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Drought-associated changes in climate and their relevance for ecosystem experiments and models

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Abstract

Drought periods can have important impacts on plant productivity and ecosystem functioning, but climatic conditions other than the lack of precipitation during droughts have never been quantified and have therefore not been considered explicitly in both experimental and modeling studies. Here, we identify which climatic characteristics deviate from normal during droughts and how these deviations could affect plant responses. Analysis of 609 years of daily data from nine Western European meteorological datasets reveals that droughts in the studied region are consistently associated with more sunshine (+45%), increased mean (+1.6 °C) and maximum (+2.8 °C) air temperatures and vapour pressure deficits that were 51% higher than under normal conditions. These deviations from normal increase significantly as droughts progress. Using the process-model ORCHIDEE, we simulated droughts consistent with the results of the dataset analysis and compared water and carbon exchange of three different vegetation types during such natural droughts and droughts in which only the precipitation was affected. The comparison revealed contrasting responses: carbon loss was higher under natural drought in grasslands, while increased carbon uptake was found especially in deciduous forests. This difference was attributed to better access to water reserves in forest ecosystems which prevented drought stress. This demonstrates that the warmer and sunnier conditions naturally associated with droughts can either improve growth or aggravate drought-related stress, depending on water reserves. As the impacts of including or excluding climatic parameters that correlate with drought are substantial, we propose that both experimental and modeling efforts should take into account other environmental factors than merely precipitation.

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1 Introduction

Discrete climate events such as heat waves, droughts and storms, can have a disproportionate impact on ecosystems relative to the temporal scale over which they occur. Recent examples include the European summer heat wave of 2003, which saw harvest losses surpass 10 billion dollars (Schär and Jendritzky, 2004), and which, together with the high number of premature human deaths (Vandentorren et al., 2004) served as a catalyst for increased public, political and scientific awareness (IPCC, 2007). Importantly, both the frequency and the intensity of extreme events is set to increase disproportionately under climate change (Meehl and Tebaldi, 2004), a direct consequence of the nature of probabilistic distributions (Schär et al., 2004). Research oriented towards (extreme) events rather than (gradual) trends, is therefore urgently needed (Jentsch et al., 2007). Simulating and modeling extreme events as realistically as possible is essential in correctly assessing the biotic responses to these events. Here, we assess the climatic characteristics of (atmospheric) droughts and look into consequences for plant systems. Droughts are reported to be increasing in frequency and intensity (Briffa et al., 2009), and are thought to have generally larger impacts on primary productivity than heat waves (Ciais et al., 2005). Research into plant responses to drought in ecological studies is done either by observation studies that rely on naturally occurring droughts (Ciais et al., 2005; Kljun et al., 2006) or by manipulation studies in which drought is imposed experimentally (e.g. Yang et al., 2000; Pfisterer and Schmid, 2002; da Costa et al., 2010). While naturally occurring droughts are, by definition, realistic, imposed droughts may not be. Indeed, there are obviously other factors affecting plant growth apart from water, such as temperature and radiation. If any of these environmental variables important to plant functioning were correlated with drought, they would need to be considered in drought manipulation experiments and modeling. To our knowledge, however, no attempt has been made to analyse whether such correlations exist, apart from general probabilistic characterisation (Serinaldi et al., 2009). In this study, we first determine the average conditions during meteorological droughts (i.e. periods

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without significant precipitation) based on nine long term datasets throughout Western Europe. We then use these data as input in a process model (ORCHIDEE) to test with a simulation exercise whether significant differences in ecosystem carbon exchange exist between droughts when taking into account or not conditions other than lack of precipitation. In other words: how relevant is it to consider environmental conditions other than precipitation in drought research? The outcome has implications for both modeling and experimental studies.

2 Material and methods

2.1 Historical droughts

Quality controlled and publically available databases (Klok and Klein-Tank, 2009) from nine Western European weather stations were used for our analyses (Table 1). These datasets contain daily minimum (T_{\min}), maximum (T_{\max}) and mean (T_{mean}) air temperatures, total sunshine hours, total precipitation and mean relative humidity. As a more relevant measure of air humidity for plants, the mean daily vapour pressure deficit (VPD) was calculated using the mean daily temperature and the mean daily relative humidity in a standard formula (Jones, 1992).

In ecological experiments, drought is most commonly simulated by rainfall exclusion (usually by means of rainout shelters). We base our definition on the aforementioned experimental approach, and define drought as a number of consecutive days without significant precipitation (i.e. less than 1 mm per day, a quantity that is fully intercepted by most canopies) of which the minimum length is determined for each weather station separately. This station specific approach is essential as the probabilistic distribution, which varies across stations, determines what constitutes an extreme. For each weather station, we calculated running averages of significant precipitation over x days, with the minimum drought length equal to x if the ratio of running averages without significant precipitation equaled 0.01 across the dataset. This choice of cut-off ensured

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that we ended up with rare (i.e. extreme) events that were still statistically workable (i.e. enough data). We only consider the period from 15 March until 15 October as we are most interested in droughts during the growing season and as winter droughts are thought to be fundamentally different (Trenberth and Shea, 2005). Drought periods were each attributed to the month in which the bulk of their length was observed: a drought from 20 July until 15 August was considered an August drought.

We used SPSS 15.0 (SPSS Science, Woking, UK) for general linear model (GLM) univariate analysis, with climatological parameters (air temperatures, sunshine hours, relative humidity or vapour pressure deficit) as dependents and weather station, month and period type (= droughts, non-drought periods, start (first 10 days) or end (after day 10) of droughts) as fixed factors. The Games-Howell post-hoc test was used to separate multiple means. Data were transformed (square root, logarithmic or inverse) for normality when necessary. The significance threshold was 0.05 throughout all analyses.

2.1.1 Simulation

One full year was simulated with actual half-hourly meteorological data from three experimental sites part of the ICP Forest Level II and Fluxnet (Baldocchi et al., 2001; Gielen et al., 2010) networks: Brasschaat, Belgium (51°18' N, 4°31' E), Vielsalm, Belgium (50°18' N, 5°59' E) and Hesse, France (48°40' N, 7°03' E). We used the data of 2004, as this was an average year in terms of precipitation, temperature and sunshine compared to the 30-year average. A drought period of 26 days (the average drought length across our datasets, see later) was imposed starting 6 July (DOY 188) by setting all precipitation in this period to zero. A precipitation event of 17 mm of rain in 6 h was introduced after 26 days, to signal the end of the drought. For each site we used two datasets: one in which only the precipitation was altered during drought ("precipitation only drought"), and another in which we also adjusted minimum (9 p.m.–8 a.m.) and maximum (8.30 a.m.–8.30 p.m.) temperatures, relative humidity and radiation, to reflect the conditions naturally occurring during droughts ("natural drought"). Because

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radiation measurements were not available in most long-term datasets, we used sunshine hours instead. However, the model requires radiation as an input. Because there is a strong and linear relationship between sunshine hours and radiation ($R^2 = 0.80$, 28140 data points), it seems a fair approximation to consider the observed increase in sunshine hours during droughts (45%, see later) and radiation equivalent. To avoid unnaturally bright days by adding 45% extra radiation to already sunny days, we averaged all half hourly radiation values during the drought to attain one average daily radiation course, and then added 45% to those values. The resulting “average day” was found to be somewhat less bright for every half hourly value than a fully sunny day during that period, and can therefore be considered realistic.

We used ORCHIDEE (Krinner et al., 2005), a process-oriented integrated global land-surface model, to run the simulations. It simulates diurnal cycles of turbulent fluxes of CO₂, water and energy, while the ecosystem carbon and water dynamics (i.e. carbon allocation, plant respiration, growth, mortality, soil organic matter decomposition, water infiltration and runoff) are calculated at a daily time step. The standard ORCHIDEE equations are given by Ducoudré et al. (1993), Krinner et al. (2005), and Santaren et al. (2007). As in most global biogeochemical models, Plant Functional Types (PFTs) are used to classify vegetation at any particular site. ORCHIDEE simulates 13 PFTs at the globe scale, with all PFTs sharing the same equations but using different parameter values. Plant phenology is one exception, where PFT specific equations exist (Botta et al., 2000). For this study ORCHIDEE was run at local scale (“grid point mode”) using the half-hourly meteorological forcing measured at the site. In this simulation exercise we used the standard ORCHIDEE parameterization of three PFTs that occur regularly in the region under consideration (Western Europe): C3 grassland, temperate broadleaf deciduous forest and temperate evergreen needle-leaf forest. We conducted a modelling experiment for these 3 PFTs at each of the 3 locations, and analysed daily ORCHIDEE outputs for carbon and water fluxes. We initialized biomass and soil carbon pools for each PFT to equilibrium values from a 2000 year long spin-up driven by cycling the 10 year available climate inputs. In the standard ORCHIDEE model, soil

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hydrology is simulated using two reservoirs (“double bucket model”). The soil water availability for the vegetation is calculated based on an exponential root profile and has a direct impact on the photosynthesis and stomatal conductance. In addition, the heterotrophic respiration in the different litter and soil organic matter pools is dependent on the soil water availability and temperature.

3 Results

3.1 Historical droughts

In the records of the nine Western European weather stations we identified a total number of 227 droughts which lasted nearly 26 days on average, a length that was fairly constant across stations (Table 2). Minimal air temperature anomalies (calculated as ΔT_{\min} , the deviation from the average T_{\min} , calculated separately for each day of the year) during droughts did generally not deviate significantly from normal. However, there was an interaction with month ($p < 0.001$), caused by a slight positive ΔT_{\min} during summer (Fig. 1a). Droughts were furthermore characterised by higher mean ($+1.6^{\circ}\text{C}$, $p < 0.001$) and maximum temperatures ($+2.8^{\circ}\text{C}$, $p < 0.001$), an increase that was observed throughout the growing season and in all weather stations, although it fluctuated between months ($p < 0.001$). Droughts were not only found to be warmer than average, they were also markedly sunnier, with 45% more sunshine hours recorded ($p < 0.001$, Fig. 1b). Relative differences (not shown) indicate that increases in sunshine duration were smallest in summer, explaining the significant interaction with month ($p < 0.001$). Relative humidity was reduced by on average 12% during droughts ($p < 0.001$, Fig. 1c), with the strongest decreases observed during late spring and early summer. Finally, droughts coincided with large increases of the average vapour pressure deficit ($+51\%$, $p < 0.001$), with some variations between months and stations (Table 2, Fig. 1d) but without clear trends. We tested whether drought characteristics are similar across the full drought period, by distinguishing between the start of the drought (the first 10 days) and the end of the drought (from day 11 until the

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first significant precipitation event). We found, perhaps not surprisingly, very significant effects for all tested parameters ($p < 0.001$ in all post-hoc tests), with the end of drought periods being warmer, sunnier and drier than the onset (Fig. 2). This robust trend was observed in all stations and in all months.

3.2 Simulation

Our model runs show that a natural 26-day drought had consistent positive effects on the net carbon uptake in deciduous broadleaf forests but negative effects in grasslands, when compared to a precipitation only drought (Fig. 3a). Differences between both drought types were marginal in evergreen forests. Photosynthetic uptake (GPP) was higher under natural compared to precipitation only drought in both forest types, but lower in grasslands (Fig. 3b). Likewise, ecosystem respiration was increased in forests, but not consistently so in grasslands (Fig. 3c). The increase in evapotranspiration, in response to higher VPD and increased radiation, is lower in grasslands than in forests (Fig. 3d), which indicates that accessible water reserves were more plentiful in forests, allowing plants to keep stomates open (longer). The daily course of net carbon uptake reveals that also in grasslands, natural droughts stimulated NEE compared to precipitation only droughts the first few days (Fig. 4). This response was then reversed, further hinting that grasslands suffered from earlier depletion of water reserves than forests. Towards the end of the drought, forests also exhibited a reversed response, signaling that conditions in natural droughts were gradually turning less favourable than in precipitation only droughts.

4 Discussion

4.1 Meteorological conditions

Droughts are gradual events that build up over the course of weeks or months and can be accelerated by high temperatures (De Boeck et al., 2011), as is sometimes

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expressed by using the Palmer Drought Severity Index (e.g. Briffa et al., 2009). Here, we focused on droughts as they are usually considered in experimental and modeling studies, namely those periods that lack significant precipitation. Our analysis of nine long-term meteorological databases showed that such drought periods, at least in Western Europe, are typically also warm and sunny and encompass higher-than-average demands on transpiration. This was observed throughout the growing season, although deviations from normal conditions were more pronounced in some months. Warm, sunny and dry conditions also prevailed during heat waves in the same region (De Boeck et al., 2010). This is consistent with a global study by Trenberth and Shea (2005), in which they reported that temperature and precipitation generally co-vary positively in winter and negatively in summer, and should therefore not be interpreted as stand-alone parameters. At the basis are fairly simple physical laws: dry soils cause more energy dissipation in the form of sensible heat, which leads to less convective cloud formation, resulting in more sunshine and consequently higher daytime temperatures which in turn accelerate soil drying (Vautard et al., 2007; Fischer et al., 2007). The same cascade also explains why droughts get progressively warmer and sunnier, as we demonstrated. New model simulations reinforce the notion of a slow build-up of heat via drought, with heat waves projected to become more intense at the end of summer through progressive soil moisture depletion effects (Fischer and Schär, 2009). Conil et al. (2009) argue that soil moisture data can therefore be used to improve seasonal climate forecasts.

4.2 Plant responses

With a widely used process model we tested whether taking into account the conditions we found to be naturally associated with droughts could significantly affect plant carbon and water fluxes. This is an important consideration, as correlations between precipitation-free periods and sunshine, temperature and relative humidity – all variables important to plant growth and ecosystem functioning – have never been

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quantified previously. As a consequence, they are not explicitly considered in drought simulation, both experimentally and in models. The results from the ORCHIDEE model runs suggest that natural droughts increased net carbon uptake substantially in deciduous forests, marginally in evergreen forests and led to a net loss of carbon in grasslands when compared to droughts with no changes in environmental conditions other than precipitation. Natural drought conditions also gave rise to important evapotranspiration increases, likely mostly in response to the 50% higher VPD (Beer et al., 2007). This implies that ecosystems become more vulnerable to recurrent droughts, and that the depletion of water reserves may be underestimated by using the precipitation only approach to droughts. The generally shallow-rooted grasslands only very briefly (days) responded positively to natural droughts, whereas this positive response lasted much longer (weeks) in forests. This implies that trees could largely avoid drought stress by tapping into deeper soil water reserves (Zeng, 2001), which is captured by the model via PFT specific rooting profiles (Krinner et al., 2005). In addition, forests have other mechanisms to overcome dry periods which are not included in the model simulations: e.g. stem storage water use (Verbeeck et al., 2007) or hydraulic lift (Caldwell et al., 1998). These findings are in agreement with an analysis of flux tower measurements across Europe by Teuling et al. (2010), which concluded that grasslands use up the available water significantly faster than forests in case of drought.

Our modelling results support the hypothesis that, as long as plants have adequate water supply, the conditions during natural droughts are generally more favourable than average, at least in temperate systems: (i) increased radiation generally stimulates photosynthesis, and (ii) higher daytime temperatures and more sunshine would raise leaf temperatures closer to metabolic optima during spring and autumn (Larcher, 2003) while increased transpiration (a consequence of higher VPD and radiation) would avoid these conditions from leading to heat stress in summer (De Boeck et al., 2011). This is in part supported by an observational study by Delpierre et al. (2009), which found that gross primary productivity during the long 2007 spring drought was the maximum ever recorded for that period in Europe. Positive responses may be somewhat less

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distinct in autumn, as warming has been reported to stimulate respiration more than photosynthesis in this season (Piao et al., 2008).

If, on the other hand, the water reserves have been depleted or cannot be reached, the environmental conditions prevalent during natural droughts could serve as stress-amplifiers: (i) stomatal closure as a response to soil drought and high VPDs would increase leaf temperatures and may lead to heat stress (De Boeck et al., 2011), especially in combination with high radiation and increased maximum temperatures, (ii) high-light stress may be exacerbated by drought (Chaves et al., 2003) resulting in photoinhibition and in extreme cases even photodamage (Larcher, 2003), and (iii) increased temperatures, radiation and VPD could speed up the drought by increasing evapotranspiration (cf. De Boeck et al., 2011). Indeed, the water-limited grasslands in our simulation responded more negatively to natural than to precipitation only drought, while both forest types exhibited the opposite response as they were not (yet) limited by water. This illustrates that, depending on the water status, the conditions during natural droughts serve as a catalyst for either positive or negative effects on plant growth. It is worth noting that forests too appeared to start experiencing the negative effects of natural droughts towards the end of the 26-day drought. Forests may therefore be primarily sensitive to either very long droughts or repeated droughts that gradually deplete even the deep soil water reserves (such as happened in the 2003 European drought). Negative effects in temperate forests would therefore be concentrated at the end of summer, while grasslands could be affected by droughts throughout the growing season. Diverging responses may not be restricted only to ecosystem types (Teuling et al., 2010) but could also occur within the ecosystem itself as some plants' root systems are deeper and can tap into the water table during droughts while shallower rooted species are already experiencing drought stress (Padilla and Pugnaire, 2007; Gilgen et al., 2010). In combination with the catalysing properties of natural droughts, this suggests that the competitive differences between species during droughts may have been underestimated in experiments and models thus far.

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We propose that our results should be considered in both modeling and experimental studies. Models could fairly easily incorporate drought-related environmental conditions. This is already implicitly included in models that base conditions on stochastic weather generators, because these create synthetic series of daily weather from historical data intended to be similar to those observed in historical weather (e.g. Apipattanavis et al., 2010). Experiments could explicitly mimic natural drought conditions by means of infrared heaters, which both increases temperatures and decreases relative humidity (Kimball, 2005; De Boeck et al., 2010), and solar lamps (cf. Deckmyn et al., 1994). Unfortunately, these techniques are currently only suited for small-statured systems. Otherwise, any drought experiment should avoid as much as possible light attenuation (Svejar et al., 1999; Charles and Dukes, 2009), increased relative humidity (Beier et al., 2004) and night-time warming (Lucas et al., 2008; Charles and Dukes, 2009), for example by using retractable rainout shelters (Beier et al., 2004).

5 Conclusions

Using long-term datasets, we have shown that precipitation-free periods throughout Western Europe are associated with notable changes in sunshine duration (+45%), mean (+1.6 °C) and maximum (+2.8 °C) air temperatures and demands on transpiration (VPD +51%), and that these changes are exacerbated as the drought progresses. Model runs based on these data suggest that such natural droughts could either stimulate growth or suppress it compared to precipitation only droughts, depending on the plants' access to water reserves. This can have profound implications on the outcome of drought studies, and we suggest that new research efforts on drought impacts, both experimental and modeled, will provide more realistic and relevant results if they incorporate such naturally occurring conditions. Finally, droughts and their characteristics may vary in different geographical areas, and assessments such as this should therefore be made for each region separately.

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Table 1. Description of the nine weather stations from which the meteorological record was used in our analyses.

Weather station	Country	Coordinates	Station height (m a.s.l.)	Data series
Basel	Switzerland	47°33' N, 7°35' E	316	1901–2004
De Bilt	The Netherlands	52°06' N, 05°11' E	3	1906–2008
Eelde	The Netherlands	53°08' N, 06°35' E	3	1957–2008
Genève	Switzerland	46°15' N, 06°08' E	420	1901–2004
Hannover	Germany	52°27' N, 9°40' E	59	1936–2008*
Maastricht	The Netherlands	50°55' N, 05°47' E	114	1957–2008
Orléans	France	47°58' N, 01°45' E	125	1973–2008
Reims	France	49°18' N, 04°01' E	99	1973–2007
Saarbrücken	Germany	49°12' N, 07°06' E	322	1956–2008

* No data recorded in 1941, 1942 and 1945.

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Table 2. Overview of conditions during droughts for all nine weather stations used in our analyses, from 15 March until 15 October. Anomalies (Δ) were calculated for each day and then averaged: i.e. daily values (temperatures, sunshine hours, etc.) during heat waves were compared to the average (av) values during those specific days of the year. See text for definitions.

	data (years)	drought count (numbers)	av drought length (days)	max drought length (days)	ΔT_{\min} (°C)	ΔT_{mean} (°C)	ΔT_{\max} (°C)	Δ sunshine (%)	Δ gH (%)	Δ VPD (%)
Basel	104	38	22.9	36	−0.05	1.44	2.55	44.3	10.0	42.2
De Bilt	103	38	24.7	46	0.26	1.63	2.83	43.1	10.6	52.3
Eelde	52	19	24.6	39	0.75	2.22	3.68	50.7	13.3	62.1
Genève	104	41	25.0	37	0.07	1.06	1.64	37.1	8.3	28.3
Hannover	70	26	23.5	60	−0.61	1.13	2.27	43.2	11.8	48.2
Maastricht	52	21	25.1	38	1.14	2.94	4.40	62.8	13.1	70.3
Orléans	36	17	27.8	37	0.31	0.00	2.40	37.8	7.4	29.2
Reims	35	12	30.7	39	−0.11	1.57	2.43	37.1	12.3	50.9
Saarbrücken	53	15	29.1	42	0.66	2.13	3.11	51.6	17.9	71.0
	609	227	25.9	41.6	0.27	1.57	2.81	45.3	11.6	50.5

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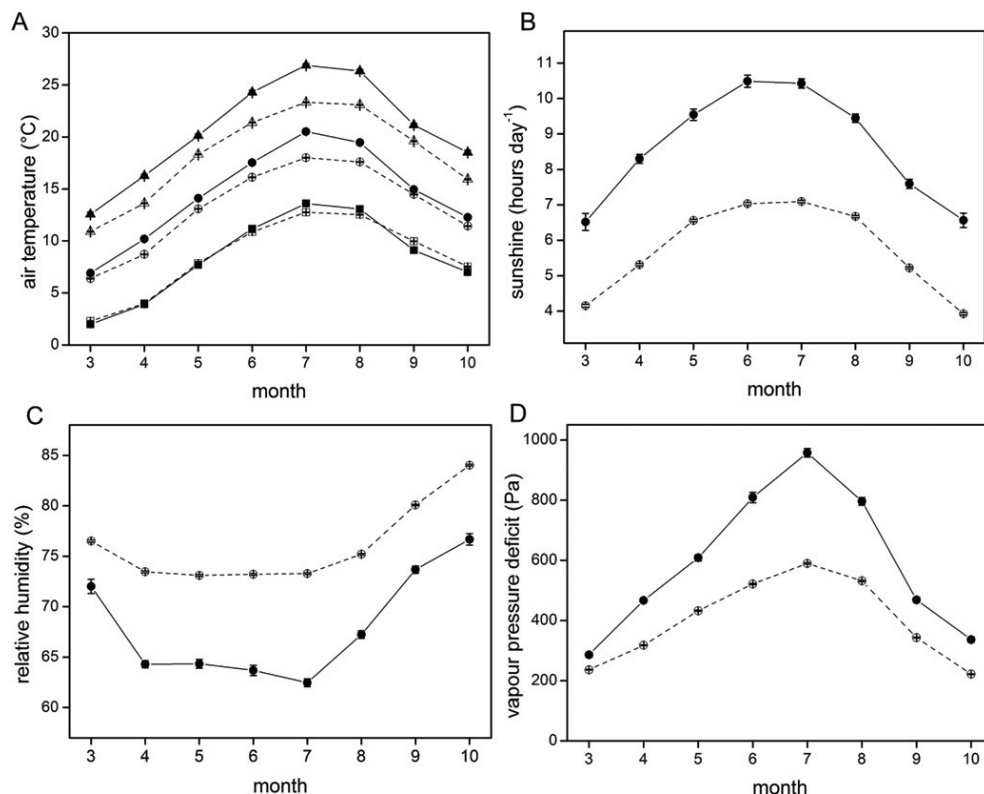

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Fig. 1. Meteorological data from nine weather stations throughout Western Europe (details: see Table 1). Averages \pm standard errors per month from 15 March until 15 October, during (black) and outside (white) of droughts (definition: see text). **(A)** minimum (squares), mean (circles) and maximum (triangles) air temperatures, **(B)** hours of daily sunshine, **(C)** daily average relative humidity, **(D)** calculated vapour pressure deficit from average air temperatures and relative humidity. Points are connected for clarity.

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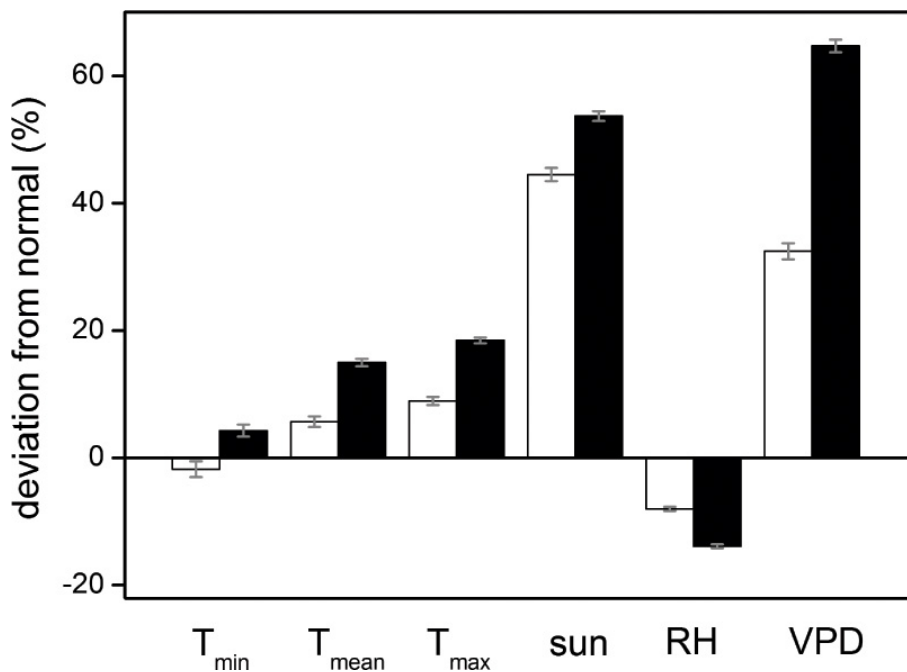


Fig. 2. Comparison of meteorological data at nine weather stations throughout Western Europe (details: see Table 1) the first 10 days of a drought (definition: see text), white bars, with the period 11th day of a drought until the drought end, black bars. The average relative change to normal conditions (all data excluding droughts) is depicted \pm standard errors.

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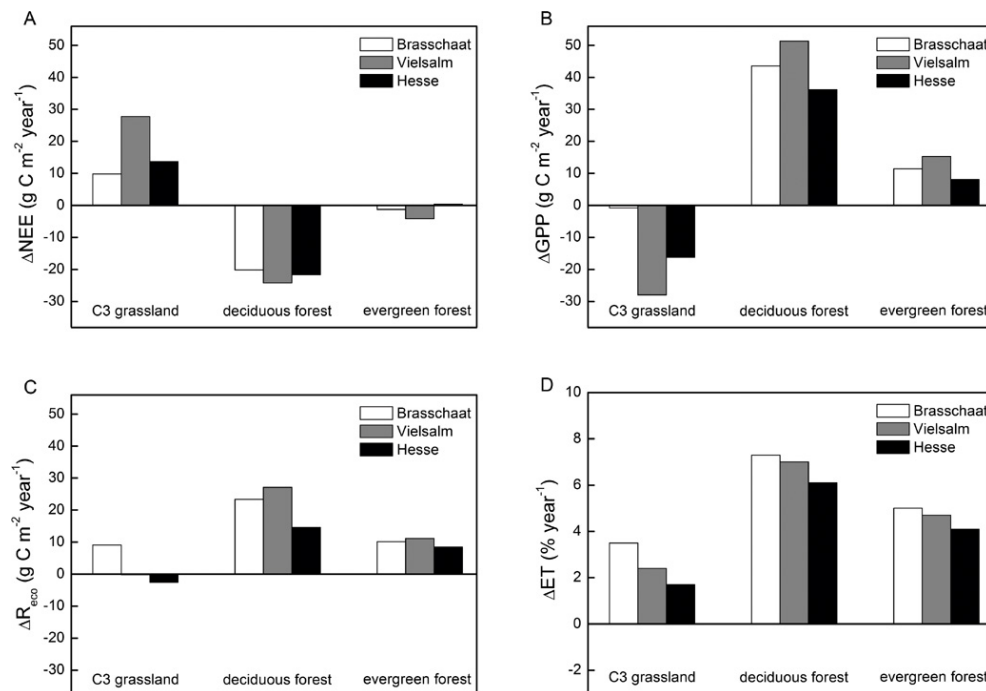
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Fig. 3. Results from the ORCHIDEE model runs of carbon and water fluxes: **(A)** net ecosystem exchange, **(B)** gross primary production and **(C)** ecosystem respiration, and **(D)** evapotranspiration. Output for different plant functional types in three Fluxnet sites: differences shown between “natural drought” and “precipitation only drought” across the entire year (2004). See text for details.

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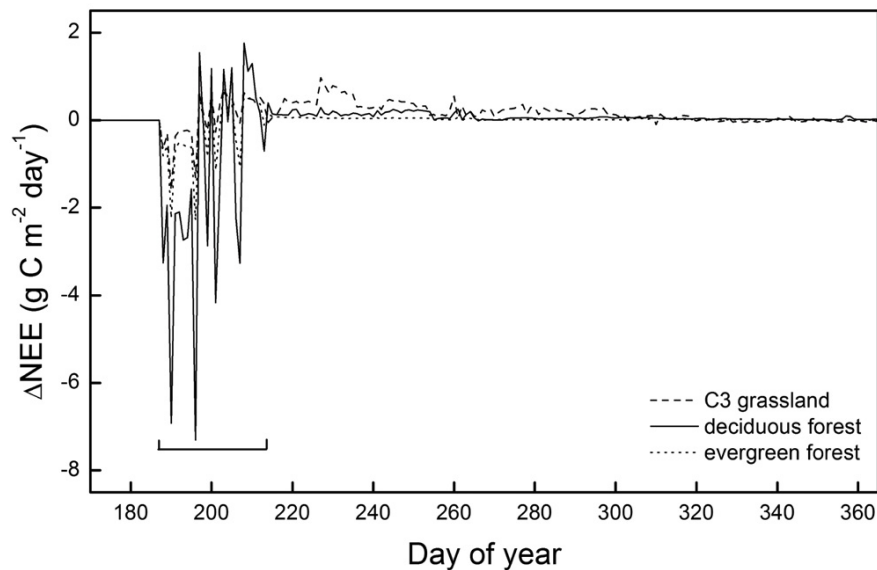


Fig. 4. Yearly course of net ecosystem exchange for three different plant functional types modeled with ORCHIDEE: differences between “natural drought” and “precipitation only drought” for the modelling experiment using the meteorological data of the Vielsalm site. Drought period (day 188–213) indicated.

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