Commentary on: Consistent calculation of aquatic gross production from oxygen triple isotope measurements

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8 Abstract

9 Kaiser (2011) has introduced an improved method for calculating gross productivity from the 10 triple isotopic composition of dissolved oxygen in aquatic systems. His equation avoids 11 approximations of previous methodologies, and also accounts for additional physical processes such as kinetic fractionation during invasion and evasion at the air-sea interface. 12 13 However, when comparing his new approach to previous methods, Kaiser inconsistently 14 defines the biological end-member with the result of overestimating the degree to which the 15 various approaches of previous studies diverge. In particular, for his base case, Kaiser assigns a ¹⁷O excess to the product of photosynthesis that is too low, resulting in his result being 16 17 \sim 30% too high when compared to previous equations. When this is corrected, I find that 18 Kaiser's equations are consistent with all previous study methodologies within about $\pm 20\%$ 19 for realistic conditions of metabolic balance (f) and gross productivity (g). A methodological 20 bias of \pm 20% is of similar magnitude to current uncertainty in the wind-speed dependence of 21 the air-sea gas transfer velocity, k, which directly impacts calculated gross productivity rates 22 as well. While previous results could and should be revisited and corrected using the 23 proposed improved equations, the magnitude of such corrections may be much less than 24 implied by Kaiser.

25 **1** Introduction

In the manuscript "Consistent calculation of aquatic gross production from oxygen triple isotope measurements" Kaiser derives exact equations for calculating gross oxygen production (GOP) from the triple oxygen isotopic composition of dissolved oxygen ($^{17}\Delta$). The derived equations improve upon previous methods of calculating GOP in that they avoid approximations and account for additional processes such as kinetic fractionation during airsea evasion and invasion of oxygen. These new equations and similar results of Prokopenko
 et al. (in press), provide improved methodology that should be applied to future studies that
 interpret triple oxygen isotopic composition of dissolved oxygen in seawater.

However, in comparing the results of these new equations to previous methods of
calculating GOP, I believe Kaiser has misinterpreted previous results with the consequence of
overstating the difference between various previous methods of calculating GOP (e.g.
Kaiser's Fig 3). Since differing definitions of ¹⁷O excess are used, I repeat here definitions 4
and 7 from Kaiser (2011)

$$9 \qquad {}^{17}\Delta^{\dagger} = {}^{17}\delta - \kappa^{18}\delta \tag{1}$$

10
$${}^{17}\Delta^{\#} = \ln\left(1 + {}^{17}\delta\right) - \lambda \ln\left(1 + {}^{18}\delta\right)$$
 (2)

11 where κ and λ are mass dependent fractionation slopes. For Kaiser's 'base case', both are 12 assigned the observed slope for a $\ln(1+^{18}\delta)$ vs $\ln(1+^{17}\delta)$ plot for dark respiration of $\gamma_R =$ 13 0.5179 (Luz and Barkan, 2005).

14 The crux of the discrepancy is in the assumptions Kaiser uses to calculated the relation between ${}^{17}\delta_P$ and ${}^{18}\delta_P$, where the subscript 'P' refers to dissolved oxygen produced by 15 photosynthsis. In his 'base case' used for comparison of methods, Kaiser uses Eqn. (2) by 16 assuming a ${}^{17}\Delta_{P}^{\#}(\lambda = 0.518) = 249$ ppm where 249 ppm is the biological end-member value 17 reported by Luz and Barkan (2000). I will argue that the 249 must be applied instead to 18 oxygen in biological steady state with seawater $({}^{17}\Delta_{S0})$ which is influenced by photosynthesis 19 and respiration, rather than ${}^{17}\Delta_P$ in order for consistent comparison between calculation 20 21 methods. I introduce the notation ${}^{17}\Delta_{S0}$ to refer specifically to the biological steady-state 22 condition in which P = R (and thus f = 0, where f is the net to gross production ratio). ${}^{17}\Delta_{S0}$ is distinctly different than ${}^{17}\Delta_P$ as noted by Kaiser, because ${}^{17}\Delta_P$ is the pure photosynthetic 23 24 product, while ${}^{17}\Delta_{S0}$ is a balance of P and R. The 249 ppm value published by Luz and Barkan (2000) was a measure of ${}^{17}\Delta_{so}$ and not ${}^{17}\Delta_P$ because the original experiment measured 25 26 dissolved oxygen in a terrarium experiment which was in biological steady state ($P \approx R$). For a system in biological steady state, it has been demonstrated that the appropriate slope (λ_{RSS}) 27 for relating the composition of ${}^{17}\Delta_{S0}$ and ${}^{17}\Delta_P$ is systematically less than γ_R (Angert et al., 28 2003). In the following sections I will describe how ${}^{17}\delta_P$ and ${}^{18}\delta_P$ should have been defined 29 using a slope of $\lambda_{BSS} = 0.5154$ instead of γ_R . With this correction, Kaiser's 'base case' value 30

1 can be corrected from ${}^{17}\Delta_p^{\dagger} = 180$ to ${}^{17}\Delta_p^{\dagger} = 238$ ppm (see Section 3) and the discrepancy 2 between the calculation methods of earlier studies and the new method proposed by Kaiser 3 becomes much smaller.

4 I will focus my comments on this aspect of the manuscript and stress that I am not 5 questioning the validity of the equations derived by Kaiser et al., but rather how he has interpreted previous results and measurements in order to fairly compare GOP from previous 6 7 calculation methods to the proposed new equations. To communicate the difference between 8 previous methodology and the proposed method, it is important to clarify the relative roles of (1) Measured physical parameters used in calculations, such as ${}^{17}\delta$ and ${}^{18}\delta$ and fractionation 9 factors ${}^{18}\varepsilon_{R}$ and (2) The accuracy of various equations under varying conditions of metabolic 10 balance (f) and productivity (g) when the same physical parameters are used. 11

12 2 Biological steady state

13 Understanding the distinction between composition of photosynthetic oxygen (P) and oxygen in biological steady-state (S) and is essential to the following discussion. 14 Photosynthetic oxygen is produced from seawater with only a very small fractionation 15 (~0.5‰) and thus has a ${}^{18}\delta_P$ near that of VSMOW (Kaiser's base case is ${}^{18}\delta_P = -22.835\%$) 16 17 (Eisenstadt et al., 2010). Biological steady-state refers to the composition of oxygen reached with a constant rate of photosynthesis and respiration (see Kaiser Sect. 3.4). For the special 18 case where P = R, I use the subscript 'S0'. Angert et al. (2003) described the relationship 19 between ${}^{*}\delta_{P}$ and ${}^{*}\delta_{S0}$ using the mass balance equation 20

21
$$P(1 + {}^{*}\delta_{P}) = {}^{*}\alpha_{R}R(1 + {}^{*}\delta_{S0}) \text{ where } P = R$$
(3)

where '*' indicates 17 or 18 and α_R is the fractionation factor for respiration. Since average ocean α_R is 0.980 (${}^{18}\alpha_R = 1 + {}^{18}\varepsilon_R = -20\%$) (Kiddon et al., 1993), ${}^*\delta_{S0}$ is much closer to 0‰ (with air as the standard) than to ${}^*\delta_{VSMOW}$.

Inferring ${}^{17}\Delta_P$ from an observed ${}^{17}\Delta_{S0}$ involves extrapolating across a large difference in ${}^{18}\delta$ and thus large error can be introduced if an incorrect mass dependent slope is used (Luz and Barkan, 2005) causing ${}^{17}\Delta_P$ and ${}^{17}\Delta_{S0}$ to differ significantly unless an appropriate 'tuned' definition is used. Angert et al. (2003) demonstrated that ${}^{17}\Delta_P$ equals ${}^{17}\Delta_{S0}$ when the following definition is used

$$1 \qquad {}^{17}\Delta^{BSS} = \ln\left(1 + {}^{17}\delta\right) - \lambda_{BSS}\ln\left(1 + {}^{18}\delta\right) \tag{4}$$

2 The slope (λ_{BSS}) that satisfies the criteria that ${}^{17}\Delta_P^{BSS} = {}^{17}\Delta_{S0}^{BSS}$ depends on the magnitude of 3 fractionation during respiration such that

4
$$\lambda_{BSS} = \frac{\ln \left({}^{17} \alpha_R \right)}{\ln \left({}^{18} \alpha_R \right)} = \frac{\ln \left(1 + \gamma_R {}^{18} \varepsilon_R \right)}{\ln \left({}^{18} \varepsilon_R \right)}$$
(5)

5 Thus, for ${}^{18}\varepsilon_R = -20\%$ and $\gamma_R = 0.5179$ I calculate $\lambda_{BSS} = 0.5154$. Additionally, assuming an 6 error in ${}^{18}\varepsilon_R$ of $\pm 2\%$ the *difference* between γ_R and λ_{BSS} is very well constrained ($\gamma_R - \lambda_{BSS} =$ 7 $2.5 \times 10^{-3} \pm 2.5 \times 10^{-4}$).

For the above definition, the same ${}^{17}\Delta^{BSS}$ should be acquired whether measuring the direct product of photosynthesis or a system in biological steady-state (${}^{17}\Delta_{S0}^{BSS} = {}^{17}\Delta_{P}^{BSS}$). The experimental determination of the biological end-member by Luz and Barkan (2000), (${}^{17}\Delta_{bio} =$ 249 ±15 ppm) was a measurement of dissolved oxygen in biological steady-state with seawater ($P \approx R$) and thus its composition relative to seawater should be governed by Eqn. (4). (Angert et al., 2003; Luz and Barkan, 2000). A more precise definition of the original approximate equation for calculating g (Luz and Barkan, 2000) should then be

15
$$g = \frac{P}{kc_{sat}} = \frac{{}^{17}\Delta^{\#} - {}^{17}\Delta^{\#}_{sat}}{{}^{17}\Delta^{BSS} - {}^{17}\Delta^{\#}}$$
(6)

16 **3** Consistent comparison of equations used to calculate g

Equation (6) for calculating g uses ${}^{17}\Delta^{BSS}$ as the biological end member, while both the 17 iterative equation (Hendricks et al., 2004) and Kaiser's equation use ${}^{17}\delta_P$ and ${}^{18}\delta_P$. To 18 consistently compare the skill of such equations relative to each other, Eqn. (4) and λ_{BSS} must 19 be used to relate ${}^{17}\delta_P$ to ${}^{17}\Delta^{BSS}$. However for the default case, Kaiser calculates values for ${}^{17}\delta_P$ 20 = -11.646‰ and ${}^{18}\delta_P$ = -22.835‰ (Kaiser Table 2) by applying the equation for ${}^{17}\Delta^{\#}$ (Eqn. 21 2) rather than ${}^{17}\Delta^{BSS}$ (Eqn. 4), effectively underestimating the ${}^{17}O$ excess of photosynthetically 22 produced oxygen. The implied ${}^{17}\Delta^{BSS}$ from Kaiser's 'base case' scenario using Eqn. (4) is 23 24 191 ppm rather than 249 ppm.

25 Using Eqns. (4) and (5) instead with values of ${}^{17}\Delta^{BSS} = 249$ ppm, ${}^{18}\delta_P = -22.835\%$, γ_R 26 = 0.5179 and ${}^{18}\varepsilon_R = -20\%$, I calculate ${}^{17}\delta_P = -11.588\%$. Using ${}^{17}\delta_P = -11.588\%$ in Eqn. (1) 1 yields ${}^{17}\Delta^{\dagger}(\kappa = 0.5179) = 238$ ppm rather than 180 ppm as reported by Kaiser. Thus if the 2 value of 249 ppm is used for ${}^{17}\Delta^{BSS}$ in Eqn. (5), then the comparable ${}^{17}\delta_P$ for an equivalent 3 calculation using Kaiser's Eqn. 48 should be -11.588% not -11.646%. This correction has a 4 significant impact when comparing various equations (Hendricks et al., 2004; Luz and 5 Barkan, 2000, 2005; Miller, 2002) that have previously been used to calculated g and ${}^{17}\Delta$ to 6 the equation derived by Kaiser.

7 Based on the changes I describe, I illustrate the importance of the suggested correction 8 by recalculating figures 3a and 3b from Kaiser (2011). In addition to the results presented by 9 Kaiser, I have added two green lines to the plot that show the error induced by the choice of equation form alone (Fig 1). For these two cases, ¹⁷O excess is calculated using the 'base 10 case' values from Kaiser except with ${}^{17}\delta_P = -11.588\%$ as described above. Using the 11 approximate Eqn. (6) results in an error no greater than about -25% at the extremely 12 13 heterotrophic conditions (Fig 1a) and 40% at very high production rates (Fig 1b). Under more typical conditions ((-0.1 < f < 0.4 and 0.01 < g < 1) the error is less than ~10%. Using the 14 15 iterative method of Hendricks et al. (2004), the bias is much less still, overestimating g by less 16 than 5% under all conditions. The ~5% overestimate is caused primarily by the kinetic 17 fractionating effects during gas exchange (i.e. ε_I and ε_E) which are accounted for by Kaiser 18 but not by earlier equations.

19 The red and black lines are calculated from Kaiser Table 3 data except for one correction: In column 6 showing results of Juranek and Quay (2010) the γ_R value should be 20 0.5205 (not 0.518) because the relationship ${}^{17} \alpha_R = ({}^{18} \alpha_R)^{\lambda}$ was used, similarly to Hendricks et 21 al. (2004) and Reuer et. al. (2007). In Kaiser's Figure 3, relative error for many of the 22 23 methods clusters around -30% for $f \sim 0$ (Kaiser Fig 3a) and $g \sim 0.5$. Although notation varied, each study using an iterative approach defined the composition of ${}^{17}\delta_P$ using a λ_{BSS} slope ≈ 2.5 24 $\times 10^{-3}$ less than the implied respiratory fractionation slope (γ_R) as described by Eqn 4. Thus, 25 26 despite taking various approaches, each previous study has calculated g in a manner that is 27 accurate to within about 20% for the relevant environmental conditions. The more precise 28 equation introduced by Kaiser and Prokopenko is superior to previous methods and should be 29 applied to future studies, however much care should be taken in any attempt to 'reinterpret' 30 previous results.

Effectively, Kaiser has compared previous equations with a ${}^{17}\Delta^{BSS} = 249$ ppm to his 1 equation using ${}^{17}\Delta^{BSS} = 191$ ppm (the ${}^{17}\Delta^{BSS}$ value for Kaiser's 'base case' values). This 2 difference is responsible for the majority of the apparent discrepancy between methods. The 3 significantly lower ${}^{17}\Delta^{BSS}$ of 191 ppm is why Kaiser's calculations yield g ~30% higher than 4 most other calculation methods (Kaiser Fig. 3). After correcting Kaiser's 'base case' with 5 ${}^{17}\delta_{P} = -11.588\%$ (and thus ${}^{17}\Delta^{BSS} = 249$ ppm), the remaining differences have clear 6 7 explanations. Variations in the slope λ from the base case cause an error dependent on f where 8 slopes greater than the base case causing an overestimate under strongly autotrophic conditions (f > 0) and underestimate for heterotrophic conditions (f < 0) (Fig 1a). If a lower 9 gas exchange end-member ($^{17}\Delta_{sat}$) is used, g is overestimated, particularly for low values of g 10 (Fig 1b). Neglecting kinetic fractionation during gas exchange causes iterative methods to be 11 12 slightly too high. When regressing the results of Reuer et al. (2007), Kaiser arrives at a result 13 ~40% higher than Reuer (Kaiser Fig. 4). I find that about 30% of the discrepancy is due to the difference in implied ${}^{17}\Delta^{BSS}$ while the remaining difference is due to how the gas exchange 14 15 end member was parameterized.

16 4 Inconsistent estimates of the biological end member

In the above section, I argue that ${}^{17}\Delta^{BSS} = 249$ ppm is consistent with ${}^{17}\delta_p = -11.588$ and ${}^{18}\delta_p = -22.835$. However, as noted by Kaiser, previous studies provide results that often imply conflicting values for the biological end member of photosynthetically produced dissolved oxygen. Both the biological end member and atmospheric equilibrium end member should be redetermined. An important point is that a new value for ${}^{17}\Delta^{BSS}$ would effect all equations almost equally without changing the *relative* error between equations or significantly altering the results shown in Fig 1.

24 While the ¹⁸ δ and ¹⁷ δ associated with 249 ±15 ppm were not reported by Luz and 25 Barkan (2000), it is possible to reinterpret these results using some reasonable guesses. The 26 commonly used vale of 249 ±15 ppm (Luz and Barkan, 2000) was determined by measuring 27 dissolved oxygen in biological steady state with seawater for *Nannochloropsis* (244 ±20 ppm) 28 and the coral *Acropa* with its symbiotic algae (252 ±5 ppm). However as Kaiser points out, 29 these values were calculated using an outdated definition of ¹⁷O excess (¹⁷ Δ^{\dagger} (κ = 0.521)). I 30 recalculate the ¹⁷O excess assuming the values of ¹⁸ ε_R = -20‰ and λ_R = 0.5179 for 1 *Nannochloropsis* and ${}^{18}\varepsilon_R = -13.8\%$ and $\lambda_R = 0.519$ for *Acropa* (Luz and Barkan, 2005). 2 Values for *Acropa* were measured, while we assign the 'base case' values for 3 *Nannochloropsis*. The bio-steady state ${}^{18}\delta_{S0}$ for each case is estimated from Eqn. (3). When 4 recalculated using Eqn. (4) these values are equivalent to ${}^{17}\Delta^{BSS} = 231$ ppm and ${}^{17}\Delta^{BSS} = 234$ 5 ppm for *Nannochloropsis* and *Acropa*, respectively. The true ${}^{17}\Delta^{BSS}$ therefore is likely 6 somewhat lower than the commonly used value of 249 ppm but significantly higher than the 7 ${}^{17}\Delta^{BSS} = 191$ ppm implied by Kaiser's base case values.

8 **5** Conclusions

9 Since the introduction of the triple isotopic composition of dissolved oxygen was 10 introduced as a tracer of gross oxygen production by Luz and Barkan (2000), the 11 methodology for calculating g from measured isotopic ratios has evolved and improved. 12 While improved equations will better estimates of g, perhaps the greater cause of error is in 13 analytically determining what the accurate and appropriate photosynthetic and gas exchange 14 end members should be $({}^{17}\delta_{P}, {}^{18}\delta_{P}, {}^{17}\delta_{sat}$ and ${}^{18}\delta_{sat})$.

15 When applying an equation to calculate *g* that requires ${}^{17}\delta_P$ in the calculation, it is 16 essential to set ${}^{17}\delta_P$ using the slope λ_{BSS} and Eqn. (4). If when remeasured 249 ppm turns out 17 to be the correct value for ${}^{17}\Delta^{BSS}$ then the *g* calculated by Kaiser for the 'base case' scenario is 18 ~30% too high. If, as suggested in Section 4, the true ${}^{17}\Delta^{BSS}$ falls somewhere between 191 19 ppm (as implied by Kaiser's base case) and 249 ppm (as used by previous studies), the 20 estimates of *g* provided by Kaiser would need to be revised downward by somewhat less than 21 30% while *g* from previous studies would need to be revised slightly upwards.

22 Appendix A: Derivation for biological steady-state

- The following derivation is adapted from Angert et al. (2003) and shows the relationship between λ_{BSS} and γ_R for a P = R steady-state system.
- 25 The slope λ_{BSS} is defined as the slope for which ${}^{17}\Delta_P^{BSS} = {}^{17}\Delta_{S0}^{BSS}$ so that from Eqn (4),

26
$${}^{17}\Delta^{BSS} = \ln\left(1 + {}^{17}\delta_{S0}\right) - \lambda_{BSS}\ln\left(1 + {}^{18}\delta_{S0}\right) = \ln\left(1 + {}^{17}\delta_{P}\right) - \lambda_{BSS}\ln\left(1 + {}^{18}\delta_{P}\right)$$
 (A1)

27 Which can be rearranged to

1
$$\lambda_{BSS} = \frac{\ln\left(\frac{1+{}^{17}\delta_P}{1+{}^{17}\delta_{S0}}\right)}{\ln\left(\frac{1+{}^{18}\delta_P}{1+{}^{18}\delta_{S0}}\right)}$$
 (A2)

² Noting that when P = R, Eqn. (3) simplifies to

3
$$\left(1 + {}^*\delta_P\right) = {}^*\alpha_R\left(1 + {}^*\delta_{S0}\right)$$
 (A3)

4 Substituting (A3) into (A2) and noting ${}^{17}\varepsilon_R = \gamma_R {}^{18}\varepsilon_R$ and $1 + {}^*\varepsilon_R = {}^*\alpha_R$ yields λ_{BSS} as

5 described in Eqn. (5)

$$6 \qquad \lambda_{BSS} = \frac{\ln \binom{17}{\alpha_R}}{\ln \binom{18}{\alpha_R}} = \frac{\ln \left(1 + \gamma_R^{-18} \varepsilon_R\right)}{\ln \binom{18}{\alpha_R}}$$
(A4)

7 Acknowledgements

- 8 This work was funded by the NOAA Global Carbon Cycle Program (NA 100AR4310093).
- 9 The author would like to thank Rachel Stanley and Maria Prokopenko for helpful discussions.

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5 Figure 1: Relative deviation of g from the 'corrected base case' as calculated using the equation proposed by Kaiser. All values are the same as Kaiser's base case except ${}^{17}\delta_P = -$ 6 11.588‰ instead of ${}^{17}\delta_p = -11.646\%$ as described in Section 3. The dashed green line 7 'approx' shows error when 'modified base case' values are used with the approximate 8 equation from Luz and Barkan (2000) (Eqn. 5) and ${}^{17}\Delta^{\#}(\lambda = 0.5179)$. The solid green line 9 'iter' shows error due to using the corrected 'base case' values (${}^{17}\delta_P = -11.588\%$, ${}^{18}\varepsilon_R = -$ 10 11 20‰ and $\lambda = 0.5179$) with the iterative method from Hendricks et al. (2004). Red and black 12 lines show deviation from base case using the parameters and approaches employed in 13 previous studies (see Table 3 in Kaiser, 2011 for details). They are calculated from the same 14 values as used by Kaiser, except now compared against the 'corrected base case' Within typical oceanic conditions (-0.1 < f < 0.4 and 0.01 < g < 1), the methods generally agree with 15 16 \pm 20%. The following abbreviations are used to refer to previous studies: (H04 = Hendricks et al., 2004; JQ05, JQ10 = Juranek and Quay, 2005, 2010; LB00 = Luz and Barkan, 2005; 17 R07 = Reuer et al., 2007; S05 = Sarma et al., 2005). 18

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