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Impacts of land cover and climate data selection on understanding terrestrial carbon dynamics and the CO₂ airborne fraction

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Received: 11 February 2011 - Accepted: 15 February 2011 - Published: 23 February 2011

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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Terrestrial and oceanic carbon cycle processes remove ~ 55% of global carbon emissions, with the remaining 45%, known as the "airborne fraction", accumulating in the atmosphere. The long-term dynamics of the component fluxes contributing to the airborne fraction are challenging to interpret, but important for informing fossil-fuel emission targets and for monitoring the trends of biospheric carbon fluxes. Climate and land-cover forcing data for terrestrial ecosystem models are a largely unexplored source of uncertainty in terms of their contribution to understanding airborne fraction dynamics. Here we present results using a single dynamic global vegetation model forced by an ensemble experiment of climate (CRU, ERA-Interim, NCEP-DOE II), and diagnostic land-cover datasets (GLC2000, GlobCover, MODIS). Forcing uncertainties resulted in a large range of simulated global carbon fluxes, up to 13% for net primary production (52.4 to $60.2 \,\mathrm{Pg}\,\mathrm{C}\,\mathrm{a}^{-1}$) and 19% for soil respiration (44.2 to $54.8 \,\mathrm{Pg}\,\mathrm{C}\,\mathrm{a}^{-1}$). The sensitivity of contemporary global terrestrial carbon fluxes to climate strongly depends on forcing data (1.2-5.9 Pg C K⁻¹ or 0.5 to 2.7 ppmv CO₂ K⁻¹), but weakening carbon sinks in sub-tropical regions and strengthening carbon sinks in northern latitudes are found to be robust. The climate and land-cover combination that best correlate to the inferred carbon sink, and with the lowest residuals, is from observational data (CRU) rather than reanalysis climate data and with land-cover categories that have more stringent criteria for forest cover (MODIS). Since 1998, an increasing positive trend in residual error from bottom-up accounting of global sinks and sources (from 0.03 (1989-2005) to $0.23 \,\mathrm{Pg}\,\mathrm{C}\,\mathrm{a}^{-1} (1998-2005))$ suggests that either modeled drought sensitivity of carbon fluxes is too high, or that the trend toward decreased net land-use fluxes (~0.5 Pq C) is overestimated.

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1 Introduction

Fossil fuel emission targets to limit global warming below a certain threshold are determined by the sensitivity of the climate system to greenhouse gas concentrations (Meinshausen et al., 2009). Nearly half the uncertainty in existing estimates for climate sensitivity stem from processes, rates and feedbacks of the global carbon cycle (Huntingford et al., 2009). Key questions include knowing the sensitivity of the carbon cycle to changes in climate (Frank et al., 2010) and how long the carbon cycle will act as a negative feedback to rising fossil fuel emissions (Friedlingstein et al., 2003).

Currently, about 55% of fossil fuel carbon emissions are removed from the atmosphere by a suite of terrestrial and oceanic processes with uptake rates governed by factors such as climate variability and changes in land cover. (Le Quere et al., 2009). The remaining $\rm CO_2$ – the so called "airborne fraction" – is a key metric of both the anthropogenic contribution to global warming and a top-down estimate of all carbon-cycle processes.

Recent work has highlighted the challenge of monitoring and interpreting interannual variability of the airborne fraction. Direct analysis of the airborne fraction and its contemporary trend (1850–2007) suggests that any increase, representing weakening carbon sinks, is not statistically significant (Knorr, 2009). However, independent accounting of carbon sinks and fluxes from process-based ocean and dynamic global vegetation models suggest a possible weakening of biospheric carbon sinks (Le Quere et al., 2009). Accounting approaches are problematic, because they are unable to close the global carbon budget to less than a 2.1 Pg C a⁻¹ residual error, mostly due to uncertainty in modeling the terrestrial carbon cycle (Le Quere et al., 2009). In contrast, Sarmiento et al. (2009) assumed that the error from modeling terrestrial carbon fluxes could be reduced by using better constrained ocean and atmospheric measurements of CO₂ to infer terrestrial carbon dynamics. They concluded that the strength of the residual global terrestrial carbon sink may be increasing from a combination of a longer growing season in the northern hemisphere and CO₂ fertilization.

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Clearly, improvements in terrestrial carbon-cycle model simulations, especially a rigorous quantification of associated uncertainties, are required to provide a more robust interpretation and quantification of the airborne fraction.

In addition to numerical descriptions of ecological processes (Ostle et al., 2009; Sitch et al., 2008) and model parameters (Zaehle et al., 2005), climate and land cover forcing used in model simulations are a large source of uncertainty in dynamic global vegetation modeling (Hicke, 2005; Jung et al., 2007; Quaife et al., 2008). Here we systematically explore the choice of forcing data and how the resulting differences in model output (i) affects the interpretation of recent trends in the airborne fraction and (ii) contributes to residual error using standard source-sink accounting procedures (as in Le Quereit et al., 2009). We estimate the range of terrestrial carbon cycle-climate sensitivities arising from three climate and four land-cover forcing combinations, then assess the robustness of carbon flux trends. Our discussion focuses on the main features of residual error that arise from bottom-up carbon-budget assessments to better understand process-based interpretations of the airborne fraction.

2 Data and methods

2.1 Forcing data

Climate forcing data are available globally for either spatially interpolated observations from weather stations or for assimilated observational datasets using reanalysis techniques. For climate observations, we used precipitation, temperature, cloud cover, and monthly wet days from the Climatic Research Unit (CRU) TS3.0 dataset (Mitchell and Jones, 2005). Two reanalysis datasets were also included: ERA-Interim (Berrisford et al., 2009; Uppala et al., 2005) and NCEP-DOE Reanalysis II (Kanamitsu et al., 2002). In the case of reanalysis data, short and longwave downward radiation estimates directly replaced cloud cover inputs. The spatial and temporal resolution of the climate

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forcing data were resampled from the original specifications (Table 1) to a consistent 0.5° latitude-longitude grid at monthly or daily time step.

We modified a coupled biogeography-biogeochemistry model, the LPJ DGVM (Sitch et al., 2003), which includes updated hydrology and land-use schemes (Gerten et al., 5 2004; Bondeau et al., 2007), to assimilate static plant functional type (PFT) distributions. In dynamic vegetation mode, DGVM models do not represent present day patterns of natural and managed land-cover fractions, and also include uncertainty in the biogeography of PFTs. To better represent present-day managed and natural land cover, four plant functional type datasets were created by combining Köppen-Geiger climate zones with physiognomy and phenology-type, and managed or natural grasslands, from land-cover data provided by MODIS (V004 and V005), GLC2000, and GlobCover (Table 2; Poulter et al., 2011). The satellite derived PFT fractions were prescribed directly to the maximum annual FPAR variable in LPJ, which defines the fraction of photosynthetic active radiation absorbed by each PFT. Maximum annual FPAR was adjusted on a daily time step to reflect changes in temperature or moisture limited phenological status. PFT-specific bioclimatic thresholds (from Sitch et al., 2003) were modified to allow establishment whenever a PFT fraction was present in the prescribed dataset.

Fifteen global simulations were performed, one for each unique climate and diagnostic (i.e., prescribed) land-cover combination, as well as a control run with prognostic (fully dynamic) vegetation and the HYDE database representing cropland fractions (Ramankutty and Foley, 1998). A 1000-yr spin up with natural vegetation (followed by a 398-yr spin up for natural and managed lands) to equilibrate soil and vegetation carbon stocks was implemented by applying pre-industrial CO₂ concentrations and recycling the first 30 yr of climate data for CRU (or using 20th century CRU climate trends to hind-cast the ERA-Interim and NCEP-DOE data from their start year to 1901; Table 1). Transient simulations, beginning in 1901 and ending in 2005, included CO₂ concentrations from CDIAC (Keeling and Whorf, 2005) and soil texture characteristics from FAO (Zobler, 1986). Land cover was maintained fixed to the diagnostic input and

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carbon emissions from net land-cover change were estimated from independent data (Sect. 3.1). Fire dynamics were implemented for natural PFTs and but not for croplands, all of which were treated as pasture, with grass harvest occurring when leaf area index (LAI) reached its maximum annual value (Bondeau et al., 2007).

5 2.2 Climate sensitivities and trends in carbon fluxes

Annual net ecosystem exchange (NEE; Pg C a⁻¹) was calculated as the difference between carbon inputs from net primary production (NPP) and losses from heterotrophic respiration (RH), fire emissions (F) and harvested grass (H). Annual anomalies, from 1989 to 2005, of global NEE and air temperature were calculated with respect to a 10-year baseline period (1996 to 2005). To estimate the sensitivity of NEE to climate, simple linear regression of annual NEE and temperature anomalies obtained in the 15 simulations was performed. The significance of the contemporary (1989–2005) trends in NEE and its component fluxes (NPP and RH) were evaluated using the signal to noise ratio for each 0.5° grid cell (n = 59199). The signal to noise ratio was estimated as the mean of each individual annual carbon flux trend (i.e., for each climate and land cover combination) divided by the standard deviation of the trend for all combinations.

3 Results and discussion

3.1 Comparison of forcing data and carbon fluxes

Averaged over the 1996 to 2005 period, the global CRU climate data tended to be slightly warmer (13.8 °C), drier (850.9 mm a⁻¹), and have lower solar radiation (166.9 Wm⁻²) than ERA-Interim (13.7 °C, 901.4 mm a⁻¹, 190.3 Wm⁻²) and NCEP-DOE (13.1 °C, 930.6 mm a⁻¹, 195.6 Wm⁻²). Differences in incoming solar radiation can be partly explained by the use of atmospheric transmissivity constants (Linacre, 1968) used to convert CRU cloud cover to shortwave radiation, however previous studies

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have confirmed a systematic positive bias to be common in both reanalysis datasets (Hicke, 2005; Zhang et al., 2007). At the regional scale, NCEP-DOE had higher summertime precipitation for all mid-latitude regions (Europe, South and North America) as well as in tropical Asia, but NCEP-DOE tended to be drier in tropical South Amer-5 ica (Fig. 1). CRU was noticeably warmer over tropical South America (1-1.5°C) and NCEP was approximately 1°C cooler over North Africa and Tropical Asia. The plant functional type datasets diverged from one another mainly over dry-regions because of differences in how the classification systems handled forest cover thresholds (Poulter et al., 2011; Fritz and See, 2008). Due to these classification differences, the GLC2000 and GlobCover datasets tended to have 4-5% higher woody vegetation in warm regions, which MODIS categorized as C4 grasslands.

The carbon flux estimates (Table 3) were within the range of previous studies using independent methods from remote sensing (Zhao and Running, 2010) and also comparable to other DGVM models (Sitch et al., 2008) and global atmospheric inversions (Gurney et al., 2002). Variation in the magnitude of carbon fluxes mostly followed climate forcing uncertainty, with global NPP ranging from 52.4 to 60.2 Pg C a⁻¹ (a 13% range) and RH from 44.3 to 54.8 Pg C a⁻¹ (19%). Because soil respiration is tightly coupled to aboveground production and carbon inputs, RH tended to respond in proportion to NPP, resulting in a smaller range of absolute NEE variability -1.08 to -2.14 Pg C a⁻¹, but representing ~ 50% uncertainty. The uncertainty of NEE was especially large in tropical South America, Central Africa and in Eastern Europe (Fig. 2). These hotspots of uncertainty either have large absolute fluxes that lead to large uncertainty (i.e., the tropics), and/or occur where differences in climate and land-cover forcing caused a disproportionately larger uncertainty for RH fluxes rather than NPP (i.e., in central Europe, Table 4). The temporal dynamics and inter-annual variability of global NEE showed strong coherence between climate forcing (Fig. 3a), but large systematic biases emerged from climate, and less so, for land-cover forcing datasets.

We estimated the inferred terrestrial carbon sink (ICS) from carbon cycle observations, where, ICS = (fossil fuel + net land use) - atmospheric sink - ocean sink (using sink/source data from Le Quere et al. (2009), http://lgmacweb.env.uea.ac.uk/lequere/co2/carbon_budget.htm; last access: January 2011). In comparison to the ICS, the LPJ simulated terrestrial carbon sink followed similar inter-annual variability and decadal trends, but was unable to reproduce the 1991/2 anomaly following the Mt. Pinatubo eruption (Fig. 3a). The Pinatubo eruption was associated with cooler temperatures and increased diffuse solar radiation (Mercado et al., 2009), resulting in an enhanced effect on photosynthesis that is not explicitly considered in LPJ.

3.2 Carbon-climate sensitivities and temporal trends

The range of sensitivities of carbon fluxes to climate forcing provides a useful indicator for interpreting the magnitude of component and net flux inter-annual variability. Overall, we found that climate forcing explained most of the variation between carbon-climate sensitivities, via its role on abiotic limitations, and that land cover had a minor secondary role (Table 5). At the global scale, NCEP-DOE had the largest NEE sensitivity to changes in temperature (4.2–5.9 Pg C K $^{-1}$), followed by ERA-Interim (4.1–4.5 Pg C K $^{-1}$) and then CRU (1.2–2.5 Pg C K $^{-1}$). These differences were largely explained by tropical ecosystem dynamics, where productivity reacted strongly to variation in temperature in the absence of water limitations (e.g., where NCEP-DOE tended to be cooler in the tropics, Fig. 1). In temperate regions, the ERA-Interim climate forcing caused higher NEE sensitivity to temperature mainly because of higher heterotrophic respiration sensitivity to changes in air temperature (Table 5). Terrestrial NEE inferred from observations (the ICS, Sect. 3.1) exhibited lower carbon-climate sensitivity (1.7–1.9 Pg C K $^{-1}$), and was more closely aligned to the simulated carbon-climate sensitivities from the CRU forcing.

Overall, the estimated global carbon cycle temperature sensitivities presented here are substantially lower than best estimates for carbon-cycle climate sensitivities quantified for multi-decadal to centennial time-scales during the pre-industrial past millennium (Frank et al., 2010). Our comparison among forcing scenarios clearly demonstrates how even small biases in absolute temperature and/or precipitation in coupled

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carbon-cycle climate simulations can contribute to a wide spread and possible overestimation of the strength of carbon cycle feedbacks (Friedlingstein et al., 2003). Compared to the sensitivity of the inferred terrestrial carbon sink, it thus appears particularly important to have unbiased estimates of climate when trying to diagnose vegetation 5 coupling in earth system simulations.

Among land-cover forcing datasets, the MODIS land cover was more sensitive to temperature variability (Table 5), possibly because the more extensive, shallow-rooted grasslands had less access to water in the deeper soil layer (> 0.5 m depth). The prognostic vegetation simulations with dynamic PFT fractions showed a lower NEE sensitivity to temperature using CRU than with ERA-Interim and NCEP-DOE. With dynamic vegetation, physiological processes can be expected to be closer to a climatic equilibrium than the fixed PFT fractions, which were at less-than optimal climate conditions. However, because ERA-Interim and NCEP-DOE forcing were both slightly cooler and wetter, the dynamic vegetation sensitivities were larger and more similar to the fixed vegetation sensitivities.

Robust trends of increasing NPP and RH were observed at higher latitudes (Boreal North America and Boreal Russia) for most climate and land-cover forcing datasets, with signal to noise ratios > 2 (Fig. 4). These findings support previous work showing the effects of increased growing season and CO₂ fertilization on the productivity of circum-polar vegetation (Piao et al., 2007). Decreasing NPP was observed in dryland regions, including Australia and parts of South America, as well as in semi-humid areas of Southeast Asia. In these regions, RH did not show as robust decreases, meaning that NEE trended toward a stronger carbon source (Fig. 4). Our results on declining dryland NPP correspond to recent independent studies using remote sensing based models (Zhao and Running, 2010), empirical upscaling (Jung et al., 2010), and field observations (Allen et al., 2010). At the global scale, decreases in NPP, not offset by a similar decrease in RH, explained the general global trend toward a weakening terrestrial carbon sink (Fig. 3a). This trend was observed for all climate-land cover combinations, and appeared increasingly important from 1998 onward.

The summing of global carbon sinks and sources using independent observations results in a residual error if the budget is not closed (Sect. 3.1; Fig. 3b). This residual error and its inter-annual dynamics can be considered an indicator for constraining and identifying the main component sources of error (i.e., atmosphere, ocean, land sinks and sources). We investigated the year-to-year variability as well as a long-term trend of the residual error and observed a series of positive anomalies beginning in 1998. These positive anomalies modified the long-term trend from 0.03 (1989–2005) to 0.23 Pg C a⁻¹ (1998–2005). The recent trend toward increasing residual error suggests that either weakening terrestrial NPP is too sensitive to recent climate trends, or that another sink/source term in the global carbon budget is poorly constrained. For example, carbon fluxes from net land-use change have high uncertainty (mean $1.5 \pm 0.7 \,\mathrm{Pg}\,\mathrm{Ca}^{-1}$) in comparison to ocean fluxes $(2.3 \pm 0.5 \,\mathrm{Pg}\,\mathrm{Ca}^{-1})$ and the residual trend suggests that downward revisions for deforestation for the 2000–2005 period, using revised land-use change data (Friedlingstein et al., 2010; Houghton, 1999), may be too large. Knorr (2009) also observed that the uncertainty of net land-use emissions has a critical role in determining the degree to which the airborne fraction remains constant.

3.4 Evaluation of forcing data

We compared the time series (1989 to 2005) of all NEE climate and land cover simulations to the inferred carbon sink using a Taylor diagram (Taylor, 2001) to assess multiple metrics of model-data agreement and skill (Fig. 5). The CRU dataset had consistently higher agreement with the inferred terrestrial carbon dynamics ($R^2 = 0.7-0.8$), with the MODIS-derived PFT datasets performing slightly better than the GLC2000 and GlobCover. ERA-Interim and NCEP-DOE matched the ICS less well ($R^2 = 0.45-0.65$), and resulted in a larger inter-annual amplitude (compared to the ICS) because of the

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higher NEE-temperature sensitivity (represented by the large standard deviation on the y-axis of Fig. 5).

4 Conclusions

Our study demonstrates that the choice of forcing data has significant consequences for interpreting airborne fraction trends and variability, and also addresses several key features of the contemporary carbon cycle. We conclude that (1) trends in global sinks and sources are robust in Northern latitudes and dry regions, (2) inter-annual variations within these regions can be significant and occur on at least inter-annual to decadal time scales, and (3) reducing uncertainty from net land-use fluxes will be necessary for reducing residual error of the global carbon budget. Le Quere et al. (2009) recommend that the residual error from bottom-up accounting procedures must be reduced to less than 0.9 Pg C a⁻¹, corresponding to the uncertainty in the fossil fuel emissions, to make process-based model interpretations of the airborne fraction policy-relevant. We show here that the residual error due to land-cover and climate forcing uncertainty is 3.1 Pg C a⁻¹, which is larger than the model structural uncertainties presented by Le Quere et al. (2009). Land cover contributes approximately 1.1 Pg C a⁻¹ and climate 1.7 Pg C a⁻¹ to this overall uncertainty. Consideration of forcing data is required to reduce uncertainty in the interpretation of future trends in the airborne fraction.

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Acknowledgements. BP acknowledges funding from an FP7 Marie Curie Incoming International Fellowship (Grant Number 220546). DCF acknowledges support from the EC (Carbo-Extremes) and the Swiss National Science Foundation (NCCR-Climate). ELH and NEZ acknowledge support from the Swiss Competence Center Environment and Sustainability (MAIOLICA). We thank the Potsdam Institute for Climate Impact Research (PIK) for providing LPJ code, and the providers of the climate and land cover forcing datasets, including NCEP, ECMWF, CRU, CDIAC, Boston University, European Commission Joint Research Center and the European Space Agency.

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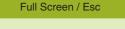
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Table 1. Spatial and temporal characteristics for the climate forcing data.

Dataset	Туре	Spatial Resolution	Temporal Resolution	Time Period	Reference
CRU TS 3.0 ERA-Interim	Observations Reanalysis	0.5 degrees 1.5 degrees (T255 gaussian grid)	Monthly 6-hourly	1901–2006 1989–2010	Mitchell and Jones (2005) Berrisford et al. (2009), Uppala et al. (2005)
NCEP-DOE II	Reanalysis	1.5 degrees (T255 gaussian grid)	6-hourly	1979–2010	Kalnay et al. (1996), Kanamitsu et al. (2002)

Table 2. Remotely sensed land-cover data sets and their corresponding spatial resolution and classification approach (where IGBP is the International Geosphere Biosphere Program, and UN LCCS is the United Nations Land Cover Classification System).

Land cover product	Satellite and sensor type	Time period	Spatial resolution	Number of land cover classes	Reference
GLC2000 v1.1 Mod12q1 C004 Mod12q1 C005 GlobCover v2.2	SPOT-4 (VEGA2000) Terra Terra Envisat (Meris)	2000 2001 2005 12–2004/ 06–2006	1000 m 1000 m 500 m 300 m	22 (modified UN LCCS) 17 (IGBP legend) 17 (IGBP legend) 22 (modified UN LCCS)	Bartholome and Belward (2005) Friedl et al. (2002) Friedl et al. (2010) Arino et al. (2008)

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Table 3. Summary of mean annual carbon fluxes for each of the 15 global simulations, averaged over the period 1996–2005.

Climate forcing	Land cover forcing	Cropland and pasture area (km²)	C- fluxes (Pg C a ⁻¹)		
			NPP	RH	NEE
	Hyde	3.2 (21.9%)	56.97	48.81	-1.68
	GLC2000	1.8 (12.4%)	57.97	47.49	-41.91
CRU TS3.0	GlobCover	1.7 (11.7)	56.19	46.46	-1.72
	Modis V4	1.3 (9.2%)	55.73	47.59	-1.55
	Modis V5	1.3 (9.2%)	56.89	48.59	-1.66
	Hyde	(as above)	54.1	47.31	-1.75
	GLC2000		54.6	45.37	-1.99
ERA-Interim	GlobCover		53.2	44.29	-1.89
	Modis V4		52.43	44.83	-2.04
	Modis V5		53.46	45.78	-2.14
	Hyde		60.17	54.8	1.08
	GLC2000		60.1	50.64	-1.03
NCEP-DOE II	GlobCover		58.62	49.57	-1.08
	Modis V4		58	50.07	-1.18
	Modis V5		59.27	51.29	-1.28

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Table 4. Sensitivity (slope of linear regression) of the uncertainty of net ecosystem exchange, expressed as coefficient of variation (CV), to the uncertainty of net primary production and heterotrophic respiration component fluxes (CV). The analysis was conducted on area aggregated fluxes that corresponded TransCom3 regions (Fig. 1). The uncertainty of NEE in northern regions is mainly controlled by RH uncertainty (implying greater temperature sensitivity of soil respiration), whereas in topical and arid regions, NPP and RH uncertainty are equally important.

Region (ordered from cool/dry to wet to warm/dry)	Slope of NEE CV to component flux CV (%)	
	NPP	RH
Boreal North America	0.094	0.137
Boreal Russia	0.053	0.131
Europe	0.012	0.123
Temperate North America	0.12	0.163
Temperate Asia	0.055	0.052
South Africa	0.05	0.061
Tropical Asia	-0.005	-0.01
Tropical South America	0.067	0.138
South America	0.02	0.047
North Africa	0.012	0.015
Australia	0.085	0.092

Table 5. Sensitivity of global annual net ecosystem exchange fluxes (NEE) to temperature anomalies for 1989–2005 (p < 0.1 for all land cover and climate forcing combinations, except for the dynamic vegetation and CRU combination = 0.15).

Land cover forcing	Climate forcing and carbon-climate sensitivity $(Pg C K^{-1})$			
	CRU TS 3.0	ERA-Interim	NCEP-DOE II	
Dynamic vegetation GLC2000 GlobCover MODIS V004 MODIS V005 Observations	1.25 ± 0.82 2.02 ± 0.91 2.26 ± 0.89 2.54 ± 0.95 2.53 ± 0.97 1.92 ± 0.87	4.45 ± 1.09 3.65 ± 1.14 3.58 ± 1.05 4.18 ± 1.06 4.07 ± 1.07 1.90 ± 0.84	5.99 ± 1.36 4.50 ± 1.37 4.19 ± 1.27 4.53 ± 1.40 4.23 ± 1.46 1.78 ± 0.97	

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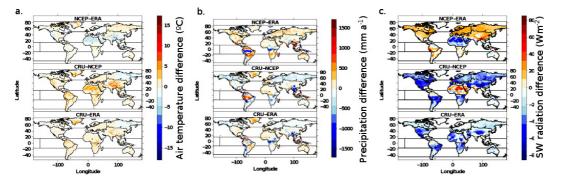


Fig. 1. (a) Spatial pattern of climate forcing differences between mean annual temperature, **(b)** total annual precipitation, and **(c)** downward shortwave radiation.

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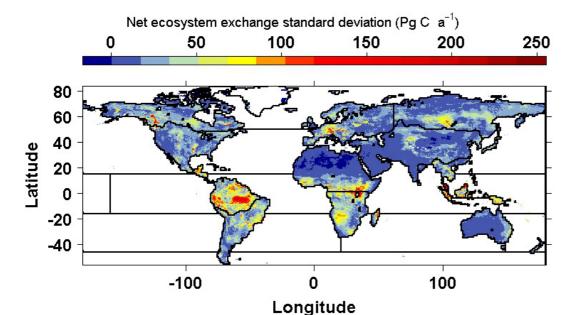


Fig. 2. Standard deviation of annual net ecosystem exchange (g C m⁻² a⁻¹) calculated from the 15 climate X land cover DGVM simulations. Borders represent TransCom 3 regions (Gurney et al., 2002) that correspond to biogeographic zones used in the analysis for Table 5.

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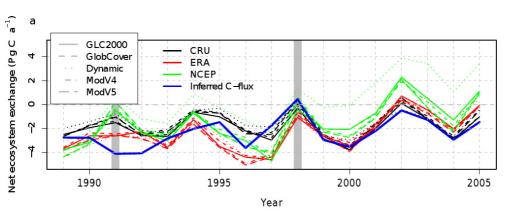
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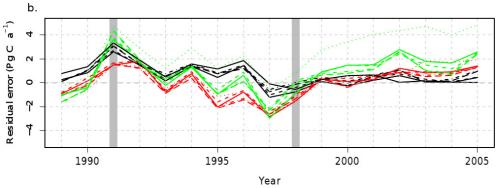


Fig. 3. (a) Temporal dynamics of net ecosystem exchange for the common overlapping time period of CRU, ERA-Interim, and NCEP-DOE (Table 1), and **(b)** the residual error from accounting method to assess global carbon sources and sinks (Sect. 3.1).

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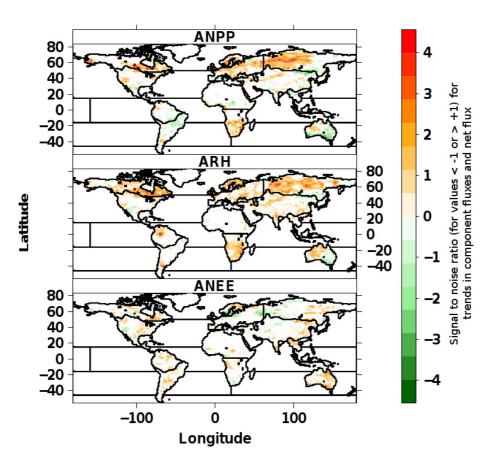


Fig. 4. Signal to noise ratio (for values < -1 or > +1) for annual net primary production (ANPP), heterotrophic respiration (RH), and net ecosystem exchange (NEE). A positive value for NPP and RH indicate increasing rates and a positive value for NEE indicates a trend toward a carbon source.

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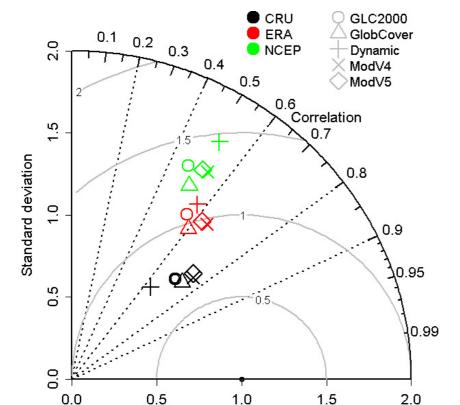


Fig. 5. Taylor diagram assessing model skill in comparison to the inferred terrestrial carbon sink. The black circle located at RMSE = 1.0 and Standard Deviation = 0 indicates the observations.

RMSE Difference

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