

1 **Plant communities as drivers of soil respiration: pathways, mechanisms, and**
2 **significance for global change**

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47 **Abstract**

48
49 Understanding the impacts of plant community characteristics on soil carbon dioxide efflux (R) is
50 a key prerequisite for accurate prediction of the future carbon (C) balance of terrestrial
51 ecosystems under climate change. However, developing a mechanistic understanding of the
52 determinants of R is complicated by the presence of multiple different sources of respiratory C
53 within soil – such as soil microbes, plant roots and their mycorrhizal symbionts – each with their
54 distinct dynamics and drivers. In this review, we synthesize relevant information from a wide
55 spectrum of sources to evaluate the current state of knowledge about plant community effects on
56 R , examine how this information is incorporated into global climate models, and highlight
57 priorities for future research. Despite often large variation amongst studies and methods, several
58 general trends emerge.

59 Mechanisms whereby plants affect R may be grouped into effects of plant on belowground C
60 allocation, aboveground litter properties and microclimate. Within vegetation types, the amount
61 of C diverted belowground, and hence R , may be controlled mainly by the rate of photosynthetic
62 C uptake, while amongst vegetation types this should be more dependent upon the specific C
63 allocation strategies of the plant life form. We make the case that plant community composition,
64 rather than diversity, is usually the dominant control on R in natural systems. Individual species
65 impacts on R may be largest where the species accounts for most of the biomass in the
66 ecosystem, has very distinct traits to the rest of the community and/or modulates the occurrence
67 of major natural disturbances. We show that climate vegetation models incorporate a number of
68 pathways whereby plants can affect R , but that simplifications regarding allocation schemes and
69 drivers of litter decomposition may limit model accuracy. We also suggest that under a warmer
70 future climate, many plant communities may shift towards dominance by fast growing plants
71 which produce large quantities of nutrient rich litter. Where this community shift occurs, it could
72 drive an increase in R beyond that expected from direct climate impacts on soil microbial activity
73 alone.

74 We identify key gaps in knowledge and recommend them as priorities for future work. These
75 include the patterns of photosynthate partitioning amongst belowground components, ecosystem
76 level effects of individual plant traits, and the importance of trophic interactions and species
77 invasions or extinctions for ecosystem processes. A final, overarching challenge is how to link
78 these observations and drivers across spatio-temporal scales to predict regional or global changes
79 in R over long time periods. A more unified approach to understanding R , which integrates
80 information about plant traits and community dynamics, will be essential for better
81 understanding, simulating and predicting patterns of R across terrestrial ecosystems and its role
82 within the earth-climate system.

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93 **1 Introduction**

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95 Understanding and predicting the impacts of global climate change on terrestrial ecosystems is
96 one of the main research challenges of the 21st century. Progress towards this goal has focused
97 on modeling the impacts of a wide array of climate change agents on key ecosystem level
98 processes such as carbon (C) (Cramer et al., 2001; Friedlingstein et al., 2006) and nutrient
99 cycling (Gruber and Galloway, 2008). However, these large scale processes are mediated via the
100 plant community present within the system, which is also likely to change in response to climate
101 shifts (Neilson et al., 2005). As such, many of the effects of climate change on ecosystem
102 processes may be manifested through shifts in plant community properties. A large body of
103 literature has developed, particularly over the last two decades, on the effects of plant community
104 composition and diversity on a range of ecosystem processes (Hooper and Vitousek, 1998;
105 Tylianakis et al., 2008; de Deyn et al., 2008). Understanding the process of ecosystem C
106 sequestration is particularly important, because this information underpins government strategies
107 aimed at limiting green house gas emissions in line with their Kyoto protocol commitments. Soil
108 carbon dioxide (CO₂) efflux (*R*) is the largest single source of CO₂ from terrestrial ecosystems
109 globally (Raich and Potter, 1995), and is about ten times greater than anthropogenic fossil fuel
110 combustion (Boden et al., 2009). It is therefore a key determinant of ecosystem C sequestration,
111 atmospheric CO₂ concentrations and climate change. Yet relatively little is known about
112 interactions between *R* and plant community properties such as species composition and
113 diversity.

114 Most studies which have directly investigated the effects of plant community diversity and
115 composition upon *R* have been conducted in grasslands (Craine et al., 2001; de Boeck et al.,
116 2007; Dias et al., 2010) and have yielded notably different results in terms of the relative
117 importance of species diversity versus composition for patterns of *R*. In common with all
118 research on *R*, making useful inferences from these studies is hampered by the fact that *R* is a
119 complex signal that integrates myriad interactions amongst heterogeneous populations of
120 microbes, fungi and plants and the physical structure of the soil matrix (Subke et al., 2006;
121 Kuzyakov, 2006). Sources of *R* fall broadly into two distinct categories with fundamentally
122 different drivers and behavior: those sources which utilize old C (microbial respiration of organic
123 matter) and those which largely depend upon recent plant photosynthate (respiration of live roots,
124 mycorrhizae and some microbes subsisting on root exudates). The first group is relatively
125 amenable to controlled experimentation, responds predictably to changes in temperature and
126 moisture, and has thus been readily incorporated into models simulating *R* (Davidson and
127 Janssens, 2006). However, the sources in the second category are partly decoupled from local soil
128 conditions because they are driven by patterns of plant C assimilation, production and allocation
129 (Högberg et al., 2001, Janssens et al., 2001) which are more difficult to measure and represent
130 within existing model frameworks. This remains a major impediment to understanding and
131 predicting *R* in natural ecosystems, because belowground C allocation from plants may
132 contribute over 50% of total *R*, shows substantial seasonal variation, and is responsive to a
133 variety of drivers (Litton and Giardina, 2008).

134 A wide range of studies have been conducted which, whilst not directly investigating the link
135 between plant species and *R*, provide valuable insights into potential mechanisms. The purpose of
136 this review is to draw together these studies, so as to identify overarching patterns of how plant
137 species influence *R*, as well as the underlying mechanisms responsible for these effects. We focus
138 on the following three distinct but interlinked topics which are each relevant to understanding

139 how plant community properties affect R : 1) plant traits (Wardle et al., 2004; Cornwell et al.,
140 2008; de Deyn et al., 2008), 2) plant invasions and range expansions (Peltzer et al., 2010), and 3)
141 plant diversity (Hättenschwiler et al., 2005; Hooper et al., 2005). Finally, given the likelihood of
142 future large scale shifts in the distribution, composition and diversity of plant communities driven
143 by climate change (Neilson et al., 2005), we discuss the contrasting approaches taken by major
144 models to simulate species effects on ecosystem C cycling (Cramer et al., 2001; Friedlingstein et
145 al., 2006; Ostle et al., 2009; Reu et al., 2010). In doing so, we highlight potentially important
146 ecological processes currently missing from the model frameworks, evaluate approaches to
147 integrating field data into effective model representations of the processes in question, and
148 suggest priorities for future research.

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150 **2. Plant traits and soil respiration**

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152 It has long been recognized that a wide variety of plant anatomical, physiological and chemical
153 traits co-vary together, reflecting fundamental evolutionary tradeoffs between alternative life
154 history strategies (e.g., Grime et al., 1974; Wright et al., 2004). At one end of the spectrum are
155 plants with a suite of traits maximizing rapid resource acquisition that are favored in fertile or
156 productive environments (Fig. 1). At the other end are plants with traits prioritizing resource
157 conservation which dominate in infertile and or unproductive environments (Fig. 1). Over the last
158 decade, many studies have focused on linking this spectrum of traits to a range of ecosystem level
159 processes and properties (e.g., Chapin, 2003; Diaz et al., 2004; de Deyn et al., 2008). With
160 respect to R , the plant traits of importance may be broadly grouped into traits controlling (1) the
161 amount and chemical composition of organic matter deposited onto the soil surface, (2) the
162 amount and destination of plant C allocated belowground, and (3) the physical properties of the
163 soil and near surface atmosphere (Fig. 2). We now discuss each of these in turn.

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165 **2.1 Effects on aboveground litter quantity and quality**

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167 Faster growing plants generally produce more litter, richer in nitrogen (N) but poorer in C rich
168 structural compounds, which is more easily broken down by soil microbes and hence respired as
169 R (Fig. 1). Slow growing plants not only acquire less C via photosynthesis but release less over
170 time in recalcitrant litter forms that suppress decomposition and R (Fig. 1). Further, the
171 breakdown products of some recalcitrant compounds form complexes with amino acids and
172 enzymes which inhibit decomposition (Hättenschwiler and Vitousek, 2000). Plant production is
173 generally highest in warm, wet climates (Fig. 3c), which are the same abiotic conditions that also
174 promote R . However, there still exists very little data on several potentially large components of
175 plant production – notably belowground components and losses to herbivory – which could
176 potentially alter our current picture of patterns in production, which is mainly shaped by
177 observations from aboveground growth alone. Across different forest biomes, there is clear
178 variation in the mean proportion of R which could be derived from canopy litter fall C, increasing
179 from around 0.15 in boreal forests to ~ 0.33 in tropical forests (Chen et al., 2010). Global
180 syntheses show that there is a consistent positive relationship between R and different measures
181 of plant production (Raich and Schlesinger, 1992). While some of this relationship may be
182 correlative rather than causal in nature, it is likely that existing plant community level variation in
183 productivity amplifies the differences in R amongst biomes that would occur simply as a result of
184 abiotic variation. At finer spatial scales, the link between plant productivity and R often weakens

185 or breaks down entirely (e.g., Jurik et al., 1991; Ruess, 1996) probably because other factors
186 become more important, as we discuss later. Over this century, rising CO₂ levels and N
187 deposition are predicted to enhance plant productivity (Holland et al., 1997; Rustad et al., 2001),
188 both via direct fertilization effects and indirectly through gradual shifts in plant community
189 composition towards greater dominance of faster growing species or those with rapid turnover.
190 This rise in plant productivity could conceivably drive a greater increase in *R* than would be
191 predicted by most current climate models, which primarily consider direct impacts of climate
192 change on soil microbial activity

193 Physical and chemical properties of plant litter vary greatly both among and within plant
194 communities and may serve as powerful drivers of *R* by determining litter mass loss rates (Fig.
195 3e). Cornwell et al. (2008) analyzed results from 14 studies spanning contrasting climatic zones
196 which each measured litter decomposition of at least 20 species at a local scale. These revealed
197 consistent correlations between decomposition and leaf nutrient content, thickness and lignin
198 content, which underlay large differences in decomposition rates between different plant
199 functional and taxonomic groups. For example, decomposition of litter from bryophytes and ferns
200 was significantly slower than that from eudicot plants, decomposition of woody deciduous plant
201 litter was much faster than that from evergreen species, and decomposition of herbaceous forb
202 litter was faster than that from graminoids. Yet despite these differences, no clear current biome
203 level differences in litter decomposition emerged. The observed 18.4 fold variability in
204 decomposition rates among species within sites (Cornwell et al., 2008) reinforces other syntheses
205 highlighting the very high local scale variation in leaf traits amongst coexisting species (e.g.,
206 Hättenschwiler et al., 2008, Richardson et al., 2008). By comparison, decomposition of
207 standardized litter material across continental or global climatic gradients displayed only a 5 fold
208 variation, (Berg et al., 1993; Parton et al., 2007). However, other processes also show strong
209 variation with climate and soil types which influence the rate of incorporation of litter material
210 into soils. For example, herbivores and soil macrofauna are often more abundant in warm and/or
211 fertile sites (Coley and Barone 1996) and fertile sites are usually also dominated by faster
212 growing plants maximizing resource acquisition (McNaughton et al., 1989). Herbivores can
213 potentially inhibit or promote *R* via a large number of mechanisms (Bardgett and Wardle, 2003).
214 The most consistent single effect is excretion of plant material in labile C and N forms which
215 facilitates rapid microbial respiration and would therefore likely cause higher rates of *R*.
216 Macrofauna could further contribute to this process by physically mixing and breaking apart litter
217 (González and Seastedt, 2001), which enhances the accessibility of organic matter for microbes
218 and fungi. Therefore, direct climate effects on decomposition rates, while significant, will likely
219 be exceeded by indirect effects manifested through plant community composition and the
220 structure and dynamics of the community food web.

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222 **2.2 Effects on plant allocation belowground**

223

224 In forest ecosystems, C input from aboveground canopy litter is rarely more than 40 % of *R*
225 (Chen et al., 2010), so the remaining majority of *R* must be derived from other sources. The
226 principal alternative route for C is plant photosynthate channeled directly belowground via
227 phloem transport, which constitutes around 40 % of GPP in forested systems (Litton et al., 2007).
228 This total belowground C flux (TBCF) is governed in the first instance by the total amount of C
229 acquired by photosynthesis (gross primary productivity or GPP), which is likely to be higher for
230 species that prioritize resource acquisition, and which have both more leaf area and higher

231 photosynthetic rates per unit leaf area (Fig. 1). Plants also vary in the proportion of GPP diverted
232 to TBCF, which may be highest for those species with a suite of traits which together maximize
233 resource retention (Fig. 1). These species tend to prevail in arid or infertile environments where
234 there are potentially considerable benefits in allocating more C belowground to enhance uptake
235 of soil resources (Cannell and Dewar, 1994) though this would also depend on other factors, such
236 as herbivory pressure (e.g., Lerdau and Gershenson, 1997; Fine et al 1994). Most support for this
237 hypothesis comes from data on biomass stocks rather than fluxes, which shows that there is
238 usually a greater proportion of total plant biomass located belowground under infertile or dry
239 conditions (e.g., Vitousek and Sanford, 1986; Vogt et al., 1995; Waring and Schlesinger, 1985;
240 Brown and Lugo, 1982; Keyes and Grier, 1981; Nadelhoffer et al., 1985; Heilmeyer et al., 1997)
241 although a comprehensive review found no clear trend across different forested ecosystems or
242 climatic conditions (Cairns et al. 1997). By comparison, there are relatively few field studies
243 which have measured both GPP and TBCF fluxes, so this idea has yet to be extensively tested in
244 the field, but preliminary analyses indicate that the proportion of GPP diverted to TBCF tends to
245 be higher (i.e., > 0.5) in forests in arid environments (Litton et al., 2007).

246 Most detailed vegetation C budgets have focused on forests and have examined impacts of
247 different environmental factors at the level of the whole ecosystem rather than at the species or
248 functional group level. Results suggest that GPP and the proportion of GPP invested in TBCF
249 often show opposing responses to shifts in site fertility and water availability, with consistent
250 trends among different tree species (Litton et al., 2007; Litton and Giardina, 2008). However,
251 across forests worldwide, TBCF as a proportion of GPP shows only a relatively slight decrease
252 from around 0.6 to 0.4 over a six fold increase in GPP (Litton et al., 2007). It therefore seems that
253 over broad scales across structurally similar, undisturbed vegetation types, TBCF will likely be
254 driven mainly by differences in GPP rather than the proportion of GPP partitioned to TBCF
255 (Figs. 2b,d, 3). How well this generalization applies to vegetation types other than forests has not
256 been extensively tested. Changes in plant community composition within a particular vegetation
257 type (or one dominated by a particular plant life form) that involve an increase in the relative
258 abundance of species towards more photosynthetically active plants adapted for rapid resource
259 acquisition should therefore lead to an increase in GPP, TBCF and thus R . Possible examples of
260 this situation include increased liana abundance across the Amazon rainforest (Phillips et al.,
261 2002), and encroachment of temperate hardwoods into the southern limits of evergreen pine
262 dominated forests in Northern Europe (Sykes and Prentice, 1996).

263 In contrast, if environmental changes are sufficient to cause shifts in the abundance of
264 fundamentally different plant life forms, differences in the proportion of GPP diverted to TBCF
265 amongst these life forms will potentially play a much greater role in determining R .
266 Consequences of these shifts for R will be more difficult to predict, and will depend largely upon
267 species or group specific anatomy, physiology and allocation strategy. For example, trees tend to
268 construct more coarse structural roots to enhance plant stability. Coarser roots are usually longer
269 lived with low respiratory rates, are better physically defended from herbivores, and decompose
270 more slowly once dead (in the order of years to decades), which would collectively serve to
271 suppress root contributions to R . In contrast, grasses and forbs often produce finer roots with
272 higher respiratory rates, and of higher chemical quality which turnover within weeks to years
273 (Gill and Jackson, 2000; Comas et al., 2002), resulting in root litter which is preferentially
274 targeted by herbivores and decomposes relatively rapidly (Silver and Miya, 2001; Bardgett and
275 Wardle, 2003). This could help to explain why R in grasslands is generally higher than in forests
276 under comparable climates and soils (Raich and Tufekcioglu, 2000), despite often having similar
277 or lower aboveground productivity. Potential examples of this, more substantial, plant

278 community transformation include predicted replacement of large expanses of Amazon rainforest
279 with savannah as the region becomes drier (Zelazowski et al., 2011), or large reductions in moss
280 and lichen cover and increasing tree and shrub cover in arctic tundra associated with rising
281 temperatures (Chapin et al., 1995; Cornelissen et al., 2001). Ascertaining impacts of climate
282 driven community shifts on *R* will be further complicated by species specific tissue respiration
283 responses to temperature change and, for each species, the degree to which respiration acclimates
284 over time under the new climate regime (Atkin et al., 2008). Thus far, we have focused on factors
285 determining the amount of TBCF (Fig. 4). However, an important source of plant species specific
286 influence over soil C cycling arises from differences in how TBCF is partitioned amongst roots,
287 mycorrhizae and soil exudates (de Deyn et al., 2008). Amongst forest systems globally, the
288 estimated proportion of TBCF used for root growth increases from 0.26 to 0.53 as mean annual
289 site temperature rises from -5 to 30 °C (Litton and Giardina, 2008). Root structure and chemistry
290 vary substantially amongst plant functional groups, as discussed above. Recent evidence from an
291 arctic tundra community suggests that basic species root traits, such as C, N and lignin
292 concentration and dry matter content, were closely correlated with the same traits in stems and
293 leaves (Freschet et al., 2010). Further work in other systems is required to examine the extent to
294 which aboveground traits can be used to predict belowground plant characteristics. Similarly to
295 decomposition patterns of aboveground litter, root decomposition rates vary widely primarily due
296 to tissue chemistry, with climate playing only a secondary role (Silver and Miya, 2001). Root
297 herbivores proliferate in warm, fertile soils where they selectively consume high quality root
298 material, most commonly associated with fast growing, resource acquisition prioritizing plants,
299 which is then excreted in relatively labile C forms easily utilized by soil microbes (Bardgett and
300 Wardle, 2003). Therefore, plant functional differences in root properties may drive shifts in soil
301 food webs that can also impact upon *R*.

302 Litton and Giardina (2008) found that only $\sim 25\%$ of TBCF was diverted to root growth in
303 cold climate forests compared to around 50% in warmer climates. This implies that the remainder
304 of TBCF, presumably dedicated to mycorrhizae and/or root exudates, is generally higher in cold-
305 adapted forests compared to those in warmer climates. We note that this pattern could be related
306 to a number of factors (e.g., vegetation, soil type) that co-vary with temperature at the global
307 scale. Such a pattern is consistent with independent, experimental observations that the
308 proportion of TBCF diverted to mycorrhizae tends to be higher in slower growing plants on
309 infertile soil (Hobbie, 2006). However, additional field data, particularly from the tropics, is
310 required to provide a more robust assessment of global patterns amongst different plant
311 communities in the fraction of TBCF used by mycorrhizae.

312 In comparison with fine roots, mycorrhizal hyphae have shorter life spans (Godbold et al.,
313 2006), are more dependent on recent plant photosynthate (Högberg et al., 2001, 2010) and
314 contain more recalcitrant structural compounds that inhibit decomposition (Langley and Hungate,
315 2003). In addition, mycorrhizal colonization affects losses of C from the root system via
316 respiration, decomposition and consumption (Langley and Hungate, 2003; Hughes et al., 2008).
317 Therefore, the abundance and types of mycorrhizae occurring in an ecosystem have a potentially
318 large influence on *R*. In a survey of 83 British plants of known mycorrhizal affiliation, plant
319 species forming associations with arbuscular mycorrhizae were shown to have traits linked with
320 the maximization of resource acquisition (Fig. 1), such as high seedling growth rates, elevated
321 leaf nutrient concentrations and high tissue decomposability (Cornelissen et al., 2001). By
322 comparison, plants forming ericoid and ectomycorrhizal associations tended to have traits
323 associated with resource retention. Thus plant and mycorrhizal traits may act in concert to
324 regulate soil biogeochemistry. For example, in environments where mineral N is in short supply

325 (e.g., boreal forests and tundra) mycorrhizae may enable plants to compete more effectively with
326 soil microbes and saprotrophic fungi for a wide range of soil nutrients, including complex
327 organic compounds (Persson and Näsholm, 2001), and often suppress decomposition (and thus
328 nutrient supply for other plants) through a variety of mechanisms (Bending et al., 2003; Langley
329 and Hungate, 2003). Such plants often also possess litter traits characteristic of a resource
330 conservation strategy (Fig. 1) which serve to further reduce rates of soil C cycling and hence *R*.
331 Thus, plant mycorrhizal associations may serve to reinforce and amplify existing differences in *R*
332 driven by environmental factors and other plant traits.

333 Root exudates are a diverse group of compounds which interact in numerous ways with plant
334 roots, soil microbes and macrofauna, and can represent a substantial proportion of belowground
335 C allocation for herbaceous plant species (Inderjit and Weston, 2003). In some cases, root
336 exudates may promote microbial breakdown of previously inaccessible soil C compounds and
337 thereby further boost *R* (Kuzyakov et al., 2006). However, the functional significance of many
338 exudates is still poorly understood and some have been found to contain toxins which suppress
339 microbial activity (Inderjit and Weston, 2003). The amount and composition of exudates appear
340 to vary greatly amongst species and growth strategies (Grayston et al., 1996) but further research
341 is required before any general pattern between plant functional type and exudate production can
342 be identified with sufficient confidence to predict the consequences for *R*.

343

344 **2.3 Effects on microclimate and soil structure**

345
346 Plant traits can have a diverse range of effects on soil properties and habitat microclimate
347 (Chapin, 2003). Our aim here is not to provide an exhaustive list, but to highlight the most
348 widespread and important effects with respect to *R*. In particular, vegetation effects on soil
349 temperature and moisture are important because these factors are key physical drivers of
350 microbial activity and hence *R* (Davidson and Janssens, 2006). Dense vegetation canopies are
351 often dominated by light demanding, resource acquisition prioritizing plants. Such canopies often
352 reduce ground level radiation and soil evaporation rates which maintain greater soil moisture
353 levels and lower temperatures that both, in turn, potentially affect *R* (Pierson and Wight, 1991;
354 Breshears et al., 1997, 1998). Canopy and soil albedo can vary substantially amongst plant
355 communities, with important consequences for soil properties (Gao et al., 2005). Interactive
356 effects of vegetation and albedo can be particularly powerful in boreal systems where snowpack
357 depth and the duration of winter snow cover are key determinants of *R* (Brooks et al., 2004).
358 Another important, but underappreciated, effect of plants lies in their potential to influence the
359 spatial distribution and timing of *R* by affecting the speed with which CO₂ diffuses from the soil.
360 For example, dense canopies can impede air circulation (particularly at night), causing sub-
361 canopy accumulation of CO₂ to concentrations up to 90% more than in the above canopy
362 atmosphere (de Araújo et al., 2008). At a broad scale this may not matter since this CO₂ will
363 likely emerge somewhere else or at some later time, but for interpreting spatially patchy,
364 instantaneous records of *R* it has important consequences. The patterns described above operate
365 at relatively broad scales as the integrated product of a plant community. Effects of an individual
366 species on microclimate will become significant where the species is the dominant constituent of
367 the community. The wide diversity of possible mechanisms whereby plant traits could influence
368 soil and vegetation structure means that no consistent, integrated effect on *R* can be ascribed to a
369 specific plant functional type. Instead, effects will be highly context dependant, resulting from

370 interactions between particular combinations of plants, their associated biota, and the physical
371 environment.

372

373 **3 Plant invasions and range expansions**

374

375 Shifts in plant species distribution via invasions and range expansions are currently widespread
376 and likely to increase further due to climate changes (Neilson et al., 2005). Such shifts can serve
377 as natural experiments which provide valuable insights into the myriad effects of individual plant
378 species on ecosystem structure and function. A growing number of studies have shown that even
379 single plant species can drive major changes in ecosystem wide C cycling (Bradley et al., 2006;
380 Litton et al., 2008; Peltzer et al., 2010). In a survey of 94 experimental studies, invaded
381 ecosystems on average had 83% higher productivity and 117% faster litter decomposition rates
382 (Liao et al., 2008), often driven in part by consistent trait differences between invading species
383 and native species. While there is little direct information on the effects of plant invasions on R ,
384 these shifts in production and decomposition suggest that invaded ecosystems would on average
385 have higher R . Two contrasting hypotheses, which are relevant to understanding and predicting
386 average effects of species invasions on ecosystem processes, have each gathered some support
387 from experiments and field observations. The first, the “mass ratio” hypothesis, asserts that
388 species which account for a high proportion of the total ecosystem biomass should exert a greater
389 influence on ecosystem processes, such as R , than uncommon, low biomass species (Grime et al.,
390 1998). One example of this is the invasion of conifers throughout treeless ecosystems in the
391 Southern Hemisphere (Richardson and Rejánek, 2004). The second and opposing hypothesis
392 predicts that even locally rare, low biomass species may have significant impacts on ecosystem
393 processes when they possess key traits that differ substantially from the surrounding community.
394 For example, compared to native dominant trees in Hawaii, the invading N fixer *Myrica faya* has
395 foliage with a higher photosynthetic rate, and produces litter with a lower C to N ratio which
396 decomposes faster (Matson, 1990), all of which might be expected to promote R . In a New
397 Zealand shrub dominated floodplain, Peltzer et al. (2009) found that the removal of several exotic
398 species with distinct life history and leaf traits, but comprising less than 3% of total plant
399 biomass, caused significant reductions in surface litter, soil C and basal respiration, and major
400 shifts in soil microbial and macrofaunal populations.

401 Some plant species with low biomass and broadly similar traits to other species in the
402 community can nevertheless regulate ecosystem processes like R by controlling the frequency
403 and or severity of large scale disturbance events such as fires (Mack and D’Antonio, 1998). For
404 example, invasion of exotic grass species through many tree dominated systems has caused a
405 large rise in fire frequency through changes in ground litter flammability (D’Antonio and
406 Vitousek, 1992). Conversely, encroachment of trees into grass or shrub dominated systems may
407 reduce surface fuel loads thereby suppressing fire (Braithwaite et al., 1989; Doren and Whiteaker,
408 1990). Fire affects soil C cycling in a large number of ways over different time scales (Certini,
409 2005), which makes it very difficult to reliably predict the net effect of these changes in fire
410 regime on R . The existence of complex, context dependant interactions between species and
411 disturbance agents and other factors, means that the effects of individual species on R , while
412 potentially large, cannot be confidently predicted from general principles but should be examined
413 on a case by case basis.

414

415 **4 Plant species diversity**

416
417 With biodiversity of many groups of organisms declining a thousand times faster now than at any
418 time in the fossil record (Millennium Assessment, 2005), attention has turned to the effects of this
419 loss upon key ecosystem processes (see syntheses by Hooper et al., 2005; Balvanera et al., 2006;
420 Cardinale et al., 2006). A large number of experimental studies have used synthetic species
421 assemblages varying in species richness to show that some ecosystem processes, notably
422 productivity, increase with richness (Hooper et al., 2005; Marquard et al., 2009), but reach an
423 asymptote at richness levels that are lower than most natural systems. If this is the case, then a
424 decline in species richness in low diversity systems may lead to decreased R as productivity and
425 hence organic litter input to soil declines. However, such studies may have limited relevance for
426 understanding natural communities in which species composition and species losses are
427 determined by environmental pressures, species recruitment, extinction, dispersal patterns and
428 traits of the constituent species (Huston, 1997; Grime, 1998). For this reason, experimental
429 removal of key species or functional groups from natural systems may provide a better picture of
430 how ecosystem processes, such as R , may be influenced by nonrandom species losses from plant
431 communities (Diaz et al., 2003). Further, there is still relatively little direct evidence from natural
432 gradients for the sort of strong biodiversity-function relationships frequently predicted from
433 experimental studies (Levine and D'Antonio, 1999; Mittelbach et al., 2001). Indeed, the largest
434 and clearest terrestrial diversity gradient on the planet – increasing from the poles to the tropics
435 (Gaston 2000; Hillebrand 2004) is not clearly related to latitudinal variation in aboveground
436 productivity or R (Fig. 3c, f; Huston and Wolverton, 2009). The lack of any strong change in R
437 over such a large and spatially consistent increase in plant diversity towards the tropics indicates
438 that large-scale patterns of R in natural systems are probably overwhelmingly dominated by
439 factors other than diversity.

440 A smaller, but rapidly growing, number of studies have specifically examined plant diversity
441 impacts on soil processes. Results indicate that key facets of soil functioning such as
442 decomposition, microbial nutrient cycling, and R are often more dependent upon the functional
443 traits of the dominant plant species than diversity per se (Wardle et al., 1999; Bardgett and Shine,
444 1999; Hector et al., 2000; Johnson et al., 2008). Where a link between diversity and R has been
445 found, this has often been mediated via the effect of diversity on plant production (e.g., Zak et al.,
446 2003; Craine et al., 2001; Dias et al., 2010). Other studies highlight the importance of particular
447 species or functional groups, rather than diversity, in determining ecosystem level patterns of R
448 (de Boeck et al., 2007; Johnson et al., 2008; Ward et al., 2009). For example, Johnson et al.
449 (2008) found consistent differences in R amongst established grassland mesocosms driven by
450 functional group rather than diversity. As such, forb dominated mesocosms had higher R while
451 sedge dominated communities with relatively high biomass had low R . The lack of any clear link
452 between R and plant biomass, either above or belowground, indicates that R in these systems may
453 be controlled by other functional group specific mechanisms (e.g., soil water availability,
454 mycorrhizal association).

455 Plant removal experiments further illustrate the potential importance and complexity of the
456 interactions between community composition, nonrandom species loss and R in natural systems.
457 For example, in a removal experiment along a boreal forest succession, the presence of tree roots
458 or the shrub *Vaccinium vitis-idaea* were both linked to increased litter decomposition and soil
459 microbial respiration, and therefore potentially also with R , but only at the early stages of
460 vegetation succession (Wardle and Zackrisson, 2005). In contrast, plots with and without removal
461 of *V. myrtillus* had similar levels of soil microbial respiration across the whole gradient. In a

462 similar plant community, removal of ericoid shrubs more than doubled both ecosystem
463 respiration (R and above ground plant respiration) and photosynthesis, and increased the rate of
464 photosynthate transfer through the plant and soil (Ward et al., 2010). Isotopic labeling showed
465 that this effect was largely driven by the graminoids that dominated in ericoid free plots, which
466 showed relatively high innate rates of CO_2 uptake and turnover, and were suppressed by the
467 presence of ericoid shrubs. Effects of species can persist long after they have disappeared from
468 the community: 40 years after selective logging of a single forest tree species in New Zealand,
469 consistent and significant differences in soil chemical and biological properties were observed
470 around the tree stumps compared with the surrounding forest (Wardle et al., 2008).

471 Given that the majority of plant biomass is returned to the soil as litter, plant diversity effects
472 on decomposition and hence R may often be manifested through mixing of litter from different
473 species. Respiration rates of single species litter are usually well correlated with species specific
474 litter chemistry and structure (Aerts and de Caluwe, 1997). However, litter mixing studies
475 frequently reveal different patterns of respiration for the mix as a whole than would be expected
476 from the respiration rates of each species in isolation (Gartner and Cardon, 2004; Hättenschwiler
477 et al., 2005). Among and even within studies, a range of effects of litter mixing on respiration
478 rates have been reported, ranging from strong negative “antagonistic” to strong positive
479 “synergistic” effects depending on species (Gartner and Cardon, 2004) and environmental
480 context (Jonsson and Wardle, 2008). There is little information about the mechanisms
481 underpinning litter mixing effects on decomposition and respiration but the most likely
482 explanations involve effects of nutrients, soluble carbon and secondary metabolites from some
483 litters on others, as well as alteration of decomposer trophic links and microhabitats
484 (Hättenschwiler et al., 2005). Given the prevalence of contrasting respiratory responses among
485 mixtures with identical species number but different composition (Gartner and Cardon, 2004), it
486 appears that species or functional group specific litter qualities, rather than the number of species
487 in the litter mix, are the most important determinants of litter respiration and hence R . Therefore,
488 the overall message emerging from the literature on plant diversity effects upon productivity,
489 belowground functioning and litter mixing is that plant community composition is usually the
490 key driver of R in natural systems, with diversity playing a secondary role, and then only under
491 certain circumstances.

492

493 **5 Towards model integration of species effects**

494

495 Simulation models are essential for integrating multiple sources of ecological information, often
496 gathered over small spatio-temporal scales and unevenly distributed across biomes, to derive
497 regional or global estimates of key ecological processes over long time periods. Several recent
498 syntheses of outputs from C cycle models (CCM's) provide key insights into the causes and
499 consequences for inter-model discrepancies, and highlight important areas for future research
500 (Cramer et al., 2001; Friedlingstein et al., 2006; Ostle et al., 2009). In all models, plant
501 community processes have a wide range of effects on the global C cycle, often via their effect on
502 R . In the remainder of this section, we review the status of CCM's and assess their ability to
503 represent the previously discussed impacts of plant community on R . We focus on the following
504 sample of widely used CCM's, all of which remain in a state of continuous development:
505 TRIFFID (Cox, 2001), LPJ-GUESS (Smith et al., 2001), ED (Moorcroft et al., 2001), LPJ (Sitch
506 et al., 2003), CTEM (Arora, 2003), sDGVM (Woodward and Lomas, 2004), ORCHIDEE

507 (Krinner et al., 2005), CLM-CN (Thornton et al., 2007), SEIB-DGVM (Sato et al., 2007),
508 aDGVM (Schieter and Higgins, 2009), and O-CN (Zaehle and Friend, 2010).

509

510 **5.1 Modeling plant trait effects**

511

512 In all vegetation models, litter production is controlled by plant productivity, so that the first
513 order relationship between production and R is simulated by default. However, influences of
514 plant type on litter quality are more variable amongst models. Plant litter traits, such as C to N
515 ratios and tissue specific decomposition rates, vary with plant type in some CCM's (e.g., O-CN,
516 CLM-CN, sDGVM and CTEM). In other cases, litter decomposition is simulated as a function of
517 abiotic conditions and tissue type (LPJ, LPJ-GUESS, SEIB-DGVM, aDGVM), while some do
518 not even explicitly simulate a litter pool independent of the soil carbon pool (TRIFFID). Further,
519 no models simulate interactions between abiotic drivers and populations of aboveground or soil
520 fauna that may be, in some cases, important drivers of soil C cycling. Models that exclude the
521 potential for links between litter type and decomposition, or interactions that involve different
522 trophic groups within the community, may underestimate the alterations in R generated by
523 climate changes.

524 Vegetation models also vary in how they determine the fate of C allocated to roots and
525 exudates. As such, some models use a single allometric relationship between the quantities of C
526 partitioned aboveground and belowground (ED, SEIB-DGVM), but the majority of models now
527 vary the proportion of GPP diverted belowground according to estimated soil water or nutrient
528 limitations (e.g., O-CN, LPJ, LPJ-GUESS, aDGVM, sDGVM, CLM-CN, and ORCHIDEE).
529 Shifts in root production will impact on R partly via altered supply of root litter. Only O-CN and
530 LPJ distinguish between above and belowground dead organic matter pools, whereas all other
531 models treat these pools together. The impact of this simplification is unclear, but in the LPJ
532 model, decomposition of aboveground litter is driven by air temperature, and belowground litter
533 by soil temperature, which might have an important effect in ecosystems with very large diurnal
534 air temperature fluctuations. The majority of vegetation models calculate plant tissue respiration
535 based on an exponential temperature response curve. However, this function is more appropriate
536 for instantaneous temperature responses, and does not take into account the potential for
537 differential acclimation of respiration amongst plant functional groups to longer term temperature
538 variations. Acclimation equations should be simple to implement in the majority of CCM's (e.g.,
539 Atkin et al., 2008), and would provide a better simulation of this widely observed phenomenon
540 and the consequences for R . However, their implementation could be problematic in the absence
541 of a better understanding of photosynthetic temperature acclimation amongst species, which may
542 produce impacts that negate those of respiratory acclimation (Sage and Kubien, 2007). Given that
543 soil organisms and food webs are responsive to climate change (Tylianakis et al., 2008) there is
544 also considerable scope for acclimation of soil biota to altered temperature regimes, but the
545 specific consequences for R remain largely unknown and these interactions are not currently
546 captured by CCM's

547 To simulate the impact of plants on microclimate, and hence potentially R , all models
548 represent differences in albedo amongst vegetation types, and simulate the consequences for near
549 surface air temperatures. Other interactions that are typically resolved within CCM's include: (1)
550 the impact of vegetation on radiation interception and albedo, and thus snowpack depth, which
551 enhances soil insulation and increases winter R where snow is thicker; (2) the extraction of water
552 by roots from deep soil layers, which increases the latent heat fraction and reduces overall surface

553 air temperatures in seasonally dry environments; and (3) the impact of canopy shading on soil
554 surface evaporation, which maintains wetter surface soils and thus stimulates *R*. Processes that
555 are typically not resolved, which might affect *R*, include the redistribution of soil moisture by
556 deep root systems (but see Harper et al., 2010), the impact of plant canopies, ground litter and
557 water logging on the circulation of CO₂ within soil and the near surface atmosphere, and litter
558 moisture content. In all models, the moisture availability constraint on litter decomposition is that
559 of the soil moisture of the top layer of the soil, and not of the litter layer itself. Given that the
560 litter layer often contains much of the labile C in the soil, and may experience very different
561 moisture regimes to the soil layers below, this simplification could confound attempts to
562 accurately simulate *R*.

563

564 **5.2 Modeling effects of plant invasions and diversity**

565

566 The effects of individual invasive plant species on ecosystem processes, such as *R*, cannot usually
567 be captured by generic CCM's parameterized at the scale of whole biomes. This is partly due to
568 deficiencies in model structure, but also attributable to inadequate information about the factors
569 determining invasion success and the mechanisms underlying observed ecosystem level impacts
570 of most invasive plant species. The basis for the dominance of a particular invasive plant in its
571 new range may not be related to easily identifiable ecosystem or species properties that could
572 feasibly be represented within CCM's. However, inclusion of already widespread species, whose
573 large impact on *R* can be demonstrated and for which the mechanisms of dominance are
574 understood, should be considered. For example, many invasive species that become abundant are
575 symbiotic N fixers and inclusion of N fixers as a separate plant functional type is now possible in
576 some vegetation models (Fisher et al., 2010). Thus, the potential exists to simulate plant invasion
577 and range shifts for some broad, easily identifiable plant types and their impacts on *R* via
578 alterations in soil conditions and plant productivity.

579 The existing structure of vegetation models, with less than 20 plant functional types used to
580 represent all plant species globally (Ostle et al., 2009), is inappropriate for simulating the full
581 range of possible interactions between plant diversity and *R*. The most straightforward apparent
582 solution to this issue is to include a greater variety of plant types in vegetation models. However,
583 in most simulations that include a link between plant growth and plant success, simply increasing
584 the number of available plant types does not necessarily increase the simulated diversity, as
585 exclusion of slow growing plants by fast growing plants is a likely outcome of the competitive
586 process simulated. Clark et al. (2007) argue that within species variation in plant properties can
587 explain and alleviate this problem, and that the standard approach of using mean species
588 properties to drive C's is flawed, because coexistence is greatly facilitated by within species (or
589 plant type) genetic and environmentally modulated heterogeneity. One promising framework is
590 provided by the JeDi model (Reu et al., 2010) that generates a theoretical plant community whose
591 traits vary along twelve functional trade-off gradients. A coupled plant physiology model selects
592 a subset of plant physiological strategies that survive under a given set of climate conditions. This
593 approach has met with some success at predicting global patterns of plant diversity, although it
594 has yet to be coupled to a model that simulates the potential for coexistence of the theoretically
595 plausible plant types. Once this is achieved, then a model based exploration of the interactions
596 between plant diversity and emergent ecosystem properties, such as *R*, might become a plausible
597 goal.

598

599 **6 Conclusions**

600
601 Plant communities influence R via many mechanisms over a range of spatial and temporal scales.
602 The most obvious and direct mechanism is plant control of the quantity and quality of organic
603 inputs to the soil. There is often a clear link between plant production and R (Raich and
604 Schlesinger, 1992), which could amplify any shifts in R with climate change due to abiotic
605 effects on microbial activity in those systems for which productivity may rise due to increasing
606 temperatures and N availability (Holland et al., 1997; Rustad et al., 2001). Further, plant species
607 traits determine the quality of resource input to the soil both within and among communities,
608 which may influence R (de Deyn et al., 2008). Abiotic drivers of global change may also
609 accelerate decomposition rates of organic litter and hence R , but this effect will likely be
610 complicated by changes in litter traits associated with shifts in community composition and
611 possibly species diversity. The impacts of species shifts on R may be particularly large where
612 they involve species that account for most plant biomass in the system, as well as subordinate
613 species that have very different traits (e.g., litter chemistry, N fixation ability) to the rest of the
614 community. Large impacts can also occur when particular species mediate the frequency and
615 severity of large scale disturbance events such as fire or insect attacks.

616 In addition, plant effects on R can operate via changes in the amount of photosynthetic C
617 channeled belowground. There is evidence from forests that the total quantity of C fixed via
618 photosynthesis, rather than the pattern of partitioning of this photosynthate, is the dominant
619 control upon the amount of C diverted belowground within vegetation types (Fig. 4. Litton et al.,
620 2007), but this has yet to be broadly verified for non-forested vegetation. Across ecosystems of
621 contrasting vegetation type, or within ecosystems where fundamental shifts in dominant plant life
622 forms occur over time, species or functional-group specific differences in the proportion of
623 photosynthate allocated belowground will play a greater role in explaining patterns of R . There is
624 preliminary evidence that cold-climate forests may expend a greater proportion of belowground
625 C on mycorrhizae and/or exudates rather than on roots, when compared with forests in warmer
626 climates (Litton and Giardina, 2008), but further field studies are required to test this. Finally, a
627 diverse range of potentially very important plant impacts on R operate via effects upon soil
628 surface temperature and moisture levels, and other aspects of microclimate.

629 Climate vegetation models take a variety of approaches to simulating differences amongst
630 plant functional types in terms of litter decomposition, belowground C flux, and microclimate
631 alteration. These mechanisms provide a range of model pathways through which plants may
632 impact upon R . Key areas for potential improvement include allocation schemes, regulation of
633 litter decomposition and the extent and speed of respiratory acclimation to temperature increases.
634 A cohesive framework for prediction of plant impacts on R is urgently required to inform model
635 simulations of climate-vegetation interactions and design effective mitigation strategies. We
636 outline the following areas as critical gaps in ongoing efforts to construct such a framework:

637
638 – The fate of belowground carbon. A key uncertainty, in determining the effects of shifts in
639 belowground C flux on R , is how this C is partitioned amongst roots, fungi and microbes.
640 Each compartment has distinct sensitivities, C turnover rates and trophic interactions with
641 soil biota that can affect the amount of C released from soil as R (Subke et al., 2006;
642 Kuzyakov, 2006). Combination of whole plant isotopic labeling with techniques that isolate
643 C in specific soil fractions (e.g., Högberg et al., 2010) will make significant advances in this
644 field.

- 645
646 – Linking plant traits to ecosystem effects. The wide diversity of plant function and form
647 can, to some extent, be simplified along fundamental trait axes that describe contrasting life
648 history strategies (Grime et al., 1974; Wright et al., 2004). Improved knowledge of how these
649 traits simultaneously determine plant responses to environmental change and plant effects
650 upon ecosystem processes (Diaz et al., 2004; Suding et al., 2008) will increase our ability to
651 link climate changes with shifts in R mediated via plant community characteristics.
652
- 653 – Biotic interactions. Idiosyncratic ecological outcomes, which are particularly challenging
654 to predict (and, when necessary, to prevent or mitigate), often result from complex
655 interactions of organisms with each other and with their environment (e.g., by controlling
656 fire disturbance frequency, Mack and D’Antonio, 1998). Identifying when, where, and why
657 these nonlinear feedbacks occur will be critical for successfully modeling and managing CO_2
658 emissions from R in terrestrial ecosystems.
659
- 660 – Species gains and losses. For a variety of aesthetic and economic reasons, there is
661 considerable interest in the wider ecosystem effects of species extinctions and invasions.
662 While there have been important advances in developing general principles about how plant
663 species invasions may affect ecosystem processes relevant to R (e.g., the “mass ratio
664 hypothesis”, Grime et al., 1998), our understanding of how species losses in real ecosystems
665 affects these processes remains more limited. Further application of promising approaches
666 such as species removal experiments and species specific isotopic labeling will help to tease
667 apart the myriad factors determining the effects of individual species or functional groups on
668 R .
669
- 670 – Integrating across scales. Soil C models are mainly driven by soil temperature and
671 moisture. These factors are often excellent predictors of R over short temporal scales and
672 under particular conditions (Davidson, 2010). However, over larger spatiotemporal scales,
673 plant activity and other factors may become increasingly important. Thus, regional or global
674 scale modeling of R over long time scales may be particularly dependent upon an accurate
675 representation of seasonal variation in plant C allocation amongst different functional
676 groups.
677

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684

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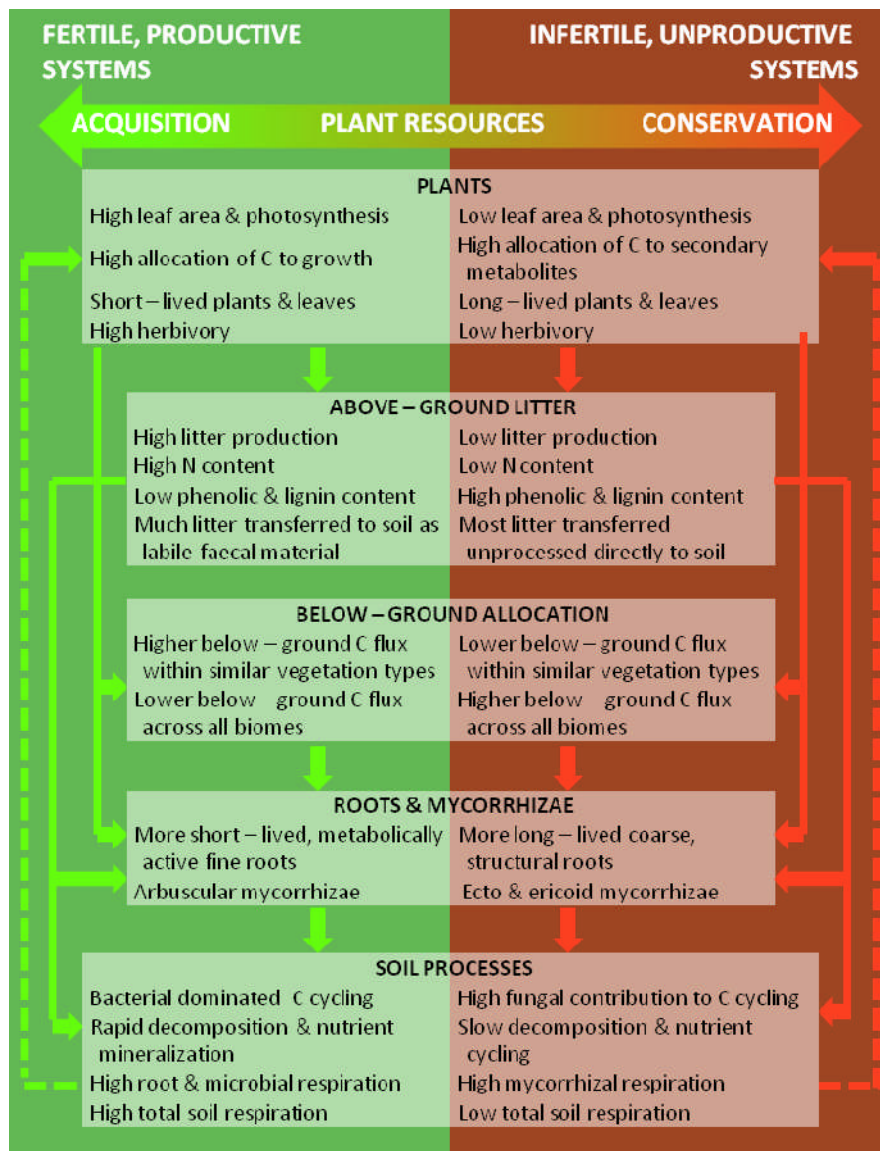
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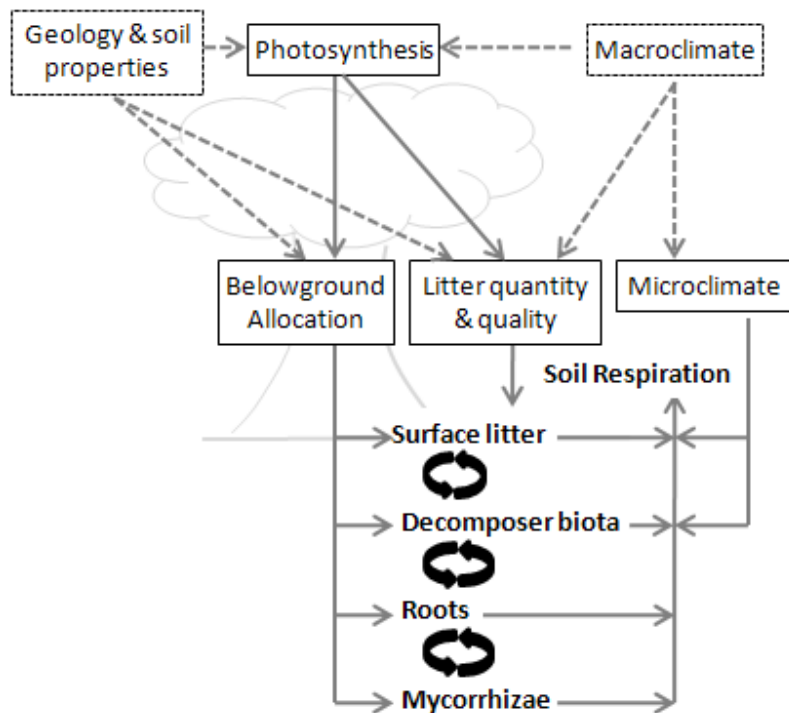
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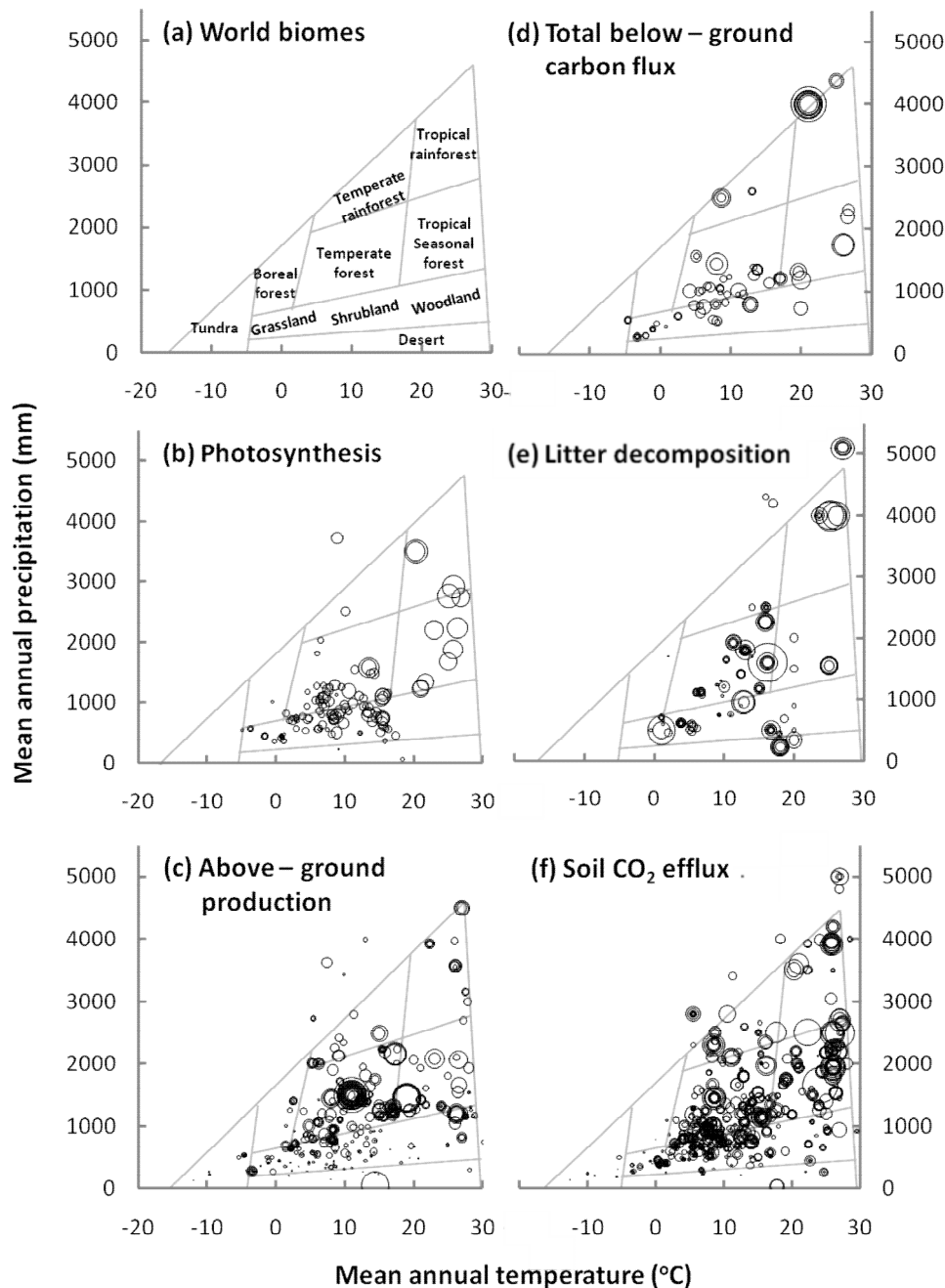
1082
 1083 Figure 1) Conceptual framework of linkages amongst plant traits and key plant and soil processes
 1084 that affect soil CO₂ efflux in contrasting terrestrial ecosystems. Note that these are
 1085 generalizations with many exceptions. Modified from Wardle et al. (2004).
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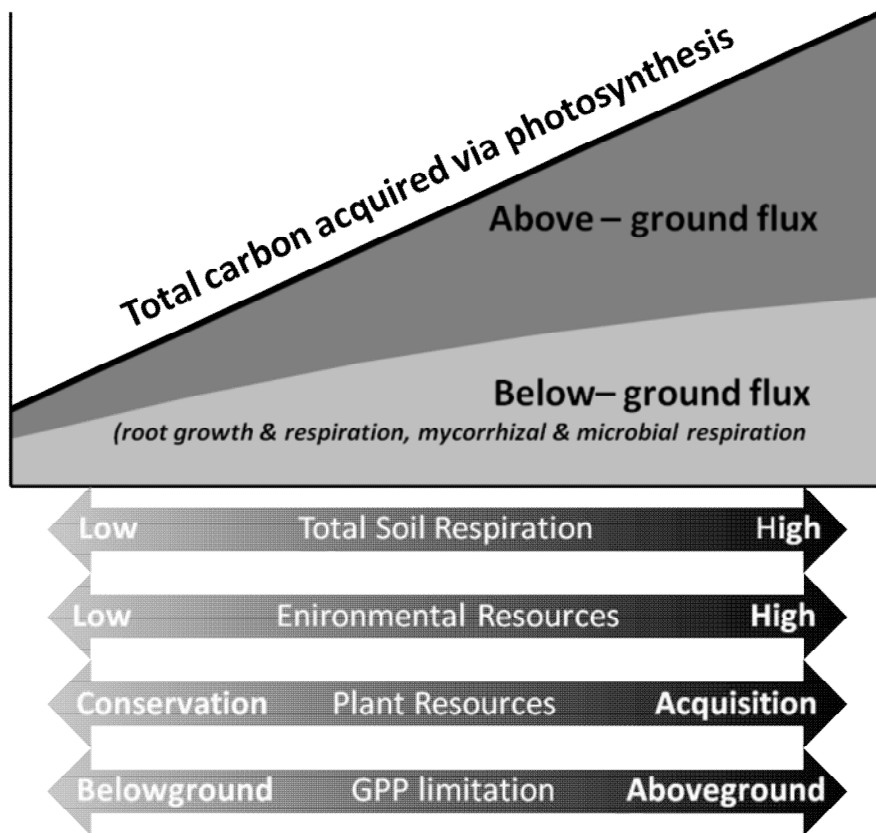
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1099 Figure 2) Key determinants of soil respiration. In boxes are the key drivers of soil respiration
1100 which can be affected by plant species, text outside of boxes represent the principal contributors
1101 to soil respiration.

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 1126 Figure 3) Distribution of plant biomes¹ (a), annual gross primary productivity² (b), annual
 1127 aboveground plant productivity³ (c), total annual belowground carbon flux⁴ (d), litter
 1128 decomposition rate⁵ (e) and soil CO₂ efflux⁶ (f) in relation to mean annual site air temperature
 1129 and rainfall. Note that a number of factors (e.g., vegetation and/or soil type) co-vary with global
 1130 temperature and moisture gradients. Belowground carbon flux is presented only for forest
 1131 ecosystems, the other variables span all ecosystems for which data are available. Soil CO₂ efflux
 1132 data is presented only for unmodified, natural systems. The diameter of the circles denotes the
 1133 magnitude of the values. Data sources: ¹ Whittaker (1975); ² Luyssaert et al. (2007); ³ Ohnson et
 1134 al. (2001); ⁴ Litton and Giardina (2008); ⁵ Zhang et al. (2008); ⁶ Bond-Lamberty (2010).



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 1136 Figure 4. Hypothesized relationships between GPP partitioning and soil respiration, abiotic
 1137 factors, plant functional type and limitations to photosynthesis. Modified from Litton and
 1138 Giardina (2008).
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