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**Denitrification vs.  
anammox**

W. Koeve and P. Kähler

# Heterotrophic denitrification vs. autotrophic anammox – quantifying collateral effects on the oceanic carbon cycle

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## Abstract

The conversion of fixed nitrogen to  $N_2$  in suboxic waters is estimated to contribute roughly a third to total oceanic losses of fixed nitrogen and is hence understood to be of major importance to global oceanic production and, therefore, to the role of the ocean as a sink of atmospheric  $CO_2$ . At present heterotrophic denitrification and autotrophic anammox are considered the dominant sinks of fixed nitrogen. Recently, it has been suggested that the trophic nature of pelagic  $N_2$ -production may have additional, “collateral” effects on the carbon cycle, where heterotrophic denitrification provides a shallow source of  $CO_2$  and autotrophic anammox a shallow sink. Here, we analyse the stoichiometries of nitrogen and associated carbon conversions in marine oxygen minimum zones (OMZ) focusing on heterotrophic denitrification, autotrophic anammox, and dissimilatory nitrate reduction to nitrite and ammonium in order to test this hypothesis quantitatively. For open ocean OMZs the combined effects of these processes turn out to be clearly heterotrophic, even with high shares of the autotrophic anammox reaction in total  $N_2$ -production and including various combinations of dissimilatory processes which provide the substrates to anammox. In such systems, the degree of heterotrophy ( $\Delta CO_2:\Delta N_2$ ), varying between 1.7 and 6, is a function of the efficiency of nitrogen conversion. On the contrary, in systems like the Black Sea, where suboxic N-conversions are supported by diffusive fluxes of  $NH_4^+$  originating from neighbouring waters with sulphate reduction, much lower values of  $\Delta CO_2:\Delta N_2$  can be found. However, accounting for concomitant diffusive fluxes of  $CO_2$ , ratios approach higher values similar to those computed for open ocean OMZs. Based on our analysis, we question the significance of collateral effects concerning the trophic nature of suboxic N-conversions on the marine carbon cycle.

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# 1 Introduction

The importance and relative proportion of processes removing combined nitrogen from the marine environment is currently under discussion. There is evidence supporting the long standing view that heterotrophic denitrification dominates oceanic N loss, but also autotrophic anaerobic ammonium oxidation (anammox) has been reported to make up for large shares, or even the bulk, in certain waters (e.g. Thamdrup et al., 2006; Ward et al., 2009). Both processes convert fixed nitrogen into  $N_2$  (Ward et al., 2007; Devol, 2008) and reduce the oceanic nutrient inventory in this way. Temporal changes of the nitrogen removal flux in the past (on glacial/interglacial timescales), or from present to future, are thought to influence the level of oceanic production and associated  $CO_2$  fluxes (Altabet et al., 1995; Ganeshram et al., 1995; Codispoti, 1995). There are other aspects in which both processes differ (collateral effects, Voss and Montoya, 2009). One example is the formation of climate reactive gases, namely  $N_2O$  (Jin and Gruber, 2003), which is an intermediate of denitrification (Yoshinari and Knowles, 1976) but not known as one of anammox. Here we focus on collateral effects of the trophic status of nitrogen loss processes on the carbon cycle, as recently proposed by Voss and Montoya (2009).

Their argument is the following. Denitrification is a heterotrophic process during which organic matter is consumed and  $CO_2$  is released to ambient waters. Pelagic denitrification thus effects a potential short-circuit in the biological pump by producing  $CO_2$  from organic matter which otherwise might descend deeper into the ocean to be stored there for longer. In contrast, anammox is an autotrophic process potentially increasing the efficiency of the biological pump by fixing additional carbon in intermediate waters and thus reducing net  $CO_2$  production in the water column. It appears to be of importance to the carbon budget whether it is a heterotrophic process or an autotrophic one which dominates nitrogen loss processes in the ocean's water column. In view of projected increases in the extent of oxygen minimum zones (Matear and Hirst, 2003; Oschlies et al., 2008; Hofmann and Schellnhuber, 2009), heterotrophy or autotrophy

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in relation to nitrogen losses taking place there would be of increasing importance, potentially providing a positive or negative feedback on the carbon cycle, respectively. In this short note we analyse the stoichiometries of suboxic nitrogen conversions and their effect on the carbon balance.

## 2 Heterotrophy vs. autotrophy of N<sub>2</sub> production in OMZs

### 2.1 Background and definitions

Nitrogen in the ocean occurs in seven oxidation states and there are transformations between all, oxidations and reductions. Nitrogen serves both as a constituent of organic matter and nitrogen compounds are used as oxidants and reductants in dissimilatory reactions. Historically, a number of terms, and varieties of definitions of some, have been in use for many of these reactions. We will in the following use only four reactions, all relevant to nitrogen loss in suboxic environments: (1) denitrification, the production of N<sub>2</sub> from nitrite (denitrification sensu strict; Zumft, 1997), this is a heterotrophic process consuming organic carbon; (2) anammox, the combination of nitrite and ammonia to produce N<sub>2</sub>, which is an autotrophic process consuming CO<sub>2</sub>; (3) dissimilatory nitrate reduction to nitrite (DNRN); (4) dissimilatory nitrate reduction to ammonia (DNRA). Both DNRN and DNRA are heterotrophic. Formulas describing the bulk stoichiometries of these processes are given in Table 1.

Nitrogen loss in oceanic waters is confined to realms where oxygen is virtually absent (where [O<sub>2</sub>] < 5 mmol m<sup>-3</sup>; Devol, 2008). The largest oxygen minimum zones (OMZ) meeting this condition are the intermediate to deep waters of the Arabian Sea and the Eastern Tropical South and North Pacific. Additional sites of suboxic nitrogen removal are enclosed seas like the Black Sea, the Baltic Sea and some fjords. While until recently all suboxic N<sub>2</sub>-production in the ocean has been ascribed to denitrification, it is now known that a number of biotic and abiotic nitrogen transformations contribute to nitrogen loss (Hulth et al., 2005). At present denitrification and anammox are consid-

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ered the most important ones for  $N_2$  production (e.g. Thamdrup et al., 2006; Ward et al., 2009).

5 Already during early work on denitrification, it had been observed that this process cannot account for all observed nitrogen loss. Ammonia liberated from organic matter during its heterotrophic consumption by denitrification and DNRN should accumulate in an oxygen-free environment, but it does not (Thomas, 1966; Cline and Richards, 1972; Codipoti and Christensen, 1985). Therefore a reaction involving the combination of  $NO_3^-$  and  $NH_4^+$  to produce  $N_2$  has been invoked (Richards, 1965; Sen Gupta and Koreloff, 1973; Stumm and Morgan, 1996) and deduced from evolutionary and ther-  
10 modynamical knowledge (Broda, 1977). Finally, a similar reaction has been observed in nature (Mulder et al., 1995; Thamdrup and Dalsgaard, 2002; Kuypers et al., 2003), the combination of  $NO_2^-$  and  $NH_4^+$  to form  $N_2$ , which was called anaerobic ammonium oxidation (anammox).

15 During anammox  $NH_4^+$  and  $NO_2^-$  react in an equimolar ratio (Table 1). Since oceanic OMZs are extensive lenses of oxygen free water surrounded by oxygen rich waters above, below and at least towards the open sea, and since  $NH_4^+$  and  $NO_2^-$  are usually scarce in these surrounding oxic waters (Zafiriou et al., 1992; Brzezinski, 1988), the major sources of the reactants of anammox must be autochthonous, i.e.  $NH_4^+$  and  $NO_2^-$  must be produced in the suboxic water body itself. Anammox therefore depends on  
20 nutrient regeneration for the supply of both its substrates ( $NH_4^+$  and  $NO_2^-$ ) (Ward et al., 2009). In principle,  $NO_2^-$  can be supplied by DNRN (Table 1) and  $NH_4^+$  may be liberated from organic matter broken down during DNRN or denitrification. The low production ratios of  $NH_4^+ : NO_2^-$  of these reactions (compare Fig. 1b), however, allow only for a limited quantitative importance of anammox for  $N_2$  production (see Sect. 2.2 for details).  
25 An alternative and additional autochthonous source of  $NH_4^+$  may be dissimilatory nitrate reduction to ammonium (DNRA; Kartal et al., 2007; Lam et al., 2009) which is associated with heterotrophy as well.

In this paper, we will refer to the conversion of fixed nitrogen (i.e. the sum of  $NO_3^-$ ,  $NO_2^-$ ,  $NH_4^+$ , and organic nitrogen) to nitrogen gas ( $N_2$ ) in suboxic waters as “suboxic

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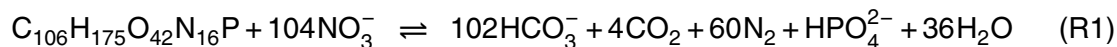
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$N_2$ -production”, irrespective of the pathways or agents (organisms) involved. Different stoichiometries of suboxic nitrogen conversions have been discussed in the literature, differing by the composition of the organic matter utilized and the fate of remineralised nitrogen (e.g. Richards, 1965; Canfield, 2006; Paulmier et al., 2009). In the following section we will present the bulk stoichiometries of two possible systems, one consisting of various combinations of DNRN, denitrification and anammox (i.e. a system where heterotrophic denitrification necessarily dominates  $N_2$  production) and an alternative system where DNRN, DNRA and anammox co-exist (i.e. a system where autotrophic anammox is the exclusive process forming gaseous nitrogen). We will also briefly discuss to what extent and under which specific conditions allochthonous sources of substrates can be relevant and evaluate their maximum effect on the trophic state of the suboxic layer. Our general subject will be to quantify the net ratio of  $CO_2$  produced to molecular nitrogen formed ( $\Delta CO_2:\Delta N_2$ ) given various combinations of the processes involved in suboxic N-conversions.

## 2.2 Stoichiometric constraints

First, let us consider the simple case that organic matter of standard oceanic composition ( $C_{106}H_{175}O_{42}N_{16}P$ ; Anderson, 1995) is completely oxidized with nitrate to form  $CO_2$ ,  $N_2$  and water according to Reaction (R1) (Canfield, 2006).



Complete oxidation here refers to the boundary condition that neither  $NH_4^+$  nor  $NO_2^-$  accumulate. This yields a ratio of organic carbon oxidized to nitrate consumed of close to 1 (106 C:104  $NO_3^-$ ) and a gross ratio of  $CO_2$  produced to molecular nitrogen formed ( $\Delta CO_2:\Delta N_2$ ) of +1.77 (106 C:60  $N_2$ ). In suboxic waters no  $NH_4^+$  accumulates (Richards, 1965) and here we assume that oxidation of  $NH_4^+$  is due to anammox. In this reaction 1 mol of  $NH_4^+$  combines with 1 mol of  $NO_2^-$  to form 1 mol of  $N_2$  and water. Each mol of  $NH_4^+$  consumed supports the autotrophic fixation of about 0.07 mol

of CO<sub>2</sub> (Strous et al., 1998; Tjihuis et al., 1993) yielding a ΔCO<sub>2</sub>:ΔN<sub>2</sub> ratio of anammox of 0.07 mol:mol. Using generic stoichiometric equations describing the possible reactions contributing to suboxic N<sub>2</sub>-production (Table 1) we can quantify the proportions in which the individual reactions involved (DNRN, denitrification, anammox) are required for a variety of bulk organic matter compositions (Table 2) and for a range of boundary conditions (fraction of accumulating intermediate NO<sub>2</sub><sup>-</sup>). For the mean organic matter composition given above, the condition of complete conversion of fixed nitrogen to N<sub>2</sub>, is given if 1 mol P-equivalent of organic matter is remineralised through DNRN, 1.2692 mol P equivalents of organic matter through denitrification and if the 2.2692·16 mol NH<sub>4</sub><sup>+</sup> produced through these heterotrophic reactions are oxidized with NO<sub>2</sub><sup>-</sup> to form N<sub>2</sub> via anammox. In this scenario about 73% of the N<sub>2</sub> produced is by denitrification and 27% by anammox (Table 2). The respective autotrophic CO<sub>2</sub> fixation is 2.54 (0.07·2.2692·16) mol and the bulk ΔCO<sub>2</sub>:ΔN<sub>2</sub> ratio for the combined heterotrophic and autotrophic processes changes to +1.75. This is, for all practical purposes, indistinguishable from the gross ratio (+1.77) which does not account for the autotrophic carbon fixation. The net ΔCO<sub>2</sub>:ΔN<sub>2</sub> ratio for the complete conversion of fixed nitrogen to N<sub>2</sub> may vary between 1.58 and 1.90, depending on the composition of organic matter (Table 2).

Significantly higher contributions of anammox to N<sub>2</sub> production of up to 100% have been suggested from tracer experiments (Kuypers et al., 2005; Thamdrup et al., 2006; Hamersley et al., 2007). With a combination of DNRN, denitrification and anammox (scenario I, Figs. 1–2) this can be achieved if nitrite accumulates (Fig. 1a). Nitrite accumulation is a characteristic of the upper margin of oxygen minimum zones (Cline and Richards, 1972; Sen Gupta and Naqvi, 1984; Codispoti and Christensen, 1985). The ratio of nitrite accumulating to nitrate removed denotes the efficiency of suboxic N<sub>2</sub>-production. We use this ratio as the independent variable (x-axes) in Figs. 1–4. Contrary to expectations, a higher contribution of anammox to total N<sub>2</sub> production goes along with an increase (and not a decrease or even turn in sign) of the ratio of CO<sub>2</sub> produced to N<sub>2</sub> formed (ΔCO<sub>2</sub>:ΔN<sub>2</sub>, Fig. 2a). In the most extreme case (no denitrification,

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100% anammox; high  $\text{NO}_2^-$  accumulation) the ratio is about +6.5, i.e. almost four times as high as for 100 percent efficient  $\text{N}_2$ -production. This effect is due to the increased contribution of organic nitrogen to produced  $\text{N}_2$  (Fig. 2b). The higher the contribution from anammox the more inefficient the suboxic N-removal becomes.

5 Alternatively, OMZs may function as systems in which dissimilatory nitrate reduction to ammonium (DNRA) supplements the respiratory pathways of DNRN and denitrification in the production of ammonium to supply substrates to anammox (Lam et al., 2009; Eq. (4) in Table 1). In this case high shares of anammox in total  $\text{N}_2$ -production may be achieved even with no or little nitrite accumulation, i.e. with highly efficient nitrogen removal. Here (scenario II, Fig. 3) we assume combinations of DNRA (major  $\text{NH}_4^+$  source), DNRN (prime source of  $\text{NO}_2^-$  and minor  $\text{NH}_4^+$  source), and anammox as the only process producing  $\text{N}_2$ . Combining DNRA and DNRN in variable ratios yields a range of efficiencies of  $\text{N}_2$ -production (x-axes) accompanied by varying  $\text{NO}_2^-$  –accumulation (again using the boundary condition that no  $\text{NH}_4^+$  should accumulate).

15 Both DNRA and DNRN are heterotrophic. Figure 3a shows their relative contribution along the efficiency gradient expressed as the fraction of  $\text{NH}_4^+$  provided via DNRA, to the total flux of  $\text{NH}_4^+$  to anammox. High contributions of DNRA allow for highly efficient N-conversion while low efficiencies are found where  $\text{NH}_4^+$  provision from DNRA falls below 50%. Although in this scenario 100 percent of  $\text{N}_2$  production is from the autotrophic anammox reaction for all possible efficiencies, the overall process (i.e. the combined net effects of DNRA, DNRN, and anammox) is clearly heterotrophic (Fig. 3b), with  $\Delta\text{CO}_2:\Delta\text{N}_2$  ratios almost indistinguishable from those given in Fig. 2a where DNRN, denitrification, and anammox co-exist.

25 Differences occur related to the quality of organic matter consumed during the N-conversions. Using protein instead of mean bulk organic matter, the  $\Delta\text{CO}_2:\Delta\text{N}_2$  ratio is somewhat lower (Figs. 2a, 3b) and the yield of  $\text{N}_2$ -N produced per nitrate molecule consumed is larger (Fig. 4b) with maximum values of 2 in the case of very inefficient N-conversion. The major difference, however, is in the molar  $\Delta\text{PO}_4^{3-}:\Delta\text{N}_2$  yield (Fig. 4a). For mean bulk organic matter of a composition commonly used in global

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biogeochemical models (Paulmier et al., 2009), the  $\Delta\text{PO}_4^{3-}:\Delta\text{N}_2$  yield increases from about 0.02 mol P:mol N<sub>2</sub> (efficient N-conversion) to about 0.06 (highly inefficient N-conversion). If, however, mainly proteins were preferentially respired in OMZs as indicated by recent particle flux and decay studies (van Mooy et al., 2002), the  $\Delta\text{PO}_4^{3-}:\Delta\text{N}_2$  yield should be much smaller and even approach zero (Fig. 4a).

Assuming that autochthonous substrates to the anammox reaction dominate in typical open ocean OMZs, we find that although anammox itself is autotrophic, the sum of processes providing substrates for anammox and/or denitrification in all possible combinations of DNRN, denitrification, DNRA and anammox is heterotrophic. The degree of this heterotrophy depends on the efficiency of N<sub>2</sub>-production. In a combination of DNRN, denitrification, and anammox it is actually positively correlated with the importance of anammox for N<sub>2</sub> production (Fig. 5).

### 2.3 Allochthonous substrate sources

So far we addressed a typical open-ocean OMZ bounded by oxic waters where substrates to anammox are autochthonous, i.e. produced within the OMZ. This is in particular relevant for NH<sub>4</sub><sup>+</sup>, which appears to be limiting to anammox in a system characterized by DNRN, denitrification and anammox. Potential external sources of NH<sub>4</sub><sup>+</sup> are anoxic waters or sediments located below suboxic zones and the primary ammonia maximum at the base of the euphotic zone. In this section we discuss the potential effects of allochthonous substrate sources for  $\Delta\text{CO}_2:\Delta\text{N}_2$  ratios.

In sediments or enclosed seas like the Black Sea suboxic waters may sit on top of fully anoxic systems in which NH<sub>4</sub><sup>+</sup> has accumulated which has been produced from organic matter remineralised by sulphate reduction (Codispoti et al., 1991). Here, diffusive flux provides for additional NH<sub>4</sub><sup>+</sup> available to anammox in adjacent suboxic waters (Murray et al., 2005). Additionally, reactions of HS<sup>-</sup>, another product of sulphate reduction, diffusing upwards combining with nitrate diffusing downwards from overlying oxic waters may provide additional nitrite or ammonium (Konovalov et al., 2008) to sup-

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port anammox and/or denitrification. In a system like the Black Sea such allochthonous sources of substrates may dominate (Fuchsman et al., 2008). Assuming DNRN as the sole  $\text{NO}_2^-$  source and diffusive  $\text{NH}_4^+$  fluxes as the major  $\text{NH}_4^+$  supply of anammox in the suboxic layers of the Black Sea, the net  $\Delta\text{CO}_2:\Delta\text{N}_2$  ratio may be as low as 0.38 inside the suboxic layer. This is still heterotrophic, but to a much lesser degree than under the conditions discussed above. Heterotrophy may become even smaller when assuming  $\text{HS}^-$  to diffuse upward to combine with nitrate (Konovalov et al., 2008) producing  $\text{NO}_2^-$  by an autotrophic process. Under such conditions it is possible that all substrates for the anammox reaction are produced autotrophically. Also  $\text{HS}^-$  may combine with nitrate producing  $\text{N}_2$  (chemolithotrophic denitrification; Hannig et al., 2007; Brettar and Rheinheimer, 1991). Hence suboxic  $\text{N}_2$  production, supplied with substrates from outside, may locally become fully autotrophic. However, diffusion of reduced substrates is accompanied by diffusive  $\text{CO}_2$ -fluxes from the remote heterotrophic decomposition of organic matter by sulphate reduction, which drive the overall  $\Delta\text{CO}_2:\Delta\text{N}_2$  back into the positive range.

While sulphate reduction can supply  $\text{NH}_4^+$  to the suboxic layer from below, there is also the possibility of  $\text{NH}_4^+$  entering from above. The primary  $\text{NH}_4^+$  maximum at the base of the euphotic zone is a characteristic feature of open-ocean  $\text{NH}_4^+$  distribution (Brzezinski, 1988). Where surface production and carbon turnover are high like in upwelling regions,  $\text{NH}_4^+$  concentrations as high as  $0.5 \mu\text{mol/L}$  have been observed in this layer (Gibb et al., 1999; Molina et al., 2005; Molina and Farías, 2009). It is under such conditions that also the lower slope of the primary  $\text{NH}_4^+$  maximum and the oxycline coincide, and diffusive fluxes of  $\text{NH}_4^+$  across the upper fringe of the OMZ may occur. Whether this is a significant  $\text{NH}_4^+$  source for the suboxic anammox may, however, be debated. On thermodynamic grounds it can be argued that, assuming similar energy yields for (oxic) nitrification (to  $\text{NO}_2^-$ ) and (suboxic) anammox, nitrite concentrations larger than its oxygen equivalent (i.e.  $3/2 * [\text{O}_2]$ ) are needed for anammox to be more effective in oxidising  $\text{NH}_4^+$  than nitrification. It appears more likely that low-oxygen nitrification stops at the  $\text{NO}_2^-$  level, providing  $\text{NO}_2^-$  rather than  $\text{NH}_4^+$  to anammox (e.g.

Schmidt et al., 2002). Anyway, the  $\text{NH}_4^+$  invading suboxic waters from above is of heterotrophic origin from the oxic remineralisation of organic matter and hence should be accompanied by diffusive fluxes of respiratory  $\text{CO}_2$ , similar as in an anoxic system underlying suboxic zones discussed above. This should drive the  $\Delta\text{CO}_2:\Delta\text{N}_2$  ratio of the upper margin of the OMZ back towards values computed for autochthonous substrate sources of anammox.

### 3 Discussion

Considering autochthonous sources of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  to anammox and a coupled system with DNRN, denitrification and anammox, we find the somewhat counterintuitive relationship that the higher the contribution of autotrophic anammox to pelagic  $\text{N}_2$ -production, the more heterotrophic the system is (Fig. 5). Hence the feedback switch proposed by Voss and Montoya (2009) to the effect that expending OMZs (Stramma et al., 2008; Oschlies et al., 2008) will either act as positive or negative feedbacks in the carbon cycle depending on whether anammox or denitrification dominate  $\text{N}_2$ -production in OMZs does not exist. Including additional autochthonous  $\text{NH}_4^+$  sources from DNRA does not change the picture significantly. Even when combining DNRA, DNRN, and anammox in scenarios with anammox always contributing 100 percent to  $\text{N}_2$  production, the coupled system is always heterotrophic. What appears to be variable in both systems is the degree of heterotrophy, however, depending on the efficiency of  $\text{N}_2$ -production.

Autochthonous supply of  $\text{NH}_4^+$  (or  $\text{NH}_4^+$  and  $\text{NO}_2^-$ ) may contribute to the substrate needs of anammox, as has been observed in the Black Sea (Murray et al., 2005; Fuchsman et al., 2008; Konovalov et al., 2008). In such a situation,  $\Delta\text{CO}_2:\Delta\text{N}_2$  ratios in the suboxic layer are much lower than with autochthonous substrate supply, and hence the degree of heterotrophy is lower. However, the  $\text{NH}_4^+$  diffusing from anoxic waters underlying a suboxic system is from organic matter remineralised via heterotrophic sulphate reduction, which has a concomitant  $\text{CO}_2$  production. Hence  $\text{NH}_4^+$  fluxes go

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along with  $\text{CO}_2$  fluxes.  $\text{NH}_4^+$  and total dissolved sulfide ( $\text{S}_T = \text{H}_2\text{S} + \text{HS}^- + \text{S}^{2-}$ ) as well as  $\text{S}_T$  and total dissolved inorganic carbon ( $\text{C}_T$ ) co-vary linearly over much of the anoxic water body of the Black Sea (Volkov and Rozanov, 2006). Averaging over anoxic waters from the upper 2000 m Volkov and Rozanov (2006) find  $\text{S}_T\text{-NH}_4^+$  slopes of 4.29 and  $\text{C}_T\text{-S}_T$  slopes of 2.01, indicating an average C:N ratio of remineralisation of 8.6 which is close to that of bulk standard organic matter. Just below the suboxic layer, however, the  $\text{HS}^-$  to  $\text{NH}_4^+$  slope is less (about 2) which if combined with the average  $\text{C}_T\text{-S}_T$  plot yields a C:N ratio of only 4.2. There is the possibility that this reduction in the apparent C:N remineralisation ratio can be explained as due to nitrogen-rich material (proteins) preferentially remineralised in the upper part of the anoxic layer. This has been suggested for other low oxygen waters by van Mooy et al. (2002). Alternatively, this difference in the apparent C:N ratio can be taken as another indication of the quantitative importance of anammox in close-by suboxic waters, providing a significant sink for  $\text{NH}_4^+$  but not for  $\text{CO}_2$ , as evident from the observed low  $\text{CO}_2\text{:NH}_4^+$  efficiency of the anammox reaction (Strous et al., 1998; Tjihuis et al., 1993). Though details will depend on the respective  $\text{NH}_4^+$  supplies from diffusion and autochthonous sources, respectively, the overall  $\Delta\text{CO}_2\text{:}\Delta\text{N}_2$  ratio should be larger than in the most extreme case computed above ( $\Delta\text{CO}_2\text{:}\Delta\text{N}_2=+0.38$ ) and approach the autochthonous ratio ( $\Delta\text{CO}_2\text{:}\Delta\text{N}_2=+1.75$ ).

Summarizing the above discussion, we find no simple relationship between the contribution of anammox to total  $\text{N}_2$ -production and the degree of heterotrophy. In particular, where autotrophic anammox contributes 100 percent to suboxic  $\text{N}_2$ -production, we find  $\Delta\text{CO}_2\text{:}\Delta\text{N}_2$  yields varying between about +2 and +6 for open ocean OMZs. Biogeochemically the system is clearly heterotrophic although autotrophic reactions are a vital element shaping the observed tracer distribution. Low, even negative, values of the  $\Delta\text{CO}_2\text{:}\Delta\text{N}_2$  ratio can be computed where substrates are imported from anoxic domains and if associated diffusive  $\text{CO}_2$  fluxes are ignored.

Would the absence or presence of anoxic zones, or their extent, in the ocean have any collateral effects on the marine carbon balance at all? So far we emphasized

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that autotrophic anammox in OMZs depends on substrates ( $\text{NH}_4^+$ ) provided by heterotrophic processes either locally, or from neighbouring water layers. Heterotrophic and autotrophic processes are similarly coupled also everywhere else in the aphotic oxic watersphere. Oxidic remineralisation of organic matter there releases  $\text{CO}_2$ ,  $\text{PO}_4^{3-}$  and  $\text{NH}_4^+$  to ambient waters.  $\text{NH}_4^+$  does not accumulate (Brezezinski, 1988) under oxic conditions but is subsequently oxidized autotrophically to nitrite and nitrate by nitrifying bacteria and archaea (Ward, 2008). The carbon fixation efficiency of nitrifiers is low ( $\Delta\text{CO}_2:\Delta\text{NH}_4^+=0.03$  mol:mol,  $\Delta\text{CO}_2:\Delta\text{NO}_2^-=0.01$  mol:mol) and generation times are in the order of 10 to 20 h (Ward, 2008). For mean C:N:P ratios of organic matter of 106:16:1 the gross carbon yield of the heterotrophic oxidation of organic matter is 106:1, the net yield, including the effect of autotrophic nitrification is 105:1 (106–16·efficiency), i.e. at most 1 percent less. In fact, one may conclude in analogy to the fate of most phototrophic production in the surface ocean that most of the chemoautotrophic  $\text{CO}_2$ -fixation in the interior of the ocean will be recycled and respired in situ as well, bringing the overall  $\Delta\text{CO}_2:\Delta\text{P}$  ratio back close to 106:1. Biogeochemically, also the oxic aphotic ocean is clearly heterotrophic although autotrophic reactions are a vital element shaping the observed tracer distribution, i.e. the accumulation of  $\text{NO}_3^-$  instead of  $\text{NH}_4^+$ . Making up a similar P-normalised budget for suboxic waters, we find that for both DNRN+denitrification+anammox and for DNRN+DNRA+anammox scenarios the net  $\text{CO}_2:\text{P}$  is constant ( $\approx 105:1$ ) and basically indistinguishable from that of oxic conditions. Hence there is no significant difference between suboxic and oxic systems concerning their trophic state.

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**Table 1.** Stoichiometric equations for (1) respiratory nitrate reduction to nitrite (DNRN), (2) denitrification, (3) anammox, and (4) dissimilatory nitrate reduction to ammonium (DNRA) for bulk organic matter with an average composition of  $C_aH_bO_cN_dP_eS_f$ . For simplicity and following Paulmier et al. (2009) we give the stoichiometric equations in non-ionic forms. We assume reaction of  $NH_3$  and  $CO_2$  with water and subsequent dissociation as well as dissociation of  $HNO_3$ ,  $HNO_2$ ,  $H_3PO_4$ , and  $H_2SO_4$  according to seawater pH. For a more detailed discussion of the derivation of equations (1), (2), and (3) see Paulmier et al. (2009).

Bulk reaction stoichiometry		
(1)	$C_aH_bO_cN_dP_eS_f + x HNO_3 \rightleftharpoons a CO_2 + d NH_3 + e H_3PO_4 + f H_2SO_4 + y HNO_2 + z H_2O$ with $x=2a+0.5b-c-1.5d+2.5e+3f$ , $y=x$ , $z=0.5b-1.5d-1.5e-f$ .	DNRN
(2)	$C_aH_bO_cN_dP_eS_f + x HNO_2 \rightleftharpoons a CO_2 + d NH_3 + e H_3PO_4 + f H_2SO_4 + y N_2 + z H_2O$ with $x=4/3a+1/3b-2/3c-d+5/3e+2f$ , $y=2/3a+1/6b-1/3c-0.5d+5/6e+f$ , $z=2/3a+2/3b-1/3c-2d-2/3e$ .	denitrification
(3)	$NH_3 + HNO_2 \rightleftharpoons N_2 + 2 H_2O^a$	anammox
(4)	$C_aH_bO_cN_dP_eS_f + x HNO_3 \rightleftharpoons a CO_2 + y NH_3 + e H_3PO_4 + f H_2SO_4 + z H_2O$ with $x=0.5a+0.125b-0.25c-0.375d+0.625e+0.75f$ , $y=0.5a+0.125b-0.25c+0.625d+0.625e+0.75f=x+d$ , $z=-0.5a+0.375b+0.25c-1.125d-2.125e-1.75f$ .	DNRA

<sup>a</sup> The energy gain from the anammox reaction is used to drive a  $CO_2$  fixation of 0.07 mol  $CO_2$ :mol  $NH_4^+$  (Strous et al., 1998; Tjihuis et al., 1993). When presenting  $\Delta CO_2:\Delta N_2$  ratios in Figs. 2a, 3b, and 5 this autotrophic  $CO_2$ -fixation is included. With any net  $CO_2$ -fixation there will be a net uptake of nitrogen (and phosphorus) nutrients. However, this is a very small fraction ( $\approx 2\%$ ) if compared with inorganic nitrogen converted to  $N_2$  in the anammox reaction. These subtle nutrient fluxes are hence ignored in our computations.

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**Table 2.** Bulk ratios for complete conversion of fixed nitrogen to N<sub>2</sub> (i.e. no accumulation of NO<sub>2</sub><sup>-</sup> or NH<sub>4</sub><sup>+</sup>) for different compositions of organic matter. Bulk ΔCO<sub>2</sub>:ΔN<sub>2</sub> ratios include the effect of autotrophic CO<sub>2</sub> fixation (data for scenario I only).

	ΔCO <sub>2</sub> :ΔN <sub>2</sub> mol:mol	Den:DNRN <sup>a</sup> mol:mol	Anammox:N <sub>2</sub> -production %	N <sub>2</sub> from org N %	ΔPO <sub>4</sub> <sup>3-</sup> :ΔN <sub>2</sub> mol:mol	ΔN <sub>2</sub> -N:ΔNO <sub>3</sub> <sup>-</sup> mol:mol
C <sub>106</sub> H <sub>175</sub> O <sub>42</sub> N <sub>16</sub> P Anderson (1995)	1.75	1.27	26.6	19.2	0.017	1.15
C <sub>3.83</sub> H <sub>6.05</sub> O <sub>1.25</sub> N Laws(1991)	1.58	1.10	41.9	28.8	0	1.27
C <sub>106</sub> H <sub>263</sub> O <sub>110</sub> N <sub>16</sub> P Redfield et al. (1963)	1.9	1.25	29.0	20.7	0.018	1.17

<sup>a</sup> Ratio of denitrification to DNRN, in mol NO<sub>2</sub><sup>-</sup> produced (DNRN) to mol NO<sub>2</sub><sup>-</sup> used (denitrification).

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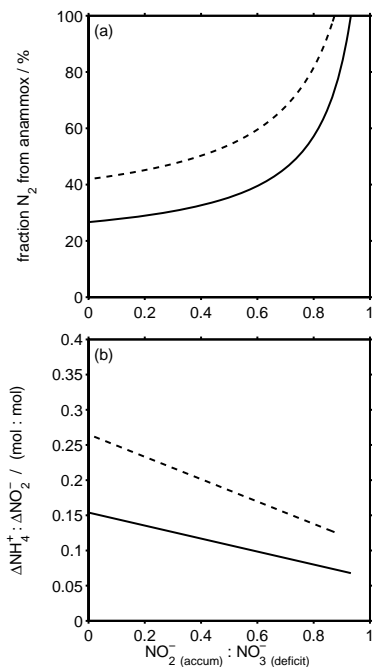
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**Fig. 1.** Scenario I, assuming that suboxic N-conversions are due to a combination of DNRN, denitrification and anammox such that no  $\text{NH}_4^+$  but variable fractions of  $\text{NO}_2^-$  accumulate. On the x-axes the ratio of  $\text{NO}_2^-$  accumulated to  $\text{NO}_3^-$  consumed is plotted. We interpret this ratio as the efficiency of the overall N-conversion process where the origin represents the condition of a fully efficient conversion of  $\text{NO}_3^-$  to  $\text{N}_2$  (i.e. all  $\text{NO}_2^-$  is used up). Solid lines are for a mean composition of respired organic matter of  $\text{C}_{106}\text{H}_{175}\text{O}_{42}\text{N}_{16}\text{P}$  (Anderson, 1995), dashed lines for respiration of pure proteins ( $\text{C}_{3.83}\text{H}_{6.05}\text{O}_{1.25}\text{N}$ , Laws, 1991; Anderson, 1995). **(a)** Fraction (in percent) of total  $\text{N}_2$ -production which is due to anammox. In the combined reactions of scenario I the remainder to 100 percent is due to denitrification. **(b)** Ratio of production rates of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  during the coupled reactions of DNRN (providing  $\text{NH}_4^+$  and  $\text{NO}_2^-$ ) and denitrification (providing  $\text{NH}_4^+$  only) for the given boundary conditions (no  $\text{NH}_4^+$  accumulation) and the respective efficiencies of the overall N-conversion process (x-axes). Note that this ratio is always well below one, the stoichiometric ratio of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  in anammox, indicating  $\text{NH}_4^+$  limitation of anammox.

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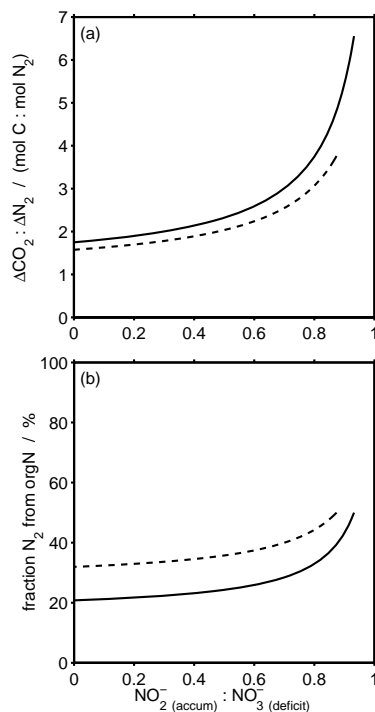
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**Fig. 2.** Same N-conversion scenario as Fig. 1 (DNRN+denitrification+anammox; solid lines for OM composition of  $\text{C}_{106}\text{H}_{175}\text{O}_{42}\text{N}_{16}\text{P}$ ; dashed lines for proteins). **(a)** The net ratio of  $\text{CO}_2$  to  $\text{N}_2$  release ( $\Delta\text{CO}_2 : \Delta\text{N}_2$ ) as a function of N-conversion efficiency. The ratios include a correction for autotrophic  $\text{CO}_2$  fixation during anammox. **(b)** Percent fraction of  $\text{N}_2$ -production supported by nitrogen from respired organic matter.

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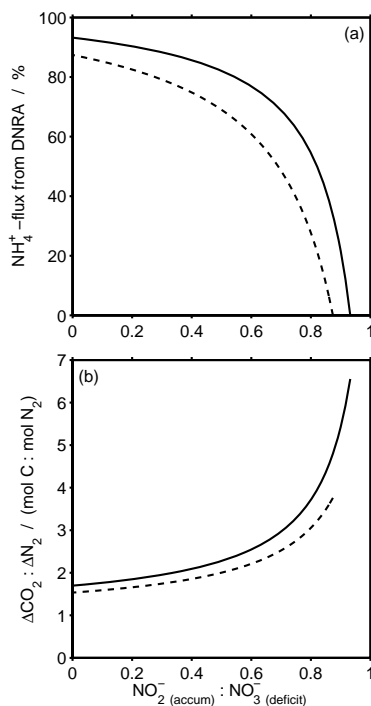
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**Fig. 3.** Scenario II, assuming that suboxic N-conversions are due to a combination of DNRN, DNRA, and anammox. We assume that no  $\text{NH}_4^+$ , but variable fractions of  $\text{NO}_2^-$  accumulate (see description of x-axes in legend of Fig. 1). Solid lines are for bulk standard organic matter, dashed lines for proteins. **(a)** Percent fraction of  $\text{NH}_4^+$  supply to the anammox reaction from DNRA. **(b)**  $\Delta\text{CO}_2:\Delta\text{N}_2$  ratio for scenario II.

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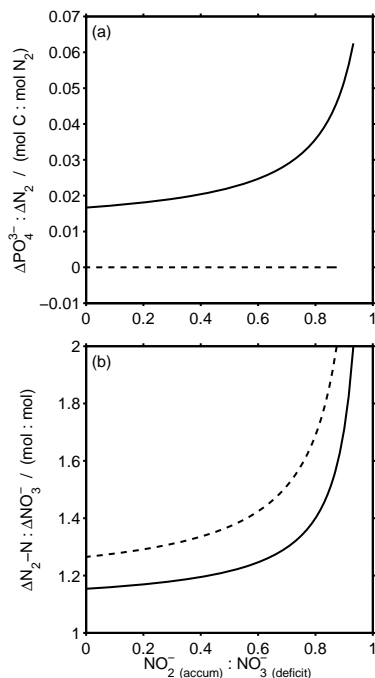
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Interactive Discussion



Denitrification vs. anammox

W. Koeve and P. Kähler



**Fig. 4.** Scenario I and II. Solid lines are for bulk organic matter composition, dashed lines for proteins. **(a)** The ratio of phosphate released per  $\text{N}_2$  formed. **(b)** The molar ratio of  $\text{N}_2$ -N released to nitrate used during N-conversion.

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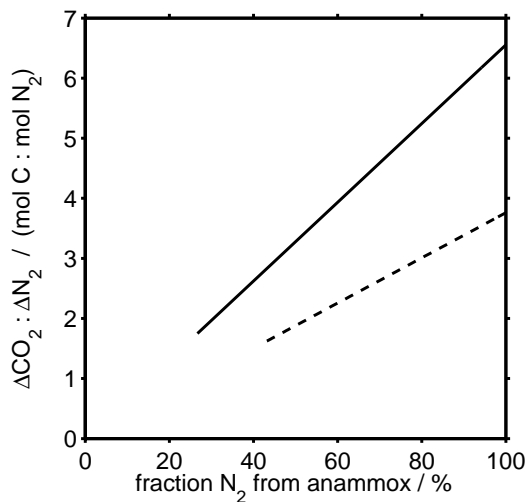
Interactive Discussion





**Denitrification vs.  
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**Fig. 5.**  $\Delta\text{CO}_2:\Delta\text{N}_2$  vs. percent fraction of  $\text{N}_2$  produced by the anammox reaction for scenario I (DNRN+denitrification+anammox). Solid line is for bulk organic matter compositions, dashed line for proteins.

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