

**Assessing the
ecological status of
plankton in Anjos
Bay**

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Assessing the ecological status of plankton in Anjos Bay: a flow cytometry approach

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Abstract

This aim of this paper is to assess the use of the heterotrophic/autotrophic ratio as an early indicator of trophic status as a part of development of a real time monitoring program at Anjos Bay, Rio de Janeiro, Brazil. An in-situ flow cytometer was used to quantify the abundances of phytoplankton and cyanobacteria, which were identified by chlorophyll and phycoerythrin autofluorescence, respectively. Heterotrophic prokaryotes and viruses were quantified by DNA-binding fluorochromes; merozooplankton larvae were collected by plankton net and quantified by stereomicroscopy. The temporal and spatial distributions of these variables were evaluated on the basis of weekly observations from August 2006 to September 2007. The heterotrophic/autotrophic ratio and the viral abundance were correlated with upwelling events and assume an apparently seasonal pattern. A possible control mechanism and influential factors are discussed, and it is concluded that this ecosystem is bottom-up controlled under eutrophic conditions and top-down controlled under oligotrophic conditions.

1 Introduction

The ultimate goal in coastal ecology is to use information about ecosystem processes to manage and preserve natural resources and water quality. Because these systems are somewhat resistant to external impacts and disturbances on a wide range of temporal and spatial scales, it is essential to define “good” ecological status and establish impact thresholds. In this context, ecological status is a synonym for quality. According to Windhorst et al. (2005), ecological status should be assessed on the basis of selected indicators of function and state and requires close cooperation between researchers and decision makers in the natural and socio-economic sciences. Ecosystem integrity (Karr, 1992) is a holistic and systemic protection strategy (Müller et al., 2000) and should be understood in terms of networks of interactions between biological, physical and chemical parameters (Pereira et al., 2008, 2009a; Stenseth et al.,

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2006). It is reflected in exergy capture (represented here by gross primary production), storage capacity (represented by nutrient input/output balances), cycling (represented by the turn-over of nutrient stocks), matter losses, and heterogeneity (represented by the heterotrophic/autotrophic ratio).

5 The establishment of an acceptable use level for ecosystem services requires expertise from various stakeholders and social regulations (environmental laws). Definitions of “good ecological status” may vary with space, time and even culture.

10 Researchers have proposed several indicators of ecological status. Costanza et al. (1992) recommended using the balance among system components as an ecosystem health indicator, and Jørgensen et al. (2005) suggested accounting for trophic status. The balance between autotrophy and heterotrophy, which determines the trophic status of the system, has attracted significant interest (Wiegner et al., 2003; Thottathil et al., 2008; Martinez-Garcia et al., 2010) because it is related to both nutrient conditions and organic matter cycles. Autotrophic/heterotrophic ratios greater than one indicates a heterotrophic system and ratios less than one indicate an autotrophic system.

15 Most current ecosystem monitoring programs cannot accommodate exhaustive, costly or time-consuming techniques for describing system conditions and dynamics. Under these constraints, flow cytometry (FCM) has been an effective tool for rapidly analysing plankton communities since the early 1980s (Yentsch et al., 1983; Li, 1995; Larsen et al., 2001; Rose et al., 2004). Recent advances related to this technique offer the possibility of real-time monitoring (Dubellar and Greerders, 2004; Sosik and Olson, 2007).

25 Thus, the aim of this work is to assess the main biological components of coastal waters to evaluate prevailing ecological conditions, the first component of environmental diagnosis. Rapid collection of this information is critical for assessing system load capacity because coastal areas are receptors and naturally present a multitude of configurations. Specifically, we use the heterotrophic/autotrophic relationship as an indicator of trophic status and describe its spatial and temporal variability. The response

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of this indicator to environmental parameters has been well demonstrated, but possible biological influences are not well understood. Although flow cytometry can also be used to estimate biomass, this issue is not addressed here due to the complex shapes of microphytoplankton; our goal is to monitor the abundance of biological components.

2 Material and methods

2.1 Study area

The studied plankton community is found in small ($\sim 45 \text{ km}^2$), shallow ($\sim 10 \text{ m}$ depth), wind-driven and upwelling-influenced Anjos Bay, which is formed by Cabo Frio Island (23° S , 42° W) in the state of Rio de Janeiro, southeastern Brazil. Dominant E-NE winds are influenced by tropical maritime anticyclones due to the Coriolis Effect and Ekman transport, which shunt nutrient-depleted surface water (Brazil Current) offshore (Castelao and Barth, 2006). This water body is followed by up-flowing, nutrient-rich ($\sim 12 \mu\text{M-L NO}_3\text{-N}$), deeper South Atlantic Central Water (SACW), which comes from around 200–300 m depth. This process generates a thermocline around the bay mouth, near our permanent monitoring station. Sporadically, SACW reaches the surface and enters the bay. An inverse pattern can be caused by S-SW winds because cold fronts drive the oligotrophic Brazil Current ($< 1 \mu\text{M-L NO}_3\text{-N}$) toward the coast. As SACW is heated in the euphotic layer, nitrate declines more rapidly than phosphate, and the N/P ratio declines (Pereira and Ebecken, 2009b). Upwelling periods occur more frequently during the summer (from September to April), and downwelling periods are more frequent during the winter (June–August) (Carbonel, 2003). In addition to periodic upwelling, the bay is influenced by anthropic activities like episodic sewage discharges from domestic sources at Anjos beach (1), a small harbor (2), and a mussel farm at Forno inlet (3), which are all shown in Fig. 1.

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2.2 Field sampling

Water samples were collected weekly from 0.5 m depth at the fixed station with a 5-L Niskin bottle from August 2006 to August 2007. The sampling point was selected because the island is an environmentally protected area and is still considered pristine.

5 Temperature and salinity were obtained by a 316 CTD probe (General Oceanics) at the time of sampling. Phosphate, nitrate and chlorophyll were measured according to SCOR (1996). An aliquot (200 mL) of water was immediately put in a cryovial and fixed with 1% paraformaldehyde (final concentration) for laboratory quantification of heterotrophic cells. Meroplankton larvae (organisms·m³) were collected with plankton
10 net (100 µm mesh), immediately fixed at 4% formaldehyde (final concentration) and counted under a stereomicroscope.

On three occasions when SACW was detected at the surface ($T < 18^{\circ}\text{C}$; $S < 36$), sampling was conducted at 22 stations to assess spatial distribution throughout the bay.

15 2.3 Flow cytometry

During sample collection, an in-situ autonomous scanning flow cytometer (CytoBuoy b.v. Woerden, The Netherlands), which was installed into a moored buoy and operated by radio transmitter (Dubellar, 2000), measured total suspended particles. The cytometer was equipped with a solid blue laser providing 20 mW at 488 nm, forward
20 scatter (FWS) and side scatter (SWS, 446/500 nm) detectors and three others for red (chlorophyll) (FL-1, 669/725 nm), orange/yellow (FL-2, 601/651) and green/yellow (FL-3, 515/585 nm) fluorescence. It can analyse large particles (up to 1 mm) and relatively large water volumes (up to 4 cm³ per sample). In addition to the five average signal heights, simple mathematical parameters were evaluated for each signal shape, including inertia, fill factor, asymmetry, number of peaks, length, and apparent size (FWS size) (Dubelaar et al., 2003). These values can help to identify clusters in cytograms; each dot is a particle and lengths are determined by time of flight (TOF, the time a
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particle takes to cross the laser beam) and the trigger level set by the equipment. All field data were acquired over one minute at a flow rate of 2 mm/s, triggered to the forward scatter channel using the CytoSift software and analysed by CytoWave software, both of which were provided by the manufacturer. For the three cases in which SACW were detected at the surface, the CytoBuoy flow cytometer was transported by a small motorboat.

The abundances of heterotrophic prokaryotes and virus-like particles were evaluated in laboratory, about two hours after sampling, by a FACScan flow cytometer (Becton Dickinson, San Jose, Calif.) equipped with an air-cooled laser providing 15 mW at 488 nm and a standard filter setup that was triggered to green fluorescence. Fluorescent yellow-green 0.92- μm beads ($10^5/\text{ml}^{-1}$) were added to all samples as an internal quality standard (Fluoresbrite Microparticles, Polysciences) after staining with SYBR-Green-1 (Sigma-Aldrich, São Paulo-Brazil) as described previously (Brussaard et al., 2004). The samples were analysed for one minute at a delivery rate of 50 $\mu\text{l}/\text{min}$ using the CellQuest™ Pro software, which was provided by the manufacturer. Because the SYBR-Green-I stained all DNA, heterotrophic prokaryote abundance was estimated by subtracting the amount of cyanobacteria that were enumerated in-situ by the CytoBuoy flow cytometer. Data were acquired from both cytometers as logarithmic values.

2.4 Data analysis

A time-series correlation matrix was calculated to access the relationships among all parameters. The spatial distribution was performed in the ArcGIS-Geospatial Analyst 9.2 software (ESRI) using kriging methods to determine the best parameters for interpolation techniques.

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3 Results

3.1 In-situ flow cytometry acquisition

Figure 2 shows in-situ and real-time scatterplots from the CytoBuoy instrument. Beads are not used as size markers because the cytometer was working directly in the sea. Figure 2a presents a distribution of all suspended particles based on their lengths and forward scatter signals. Autotrophs in the same sample are plotted in Fig. 2b. Microalgae were identified by their red (chlorophyll) autofluorescence (FL1Sum 2), and cyanobacteria were easily identified by the yellow-green fluorescence (FL3Sum 4) caused by their phycoerythrin content. The highest abundance of microalgae (9.66E+02) was found during the spring (10/06), and the lowest value (2.30E+00) was found in the winter (07/07). The abundance of cyanobacteria, which always exceeded that of microalgae, varied from 7.61E+04 during the summer (01/07) to 3.02E+03 in the winter (07/07). Phytoplankton accounted for just 6.38% of total suspended particles.

3.2 Ex-situ flow cytometry acquisitions

Figure 3 presents one of the FACScan cytograms after SYBR-Green-I nucleic acid staining and shows SSC (side scatter) vs. FL-1 (green fluorescence) for this cytometer. The detection threshold was progressively decreased until viruses were detected. Three heterotrophic prokaryote groups are shown: LDNA, HDNA and a third that we call G3. Notably, heterotrophic prokaryotes were always more abundant than phytoplankton. According to Lebaron et al. (2001), the subgroup with high DNA content (HDNA) represents active cells and the low-DNA-content (LDNA) subgroup represents inactive cells. Because Zubkov et al. (2001) showed, through methionine incorporation followed by flow cytometric sorting, that members of the G3 subgroup have different levels of activity as LDNA and as HDNA, the subgroups were quantified both separately and together. Subgroup G3 remained relatively stable during the study period

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(average $1.38\text{E}+05$). LDNA was the most abundant group, consistent with observations by Luna et al. (2002) and Pereira et al. (2009c), and increased by around 32% during upwelling events. The total amount of heterotrophic prokaryotes varied from $9.53\text{E}+03$ in the winter (07/07) to $9.81\text{E}+05$ in the summer (01/07). Figure 3 presents two viral populations, V-1 and V-2, which are considered the major causes of mortality and therefore the primary regulators of organismal abundance (Suttle, 2005). V-1, a diverse group that infects phytoplankton (Brussaard et al., 2000), varied in abundance from $3.64\text{E}+03$ to $1.92\text{E}+04$ in summer (01/07); V-2 (bacteriophage) abundances varied from $2.14\text{E}+03$ in autumn to $4.13\text{E}+05$ in summer. Thus, the total virus abundance varied from $1.23\text{E}+05$ to $3.62\text{E}+07$ during the summer (02/07). Viruses were by far the most abundant biological entities, followed by heterotrophic prokaryotes, phytoplankton and zooplankton. The latter category ranged in abundance from 9 to 1076.33 organisms/ m^3 .

3.3 Correlations between parameters

Table 1 reveals the correlations between the studied variables for the entire time series. It does not include nutrient data because these variables did not present any correlation with the others. The strongest correlation was a negative correlation between the virus community and temperature ($n = 40$, $r^2 = -0.58$, $p = 0.05$), indicating that upwelling waters increased the amount of virus. On the other hand, heterotrophic prokaryotes presented the lowest negative correlation with viruses ($n = 40$, $r^2 = -0.31$, $p = 0.05$), which may be due to the fact that only the V-2 subgroup can be hosted by prokaryotes. Chlorophyll demonstrated a statistically significant relationship with heterotrophic prokaryotes ($n = 40$, $r^2 = 0.34$, $p = 0.05$), as previously noted by Cotner and Biddanda (2002), and the real-time cytometric enumeration of phytoplankton cells was slightly higher ($n = 40$, $r^2 = 0.35$, $p = 0.05$). If the number of cyanobacteria is subtracted from the total value of enumerated phytoplankton, the correlation disappears. This indicates that most of the correlation between heterotrophy and autotrophy is due to similarities between cyanobacteria and heterotrophic prokaryotes. The abundance

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of meroplankton larvae is also negatively correlated with heterotrophic prokaryotes ($n = 40$, $r^2 = -0.32$, $p = 0.05$) but, even though meroplankton are important grazers, no correlation was found with phytoplankton. The ratio of viruses to bacteria (heterotrophic prokaryotes) (VBR) was correlated with salinity ($n = 40$, $r^2 = 0.36$, $p = 0.05$) and negatively correlated with heterotrophic prokaryotes ($n = 40$, $r^2 = -0.33$, $p = 0.05$). Finally, the heterotrophic/autotrophic ratio (Het/Aut) was the most strongly correlated variable. It presented negative correlations with temperature ($n = 40$, $r^2 = -0.60$, $p = 0.05$) and salinity ($n = 40$, $r^2 = -0.43$, $p = 0.05$) and positive correlations with virus abundance ($n = 40$, $r^2 = 0.52$, $p = 0.05$), chlorophyll ($n = 40$, $r^2 = 0.32$, $p = 0.05$) and phytoplankton abundance ($n = 40$, $r^2 = 0.31$, $p = 0.05$). These relationships demonstrate the relevance of this variable.

By analysing these correlations separately (data not shown), we verified that, during upwelling events, both virus ($n = 6$, $r^2 = -0.91$, $p = 0.05$) and phytoplankton counts ($n = 6$, $r^2 = -0.82$, $p = 0.05$) have strong and negative correlations with temperature; the Het/Aut ratio had a positive and significant correlation with temperature ($n = 6$, $r^2 = 0.90$, $p = 0.05$). Under oligotrophic conditions, the abundance of merozooplankton larvae was negatively correlated with both heterotrophic bacteria ($n = 34$, $r^2 = -0.40$, $p = 0.05$) and VBR ($n = 34$, $r^2 = -0.40$, $p = 0.05$). A positive correlation was also verified between VBR and salinity ($n = 34$, $r^2 = 0.38$, $p = 0.05$) and between the Het/Aut ratio and merozooplankton larvae ($n = 34$, $r^2 = 0.44$, $p = 0.05$).

3.4 Temporal variability

Figure 4 presents the temporal distribution of the heterotrophic/autotrophic ratio. The highest prokaryotic abundance occurred during the summer (January to April), when upwelling was stronger (Fig. 4a), and was five-fold higher than phytoplankton. Most samples returned higher ratios of heterotrophic prokaryotes to phytoplankton, suggesting a relatively acute carbon supply problem for prokaryotes. At the same time, heterotrophs peaked in association with the highest values of viruses (Fig. 4b). Figure 4c

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shows that meroplankton larvae peaks are not coupled to the abundance of heterotrophic prokaryotes or the heterotrophic/autotrophic ratio.

3.5 Spatial distribution of cytometric measurements

Figure 5 shows the spatial distribution of the average value of the cytometrically-measured variables for the three cases in which SACW was detected at the surface. Figure 5a and b presents the spatial distribution of phytoplankton and heterotrophic prokaryotes abundances, respectively. The highest occurrences of heterotrophic prokaryotes (marked as 1, 2 and 3 in Fig. 1) are easily explainable by sewage discharges from the harbor and the marine farm. Similarly, Fig. 5c shows the distribution of the virioplankton community. Although we have only observed a negative correlation between virus abundance and heterotrophic prokaryotes, this figure suggests that the virioplankton distribution is tightly coupled to host availability. Figure 5d shows the spatial distribution of VBR, which is influenced by the sporadic entrance of upwelled waters from outside the bay. Finally, Fig. 5e presents the spatial distribution of the Heterotrophic/Autotrophic ratio, which indicates the highest heterotrophic activity at the Anjos and Forno inlets.

4 Discussion

4.1 General conditions

The balance between autotrophy and heterotrophy in aquatic ecosystems is considered an important indicator of trophic status. Values of the autotrophic/heterotrophic ratio remained below one throughout the study period, indicating that Anjos Bay is essentially heterotrophic. Although measurements of carbon availability were not made, the overall predominance of heterotrophy indicates that the planktonic community relies on sources other than planktonic primary production to sustain its carbon demand

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because the abundance of prokaryotes was at least one order of magnitude higher than that of phytoplankton.

4.2 Sources of variability

Both anthropogenic activities (sewage discharges from the harbor and marine farm) and upwelling are important sources of allochthonous DOC and nutrients that sustain the productivity of the bay. However, the increased amount of virus in the water column caused by upwelling can drive an autochthonous source of carbon, as has been previously demonstrated (Middelboe et al., 2003; Suttle, 2005); Danovaro et al. (2001) also demonstrated a high abundance of viruses on the sediment. The concurrence of high VBR values and phytoplankton abundances at the same site is consistent with this hypothesis under conditions of phytoplankton growth in upwelling conditions and predominant autotrophy. Clearly, viruses are important players because they can strongly influence the heterotrophic/autotrophic balance; they should be taken into account in monitoring and management programs. Although we did not find a correlation between viruses and phytoplankton, several articles (Short and Suttle, 2002; Larsen et al., 2008; Vardi et al., 2009) have described this control as an effect of species-specific interactions.

Under oligotrophic conditions, the viral pressure is expected to decrease as the lyso-genic life cycle becomes prevalent (Cochran and Paul, 1998). Merozooplankton larvae may become more omnivorous and less dependent on phytoplankton. Quantification of zooplankton grazing and production has been the subject of intensive research for decades. Despite the negative correlation shown in Table 1, the presence of heterotrophic prokaryotes inside the zooplankton gut is well known and has been effectively demonstrated (Bianchi et al., 1992; Braun et al., 1999). However, it is not known whether the prokaryotes were ingested or whether they represent symbiotic flora (Hansen and Bech, 1996). We did not find also a direct correlation between merozooplankton larvae and phytoplankton, but it must be recalled that phytoplankton must ultimately feed on significant populations of macrozooplankton. Therefore, phytoplankton

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can certainly influence heterotrophic and autotrophic metabolisms through alternative inner carbon sources like leakage due to sloppy feeding (Møller, 2005). Our results suggest that this phenomenon is widespread and that interactions among autotrophic and heterotrophic microorganisms are among the most important factors controlling the productivity of aquatic systems. In short, upwelling promotes a shift from heterotrophy to autotrophy on an apparently seasonal basis. Most primary production is based in autotrophic prokaryotes because cyanobacteria were more abundant than microalgae. In oligotrophic conditions, however, the abundances of autotrophic and heterotrophic prokaryotes are similar and therefore indicate equilibrium.

5 Conclusions

This work represents the preliminary development of a real-time environmental monitoring program. Management strategies must assess current environmental conditions before making decisions about, for example, discharges. In this context, the heterotrophic/autotrophic ratio can be used as an early indicator of system status. This differentiation is important because different food web configurations have different processing capabilities. We have identified differences based on horizontal gradients and the seasonal variability of planktonic auto- and heterotrophic processes in an oligotrophic coastal embayment. We conclude that Anjos Bay is bottom-up controlled during eutrophication events and top-down controlled under oligotrophic conditions.

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Table 1. Spearman correlation of the variables: Temp refers to temperature as Sal to salinity, Virus to viruses, Het Prok to heterotrophic prokaryotes, Phyto is the total counts of autotrophs, VBR is the virus/bacterial ratio and Het/Aut is the heterotrophic/autotrophic ratio. Numbers in bold are statistically significant. Correlations are significant at $p < ,05000$.

	Temp	Sal	Virus	Het Prok	Chlo-a	Phyto	Larvae	VBR	Het/Aut
Temp	1.00	0.27	-0.58	0.02	-0.24	-0.04	0.04	-0.18	-0.60
Sal		1.00	0.03	-0.24	-0.29	-0.08	-0.09	0.36	-0.43
Virus			1.00	-0.31	0.02	-0.14	0.03	0.16	0.52
Het Prok				1.00	0.34	0.35	-0.32	-0.33	0.27
Chl-a					1.00	0.05	-0.28	-0.14	0.32
Phyto						1.00	-0.19	-0.18	0.31
Larvae							1.00	-0.10	0.04
VBR								1.00	-0.19
Het/Aut									1.00

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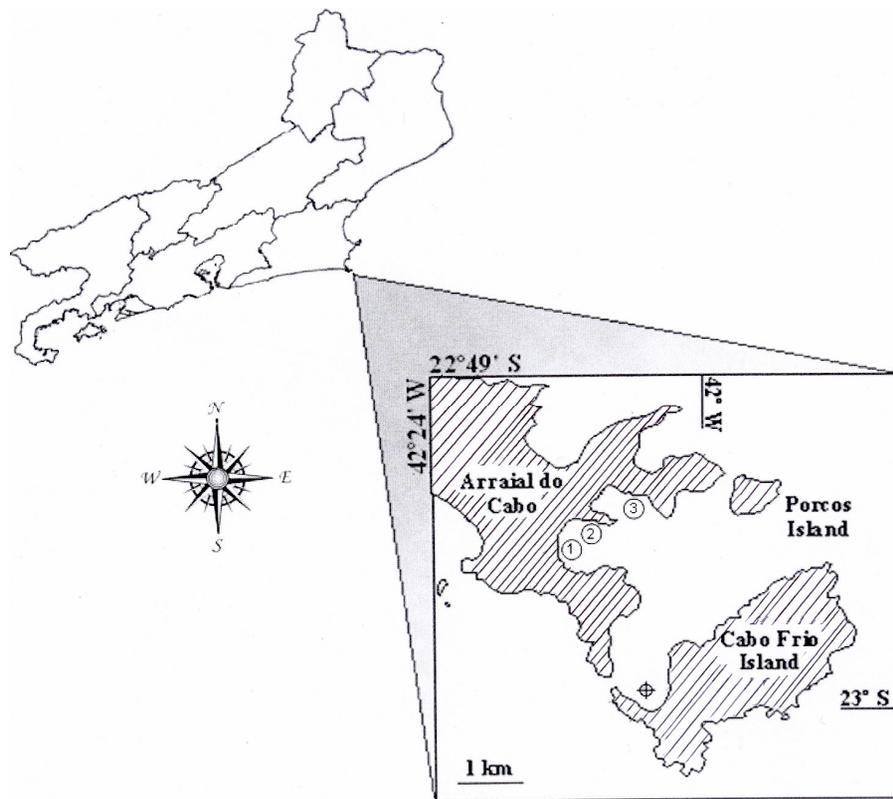


Fig. 1. Rio de Janeiro state and the Anjos Bay in Arraial do Cabo. 1 is a point of episodic sewage discharges; 2 a small harbor and 3 has a small long-lines mussel farm. is the CytoBuoy fixed monitoring point in the Cabo Frio Island.

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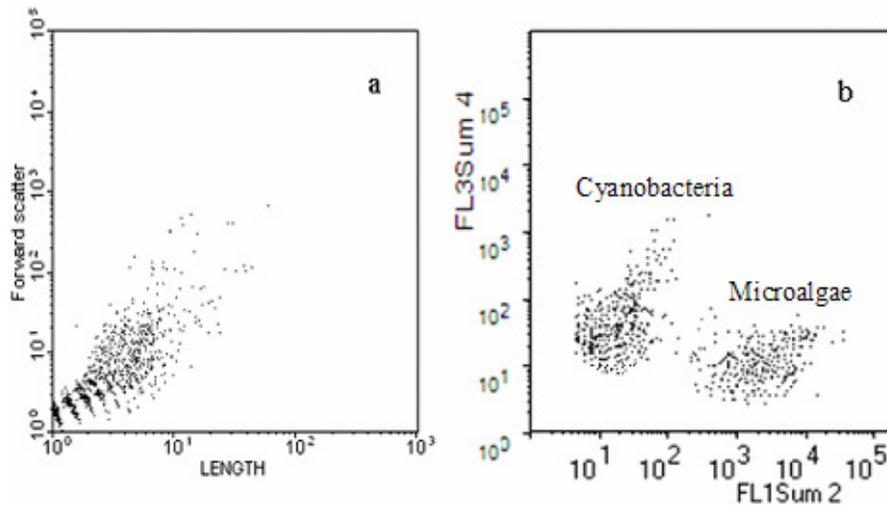


Fig. 2. Representative real time cytograms of CytoBuoy flow cytometry. In **(a)** all suspended particles according to its length and forward scatter showing well defined groups of small particles becoming rare and spreaded. It gives an idea of the abundance and size distribution in the system. In **(b)** the total autotrophs (microalgae and cyanobacteria containing-phycoeritrin) showed by their red (FL1Sum2) and yellow-green (FL3Sum4) fluorescences subtracted from the total prokaryote nucleic acid stained.

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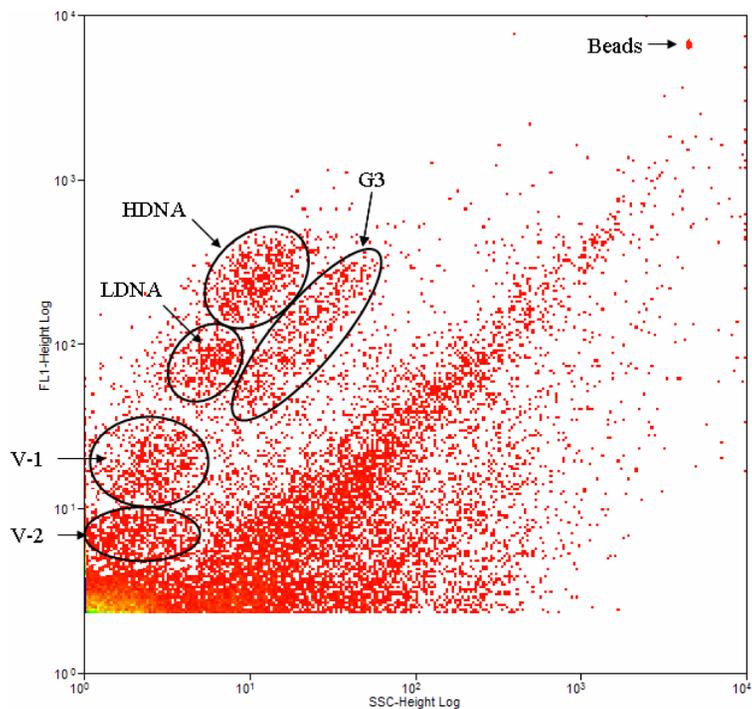


Fig. 3. One of the FACScan cytograms of upwelled waters after SYBR Green I nucleic acid staining and 0.92 μm beads addition. LDNA refers to low fluorescence intensity, HDNA is high fluorescence intensity, G3 is a third spreaded group of prokaryotes with different fluorescence properties. V-1 is a morphologically diverse group of viruses that infect phytoplankton and V-2 is considered bacteriophages.

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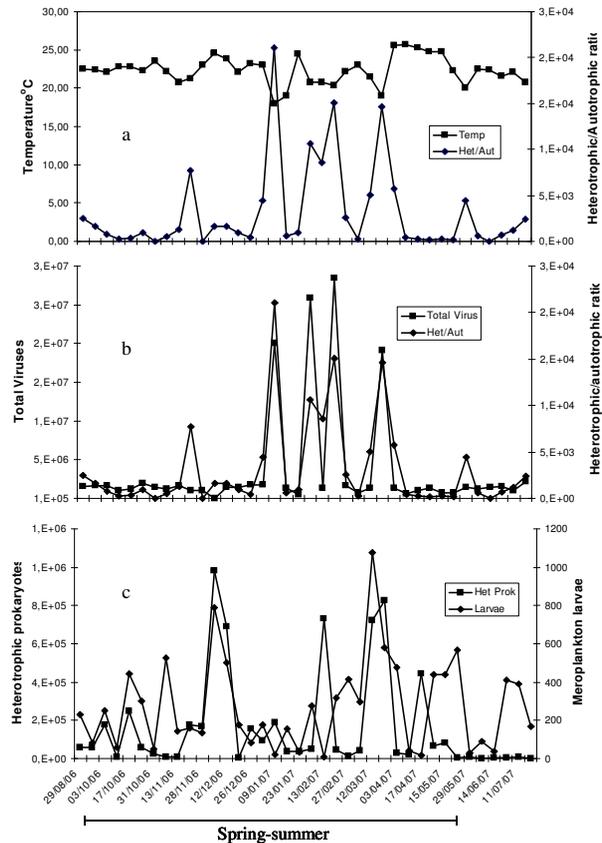


Fig. 4. Heterotrophic/Autotrophic ratio. In (a) it is plotted against temperature, in (b) it is plotted with total virus enumeration (V-1 + V-2) and in (c) the abundance of heterotrophic prokaryotes against the total of meroplankton larvae.

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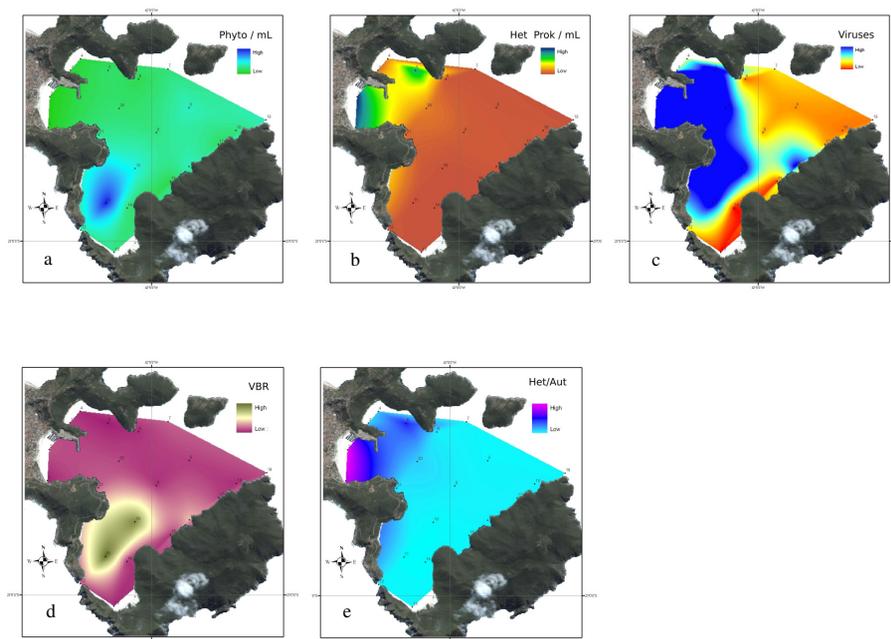


Fig. 5. Spatial distribution of the studied variables measured by flow cytometry within the Anjos Bay. Panel (a) phytoplankton, (b) presents the heterotrophic prokaryotes, in (c) the virus community, (d) presents the viral/bacterial ratio (VBR), and (e) shows the heterotrophic prokaryote/phytoplankton ratio (Het Prok/Phyto).

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