

***Interactive comment on “The effect of warm-season precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site” by S. P. Burns et al.***

**List of Revisions to bg-2015-217**

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Here, we list the major revisions to manuscript bg-2015-217. Additional manuscript changes are described in our point-by-point responses to the reviewer comments.

1. Jia Hu from Montana State University (an expert on forest transpiration) is now included as a co-author. Our analysis now includes transpiration data that Jia collected near the AmeriFlux tower as part of her PhD research at the University of Colorado.
2. These transpiration data (collected during the summers of 2004, 2006 and 2007) show that on wDry days, transpiration is approximately the same on dDry days. Therefore, the increased LE on wDry days is primarily due to increased evaporation and not increased transpiration. We added the transpiration information to Fig. 9 and it is discussed in section 3.2.5 of the revised manuscript.
3. We changed the format of Fig. 9 (attached at the end of this document). We think this new format more clearly shows the effect of precipitation state on the fluxes.
4. We concluded that the flux-partitioning methods of Reichstein and Lasslop did not have a significant impact on the results. Therefore, we removed any references to the flux-partitioning in the discussion and results. This also allowed us to remove Fig. S1 in the discussion paper from the revised manuscript.
5. In an effort to make the results and discussion section more clear (based on a suggestion by Referee #2), we redefined the subsections in Sect. 3.2:

Sect. 3.2.1 Wind, turbulence, vertical temperature profiles, and near-ground stability

Sect. 3.2.2 Atmospheric scalars ( $T_a$ ,  $q$ ), soil temperature, soil moisture, and soil heat flux

Sect. 3.2.3 Atmospheric CO<sub>2</sub> dry mole fraction

Sect. 3.2.4 Net radiation and turbulent energy fluxes

Sect. 3.2.5 The evaporative contribution to LE

Sect. 3.2.6 Net ecosystem exchange of CO<sub>2</sub> (NEE)

6. We shortened the length of the results and discussion section by  $\approx 8\%$ .
7. Based on advice from Referee #1, we changed the nomenclature that identifies the daily precipitation state from “Dry1, Wet1, Wet2, Dry2” to “dDry, dWet, wWet, wDry”. In the new nomenclature the lower case letter indicates whether the preceding day was wet or dry, while the “Dry” or “Wet” indicates the precipitation state of the current day. This new nomenclature will be used throughout our replies to the reviewers and is described in Sect. 2.3 of the revised manuscript.
8. Based on advice from Referee #1, we have included the storage terms in our analysis of the surface energy balance. As part of this, we added a new figure to the appendix (Fig. S2 in the revised manuscript) that shows the magnitude of the storage terms and how they changed with precipitation state. Please see our replies to Referee #1 for more details.
9. Based on advice from Referee #2, we examined leaf-wetness sensor data and have included the diel cycle of leaf-wetness for different precipitation states in Fig. 3c of the revised manuscript. We further discuss the leaf-wetness data in our reply to Referee #2 (Comment 4).
10. Based on advice from Referee #2 (and in an effort to shorten/focus the manuscript), we have removed plots of the standard deviation of data from the different precipitation states. We also removed the panels related to CO<sub>2</sub> in Fig. 6 of the discussion paper.
11. Additional references added to the manuscript are listed below. At the end of this document we have attached a pdf which shows changes to the text using latexdiff (as suggested in the “Manuscript preparation guidelines for authors” section on the BG website). Removed text is shown in red, added text is in blue.

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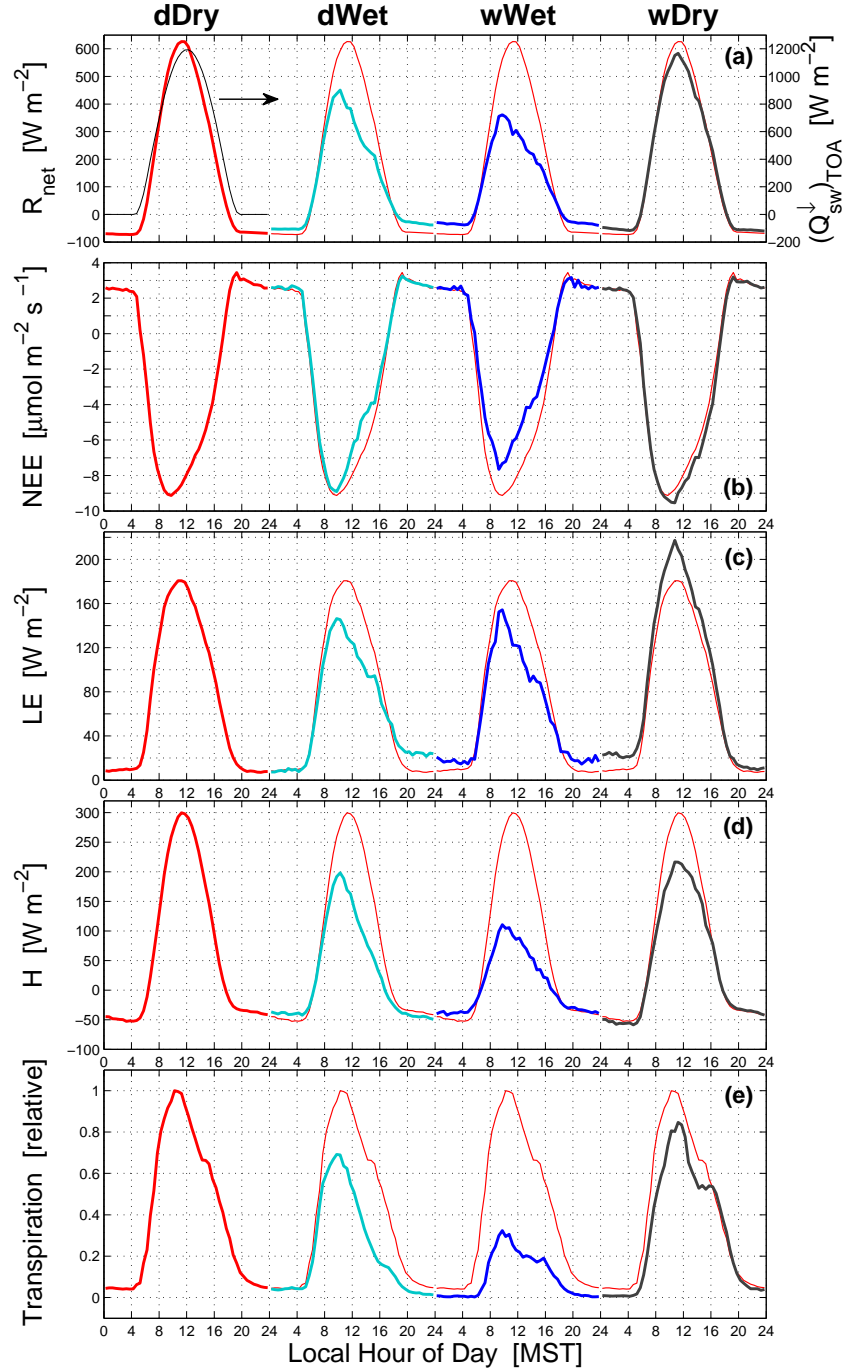


Figure 9: The mean warm-season diel cycle of (a) net radiation  $R_{\text{net}}$ , (b) net ecosystem exchange of  $\text{CO}_2$  NEE, (c) latent heat flux LE, (d) sensible heat flux  $H$ , and (e) transpiration (in relative units). The diel cycle for each precipitation states are shifted to the right following the description above panel (a). For reference, the dDry diel cycle is repeated in all columns as a red line. In (a), incoming shortwave radiation at the top of the atmosphere  $(Q_{\text{sw}})_{\text{TOA}}$  is shown as a black line in the dDry column (using the right-hand axes in (a)). Transpiration is estimated from several pine trees near the US-NR1 tower during the summers of 2004, 2006, and 2007. For all other variables, the diel cycle is calculated from 30 min measurements between years 1999–2012.

# The ~~effect~~influence of warm-season precipitation on the diel cycle of the surface energy balance and carbon dioxide at a Colorado subalpine forest site

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**Abstract.** Precipitation changes the physical and biological characteristics of an ecosystem. Using a precipitation-based conditional sampling technique and a 14 year dataset from a 25 m micrometeorological tower in a high-elevation subalpine forest, we examined how warm-season precipitation affected the above-canopy diel cycle of wind and turbulence, net radiation  $R_{\text{net}}$ , ecosystem eddy covariance fluxes (sensible heat  $H$ , latent heat LE, and CO<sub>2</sub> net ecosystem exchange NEE) and vertical profiles of scalars (air temperature  $T_a$ , specific humidity  $q$ , and CO<sub>2</sub> dry mole fraction  $\chi_c$ ). This analysis allowed us to examine how precipitation modified these variables from hourly (i.e., the diel cycle) to multi-day time-scales (i.e., typical of a weather-system frontal passage).

During mid-day we found: (i) even though precipitation caused mean changes on the order of 50–10 70 % to  $R_{\text{net}}$ ,  $H$ , and LE, the surface energy balance (SEB) was relatively insensitive to precipitation with mid-day closure values ranging between ~~70–80~~90–110 %, and (ii) compared to a typical dry day, a day following a rainy day was characterized by increased ecosystem uptake of CO<sub>2</sub> (NEE increased by  $\approx 10$  %), enhanced evaporative cooling (mid-day LE increased by  $\approx 30 \text{ W m}^{-2}$ ), and a smaller amount of sensible heat transfer (mid-day  $H$  decreased by  $\approx 70 \text{ W m}^{-2}$ ). Based on the 15 mean diel cycle, the evaporative contribution to total evapotranspiration was, on average, around 6 % in dry conditions and ~~20~~between 15–25 % in ~~wet~~partially-wet conditions. Furthermore, increased LE lasted at least 18 h following a rain event. At night, precipitation (and accompanying clouds) reduced  $R_{\text{net}}$  and increased LE. Any effect of precipitation on the nocturnal SEB closure and NEE was overshadowed by atmospheric phenomena such as horizontal advection and decoupling that 20 create measurement difficulties. Above-canopy mean  $\chi_c$  during wet conditions was found to be about 2–3  $\mu\text{mol mol}^{-1}$  larger than  $\chi_c$  on dry days. This difference was fairly constant over the full diel

cycle suggesting that it was due to synoptic weather patterns (different air masses and/or effects of barometric pressure). In the evening hours during wet conditions, weakly stable conditions resulted in smaller vertical  $\chi_c$  differences compared to those in dry conditions. Finally, the effect of clouds on the timing and magnitude of daytime ecosystem fluxes is described.

## 1 Introduction

~~Forest ecosystem disturbances can be natural (e.g., wildfire, insect outbreaks) or anthropogenic (clear-cutting of forests, etc.) in origin.~~ Warm-season precipitation is a common perturbation that changes the physical and biological properties of a forest ecosystem. The most obvious effect is the wetting of vegetation and ground surfaces which provides liquid water for evaporation and changes the surface energy partitioning between sensible heat flux  $H$  and latent heat flux  $LE$  (i.e., evapotranspiration). Such changes are important in the modeling of ecosystem process on both local and global scales (e.g., Bonan, 2008). Liquid water infiltration also changes the thermal diffusivity of the soil (Garratt, 1992; Cuenca et al., 1996; Moene and Van Dam, 2014) as well as the rain itself transporting heat into the soil (Kollet et al., 2009). ~~Rain can also~~ After entering the soil, rain can also have a mechanophysical effect on the soil-atmosphere  $CO_2$  exchange. It can either displace high  $CO_2$ -laden air from the soil, or suppress the release of  $CO_2$  ~~from soil~~ because of inhibited diffusion/transport due to water-filled soil pore space (Hirano et al., 2003; Ryan and Law, 2005). (Hirano et al., 2003; Huxman et al., 2004; Ryan and Law, 2005).

The soil and the atmosphere near the ground are closely coupled, and therefore soil moisture changes also affect near-ground atmospheric properties (Betts and Ball, 1995; Pattantyús-Ábrahám and Jánosi, 2004).

Rain has been shown to cause short-lived increases in soil respiration by microorganisms (by as much as a factor of ten) in diverse ecosystems ranging from: deciduous eastern US forests (Lee et al., 2004; Savage et al., 2009), ponderosa pine plantations (Irvine and Law, 2002; Tang et al., 2005; Misson et al., 2006), California oak-savanna grasslands (Xu et al., 2004), Colorado shortgrass steppe (Munson et al., 2010; Parton et al., 2012), arid/semi-arid regions across the western US (Huxman et al., 2004; Austin et al., 2004; Ivans et al., 2006; Jenerette et al., 2008; Bowling et al., 2011), Mediterranean oak woodlands (Jarvis et al., 2007), and abandoned agricultural fields (Inglisma et al., 2009). The pulse of  $CO_2$  emitted from soil that accompanies precipitation following a long drought period is one aspect of the so-called Birch effect (named after H. F. Birch (1912–1982), see Jarvis et al. (2007); Borken and Matzner (2009); Unger et al. (2010) for a summary). The timing, size, and duration of the precipitation event (as well as the number of previous wet–dry cycles) all affect the magnitude of the microbial and plant/tree responses to the water entering the system. The response of soil respiration to a rain pulse typically has an exponential decay with time (Xu et al., 2004; Jenerette

et al., 2008). The Birch effect is especially important for the carbon balance in arid or water-limited ecosystems where background soil respiration rates are generally low.

Net ecosystem exchange of CO<sub>2</sub> (NEE) is calculated from the above-canopy eddy covariance CO<sub>2</sub> vertical flux plus the temporal changes in the CO<sub>2</sub> dry mole fraction between the flux measurement-level and the ground (i.e., the CO<sub>2</sub> storage term). The studies listed in the previous paragraph have used a combination of eddy-covariance, soil chambers, and continuous in-situ CO<sub>2</sub> mixing ratio measurements to examine ecosystem responses to precipitation. Many of these studies have also shown that CO<sub>2</sub> pulses due to the Birch effect have an important influence on the seasonal and annual budget of NEE for that particular ecosystem (e.g., Lee et al., 2004; Jarvis et al., 2007; Parton et al., 2012). In the current study we will not be concerned with mechanistic or biological aspects of the Birch effect, but instead focus on how precipitation affects above-canopy NEE and any possible implications on the annual carbon budget.

Evaporation from wet surfaces was initially modeled by Penman (1948) using available energy (primarily net radiation), the difference between saturation vapor pressure and atmospheric vapor pressure at a given temperature (i.e.,  $e_s - e_d$ , also known as the vapor pressure deficit, VPD), and aerodynamic resistances to formulate an expression for surface LE. The concepts by Penman were extended to include transpiration by Monteith (1965) who introduced the concept of canopy resistance (a resistance to transpiration which is in series with the aerodynamic resistance, but controlled by the leaf stomates) leading to the Penman–Monteith equation for latent heat flux over dry vegetation. Based on these formulations, the fundamental variables which are believed to control evapotranspiration are net radiation, sensible heat flux, atmospheric stability (which affects the aerodynamic resistances), stomatal resistance, and VPD. In a fully wet canopy, transpiration becomes small and most available energy is used to evaporate liquid water intercepted by the canopy elements and within the soil (e.g., Geiger et al., 2003). It has been questioned whether stomates respond to the rate of transpiration rather than VPD (e.g., Monteith, 1995)(e.g., Monteith, 1995; Pieruschka et al., 2010). It has also been shown that stability/wind speed only has a small direct effect on transpiration (e.g., Kim et al., 2014). ~~Since our study is focused on both evaporation and transpiration changes, we focus on the diel changes in the measured variables listed above~~In our study, we will not consider any effects on transpiration due to seasonal changes in leaf area (e.g., Lindroth, 1985) or variation in soil water potential (e.g., Tan and Black, 1976).

Near vegetated surfaces, it is known that the atmospheric fluxes of CO<sub>2</sub> and water vapor are correlated to each other because the leaf stomates control both photosynthesis and transpiration (Monteith, 1965; Brutsaert, 1982; Jarvis and McNaughton, 1986; Katul et al., 2012; Wang and Dickinson, 2012). There are also temporal changes (and feedbacks) to LE related to boundary layer growth and entrainment which are summarized by van Heerwaarden et al. (2009, 2010). One of the drawbacks to the eddy covariance measurement of LE is that the contributions from the physical process of evaporation are not easily separated from the biological process of transpiration without making some

assumptions of stomatal behavior (e.g., Scanlon and Kustas, 2010), using isotopic methods (e.g., Yakir and Sternberg, 2000; Williams et al., 2004; Werner et al., 2012; Jasechko et al., 2013; Berkel-  
95 hammer et al., 2013), or having additional measurements, such as sap flow (e.g., Hogg et al., 1997; Oishi et al., 2008; Staudt et al., 2011) or weighing lysimeters (e.g., Grimmond et al., 1992; Rana and Katerji, 2000; Blanken et al., 2001). Another technique uses above-canopy eddy-covariance instruments for evapotranspiration coupled with sub-canopy instruments to estimate evaporation (e.g., Blanken et al., 1997; Law et al., 2000; Wilson et al., 2001; Staudt et al., 2011); this method, however,  
100 can have issues with varying flux footprint sizes (Misson et al., 2007). An accurate way to separate transpiration and evaporation has been a goal of the ecosystem-measurement community for many years, [especially an understanding of how this ratio changes during the transition between a wet and dry canopy \(e.g., Shuttleworth, 1976, 2007\).](#)

Numerous studies have looked at the annual and interannual relationship between precipitation, water fluxes and NEE at the climate scale (Aubinet et al., 2000; Wilson et al., 2001; Law et al., 2002; 105 Malhi et al., 2002; Thomas et al., 2009; Hu et al., 2010a; Polley et al., 2010, and many others). However, a comprehensive examination of the effect of precipitation on ecosystem-scale eddy covariance fluxes at the diel (i.e., hourly or “weather-front”) time scale is lacking.

Our study uses fourteen years of data from a high-elevation subalpine forest AmeriFlux site to explore how warm-season rain events (defined as a daily precipitation total greater than 3 mm) change 110 the mean meteorological variables (horizontal wind speed  $U$ , air temperature  $T_a$  and specific humidity  $q$ ), the surface energy fluxes (latent and sensible heat), and carbon dioxide (both  $\text{CO}_2$  mole fraction and NEE) over the diel cycle. From this analysis we can evaluate both the magnitude and timing of how the energy balance terms and NEE are modified by the presence of rainwater in the soil and on the vegetation. Precipitation is also closely linked to changes in air temperature and 115 humidity as weather fronts and storm systems pass by the site. Since NEE and the energy fluxes depend on meteorological variables such as net radiation, air temperature and VPD, it can be difficult to separate out the effect of precipitation vs. other environmental changes (Turnipseed et al., 2009; Riveros-Iregui et al., 2011). To estimate the atmospheric stability, we use the bulk Richardson number ( $\text{Ri}_b$ ) calculated with sensors near the ground and above the canopy. 120

Though the primary goal of our study is to quantify how precipitation modifies the warm-season mean diel cycle of the measured scalars and fluxes, a secondary goal is to present the 14 year mean and interannual variability of the energy fluxes and NEE measured at the Niwot Ridge Subalpine Forest AmeriFlux site. These results will serve as an update to the original set of papers (e.g., Monson 125 et al., 2002; Turnipseed et al., 2002) that examined the ecosystem fluxes from the Niwot Ridge AmeriFlux site over ten years ago and were based on two years of measurements.



## 2 Data and methods

### 2.1 Site description

Our study uses data from the Niwot Ridge Subalpine Forest AmeriFlux site (site US-NR1, more  
130 information available at <http://ameriflux.lbl.gov>) located in the Rocky Mountains about 8 km east  
of the Continental Divide. The US-NR1 measurements started in November 1998. The site is on  
the side of an ancient moraine with granitic-rocky-podzolic soil (typically classified as a loamy  
sand in dry locations) overlain by a shallow layer ( $\approx 10$  cm) of organic material (Marr, 1961; Scott-  
Denton et al., 2003). The ~~subalpine forest near the tree density near the US-NR1 27-m walk-up~~  
135 ~~scaffolding tower is around 4000 trees~~  $\text{ha}^{-1}$  ~~with a leaf area index (LAI) of 3.8–4.2~~  $\text{m}^2 \text{m}^{-2}$  ~~and tree~~  
~~heights of 12–13 m (Turnipseed et al., 2002; Monson et al., 2010). The subalpine forest surrounding~~  
~~the US-NR1 tower was established in the early 1900s following logging operations, and is primarily~~  
~~composed of subalpine fir (*Abies lasiocarpa* var. *bifolia*) and Englemann spruce (*Picea engelmannii*)~~  
~~to the west with west of the tower, and lodgepole pine (*Pinus contorta*) to the east east of the tower.~~  
140 Smaller patches of aspen (*Populus tremuloides*) and limber pine (*Pinus flexilis*) are also present.  
~~The tree density near the US-NR1 Tower is around 4000 trees~~ Empirical evidence from windthrown  
~~trees suggest rooting depths of 40–100~~ with a leaf area index (LAI) of 3.8–4.2 and tree heights of  
~~12–13 (Turnipseed et al., 2002; Monson et al., 2010) cm~~ which is consistent with depths from similar  
subalpine forests (e.g., ?) and as discussed in Hu et al. (2010a). Recent analysis of tree ring cores  
145 ~~near the US-NR1 tower at the site~~ has revealed a significant presence of remnant trees which are  
older (over 200 years old) and larger than the trees that became established after logging in the early  
1900s (R. Alexander, F. Babst, and D. J. P. Moore, University of Arizona, unpublished data).

At the US-NR1 subalpine forest, ecosystem processes are closely linked to the presence of snow  
(Knowles et al., 2014), which typically arrives in October or November, reaches a maximum depth  
150 in early April (snow water equivalent (SWE)  $\approx 30$  cm), and melts by early June. Sometime in March  
or April, the snowpack becomes isothermal (Burns et al., 2013) and liquid water becomes available  
in the soil, which initiates the photosynthetic uptake of  $\text{CO}_2$  by the forest (Monson et al., 2005).  
The long-term mean annual precipitation at the site is around 800 mm with about 40 % of the total  
from warm-season rain, which typically occurs every 2–4 days and has an average daily total of  
155 around 4 mm (Hu et al., 2010a). According to the Köppen–Geiger climate classification system  
(Kottek et al., 2006) the site is type Dfc which corresponds to a cold, snowy/moist continental climate  
with precipitation spread fairly evenly throughout the year. The forest could also be classified as  
climate type H which is sometimes used for mountain locations (Greenland, 2005). The summer  
precipitation timing is primarily controlled by the mountain-plain atmospheric dynamics and thus  
160 usually occurs in the afternoon when upslope flows trigger convective thunderstorms (Brazel and  
Brazel, 1983; Parrish et al., 1990; Whiteman, 2000; Turnipseed et al., 2004; Burns et al., 2011;  
Zardi and Whiteman, 2013).

## 2.2 Surface energy balance, measurements, and data details

The terms in the surface energy balance (SEB) are,

$$R_a \equiv R_{\text{net}} - G_z - S_{\text{soil tot}} - S_{\text{canopy}} = H + \text{LE} + E_{\text{adv}}, \quad (1)$$

where  $R_a$  is the available energy,  $R_{\text{net}}$  is net radiation,  $G_z$  is soil heat flux measured at depth  $z$ , and at the ground surface, and  $S_{\text{tot}}$  is the heat and water vapor storage terms in the two storage terms account for the heat stored in the soil ( $S_{\text{soil}}$ ) and in the biomass and airspace between the ground and the turbulent flux measurement level ( $S_{\text{canopy}}$ ) as well as the energy consumed by photosynthesis.

All terms in Eq. (1) have units of  $\text{W m}^{-2}$ . Positive  $R_{\text{net}}$  indicates radiative warming of the surface, whereas a positive sign for the other terms in Eq. (1) indicate surface cooling.  $S_{\text{canopy}}$  and  $S_{\text{soil}}$  are typically less than or energy being stored. The  $S_{\text{tot}}$  terms are typically on the order of 10% of  $R_{\text{net}}$  (Oneley et al., 2007). (Turnipseed et al., 2002; Oncley et al., 2007; Lindroth et al., 2010).  $S_{\text{tot}}$  and  $G$  are discussed in detail in Appendix A2. The horizontal advection of heat and water vapor ( $E_{\text{adv}}$ ) requires spatially distributed measurements, and is thought to be a primary reason that Eq. (1) does not balance at most flux sites (Leuning et al., 2012). The heat flux at the soil surface ( $G$ ) was determined from  $G_z$  with 4–5 soil heat flux plates (REBS, model HFT-1) dispersed near the tower at a depth of 8–10 cm. When the winds are light (below about 3–4  $\text{m s}^{-1}$ ), horizontal advection becomes important which results in a lack of SEB closure at the US-NR1 were small (less than 8% of  $R_{\text{net}}$ ). Therefore, we neglect  $S_{\text{canopy}}$  and  $S_{\text{soil}}$  and assume the surface heat flux is close to our measured soil heat flux (i.e.,  $G \approx G_z$ ). site (Turnipseed et al., 2002). In our discussions, the simple SEB closure fraction refers to the ratio of the sum of the turbulent fluxes to the available energy, i.e.,  $(H + \text{LE}) / (R_{\text{net}} - G) \text{LE} / R_a$ .

$R_{\text{net}}$  was measured at 25 m above ground level (a.g.l.) with both a net (REBS, model Q-7.1) and four-component (Kipp and Zonen, model CNR1) radiometer.  $R_{\text{net}}$  from the Q-7.1 sensor is about 15% closer to closing the SEB than with the CNR1 sensor (Turnipseed et al., 2002; Burns et al., 2012). Since the Q-7.1 radiometer operated during the entire 14 year period, it is the primary  $R_{\text{net}}$  sensor in our study. Calculation of the top of the atmosphere incoming solar radiation ( $Q_{\text{sw}}^{\downarrow} \text{TOA}$ ) is described in Appendix A1. The turbulent fluxes  $H$  and  $\text{LE}$  were measured at 21.5 m a.g.l. using standard eddy covariance flux data-processing techniques (e.g., Aubinet et al., 2012) and instrumentation (a 3-D sonic anemometer (Campbell Scientific, model CSAT3), krypton hygrometer (Campbell Scientific, model KH2O), and closed-path infrared gas analyzer (IRGA; LI-COR, model LI-6262)). Further details on the specific instrumentation and data-processing techniques are provided elsewhere (Monson et al., 2002; Turnipseed et al., 2002, 2003; Burns et al., 2013). Additional measurements used in our study are described in Appendix A1 while further details about updates to the US-NR1 flux calculations are in Appendix A2A3.

Turnipseed et al. (2002) studied the energy balance at the US-NR1 site and found that during the daytime the sum of the turbulent fluxes accounts for around 85% of the radiative energy input into

the forest. At night, under moderate turbulent conditions, simple SEB closure was comparable to the daytime; however, when the night-time conditions were either calm or extremely turbulent,  $H$  and LE only accounted for 20–60 of the net longwave radiative flux. Burns et al. (2012) has recently shown that the lack of SEB closure for wind speeds larger than around 8 was, at least partly, due to an issue with the CSAT3 sonic anemometer firmware. In the summer at US-NR1, wind speeds are rarely larger than 8 so the empirical correction for  $H$  was not used in our study. When the winds are light (below about 3–4), horizontal advection is believed to be the primary reason for the lack of SEB closure.

### 2.3 Analysis methods

Precipitation is notoriously difficult to study because of its intermittent, binary nature (e.g., it will often start, stop, re-start, and falls with varying intensity) which leads to non-normal statistical properties (e.g., Zawadzki, 1973). To study the impact of rain, we followed a methodology similar to that of Turnipseed et al. (2009) and tagged days when the daily rainfall exceeded 3 mm as “wet” days. Table 1 shows the number of wet days for each year and warm-season month within our study. The choice to use 3 mm as the wet-day criteria was a balance between effectively capturing the effect of precipitation and providing enough wet periods to improve the wet-day statistics. ~~Diel~~ If we designate the precipitation state of the preceding day with a lower-case letter, then diel patterns for “dry days following a dry day” (~~designated as Dry1dDry days~~), “wet days following a dry day” (~~designated Wet1dWet days~~), “wet days following a wet day” (~~designated Wet2wWet days~~), and “dry days following a wet day” (~~designated Dry2wDry days~~) were analyzed to determine the effect of a precipitation on the weather and climate as well as the fluxes. ~~If the~~ The term “wet days” ~~is used it includes both Wet1 and Wet2~~ includes both dWet and wWet days whereas the term “dry days” includes both Dry1 and Dry2dDry and wDry days. In addition to these categories, we further separated the Dry1dDry days into sunny (Dry1-ClearDry-Clear) and cloudy (Dry1-CloudyDry-Cloudy) days. These techniques are similar to the clustering analysis used by Berkelhammer et al. (2013).

Since not every variable was continuously measured for all 14 years, some variables were necessarily analyzed over shorter periods than others. A summary of the variables studied, the number of days each variable falls into each precipitation category, and gap-filling statistics of selected variables is provided in Table 2. Unless noted otherwise, the data analysis used in our study are based on 30 min statistics.

In addition to analyzing the mean diel cycle, we also examined the day-to-day variability in the diel cycle by calculating the standard deviation of the 30 min data within each composited time-of-day bin. This statistic will be designated the SD-Bin or variability ~~in our discussion and plots. For brevity, the focus in the current paper is on the mean results; more details on variability can be found within the discussion paper (i.e., Burns et al., 2015).~~ To further quantify and summarize the main

235 results of our analysis, the diel cycle was broken up into three distinct periods: mid-day (10:00–14:00 MST), late evening (19:00–23:00 MST), and nighttime (00:00–04:00 MST). Motivation for breaking up the night into two distinct periods is provided by Burns et al. (2011) who showed that the variability of the turbulence activity (expressed by the SD-Bin of the standard deviation of the vertical wind) increased by about a factor of two at around 23:00 MST (see their Fig. 4d). Other  
 240 flux sites with sloped terrain have also shown distinct differences in the CO<sub>2</sub> storage before and after midnight (e.g., Aubinet et al., 2005)~~which provides additional motivation for separating the night into two periods.~~ Choosing these particular periods avoids the evening and morning transition periods which are complicated by the fluxes and scalar gradients becoming small and/or changing sign (e.g., Lothon et al., 2014).

245 ~~Additional information related to the diel cycle was provided by estimating the top of the atmosphere incoming solar radiation ( $Q_{SW}^{\downarrow}$ )<sub>TOA</sub>. The sun position was calculated for the US-NR1 tower latitude and longitude with the SEA-MAT Air-Sea toolbox (Woods Hole Oceanographic Institution, 2013) which uses algorithms based on the 1978 edition of the Almanac for Computers (Nautical Almanac Office, U. S. Naval Observatory).~~

250 In order to select the warm-season period, the smoothed seasonal cycle of NEE and the turbulent energy fluxes were calculated using a 20 day mean sliding window applied to the 30 min data. Smoothing removes the effect of large-scale weather patterns (and precipitation) which typically have a period of 4–7 days. Interannual variability was calculated by taking the standard deviation among the 14 yearly smoothed time series. Since our interest is in the diel cycle, these statistics were  
 255 determined for mid-day (10:00–14:00 MST), nighttime (00:00–04:00 MST), and the full (24 h) time series.

The ecosystem respiration  $R_{eco}$  was estimated for each 30 min time period based on measured nocturnal NEE (both with and without the friction velocity ( $u_*$ ) filter applied), as well as two flux-partitioning algorithms that separate NEE into  $R_{eco}$  and gross primary productivity GPP (Stoy et al.,  
 260 2006). One algorithm takes into account the seasonal temperature-dependence of  $R_{eco}$  (Reichstein et al., 2005), and the other uses light-response curves (Lasslop et al., 2010). Reichstein and Lasslop  $R_{eco}$  were calculated with on-line flux-partitioning software (Max Planck Institute for Biogeochemistry, 2013). With regard to our analysis,  $R_{eco}$  from the flux-partitioning methods and measured nocturnal NEE produced very similar results which are shown in Burns et al. (2015). Therefore, we  
 265 only use the measured nocturnal NEE herein, and will not include the Reichstein or Lasslop  $R_{eco}$  results. Unless noted otherwise, we will use the  $u_*$  filtered NEE in our analysis. Further discussion of partitioning NEE at the US-NR1 site is provided elsewhere (Zobitz et al., 2008; Bowling et al., 2014).

Near the ground, the bulk Richardson number  $Ri_b$  is often used to characterize stability. Large  
 270 negative  $Ri_b$  indicates unstable “free convection” conditions and large positive  $Ri_b$  indicates strong stability(e.g., ?). In more stable conditions, less mixing is expected and larger vertical scalar gra-

dients should exist (e.g., Schaeffer et al., 2008a; Burns et al., 2011). We calculated  $Ri_b$  between the highest ( $z_2 = 21.5$  m, around twice canopy height) and lowest ( $z_1 = 2$  m) measurement level using:

$$Ri_b = \frac{g}{\bar{T}_a} \frac{(\theta_2 - \theta_1)(z_2 - z_1)}{U^2}, \quad (2)$$

where  $g$  is acceleration due to gravity,  $\bar{T}_a$  is the average air temperature of the layer,  $\theta$  is potential temperature, and  $U$  is the above-canopy horizontal vectorial mean wind speed (i.e.,  $U = (u^2 + v^2)^{1/2}$  where  $u$  and  $v$  are the streamwise and crosswise planar-fit horizontal wind components). We did not use  $U$  near the ground because this level is deep within the canopy where  $U$  is small (less than  $0.5 \text{ m s}^{-1}$ ) due to the momentum absorbed by the needles, branches and boles of the trees. In this respect, the shear-generated turbulence is related to above-canopy wind speed whereas the buoyancy is related to the temperature difference between near the ground and the overlying air. ~~Because  $Ri_b$  is a ratio of two variables, it can become less useful when either the numerator or denominator becomes very small.~~

### 3 Results and discussion

#### 3.1 Typical seasonal cycle and variability

We chose to define the start of the warm-season as the date when diurnal changes in the soil temperature first occurred (i.e., the date of near-complete snowpack ablation). For the 14 years of our study, the warm-season start dates ranged from mid-May to mid-June with an average start date of around 1 June (as shown in Fig. 1a and listed in Table 1). Though snow can occur during ~~this period~~ the warm season, it is a rare event and usually melts quickly. The start of the growing-season (based on NEE, as described in Hu et al., 2010a) typically preceded the start of the warm-season by 2–4 weeks (Fig. 1a). The warm-season start date was also around the time that the volumetric soil moisture content (VWC) reached a maximum (Fig. 1b), and the month following the disappearance of the snowpack was usually when the soil dried out (though there were exceptions, such as 2004). In the warm-season, large precipitation events led to a sharp increase in VWC followed by a gradual return (over several days or weeks) to drier soil conditions. We chose 30 September as the end of the warm-season for reasons described below.

The typical smoothed seasonal cycles of above-canopy NEE, LE and  $H$  are shown in Fig. 2a. For NEE, the dormant period (i.e., when the forest was inactive) was exemplified by almost no difference between the daytime and nighttime NEE, which lasted from roughly early November to mid-April. When daytime NEE switches from positive to negative, it indicates the start of the growing season. The snowmelt period exhibited strong  $\text{CO}_2$  uptake because soil respiration was suppressed due to low soil temperature (Fig. 2a). In February–March, daytime  $H$  reached a maximum because net radiation increased and transpiration was small. Nighttime  $H$  stayed at around  $-50 \text{ W m}^{-2}$  throughout the entire year. One might expect nocturnal  $H$  in winter to be different than summer, but in winter

most of the above-canopy  $H$  was due to heat transfer between the forest canopy and atmosphere, not the atmosphere and snow-covered ground (Burns et al., 2013). Related to LE, there are two interesting observations in Fig. 2a. First, outside the growing season, daytime LE was larger than nighttime LE. This is presumably because air temperature is higher during the daytime which increases the saturation vapor pressure and results in a larger sublimation/evaporation rate (e.g., Dalton, 1802).  
 310 Second, nighttime LE in winter was around  $25 \text{ W m}^{-2}$  which decreased to  $10 \text{ W m}^{-2}$  in summer. Despite warmer summer temperatures, we suspect the larger nocturnal LE in winter was due to the ubiquitous presence of a snowpack that serves as a source of sublimation/evaporation for 24 h every day (compared to summer when the ground periodically dries out). Also, winds are much stronger  
 315 ~~in winter which would promote higher~~ between November and February which promotes higher sublimation/evaporation. In the spring and summer LE increased during the day from around 50 to  $150 \text{ W m}^{-2}$  primarily due to increased forest transpiration as well as increased VPD. In July–August, as the soil dried out and warmed up, soil microbial activity increased (e.g., Scott-Denton et al., 2006), and NEE moved closer to having photosynthetic uptake of  $\text{CO}_2$  balanced by respiration.  
 320 tion.

When winds are light and mechanical turbulence is small, decoupling between the air near the ground and above-canopy air can occur (e.g., Baldocchi et al., 2000; Baldocchi, 2003). The nocturnal NEE data shown in Fig. 2a have been calculated ~~using the friction velocity ( $u_*$ ) both with~~ (solid line) and without (dashed line) the  $u_*$  filtering technique (Goulden et al., 1996) which replaces NEE during periods of weak ground-atmosphere coupling ( $u_* < 0.2 \text{ m s}^{-1}$ ) with an empirical relationship between NEE and soil temperature. ~~This leads to the question of whether the application of the filtering by  $u_*$  created the apparent increase in nocturnal NEE (or respiration) during the summer months. In Supplement Fig. S1, we include both the non- $u_*$  filtered NEE along with ecosystem respiration calculated from the algorithm of Reichstein et al. (2005) and~~  
 330 ~~Lasslop et al. (2010).~~ Though the  $u_*$  filter enhanced the value of ~~ecosystem respiration nocturnal NEE~~ by around  $0.5 \mu\text{mol m}^{-2} \text{ s}^{-1}$  compared to unfiltered NEE, the mid-summer increase was present in both. ~~Ecosystem respiration calculated from the algorithm of Lasslop et al. (2010) was slightly larger than that from Reichstein et al. (2005) which was closer to the measured nocturnal values.~~ Recent research in the ecosystem-flux community has suggested that the standard deviation  
 335 of the vertical wind  $\sigma_w$  (e.g., Acevedo et al., 2009; Oliveira et al., 2013; Alekseychik et al., 2013; Thomas et al., 2013) or the Monin–Obukhov stability parameter (e.g., Novick et al., 2004) are better measures of decoupling than  $u_*$ ; however, the results we show are not going to be strongly affected by which variable is used to determine the coupling state.

The daytime interannual variability of NEE, LE and  $H$  was larger than the nighttime interannual  
 340 variability (Fig. 2b) due to the wide range of daytime surface solar conditions (e.g., clear or cloudy days). The peak in the interannual variability of daytime NEE during April and May was due to year-to-year differences in the timing of snowmelt and initiation of photosynthetic forest uptake of

CO<sub>2</sub> at the site (Monson et al., 2005; Hu et al., 2010a). Though NEE interannual variability peaked at this time, there was no corresponding peak in LE or *H* variability.

345 The average start of the warm season occurred when daytime NEE uptake was strong (greater than 8  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) and immediately followed the peak in NEE interannual variability (Fig. 2b). There was not a similar increase in NEE variability to mark the end of the warm season; however, the date when daytime NEE decreased sharply was the end of September. For this reason, we chose the end of September as the end of the warm-season. By choosing the end of September we also avoid periods  
350 in October when snowfall occurs. ~~On average, the period we chose for the warm season started on 1 June and ended on 30 September as indicated by the vertical lines in Fig. 2.~~ occurred.

~~Based on eight years of precipitation data from a nearby U.S. Climate Reference Network (USCRN) site, April had the most precipitation (with a mean of around 120, most all of it falling as snow) followed by July with 90 of precipitation (Fig. S2a). April and July were also the months with  
355 the largest variability between years and the variations between years were about 50 of the mean value (Fig. S2b). These trends generally agree with the long-term precipitation measurements from the LTER C-1 (1953–2012) station where the effect of undercatch by the LTER gauge is noticeable during the winter months. Further discussion on the precipitation measurements used in our study are in Appendix A1.~~

### 360 3.2 The effect of wet conditions on the diel cycle

After each day was organized into the precipitation categories described in Sect. 2.3, we observed a peak in precipitation during the early afternoon on wet days as would be expected for a mountain-plain type weather system (Fig. 3b**1b**). Over the 14 years of our study, the average length of time for a dry period was around 2.5 days with a standard deviation of 3 days. Two days in a row with  
365 above-average rain (i.e., ~~Wet2wWet~~ days) was recorded around 90 times out of 1740 total warm-season days between 1999 and 2012 (Table 2). These rare events were typically the result of large-scale synoptic weather systems which explains why significant morning precipitation occurred on ~~Wet2wWet~~ days (i.e., Fig. 3b**1**)-b). The leaf wetness data reveals that, on average, dDry days had mean value less than 0.2 while wet periods were closer to 0.8 (Fig. 3c). On wDry days there was  
370 a steady decrease in leaf wetness from midnight until the early morning hours. All precipitation states had a minimum in leaf wetness between around 0800–1000 MST which is likely related to a large-scale phenomena, such as the entrainment of dry air at the top of the boundary layer.

One obvious complication with the precipitation-related analysis is that the open-path instrumentation (e.g., sonic anemometers) are affected by water droplets, and do not work properly during  
375 heavy precipitation events which is why the percent of gap-filling periods for the fluxes increases on the wet days (Table 2). Though we do not have a way around this issue, we can only point out that the scalar measurements were not affected by precipitation ~~and can provide~~ which provides some



degree of insight. When we restricted the analysis to time periods without any gap-filled flux data, the results are similar to what we are showing here.

380 Over the next several sections we will examine how the diel cycle of the measurements (winds, soil properties, radiation, scalars, and fluxes) were affected by these different precipitation states. Because Dry1-dDry conditions were the most common, we will typically describe the changes or differences relative to the Dry1-dDry state.

### 3.2.1 Wind, turbulence, vertical temperature profiles, and near-ground stability

385 As mentioned in Sect. 2.1, the above-canopy wind direction at the site is primarily controlled by the large-scale mountain-plain dynamics resulting in directions that were typically either upslope (from the east) or downslope (from the west). At night, the above-canopy winds were almost exclusively downslope with very little effect from precipitation except for a small occurrence of upslope flow during Wet2-wWet conditions (i.e., Fig. 3a1). There was a more consistent flow direction in the early morning hours as demonstrated by the higher peak in the frequency distribution of Fig. 3a1 compared to Fig. 3a3. This suggests that the drainage flow became more persistent and consistent as the night progresses. During mid-day, wet conditions had a more frequent occurrence of upslope winds than downslope winds, whereas during dry days there was nearly an equal number of upslope and downslope winds (Fig. 3a2). This is to be expected because the upslope winds can trigger convection which (potentially) leads to precipitation.

395 The diel cycle of horizontal wind speed during dry conditions was characterized by a dip of about  $1 \text{ m s}^{-1}$  during the morning and evening transitions, with the evening transition having the lowest wind speed values (Fig. 3e1d). On Dry1-and-Dry2-dDry and wDry days the wind speed overnight (on average) increased from a minimum of around  $2.5 \text{ m s}^{-1}$  at 19:00 MST to a maximum of  $4 \text{ m s}^{-1}$  at 400 04:00 MST. During wet conditions the dip in wind speed during the transition periods did not exist and the mean wind speed on Wet2-wWet days was typically smaller than other conditions throughout the diel cycle. Mechanical turbulence (characterized by the friction velocity  $u_*$ ) generally follows the pattern of wind speed at night, however, during the daytime, the buoyancy generated by surface heating enhanced  $u_*$  relative to nocturnal values (Fig. 3d1e). In Dry1-dDry conditions the maximum variability in  $U$  and  $u_*$  was in the early morning (at around 06:00 MST) with less variability in the late afternoon and evening.

Near-ground vertical air temperature differences are considered because these help control the near-ground stability (Fig. 4d–f). In Wet2-wWet conditions, the vertical air temperature difference was at a minimum during all times of the day. This is expected during the daytime because solar radiation, which warms the canopy and ground to create the air-surface temperature differences, was reduced on Wet2-wWet days (radiation will be discussed in Sect. 3.2.3.4). In Dry2-wDry conditions during daytime, the mid-canopy was about  $1^\circ\text{C}$  warmer than the air near the ground (Fig. 4e). This stable layer in the lower canopy did not exist in any other conditions and we presume this state was



due to a combination of strong net radiation (which warmed the canopy) combined with evaporation  
 415 near the ground (which cooled the ground surface). The soil during a ~~Dry2wDry~~ day would have  
 recently experienced rain, providing a source of liquid water for evaporation within the soil. We also  
 note that temperature differences during ~~Dry1dDry~~ days were the largest of all precipitation states  
 for the three periods shown in Fig. 4d–f.

To combine the effects of wind speed and temperature differences on atmospheric stability, the  
 420 bulk Richardson number  $Ri_b$  is also considered (Fig. 3e+f). Following the evening transition, dry  
 conditions tended to result in a more stable atmosphere ( $Ri_b > 0.2$ ) than that of wet conditions  
 ( $Ri_b < 0.1$ ). This suggests that there should be larger vertical scalar differences (i.e., less vertical  
 mixing) during the late evening period of dry days.

### 3.2.2 Atmospheric scalars ( $T_a$ , $q_s$ ), soil temperature, soil moisture, and soil heat flux

425 We now consider how air temperature and ~~other scalars~~ humidity change over the diel cycle. ~~Dry1dDry~~  
 conditions were associated with slightly higher barometric pressure (Fig. 5a+a), relatively  
 warmer air temperatures (Fig. 5b+c), a drier atmosphere (Fig. 5e+e), warmer and drier soils (Fig. 5d+  
~~and e+b and d~~), and larger 10-cm soil heat fluxes (Fig. 5f+f). Barometric pressure had a mid-morning  
 and evening peak that existed for all precipitation states which are created by thermal tides within  
 430 the atmosphere (e.g., Lindzen and Chapman, 1969). The variables for ~~Dry1dDry~~ days generally  
 had smaller variability compared to any of the other conditions (~~Fig. 5a2–f2~~) with the one excep-  
 tion being a high variability in VPD during the ~~Dry1dDry~~ afternoon and evening period (~~Fig. 5e2~~).  
 (Burns et al., 2015). In contrast to ~~Dry1dDry~~ days, mean conditions during ~~Wet2wWet~~ days were as-  
 sociated with (relatively) lower barometric pressure and cooler, wetter conditions in the atmosphere  
 435 and soil.

For ~~Wet2wWet~~ days, the soil moisture content (VWC) increased by over 50 % and  $T_{soil}$  dropped  
 by around 2 °C relative to ~~Dry1dDry~~ conditions (Table 3 and Fig. 5d+~~and e+b and d~~). The timing  
 of precipitation within the diel cycle is important. For example, on the morning of ~~Wet1dWet~~ days,  
 $T_{soil}$  was about 1 °C larger than in other conditions because on ~~Wet1dWet~~ days the rain occurred  
 440 primarily in the afternoon, not the morning (i.e., Fig. 3b+b). In fact, 21.5 m air temperature on the  
 morning of ~~Wet1dWet~~ days was ~~slightly above that of Dry1~~ nearly the same as that of dDry days  
 (Fig. 5b+c). The main effect of precipitation on the soil-deep-soil heat flux was between the hours of  
 11:00 and 18:00 MST, where ~~G in Dry1~~ G<sub>plate</sub> in dDry conditions had a peak of 20 W m<sup>-2</sup> while in  
~~Wet2wWet~~ conditions the peak was less than 10 W m<sup>-2</sup> (Fig. 5f+f). At night, ~~G~~ G<sub>plate</sub> was similar  
 445 for all precipitation states suggesting that either the deeper (10 cm) soil was protected from the effect  
 of changes in nocturnal net radiation by the overlying canopy and soil or else the changes in  $R_{net}$   
 were small enough that the deep soil temperature was not dramatically affected. ~~This result also~~  
~~implies that increased liquid water in the soil pore space did not significantly affect the soil thermal~~

conductivity. Though the soil heat flux peaked at around mid-day, ~~the~~ the 5-cm soil temperature  
450 peaked two hours later at around 14:00 MST.

If plots for each precipitation condition are arranged in the order of ~~Dry1, Wet1, Wet2, and~~  
~~Dry2~~dDry, dWet, wWet, and wDry days the characteristics of a composite summertime cold-front  
passing the tower can be approximated (Fig. 6). Classical cold-front systems over flat terrain are  
associated with pre-frontal wind shifts and pressure troughs (e.g., Schultz, 2005). Mountains, how-  
455 ever, have a large impact on the movement of air masses and can considerably alter the classical  
description of frontal passages (e.g., Egger and Hoinka, 1992; Whiteman, 2000). Our classifica-  
tion of the composite plots as a “frontal passage” is simply because there was colder air present at  
the site during the ~~Wet1 and Wet2~~ dWet and wWet periods. For example, during ~~Dry1~~ dDry days the  
21.5 m air temperature was around 5 °C greater than  $T_{\text{soil}}$  (Fig. 6b1). As the composite “front” passed  
460 by the tower (i.e., ~~Wet1 and Wet2~~ dWet and wWet days) 21.5 m  $T_a$  dropped to near  $T_{\text{soil}}$  (Fig. 6b2  
and b3) and specific humidity increased by  $\approx 50\%$  (Fig. 6c2 and c3). After the frontal passage  
(i.e., ~~Dry2~~ wDry days), the 21.5 m air temperature returned to being higher than the soil temperature  
(Fig. 6b4). ~~During Wet2, dry mole fraction  $\chi_c$  within the canopy was elevated relative to the other~~  
~~conditions (Fig. 6d3).~~ Specific numerical values and a summary of the atmospheric conditions for  
465 each precipitation state are provided in Table 3.

~~Taking a closer look at~~

### 3.2.3 Atmospheric CO<sub>2</sub> dry mole fraction

For CO<sub>2</sub> dry mole fraction  $\chi_c$ , we found that above-canopy  $\chi_c$  was largest during ~~Wet2~~ wWet  
conditions and lowest in ~~Dry1~~ dDry conditions with a fairly consistent difference of around 2–  
470  $3 \mu\text{mol mol}^{-1}$  across the entire diel cycle (Fig. 7a). We initially considered this to be an artifact of  
dilution due to boundary layer height differences (e.g., Culf et al., 1997), however we ruled this  
out because the difference was fairly consistent throughout the day and night when boundary layer  
heights change dramatically. We confirmed that similar  $\chi_c$  differences between precipitation states  
existed using CO<sub>2</sub> ~~from a nearby Rocky-Raccoon site measured~~  
475 about 3.5 km northwest of the US-NR1 tower (Stephens et al., 2011) (results not shown). Since  
our analysis uses a composite which approximates a cold-front passage, there is an influence of  
large-scale weather systems on the overall atmospheric CO<sub>2</sub> magnitude (e.g., Miles et al., 2012;  
Lee et al., 2012). This suggests that the dependence of above-canopy  $\chi_c$  on the precipitation state  
was due to either the composition of large-scale air masses or subsidence/convergence caused by  
480 high/low barometric pressure.

Within the canopy, this same precipitation-dependent pattern existed in the morning and during  
the daytime, however, in the evening,  $\chi_c$  in dry conditions was about 5–8  $\mu\text{mol mol}^{-1}$  larger than  
 $\chi_c$  in wet conditions (Fig. 7b–c). These differences clearly show up in a vertical  $\chi_c$  profile (Fig. 8c).  
To avoid the confounding factor of synoptic weather systems, the lower panels in Fig. 8 show the

485 vertical  $\chi_c$  differences ( $\Delta\chi_c$ ) relative to the top tower level (21.5 m a.g.l.). The mid-day  $\Delta\chi_c$  profile (Fig. 8e) shows a photosynthetic deficit of around  $1 \mu\text{mol mol}^{-1}$  in the mid-canopy due to vegetative uptake of  $\text{CO}_2$  which is consistent with previous studies at the site (Bowling et al., 2009; Burns et al., 2011). In the nighttime hours (00:00–04:00 MST) the different precipitation states did not affect the  $\Delta\chi_c$  profile (Fig. 8d) which contrasts with the late evening  $\Delta\chi_c$  profile that shows a difference of  
490 around  $5\text{--}9 \mu\text{mol mol}^{-1}$  between wet and dry conditions within the lower canopy (Fig. 8f).

~~Synoptic~~ Though synoptic barometric pressure changes have recently been suggested as a mechanism for enhancing the exchange of deep-soil  $\text{CO}_2$  with the atmosphere ~~, whereas the upper soil is more influenced by processes such as soil respiration and pressure pumping (e.g., Sánchez-Cañete et al., 2013). In light of the differences in near-ground stability during the evening (discussed in Sect. 3.2.1), it seems likely that atmospheric stability was playing a more important role than barometric pressure in controlling the observed nocturnal  $\Delta\chi_c$  differences. A close examination of Fig. 8f reveals that the late evening wet conditions had near-ground to above-canopy  $\Delta\chi_c$  differences that were around 35. In contrast, for all conditions in Fig. 8d and dry conditions in Fig. 8f the  $\Delta\chi_c$  differences were greater than 40 (also see Table 3). The~~  
500 (e.g., Sánchez-Cañete et al., 2013), the larger  $\Delta\chi_c$  differences in dry conditions are consistent with the near-ground atmospheric stability being larger during dry conditions ~~We also note that between (discussed in Sect. 3.2.1). Between~~ 00:00–04:00 MST  $\text{Ri}_b$  was generally near or above 0.2 for both wet and dry conditions ~~while whereas~~ in the evening period ~~the wet days had  $\text{Ri}_b \approx 0.1$  on wet days  $\text{Ri}_b$  was  $\approx 0.1$~~ . As shown in previous work at the US-NR1 site (e.g., Schaeffer et al., 2008a; Burns et al., 2011),  $\Delta\chi_c$  differences have a transition region between weakly stable and strongly stable  
505 conditions that occurs at  $\text{Ri}_b \approx 0.25$  which is nominally related to the change from a fully turbulent to non-turbulent flow. It appears that the stability in the early evening on wet days is such that the atmosphere was slightly unstable which enhanced the vertical mixing and reduced the vertical  $\Delta\chi_c$  differences. Furthermore, the controls on the stability between ~~Wet1 and Wet2~~ Wet and wWet days were slightly different. On ~~Wet1~~ dWet evenings, wind speed was slightly elevated (Fig. 3d1d) which resulted in less stable conditions. In contrast, on ~~Wet2~~ wWet evenings it was the reduced vertical temperature differences (Fig. 4f) that was the primary controlling factor in reducing the stability.

### 3.2.4 Net radiation ~~and~~ and turbulent energy fluxes, ~~and net ecosystem exchange of (NEE)~~

The full diel cycle of net radiation, the turbulent energy fluxes, ~~and NEE~~ NEE, and transpiration are  
515 shown in Fig. 9 ~~for mean values (a1–d1) and variability or SD-Bin (a2–d2). where the diel cycles are arranged by dDry, dWet, wWet, and wDry conditions. The dDry conditions are repeated in each column to make comparison between conditions easier.~~ In order to better quantify the impact of precipitation state on the fluxes, we ~~have arranged the fluxes by Dry1, Wet1, Wet2, and Dry2 conditions similar to what was shown previously with the scalar measurements (i.e., Fig. 6). This summary~~  
520 ~~, however, also show a summary that~~ only includes mean mid-day (Fig. 10, left-column) and late

evening and nighttime values (Fig. 10, right-column). ~~Choosing these specific periods avoids the evening and morning transition periods which are complicated by the fluxes and scalar gradients becoming small and/or changing sign (e.g., Lothon et al., 2014).~~ To make interpretation of the quantitative changes more accessible, each panel in Fig. 10 shows the fractional change from the maximum (or minimum) value within that panel. ~~In addition to the figures, the~~ The mean values for each precipitation state are also listed in Table 3.

When precipitation occurred, cloudiness increased and net radiation at mid-day was reduced (Fig. 9a1). ~~Dry1a). dDry~~ days had a mean mid-day value of nearly  $600 \text{ W m}^{-2}$  which decreased by around 50 % to  $300 \text{ W m}^{-2}$  during ~~Wet2wWet~~ days, then recovered on ~~Dry2wDry~~ days to nearly  $550 \text{ W m}^{-2}$  (i.e., about 10 % smaller than  $R_{\text{net}}$  during ~~Dry1-dDry~~ conditions) (Fig. 10a1). ~~The variability of  $R_{\text{net}}$  was similar for all precipitation conditions, though Dry1 conditions typically had the smallest variability during the morning hours (Fig. 9a2).~~

At night, though the absolute value of the mean net radiation was an order of magnitude smaller than the daytime values, the fractional changes and pattern of nocturnal  $R_{\text{net}}$  due to different precipitation states (Fig. 10a2) were similar to those of mid-day  $R_{\text{net}}$  (Fig. 10a1). If we assume that wet nights were cloudier than dry nights, the radiative surface cooling on clear nights was around  $-70 \text{ W m}^{-2}$  while cloudy nights was closer to  $-30 \text{ W m}^{-2}$ . The reduction of the magnitude of  $R_{\text{net}}$  on wet nights was primarily due to changes in cloud cover as well as changes to the turbulent fluxes.

Sensible heat flux during mid-day had a similar pattern to net radiation, with a large decrease in  $H$  (by  $\approx 70\%$ ) between ~~Dry1 and Wet2-dDry and wWet~~ conditions, followed by ~~an increase toward Dry1-a return toward dDry~~  $H$  on ~~Dry2wDry~~ days (Fig. 10d1). In contrast, latent heat flux followed a ~~slightly different pattern—the different pattern—the~~ largest mean mid-day LE occurred on a ~~Dry2wDry~~ day with a value of around  $200 \text{ W m}^{-2}$ , which was around 15 % larger than mid-day LE on ~~Dry1dDry~~ days (Fig. 9c, Fig. 10c1). The extra energy used by LE (coupled with slightly lower  $R_{\text{net}}$  values on ~~Dry2wDry~~ days) explains why mid-day  $H$  only recovered to within  $80 \text{ W m}^{-2}$  (or 30 %) of ~~Dry1-dDry~~  $H$  ~~(as dictated by the SEB (Eq. (1)) and shown in Fig. 9d1) as dictated by the SEB equation (1)-d.~~

At night, latent heat flux cooled the surface and was strongly affected by changes in the precipitation state (Fig. 10c2) following a pattern similar to that of nocturnal  $R_{\text{net}}$  (Fig. 10a2). Nocturnal sensible heat flux changed by around 30–40 % during the different precipitation states but the pattern did not clearly follow that of either  $R_{\text{net}}$  or LE (Fig. 10d2). At night,  $H$  generally warms the surface (including the forest vegetation and other biomass) following the air-surface temperature gradient (i.e., similar to the vertical temperature differences shown in Fig. 4d and f). In this way,  $H$  acts to compensate for air-surface temperature differences that might be generated by the surface cooling effects of  $R_{\text{net}}$  and LE. Even though the vertical air temperature differences were largest during dDry conditions (Fig. 4d and f) the largest sensible heat flux occurred during wDry periods between 00:00–04:00 MST (Fig. 10d2). This is exactly when LE was at a maximum (so evaporative cooling

would be expected) and a close look at Fig. 4f reveals that the temperature difference between the air just above the ground and soil was larger in wDry conditions than dDry conditions. We should also note that what is shown in Fig. 4d and f are vertical air temperature differences which serve as a surrogate for the actual difference between air temperature and the surface elements (i.e., tree branches, needles, boles, and the soil surface) (e.g., Froelich et al., 2011).

### 3.2.5 The evaporative contribution to LE

The increased LE values on ~~Dry2~~wDry days was presumably due to evaporation of the intercepted liquid water present on vegetation and in the soil. Because of the effect of temperature on saturation vapor pressure (and thus VPD) one cannot assume outright that nocturnal LE is representative of daytime evaporation (e.g., Brutsaert, 1982). To further explore this issue, we have plotted LE vs. VPD in Fig. 11 where we observe that nocturnal LE in dry conditions was  $\approx 10 \text{ W m}^{-2}$  with a weak dependence on VPD. This is consistent with ~~our assumption that there was there being~~ a small, consistent

570 persistent baseline level of evaporation in dry conditions and we make an assumption that this level of evaporation is similar during the daytime. Therefore, in ~~Dry1~~-dDry conditions we can estimate that evaporation was  $\approx 10 \text{ W m}^{-2}$  and evapotranspiration was  $\approx 170 \text{ W m}^{-2}$  (based on mid-day LE, Fig. 10c1). This suggests that, on average, evaporation comprised about 6 % of evapotranspiration in dry conditions. ~~Since-~~

575 Can we make a similar estimate of the evaporative contribution to LE as the canopy and soil are drying out? By comparing dDry and wDry conditions we make the following observations: (1) mid-day LE in wDry conditions was larger than dDry conditions (Fig. 9c), (2) mid-day transpiration was relatively smaller in wDry conditions than dDry conditions (Fig. 9e), (3) net radiation in ~~Dry4 and Dry2~~-dDry and wDry conditions was similar ~~, we can get~~ (Fig. 9a), (4) soil moisture content

580 was relatively high on wDry days (Fig. 5d), suggesting the presence of an available source of liquid water for evaporation on wDry days, and (5) previous research of transpiration at the US-NR1 site (Turnipseed et al., 2009; Hu et al., 2010b) has shown that ecosystem-scale transpiration increases as VPD increases. We also observe that daytime LE follows a trend with VPD that is very similar to that of transpiration measured within the forest (shown by the dashed black lines in Fig. 11a2).

585 The trend of LE is similar for all precipitation states during the daytime, but there is a very weak relationship between LE and VPD at night during dry conditions. This is highly suggestive that, in dry conditions, soil resistance is controlling evaporation, not VPD. From (1) and (2) above, we can conclude that the daytime increase in wDry LE was primarily caused by an increase in evaporation, not transpiration. If we also consider how LE varied with VPD a rough estimate of daytime evaporation

590 comes from the LE difference during ~~Dry1 and Dry2~~-dDry and wDry conditions (shown as a black line in Fig. 11a2). As the atmosphere becomes drier the LE difference increased from near  $15 \text{ W m}^{-2}$  to around  $50 \text{ W m}^{-2}$  where it flattens out in drier conditions (for  $\text{VPD} > 1.2$ ). ~~Previous research at the US-NR1 site has shown large differences in transpiration between the dominant tree~~

species (Hu et al., 2010b), but the general relationship between ecosystem-scale transpiration and VPD is similar to what is shown in Fig. 11a2 (Turnipseed et al., 2009). Therefore, following a rain event, daytime evaporation was somewhere between 15–50 W m<sup>-2</sup> (black line in Fig. 11a2), while mid-day evapotranspiration increased from 100–225 W m<sup>-2</sup> (Dry2-wDry line in Fig. 11a2). If we take the overall average of this ratio, it suggests that evaporation comprised about 20% of evapotranspiration in wet conditions.

We also observed that increased LE lasted throughout a Dry2 until around 18:00 MST when LE came within around 10 of LE in Dry1 conditions (Figs. 9e1 and 11a3). This suggests that the evaporative effect lasted at least 18 following a significant precipitation event. Central to our calculations is the assumption that LE at night was primarily evaporation. Some evidence exists that the needle stomates opening at night combined with cuticular water loss could lead to small amounts of nocturnal transpiration (e.g., Novick et al., 2009). If this occurred at US-NR1, it is likely a small effect which is further discussed by Turnipseed et al. (2009). We should also emphasize that our results are mean estimates and the variability around these mean values are large (i.e., as shown in Fig. 11b1–b4). Some of this variability is due to the random nature of turbulence in the atmosphere, whereas some can be explained by differences in net radiation, atmospheric stability, air temperature, and stomatal control.

The modeling study of Moore et al. (2008) based on sap flow measurements at the US-NR1 site found that transpiration in the warm season accounted for about 30 of total evapotranspiration, whereas our findings suggest that transpiration accounted for between 80 (wet conditions) to 94 (dry conditions) of evapotranspiration. The large discrepancy between these estimates and the model results might be due to the simplicity of the model used by Moore et al. (D. J. P. Moore, personal communication, 2015). Compared to eddy covariance techniques, sap flow sensors have typically underestimated transpiration and there are scaling issues to contend with as well as other measurement challenges (e.g., Hogg et al., 1997; Wilson et al., 2001; Staudt et al., 2011). The as the forest transitioned from wet to dry conditions.

The trend toward less evaporation in Dry1-dDry conditions is consistent with a large resistance to evaporation being present when the soil/litter surface under a canopy is dry (Baldocchi and Meyers, 1991). Based on lysimeter measurements of evaporation, it was found that transpiration comprised about 95 % of total evapotranspiration during the growing season in a boreal aspen forest (Blanken et al., 2001). The partitioning of evapotranspiration for a forest is strongly dependent on the vegetation density and modeling efforts by Lawrence et al. (2007) suggest that, for a canopy density similar to that of the US-NR1 forest (i.e., LAI ≈ 4), transpiration should be around 80 % of evapotranspiration. The In a survey of 81 different studies from around the world, Schlesinger and Jasechko (2014) found that the ratio of transpiration to evapotranspiration in temperate coniferous forests have a typical range between 50-65%. This is a large-scale estimate from the perspective of an overall water budget that does not include details such as a dependence of

evapotranspiration on LAI or surface wetness (they also note that uncertainties in their estimates are large). For the spruce forest studied by Staudt et al. (2011) with  $LAI \approx 4.8$ , they found that transpiration accounted for about 90 % of total evapotranspiration (in generally dry conditions). The values we determined are within a similar range to these previous studies.

635 ~~On a larger (global) scale it has recently been suggested from isotope measurements that transpiration contributes 80–90 to~~ Our results are mean estimates and the variability around these mean values can be large (e.g., Burns et al., 2015). Some of this variability is due to the random nature of turbulence in the atmosphere, whereas some can be explained by differences in net radiation, atmospheric stability, air temperature, and stomatal control. For example, in the total  
 640 ~~annual terrestrial evapotranspiration (Jasechko et al., 2013). This result appears consistent with our estimate of transpiration for the warm-season months; however, similar to the GLEES Rocky Mountain forest site described by ?, the US-NR1 forest only has active transpiration for 4–5 months of the year (e.g., Fig. 2a) so the annual contribution of transpiration is much reduced and sublimation of snow plays a significant role.~~

645 ~~At night, latent heat flux cooled the surface and was strongly affected by changes in the precipitation state (Fig. 10c2) following a pattern similar to that of nocturnal  $R_{net}$  (scatter plots of Fig. 10a2). Nocturnal sensible heat flux changed by around 30–40 during the different precipitation states but the pattern did not clearly follow that of either 11b1–b4, the LE data with larger  $R_{net}$  or values generally fall above the bin-averaged line that is drawn through the cloud of data points.~~

650 ~~We also observed that increased LE (Fig. 10d2) lasted throughout a wDry 10d2). At night,  $H$  generally warms the surface (including the forest vegetation and other biomass) following the air-surface temperature gradient (i.e., similar to the vertical temperature differences shown in Fig. 4d and f). In this way,  $H$  acts to compensate for air-surface temperature differences that might be generated by the surface cooling effects of  $R_{net}$  and LE. Even though the vertical air temperature differences were largest during Dry1 conditions (Fig. 4d and f) the largest sensible heat flux occurred during Dry2 periods between 00:00–04:day until around 18:00 MST (Fig. 10d29c and 11a3). This is exactly when suggests that the evaporative effect lasted at least 18 h following a significant precipitation event. Central to our calculations is the assumption that LE was at a maximum (so evaporative cooling would be expected) and a close  
 660 look at Fig. 4f reveals that the temperature difference between the air just above the ground and soil was larger in Dry2 conditions than Dry1 conditions. We should also note that what is shown in Fig. 4d and f are vertical air temperature differences which serve as a surrogate for the actual difference between air temperature and the surface elements (i. e., tree branches, needles, boles, and the soil surface) (e.g., Froelich et al., 2011). at night was primarily evaporation. Some evidence exists that  
 665 the needle stomates opening at night combined with cuticular water loss could lead to small amounts of nocturnal transpiration (e.g., Novick et al., 2009). If this occurred at US-NR1, it is likely a small effect which is further discussed by Turnipseed et al. (2009).~~



### 3.2.6 Net ecosystem exchange of CO<sub>2</sub> (NEE)

As one would expect, the magnitude of daytime NEE was reduced during wet conditions due to decreased photosynthetically active radiation (PAR) which is shown as a decrease in  $R_{\text{net}}$  in Fig. 9a1a. The ratio between mid-day PAR and  $R_{\text{net}}$  was similar for all precipitation states (Table 3) and we will use  $R_{\text{net}}$  as a surrogate for PAR in our discussion. The Dry2wDry days were when the forest was most effective at assimilating CO<sub>2</sub> and NEE increased by over  $3 \mu\text{mol m}^{-2} \text{s}^{-1}$  ( $\approx 30\%$ ) between Wet2 and Dry2wWet and wDry days (Fig. 10b1).

Nocturnal NEE was not affected very much (less than 10 %) by changes in the precipitation state and any effect was overshadowed by the difference between NEE in the late evening compared to the early morning (Figs. 9b1-b and 10b2). ~~The models of respiration by Reichstein and Lasslop produced results similar to the measured nocturnal NEE. The good agreement between the 14 smoothed nighttime NEE measurement and  $R_{\text{eco}}$  calculated from the flux partitioning (i.e., Fig. S1nocturnal~~ Though the seasonal nocturnal ecosystem respiration signal was, at least for the seasonal-scale, apparently captured at the 21.5 m measurement level ~~-(i.e., Fig. 2a), it appears that the effect of advection on the diel cycle is larger than any effect of precipitation.~~

The striking difference between the effect of precipitation on the transport of CO<sub>2</sub> (NEE) compared to water vapor (LE) is perplexing because one would expect the turbulence to transport water vapor and CO<sub>2</sub> in a similar manner. A few possible reasons for this difference are: (1) soil respiration at the US-NR1 site was not strongly affected by precipitation, (2) long dry periods are rare enough that the Birch effect (i.e., CO<sub>2</sub> pulse following precipitation) did not have a large impact on the overall warm-season NEE statistics, (3) the measurement of NEE at 21.5 m was not accurately describing the soil respiration at the soil surface due to surface decoupling and/or other problems related to stable conditions (e.g., Staebler and Fitzjarrald, 2004; Finnigan, 2008; Aubinet, 2008; Thomas et al., 2013; Alekseychik et al., 2013), ~~or~~ (e.g., Mahrt, 1999; Staebler and Fitzjarrald, 2004; Finnigan, 2008; Aubinet, 2008; Thomas et al., 2013), (4) the difference in vertical location of these two scalar sources (e.g., liquid water evaporates from the vegetation surfaces as well as at the ground whereas respiration of CO<sub>2</sub> occurs almost exclusively at the ground) caused differences in the sensitivity to precipitation (Edburg et al., 2012), or (5) an effect of the shorter atmospheric residence-time and larger background variability of water vapor compared to CO<sub>2</sub> which affects the surface fluxes. Previous measurements (mostly during the daytime) of soil respiration  $R_{\text{soil}}$  at US-NR1 with a manual chamber system by Scott-Denton et al. (2003, 2006) found that the dependence of soil respiration on soil moisture over a given summer was small. It has also been suggested by Huxman et al. (2004, 2003) that ecosystem respiration at the US-NR1 site is subject to controls from temperature and radiation as much as from precipitation (in contrast to an arid or semi-arid ecosystem such as a desert grassland where  $R_{\text{eco}}$  is strongly dependent on precipitation). The CO<sub>2</sub> pulse related to the Birch effect has been detected by eddy-covariance at a wide variety of ecosystems that are listed in the introduction. For



the current study, the relevant results are: (i) the 21.5 m nocturnal NEE measurements were able to detect the increase in nocturnal ecosystem respiration over the warm-season (Fig. 2a), and (ii) the nocturnal NEE was not strongly affected by precipitation (Fig. 10b2). This suggests that, at the seasonal/annual time-scale, precipitation plays a minor role in modifying the contribution of ecosystem respiration to the above-canopy NEE for this subalpine ecosystem.

~~So far we have primarily discussed the mean changes to the ecosystem fluxes due to precipitation. Since these flux calculations are affected by turbulent atmospheric motions that have a large random component (e.g., Baldocchi, 2003; ?) and there is natural day-to-day (and seasonal) variability during a particular time of day, the variability (SD-Bin) around the mean flux value is large (Fig. 9a2-d2). Typically, SD-Bin for the flux is on the order of 50 of the mean flux. The variability also provides some insight into the various physical processes taking place. For example, Dry1 conditions resulted in the smallest variability for mid-day NEE and LE, but not for  $H$ . Furthermore, in the morning hours (07:00–10:00 MST), the variability of both NEE and LE was largest for Wet2 conditions (Fig. 9b2-e2). This shows the connection that NEE and LE have through the opening of stomates that provide pathways for both transpiration and photosynthesis. The fact that the variability for LE was elevated during Dry2 conditions (both between 00:00–04:00 MST and throughout the day) was due to the extra evaporation that occurs in Dry2 conditions as discussed above. These changes to LE also increased the Dry2 variability of sensible heat flux between 00:00–04:00 MST, but not in the evening hours. For models of ecosystem processes, the mean is often emphasized, but we point out that it is also important to understand the day-to-day variability in diel composites.~~

### 3.3 Asymmetry in the diel cycle of net radiation and turbulent fluxes

One other interesting aspect of the diel cycle is related to the timing of fluxes relative to solar noon. As one would expect, the top of the atmosphere radiation reached a maximum near 12:00 MST (Fig. 9a1a). In contrast, the maximums for composited  $R_{\text{net}}$ , LE, and  $H$  occurred at about 11:00 MST on dry days and 10:00 MST on wet days (Fig. 9a1, e1-d1a, c-d). For NEE, the peak uptake of  $\text{CO}_2$  was between 09:00–10:00 MST on both wet and dry days (Fig. 9b1b). The fact that the peak in the energy fluxes was different for wet and dry conditions suggests that clouds were affecting the composited diel cycle.

In Fig. 12 we further examine the role of clouds on the diel cycle by sub-dividing the ~~Dry1-dDry~~ days into clear sky (~~Dry1-Clear-dDry-Clear~~) and cloudy (~~Dry1-Cloudy-dDry-Cloudy~~) days. Clear skies occurred on about 18 % of the ~~Dry1-dDry~~ days and this is reflected by the fact that the ~~Dry1-dDry~~ statistics closely follow those of ~~Dry1-Cloudy-dDry-Cloudy~~ statistics. The peak in  $R_{\text{net}}$ , LE, and  $H$  during ~~Dry1-Clear-dDry-Clear~~ days were all near 12:00 MST which was consistent with the timing of the maximum top of the atmosphere radiation.

On ~~Dry1-Clear-dDry-Clear~~ days,  $R_{\text{net}}$  was enhanced by an additional 30 % compared to cloudy days (Fig. 12a1a). This enhanced incoming radiation was reflected by larger turbulent energy (LE

and  $H$ ) fluxes on ~~Dry1-Clear~~ dDry-Clear days (Fig. 12e1-d1c-d). Consistent with the findings by Monson et al. (2002), NEE was slightly smaller on days with clear skies suggesting that the forest was taking up more  $\text{CO}_2$  when clouds were present (Fig. 12b1b). This result is partially due to  $\text{CO}_2$  uptake by vegetation reaching a saturation point with increasing radiation (e.g., Ruimy et al., 1995), as well as research that has shown diffuse radiative conditions are more conducive to photosynthetic uptake of  $\text{CO}_2$  by vegetation (e.g., Gu et al., 1999, 2002; Law et al., 2002; Wang et al., 2008). (Further discussion is in Monson et al., 2002). If LE was completely controlled by stomates, one would expect that LE would follow NEE and be larger on ~~Dry1-Cloudy~~ dDry-Cloudy days. However, the effect of ~~much~~ higher  $R_{\text{net}}$  on clear days also affects LE (through the SEB equation) and drives it to slightly higher levels on ~~Dry1-Clear~~ dDry-Clear days.

~~The variability of net radiation during Dry1-Clear days closely approximated the variability of the top of the atmosphere radiation (Fig. 12a2) which suggests we successfully selected the clear days. It is also of note that the variability of mid-day sensible heat flux (Fig. 12a2) was strongly affected by clouds (similar to  $R_{\text{net}}$ ), whereas the variability of mid-day NEE and especially LE were only slightly changed by clouds. This is an example of the unique connections between  $R_{\text{net}}$  and  $H$  compared to those between NEE and LE.~~

### 3.4 The surface energy balance (SEB) closure

Though the individual components in the SEB balance equation (i.e., Eq. 1) were dramatically affected by precipitation (i.e., Fig. 10), the overall mean ~~simple~~ SEB closure fraction during mid-day was fairly consistent at around ~~0.7-0.8~~ 0.9-1.1 (Fig. 13a1). ~~The missing 20 in the~~ This degree of energy closure is similar to that observed by previous studies at the site (e.g., Turnipseed et al., 2002; Burns et al., 2012). It appears that wet conditions lead to values which are slightly above 1 and dry conditions are slightly below 1. This suggests that ~~the turbulent fluxes were consistently measured for each precipitation state and whatever is causing the missing 20 is likely unrelated to precipitation~~ there could be some small effect of precipitation on the SEB closure.

The nighttime ~~simple surface energy balance~~ SEB closure during the evening hours (19:00–23:00 MST) was at around ~~40-50~~ 0.3-0.4 while closure during the early morning hours (00:00–04:00 MST) was closer to ~~60-70~~ 0.4-0.5. Previous research has shown that these low nocturnal closure values are during periods of low winds that lead to large horizontal advection (Turnipseed et al., 2002). Any effect of precipitation on the SEB at night was overshadowed by ~~these large~~ differences related to the time of day. The effect of drainage flows on horizontal  $\text{CO}_2$  advection at US-NR1 have been summarized in previous studies (e.g., Sun et al., 2007; Yi et al., 2008) and our objective is to point out that the SEB ~~was most affected in the late evening and~~ closure improved after midnight, presumably because the wind speed and variability of mechanical turbulence increased. This result is consistent with the findings of Burns et al. (2011) that there is increased turbulence variability in the nocturnal boundary layer after around 23:00 MST. However, we have

also reported (in Sect. 3.2.1) that stability tends to get stronger as the night progresses, especially in ~~Dry+ dDry~~ conditions. Though outside the scope of the current study, our suspicion is that as the stability and wind speed increase during the night it leads to the formation of intermittent turbulent events caused by increased wind shear. In terms of precipitation, it is clear that the pattern of stability was disrupted by the rain event (affecting both the wind speed and vertical temperature gradients) and the nocturnal dry periods tended to be more stable ( $Ri_b > 0.2$ ) ~~at night~~ than the wet periods ( $Ri_b < 0.2$ ) as shown in Fig. 13c2. The decreased stability in wet conditions is especially prevalent in the early evenings as discussed previously in relation to the vertical  $CO_2$  profiles (Sect. 3.2.2,3).  
 785 Changes in VPD were closely related to changes in air temperature as reflected in how mean VPD changed with the precipitation state (Fig. 13b1 and b2). It is interesting that the pattern for nocturnal VPD (Fig. 13b2) was similar to that of stability (Fig. 13c2).

#### 4 Summary and conclusions

Based on fourteen years of 30 min measurements, the typical seasonal cycle and interannual variability of turbulent fluxes of sensible and latent heat and NEE from just-above a high-elevation subalpine forest were presented. We used the snowpack ablation date to determine the start of the warm-season and related this to the smoothed ~~annual fluxes~~annual-flux time series. The warm-season was further analyzed to determine how precipitation perturbed the ecosystem fluxes on a diel (i.e., hourly) time-scale. A simple, novel conditional sampling method based on whether the mean daily precipitation was greater than  $3 \text{ mm day}^{-1}$  was used which essentially created a 4 day composite of a cold front passing by the tower (the dry days prior to the cold front, a day when the precipitation started, a day with precipitation on the preceding day, and the day following the precipitation event). Though the wet days comprised only 17 % of the warm-season days, they accounted for around 85 % of the total precipitation.

800 The results showed what might be expected for a cold-front passage in a mountainous location: an afternoon peak in precipitation, a  $6^\circ\text{C}$  drop in air temperature, and a 50 % increase in specific humidity. Changing from dry conditions to the wet, cool period of the composite front, we found the following changes during mid-day: net radiation decreased from around  $585$  to  $275 \text{ W m}^{-2}$  (over 50 %), sensible heat flux decreased from  $280$  to  $85 \text{ W m}^{-2}$  (around 70 %), latent heat flux was reduced from  $170$  to  $125 \text{ W m}^{-2}$  (around 25 %), and NEE was reduced from  $-7.8$  to  $-5.4 \mu\text{mol m}^{-2} \text{ s}^{-1}$  (around 30 %). Despite these dramatic changes to the individual component energy fluxes, the ~~simple~~ surface energy balance (SEB) closure during the daytime ~~remained between 70–80~~was between 90–110 % throughout the 4 day composite frontal passage (Fig. 13a1). This level of SEB closure is consistent with previous studies at the site (~~e.g., Turnipseed et al., 2002; Burns et al., 2012~~) and ~~suggests that whatever is causing the closure imbalance is a phenomena unrelated to precipitation and clouds~~(e.g., Turnipseed et al., 2002) and there was a slight dependence on the precipitation state.  
 810

In our study, most of the storage terms were calculated based on biomass properties in the lower part of the canopy. Several recommendations of potential improvements with regard to the SEB are: (1) take into account the vertical variation of biomass properties, (2) use canopy and needle temperatures based on radiometric temperature measurements, (3) calculate storage terms using temperature lags in the soil and biomass (e.g., Lindroth et al., 2010), (4) improve our knowledge of soil properties (especially how they vary with depth), (5) examine the effect of flow distortion on the turbulent fluxes (e.g., Horst et al., 2015), and (6) explore calculating the sensible heat flux using a thermocouple rather than sonic temperature for warm-season conditions (e.g., Burns et al., 2012).

For a typical day following a rain event, net radiation and sensible heat flux both recovered to slightly below dry-day values. Latent heat flux, however, increased from a dry-day value of  $170 \text{ W m}^{-2}$  to nearly  $200 \text{ W m}^{-2}$ . Because LE also increased at night we conclude that LE primarily increased due to evaporation of liquid water from the wet vegetation surfaces and ground within the soil. The enhanced LE due to evaporation lasted at least 18 h, after which time it returned to a value similar to that of dry conditions (Fig. 9e1c). Another example of the effect of increased evaporation was the creation of a mid-day stable temperature layer within the forest sub-canopy (Fig. 4e). We conclude that the stable layer formed due to a combination of the vegetation being warmed by solar radiation and evaporative cooling near the ground. For NEE, we found that the subalpine forest at the US-NR1 site was most effective in assimilating  $\text{CO}_2$  on the day following a significant rain event. A closer look at the diel cycle reveals that increased NEE occurred during the afternoon of a day following rain (Fig. 9b1b).

Any effect of precipitation on nocturnal NEE and SEB closure was overshadowed by the influence of low winds and drainage flows. Precipitation also disrupted the typical dry-day diel pattern in several distinct ways: (1) it eliminated the dip of  $\approx 1 \text{ m s}^{-1}$  in above-canopy horizontal wind speed during the morning and evening transitions (Fig. 3e1d), (2) it generally led to lower overall levels of mechanical turbulence (Fig. 3e2e), and (3) it decreased the magnitude of subcanopy/above-canopy vertical air temperature differences (Fig. 4). These effects resulted in weakly stable conditions in the late evening during wet periods ( $\text{Ri}_b \approx 0.1$ ) compared to the more strongly stable dry periods ( $\text{Ri}_b \approx 0.2$ ). These stability differences contributed to smaller  $\text{CO}_2$  vertical differences (relative to above-canopy  $\text{CO}_2$ ) in the wet (less stable) conditions. After midnight, stability increased for both wet and dry conditions which created  $\text{CO}_2$  vertical differences that were similar in both wet and dry conditions. Despite the stronger stability after midnight there was also increased wind speed and mechanical turbulence (especially in dry conditions) which should result in increased vertical mixing. Further examination of these nighttime phenomena are beyond the scope of the current study but are recommended for future investigations.

By comparing cloudy and cloud-free days during dry periods we found that clouds shifted the diel maximum in sensible and latent heat fluxes from 12:00 MST on clear days to around 11:00 MST on cloudy days. Also, mid-day net radiation and sensible heat flux were enhanced by about 20 % on

clear days relative to cloudy days. In contrast, the timing of the peak in NEE (at around 10:00 MST)  
850 was unaffected by clouds and the forest was more efficient at assimilating CO<sub>2</sub> on cloudy days than  
clear days (Fig. 12**b**).

Our study has provided an example of one way to look at the complex interconnections  
between variables that make modeling ecosystems so challenging. We have centered our  
study on precipitation, but these techniques could easily be adapted to focus on some over  
855 variable. Furthermore, this type of analysis could be used to evaluate models at the hourly  
time-scale (e.g., Matheny et al., 2014). We have shown that precipitation is intrinsically linked  
to changes in air temperature, pressure, and atmospheric humidity. Our focus was on the local  
near-ground and source effects on the scalars and fluxes relative to precipitation ~~–The during~~  
the warm-season. Three items that we did not fully consider in our analysis are: (1) there  
860 are undoubtedly sub-seasonal variations within the warm season that might reveal different  
responses to precipitation, (2) we did not examine the effect of the magnitude of precipitation  
events on our results, and (3) the atmospheric boundary layer, and specifically the boundary layer  
height and entrainment, will also have an impact on the near-surface scalar concentrations and fluxes  
(e.g., Culf et al., 1997; van Heerwaarden et al., 2009; Pino et al., 2012)(e.g., Culf et al., 1997; Freedman et al., 2001; van Heerwaarden et al.,  
865 Characteristics such as boundary-layer height are linked to the larger-scale flows at the mountainous  
US-NR1 research site and will be considered in a future study.

## Appendix A: Additional data details

### A1 Additional measurements and calculations

At US-NR1, the mean temperature and humidity profiles were measured with three mechanically  
870 aspirated, slow-response temperature-humidity sensors (Vaisala, model HMP35-D) installed at 2,  
8, and 21.5 m a.g.l.. The vertical ~~resolution of the temperature measurements~~ temperature profile  
was enhanced by a set of twelve unaspirated 0.254 mm diameter type-E chromel-constantan ther-  
mocouples distributed between the ground and 21.98 m a.g.l.. In October 2005, a soil moisture  
sensor (Campbell Scientific, model CS616) and soil temperature sensor (Campbell Scientific, model  
875 CS107) were installed horizontally at a depth of 5 cm within 15 m of the US-NR1 tower. Prior  
to deployment, the CS107 thermistor was calibrated against a NIST-standard temperature sensor  
at the National Center for Atmospheric Research (NCAR) Integrated Surface Flux System (ISFS)  
calibration facility. These sensors were incorporated in the US-NR1 dataset starting in January 2006.  
Prior to this, an average of 5 soil temperature sensors (REBS, model STP-1) and 8 soil moisture  
880 sensors (Campbell Scientific, model CS615) were used to determine the soil properties. The CS615  
sensors were inserted into the soil at a 45° angle providing an average moisture content over the  
upper 15 cm of the soil. Soil heat flux ( $G_{\text{plate}}$ ) was measured with 4–5 soil heat flux plates (REBS,  
model HFT-1) dispersed near the tower at a depth of 8–10 cm.

Additional information related to the diel cycle was provided by estimating the top  
885 of the atmosphere incoming solar radiation ( $Q_{sw}^{\downarrow}$ )<sub>TOA</sub>. The sun position was calculated  
for the US-NR1 tower latitude and longitude with the SEA-MAT Air-Sea toolbox  
(Woods Hole Oceanographic Institution, 2013) which uses algorithms based on the 1978 edition of  
the Almanac for Computers (Nautical Almanac Office, U. S. Naval Observatory).

Heat-pulse sap flow sensors were installed in the three dominant tree species (spruce, pine, and  
890 fir) near the US-NR1 tower during the summers of 2004, 2006 and 2007. Further details about  
the instrumentation and methods used are in Moore et al. (2008) and Hu et al. (2010b). In general,  
the pine and spruce trees make the largest contribution to transpiration and empirical relationships  
between transpiration and VPD from the summer of 2006 determined by Hu et al. (2010b) are shown  
in Fig. 11a2. For our study, we selected sensors for each summer from different pine and spruce  
895 trees that had similar year-to-year values of sap flow. To track relative changes in transpiration, we  
normalized the sap flow measurements using the maximum sap flow over the diel cycle in dDry  
conditions as shown for the pine trees in Fig. 9e. Here, we observed that the mid-day transpiration  
rate for pine trees on wDry days was about 20% lower than that of dDry days. For spruce trees, the  
mid-day transpiration rate on wDry days was very similar to that of dDry days (results not shown).

900 Leaf wetness was measured just below canopy-top with a horizontally-oriented resistive-grid type  
wetness sensor (Campbell Scientific, model 237) between 1 July of 1999 to the present day. The  
output from the sensor has been normalized so that a value of zero corresponds to dry conditions  
while a value of one corresponds to completely wet conditions. Values between 0 and 1 correspond  
to “slightly wet” conditions.

905 Precipitation was measured on the US-NR1 tower at 11.5 m (canopy top) with a tipping bucket  
rain gauge (Campbell Scientific, Met One Model 385) starting in late summer of 1999. Two nearby  
precipitation-measurement sites were used to check the Met One data quality and for gap-filling. One  
station was part of the U.S. Climate Reference Network (USCRN; Diamond et al., 2013) (site: CO  
Boulder 14 W, Mountain Research Station, Hills Mill) located about 700 m northeast of US-NR1.  
910 These measurements started in 2004 using a Geonor T-200B precipitation gauge with a Small Dou-  
ble Fence Intercomparison Reference (SDFIR) type of wind shield around the gauge. The second  
~~precipitation site was site is~~ operated by the Niwot Ridge Long Term Ecological Research (LTER)  
Mountain Climate Program ~~who used where~~ both a Geonor T-200B gauge (unshielded) and, for the  
longer-term record dating back to 1953, a Belfort precipitation gauge strip-chart recorder for daily  
915 precipitation ~~amounts were used~~ (e.g., Greenland, 1989; Williams et al., 1996). The LTER sensors  
were located about 550 m northeast of the US-NR1 tower. Though in winter the unshielded Met One  
gauge grossly underestimated total precipitation due to snow blowing by the tipping bucket gauge  
(e.g., Rasmussen et al., 2012), the warm-season cumulative precipitation between the USCRN and  
Met One gauges were typically within about 20 cm of each other (with a typical mean value of  
920 250 cm). However, starting in summer of 2011, the Met One gauge started showing much greater

precipitation amounts which we suspect was due to the “points” which hold the tipping bucket becoming worn and loose (in winter of 2013, the sensor failed completely). Therefore, the precipitation data used for the summers of 2011 and 2012 were exclusively from the USCRN sensor. Because the US-NR1 Met One sensor was not installed until late summer of 1999, the LTER Geonor data were used for the 1999 warm season. However, prior to year 2000, only daily precipitation was measured by LTER so hourly precipitation data were not available for ~~1999–which–1999~~. This allows for the determination of a wet day in summer 1999, but not examination of the diel cycle of precipitation.

Based on eight years of precipitation data from a nearby U.S. Climate Reference Network (USCRN) site, April had the most precipitation (with a mean of around 120 mm, almost all falling as snow) followed by July with 90 mm of precipitation (Fig. S1a). April and July were also the months with the largest variability between years and the variations between years were about 50 % of the mean value (Fig. S1b). These trends generally agree with the long-term precipitation measurements from the LTER C-1 (1953–2012) station where the effect of undercatch by the LTER gauge is noticeable during the winter months.

Carbon dioxide dry mole fraction was measured on the US-NR1 tower with a tunable diode laser (TDL) absorption spectrometer (Campbell Scientific, model TGA100A) as described by Bowling et al. (2005); Schaeffer et al. (2008b). Measurements were made in summer of 2003 and continuously from fall of 2005 to the present. For our study, nine TDL inlets between 0.1 and 21.5 m a.g.l. were used to evaluate the CO<sub>2</sub> profile. The precision of TDL CO<sub>2</sub> mole fraction is estimated to be about 0.2 μmol mol<sup>-1</sup> (Schaeffer et al., 2008b). The TDL CO<sub>2</sub> data were downloaded on 7 January 2013 from <http://biologylabs.utah.edu/bowling/>. For calculating the storage term in NEE, an independent CO<sub>2</sub>-profile system with a closed-path IRGA (LI-COR, model LI-6251) was used as described in Monson et al. (2002). ~~The TDL data were downloaded on 7 January 2013 from–~~

## **A2 Soil heat flux and storage terms in the surface energy balance**

The storage terms in the surface energy balance are,

$$S_{\text{tot}} = S_H + S_{\text{LE}} + S_b + S_n + J_A, \quad (\text{A1})$$

where  $S_H$  and  $S_{\text{LE}}$  are the sensible and latent heat energy stored in the air space between the ground and flux-measurement level,  $S_b$  is heat stored in the tree boles, and  $S_n$  is heat stored in the tree needles.  $J_A$  is the energy consumed by photosynthesis which was estimated by Turnipseed et al. (2002) to be small, so we have neglected it. The tree bole temperatures were measured in each tree species at a nominal depth of 3 cm into the bole and at three vertical heights (near the ground, 0.5 m, and 1.5 m). Bole temperatures in the summers of 2011 and 2012 were found to have a hardware problem, so these years were excluded from the storage term calculation. The needle temperature was estimated using the 8-m air temperature as a proxy for the true needle temperature. The storage terms in Eq. (A1) were all calculated as described

by Turnipseed et al. (2002) and interested readers should look there for additional details. The individual storage terms are shown over the diel cycle for each precipitation states in Fig. S2b1-b4.  $S_{\text{tot}}$  was at a maximum during dry conditions with a value near  $100 \text{ W m}^{-2}$  which corresponds to about 15 % of  $R_{\text{net}}$  (Fig. S2a1-a4).

960 The heat flux at the soil surface ( $G$ ) was calculated from the average soil heat flux from the  $\approx 10 \text{ cm}$  deep heat-flux plates combined with the heat storage in the soil above the heat-flux plates  $S_{\text{soil}}$  (e.g., Oncley et al., 2007).

$$G = G_{\text{plate}} + S_{\text{soil}}. \quad (\text{A2})$$

The soil storage term was calculated with,

$$965 \quad S_{\text{soil}} = C_{\text{soil}} z_p \frac{d\bar{T}_{\text{soil}}}{dt}, \quad (\text{A3})$$

where  $C_{\text{soil}}$  is the volumetric heat capacity of the soil [ $\text{J m}^{-3} \text{ K}^{-1}$ ],  $z_p$  is the depth of the heat-flux plates, and  $\bar{T}_{\text{soil}}$  is the average temperature of the soil layer above the heat-flux plates. For  $\bar{T}_{\text{soil}}$ , the CS107 sensor at a depth of  $5 \text{ cm}$  was used starting in summer of 2006. If the heat capacity of air within the soil matrix is neglected, then  $C_{\text{soil}}$  depends on the amount of water within the soil and can be calculated from,

$$970 \quad C_{\text{soil}} = \rho_{\text{water}} c_{\text{water}} \text{VWC} + \rho_{\text{soil,dry}} c_{\text{soil,dry}}, \quad (\text{A4})$$

where the density of dry soil  $\rho_{\text{soil,dry}}$  was assumed to be  $1700 \text{ kg m}^{-3}$  with a specific heat capacity  $c_{\text{soil,dry}}$  of  $900 \text{ J kg}^{-1} \text{ K}^{-1}$ . For water, the values of  $\rho_{\text{water}}$  and  $c_{\text{water}}$  used were  $998 \text{ kg m}^{-3}$  and  $4182 \text{ J kg}^{-1} \text{ K}^{-1}$ , respectively. The volumetric water content VWC of the soil ranged between less than  $0.1 \text{ m}^3 \text{ m}^{-3}$  for dry soil to around  $0.4 \text{ m}^3 \text{ m}^{-3}$  for saturated soil. At mid-day, the soil storage term was found to be about twice as large as the measured soil heat flux (Fig. S2c1-c4).

### A3 Updates to US-NR1 AmeriFlux data

The version of the US-NR1 AmeriFlux data used in our study (ver.2011.04.20) includes a correction for an error in the closed-path IRGA  $\text{CO}_2$  flux calculation where a water-vapor correction was applied twice: first, as a sample-by-sample dilution correction and second by including the Webb–Pearman–Leuning (WPL) term in the  $\text{CO}_2$  flux (e.g., Ibrom et al., 2007). After the error was discovered in Fall of 2010, the  $\text{CO}_2$  flux (and NEE) for all years were re-calculated from the raw 10 Hz data with only the dilution correction applied and the updated/fixed data set was released on 20 April 2011 ([http://urquell.colorado.edu/data\\_ameriflux/](http://urquell.colorado.edu/data_ameriflux/)). Though the point-by-point difference between the correct and incorrect 30 min NEE values appears small, when accumulated over a year, the correctly-calculated NEE approximately doubled the annual uptake of  $\text{CO}_2$  by the US-NR1 forest. The accumulation of a systematic measurement error over time is a well-known issue in the flux



community (Moncrieff et al., 1996). Several side-by-side instrument comparisons by the AmeriFlux QA/QC team (e.g., Schmidt et al., 2012) have found the US-NR1 measurements to be of high quality  
990 (and also helped to assess the calculation error of the CO<sub>2</sub> flux).

#### A4 Time series of measured fluxes

During the discussion portion of the review it was suggested that a time series of the fluxes be provided. Bin-averaging can sometimes produce mis-leading results so we agreed with this suggestion. A time series of the measured fluxes is shown in Fig. S3. This period includes a large  
995 rain event between days 188-191. On the day following this rainy period, there was enhanced latent heat flux (Fig. S3c) which is a characteristic similar to what we found using the bin-averaged data.

**The Supplement related to this article is available online at  
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**Figure 1. (a)** Soil temperature and **(b)** soil moisture for years 1999 to 2012. In **(b)**, the black dots indicate wet days and the number of wet days for each year is shown to the right of the panel underneath the year. The warm-season start date was chosen based on the date that the soil temperature diurnal changes started to occur as indicated by the vertical green lines. The vertical mauve lines for years 1999–2007 are the start date of the growing season as determined by Hu et al. (2010a). Starting with year 2006, a single set of soil sensors at a depth of 5 cm were used (see Table 2 for details).

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