

# **Iron encrustations on filamentous algae colonized by *Gallionella*-related bacteria in a metal-polluted freshwater stream**

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1 **Abstract**

2 Filamentous macroscopic algae were observed in slightly acidic to circumneutral (pH 5.9~6.5)  
3 metal-rich stream water that leaked out from a former uranium-mining district (Ronneburg,  
4 Germany). These algae differ in color and morphology and were encrusted with Fe-deposits. To  
5 elucidate the potential interaction with Fe(II)-oxidizing bacteria (FeOB), we collected algal  
6 samples at three time points during summer 2013 and studied the algae-bacteria-mineral  
7 compositions via confocal laser scanning microscopy (CLSM), scanning electron microscopy,  
8 Fourier transform infrared spectra, and a 16S and 18S rRNA gene based bacterial and algae  
9 community analysis. Surprisingly, sequencing analysis of 18S rRNA gene regions of green and  
10 brown algae revealed high homologies with the freshwater algae *Tribonema* (99.9~100%).  
11 CLSM imaging indicates a loss of active chloroplasts in the algae cells, which may be  
12 responsible for the change in color in *Tribonema*. Fe(III)-precipitates on algal cells identified as  
13 ferrihydrite and schwertmannite were associated with microbes and extracellular polymeric  
14 substances (EPS)-like glycoconjugates. While the green algae were fully encrusted with Fe-  
15 precipitates, the brown algae often exhibited discontinuous series of precipitates. This pattern  
16 was likely due to the intercalary growth of algal filaments which allowed them to avoid  
17 detrimental encrustation. 16S rRNA gene targeted studies based on DNA and RNA revealed that  
18 *Gallionella*-related FeOB dominated the bacterial RNA and DNA communities (70-97% and 63-  
19 96%, respectively) suggesting their capacity to compete with the abiotic Fe-oxidation under the  
20 fully oxygen-saturated conditions that occur in association with photosynthetic algae.  
21 Quantitative PCR revealed even higher *Gallionella*-related 16S rRNA gene copy numbers on the  
22 surface of green algae compared to the brown algae. The latter harbored a higher microbial  
23 diversity, including some putative predators of algae. Lower photosynthetic activities of the

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29 | brown algae could have led to reduced EPS production which is known to affect predator  
30 | colonization. Collectively, our results suggest that metal-tolerant *Tribonema* sp. provide suitable  
31 | microenvironments even for neutrophilic FeOB which goes against current dogma of these  
32 | bacteria being strict microaerophiles.

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**Deleted:** The differences observed between green and brown algae

**Deleted:** microaerophilic Fe-oxidizing bacteria. However, high levels of iron oxides can be fatal to the alga.

40 **1. Introduction**

41 Algae are known to inhabit all freshwater ecosystems including rivers, streams, lakes and even  
42 small water volumes present in pitcher plants (Stevenson et al., 1996; Cantonati and Lowe, 2014;  
43 Gebühr et al., 2006). Macroscopic algae often bloom rapidly in rivers and in small freshwater  
44 streams, such as groundwater effluents (Stevenson et al., 1996), through germination of spores,  
45 vegetative growth and reproduction (Transeau, 1916). As primary producers, these algae provide  
46 benefits for other organisms by supplying them with organic matter and oxygen via  
47 photosynthesis and are often surrounded by associated microbes (Haack and McFeters, 1982;  
48 Geesey et al., 1978; Cole, 1982; Azam, 1998). Unicellular and multicellular algae can produce  
49 polysaccharides like extracellular polymeric substances (EPS) as a shunt for carbon produced in  
50 excess during photosynthesis (Wotton, 2004; Liu and Buskey, 2000). Due to these functions,  
51 algae likely affect the activities of co-existing microbes and play important roles in microbial  
52 ecology in streams.

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53 Some algal species have been detected in metal-polluted streams, such as hot spring effluents  
54 (Wiegert and Mitchell, 1973) and mining-impacted sites (Reed and Gadd, 1989; Warner, 1971).  
55 These algae are known to be tolerant or resistant to high concentration of metals such as Zn, Cu,  
56 Cd, Pb, Fe, and As (Reed and Gadd, 1989; Foster, 1977, 1982) and some are capable of  
57 accumulating metals (Fisher et al., 1998; Yu et al., 1999; Greene et al., 1987) which makes them  
58 ideal candidates for bio-remediation of metal-polluted sites (Yu et al., 1999; Malik, 2004). Green  
59 algae, such as *Ulothrix*, *Microspora*, *Klebsormidium*, and *Tribonema*, occur in acid mine  
60 drainage (AMD)-impacted sites (Warner, 1971; Winterbourn et al., 2000; Das et al., 2009),  
61 sometimes forming heterogeneous streamer communities (Rowe et al., 2007). Although some of

63 these algae show iron ochre depositions, their interactions with Fe(II)-oxidizing bacteria are not  
64 well characterized.

65 A group of prokaryotes called Fe(II)-oxidizing bacteria (FeOB) mediates the oxidation of Fe(II)

66 to Fe(III) to conserve energy for growth (Colmer and Hinkle, 1947; Hanert, 2006). Most FeOB

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67 are autotrophs (Johnson and Hallberg, 2009; Kappler and Straub, 2005). Biogenic Fe(III)

68 subsequently hydrolyzes and precipitates from solution forming various Fe(III)-oxides when the

69 pH exceeds 2 (Johnson et al., 2014). Aerobic acidophilic Fe(II)-oxidizers are the main drivers of

70 Fe(II)-oxidation in acidic and iron-rich freshwater environments due to low rates of chemical

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71 Fe(II)-oxidation under acidic conditions (Leduc and Ferroni, 1994; Hallberg et al., 2006; Tyson

72 et al., 2004; López-Archilla et al., 2001; Senko et al., 2008; Kozubal et al., 2012). In contrast,

73 neutrophilic FeOB, such as *Gallionella* spp., *Sideroxydans* spp., or *Leptothrix* spp., have to

74 compete with a rapid chemical Fe(II)-oxidation at circumneutral pH and thus often inhabit oxic-

75 anoxic transition zones, such as sediment-water surfaces (Emerson and Moyer, 1997; Peine et al.,

76 2000; Hedrich et al., 2011b) or the rhizosphere of wetland plants, where the plant roots leak

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77 oxygen and FeOB deposit Fe-minerals (known as 'Fe-plaques') on plant root surfaces (Neubauer

78 et al., 2002; Johnsongreen and Crowder, 1991; Emerson et al., 1999). *Gallionella* spp. are

79 chemolithoautotrophs that prefer microoxic conditions (Emerson and Weiss, 2004; Lüdecke et

80 al., 2010).

81 We observed macroscopic streamer-forming algae in slightly acidic to circumneutral (pH

82 5.9~6.5), metal-rich stream water flowing out of passively flooded abandoned underground mine

83 shafts in the former Ronneburg uranium mining district in Germany. This seeping groundwater

84 creates new streams and iron-rich terraces at an adjacent drainage creek bank. The filamentous

85 algae present during the summer months differed mainly in color, but all types showed iron

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Deleted: Acidophilic and neutrophilic FeOB can produce EPS, which can be used to protect the cells against encrustation with Fe(III)-minerals by acting as a barrier to prevent accumulation of Fe(III)-minerals directly on cell surfaces. This defense mechanism is especially important for FeOB growing above pH 2. EPS can also accelerate bacterial Fe(II)-oxidation by catching free Fe(II) in the water and localizing microbially formed Fe-oxides in proximity to the cells, which allow bacteria to utilize the proton gradient for energy generation (Chan et al., 2004). EPS-producing acidophilic FeOB, such as *Acidithiobacillus* spp., *Ferrovum* spp., *Leptospirillum* spp., and *Acidimicrobium* spp., are known for their gelatinous, filamentous macroscopic growth in flowing waters (Wakao et al., 1985; Bond et al., 2000; Hallberg et al., 2006; Kay et al., 2013). Recently, a pure culture of *Ferrovum myxofaciens* was shown to produce copious amounts of EPS, composed mainly of polysaccharides and proteins, which allows the cells to attach to each other and solid surfaces, preventing the cells from being washed out in flowing systems (Johnson et al., 2014b). This streamer-like growth appears to be particularly important in extreme environments. ¶

115 ochre deposits. Since high abundances of *Gallionella*-related FeOB were detected in the seeping  
116 water and the drainage creek in previous studies (Fabisch et al., 2013, 2015), potential  
117 interactions between these neutrophilic FeOB and the streamer-forming algae communities were  
118 suggested.

119 Few studies have addressed the relationship between Fe(II)-oxidation and algae. A previous  
120 study reported that oxygen production by cyanobacteria appeared to control Fe(II)-oxidation in  
121 iron-rich microbial mats at Chocolate Pots in Yellowstone despite co-existence of anoxygenic  
122 photosynthetic FeOB (Trouwborst et al., 2007), but there was no evidence of biogenic Fe(II)-  
123 oxidation by chemolithotrophic neutrophilic FeOB. Another study examining a bicarbonate  
124 Fe(II)-rich spring in the Swiss Alps showed the co-existence but physical separation of  
125 cyanobacteria and *Gallionellaceae* (Hegler et al., 2012). Since the presence and activity of  
126 neutrophilic FeOB close to oxygen-generating photosynthetic organisms has not been  
127 documented, we applied different microscopic techniques to localize the Fe-minerals and

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128 microorganisms on the algal surfaces and compared the bacterial community structure of  
129 different algal samples to learn more about these multi-species interactions in metal-polluted  
130 environments.

131

## 132 **2. Materials and Methods**

### 133 **2.1. Field site and sampling**

134 Algal samples were taken in the outflow water in the former Ronneburg uranium-mining district  
135 (Thuringia, Germany) in 2013. This district in eastern Germany was one of the largest uranium  
136 mining operations in the world which produced 113,000 metric tons of uranium primarily  
137 through heap-leaching with sulfuric acid between 1945 and German reunification in 1990. After

139 the mines were closed, the open pit was filled with waste rock from the leaching heaps to prevent  
140 further acid mine drainage (AMD). The underground mines were flooded and treated with alkali  
141 to buffer the water to a more neutral pH. The mine water outflow began in 2010 when the water  
142 table rose and contaminated water from the underground mine reached the surface of  
143 surrounding grassland. The mine water outflow flowed 20 m down a hillside into the creek (Fig.  
144 | 1) where red-orange terraces enriched with the Fe-oxyhydroxides goethite and ferrihydrite  
145 | formed (Johnson et al., 2014; Fabisch et al., 2015).

146 We sampled algae of green and brown color in July, August and September from four different  
147 sites beginning at the outflow water (site O) and three sites further downstream (A, B, C) which  
148 were separated from O by some artificial impoundments; the distance between A and C was 8.8  
149 | m (Fig. 1). In July 2013, we could not reach site O because it was fenced due to construction  
150 | work. Chemical parameters of water (pH, temperature, Eh, and oxygen concentration) were  
151 | measured in situ at every sampling time, using respective electrodes and meters (Mettler Toledo;  
152 | WTW, Switzerland). In addition, water collected from each site was filtered with 0.45 µm poly  
153 | vinylidene fluoride (PVDF) and acidified with HCl or HNO<sub>3</sub> on site and stored at 4°C until the  
154 | measurements of metals, sulfate, and organic carbon (DOC) concentrations. Algae and sediment  
155 | samples were taken from the stream with a sterilized spatula and stored at 4°C for microscopic  
156 | analyses or at -80°C for molecular biological experiments, respectively.

## 157 **2.2. Geochemical characterization of the stream**

158 | Concentration of Fe(II) in water was detected using the phenanthroline method (Tamura et al.,  
159 | 1974) and total Fe was determined following the addition of ascorbic acid (0.6% final  
160 | concentration). Sulfate concentration was determined using the barium chloride method  
161 | (Tabatabai, 1974). DOC in water was measured by catalytic combustion oxidation using TOC

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164 analyzer (TOC-V CPN, Shimadzu, Japan). Dissolved metals (Fe, Mn, Ni, and U) in stream water  
165 were measured using inductively coupled mass spectrometry (ICP-MS; X-Series II, Quadrupol,  
166 Thermo Electron, Germany). Metals which accumulated on the sediments and the algae were  
167 determined by ICP-MS and ICP-optical emission spectrometry (ICP-OES, 725ES, Varian,  
168 Germany) after digestion. The algae sample taken at site C in August 2013 and stored at 4°C was  
169 washed with deionized water on a petri dish to remove big sediment particles, then followed by  
170 drying (200°C, overnight), grinding and microwave digestion (Mars XPress, CEM, Germany)  
171 using HNO<sub>3</sub> for ICP-MS/OES measurements. The sediment samples taken at each sampling site  
172 were also dried and ground, and then 0.1-0.5 g of sediments were digested using 2 ml HNO<sub>3</sub>, 3  
173 ml HF, and 3 ml HClO<sub>4</sub> for ICP-MS/OES measurements.

### 174 **2.3. Observation of algae under light microscope**

175 | The fresh algal samples were observed on the same day as sampling under light microscope  
176 | (Axioplan, Zeiss, Germany). Small pieces (~5 mm) of algal bundles were picked, placed on a  
177 | glass slide with small amount of stream water, and then covered with a glass coverslip.  
178 | Microscopic images in bright field were taken with digital camera ProgRes CS (Jenoptik,  
179 | Germany).

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### 180 **2.4. CLSM imaging**

181 | The algal samples collected in September were examined by confocal laser scanning microscopy  
182 | (CLSM) using a TCS SP5X (Leica, Germany). The upright microscope was equipped with a  
183 | white laser source and controlled by the software LAS AF version 2.4.1. Samples were mounted  
184 | in a 0.5 µm deep CoverWell™ (Lifetechnologies) chamber and examined with a 63× NA 1.2  
185 | water immersion lens. Algal-associated bacteria were stained with Syto9, a nucleic acid specific  
186 | fluorochrome. Fluorescently labelled lectin (AAL-Alexa448, Linaris), which preferentially binds

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190 to fucose linked ( $\alpha$ -1, 6) to *N*-acetylglucosamine or to fucose linked ( $\alpha$ -1, 3) to *N*-  
191 acetyllactosamine related structures and can be applied for detection of algal cell walls  
192 (Sengbusch and Müller, 1983) and the microbial EPS complex (Neu et al., 2001) was used to  
193 stain and detect glycoconjugates. The recording parameters were as follows: excitation at laser  
194 lines 488, 568, 633 nm; emission recorded at 483-493 (reflection), 500-550 (Syto9), 580-620  
195 (possible autofluorescence), 650-720 (chlorophyll A). Optical sections were collected in the Z-  
196 direction with a step of 1  $\mu$ m. Images were deconvolved using the option 'classic maximum  
197 likelihood estimation' from Huygens version 14.06 (SVI). Lastly, image data sets were projected  
198 by Imaris version 7.7.2 (Bitplane).

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## 199 **2.5. SEM-EDX**

200 Scanning electron microscopy (SEM) was used to study the morphology of mineral precipitates  
201 on algal surfaces. Droplets of sample suspensions were placed on silicon wafers and subjected to  
202 air drying. High-resolution secondary electron (SE) images and energy dispersive X-ray  
203 spectroscopy (EDX) were taken with an ULTRA plus field emission scanning electron  
204 microscope (Zeiss).

## 205 **2.6. FTIR measurement for mineral precipitates on algae**

206 Fourier transform infrared (FTIR) spectra of algae encrusted with Fe-minerals were recorded  
207 using a Nicolet iS10 spectrometer (Thermo Fisher Scientific, Dreieich, Germany). Mortared  
208 samples were mixed with KBr (FTIR grade, Merck, Darmstadt, Germany) at a ratio of 1:100 and  
209 pressed into pellets. The pellets were studied in transmission mode in the mid-infrared range  
210 between 4000 and 400  $\text{cm}^{-1}$  for a total of 16 scans at a resolution of 4  $\text{cm}^{-1}$ . Spectra were baseline  
211 corrected by subtracting a straight line running between the two minima of each spectrum and  
212 normalized by dividing each point by the spectrum's maximum.

214 **2.7. Total nucleic acids extraction from algae-microbial communities**

215 Total nucleic acids of algae-microbial communities were extracted from ~1.4 g wet weight of  
216 algal bundle via bead beating in NaPO<sub>4</sub> buffer (pH 8.0) with TNS solution (500 mM Tris-HCl  
217 pH 8.0, 100 mM NaCl, 10% SDS wt/vol). The supernatant was taken after centrifugation,  
218 followed by extraction with equal volumes of phenol-chloroform-isoamyl alcohol [PCI, 25:24:1  
219 (vol:vol:vol), AppliChem] and chloroform-isoamyl alcohol [CI, 24:1 (vol:vol), AppliChem].  
220 Nucleic acids were precipitated with two volumes of polyethylene glycol (PEG) by  
221 centrifugation at 20,000 g and 4°C for 90 min. The pellets were washed with ice-cold 70%  
222 ethanol and suspended in 50 µl elution buffer (EB, Qiagen).

223 **2.8. 18S rRNA gene-based identification of algal species**

224 The 18S rRNA gene region of the DNA extracted from algae-microbial communities was  
225 amplified by PCR employing the universal primer pair Euk20F/Euk1179R (Euringer and  
226 Lueders, 2008) or the *Chlorophyta*-targeting primer pair P45/P47 (Dorigo et al., 2002). The PCR  
227 reactions using both primer pairs were as follows: initial denaturing at 94°C for 5 min, 25-30  
228 cycles of denaturing at 94°C for 30 s, annealing at 57°C for 30 s, and extension at 72°C for 90 s,  
229 and followed by final extension at 72°C for 10 min. Amplified products were purified through a  
230 spin column (NucleoSpin Gel and PCR Clean-up, Macherey-Nagel, Germany) and sequenced  
231 using Sanger technology (Macrogen Europe, Amsterdam, The Netherlands). Sequences were  
232 processed using Geneious 4.6.1 for trimming and assembling, followed by the BLAST homology  
233 search.

234 **2.9. Quantitative PCR**

235 Quantitative PCR was performed to elucidate the 16S rRNA gene copy numbers of *Gallionella*  
236 colonizing the algae surface using 16S rRNA gene-targeted primers specific for *Gallionella* spp.

237 (Gal122F, 5'-ATA TCG GAA CAT ATC CGG AAG T -3'; Gal384R, 5'- GGT ATG GCT GGA  
238 TCA GGC -3') (Heinzel et al., 2009). Aliquots of 1.25 ng DNA were used in triplicate as the  
239 template for qPCR using the Mx3000P real-time PCR system (Agilent, USA) and Maxima  
240 SYBR Green qPCR Mastermix (Fermentas, Canada). Standard curves were prepared by serial  
241 dilution of plasmid DNA containing the cloned 16S rRNA gene sequence of *Gallionella*  
242 (accession no. JX855939). Melting curve analysis was used to confirm the specificities of the  
243 qPCR products. PCR grade water and TE buffer were included as non-template controls.  
244 Detailed qPCR conditions were described by Fabisch et al. (Fabisch et al., 2013).

## 245 **2.10. Amplicon pyrosequencing**

246 16S rRNA gene-targeted amplicon pyrosequencing was performed to reveal the population  
247 structures of bacteria on the algae. To determine the bacterial community composition based on  
248 RNA, cDNA samples were prepared as follows: 3.3-6.0 µg of total nucleic acids extracted from  
249 algae-microbial communities were treated with DNase using TURBO DNA-*free*<sup>TM</sup> Kit (Ambion,  
250 USA) to remove all DNA, and then 0.3-0.5 µg of DNase-treated RNA samples were transcribed  
251 to cDNA using RETROscript® Kit (Life Technologies, CA) and stored at -20°C. The total  
252 nucleic acid samples (as DNA samples) and cDNA samples were sent to the Research and  
253 Testing Laboratory (Lubbock, TX, USA) for pyrosequencing of the V4-V6 region. Samples were  
254 sequenced on a Roche 454 FLX system using tags, barcodes and forward primers listed in Table  
255 S1. Sequence reads were processed in Mothur 1.33.0 (Schloss et al., 2009) for trimming, quality  
256 checking, screening, chimera removal, and alignment based on the Silva reference alignment  
257 files provided on the Mothur website ([http://www.mothur.org/wiki/Silva\\_reference\\_files](http://www.mothur.org/wiki/Silva_reference_files)).  
258 Dendrograms were constructed in Mothur using unweighted pair group method arithmetic  
259 averages (UPGMA) based on Bray-Curtis index (Bray and Curtis, 1957) to estimate similarity

260 among bacterial DNA and RNA community compositions in each sample. Sequences originating  
261 from algal chloroplasts were removed for statistical analysis of community composition. Gini-  
262 Simpson index was calculated using Mothur.

263

### 264 3. Results

#### 265 3.1. Characterization of algae-bacterial assemblage

266 Abundant macroscopic filamentous algae up to 10 cm length appeared at the outflow site (O)  
267 (Fig. 1) and further downstream at sites A, B, and C during the summer months. Algae were  
268 often covered by orange-colored minerals. The outflow water was suboxic (1.3-2.0 mg l<sup>-1</sup>  
269 oxygen) at site O with a slightly acidic pH of 5.9, however, water became more oxygenated (6.2-

270 6.9 mg l<sup>-1</sup> oxygen) and had a higher pH (6.4-6.5) further downstream (Fig. 2). ~~The increase in~~

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271 oxygen ~~could be caused by both turbulent mixing with air and~~ photosynthetic activities of the

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272 algae and ~~increase of pH likely resulted from a combination of CO<sub>2</sub> outgassing~~ from the initial

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273 anoxic outflow water ~~and draw down of CO<sub>2</sub> via algal growth~~. ~~The water temperature was~~

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274 approximately 14-17°C at site O during sampling. Dissolved iron in the water was primarily in

Deleted: ; these water temperature values are likely due to the underground exothermic pyrite oxidation (Johnson et al., 2014a)

275 the form of Fe(II) with maximum concentrations of 3.3 mM and decreased in concentration (to

276 2.1 mM) as the water moved downstream towards sites A, B, and C. The other parameters

277 measured did not indicate distinct differences between the sites O, A, B, and C (Eh, 140-180

278 mV; conductivity, 4.8-4.9 ms cm<sup>-1</sup>; DOC, 3.0-4.5 mg l<sup>-1</sup>; sulfate concentration, 30-35 mM; Fig.

279 2). The stream water was also enriched with other metals including Mn, Ni, Zn and U.

280 In July 2013, we sampled green algae from sites A and B (algae at site O could not be reached),

281 and brown algae from site C. During a subsequent sampling during August 2013, the algae

282 collected from site B changed in color from green to brown, while algae samples collected from

290 sites O and A still appeared green. By September 2013, most algae had disappeared; only small  
291 amounts of green algae were left at site O and some brown algae at site A (Table 1). Sequencing  
292 analysis of 18S rRNA gene regions amplified from DNA extracts of green and brown algae  
293 showed that all algae had high homologies with *Tribonema* spp. (*T. viride*, *T. minus*, *T.*  
294 *ulotrichoides*, 99.9~100%; Table S2), a genus of freshwater algae belonging to the class of  
295 *Xanthophyceae*.

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296 Microscopic observations revealed unbranched filamentous algae with a single cell length of 30-  
297 50 µm and a cell diameter of 8-10 µm (Fig. 3C, D, 4A, B, C). Green algae cells yielded 10-15  
298 visible chloroplasts which exhibited strong autofluorescence, whereas brown algae cells  
299 contained only 5-7 countable chloroplasts and displayed weaker autofluorescence. The brown  
300 algae often showed green autofluorescence under UV-light exposure (data not shown), which  
301 likely resulted from flavin-like molecules or luciferin compounds (Tang and Dobbs, 2007). This  
302 green autofluorescence was not detected in the green algae, likely due to stronger signals from  
303 chloroplasts. According to the cell morphology and number of chloroplasts per cell, the green  
304 and brown algae display a high degree of similarity to *T. viride* comparing to *T. minus* and *T.*  
305 *ulotrichoides* (Akiyama et al., 1977; Gudleifsson, 1984; H. Wang et al., 2014).

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306 Minerals adhered to and were distributed in a regular discontinuous pattern on the surface of the  
307 brown algae. In contrast, the surface of the green algae was encrusted with minerals in irregular  
308 shape, size and location (Fig. 3C, D, 4A, B). CLSM images using Syto9 stain showed minerals  
309 adhered to the surface of both brown and green algae that were colonized by microorganisms  
310 (Fig. 4A, B). These microbial cells primarily colonized the minerals attached to the algae  
311 surfaces, while a smaller proportion of microbial cells were adhered directly to the algae bodies,  
312 Neither stalks of *Gallionella* nor other characteristic extracellular structures of FeOB were found

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Deleted: in a random arrangement and with roughly shaped minerals

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321 | on the algae. CLSM images with lectin staining showed the cell sections in algal filaments were  
322 | distributed between regularly located Fe-minerals. In addition, algal or bacterial EPS-like  
323 | glycoconjugates were likely associated with the minerals (Fig. 4C). whereas the amount of EPS  
324 | could not be quantified or compared between the green and brown algae.

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### 325 | **3.2. Component analysis of mineral precipitates on the algae**

326 | Secondary electron (SE) images with EDX analyses showed sulfur-containing Fe-oxides almost  
327 | completely covered the surface of the green algae (Fig. 5A, 6A), whereas some areas on the  
328 | surface of the brown algae were not encrusted (Fig. 5B, 6B). The non-encrusted parts of the  
329 | brown algae primarily displayed background signal (i.e. Si signal of the sample holder). Weak  
330 | signals of C, Mg, Ca and P were also detected by EDX. The elemental composition of Fe-oxides  
331 | not associated with algae was almost identical to those of the encrusted algae, suggesting mineral  
332 | composition was not affected by biological activity.

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333 | FTIR spectra exhibited signals of ferrihydrite and schwertmannite (Fig. 6C). Their presence was  
334 | also confirmed by high resolution SE images. Spherical aggregates with nano-needles on the  
335 | surface edges are defining characteristics for schwertmannite (Fig. S1), while aggregates with no  
336 | single crystallites are often composed of ferrihydrite (Carlson et al., 2002). The FTIR spectra of  
337 | minerals on the green algae also showed weak signals of Si-O bonding at  $1030\text{ cm}^{-1}$ , which  
338 | might be due to residual clay minerals.

339 | Total extractions of the brown algae collected at site C revealed that in addition to Fe, Mn, Ni,  
340 | Zn and U accumulated on the algae surface similarly to the underlying sediments at site C (Fig.  
341 | S2); Fe and U even showed higher concentrations on the surface of the algae in comparison to  
342 | the sediment (540 mg of Fe and 910  $\mu\text{g}$  of U in 1 gdw algae and 390-660 mg of Fe and 90-750  
343 |  $\mu\text{g}$  of U in 1 gdw sediment).

348 **3.3. Elucidating the bacterial community structure associated with algae**

349 Quantitative PCR detected high gene copy numbers (per gram wet weight algae) for *Gallionella*-  
350 related 16S rRNA with slightly higher numbers for the green algae ( $1.72 \times 10^9 - 7.08 \times 10^9$ )  
351 compared to brown algae (Table 1). Similarly, 16S rRNA gene-targeted amplicon  
352 pyrosequencing revealed that members of the *Gallionellaceae* were the dominant bacterial group  
353 within these algae-microbial communities when comparing both DNA and RNA samples from  
354 the green and brown algae collected at all four different sites and all time points (Fig. 7, Table  
355 S3). The relative percentage of *Gallionellaceae* was highest in RNA and DNA extracts of the  
356 green algae with 89.4-96.5% and 79.5-96.4%, respectively, of the total number of sequence reads  
357 compared to 70.4-82.9% and 62.7-81.0% in RNA and DNA extracts of the brown algae. Algal  
358 samples collected from sites O, A, B, and C during September showed the lowest fraction of  
359 *Gallionellaceae*. The *Gallionellaceae* group comprised of 2 OTUs related to the FeOB  
360 *Gallionella capsiferiformans* ES-2 (CP002159) and *Sideroxydans lithotrophicus* ES-1  
361 (CP001965) (Table S3). The relative fraction of OTU-1-related FeOB was highest at site O,  
362 whereas OTU-2-related FeOB was more abundant downstream at sites A, B, and C. The  
363 dendrograms for each DNA and RNA community also showed that the bacterial community  
364 structures in site O were separated from those in other sites (Fig. 7). Other bacterial groups  
365 detected with less than 10% relative abundance were ‘*Candidatus Odysella*’  
366 (*Alphaproteobacteria*), *Actinomycetales* (*Actinobacteria*), *Desulfobulbaceae*, and  
367 *Geobacteraceae* (*Deltaproteobacteria*). Triplicate extractions of DNA and RNA from the brown  
368 algae collected at site C in August showed little variation between bacterial community  
369 structures (Fig. 7), which allows for the identification of a representative algae surface-associated  
370 microbial community in this metal-contaminated site. The brown algae were colonized by a

371 higher diversity of bacterial groups than the green algae, showing higher average Gini-Simpson  
372 index values (0.862 in RNA and 0.884 in DNA) than those of the green algae (0.641 in RNA and  
373 0.645 in DNA). Interestingly, some of the sequences detected from the microorganisms adhered  
374 to the brown algae surface were identified as putative predators of algae, such as ‘*Candidatus*  
375 *Odysseella*’ (intracellular parasite of *Acanthamoeba*, up to 8.1% and 6.0% of OTUs in RNA and  
376 DNA extracts) and *Cystobacteraceae* (*Myxobacteria*, 2.0% and 0.2% in RNA and DNA extracts).

377

#### 378 | **4. Discussion**

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379 Members of the genus *Tribonema* are known as common freshwater algae (Machova et al., 2008;  
380 [H. Wang et al., 2014](#)). *Tribonema* species have been detected in other metal-rich and acidic  
381 freshwater environments such as acidic brown water streams (pH <4) in New Zealand (Collier  
382 and Winterbourn, 1990), acidic coal mine drainage-contaminated sites (pH 2.6-6.0)  
383 (Winterbourn et al., 2000), as well as acidic rivers (pH 2.7-4.0) with iron-rich ochreous deposits  
384 of schwertmannite-like Fe-minerals on algal surfaces (Courtin-Nomade et al., 2005), suggesting  
385 their tolerance to high concentrations of metals and low pH. In this study, *T. viride* colonized  
386 metal-rich (Fe, Mn, Ni, Zn and U) and less acidic ([pH 5.9 to 6.5](#)) mine-water outflow [which](#)  
387 [showed variation in geochemistry over time and along the flow paths from site O to C](#). The algae  
388 ostensibly changed its color from green to brown and disappeared completely from sites B and C  
389 at the end of the summer. The change in algae color occurred simultaneously with the loss of  
390 active chloroplasts per cell, as observed via CLSM imaging. These results correspond with lower  
391 numbers of sequences originating from chloroplasts based on sequences analysis. The  
392 encrustation with Fe-minerals presumably inhibits algal photosynthetic activities and may be an  
393 underlying cause for the disappearance of *Tribonema* at the end of the summer when light

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396 intensity diminished. The observed water temperatures (14-17°C) may have also contributed to  
397 the decline in algae numbers, since optimal growth temperatures of two genera of *Tribonema* are  
398 higher (*T. fonticolum*, 19-27°C; *T. monochloron*, 15.5-23.5°C) (Machova et al., 2008), however,  
399 *T. viride* has been detected in lake water with low temperature (0-5.6°C) (Vinocur and Izaguirre,  
400 1994).

401 Deposition of Fe-minerals and colonization of “iron bacteria” on *Tribonema* was reported more  
402 than 70 years ago (Chapman, 1941), but identification of the deposited minerals, the FeOB, and  
403 their interaction with the alga has not been characterized in detail. A symbiotic relationship has  
404 been suggested in which microbes living on the surface of *Tribonema* form ferric carbonate,  
405 which controls water pH and acts as local buffer for the algae. We could not detect ferric  
406 carbonates on *Tribonema*, however, poorly crystalline iron minerals ferrihydrite and  
407 schwertmannite that are also present in the underlying sediments in addition to goethite were  
408 detected (Johnson et al., 2014). These iron minerals have a high reactive surface area for  
409 metal(loid) uptake, and particularly As and Zn appear to be associated with these minerals in the  
410 sediments (Johnson et al., 2014). Brown algae showed similar metal(loid) uptake to the  
411 sediments collected at the outflow downstream to site C with even higher concentrations for Fe  
412 and U suggesting a high affinity of *Tribonema* for these compounds. Thus, these iron coatings  
413 could also act as buffers to help prevent the plant from taking up these heavy metals, similar the  
414 mechanism suggested to aid in protection from root plaque (Tripathi et al., 2014 and references  
415 therein).

416 Our microscopic investigation did not reveal a preferential colonization of microbes on the algal  
417 surface but on the minerals. According to both pyrosequencing and qPCR results,  
418 microaerophilic *Gallionella*-related FeOB were the dominant colonizers on *Tribonema* which

Deleted: obtain their oxygen for Fe(II)-oxidation from algal photosynthesis and

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422 might be due to the presence of large populations of *Gallionella* sp. (29-58% of the total  
423 bacterial community) in the outflow water reaching cell numbers of  $10^5$  to  $10^6$  cells per mL water  
424 (Fabisch et al., 2015). These bacteria seem to be able to cope with the high levels of oxygen  
425 produced during photosynthesis, but these oxygen concentrations may be lower within the EPS  
426 matrix and ochre deposits. *G.capsiferriformans*-related FeOB predominated at the outflow site  
427 whereas *S. lithotrophicus*-related FeOB dominated algae further downstream which can be  
428 explained by differences in the water geochemistry such as pH or heavy metal concentrations.  
429 Based on genome information, *G. capsiferriformans* ES-2 should be more resistant to heavy  
430 metals than *S. lithotrophicus* ES-1 (Emerson et al., 2013) and thus should dominate the outflow  
431 site which showed the highest metal loads in the water. Unfortunately, we could not link the  
432 dominance of these species with the heavy metals precipitated on the algae due to shortage of the  
433 present sample amount for ICP-MS/OES.  
434 16S rRNA gene copy numbers of *Gallionella* on the algae surfaces (Table 1) were much higher  
435 than numbers found in the sediments of the stream ( $3.1 \times 10^8$  copies per gram wet weight  
436 sediment) (Fabisch et al., 2015). The high relative RNA-derived fraction of *Gallionellaceae*  
437 suggested not only passive or active colonization of the algal surface but also participation in Fe-  
438 oxidation followed by ferrihydrite and schwertmannite formation. *Gallionella*-related FeOB  
439 appeared to be more abundant and active on the green algae, which indicates higher Fe-oxidizing  
440 activity on the surface of green algae. The surface of photosynthetic algae is presumable a highly  
441 oxygen-saturated environment, and the occurrence of neutrophilic microaerophilic FeOB under  
442 such conditions has not been reported before to the best of our knowledge. However, it is  
443 possible that at night the oxygen level go to a much lower level allowing an opportunity for  
444 FeOB to grow under low oxygen. In water treatment systems and dewatering wells in open cast

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448 mines. *Gallionella* have been also reported to grow at surprisingly high oxygen concentrations at  
449 the low temperature of 13°C or even higher which slows down abiotic Fe(II)-oxidation (de Vet et  
450 al., 2011; J. Wang et al., 2014).

451 In an Fe(II)-rich and oxygenated environment, bacteria potentially face the problem of highly  
452 reactive oxygen species due to the reaction of hydrogen peroxide with Fe(II) (Imlay, 2008). Both  
453 *G. capsiferriformans* ES-2 and *S. lithotrophicus* ES-1 were reported to encode enzymes that  
454 presumably act as catalase or peroxidase to prevent production of reactive oxygen species  
455 (Emerson et al., 2013). Most bacteria associated with the Fe-minerals on algae surfaces were also

456 localized to areas where EPS-like glycoconjugates were detected. EPS forms a suitable  
457 microenvironment for microbial Fe-oxidation due to its ability to bind dissolved Fe(II) resulting  
458 from the negatively charged EPS matrix. This activity leads to the inhibition of chemical Fe-  
459 oxidation by lowering the availability of Fe(II) (Neubauer et al., 2002; Jiao et al., 2010; Roth et  
460 al., 2000). In addition, the EPS can prevent bacterial cells from being encrusted with insoluble  
461 Fe(III)-oxides (Neubauer et al., 2002; Hedrich et al., 2011a; Schädler et al., 2009). Unfortunately,  
462 with the methods used, we could not determine if the EPS-like matrix on the algae was produced  
463 by the alga or by bacteria. *Tribonema* is known to produce EPS mainly composed of glucans and  
464 xylans (Cleare and Percival, 1972), however, based on genome sequencing both *G.*

465 *capsiferriformans* ES-2 and *S. lithotrophicus* ES-1 are predicted to also produce EPS (Emerson et  
466 al., 2013). In an effort to prevent encrustation, other *Gallionella* species form long stalks which  
467 are mainly composed of polysaccharides and long-chain saturated aliphatic compounds during  
468 Fe(II)-oxidation with the purpose of deposition of Fe-oxides apart from the cells (Chan et al.,  
469 2011; Suzuki et al., 2011; Fabisch et al., 2015; Picard et al., 2015). Stalk-forming *Gallionella*

470 have been isolated in sediment environments, but not on the surface of algae, thus implicating an

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478 important role of EPS in microbial Fe-oxidation by the algae-associated bacteria. Since *G.*  
479 *capsiferriformans* and *S. lithotrophicus* were reported to be unable to grow heterotrophically  
480 (Emerson et al., 2013), algal EPS is not suspected to be used as organic carbon source by the  
481 FeOB. The variations in color of the *Tribonema* species were accompanied with a variation in  
482 encrustation patterns. The green *Tribonema* was fully encrusted whereas the brown *Tribonema*  
483 showed an irregular encrustation pattern. Although *Tribonema* appears to be adapted to high  
484 metal loads, excess encrustations with Fe-minerals should be detrimental due to inhibition of  
485 photosynthesis and decreased access to nutrients. The lower number of chloroplast pointed to  
486 decreased photosynthetic activity of the brown *Tribonema*. The discontinuous encrustation might  
487 be caused by intercalary growth of the filamentous algae, which occurs by generating H-shaped  
488 parts in the middle of each cell (Smith, 1938). Intercalary growth was confirmed by CLSM  
489 images with lectin staining which showed algal cell sections alternating with Fe-minerals. The  
490 new cell sections were thin with only a few chloroplasts suggesting that energy was used  
491 primarily for elongation. Thus, intercalary growth could be interpreted as a defense strategy  
492 during later stages of encrustation when photosynthetic activity diminishes due to surface  
493 coverage by Fe-precipitates and to provide the algae with new uncovered cell surfaces.  
494 Production of EPS as a shunt mechanism should decline if less carbon is fixed during  
495 photosynthesis (Wotton, 2004) which provides a potential link between EPS production and  
496 *Gallionella* colonization. Brown algae contained ed fewer chloroplasts, suggesting reduced  
497 photosynthetic activity and EPS production which might be linked to a decrease in *Gallionella*  
498 cell number and Fe(II) oxidation on the algae surface. This study showed higher microbial  
499 diversity on the surface of brown *Tribonema* when lower numbers of *Gallionella* were detected.  
500 Some putative predators of algae, such as ‘*Candidatus* Odyssella’ and *Cystobacteraceae* were

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504 also identified on the surface of the brown *Tribonema*. These predators colonize algae in order to  
505 consume material released upon cell lysis as a natural senescence process or under stress  
506 conditions (Levy et al., 2009). Algal EPS has been shown to function as a cell defense  
507 mechanism to protect cells from colonization of predators or pathogens (Steinberg et al., 1997),  
508 thus a reduced rate of EPS formation may lead to predator colonization.

509

## 510 5. Summary and Conclusion

511 Filamentous algae (*Tribonema* sp.) were observed in the metal-contaminated groundwater  
512 outflow in the former Ronneburg uranium mining district, suggesting the algae has a tolerance to  
513 high metal concentrations and metal deposits. Cells of green algae were fully encrusted with Fe-  
514 oxides. The Fe-precipitates on the algae surfaces were predominantly colonized by *Gallionella*-  
515 related FeOB. *Gallionella*-related FeOB were abundant in the stream water and these bacteria  
516 appeared to be actively involved in Fe(II) oxidation. Thus, both sunlight and Fe(II) served as  
517 energy sources for primary producers in this slightly acidic stream promoting complex microbial  
518 interactions in the ochre deposits on the algal cells. EPS-like polymeric matrices, likely produced  
519 as a shunt for carbon during photosynthesis, provided a suitable microenvironment for the  
520 microaerophilic FeOB due to its high affinity for metal(loid)s and reduced oxygen diffusion.

521 However, excess deposition of Fe-oxides appeared to be detrimental to photosynthetic activities  
522 forcing intercalary elongation of the filaments. This defense response caused discontinuous  
523 deposition patterns of Fe-oxides as observed on the brown colored algae which showed lower  
524 number of chloroplasts. The reduced EPS production could have favored growth of algal  
525 predators on the brown algae and together with ochre deposition contributed to algal decline.

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530 **Author contribution**

531 J. F. Mori and K. Küsel designed and J. F. Mori performed the experiments. T. R. Neu conducted  
532 CLSM imaging analysis. S. Lu carried out sampling and microscopic analysis with J. F. Mori. M.  
533 Händel and K. U. Totsche performed SEM-EDX and FTIR analysis. J. F. Mori prepared the  
534 manuscript with contributions from all co-authors.

535

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545

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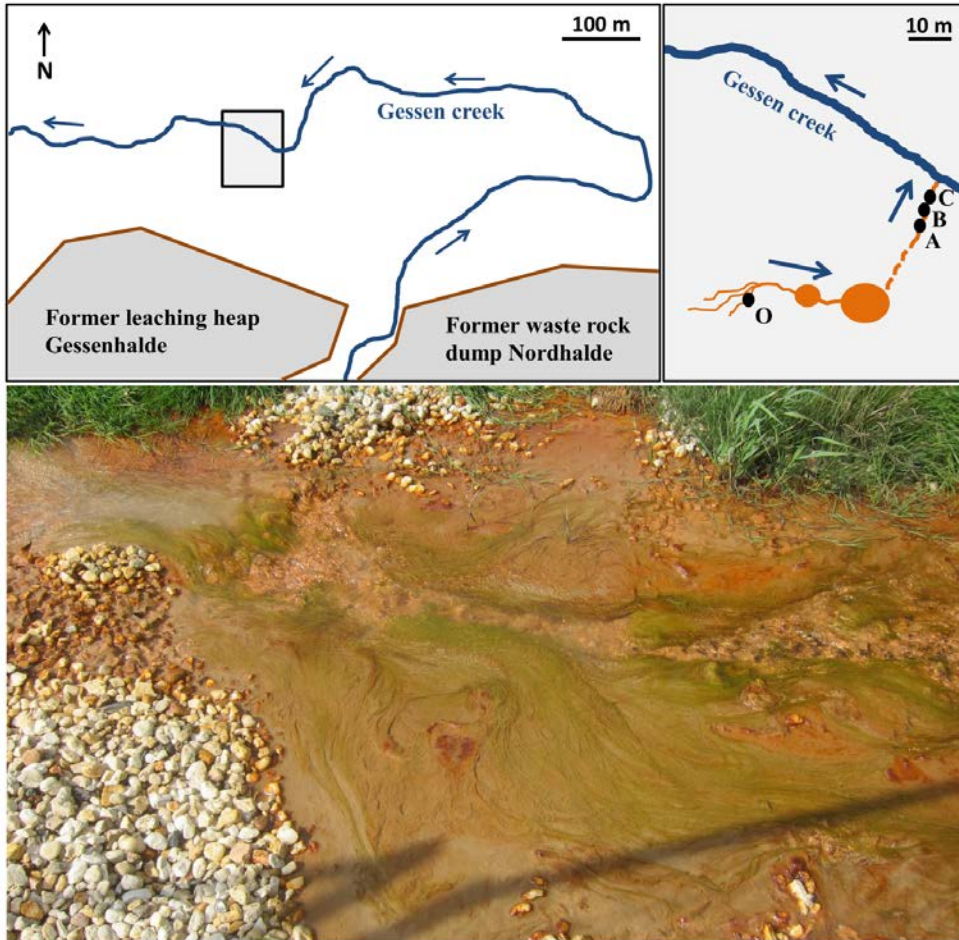
796 **Tables & Figures**

797

798 **Table 1.** Average 16S rRNA gene copy numbers of *Gallionella* detected per gram wet weight  
 799 algae sampled at sites O, A, B, and C, and at three sampling times in 2013 and measured by  
 800 quantitative PCR (n=3, ± SD).

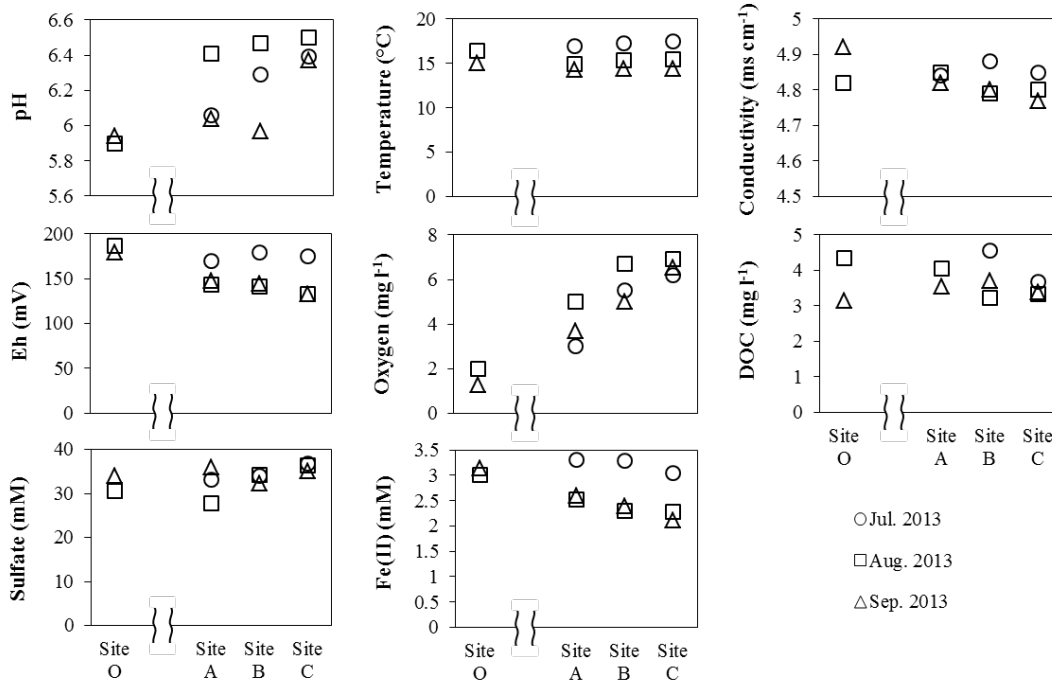
	Site O	Site A	Site B	Site C
<b>July 2013</b>	Not reachable	Green $1.85 \times 10^9 \pm 1.86 \times 10^7$	Green $1.72 \times 10^9 \pm 1.62 \times 10^8$	Brown $0.95 \times 10^9 \pm 6.66 \times 10^7$
<b>August 2013</b>	Green $6.78 \times 10^9 \pm 2.36 \times 10^8$	Green $7.08 \times 10^9 \pm 3.76 \times 10^8$	Brown $1.45 \times 10^9 \pm 1.07 \times 10^8$	Brown $1.25 \times 10^9 \pm 1.62 \times 10^7$
<b>September 2013</b>	Green $2.25 \times 10^9 \pm 1.19 \times 10^7$	Brown $1.10 \times 10^9 \pm 3.47 \times 10^7$	No algae	No algae

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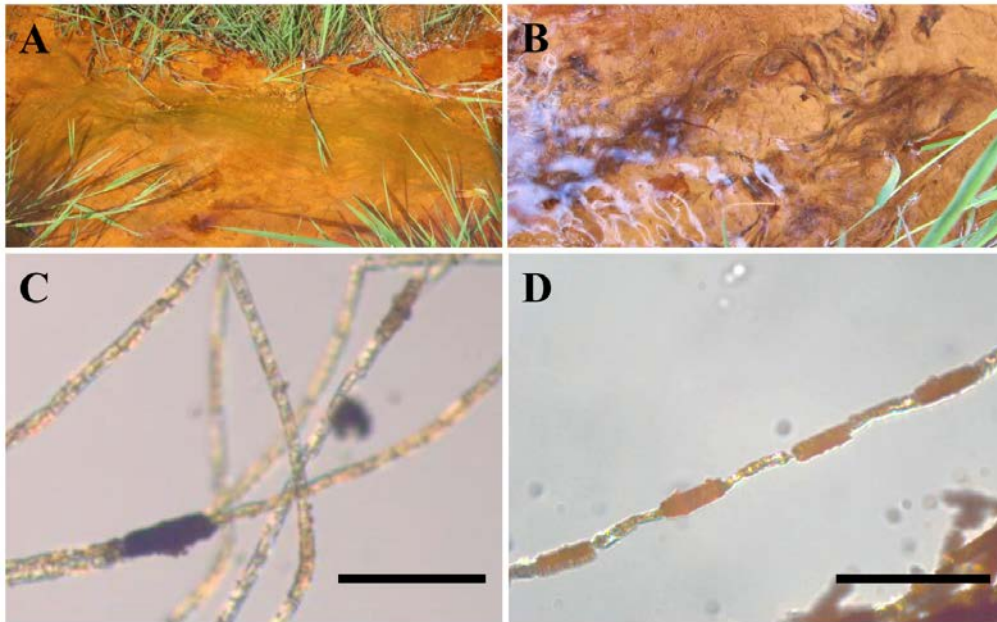
803 **Figure 1.** Schematic maps of the study site and photograph of the site A in the former  
 804 Ronneburg uranium mining district (Thuringia, Germany). Maps show the locations of sampling  
 805 sites O, A, B and C on the grassland close to Gessen creek. Blue arrows indicate the flow  
 806 direction of the creek and outflow streams. The photograph was taken in September 2011 and  
 807 shows the presence of conspicuous green filamentous algae.



808

809 **Figure 2.** Chemical parameters of water at each sampling site in the outflow water stream. Water  
 810 pH, oxygen, temperature, conductivity and Eh were measured in the field at site O, A, B and C in  
 811 July, August, and September 2013. Concentrations of organic carbon, sulfate and Fe(II) were  
 812 determined later in the laboratory.

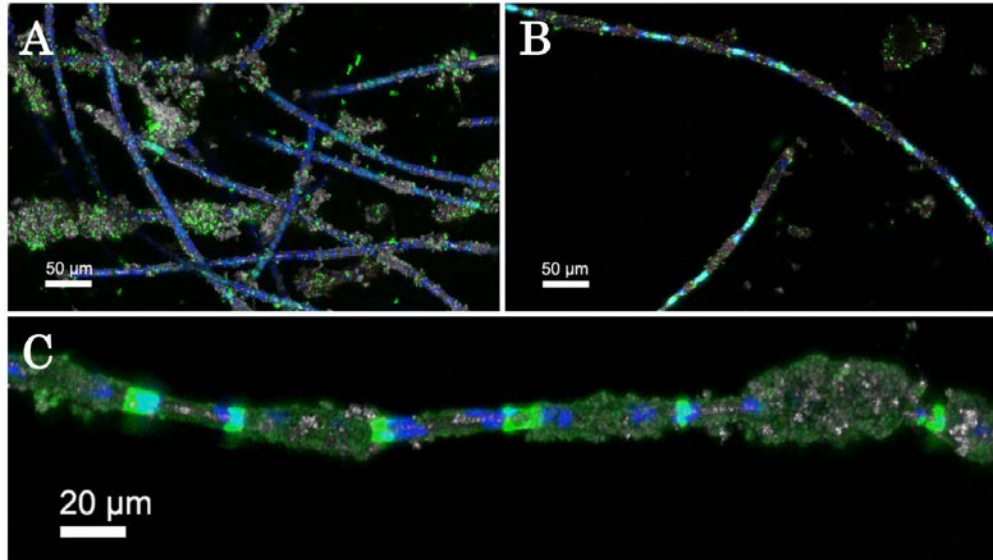
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815 **Figure 3.** Photographs (A, B) and light microscopic pictures (C, D) of the green algae in site A  
816 (A, C) and the brown algae in site C (B, D) taken in July 2013. The microscopic pictures show  
817 Fe-mineral precipitates on the algae. Scale bars indicate 100  $\mu\text{m}$ .

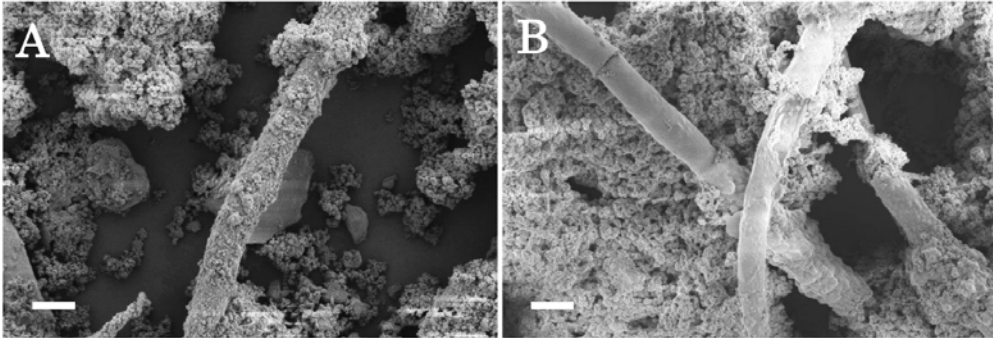
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820 **Figure 4.** Confocal laser scanning microscopy images of the algae-microbial communities  
821 collected at site O (outflow) of the stream in September 2013. Maximum intensity projection of  
822 the green algae (A) and the brown algae (B) stained with Syto9 were recorded (color allocation:  
823 green – nucleic acid stain; blue – autofluorescence of chlorophyll A; grey - reflection). Brown  
824 algae stained with AAL-Alexa448 (C) shows glycoconjugates (green), autofluorescence of  
825 chlorophyll A (blue), and reflection (grey).

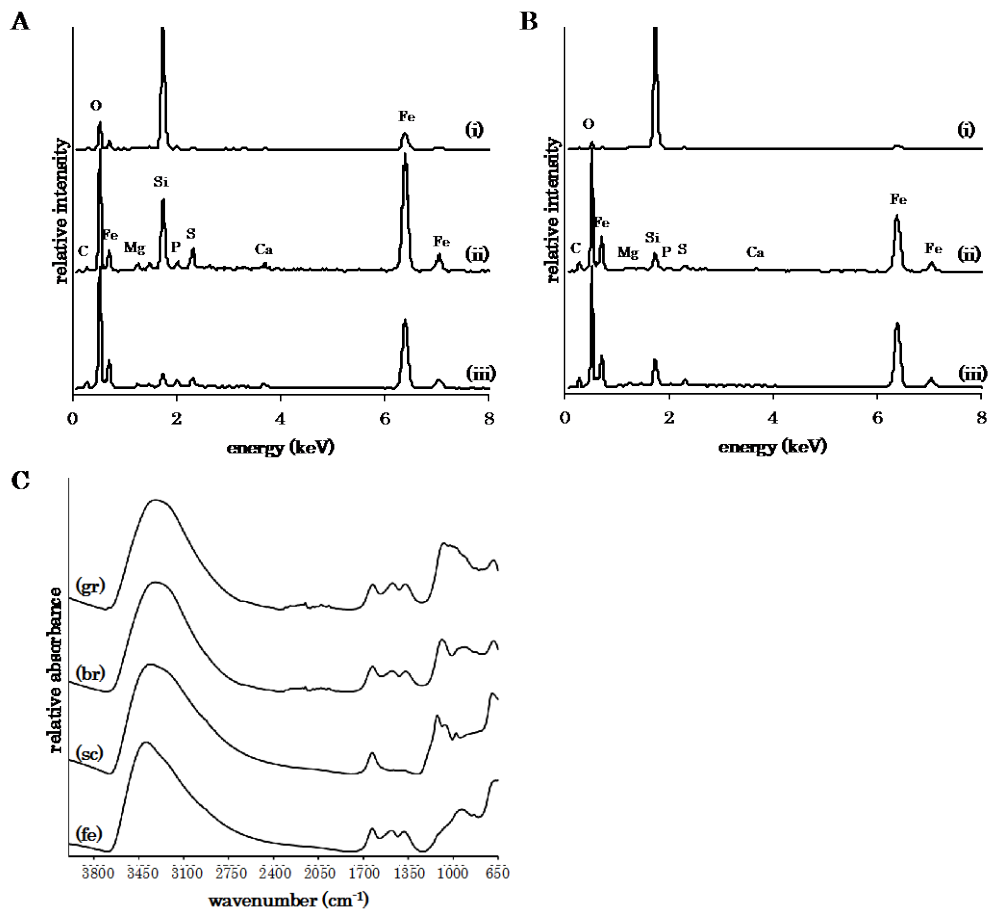
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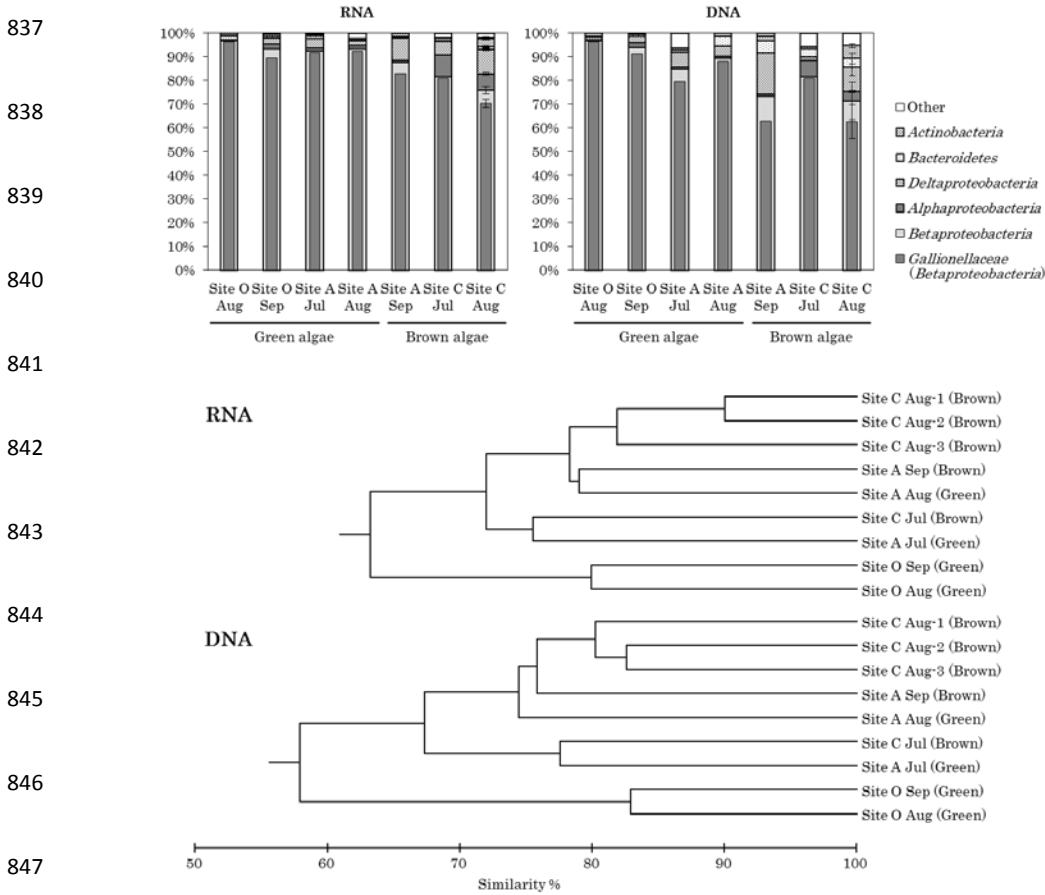
828 **Figure 5.** Scanning electron microscopy images of the green algae in site O (A) and the brown  
829 algae in site A (B) taken in September 2013. Scale bars indicate 10  $\mu\text{m}$ .

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832 **Figure 6.** EDX and FTIR spectra of minerals precipitated around the algae. EDX spectra of  
 833 minerals around the green algae (a) and the brown algae (b) were recorded on the non-encrusted  
 834 algal surface (i), the encrusted algal surface (ii) and Fe-oxides which were not connected to the  
 835 algae (iii). FTIR spectra of Fe-oxides (c) were recorded on the green algae (gr) and the brown  
 836 algae (br), comparing with spectra of schwertmannite (sc) and ferrihydrite (fe) as references.



848 **Figure 7.** Bacterial community compositions obtained from algal samples detected by 16S rRNA  
 849 gene-targeted amplicon pyrosequencing (above) and dendrograms indicating similarities of RNA  
 850 and DNA compositions (below). Calculations of the bacterial populations were based on the total  
 851 numbers of OTUs associated with phylotypes of sequenced representatives at the phylum level,  
 852 or class level for Proteobacteria. Percentages of *Gallionellaceae* (*Betaproteobacteria*) were also  
 853 shown. (n=1; Site C Aug, n=3, error bars indicate SD)

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