

1 **Metagenomic analyses of the late Pleistocene permafrost – additional tools**
2 **for reconstruction of environmental conditions**

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22 **Key words:** permafrost, Kolyma Lowland, methane, metagenome, methanogens,
23 methanotrophs, late Pleistocene Ice Complex, lake sediments

24 **Abstract**

25 A comparative analysis of the metagenomes from two 30,000 year-old permafrost samples,
26 one of lake-alluvial origin and the other from late Pleistocene Ice Complex sediments,
27 revealed significant differences within microbial communities. The late Pleistocene Ice
28 Complex sediments (which have been characterized by the absence of methane with lower
29 values of redox-potential and Fe^{2+} content) showed both a low abundance of methanogenic
30 archaea and enzymes from the carbon, nitrogen and sulfur cycles. The metagenomic and
31 geochemical analyses described in the paper provide evidence that the formation of the
32 sampled late Pleistocene Ice Complex sediments likely took place under much more aerobic
33 conditions than lake-alluvial sediments.

34

35 **1. Introduction**

36 Permafrost, including constantly frozen sediments of the Arctic, is a unique subsurface
37 complex environment where microorganisms retain viability over a long period of time,
38 from thousands to millions of years (Gilichinsky and Rivkina 2011). The impact of climate
39 change on permafrost stability has recently been discussed widely by the scientific
40 community (Anthony et al 2014, Walter et al 2007, Zimov et al 2006). The permafrost
41 deposits of the North-East Siberia, which did not thaw during the Holocene climatic
42 optimum, have attracted particular interest, especially the late Pleistocene Ice Complex
43 deposits (Yedoma Suite) that are widespread on the Kolyma-Indigirka lowland (152-162°E,
44 68-72°N) (Schirrmeister et al 2011). Earlier we found that the epigenetically (consecutively)
45 frozen sediments of both lake and marine origin (independent of age) contain biogenic
46 methane, whereas methane was either absent or present at trace concentrations in samples
47 from the sincryogenic (simultaneously frozen) late Pleistocene Ice Complex (Rivkina et al
48 2007, Rivkina and Kraev 2008). However, low concentrations of methane have been
49 detected in the Yedoma deposits located both far south in boreal forest (Brouchkov and
50 Fukuda 2002) and near the Arctic coast in Lena River Delta (Bischoff et al 2013,
51 Brouchkov and Fukuda 2002). The presence of biogenic methane in the Ice Complex
52 deposits located near the coast of the Arctic Ocean was also confirmed by our research
53 conducted in 2013 on the Gydan Peninsula (unpublished data).

54

55 Anaerobic microcosm incubation of thawed permafrost samples in a carbon dioxide- and
56 hydrogen- enriched atmosphere showed methanogenic activity in epicryogenic sediments
57 only, while this process was not observed in samples from the sincryogenic late Pleistocene
58 Ice Complex (Rivkina and Kraev 2008). Similar results were obtained during experiments
59 with radioactively labeled substrates (Rivkina et al 2004, Rivkina et al 2007, Rivkina et al

60 2002), showing an absence of methanogenic activity in the sincryogenic late Pleistocene Ice
61 Complex samples with this process evidently taking place in epicryogenic sediments of lake
62 or lake-alluvial origin. Moreover, only from the latter sediments methanogenic archaea were
63 isolated in pure culture (Krivushin et al 2010, Rivkina et al 2007, Shcherbakova et al 2011).
64 Viable methanogens were also isolated from the permafrost-affected soils located in the
65 Lena River Delta region (Morozova et al, 2007, Wagner et al, 2013).

66

67 The specific distribution of methane and methane-producing microorganisms in permafrost
68 raises number of questions; for example, why the sediments of the late Pleistocene Ice
69 Complex in Kolyma–Indigirka Lowland do not contain methane or methanogenic activity.
70 To answer this question, it is thought that the application of new methodologies such as
71 metagenomic analyses is required (Graham et al 2012, Jansson and Tas 2014). Until recently,
72 the determination of microbial diversity in low biomass environments, including permafrost,
73 was problematic. The microbial cell abundances in the ancient permafrost is 10–100 times
74 lower than that in the active layer samples, thereby resulting in low yields of the total
75 community genomic DNA (gDNA) (Yergeau et al 2010). However, using appropriate DNA
76 extraction kits (Vishnivetskaya et al 2014) and the whole-community genome amplification
77 technique (Yergeau et al 2010), a sufficient amount of gDNA can be obtained for next-
78 generation sequencing technologies, producing sequences on an unprecedented scale.
79 Indeed, the first metagenomic analyses of permafrost samples became available recently.
80 Specifically, the analyses of the metagenomes from active layer soil and two-meter deep
81 permafrost samples collected in the Canadian High Arctic and Alaska identified signature
82 genes responsible for hydrogenotrophic and acetoclastic methanogenesis, methylotrophic
83 methane oxidation, nitrification, and carbohydrate degradation (Mackelprang et al 2011,
84 Yergeau et al 2010).

85 Here we report results of the comparative metagenomic analyses of the two ancient
86 permafrost samples similar in age (*ca.* 30,000 years old), however of different origins
87 (epigenetically frozen lake sediments versus syncryogenic sediments from the late
88 Pleistocene Ice Complex). The results based on comparative analyses of microbial
89 communities from sediments of different origin may be used for prediction of responses
90 associated with permafrost thawing due to global warming.

91 **2. Materials and Methods**

92 *Sample collection and description*

93 Samples were collected within the Kolyma-Indigirka Lowland in northeast Siberia
94 (69°29'N, 156°59'E) during the summer field season of 2007 (Fig. 1A). Permafrost
95 sediments were sampled using drilling equipment that operates without fluids and prevents
96 down-hole contamination. The sampling technique was tested and described previously (Shi
97 et al 1997). Briefly, the surfaces of the 20- to 30-cm-long cores were cleaned immediately by
98 shaving melted layers out with an ethyl alcohol-sterilized knife and then the frozen internal
99 part of the core was split into 5-cm-long segments; these were placed into sterile aluminum
100 containers and kept frozen during storage in field and transportation to the Institute of
101 Physicochemical and Biological Problems in Soil Science, Pushchino.

102 The physicochemical characteristics of the samples are presented in Table 1. Methane
103 content was measured as described previously (Rivkina et al 2007, Rivkina and Kraev
104 2008).

105 Sample IC4 corresponded to the permafrost sediment of lake origin from the floodplain of
106 the Ambolikha River, borehole DH-4/07, depth of 22.5 m (Fig. 1B). Total carbon
107 concentration was ~1.1% (w/w). Methane content of this sample was 1.2 mmol/kg, $\delta^{13}\text{C} = -$
108 85‰ indicative of biogenic origin. The radiocarbon age of this sample was $30,696 \pm 394$
109 years (J-5829) (Kraev et al 2013).

110 Sample IC8 represented a permafrost soil from the late Pleistocene Ice Complex (Omolon
111 River), borehole DH-2/07, depth of 16 m. Total carbon concentration was ~1.1% (w/w).
112 Methane levels in all samples tested from this borehole were non- detectable (Fig. 1B). The
113 age of this sample was estimated to be ~32,000 years, based on the age determination for the
114 same outcrop which was described recently (Legendre et al 2014).

115 **2.1 DNA extraction and sequencing**

116 In the laboratory, material from the inner part of the permafrost cores was subsampled
117 aseptically for DNA isolation. The gDNA was extracted from eight replicates of ~0.5 g each
118 using the PowerSoil® DNA Extraction Kit (MO BIO Laboratories, Inc., USA). Due to low
119 yield, gDNAs from eight replicates were combined, then purified and concentrated using
120 Genomic DNA Clean & Concentrator® Kit (Zymo Research Corporation, USA).

121 The gDNA sequencing libraries were prepared using NEBNext® reagents (New England
122 BioLabs Inc., USA), according to protocol recommended by the manufacturer, having an
123 estimated peak insert size of 150 nt. Metagenome sequencing was performed at the CRG
124 Genomics Core Facility (Centre for Genomic Regulation, Barcelona, Spain) on an Illumina
125 HiSeq 2000™ machine using Flow Cell v3 with TruSeq SBS v3 reagents and a 2 x 100
126 cycle sequencing protocol.

127

128 **2.2 MG-RAST Analysis**

129 Raw sequencing data, i.e., 19.8 Gb representing 143.7 M sequences with an average length
130 of 138 bp for IC4 (4606864.3) and 19.7 Gb representing 131.7 M sequences with an
131 average length of 150 bp for IC8 (4606865.3), were uploaded to the MG-RAST server
132 (Meyer et al 2008) for gene calling and annotation. A total of 6.6% (IC4) and 3.4% (IC8)
133 sequences failed to pass the quality control (QC) pipeline, whereas 0.3% of total sequences
134 in both data sets were assigned to ribosomal RNA genes.

135 For functional assignment, protein sequences of putative ORF were searched against the
136 M5NR non-redundant protein database (Wilke et al 2012) with an e-value threshold of $1e^{-5}$,
137 minimum percentage identity of 60%, and minimum alignment length of 15 aa. The
138 taxonomic assignments of Illumina reads were performed against M5NR and M5RNA
139 databases at default parameters. The best-hit classification method was used in both cases for
140 match assessment.

141 **2.3 Comparative metagenomic data analyses**

142 Statistical analyses of the metagenomes were performed in order to compare community
143 composition and functional profiles of the permafrost samples. Statistical significance (P-
144 value) was calculated using two-sided Fisher's exact test. The differences between
145 proportions were analyzed in STAMP (Parks and Beiko 2010) using the Newcombe-Wilson
146 method (Newcombe 1998) at a 95% confidence interval and with Storey's FDR correction
147 (Storey and Tibshirani 2003, Storey et al 2004). Original data sets were deposited at the
148 NCBI Sequence Read Archive (SRA) under the accession numbers SRX763249,
149 SRX751044 (Krivushin et al 2015).

150

151 **3. Results and Discussion**

152 **3.1 Community description**

153 The gDNA yield was higher in IC8 sample, with an average of $0.5 \mu\text{g g}^{-1}$ of wet sediment, in
154 comparison to $0.37 \mu\text{g g}^{-1}$ in the IC4 sample. Based on the metagenomics data (Angly et al
155 2009, Raes et al 2007) giving an average genome length of 4.7 Mb for the soil
156 bacterial/archaeal population and an estimated weight of 4.05 fg (Ellenbroek and
157 Cappenberg 1991) for a genome of this size, the theoretical level of the prokaryotic cell
158 populations calculated from the total gDNA recovered were 7.0×10^7 for IC4 and 9.4×10^7

159 for IC8, including a reduction of the total cell population by the eukaryotic component equal
160 to 25% (Raes et al 2007).

161 Analyses of metagenomes of the two permafrost samples showed that bacterial genes were
162 dominant and 96.4% and 97.7% of sequences were assigned to the domain Bacteria in the
163 IC4 and IC8 samples, respectively. Archaea were the second dominant domain followed by
164 Eukaryotes, while viruses comprised only 0.06% in IC4 and 0.03% in IC8 samples. Archaea
165 and Eukaryotes were more abundant in the IC4 sample compared to the IC8 sample, i.e.,
166 2.4% versus 1.3% and 1.0 versus 0.9%, respectively. While Bacteria were significantly more
167 abundant than Eukaryotes, the functional diversity was higher among the Eukaryotic
168 organisms, which play essential roles in the biology and fertility of soils. A number of
169 detected unparalleled genes of Eukaryotic organisms including plants, algae, fungi, and
170 protists was 3.4 and 2.6 times higher in comparison to a number of Bacterial unparalleled
171 genes detected in the IC4 and IC8 samples, respectively. The most abundant microorganisms
172 were comprised of nine bacterial and one Archaeal phyla, with Proteobacteria being the most
173 plentiful, followed by Actinobacteria, Firmicutes, Bacteroidetes, Chloroflexi,
174 Planctomycetes, Euryarchaeota, Acidobacteria, Cyanobacteria, and Verrucomicrobia in that
175 order (Fig. 2). The quantities of all other phyla were less than 1%. Sequences affiliated with
176 Actinobacteria ($P < 1e^{-15}$), Firmicutes ($P < 1e^{-15}$), Chloroflexi ($P < 1e^{-15}$), Cyanobacteria ($P <$
177 $1e^{-15}$), Acidobacteria ($P < 1e^{-15}$), Verrucomicrobia ($P < 1e^{-15}$) were dominant within IC8,
178 whereas α -, β -, γ -, and δ - Proteobacteria ($P < 1e^{-15}$), Bacteroidetes ($P < 1e^{-15}$), Planctomycetes
179 ($P < 1e^{-15}$), and Euryarchaeota ($P < 1e^{-15}$) dominated within IC4. The microorganisms that are
180 involved into methane formation and methane metabolism, for example methanogenic
181 archaea (phylum Euryarchaeota) or methanotrophic and methylotrophic bacteria (phylum
182 Proteobacteria), were more abundant in more reduced IC4 sample (Table 1). Microorganisms

183 without strong association to methane metabolism (e.g. phyla Actinobacteria and
184 Chloroflexi) dominated in IC8.

185

186 **3.2 Dependence of the microbial community structure on permafrost characteristics as** 187 **detected from genus taxonomical level**

188 **3.2.1 Methanogenic community.** As was shown in previous research (Kraev et al 2013,
189 Legendre et al 2014), methane was not detected in the IC8 sample, but its level in the IC4
190 sample reached 1-2 mmol/kg; hence, one would expect to find a greater abundance of
191 methanogenic species in the IC4 sample. Indeed, the phylum Euryarchaeota, which contains
192 methanogens, was twice as prevalent in IC4 sample, and methanogenic Archaea constituted
193 as much as 1.5% of the microbial communities in IC4 (compared to 0.5% in IC8). The most
194 abundant methane-producing species was *Methanosarcina* (0.32% in IC4 versus 0.14% in
195 IC8), followed by *Methanoregula* (0.28% versus 0.03%), *Methanoculleus* (0.1% versus
196 0.05%), *Methanosphaerula* (0.1% versus 0.03%), *Methanospirillum* (0.1% versus 0.03%),
197 *Methanosaeta* (0.1% versus 0.03%). Twelve other methanogenic species were detected at
198 <0.1% in IC4 and at an even lower percentage in IC8.

199 **3.2.2 Methanotrophic bacteria.** In the vicinity of the environments where methane is
200 produced, methane-oxidizing (methanotrophic) bacteria can be found (Khmelenina et al
201 2002). Thus, we analyzed the presence of methanotrophic DNA in our data. To date two
202 types of methane-oxidizing bacteria are recognized, Type I methanotrophs belonging to γ -
203 Proteobacteria and type II methanotrophs from α -Proteobacteria. Indeed, the phylum
204 Proteobacteria clearly dominated in the IC4 sample (50.0% versus 26.5% in IC8) with the α -
205 Proteobacteria being more abundant in IC4 (32.7%) in comparison to IC8 (12.3%). On a
206 more refined taxonomical scale the most abundant order of α -Proteobacteria was
207 Rhizobiales (24.2% in IC4 versus 7.7% in IC8), which contains both methanotrophic and

208 nitrogen-fixing bacteria. Alphaproteobacteria Type II methanotrophs (3.32% versus 1.06%)
209 were represented by the genera *Methylocella* (0.53% versus 0.14%), *Methylosinus* (0.24%
210 versus 0.07%), *Methylocystis* (0.22% versus 0.06%), and *Methylobacterium* (2.32% versus
211 0.8%) in IC4 and IC8. The last genus (*Methylobacterium*) is a facultative methylotroph,
212 however, some species are capable of growth on methane. The class γ -Proteobacteria was
213 the most diverse Proteobacteria class (184 species); nonetheless, it was six-fold less plentiful
214 in comparison to α -Proteobacteria. Gammaproteobacteria Type I methanotrophs, such as
215 *Methylococcus* (0.13% versus 0.11%), *Methylobacter* (0.12% versus 0.05%), and
216 *Methylophaga* (0.02% versus 0.01%) were again more abundant in the IC4 than in the IC8
217 sample. In this Siberian permafrost, Type II methanotrophs dominated over Type I
218 methanotrophs, which is similar to methanotrophic bacteria abundance and diversity in
219 Canadian high Arctic permafrost (Lau et al 2015). Methylotrophs, as well as the subset
220 methanotrophs, play an essential role in the carbon cycle. Interestingly, obligate
221 methylotrophic bacteria belonging to the β -Proteobacteria, such as *Methylibium* (0.17%
222 versus 0.16%), *Methylobacillus* (0.07% versus 0.08%), *Methylovorus* (0.04% versus 0.04%),
223 and *Methylotenera* (0.06% versus 0.05%), were detected in both the IC4 and IC8 samples at
224 equally low levels.

225 **3.2.3 Bacteria of nitrogen cycle.** Another important metabolic process in an environment is
226 the nitrogen cycle. Nitrogen-fixing bacteria were more abundant in the IC4 of the following
227 genera: *Bradyrhizobium* (1.85% versus 0.5%), *Sinorhizobium* (1.3% versus 0.6%),
228 *Rhizobium* (0.82% versus 0.4%), *Rhodospirillum* (0.63% versus 0.3%), *Afipia* (0.4% versus
229 0.12%), *Azospirillum* (0.016% versus 0.006%), *Azorhizobium* (0.005% versus 0.001%) and
230 *Azotobacter* (0.06% versus 0.05%), the γ -Proteobacteria nitrogen-fixing species. Other
231 species involved in the nitrogen cycle from the *Hyphomicrobium* (2.5% versus 0.23%,
232 capable of denitrification with methanol), *Nitrobacter* (1.9% versus 0.6%, capable of

233 oxidizing nitrite into nitrate) and *Rhodopseudomonas* (3.8% versus 1.2%, capable of carbon
234 dioxide and nitrogen fixation) genera were also more abundant in the IC4 sample. However,
235 ammonia-oxidizing and nitrifying bacteria of the class β -Proteobacteria, such as
236 *Nitrosomonas* (~0.1%), *Nitrospira* (~0.17%), *Nitrosovibrio* (<0.001%), were detected in
237 both samples at the similar level. Other bacteria involved in the nitrogen cycle are members
238 of the phylum Planctomycetes, many of which conduct anaerobic ammonium oxidation or
239 so-called "anammox" metabolism, a process of ammonia oxidation by nitrite involvement to
240 yield nitrogen gas. Four planctomycetes genera were more abundant in IC4 compared to the
241 IC8 sample with *Plantomyces* (0.63% versus 0.34%) being the most abundant, followed by
242 *Pirellula* (0.61% versus 0.28%), *Blastopirellula* (0.57% versus 0.24%), and *Isosphaera*
243 (0.16% versus 0.13%). Some planctomycetes, e.g., *Pirellula*, are able to live in environments
244 with high inorganic sulfate concentrations (Glockner et al 2003). Nitrogen-fixing
245 cyanobacteria slightly dominated in IC8 (0.82%) in comparison to IC4 (0.71%); however,
246 the proportion of nitrogen-fixing to total amount of cyanobacteria was higher in the IC4
247 (55.5%) than in the IC8 (48.6%) sample. Another nitrogen-fixing bacterium dominating in
248 IC8 (2.6%) in comparison to IC4 (0.8%) was the actinobacterium *Frankia*, which is
249 characterized by the ability to engage in a symbiotic relationship with plants, producing
250 nitrogen-fixing root nodules.

251 **3.2.4 Sulfate-reducing bacteria.** The δ -Proteobacteria were also more abundant in
252 IC4 (5.8%) than in IC8 (4.5%). Sulfate-reducing bacteria, namely, *Desulfovibrio* (0.49%
253 versus 0.41%), *Desulfatibacillum* (0.22% versus 0.08%), *Desulfococcus* (0.18% versus
254 0.07%), *Desulfobacterium* (0.14% versus 0.04%), *Desulfomicrobium* (0.11% versus 0.06%),
255 and *Geobacter* (1.13% versus 0.82%) were more plentiful in the IC4 sample. Two species
256 from the order Syntrophobacterales were more abundant in IC4; these included the strictly
257 anaerobic, sulfate-reducing, propionate-degrading bacterium *Syntrophobacter* (0.33% versus

258 0.18% in IC8) and the benzoate-degrading bacterium *Syntrophus* (0.62% versus 0.13%).
259 During growth on certain compounds, both of these organisms are known to form syntrophic
260 associations with methanogens, e.g., *Methanospirillum hungateii*, facilitating methane
261 production (Harmsen et al 1998, Jackson et al 1999). The sulfate-reducing bacterium
262 *Desulfotomaculum* from the phylum Firmicutes was found at similar concentration in both
263 samples (i.e., 0.32-0.33%). However, another sulfate-reducing Firmicutes genus,
264 *Desulfitobacterium*, capable of using hydrogen gas as an electron donor at extremely low
265 concentrations to facilitate sulfate reduction and methanogenesis (Villemur et al 2006) was
266 twice as abundant in the IC4 (0.2%) than in the IC8 sample (0.1%). Sulfate-reducing
267 *Thermodesulfovibrio* species from the Nitrospirae division were found at 0.09% and 0.06%
268 in the IC4 and IC8 samples, respectively.

269

270 **3.3 Similarities and dissimilarities in the microbial communities based on functional** 271 **annotation**

272 In contrast to the taxonomical assignment, the functional annotation of the metagenomes
273 exhibited a similar structure for the microbial communities. A comparison of the
274 metagenomes at the function level using the SEED (Overbeek et al 2005) genome
275 annotations and profile scatter plot showed that the IC4 and IC8 metagenomes possess >83%
276 similarity at the function level (Fig. 1S). Functional analysis of the IC4 and IC8
277 metagenomes demonstrated that among the annotated protein sequences, the most abundant
278 groups represented housekeeping functions, including carbohydrate metabolism (16.6% and
279 17.7%), amino acid biosynthesis (13.8% and 14.8%), and vitamin and protein metabolism
280 (12.1% and 13.2%). Approximately 4.1% and 3.7% of annotated reads were related to stress
281 response including a high proportion of oxidative stress- (52% and 48%) and osmotic stress-
282 (13% and 12%) related sequences (Fig. 3).

283 **3.3.1 Methane metabolism.** Analyses using KEGG (Kyoto Encyclopedia of Genes and
284 Genomes) database (Kanehisa and Goto 2000) demonstrated that several functional genes
285 directly related to methanogenesis are abundant in the IC4 metagenome (Fig. 4), including
286 genes coding for F420-dependent methylene-H4 MPT reductase (EC 1.5.99.11),
287 formylmethanofuran dehydrogenases (*fmd*) (EC 1.2.99.5), CoB-CoM heterodisulfide
288 reductases (EC 1.8.98.1), F420-reducing hydrogenases (EC 1.12.98.1), and
289 methylenetetrahydromethanopterin dehydrogenases (*mer*) (EC 1.5.99.9). All of these genes,
290 with exception of F420-dependent methylene-H4 MPT reductase, were also present in the
291 IC8 metagenome though in lower amounts. For example, the gene coding for the enzyme
292 catalyzing the last step of methanogenesis, methyl-coenzyme M reductase (MCR) (EC
293 2.8.4.1), was represented by 1333 hits in IC4 but only by nine hits in the IC8 metagenome.

294 **3.3.2 Nitrogen metabolism.** The abundance of genes associated with nitrogen metabolism
295 was higher in the IC4 metagenome than in that of IC8 (2.2% versus 1.7%). The percentage
296 of genes related to nitrogen metabolism detected in permafrost was slightly higher than the
297 relative abundance (1.3%) of genes responsible for nitrogen metabolism reported in
298 metagenomes examined from glacier ice of the Northern Schneeferner (Simon et al 2009).
299 Notably, in the IC8 metagenome the gene *nifH* coding for a nitrogenase (EC 1.18.6.1), which
300 performs nitrogen fixation, was represented only by seven sequences. These genes were
301 associated mainly with Proteobacteria (43.7%) represented by *Bradyrhizobium* sp., *Azoarcus*
302 sp., *Mesorhizobium loti*, and Actinobacteria (*Frankia* sp., 41%). In the IC4 metagenome 90
303 features corresponding to *nifH* gene were detected, and these nitrogenase sequences were
304 linked predominantly with Proteobacteria (33.5%). Nitrogenase genes related to Firmicutes
305 (primarily *Desulfitobacterium hafniense* and *Alkaliphilus metalliredigens*) and
306 Actinobacteria (*Frankia* species) constituted 17.6% and 15%, respectively. Interestingly,

307 *nifH* genes of cyanobacterial origin (primarily linked to *Nostoc* species) were detected
308 exclusively within the IC4 metagenome (12.9%).

309 Genes connected with the denitrification processes, such as nitrate reductase (EC 1.7.99.4)
310 and nitrite reductase (EC 1.7.2.1) were found in both metagenomes (Fig. 4). The nitrate
311 reductase (*narG*, EC 1.7.99.4) sequences predominating within the IC4 metagenome came
312 from more diverse phylogenetic groups in contrast to *narG* gene from IC8. By contrast, even
313 though nitrite reductase (*nirS*) genes were significantly overrepresented in IC8, their
314 presence was detected in similar phylogenetic groups in both metagenomes (Fig. 5). The
315 sequences related to both nitrite reductase (EC 1.7.1.4) and nitric oxide reductase (EC
316 1.7.2.5) were found in similar phylogenetic groups in both metagenomes with prevalence in
317 IC4 (Fig. 5).

318 The ammonium oxidation pathway was represented by a few sequences related to
319 hydroxylamine oxidase (EC 1.7.3.6) genes in known nitrifying bacteria such as
320 *Nitrosomonas eutropha*, *Nitrosococcus oceani*, *Nitrosospira multiformis* and some others.
321 Genes coding for ammonia monooxygenase (EC 1.14.99.39) were not detected in either
322 metagenome by a search with its EC number. However, a search for ammonia
323 monooxygenase using functional hierarchies such as KEGG orthologs yielded ten hits in IC4
324 and four hits in IC8, while SubSystems annotation approach (Storey et al 2004) showed
325 presence of 509 hits in IC4 and 324 hits in IC8. The ammonia monooxygenase sequences
326 were annotated as methane monooxygenase (EC1.14.13.25). It should be noted that the
327 particulate methane monooxygenase and ammonia monooxygenase are related and occur in
328 both methanotrophs and ammonia oxidizers (Holmes et al 1995). These enzymes have wide
329 substrate specificity catalyzing the oxidation of various substrates including ammonia,
330 methane, halogenated hydrocarbons, and aromatic molecules (Arp et al 2002). Overall, the
331 low abundance of ammonia-oxidizers presumably represents the rare utilization of this

332 pathway by permafrost bacteria in studied environments. Similar results were also reported
333 for metagenomes from other cold environments, e.g., high Arctic hypersaline subzero spring
334 (Lay et al 2013) and Arctic snow packs (Larose et al 2013).

335 **3.3.3 Sulfur metabolism.** Sequences associated with sulfur metabolism were present in both
336 IC4 and IC8 metagenomes and related to both reduction and oxidation (Fig. 6). Genes
337 coding for sulfate reduction were more abundant in the IC8 metagenome including genes for
338 sulfate adenylyltransferase (EC 2.7.7.4), phosphoadenylyl-sulfate reductase (EC 1.8.4.8),
339 and ferredoxin-sulfite reductase (EC 1.8.7.1). Taxonomic distribution of associated species
340 was similar in both metagenomes with the exception of sulfate adenylyltransferase, which
341 was represented in IC4 by sequences related mainly to Proteobacteria and Actinobacteria. In
342 the IC8 sample this gene was of more diverse phylogenetic origin (Fig. 6). A few sulfur
343 oxidation genes detected were associated with *Renibacterium salmoninarum* and *Gordonia*
344 *bronchialis* in IC8 and *Mycobacterium* species and *Sinorhizobium meliloti* in IC4.

345 **3.3.4 Stress response.** Genes associated with stress response were detected in both
346 of the metagenomes (33683 hits in IC4 metagenome and 28557 in IC8). The three most
347 abundant groups present corresponded to oxidative stress, heat shock, and osmotic stress
348 response genes. Sequences related to oxidative stress originated principally from
349 Proteobacteria, Actinobacteria and Firmicutes (5148 features in IC4 and 3832 features in
350 IC8) and included genes for catalase (EC 1.11.1.6), peroxidase (EC 1.11.1.7), and different
351 superoxide dismutases (EC 1.15.1.1). Their occurrence is presumably explained by
352 increased oxygen solubility at low temperatures and associated increase of reactive oxygen
353 species concentration (Chattopadhyay 2006). Sequences related to osmotic stress were
354 represented by the genes involved in the synthesis and uptake of compatible solutes
355 including choline, betaine, periplasmic glucan, and ectoine. Genes for osmoprotectant ABC
356 transporters were also detected. Choline dehydrogenase (EC 1.1.99.1) (222 features in IC4

357 and 213 features in IC8 mainly from Proteobacteria and Actinobacteria) and betaine-
358 aldehyde dehydrogenase (EC 1.2.1.8) (166 features in IC4 and 186 features in IC8 from
359 Proteobacteria, Actinobacteria and Firmicutes) were the most abundant enzymes of this
360 class. This emphasizes the importance of betaine osmolyte for the osmoprotection of
361 members in microbial communities from subfreezing environments. The genes encoded the
362 heat shock proteins were mainly represented by the chaperone protein DnaK (816 hits in IC4
363 and 54 in IC8) and its interacting protein DnaJ (759 hits in IC4 and 67 in IC8). These
364 proteins are among the most plentiful chaperons in the bacterial cell and often prevalent in
365 microorganisms from cold environments (D'Amico et al 2006).

366

367 **3.4. Implication of metagenomic sequencing data for the analysis of the samples origin** 368 **and evolution**

369 The application of biological markers for paleo-reconstructions in various environmental
370 sites has been used occasionally. For example, utilization of lipid analyses for petroleum
371 reservoirs formation and maturation (Seifert and Moldowan 1981); analysis of fossil
372 chironomid assemblages in the Holocene lake-sediment cores (south-central Alaska) for
373 evaluating anthropogenic climatic changes and quantitative paleo-temperature
374 reconstructions (Clegg et al 2010); and analyses of fossil ostracodal assemblages from the
375 Arctic seas for reconstruction of coastline and interpretation of environmental differences in
376 Arctic areas (Stepanova et al 2010). To track the occurrence and distribution of
377 microorganisms in the environment, the gDNA and DNA fragments amplified with PCR can
378 be employed as biological markers. Therefore, we anticipated that integration of the next
379 generation sequencing capabilities and approaches of microbial ecology (such as linking
380 microbial community composition and environmental processes involved in carbon, nitrogen
381 and sulfur cycling) could be used for paleo-reconstructions.

382 The late Pleistocene Ice Complex or Yedoma deposits are widely distributed in north-east
383 Siberia and because of their wide occurrence on the Kolyma lowland, these deposits may
384 play a significant role in climate warming, permafrost degradation and greenhouse gases
385 emission. A question, which processes formed Yedoma has been under dispute in the last
386 several decades. Several hypotheses have been proposed about the origin of the late
387 Pleistocene Ice Complex, including eolian (Tomirdiario et al 1984, Tomirdiario and
388 Chernen'k'ii 1987), alluvial (Rozenbaum 1981), and polygenetic (Konishchev and
389 Kolesnikov 1981, Sher et al 1987) formation. Different opinions on the origin of these
390 deposits have been summarized in the recent publications of Lutz Schirrmeister and co-
391 authors (Schirrmeister et al 2011, Schirrmeister et al 2013). The researchers suggested that
392 the ice rich syngenetic permafrost of the late Pleistocene Ice Complex was developed under
393 a cold-arid climate at less hydromorphic conditions than the lake and lake-alluvial
394 sediments. In general, Yedoma sediments have been characterized by the absence of methane
395 (Rivkina et al 2007, Rivkina and Kraev 2008) and much lower redox-potential and iron
396 (Fe^{2+}) content in comparison to permafrost layers of lake and lake-alluvial origin (Rivkina et
397 al 2006).

398 A comparison of the two late Pleistocene permafrost metagenomes of different genesis, IC4
399 and IC8, revealed differences in the composition of the microbial community that reflects
400 the conditions under which these deposits were formed. These data uncovered significant
401 distinctions in microbial community compositions between Yedoma and lake-alluvial
402 sediments. The relatively low abundance of methanogenic archaea, limited presence of
403 enzymes from the carbon, nitrogen, and sulfur cycles, as well as the presence of
404 methanotrophic bacteria could explain the absence of methane in Yedoma deposits and
405 provide evidence that the formation of these sediments took place under much more aerobic
406 conditions. In other words, we may assume that during the late Pleistocene period, nearly

407 30,000 years ago, different environmental conditions predetermined biogeochemical regimes
408 and composition of microbial communities of the studied samples. Similarly to our study the
409 recent survey of soil taxonomic, phylogenetic, and functional diversity have demonstrated
410 that metagenomic approaches can be used to build a predictive understanding of variations
411 in microbial diversity and functions across terrestrial biomes (Fierer et al 2012). Thus, the
412 involvement of metagenomic analyses, along with geological and biogeochemical methods,
413 may be used for characterization of the permafrost microbial community, its contribution
414 during climate warming and permafrost thawing, as well as it may become an additional
415 instrument in paleo-reconstructions.

416

417 **4. Conclusions**

418 In the current study, we performed a comparative analysis of the two permafrost samples
419 isolated from lake sediments and ice complex with utilization of metagenomic sequencing
420 approach. We have shown that the presence of methane in one sample and its absence in the
421 second are associated with the prevalence of the certain groups of microorganisms and their
422 corresponding genes. The possible scenario of the development of both Yedoma and lake
423 sediments can be proposed on the basis of these findings. Analysis of metagenome from IC4,
424 a sample of the lake sediments, demonstrated occurrence of many physicochemical reactions
425 such as denitrification, iron reduction and sulfate reduction, which could reduce
426 environmental redox potential and ultimately create favorable conditions for development of
427 methanogenic community and methanogenesis. As reflected from the composition of IC8
428 metagenome, the sporadic occurrence of such physicochemical reactions brought to
429 deficiency of methanogenic activity and lack of biogenic methane in the late Pleistocene ice
430 complex on Kolyma-Indigirka lowland.

431 The obtained results demonstrate that the metagenomic analysis of permafrost microbial
432 communities can represent a valuable instrument for paleo-reconstruction of conditions
433 under which the permafrost sediments were formed in geological perspective.

434 **Author contribution:**

435 E.R., L.P. and F.K. designed research; L.S., M.T., L.P., E.R. performed research; L.S. and
436 M.T. extracted DNA; F.K. performed DNA sequencing; E.R., L.P., K.K., T.V., A.M.
437 analyzed data; E.R. and F.K. contributed new reagents and analytical tools; E.R., L.P., T.V.
438 wrote the paper.

439

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447

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680 Table 1. Physicochemical characteristic of two permafrost soils

681

682

Samples	Grain-size distribution			Ice content	Eh	C _{tot}	Water extract (1:5)								
	Sand	Silt	Clay				Dry residue	pH	HCO ₃ ⁻	Cl ⁻	SO ₄ ²⁻	Ca ²⁺	Mg ²⁺	K ⁺	Na ⁺
	%						%	mV	%	Meq/100g dry soil					
IC4	79.44	15.84	4.72	17.5	45	1.1	0.130	7.22	0.12	1.40	0.14	0.32	0.42	0.06	1.33
IC8	40.72	52.72	6.56	27.6	167	1.2	0.135	8.16	0.57	0.32	0.48	0.75	0.35	0.02	0.56

683

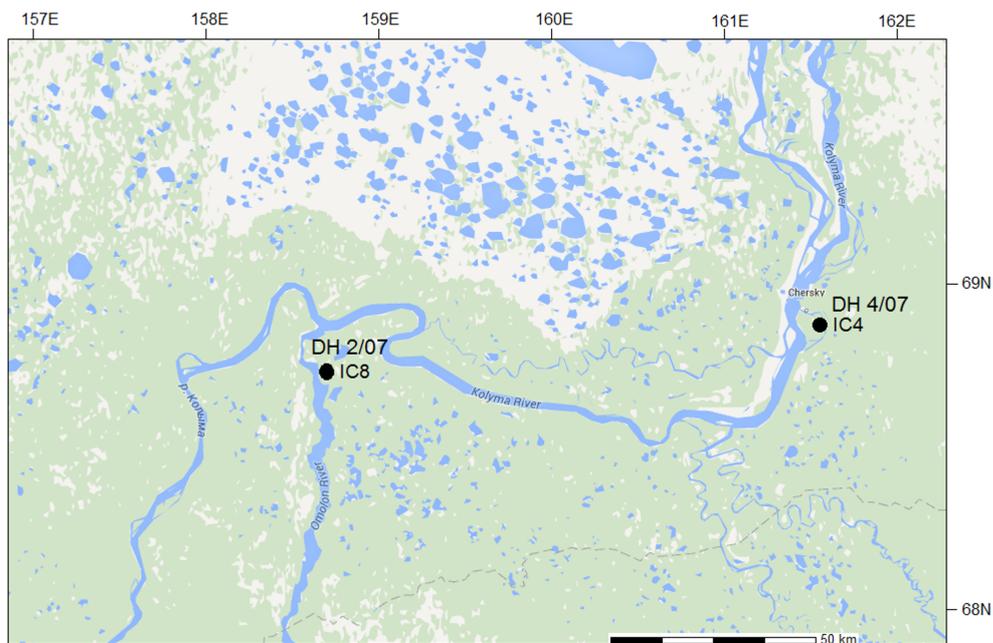
684 **Table 2.** Number of sequences showing homologies to genes associated with KEGG pathways
685 within the IC4 and IC8 metagenomes. Data were generated by searching in Hierarchical
686 classification table based upon SubSystems annotation source.
687

Enzyme Nomenclature	Function and Enzyme Profile	IC4	IC8
	<i>Methane metabolism</i>		
EC 1.5.99.11	F420-dependent methylene-H4 MPT reductase	3649	0
EC 1.12.98.1	Coenzyme F420 hydrogenase	1441	370
EC 1.8.98.1	CoB-CoM heterodisulfide reductase	24601	3982
EC 1.2.99.5	Formylmethanofuran dehydrogenase	10757	3012
EC 1.5.99.9	Methylenetetrahydromethanopterin dehydrogenases	370	3
EC 2.8.4.1	Methyl-coenzyme M reductase	1333	9
EC 2.3.1.101	Formylmethanofuran--tetrahydromethanopterin N-formyltransferase	3056	1642
EC 2.1.1.86	Tetrahydromethanopterin S-methyltransferase	1997	9
	<i>Nitrogen metabolism</i>		
EC 1.18.6.1	Nitrogenase (molybdenum-iron) alpha chain nifH	2519	42
EC 1.7.2.1	Copper-containing nitrite reductase	1824	11405
EC 1.7.2.5 ^a	Nitric-oxide reductase	3440	1838
EC 1.7.1.4	Nitrite reductase [NAD(P)H] small subunit	20713	13041

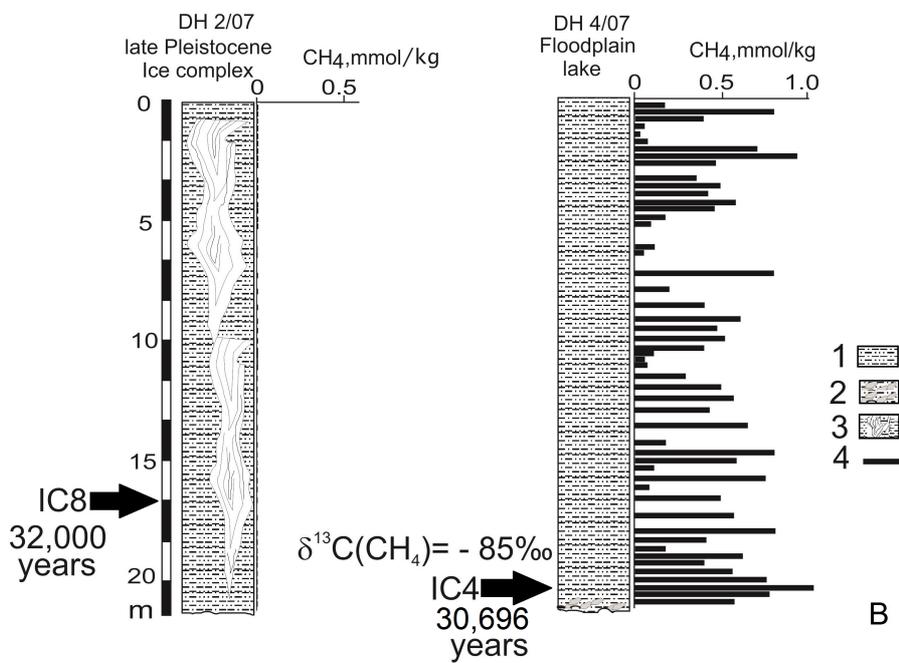
EC 1.7.99.4	Respiratory nitrate reductase alpha chain narG	36476	28169
EC	Ammonia monooxygenase	509	324
EC 1.7.3.4	Hydroxylamine oxidase	517	103
EC 1.7.99.6	Nitrous oxide reductase	61	46
	<i>Sulfur metabolism</i>		
EC 1.8.4.8	Phosphoadenylyl-sulfate reductase [thioredoxin]	7521	11165
EC 1.8.7.1	Ferredoxin--sulfite reductase	2587	8920
EC 2.7.7.4	Sulfate adenylyltransferase subunit 2	15449	23435
EC 2.7.1.25	Adenylylsulfate kinase	22898	22418
EC 1.8.3.1	Sulfite oxidase	14353	13968
	<i>Stress response</i>		
EC 1.11.1.6	Catalase	74622	41693
EC 1.15.1.1	Manganese superoxide dismutase	8922	13302
EC 1.11.1.7	Peroxidase	35552	19698
EC 1.15.1.1	Superoxide dismutase [Cu-Zn] precursor	2855	1327
EC 1.15.1.1	Superoxide dismutase [Fe]	7221	3109
EC 1.1.99.1	Choline dehydrogenase	25244	18018
EC 1.2.1.8	Betaine-aldehyde dehydrogenase	10022	12782
NR	Chaperone protein DnaK	78365	89944
NR	Interacting protein DnaJ	32897	45343

688

689 ^aThe current KEGG number has been created for enzyme EC 1.7.99.7.



A

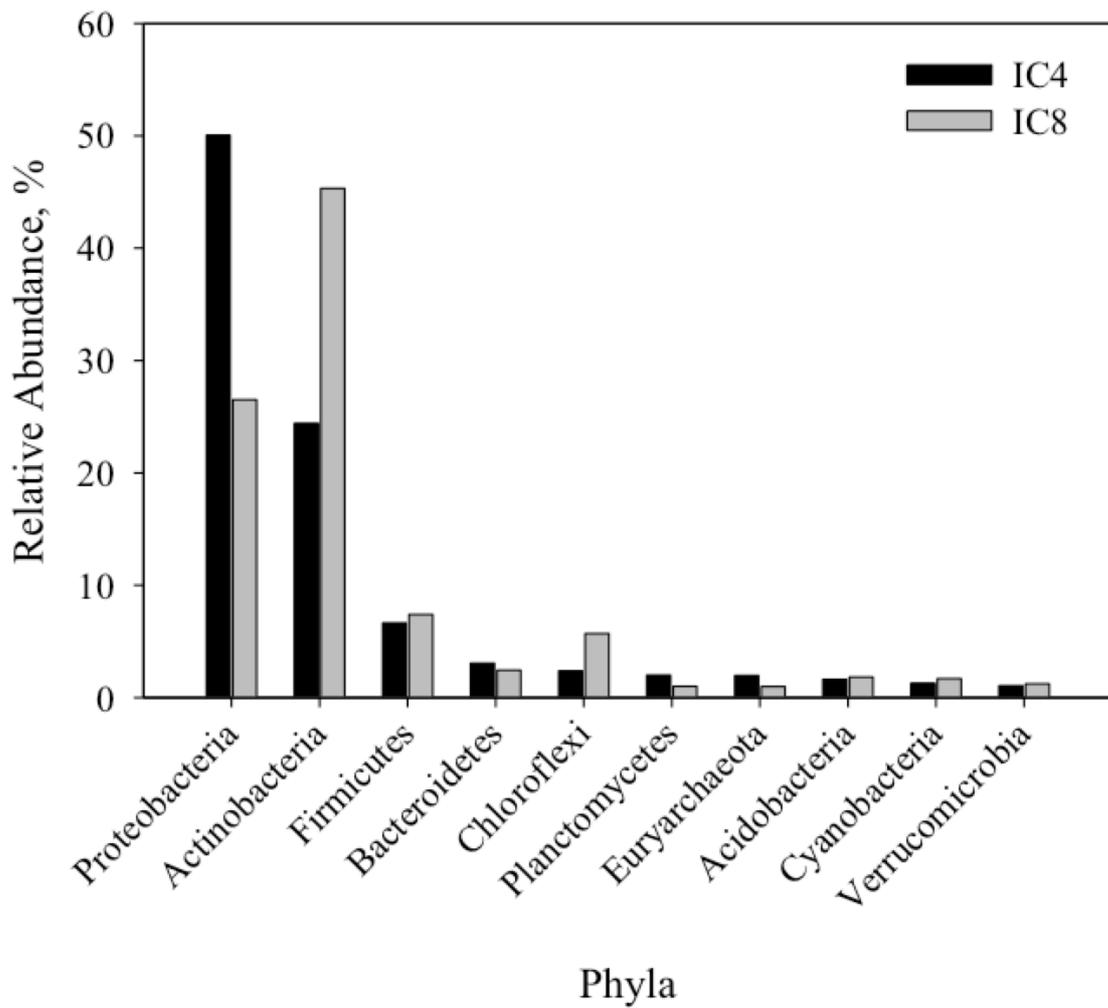


690

691 Fig. 1. Location of sampling sites on the Kolyma lowland (A). The position of samples IC4 and IC8
692 in drilling holes and methane content from different depth of these boreholes (B).

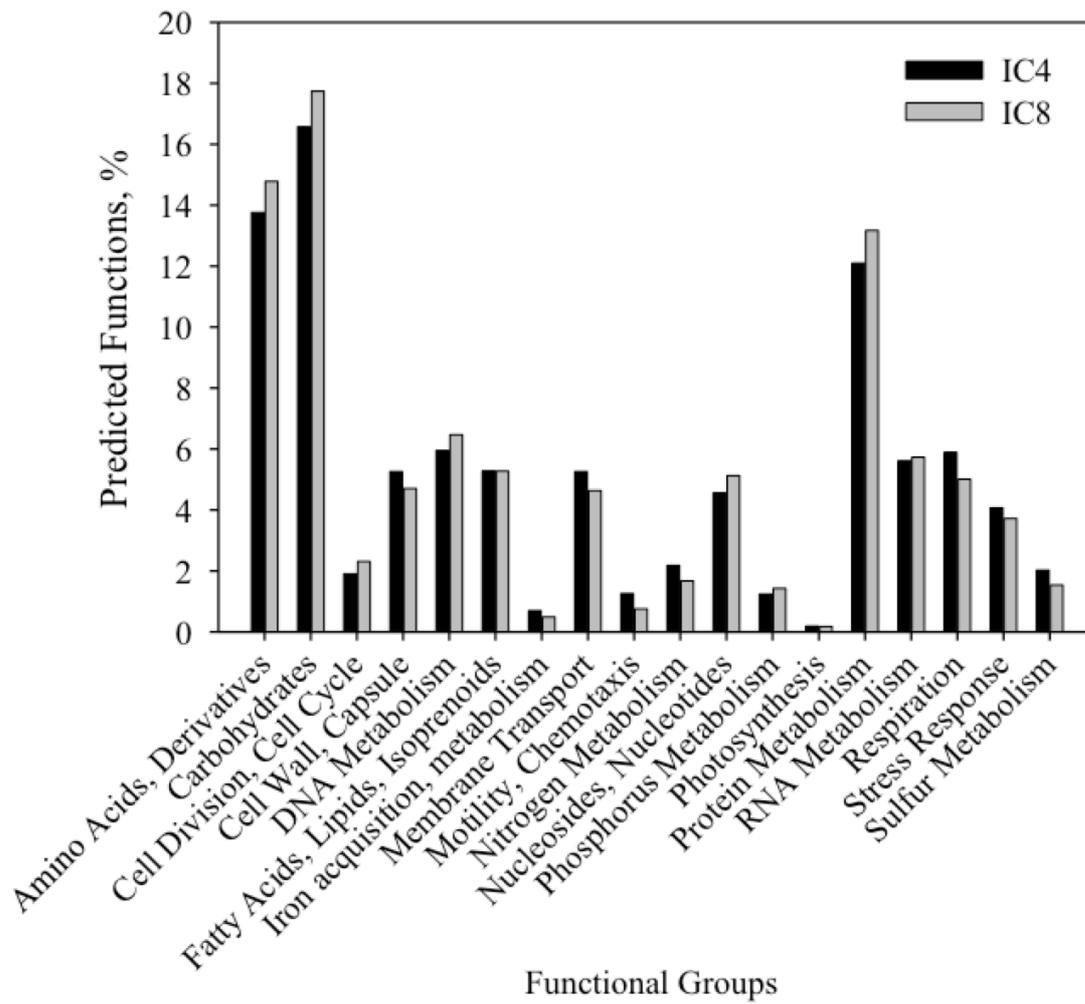
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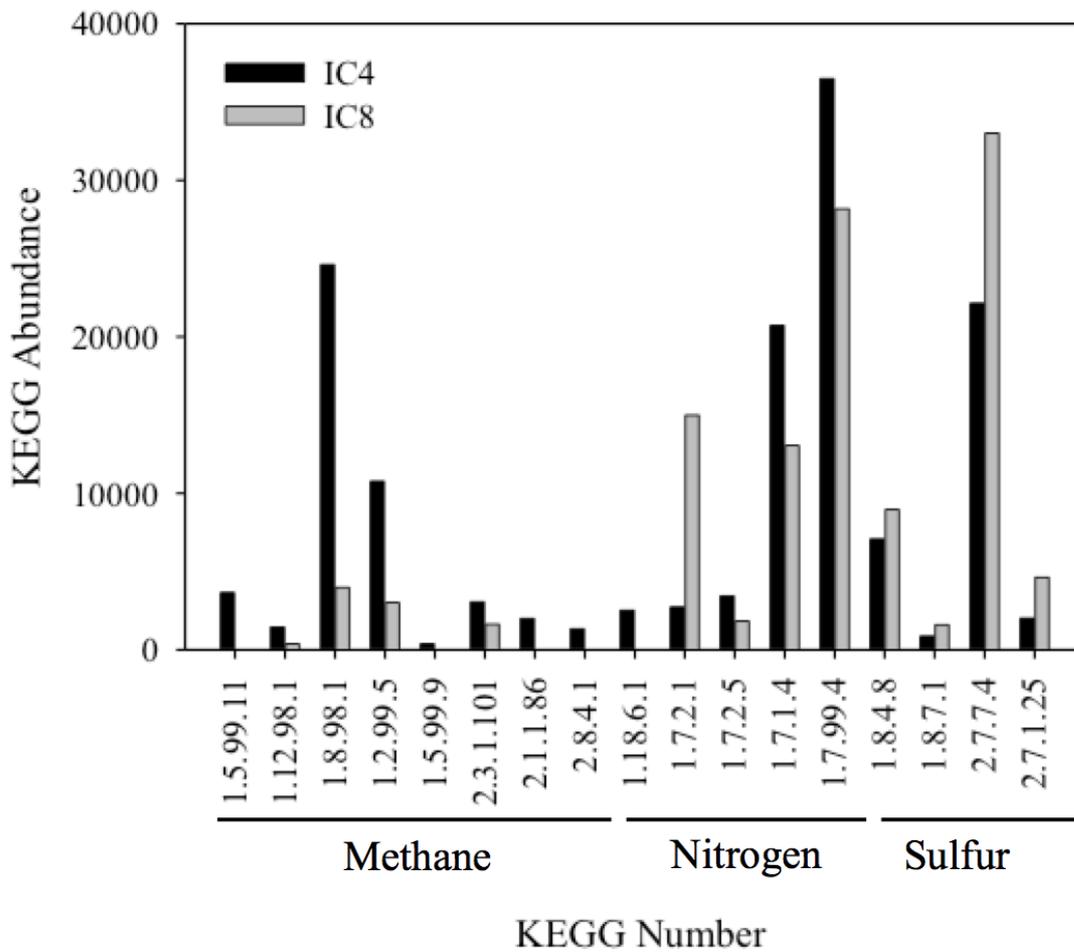
695

696 Fig. 2. Community analyses of the IC4 and IC8 metagenomes at phylum level. The phyla present in
 697 metagenomes at a >1% level are shown.



698

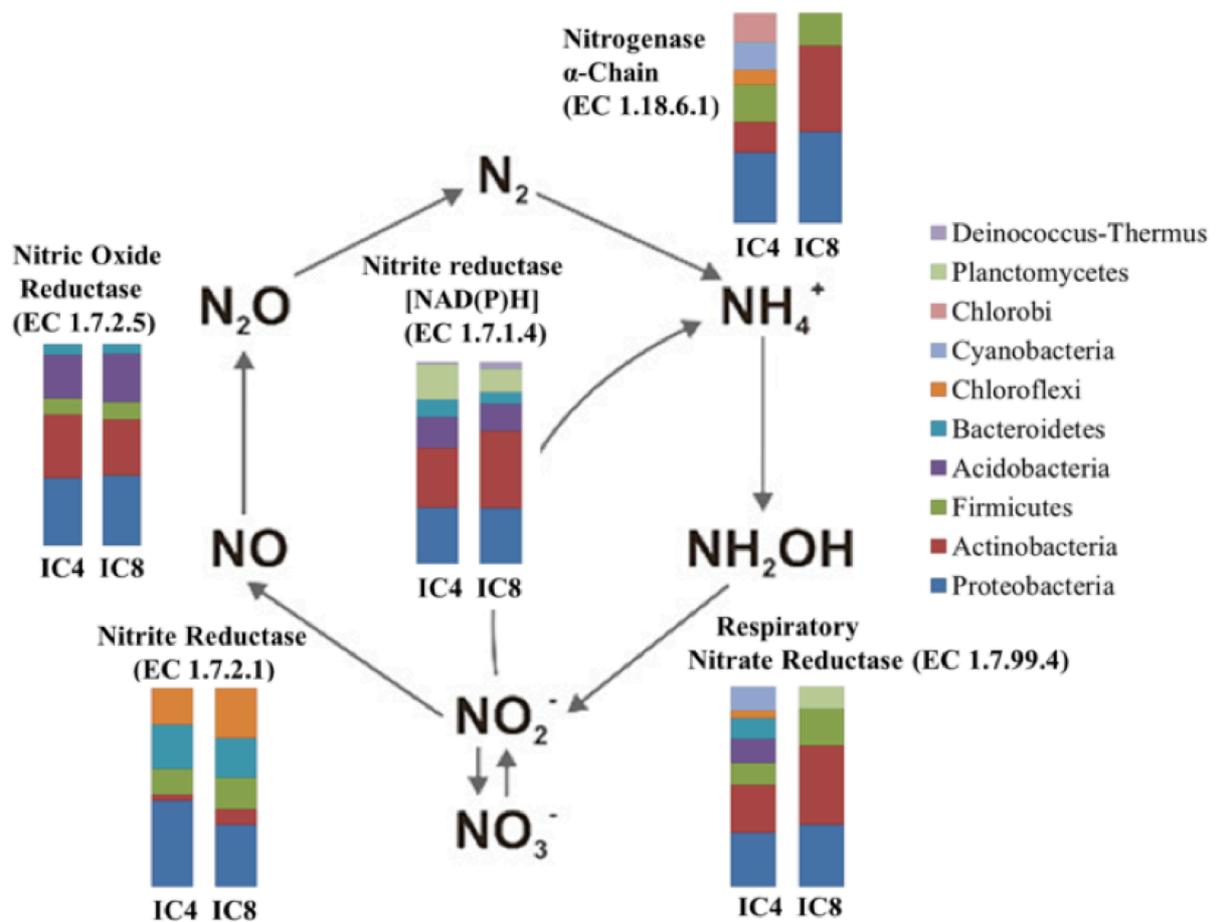
699 Fig. 3. Percentage of gene sequences associated with different functions in the annotated protein
 700 sequences within IC4 and IC8 metagenomes.



701

702 Fig. 4. KEGG abundance of the selected functional gene sequences found within IC4 and IC8
 703 metagenomes. Genes found in low abundance were not included in the figure. Genes from methane,
 704 nitrogen, and sulfur metabolic pathways are underlined. The enzyme name that corresponds to each
 705 KEGG number is given in Table 2.

706



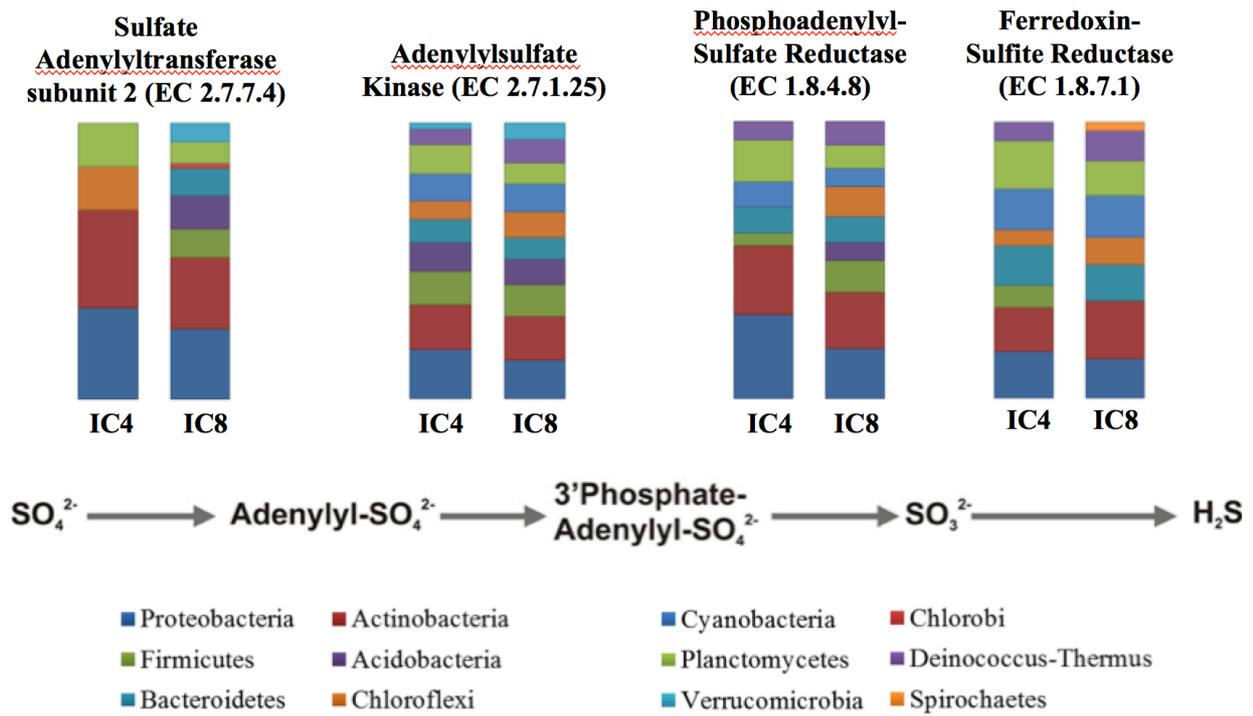
707

708

709 Fig 5. Phylogenetic distribution of the sequences related to nitrogen metabolism within the IC4 and

710 IC8 metagenomes.

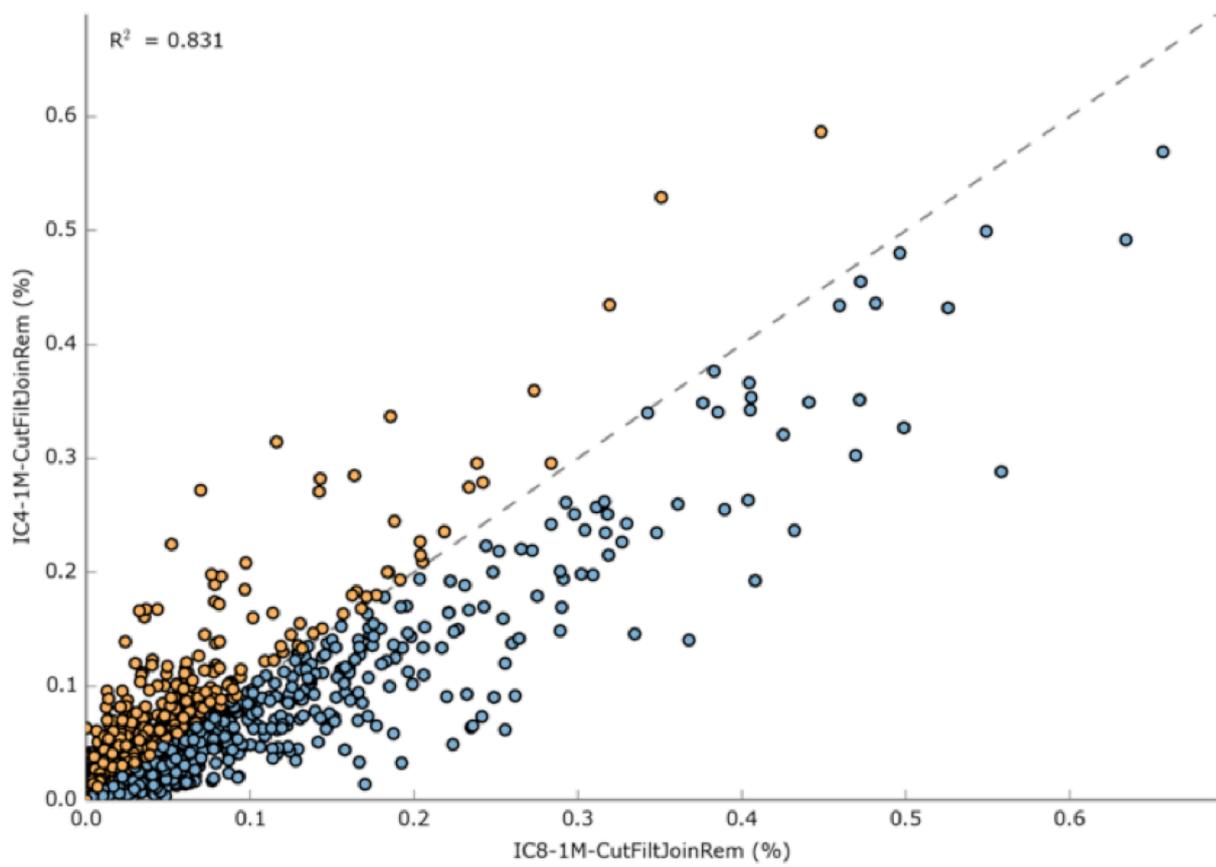
711



712

713 Fig. 6. Phylogenetic distribution of the sequences related to sulfur metabolism (sulfur reduction)

714 within the IC4 and IC8 metagenomes.



715

716 Fig. 1S. Profile scatter plot indicating the similarity of the metagenomes based on the SEED
717 functional annotation.

718

719