- and macrofaunal communities. The
44 distribution and abundances of these faunas are controlled by many environmental and
45 physical parameters that change from the continental shelf to the deep ocean. In particular,
46 organic-matter fluxes and bottom-water oxygenation exhibit drastic changes between oceanic
47 basins. Strong gradients in both parameters are found in oxygen minimum zones (OMZ;
48 defined by permanent hypoxia, with dissolved oxygen concentrations $<22 \mu\text{M}$; Levin, 2003).
49 These mid-water features impinge on the continental slope at upper bathyal depths in the
50 eastern Pacific, the northern Indian Ocean (Arabian Sea and Bay of Bengal), and to a lesser
51 extent off southwest Africa (Helly and Levin, 2004; Paulmier and Ruiz-Pino, 2009). Many
52 studies have described dense biotic communities within OMZs, despite the low oxygen
53 concentrations (e.g., Wishner et al., 1990; Levin et al., 1991; Jannink et al., 1998; Gooday et
54 al., 2000, 2009; Levin et al., 2000; Levin, 2003a; Schumacher et al., 2007; Mallon et al.,
55 2012; Pozzato et al., 2013). In general, meiofaunal organisms (mainly foraminifera and
56 nematodes) attain high densities, although diversity tends to be low (e.g. Jannink et al., 1998;
57 Cook et al., 2004; Schumacher et al., 2007; Larkin and Gooday, 2009; Caille et al., 2014).
58 Megafaunal and most macrofaunal taxa are more affected by strong hypoxia than smaller
59 organisms and usually exhibit lower abundances, except for polychaetes which are often more

60 resistant and may be abundant, even in the core regions of some OMZs (e.g. Levin et al.,
61 1991; Levin, 2003b; Gooday et al., 2009). However, in all size classes, distinct taxonomic
62 changes are observed across OMZs (e.g. Gooday et al., 2009), making them perfect natural
63 laboratories to examine the influence of oxygen concentration on the density, diversity and
64 species composition of benthic fauna.

65 In open-ocean settings, the organic flux to the sea floor is often considered as the most
66 important parameter controlling benthic foraminiferal abundance and distribution (e.g.
67 Altenbach, 1985, 1987; Corliss and Emerson, 1990; Gooday et al., 1990; Jorissen et al., 1995;
68 Heinz et al., 2002; Diz et al., 2006; Gooday and Jorissen, 2012). For many foraminiferal
69 species, there is an optimum range of organic input within which they reproduce, are
70 competitive, and attain their maximum abundance (Altenbach et al., 1999). Some species
71 show a strong tolerance to hypoxia and flourish in oxygen-depleted environments (e.g.
72 Mackensen and Douglas, 1989; Gooday et al., 2000; Bernhard and Gupta, 2003; Cardich et
73 al., 2012; Mallon et al., 2012; Sergeeva et al., 2012). Various mechanisms could explain how
74 foraminifera survive in these adverse conditions, which many macro- and mega-faunal
75 animals are unable to tolerate. These include anaerobic metabolic pathways, bacterial
76 symbionts, sequestration of chloroplasts, or proliferation of peroxisomes and mitochondria
77 (Koho and Piña-Ochoa, 2012 and reference therein). In recent studies, Risgaard-Petersen et al.
78 (2006) and Pina-Ochoa et al. (2009) demonstrated the ability of some foraminiferal species to
79 accumulate intracellular nitrate for use as an electron acceptor for respiration instead of
80 oxygen.

81 In the Arabian Sea, 'live' (Rose-Bengal stained) benthic foraminifera have been mainly
82 studied on the Oman margin (e.g., Stubbings, 1939; Hermelin and Shimmield, 1990; Naidu
83 and Malmgren, 1995; Gooday et al., 2000) and the Pakistan margin (Jannink et al., 1998;
84 Maas, 2000; Erbacher and Nelskamp, 2006; Schumacher et al., 2007; Larkin and Gooday,
85 2009; Larkin et al., 2014; Enge et al., 2014). In addition, a few studies have focused on other
86 areas, such as the Murray Ridge (Pozzato et al., 2013; Caille et al., 2014) and the central,
87 eastern and western parts of the Arabian Sea (Kurbjeweit et al., 2000; Heinz and Hemleben,
88 2003, 2006). Most of these studies agree that oxygen concentration and organic matter quality
89 and quantity have a strong influence on the abundance and composition of foraminiferal
90 faunas. However, due to the inverse relationship between these two parameters, their relative
91 importance remains difficult to resolve.

92 Here, we provide the first account of the distribution and abundance of foraminifera across the
93 poorly studied Indian margin in the eastern Arabian Sea (Fig. 1A). The Indian margin
94 displays low sea-surface primary production through the entire monsoonal cycle compared to
95 other Arabian Sea regions (Fig. 1B), suggesting that lower organic resources are available for
96 the benthic foraminiferal faunas. In this context, the present paper focuses on how
97 foraminiferal faunas on the Indian margin respond to the combination of organic-matter
98 fluxes that are likely to be moderate (as indicated by satellite images of chlorophyll-a) and
99 extremely low oxygen concentration.

Commentaire [m5]: Comment 3-

100

101 2. Materials and Methods

102 2.1. Study area

103 2.1.1. The Oxygen Minimum Zone

104 The Arabian Sea hosts one of the world's most intense OMZs (Helly and Levin, 2004) with
105 almost anoxic conditions in its core ($< 2 \mu\text{M}$; Paulmier and Ruiz-Pino, 2009). This is the
106 result of the semi-enclosed nature of the northern Arabian Sea, the relatively oxygen-poor
107 intermediate water masses originating from the Persian Gulf, and the effects of the twice-
108 yearly strong intensification of the monsoon system. During the SW or summer monsoon
109 (June – September), intense upwelling develops off Somalia and Oman, and off the
110 southwestern coast of India, due to the anticyclonic surface water circulation. Upwelling leads
111 to an increase of biological production in the photic zone (Fig. 1B; e.g. Ryther and Menzel,
112 1965; Haake et al., 1993; Rixen et al., 1996), which triggers a strong export of organic carbon
113 to intermediate water-depths, where it is intensely recycled and remineralized. A second
114 maximum in primary production occurs during the NE or winter monsoon (December –
115 March) caused by the convective mixing of the upper water column (Fig. 1B; e.g. Banse and
116 McClain, 1986; Madhupratap et al., 1996; Caron and Dennett, 1999; Rixen et al., 2000). The
117 highly eutrophic surface waters during monsoon periods contrast with the much less fertile
118 conditions during the intermonsoon periods, especially in spring (Fig. 1B). Due to monsoons
119 and their associated change in sea-surface circulation (cyclonic during the NE monsoon and
120 anticyclonic during the SW monsoon), concentration of sea-surface primary production is
121 different between regions and seasons (Fig. 1B). Sea-surface biological production is

122 generally believed to control the organic flux to the sea floor, and thereby the trophic
123 resources for the benthic faunas.

124

125 2.1.2. Oxygen and organic matter characteristics

126 Samples were collected on the western Indian margin during RV *Yokosuka* cruise YK08-11
127 (September to November 2008, post-monsoon period) using the manned submersible *Shinkai*
128 6500 (Fig. 1). The sampling sites (five in total) were located in the core of the OMZ at 535 m
129 and 649 m, the lower boundary of the core at 800 m, the lower transition at 1100 and below
130 the OMZ at 2000 m depth. Site characteristics were presented by Hunter et al. (2011, 2012),
131 Levin et al. (2013) and Cowie et al. (2014). The YK08-11 cruise was designed to study
132 depositional processes, biogeochemical cycles and biological communities within the intense
133 OMZ, which extends from 150 to 1300 m water depth on the Indian margin. Below is a brief
134 summary of the main environmental characteristics of the OMZ relevant to our study.

Commentaire [m6]: Comment 1-6

135 1) In the core of the OMZ, at 535 and 649 m water depths, bottom-water dissolved oxygen
136 concentrations (BWO) are very low, 0.35 and 0.23 μM , respectively. These two sites are
137 enriched in organic matter (wt % Corg \sim 3.2 and \sim 5.8 %, respectively) (Cowie et al., 2014).
138 The high concentrations of Total Hydrolysable Amino Acid (THAA) (\sim 48.8 and 79.9 $\mu\text{mol/g}$,
139 respectively) reflect the presence of high quality, labile organic matter (Cowie et al., 2014).

140 2) At the lower boundary of the OMZ core, at about 800 m depth (BWO \sim 2.2 μM), the
141 sediment is still characterised by high organic matter content and quality (wt % Corg \sim 5.6 %
142 and THAA \sim 69.8 $\mu\text{mol/g}$; Cowie et al., 2014).

Commentaire [m7]: Comment 3-9

143 3) In the lower transitional zone, around 1100 m depth, BWO is still low (\sim 15 μM) but the
144 organic matter quantity and quality start to decrease (wt % Corg \sim 4.4 % and THAA \sim 62.9
145 $\mu\text{mol/g}$; Cowie et al., 2014).

146 4) Beneath the OMZ, at 2000 m, well-oxygenated waters (BWO \sim 136 μM) and poorer trophic
147 conditions occur (wt % Corg \sim 1 % and THAA \sim 17 $\mu\text{mol/g}$; Cowie et al., 2014)

148

149 2.2. Foraminiferal analysis

150 Sediment samples were taken using push-cores (8.3-cm internal diameter, surface area 54.1
151 cm²) deployed from the *Shinkai 6500* submersible. On board the *Yokosuka*, each core was
152 sliced and each sediment slice was preserved in 8% borax-buffered formalin. The study of the
153 benthic foraminiferal communities is based on an examination of the first two half-centimeter
154 levels (0-1 cm).

155 In the laboratory, the fixed sediment from the 0-0.5- and 0.5-1.0-cm layers was washed
156 separately through 300- and 150- μ m screens and stained overnight on the sieve in Rose
157 Bengal solution. The <150 μ m size fractions were kept and stored in borax-buffered formalin
158 for possible future analyses. The 150-300 and > 300 μ m fractions of the two sediment layers
159 were sorted wet (in water) under a binocular microscope for all Rose-Bengal-stained
160 foraminifera.

161 The Rose Bengal technique is considered to be an inexpensive and easy method to recognize
162 foraminifera that were alive (or recently alive) when collected (Walton, 1952; Murray and
163 Bowser, 2000). However, especially in low-oxygen settings, the cell material may persist long
164 after death, resulting in false positives (Corliss and Emerson, 1990; Bernhard, 2000). In order
165 to minimize over-estimation in the live foraminiferal counts, strict staining criteria were
166 always applied. Specimens were considered 'alive' only when all chambers, except for the last
167 one or two, were well stained. Furthermore, doubtful specimens were compared with
168 perfectly stained specimens of the same species and non-transparent agglutinated and miliolid
169 taxa were broken to inspect their contents. Soft-shelled monothalamous taxa, which are
170 largely undescribed, were included in the data analyses. All foraminifera were identified to
171 the lowest possible taxonomic level and assigned to informal species categories for diversity
172 analyses. Species identifications followed previous studies from the Arabian Sea (e.g. Maas,
173 2000; Schumacher et al., 2007; Larkin and Gooday, 2009; Caille et al., 2014; Taylor and
174 Gooday, 2014). A special effort was made to discriminate hormosinacean species (the
175 superfamily Hormosinacea includes genera with uniserial chambers such as *Reophax*,
176 *Hormosina*, *Hormosinella*, and *Nodosinella*), extending the recent taxonomic study of Taylor
177 and Gooday (2013), which was based on different material from the same sites as the present
178 study. Deep-sea hormosinacean species are often difficult to identify; many are undescribed
179 and some widely-reported 'species' represent complexes of morphologically similar species
180 (i.e. morphotypes). Hence it was necessary to assign many species within the genera
181 *Hormosina*, *Reophax* and the monothalamous genus *Lagenammina* to informal categories. In
182 some cases, these are the same as those illustrated by Taylor and Gooday (2013) but others

183 have not been recognised previously. Since foraminifera are extremely abundant in finer
184 sediment fractions, we confined our study to the >150- μm fraction of the 0-1 cm layer.

Commentaire [CC8]: Comment 3-3

185 For all stations, diversity indices, including species richness (S; count of number of taxa in a
186 sample), Shannon index ($H' \log_e$), and Evenness (J) were calculated using the statistical
187 software "PAST" (PAleontological STatistics; Version 2.14; Hammer et al., 2009). We use
188 the term 'entire live' to refer to all stained foraminifera, i.e. agglutinated and calcareous taxa
189 combined.

190

191 3. Results

192 3.1. Foraminiferal densities

193 The total densities of live (Rose-Bengal stained) foraminifera in the upper 1-cm layer (>150-
194 μm fraction) decreased from ~3000 ind./50 cm^2 at 535 m in the OMZ core to ~300 ind./50
195 cm^2 at 2000 m below the OMZ (Fig. 2). Foraminifera were concentrated in the 150-300 μm
196 fraction, which at most stations accounted for about three-quarters of the total density. Faunas
197 were dominated by multichambered agglutinated species, which represented between 48%
198 (2000 m) and 75% (649 m) of the assemblages, whereas calcareous species did not exceed
199 32% (535 m) of the total fauna (Fig. 2 and Table 1). The relative abundance of
200 monothalamids was lowest (2%) at 649 m and highest (30%) at 2000 m. The absolute
201 densities of multichambered agglutinated and calcareous species decreased with increasing
202 water-depth (Fig. 2). At 535 m, in the core of the OMZ, their densities were ~1870
203 (agglutinated) and ~990 ind./50 cm^2 (calcareous), respectively, compared to ~150 ind./50 cm^2
204 and ~55 ind./50 cm^2 , at the deepest site (2000 m). Monothalamids did not show a clear trends
205 along the transect. The highest densities were found in the core (535 m; ~150 ind. /50 cm^2) and
206 in the lower part (1100 m; ~115 ind. /50 cm^2) of the OMZ. The lowest densities (~25 ind.
207 /50 cm^2) were found at the 649 m site.

208

209 3.2. Species richness, diversity and dominance of living foraminiferal faunas

210 A total of 214 morphospecies was identified (0-1 cm layer, 150- μm size fraction), of which
211 131 were agglutinated (77 referred to the superfamily Hormosinacea), 79 were hyaline and

212 only 4 were miliolids. Monothalamous species, the majority of which were undescribed, were
213 included in the diversity analysis.

214 Species richness (S) of the entire live faunas varied along the transect (Fig. 3). In the core of
215 the OMZ, a total of 72 species was recognised at 535 m compared to 62 species at 649 m.
216 Species richness increased at sites below the OMZ core to a maximum of 84 species at 1100
217 m (Fig. 3). In contrast to the number of multichambered agglutinated species, which more or
218 less mirrored the total number of 'live' species, calcareous species richness was more constant
219 between sites, ranging from a maximum of 32 species at 535 m to a minimum of 25 at 649
220 and 2000 m (Fig. 3). The Shannon (H') and Fisher Alpha indices showed a similar trend
221 toward higher values at greater depth and more elevated oxygen concentration, but in contrast
222 to species richness, these indices were higher for the entire live fauna at 800 m than at 1100
223 m. The 1100 m site exhibited a lower H' value (~3) than the 800 and 2000 m sites (Fig. 3). In
224 the case of the calcareous component, the trend in the Fisher index was broadly similar to that
225 of the entire live assemblage with a distinct decrease from maximal values at 800 m to lower
226 values at 1100 and 2000 m. This pattern was not seen in the Shannon index, however (Fig. 3).
227 For agglutinated taxa Shannon and Fisher indices were very similar between 800 and 1100 m
228 (Fig. 3).

229 Evenness fluctuated along the transect (Fig. 3). There was an increase from low values at 535
230 m to a peak at 800 m in the total and multichambered agglutinated components, followed by a
231 sharp decline to 1100 m with higher values again at 2000 m. For calcareous species, evenness
232 followed a similar trend down to 800 m but then increased further at 1100 m followed by
233 somewhat lower values at 2000 m. The evenness of the calcareous and agglutinated species
234 was consistently higher than that of the entire live fauna. When considering calcareous and
235 agglutinated species separately, diversity measures may be substantially different from those
236 of the entire live fauna.

237

238 **3.3. Foraminiferal assemblage composition**

239 In general, the live faunas were mainly represented by perforate calcareous and agglutinated
240 foraminifera. Soft-shelled monothalamous taxa were not considered in this analysis in order
241 to allow a better comparison with previous studies.

242 Most of the abundant species were agglutinated, in many cases assigned to the genera
243 *Reophax* and *Lagenammina* (Fig. 4A, Table 1). The agglutinated genus *Ammodiscus*, which
244 belongs to a lineage that includes spirillinids and miliolids (Pawłowski et al., 2013), was
245 common at the 535 and 649 m sites in the OMZ core. Relatively few of the species
246 representing >2% of the assemblages were calcareous (Fig. 4B, Table 1). Miliolids were very
247 rare.

248 The faunal composition displayed important changes along the transect (Fig. 4). In general,
249 different assemblages were observed at different sites, particularly in the case of the
250 calcareous taxa. In the core of the OMZ (535 m and 649 m), where the oxygen concentration
251 was very low, the agglutinated fauna was dominated by *Reophax* sp. 7, *Reophax* sp. 10, *R.*
252 *bilocularis*, *Lagenammina* sp. 2, *Eggerella* sp. 1, *Eggerella* sp.2, *Cribrostomoides wiesneri*
253 and *Ammodiscus* sp.. The most common calcareous species in the OMZ core were *Bolivina*
254 *aff. dilatata*, *Cassidulina* sp. 1, *Praeglobobulimina* sp. 1, *Hoeglundina cf. elegans*, *Uvigerina*
255 *peregrina* type *parva* at 535 m, with *Cassidulina* sp. 1 and *Ehrenbergina trigona* at 649 m
256 (Fig. 4B). The 800 m site had a very different fauna, mostly composed of *Lagenammina* spp.
257 1, 5 and 13, *Reophax dentaliniformis*, *R. agglutinatus*, *Cribrostomoides* sp. and *Chilostomella*
258 *oolina*, (Fig. 4). At 1100 m, in the lower transition zone of the OMZ, two *Reophax* species, *R.*
259 *spiculifera* and *R. horridus*, were predominant; other agglutinated species included *Reophax*
260 spp. 3, 13 and 27, *Ammoscalaria tenuimargo* and *Semivulvulina* sp. 1. At this site, all
261 calcareous species had relative abundances of less than 2%. Finally, at the deepest site (2000
262 m), foraminiferal assemblages consisted mainly of *Reophax aff. scorpiurus*, *Reophax* spp. 5,
263 29 and 31, *Lagenammina* spp. 22 and 23, *Recurvoides contortus*, *Bulimina aculeata* and
264 *Hoeglundina cf. elegans* (Fig. 4).

265 The cumulative percentage of all species representing <2% of the 'live' assemblages (“others”
266 in Figs. 4A and 4B) was large, especially for the calcareous component, at sites below the
267 core of the OMZ (800 to 2000 m). Considering all sites across the transect together, only 8
268 calcareous species, out of a total of 83, were sufficiently abundant to represent >2% of the
269 assemblage at least at one station (Fig. 4B).

270

271 **4. Discussion**

272 **4.1. Limitations of the study**

273 Our analyses were confined to the uppermost (0-1 cm) sediment layer, which would normally
274 result in an under-representation of deeper-dwelling species. Foraminiferal microhabitats are
275 largely controlled by organic matter input and oxygen penetration into the sediment (Corliss
276 and Emerson, 1990; Jorissen et al., 1995). As a result, although foraminifera often occur in
277 sediment layers down to a depth of 5 cm or more where oxygen is plentiful, they are generally
278 concentrated near the sediment surface in hypoxic, organically-enriched settings. Previous
279 studies of the Arabian Sea OMZ core found most of the stained fauna in the first cm of the
280 sediment (e.g. Jannink et al., 1998; Maas, 2000; Schumacher et al., 2007; Larkin and Gooday,
281 2009). In many hypoxic settings, compression of redox profiles leads to the absence of a well-
282 defined vertical sequence of microhabitats and the presence of deep-infaunal taxa close to the
283 sediment surface. Intermediate and deep infaunal taxa were scarce on the Indian margin, and
284 have rarely been reported within the OMZ in previous Arabian Sea studies (Jannink et al.,
285 1998; Kurbjeweit et al., 2000; Mass, 2000, Schumacher et al., 2007; Larkin et al., 2009;
286 Caille et al., 2014). In our material, *Chilostomella oolina* was the only species of this type
287 that represented >2% of the 'live' fauna, being found mainly at 800 m (Fig. 4B). Similar
288 observations were made by Schumacher et al. (2007) on the Pakistan margin, where *C. oolina*
289 was found exclusively in the 0-0.5 cm layer across the OMZ (306-738 m depth). Species of
290 the deep infaunal genus *Globobulimina* are also abundant in the first sediment cm on the
291 Pakistan margin (at 576 m; Erbacher and Nelskamp, 2006). These species occur at several cm
292 depth in the sediment in better oxygenated environments (Corliss and Emerson, 1990;
293 Kitazato, 1994; Rathburn et al., 2000). Since our study was limited to the topmost cm of the
294 sediment, it is possible that intermediate- and deep-infaunal species were missed at
295 transitional sites and below the OMZ (1100 and 2000 m). However, we think that it is
296 unlikely that analysis of deeper sediment layers would have led to substantial changes of
297 foraminiferal diversity, although density values may have slightly increased, especially at the
298 deeper sites (1100 and 2000 m). This view is supported by data in Caille et al. (2014) from
299 sites located along an oxygen gradient on the Murray Ridge (885 to 3010 m depth), where
300 foraminiferal diversity was very similar in the 0-1 and 0-10 cm sediment layers (>150 µm
301 fraction).

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Commentaire [m10]: Comment 1-13

302 Confining our analyses to the >150 µm size fraction introduces a second source of bias. In
303 low-oxygen settings, small-sized (63-150 µm) foraminifera are particularly abundant (e.g.
304 Jannink et al., 1998; Gooday et al., 2000; Schumacher et al., 2007), possibly because small
305 species have a higher tolerance to low-oxygen conditions (Caille et al., 2014). However, the

306 very considerable time and effort involved in sorting the dense populations present in size
307 fractions <150 µm precluded their analysis in the present study. Even so, the >150 µm size
308 fraction alone yielded abundant and diverse faunas with stained assemblages containing
309 between 279 and 3177 individuals, belonging to 214 species. Moreover, Schumacher et al.
310 (2007) and Caille et al. (2014) demonstrated that adding the small-sized fraction (63-150 µm)
311 did not lead to a major change in bathymetric trends in foraminiferal diversity and faunal
312 composition. Although analysing the finer size fractions may add some small-sized species,
313 leading to slight changes in absolute and relative abundances, most of the species found in
314 the small size fraction occurred also in the coarser fraction. Finally, a major advantage of
315 working on the >150 µm size fraction is that it allows direct comparison with
316 paleoceanographic studies, which are mainly based on the >125 or >150 µm fractions.

Commentaire [CC11]: Comment 1-13

Commentaire [m12]: Comment 3-3

317

318 4.2. Dense high diversity assemblages in extreme hypoxic conditions

319 On the basis of macrofaunal data from the Arabian Sea, Levin and Gage (1998) concluded
320 that abundance related to the organic carbon flux to the sea floor, whereas species richness
321 is mainly related to BWO concentrations. In a general sense and at a community scale, our
322 Indian margin density and diversity patterns confirm these conclusions; absolute densities
323 were higher in the core of the OMZ than at deeper sites (Fig. 2) whereas diversity indices
324 described the opposite trend (Fig. 3). Community densities have largely been discussed in
325 previous studies carried in the Arabian Sea OMZ (e.g. Jannink et al., 1998; Gooday et al.,
326 2000; Schumacher et al., 2007; Larkin et al., 2009; Caille et al., 2014). On the Indian margin,
327 trends in foraminiferal density across the OMZ are very similar to those reported in previous
328 studies in the Arabian Sea and are explained by the large quantities of labile organic matter
329 present in the OMZ sediments (Fig. 2). At the time of sampling (September – October 2008),
330 the Indian margin OMZ was extremely hypoxic compared to previous observations in the
331 Arabian Sea (e.g. Maas, 2000; Gooday et al., 2000; Schumacher et al., 2007; Larkin and
332 Gooday, 2009; Vandewiele et al., 2009; Koho et al., 2013; Caille et al., 2014). Oxygen
333 concentrations in the core of the OMZ were ~0.3 µM compared to ~2 µM on the Murray
334 Ridge (Pozzato et al., 2013; Koho et al., 2013; Caille et al., 2014) and ~4 µM on the Pakistan
335 margin (Schumacher et al., 2007; Larkin and Gooday, 2009). However, the performance of
336 oxygen sensors is continuously improving, and detection limits are decreasing. As a result,

Commentaire [m13]: Comment 1-1-
We added community-scale

Commentaire [m14]: Comment 1-1-
We added community-scale patterns of
diversity

Commentaire [m15]: Comment 1-5:

337 discrepancies between studies may in part be due to instrumental bias, particularly when there
338 is a substantial time lag between the different sampling periods.

Commentaire [m16]:

339 In spite of the very low oxygen concentrations, species richness (i.e. the number of species) in
340 our samples was surprisingly high, particularly at the most severely hypoxic site (535 m; Fig.
341 3), compared to previous data from the Arabian Sea (e.g. Jannink et al., 1998; Maas, 2000;
342 Schumacher et al., 2007; Larkin and Gooday, 2009; Caille et al., 2014). This difference may
343 be the result of our unusually careful taxonomical analysis of the samples, which took into
344 account monothalamids and the diverse hormosinaceans. These species are often difficult to
345 identify and many are undescribed (Taylor and Gooday, 2014). A total of 77 hormosinacean
346 species was recognized, based on the number of chambers, chamber shape and size, wall
347 construction, and the shape of the apertural neck. Similar careful taxonomy was applied to a
348 sample from the core of the Oman margin OMZ (412 m depth), where BWO levels were
349 more than one order of magnitude higher than off India, although still very low (~5.5 μM
350 versus ~0.35 μM) (Gooday et al., 2000). Foraminiferal species diversity and richness there
351 were comparable to values reported in the present study ($H'(\log_e) \sim 2.8$, $S=64$), although the
352 hormosinaceans were less diverse (Gooday et al., 2000).

353 Compared to the monothalamids and hormosinaceans, the taxonomy of calcareous
354 foraminifera in the Arabian Sea is better known and their comparison with earlier studies is
355 more straightforward. To facilitate comparisons with the data of Schumacher et al. (2007) and
356 Caille et al. (2014) diversity metrics were recalculated for calcareous species only (Fig. 6).
357 These reveal that faunal diversity within (535 to 1100 m depth) and below (2000 m) the
358 Indian margin OMZ is still higher than in other parts of the Arabian Sea. The high diversity in
359 the core of the OMZ (Fig. 6), where oxygen concentrations were almost zero (~0.3 μM ; at
360 535 m) at the time of sampling, is particularly striking (Fig. 5). The presence at the 535-m site
361 of a species that we assign to *Hoeglundina* cf. *elegans* is also very surprising (Fig. 4 B).
362 Based on a fossil record from the Murray Ridge (Northern Arabian Sea) spanning the last
363 120,000 years, den Dulk et al. (1998) concluded that *H. elegans* can tolerate mild hypoxia but
364 not the severely hypoxic conditions found in the core of the OMZ. The occurrence of a
365 morphologically similar species in the OMZ core on the Indian margin could be explained by
366 the hydrodynamic setting of this region. At 535 m, sharp-crested ripples (crests up to 10 – 12
367 cm high) were observed (Hunter et al., 2011; observations of U. Witte reported in Taylor and
368 Gooday, 2014), indicating rapid water movements. A current speed of 15 cm/s was recorded
369 here during the *Yokosuka* cruise (observations of H. Nomaki, reported in Taylor and Gooday,

370 2014). Intermittent strong currents could lead to short periodic increases of BWO allowing
371 low-oxygen-sensitive species such as *H. cf. elegans* to colonise the area, where they can
372 apparently persist during the severely hypoxic periods. This hypothesis is supported by the
373 occurrence at 535 m of numerous dead thyasirid bivalves and gastropods (between 150-300
374 μm in size), which usually do not inhabit such severely oxygen depleted environments (Levin,
375 2003b). Thyasirids have been reported in the lower part of the OMZ on the Pakistan margin,
376 where BWO varies between ~ 4.5 and $9 \mu\text{M}$ (Oliver and Levin, 2006; Levin et al., 2009).
377 *Hoeglundina elegans* is a commonly reported deep-sea species that is distributed across a
378 wide bathymetric and geographical range in all oceans (Murray, 1991). Most records are from
379 bathyal (<3000 m) or even sublittoral (e.g., 140 m; Fontanier et al., 2002) depths, but
380 morphologically identical specimens also occur at > 4000 m in the eastern equatorial Pacific
381 (Gooday, unpublished data). The species found in the OMZ core on the Indian margin appears
382 identical to typical examples of *H. elegans* in terms of test morphology. Nevertheless, we
383 refer to it as *H. cf. elegans* because its occurrence in a severely hypoxic setting is
384 unexpected, and for consistency with Enge et al. (2014). Whether it is genetically coherent
385 with *H. elegans* from oxic environments in other oceans remains to be determined.

386 Another factor that could explain the high diversity of stained foraminifera in the OMZ core
387 off India is the post-mortem preservation of the cell material. In this severely hypoxic
388 environment the cytoplasm could persist for months or longer (Corliss and Emerson, 1990;
389 Bernhard, 2000). Although, as explained above, strict staining criteria were applied, it might
390 still be difficult to confidently discriminate between individuals that had been dead for some
391 time and those that were alive when collected. More reliable assays, such as the Cell Tracker
392 Green (CTG) technique (e.g. Bernhard, 2000; Bernhard et al., 2006), could give a better
393 estimate of the “living” fauna. Alternatively, the temporal persistence of species that are not
394 known for their tolerance of low-oxygen conditions could be explained by a shift to anaerobic
395 types of metabolism. Many foraminiferal species can accumulate intracellular nitrates in low-
396 oxygen settings (Piña-Ochoa et al., 2010), and some are able to respire these nitrates
397 (Risgaard-Petersen et al., 20xx). Unfortunately, no measurement of nitrate concentrations and
398 denitrification rates are available for the dominant species of the Indian Margin OMZ.
399 Another possibility is that these foraminifera can lower their metabolism (i.e., become
400 dormant) during the most adverse periods. Geslin et al. (2011) showed that most benthic
401 foraminiferal species have low oxygen respiration rates per unit of cytoplasmic volume,

Commentaire [m17]: Comment 3-11

402 estimated by assuming that the internal test volume corresponds to 75% of the total test
403 volume (Hannah et al., 1994) and is entirely filled with cytoplasm.

Commentaire [CC18]: Comment 1-9

404

405 **4.3. The tolerance of agglutinated foraminifera to low-oxygen conditions**

406 Agglutinant foraminiferal species are often considered to be less tolerant of low-oxygen
407 conditions than calcareous foraminifera (Moodley et al., 1997; Gooday et al., 2000, 2001,
408 2009; Neira et al., 2001). However, this is not the case everywhere. In the Black Sea, soft-
409 shelled monothalamids were more abundant than calcareous foraminifera in samples taken
410 across the transition from hypoxic to sulphidic conditions (depth range 120-240 m) (Sergeeva
411 et al., 2012). On the Indian margin, agglutinated species are the dominant component (up to
412 76% at 649 m) of foraminiferal assemblages. They include soft-shelled monothalamids, but
413 the majority belong to genera such as *Reophax* and *Hormosinella* together with species of the
414 single-chambered genus *Lagenammina* (Fig. 4B and Table 1). On the Indian margin, where
415 oxygen concentrations during the *Yukosuka* cruise were extremely low in the OMZ core, these
416 multi-chambered uniserial agglutinants are more abundant than calcareous foraminifera.

Commentaire [m19]: Comment 1-1-

417 Previous studies have observed differences in foraminiferal composition related to sediment
418 characteristics (e.g. Kaminski, 1985). The hydrodynamic context of the Indian margin, and
419 particularly of sites in the OMZ core (535 to 800 m), influences sediment grain size. At the
420 535 m site, the sand fraction represents 44% of the sediment compared to 9.6% at 800 m
421 (Levin et al., 2013). Therefore, it is possible that the dominance of hormosinacean species at
422 the OMZ sites is partly a consequence of the relatively coarse sediment. However,
423 hormosinaceans, together with *Lagenammina*, are common in many fine-grained, deep-sea
424 settings (e.g. Gooday et al., 2010b). In the Arabian Sea, similar proportions of *Reophax* and
425 *Lagenammina* species have been reported in and below the OMZ on the Murray Ridge
426 (Caulle et al., 2014) and the Oman margin (Gooday et al., 2000), and in the core of OMZ on
427 the Pakistan margin (Larkin and Gooday, 2009) (Fig. 6). The general abundance of
428 hormosinacean species associated with the Arabian Sea OMZ suggests that it cannot be
429 attributed to sediment grain size alone. In fact, these observations reinforce our conclusion
430 that some hormosinaceans can tolerate strong oxygen depletion (Caulle et al., 2014).

431 Nevertheless, a tolerance to hypoxia does not fully explain why hormosinacean and
432 *Lagenammina* species are so common in our samples. One possible explanation is that it is
433 related to the quality of the available organic matter. Many agglutinated foraminifera seem to

434 be less dependent on fresh food inputs than calcareous taxa, feeding instead on more
435 refractory material (e.g. Gooday, 2003; Gooday et al., 2008; Koho, 2008; Koho et al., 2008;
436 Phipps et al., 2012; Caille et al., 2014). Another possible factor is that enhanced organic
437 matter recycling and associated CO₂ release into the pore waters depresses pH within OMZs
438 (Gooday et al., 2010a), making the secretion of a carbonate test more energetically
439 demanding. Seawater pH is reduced to below 7.1 on the Oman margin between 391 and 1265
440 m (Milliman et al., 1999). Taylor and Gooday (2014) observed that some globigerinacean
441 shells incorporated in the tests of stained *Reophax* and *Lagenammina* specimens at our 535
442 and 800 m sites were partly corroded, and traces of dissolution were evident in our material as
443 well. It is clear, however, that different hormosinacean and *Lagenammina* species display
444 different degrees of tolerance to hypoxia on the Indian margin. For instance, *Reophax* spp. 7
445 and 10 were mainly found in the core (535 - 800 m depth) (Fig. 4A and Table 1), and appear
446 to be particularly well adapted to oxygen-poor conditions. It is possible that they possess
447 facultative anaerobe metabolism. It has been demonstrated that some foraminiferal species
448 may use nitrate as a second source of electron acceptor for respiration (denitrification)
449 (Risgaard-Petersen et al., 2006; Piña-Ochoa et al., 2010). In fact, Piña-Ochoa et al. (2010)
450 showed that some agglutinated foraminifera collect nitrate, although only four *Reophax*
451 specimens (3 *Reophax micaceus* from the Bay of Biscay and 1 *Reophax* sp. from the Perù
452 OMZ) were measured and no nitrate pool were detected. It can be suggested that
453 hormosinacean species from the Arabian Sea OMZ are able to denitrify and thrive under
454 this severe environment. However, further investigations are needed before any firm
455 conclusions can be made on this topic.

Commentaire [CC20]: Comment 3-5

456 Unlike *Reophax* spp. 7 and 10, *Reophax* aff. *scorpiurus*, *Reophax* spp. 31 and 5 were
457 confined to the deepest site (2000 m) (Fig. 4A and Table 1). Indeed, these species are
458 abundant and diverse in many deep-sea environments, including fully oxic abyssal plains
459 (e.g., Gooday et al., 2010b).

460

461 **4.4. Distribution of species across the OMZ**

462 There is a succession of both calcareous and agglutinated foraminiferal species along the
463 OMZ transect (Fig. 4 and Table 1). Sites in the core (535 and 649 m) have rather similar
464 assemblages and are dominated (> 2%) by *Reophax* spp. 7 and 10 and *Ammodiscus* sp. 1
465 (agglutinated), and *Cassidulina* sp. 1, *Bolivina* aff. *dilatata*, *Praeglobobulimina* sp. 1,

466 *Hoeglundina* cf. *elegans* and *Ehrenbergina trigona* (calcareous) (Fig. 4 and Table 1). Most of
467 these species are restricted to these two sites where oxygen concentrations were extremely
468 low at the time of sampling (BWO ~0.2 and 0.3 μM). The calcareous species, except for *H.*
469 cf. *elegans* (see above), are typical of organically-enriched environments with low oxygen
470 concentrations (Bernhard and Gupta, 2003). Many of them (*Hoeglundina* cf. *elegans* as well
471 as *Uvigerina peregrina*, *Cassidulina* sp. 1 and *Praeglobobulimina* sp. 1) demonstrated a large
472 and rapid (~ 4 days) carbon uptake in tracer experiments on the Indian margin using labelled
473 phytodetritus (Enge et al., 2014). *Uvigerina* ex gr. *semiornata* exhibited a similar response in
474 experiments conducted on the Pakistan margin in 2003 (Larkin et al., 2014). The ability of
475 these species to feed rapidly on organic matter under extremely low oxygen concentrations
476 may lead to the development of large population densities.

477 The lower boundaries of OMZs are often characterised by enhanced biogeochemical activity
478 (Paulmier and Ruiz-Pino, 2009) and elevated faunal abundance in both the benthic and
479 pelagic communities (e.g. Sanders and Hessler, 1969; Mullins et al., 1985; Ward et al., 1989;
480 Levin et al., 1991; Wishner et al., 1995; Levin, 2003) On the Pakistan margin an edge effect
481 of this kind was evident among foraminiferal populations at around 750 m depth (Gooday et
482 al., 2009) and might therefore be expected at our 800 m site (BWO ~2.2 μM). Hence it is
483 rather surprisingly that faunal density are minimal here (~320 ind/50cm²; Fig. 2). If
484 foraminifera do exhibit an edge effect on the Indian margin it must be at some depth between
485 800 m and the next deepest site at 1100 m.

486 The assemblage at 800 m comprises a mixture of calcareous species typical of the OMZ core
487 (e.g. *Bolivina* aff. *dilatata*, *Cassidulina* sp. 1) and those that are more widely distributed (e.g.
488 *Cancris auriculus*, *Chilostomella oolina*), together with *Reophax* spp. 7 and 10 and
489 *Lagenamma* sp. 1 (Fig. 4 and Table 1). Apparently, the oxygen concentration here is still
490 low enough to allow species adapted to the core of the OMZ to remain competitive, but also
491 high enough to allow taxa such as *Cancris auriculus* and *Chilostomella oolina* to colonise the
492 site, resulting in maximum diversity. *Cancris auriculus* is also found in the OMZ (BWO ~ 5
493 μM) on the Pakistan margin (Larkin and Gooday, 2009) A different foraminiferal assemblage
494 is observed in the lower part of the OMZ (1100 m; BWO ~15 μM), where *Reophax horridus*,
495 *Reophax spiculifera*, *Reophax* sp. 10, *Chilostomella oolina*, *Hoeglundina* cf. *elegans*,
496 *Globocassidulina subglobosa* and *Bulimina aculeata* (Fig. 4 and Table 1) are all abundant.
497 Most of these species are widely distributed in the bathyal deep sea and, except for *H.* cf.
498 *elegans*, they are not found in the OMZ core. An inability to tolerate very low oxygen

Commentaire [m21]: Comment 3-12


499 concentrations, perhaps combined with strong competition from better adapted species,
500 probably precludes their penetration into this harsh environment. It thus appears that there is a
501 critical oxygen threshold between ~2 μM and ~15 μM (i.e. 800 and 1100 m depth) for benthic
502 foraminifera on our Indian margin transect. Due to the sample site spacing, it is difficult to
503 specify a more precise value.

504 Changes in the composition of foraminiferal assemblages are also observed in other areas of
505 the Arabian Sea. On the Pakistan margin, Schumacher et al. (2007) found transitional
506 assemblages, mainly composed of *Bolivina* aff. *dilatata*, *Praeglobobulimina* sp. 1, *Uvigerina*
507 *peregrina* and *Chilostomella oolina*, between ~600 and ~800 m (BWO 4.7 - 5.8 μM), a depth
508 range spanning the lower part of the OMZ core and the upper part of the 'lower transition
509 zone' (Gooday et al., 2009). On the Murray Ridge, this change occurs at 1172 – 1306 m
510 (BWO ~5.0 - 13.8 μM) where the widely distributed species (e.g., *Globocassidulina*
511 *subglobosa*, *Ehrenbergina trigona*, *Fursenkoina* spp.) occur together with species typical of
512 the OMZ core that may have more restricted distributions (e.g. *Ammodiscus* sp. 1) (Caulle et
513 al., 2014). These studies are consistent with the existence of a transitional assemblage where
514 the BWO starts to increase, either at the base of the OMZ core, or around the lower boundary
515 of the OMZ.

516

517 **4.5. BWO, deposit and flux of organic matter, benthic foraminiferal faunas: comparison** 518 **of study sites**

519 Compared to previous studies in the Arabian Sea (e.g. Maas, 2000; Gooday et al., 2000;
520 Schumacher et al., 2007; Vandewiele et al., 2009; Larkin and Gooday, 2009; Koho et al.,
521 2013; Caulle et al., 2014), the OMZ of the Indian margin appears to be more severely
522 depleted in oxygen, in view of possible bias due to instrumental development. In fact,
523 conditions here were virtually anoxic, at least during the sampling period. On the other hand,
524 the similar values for surface sediment organic matter quantity and quality (THAA content)
525 between study areas suggests an absence of major regional differences in these parameters
526 (Fig. 5; Vandewiele et al., 2009; Koho et al., 2013; Cowie et al., 2014). This is surprising in
527 view of the concentrations of sea-surface chlorophyll a, mirroring primary production, which
528 are much lower on the Indian margin compared to the rest of the Arabian Sea (Fig. 1B). **The**
529 **comparably high Corg values of the superficial sediments here (in contrast to the low surface**
530 **water PP) could be partly explained by the lower oxygen concentrations on the Indian Margin**

531 (Fig. 5) coupled to local hydrodynamics (seasonal development of a belt of intense oxygen
532 depletion linked to northward surface currents) leading to a better preservation of organic
533 matter (Cowie et al., 2014). This would imply that the availability of labile organic carbon on
534 the Indian margin is only partly determined by the sea-surface primary productivity. Instead,
535 severe mid-water hypoxia leading to limited organic matter degradation during transit through
536 the water column may play an important role in the presence of abundant high quality OM on
537 the sea floor. However, it has to be kept in mind that there are many indicators of OM quality
538 (e.g. THAA, concentration of single amino-acid, enzymatically hydrolysable amino acids)
539 and their interpretations are often problematic. It is not clear how these indices describe the
540 bio-availability of the organic components. Nevertheless, we think that our data suggest that
541 on the Indian margin  O, as well as hydrodynamics, represent a more important control on
542 benthic foraminiferal abundance and species composition than sea-surface primary production.

Commentaire [m22]: Comment 3-1

Commentaire [m23]: Comment 1-10

543 The same foraminiferal species are found on the Indian margin and at previously studied sites
544 in the Arabian Sea (Fig. 7). *Praeglobobulimina* sp. 1 is restricted to extremely low oxygen
545 concentrations (<10 μM), mainly corresponding to the core of the OMZ on the Indian margin,
546 the Murray ridge and the Pakistan margin, while *Cassidulina* sp. 1 and *Bolivina* aff. *dilatata*
547 are generally found at oxygen concentrations <10 μM (Fig. 7). This highlights the strong
548 adaptation of these indicator species to hypoxic environments. *Praeglobobulimina* sp. 1 and
549 *B. aff. dilatata* have not been reported in other oceanic basins, including the OMZ in the East
550 Pacific or the well-studied upwelling area off North-West Africa. This raises the issue of
551 whether some species inhabiting OMZs are endemic to particular regions. It has been
552 suggested that severely stressed environments, notably by extreme hypoxia, may induce rapid
553 morphological and genetic changes (Verhallen, 1987) as well as promoting allopatric
554 speciation through the creation of barriers to gene flow (Rogers, 2000). The visually
555 conspicuous spider crab *Encephaloides armstrongii* is an example of a species that appears to
556 be restricted to the OMZ in the northern Arabian Sea and Bay of Bengal (Creasey et al.,
557 1997). However, the question of endemism within OMZ settings needs to be explored through
558 a combination of molecular genetics and morphological analysis.

Commentaire [m24]: Comment 1-12

Commentaire [m25]: Comment 1-11

559 *Ehrenbergina trigona* and *Chilostomella oolina* appear in a BWO interval from ~ 0 to ~ 22
560 μM (Fig. 7). It appears that many Arabian Sea foraminiferal species are living in a specific
561 range of BWO concentrations, defining ecological niches for the different species. The fact
562 that the same species occur on the Indian margin, the Pakistan margin and the Murray ridge,
563 in the same BWO interval, but probably with very different export production (based on

564 satellite images of chlorophyll-a), provides strong support for the dominant role of BWO,
565 rather than organic flux to the sea floor, in regulating the benthic foraminiferal assemblages.

Commentaire [m26]: Comment 3-1

566

567 4.6. Implications in paleoceanography

Commentaire [m27]: Comment 1-3

568 Our results suggest that BWO may play the major role in regulating benthic foraminiferal
569 assemblages in the Arabian Sea. This conclusion could have important implications for the
570 reconstruction OMZ variability in the Arabian Sea over geological time scales. Foraminiferal
571 marker species typical of the OMZ (*Praeglobobulimina* sp. 1, *Cassidulina* sp. 1, *Bolivina* aff.
572 *dilatata*, *Ehrenbergina trigona*) should provide critical and reliable information about past
573 BWO, making it possible to quantitatively reconstruct past changes in intensity and extension
574 of the OMZ. On the other hand, some species, such as *Bulimina aculeata* and *Hoeglundina* cf.
575 *elegans*, are both found in the OMZ and below (Fig. 7). It seems that the ecological
576 preferences of these two species differ from site to site. This could suggest a high adaptability
577 of these two species to several environmental conditions (BWO and organic carbon). It could
578 also be the result of the presence of cryptic species, with a very similar morphology.
579 However, due to their wide ecological range, *Bulimina aculeata* and *Hoeglundina* cf. *elegans*
580 cannot be used as tracers of past-oxygen concentrations. Additionally, absolute foraminiferal
581 diversity indices have to be interpreted very carefully in paleoreconstructions from the
582 Arabian Sea, as our results show that low BWO does not always synonym of low
583 foraminiferal diversity.

Commentaire [m28]: Comment 1-11

584

585 5. Conclusions

586 This study focused on the response of living (Rose Bengal stained) benthic foraminifera to the
587 combination of low organic-matter fluxes and extremely low oxygen concentrations on the
588 poorly studied Indian margin. A transect through the OMZ yielded a dense and relatively
589 diverse live assemblage in the extreme hypoxic conditions prevailing at 535 m in the OMZ
590 core and a much sparser but more diverse assemblage in well-oxygenated waters at 2000 m
591 depth, below the OMZ. The unexpectedly high diversity in the OMZ core, which contradicts
592 the commonly accepted paradigm of low oxygen areas being characterized by low diversity
593 faunas, may reflect the adaptation of the predominantly agglutinated species present here to
594 these extreme conditions. However, this high diversity in the OMZ, may also result from

595 periods of higher oxygenation mediated by the activity of bottom currents in this region. The
596 presence of *Hoeglundina* cf. *elegans* and dead thyasariid bivalves support the hypothesis of
597 strong intermittent oxygen fluctuations. Moreover, extremely low oxygen concentrations may
598 enhance the preservation of cellular material after the death of the organism, increasing the
599 apparent diversity of the Rose Bengal stained assemblage.

600 The high abundances of *Reophax* and *Lagenammia* species suggest that these agglutinated
601 foraminifera species are more tolerant to low-oxygen settings than previously thought.
602 Although their ecology is not well understood, their presence may be related to the quality
603 and quantity of the organic matter within the OMZ. However, foraminiferal assemblages
604 (both agglutinated and calcareous taxa) change along the oxygen transect. An assemblage
605 comprising species tolerant of severe hypoxia in the core of the OMZ is succeeded by a
606 transitional assemblage in the lower part of the OMZ, and finally a fauna composed of more
607 widely distributed species below the OMZ. We suggest that this faunal succession is mainly
608 controlled by the bottom-water oxygen concentrations. There appears to be an oxygen
609 threshold between 2 and 15 μM that separates foraminifera typical of the hypoxic core, which
610 probably have a restricted (endemic) distribution, from more cosmopolitan species that are
611 less tolerant of hypoxia and characterise the deeper sites.

612 A comparison of benthic foraminiferal assemblages from the Indian margin with those
613 reported in previous studies from other parts of the Arabian Sea suggests that similar species
614 are common at sites with the same bottom-water oxygenation but subject to different organic-
615 matter flux regimes. Thus bottom-water oxygen levels may exert the main control on species
616 distributions in this region. This would enhance the utility of foraminiferal species as reliable
617 tools to reconstruct past OMZ variability in the Arabian Sea.

618

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627

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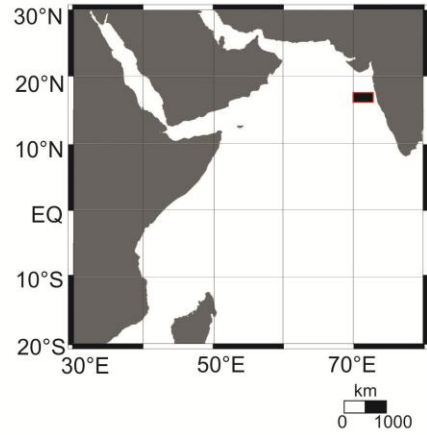
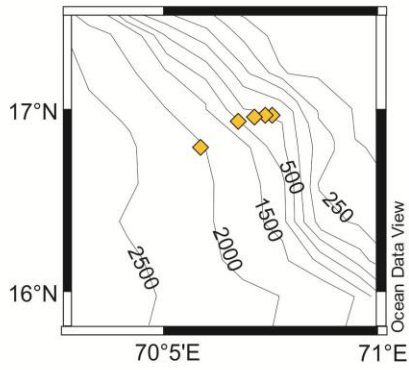
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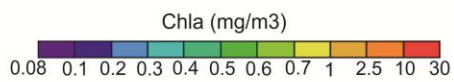
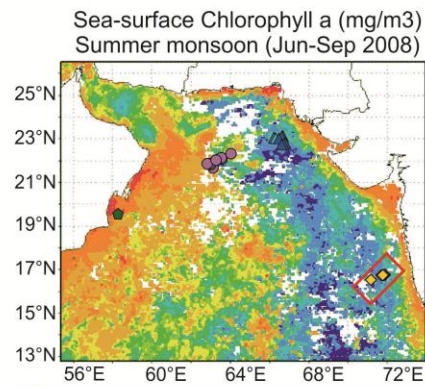
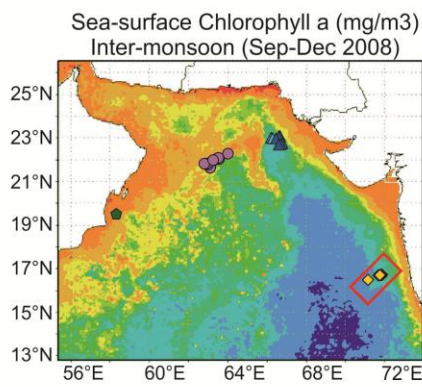
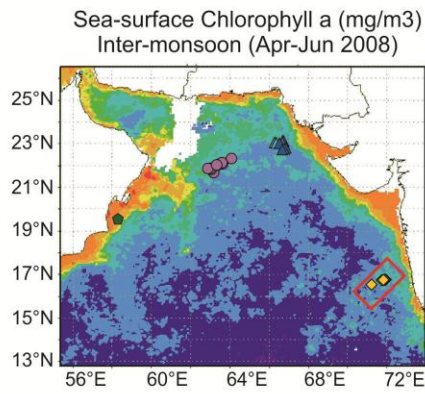
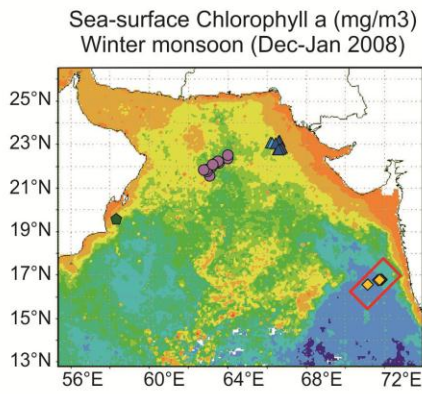
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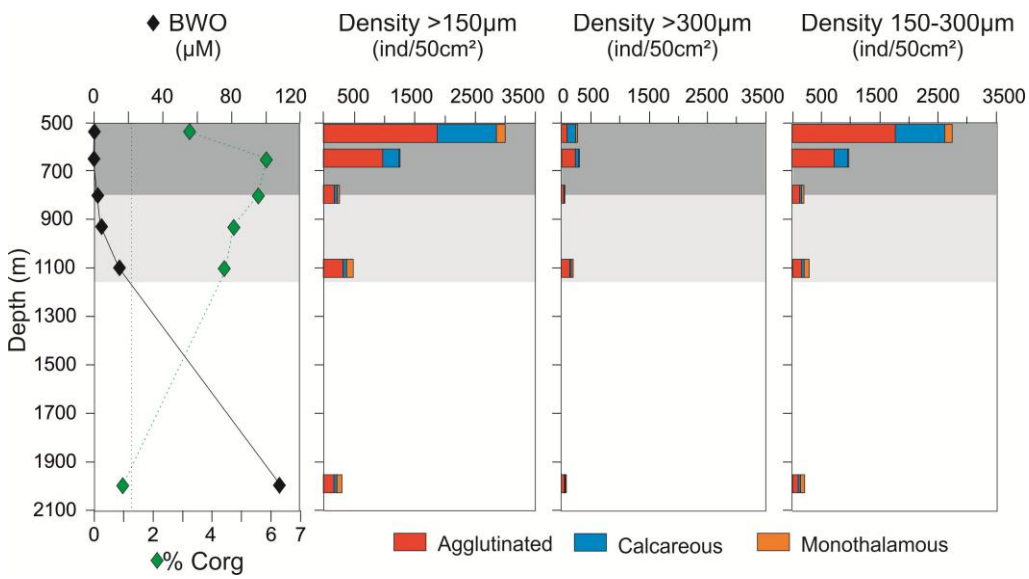


- ◆ Indian margin (September-October 2008)
- Murray Ridge (January 2009)
- ▲ Pakistan margin (March - May 2003)
- ▲ Pakistan margin (September - October 2003)
- Oman margin (October - November 1994)

928 **Fig. 1.** (A) Study area and station location. (B) Sea-surface chlorophyll a concentration (mg/m
 929 ³) during the monsoonal cycle in 2008 at our study sites and previous studies of live benthic
 930 foraminifera from the Arabian Sea (Gooday et al., 2000; Schumacher et al., 2007; Cauille et al.,
 931 2014); <http://gdata1.sci.gsfc.nasa.gov/daac-bin/G3/>

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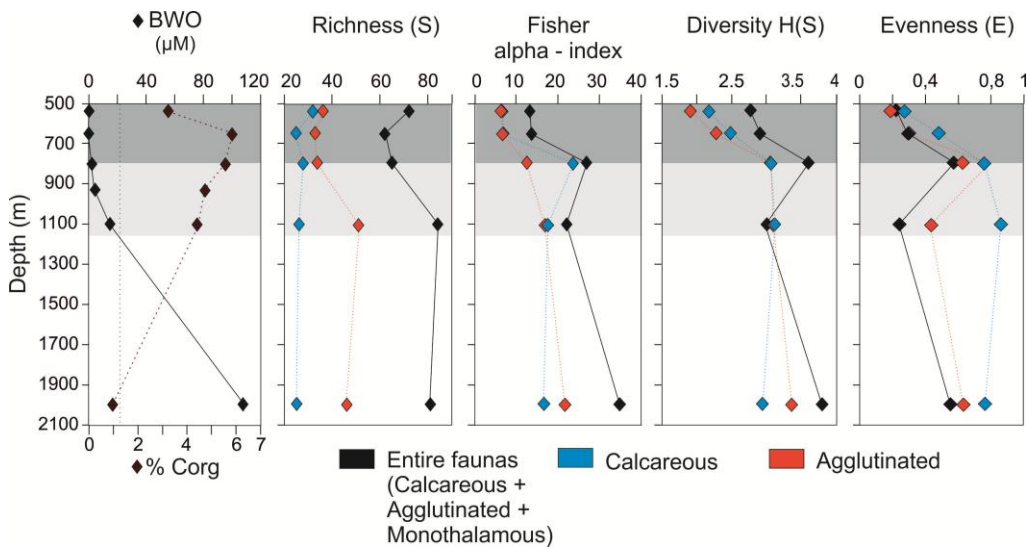


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935 **Fig. 2.** Total stained foraminiferal abundances (ind/50cm²) in the 150-300 µm, >300 µm and
 936 >150 µm (i.e. 150-300 and >300 µm combined) fractions of the first cm (0-1 cm layer) along
 937 the sampling transect. The BWO concentration (µM) and Corg content (wt. %) are from
 938 Hunter et al. (2012) and Cowie et al. (2014). The vertical dashed line corresponds to the 22
 939 µM limits defining an OMZ according to Levin et al. (2003). The dark grey shadow
 940 represents the core of the OMZ (<2 µM; Paulmier and Ruiz-Pino, 2009) and the light grey
 941 shadow the lower part of the OMZ (2-22 µM; Levin, 2003).

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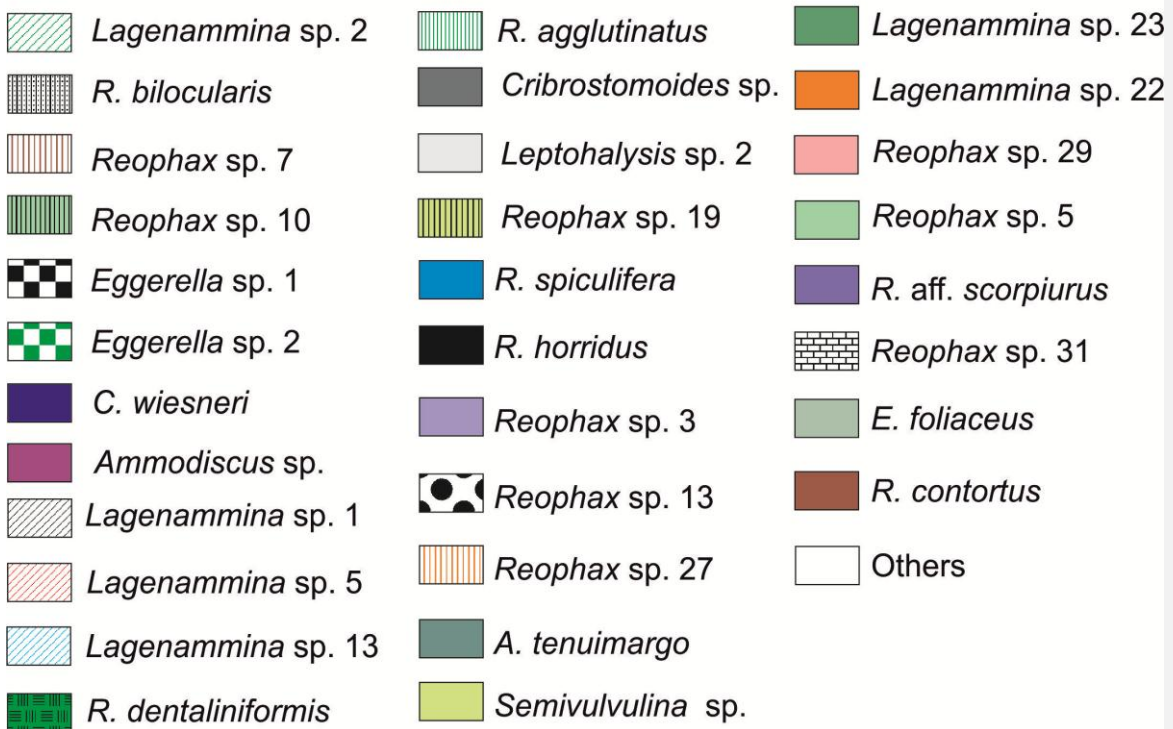
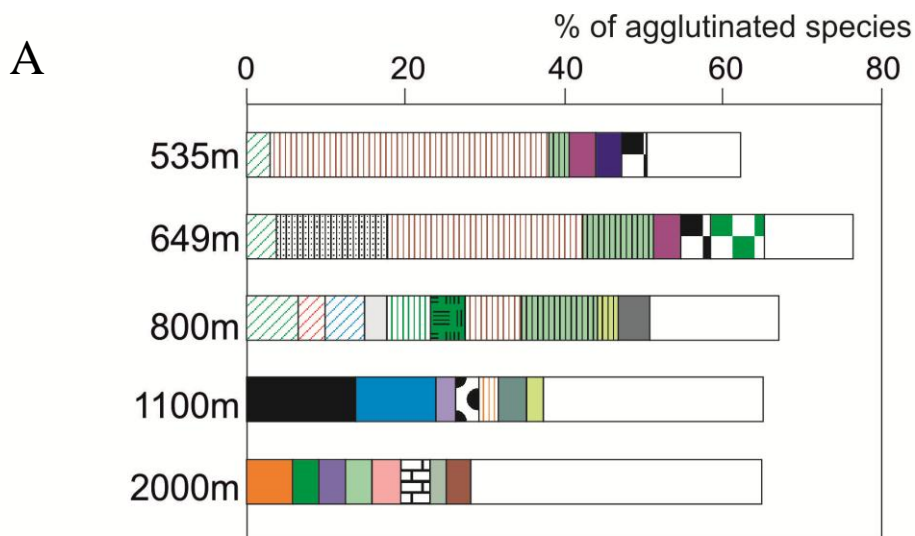


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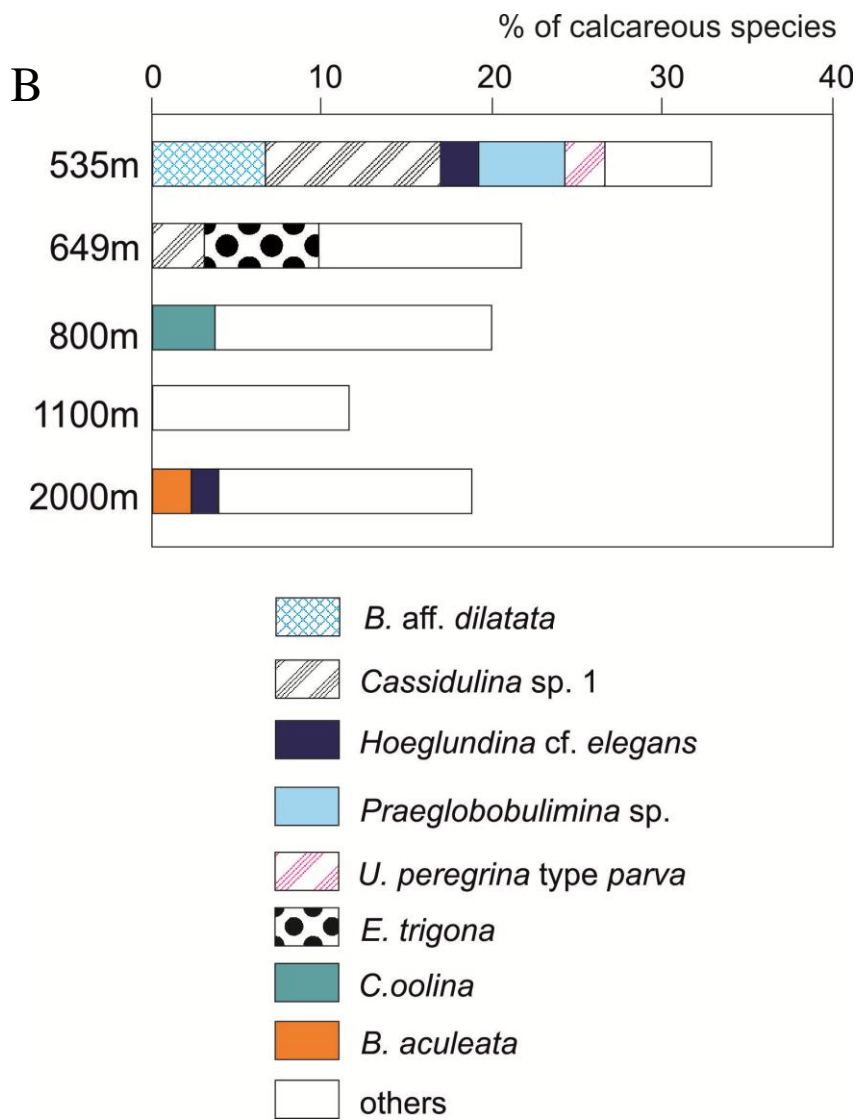
945 **Fig. 3.** Stained foraminiferal diversity indices (in the >150µm fraction) along the sampling
 946 transect. The Corg content (wt. %) is from Hunter et al. (2012) and Cowie et al. (2014). The
 947 dashed line corresponds to the 22 µM limit defining an OMZ according to Levin et al. (2003).
 948 The dark grey shadow represent the core of the OMZ (<2 µM; Paulmier and Ruiz-Pino, 2009)
 949 and the light grey shadow the lower part of the OMZ (2-22 µM; Levin, 2003).

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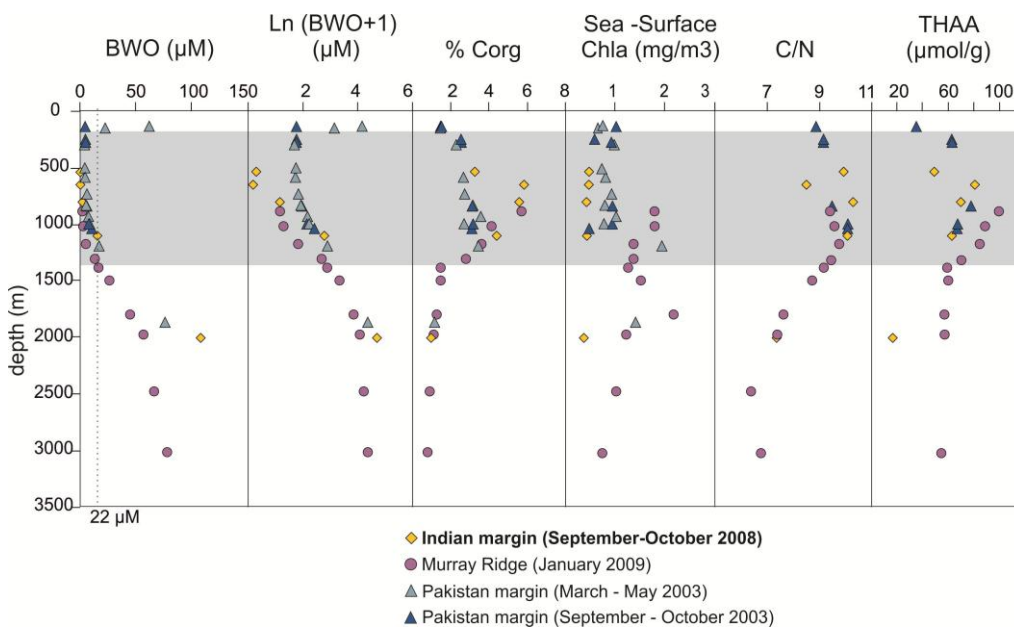


953

954 **Fig. 4.** Relative abundances of 'live' foraminiferal species in the >150 μm fraction of the top
 955 1-cm layer at each station. (A) Agglutinated assemblage. (B) Calcareous assemblage. In each
 956 case, only the dominant species (>2% at each station) are represented individually.

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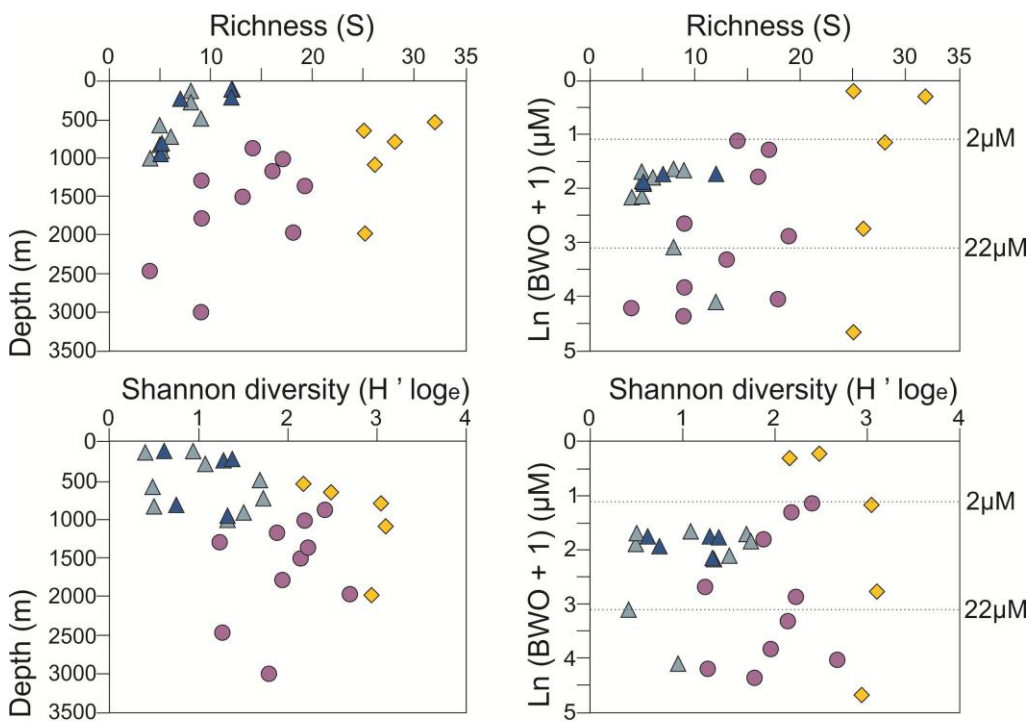


959

960 **Fig. 5.** Regional comparison of the environmental parameters on the Indian and Pakistan
 961 margins and the Murray ridge. The Corg content, C/N, THAA concentration and DI data are
 962 from Hunter et al. (2012) and Cowie et al. (2014) for the Indian margin; from Vandewiele et
 963 al. (2009) for the Pakistan margin; from Koho et al. (2013) for the Murray ridge. Because
 964 some values were <1 , the BWO was expressed using the natural logarithm + 1 ($\text{Ln}(\text{BWO}+1)$).
 965 The dark grey shading corresponds to the OMZ.

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- ◆ Indian margin (post-summer monsoon 2008; this study)
- Murray Ridge (winter monsoon 2009; Caille et al., 2014)
- ▲ Pakistan margin (spring intermonsoon 2003; Schumacher et al., 2007)
- ▲ Pakistan margin (post-summer monsoon 2003; Schumacher et al., 2007)

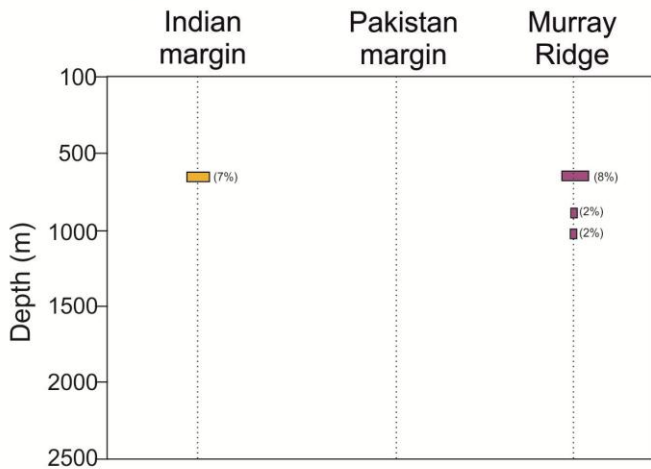
968

969 **Fig. 6.** Species richness and Shannon index (calculated for the calcareous fauna only) vs.
 970 depth (m) and Ln (BWO+1) for the Indian margin, the Pakistan margin and the Murray ridge.
 971 Because some values were <1, the BWO was expressed using the natural logarithm + 1
 972 (Ln(BWO+1)). The dash lines correspond to the limits of the OMZ core (2μM) and to the
 973 lower boundary of the OMZ (22μM).

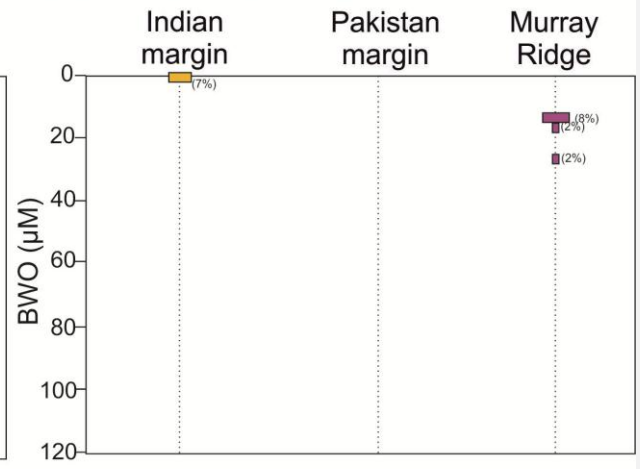
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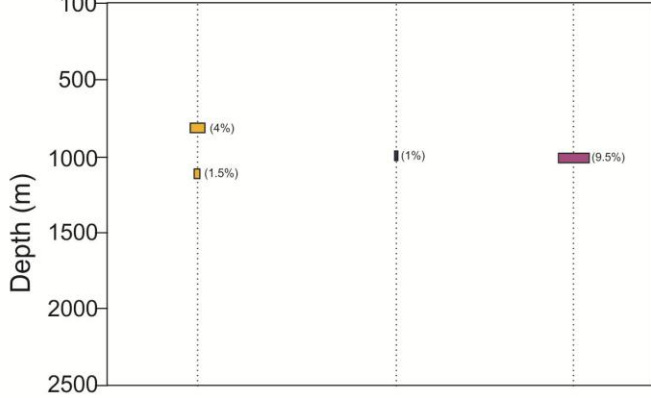
Ehrenbergina trigona



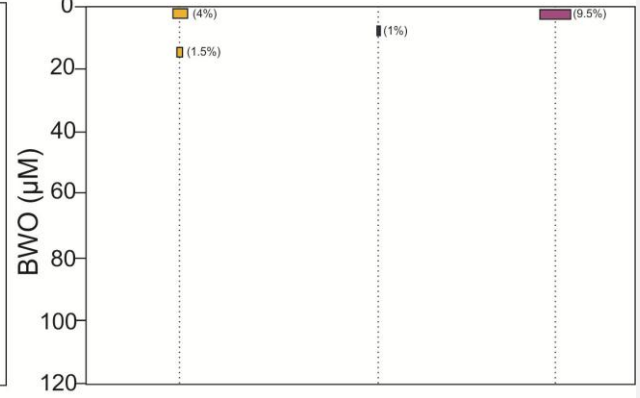
Ehrenbergina trigona



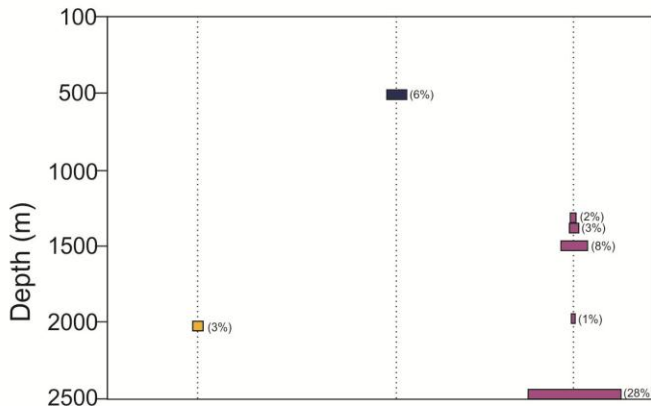
Chilostomella oolina



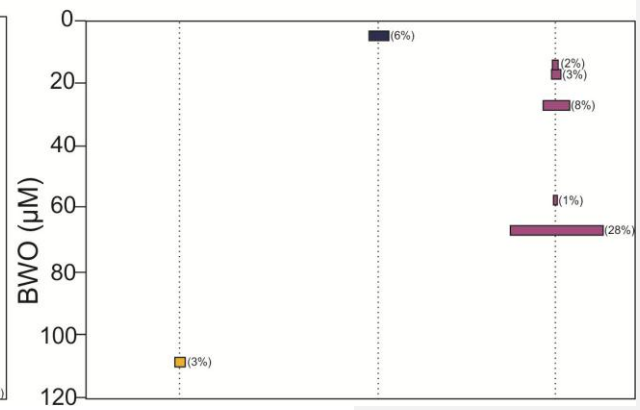
Chilostomella oolina



Bulimina aculeata

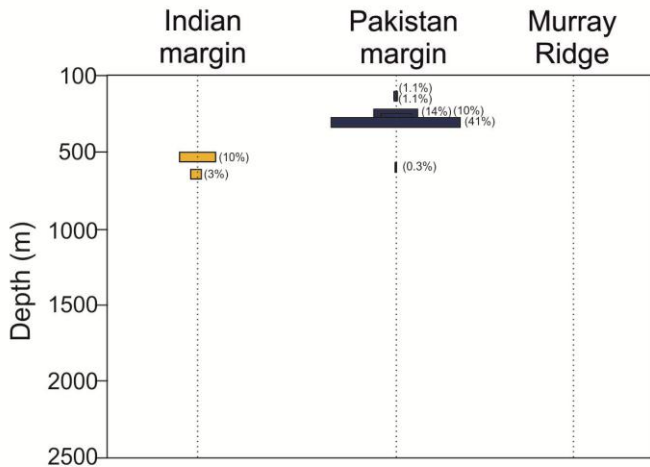


Bulimina aculeata

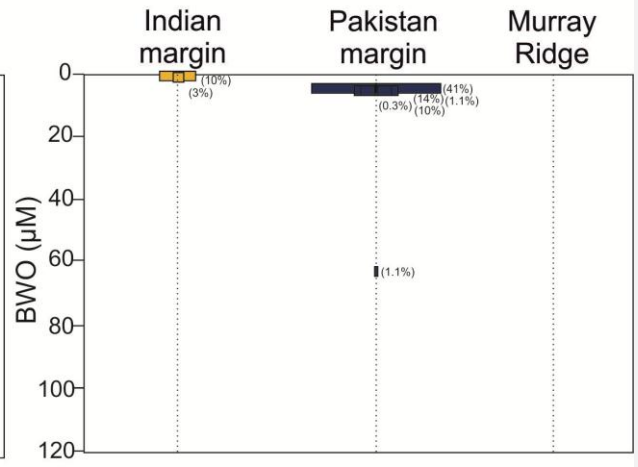


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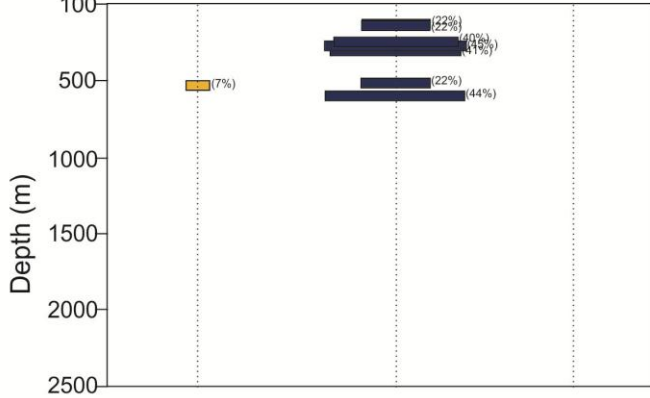
Cassidulina sp. 1



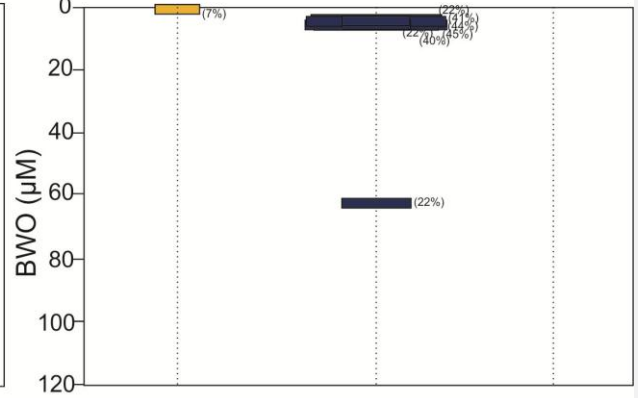
Cassidulina sp. 1



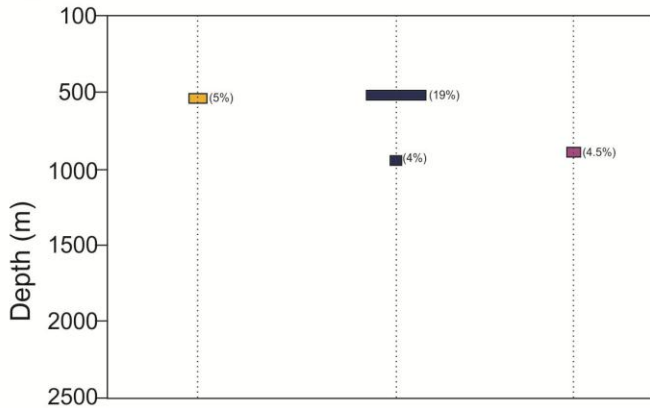
Bolivina aff. *dilatata*



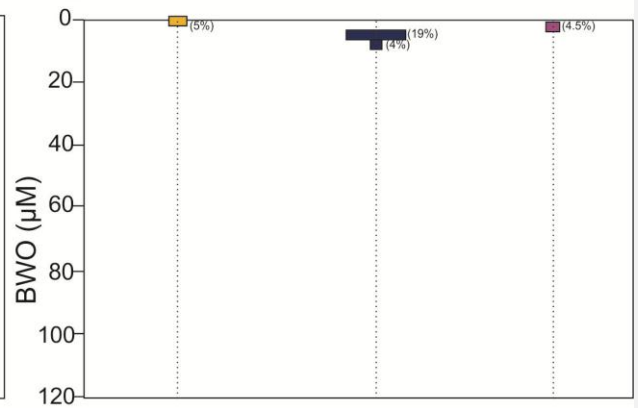
Bolivina aff. *dilatata*



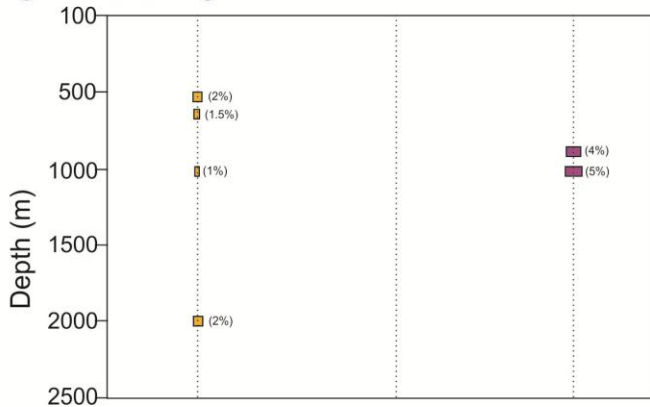
Praeglobobulimina sp. 1



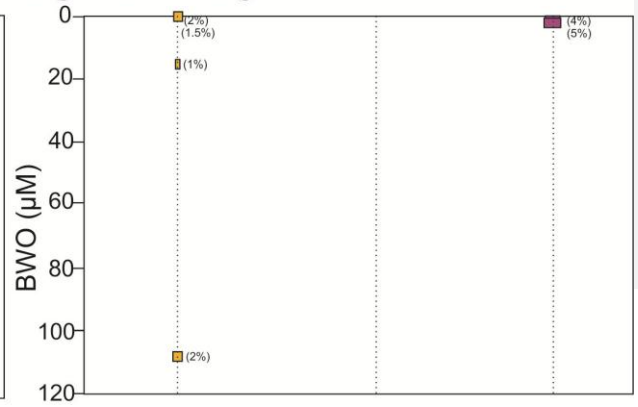
Praeglobobulimina sp. 1



Hoeglundina cf. *elegans*



Hoeglundina cf. *elegans*



978 **Fig. 7.** Comparison of species occurrences vs. depth (left panel) and BWO (μM ; right panel)
 979 for three regions in the Arabian Sea. *Cassidulina* sp. 1 (from the Indian margin) and
 980 *Cassidulina laevigata* (Schumacher et al., 2007) was grouped into *Cassidulina* sp.. Percentage
 981 species occurrences are indicated in brackets.

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535 m BWO: 0.35 μM		649 m BWO: 0.23 μM		800 m BWO: 2.2 μM		1100 m BWO: 15 μM		2000 m BWO: 108 μM	
<i>Reophax</i> sp. 7	1118 (35.1)	<i>Reophax</i> sp. 7	329 (24.5)	<i>Reophax</i> sp. 7	19 (6.8)	<i>R. horridus</i>	76 (7.6)	<i>Reophax</i> sp. 29	12 (3.7)
<i>Ammodiscus</i> sp. 1	113 (3.5)	<i>R. bilocularis</i>	190 (14.2)	<i>Lagenammina</i> sp. 1	18 (6.4)	<i>R. spiculifera</i>	53 (5.3)	<i>Lagenammina</i> sp. 23	11 (3.4)
<i>C. wiesneri</i>	104 (3.3)	<i>Reophax</i> sp. 10	118 (8.9)	<i>Reophax</i> sp. 10	18 (6.4)	<i>A. tenuimargo</i>	18 (1.8)	<i>R. aff. scoriurus</i>	11 (3.4)
<i>Eggerella</i> sp. 2	97 (3.1)	<i>Eggerella</i> sp. 2	90 (6.7)	<i>R. agglutinatus</i>	15 (5.4)	<i>Reophax</i> sp. 13	15 (1.5)	<i>Reophax</i> sp. 31	11 (3.4)
<i>Lagenammina</i> sp. 2	92 (3)	<i>Eggerella</i> sp. 1	52 (3.9)	<i>Lagenammina</i> sp. 13	14 (5)	<i>Reophax</i> sp. 27	13 (1.3)	<i>Reophax</i> sp. 5	10 (3.1)
<i>Reophax</i> sp. 10	77 (2.4)	<i>Lagenammina</i> sp. 2	49 (3.6)	<i>R. dentaliniformis</i>	12 (4.3)	<i>Reophax</i> sp. 3	12 (1.2)	<i>R. contortus</i>	9 (2.8)
<i>Trochammina</i> sp. 1	62 (2)	<i>Ammodiscus</i> sp. 1	46 (3.4)	<i>Cribrostomoide</i> s sp.	11 (4)	<i>Semivulvulina</i> sp.	11 (1.1)	<i>E. foliaceus</i>	7 (2.2)

<i>R. aff scorpiurus</i>	46 (1.4)	<i>C. wiesneri</i>	24 (1.8)	<i>Lagenamma</i> sp. 5	9 (3.2)	<i>Lagenamma</i> sp. 13	10 (1)	<i>Lagenamma</i> sp. 25	6 (1.8)
<i>Eggerella</i> sp. 1	42 (1.3)	<i>Spiroplectamma</i> sp. 2	17 (1.3)	<i>Reophax</i> sp. 19	8 (3)	<i>Reophax</i> sp. 10	9 (0.9)	<i>P. challengerii</i>	5 (1.5)
<i>R. bilocularis</i>	35 (1.1)	<i>R. bilocularis</i> form 2	14 (1)	<i>Leptohalysis</i> sp. 2	7 (2.5)	<i>Reophax</i> sp. 1	7 (0.7)	<i>R. dentaliniformis</i>	4 (1.2)
				<i>Reophax</i> sp. 13	5 (2.5)				
		<i>E. trigona</i>	89 (6.6)						
<i>Cassidulina</i> sp. 1	324 (10.1)	<i>Cassidulina</i> sp. 1	42 (3.1)	<i>C. oolina</i>	10 (3.6)	<i>C. oolina</i>	6 (0.6)	<i>B. aculeata</i>	7 (2.1)
<i>B. aff. dilatata</i>	216 (6.8)	<i>N. cf. umboniferus</i>	22 (1.6)	<i>C. auriculus</i>	4 (1.4)	<i>H. elegans</i>	4 (0.4)	<i>Gyroidina</i> sp. 1	6 (1.8)
<i>Praeglobbulimina</i> sp. 1	161 (5.1)	<i>H. elegans</i>	19 (1.4)	<i>Bolivina</i> sp.	3 (1.1)	<i>G. subglobosa</i>	4 (0.4)	<i>B. alazensis</i>	5 (1.5)
<i>H. elegans</i>	72 (2.2)	<i>L. cf. calcar</i>	18 (1.3)	<i>Globbulimina</i> spp.	3 (1.1)	<i>M. barleeanus</i>	4 (0.4)	<i>E. exigua</i>	5 (1.5)
<i>U. peregrina</i>	72 (2.2)	<i>Globbulimina</i> spp.	17 (1.3)	<i>N. cf. umboniferus</i>	3 (1.1)	<i>P. bulloides</i>	4 (0.4)	<i>H. elegans</i>	5 (1.5)
<i>U. ex. gr. U. semiornata</i>	39 (1.2)	<i>F. rotundata</i>	10 (0.7)	<i>P. quinqueloba</i>	3 (1.1)	<i>P. pupoides</i>	3 (0.3)	<i>P. bulloides</i>	4 (1.2)
<i>N. cf. umboniferus</i>	36 (1.1)	<i>C. oolina</i>	9 (0.7)	<i>Pullenia</i> sp	3 (1.1)	<i>Amphycorina</i> spp.	2 (0.2)	<i>C. brady</i>	3 (0.9)
<i>P. quinqueloba</i>	25 (0.8)	<i>Praeglobbulimina</i> sp. 1	9 (0.7)	<i>B. aff. dilatata</i>	2 (0.7)	<i>B. aculeata</i>	2 (0.2)	<i>G. subglobosa</i>	3 (0.9)
<i>C. auriculus</i>	15 (0.4)	<i>Pullenia</i> sp.	9 (0.7)	<i>Cassidulina</i> sp. 1	2 (0.7)	<i>C. auriculus</i>	2 (0.2)	<i>O. umbonata</i>	3 (0.9)
<i>E. trigona</i>	11 (0.3)	<i>R. semiinvoluta</i>	9 (0.7)	<i>G. orbicularis</i>	2 (0.7)	<i>Cibicoides</i> sp.	2 (0.2)	<i>P. murrhina</i>	2 (0.6)

995

996 **Table 1.** Top 10 ranked species at each site. The number to the right of each name indicate
997 counts of specimens with the relative abundances (%) in brackets.

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