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# Recent global CO<sub>2</sub> flux inferred from atmospheric CO<sub>2</sub> observations and its regional analyses

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## Abstract

The net surface exchange of CO<sub>2</sub> for the years 2002–2007 is inferred from 12 181 atmospheric CO<sub>2</sub> concentration data with a time-dependent Bayesian synthesis inversion scheme. Monthly CO<sub>2</sub> fluxes are optimized for 30 regions of the North America and 20 regions for the rest of the globe. Although there have been many previous multiyear inversion studies, the reliability of atmospheric inversion techniques is not yet been systematically evaluated for quantifying regional interannual variability in the carbon cycle. In this study, the global interannual variability of the CO<sub>2</sub> flux is found to be dominated by terrestrial ecosystems and is mostly caused by tropical land, and the variations of regional terrestrial carbon fluxes are closely related to climate variations. These interannual variations are mostly caused by abnormal meteorological conditions in a few months in the year or part of a growing season and cannot be well represented using annual means, suggesting that we should pay attention to monthly or submonthly climate variations in ecosystem modeling. We find that, excluding fossil fuel and biomass burning emissions, terrestrial ecosystems and oceans absorb an average of 3.63±0.49 and 1.94±0.41 Pg C/yr, respectively. The terrestrial uptake is mainly in northern land while the tropical and southern lands contribute 0.62±0.47, and 0.67±0.34 Pg C/yr to the sink, respectively. In North America, terrestrial ecosystems absorb 0.89±0.18 Pg C/yr on average with a strong flux density found in the south-east of the continent.

## 1 Introduction

The inexorable increase of atmospheric CO<sub>2</sub> during the past 200 years is believed to be the major contributor to the warming of the climate system. However, in the past half century only about 40% of the anthropogenic emissions remains in the atmosphere (Jones et al., 2005; Canadell et al., 2007); the remainder is absorbed by the oceans and fixed by the terrestrial biosphere. Information on the spatial and temporal

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distributions of the carbon flux is critical to understanding and managing, if possible, the global carbon cycle to prevent potentially catastrophic climate change.

Global atmospheric CO<sub>2</sub> concentration observations have been one of the most important dataset in quantifying and understanding the global carbon cycle. In the early years, scientists utilized the measurements from limited sites to analyze the temporal variability of the global carbon cycle. As more sites are added to the global observation network, inverse techniques have been developed to understand both the temporal and spatial distributions of carbon exchange across the surface of the globe.

The Bayesian synthesis inversion (Enting et al., 1995) and its variants have been used extensively in estimating global or regional CO<sub>2</sub> fluxes (e.g., Fan et al., 1998; Rayner et al., 1999; Ciais et al., 2000; Gurney et al., 2002, 2003; Law et al., 2003). In recent years, the progress of inversion is made in two directions (Gurney et al., 2008): (i) estimating fluxes with finer spatial resolutions (Kaminski et al., 1999; Rödenbeck et al., 2003; Peters et al., 2007; 2005; Mueller et al., 2008; Lokupitiya et al., 2008) and (ii) understanding its interannual variability (e.g., Bousquet et al., 2000; Rödenbeck et al., 2003; Peylin et al., 2005; Patra et al., 2005; Baker et al., 2006; Bruhwiler et al., 2007; Gurney et al., 2008; Rayner et al., 2008; Peters et al., 2010). Although different inversions generally agree on flux estimates at the hemisphere scale, large discrepancies still exist at the regional scale because of the limitations in observations used, models employed, and other setups of these inversions.

Considering the fact that inverse techniques compare the spatiotemporal distributions of simulated CO<sub>2</sub> concentrations in the atmosphere using an atmospheric transport model with observations at discrete sites over the globe, more continental observations should be used to estimate sub-continental scale terrestrial CO<sub>2</sub> fluxes (Deng et al., 2007). However, large diurnal variations of PBL at continental sites could have caused large diurnal variations of CO<sub>2</sub> concentration and hence can produce substantial biases in the inversion result if a transport model is used without considering the diurnal variations.

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Understanding the feedbacks between the carbon cycle and the climate system is critical for projecting change in climate. Recent climate-carbon cycle coupled models have shown that climate warming could induce a reduction in both land and ocean carbon sinks in the future. Consequently, this climate-carbon cycle interaction results in a positive feedback and an addition of atmospheric CO<sub>2</sub> that ranges between 20 and 220 ppm by 2100 (Cox et al., 2000; Dufresne et al., 2002; Friedlingstein et al., 2006) from different models. Several atmospheric inversion experiments (e.g., Rödenbeck et al., 2003; Peylin et al., 2005; Baker et al., 2006; Gurney et al., 2008; Rayner et al., 1999; Rayner et al., 2005; Rayner et al., 2008) have shown that the interannual variability of inverted fluxes could be reasonably explained by extreme climate and other events, such as ENSO, and the eruption of Mt. Pinatubo in 1991. Further investigation of the relationship of the inverted fluxes with climatic conditions at a regional scale would assist us indirectly validating our inversion results and revealing how the carbon cycle could response to the change in climatic conditions. The knowledge of this response at a regional scale would possibly provide some guidance in developing the next generation of coupled carbon-climate models.

In this study, we continue monthly inversion based on the Bayesian principle, for estimating carbon fluxes of 30 regions in North America and 20 regions for the rest of the globe (Fig. 1) (Deng et al., 2007) and extend it to a 6 years (2002–2007) period. Both the seasonal and diurnal rectifier effects (Denning et al., 1996) are also considered. Through this inversion, we will make an effort to (1) improve the estimation of the spatial distribution of CO<sub>2</sub> sources and sinks and their uncertainties over the Earth's surface, especially over North America, (2) examine the interannual variability of the global CO<sub>2</sub> flux and locate the main source of the variability, and (3) most importantly, explain the inverted regional fluxes and their anomalies in terms of monthly climatic conditions and their anomalies to observe whether our inverted results reflect the likely state of the regional terrestrial carbon exchange.

The remainder of this paper is organized as follows. Section 2 provides a brief explanation of the methodology and datasets used for our inversion and climatic data

used to analyze our inverted terrestrial carbon fluxes. Section 3 presents the major results in three areas: (1) the spatial distribution of carbon sources and sinks, (2) the interannual variability of the fluxes, and (3) the link between inverted regional fluxes and climatic conditions. In Sect. 4, we compare the results of our inversion with bottom-up modeling and other inversions, and highlight the importance of climate anomalies at finer temporal resolution for terrestrial carbon cycle modeling.

## 2 Data and methods

### 2.1 Inversion method and data

The inversion technique we use in this study is the time-dependent Bayesian inversion considering both the diurnal variations of the atmospheric boundary layer dynamics and the surface CO<sub>2</sub> exchange. The inversion problem reduces to minimizing the cost function (Enting et al., 1995; Rayner et al., 1999)

$$J = \frac{1}{2}(\mathbf{M}\mathbf{s} - \mathbf{c})^T \mathbf{R}^{-1}(\mathbf{M}\mathbf{s} - \mathbf{c}) + \frac{1}{2}(\mathbf{s} - \mathbf{s}_p)^T \mathbf{Q}^{-1}(\mathbf{s} - \mathbf{s}_p). \quad (1)$$

where  $\mathbf{M}$  is a matrix representing a transport (observation) operator;  $\mathbf{c}$  is a vector of the observation;  $\mathbf{s}$  is an unknown vector of the carbon flux of all regions to be inverted at different times combined with the assumed initial well-mixed atmospheric CO<sub>2</sub> concentrations;  $\mathbf{s}_p$  is the a priori estimates of  $\mathbf{s}$ ; and uncertainties of  $\mathbf{c}$  and  $\mathbf{s}_p$  are expressed in covariance matrixes  $\mathbf{R}$  and  $\mathbf{Q}$ .

We employ the sum of squares of normalized residuals from fit ( $\chi^2$  test) (Gurney et al., 2003) to test the consistency of the fit to data and prior flux estimates simultaneously.

Transport of the atmospheric CO<sub>2</sub> is simulated using the global two-way nested transport model TM5 (Krol et al., 2005), an offline model driven by meteorology from the ECMWF model. In this study, TM5 is run at a 6° × 4° resolution globally with a nested 3° × 2° resolution over North America. A weighting matrix reflecting the real observation

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time distribution is used to obtain the monthly mean transport (observation) operator (M).

Monthly CO<sub>2</sub> concentration observation data of 7 years (2001–2007) are compiled from the GLOBAVIEW-CO<sub>2</sub> 2008 database. Though the GLOBALVIEW-CO<sub>2</sub> database consists of both extrapolated and interpolated data that were created based on the technique devised by Masarie and Tans (2000), we select the synchronized and smoothed values of actual observations to compile our own CO<sub>2</sub> concentrations dataset. We use CO<sub>2</sub> concentration data of 2001 to 2007 at 210 sites (Fig. 1) in this study.

Four background fluxes are considered through another set of forward transport model simulations to calculate the pre-subtracted portions of the CO<sub>2</sub> concentration in order to minimize of the nonlinear effects of the large base regions (Pickett-Heaps, 2007). These fluxes include (i) the fossil fuel emission field (J. B. Miller, <http://carbontracker.noaa.gov>), which is constructed based on (a) the global, regional and national fossil-fuel CO<sub>2</sub> emission inventory from 1871 to 2006 (CIDAC) (Marland et al., 2009) and (b) the EDGAR 4 database for the global annual CO<sub>2</sub> emission on a 1°×1° grid (Olivier and Berdowski, 2001); (ii) the hourly terrestrial ecosystem exchange produced by BEPS (Chen et al., 1999), which is driven by NCEP reanalyzed data (Kalnay et al., 1996) and remotely sensed LAI (Deng et al., 2006), and a special treatment is taken to neutralize the annual flux at each grid; (iii) the flux of CO<sub>2</sub> across the air-water interface constructed based on the results of daily CO<sub>2</sub> fluxes using the OPA-PISCES-T model forced by daily wind stress and heat and water fluxes from the NCEP reanalyzed data for 2000 to 2007 (Buitenhuis et al., 2006); and (iv) the monthly mean fire emission available from the Global Emissions Fire Database version 2 (GFEDv2) (Randerson et al., 2007; van der Werf et al., 2006). The vector  $c$  in Eq. (1) can be further expressed as

$$c = c_{\text{obs}} - c_{\text{ff}} - c_{\text{bio}} - c_{\text{ocn}} - c_{\text{fire}} \quad (2)$$

where  $c_{\text{obs}}$  is the monthly CO<sub>2</sub> concentration derived from GLOBAVIEW-CO<sub>2</sub>;  $c_{\text{ff}}$ ,  $c_{\text{bio}}$ ,  $c_{\text{ocn}}$ , and  $c_{\text{fire}}$  are simulated CO<sub>2</sub> concentration from fluxes (i), (ii), (iii), and (iv), respec-

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tively.

The model-data mismatch reflects the difference between observations and modeled results, which incorporates errors associated with observations (instrument errors) and errors from the modeling of the observations. Various approaches (Rayner et al., 1999; Rödenbeck et al., 2003; Baker et al., 2006; Michalak et al., 2005; Bruhwiler et al., 2007) have been used to determine the model-data mismatch error. As pointed out by Bruhwiler, Michalak, and Tans (2007), choosing the appropriate values for the model-data mismatch error is difficult because there are insufficient independent data available for detailed model evaluations at each observation site. We use a method based on the category similar to Peters et al. (2005) and Baker et al. (2006). We divide the observation sites into 5 categories, each with its own assigned constant portion ( $\sigma_{\text{const}}$ ) and a variable portion (GVsd) that is computed monthly from the standard deviation of the residual distribution of the average monthly variability (var) files of GLOBALVIEW-CO<sub>2</sub> 2008. Then the mismatch error covariance as a diagonal matrix,  $\mathbf{R}$ , can be defined with the error standard deviation of month  $i$  by

$$R_{ii} = \sigma_{\text{const}}^2 + \text{GVsd}^2. \quad (3)$$

The categories and respective  $\sigma_{\text{const}}$  are: Antarctic sites (0.15), oceanic sites (0.30), land and tower sites (1.25), mountain sites (0.90), and aircraft samples (0.75).

Significant vertical error correlations exist between different levels at tower sites and aircraft samplings. The ensemble model simulations (Lauvaux et al., 2009) are not readily applied to global-scale inversion, and improperly defined covariances could lead to unrealistic corrections of inverted fluxes. So, we insert a weighting factor ( $\mathbf{W}$ ) into the cost function (Eq. 1) as

$$J = \frac{1}{2}(\mathbf{W}(\mathbf{M}\mathbf{s} - \mathbf{c}))^T \mathbf{R}^{-1}(\mathbf{W}(\mathbf{M}\mathbf{s} - \mathbf{c})) + \frac{1}{2}(\mathbf{s} - \mathbf{s}_p)^T \mathbf{Q}^{-1}(\mathbf{s} - \mathbf{s}_p) \quad (4)$$

to compensate for the effect that several similar “forcings” act in the cost function, which could cause significant biases in regional CO<sub>2</sub> fluxes if they are not restricted.

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We conservatively define the diagonal matrix (**W**) with item

$$w_{ii} = 1 / (1 + 0.6(n - 1)) \quad (5)$$

where  $n$  is the number of observations at different levels, in the same geographical location.

The prior flux estimates for both oceans and lands in this study are set to zero after we subtract the contributions to the CO<sub>2</sub> concentration from (i) the ocean surface CO<sub>2</sub> exchange, (ii) the fossil fuel emission, (iii) the terrestrial ecosystem carbon exchange, and (iv) the biomass burning.

There are many sources of uncertainty in the air-sea CO<sub>2</sub> flux, including the error of reanalyzed meteorological data, the error of the estimated parameters in the OPA-PISCES-T model, and the error caused by the model itself. So, estimating the uncertainties of the model outputs is extremely difficult. The spread (0.5 Pg C/yr) of ocean uptakes in four ocean models (Le Quéré et al., 2007; Lovenduski et al., 2008; Rodgers et al., 2008; Wetzel et al., 2005) provides a good reference of uncertainty in bottom-up modeling. Rödenbeck et al. (2003) also used 0.5 Pg C/yr as his prior global ocean flux uncertainty. Considering that the a priori used in this study is at upbound of those four ocean uptakes, we use an uncertainty of 0.67 Pg C/year, distributed among 11 ocean regions according to Baker et al. (2006).

As shown in Eq. (2), the contributions to the atmospheric CO<sub>2</sub> concentration of the four background fluxes have been removed from the observations, so the fluxes we are going to infer directly from Eq. (4) are the residual flux after the background fluxes are subtracted, as discussed by Rödenbeck et al. (2003). The sources of uncertainty in the land surface flux are more complicated than that of the air-sea surface exchange. Fossil fuel emissions have small uncertainties ( $\pm 6\%$  of the global fossil fuel emissions, (Marland, 2008)) that are often not considered in atmospheric inversions. Gurney et al. (2005) demonstrated that ignoring the uncertainties of fossil fuel emissions in time and space could effectively bias the seasonal pattern of surface fluxes and significantly change the distribution of inverse fluxes in a high resolution inversion. The estimates

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of vegetation fire emissions are uncertain to about 20% ( $1\sigma$ ) on global, annual scale (van der Werf et al., 2010). Similar to fossil fuel emissions, the uncertainties of vegetation fires could not be handled separately in this inversion study. It should however be noted that any inverse estimate of the terrestrial carbon flux excluding fire emissions and fossil fuel emissions could be biased by these uncertainties. The sources of uncertainty in the land surface flux are more complicated than that of the air-sea surface exchange. Besides the error in the spatio-temporal distribution of emissions from fossil fuel combustion and vegetation fires discussed above, the heterogeneous distributions of carbon assimilation and respiration of terrestrial ecosystems in both space and time are more error-prone. In this study, we use an uncertainty of 2.0 Pg C/year (based on a similar regional scheme of TRANSCOM 3) for the global land surface that is spatially distributed based on the annual NPP distribution simulated by BEPS.

The prior uncertainties for global land and ocean assigned in this study are in the range of those used in previous studies (e.g., Baker et al., 2006; Bruhwiler et al., 2007; Gurney et al., 2004; Rödenbeck et al., 2003). A  $\chi^2$  test indicates that these uncertainties are reasonable.

## 2.2 Climate data

The Global Precipitation Climatology Centre (GPCC) provides a gridded monthly precipitation product for the global land surface. The GPCC's Full Data Reanalysis Version 4 (Schneider et al., 2008) is available for 1901–2007 with a resolution of  $0.5^\circ$ . A global monthly land surface air temperature dataset (Fan and van den Dool, 2008), at  $0.5^\circ \times 0.5^\circ$  resolution, covers the global land areas for the period from 1948 to present. It is based on a combination of the Global Historical Climatology Network version 2 and the Climate Anomaly Monitoring System datasets.

A global drought dataset based on the Standardized Precipitation-Evapotranspiration Index (SPEI) (Vicente-Serrano et al., 2010), at a spatial resolution of  $0.5^\circ$ , is produced using the monthly land surface air temperature and precipitation datasets described above.

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The regional annual averages, monthly values and monthly anomalies of air temperature, precipitation, and drought index (SPEI) for 6-year (2002–2007) are closely examined to find the links between the variation of inverted CO<sub>2</sub> fluxes and climatic conditions.

## 3 Results

### 3.1 Six-year mean fluxes

Figure 2a and b show the two mean CO<sub>2</sub> flux maps for 2002–2007. Only the pre-subtracted biosphere fluxes and the inverted residual fluxes are included for land regions, while the pre-subtracted ocean fluxes at resolution of 1° × 1° and the inverted fluxes are aggregated for ocean regions in a. In b the pre-subtracted biomass burning emissions at resolution of 1° × 1° are also combined into land regions.

Most of the land regions are inverted as CO<sub>2</sub> sinks, while Tropical America (Region 31), Australasia (Region 38), and Region 2 (Alaska) release CO<sub>2</sub> to the atmosphere. Southern Africa (Region 34), Boreal Asia (Region 35), Tropical Asia (Region 37), and North America are found to be strong sinks. Tropical and southern hemispheric land regions have been affected by biomass burning significantly.

Focusing on the 30 North America regions, we find the highest uptake area in the eastern US, while an isolated high uptake appears in the far northwest (Region 18). In Canada, relative high uptake is found in Regions 10, 14, 15, and 16, which is quite consistent with spatial pattern of forest age (Deng et al., 2011; Chen et al., 2003).

When the land regions are aggregated, the northern land is estimated as a big sink of 2.35 ± 0.25 Pg C/yr, while tropical and southern lands are estimated as two small sinks of 0.62 ± 0.47 and 0.67 ± 0.34 Pg C/yr, respectively (in all cases, excluding biomass burning emissions). Tropical and southern lands become two sources of 0.81 ± 0.47, and 0.22 ± 0.34 Pg/yr, respectively, when biomass burning is taken into account.

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The distribution of ocean fluxes is dominated by the background (Buitenhuis et al., 2006) used. The most noticeable difference from the background produced by the inversion appears in the mid-latitude ocean regions of the southern hemisphere (Regions 43 (South Pacific), 47 (South Atlantic), and particularly region 50 (South Indian)). These regions are inferred here to have more uptake in a belt (around 30° S), while we infer a positive difference from the background as large as 0.31 Pg C/yr toward a source in the southern ocean (Region 48, south of 45° S). This is consistent with a source of 0.40±0.18 Pg C/yr inverted by Mikaloff Fletcher et al. (2007) who employed a suite of 10 different Ocean General Circulation Models (OGCMs). In contrast, Le Quèrè et al. (2007) reported a sink in the 1990s in the region through an atmospheric inversion, although the sink become weaker significantly after 1990, a trend they attributed to the increasing wind speeds that may cause more upwelling of C-rich waters from which a higher pCO<sub>2</sub> in the surface water is produced. The new measurements from winter months for this region show that Southern Ocean surface water pCO<sub>2</sub> has been increasing faster than the atmospheric pCO<sub>2</sub> increase rate, which implies a decreasing sink (Takahashi et al., 2009). Aggregated ocean fluxes show quite a symmetric distribution pattern: northern and southern oceans show uptakes of 1.34±0.22, and 1.41±0.22 Pg C/yr, respectively, while the tropical ocean releases 0.81±0.27 Pg C/yr to the atmosphere.

Over the period considered in this study, the global net CO<sub>2</sub> emission to the atmosphere is 4.56±0.27 Pg C/yr, of which the land surface emits 6.25±0.49 Pg C/yr, and ocean absorbs 1.69±0.41 Pg C/yr. The land surface budget consists of roughly 7.33, 2.56, and -3.63 Pg C/yr from fossil fuel emission, biomass burning, and terrestrial ecosystem uptake, respectively, while fossil fuel burning emits 0.25 Pg C/yr over the ocean surface and the ocean uptake is around 1.95 Pg C/yr.

Figure 3 summaries the mean global CO<sub>2</sub> budget of 2002–2007 and further partitioning of land and ocean fluxes to contributions from fossil fuel consumption, biomass burning, ocean uptake, and terrestrial biosphere uptake based on the background fluxes considered in Sect. 2. These items are shown in the bottom of the figure without

explicit uncertainties.

### 3.2 Interannual variations

The inverted CO<sub>2</sub> fluxes (excluding emissions from fossil fuels consumption and biomass burning) from 2002 to 2007 at the regional level are shown in Fig. 4. The results of 30 small North American regions are shown in Fig. 4a (Regions 1 to 17), and Fig. 4b (Regions 18 to 30), and the results of the larger land and ocean regions are illustrated in Fig. 4c and d, respectively.

The interannual variabilities (IAVs) of North America regions are small. Of all the small regions, only three show a difference between the maximum and minimum (herein below, we refer to this difference as IAV) larger than 0.1 Pg C/yr, and all of them appear in eastern US. The two aggregated North American regions, Regions 1–17 and Regions 18–30, sustain negative fluxes (sinks) for six-year with small IAVs.

Of other large terrestrial regions, 7 regions (all except Regions 37 and 38) have large IAVs of more than 0.6 Pg C/yr. Tropical America (Region 31), South America (Region 32), and Europe (Region 39), swing between negative and positive fluxes and show IAVs of 1.15, 0.98, and 0.85 Pg C/year, respectively.

Ocean regions show much smaller IAVs than land regions. 10 of them show IAVs of less than 0.5 Pg C/year, while the South Pacific region (Region 43) is inverted with an IAV of 0.62 Pg C/year. In contrast to land regions, ocean regions with large IAVs do not switch the direction of the fluxes, revealing that the distribution pattern of the ocean CO<sub>2</sub> flux is stable at this spatio-temporal scale.

Similar to other studies (e.g., Baker et al., 2006), our inverted fluxes have been aggregated to three land regions, three ocean regions (Fig. 5a), and further to land, ocean, and global scale (Fig. 5b). We see a global IAV of 2.55 Pg C/yr, while land and ocean, maintaining CO<sub>2</sub> uptake, show IAVs of 3.08 and 1.35 Pg C/yr, respectively. Tropical land switches between uptake and release of CO<sub>2</sub> with an IAV of 2 Pg C/yr, while both Northern land and Southern land continue to absorb CO<sub>2</sub> with much less IAVs of 0.92 and 0.51 Pg C/yr, respectively. Steady zonal distributions of the ocean

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fluxes are well revealed in Fig. 5a, while tropical ocean release CO<sub>2</sub> to the atmosphere with an IAV of 0.45 Pg C/yr, and both northern and southern oceans absorb CO<sub>2</sub> with their IAVs of 0.70 and 0.63 Pg C/yr, respectively.

A regression of the annual global fluxes with the annual land fluxes shows that global IAVs could be explained by the IAV of land fluxes with 81% whereas ocean fluxes could only explain 4% of the global variance. Further analysis shows that the IAV of global annual CO<sub>2</sub> fluxes is likely dominated by the IAV of the CO<sub>2</sub> fluxes in tropical land ( $R^2 = 0.76$ ), rather than northern land ( $R^2 = 0.40$ ) and southern land ( $R^2 = 0.36$ ). The IAVs of three aggregated ocean regions could hardly explain the global IAV, while northern and tropical ocean fluxes correlated negatively with the global fluxes, and the  $R^2$  for the southern ocean is only 0.19.

### 3.3 Variation of inverted terrestrial fluxes with climatic conditions

The terrestrial ecosystem carbon cycle responds to manifold biotic and abiotic influences. Climatic variability and change, nutrient and other resource availabilities, microbe induced diseases, and insect attacks could affect the carbon uptake and release from terrestrial ecosystems. Despite the complexity, inverted carbon fluxes from long period studies have revealed trends in terrestrial ecosystem response to episodic phenomena such as El Niño and La Niña, and the eruption of Mt. Pinatubo (Baker et al., 2006; Gurney et al., 2008; Rödenbeck et al., 2003). In this section, We will explain the variation of our inverted fluxes (excluding emissions from fossil fuels consumption and biomass burning) in terms of the variations of air temperature, precipitation, and drought index. Four regions (Regions 15, 27, 31, and 39) are selected among the 39 terrestrial regions to show the co-variations. The annual quantity (annual sum or annual mean), seasonal distribution, and seasonal anomaly of the inverted flux, air temperature, precipitation, and drought index of these regions are shown in Figs. 6–9.

Region 15, the eastern part of Ontario, Canada where the Freasdale observatory site is operated, shows the inverted flux of 2002 (see Fig. 6) as the smallest of the six consecutive years. The positive anomalies of the inverted flux during the first half of the

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year could be attributed to the low temperature that cannot support the normal plant growth, while those in the second half are likely caused by a drought. The inverted annual flux in 2005 shows an annual positive anomaly. However, the cause of this anomaly is quite different from that in 2002. In 2005, a continuing drought from March to October, mostly due to the low precipitation, certainly plays a key role, while the high temperature could intensify the drought and enhance the ecosystem respiratory activities.

Region 27, in the south-east of US, where the strongest uptake of CO<sub>2</sub> among all 30 North American regions is inferred from this inversion, shows the smallest inverted annual CO<sub>2</sub> uptake in this region in year 2002 (see Fig. 7). We determine that the main cause of this positive flux anomaly is a positive temperature anomaly and a negative precipitation anomaly. Drought induced by high temperature and low precipitation could weaken the ability of plants to assimilate CO<sub>2</sub>, while high temperature could also enhance ecosystem respiration. The two peaks of the positive flux anomalies in 2002 (April and June) correspond well with the positive temperature, negative precipitation, and thus negative drought index anomalies, clearly indicating the links between the inverted carbon flux and climatic conditions. In contrast to Region 15, the largest inverted annual CO<sub>2</sub> sink in this region is found in 2005. Most of the additional sink occurs during the first half of the year. The two peaks of these negative anomalies correlate well with positive precipitation and drought index, while the flat bottom of the seasonal flux curve can be largely attributed to the negative precipitation and drought index anomalies in May.

Region 31: Amazonia is the dominant part of Region 31. While tropical land dominates the global carbon budget interannual variation, the changes in Amazonian ecosystems, the planet's most significant biological repositories of carbon (Grace et al., 2001) are expected to play a key role in both local and global carbon budget variations (e.g., Cox et al., 2000). Though the carbon cycle of Tropical America (Amazonia) has been extensively studied, the carbon balance of this region remains highly uncertain. Inverse studies show that this region is, either a sink ( $-0.6 \pm 0.3$  Pg C/yr,

(Rödenbeck et al., 2003)), or a large source ( $3.07 \pm 2.36$  Pg C/yr (Jacobson et al., 2007);  $0.63 \pm 1.21$  Pg C/yr (Gurney et al., 2002);  $0.74 \pm 1.06$  Pg C/yr (Gurney et al., 2004);  $0.91 - 1.07 \pm 0.69$  Pg C/yr (Baker et al., 2006)) for different time periods. The strong carbon source inverted from this study ( $0.60 \pm 0.41$  Pg C/yr, excluding fossil fuel emissions) is mainly the result of the 2002–2005 drought, caused by the combination of 2002–2003 El Niño and a dry spell in 2005 attributable to a warm subtropical North Atlantic Ocean (Zeng and et al., 2008).

The seasonal patterns of our inverted fluxes (Fig. 8) show that maximum uptakes usually appear in August, which is the month with the least precipitation, within the six-year period. This reconfirms the finding that enhanced photosynthesis occurs in the dry season in Amazonia (Saleska et al., 2003; Wright and Schaik, 1994; Huete et al., 2006), but this trend cannot be sustained as the dry season continues. Our results show that the inverted fluxes of this region switch between source and sink twice during the six-year period. The drought of 2005, unlike the ENSO-related droughts of 1983 and 1998, was especially severe during the dry season in southwestern Amazon but did not impact the central and eastern regions (Marengo et al., 2008). Various forest responses to this drought have been reported, such as, increase in tree mortality and decline in tree growth from ground observations (Phillips et al., 2009) and increase in biomass fires (Aragão et al., 2007). A contradictory report of excessive greening from satellite observations (Saleska et al., 2007) in the dry area has been reconciled recently (Samanta et al., 2010). This drought event could have had a large impact on the carbon cycle through different mechanisms. Regarding respiration, the high temperature could have caused increased CO<sub>2</sub> release, while the drought could have suppressed soil respiration. Though it is hard to determine the direction of the anomaly of respiration, the drought, however, would limit plant growth and reduce carbon uptake by photosynthesis. Our inverted results show that, compared with 2004, the impact of the drought on the carbon stock is close to 1 Pg C, smaller than the estimated total biomass carbon impact of 1.2 to 1.6 Pg C relative to the pre-2005 condition (Phillips et al., 2009). Beside this large positive anomaly in 2005, this study reveals that more CO<sub>2</sub>

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5 is released from this region in 2002, which coincides with the 2002/2003 El Niño event. Rödenbeck et al. (2003), for example, concluded that the 1997/1998 ENSO event also induced very strong carbon losses from tropical America. Though the 2002/2003 El Niño event was not as strong as that in 1997/1998 in terms of Niño-3.4 SST anomalies, it was as strong as the 1997/1998 event according to a standardized precipitation index (SPI) derived from satellite rainfall data (Janowiak and Xie, 1999; Potter et al., 2009). In fact, the inverted flux anomaly is closely related to the dates of onset-demise (March 2002–March 2003, with maximum SST anomaly in November 2002) of the event (Lyon and Barnston, 2005). The continuing drought condition in October after the driest month (September) is very likely the cause of the large positive anomaly thereafter.

10 Region 39, Europe, is a densely observed large terrestrial region. This region switches twice between large carbon sinks and small carbon sources during the 2002–2007 periods (see Fig. 9). The reported positive anomaly in 2003 has been attributed to a continent-wide heat wave during the summer (Ciais et al., 2005; Vetter et al., 15 2008), although Rayner et al. (2008) deduced from a multi-year inversion that a significant anomaly was centered in February 2003, rather than in the summer time of that year. Our inverted monthly CO<sub>2</sub> flux shows that multi-peak positive anomalies are likely a proper response to the drought condition in 2003. The positive anomalous peaks correspond with the negative drought index anomalies in May and August, and the negative precipitation anomaly in September. The likely explanation is that 20 the decreased water supply limits biosphere growth for these months, while the positive temperature anomaly increases the terrestrial ecosystem respiration during the winter month of December. Similar to Peters et al. (2010), We produce a very strong European uptake in 2005, though the peak negative anomaly comes a month earlier in June. The three large additional monthly uptakes (negative flux anomalies) in 2005 25 correspond to consecutive neutral drought indices in May, June, and July. In contrast to 2005, two positive anomalies have been inverted during the growing season of 2002, in spite of the fact that 2002 is inferred to have had the largest annual uptake during the six-year period, an interesting result of this inversion. The warm and moist early

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spring of this year could provide a reasonable explanation for the early CO<sub>2</sub> uptake, as mentioned by Rayner et al. (2008), while the low temperature at the last few months of the year could have decreased the respiration. Temperature is therefore likely the dominant driver of the large overall uptake in 2002. The period from the autumn of 2006 to the spring of 2007 was exceptionally warm in Europe, and brings a negative carbon flux anomaly in the spring of 2007 due to an advance onset of the photosynthetically active period (Delpierre et al., 2009). The subsequent quick cooling or colder conditions followed by a drought may have prevented plants from growing normally in the growing season, resulting in relatively weaker carbon uptake in the growing season of 2007.

## 4 Discussion

Our estimates of global CO<sub>2</sub> sinks over lands and oceans of  $3.63 \pm 0.49$  Pg C/yr and  $1.95 \pm 0.41$  Pg C/yr can be compared with the only published (run to 2007) bottom-up derived global carbon sink estimates of 2.59, and 2.44 Pg C/yr for lands and oceans, respectively (Le Quéré et al., 2009). While Le Quéré et al. (hereinafter referred to as LQ) showed approximately a half-to-half partition of the natural uptake between lands and oceans, our inversion system produced a larger fraction of terrestrial uptake (~65%). The main reason for such a difference is that the emission from biomass burning that is considered in our calculation is larger (2.5 Pg C/yr) than the emission (1.5 Pg/yr) caused by land-use change considered by LQ. This does not change LQ's estimate; however, it affects our inversion results and the residual term in LQ. While our inverted ocean fluxes are responsible for 32% of total global variation, LQ's estimate of the variation in the ocean sink only accounts for less than 4% of the global variations. Though interannual variations of ocean CO<sub>2</sub> fluxes similar to ours have been estimated from other inversion systems, the question whether our estimate reflects any reality of the oceans during recent years is critical to understanding the change of the global carbon cycle.

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We are facing the same predicament in assessing the inverted terrestrial carbon fluxes. As the climatic conditions could greatly affect the terrestrial ecosystem carbon balance, investigating the relationship between the inverted CO<sub>2</sub> fluxes and the climatic conditions could help us to, at least partially, evaluate our inversion results, and to obtain further insight into environmental processes that have influenced the variations in the carbon flux in all regions of the globe. We have attempted to explain the relative change of carbon fluxes in different regions in response to changes in climatic conditions. However, we cannot give an absolute evaluation of our inverted fluxes. Remarkable examples are the large sinks, and sources found in Region 39 (Europe) and Region 31 (Tropical America), respectively, in 2002, and we have given reasonable explanations. However, CarbonTracker produced sinks in 2002 of 0.095 Pg C in Europe (Peters et al., 2010), and of 0.19 Pg C in Tropical America (Peters et al., 2007), which are smaller than our estimates of a 1 Pg C sink in Europe and a 1 Pg C source in the Tropical America. However, Rayner et al. (2008) and Gurney et al. (2008) showed interannual variations similar to ours.

Estimated carbon fluxes at the regional scale cannot be validated through direct measurements. Eddy-covariance measurement could be an effective approach to estimate local carbon fluxes, but its representativeness in a large region, such as Europe, could be a problem. The flux-net site Hesse in France shows that 2002 is the strongest uptake year in the period from 2002 to 2005 contributed mainly from the strongest photosynthesis in a warmer and moister climatic conditions (Granier et al., 2008), and the cause for this local variation is different from what is attributed for the Europe region in this study. The estimated carbon fluxes are also hardly examined by comparing with the bottom-up modeling results because there is little consensus among different terrestrial ecosystem models in terms of simulating the net ecosystem productivity. Different models could occasionally exhibit a reasonable correspondence regarding the NEP anomaly, such as the anomaly caused by the 2003 heat wave in Europe, but it is generated by partly different processes in different models (Vetter et al., 2008). The simulated components, for example GPP and Respiration, of the terrestrial carbon cy-

cle often exhibit better correlation between models, a comparison of GPP among four models (LPJmL, MOD17+, ANN, and FPA+LC), however, still show signs of fundamental differences (Jung et al., 2007). LPJmL further simulates a very productive 2002 which is not seen in ANN and FPA+LC, while MOD17+ shows only a small increase in productivity in 2002.

As pointed out in the previous section, the inverted carbon sink over North America is centered in the south-east part of the continent, and this spatial distribution is similar to the distribution map inverted by Rayner et al. (2008), while the CarbonTracker produced the largest carbon sink in the cropland in the middle of the continent, but very large uncertainties showed for the south-eastern area (<http://carbontracker.noaa.gov>). An independent bottom-up estimate by Xiao et al. (2008) based on eddy-covariance measurements and supplementary information from MODIS data for most of the North America regions derived a spatial distribution pattern in close agreement with our inversion results (Deng et al., 2011). Potter et al. (2007) also pinpointed the extensive carbon sink in ecosystems of the southern and eastern regions by using the Carnegie Ames Stanford Approach (CASA) model to simulate the monthly carbon fluxes in terrestrial ecosystems of the United States over the period of 2001–2004. The low carbon stocks in the forests of the southern and southeastern regions seem hardly to support our findings, but Woodbury, Smith, and Heath (2007) elucidated that the spatial pattern of carbon stocks is dissimilar to that of carbon flux in the US from forest inventory data.

Our inversion does not capture the reported dramatic change of the forest carbon cycle in British Columbia, Canada due to the outbreak of Mountain Pine Beetle (Kurz et al., 2008). The reasons for this may include (i) the short time span of this inversion which is beyond the initial outbreak year of Mountain Pine Beetle, (ii) there is no CO<sub>2</sub> observation site directly downwind of the affected area, and (iii) the impact of the insect attack on the carbon cycle may not be as large as reported by Kurz et al. (2008) due to rapid regrowth of the secondary canopy and the understorey (Czurylowicz, 2010). Our inversion results show that the carbon sink reaches its maximum in 2003 and then decreases. This temporal pattern is consistent with the trend of the NEP modeled by

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Czurylowicz (2010).

To settle these issues, we would need further endeavors on many fronts: in-situ, and satellite CO<sub>2</sub> measurements, eddy-covariance flux measurement, bottom-up modeling, atmospheric transport modeling, and a data assimilation framework to reasonably integrate multisource of data and mechanisms to decrease the uncertainty of the estimation. We are aware of the importance of the time-lag effect of climatic conditions, such that a change in precipitation could affect the availability of water in soil several months later, which could affect the carbon assimilation and respiration then. However, this time-lag effect has not been considered here and will be investigated separately.

The interannual variations are mostly caused by abnormal meteorological conditions during few months in the year or part of a growing season and cannot be well represented using annual means, suggesting that we should pay attention to monthly or finer time step climate abnormality in ecosystem modeling. The early lifting and flowering of 2007 in Europe (Delpierre et al., 2009) brings more carbon sequestration in spring, and it also leads to severe negative effects on the plant productivity during the entire growing season. So, appropriate modeling of this kind of processes is vital to the accuracy in predicting the climate-carbon interactions in a warmer world in which plants have to withstand larger warm-cold fluctuations.

## 5 Conclusions

Through analysis of our multi-year inversion results against meteorological data, we found that the in-situ atmospheric CO<sub>2</sub> observations can be used not only to estimate regional terrestrial carbon balance with acceptable uncertainties but also meaningful seasonal and inter-annual variabilities at the regional scale. The annual mean terrestrial and oceanic CO<sub>2</sub> uptakes (excluding emissions from fossil fuel and biomass burnings) estimated through our atmospheric inversion for the period of 2002 to 2007 are 3.63±0.49 and 1.94±0.41 PgC/yr, respectively. Northern land contributes the most to the terrestrial uptake, while tropical and southern lands are also sinks but

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smaller. The global land surface absorbs  $1.07 \pm 0.49$  Pg C/yr when the biomass burning is taken into account, and concurrently tropical and southern lands become two sources of carbon dioxide to the atmosphere. North America terrestrial ecosystems absorb  $0.89 \pm 0.18$  Pg C/yr, while the strong uptake appears in eastern US.

5 The interannual variability of the net CO<sub>2</sub> exchange over the earth surface is significant with a maximum-to-minimum annual flux difference of 2.55 Pg C/yr. Terrestrial ecosystems show much stronger interannual variability than oceans, with IAV of 3.08 Pg C/yr. The tropical land contributes the most to the interannual variability of the terrestrial carbon flux.

10 The anomalies of our inverted regional terrestrial carbon fluxes can be mostly attributed to the monthly anomalies in temperature, precipitation, and thus a drought index. The fact that the interannual variability of inverted regional fluxes cannot be explained by the change of annual climatic conditions reinforces the importance of the sub-annual temporal distributions of climatic conditions to the CO<sub>2</sub> assimilation and  
15 respiration processes. The abnormally warm spring of 2007 in Europe and the corresponding change in the annual carbon exchange suggest that much attention should be given to negative impacts of variable warm spring temperatures in modeling the future terrestrial carbon cycle in a warmer climate scenario.

20 Although many of the features in our inverted results can be explained by anomalies of climatic conditions, the large regions inverted in this study could cause large aggregation errors (Kaminski et al., 2001). The absolute regional carbon flux values should be used with caution, but they could be better used to inspect the interannual variability of global and/or regional carbon cycles, and possibly in turn assist in improving the bottom-up modeling of the terrestrial carbon cycle.

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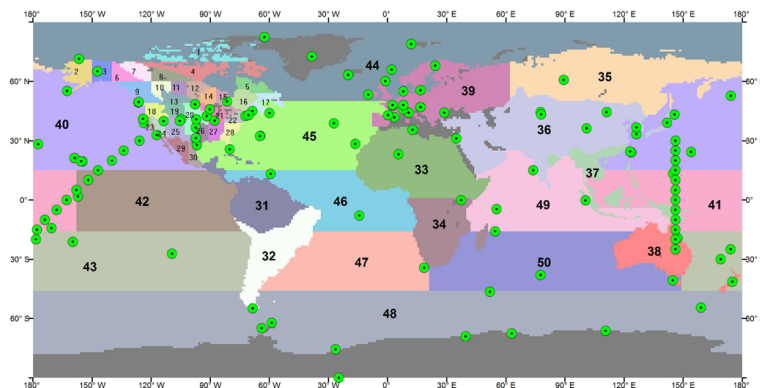


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**Fig. 1.** An inversion scheme: 30 regions in North America and 20 regions for the rest of the globe. Locations of 210 CO<sub>2</sub> observational sites are also indicated.

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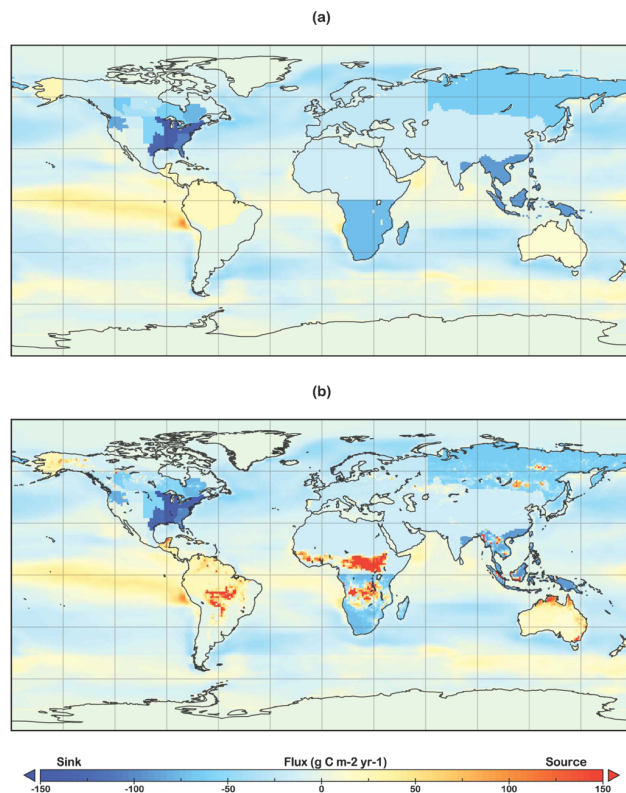
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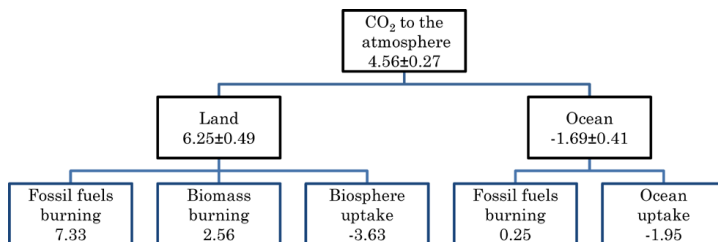
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**Fig. 2.** Map of mean posterior carbon flux for 2002–2007 derived in this study, **(a)** excluding fossil fuel and biomass burning emissions, and **(b)** excluding only fossil fuel emissions. The a priori ocean exchange and biomass burning emission are considered at  $1^\circ \times 1^\circ$  resolution.

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**Fig. 3.** Mean global carbon budget of 2002–2007 inferred from atmosphere observations. Fluxes from land and ocean are partitioned further based on our inverted fluxes and estimated fluxes from fossil fuels consumption (J. B. Miller, <http://carbontracker.noaa.gov>, 2009), biomass burning (Randerson et al., 2007) and ocean uptake (Buitenhuis et al., 2006). (Unit: Pg C/yr)

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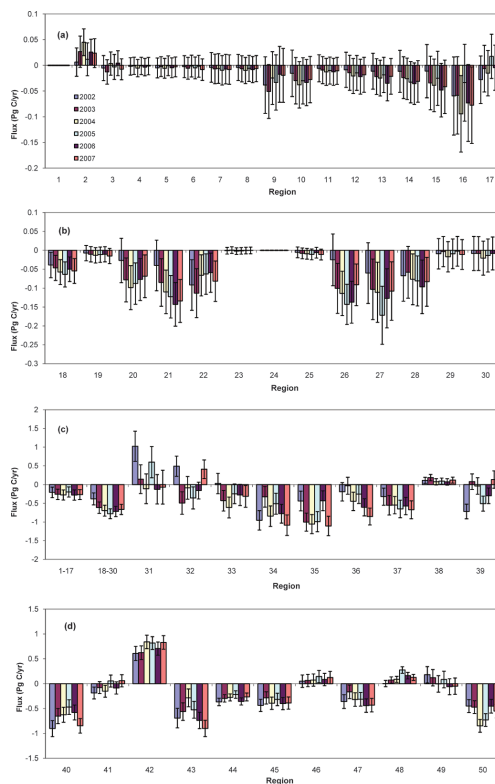
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**Fig. 4.** Inverted CO<sub>2</sub> fluxes and their uncertainties for 2002 to 2007. **(a)** Northern North American small regions (1–17), **(b)** Southern North American small regions (Small North American regions (18–30), **(c)** large land regions, and **(d)** ocean regions.

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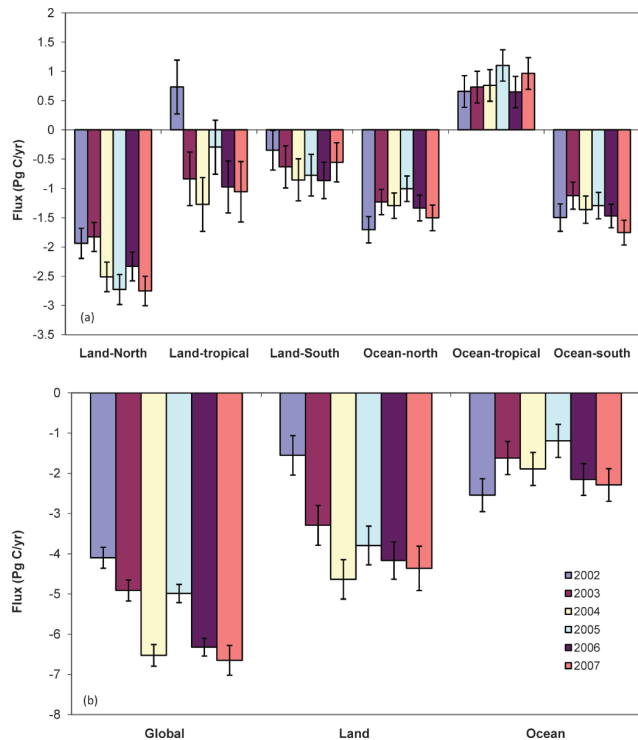
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**Fig. 5.** Aggregated inverted CO<sub>2</sub> fluxes and their uncertainty from 2002 to 2007 **(a)** in Northern Land, Tropical Land, and Southern Land, and in Northern Ocean, Tropical Ocean, and Southern Ocean, **(b)** of global total, total land, and total ocean.

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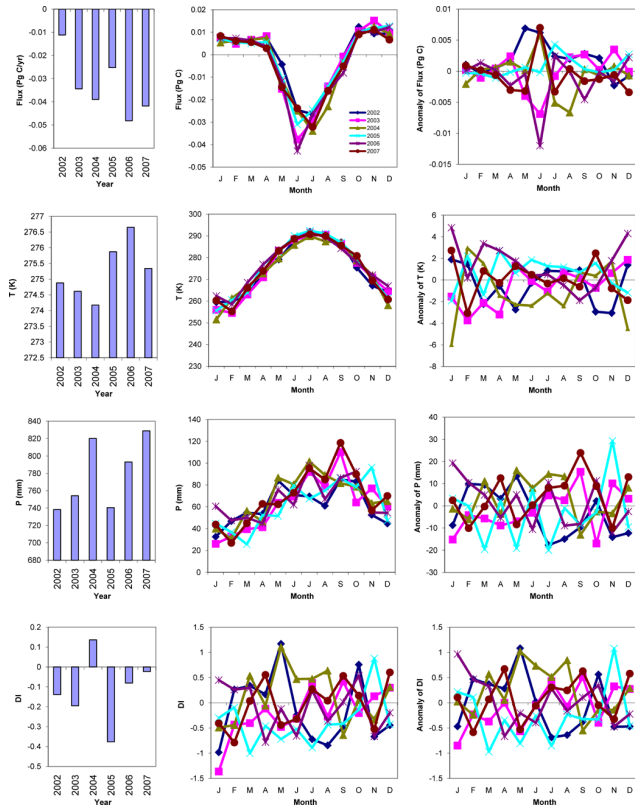
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**Fig. 6.** The annual quantity (annual sum or annual mean), seasonal distribution, and seasonal anomaly of the inverted flux, air temperature, precipitation, and drought index of Region 15 (Eastern Ontario).

**Recent global CO<sub>2</sub> flux**

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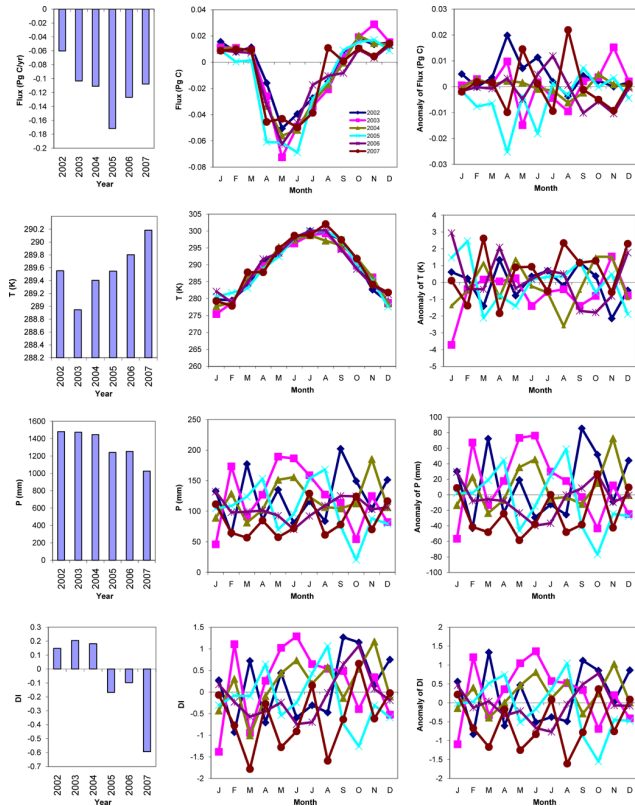
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**Fig. 7.** The annual quantity (annual sum or annual mean), seasonal distribution, and seasonal anomaly of the inverted flux, air temperature, precipitation, and drought index of Region 27 (South central US).

**Recent global CO<sub>2</sub> flux**

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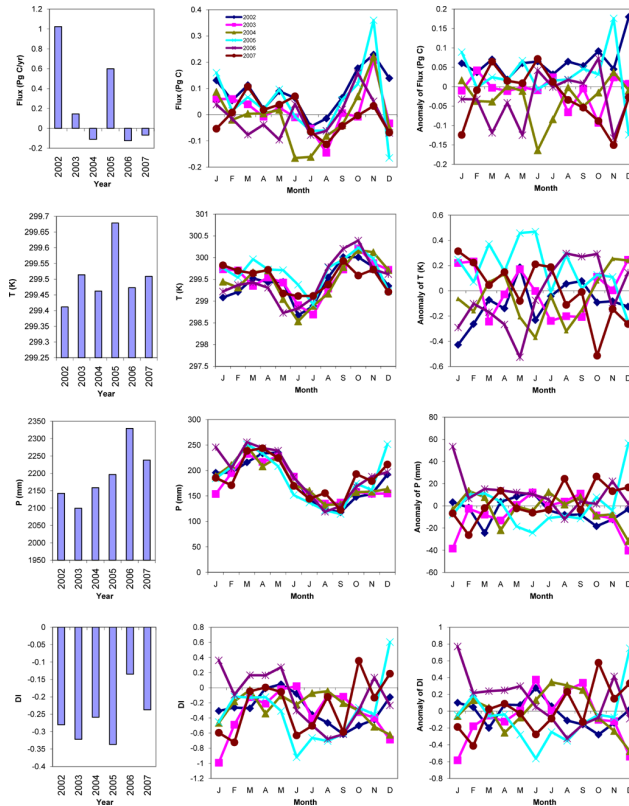
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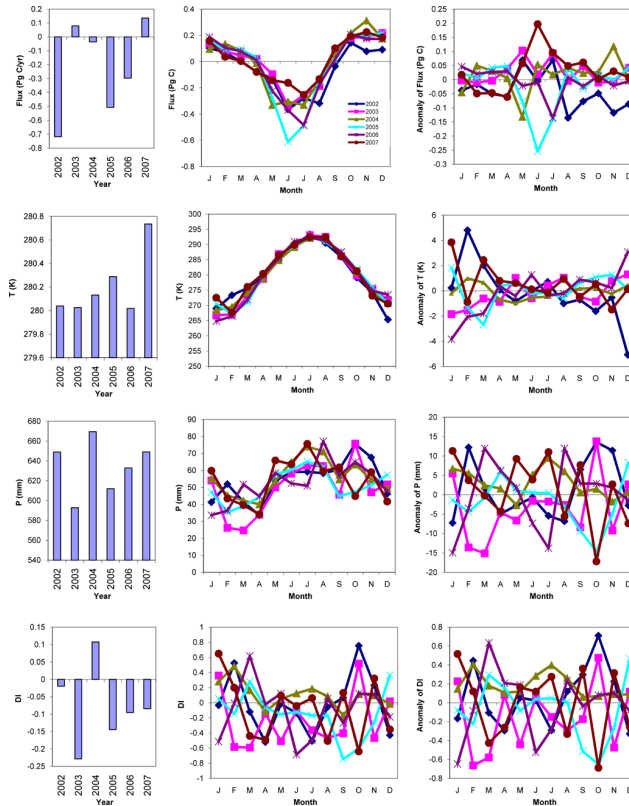
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**Fig. 8.** The annual quantity (annual sum or annual mean), seasonal distribution, and seasonal anomaly of the inverted flux, air temperature, precipitation, and drought index of Region 31 (Tropical America, mainly Amazon).

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**Fig. 9.** The annual quantity (annual sum or annual mean), seasonal distribution, and seasonal anomaly of the inverted flux, air temperature, precipitation, and drought index of Region 39 (Europe).

**Recent global CO<sub>2</sub> flux**

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