

1 **Biodegradability of dissolved organic carbon in permafrost soils and waterways:**
2 **a meta-analysis.**

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22 **ABSTRACT**

23 | As Arctic regions warm and frozen soils thaw, the large organic carbon pool stored in
24 | permafrost becomes increasingly vulnerable to decomposition or transport. The
25 | transfer of newly mobilized carbon to the atmosphere and its potential influence upon
26 | climate change will largely depend on the degradability of carbon delivered to aquatic
27 | ecosystems. Dissolved organic carbon (DOC) is a key regulator of aquatic
28 | metabolism, yet, knowledge of the mechanistic controls on DOC biodegradability is
29 | currently poor due to a scarcity of long-term data sets, limited spatial coverage of
30 | available data, and methodological diversity. Here, we performed parallel
31 | biodegradable DOC (BDOC) experiments at six Arctic sites (16 experiments) using a
32 | standardized incubation protocol to examine the effect of methodological differences
33 | commonly used in the literature. We also, synthesized results from 14 aquatic and soil
34 | leachate BDOC studies from across the circumarctic permafrost region to examine
35 | pan-Arctic trends in BDOC.

36 |
37 | An increasing extent of permafrost across the landscape resulted in higher DOC
38 | losses in both soil and aquatic systems. We hypothesize that the unique composition
39 | of (vedoma) permafrost-derived DOC combined with limited prior microbial
40 | processing due to low soil temperature and relatively shorter flow path lengths and
41 | transport times, resulted in higher overall terrestrial and freshwater DOC loss.
42 | Additionally, we found that the fraction of BDOC decreased moving down the fluvial
43 | network in continuous permafrost regions, i.e. from streams to large rivers, suggesting
44 | that highly biodegradable DOC is lost in headwater streams. We also observed a
45 | seasonal (Jan – Dec) decrease in BDOC in large streams and rivers, but saw no
46 | apparent change in smaller streams or soil leachates. We attribute this seasonal
47 | change to a combination of factors including shifts in carbon source, changing DOC
48 | residence time related to increasing thaw-depth, increasing water temperatures later in
49 | the summer, as well as decreasing hydrologic connectivity between soils and surface
50 | water as the thaw season progresses. Our results suggest that future, climate warming-
51 | induced shifts of continuous permafrost into discontinuous permafrost regions could
52 | affect the degradation potential of thaw-released DOC, the amount of BDOC, as well
53 | as its variability throughout the Arctic summer. We lastly recommend a standardized
54 | BDOC protocol to facilitate the comparison of future work and improve our
55 | knowledge of processing and transport of DOC in a changing Arctic.

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76 **1. INTRODUCTION**

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78 Boreal and Arctic ecosystems contain more than half of global terrestrial organic
79 carbon (Tarnocai et al., 2009; Hugelius et al., 2014), much of which will be
80 vulnerable to microbial processing and release to the atmosphere by the end of the
81 century (Slater et al., 2013; Schaefer et al., 2014; IPCC 2013). At high latitudes,
82 ecosystem carbon balance depends largely on aquatic processes (Kling et al., 1992;
83 Striegl et al., 2012; Vonk and Gustafsson, 2013) with lakes, wetlands, rivers, and
84 streams covering more than half of the land surface in many regions (McGuire et al.,
85 2009; Loveland et al., 2000; Lammers et al., 2001; Aufdenkampe et al., 2011; Avis et
86 al., 2011). However, little is known about mechanistic controls on persistence or
87 processing of organic carbon currently flowing through Arctic watersheds (Mann et
88 al., 2012, Wickland et al., 2012), and even less is known about the behavior of
89 permafrost-derived organic carbon that is delivered to arctic freshwater and marine
90 ecosystems (Cory et al., 2013, Vonk and Gustafsson 2013).

91

92 Arctic watersheds transport an average of 34 Tg C yr⁻¹ of dissolved organic carbon
93 (DOC) and 6 Tg C yr⁻¹ of particulate organic carbon (POC) to the Arctic Ocean
94 (Holmes et al., 2012; McGuire et al., 2009), not including fluxes from coastal erosion.
95 Though no model projections of future circumarctic hydrologic carbon flux exist, a
96 few recent studies predict that organic carbon loading to the circumarctic watershed
97 may increase in the future (Abbott et al., in review; [Laudon et al., 2012](#); Kicklighter et
98 al., 2013). However, observed patterns of changes in hydrological carbon loading in
99 permafrost regions are inconsistent, with increases in DOC export from areas with
100 extensive peat deposits (Frey and McClelland, 2009), but decreases in discharge-
101 normalized DOC export in other regions, due to increasing flow path [length](#), and
102 increased mineralization in soils (McClelland et al., 2007; Petrone et al., 2006; Striegl
103 et al., 2005; Tank et al., 2012). Furthermore, conflicting patterns of DOC
104 biodegradability exist with respect to seasonality and permafrost extent (Kawahigashi
105 et al., 2004; Striegl et al., 2005; Holmes et al., 2008; Balcarczyk et al., 2009; Frey and
106 McClelland 2009; Vonk et al., 2013b; Abbott et al., 2014; [Larouche et al., 2015](#)). The
107 scarcity of long-term data as well as a lack of conceptualization of the processes
108 controlling DOC transport and processing represent an important source of
109 uncertainty in the permafrost-regional carbon balance.

110

111 In both terrestrial and aquatic ecosystems, [much of the overall](#) carbon mineralization
112 [takes place in](#) the dissolved form, since [part of the](#) DOC is composed of lower
113 molecular weight compounds that can be directly transported across microbial cell
114 membranes (Battin et al., 2008), though particulate matter provides surface area for
115 bacterial attachment in aquatic ecosystems (del Giorgio and Pace, 2008).
116 Biodegradable DOC (BDOC), therefore, is a key regulator of ecosystem metabolism
117 in general and the rate of permafrost carbon release to the atmosphere specifically
118 (Holmes et al., 2008; Mann et al., 2012; Wickland et al., 2012; Abbott et al., 2014).

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122 While promising proxies of BDOC have been identified, including optical signatures,
123 molecular characteristics and nutrient concentrations (Balcarczyk et al., 2009,
124 Wickland et al., 2012; Abbott et al., 2014), BDOC is typically assessed through
125 incubation experiments, representing a simple metric of microbial uptake and
126 mineralization. Throughout this study we will use BDOC as a measure of DOC
127 biodegradability. While incubation experiments carried out in the laboratory do not
128 necessarily reflect in situ DOC biodegradability due to many differences including
129 temperature, light, carbon source, and microbial community, they provide a useful
130 relative measure of the reactivity of different types of DOC. Most studies measure
131 BDOC through: (i) production of dissolved inorganic carbon (DIC), (ii) consumption
132 of DOC, or (iii) consumption of O₂ (McDowell et al., 2006). While these methods can
133 give comparable results, differences in experimental factors can directly influence the
134 quantification of BDOC, including duration of incubation, temperature, light
135 exposure, type of filtration, and the addition of bacterial inoculum. While this
136 methodological diversity complicates direct comparison of BDOC measurements
137 from across the Arctic permafrost-region, it also represents an opportunity to identify
138 fundamental controls on DOC processing.

139

140 We synthesized results from 14 BDOC studies within the Arctic Ocean watershed
141 representing a total of 551 individual incubations to identify controls and patterns of
142 DOC biodegradability across spatial and temporal scales (section 2.1). Based on
143 findings from these studies we developed a standard incubation method, which we
144 tested on water from soils, streams, and rivers from throughout the permafrost region
145 and across seasons (section 2.2). We examined the role of seasonality, permafrost
146 extent, and incubation design on metrics of BDOC and recommend a protocol for
147 future BDOC incubations. A meta-analysis of the combined results of our
148 standardized circum-arctic incubations and literature synthesis allowed us to identify
149 temporal and landscape-scale patterns in BDOC across Arctic regions. This study
150 represents the first to include both soils (soil leachates) and aquatic systems (streams,
151 lakes, rivers) to explore geographical and seasonal patterns of BDOC in the Arctic.

152

153 **2. METHODS**

154

155 **2.1 Literature synthesis**

156 We gathered and analyzed data from permafrost-region BDOC studies that met the
157 following criteria: 1. Located in the Arctic Ocean watershed (including the Yukon
158 River watershed); 2. Used DIC production (CO₂ evasion), or DOC loss over time to
159 assess biodegradability (we excluded studies based on O₂ loss due to complicating
160 factors such as respiratory coefficients); and 3. Incubation was performed in the dark
161 to avoid autotrophic effects or photodegradation.

162

163 A total of 14 studies with experimental data on BDOC were found (Michaelson et al.,
164 1998; Kawahigashi et al., 2004; Wickland et al., 2007; 2012; Holmes et al., 2008;

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166 Balcarczyk et al., 2009; Roehm et al., 2009; Kiikkilä et al., 2011; Mann et al., 2012;
167 | Olefeldt et al., 2013a and 2013b; Vonk et al., 2013a and 2013b; Abbott et al., 2014).

168 All time steps from the incubations were treated as single data points, thus not just the
169 final DOC loss (e.g. if DOC concentration was measured at days 2, 7, and 14, we
170 | included the three points individually). We categorized the data (Table 1 and Fig. 2)
171 | by permafrost zone (no permafrost, discontinuous, or continuous), seasonality (day of
172 year), filter pore size (0.22, 0.45, or 0.7 μm), BDOC method (DIC production or DOC
173 loss), incubation time/ duration (days), incubation temperature, use of inorganic
174 nutrient additions (yes or no), sample agitation during the incubation (yes or no),
175 incubation bottle size (ranging from 40 to 3000 mL), inoculum addition at start of
176 experiment (yes or no), and oxygen availability (for soil incubations: oxic or anoxic;
177 all aquatic incubations were performed oxic). When an incubation was performed at
178 "room temperature" we assumed 20°C. For watersheds crossing permafrost
179 | boundaries we chose the spatially-dominant permafrost type. We sorted the data into
180 soil leachate and aquatic incubations, with subclasses (for our categorical purposes)
181 | for the aquatic data: "lakes", "streams" (<250km²), "large streams" (250km² to
182 | 25,000km²), "rivers" (25,000km² to 500,000km²) and "large rivers" (>500,000km²).
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184 2.2 Circum-arctic standardized incubation experiment

185 In June to September of 2013 we performed BDOC experiments with leachates from
186 three soil cores (from near Toolik Field Station, Alaska), water from two streams
187 (Richardson Creek, Alaska; Y3, Siberia), and water from three major Arctic rivers
188 (Yukon, Mackenzie and Kolyma Rivers; Fig. 1). Soil leachates were performed by
189 adding 500 mL DI water to soil volumes of ca. 2 L, letting this stand for 24 hours, and
190 extracting using a pore water sampler measuring total leachate volume extracted.
191 Water samples were collected from the surface in pre-cleaned, pre-rinsed containers
192 and transported (dark and cool) to filtration facilities within 12 hours. We developed
193 an incubation methodology adapted for implementation at remote field sites to assure
194 applicability to future work.

195
196 | We measured DOC loss over time rather than O₂ loss or DIC production, as it did not
197 | require specialized supplies or instrumentation in the field. All samples were filtered
198 | through pre-combusted Whatman GF/F filters (nominal pore size 0.7 μm), which are
199 | commonly used throughout the literature and can be pre-cleaned through combustion
200 | (450°C > 4hrs). We set up triplicate incubations with three different treatments to test
201 | the effects of bacterial inoculation: (1) no inoculum, (2) 1% inoculum by volume, (3)
202 | 10% inoculum by volume. Inocula consisted of 1.2 μm filtered water (using pre-
203 | combusted (450°C > 4hrs) Whatman GF/C filters, 1.2 μm nominal pore size) that was
204 | added to sample waters (filtered at 0.7 μm) to the specified ratio.
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206 | We added 30 ml aliquots of sample into pre-combusted (550°C > 4hrs) 40 mL glass
207 | incubation vials and stored them at 20°C in the dark, with no nutrient amendment. To
208 | ensure oxic conditions we left vial caps loose and shook samples once a day. The
209 | incubated samples were re-filtered through 0.7 μm filters to remove flocculation after

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217 0, 2, 7, 14 and 28 days (using separate vials, in triplicate, for each time step). Re-
 218 filtration removes the majority of the microbial biomass, resulting in a measured DOC
 219 loss including both DOC mineralization and assimilation. Samples were immediately
 220 acidified with 30µL of concentrated HCl (high quality grade; to pH ≤2). Acidified
 221 sample vials were capped and stored refrigerated in the dark until analysis within
 222 three months. At the time of analysis, acidified samples were sparged with CO₂ free
 223 air for 8 minutes at 75 mL/min and run as non-purgable organic carbon (NPOC) on
 224 either a Shimadzu TOC-V or TOC-L analyzer. DOC was calculated as the mean of
 225 between three and seven injections and the coefficient of variance was always <2%.

226 BDOC is reported in percent loss at time point x (2, 7, 14 or 28 days) according to:
 227
$$\text{BDOC}(\%)_{T=x} = ((\text{DOC}_{T=0} - \text{DOC}_{T=x}) / \text{DOC}_{T=0}) * 100\% \quad (1)$$

229 2.3 Statistical analyses

230 We combined the literature meta-analysis of 14 papers (n=551) with data from our
 231 circum-arctic incubation experiment (n=192). Each of the studies identified used
 232 different methods for assessing BDOC, complicating and limiting possible analyses.
 233 To examine trends across the total dataset (n = 743) we performed categorical
 234 principle component analysis (CATPCA) via optimal scaling. This approach allowed
 235 us to compare the effect of multiple variables with mixed measurement levels (scalar,
 236 nominal, ordinal). We then performed a standard principle component analysis (PCA)
 237 using the optimally-scaled results to aid in data interpretation. Data normality was
 238 assessed using the Shapiro-Wilk test (p > 0.05). The data were normal and did not
 239 require transformation. Separate CATPCA and PCA analyses were performed on the
 240 aquatic and soil leachate datasets, as well as for methodological and environmental
 241 parameters (Table 1). Validity of each PCA was tested using the Barlett tests of
 242 sphericity (p < 0.001) and Kaiser-Meyer-Olkin measures of sampling adequacy.
 243 Direct oblimin rotation was applied and rotated scores used throughout, allowing for
 244 correlation between scores (Manisera et al., 2010). CATPCA runs assigned measures
 245 from scalar data (initial DOC, BDOC (%), latitude, longitude, Julian day, bottle size,
 246 incubation time, and incubation temperature), nominal data (method of C loss,
 247 shaking, nutrient addition, inoculum, oxygen availability, location in fluvial network)
 248 and ordinal data (filter pore size, and permafrost extent). We considered final rotated
 249 PCA correlations of >0.7 as strong, between 0.5 and 0.7 as moderate, and <0.5 as
 250 weak or absent (Quinn and Keough, 2002). Although this approach has drawbacks, in
 251 our opinion it proved the most representative methodology given the diverse dataset
 252 which included repeated measures (i.e. multiple time points) of BDOC (Bradlow et
 253 al., 2002). Additionally, we combined data from all studies carried out with
 254 incubation temperatures between 15-25°C and with incubation durations between 28-
 255 34 days, which represented the most common temperature and duration in the meta-
 256 analysis, to test for environmental trends (Fig. 3, 4, 5). Here we tested for differences
 257 among means using analysis of variance (ANOVA). All ANOVA, CATPCA, and
 258 PCA analyses were conducted in SPSS 22.

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279 **3. RESULTS**

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281 **3.1 Literature synthesis**

282 The 14 literature studies comprised a total of 551 data points of which 418 were
283 aquatic. Most studies were located in North America (242 [data points](#) in Alaska, USA
284 and 227 in Canada; [Fig. 2a](#)), and from regions [either](#) without permafrost (234), or
285 [with](#) continuous permafrost (230; [Fig. 2c](#)). The most common incubation
286 temperatures were 17.5 or 20°C (41% and 36% of the data, respectively; [Fig. 2d](#)). The
287 majority of studies (60% of data) used 0.7 µm glass fiber filters, to determine DOC
288 ([Fig. 2f](#)). Half of the BDOC assays were incubated for between 14 and 40 days ([Fig.](#)
289 [2e](#)). Furthermore, most incubations in our synthesis were started after addition of an
290 inoculum (80% of aquatic incubations, 97% of soil leachate incubations).

291

292 **3.2 Methodological factors affecting BDOC**

293 To examine the effects of inoculum addition and inoculum concentration on BDOC,
294 we compared mean BDOC across our circum-arctic standardized incubation
295 experiment (no inoculum, 1% and 10% inoculum; $n = 40$ per treatment). Amount of
296 inoculum (1% or 10%) had no effect on the proportion of BDOC (ANOVA, $p > 0.9$).
297 As the degree of inoculation had no clear systematic effect on BDOC loss ([see also](#)
298 [methodological PCA results; 3.2.1](#)) we grouped all inoculated data (independent of
299 concentration), and all non-inoculated data during [our ANOVA and environmental](#)
300 [PCA](#) analyses. In the sections below we examine the patterns present in the combined
301 analysis of aquatic and soil literature results, including our circum-arctic incubation
302 experiments.

303

304 **3.2.1 Aquatic BDOC**

305 Three principle components together explained 81% of the variance among all aquatic
306 incubation samples (PC1 = 46%, PC2 = 23%, PC3 = 12%; Table 2). The first
307 component did not correlate with BDOC but correlated positively with shaking during
308 incubation ($r = 0.97$), the method used to measure DOC loss ($r = 0.91$), incubation
309 temperature ($r = 0.84$), and correlated negatively with bottle size ($r = -0.77$) and
310 presence of inoculum ($r = -0.51$). Component 2 also did not explain much variation in
311 BDOC, but correlated with filter pore size ($r = 0.90$), nutrient addition ($r = 0.90$), and
312 the use of inoculum ($r = 0.64$). Component 3, explained the greatest proportion of
313 BDOC variance ($r = -0.83$). Component 3 also closely correlated with incubation time
314 ($r = -0.85$) and displayed a negative correlation with bottle size ($r = 0.54$). Effect of
315 oxygen availability was not examined in aquatic incubations, as all previously
316 published experiments were conducted under oxic conditions.

317

318 **3.2.2 Soil leachate BDOC**

319 Three principle components explained 72% of the variance across all soil incubation
320 samples (PC1 = 34%, PC2 = 21%, PC3 = 16%; Table 2). Component 1 was strongly
321 correlated with BDOC loss ($r = 0.75$), as well as the availability of oxygen in

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330 incubations ($r = 0.94$), the method used to measure carbon loss ($r = 0.87$) and whether
331 samples were shaken during incubation ($r = 0.73$). Neither component 2 nor 3 closely
332 correlated with BDOC, but component 2 correlated positively with incubation time (r
333 = 0.88), filter pore size ($r = 0.74$) and temperature ($r = 0.54$), and component 3 was
334 positively correlated to bottle size ($r = 0.74$), and inoculum ($r = 0.57$) and negatively
335 related to temperature ($r = -0.66$) and shaking ($r = -0.57$).

336

337

338 **3.3 Environmental factors affecting BDOC**

339 Similar to section 3.2, here we present the statistical results of the fully grouped
340 dataset (i.e. inoculated and non-inoculated literature synthesis data, combined with the
341 circum-arctic incubation experiment data), concentrating on how environmental
342 variables co-vary with BDOC losses.

343

344 **3.3.1 Aquatic BDOC**

345 Three components explained 82% of the total variance among environmental
346 parameters from all aquatic incubations (PC1 = 52%, PC2 = 18%, PC3 = 13%; Table
347 3). The first component was moderately correlated with BDOC ($r = 0.51$) and
348 strongly correlated with location within the fluvial network ($r = 0.95$), dominant
349 permafrost type ($r = 0.94$; greater BDOC in continuous permafrost regions, see also
350 Fig. 3a), sample latitude ($r = 0.93$), and initial DOC ($r = -0.70$). The second
351 component was strongly negatively correlated with BDOC ($r = -0.71$), and was
352 explained by sample longitude ($r = 0.78$). The third component did not correlate to
353 BDOC but showed a strong correlation with sampling period (Julian day; $r = 0.95$).

354

355 **3.3.2 Soil leachate BDOC**

356 Two components explained 77% of the variance in environmental parameters across
357 soil leachate incubations (PC1 = 55%, PC2 = 22%; Table 3). BDOC was most closely
358 correlated to component 1 ($r = 0.81$), which was associated with latitude ($r = 0.97$)
359 and dominant permafrost type ($r = 0.96$; greater BDOC in continuous permafrost
360 regions; see also Fig. 3b), and initial DOC ($r = -0.83$). The second component did not
361 correlate with BDOC but was positively correlated to longitude ($r = 0.79$) and
362 sampling period (Julian day; $r = 0.78$).

363

364 **4. DISCUSSION**

365

366 **4.1 Methodological factors influencing BDOC**

367 Aquatic BDOC losses only showed a strong correlation with incubation time, with
368 higher total BDOC observed in longer experiments (Table 2). This is not surprising
369 yet does point out that the length of the incubation set-up will ultimately be a primary
370 factor determining the BDOC (%), and thus the importance of this consideration for
371 comparison among studies. Despite total DOC loss increasing with longer incubation
372 time, the rate of DOC loss decreases over time.

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385

386 Soil leachate BDOC was not clearly affected by incubation time across experiments
387 (Table 2). We suggest that the effects of incubation time may have been masked by
388 multiple additional methodological factors significantly influencing the soil BDOC
389 experiments in particular. For example, the presence of O₂ within incubations or
390 regular bottle shaking appeared to play a crucial role in soil BDOC losses (Table 2).
391 As soil extractions typically have higher initial DOC concentrations (despite some
392 degree of dilution applied in the experiment), they may be more susceptible to oxygen
393 drawdown, increasing the importance of regular bottle shaking. Also, the method of
394 assessing carbon loss appeared to play a critical role in the amount of BDOC
395 measured during soil incubations, but not so clearly in aquatic experiments. This
396 finding contradicts with the finding of McDowell et al. (2006) that found largely
397 comparable results between available methods. We compared different methods
398 conducted on different samples, which may explain our contrasting findings.

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4.2 Environmental factors influencing BDOC

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4.2.1 Permafrost extent and longitude

402 Aquatic and soil BDOC losses were significantly lower in regions without permafrost
403 than in discontinuous or continuous permafrost regions (Fig. 3). This may either be
404 explained by shallower hydrologic flow paths in permafrost-affected regions, which
405 would constrain water flow, and DOC origin, to relatively shallow soils, or by the
406 unique dissolved organic matter (DOM) composition of yedoma permafrost thaw
407 (Abbott et al., 2014; Spencer et al., 2015), containing high levels of aliphatics and
408 carbohydrates, allowing for more rapid degradation. Furthermore, permafrost DOM is
409 relatively well-preserved due to limited processing of organic carbon in soils under
410 long-term frozen conditions (Khvorostyanov et al., 2008; Schuur et al., 2008), though
411 permafrost-derived DOC still shows signs of processing (Wickland et al., 2012;
412 Abbott et al., 2014). Continuous permafrost regions thus seem to receive relatively
413 well-preserved, unique DOC into soil leachates and aquatic systems leading to higher
414 losses, whereas discontinuous permafrost regions and regions without permafrost
415 receive DOC that has already been subject to some degree of degradation. The
416 presence of permafrost also impacts hydrological flowpaths and transport times,
417 which may result in more efficient delivery of relatively less-processed terrestrial
418 DOC to aquatic systems (Striegl et al., 2005; Walvoord et al., 2012). Alternatively,
419 preferential sorption of specific compounds, freeze-thaw effects, or sub-zero
420 metabolism in permafrost could increase DOC biodegradability (Abbott et al., 2014
421 and references therein). The difference in BDOC with permafrost extent is stronger in
422 soils than in aquatic systems (Table 3, Fig. 3), likely attributable to a fresher, less
423 altered permafrost DOC signature in soils compared to aquatic DOC that has already
424 undergone some processing. Newly thawed DOC from yedoma permafrost soils will
425 be subject to more rapid degradation (Spencer et al., 2015).

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439 | Aquatic BDOC was negatively correlated with longitude. Judging from the prevailing
440 | geographical regions in the dataset (Fig. 1) this suggests that aquatic BDOC in Alaska
441 | and Canada was on average higher than in Eastern Siberia. This could be related to a
442 | combination of the spatial spread in our dataset with the distribution of yedoma.
443 | Yedoma is Pleistocene-aged permafrost (Zimov et al., 2006) predominantly present in
444 | northeast Siberia, but also in Alaska and NW Canada (Kanevskiy et al., 2011) that
445 | releases extremely biolabile DOC upon thaw (BDOC between 40-65% after 30-40
446 | days of incubation, Vonk et al., 2013b; Abbott et al., 2014). In our meta-analysis,
447 | most of the aquatic BDOC incubations with yedoma-derived DOC are located in
448 | Alaska, which could explain the longitudinal pattern.

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450 | *4.2.2 Patterns within the fluvial network*

451 | In continuous permafrost regions, aquatic BDOC changes within the fluvial network
452 | (Fig. 4). Here, large rivers (defined as watersheds larger than 500,000 km²) showed
453 | significantly lower BDOC than streams, large streams, and rivers. We should note
454 | here that streams (<250km², n=149) and large rivers (>500,000 km², n=60) are
455 | overrepresented in the continuous permafrost dataset, when compared to large streams
456 | (250 - 25,000km², n=46) and rivers (25,000-500,000km², n=18). Nevertheless, this
457 | suggests that continuous permafrost regions may release DOC that degrades more
458 | rapidly with the movement from headwaters to larger rivers in the fluvial network and
459 | that these sources may be absent in regions with discontinuous or no permafrost.
460 | Pleistocene yedoma could be such a source, as its strong degradation potential (Vonk
461 | et al., 2013a: 2013b; Abbott et al., 2014) leads to preferential utilization in headwater
462 | streams (Mann et al., 2015; Spencer et al., 2015).

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463 | *4.2.3 Seasonality*

464 | BDOC decreased with Julian day for large streams, rivers and large rivers (Fig. 5c) in
465 | both continuous and discontinuous permafrost regions, whereas streams (Fig. 5b) and
466 | soil leachates (Fig. 5a) showed no seasonal pattern. This pattern may be associated
467 | with shifts in carbon source (winter and spring DOC in large Arctic rivers is more
468 | biolabile than in summer; Wickland et al., 2012; Mann et al., 2012; Holmes et al.,
469 | 2008) but it is likely more related to a changing hydrologic residence time. In boreal
470 | and Arctic systems soil thaw-depth increases throughout the summer, resulting in
471 | longer water residence times in soils and headwater streams (Harms and Jones, 2012;
472 | Jones and Rinehart, 2010; Koch et al., 2013). This allows more time for
473 | biodegradable carbon compounds to be mineralized before reaching the river late in
474 | the season, effectively reducing measured BDOC in higher-order streams and rivers
475 | later in the season. Increasing water temperature through the season could magnify
476 | this effect with little mineralization early in the year when soils and streams are cold
477 | but accelerating biolabile carbon removal in summer. Hydrologic connectivity
478 | between soils and surface waters is generally weaker later in summer (Striegl et al.,
479 | 2005; Spencer et al., 2008; Koch et al., 2013), which could explain the absence of
480 | seasonal trends for soils and streams (Fig. 5a, b). Furthermore, soil core leachates
481 | from a near-surface core that developed fresh plant growth during the growing season
482 |

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493 showed higher BDOC than cores without fresh plant growth (Fig. 6). These local
494 plant growth-induced spikes in BDOC, likely induced by root exudates (Marscher and
495 Kalbitz, 2003) could also mask seasonal trends in soil leachate BDOC and instead
496 highlight spatial variability.

497

498 4.2.4 Other factors affecting BDOC

499 | There are multiple factors that affect in situ BDOC that neither we nor the
500 investigated literature studies have considered. One of these factors is the effect of
501 light. Photochemical processes can lead to rapid DOC losses (up to 30% in 14 days;
502 Mann et al., 2012) and may alter the DOC composition so that it is more susceptible
503 to microbial degradation (Cory et al., 2013). Furthermore, the presence of POC also
504 serves as an important catalyst in DOC biolability (Battin et al., 2008). In this study
505 we do not investigate any potential co-metabolizing effects of POC degradation, or
506 for the biodegradability of POC itself, which could be substantial (Sánchez-García et
507 al., 2011; Richardson et al., 2013).

508

509 | Something we could not directly address in our synthesis was the effect of DOM
510 composition, which can be related to the depth of the active layer and the associated
511 retention of certain fractions of the DOC pool. For example, sugars and microbially-
512 derived organic matter appear more biolabile than plant-derived organic matter
513 (Balcarczyk et al., 2009; Mann et al., 2012). Also, permafrost DOM appears to be
514 enriched in hydrogen-rich, aliphatic compounds that are preferentially degraded in
515 incubation experiments (Spencer et al., 2015). The preferential degradation of
516 biolabile components of the bulk DOC results in an enrichment of more recalcitrant
517 components in soil pore waters (Wickland et al., 2007) and in larger rivers
518 downstream (Spencer et al., 2015).

519

520 | Another factor that could affect BDOC is nitrogen release from thawing, permafrost
521 (Harden et al., 2012; Keuper et al., 2012; Harms et al., 2014). High nitrogen levels
522 have been found to correlate with high BDOC (Holmes et al., 2008; Wickland et al.,
523 2012), although we do not find a strong correlation in our meta-analysis and other
524 studies show little response of BDOC to inorganic nutrient additions (Abbott et al.,
525 2014; Mann et al., 2015).

526

527 **4.3 Circum-arctic patterns in BDOC**

528

529 4.3.1 Geographical and seasonal patterns in BDOC

530 | We identified distinct large-scale patterns in the biodegradability of DOC, which we
531 illustrate in a conceptual diagram (Fig. 7). The percentage BDOC in both soil and
532 aquatic systems increased from regions without permafrost to regions with continuous
533 permafrost. We attribute this increase to better preservation of DOC in permafrost
534 regions where frozen storage has limited processing of the soil organic matter, and to
535 stronger hydrologic connectivity between terrestrial and aquatic systems.
536 | Furthermore, within aquatic networks, BDOC was lower in large river systems

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547 | compared with streams, and this pattern was most pronounced in continuous
548 | permafrost regions. This suggests that continuous permafrost regions release DOC
549 | sources such as Pleistocene yedoma that degrade rapidly in the fluvial network (Vonk
550 | et al., 2013b; Abbott et al., 2014; Mann et al., 2015; Spencer et al., 2015).

551 |
552 | Aquatic BDOC in large streams and rivers decreased as the Arctic summer
553 | progressed. This pattern was absent for soils and streams. This could be related to a
554 | variety of factors such as seasonal shifts in carbon sources, changing DOC residence
555 | time related to increasing thaw-depth, increasing water temperatures later in the
556 | summer, as well as decreasing hydrologic connectivity between soils and surface
557 | waters when the season progresses. Alternatively, the integrating character of rivers
558 | and larger streams could mask local-scale heterogeneity that is more apparent in small
559 | streams and soil leachates.

560 | 561 | 4.3.2 Circum-arctic fluxes of BDOC

562 | Evaluating aquatic DOC export fluxes through sampling at river mouth locations near
563 | the Arctic Ocean underestimates the importance of the fluvial network for processing
564 | DOM. Literature estimates of watershed-scale aquatic C gas fluxes vary widely
565 | between 0.5 and 10 gC/m²/yr (all normalized to catchment area; Striegl et al., 2012;
566 | Lundin et al., 2013; Denfeld et al., 2013; Crawford et al., 2013). When extrapolated to
567 | the Arctic Ocean watershed (20.5 x 10⁶ km²; Holmes et al., 2013) this could result in
568 | a total gaseous C emission between 10 and 200 Tg C/yr. These estimates seem
569 | reasonable compared to an annual Arctic Ocean watershed DOC flux of 34 Tg
570 | (Holmes et al., 2012), where 34 Tg is based on river mouth monitoring and ignores
571 | processing within the watershed prior to arriving at the river mouth. Also, a
572 | significant fraction of the emitted flux originates from weathering and soil respiration
573 | sources (Striegl et al., 2005; Humborg et al., 2009).

574 |
575 | Gaseous losses of C during aquatic processing in the watershed remain hard to
576 | determine. Wickland et al., (2012) estimated that the combined BDOC exported by
577 | the six largest Arctic rivers to the Arctic Ocean is 2.3 Tg C/yr, based on empirical
578 | relations between BDOC and DOC:DIN (dissolved inorganic nitrogen) ratios.
579 | Importantly, these watershed-scale estimates exclude processing and retention of
580 | DOC in soils, prior to delivery to aquatic networks. As we have seen in this study,
581 | soil BDOC is on average higher than aquatic BDOC. By using the % permafrost
582 | extent in the Arctic Ocean watershed from Holmes et al., (2013), 45% continuous,
583 | 31% discontinuous (including sporadic and isolated) and 26% without permafrost,
584 | and average soil BDOC values for each permafrost zone (20, 15 and 8 BDOC for
585 | continuous, discontinuous and no permafrost regions, respectively; mean values from
586 | Fig. 3b) we can calculate the permafrost-normalized average soil BDOC to be 16%.
587 | Inclusion of DOC processing within soils is likely to significantly raise the 2.3 Tg
588 | C/yr estimate for aquatic networks alone (Wickland et al., 2012). However, questions
589 | about the linkages between soil and stream BDOC with deepening active layer depths
590 | remain. Changes in hydrological flow paths associated with deepening active layers

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599 could reduce the inputs of DOC due to mineral sorption and additional processing
600 during transport (MacLean et al., 1999; Striegl et al., 2005; O'Donnell et al., 2010)
601 but the net effects of permafrost thaw on BDOC inputs to streams are not yet well
602 characterized.

603

604 **4.4 Method considerations and recommendations**

605 In order to compare BDOC losses across Arctic, and alternate systems, it is crucial to
606 standardize the methods with which biodegradability is assessed. Our meta-analysis
607 highlighted the significant variability in incubation design across the currently
608 available literature making robust comparisons of BDOC across studies challenging.
609 We suggest the following DOC incubation method, which is intentionally kept simple
610 to be feasible at more remote field sites (a more detailed protocol is available in the
611 supplementary information). Additionally, we suggest a few optional protocol steps
612 that could be used to assess further environmental controls on BDOC.

613

614

615 Standardized DOC incubation protocol

616 • As soon as possible after collection, filter water samples through pre-combusted
617 (450°C >4hrs) 0.7 μm glass fiber filters and chill (ca. 4°C) until ready to incubate.

618 ⇒ Rapid incubation setup is strongly recommended since many biolabile DOC
619 compounds have turnover times of hours. We advocate against freezing
620 samples due to DOC flocculation, compositional and structural changes in the
621 DOC, and bacterial viability (Fellman et al., 2008)

622 • Decant filtrate into triplicate sets of 40 mL pre-combusted (550°C >4hrs) glass
623 vials, and fill each vial with 30 mL filtrate. Use a triplicate glass vial set for each
624 time point in your incubation. We recommend five time points at which one
625 triplicate set will be consecutively removed from incubation: T = 0, T = 2, T = 7, T
626 = 14 and T = 28 days. Use caps with silicone or teflon septa (avoid rubber which
627 can leach DOC). Potentially, a longer time step (T=90; e.g. Holmes et al., 2008)
628 can be added to assess less labile DOC. In that case, we also recommend assessing
629 DIC production (see additional protocol steps, below) as this method is more
630 sensitive in detecting small change. We want to point out, however, that the
631 majority of the incubations will respond within 28 days, and longer incubations
632 will introduce issues such as bottle effects.

633 ⇒ Our reasons for recommending 40mL glass vials are several; they are
634 commonly available, they can be cleaned through pre-ashing, the required
635 total volume per incubation is relatively small but sufficient for analysis, and
636 our analyses suggest that variation in bottle size may affect BDOC results.

637 • Inoculation of samples is not needed as filtration through 0.7 μm allows for a
638 sufficient amount of bacteria to pass the filter.

639 • Incubate the vials in the dark (to avoid autotrophic respiration and
640 photodegradation), with loose caps and regular shaking to avoid oxygen-depletion.

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645 • We recommend performing sample incubation at room temperature (20°C), as this
646 is most common and relatively easy to maintain. Document the temperature
647 throughout the experiment precisely.

648 ⇒ If possible, the incubations should be carried out at a stable temperature for
649 example by using an oven or incubator.

650 • Re-filter the incubated samples through pre-combusted (450°C >4hrs) 0.7 µm
651 filters (to avoid problems with flocculation and remove microbial biomass) for
652 each time step. Store the filtered samples in pre-combusted (550°C >4hrs) 40mL
653 glass vials, acidify to pH 2 with 30µL concentrated HCl. Cap tightly and store dark
654 and chilled until analysis.

655 • For logistical reasons, we recommend assessment of BDOC through DOC loss (see
656 [equation 1](#)).

657 • For details regarding DOC analysis, see the supplementary information. [Note that](#)
658 [samples with low initial DOC concentrations may approach the detection limit of](#)
659 [OC analyzers](#).

660

661 *Additional protocol steps:*

662 • **Ambient incubation temperature:** Incubate at the ambient temperature of the
663 water or soil from where the sample was collected to allow for application of
664 results to ambient conditions. Run control incubations at 20°C.

665 • **Nutrient amendment:** Because the effect of nutrients on DOC processing is
666 unclear, we recommend running experiments both with and without added
667 nutrients. Amount of added nutrients should be adapted in relation to initial
668 nutrient concentration according to the Redfield ratio, but in general an amendment
669 of NO₃⁻ (to a concentration of 80µm), NH₄⁺ (80µm) and PO₄³⁻ (10µm; Holmes et
670 al., 2008) is appropriate for aquatic and soil leachates. Run control incubations
671 without nutrient amendment.

672 • **DIC production:** If field and laboratory settings allow we recommend also
673 assessing C loss through DIC production, to provide BDOC estimates through two
674 independent methods. We suggest to measure the CO₂ concentration in the
675 headspace of the incubation flask and calculate the change in DIC (headspace CO₂
676 plus dissolved CO₂, carbonate, and bicarbonate in the aqueous phase). This method
677 is detailed in Kalbitz et al., (2003). Keep all other parameters (such as filter pore
678 size, incubation temperature, [and](#) approximate sample volume) similar to the
679 control incubation that measures DOC loss.

680 • **Light incubation:** Dark incubations eliminate effects of autotrophic respiration
681 and photodegradation; however to simulate realistic DOC drawdown, light is a
682 critical factor (Mann et al., 2012; Cory et al., 2013).

683 • **DOC ‘quality’ (composition) measurements:** If possible, we recommend
684 [assessing](#) DOM compositional information [for](#), at least, initial water [samples](#) or
685 soil leachates and, if possible, also on incubated waters and soil leachates ([i.e.](#),
686 [post-incubation](#)). These measures may include optical properties (specific
687 ultraviolet absorbance, fluorescence excitation-emission matrices), and compound-

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693 specific analyses (carbohydrates, amino acids, lignin phenols, Fourier transform
694 ion cyclotron resonance mass spectrometry, etc.).

695

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697 5. CONCLUSIONS

698

699 Half of the global belowground soil OC pool is stored in circum-arctic permafrost but
700 little is known about the processes controlling transport and degradation of DOC, a
701 key regulator of the rate of permafrost carbon release from the Arctic watershed to the
702 atmosphere. We synthesized results from 14 BDOC studies from the permafrost
703 region and complemented this with novel BDOC data determined using a
704 standardized method from across the Arctic. We observed a large variability in soil
705 and aquatic BDOC, even under uniform conditions. Despite the significant
706 heterogeneity, we found that both soil and aquatic DOC is more biodegradable in
707 regions with continuous permafrost compared to regions without permafrost. Within
708 continuous permafrost regions, the degradability of DOC decreased from headwater
709 streams to larger river systems, suggesting that permafrost DOC is preferentially
710 utilized within the network. Furthermore, we discovered that aquatic BDOC in large
711 streams and rivers decreased as the Arctic summer progressed, whereas this pattern
712 was absent for soils and small streams.

713

714 Based on our synthesis of BDOC studies and additional measurements, we predict
715 that slow future transformation of continuous permafrost into discontinuous
716 permafrost regions could release an initial, relatively short-term, pulse of
717 biodegradable DOC but will on longer timescales possibly lead to the release of DOC
718 that is more recalcitrant. The total gaseous watershed C flux may, however, increase
719 as more DOC could be processed within soils prior to release into aquatic networks,
720 due to deeper thaw depths and increasing residence time (Striegl et al., 2005).
721 Furthermore, a lengthening of the arctic summer thaw period could result in lower
722 DOC biodegradability in large streams and rivers, but higher biodegradability in small
723 streams and soils.

724

725 The Arctic is changing, and so is the coupling between its carbon and hydrologic
726 cycles. There still are large uncertainties related to processing and transport of DOC,
727 and little data are available from northern Canada and Russia, from discontinuous
728 permafrost regions, and across all seasons. We strongly recommend that future studies
729 of DOC degradability assess BDOC by means of our standardized DOC incubation
730 protocol, to facilitate optimal use and integration of future datasets with existing
731 knowledge.

732

733 Supplementary information

734 - Incubation protocol

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745 - Table S1: Site characteristics and BDOC results from our standardized
746 circumarctic incubation experiments

747

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762

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Table 1 List of methodological and environmental parameters we included in our meta-analysis. Variables are classified as scalar (no symbol), nominal (*) and ordinal (**). For scalar parameters we have listed the data range, for categorical (nominal and ordinal) data we have listed the number of categories along with their definition.

Parameter	Unit	Type of data and range or categories			Comments
		Scalar	Categorical		
		Data range	Number of categories	Definition of categories (PCA value assigned)	
BDOC	%	0 - 67			
Methodological					
Nutrients*	--		2	No nutrients (1) - nutrients added (2)	
Filter pore size**	µm		3	0.7 (1) - 0.45 (2) - 0.2 (3)	
Inoculation*	--		2	Not inoculated (1) - inoculated (2)	For experimental data, we identified not inoculated - 1% inoculated - 10% inoculated
Shaking*	--		2	No shaking (1) - shaking (2)	
Oxygen*	--		2	Anoxic (1) - oxic (2)	All aquatic incubations were assumed to be performed under oxic conditions
Bottle size	mL	40 - 3000			
Method of analysis*	--		2	DIC production (1) - DOC loss (2)	
Incubation temperature	°C	3.5 - 25			In the literature synthesis, we assumed "room temperature" was 20°C.
Incubation time	days	1 - 97			
Environmental					
Permafrost**	--		3	No permafrost (1) discontinuous (2) - continuous (3)	Dominant permafrost type in each catchment was used.
Location in aquatic network*	--		6	Soil leachate (1) - lake (2) - stream (3) - large stream (4) - river (5) - large river (6)	Based on watershed size: streams <250km ² ; large streams 250-25,000 km ² ; rivers 25,000-500,000km ² ; large rivers >500,000km ²
Soil or aquatic*	--		2	Aquatic (1) - soil (2)	
Latitude	°N	55.82 - 70.33			
Longitude	°E	-162.88 - 161.45			°W is given as negative °E degrees
Julian day	--	12 - 288			
Initial DOC	mg/L	1.9 - 155			

Table 2 Correlations between methodological variables and BDOC for each principle component axis (1, 2, 3) in a structure matrix for aquatic incubations (530 data points) and soil incubations (202 data points). Correlations above 0.7 (in bold) are considered strong, and correlations above 0.5 (italic) as moderate. All aquatic samples were incubated under oxic conditions and so this was excluded from the PCA. Similarly, none of the soil incubations were nutrient-amended so this was excluded from PCA. The parameters are ordered based upon their importance to explaining axis 1. Variables are classified as scalar (no symbol), nominal (*) and ordinal (**).

	Aquatic		
	1	2	3
Shaking*	0.97	0.07	-0.46
Method C loss*	0.91	0.09	-0.30
Temperature	0.84	0.11	-0.18
Bottle size	-0.77	0.08	<i>0.54</i>
Filter pore size**	0.34	0.90	-0.44
Nutrient addition*	0.37	0.90	-0.45
Inoculum*	<i>-0.51</i>	<i>0.64</i>	0.32
Incubation time	0.34	0.12	-0.85
BDOC	0.23	0.26	-0.83
% variance explained	46	23	12

	Soil		
	1	2	3
O ₂ availability*	0.94	-0.16	-0.06
Method C loss*	0.87	-0.30	0.02
BDOC	0.75	0.37	-0.02
Shaking*	0.73	-0.05	<i>-0.57</i>
Incubation time	0.06	0.88	-0.13
Filter pore size**	-0.25	0.74	0.25
Bottle size	0.06	0.10	0.74
Temperature	-0.05	<i>0.54</i>	-0.66
Inoculum*	-0.44	0.08	<i>0.57</i>
% variance explained	34	21	16

Table 3 Correlations between environmental variables and BDOC for each principle component axis in a structural matrix for aquatic incubations (505 data points) and soil incubations (165 data points). Correlations above 0.7 (in bold) are considered strong, and correlations above 0.5 (italic) as moderate. The parameters are ordered based upon their importance to explaining factor 1. Variables are classified as scalar (no symbol), nominal (*) and ordinal (**). Location in stream network, i.e. streams, large streams, rivers and large rivers, is indicated as 'network'.

	Aquatic		
	1	2	3
Network*	0.95	-0.05	-0.21
Permafrost**	0.94	0.05	-0.06
Latitude	0.93	0.06	-0.07
DOC initial	-0.70	-0.11	0.47
Longitude	0.41	0.78	0.12
BDOC	<i>0.51</i>	-0.71	-0.05
Julian day	-0.14	0.11	0.95
% variance explained	52	18	13

	Soil	
	1	2
Latitude	0.97	-0.08
Permafrost**	0.96	-0.13
DOC initial	-0.83	0.30
BDOC	0.81	0.15
Longitude	-0.22	0.79
Julian day	0.06	0.78
% variance explained	55	22

Figure 1

Map of the hydrological network (blue) in the Arctic Ocean watershed (boundary in red) with points showing literature data (blue for aquatic, red for soil) and experimental data (green for aquatic, orange for soil).

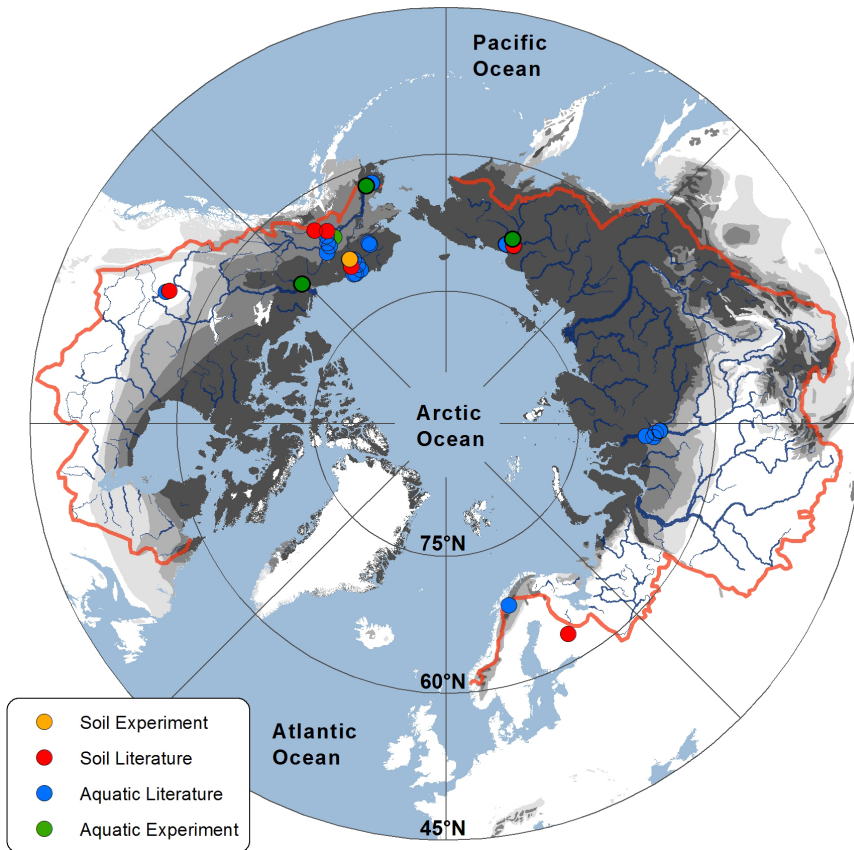


Figure 2

Histograms of environmental and methodological variety reported in the synthesized literature (n=426, see section 2.3), with (a) region/country, (b) soil leachate and type of aquatic study (categorized as streams (<250km²), large streams (>250km² and <25,000km²), rivers (>25,000km² and <500,000km²) and large rivers (>500,000km²), (c) permafrost zonation, (d) incubation temperature in °C, (e) incubation time (categorized in <7 days, 7-14 days, 14-40 days, and >40 days, and (f) filtration pore size (µm). Green represents soil leachate data, blue represents aquatic data. The y-axis shows number of data points.

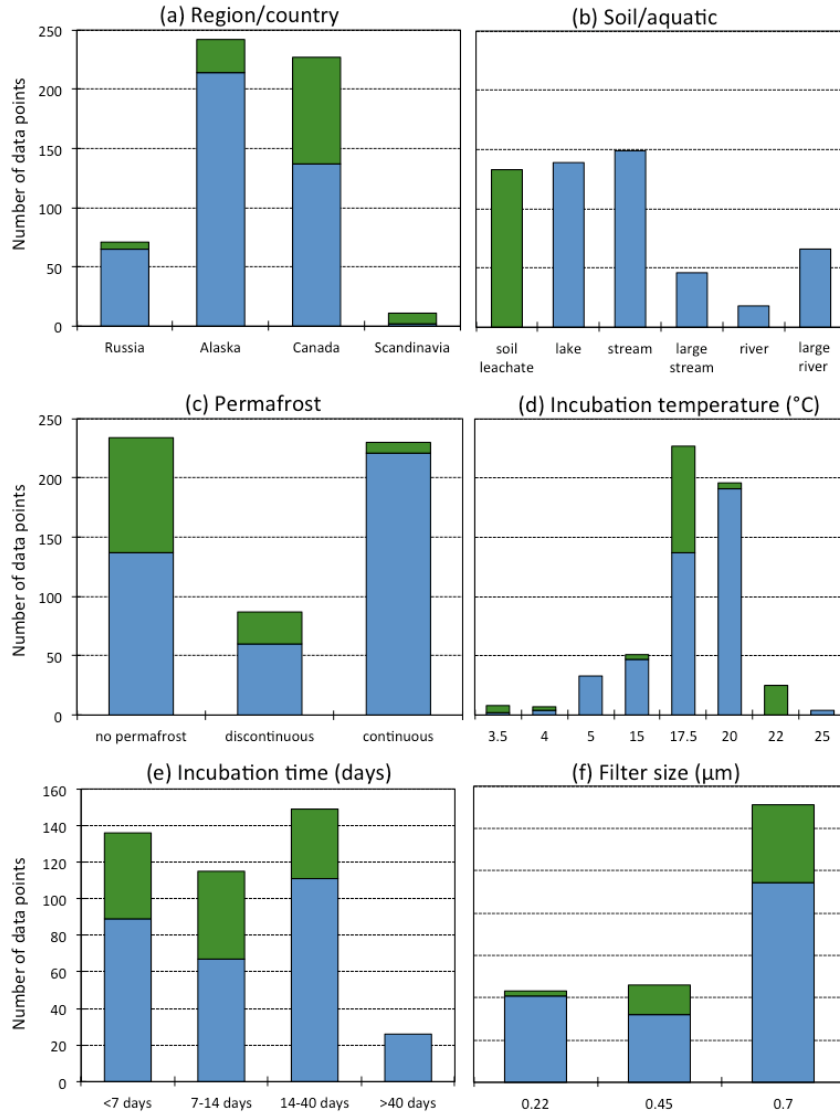
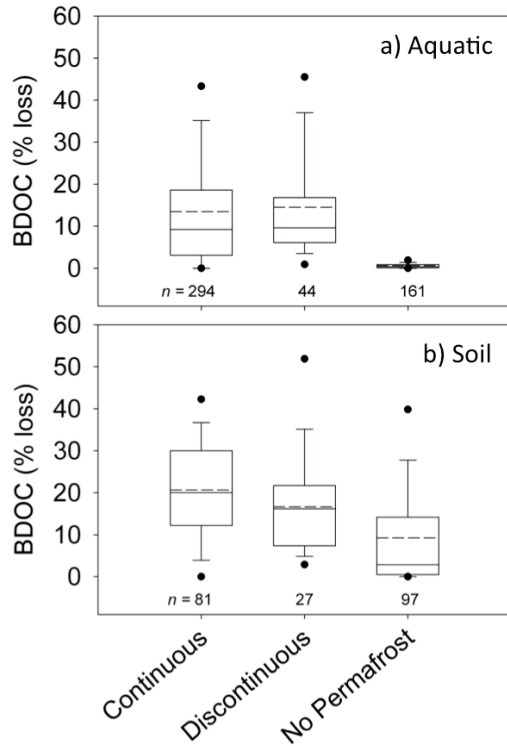


Figure 3

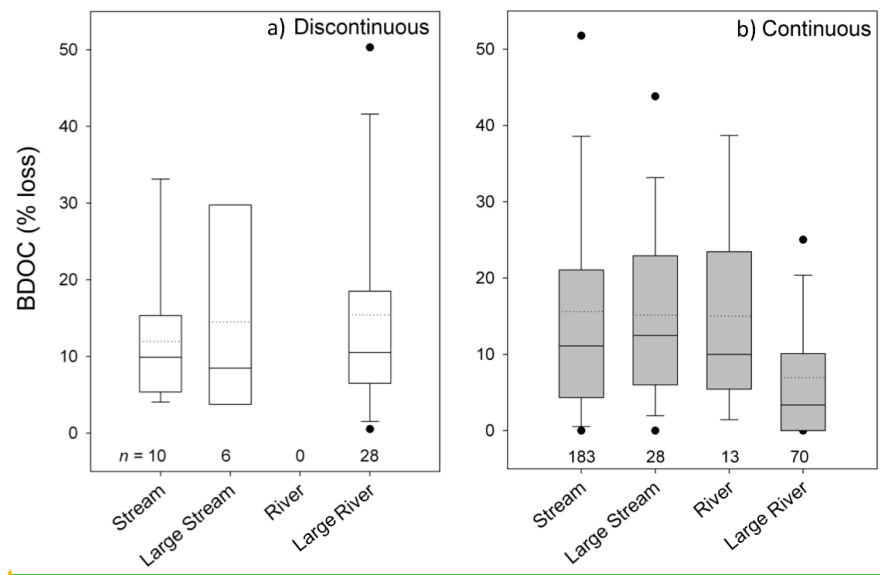
(a) Aquatic and (b) soil leachate BDOC data (15-25°C, n=205) after 28-34 days incubation across dominant permafrost type from literature-synthesis and our circumarctic experiment. The data are shown as 5th to 95th percentiles (points), 25th, 50th, and 75th percentiles (lines), median value (bold line) and mean value (dashed line). The number of data points used are listed below the box plots.



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Figure 4

Aquatic BDOC data for 15-25°C after 28-34 days incubation for streams (<250 km²), large streams (>250 km², <25,000 km²), rivers (>25,000 km², <500,000 km²), and large rivers (>500,000 km²) clustered for (a) discontinuous and (b) continuous permafrost zones. Symbology as in Fig. 3. A plot for 'no permafrost regions' is not shown as here only BDOC data for rivers were available (median BDOC = 0.44 %, mean BDOC = 0.69 %; n = 25). [The number of data points used are listed below the box plots.](#)



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Figure 5

Seasonal BDOC losses (shown against Julian day) at 15-25°C after 28-34 days incubation for (a) soil leachates, (b) streams and (c) clustered large streams, rivers and large rivers for regions without permafrost, discontinuous permafrost and continuous permafrost. Trend lines denote significant relationships where present. Solid line represents linear fit in discontinuous permafrost ($r^2 = 0.33$, $p = 0.0003$) and dashed line continuous permafrost ($r^2 = 0.29$, $p < 0.0001$).

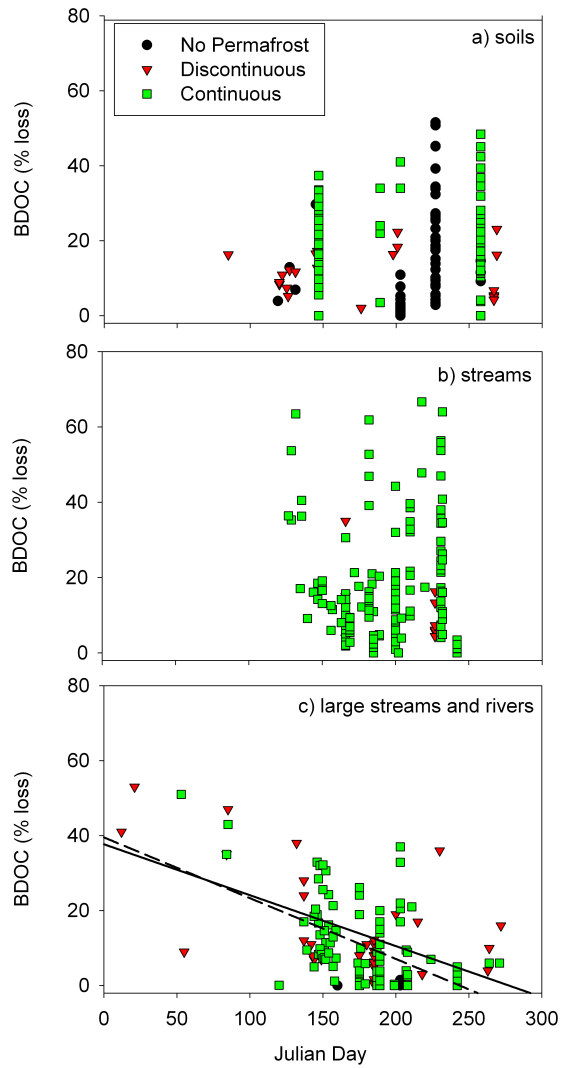


Figure 6

BDOC losses (at 20°C) after 28 day incubation for soil leachates from three cores collected near Toolik, Alaska, as part of our circumarctic incubation experiment (see section 2.1). Soil leachates were collected and incubated both in spring (circles) and fall (diamonds). In core 1 we observed active plant growth during the spring and fall incubations.

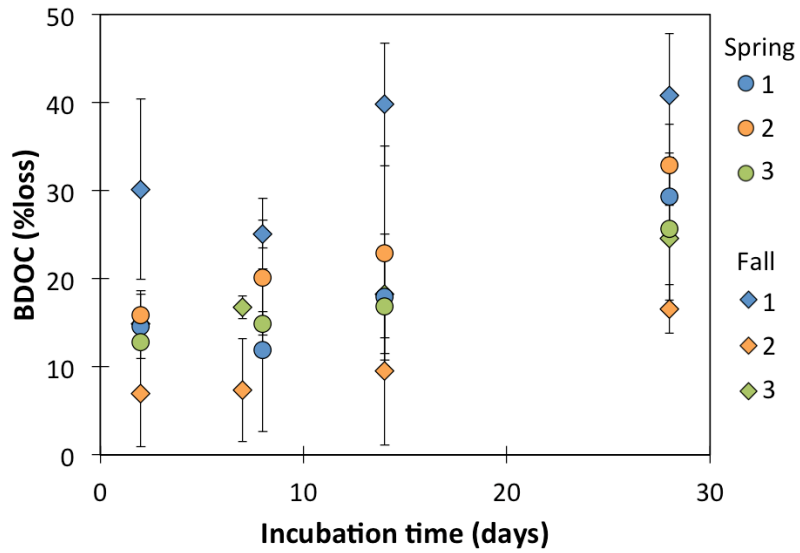


Figure 7

Conceptual graph of landscape-scale and seasonal trends in % BDOC where the upper blue box represents aquatic systems, and the lower brown box represents soils. Aquatic BDOC increases with decreasing catchment area, and aquatic and soil BDOC increase with increasing permafrost extent in the landscape. Aquatic BDOC in watersheds varies temporally, with more BDOC found in winter and spring than late summer.

