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# Origin and fate of the secondary nitrite maximum in the Arabian Sea

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#### Abstract

The Arabian Sea harbours one of the three major oxygen minimum zones (OMZs) in the world's oceans, and it alone is estimated to account for  $\sim 10-20\%$  of global oceanic nitrogen (N) loss. While actual rate measurements have been few, the consistently high accumulation of nitrite (NO<sub>2</sub><sup>-</sup>) coinciding with suboxic conditions in the central-northeastern part of the Arabian Sea has led to the general belief that this is the region where active N-loss takes place. Most subsequent field studies on N-loss have thus been drawn almost exclusively to the central-NE. However, a recent study measured only low to undetectable N-loss activities in this region, compared to orders of magnitude higher rates measured towards the Omani shelf where little NO<sub>2</sub><sup>-</sup> accumulated (Jensen et al., 2011). In this paper, we further explore this discrepancy by comparing the NO<sub>2</sub><sup>-</sup> - producing and consuming processes, and examining the relationship between the overall NO<sub>2</sub><sup>-</sup> balance and active N-loss in the Arabian Sea. Based

- on a combination of <sup>15</sup>N-incubation experiments, functional gene expression analyses, <sup>15</sup> nutrient profiling and flux modeling, our results showed that  $NO_2^-$  accumulated in the Central-NE Arabian Sea due to a net production via primarily active nitrate ( $NO_3^-$ ) reduction and to a certain extent ammonia oxidation. Meanwhile,  $NO_2^-$  consumption via anammox, denitrification and dissimilatory nitrate/nitrite reduction to ammonium ( $NH_4^+$ ) were hardly detectable in this region, though some loss to  $NO_2^-$  oxidation was predicted
- <sup>20</sup> from modeled NO<sub>3</sub><sup>-</sup> changes. No significant correlation was found between NO<sub>2</sub><sup>-</sup> and N-loss rates (p > 0.05). This discrepancy between NO<sub>2</sub><sup>-</sup> accumulation and lack of active N-loss in the Central-NE Arabian Sea is best explained by the deficiency of organic matter that is directly needed for further NO<sub>2</sub><sup>-</sup> reduction to N<sub>2</sub>O, N<sub>2</sub> and NH<sub>4</sub><sup>+</sup>, and indirectly for the remineralized NH<sub>4</sub><sup>+</sup> required by anammox. Altogether, our data do not support the long-held view that NO<sub>2</sub><sup>-</sup> accumulation is a direct activity indicator of N-loss in the Arabian Sea or other OMZs. Instead, NO<sub>2</sub><sup>-</sup> accumulation more likely corresponds to long-term integrated N-loss that has passed the prime of high and/or consistent in situ activities.





#### 1 Introduction

In global oceans,  $NO_2^-$  is the least abundant of the major inorganic nitrogen ions ( $NH_4^+$ ,  $NO_2^-$ ,  $NO_3^-$ ), representing only < 0.025% of the 6.6 × 10<sup>5</sup> Tg N global oceanic inventory of fixed nitrogen (Gruber, 2008). At the second highest oxidation state (+III) of nitrogen, NO<sub>2</sub><sup>-</sup> often occurs as an intermediate in either oxidative or reductive pathways 5 of the N-cycle. It can be produced during the first step of nitrification, when specific groups of archaea or bacteria oxidize ammonia to NO<sub>2</sub><sup>-</sup>, most of which is then oxidized by a separate group of bacteria to  $NO_3^-$ . In the reductive pathways,  $NO_2^-$  is produced via nitrate reduction, which may further lead to the production of gaseous nitrous oxide  $(N_2O)$  and dinitrogen  $(N_2)$ , in the stepwise N-loss process known as denitrification 10  $(NO_3^- \rightarrow NO_2^- \rightarrow NO \rightarrow N_2O \rightarrow N_2)$ . Denitrification can occur heterotrophically or autotrophically, but the former is presumably more common in seawater.  $NO_2^-$  may also be channeled through another N-loss process called anammox (van de Graaf et al., 1995), in which some autotrophic bacteria use NO<sub>2</sub><sup>-</sup> to anaerobically oxidize ammonium  $(NH_4^+)$  to N<sub>2</sub>. Alternatively, NO<sub>2</sub><sup>-</sup> may be reduced directly to NH<sub>4</sub><sup>+</sup> in dissimilatory 15 nitrate/nitrite reduction to ammonium (DNRA).

Though often barely detectable in seawater,  $NO_2^-$  can accumulate to micromolar levels at the base of the sunlit euphotic zone due to phytoplankton release or nitrification – the so-called "primary nitrite maximum" (Olson, 1981; Dore and Karl, 1996; Lomas and

- <sup>20</sup> Lipschultz, 2006). "Secondary nitrite maxima" occur deeper down in certain severely oxygen-deficient water columns known as oxygen minimum zones (OMZs). Secondary  $NO_2^-$  maxima were first reported in the Arabian Sea in the 1930s (Gilson, 1937), then later also in the eastern tropical North and South Pacific (Brandhorst, 1959; Wooster et al., 1965). Because of the associated low-oxygen conditions, such  $NO_2^-$  accumu-
- lations are conventionally believed to signify active heterotrophic denitrification, and have since led to a number of denitrification studies particularly targeting NO<sub>2</sub><sup>-</sup>-laden waters in the past decades (Fiadeiro and Strickland, 1968; Cline and Richards, 1972; Codispoti and Packard, 1980; Codispoti and Christensen, 1985; Codispoti et al., 1986;





Naqvi, 1987; Lipschultz et al., 1990; Devol et al., 2006; Ward et al., 2009). These oceanic OMZs are considered responsible for 30–50% of global oceanic N-loss (Gruber and Sarmiento, 1997; Codispoti et al., 2001; Gruber, 2008).

- The Arabian Sea is a semi-enclosed basin with its biogeochemistry and surface biological production strongly influenced by seasonal monsoons (Wiggert et al., 2005). During the summer southwest monsoon, anticyclonic circulation in the northern half of the basin induces upwelling of nutrient-rich water along the western boundary and to a lesser extent in the central basin, thus enhancing biological production therein. Strong convective mixing caused by winter northeastern monsoonal winds deepens the mixed layer especially in the north, bringing in nutrients from the deep and stimu-
- <sup>10</sup> the mixed layer especially in the north, bringing in nutrients from the deep and stimulating surface production. In the two intermonsoonal periods, surface water becomes largely oligotrophic within the basin. Large N-deficits relative to that expected from a constant ratio with phosphorus or apparent oxygen utilization (Broecker, 1974; Gruber and Sarmiento, 1997), together with prominent secondary NO<sub>2</sub><sup>-</sup> maxima ( $\geq 0.2 \,\mu$ M),
- overlap with oxygen deficiencies (< 5 μM O<sub>2</sub>) in the subsurface waters of the Central-Northeastern Arabian Sea (Naqvi et al., 1990; Bange et al., 2000). These contrast with the lack of prominent NO<sub>2</sub><sup>-</sup> accumulations or suboxia in the more productive waters westwards (Naqvi, 1991). Hence, the majority of denitrification or N-loss has been assumed to occur in the central-NE part of the Arabian Sea (Naqvi, 1991).
- <sup>20</sup> Consequently, while direct N-loss rate measurements in the Arabian Sea have been few, those that took place have primarily focused on the zone of prominent secondary NO<sub>2</sub><sup>-</sup> maximum in the central-NE basin (Devol et al., 2006; Nicholls et al., 2007; Ward et al., 2009). Only one recent study compared N-loss in the Central-NE Arabian Sea with the more productive waters towards the Omani Shelf. Surprisingly, orders of mag-
- nitude higher N-loss rates were detected over the shelf (Jensen et al., 2011), vs. the very low to undetectable rates in the presumed "active denitrification zone". While this showed a spatial coupling between N-loss and surface biological production that was in accord with other major OMZs (Kuypers et al., 2005; Thamdrup et al., 2006; Hamersley et al., 2007), the lack of substantial detectable active N-loss in the Central-NE Arabian





Sea is difficult to reconcile with the prominent secondary  $\mathrm{NO}_2^-$  maxima and N-deficits therein.

Here we investigate the NO<sub>2</sub><sup>-</sup> accumulation in the Central-NE Arabian Sea OMZ by examining the active production and consumption mechanisms of NO<sub>2</sub><sup>-</sup>, including
nitrification, NO<sub>3</sub><sup>-</sup>-reduction, N<sub>2</sub>O production and DNRA that likely co-occur with N-loss in the OMZs (Lam et al., 2009; Lam and Kuypers, 2011); and compare these findings with those obtained near the Omani Shelf. Activities of these processes were determined via a combination of <sup>15</sup>N-incubation experiments, flux modeling based on nutrient profiles, and expression analyses of biomarker functional genes for respective processes. Lastly, the suitability of NO<sub>2</sub><sup>-</sup> accumulations as a conventional active N-loss indicator, and its relationship with N-deficits in the OMZs are further discussed.

#### 2 Methods

#### 2.1 Water sampling and nutrient analyses

Sampling was conducted along a cruise-track encompassing the Omani Shelf and the
<sup>15</sup> Central-NE Arabian Sea (Fig. 1) at the beginning of the 2007 autumn intermonsoon (September/October). Samples were collected using a Conductivity-Temperature-Depth (CTD) rosette system equipped with 10-I Niskin bottles (Sea-Bird Electronics Inc.) on board the R/V Meteor (M74/1b). Water samples were analysed for NH<sup>+</sup><sub>4</sub>, NO<sup>-</sup><sub>2</sub>, NO<sup>-</sup><sub>3</sub> and PO<sup>3-</sup><sub>4</sub> (detection limits 20, 30, 100 and 100 nM, respectively) at 10 to
<sup>20</sup> 25-m intervals for 12 stations along roughly the cruise-track of the former US Joint Global Ocean Flux Study (Morrison et al., 1999) (Fig. 1). NH<sup>+</sup><sub>4</sub> and NO<sup>-</sup><sub>2</sub> concentrations were analyzed immediately after sampling with fluorometric and spectrophotometric techniques, respectively (Grasshoff, 1983; Holmes et al., 1999). Samples for NO<sup>-</sup><sub>3</sub> and PO<sup>3-</sup><sub>4</sub> were stored frozen and measured spectrophotometrically (Grasshoff et al., 1999) with an autoanalyzer (TRAACS 800, Bran & Lubbe, Germany) in a shore-based laboratory. N-deficits were estimated from the measured total inorganic nitrogen





and  $PO_4^{3-}$  concentrations as N<sup>\*</sup> (in units of  $\mu$ M) = [NH<sub>4</sub><sup>+</sup> + NO<sub>2</sub><sup>-</sup> + NO<sub>3</sub><sup>-</sup>]-16[PO<sub>4</sub>^{3-}] + 2.9  $\mu$ mol kg<sup>-1</sup> × density (Gruber and Sarmiento, 1997). Neutral densities were computed from CTD data according to Jackett and McDougall (1997). N<sub>2</sub>O concentrations were analyzed following Walter et al. (2006).

### **5** 2.2 <sup>15</sup>N-stable isotope pairing experiments

<sup>15</sup>N-stable isotope pairing experiments were conducted at six depths throughout the OMZ at each of seven sampling stations (Fig. 1), including two stations near the Omani Shelf and five in the central-NE basin characterized by a prominent secondary nitrite maximum (NO<sub>2</sub><sup>-</sup>  $\ge$  0.2  $\mu$ M). Rate measurements for anammox, denitrification and DNRA are detailed in Jensen et al. (2011). Some of the main findings are discussed 10 in the current paper for the evaluation of  $NO_2^-$  balance. In addition, using the same experiments,  ${}^{15}NO_2^-$  production was measured in incubations with  ${}^{15}NH_4^+ + {}^{14}NO_2^-$  (5 µM each) and with  ${}^{15}NO_{3}^{-}$  (20  $\mu$ M), in order to determine ammonia oxidation and nitrate reduction rates, respectively (McIlvin and Altabet, 2005; Lam et al., 2009). All incubations were conducted at non-detectable O<sub>2</sub> levels ( $\leq 0.2 \,\mu$ M) after purging with helium for 15 min (Dalsgaard et al., 2003; Jensen et al., 2008), except for the oxygen-regulation experiments. In the latter, various amounts of  $O_2$ -saturated water was injected into the incubation vials which had previously been purged with helium, and the 4 different O<sub>2</sub> levels achieved (Table B1) were verified with an oxygen microsensor. All rates presented were obtained in the linear production phase of our incubation experiments, and 20 only those with significant increase without an initial lag-phase are considered (slope significantly different from zero, t-tests with p < 0.05). These are net production rates that have been corrected for the mole fractions of <sup>15</sup>N-substrate additions, but not for any simultaneous consumption or dilution via potentially coupling processes.





#### 2.3 Functional gene detection and expression analyses

Water samples (10–15I) for nucleic acid analyses were filtered through 0.22 µm Sterivex filters (Millipore) and stored at -80 °C until extraction in a shore-based laboratory. RNA and DNA were extracted from the same filters using the Totally RNA Kit (Ambion) with a prior cell lysis (10 mg ml<sup>-1</sup> lysozyme in 10 mM Tris-EDTA, pH 8; 4 units of SUPERaseIn, Ambion) performed within filter cartridges. Various biomarker functional genes for ammonia oxidation (both archaeal and bacterial), nitrate reduction, anammox, denitrification and DNRA (Table B2) were analyzed using both qualitative and quantitative polymerase chain reactions (PCR). Active expression of these functional genes as transcripts (mRNA), were additionally analyzed via reverse transcription (RT) (Superscript III First-Strand Synthesis Master Mix, Invitrogen) with the

- respective gene-specific antisense primers, followed by quantification with real-time PCR (Lam et al., 2009; Jensen et al., 2011). The expressed nitric oxide reductase genes (norB), which encode the enzyme responsible for the reduction of nitric oxide to
- <sup>15</sup> N<sub>2</sub>O, were RT-PCR amplified and cloned with the TOPO TA Cloning Kit for sequencing (Invitrogen). Positive inserts were sequenced with ABI370XL sequencers (Applied Biosystems) by the GATC Biotech sequencing services, and phylogenetic analyses based on amino acids translated from norB genes were performed with the ARB package (Ludwig et al., 2004). New real-time PCR primers and probes for specific groups
- of norB were designed using the Oligo Design and Analysis Tools (Integrated DNA Technologies), and were further verified with BLAST (Altschul et al., 1997) and own ARB database compiled from norB sequences currently available in public databases. All primers and PCR protocols used in this study were listed in Table B2.

Expressions (transcriptions) of key functional genes initiate the production of enzymes that mediate the processes of interest, as opposed to gene presence that merely indicate the genetic potentials of organisms which may never utilize these genes and perform these reactions in situ. Active gene expression in unmanipulated seawater samples can thus serve as independent support for an active process detected, though





the relationships between rates and gene expressions are not necessarily straightforward due partly to the vastly different detection limits of various measurement types, and partly to the influence from other transcriptional factors such as stresses, physiological states and post-transcriptional processes. Because a lot is yet to be explored of the immense oceanic microbiome, we do not claim an exhaustive coverage of the functional gene targets by the selected primers.

#### 2.4 Reaction-diffusion modeling and statistical analyses

Assuming steady states and a lack of significant horizontal advection in the Central-NE Arabian Sea over the time-spans of our experiments, production and consumption rates (R) of NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, NO<sub>3</sub><sup>-</sup>, N<sub>2</sub>O and N<sup>\*</sup> in the water column were estimated from their respective measured concentration (C) profiles based on a reaction-diffusion model, which is similar to those often applied in sediment porewater studies (Berg et al., 1998):

$$\frac{d}{dz}\left(K_{(z)}\frac{dC}{dz}\right) + R = 0 \tag{1}$$

where  $K_{(z)}$  is vertical eddy diffusivity and *z* is depth.  $K_{(z)}$  is parameterized from Brunt-<sup>15</sup> Väisälä frequencies (Gargett, 1984; Gregg et al., 1986; Fennel and Boss, 2003) computed from CTD data. These input data were interpolated to a computational grid and the differential Eq. (1) was transformed into an inverse linear system. Subsequently, *R* at the various interpolated depth intervals (*z*) was solved via a numerical method known as Tikhonov regularization. The detailed procedures are described in Lettmann <sup>20</sup> et al. (2011). All computations for reaction-diffusion models, as well as statistical analyses (Statistics Toolbox), were performed with MATLAB (Mathworks, Inc.).





#### 3 Results and discussion

#### 3.1 Distributions of dissolved inorganic nitrogen, oxygen and N-loss activities

Consistent with past observations, nutrient profiling revealed a prominent secondary  $NO_2^-$  maximum reaching ~ 5 µM in the central-NE basin (Stations 950–958) centered along the 26.0–26.5 kg m<sup>-3</sup> neutral-density surfaces, or isoneutrals (Fig. 2b). These coincided with local  $NO_3^-$  minimum and severe N-deficits represented by the most negative N\* values (Gruber and Sarmiento, 1997), where oxygen concentrations fell below

- $10 \,\mu$ M or apparently anoxic (< 90 nM) as determined by a highly sensitive STOX sensor (Revsbech et al., 2009; Jensen et al., 2011) (Fig. 2a,e,g). N<sub>2</sub>O also accumulated in
- these oxygen-deficient waters, and was elevated towards the central-NE basin reaching as high as 91 nM (Fig. 2d). Shoaling of isoneutrals and higher surface chlorophyll-*a* concentrations were observed near Omani Shelf, indicating residual upwelling and enhanced biological production westward. Surface particulate organic carbon and nitrogen were consequently elevated over the shelf (Jensen et al., 2011), and so were
   the concentrations of the remineralized NH<sup>+</sup><sub>4</sub> (≤ 1.6 µM) (Fig. 2c). NH<sup>+</sup><sub>4</sub> concentrations decreased with depth and were largely close to detection limit (~ 20 nM) towards the central-NE basin.

At the time of our sampling, only low and sporadic N-loss rates (0–1.8 nM N<sub>2</sub> d<sup>-1</sup>) (Jensen et al., 2011) were detected in the Central-NE Arabian Sea OMZ (Fig. 3, A2), which is generally considered the "active denitrification zone" (Naqvi, 1991; Bange et al., 2000). When detected, N-loss activity was either due to anammox, or the exact pathway could not be fully resolved from the <sup>15</sup>N-isotope pairing experiments. There was also no clear evidence of active denitrification based on both isotope-pairing experiments and molecular analyses (Jensen et al., 2011). In contrast, high N-loss rates (up to 38.6 nM N<sub>2</sub> d<sup>-1</sup>) due mostly to anammox were measured in waters near the Omani Shelf (Fig. 3), a region previously considered insufficiently suboxic to permit N-loss. In





fact, apparent anoxic conditions ( $< 2 \mu M$ ) were detected below  $\sim 110 m$  depth over the

shelf, along with very negative  $N^*$  (down to  $<-10\,\mu M$ ) but low  $NO_2^-$  ( $<0.5\,\mu M$ ) at OMZ depths (Fig. 2).

#### 3.2 Sources of nitrite

Nitrite can be produced by  $NO_3^-$ -reduction and ammonia oxidation, and activities of both processes were detected in the Arabian Sea OMZ.

#### 3.2.1 Nitrate reduction

Nitrate reduction to nitrite  $(NO_3^- + 2H^+ + 2e^- \rightarrow NO_2^- + H_2O)$  is the first step in both denitrification and DNRA, but it is also a standalone process that provides the majority of NO<sub>2</sub><sup>-</sup> for anammox in the Eastern Tropical South Pacific (ETSP) OMZ (Lam et al., 2009). In the Arabian Sea, NO<sub>3</sub><sup>-</sup>-reduction rates were readily detected within the central-NE 10 OMZ (up to  $29.7 \pm 4.8 \text{ nM d}^{-1}$ ) (Fig. 3d), and were comparable to the rates recorded towards the Omani Shelf (up to  $24.9 \pm 1.8 \text{ nM d}^{-1}$ ) (Fig. A1). These offshore NO<sub>3</sub><sup>-</sup>reduction rates fell within the range of those measured in the ETSP OMZ (Lipschultz et al., 1990; Lam et al., 2009), and reached a local maximum at 200 m at St. 957, coinciding with a local minimum in  $NO_3^-$  (Figs. 2, 3). In addition, the vertical distribution of NO<sub>3</sub><sup>-</sup>-reduction rates strongly resembled that of NO<sub>2</sub><sup>-</sup> concentrations (r = 0.94, p < 0.005, Pearson correlation), implying a strong influence of the former on the secondary NO<sub>2</sub><sup>-</sup> maxima. The occurrence of NO<sub>3</sub><sup>-</sup> reduction was further corroborated by the active expression of the biomarker membrane-bound nitrate reductase gene, narG. The transcript (mRNA) levels determined by RT-gPCR were consistently detectable 20 throughout the OMZ at all stations (Figs. 3, A1, A2). Active transcription of another NO<sub>3</sub><sup>-</sup> reducing functional gene, napA, encoding the periplasmic nitrate reductase, was however not analyzed in this study. It could also have contributed to NO<sub>3</sub><sup>-</sup> reduction

in the OMZ but perhaps to a smaller extent (Lam et al., 2009), and thus could partly explain the lack of clear correlation between rates and narG gene expression levels.





#### 3.2.2 Ammonia oxidation

In comparison, ammonia oxidation to NO<sub>2</sub>, the first step of nitrification, was only measurable in the upper part of the central-NE OMZ (up to  $3.6 \pm 0.04$  nM d<sup>-1</sup>), and only when oxygen concentrations were  $\geq 8 \,\mu\text{M}$  based on incubation experiments at various controlled oxygen levels (Table B2). This contrasts with results from the Omani Shelf, 5 where high ammonia oxidation rates (up to  $12.5 \pm 3.5 \text{ nM d}^{-1}$ ) could be detected even in helium-purged incubations for depths deeper into the OMZ (down to 150 m) where oxygen was undetectable (Fig. 3). Ammonia oxidation seemed to be heavily driven by ammonia-oxidizing archaea, as ammonia oxidation rates were significantly correlated with crenarchaeal cellular abundance determined by 16S rRNA-targeted CARD-FISH 10 (Spearman R = 0.705, p < 0.05) (data not shown), as well as with the transcript-togene ratio of archaeal amoA (Spearman R = 0.564, p < 0.0005) (Figs. A3, A4). The amoA gene encodes ammonia monooxygenase subunit A, a key enzyme mediating ammonia oxidation. Ammonia oxidation might also be partly attributed to  $\beta$ - and  $\gamma$ proteobacterial ammonia-oxidizers, as their amoA was readily expressed throughout 15 the OMZ at levels  $\geq$  4-fold greater than those of their archaeal counterparts. Unlike the Peruvian OMZ where archaeal amoA generally predominated at the gene level (Lam et al., 2009), combined bacterial amoA gene abundance was comparable to archaeal amoA in the OMZ especially at the central-NE stations (St. 953, 955, 957) (Fig. A3d,e,f). As oxygen was depleted (< 90 nM) within the central-NE OMZ core 20 where ammonia oxidation rates were not measurable, ammonia-oxidizers could be undertaking alternative anaerobic pathways (Poth and Focht, 1985), such as reducing  $NO_2^-$  to  $N_2O$  in the so-called nitrifier-denitrification. Overall, ammonia oxidation repre-

sented only a minor  $NO_2^-$  source (~ 4–11% of total depth-integrated  $NO_2^-$  production) relative to  $NO_3^-$ -reduction in the Arabian Sea OMZs, with the lower values obtained from the central-NE basin (Table 1).





3.3 Sinks of nitrite

#### 3.3.1 $\,N_2$ production via an ammox and denitrification, and lack of DNRA in central-NE OMZ

The conversion of NO<sub>2</sub><sup>-</sup> to N<sub>2</sub> (= N-loss), via most likely anammox instead of denitrification, was detected only at sporadic depths in the Central-NE Arabian Sea OMZ (Jensen et al., 2011) where the prominent secondary NO<sub>2</sub><sup>-</sup> maximum lay (Figs. 3b, A2b). Meanwhile, both denitrification and DNRA rates were undetectable, even though denitrifier-type cd<sub>1</sub>-containing nitrite reductase gene (nirS) was abundant in the central-NE OMZ and showed relatively consistent expression at two stations (St. 953, 955) (Fig A2b, d). These results suggested that denitrifiers were potentially active in the Central-NE Arabian Sea, but not active enough to confer measurable rates in our study (no significant <sup>30</sup>N<sub>2</sub> production from <sup>15</sup>NO<sub>2</sub><sup>-</sup> without time-lag) (Jensen et al., 2011). Although another recent study reported some moderate denitrification rates at three stations in this region (Ward et al., 2009), detailed time-course data revealed considerable initial

time-lags in the incubations for at least the representative depth shown (Bulow et al., 2010). Such results were not considered as in situ activities in our current study.

### 3.3.2 N<sub>2</sub>O production

Alternatively, some NO<sub>2</sub><sup>-</sup> might be reduced to N<sub>2</sub>O instead of N<sub>2</sub>. This was suggested by the active expression of a diverse group of norB genes, which encode nitric oxide
(NO) reductases for the conversion of NO to N<sub>2</sub>O (Fig. A5). Transcripts of both quinol-and cytochrome-bc-types of norB (qnorB and cnorB, respectively) related to various denitrifying bacteria could be detected in the Central-NE Arabian Sea OMZ (Fig. A5). The latter form, cnorB, was more abundant and was present also at near-shelf stations (Figs. 3, A1, A2). It consisted of at least 3 sub-clusters (Fig. A5). The dominant sub-cluster ASc2 was expressed throughout the OMZ, while ASc4 transcripts only occurred at local N<sub>2</sub>O minima or OMZ boundaries (Figs. 3, A1, A2).





Despite the lack of detectable production of <sup>15</sup>N-labeled-N<sub>2</sub>O from <sup>15</sup>NO<sub>2</sub><sup>-</sup>incubations in the central-NE OMZ (data not shown), a reaction-diffusion model based on a N<sub>2</sub>O concentration profile (St. 950) indicated a net N<sub>2</sub>O production of  $\leq 6 \text{ pM d}^{-1}$  in the upper part of the OMZ (Fig. 4). This crude rate estimate was indeed below our detection limit (~ 500 pM d<sup>-1</sup>), but the depth horizon of modeled N<sub>2</sub>O production (~ 200– 300 m) was consistent with the total norB expression observed at the central-NE Station 957 (Fig. 3f, 4f). On the other hand, N<sub>2</sub>O may also be produced by ammoniaoxidizers either via NO<sub>2</sub><sup>-</sup> or hydroxylamine (Ritchie and Nicholas, 1972), based on active amoA expression yet lack of detectable <sup>15</sup>NO<sub>2</sub><sup>-</sup>-production from <sup>15</sup>NH<sub>4</sub><sup>+</sup>-incubations within the OMZ core. Although no immediate relatives of known ammonia-oxidizer cnorB sequences were recovered in our cnorB clone libraries, it cannot be excluded

- that all four primer sets used (Table B2) were insufficient to capture the full diversity in the environment such as the unknown coverage for potential archaeal norB, or that the cnorB phylogenies are not equivalent to cell identities based on 16S rRNA genes.
- <sup>15</sup> Regardless of which microbial players were involved, there was a strong indication of an active albeit likely minor  $NO_2^-$  sink via N<sub>2</sub>O production in the upper OMZ of the Central-NE Arabian Sea.

#### 3.3.3 Nitrite oxidation

In spite of the oxygen deficiency within the OMZ, NO<sub>2</sub><sup>-</sup> may also be consumed by NO<sub>2</sub><sup>-</sup>
oxidation, the second step of nitrification. In this reaction, NO<sub>2</sub><sup>-</sup> is oxidized to NO<sub>3</sub><sup>-</sup> by H<sub>2</sub>O (NO<sub>2</sub><sup>-</sup> + H<sub>2</sub>O → NO<sub>3</sub><sup>-</sup> + 2H<sup>+</sup> + 2e<sup>-</sup>) and the generated electrons are transferred to a terminal electron acceptor, most commonly being O<sub>2</sub> (2H<sup>+</sup> + 2e<sup>-</sup> + 0.5O<sub>2</sub> → H<sub>2</sub>O) (Kumar et al., 1983; Hollocher, 1984). Anaerobic growths have been documented for a cultured nitrite-oxidizer (Bock et al., 1988; Griffin et al., 2007), though the exact anaerobic metabolic pathways or whether NO<sub>2</sub><sup>-</sup> is oxidized in such cases remain to be elucidated in environmental settings. In the Eastern Tropical South Pacific (ETSP), NO<sub>2</sub><sup>-</sup>-oxidizing activities were detected deep into the OMZ and were found rather insensitive to oxygen





deficiency (Lipschultz et al., 1990). Although nitrite oxidation rates were not directly measured in our study, reaction-diffusion modeling on concentration profiles clearly indicated net NO<sub>3</sub><sup>-</sup> production coinciding with NO<sub>2</sub><sup>-</sup> consumption, especially in the upper part of the OMZ (Figs. 4, A6). To date, there have been no known biotic or abiotic processes other than nitrite oxidation that can produce NO<sub>3</sub><sup>-</sup> in such seawater conditions, except for anammox. In the latter, 0.3 mol of NO<sub>2</sub><sup>-</sup> is oxidized to NO<sub>3</sub><sup>-</sup> for every mole of N<sub>2</sub> produced, as a means to replenish electrons for the acetyl-CoA carbon fixation process within anammox bacteria (van de Graaf et al., 1997; Strous et al., 2006). However, as anammox rates were hardly detectable in the Central-NE Arabian Sea, contribution from anammox could not account for the calculated NO<sub>3</sub><sup>-</sup> production.

Assuming NO<sub>2</sub><sup>-</sup> oxidation and NO<sub>3</sub><sup>-</sup> reduction were the only NO<sub>3</sub><sup>-</sup> producing and consuming processes, respectively, NO<sub>2</sub><sup>-</sup> oxidation rates could then be estimated as the sum of the measured NO<sub>3</sub><sup>-</sup> reduction and the modeled net NO<sub>3</sub><sup>-</sup> changes. NO<sub>2</sub><sup>-</sup> oxidation was thus postulated to occur down to at least 500 m (3 nM d<sup>-1</sup>, St. 957) in the central-NE OMZ reaching a maximum of 22 nM d<sup>-1</sup> at 200 m, which was within the range of those reported for the ETSP (Lipschultz et al., 1990). Although these are only crude estimates, the maximum rate coincided with the maximum NO<sub>3</sub><sup>-</sup> reduction rate in the upper part of the OMZ at this station (St. 957), and the two rates were often comparable in magnitude. Hence, NO<sub>2</sub><sup>-</sup> oxidation was most likely the dominant NO<sub>2</sub><sup>-</sup> sink in the Central NE Archien See, but requires further werification with direct

 $_{20}$  sink in the Central-NE Arabian Sea, but requires further verification with direct measurements, and the use of O<sub>2</sub> or alternative terminal electron acceptors also remains to be determined.

#### 3.4 Nitrite accumulations, N-loss and organic matter in the central-NE OMZ

Taken together, we found ample evidence for  $NO_2^-$  production within the prominent secondary  $NO_2^-$  maximum in the Central-NE Arabian Sea OMZ, predominantly from  $NO_3^-$  reduction, and to a certain extent ammonia oxidation in the upper OMZ. There was little evidence for  $NO_2^-$  consumption via N-loss as  $N_2O$  or  $N_2$  production with only



occasional, low potential rates; whereas  $NO_2^-$  oxidation was predicted to be a major sink. When the measured rates of all  $NO_2^-$  sources and sinks were integrated over the thickness of the Arabian Sea OMZ, a small net  $NO_2^-$  production (0.06 mmol N m<sup>-2</sup> d<sup>-1</sup>) was calculated for the central-NE basin (Table 1A). This low rate is comparable with the net  $NO_2^-$  production rates of  $0.05 \pm 0.03$  mmol N m<sup>-2</sup> d<sup>-1</sup> (mean ± standard deviation of 4 stations) estimated via reaction-diffusion flux modeling on  $NO_2^-$  profiles (Table 1B). The slow build-up of the secondary  $NO_2^-$  maximum corresponded with a general lack of modeled N-loss rates from N\* profiles (Figs. 4, A6d). Only at occasional depths in the central-NE OMZ were more severe N-deficits produced (i.e. N-loss), reaching 2– 4 nM N d<sup>-1</sup> according to modeled results. In fact, those were also the depths where potential N<sub>2</sub> production rates of 1–2 nM N<sub>2</sub> d<sup>-1</sup> (equivalent to 2–4 nM N d<sup>-1</sup>) were measured via <sup>15</sup>N-incubation experiments, along with elevated anammox- and denitrifier nirS expression (Figs. 3, A2, A6).

In contrast, over the Omani Shelf where the measured N-loss rates were high, over-<sup>15</sup> all NO<sub>2</sub><sup>-</sup> production appeared to be exceeded by highly active NO<sub>2</sub><sup>-</sup> consumption (Table 1A). Considering the entire dataset for the Arabian Sea OMZ, there was no significant correlation between N-loss rates and NO<sub>2</sub><sup>-</sup> concentrations (Spearman rank-test, p > 0.05). The most active N-loss (anammox) occurred at low to medium NO<sub>2</sub><sup>-</sup> levels, consistent with observations made for the Namibian and Peruvian OMZs (Kuypers <sup>20</sup> et al., 2005; Hamersley et al., 2007; Lam and Kuypers, 2011). Consequently, the aptness of secondary NO<sub>2</sub><sup>-</sup> maximum as an indicator for active N-loss in the OMZs becomes questionable.

N-loss via anammox was directly coupled and significantly correlated with nitrate reduction (Spearman R = 0.619, p < 0.05), DNRA (Spearman R = 0.579, p < 0.005) and <sup>25</sup> ammonia oxidation (Spearman R = 0.556, p < 0.0005), whereas the NO<sub>2</sub><sup>-</sup> level was controlled by the dynamic balance among all these processes. Hence, it is unlikely for NO<sub>2</sub><sup>-</sup> to have a simple and direct relationship with N-loss. When examining the regulation of N-loss, controlling factors for these other concurrent N-cycling processes





should also be taken into account. A multivariate multidimensional scaling analysis (final stress = 0.0633, 5 non-metric dimensions) on all of the rates, nutrients, gene abundance and expression data, not only confirmed the tight interdependence of these processes, but also revealed the strong associations of active N-loss with  $NH_4^+$ , surface

- <sup>5</sup> particulate organic carbon and nitrogen, as well as total microbial abundance and total RNA concentrations (active signals to generate proteins for various cellular reactions) (Fig. A7, Table B3). These associations implied an important role of organic matter in controlling microbial processes including N-loss. This is not surprising as both nitrate reduction and DNRA (and also denitrification, if present) are mainly heterotrophic pro-
- <sup>10</sup> cesses that feed on organic matter, whereas ammonia oxidation and anammox, though both being lithoautotrophic processes, require  $NH_4^+$  that needs to be remineralized from organic matter. The dependence of anammox on  $NH_4^+$  was also apparent in the Arabian Sea (Spearman *R* = 0.57, *p* < 0.0001) and elsewhere (Lam and Kuypers, 2011). In other words, N-loss and the coupling processes should be enhanced in more produc-
- tive water columns. The scarcity of detectable active N-loss in the Central-NE Arabian Sea OMZ would then be best explained by the low availability of labile organic matter sinking from the then quasi-oligotrophic surface waters (chlorophyll-*a* ≤ 0.2 mg m<sup>-3</sup>) (Fig. A8). Relative to the 10-year record, surface chl-*a* concentrations at the time of our sampling seemed rather representative for the central-NE basin, implying that our
   measurements were likely not far from the typical N-cycling activities in these waters (Fig. A8).

Nonetheless, if there was no consistent N-loss activity in the central-NE OMZ, how did the N-deficit therein become one of the largest in the world's Ocean? As N-deficit (or N\*) is a time-integrated signal, it indicates the cumulative N-loss that has occurred throughout the history of the water mass, and does not provide information on in situ N-loss activities. Large cumulative N-deficits could have resulted from (1) consistently high N-loss in a water mass of short residence time, (2) episodically high N-loss in a water mass. For the Central-NE Arabian Sea OMZ, there is little consensus

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on the water residence time therein, with the estimates ranging widely from 1.6 to 54 years (Sen Gupta et al., 1980; Naqvi, 1987; Somasundar and Naqvi, 1988; Olson et al., 1993). However, our hardly detectable N-loss rates at the time of our sampling could only be explained by scenarios (2) and (3), implying more likely a relatively high water residence time within the Central-NE Arabian Sea OMZ. From the measured  $NO_2^-$  inventory and modeled net  $NO_2^-$  production rates through the OMZ (~ 100–1000 m;  $O_2 < 10 \,\mu$ M),  $NO_2^-$ -turnover times were estimated to be 49 ± 20 years (mean ± standard deviation of 4 stations) in the central-NE basin. Although these are not equivalent to water residence times, and the unaccounted horizontal advection would place the actual turnover times somewhat lower, the comparability between our measured and modeled N-loss rate profiles strongly suggested that horizontal advective fluxes were

not exceedingly high to support a much shorter residence time. Hence, high  $NO_2^-$  in the central-NE OMZ is a tenable result of prolonged accumulation of slow net production, which is in the long run balanced by slow exchange with water outside the OMZ, where  $NO_2^-$  is eventually oxidized back to  $NO_3^-$ . Meanwhile, the most negative N<sup>\*</sup> observed

in the central-NE OMZ has likely included some degrees of severe N-loss from basin boundaries, with additional low or episodic N-loss that occurs locally.

Surface primary production in the central-NE Arabian Sea is temporally and spatially patchy in nature, as nutrients are mainly delivered via mesoscale eddies spun off

- from boundary upwelling (Wiggert et al., 2005). Consequently, spatiotemporal heterogeneities are to be expected in N-cycling activities. Indeed, at the time of our sampling, temperature-salinity plots revealed different vertical structures in the water column at various stations and relative to archived data, with apparent signals of vertical mixing or upwelling only at St. 950 in the central-NE and over Omani Shelf (Fig. A9). While
- <sup>25</sup> most of our sampling times in the central-NE basin seemed representative with respect to the 10-year chl-*a* record (compared to enhanced chl-*a* at shelf stations that reflected residual influence of the SW monsoonal upwelling) (Fig. A8), unusually high chl-*a* was recorded at St. 950 one week prior to our sampling but not two weeks before (Fig. A8c). Vertical stratification at St. 950 also seemed to have weakened during our





sampling (Figs. 2h, A9d). These data together strongly suggested the recent passing of an upwelling eddy that has stimulated an episodic algal bloom, and could explain the higher N-loss activity potentials measured at this station (Jensen et al., 2011). How frequent and to what degree these episodic events and mesoscale eddies occur may be deterministic to the overall nitrogen balance in this basin, and should be examined more closely.

#### 4 Conclusions

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In summary, the current study showed that at least at the time of our sampling,  $NO_3^$ reduction was the most consistently active N-cycling process in the central-NE Arabian Sea OMZ. Together with a small degree of ammonia oxidation (upper OMZ), they resulted in a net production and thus accumulation of  $NO_2^-$  in this region. Active  $NO_2^$ consumption via anammox, denitrification and DNRA were likely hampered directly or indirectly by the deficiency of organic matter in the central-NE Arabian Sea, leaving lithoautotrophic  $NO_2^-$ -oxidation to  $NO_3^-$  as the plausible major  $NO_2^-$ -sink based on mod-

- eled calculations. This is not to say that reductive  $NO_2^-$  consumption and active N-loss never occur in the central-NE OMZ at all. Their activities therein are most probably low to undetectable in general, and may be intermitted with occasionally high rates during episodic algal blooms, for instance. A long water residence time could then have enabled the large accumulations of both  $NO_2^-$  and N-deficits (Fig. 5), with the accumulated
- $NO_2^-$  eventually dissipated by slow water exchange and oxidized to  $NO_3^-$ .

Our study has only captured a snapshot of the end of the 2007 SW monsoon, but biological production and subsequent downward fluxes of organic matter are also enhanced during the NE monsoon in the Arabian Sea (Honjo et al., 1999; Wiggert et al., 2005). Further investigations are therefore imperative to assess  $NO_2^-$  dynamics and

N-cycling during the NE monsoon, and to investigate possible seasonal variations throughout the year. On the other hand, as our sampling did take place at a time relatively representative of surface production in the Central-NE Arabian Sea, our results





show that the strong secondary  $NO_2^-$  maximum is most likely a signature of an aged water mass with NO<sub>3</sub><sup>-</sup>-reducing conditions and has experienced past N-loss but fails to result in much further in situ N-loss activity. This may also hold true for other major OMZs in the Eastern Tropical Pacific and Atlantic. Meanwhile, the near-shelf OMZ waters where N-loss in situ activities are high but NO<sub>2</sub><sup>-</sup> hardly accumulates, have rarely 5 been included in global N-budget estimates or model calculations. Consequently, previous N-loss estimates based on few point measurements extrapolating to large volumes of NO<sub>2</sub><sup>-</sup>-laden OMZ waters would be erroneous. For an accurate assessment of the Ndynamics in the Arabian Sea and so its true significance in global N-balance, more interdisciplinary field and modeling studies of high spatiotemporal resolution should be extended to coastal regions, and the time and modes of ventilation from the shelves to the central-NE OMZ need to be more fully appraised. Our findings re-emphasize the fact that NO<sub>2</sub>, being a dynamic intermediate, accumulates in the oceans because of a set of ill conditions (e.g. low availability of labile organic matter) that hinders further oxidation/reduction in the N-cycle. In the Arabian Sea OMZ and perhaps others, it is likely that the water upstream rather than in the heart of secondary  $NO_2^-$  maxima

where high reductive  $NO_2^-$  consumption rates are to be expected, along with most other microbial processes.

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Table 1. (A) Estimated depth-integrated rates of  $NO_2^-$  sources and sinks in the Arabian Sea OMZ ( $O_2 < 10 \,\mu$ M) over the Omani Shelf vs. the Central-Northeastern basin, based on rates measured via <sup>15</sup>N-incubation experiments. (B) Modeled fluxes of  $NH_4^+$ ,  $NO_2^-$ ,  $NO_3^-$ ,  $N_2O$  and net N-loss in the central-northeastern Arabian Sea OMZ. All rates are expressed in units of mmol N m<sup> $^{-2}$ </sup> d<sup> $^{-1}$ </sup>. The net NO<sub>2</sub><sup> $^{-}$ </sup> balance in the central-NE OMZ estimated from the measured and modeled fluxes are highlighted in italic for comparison. The respective integrated N-loss estimates are indicated in bold.

(A) Measured fluxes Shelf** Central-NE				(B) Modeled net fluxes Central-NE Basin		
	St. 946	St. 957		St. 957	Mean (±SD) of 4 stations	
NO <sub>2</sub> <sup>-</sup> sources			Depth	100–1000 m	~ 100–1000 m*	
NO <sup>1</sup> / <sub>3</sub> reduction	>4.81 <sup>a</sup>	6.91	0 <sub>2</sub>	< 10 µM	< 10 µM	
$NH_3$ oxidation	0.17	0.24 <sup>b</sup>				
Total	>4.98	7.15	$NO_3^-$	0.08	$0.19 \pm 0.16$	
NO <sub>2</sub> <sup>-</sup> sinks			$NO_2^-$	0.09	$0.05 \pm 0.03$	
Anammox	2.45	0.06 <sup>c</sup>	N <sub>2</sub> O <sup>e</sup>		0.00042	
NO <sub>2</sub> oxidation <sup>d</sup>	≥ 0.17	7.03	$NH_4^+$	-0.00014	$-0.0002 \pm 0.0002$	
DNRA	12.04	0				
Total	≥ 14.66	7.09				
NO <sub>2</sub> Balance	≥ -9.68**	0.06				
Total N-loss	4.91	0.12 <sup>C</sup>	N-loss <sup>f</sup>	0.15	$0.11 \pm 0.05$	

**Discussion** Paper Abstract Introduction **Discussion** Paper Conclusions References Tables Figures <sup>a</sup> No data from St. 946; data taken from St. 944, but due to oxygen intrusion in mid-water that might have lowered NO<sub>3</sub><sup>-</sup> reduction, higher rates for St. 946 is expected. In addition, because anammox and DNRA rates were relatively high at this station, the gross NO<sub>2</sub><sup>-</sup> reduction rates should be higher than the net <sup>b</sup> Data from St. 953 where in situ O<sub>2</sub> concentrations were used in experiments for the upper OMZ, since only anoxic incubations were conducted at St. 957. Back Close **Discussion** Paper <sup>d</sup> Rates estimated as the sum of modeled net change in NO $_3^-$  (not NO $_2^-$ ) and the measured NO $_3^-$  reduction rates for St. 957. Due to horizontal advection over Full Screen / Esc the Omani Shelf, NO<sub>3</sub><sup>-</sup> fluxes cannot be estimated with the current models for St. 946. Instead, as NH<sub>3</sub> oxidation (first step of nitrification) was detected, we

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e From St. 950.

<sup>†</sup> Modeled net N-loss rates were calculated as the net production of more negative N<sup>\*</sup>.

estimate NO<sub>2</sub><sup>-</sup> oxidation rates to be at least equal to NH<sub>3</sub> oxidation rates.

rates listed - unlike the lack of significant anammox and DNRA in the central-NE OMZ.

\* Except for St. 950 where the OMZ started at 155 m.

<sup>c</sup> Potential rates only from experiments with  ${}^{15}NO_2^- + {}^{14}NH_4^+$ 

\*\* Because of the current regimes over/near shelf regions, advective inputs and outputs are likely significant that the calculated balance should not be taken too literally. Besides, as only net NO<sub>3</sub><sup>-</sup> reduction rates are listed here, the large amounts of NO<sub>2</sub><sup>-</sup> consumed via anammox and DNRA would imply significantly higher gross NO<sub>2</sub> reduction, whereas the insignificant anammox and DNRA rates in the central-NE render the net rates reasonable estimates therein.

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**Table B1.** Ammonia oxidation rates measured via  ${}^{15}NH_4^+$ -incubation experiments at various controlled oxygen levels in the Central-NE Arabian Sea OMZ. Rates are determined as the slope (± standard error) in a linear regression between  ${}^{15}NO_2^-$  production and incubation time.

Sample	Oxygen (µM)	Ammonia oxidation rates $(nMNd^{-1})$
St. 949 (150 m)	2	0
in situ $NH_4^+ = 30.7 \text{ nM}$	5	0
in situ O <sub>2</sub> = 4.6 μM	8	$1.2 \pm 0.2$
	12	$3.6 \pm 0.04$
St. 953 (125 m)	0	0
in situ $NH_4^+ = 3.9 nM$	2.5	0
in situ O <sub>2</sub> = 3.9 μM	5	0
	10	$3.5 \pm 0.3$





**Table B2.** Primers used in the detection of various N-cycling functional genes and their expression in this study. Unless otherwise stated, the same PCR protocols are used for real-time PCR with the following modifications: (1) the initial denaturing step was 10 min at 95°C, (2) no final extension, (3) 50 cycles were performed followed by melting analyses<sup>1</sup>. Primers designed in this study are marked by asterisks. For real-time PCR, the SYBR Green chemistry was used in most cases, except when a probe was listed alongside the primers, in which case the TaqMan chemistry was applied. "F" indicates false positive PCR products of targeted size identified after cloning and sequencing.

Functional group	Target gene	Primers	Sequence (5'-3')	PCR conditions	Ref.	(RT)-PCR/ sequencing	Real-time PCR
Anammox	nirS	Scnir372F	TGT AGC CAG CAT TGT AGC GT	95 °C for 2 min, 30 × (95 °C for 45s, 60 °C for	2	+	+
(Scalindua)	nirS	Scnir845R	TCA AGC CAG ACC CAT TTG CT	1 min, 72°C for 1 min), 72°C for 15 min	2	+	+
Denitrifier	nirS	cd3aF	GTS AAC GTS AAG GAR ACS GG	94 °C for 2 min, 35 × (94 °C for 30 s, 51 °C for	3	+	+
	nirS	R3cd	GAS TTC GGR TGS GTC TTG A	1 min, 72 °C for 1 min), 72 °C for 10 min	4	+	+
Denitrifier	nirS	nirS1F	CCT AYT GGC CGC CRC ART	Touchdown PCR: 95°C for 5 min, 10 × [95°C	5	+	
	nirS	nirS6R	CGT TGA ACT TRC CGG T	for 30 s, 55 °C (-0.5 °C per cycle) for 30 s,72 °C for 1 min], 25 × [95 °C for 30 s, 49 °C for 30 s, 72 °C for 1 min]; 72 °C for 7 min	5	+	
Nitrate reducers	narG	narG1960f	TAY GTS GGS CAR GAR AA	Touchdown PCR: $95^{\circ}$ C for 5 min, $10 \times [94^{\circ}$ C for 30 s, $60^{\circ}$ C ( $-0.5^{\circ}$ C per cycle) for 30 s, $72^{\circ}$ C for 6 min]. $30 \times [95^{\circ}$ C for 30 s, $49^{\circ}$ C for 30 s.	6	+	
	narG	narG2650r	TYT CRT ACC ABG TBG C	72°C for 1 min]; 72°C for 7 min Beal-time PCB: 95°C for 10 min, 40 x (95°C	6	+	
				for 45 s, 51 °C for 1 min, 72 °C for 3 min), 72 °C for 15 min	2		+
DNRA	nrfA	nrfA F1	GCN TGY TGG WSN TGY AA	Touchdown PCR: $94^{\circ}$ C for 5 min; $30 \times$ [ $94^{\circ}$ C for 1 min, $60^{\circ}$ C ( $-0.5^{\circ}$ C per cycle) for 1 min, $72^{\circ}$ C for $90 \times 30 \times 194^{\circ}$ C for $30 \times 194^{\circ}$ C	7	+	
	nrfA	nrfA R1	TWN GGC ATR TGR CAR TC	45°C for 30 s, 72°C for 1 min]; 72°C for 10 min	7	+	
DNRA	nrfA	nrfA6F	GAY TGC CAY ATG CCR AAA GT	Real-time PCR: 95 °C for 10 min, 40 × [94 °C	8		+
	nrfA	nrfA6R	GCB KCT TTY GCT TCR AAG TG	for 20 s, 54.5 °C for 30 s, 72 °C for 1 min]	8		+
$\beta$ -proteobacterial	amoA	amoA1F'	GGG GTT TCT ACT GGT GG	94 °C for 3 min, 30 × (94 °C for 20 s, 55 °C for	9	+	+
ammonia- oxidizers	amoA	amoA2R	CCT CKG SAA AGC CTT CTT C	1 min, 72 °C for 1 min), 72 °C for 10 min	9	+	+
$\gamma$ -proteobacterial	amoA	amoA3F	GGT GAG TGG GYT AAC MG	94 °C for 3 min, 30 × (94 °C for 20 s, 48 °C for	10	+	+
ammonia oxidizers	amoA	amoB4R	GCT AGC CAC TTT CTG G	1 min, 72°C for 1 min), 72°C for 10 min	10	+	+
Archaeal	amoA	Arch-amoAF	STA ATG GTC TGG CTT AGA CG	95 °C for 5 min, 30 × (94 °C for 45 s, 53 °C for	11	+	+
ammonia- oxidizers	amoA	Arch-amoAR	GCG GCC ATC CAT CTG TAT GT	1 min, 72 $^\circ\text{C}$ for 1 min), 72 $^\circ\text{C}$ for 15 min	11	+	+
Nitric oxide	cnorB	norB1F	CGN GAR TTY CTS GAR CAR CC	94 °C for 4 min, 30 × [94 °C for 1 min, 50 °C	12		
reducers	cnorB	norB3R	CCY TCV ACC CAG ASA TGC AC	for 1.5 min, 72°C for 2 min], 72°C for 5 min	12	+/F	
(cytochrome bc containing norB)	cnorB	norB8R	CRT ADG CVC CRW AGA AVG C	(with norB1F): 94 °C for 4 min, 30 × [94 °C for 1 min, 55 °C for 1 min, 72 °C for 1 min], 72 °C for 7 min	12	+/F	
	cnorB	cnorB1F	GAR TTY CTN GAR CAR CC	Touchdown PCR: 95°C for 5 min, 10 × [95°C	13	+/F	
	cnorB	cnorB2F	GAC AAG NNN TAC TGG TGG T	for 30 s, 57 °C (-0.5 °C per cycle) for 40 s, 72 °C	13	+/F	
	cnorB	cnorB6R	GAA NCC CCA NAC NCC NGC	for 1 min], 30 × [95 °C for 30 s, 55 °C for 40 s, 72 °C for 1 min]; 72 °C for 7 min	13		

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#### Table B2. Continued.

Functional group	Target gene	Primers	Sequence (5'-3')	PCR conditions	Ref.	(RT)-PCR/ sequencing	Real-time PCR
Arabian Sea cluster 2	cnorB	ASc2-1f	GAR TTY CTN GAG CAG CC	Real-time PCR: 50 °C for 2 min, 95 °C for 10 min, 50 × [95 °C for 15 s, 54 °C for 30 s, 72 °C	•		+
	cnorB	ASc2-307r	TTA CYT CRC GGT CAA CAC C	for 1 min, 76 °C for 10 s (data recording)]	*		+
Arabian Sea	cnorB	ASc3-179f	ACC TTG CGG TGG ACA AGA TGT ACT	Real-time PCR: 50 °C for 2 min, 95 °C for 10 min, 50 ×			-
cluster 3	cnorB	ASc3-239 probe	GGG AAC TGA TCA TGG CGT CGA TCC TGG CGT	[95 °C for 15 s, 58 °C for 1 min]	·		-
	cnorB	ASc3-378r	ATA GTG ATG GCC GGT ACC CAG AAT		•		-
Arabian Sea	cnorB	ASc4-34f	GGC GTA TGG GAA TTG ATC ATG GCT	Real-time PCR: 50 °C for 2 min, 95 °C for 10 min, 50 ×	•		+
cluster 4	cnorB	ASc4-86 probe	TGA CCG GTG TGG ATC GCG AAG TGA TCG AAA	[95 °C for 15 s, 60 °C for 1 min]	•		+
	cnorB	ASc4-153r	GGA GAA CAG TGC CAA CCC AAC AAT		*		+
Nitric oxide	qnorB	qnorB2F	GGN CAY CAR GGN TAY GA	Touchdown PCR: 95 °C for 5 min, 10 × [95 °C for	13		
reducers (quinol-	qnorB	qnorB7R	GGN GGR TTD ATC ADG AAN CC	30 s, 57 °C (-0.5 °C per cycle) for 40 s,72 °C	13	+/F	
containing norB)	qnorB	qnorB5H	ACC CAN AGR I GN ACN ACC CAC CA	tor 1 min], 30 × [95°C for 30 s, 55°C for 40 s, 72°C for 1 min]; 72°C for 7 min	13	-	
Arabian Sea	qnorB	ASqnor270f	ATT CTT CGA GGT CTT TGC CAC G	Real-time PCR: 50°C for 2 min, 95°C for 10 min,			+
cluster	qnorB	ASqnor458 probe	TGT TCA GTG CTC TGG AAG TGG TGC CGC T	50 × [95 °C for 15 s, 60 °C for 2 min]	•		+
	qnorB	ASqnor566r	ATC GGC CAT TTG TAA CGC TGG A		*		+
Denitrifiers	nosZ	nosZ-F	CGY TGT TCM TCG ACA GCC AG	94 °C for 2 min, 35 × (94 °C for 30 s, 50 °C for	14		
(nitrous oxide	nosZ	nosZ-R	CAT GTG CAG NGC RTG GCA GAA	1 min, 72 °C for 1 min), 72 °C for 10 min	14	-	
reducers)	nosZ	Nos1773R	AAC GAV CAG YTG ATC GAY AT	(with nosZ-F): 94 °C for 2 min, 35 × (94 °C for 30 s, 55 °C for 1 min, 72 °C for 1 min), 72 °C for 10 min	15	-	
	nosZ	nosZ1622R	CGC RAS GGC AAS AAG GTS CG	(with nosZ-F): 94 °C for 2 min, 35 × (94 °C for 30 s, 53 °C for 1 min, 72 °C for 1 min), 72 °C for 10 min	4	F	
Nitrogen fixers	nifH	nifH3	ATR TTR TTN GCN GCR TA	95 °C for 5 min, 30 × [95 °C for 1 min, 55 °C for	16	-	
-	nifH	nifH4	TTY TAY GGN AAR GGN GG	1 min, 72 °C for 1 min], 72 °C for 5 min	16	-	
	nifH	nifH1	TGY GAY CCN AAR GCN GA	Nested PCR from nifH3-nifH4: 95 °C for 5 min,	16	F	
	nifH	nifH2	AND GCC ATC ATY TCN CC	30 × [95 °C for 1 min, 55 °C for 1 min, 72 °C for 1 min], 72 °C for 5 min	16	F	
Crenarchaea	16S rRNA	Cren334F	AGA TGG GTA CTG AGA CAC GGA C	Real-time PCR: 50°C for 2 min, 95°C for 10 min,	1		+
	16S rRNA	Cren554R	CTG TAG GCC CAA TAA TCA TCC T	40 × [95 °C for 15 s, 60 °C for 1 min]	1		+
	16S rRNA	Cren519 probe	TTA CCG CGG CGG CTG ACA C		1		+

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**Table B3.** The measured variables and the respective abbreviations used in the non-metric multidimensional scaling analysis (Fig. A7) based on Spearman rank correlation in this study. Five final dimensions were chosen with a final stress of 0.0633. Analyses were conducted with the Statistics Toolbox of Matlab (R2008b, The Mathworks, Inc.).

Abbreviations	Measured variables
ndens	Neutral density
O <sub>2</sub>	Dissolved oxygen
$NH_4$	Ammonium concentration
NO <sub>2</sub>	Nitrite concentration
NO <sub>3</sub>	Nitrate concentration
N*	Nitrogen deficit expressed as $N^* = [Total measured inorganic N] - 16[PO_4^{3-}]$
	+2.9 × density
PO <sub>4</sub>	Phosphate concentration
N <sub>2</sub> O	Nitrous oxide concentration
PN	Surface particulate nitrogen
POC	Surface particulate organic carbon
CN	Particulate carbon to nitrogen ratios
AO	Ammonia oxidation rates
NR	Nitrate reduction rates
$AmxNH_4$	Anammox rates measured via <sup>15</sup> NH <sup>+</sup> <sub>4</sub> incubations
AmxNO <sub>2</sub>	Anammox rates measured via $^{15}NO_2^-$ incubations
pAmxNO <sub>2</sub>	Potential anammox rates measured via $^{15}NO_{2}^{-} + ^{14}NH_{4}^{+}$ incubations
pAmxNH₄	Potential anammox rates measured via ${}^{15}NH_4^{+} + {}^{14}NO_2^{-}$ incubations
DNRA T	Dissimilatory nitrate/nitrite reduction rates
BS820	Anammox bacterial cell abundance enumerated via CARD-FISH with anammox-
	specific probe BS820c
RNA	Total RNA concentration
DNA	Total DNA concentration
RNA:DNA	Total RNA:DNA ratios
FCM	Total microbial abundance measured via flow cytometry
Cren16S	Crenarchaeal abundance measured by 16S rDNA targeted real-time PCR
AamoAm	Archaeal ammonia monooxygenase subunit A (amoA) gene expression levels
	as mRNA





#### Table B3. Continued.

Abbreviations	Measured variables
AamoAd	Archaeal amoA gene abundance
RAmoA	Gene expression ratios (mRNA: DNA) of archaeal amoA genes
AamoA:16S	Ratios of archaeal amoA gene copies to crenarchaeal 16S rRNA gene copies
bamoAm	$\beta$ -Proteobacterial amoA gene expression levels as mRNA
bamoAd	eta-Proteobacterial amoA gene abundance
RbamoA	Gene expression ratios (mRNA: DNA) of $\beta$ -proteobacterial amoA genes
gamoAm	$\gamma$ -Proteobacterial amoA gene expression levels as mRNA
gamoAd	$\gamma$ -Proteobacterial amoA gene abundance
RgamoA	Gene expression ratios (mRNA: DNA) of $\gamma$ -proteobacterial amoA genes
Scnirm	Anammox (Scalindua)-specific cd <sub>1</sub> -nitrite reductase gene (nirS) expression levels as mRNA
Scnird	Anammox (Scalindua)-specific nirS gene abundance
RScnir	Gene expression ratios (mRNA: DNA) of Scalindua-specific nirS genes
nirSm	Denitrifier nirS gene expression levels as mRNA
nirSd	Denitrifier nirS gene abundance
RnirS	Gene expression ratios (mRNA: DNA) of denitrifier nirS genes
narGm	Membrane-bound nitrate reductase gene large subunit (narG) expression levels as mRNA
narGd	narG gene abundance
RnarG	Gene expression ratios (mRNA: DNA) of narG
nrfAm	Cytochrome $c$ nitrite reductase subunit A (nrfA) gene expression levels as mRNA
nrfAd	nrfA gene abundance
RnrfA	Gene expression ratios (mRNA: DNA) of nrfA genes
cnorBc2m	Expression levels of Arabian Sea cluster 2 cytochrome bc containing nitric oxide reductase subunit B (cnorB) genes as mRNA
cnorBc4m	Arabian Sea cluster 4 cnorB gene expression as mRNA
TnorBm	Total Arabian Sea type quinol- and cytochrome-bc-containing norB gene expressions as mRNA







**Fig. 1.** Sampling stations (stars and station numbers) along cruise track (yellow) in the Arabian Sea in September/October 2007. The red dashed line marks the prominent secondary  $NO_2^-$  maximum of  $\geq 1 \ \mu$ M in the central-northeastern basin (Naqvi, 1991).







**Fig. 2.** Distribution of dissolved inorganic nitrogen, phosphate and oxygen throughout the oxygen minimum zone along the cruise track from the Omani Shelf leading to the Central-Northeastern Arabian Sea, plotted against neutral density: **(a)** nitrate, **(b)** nitrite, **(c)** ammonium, **(d)** nitrous oxide, **(e)** nitrogen deficits as N<sup>\*</sup> (in  $\mu$ M = [Total inorganic nitrogen]-16[PO<sub>4</sub><sup>3-</sup>] + 2.9  $\mu$ mol kg<sup>-1</sup> × density), **(f)** phosphate, **(g)** dissolved oxygen and **(h)** the corresponding depths along the neutral density surfaces.







Fig. 3. Typical vertical distributions of various chemical and N-cycling functional gene expressions observed in the Central-Northeastern Arabian Sea OMZ (St. 957, upper panels) and the Omani Shelf OMZ (St. 946, lower panels): (a) oxygen and NO<sub>2</sub>, (b) anammox rates and the nirS expression of anammox- and denitrifier-nirS genes, (c) DNRA rates and nrfA expression, (d) nitrate reduction rates and narG expression, (e) ammonia oxidation rates, crenarchaeal and bacterial amoA expressions, (f) N<sub>2</sub>O and the expression of various forms of quinol- and cytochrome-containing norB genes. The N<sub>2</sub>O profile for central-NE basin was obtained from St. 950. Please note the different scales used to accommodate the much higher values obtained over the shelf as highlighted in bold. Error bars for rates are standard errors calculated from linear regression, and those for gene expressions represent standard deviations from triplicate real-time PCR runs. Although denitrification rate measurements were made, there was no convincing evidence of its active occurrence.







**Fig. 4. (a)** Vertical distribution of oxygen in the Central-NE Arabian Sea (St. 957), along with the corresponding profiles of measured concentrations of inorganic nitrogen, based on which net production (positive) or consumption (negative) rates were modeled: **(b)**  $NH_4^+$ , **(c)**  $NO_2^-$ , **(d)**  $NO_3^-$  and **(e)** N<sup>\*</sup>. In case of **(e)** N<sup>\*</sup>, positive rates reflect production of more severe N-deficits (i.e. more negative N<sup>\*</sup>). Also shown in **(f)** are the profiles of N<sub>2</sub>O concentrations and modeled consumption/production from St. 950. Green bars indicate depths at which modeled rates fell within detectable ranges via isotope pairing techniques. The shaded area marks the surface mixed layer, for which the modeled rates should be treated with caution.





**Fig. 5.** A conceptual diagram illustrating that in the Central-NE Arabian Sea OMZ, total NO<sub>2</sub><sup>-</sup> influx via NO<sub>3</sub><sup>-</sup> reduction (NR) and to a lesser extent NH<sub>3</sub> oxidation (AO), likely exceeds the combined NO<sub>2</sub><sup>-</sup> losses via mainly NO<sub>2</sub><sup>-</sup> oxidation (NO), and perhaps some low/intermittent (dashed arrows) anammox (Amx), dentrification (Dn) and dissimilatory nitrite reduction to ammonium (DNRA). The resultant slow accumulation, along with probably a long water residence time ( $\tau$ ), results in high NO<sub>2</sub><sup>-</sup> concentrations (C) in the central-NE OMZ. In comparison, despite the apparently higher input rates detected over the shelf (thicker arrows of AO and NR), the similarly high outputs seem to roughly balance the high total inputs, thus maintaining a low NO<sub>2</sub><sup>-</sup> concentration in the shelf OMZ. Because no convincing evidence has been found to unambiguously confirm denitrification in the current study, this is shown here as dashed arrows to indicate its possible potentials only. Also shown in this diagram are the advective influxes (AV<sub>i</sub>) and outfluxes (AV<sub>e</sub>), which are postulated to be greater over shelf regions (thus shorter  $\tau$  therein) than in the central-NE OMZ; but they have not been assessed in the current study (as indicated by "?").







archaeal amoA (x10<sup>4</sup> mRNA L<sup>-1</sup>) Total norB (x10<sup>4</sup> mRNA L<sup>-1</sup>)

Fig. A1. Vertical distribution of (a) oxygen and nitrite concentrations over the Omani Shelf at Station 944, along with measured N-transformation rates and the corresponding functional gene expression levels: (b) anammox rates, anammox- and denitrifier-nirS expression, (c) DNRA rates and nrfA expression, (d) nitrate reduction and narG expression, (e) ammonium oxidation rates, archaeal and bacterial amoA expressions, (f) N<sub>2</sub>O and various forms of norB expressions.







**Fig. A2.** Vertical distribution of various chemical properties, N-transformation rates and the corresponding functional gene expressions at Sts. 949 (top), 953 (middle) and 955 (bottom) in the Central Northeastern Arabian Sea OMZ: (a) oxygen and nitrite concentrations, (b) anammox rates, anammox- and denitrifier-nirS expression, (c) DNRA rates and nrfA expression, (d) nitrate reduction and narG expression, (e) ammonium oxidation rates, archaeal and bacterial amoA expressions, (f)  $N_2O$  and various forms of norB expressions.



CC II



**Fig. A3.** Vertical distribution of gene abundance (black open symbols) and gene expression ratios (mRNA: DNA) (blue solid diamonds) at three stations (top: St. 957, middle: St. 955, bottom: St. 953) in the Central Northeastern Arabian Sea OMZ that was characterized by prominent secondary nitrite maxima: (a) anammox (Scalindua-specific) nirS gene, (b) denitrifier nirS genes, (c) narG genes, (d) archaeal amoA genes, (e)  $\beta$ -proteobacterial amoA genes, (f)  $\gamma$ -proteobacterial amoA genes and (g) nrfA genes.



## CC ①



**Fig. A4.** Vertical distribution of gene abundance (black open symbols) and gene expression ratios (mRNA: DNA) (blue solid diamonds) in the OMZ water column over the Omani Shelf (top two rows) and in the open ocean at the southwestern edge of the prominent secondary nitrite maximum: (a) anammox (Scalindua-specific) nirS gene, (b) denitrifier nirS genes, (c) narG genes, (d) archaeal amoAgenes, (e)  $\beta$ -proteobacterial amoA genes, (f)  $\gamma$ -proteobacterial amoA genes and (g) nrfA genes.









CC D

**Fig. A5.** A phylogenetic recontruction of quinol- and cytochrome *c*-containing nitric oxide reductase subunit B (qNorB and cNorB, respectively) based on deduced amino acid sequences obtained in the Arabian Sea OMZ (bold) in this study. Shown here is the best maximum likelihood (ML) tree after 100 resamplings (bootstrap values of ML/maximum parsimony, MP, are shown), and its topology is supported by both MP and distance matrix methods. Only sequences of  $\geq$  190 amino acids long were used in tree construction, while sequences in italics were shorter and added afterwards based on MP, if gene sequences had < 99% sequence identity as representatives already shown. Highlighted in boxes are sequences targeted by quantitative PCR with specifically designed primers and probes. Branch lengths are in sequence divergence.



**Fig. A6.** (a) Vertical distribution of oxygen at the four open-ocean Arabian Sea stations within the zone of prominent secondary nitrite maxima (> 0.2  $\mu$ M) in the OMZ, along with the corresponding profiles of measured concentrations of inorganic nitrogen, based on which net production (positive) or consumption (negative) rates were modeled: (b) NH<sub>4</sub><sup>+</sup>, (c) NO<sub>2</sub><sup>-</sup>, (d) NO<sub>3</sub><sup>-</sup>, (e) N<sup>\*</sup> and (f) N<sub>2</sub>O. In case of (e) N<sup>\*</sup>, positive rates reflect production of more severe N-deficits (i.e. more negative N<sup>\*</sup>). Please note the different scales used in (b) and (c). The shaded areas mark the surface mixed layers, for which the modeled rates should be treated with caution. Dashed lines are reference lines for zero modeled production/consumption rates. Due to the apparent intrusion of the more oxic Persian Gulf Water at St. 944 and upwelling at Sts. 946 and 949, which violate the assumptions of the applied model, modeled results for these latter stations are not shown here.



CC II





**Fig. A7.** Non-metric multidimensional scaling analyses based on Spearman correlation of the various chemical and molecular parameters measured at all sampling stations in this study. Five dimensions were chosen with a final stress of 0.0633. Plotted above are the various measured parameters in the space of the resultant dimensions: (a) dimension 2 vs. 1, (b) dimension 3 vs. 1, (c) dimension 4 vs. 1 and (d) dimension 5 vs. 1. Apparent are especially the tight associations among the rates of various N-cycling processes (red), as well as their consistently close associations with ammonium and particulate organic matter (green). Only moderate and not always consistent relationship can be discerned between rates and nitrite (orange), while the latter seem to be associated with nitrate reduction (NR) and ammonia oxidation (AO). Most N-cycling rates show antagonistic relationships with nitrate, N\* (in negative values) and nitrous oxide (dark cyan). Expression levels of various biomarker functional genes were marked in purple. Please refer to Table B3 for the abbreviations used for the variables analysed.









Fig. A8. Histograms showing frequency distributions of surface chl-a concentrations over a 10year period (1998-2008) at the various sampling stations (averaged over 1° × 1° grids), based on satellite data from Sea-viewing Wide Field-of view Sensor (SeaWIFS): (a) St. 944, (b) St. 946, (c) St. 949, (d) St. 950, (e) St. 953, (f) St. 955 and (g) St. 957. The same scale for chl-a is used for all stations. The thick dashed lines represent the median values for the respective stations, while the two thin dashed lines mark the upper and lower quartiles. Red lines show the 8-day mean surface chl-a obtained in the week of sampling, and the exact sampling dates are shown in parentheses. The green and blue arrowheads indicate the 8-day means in the second and third weeks of September, 2007. As sinking organic matter takes time to travel from the surface to the underlying OMZ (starting at  $\sim$  100 m depth), surface production during these 1-2 weeks before sampling may be more relevant to the microbial processes within the OMZ under investigation. Due to atmospheric interference (e.g. cloud cover, aerosols) particularly over the Omani Shelf, some 8-day means are unavailable for that region. Ocean color data used in this study were produced by the SeaWiFS Project at Goddard Space Flight Center. The data were obtained from the Goddard Earth Sciences Distributed Active Archive Center under the auspices of the National Aeronautics and Space Administration (NASA). Use of this data is in accord with the SeaWiFS Research Data Use Terms and Conditions Agreement.









