1	Quantification of Terrestrial Ecosystem Carbon Dynamics in the Conterminous United States
2	Combining a Process-Based Biogeochemical Model and MODIS and AmeriFlux data
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## 21 Abstract

22 Satellite remote sensing provides continuous temporal and spatial information of terrestrial ecosystems. Using these remote sensing data and eddy flux measurements and biogeochemical models, 23 24 such as the Terrestrial Ecosystem Model (TEM), should provide a more adequate quantification of carbon dynamics of terrestrial ecosystems. Here we use Moderate Resolution Imaging 25 Spectroradiometer (MODIS) Enhanced Vegetation Index (EVI), Land Surface Water Index (LSWI) and 26 27 carbon flux data of AmeriFlux to conduct such a study. We first modify the gross primary production (GPP) modeling in TEM by incorporating EVI and LSWI to account for the effects of the changes of 28 canopy photosynthetic capacity, phenology and water stress. Second, we parameterize and verify the 29 30 new version of TEM with eddy flux data. We then apply the model to the conterminous United States 31 over the period 2000-2005 at a  $0.05^{\circ} \times 0.05^{\circ}$  spatial resolution. We find that the new version of TEM 32 made improvement over the previous version and generally captured the expected temporal and spatial patterns of regional carbon dynamics. We estimate that regional GPP is between 7.02 and 7.78 Pg C yr<sup>-1</sup> 33 and net primary production (NPP) ranges from 3.81 to 4.38 Pg C yr<sup>-1</sup> and net ecosystem production 34 (NEP) varies within 0.08-0.73 Pg C yr<sup>-1</sup> over the period 2000-2005 for the conterminous United States. 35 The uncertainty due to parameterization is 0.34, 0.65 and 0.18 Pg C yr<sup>-1</sup> for the regional estimates of 36 GPP, NPP and NEP, respectively. The effects of extreme climate and disturbances such as severe 37 drought in 2002 and destructive Hurricane Katrina in 2005 were captured by the model. Our study 38 39 provides a new independent and more adequate measure of carbon fluxes for the conterminous United 40 States, which will benefit studies of carbon-climate feedback and facilitate policy-making of carbon management and climate. 41

42 Keywords: Carbon dynamics; Terrestrial Ecosystem Model; Remote Sensing; MODIS; EVI; LSWI;

# 43 AmeriFlux

# 45 **1. Introduction**

46	Quantification of net carbon exchanges between the terrestrial ecosystems and atmosphere is
47	scientifically and politically important. It can help improve our understanding of the feedbacks between
48	the terrestrial biosphere and atmosphere (Law et al., 2006) and provide critical information to studying
49	long-term biosphere interactions with other components of the Earth system (Potter et al., 2007). The
50	Intergovernmental Panel on Climate Change (IPCC) reported that the continent of North America has
51	been identified as a significantly large fraction of global carbon budget in terms of both source and sink
52	of atmospheric CO <sub>2</sub> (Pacala et al., 2001;Gurney et al., 2002;IPCC, 2001). The conterminous United
53	States accounts for most of the North American total, but with a high uncertainty. For instance, Pacala et
54	al. (2001) estimated a carbon sink in the conterminous United States is between 0.30 and 0.58 Pg C
55	yr <sup>-1</sup> (1 Pg = $10^{15}$ g) over the 1980s. Fan et al. (1998) estimated the North America sink as $1.7 \pm 0.5$ Pg C
56	yr <sup>-1</sup> over the period of 1988 to 1992, mostly in the south of 51° N. Analyses based on land use change
57	and inventory databases for the conterminous United States in the 1980s estimated a sink of 0.08 to 0.35
58	Pg C yr <sup>-1</sup> (Turner et al., 1995;Houghton et al., 1999;Houghton and Hackler, 2000;Houghton et al., 2000).
59	Results from the Vegetation/Ecosystem Modeling and Analysis Project (VEMAP) suggested a smaller
60	sink $(0.08 \pm 0.02 \text{ Pg C yr}^{-1})$ of the conterminous United States from 1980 to 1993 (Schimel et al., 2000).
61	Recently, Potter et al. (2007) estimated a sink of 0.04 to 0.2 Pg C yr <sup>-1</sup> from 2000 to 2004 while Xiao et al.
62	(2008) estimated the sink at 0.68 Pg C yr <sup>-1</sup> over the period 2000 to 2006 using satellite information.
63	Overall, these results have shown great uncertainties, and remarkably, the uncertainty sometimes is
64	larger than the sink itself.

65 Reducing the uncertainty of large-scale carbon exchanges requires more adequate 66 comprehension to the related biophysical processes. Traditionally, process-based biogeochemical

models have been used (e.g. Raich et al., 1991;Potter et al., 1993;Field et al., 1995;Zhuang et al., 67 68 2003; Running and Hunt, 1993). These models usually consider carbon fluxes as functions of climatic 69 and biogeochemical factors (McGuire et al., 1992) and are able to estimate carbon fluxes and storage in 70 the ecosystem. However, since the environmental limitation for simulating carbon fluxes is estimated 71 with specific algorithms driven by uncertain environmental variables, biases between the observed and 72 estimated environmental status can introduce uncertainty. In addition, terrestrial biogeochemical model simulations are uncertain due to lacking of large-scale disturbance data (Canadell et al., 2000;Law et al., 73 74 2006). Remotely sensed data provide globally consistent and near real-time observations of numerous 75 surface variables as well as the information of the timing, distribution, spatial extent or severity of disturbances at regional and global scales (Zhao and Running, 2008). These satellite data help more 76 77 adequately quantify carbon dynamics (Coops et al., 1998;Seaquist et al., 2003;Xiao et al., 2004;Sims et 78 al., 2008). These remotely-sensed data are good at estimating carbon assimilation and plant respiration, 79 but not heterotrophic respiration. Satellite-based models alone cannot sufficiently account for vegetation carbon (Xiao et al., 2010) and nitrogen availability (Clark et al., 1999;Clark et al., 2004) 80 81 while these can be provided by process-based models. Therefore, models that are based on both satellite 82 observations and biogeochemical processes could potentially improve the quantification of carbon dynamics of Gross Primary Production (GPP), Autotrophic Respiration (R<sub>A</sub>) and heterotrophic 83 respiration (R<sub>H</sub>). For example, Potter et al. (2003) retrieved major disturbances at a global scale with 84 the AVHRR FPAR data for the period of 1982-1999 and combined them with the NASA-CASA model 85 to estimate the above-ground biomass carbon lost. Hazarika et al. (2005) used MODIS derived Leaf 86 Area Index (LAI) to constrain an ecosystem model (Sim-CYCLE) and improved the accuracy in 87 estimating global Net Primary Production (NPP). However, limitations still exist in those studies. For 88

example, the NASA-CASA directly modeled NPP but avoided the estimation of the Gross Primary Production (GPP). The MODIS LAI products used for constraining Sim-CYCLE are not directly calculated with surface reflectance but derived with complex algorithms (Myneni et al., 2002) which can cause high uncertainty. Here we conduct a study by combining satellite reflectance data with a process-based biogeochemistry model, the Terrestrial Ecosystem Model (McGuire et al., 2001;Zhuang et al., 2003;McGuire et al., 1992;Raich et al., 1991), to quantify the carbon dynamics in the conterminous U.S. for the period of 2000-2005.

Eddy covariance flux towers have been established since the 1990s to provide continuous 96 measurements of ecosystem-level carbon exchanges (Wofsy et al., 1993;Baldocchi et al., 2001). At 97 98 present, over 400 eddy covariance flux towers are operating on a long-term and continuous basis over 99 the globe (FLUXNET, 2009). This global network covers a wide range of climate and biome types, and 100 provides probably the best measurements of Net Ecosystem carbon Exchange (NEE) (Xiao et al., 2008). 101 Previous ecosystem models were either estimated or calibrated with annual values of observed carbon 102 fluxes (Raich et al., 1991;Potter et al., 1993) and the time series data of carbon fluxes have not been 103 adequately used. In recent years, a number of studies used eddy flux data in a model-data fusion 104 manner to improve the parameterization and predictability of process-based ecosystem models (e.g. Tang and Zhuang, 2008; Braswell et al., 2005; Williams et al., 2005; Aalto et al., 2004; Santaren et al., 105 2007; Tang and Zhuang, 2009; Wang et al., 2007; Wang et al., 2001). Here we conduct a model-data 106 107 fusion study with a satellite-based model. We first develop a new version of TEM based on 108 satellite-observed surface reflectance, which is hereafter referred to as SAT-TEM. Second we parameterize the SAT-TEM using flux tower data and compare both SAT-TEM and TEM performance 109 110 at the site level. Finally, we use SAT-TEM to quantify carbon fluxes in the conterminous United States

111 in comparison with the estimates of a previous version of TEM.

112

# 113 **2. Method**

114 *2.1. Overview* 

115 In this study, Enhanced Vegetation Index (EVI) and Land Surface Water Index (LSWI) from 116 Moderate Resolution Imaging Spectroradiometer (MODIS), which are of high data quality and 117 accuracy (Justice et al., 2002; Guenther et al., 2002; Wolfe et al., 2002), are incorporated into the 118 process-based biogeochemistry model TEM. Observed data from AmeriFlux sites (AmeriFlux, 2009) are then utilized to improve parameterization of the model and test the model performance. Specifically, 119 we modify GPP formulae in TEM by incorporating MODIS EVI and LSWI. We then use a Bayesian 120 121 Inference technique (Tang and Zhuang, 2009) to parameterize the model. The model is then verified for different ecosystem types with the observed Net Ecosystem Exchange (NEE) and GPP from eddy 122 123 covariance flux towers of AmeriFlux network. To examine how the new model could improve the 124 carbon flux estimation of TEM, we run both versions of TEM at the same sites with the same driving data sets. The model is finally applied to estimate dynamics of carbon fluxes for each  $0.05^{\circ} \times 0.05^{\circ}$  grid 125 126 cell across the conterminous United States over the period 2000-2005.

127

# 128 2.2. Modification to the Terrestrial Ecosystem Model

The TEM is a well-documented process-based ecosystem model that describes carbon and nitrogen dynamics of plants and soils for terrestrial ecosystems (Raich et al., 1991;McGuire et al., 1992;McGuire et al., 2001;Melillo et al., 1993;Zhuang et al., 2001;Zhuang et al., 2002;Zhuang et al., 132 2003; Zhuang et al., 2004). The TEM runs at monthly time step and uses spatially referenced information on climate, elevation, soils, vegetation and water availability as well as soil- and 133 134 vegetation-specific parameters to make monthly estimates of important carbon and nitrogen fluxes and pool sizes of terrestrial ecosystems. In TEM, GPP is modeled as a function of irradiance of 135 136 photosynthetically active radiation (PAR), atmospheric CO<sub>2</sub> concentrations, moisture availability, mean 137 air temperature, the relative photosynthetic capacity of the vegetation, and nitrogen availability. The freezing and thawing dynamics have also been considered (Zhuang et al., 2003). The formula for 138 139 calculating monthly GPP is:

140 
$$GPP = C_{max} f(PAR) f(PHENOLOGY) f(FOLIAGE) f(T) f(C_A, G_v) f(NA) f(FT)$$
(1)

where  $C_{max}$  is the maximum rate of C assimilation by the entire plant canopy under optimal 141 142 environmental conditions; f(PAR) represents the influence of photosynthetically active radiation; 143 f(PHENOLOGY) is monthly leaf area relative to leaf area during the month of maximum leaf area depending on monthly estimated evapotranspiration (Raich et al., 1991); f(FOLIAGE) is a scalar 144 function representing the ratio of canopy leaf biomass relative to maximum leaf biomass (Zhuang et al., 145 146 2002) having the similar effect as f(PHENOLOGY) on constraining the estimation of GPP; f(T) is temperature scalar with reference to the derivation of optimal temperatures for plant production and T is 147 148 monthly air temperature;  $f(C_A, G_v)$  represents the effect of CO<sub>2</sub> concentrations, where  $C_A$  is CO<sub>2</sub> concentration in the atmosphere and  $G_{\nu}$  is a unitless multiplier that accounts for changes in leaf 149 conductivity to  $CO_2$  resulting from changes in moisture availability. The function f(NA) models the 150 151 limiting effects of plant nitrogen availability. f(FT) is an index of sub-monthly freeze-thaw to indicate 152 effects of freeze-thaw dynamics on GPP (Zhuang et al., 2003). In TEM, NPP is defined as the difference of GPP and autotrophic respiration (RA) and the net carbon exchange between the ecosystems and 153

atmosphere is defined as NEP, a difference between NPP and heterotrophic respiration (R<sub>H</sub>) (Raich et al.,

1991;McGuire et al., 1992;McGuire et al., 2001;Zhuang et al., 2003). 155

156 Satellite vegetation indices are widely used in satellite-based carbon models to represent the 157 fraction of vegetation absorbed PAR (FAPAR) (Prince and Goward, 1995; Running et al., 1999, 2000, 158 Potter et al., 1993; Xiao et al., 2004). For example, the Normalized Difference Vegetation Indices 159 (NDVI), which captures the contrast between the visible-red and near-infrared reflectance of vegetation, has a good linear or non-linear relationship of FAPAR. Recent studies show that EVI (Huete et al., 160 161 1997;Huete et al., 2002) calculated from the MODIS could more efficiently dismiss the influence of 162 atmospheric scattering and sensitive to canopy variations (Huete et al., 2002). EVI is believed to be a better choice than NDVI to represent photosynthetic activity of vegetation canopy (Boles et al., 163 164 2004;Xiao et al., 2004;Yang et al., 2007) and provides reasonably accurate direct estimates of GPP 165 (Rahman et al., 2005). EVI is a normalized index using the reflectance in the near infrared (NIR), red and blue spectral bands: 166

167 
$$EVI = \frac{2.5(\rho_{nir} - \rho_{red})}{\rho_{nir} + (6\rho_{red} - 7.5\rho_{blue}) + 1}$$
(2)

168 Apart from EVI, Xiao et al. (2004) developed the Vegetation Photosynthesis Model (VPM) which used the Land Surface Water Index (LSWI) to help capture the effects of water stress and leaf 169 170 phenology. As the shortwave infrared (SWIR) spectral band is sensitive to land surface water content, 171 the LSWI is calculated as the normalized difference between NIR and SWIR spectral bands:

172 
$$LSWI = \frac{\rho_{nir} - \rho_{swir}}{\rho_{nir} + \rho_{swir}}$$
(3)

173 where the SWIR spectral bands may be either 1628–1652 nm or 2105–2155 nm for MODIS on board the NASA Terra satellite (Yan et al., 2009; Ratana et al., 2005; Li et al., 2007). In our study, we use 174

the band at 2105–2155 nm to calculate LSWI.

We therefore adopt the formulae of the VPM for GPP modeling in our revision of TEM. We use water scalar ( $W_{scalar}$ ), phenology scalar ( $P_{scalar}$ ) and EVI to account for the vegetation water stress and phenology as well as absoption of PAR while maintaining the original formulation for nitrogen availability, temperature constraints, and the effect of CO<sub>2</sub> concentration. GPP in the new version of TEM (SAT-TEM) is thus modeled as:

181 
$$GPP = C_{max} f(PAR) f(T) W_{scalar} P_{scalar} f(C_A, G_v) f(NA) f(FT)$$
(4)

where  $f(PAR) = EVI \times PAR/(k_i + PAR)$  indicates the PAR absorption and the effect of PAR saturation while  $k_i$  is the half-saturation value.  $W_{scalar} = (1 + LSWI)/(1 + LSWI_{max})$  where LSWI<sub>max</sub> is the maximum LSWI within the plant-growing season for individual grid cell. Through our study, we calculated *LSWI<sub>max</sub>* in advance and use it as an input parameter for each grid cell in the regional simulation.  $P_{scalar} = (1 + LSWI)/2$ . Specifically,  $P_{scalar}$  is set to be 1 for evergreen vegetations (Xiao et al., 2004). The calculations of NPP and NEP in SAT-TEM are kept the same as the previous version of TEM.

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190 2.2 Data Organization

191 2.2.1. Site-level data

To drive SAT-TEM model, we first parameterize SAT-TEM using AmeriFlux site observations. We organize the observed GPP, NEP and meteorological data (radiation, air temperature, and precipitation) from six representative eddy covariance flux sites for each vegetation type to parameterize and verify SAT-TEM. In order to further test the performance of SAT-TEM, we organize the same data from ten additional available sites covering all the six vegetation types across most of the

197	conterminous United States (Figure 1). Specifically, we gather all available monthly Level 4 GPP and
198	Net Ecosystem Exchange (NEE) products ( <u>http://public.ornl.gov/ameriflux/</u> ) at these sites (Table 1).
199	The Level 4 product consists of two types of NEE data, including standardized (NEE_st) and original
200	(NEE_or) NEE. Corresponding GPP_st and GPP_or are calculated by ecosystem respiration ( $R_e$ ) with
201	NEE_st and NEE_or, respectively. GPP_st, GPP_or, NEE_st and NEE_or are filled using the Marginal
202	Distribution Sampling (MDS) method (Reichstein et al., 2005) and the Artificial Neural Network (ANN)
203	method (Papale and Valentini, 2003). We use GPP and NEE calculated from NEE data that were
204	gap-filled using the ANN method (Moffat et al., 2007;Xiao et al., 2008). For each site, if the percentage
205	of the remaining missing values for NEE_st is lower than that for NEE_or, we select NEE_or and
206	GPP_or; otherwise, we use NEE_st and GPP_st. To compare with our TEM simulation, we treat NEE as
207	TEM NEP, but with different signs.

208 We obtain site-level EVI and LSWI by collecting MODIS ASCII subsets (Collection 5) which consist of 7 km  $\times$  7 km regions centered on the flux tower from the Oak Ridge National Laboratory's 209 210 Distributed Active Archive Center for each AmeriFlux site. This 16-day product has a spatial resolution 211 of 1 km × 1 km. To better represent the flux tower footprint (Schmid, 2002;Rahman et al., 2005;Xiao et 212 al., 2008;Xiao et al., 2010), mean EVI, NIR and SWIR band values for the central 3km × 3 km area are extracted within the 7km  $\times$  7 km cutouts. We only use the pixels with good quality which are 213 214 determined by the corresponding quality assurance (QA) flags included in the product. LSWIs are then 215 calculated by NIR and SWIR band values. Each 16-day EVI and LSWI values are aggregated into monthly values to correspond with the time step of SAT-TEM. 216

219	To conduct regional simulations, we organize the regional data of vegetation, soils, topography,
220	and climate at a spatial resolution $0.05^{\circ} \times 0.05^{\circ}$ . We obtain land-cover information derived from
221	MODIS product Land Cover Types Yearly L3 Global 0.05 Deg CMG (MOD12C1) (Year 2004) from
222	NASA Goddard Space Flight Center website (http://modis-land.gsfc.nasa.gov). We use the
223	classification of the International Geosphere and Biosphere (IGBP) land-cover classification system to
224	classify the land cover map of the conterminous United States into 6 major vegetation types, which are
225	used in our SAT-TEM simulations (Table 2 and Figure 1). EVI, NIR and SWIR bands data are extracted
226	from MOD13C2 (MODIS Terra Vegetation Indices Monthly L3 Global 0.05Deg CMG V005) for the
227	conterminous United States.
228	Mean monthly climate data including air temperature, cloudiness fractions and precipitation are
229	extracted from NCEP global datasets at a 0.5° spatial resolution (Kistler et al., 2001). Spatial elevation
230	data and soil texture data from previous studies are from Zhuang et al. (2003). All these data are
231	interpolated into a 0.05° spatial resolution using Inverse Distance Weighted method to match MODIS
232	data.
233	
234	2.3 Model Parameterization and Application
235	We parameterize the SAT-TEM with a Bayesian inference method (Tang and Zhuang, 2009) at
236	the selected six AmeriFlux sites representing every major vegetation type across the conterminous
237	United States. The parameterization method follows the procedures described in Tang and Zhuang
238	(2009). Firstly, 15 key parameters (Table 3) are selected to conduct the parameterization according to
239	our previous sensitivity study (Tang and Zhuang, 2009) and parameterization experiences. To derive
240	the prior parameter sets of SAT-TEM, we first assume that they follow the uniform distributions within

241 previous specified reasonable ranges either based on literature review or our experience. We sample 500,000 sets of parameters using the Latin Hypercube Sampling technique (Iman and Helton, 1988) to 242 243 conduct the Monte Carlo simulations. We then use the sampling importance resampling (SIR) technique with the observed carbon fluxes at the selected sites to draw the posterior from the prior 244 245 SAT-TEM simulations (Skare et al., 2003) and collect 50,000 posterior sets of parameters, which are one-tenth of the prior sample size and are suggested to be able to produce stable results (Green et al., 246 1999; Tang and Zhuang, 2009). We then divide the errors made by the 50,000 sets of parameters into 50 247 248 levels (from the highest error level to the lowest) and sampled 50 sets of parameters, one for each level. 249 These 50 sets of parameters are applied at both sites and the region for ensemble simulations of SAT-TEM to account for the uncertainties of parameterization at different spatial scales. Here the first 250 251 2-year data at all the six sites are used for parameterization while data of the remaining years are used 252 for testing the model. To further test the performance of the SAT-TEM and compare it with TEM independently apart from the parameterization sites, we run both SAT-TEM and TEM at ten additional 253 254 AmeriFlux sites which represent all six vegetation types within diverse climatic zones across the conterminous United States. Statistics of R<sup>2</sup> and Root Mean Square Error (RMSE) are calculated to 255 quantitatively evaluate the model performance. The TEM parameters for natural ecosystems are from 256 257 previous studies (McGuire et al., 1992; Zhuang et al., 2003), parameters for croplands are averaged values for C<sub>3</sub> and C<sub>4</sub> plants from (Lu and Zhuang, 2010). 258

The parameterized SAT-TEM is then applied to the conterminous United States for the period of 1948-2005 at a  $0.05^{\circ}$  spatial resolution with a total of 322287 grid cells. We first run SAT-TEM to equilibrium with the long-term averaged climate and CO<sub>2</sub> concentration data from 1948 to 2005. We then spin-up the model for 120 years to account for the influence of climate inter-annual variability on

the initial conditions of the ecosystems. Since historic climate and CO<sub>2</sub> concentration data are not 263 available before 1948, we repeat the data from 1948 to 1987 for three times for the spin-up. In addition, 264 since MODIS vegetation index products are only available from 2000, we fill the gap by repeating 265 2000-2005 MODIS EVI data for the whole period in order to have consistent data for our simulation 266 period. After the spin-up, we run the model with transient monthly climate and annual atmospheric  $CO_2$ 267 concentrations from 1948 to 2005 and then extract the results of the period of 2000-2005 for further 268 analysis. To quantify the uncertainty of regional simulation caused by parameterization, we run 269 270 ensemble SAT-TEM simulations with the 50 sets of parameters obtained from site-level 271 parameterizations. The averaged values and standard deviations of 50 sets of regional results are calculated for analysis. For comparison, we also conduct a regional simulation with TEM. 272

273

### 274 **3. Results and Discussion**

### 275 3.1 Model Performance at AmeriFlux Sites

The parameterized SAT-TEM can reproduce the carbon fluxes reasonably well at the six 276 277 parameterization sites. Comparisons at each individual site show reasonable agreement of seasonality 278 and inter-annual variability between the observed and predicted values (Figure 2, Table 4) except for Sky Oaks New site. Specifically, at forest sites, SAT-TEM simulations better capture the variation of 279 both fluxes of GPP and NEP ( $R^2 > 0.9$  for GPP and  $R^2 > 0.6$  for NEP) when comparing to non-forest 280 sites. SAT-TEM results at Sky Oaks New site however have a relatively lower linear relationship ( $R^2 =$ 281 0.10 for GPP and  $R^2 = 0.13$  for NEP) comparing to  $R^2 > 0.7$  for GPP at other sites. Literature review 282 (Xiao et al., 2008, 2010;Sims et al., 2008) shows previous satellite-based estimations all failed to 283 capture the variation of carbon fluxes at this site. Apart from the reason of short records at this site, this 284

285 disagreement is likely due to solar elevation angle effects on spectral reflectance (Sims et al., 2008) since it is reported that surface reflectance as well as NDVI and EVI are strongly affected by diurnal and 286 287 seasonal changes in solar elevation angle when vegetation is sparse (Goward and Huemmrich, 1992;Pinter et al., 1983, 1985;Sims et al., 2006, 2008). There is also a relatively weak linear 288 289 relationship between SAT-TEM NEP and observations at Tonzi Ranch site. This may be due to MODIS 290 and tower footprints that do not match with each other at this site according to Ma et al., (2007) and 291 Xiao et al., (2008). Tonzi Ranch site is dominated by deciduous blue oaks and the understory while the 292 MODIS footprint consists of larger area of grassland. Since the phenology of these two ecosystems is 293 distinct from each other, they contribute differently to the integrated fluxes, leading to the error of model predictions. 294

Overall, performance of SAT-TEM is obviously superior to that of TEM as shown in Table 4 at 295 the six parameterization sites. Statistics of SAT-TEM results have notable higher  $R^2$  values and lower 296 RMSE at all these sites showing that SAT-TEM has better ability to capture the variations and 297 magnitudes of both GPP and NEP fluxes. The superiority of SAT-TEM is especially reflected at the 298 299 non-forested sites considering the corresponding poor performances of TEM. This finding may indicate that TEM is better at simulating forest fluxes but weaker at simulating the seasonality and variations of 300 301 carbon sequestration in non-forested ecosystems where satellite observations may provide a significant help. 302

Testing at the other ten additional sites generates the similar results (Figure 3, Table 5). Comparing to the non-forested sites, both SAT-TEM and TEM have promising simulations at the forested sites and SAT-TEM performs overall better than TEM with significantly higher values of  $R^2$ and lower values of RMSE. SAT-TEM again shows superior performances to TEM at the non-forested

307	sites. Except the shrubland site, the averaged $R^2$ of SAT-TEM GPP and NEP at all non-forested sites are
308	0.68 and 0.27, respectively. Comparing to 0.41 and 0.12 of TEM, SAT-TEM can better capture the
309	seasonality and inter-annual variability of the carbon fluxes than TEM. SAT-TEM's RMSEs are much
310	lower than TEM's at these sites, indicating that SAT-TEM has better performance. Both SAT-TEM
311	and TEM do not perform well at the only available shrubland site. The Sky Oaks Old site is very close
312	to the parameterization sites, thus the verification result is similar at the parameterization site. However,
313	SAT-TEM still performs better with higher $R^2$ and lower RMSE for both GPP and NEP. The high
314	RMSEs at cropland sites are probably due to the different crop species, the rotations of different crops
	and the different field memory much achieve here and here a mideral in simulations
315	and the different field managements, which have not been considered in simulations.
315 316	SAT-TEM estimated GPP and NEP are under- or over-predicted for some sites. The model
<ul><li>315</li><li>316</li><li>317</li></ul>	SAT-TEM estimated GPP and NEP are under- or over-predicted for some sites. The model could not capture exceptionally high values in the summer at some sites, such as some summer months
<ul><li>315</li><li>316</li><li>317</li><li>318</li></ul>	SAT-TEM estimated GPP and NEP are under- or over-predicted for some sites. The model could not capture exceptionally high values in the summer at some sites, such as some summer months at the cropland sites (Bondville, Rosemount, Mead Irrigated). Underestimations of NEP also take place
<ul><li>315</li><li>316</li><li>317</li><li>318</li><li>319</li></ul>	SAT-TEM estimated GPP and NEP are under- or over-predicted for some sites. The model could not capture exceptionally high values in the summer at some sites, such as some summer months at the cropland sites (Bondville, Rosemount, Mead Irrigated). Underestimations of NEP also take place in the winters at the Howland site, the springs and the winters at the Wind River Crane site, possibly due
<ul> <li>315</li> <li>316</li> <li>317</li> <li>318</li> <li>319</li> <li>320</li> </ul>	SAT-TEM estimated GPP and NEP are under- or over-predicted for some sites. The model could not capture exceptionally high values in the summer at some sites, such as some summer months at the cropland sites (Bondville, Rosemount, Mead Irrigated). Underestimations of NEP also take place in the winters at the Howland site, the springs and the winters at the Wind River Crane site, possibly due to the overestimation of the ecosystem respirations at these two sites. The model also overestimated
<ul> <li>315</li> <li>316</li> <li>317</li> <li>318</li> <li>319</li> <li>320</li> <li>321</li> </ul>	SAT-TEM estimated GPP and NEP are under- or over-predicted for some sites. The model could not capture exceptionally high values in the summer at some sites, such as some summer months at the cropland sites (Bondville, Rosemount, Mead Irrigated). Underestimations of NEP also take place in the winters at the Howland site, the springs and the winters at the Wind River Crane site, possibly due to the overestimation of the ecosystem respirations at these two sites. The model also overestimated GPP at the Varia Ranch site in winters. Considering the low quality of GPP in winters (most of them < 0),

323 4), SAT-TEM shows better performance.

We find the errors introduced by parameterization are relatively small at most sites except at the shrubland sites and evergreen forest sites with SAT-TEM (Figure 2 and 3). The most significant errors are usually occurring in summer commonly with relatively higher air temperature and abundant solar radiation, and precipitation, which can amplify the differences (Tang and Zhuang, 2009, 2008). Comparing to GPP, the simulated NEP has more significant errors, which is probably due to the error

### 331 *3.2 Temporal variation of carbon fluxes in the conterminous U.S.*

Annual GPP, NPP and NEP for the conterminous United States over the period 2000-2005 vary 332 333 from year to year (Table 6). Discrepant results are found comparing to previous studies in the same 334 region and similar time period (Table 7). The SAT-TEM estimated GPP flux varies from 7.02 to 7.78 Pg C yr<sup>-1</sup> with of an annual average 7.43 Pg C yr<sup>-1</sup>. This estimate is close to 7.06 Pg C yr<sup>-1</sup> estimated by 335 (Xiao et al., 2010) over the period 2001-2006 but higher than 6.2 Pg C yr<sup>-1</sup> of MODIS GPP product 336 (Zhao et al., 2005) for the period of 2000-2005. Our estimate NPP ranges from 3.81 to 4.38 Pg C yr<sup>-1</sup> in 337 this period, which is much higher than the range of 2.67-2.79 Pg C yr<sup>-1</sup> over 2000-2004 from Potter et al., 338 (2007) and the 3.3 Pg C yr<sup>-1</sup> from MODIS NPP product over 2000-2005(Zhao et al., 2005). Our 339 estimated NEP is 0.08-0.73 Pg C yr<sup>-1</sup> with an average 0.41 Pg C yr<sup>-1</sup>. Overall our estimations are higher 340 than 0.04-0.2 Pg C yr<sup>-1</sup> from Potter et al., (2007), and much lower than the estimates as high as 1.21 Pg 341 C yr<sup>-1</sup> from Xiao et al. (2011) but our estimation of 0.40 Pg C yr<sup>-1</sup> in 2003 is closer to the estimate of 342 0.63 Pg C yr<sup>-1</sup> based on an inverse modeling approach (Deng et al., 2007). Comparing to NEP in the 343 1980s, SAT-TEM estimated a much higher sink than the VEMAP estimate, which put the sink as  $0.08 \pm$ 344 0.02 Pg C yr<sup>-1</sup>, and the land-based analyses (0.08 - 0.35 Pg C yr<sup>-1</sup>) (Turner et al., 1995; Houghton et al., 345 1999, 2000), but close to the results  $(0.30 - 0.58 \text{ Pg C yr}^{-1})$  provided by Pacala et al. (2001). 346 SAT-TEM NEP has the similar inter-annual variation to the results presented by Potter et al. 347 (2007) and Xiao et al. (2011) which are higher in 2001, 2003 and 2004 but lower in 2000 and 2002. 348

From 2000 to 2003, the whole region acted as a relatively low net sink of atmosphere CO<sub>2</sub> because large

area carbon source occurred (Figure 5). Specifically, in 2000, large carbon sources mainly took place in

351 the south central and southwest area. In 2001, part of the Midwest and South Central, the Rocky Mountain area as well as the Pacific Southwest acted as carbon source. In 2002, large area of the 352 353 Midwest, the Great Plains and the western U.S. were carbon sources. In 2003, carbon sources mainly took place in the western U.S., the Rocky Mountain areas and some part of the east and southeast coast. 354 355 Except for the near-consistent carbon source in the Rocky Mountain area which is very likely due to 356 cool or cold weather of the highland climate, temperature changes and extreme droughts might be the reason causing the other large area of carbon sources in these years. The National Climatic Data Center 357 358 (NCDC, http://www.ncdc.noaa.gov/oa/ncdc.html) reported that the contiguous U.S. was very warm 359 during the summer but very cold in November and December in 2000. Southwest states such as Utah, New Mexico and Nevada experienced the second or the third warmest year on record. Apart from the 360 361 abnormal temperature, the drought in 2000 severely affected much of the southern and western U.S. 362 and therefore reduced the carbon uptake and enhanced the intensity of carbon source. In 2001, the Midwest and Pacific Southwest both had abnormally high temperatures. 2003 was reported as the 20th 363 warmest year on record for the United States. Western regions were reported as much warmer than 364 365 average for the summer. The Northwest Region had its second warmest summer on record, and the Southwest and West had their third in 2003. Abnormally high air temperature significantly enhances 366 ecosystem respirations but does not contribute much to carbon uptake because the temperature may 367 have passed the range of optimums temperature for plant photosynthesis during the summer time. 368 369 Consequently, the ecosystem acts as a low sink or becomes a carbon source. Year 2002 had the lowest GPP, NPP and NEP during this period. 2002 was an extreme drought year, in which precipitation was 370 371 lower than 30-year mean annual value. As the year began, moderate to extreme drought covered one-third of the contiguous United States including much of the eastern seaboard and northwestern 372

United States. The combination of generally warmer- and drier-than-average conditions led to a large area of carbon source in 2002 (Figure 5). In addition to the limitations of the carbon uptake, the extreme drought also significantly enhanced the respiration and therefore led to a more severe carbon source. These results suggest that the use of EVI and LSWI is able to reflect the real large-scale environmental conditions and to constrain the estimates of carbon fluxes.

In contrast, the year 2004 had slightly above-average temperatures and was the 6<sup>th</sup> wettest year on record of the nation (NCDC 2004). The year 2005 was above-average warm but had much lower than average temperatures along the Eastern Seaboard in growing season and the precipitation was near the long-term mean of precipitation in the nation (NCDC 2005). These climate patterns resulted in a high sink in these 2 years and a carbon source in the eastern regions in 2005.

383 Seasonality of net carbon uptake of the conterminous United States differed from year to year 384 (Figure 6a). The accumulative NEP of 2002 didn't reach a positive value until early July, which is about one month later than the other years probably due to the severe drought in the spring and summer. Both 385 2004 and 2005 achieved positive accumulative NEP in the early June while the dates for 2000, 2001 and 386 387 2003 were in the midst June. For most of the years, the accumulative NEP started to increase since April, while the date for 2004 is March, indicating the year 2004 turned from a carbon source into a sink 388 389 earlier than the other years. Accumulative NEP started to decrease in September for all of the years except 2005, which continued to assimilate more carbon than that was released in October and then 390 reverted to a source. The early-beginning and late-ending growing seasons for the year 2004 and 2005 391 392 led to much higher annual NEP than the other years. Overall, the carbon budget level of the 393 conterminous United States ecosystems can be classified into 3 groups: high sinks in 2004 and 2005, moderate sinks in 2000, 2001, and 2003, and low sink in 2002. 394

395 The seasonality of the regional net carbon uptake can be explained by the satellite-observed vegetation indices. The monthly regional averaged EVI and LSWI vary from year to year (Figure 6b, 396 397 c). EVI generally indicates the greenness of the land surface vegetation and shows the pattern of vegetation green-up and senescence. The patterns of regional averaged EVI were similar for the 6 years 398 399 but the year 2002 had lower EVI during the green-up period in the spring and the year 2000 had 400 relatively lower EVI during the senescent season. The lower EVI of these two years were reflected by 401 the relatively low NEP in these two years. Particularly, since 2002 was the lowest-sink year, our result 402 may suggest that abnormal EVI in the green-up season led to a stronger influence on the annual total 403 NEP. In contrast, EVI of the year 2004 and 2005 were mostly higher than that of the other years, especially during the summer, which resulted in the highest carbon sink over the 6-year period. Similar 404 to EVI, the low LSWI from April to August in 2002 indicates that the year 2002 was a drought year and 405 406 the highest LSWI occurred in the main growing seasons in the years 2004 and 2005, leading to a lower and higher net carbon uptake in the year 2002 and the years 2004 and 2005, respectively. The lowest 407 accumulative EVI and LSWI for the other 5 years except 2002 in June were 1.40 and 1.53, while the 408 values in 2002 were 1.40 and 1.39, respectively. In 2002, the accumulative EVI and LSWI were 1.76 409 and 1.77 in July, respectively, which exceeded the levels in June of the other years and the region turned 410 411 into a carbon sink. These suggest that both EVI and LSWI affect the transition of a carbon source to a sink. 412

Regional estimations between SAT-TEM and TEM differ greatly. The averaged differences are more than 2 and 1.4 Pg C yr<sup>-1</sup> for GPP and NPP, respectively. NEP of SAT-TEM is 0.23 Pg C yr<sup>-1</sup> higher than that of TEM. The simulated interannual variations between these two versions of TEM are also different. Specifically, SAT-TEM indicated the annual carbon fluxes increased in 2000 and 2001

and dropped to the lowest values in 2002, then kept increasing in the following years and reached the 417 peak values in 2004 and 2005. In contrast, TEM suggested the highest and lowest GPP and NPP 418 419 occurred in 2005 and 2000, respectively, and the highest NEP in 2003. During these years, TEM might over- or underestimate the water stress and PAR absorption of the vegetation and therefore provided 420 421 different carbon sequestration estimations, while SAT-TEM used the satellite information of vegetation 422 index and land surface water index, which constrained the carbon flux estimates. SAT-TEM's performance also benefits from the way of parameterization using the monthly eddy fluxes while TEM 423 424 was calibrated using annual fluxes only.

425

## 426 *3.3 Spatial Variation of Carbon Fluxes in the Conterminous U.S.*

427 SAT-TEM simulated annual carbon fluxes generally capture the expected spatial patterns 428 (Figure 7). GPP and NPP have a similar spatial variability from west to east across the conterminous United States. The West Coast, which is dominated by evergreen forest, has high annual GPP and NPP. 429 The western Great Plains and the Rocky Mountain as well as the Southwest regions have relatively low 430 431 GPP and NPP owing to sparse vegetation and arid climate. Cropland areas in the Midwest have relatively high GPP and NPP probably due to ample irrigation and fertilization. Highest GPP and NPP 432 433 occur in the east United States with dense vegetation. The Gulf Coast has especially high GPP mainly 434 due to its favorable temperature and abundant precipitation.

Most areas across the conterminous United States have positive NEP from 2000 to 2005. Most forested areas are carbon sinks and the highest sinks take place in the woody regions in the eastern United States and especially in the Northeast with intensive radiation, abundant precipitation, warm temperature or dense vegetation cover. But the carbon sources also take place in forest regions, mostly in the south Rocky Mountain area and Sierra Nevada Mountain mainly due to the cold and dry climate.
Non-forest regions including grassland, savannas, shrubland as well as cropland act as a carbon sink.
Except the year 2000, most area of grassland in the south especially in Texas is a persistent carbon sink
with warm weather and abundant precipitation with 100 to 250 g C m<sup>-2</sup> yr<sup>-1</sup> over the 6-year period. Most
cropland areas are carbon sinks with the intensity of 50 to 100 g C m<sup>-2</sup> yr<sup>-1</sup>.

Carbon dynamics vary in different ecosystems (Table 8). Cropland contributes the most to the 444 conterminous United States carbon sink with the highest GPP, NPP and NEP. Forests follow cropland 445 446 to have high total GPP, NPP and contribute about one-third of the total net carbon uptake. With the 447 second largest area, grasslands contribute about one-sixth to one-fifth of total annual GPP and NPP, and 448 one-sixth of total annul NEP. Shrubland has the lowest total annual GPP, NPP while savannas have the 449 lowest total annual NEP. On a per-unit area basis, forests have the highest GPP, following with cropland 450 and savannas. Deciduous forests have the highest NPP intensity while shrubland and grasslands have the lowest. Deciduous forest NEP is the highest and shrubland have the lowest carbon sink. Overall, 451 452 deciduous forests and croplands are the main contributors to the national carbon sink over the period 453 2000-2005.

Since the disturbance damages to vegetation can be reflected by the variations of EVI, use of EVI in TEM helps capture the effects of disturbances on carbon dynamics. For example, the Hurricane Katrina occurred in late August 2005 and affected five million acres of forest across Mississippi, Louisiana and Alabama with downed trees, snapped trunks and broken limbs to stripped leave. Dramatic changes of EVI occurred in the following two months (September and October) after the hurricane in that region. For the most influenced region between 90°W~88°W and 29°N~31°N, the EVI is averagely about 0.04 lower than normal values for each pixel during those two months. SAT-TEM indeed estimated a large negative GPP anomaly in that period (Figure 8). GPP is 14 g C m<sup>-2</sup> month<sup>-1</sup>
lower than normal values for each pixel. In contrast, TEM failed to capture these anomalies and even
presented positive anomalies due to the abundant water storage in that period. Our SAT-TEM results
agree with the findings suggesting Hurricane Katrina had large impacts on the regional carbon budget
based on satellite data and empirical approaches (Chambers et al., 2007;Xiao et al., 2010).

466

#### 467 *3.4 Possible Uncertainties*

The data used to drive SAT-TEM and the parameterization both result in uncertainties to our estimation. As indicated by Zhao et al. (2006), the NCEP reanalysis data overestimated solar radiation and underestimated temperature. The errors in temperature may introduce errors in carbon fluxes because of the nonlinear relationship between temperature and plant maintenance respiration. The heterogeneity of land covers is another important source of uncertainty when we scale the site-level parameterization to the region. For example,  $C_3$  and  $C_4$  plants in the regional simulations are not treated differently since there is no transient spatially-explicit  $C_3$  and  $C_4$  plant distribution data.

Second, carbon dynamics are uncertain due to lacking spatially-explicit forest stand age data. In this study, we assume that all ecosystems (e.g., forests) are mature. Parameters generated at the chosen matured forest at Howland and Harvard forest sites do not work for young forests. Thus models may underestimate NPP of the forest in the conterminous United States because the forest productivity generally decreases with stand age (McMurtrie et al., 1995). Although the usage of MODIS vegetation indices can represent some information of the forest age (Waring et al., 2006), more adequate quantification of the regional carbon fluxes should use spatially-explicit data of forest stand age.

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Third, the uncertainty came from a limited number of quality ecosystem sites. For example,

there are only a few shrubland sites with sufficient observations. The weak constraint on parameters of SAT-TEM for shrubland may bias the results. In addition, more sites in the western U.S. should be established. The significant uncertainties of eddy flux data (Richardson et al., 2008) and the uncertainties introduced by the gap-filling techniques (Moffat et al., 2007) may also bias parameterization and regional results.

488 Finally, the parameterization could also introduce uncertainty to flux estimates. Here we use the 50 ensemble regional simulations to quantify the errors from parameterization. Parameterizations of 489 490 different vegetation types contribute differently to the total uncertainties (Figure 7). The spatial 491 distribution of the relative standard deviation, as the ratio of standard deviation to the mean value indicates that shrubland has the biggest uncertainties. Evergreen forests in the Rocky Mountain area 492 and the upper Midwest are the second largest uncertainty contributor. With the Bayesian Inference 493 494 method (Tang and Zhuang, 2008, 2009), the possible parameter sets introduce 0.34, 0.65 and 0.18 Pg C biases to the estimation of the annual GPP, NPP and NEP of the conterminous United States, 495 respectively (Table 6). 496

497

## 498 **4. Conclusions**

We incorporate MODIS EVI and LSWI into a process-based biogeochemistry model TEM to more adequately quantify ecosystem carbon dynamics from 2000 to 2005 for the conterminous United States. Multiple eddy flux tower data are used to parameterize and verify the SAT-TEM. Ensemble simulations with the posterior parameters are applied at both site and regional levels. The site-level comparisons indicate that the SAT-TEM performs better. The regional extrapolation of SAT-TEM across the conterminous United States generally captures the expected spatial and temporal carbon

dynamics. With SAT-TEM, we estimate that the GPP is between 7.02 and 7.78 Pg C yr<sup>-1</sup>, NPP varies 505 from 3.81 to 4.38 Pg C yr<sup>-1</sup> and NEP ranges from 0.08 to 0.73 Pg C yr<sup>-1</sup> in the region during the period 506 2000-2005. The parameterization introduces 0.34, 0.65 and 0.18 Pg C yr<sup>-1</sup> errors to the regional GPP, 507 NPP and NEP, respectively. The effects of extreme climate and disturbances such as severe drought in 508 2002 and Hurricane Katrina in 2005 are captured in our regional simulations. This study takes 509 510 advantage of process-based ecosystem modeling, satellite observations and eddy flux tower carbon flux data to provide a more adequate quantification of carbon fluxes for the conterminous United States. Our 511 findings and carbon flux product should benefit studies of carbon-climate feedbacks and facilitate 512 513 policy-making of carbon management and climate change.

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851

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# 854 Figure Captions

Figure 1. Land cover map of the conterminous U.S. (0.05° ×0.05°) used in regional simulations. The
map was re-classified based on MODIS product Land Cover Types Yearly L3 Global 0.05 Deg CMG
(MOD12C1). Red pins indicate the location of the AmeriFlux sites used in this study.

858

Figure 2. Comparison of seasonal variations of the observed GPP and NEP with SAT-TEM and TEM predicted ones at the six parameterization sites. Dashed lines are the observations while circles and crosses are the predictions with SAT-TEM and TEM, respectively. The error bars indicates the standard deviation of the results by ensemble SAT-TEM simulations with 50 sets of posterior parameters. Data during the periods before the vertical dotted lines are used for parameterization while the remaining are verification results at: (a) Howland Forest West Tower site; (b) Harvard Forest site (c) Vaira Ranch site; (d) Sky Oaks New site; (e) Tonzi Ranch site; (f) Bondville site.

866

Figure 3. Comparison of seasonal variations of the observed GPP and NEP with SAT-TEM and TEM 867 predictions at the ten additional AmeriFlux sites. Dashed lines are the observed values while circles and 868 869 crosses are the predictions with SAT-TEM and TEM, respectively. The error bars indicates the standard 870 deviation of the results by ensemble SAT-TEM simulations with 50 sets of posterior parameters: (a) Niwot Ridge site; (b) Wind River Crane site (c) Morgan Monroe State Forest site; (d) Willow Creek site; 871 872 (e) Kendall Grassland site; (f) Walnut River site; (g) Sky Oaks Old site; (h) Santa Rita Mesquite Savanna site; (i) Rosemount G21 Conventional Management Corn Soybean Rotation site; (j) Mead 873 Irrigated Rotation site. 874

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Figure 4. Scatterplots of the observed GPP and NEP versus SAT-TEM and TEM predictions at the selected AmeriFlux sites. Black dashed lines show a 1:1 relationship. Circles and crosses, red and blue regression lines are SAT-TEM and TEM simulated values, respectively. The error bars indicates the standard deviation of the results by ensemble SAT-TEM simulations with 50 sets of posterior parameters. (a) and (b) are the predicted GPP and NEP versus observed values, respectively.

881

Figure 5. Annual average SAT-TEM estimated NEP across the conterminous United States for each year
in 2000-2005. Positive values represent carbon sink while negative values represent carbon source.
Units are g C m<sup>-2</sup> yr<sup>-1</sup>.

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Figure 6. Comparison between NEP, EVI, and LSWI across the conterminous United States over the
period of 2000-2005: (a) Cumulative monthly NEP estimated by SAT-TEM. (b) Monthly averaged
regional EVI,. (c) Monthly averaged regional LSWI.

889

Figure 7. Average annual carbon fluxes (g C m<sup>-2</sup> yr<sup>-1</sup>) (left) and the relative standard deviations (right) of the conterminous United States over the period 2000-2005: (a) GPP; (b) NPP; (c) NEP. The relative standard deviations are calculated by dividing standard deviations by the average values of the regional results of the 50 sets of simulations.

894

Figure 8. Impacts of Hurricane Katrina on two-month-average EVI and estimated GPP. Units for GPP are g C  $m^{-2}$  month<sup>-1</sup>.

Table 1. Characteristics of AmeriFlux sites used in this study.

Site Name	Latitude (°)	Longitude (°)	Vegetation Type	Years	References
Howland Forest West Tower (ME, USA) <sup>*</sup>	45.2091	-68.7470	Evergreen Forest	2000-2004	Hollinger et al., (1999, 2004)
Harvard Forest (MA, USA)*	42.5378	-72.1715	Deciduous Forest	2000-2006	Urbanski et al., (2007)
Vaira Ranch (CA, USA) <sup>*</sup>	38.4061	-120.9507	Grassland	2002-2007	Baldocchi, (2004)
Sky Oaks New (CA, USA) <sup>*</sup>	33.3844	-116.6403	Shrubland	2004-2006	Lipson et al., (2005)
Tonzi Ranch (CA, USA)*	38.4316	-120.9660	Savannas	2002-2007	Ma et al., (2007)
Bondville (IL, USA)*	40.0062	-88.2904	Cropland	2001-2006	Hollinger et al., (2005)
Niwot Ridge (CO, USA)	40.0329	-105.5464	Evergreen Forest	2000-2005	Monson et al., (2002)
Wind River Crane site (WA, USA)	45.8205	-121.9519	Evergreen Forest	2000-2002	Falk et al., (2008)
Morgan Monroe State Forest (IN, USA)	39.3232	-86.4131	Deciduous Forest	2001-2006	Schmid et al., (2000)
Willow Creek (WI, USA)	45.8059	-90.0799	Deciduous Forest	2000-2003	Cook et al., (2004)
Kendall Grassland (AZ, USA)	31.7365	-109.9419	Grassland	2005-2007	Scott et al., (2010)
Walnut River (KS, USA)	37.5208	-96.8550	Grassland	2002-2003	Coulter et al., (2006)
Sky Oaks Old (CA, USA)	33.3739	-116.6229	Shrubland	2004-2006	Lipson et al., (2005)
Santa Rita Mesquite Savanna (AZ, USA)	31.8214	-110.8661	Savannas	2004-2006	Scott et al., (2008)
Kosemount G21 Conventional Management Corn Soybean Rotation (MN, USA)	44.7143	-93.0898	Cropland	2004-2006	Griffis et al., (2008)
Mead Irrigated Rotation (NE, USA)	41.1649	-96.4701	Cropland	2002-2005	Suyker et al., (2005)

899 \* Sites for parameterization.

MODIS Land Cover Types(IGBP)	Vegetation Community Type in SAT-TEM		
Evergreen needleaf forest	Evergreen Forest		
Evergreen broadleaf forest	Evergreen Forest		
Deciduous needleaf forest	Deciduous Forest		
Deciduous broadleaf forest	Deciduous Forest		
Mixed forest	50% Evergreen Forest, 50% Deciduous Forest		
Closed shrubland	Shrubland		
Open shrubland	Shrubland		
Woody savannas	Savannas		
Savannas	Savannas		
Grassland	Grassland		
Permanent Wetland	Grassland		
Cropland	Cropland		
Cropland and natural vegetation mosaic	Cropland		

# 900 Table 2. Reclassification of MODIS land covers to TEM vegetation types

# 902 Table 3. Key TEM Parameters

Parameter	Definition	Unit	Prior Range
<i>k</i> <sub>i</sub>	Half saturation constant for PAR used by plants	$\mu L L^{-1}$	[100.0, 500.0]
$k_c$	Half saturation constant for CO <sub>2</sub> -C uptake by plants	$\mu L L^{-1}$	[100.0, 400.0]
RAQ10A0	Leading coefficient of the Q10 model for plant respiration	None	[1.0, 3.0]
RAQ10A1	1 <sup>st</sup> order coefficient of the Q10 model for plant respiration	°C <sup>-1</sup>	[-0.1, 0.1]
RAQ10A2	2 <sup>nd</sup> order coefficient of the Q10 model for plant respiration	°C <sup>-2</sup>	[0, 0.005]
RAQ10A3	3 <sup>rd</sup> order coefficient of the Q10 model for plant respiration	°C-3	[0.0001, 0.001]
RHQ10	Change in heterotrophic respiration rate due to 10 °C temperature increase	None	[1.0, 3.0]
MOISTOPT	Optimum soil moisture content for heterotrophic respiration	%	[0.2, 0.8]
$C_{max}$	Maximum rate of photosynthesis C	g C m <sup>-2</sup> month <sup>-1</sup>	[500.0, 3000.0]
$K_r$	Logarithm of plant respiration rate at 0 °C	g g <sup>-1</sup> month <sup>-1</sup>	[-9.5, -0.2]
$K_d$	Heterotrophic respiration rate at 0 °C	g g <sup>-1</sup> month <sup>-1</sup>	[0.0005, 0.007]
KFALL	Proportion of vegetation carbon loss as litterfall monthly	g g <sup>-1</sup> month <sup>-1</sup>	[0.0005, 0.005]
N <sub>max</sub>	Maximum rate of N uptake by vegetation	g m <sup>-2</sup> month <sup>-1</sup>	[0.1, 1.0]
$N_{up}$	Ratio between N immobilized and C respired by heterotrophs	g g <sup>-1</sup>	[0, 0.05]
NFALL	Proportion of vegetation nitrogen loss as litter-fall monthly	g g <sup>-1</sup> month <sup>-1</sup>	[0.001, 0.01]

Table 4. Statistical results for the observed and SAT-TEM and TEM predicted monthly GPP and NEP at

907 each AmeriFlux site for parameterization. The units of RMSE are g C  $m^{-2}$  month<sup>-1</sup>.

Site Name	Time Periods		$R^2$	RMSE
		SAT-TEM GPP	0.94	27.24
Howland Forest West Tower	2002 2004	SAT-TEM NEP	0.65	26.84
(ME, USA)	2002-2004	TEM GPP	0.85	64.68
		TEM NEP	0.67	29.72
		SAT-TEM GPP	0.90	45.62
Howard Forest (MA LISA)	2002 2006	SAT-TEM NEP	0.83	40.84
Harvard Forest (MA, USA)	2002-2006	TEM GPP	0.87	58.63
		TEM NEP	0.75	64.87
		SAT-TEM GPP	0.90	48.37
Voire Banch (CA_USA)	2004 2007	SAT-TEM NEP	0.66	26.40
valia Kalch (CA, USA)	2004-2007	TEM GPP	0.23	84.13
		TEM NEP	0.04	44.20
	2006	SAT-TEM GPP	0.10	19.15
Sky Oaks New (CA, USA)		SAT-TEM NEP	0.13	19.03
Sky Oaks New (CA, USA)		TEM GPP	0.30	15.99
		TEM NEP	0.01	34.21
		SAT-TEM GPP	0.74	32.81
Tonzi Donoh (CA USA)	2004 2007	SAT-TEM NEP	0.52	26.73
Tolizi Raileli (CA, USA)	2004-2007	TEM GPP	0.20	66.61
		TEM NEP	0.03	46.76
		SAT-TEM GPP	0.87	63.90
Dondrillo (IL LISA)	2002 2006	SAT-TEM NEP	0.66	66.20
Dollaville (IL, USA)	2005-2000	TEM GPP	0.50	155.33
		TEM NEP	0.14	103.80

911	Table 5. Statistics for the observed and SAT-TEM and TEM predicted monthly GPP and NEP at each
912	AmeriFlux site for parameterization. The units of RMSE are g C m <sup>-2</sup> month <sup>-1</sup> .

Site Name	Time Periods		$R^2$	RMSE
		SAT-TEM GPP	0.90	19.56
Niwot Pidge (CO_USA)	2000 2005	SAT-TEM NEP	0.78	13.64
Niwot Kluge (CO, USA)	2000-2003	TEM GPP	0.83	28.10
		TEM NEP	0.83	12.02
		SAT-TEM GPP	0.83	32.71
Wind River Crane site (WA,	2000 2002	SAT-TEM NEP	0.33	34.29
USA)	2000-2002	TEM GPP	0.74	65.30
		TEM NEP	0.09	42.06
		SAT-TEM GPP	0.95	41.89
Morgan Monroe State Forest	2001 2006	SAT-TEM NEP	0.91	38.21
(IN, USA)	2001-2006	TEM GPP	0.88	54.13
		TEM NEP	0.72	59.84
		SAT-TEM GPP	0.96	35.15
	2000 2002	SAT-TEM NEP	0.83	38.62
Willow Creek (WI, USA)	2000-2003	TEM GPP	0.71	55.38
		TEM NEP	0.73	57.70
		SAT-TEM GPP	0.61	37.35
	2005 2005	SAT-TEM NEP	0.39	17.05
Kendall Grassland (AZ, USA)	2005-2007	TEM GPP	0.12	166.23
		TEM NEP	0.02	32.60
		SAT-TEM GPP	0.76	57.04
	2002-2003	SAT-TEM NEP	0.58	25.43
Walnut River (KS, USA)		TEM GPP	0.36	75.22
		TEM NEP	0.02	46.99
		SAT-TEM GPP	0.09	16.42
	2004 2007	SAT-TEM NEP	0.19	14.21
Sky Oaks Old (CA, USA)	2004-2006	TEM GPP	0.01	35.57
		TEM NEP	0.01	30.41
		SAT-TEM GPP	0.68	24.78
Santa Rita Mesquite Savanna	2004 2006	SAT-TEM NEP	0.12	20.59
(AZ, USA)	2004-2006	TEM GPP	0.50	21.87
		TEM NEP	0.03	22.78
		SAT-TEM GPP	0.69	93.04
Rosemount G21 Conventional		SAT-TEM NEP	0.56	55.58
Management Corn Soybean	2004-2006	TEM GPP	0.51	138.89
Rotation (MN, USA)		TEM NEP	0.27	73.11
		SAT-TEM GPP	0.66	128.83
Mead Irrigated Rotation (NE.		SAT-TEM NEP	0.29	105.89
USA)	2002-2005	TEM GPP	0.56	180.60
JOAJ		TEM NEP	0.26	116.52

	Year	GPP		NPP		NEP	
		SAT-TEM	TEM	SAT-TEM	TEM	SAT-TEM	TEM
	2000	7.24±0.33	8.85	3.85±0.63	4.87	0.33±0.18	-0.31
	2001	7.35±0.35	9.32	4.06±0.63	5.27	0.41±0.17	0.02
	2002	7.02±0.34	<i>9.38</i>	3.81±0.62	5.32	0.08±0.17	0.18
	2003	7.44±0.35	10.11	4.12±0.65	5.86	0.40±0.17	0.70
	2004	7.75±0.34	8.96	4.38±0.65	4.96	0.73±0.18	-0.19
	2005	7.78±0.35	10.35	4.20±0.69	6.04	0.53±0.18	0.69
	Average	7.43±0.34	9.49	4.07±0.65	5.39	0.41±0.18	0.18

914 Table 6. Estimated annual GPP, NPP and NEP across conterminous United States over 2000-2005. The
915 units of the carbon fluxes are Pg C yr<sup>-1</sup>.

	Reference		Estimated	Estimated	Estimated	
Method		Time Period	averaged annual GPP (Pg C yr <sup>-1</sup> )	averaged annual NPP (Pg C yr <sup>-1</sup> )	averaged annual NEP (Pg C yr <sup>-1</sup> )	Comments
SAT-TEM						
Ecosystem Model combining satellite observations		2000-2005	7.43	4.07	0.41	Estimated in this study.
TEM Ecosystem Model	(McGuire et al., 1992;Zhuang et al., 2003)	2000-2005	9.49	5.39	0.18	Estimated in this study.
MOD17 Remote Sensing Products	(Zhao et al., 2005;Running et al., 2004)	2000-2005	6.2	3.3		Aggregated form MODIS primary production products (MOD17)
NASA-CASA Remote sensing driven ecosystem model	(Potter et al., 2007;Potter et al., 1993)	2000-2004		2.65	0.13	
EC-MOD Regression tree approach	(Xiao et al., 2010;Xiao et al., in press)	2001-2006	7.06		1.21	The author presented 0.63 Pg C yr <sup>-1</sup> as the total carbon sink for considering the carbon assimilated by crops would be released back to atmosphere. We added the cropland contribution 0.58 Pg C yr <sup>-1</sup> here to be consistent with our study region.
Nested inverse modeling	(Deng et al., 2007)	2003			0.63	Calculated by subtracting Canada sink from the North America total sink

# 918 Table 7. Comparison of carbon fluxes between TEM estimated and other existing estimates in the conterminous United States

Vegetation Type	Total	Total Annual NPP	Total	Mean	Mean Annual NPP	Mean Annual NEP	Land Area
	Annual		Annual	Annual			
	GPP		NEP	GPP			
	$(PgCyr^{-1})$	$(PgCyr^{-1})$	$(PgCyr^{-1})$	$(kgCm^{-2}yr^{-1})$	$kgCm^{-2}yr^{-1}$	$kgCm^{-2}yr^{-1}$	( <i>KM</i> )
Evergreen	1.50	0.57	0.028	1.46	0.55	0.027	1 028 700
Forest	1.30	0.37	0.038	1.40	0.33	0.037	1,020,790
Deciduous	1.28	0.95	0.110	1.53	1.13	0.131	838,203
Forest							
Grassland	1.31	0.83	0.075	0.75	0.48	0.043	1,745,960
Shrubland	0.25	0.08	0.015	0.18	0.06	0.011	1,355,240
Savannas	0.39	0.27	0.012	1.34	0.93	0.041	290,155
Cropland	2.85	1.45	0.178	1.25	0.63	0.078	2,287,000

919 Table 8. TEM estimated annual carbon fluxes for each vegetation type in the conterminous United920 States during 2000-2005.



# Figure 1.

GPP(g C month<sup>-1</sup>)













Year











(d)













968 Figure 5.













(c) TEM estimated GPP anomalies