# Spatial distribution of soil organic carbon stocks in France

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## Abstract

Soil organic carbon plays a major role in the global carbon budget, and can act as a source or a sink of atmospheric carbon, whereby it may influence the course of climate change. Changes in soil organic soil stocks (SOC stocks) are now taken into account in international negotiations regarding climate change. Consequently, developing sampling schemes and models for estimating the spatial distribution of SOC stocks is a priority. The French soil monitoring network has been established on a $16\,km \times 16\,km$ grid and the first sampling campaign has recently been completed, providing circa 2200 measurements of stocks of soil organic carbon, obtained through an in situ composite sampling, uniformly distributed over the French territory.

We calibrated a boosted regression tree model on the observed stocks, modelling SOC stocks as a function of other variables such as climatic parameters, vegetation net primary productivity, soil properties and land use. The calibrated model was evaluated through cross-validation and eventually used for estimating SOC stocks for mainland France. Two other models were calibrated on forest and agricultural soils separately, in order to assess more precisely the influence of pedo-climatic variables on soil organic carbon for such soils.

The boosted regression tree model showed good predictive ability, and enabled quantification of relationships between SOC stocks and pedo-climatic variables (plus their interactions) over the French territory. These relationships strongly depended on the land use, and more specifically differed between forest soils and cultivated soil. The total estimate of SOC stocks in France was $3.260 \pm 0.872\,PgC$ for the first 30 cm. It was compared to another estimate, based on the previously published European soil organic carbon and bulk density maps, of $5.303\,PgC$. We demonstrate that the present estimate might better represent the actual SOC stocks distributions of France, and consequently that the previously published approach at the European level greatly overestimates SOC stocks.

# 1 Introduction

The increasing concentration of greenhouse gases in the atmosphere has led to the need for reliable estimates of the amounts of organic carbon that might be sequestered by soils (Batjes, 1996; Eswaran et al., 1993; Lal, 2004; Paustian et al., 1997; Post et al., 1982; Saby et al., 2008a; Schlesinger, 1991).

Indeed, the organic matter contained in the earth's soils is a large reservoir of carbon (C) that can act as a sink or source of atmospheric $CO_2$. The world's soils represent a large reservoir of C of about $1500\,\mathrm{PgC}$ (Batjes, 1996; Eswaran et al., 1993; Post et al., 1982). Accurate estimates of this pool are needed, however their reliability depends upon suitable data in terms of organic carbon content and soil bulk density and on the methods used to upscale point data to exhaustive spatial estimates. Therefore, precise assessments of soil organic carbon stocks (SOC stocks) based on measurements over large areas are rather few because systematic sampling scheme including soil organic carbon (SOC), bulk density and rock fragment content are quite rare (Morvan et al., 2008) and because large levels of SOC spatial variability require very high sampling density to get accurate estimates (Bellamy et al., 2005; Saby et al., 2008b). Several approaches involving empirical models to upscale SOC point measurements to the national level are found in the litterature. These approaches range from simple statistics or pedotransfer rules, relating SOC contents or stocks to soil type (Yu et al., 2007) or soil type and land use (Tomlinson and Milne, 2006; Arrouays et al., 2001), to multivariate statistical models (Meersmans et al., 2008, with multiple linear models and Yang et al., 2008, with generalized linear models). Recent works involved technics coming from the data mining and machine learning field with piecewise linear tree models (Bui et al., 2009) or multiple regression trees for regional studies (Grimm et al., 2008; Lo Seen et al., 2010). Despite the spatial dimension of such studies, few geostatistical approaches were proposed for working at the national scale (see althought Chaplot et al., 2009), mainly because of the difficulty to include the effect of the different drivers of SOC dynamics in geostatistical models.

Jones et al. (2005) developed a methodology for estimating organic carbon concentrations (%) in topsoils (octop) across Europe and recently published a map of SOC stocks by country. The

information is available as a database which can be downloaded from the EU-soils web site (http://eusoils.jrc.it). This methodology, based on pedotransfer functions, gave results which were validated using data from England and Wales and Italy (Jones et al., 2005). However, the match between country level estimates of SOC stocks using this method and estimates based on national databases depends on the country and may sometimes be poor. For instance, SOC stocks for the first 1 m in Denmark was estimated to vary from 0.563 to 0.598 PgC, among which 60% is found in the 0–28 cm layer (Krogh et al., 2003). Thus, the amount can be rescaled to 0.338 to 0.359 PgC, for the first 28 cm layer, when the Joint Research Center(JRC)'s estimate is 0.6 PgC for only the first 30 cm (Hiederer, 2010). The issue of accurately assessing SOC stocks, at the country level, is critical because they are used as input for studies about the impact of future land use changes or climate change on SOC stocks dynamics and potential greenhouse gases (GHG) emissions (Chaplot et al., 2009). For instance, they may be used for defining the baseline state for SOC change simulations (van Wesemael et al., 2010) or setting some of the models' parameters (Tornquist et al., 2009). In this paper, we apply a new methodology named boosted regression trees (BRT), already successfully applied in India (Lo Seen et al., 2010), to predict the geographical distribution of SOC stocks in metropolitan France from a set of 1.974 paired observations of SOC and bulk densities. We examine the effects of the main controlling factors of SOC stocks distribution. We estimate the uncertainty of our national estimate and compare the results with those previously obtained by Arrouays et al. (2001) and Hiederer (2010) on the same territory.

## 2 Materials and method

### 2.1 Data

#### 2.1.1 Site specific soil and agricultural data

Soil Organic Carbon Stocks were computed for a set of 1.974 sites from the French soil survey network (RMQS), for which analytical data was available (Fig. 1). This dataset covered a broad

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spectrum of climatic, soil and agricultural conditions. In the near future, the RMQS will cover the entire metropolitan France. The network is based on a $16\,\text{km} \times 16\,\text{km}$ square grid and the sites are selected at the centre of each grid cell resulting in about 2.200 soil sampling sites. In the case of soil being inaccessible at the centre of the cell (i.e. urban area, road, river, etc.), an alternative location with a natural (undisturbed or cultivated) soil is selected as close as possible, but within 1 km from the centre of the cell (for more information, see Arrouays et al., 2002).

At each site, 25 individual core samples were taken from the topsoil (0–30 cm) using a hand auger according to a stratified random sampling design within a $20\,\text{m} \times 20\,\text{m}$ area. Individual samples were mixed to obtain a composite sample for each soil layer. Apart from composite sampling, at 5 m from the south border of the $20\,\text{m} \times 20\,\text{m}$ area, a soil pit was dug, from which main soil characteristics were described and 6 bulk density measurements were done, as described previously (Martin et al., 2009). From these data, SOC stocks were computed for the 0–30 cm soil layer.

$$\text{SOCstocks}_{30\text{cm}} = \sum_{i=1}^{n} p_i \text{BD}_i \text{SOC}_i (1 - \text{CE}_i) \tag{1}$$

Where $n$ is the number of soil horizon present in the 0–30 cm layer, $\text{BD}_i$, $\text{CE}_i$ and $\text{SOC}_i$ the bulk density, percentage of rock fragments (relative to the mass of soil) and the SOC concentration (percent) in these horizons, and $p_i$ the fraction of the horizons to take into account to reach the 30 centimetres.

Field observations were used to assign land use categorical values to the RMQS sites. Land cover was described using a 3 levels classification, similarly to what is done for the Corine Land Cover maps (Feranec et al., 2010). The level 1 (L1) land covers include various crops (1), permanent grasslands (2), woodlands (3) orchards and vineyards, shrubby perennial crops (4), wasteland (5), specific natural systems (6) and parks and gardens (7). The levels 2 and 3 refine level one. For instance, for specific woody surfaces, one could find the following description: woody surface (L1), forest (L2) and coniferous forest (L3). The number of classes was 7, 22 and 41 for the L1, L2 and L3 levels, respectively.

Soil moisture regime was also described using two variables *wlogging* and *wregime*, which

were used as predictors for SOC stocks. *wregime* indicates soil moisture regime resulting from field observations (by soil scientists). It is described according to 6 classes, depending on the saturation degree and its timing : "permanently saturated ", "saturated every day", "saturated for some part of the year ", " continuously moist", "dry for some part of the year", " continuously dry". *wlogging* describes the origin of waterlogging (perched water table, groundwater, springs and resurgences, submersion), according to field observations.

### 2.1.2 Net Primary Productivity data

The Moderate Resolution Imaging Spectroradiometer Net Primary Productivity (MODIS NPP, $gC\,m^{-2}\,y^{-1}$) was used to get NPP estimates at each of the RMQS sites. MODIS NPP data are made of $926 \times 926\,m^2$ resolution raster images. The MODIS algorithm uses the near-infrared wavelength to estimate the normalized difference vegetation index (NDVI), used in turn to estimate the daily gross primary production, the daily net photosynthesis and finally the annual net primary productivity. The estimation involves constants depending on the vegetation type, such as the active radiation conversion efficiency coefficient (Running et al., 2004). Thus the MODIS NPP data are to be used with corresponding MODIS Land Use raster images, since the NPP estimate depends on the vegetation type. The method for estimating a NPP value at the RMQS sites consisted of a three steps procedure. For each RMQS site, first, pixels from the MODIS layer not matching the land use of the RMQS site where excluded. Second, mean and standard deviation of NPP values of pixels with matching land cover (i.e. not hidden in the previous step) and not distant of more than a limit distance ($d_{lim}$) were computed. Four $d_{lim}$ were tested, in {5, 10, 20, 30} km. Third, $d_{lim}$ resulting in the highest mean/standard deviation of NPP values was selected. The estimate of NPP at the RMQS site was the mean of MODIS NPP values for the selected $d_{lim}$. Prior to applying this procedure, MODIS land covers were reclassified to match the RMQS land cover classification (L1).

### 2.1.3 Climatic data

Available climatic data were monthly precipitations (mm/month), potential evapotranspiration (PET, mm/month), and temperature (°C) at each node of a $12 \times 12$ km² grid, averaged for the 1992–2004 period. These climatic data were obtained by interpolating observational data using the SAFRAN model (Quintana-Segui et al., 2008), which was initially designed for providing an analysis of the atmospheric forcing in mountainous areas for the avalanche forecasting. The RMQS site specific data were linked to the climatic data by finding for each RMQS site the closest node within the $12 \times 12$ km² climatic grid. This grid was also used in turn as climatic data input when applying the BRT model to the whole territory. Elaborated agro-pedo-climatic variables were also derived from the rough data : we used temperature and soil moisture mineralization modifiers, as modelled in the RothC model (Coleman et al., 1997). The mineralization modifier related to temperature (*a*) was estimated directly from temperature data from the $12 \times 12$ km² climatic grid. The mineralization modifier related to soil moisture (*b*, function of clay, land use and climatic data) was estimated differently for point data (observations at the RMQS site) and the continuous spatial layers used for interpolation. For point data, we combined rainfall and PET data obtained from the climatic grid, with site observation of land use and clay content. Continuous spatial layers of *b* were obtained by combining the climatic grid, the spatial layers for land use and clay content (see section 2.2). *b* was then calculated within each homogeneous spatial unit regarding climate, land use and clay content. The RothC modelling of the influence of water content, *b*, onto the mineralization of SOC is applicable for soils that are both non-waterlogged soils (Coleman et al., 1997) and not organic organic (Yokozawa et al., 2010). We did not check for the first criteria since the use of other predictors such as *wlogging* and *wregime* gave the possibility to the statistical model to minimize the influence of *b* for specific values of *wlogging* or *wregime* where the RothC modeling would not have been relevant. Regarding the second criteria, following the World Reference Base system, organic soils (histosols) are characterized by organic matter contents above 30% for the first 30 cm (Isss-Isric-Fao, 1998). Our dataset contained only 1 such soil. Hence we did not make specific treatment for this single individual, taking into account the robustness, to the presence

of outliers in the dataset, of the statistical models used in this study.

## 2.2 Spatial layers used for interpolation

Soil spatial coverage was obtained from the 1/1 000 000 European soil map. Land use data was taken from the TERUTI (Utilisation du Territoire) survey (Chakir and Parent, 2009) provided
5 by the statistical center of the ministry of agriculture (SCEES). This survey comprises 150 000 observational locations where the land use is recorded. The same locations were surveyed yearly between 1992–2004 to determine the land cover and the land use. The survey provides with instant distribution of the land uses as well as temporal transitional data from one land use to another. The 2004 recordings of land use distribution were used for estimating the SOC
10 stocks distribution. Prior to this, TERUTI data have been reclassified to match a classification adapted from the Intergovernmental Panel on Climate Change (IPCC) reporting guidelines (see legend of Fig. 2).

## 2.3 Boosted Regression Trees (BRT) Modelling

Boosted regression trees belong to the Gradient Boosting Modelling (GBM) family. GBM is
15 one among many methods to solve the predictive learning problem where the objective is to estimate the function F that maps the values of a set of predictor variables $x = \{x1, .., xp\}$ into the values of the output variable $y$, by minimizing a specified loss function $L$. It uses one particular approach to prediction, i.e. classification and regression trees (Breiman et al., 1984), that is extended using a powerful learning technique called boosting (Freund and Schapire,
20 1996). Boosting methods are generally applied to significantly improve the performance of a given estimation method, by generating instances of the method iteratively from a training data set and additively combining them in a forward "stagewise" procedure. BRT uses a specialized form (for regression trees) of the Stochastic Gradient Boosting (Friedman, 2001). A thorough description of the method is given in Friedman (2001) and a practical guide for using it in Elith
25 et al. (2008).

BRT is known to have improved accuracy compared with simple regression trees, thanks

to its stochastic gradient boosting procedure aiming at minimizing the risk of over-fitting and improving its predictive power (Lawrence et al., 2004). The algorithm enabling fitting the model to the data is an iterative process. At each iteration, individual regression trees, which will compose the final BRT model, are fitted on a fraction (namely the bag fraction) of the dataset sampled without replacement. The main parameters for fitting BRT (boosted regression trees) are the learning rate and the tree size, also known as interaction depth. The learning rate ($lr$), sometimes called shrinkage parameter, is the constant coefficient determining the influence of the individual trees combination that forms the final BRT model. The second important parameter is the tree size ($ts$). It gives the size of individual regression trees. When $ts$ is one, each individual tree is made of a single node, thus modeling the effect of only one predictor variable. Then, the final additive model separately includes the effects of the predictor variables and the interactions between variables are not explicitly taken into account. When $ts=i$ and is strictly greater than one, each individual tree models the interaction of at least two predictor variables. This enables the use of models taking into account $i$th order interactions between predictor variables. The ability to represent interactions between predictor variables without a priori knowledge is one of the advantages of BRT and more generally of regression trees. Two other important parameters are the minimum number of observations in the terminal leaves of the trees (min.obs) and the bag fraction (bf).

The contribution of predictor variables are assessed using a variable importance index (VIM), based on the number of times a given variable is selected for splitting individual trees weighted by the square improvement to the model as a result of these trees, summed over all the individual trees (Friedman and Meulman, 2003).

The nature of the dependence between the predictors and the response variable can be assessed by using average or partial dependence plots (Hastie et al., 2001). Put it briefly, they represent the effect of a set of selected predictors (usually 1 to 3) on the modelled response variable after accounting for the effects or the remaining (not selected) predictors.

The BRT models were fitted and used for prediction using the "gbm" R package (Ridgeway, 2006). The stopping criterion for choosing the best iteration when fitting a BRT model was the cross validation method under "gbm" (with cross-validation folds set to 5), since this method

was shown to be the most efficient one (Ridgeway, 2006) amongst the ones available in the "gbm" package. In this study, whatever the BRT parameters' value, the maximum number of allowed iterations was set so that the choice of the model's best iteration did not depend on it. We undertook a tuning procedure for finding out the best combination of these parameters as in Martin et al. (2009).

### 2.3.1 Models of SOC stocks

Three models of SOC stocks were tested, for prediction on the 0–30 cm layer. Two models using all available predictors, among which one aimed at explaining SOC values on forest lands (*F* model), and the other one in cultivated areas (*Cult* model). The third model used only predictors available at the national scale and was applied to prediction at this scale. This model was fitted on the 0–30 cm stocks making up one additional model used for interpolation (*Extra* model).

The *F* model was fitted on sites under forest (421 sites) and the *Cult* model on cultivated sites (1398 sites) only. This was done in order to facilitate models results interpretation and also because SOC stocks variability is known for being much more important in forest lands compared to cultivated land (Saby et al., 2008b).

The predictors used for each model were:

- the *Cult* model: *lu1*, *lu2* and *lu3* (land use coded according to, respectively, the L1, L2 and L3 RMQS land cover classifications), *clay, silt* (%), *rf* (rock fragments, mass percentage), potential evapotranspiration (*pet*, mm/month), *rain* (mm/month), *ph, wregime* (water regime), *wlogging* (water-logging), the two RothC mineralization modifiers, *a* and *b* and the net primary productivity *npp* (gC m$^{-2}$ yr$^{-1}$).

- the *F* model shared the same set of predictors except for *lu1* which was excluded since it exhibited only one level for forests.

- the *Extra* model: *lu_ipcc* (land use classification adapted from the IPCC guidelines, 2006), *clay, pet, rain, temp, a, b* and *npp*.

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### 2.3.2 Validation procedure

The BRT models were validated in two ways. The first procedure involved fitting the models to the full dataset (with a restriction regarding the land use for the *C* and *F* models) and validating model predictions on this dataset. The second involved using cross-validation. The first proce-
⁵ dure enabled to estimate the quality of the fit of the models of C prediction. Only the second validation procedure, which involved validation against independent data, enables to estimate the predictive power of the proposed models.

In both procedures, comparison between observed and predicted values of SOC stocks was carried out using several complementary indices as it is commonly suggested (Schnebelen et al.,
¹⁰ 2004): the mean prediction error (MPE), the standard deviation of the prediction error (SDPE), the root mean square prediction error (RMSPE) and the prediction coefficient of determination ($R^2$) measuring the strength of the linear relationship between predicted and observed values.

The second validation procedure was done following principles similar to K-fold cross-validation, enabling us to perform what will be referred to in the following as external vali-
¹⁵ dation. 90% of the individuals was drawn randomly without replacement from the dataset and used as the training dataset. Validation was done on the remaining 10% of individuals (external validation). This procedure was repeated 1000 times, which provided robust results. External validation was used as a way to explore the predictive power of the resulting model for previously unseen data. In the following, the MPE, SDPE, RMSPE and $R^2$ indices, computed
²⁰ through this external validation, are adjoined the ext suffices (i.e. $MPE_{ext}$, $RMSPE_{ext}$ and so forth). Enclosing indices with the $<$ and $>$ signs indicates that the median value over the 1000 trials is given (for instance $<MPE_{ext}>$). $RMSPE_{ext}$ resulting from cross validation were also estimated as a function of SOC stocks values. This enabled us to refine the estimation of uncertainty related to the estimation of the spatial SOC stocks. The error on the SOC stocks estimate
²⁵ for the whole territory was obtained by summing the errors on each elementary spatial unit:

$$\Delta SOCstocks = \sum_{j=1}^{m} S_j RMSPE(SOCstocks_j) \tag{2}$$

where $\Delta$SOC stocks is the global error, $S_j$ is the surface of the elementary spatial unit j, SOC stocks$_j$ its estimated SOC stocks and RMSPE() the function relating the predicted SOC stocks to the model error (eq. 2).

### 2.3.3 Parameter settings for BRT models

5  Although some general recommendations exist for setting the values for tree size, learning rate, minimum number of observations in the terminal nodes values and bag fraction, a tuning procedure was run, because, in practice, as for single regression trees, optimum values may depend on the dataset (Lilly et al., 2008). The *bf* parameter was set to 0.75 and we tested different *ts*, *lr*, *min.obs* values chosen according to recommendations found in the literature

10  (Lilly et al., 2008; Ridgeway, 2006). This tuning procedure was carried out as in (Martin et al., 2009). The resulting parameter values are given in Table 1.

Selecting these parameter settings for each of the models was a preliminary step in the study. We then assumed that these settings could be applied to all subsequent fits. They were thus used in turn for producing all the results displayed in the paper, i.e. regarding (i) the BRT models'

15  performance on the full dataset and (ii) the predictive performance tested against independent data.

## 3  Results

### 3.1  Observed SOC stocks

The SOC stocks depended greatly on the land cover type (Fig. 2). Highest values were observed

20  for the forest, grasslands and wetlands (only two observations though). On the first 30 cm, the stock in forest (mean SOC stocks of 7.00 kg/m²) was less than under permanent grassland (mean SOC stocks of 7.57 kg/m²) with comparable standard deviation (3.42 and 3.51 kg/m², respectively). Dispersion of values on cultivated areas, excluding permanent grasslands was low (1.85 kg/m²) compared to permanent grasslands and forest lands. Lowest SOC stocks values

were observed for vineyards (mean SOC stocks of 3.2 kg/m²) and some uncultivated coastal areas (mean SOC stocks of 2.42 kg/m²).

## 3.2 Goodness of fit and predictive performance

General indices of agreement of the models prediction and the observed data (MPE, SDPE, RM-
5  SPE, $R^2$), are given in Table 2. BRT models yielded good results when fitted on and validated against the full dataset (internal validation). The fit was best for the Cult model, with $R^2$ value of 0.91 and RMSPE value of 0.934 kg/m². The prediction was worse on forest soils, where the *F* model yielded 0.74 and 1.910 kg/m² values for $R^2$ and RMSPE, respectively. For the three models, MPE was negligible indicating models with low precision and high accuracy. Ranking
10  of the models performance using cross-validation was the same as according to validation on the dataset used for learning. The *Extra* model, developed for prediction on soils under any kind of land use yielded $<R^2_{ext}>$ value of 0.5 (with 95% confidence interval of [0.386, 0.613]) and $<RMSPE_{ext}>$ of 2.271 kg/m² ($CI_{95\%}$ of [1.862, 2.68] kg/m²). $<MPE_{ext}>$ values, representing the bias, were on average low, if not negligible and reached $-0.002$ kg/m² for the *Extra* model.
15  For this model, the $CI_{95\%}$ for $<MPE_{ext}>$ was large ([$-0.348$, 0.344] kg/m²) indicating that some models, depending on the sub-dataset used for fitting produced significantly biased predictions on the sub-dataset used for validation. This model underestimated SOC stocks for low observed SOC stocks and overestimated SOC stocks for high observed values (Fig. 3). The best of the three models, when validated using cross-validation was the *Cult* model, with a $<R^2_{ext}>$
20  value of 0.54 ([0.393, 0.688]) and $<RMSPE_{ext}>$ of 2.046 kg/m² ([1.557, 2.536] kg/m²).

The analysis of the *Extra* model's error (Fig. 4) indicates a positive correlation between the observed C stock value and the $<RMSPE_{ext}>$, estimated within C stock classes. Expected $<RMSPE_{ext}>$ lies between 1 and 3 kg/m² for SOC stocks belonging to the [2, 14] kg/m² range. Uncertainty on the error estimate itself can be computed and the results, as shown on Fig. 4,
25  indicates $<RMSPE_{ext}>$ values under 8 kg/m² for SOC stocks below 15 kg/m². Above this threshold, mean $<RMSPE_{ext}>$ as well as the upper limit of the confidence interval rises indicating a very high uncertainty of the results in the model's prediction. CI95% could not be computed above 18 kg/m² because of the rarity of such high observed values.

### 3.3 Variable relative influence

The computation of the VIM values associated to the predictors for the three models (Table 3) indicates a strong influence of clay content. This predictor ranks second for the *Cult* model and first for the *F* and *Extra* models. Rain is consistently ranked in the four most important
5 predictors. For the Cult and *Extra* models, the land use appears to be important for predicting the SOC stocks. The fit of the *Cult* model showed that it is worth using a detailed description of the land use, since the *lu2* and *lu1* predictors had a negligible importance, whereas the *lu3* predictor had the most important VIM index. However, for the *F* model, the *lu3* variable, which in this case represent the kind of forest considered had a very low variable importance index.
10 The VIM index value for rock fragments was more important for the *F* model than for the *Cult* model, and was ranked fourth. On the *F* model, the npp values computed on each RMQS site ranked fifth. On the *Cult* and *Extra* models, the temperature, best represented by the transformed *a* variable ranked 3 and 4, respectively. Temperature exhibited a limited importance for the *F* model, as *pet* did whatever the model.

### 15 3.4 Map of soil organic carbon stocks

The total stock for France (0–30 cm) computed on the $12 \times 12$ km² grid was 3.242 PgC for a surface of 541 060 km². The total surface represented by the grid is slightly smaller than the actual mainland French territory (543 965 km²). The total stock for the French mainland territory could thus be rescaled to 3.260 PgC. Estimated uncertainty was 0.872 PgC (eq. 2). Predicted
20 SOC stocks ranged from 2.0 to 15.8 kg/m² over the French territory. The highest stocks were observed in mountaineous areas (Alps, Jura, Massif Central and Pyrénées), in Brittany and in parts of Lorraine regions (Fig. 5).

The comparison of empirical cumulative distribution function (ecdf) between the observed SOC stocks on RMQS sites, and the surface estimate from the *Extra* model reveals several as-
25 pects of the spatial prediction quality (Fig. 3). It shows that although the *Extra* model managed to reproduce the distribution of the observed values, when applied to the whole territory, the resulting distribution exhibits a narrow range of predicted values. The variability on the pre-

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dicted map was smaller than on observed or predicted SOC stocks values on RMQS sites, but the distributions were entered around close median values.

## 4 Discussion

### 4.1 Validity of the estimate

5 The total SOC stocks estimate was in good agreement with a previous estimate (3.1 PgC on a soil mass equivalent to 30 cm under forest, (Arrouays et al., 2001)). However, it disagreed with the estimate based on the organic carbon content layer available at the European level (Jones et al., 2005) of 5.0 PgC for the first 30 cm (Hiederer, 2010). We recalculated this estimate by combining JRC's octop layer (1 km × 1 km resolution, Jones et al., 2005) and a spatial layer of
10 bulk density (10′ × 10′ grid, Smith et al., 2005) in topsoils (0–30 cm). Adjusting the resolution of the octop and bulk density layers to the resolution of our 12 km × 12 km grid was done using the ARCGIS zonal statistics algorithm for the SOC content and a weighted mean procedure for the bulk density layer. Our global estimate using these data layer was 5.303 PgC. This values lies outside the interval defined by taking into account the uncertainty associated to BRT model
15 (±0.872 PgC). The magnitude of the overestimation related to the JRC's European SOC content layer matched the one found by Dendoncker et al. (2008) at a much smaller scale for a small area of southern Belgium. Assuming that because of its systematic sampling scheme, the RMQS dataset is representative of the French territory, its cumulative distribution of SOC stocks can be used as a reference of SOC stocks in France. Figure 3 showed that the distribution resulting
20 from the processing of JRC data consistently overestimated the SOC stocks. On the other hand, the *Extra* model spatial estimate was unbiassed but the occurrence of high SOC values (above 8 kg/m²) was much lower than for the distribution on RMQS sites. This discrepancy was not observed for values below the SOC median value (circa 5 kg/m²). Thus the total estimated SOC stocks might underestimate the real SOC stocks for France but according to Fig. 3 the absolute
25 error of the estimate provided here was less than the one observed with the JRC data. The comparison between the empirical cumulative distribution function of observed RMQS SOC

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stocks and the one provided by the *Extra* model suggests that the distribution tails are poorly represented, i.e. that the extreme SOC stocks values where not predicted correctly by the model. This is likely to result from the spatial distribution of the predictors, since the model managed to predict extreme values when applied to the RMQS sites. The fact that there was (not shown here) a similar difference for clay, the most important predictor in the *Extra* model, between the ecdf of the spatial layer and the one of RMQS sites, supports this statement.

It can be argued that the resolution of the native datasets (especially for the SOC content layer of the JRC, $1\,\mathrm{km} \times 1\,\mathrm{km}$) are very different from the one presented in this paper. The aggregation of the data up to the $12\,\mathrm{km} \times 12\,\mathrm{km}^2$ may explain locally some of the differences with the estimate provided by the BRT model. However, at the national scale, i.e. when summing the SOC stocks over the whole map, the aggregation itself is not expected to explain much of the difference observed here. More likely, the difference between methodologies, come from SOC and bulk density estimates themselves. The JRC SOC content estimate results from pedotransfer rules fitted on the European soil database (at a scale of 1:1 000 000) and validated on England, Wales and Italy only. Bulk densities have been estimated using pedotransfer rules as well. On the contrary the present estimation relies on a model fitted and validated against a systematic sampling scheme ($16\,\mathrm{km} \times 16\,\mathrm{km}$ resolution) with both SOC content and bulk density measurements.

$CO_2$ emissions from soils are often modelled as a function of the product between the current SOC stocks and mineralization rates (as in the RothC model). As a result, simulating $CO_2$ emissions for France under diverse scenarios can potentially result in very different estimates of emissions, whether a $3.260\,\mathrm{PgC}$ or a $5.303\,\mathrm{PgC}$ is considered as being the baseline SOC stocks value. Consequently, studies regarding SOC changes at the national scale such as in Smith et al. (2005) or Zaehle et al. (2007) could benefit from such improvements of SOC distribution estimates through the use of data from soil monitoring networks (SMNs).

SMNs can help refine estimates of SOC dynamics too by providing better starting soil C values for model initialisation, and for testing models against measured change in SOC. Conversely, the performance, of SMNs themselves, for detecting long term SOC change trend has recently been demonstrated (Saby et al., 2008b), using estimates of SOC spatial distributions (in that

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case the JRC's SOC content map, Hiederer et al., 2004). Thus, more accurate estimates of SOC distributions could in turn improve the assessment of SMNs performance.

The uncertainty estimated for the BRT model results from the application of the uncertainty function depending on SOC stocks values provided by the cross validation trials (Fig. 4). The
5   fitted model is characterized by very high uncertainties for SOC stocks values above 15 kg/m². Uncertainty on this estimate itself starts to increase notably from 11 kg/m², making it difficult to draw any conclusion about the validity of the model for such SOC stocks values. On the contrary for values under 11 kg/m², the value of the uncertainty of predicted SOC stocks values can be accurately known. The model error ($<RMSPE_{ext}>$) is comparable to results of other
10  study studies based on different statistical techniques but among the few providing an assessment of model predictions based on cross-validation. Different geostatistical models yielded a estimate of 4.54 ± 0.74 PgC for Laos (Phachomphon et al., 2010) and a RMSE of 2.89 kg/m² when mapping 0–50 cm SOC stocks for the Indiana state (Mishra et al., 2009). The quality of the fit was better than for recent studies applying generalized linear models to the prediction of
15  SOC stocks in Tibetan grasslands and explaining 73% of the variation of SOC densities (Yang et al., 2008), to be compared to the $R^2$ of 0.73, 0.74 and 0.91 of the *Extra*, *F* and *Cult* models presented here. On the RMQS dataset, the SOC stocks values above 15 kg/m², which could be considered outside the validity domain of the BRT model are rare (2% of the RMQS sites display SOC stocks values above 15 kg/m², Fig. 3). The predicted distribution of SOC stocks
20  includes a negligible fraction of SOC stocks above 15 kg/m² (below 0.01%), and consequently such spatial units, where estimated uncertainty is high, have a negligible impact on the global uncertainty related to the national SOC stocks prediction (0.14%).

## 4.2   Relative importance of the predictors

### 4.2.1   Effect of the land use

25  Discrepancies between the *Cult* and the *F* models might give an estimate on how agricultural practices, both in grassland and arable lands, determine the relationships between pedo-climatic variables and SOC stocks, compared to forest systems. For instance, the lesser importance of

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soil pH for the *Cult* model might have resulted from the influence of some agricultural practices onto this chemical parameter. Similarly, it was possible to show (not display here) that the effect of clay depended on the land use and was attenuated for croplands. This might be explained by the fact that farmers have, for crop cultivation for instance, the chance of mitigating the

5 influence of an unfavourable water budget, related to low clay contents, by tuning the cultivation calendar or the irrigation timing. More generally, the *F* model performed much worse than the *Cult* model ($R^2_{\text{ext}}$ are 0.36 and 0.58, respectively). This means that the SOC stocks under forest have a great amount of variability that remained unexplained by the set of variables that were included in the model. The VIMs of predictors related to the land use showed that if in

10 some case a detailed land use description is relevant (predictor *lu3* in model *Cult*), a coarser description (i.e. *lu_ipcc* in model *Extra*) is still valuable for predicting SOC stocks and of the same importance as information about the clay content (Table 3).

### 4.2.2   Effect of the soil properties

The modelled effect of clay onto SOC stocks was monotonic increasing (Fig. 6, (a)). This

15 expected effect may result from several processes. The most commonly cited is the physical interaction, mediated by various soil elements and biological activity, between the clay materials and organic compounds (Arrouays et al., 2006; Chaplot et al., 2009). It tends to protect soil organic matter (SOM) from decomposition (Liao et al., 2009).

The soil moisture has been reported, as well in field experiment as on large scale statistical

20 surveys (Bauer et al., 2008; Meersmans et al., 2008) to influence the SOM decomposition and consequently the observed SOC stocks. The modelling of soil moisture regimes, as it is done within the RothC model (predictor *b*), proved to be pointless for our dataset as it was of much less importance than variables such as *rain* or *clay* alone (Table 3). Surprisingly, the inclusion of water content variables (*wlogging* and *wregime*) resulting from field observations, did succeed

25 even more poorly. There might be several reasons for this, mainly coming from the available dataset. In many cases (25%) this information was missing, which decreases the final VIM of this variable in the fitted models. Secondly, the water regime was available at the whole profile level only and might not have been representative of the first 30 cm. Thirdly, this water regime

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was informed based on the observation at the sampling time, and, again, might not have been representative of the water regime across the year.

### 4.2.3   Effect of the climatic variables

The relationship between climatic variables and amount of organic carbon in soil is also well
known, and again, is linked to the effect of these variables onto plant productivity on one side,
and soil carbon decomposition on the other. The effect of these variables, as they are measured
here (rain, PET, above ground temperature), is mediated by soil properties and the vegetation
cover. As such, the *rain* predictor was consistently one of the most important one. The effect
of temperature (predictors *temp* and *a*), which may be dependent upon other variables such as
physical protection, chemical protection, drought, flooding and freezing (Davidson et al., 2000),
was important too, but less than the effect of the rainfall. Temperature increase enhance NPP
and mineralization at the same time (Heimann and Reichstein, 2008), assuming that tempera-
ture remains below a given threshold. The trade-off between mineralization and NPP increase
determines the sign of relationship between SOC stocks and temperature. Here, the relation-
ship between SOC stocks and *a* was monotonic decreasing (not displayed here), which could
indicate that the effect of temperature onto mineralisation is, in France, more important than the
effect onto NPP.

### 4.3   Possible improvements of the models

From the current models of SOC dynamics, the influence of decomposition modifiers (here
*a*, *b*) is expected to be of same magnitude as the  estimated soil carbon inputs (Martin et al.,
2009). Nevertheless, our estimate of carbon inputs, the *npp* variable, had a low VIM value.
This demonstrated that our estimate was inaccurate. Both the resolution of the MODIS data
and algorithms used for providing NPP, and our procedure for retrieving values at our sam-
pling locations might have resulted in an irrelevant *NPP* predictor. Additional work would be
necessary for estimating more accurately SOC inputs on the RMQS sites.

Topography was not taken into account in this study. Indeed it has been shown that it is

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relevant to SOC stocks prediction. Importance of Digital Elevation Models derived variables has been demonstrated at the national (Chaplot et al., 2009) and small region scale (Grimm et al., 2008). This might be related to the redistribution processed related to soil erosion for instance. In our case this information was not readily available both at the RMQS sites locations and at
5  the national scale and thus was not included in the models.

Fe and Al oxydes, CEC are also known for being correlated to SOC stocks (Chaplot et al., 2009). Although this information was available along with SOC stocks measurements at RMQS sites, this information cannot be seen as an external variable which the SOC stocks is a function of, because these soil properties, and mainly CEC, are difficult to inform spatially, as SOC
10  stocks are for that matter. Consequently their use for predicting SOC stocks spatial distributions is limited.

The best next candidate among soil properties would be the soil pH. The spatial distribution at a national scale of this predictor, relevant for forest soils, will be accessible in a near future. Its omission in the *Extra* model led to some discrepancies between known SOC stocks distribution
15  and the modelled one. For instance, the model predicted low SOC stocks in the Landes region (south west of France), most probably because of low clay contents, whereas acid forest soils in this region are known for exhibiting higher SOC stocks values, between 8 and 14 kg/m² (Jolivet et al., 2003).

Land management and agricultural practices influence on SOC stocks has been and still is
20  currently widely studied and its role might be in some cases underestimated (Bell and Worrall, 2009). It is well established that some specific practices, such as organic matter addition (Lashermes et al., 2009), reduced tillage practices (Metay et al., 2009) or crop residues management and permanent cover crops (Rice, 2006), may influence the SOC inputs and its fate in agricultural soils. Not speaking about specific agricultural practices, including information
25  about detailed land use showed to be valuable for explaining observed SOC stocks: the VIM value of the *lu3* variable greatly outperformed those of the *lu2* and *lu1* variables, which are less informative about the land use. The inclusion of the *lu3* variable in the model used for estimating SOC stocks at the national scale was out of concern simply because spatial information with this level of detail was out of reach. Obtaining such an information is needed in order to

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refine our estimate of the spatial distribution of SOC stocks in French soils. Similarly, it could support detailed implementation of future land use changes and its consequences in terms of SOC stocks dynamics.

## 5 Conclusions

We gave in this paper a new estimate for the spatial distribution of the first 30 cm SOC stocks for France, based on the French monitoring network (RMQS). The total estimate is 3.260 ± 0.872 PgC. It was compared to another estimate based on the previously published European *octop* maps. This second estimate of 5.303 PgC was consistent with the SOC stocks published by the JRC for European countries, and much higher than the estimate provided in this paper and based on RMQS data. Two elements advocate the preferential use of this latter estimate, for instance for supporting future GHG emission studies. First, it relies on a dataset provided by a sampling scheme ensuring an efficient treatment of the spatial variability of SOC, both locally (through composite sampling) and of over a larger extend (through the use of a regular $16 \times 16$ km² grid). The RMQS sampling protocol is also one of the few, at the European level, providing bulk densities. This avoids the use of pedo-transfer function for estimating it and the resulting uncertainties associated to them. Second, the proposed model relied on the use of BRT which has been confirmed here as being a robust tools for predicting SOC stocks. While offering a good predictive performance, it enabled quantification of relationships between SOC stocks and pedo-climatic variables (plus their interactions) over the French territory. These relationship strongly depended on the land use, and more specifically differed between forest soils and cultivated soil. Along with land use, the clay content of soils was the most driving variable of SOC stocks. Besides the improvement of the model by including more predictors, the refinement of spatial data layers, regarding soil and land use will be a critical step for improving the SOC stocks assessments at the country level.

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**Table 1.** Tested and optimal values for the *ts*, *lr*, *min.obs* parameters of the three boosted regression trees models. The optimal values were selected as resulting in the best $<R^2_{\text{ext}}>$, obtained through the cross validation procedure

| Parameters | Tested values | Selected parameter value | | |
| --- | --- | --- | --- | --- |
| | | *F* model | *Cult* model | *Extra* model |
| *ts* | 4, 8, 12 | 8 | 8 | 12 |
| *lr* | 0.001, 0.005, 0.01, 0.1 | 0.005 | 0.01 | 0.01 |
| *min.obs* | 4, 6, 8 | 4 | 4 | 8 |

**Table 2.** Fit and cross validation results for a ratio of 0.9/0.1 training vs. validation datasets. Quality of the fit on the full data set is expressed using $R^2$, mean prediction error (MPE, kg/m²), standard deviation of the prediction error (SDPE, kg/m²), and root mean square prediction error (RMSPE, kg/m²). The cross-validation results are expressed using $<R^2_{ext}>$, $<MPE_{ext}>$ ( kg/m²), $<SDPE_{ext}>$ ( kg/m²) and $<RMSPE_{ext}>$ ( kg/m²) estimated using the validation datasets. The 95% confidence intervals obtained for the corresponding normal distributions using the standard percentile method are given in brackets.

| Model | $R^2$ | MPE | SDPE | RMSPE | $<R^2_{ext}>$ | | $<MPE_{ext}>$ | | $<SDPE_{ext}>$ | | $<RMSPE_{ext}>$ | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Cult | 0.91 | −0.001 | 0.935 | 0.934 | 0.58 | [0.445, 0.723] | −0.041 | [−0.379, 0.297] | 1.94 | [1.481, 2.397] | 1.94 | [1.486, 2.395] |
| F | 0.74 | 2e-04 | 1.912 | 1.910 | 0.36 | [0.141, 0.57] | −0.009 | [−0.845, 0.827] | 2.75 | [2.036, 3.467] | 2.76 | [2.053, 3.459] |
| Extra | 0.73 | −0.001 | 1.727 | 1.727 | 0.5 | [0.386, 0.613] | −0.002 | [−0.348, 0.344] | 2.27 | [1.86, 2.68] | 2.27 | [1.862, 2.68] |

**Table 3.** Relative influences of the predictors for each model, expressed as variable importance indexes (VIM), and rank according to the VIM values. The predictors are grouped, starting with the variables related to land use, then related to the climatic or pedo-climatic factors, then to plant productivity and finally related to the soil properties only. Variables names and definitions are detailed in sections 2.1.1 and 2.3.1.

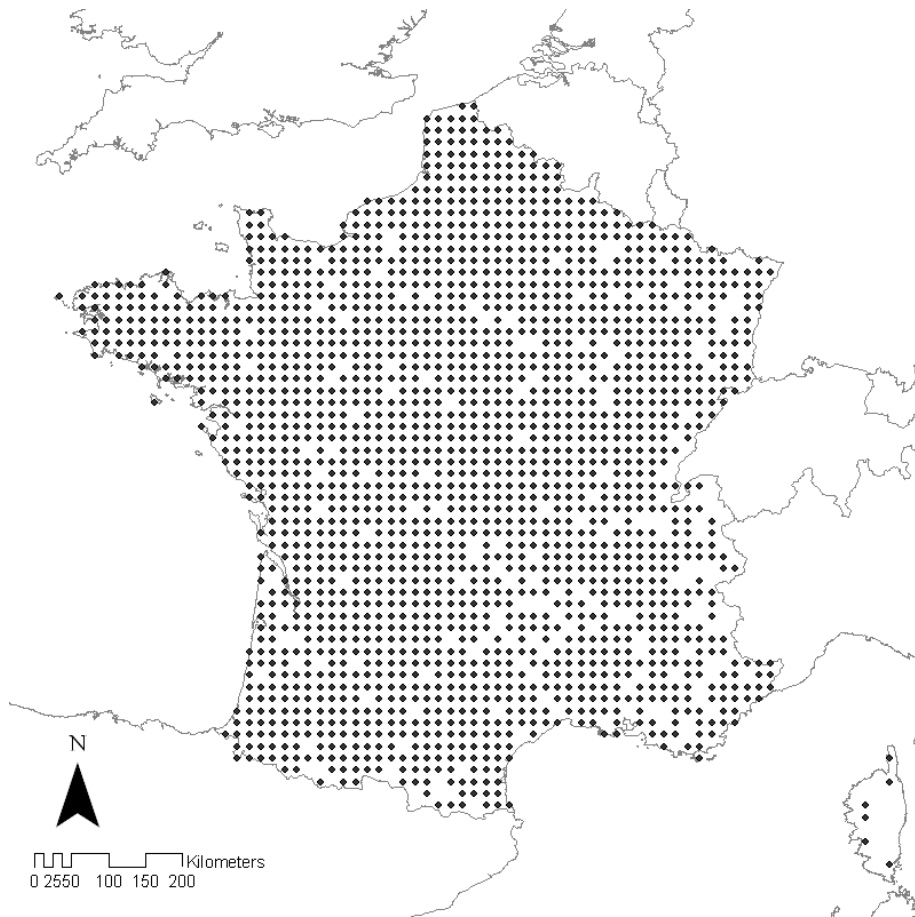| redictor | *Cult* model VIM | rank | *F* model VIM | rank | *Extra* model VIM | rank |
|---|---|---|---|---|---|---|
| lu3 | 33.66 | 1 | 0.77 | 11 | – | – |
| *lu2* | 1.26 | 13 | 0.00 | 14 | – | – |
| *lu1* | 0.11 | 15 | – | – | – | – |
| *lu_ipcc* | 0 | 16 | – | – | 26.83 | 2 |
| *a* | 7.1 | 3 | 1.47 | 10 | 8.76 | 4 |
| *b* | 3.72 | 7 | 4.83 | 7 | 6.53 | 6 |
| *rain* | 6.6 | 4 | 13.27 | 3 | 10.66 | 3 |
| *pet* | 3.3 | 8 | 4.4 | 8 | 5.73 | 7 |
| *temp* | 3.03 | 9 | 1.83 | 9 | 6.77 | 5 |
| *npp* | 2.89 | 10 | 6.54 | 5 | 5.33 | 8 |
| *wlogging* | 1.34 | 12 | 0.06 | 12 | – | – |
| *wregime* | 1.14 | 14 | 0.03 | 13 | – | – |
| *rf* | 6.08 | 5 | 8 | 4 | – | – |
| *clay* | 22.55 | 2 | 29.55 | 1 | 29.4 | 1 |
| *silt* | 1.96 | 11 | 5.91 | 6 | – | – |
| *ph* | 5.26 | 6 | 23.35 | 2 | – | – |

**Fig. 1.** Distribution of the 1974 sites within the French monitoring network which were used in the present study.
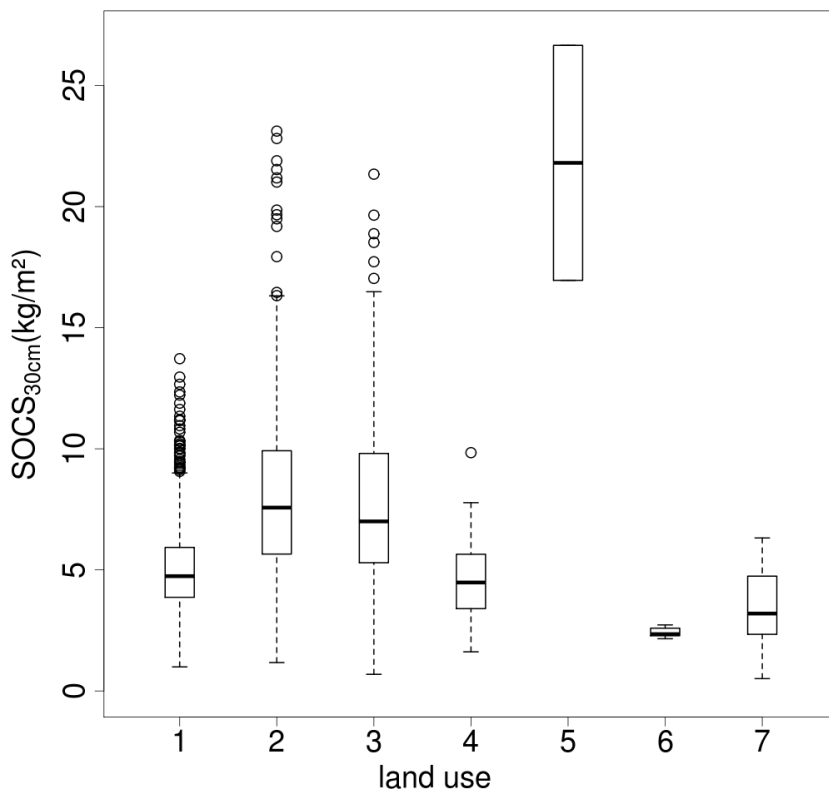
**Fig. 2.** SOC stocks for the first 30 cm as a function of land cover type according to the adapted IPCC land use classification (various crops (1, $n = 817$), permanent grasslands (2, $n = 463$), woodlands (3, $n = 468$) orchards and shrubby perennial crops (4, $n = 18$), wetlands (5, $n = 2$), others (6, $n = 5$), vineyards (7, $n = 32$)).
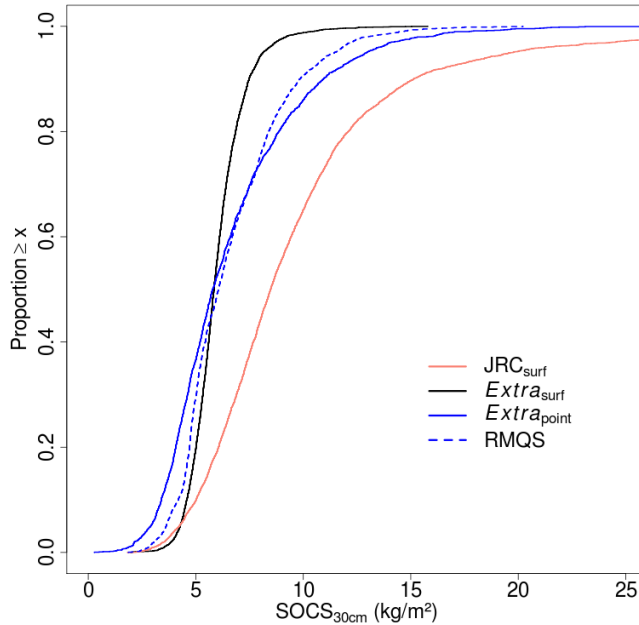
**Fig. 3.** Empirical cumulative distribution functions (ecdf) for the two spatial estimates presented in this paper (using the *Extra* model and the JRC estimate) as well as for the observed (curve RMQS) and predicted (curve *Extra*$_{point}$) SOC stocks at RMQS sites. Computing ecdf on spatial estimates is done as follows: first the statistical population is made of each spatial unit where the prediction model has been applied (the *Extra* model for instance). Second, a weight is computed for each unit as the ratio between its area and the sum of spatial units area (here, the area of France). Third, the ecdf is estimated on models predictions within the spatial units (kg/m²) using weights previously calculated. Ecdfs of site observed or predicted values are calculated using equal weights between individuals.
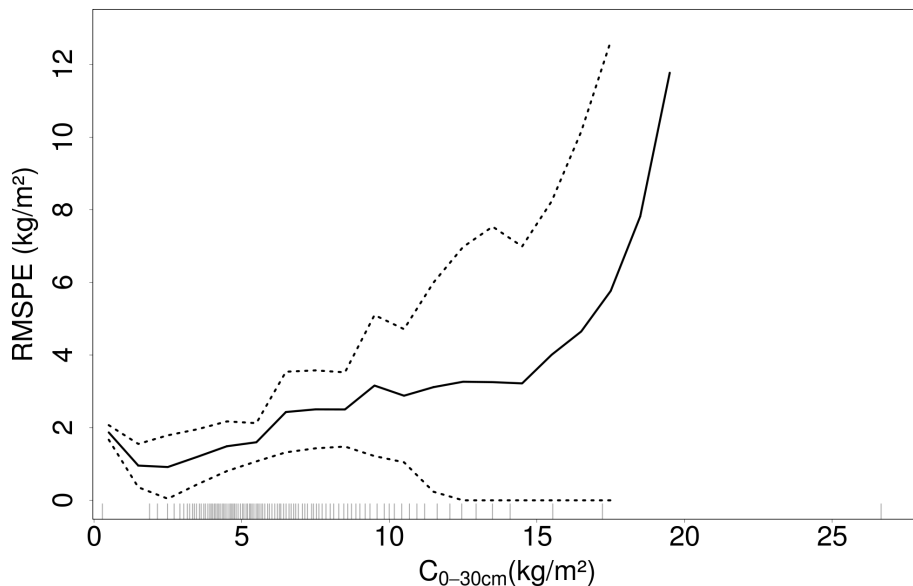
**Fig. 4.** Uncertainty of the *Extra* model, as a function of the organic carbon stock (30 cm). Uncertainty values are calculated as $<\mathrm{RMSPE_{ext}}>$ resulting from cross-validations trials as a function of predicted SOC stocks, grouped within intervals of $1\,\mathrm{kg/m^2}$ width, from 0 to $30\,\mathrm{kg/m^2}$. The solid line represents the mean of uncertainty within each interval of SOC stocks values, and the upper and lower dashed lines represent the bounds of the $\mathrm{CI_{95\%}}$ assuming a normal distribution within each interval. Tick marks at the lower border of the diagram give the 1% quantiles for the RMQS dataset.
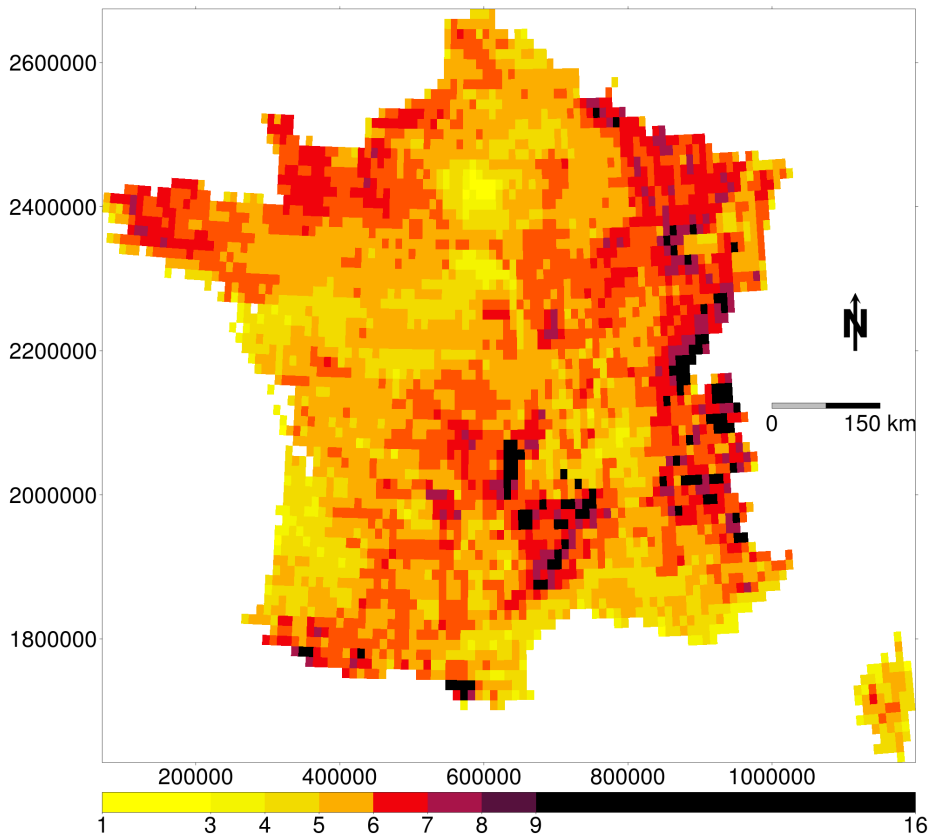
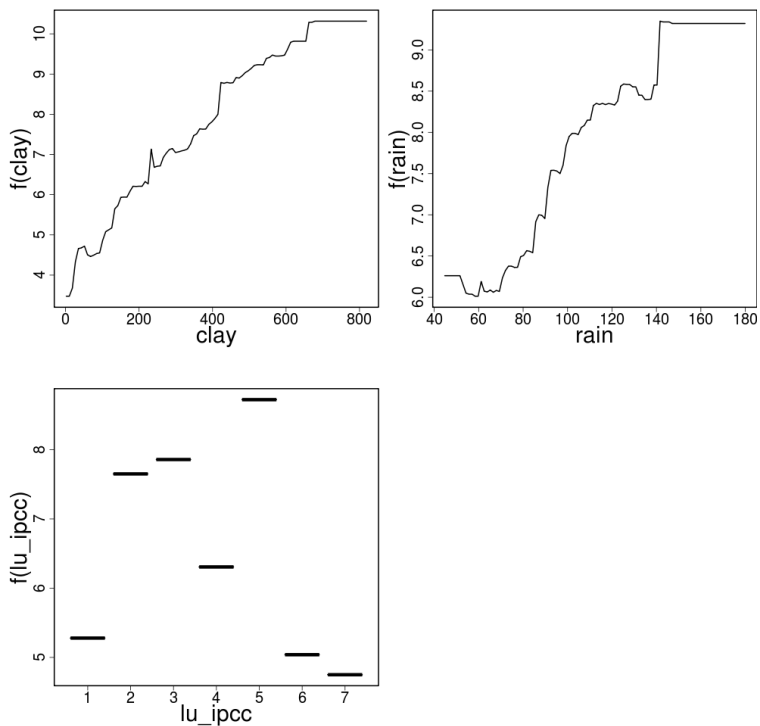**Fig. 5.** Map of the soil organic carbon for the first 30 cm (kg/m²).

**Fig. 6.** Effect of the three most important variables in the *Extra* model (i.e. a) *clay*, b) *rain* and c) *lu_ipcc*). The lower left diagram gives the modelled relationship between SOC stocks and land use (coded using the adapted ipcc classification: croplands (1), permanent grasslands (2), woodlands (3) orchards, shrubby perennial crops (4), wetlands (5), others (6), vineyards (7)).