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Global ocean storage of anthropogenic carbon

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Abstract

The global ocean is a significant sink for anthropogenic carbon (C_{ant}), absorbing roughly a third of human CO₂ emitted over the industrial period. Robust estimates of the magnitude and variability of the storage and distribution of Cant in the ocean are therefore important for understanding the human impact on climate. In this synthesis we review observational and model-based estimates of the storage and transport of C_{ant} in the ocean. We pay particular attention to the uncertainties and potential biases inherent in different inference schemes. On a global scale, three data based estimates of the distribution and inventory of C_{ant} are now available. While the inventories are found to agree within their uncertainty, there are considerable differences in the spa-10 tial distribution. We also present a review of the progress made in the application of inverse and data-assimilation techniques which combine ocean interior estimates of C_{ant} with numerical ocean circulation models. Such methods are especially useful for estimating the air-sea flux and interior transport of C_{ant}, quantities that are otherwise difficult to observe directly. However, the results are found to be highly dependent on 15 modeled circulation, with the spread due to different ocean models at least as large as that from the different observational methods used to estimate C_{ant}. Our review also highlights the importance of repeat measurements of hydrographic and biogeochemical parameters to estimate the storage of Cant on decadal timescales in the presence of the variability in circulation that is neglected by other approaches. Data-based Cant 20 estimates provide important constraints on ocean forward models, which exhibit both

broad similarities and regional errors relative to the observational fields. A compilation of inventories of C_{ant} gives us a "best" estimate of the global ocean inventory of anthropogenic carbon in 2010 of 155 Pg C with an uncertainty of ±20%. This estimate

includes a broad range of values suggesting that a combination of approaches is necessary in order to achieve a robust quantification of the ocean sink of anthropogenic CO_2 .



1 Introduction

The release of fossil fuel CO₂ to the atmosphere by human activity has been implicated as the predominant cause of global climate change (Denman et al., 2007). The ocean plays a crucial role in mitigating the effects of this perturbation to the climate system, currently sequestering roughly a third of cumulative anthropogenic CO₂ emissions from the atmosphere. There are indications, however, that the oceanic carbon sink may have changed during the past few decades (Wetzel et al., 2005; Le Quéré et al., 2007; Le Quéré et al., 2010; Lovenduski et al., 2007; Pérez et al., 2010), although significant uncertainties remain (e.g. McKinley et al., 2011). Quantifying the oceanic carbon cycle and how it might change over time.

Estimating the storage of anthropogenic CO_2 (C_{ant}) in the ocean is a difficult task for a variety of reasons. First, C_{ant} is not a directly measurable quantity; it has to be inferred using indirect means. Second, the C_{ant} signal in the ocean is only a small per-

turbation (of order a few percent at the most) on the (unknown) natural or preindustrial background distribution of carbon. A further complication is that carbon in the ocean participates in complex in situ biogeochemistry. Lastly, the C_{ant} distribution in the ocean is rather heterogeneous. As a consequence, unlike the atmosphere, which is relatively well mixed and where observations (both direct and from ice cores) extend back many
 thousands of years, the ocean is much more challenging in this regard.

Historically, estimates of C_{ant} have been based on indirect techniques, such as the so-called "back calculation" methods, whose basic principles go back to the late 1970s (Brewer, 1978; Chen and Millero, 1979). These methods attempt to separate the small anthropogenic perturbation from the large background distribution of carbon by cor-

recting the measured total dissolved inorganic carbon (DIC) concentration for changes due to biological activity and by removing an estimate of the pre-industrial preformed DIC concentration. These early applications were met by strong scepticism (Broecker et al., 1985), and it required significant improvements in methodology, notably the Discussion Paper **BGD** 9,8931-8988,2012 Ocean anthropogenic carbon Discussion Paper S. Khatiwala et al. **Title Page** Introduction Abstract Conclusions References **Discussion Paper Tables Figures** Back Close **Discussion** Paper Full Screen / Esc **Printer-friendly Version** Interactive Discussion

development of the ΔC^* approach (Gruber et al., 1996) (see Sabine and Tanhua (2010) for a comprehensive review), and the availability of a high quality and consistent global biogeochemical dataset (e.g. Key et al., 2004), before this approach found general acceptance. These advances led to the first observationally-based global estimates of the distribution of C_{ant} in the ocean (Sabine et al., 2004).

A more recent development is the use of a transit time distribution (TTD) (Hall et al., 2002; Waugh et al., 2004), or more generally a Green function (GF) (Holzer and Hall, 2000; Khatiwala et al., 2001, 2009), to describe the transport of anthropogenic CO_2 from the surface into the interior. Tracer observations are used to constrain the TTD (Waugh et al., 2006) or Green function (Khatiwala et al., 2009). Unlike the back calculation scheme, this approach has the advantage of accounting for mixing between

- waters of different ages, and has been most recently applied to reconstruct the timevarying distribution of C_{ant} over the industrial era (Khatiwala et al., 2009).
- Observational estimates of C_{ant} have also been combined with ocean general cir¹⁵ culation models (OGCMs) in an "inverse" scheme to obtain air-sea fluxes and interior ocean transport of C_{ant} consistent with the data-based C_{ant} estimate (e.g. Gloor et al., 2003; Mikaloff Fletcher et al., 2006; Gerber et al., 2009; Gerber and Joos, 2010). The data-based estimates also provide important constraints for evaluating C_{ant} fields from forward integrations of OGCMs (e.g. Sarmiento et al., 1992; Orr et al., 2001, 2005;
 ²⁰ Gruber et al., 2009; Wang et al., 2012), the same OGCMs that are used commonly to study future climate impacts on ocean carbon storage (e.g. Fung et al., 2005; Roy
 - et al., 2011).

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Here, we review estimates of the interior ocean storage, air-sea flux, and interior transport of C_{ant} based on a variety of methods. We define C_{ant} as the excess amount

of DIC that is present in the water column due to the increasing atmospheric concentration of CO₂ and the resulting higher flux of CO₂ to the oceans compared to the preindustrial ocean. Our focus will be on global ocean estimates based on measurements, including inverse approaches, and comparisons to forward simulations. We



provide both an extensive discussion of the various method used to estimate C_{ant} , as well as their biases and uncertainties.

2 Observation-based estimates of Cant

2.1 Methods

The back calculation and the TTD/GF-based methods differ fundamentally in the way they approach the estimation of the distribution of C_{ant} in the ocean: the back calculation method, such as the ΔC* method, starts with ocean observations of DIC and aims to tease out the anthropogenic perturbation, while the TTD/GF methods start with a mathematical description of how the ocean's circulation connects surface boundary conditions with interior ocean concentrations of tracers and them aims to "calibrate" these processes through tracer observations.

In any back-calculation approach, C_{ant} is estimated in a two-step approach. First, the changes in the measured DIC that incurred since a water parcel (or a set of mixtures of water parcels) left the surface due to the remineralization of organic matter or the dissolution of biogenic calcium carbonate are removed on the basis of concurrently measured O₂, nutrients, alkalinity and the assumption of fixed stoichiometric ratios. In the second step, the pre-industrial pre-formed DIC is estimated and removed as well, with the residual interpreted as the anthropogenic CO₂ component. While the earlier implementation made relatively simple assumptions to estimate the pre-industrial pre-

- formed DIC, the ΔC^* method suggested to split this estimation problem into an equilibrium part, which can be estimated accurately on the basis of the well known carbonate chemistry, and an air-sea disequilibrium part (Gruber et al., 1996). Furthermore, it was suggested to estimate this disequilibrium through a combination of analyses of very old waters assumed to be void of C_{ant} and the use of age tracers (cf. Gruber, 1998).
- ²⁵ Gruber et al. (1996) and all subsequent applications of the ΔC^* method assumed that the disequilibrium remained unchanged through the anthropogenic transient, although



it is fundamentally possible to include a time-varying disequilibrium in the estimation procedure as well (Matsumoto and Gruber, 2005). The ΔC^* method was applied by Sabine et al. (2004) to the Global Ocean Data Analysis Project (GLODAP) dataset (Key et al., 2004) to arrive at a near-global estimate of the distribution and inventory of $_{5}$ C_{ant} in the ocean.

A method conceptually similar to the ΔC^* approach, known as TrOCA, was introduced by Touratier and Goyet (2004) with a more recent formulation provided by Touratier et al. (2007). It has, however, been shown that the TrOCA method suffers from a serious positive bias; applying TrOCA to the GLODAP data collection yields a global C_{ant} inventory more than twice that calculated with other C_{ant} inference schemes (Yool et al., 2010). Given this bias, we believe that the TrOCA method is not appropriate for estimating the global C_{ant} inventory and we will therefore not consider it further in this study.

A more recently developed approach, proposed by Hall et al. (2002), is to exploit the smallness of the anthropogenic perturbation in the ocean by treating C_{ant} as a conservative tracer; that is a tracer that is not influenced by biological processes in the ocean. The transport of any such tracer in the ocean can be described as a continuous, joint distribution of the time and surface location at which a water parcel was last exposed to the atmosphere. This distribution, known as the "boundary propagator" (Holzer and

- ²⁰ Hall, 2000), is a type of Green function, i.e., a solution to the advection-diffusion equation for the ocean with an impulse boundary condition at the surface of the ocean. The Green function, \mathcal{G} , is an intrinsic property of the ocean circulation and not specific to any particular tracer. It can thus be convolved with the time history of that tracer in the surface mixed layer of the ocean to compute the interior concentration of that tracer at any given point in space and time. The anthropogonic CO
- ²⁵ any given point in space and time. The anthropogenic CO_2 concentration at location *x* and time *t* is then given by:

$$C_{ant}(\boldsymbol{x},t) = \int_{surface} d^2 \boldsymbol{x}' \int_{-\infty}^{t} dt' C_{ant}^{s}(\boldsymbol{x}',t') \mathcal{G}(\boldsymbol{x},t|\boldsymbol{x}',t'),$$



(1)

where C_{ant}^{s} is the surface history of C_{ant} . This approach recognizes the fact that in the presence of mixing, there is no single ventilation time, and it avoids the need for complex and uncertain biological corrections, as in the ΔC^* method. To apply this formalism, Waugh et al. (2006) made a number of simplifications. First, they assumed that a single surface source region dominates the C_{ant} at each interior location, i.e., there is negligible mixing of water masses with different source regions. The resulting Green function, then, only depends on the time elapsed since a water parcel was last in contact with the surface and is known as the transit time distribution (TTD; Holzer and Hall, 2000). Second, they assumed that the ocean's TTD can be approximated by the solution to the 1-D advection-diffusion equation (Hall et al., 2002). This solution, known as the 10 "inverse Gaussian" (Seshadri, 1999), is parameterized by two variables (a mean and width) which they estimated using CFC-12 observations from the GLODAP dataset. Lastly, they assumed constant air-sea disequilibrium to estimate the unknown surface boundary condition for C_{ant}. With these simplifications, Waugh et al. (2006) arrived at

a global estimate of C_{ant} in the ocean. 15

Most recently, Khatiwala et al. (2009) have developed an inverse technique to apply the full Green function formalism. Specifically, they (1) applied a maximum entropy deconvolution technique (Tarantola, 2005) to constrain the Green function with multiple steady and transient tracers and thus account for the mixing of waters of both differ-

- ent ages and different end-member types; and (2) allowed the air-sea disequilibrium 20 to evolve in space and time. In order to estimate the Cant surface history, they impose the condition that the rate of change of inventory of Cant is equal to the instantaneous air-sea flux of C_{ant}. The latter flux is proportional to the change in surface disequilibrium of CO₂ relative to the preindustrial disequilibrium, which, in turn, is assumed to be proportional to the anthropogenic CO₂ perturbation in the atmosphere (see also 25
- Matsumoto and Gruber, 2005). Khatiwala et al. (2009) applied this method to gridded fields of six different tracers from the GLODAP dataset (CFC-11, CFC-12, natural ¹⁴C, salinity, temperature, and PO_{4}^{*} Broecker et al., 1998) to arrive at the first data-based estimate of the time-evolving, three-dimensional history of anthropogenic CO_2 in the



ocean over the industrial period. In the following, we term their approach the "Green function (GF) method" to distinguish it from the simpler TTD approach (Waugh et al., 2006).

- For comparison, we also include in the analysis model-estimated C_{ant} inventories and distributions from forward integrations of two global OGCMs. (We restrict ourselves to models participating in RECCAP and for which the requisite data are available.) The first set of forward model simulations were created with the low-resolution, ocean physics component of the Community Climate System Model (CCSM-3) (Yeager et al., 2006). All of the CCSM-3 simulations incorporate a dynamic upper-ocean ecosystem model (phytoplankton-zooplankton-nutrient) coupled with a full-depth carbon cycle bio-
- model (phytoplankton-zooplankton-nutrient) coupled with a full-depth carbon cycle biogeochemistry module treating both dissolved inorganic carbon and alkalinity prognostically (Doney et al., 2009a,b). For each reported model case, a pair of model simulations with identical physical circulation was conducted, a preindustrial control with fixed atmospheric CO₂ and an anthropogenic transient simulation with prescribed historical
 atmospheric CO₂; C_{ant} is calculated from the difference of the anthropogenic minus preindustrial simulations.

The CCSM variants, CCSM-ETH (Graven et al., 2012) and CCSM-WHOI (Doney et al., 2009a), differ in the preindustrial spin-up procedures and applied atmospheric physical forcing. Repeat annual physical forcing cycles ("normal-year forcing") (Large and Yeager, 2004) were used during the model preindustrial spin-up and atmospheric

- CO₂ transient from the early 19th century through the middle of the 20th century for all of the CCSM runs, and in the CCSM-ETH-cnst case normal-year forcing was used also for the remainder of the 20th century and early-21st century. The CCSM-ETH-var and CCSM-WHOI cases utilized time-varying atmospheric forcing after the mid-20th cen-
- tury based on NCEP reanalysis (CCSM-WHOI) and the Common Ocean-ice Reference Experiments CORE forcing (CCSM-ETH-var), which is derived from the NCEP reanalysis but includes a variety of corrections (Large and Yeager, 2004). Gas exchange in all the CCSM cases is calculated from the NCEP (or CORE) winds and a quadratic windspeed parameterization similar to Wanninkhof (1992). In the CCSM-ETH simulations,





the parameter in the wind-speed relationship was scaled down from the originally proposed value in order to arrive at a global mean gas transfer velocity of $15 \,\mathrm{cm\,h^{-1}}$. This adjustment was based on recent re-analyses of the global radiocarbon constraints on the rate of the air-sea transfer, which suggested a ~30% reduction in this parameter

⁵ (Sweeney et al., 2007; Graven et al., 2012). In the CCSM-ETH-var-k19 case, the original parameter was used, yielding a global mean gas transfer velocity of 19 cmh⁻¹). The CCSM-WHOI simulations were carried out with the original parameter, yielding, with the NCEP winds, a global mean gas transfer velocity of 21 cmh⁻¹.

A final forward ocean model simulation case, ECCO (Graven et al., 2012), was generated with the physical ocean state estimate from the "Estimating the Circulation and Climate" (ECCO) consortium (Stammer et al., 2004). The ECCO ocean state estimate was achieved by adjusting the air-sea fluxes of heat, momentum and freshwater in the MIT OGCM (Marshall et al., 1997) through data assimilation (Wunsch and Heimbach, 2007). This procedure results in a dynamically consistent estimate of ocean circulation

- and hydrography over the assimilation period. In the ECCO case, dissolved inorganic carbon was simulated according to the "abiotic" formulation of the Ocean Carbon-Cycle Model Intercomparison Project 2 (OCMIP-2; Orr et al., 1999). Local air-sea gas exchange velocities were calculated from the Wanninkhof (1992) parameterization using the CORE normal-year winds (i.e., different winds from those used to drive the cir-
- ²⁰ culation), with a coefficient scaled to result in a global mean gas exchange velocity of 15 cmh⁻¹. Carbon simulations were performed using the transport matrix method, an "offline" method for simulation of biogeochemical tracers (Khatiwala, 2007, 2008). Monthly mean transport matrices, representing a climatology over the 1992–2004 assimilation period, were extracted from the model and used to perform the tracer simula-
- tions. Comparing ECCO with CCSM demonstrates the impact of differing physical circulation on CO₂ uptake and storage, as well as the impact of data-assimilation. These two models are representative of the range of C_{ant} inventories in current ocean models (Graven et al., 2012).



2.2 Results from global estimates

Near-global estimates based on the three approaches described above (ΔC^* , TTD, and GF) are available for the reference year 1994. In addition, the GF estimate is a time-evolving reconstruction between 1765 and 2008. For the reference year 1994, the near-global ocean inventories (without marginal seas) are: (1) 106 ± 17 PgC based on the ΔC^* method (Sabine et al., 2004); (2) 94–121 PgC based on the TTD method (Waugh et al., 2006); and (3) 114 ± 22 PgC using the Green function approach (Khatiwala et al., 2009) (see Table 1 for a summary). Note that a 20 % downward correction was applied by Waugh et al. (2006) to the TTD-based global inventory to account for a positive bias arising from assuming a constant air-sea disequilibrium (see below). This correction was derived by applying the TTD method to tracer fields simulated in an ocean biogeochemical model. For the present study, the GF estimate has been extended in time through 2010, and is 150 ± 26 PgC for the year 2010 (Fig. 1).

The above estimates are all based on the GLODAP dataset, which does not cover

- ¹⁵ coastal regions and several marginal seas, most notably the Arctic, the Caribbean, and the Mediterranean Seas. Recent work, however, shows that relative to their area, these excluded regions store proportionately more C_{ant} compared with the global ocean and thus contribute significant C_{ant} to their respective adjacent major basins. Estimates for several marginal basins, including the Arctic (Tanhua et al., 2009), the Nordic Seas
- ²⁰ (Olsen et al., 2010), the Mediterranean Sea (Schneider et al., 2010), and the East Sea/Sea of Japan (Park et al., 2006), are now available (see Fig. 1). With the exception of the Park et al. (2006) estimate, which applied a modified version of the ΔC^* technique, these are based on the TTD method. The marginal seas and coastal areas for which the inventory of Cant has been quantified add up to roughly 8.6 ± 0.6 PgC
- for reference year 2010 (Lee et al., 2011), i.e., approximately 6% of the global ocean C_{ant} storage (the open-ocean and marginal seas summing to 160 ± 26 PgC). However, as there are additional marginal seas and coastal areas for which the C_{ant} inventory



has yet to be quantified, this is a lower bound of their contribution to the global $\rm C_{\rm ant}$ inventory.

To put the above estimates into context, the total cumulative emissions from fossil fuel burning and cement production from 1750 through 2009 are around 350 PgC (Andres et al., 2012). An additional $180 \pm 50 \text{ PgC}$ has been emitted due to land use 5 changes (Houghton et al., 1999). The ocean inventory therefore represents ~45% of fossil fuel CO₂ emissions over the industrial period, consistent with the earlier work of Sabine et al. (2004) who found that the ocean inventory accounted for nearly half of the fossil fuel CO₂ emitted since the preindustrial. Assuming total anthropogenic emissions since 1750 of 530 PgC, the relative uptake ratio for the ocean is ~30 %. We 10 note that these values are based on the total accumulation of CO₂ in the ocean since the preindustrial. However, both the emission and ocean uptake rates vary significantly over time. Thus, measured as a fraction of current annual fossil fuel and total emission rates of 8.5 PgCyr^{-1} and 10 PgCy^{-1} , respectively, the contemporary ocean sink of $\sim 2.5 \text{ PgCy}^{-1}$ (Khatiwala et al., 2009) accounts for 1/3 and 1/4 of fossil fuel and total 15 emissions, respectively.

While the above global estimates agree to within their uncertainty, there are significant differences in the spatial distribution of C_{ant} , particularly at high latitudes. Figures 2–4 show the column inventory, zonal mean sections for each ocean basin, and basin-averaged vertical profiles, respectively, of C_{ant} in 1994 for the three data-based estimates. Also shown are corresponding fields from the various forward ocean model simulations. All estimates display a similar pattern of strong accumulation of C_{ant} in the North Atlantic, and high concentrations ranging around 45–55 µmol kg⁻¹ in the surface layer. C_{ant} decays rapidly with depth until ~1000 m, and then remains more or less constant.

As noted by Wang et al. (2012) in a detailed comparison of various data-based estimates, there is generally good agreement in the upper ocean, but pronounced differences can be found in intermediate and deep waters. The ΔC^* method generally gives the lowest values, including spurious, negative concentrations in deep waters



(Sabine et al., 2004; Waugh et al., 2006). These likely resulted from uncertainties associated with the separation of the end-members and the estimation of their disequilibria. Since these negative concentrations are unphysical, they were set to zero when computing inventories. The TTD method typically produces the highest values. These

- are believed to be due to the assumption of constant disequilibrium, which predicts higher concentrations in the surface layer than would be the case if the disequilibrium were allowed to evolve (and increase) in time (see below). These high values are then propagated by the TTD into the interior. The GF method does not make this assumption. It also allows for mixing between different water masses. GF-based estimates are
- consequently intermediate between the ΔC* and TTD estimates. Integrating over various ocean basins, Wang et al. (2012) concluded that the estimates agree best in the Indian Ocean, ranging from 13–14 PgC. Estimates of C_{ant} in the Pacific Ocean also agree well, ranging from 29–35 PgC. The largest differences were found in the Southern Ocean (see Fig. 2), ranging from 30 PgC (ΔC* method) to 49 PgC (TTD method).
 The GF method was intermediate with 36 PgC.
 - Relative to the data-based estimates, the CCSM simulations tend to underestimate the global C_{ant} inventory (89–97 PgC) while the ECCO global inventory (124 PgC) falls within the reported data-based range (Fig. 2). Note that, for comparison with the data-based estimates, Fig. 2 indicates both the total inventory simulated by the models as
- well as the inventory in the regions covered by the GLODAP observations. Applying the GLODAP mask generally reduces model inventories by ~10 PgC, further increasing the negative bias of the CCSM simulations, but bringing the ECCO value closer to the observations. Graven et al. (2012) argue on the basis of their comparison of the simulated changes in the ¹⁴C distribution with the observed ones that the CCSM-ETH
- and ECCO simulations likely bound the true oceanic uptake of C_{ant}. Strengthening the rate of gas exchange in the CCSM-ETH model (CCSM-ETH-k19) yields only a small increase of 2 PgC in the global C_{ant} inventory relative to the CCSM-ETH-var case, consistent with earlier model results showing that ocean transport is the dominant limiting factor in anthropogenic carbon uptake (Sarmiento et al., 1992). Similarly, the use of



time-varying versus repeat annual atmospheric forcing has only a minor (1 PgC) impact on the global C_{ant} inventory, although there are regional differences, notably in the Atlantic basin (Fig. 5) (see also Levine et al., 2011; Wang et al., 2012).

- The simulated spatial patterns of the column inventories are broadly similar to those from data-based estimates with elevated inventories in the North Atlantic and Southern Ocean (Fig. 2), but there are substantial differences at regional scales and in the simulated vertical distributions (see Figs. 3 and 4). CCSM simulated surface C_{ant} values are lower than all of the data-based estimates for the Southern Ocean, which Long et al. (submitted) argue arises in a later variant of the CCSM from negative biases in simu-
- ¹⁰ lated surface alkalinity that lead to the model surface ocean saturating too quickly with respect to a perturbation in atmospheric CO_2 . In turn, this may indicate possible errors in the CCSM prognostic CaCO₃ cycle in this region, such as the dissolution of sinking CaCO₃ occurring at too great a depth. CCSM surface values are in better agreement with the GF estimates in the other basins, although there is a range in surface values ¹⁵ even within the data-based estimates.
- The CCSM simulations tend to under estimate C_{ant} storage at mid-latitudes in the mid to lower thermocline, similar to the vertical biases exhibited in the suite of OCMIP-2 models even after they had been optimized to fit the ΔC^* -based C_{ant} estimates (Mikaloff Fletcher et al., 2006). The vertical penetration of C_{ant} in the CCSM simulations is also noticeably weaker than the data-based estimates in intermediate and deep waters in the North Atlantic and intermediate waters of the South Atlantic, which likely reflects too shallow and too weak formation of North Atlantic Deep Water, a common problem in z-coordinate OGCMs (Doney et al., 2004). A low C_{ant} bias is also found in the thermocline and intermediate depths in the Southern Ocean, contributing to the low
- ²⁵ column inventory relative to the data-based methods. The Southern Ocean, contributing to the low associated with a similar bias in model chlorofluorocarbon uptake and appear to reflect too weak physical ventilation of mode and intermediate waters (Long et al., 2012). The CCSM model exhibits small, unphysical negative C_{ant} values in the deep Indo-Pacific basins due to tracer advection artifacts.



By contrast, the ECCO simulation does a better job capturing the vertical distribution of C_{ant} compared to the data-based estimates (Figs. 3 and 4). The state estimation procedure appears to improve aspects of the ocean circulation in the lower thermocline and intermediate depths where the unconstrained CCSM has problems in repli-⁵ cating C_{ant}. The specific mechanisms and whether insights from ECCO can be used to improve the physical forcing and model parameterizations for unconstrained models remains a topic for further research.

2.3 Uncertainties

There are a large number of sources of error and uncertainty in data-based estimates of anthropogenic CO₂. These range from sparse sampling and random uncertainty to systematic biases due to the assumptions made by each method. We discuss these in turn below.

Random sources of uncertainty: There are several published estimates of the uncertainty in the calculation of C_{ant} based on different methods (see Table A1 in the Appendix). Gruber et al. (1996) assessed the uncertainty in the C_{ant} concentration estimated via a back calculation method to be $\pm 9 \,\mu$ molkg⁻¹ for the Atlantic Ocean. They obtained this value by propagating errors analytically over the precision limits of the various measurements required for solving their C_{ant} estimation equations. Applying their approach to other methods has yielded an uncertainty between ± 5 and $\pm 8 \,\mu$ molkg⁻¹

- ²⁰ (e.g. Lee et al., 2003; Waugh et al., 2006; Vázquez-Rodríguez et al., 2009b). However, since several terms and properties involved in the calculation act in opposite directions, perturbation propagation techniques tend to produce lower estimates of uncertainty (Lo Monaco et al., 2005a). In deep and homogenous waters with very low C_{ant} levels, Ríos et al. (2003) found absolute uncertainties as low as $3 \mu mol kg^{-1}$, i.e.,
- the uncertainty is of the same order of magnitude as the C_{ant} concentration. The uncertainty of C_{ant} estimates using the TTD method is spatially-variable and dependent on, for instance, the transient of the tracer used for determining the TTD and the analytical



uncertainty of the tracer measurements (Tanhua et al., 2008). In the Green function approach, a maximum entropy deconvolution technique is used to estimate the ocean's transport Green function from tracer data. Since only a limited number of observational constraints are available, the problem is highly underdetermined leading to errors in the

- $_{5}$ estimated Green function. This so-called "entropic uncertainty" (Holzer et al., 2010) can lead to large errors in point-wise estimates of C_{ant} concentration, although spatial integration to compute inventories significantly reduces the error (Holzer et al., 2010). There are also errors arising from the sparse spatial and temporal sampling of the various tracers used in the inversion. Khatiwala et al. (2009) applied a Monte Carlo
- ¹⁰ procedure in which the calculation of the Green function and C_{ant} was repeated by randomly sampling the various parameters used in the inversion from a uniform distribution centered about its observed value and width equal to the reported uncertainty. This approach combined with the entropic uncertainty leads to a (spatially-variable) uncertainty between ±2 and ±8 µmol kg⁻¹.
- ¹⁵ Errors in individual C_{ant} estimates propagate into uncertainty in column and regional inventories. A perturbation procedure was recently applied (Álvarez et al., 2009; Vázquez-Rodríguez et al., 2009b) using the random uncertainties for each back calculation technique to evaluate this error to be ±2.0 molm⁻². In regions with high interannual variability, as in the North Atlantic sub-polar gyre, Pérez et al. (2008) also ²⁰ considered the variability of the thickness in the water masses. Using this procedure, Vázquez-Rodríguez et al. (2009b) estimated the uncertainty in column inventories to be ±1 molm⁻² when integrated down to 3000 m, assuming random propagation of a 5 µmolkg⁻¹ standard error in the C_{ant} concentration (see Table A2 in the Appendix). However, the vertical interpolation error is highly dependent on the (vertical) sampling

²⁵ density, particularly in high density gradient parts of the water column.

Ultimately, the goal is often to produce an estimate of the C_{ant} inventory for an ocean basin or the global ocean. In addition to the errors discussed above, additional uncertainties associated with interpolation to produce a gridded dataset are present. While difficult to quantify, and sensitive to the specific mapping technique used, they can be



as large as 10-20% of the inventory (Sabine et al., 2004; Waugh et al., 2006) (see Table A3 in the Appendix).

Biases due to assumptions: All the estimation techniques make assumptions that are difficult to test, but efforts using simulated data in ocean biogeochemical mod-

- $_{5}$ els have been made to evaluate the validity of specific assumptions and the ability of the various methods to accurately estimate C_{ant} (e.g. Matsumoto and Gruber, 2005). Common to all data-based methods is the assumption that ocean circulation and biogeochemistry have remained constant over the industrial period. Thus, both natural and anthropogenically-induced variability in physical and biological processes are ne-
- ¹⁰ glected. Wang et al. (2012) have recently used the Community Climate System Model (CCSM3.1) to investigate this assumption. They find that the difference in global inventory of C_{ant} over the period 1948–2003 between a constant climate simulation and one in which surface forcing and ocean circulation are allowed to vary is less than 1 % of the total inventory (similar to results for CCSM-ETH in Fig. 2). Moreover, when changes
- in the natural carbon cycle are also accounted for, the difference is still less than 4% of the total anthropogenic inventory. Thus, the error in global inventory due to neglecting the impact of changing climate on both the natural carbon system and the uptake of C_{ant} is currently much smaller than the intrinsic uncertainty of the various methods (typically 20%; see above). (This, of course, may not hold in the future as the ocean
 responds to climate change (Goodkin et al., 2011).) Regionally, however, the errors can be quite significant (Fig. 5) and of the same order of magnitude as the uncertainty in data-based estimates (Table A2).

Other systematic sources of errors depend on the specific assumptions made by each technique. The ΔC^* method assumes constant Redfield ratios, a constant air-sea disequilibrium, and that ocean circulation is largely advective in nature justifying the use of a single (typically CFC-based) ventilation age. The latter assumption, in particular, can lead to an overestimate in the upper water column because tracer ages are biased young, but a negative bias in the deeper waters without detectable CFC concentrations (which are then assumed to be free of anthropogenic CO₂) (Hall et al., 2002, 2004;





Waugh et al., 2004). In the Subpolar North Atlantic, for example, this assumption leads to uptake being overestimated by 20–30% (Waugh et al., 2004). The assumption of a constant disequilibrium also leads to a significant bias, as shown by Matsumoto and Gruber (2005) in their evaluation of the ΔC^* method. Although the usual application of the ΔC^* method leads to substantial compensatory effects, the method was found to generally overestimate anthropogenic CO₂ in the upper thermocline by about 10% and to underestimate it in the deep ocean. Overall, they concluded that the global inventory based on the ΔC^* method was probably too large by 7%.

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The TTD approach also assumes constant disequilibrium, and while it relaxes the assumption of weak mixing, it only considers a single water mass and uptake source region, as well as a particular and simplified functional form for the TTD. To evaluate the TTD method, Waugh et al. (2006) applied it to the output of an ocean biogeochemical model. They found that inferred C_{ant} concentrations and column inventories in all regions, except the Southern Ocean, agreed within 1 µmol kg⁻¹ and 10 %, respectively, with the "true" (simulated) values. In the Southern Ocean, however, differences of 2– $6 \mu mol kg^{-1}$ were found, leading to a positive bias of 60 % in the regional inventory, and 20 % in the global inventory. (The TTD method also overestimates C_{ant} by about 2.5 µmol kg⁻¹ in deep waters when the CFC-12 concentration is near the detection limit (Waugh et al., 2006); values less than 2.5 µmol kg⁻¹ are set to zero when computing inventories.)

Although the Green function approach does not assume a constant disequilibrium, it needs to makes another simplifying assumption in order to derive the time-varying surface history of C_{ant} , i.e., that the change in surface disequilibrium is proportional to the change in the atmospheric CO_2 concentration. Khatiwala et al. (2009) justified this relationship on the basis of simulations in a carbon cycle model. In a detailed examination of this assumption in an ocean biogeochemical model, Wang et al. (2012) found that while a linear relationship between changes in air-sea disequilibrium and changes in atmospheric CO_2 is a good approximation under constant climate, there are substantial errors on regional and interannual scales when variability in ocean circulation



is allowed. These errors, which propagate into estimates of the surface C_{ant} boundary condition and hence interior concentrations, however, largely cancel out upon temporal and spatial averaging (as performed by Khatiwala et al., 2009, in their application of the Green function method).

5 3 Ocean inversions and transport

3.1 The inverse approach

An important limitation of the data-based estimates discussed above is that these methods generally do not provide interior transport rates or surface air-sea fluxes of C_{ant} (although the GF method does simultaneously provide estimates of the average flux

- ¹⁰ on a discrete set of surface patches). The availability of data-assimilation techniques has, however, made it possible to combine global estimates of C_{ant} concentration with ocean circulation models to derive such information. Thus far, two techniques have been used to infer optimal air-sea fluxes of CO₂ from ocean interior C_{ant} data: a basis function approach and an ensemble Kalman filter (EnKF) technique.
- ¹⁵ The basis function approach is analogous to a method that has been widely used to estimate sources and sinks of atmospheric CO_2 based on atmospheric observations and atmospheric transport models (e.g. Enting and Mansbridge, 1989; Tans et al., 1990; Bousquet et al., 2000). This method has been adapted to estimate air-sea fluxes of heat (Gloor et al., 2001), oxygen (Gruber et al., 2001), and CO_2 (Gloor et al., 2003;
- ²⁰ Mikaloff Fletcher et al., 2006; Mikaloff Fletcher et al., 2007; Gruber et al., 2009) using ocean interior observations and OGCMs. This approach also shares many similarities with the GF method in that it aims to determine the connection between surface fluxes and interior concentrations, with the key difference being that the ocean inversion uses models to simulate the Green function, whereas the GF method uses observations to
- ²⁵ constrain it. The two approaches could therefore be described as "model-based GF" and "empirical GF", respectively.



In the ocean inversion scheme, the ocean is divided into discrete surface patches, and an OGCM is used to generate a basis function for each patch, which describes how an arbitrary flux into that region influences observations. The observed property, C_{ant} , is described as a linear combination of model generated basis functions, A_i , each multiplied with a scaling factor, λ_i , plus an error, ϵ_i :

$$C_{ant} = \sum_{i=1,n_{reg}} \lambda_i A_i + \epsilon_i$$

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The basis function for each region was generated by continuously injecting an arbitrary amount of a dye flux into the surface of the region in an OGCM. This dye flux is distributed spatially based on an air-sea flux climatology $P_n(i, j, t)$ (Takahashi et al., 2002). In the case of the inversion of anthropogenic CO₂, the flux must also be scaled with time using an atmospheric scaling factor, $\phi(t)$, to account for changes in the atmospheric CO₂ concentration over the industrial period (Gloor et al., 2003; Mikaloff Fletcher et al., 2006). For region *n* the injected flux then reads:

 $F_n(i, j, t) = \lambda_n P_n(i, j, t) \phi(t)$

- ¹⁵ The EnKF technique applied by Gerber et al. (2009) used a similar regional air-sea flux pattern and temporal scaling to inject a dye tracer into the surface of an OGCM. However, in this case, an ensemble of simulations integrated with a prescribed model circulation, each with a different set of air-sea CO₂ flux parameters, was run forward over the industrial period. This ensemble was then optimized in the framework of an
- EnKF (Evensen, 2003). After the optimization, the ensemble of simulations is reinitialized with the optimized set of parameters and is run again forward in time. This procedure is repeated until the optimized parameters converge. For details we refer to Gerber et al. (2009) and Gerber and Joos (2010).

(2)

(3)

3.2 Fluxes, transport, and storage of anthropogenic carbon in the interior ocean

The three-dimensional storage of anthropogenic CO_2 in the interior ocean can be calculated from the optimized air-sea flux estimates and the ocean model simulations used in the inversion. The transport of anthropogenic CO_2 can be subsequently calculated from the divergence of the regional fluxes estimated from the inversion and the corresponding storage. The basis function approach, as part of the Ocean Inversion Project (OIP), has been applied to the ΔC^* -based estimates using 10 different OGCMs. The EnKF scheme has been applied to C_{ant} estimates based on several different methods, including ΔC^* and TTD. In each case, the EnKF was used to estimate air-sea fluxes using model transport from four different realizations of ocean circulation produced by varying physical model parameters such as the diapycnal diffusivity in the Bern OGCM (Gerber et al., 2009). Figure 6 shows the inversely estimated flux

- (top), storage (centre), and transport (bottom), for both the basis function and EnKF approaches using a range of different OGCMs and anthropogenic CO_2 reconstruction techniques. Displayed values have been scaled to 2005 based on the atmospheric CO_2 perturbation that was used to calculate the basis functions, assuming that the inventory and transports for each region increase proportionally with the perturbation to atmospheric CO_2 . For comparison, we also show the GF-based estimates, where lateral transport is computed by combining the time dependent C_{ant} air-sea flux and
- divergence of the distribution, and forward ocean model results from CCSM-ETH and ECCO.

In the Atlantic, the largest anthropogenic CO_2 uptake occurs in the Southern Ocean, but much of this uptake is transported equatorward, largely by the northward and downward spreading of Antarctic Intermediate Water (AAIW) and Sub-Antarctic Mode Water (SAMW). Analysis of the basis functions used in Mikaloff Fletcher et al. (2006) suggests that the bulk of this anthropogenic CO_2 is stored in the South Atlantic Subtropical Gyre.

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There is also substantial anthropogenic carbon uptake in the Tropical Atlantic. While

a portion of this tropical uptake is transported southwards, most is either stored in the tropics or transported northwards along the surface before being stored in the Subtropical North Atlantic (Mikaloff Fletcher et al., 2006). Anthropogenic CO_2 taken up in the North Atlantic is either transported northwards and entrained into North Atlantic Deep

⁵ Water (NADW) or transported southwards, leading to convergence with the anthropogenic CO₂ being transported northwards from the tropics and Southern Hemisphere at Northern Hemisphere mid-latitudes. While there is overall consistency between different estimates, it should be noted that some of the OIP and EnKF cases have much larger values of uptake, storage and transport in the Southern Ocean, and also display a more complex multi-modal latitudinal distribution not seen in the other estimates.

Transport of anthropogenic CO_2 along ship transects can also be estimated from hydrographic data and data-based anthropogenic CO_2 estimates (e.g. Lundberg and Haugan, 1996; Holfort et al., 1998; Álvarez et al., 2003; Macdonald et al., 2003; Rosón et al., 2003; Álvarez and Gourcuff, 2010). This approach has been widely used in the

- Atlantic, and these transect-based estimates have been included in Fig. 6. One crucial difference between transports inferred from the ocean inversion and those inferred from transect data is that the hydrographic transect estimates reflect the transport at a single point in time while the estimates from the ocean inversion represent the time integrated transport over the entire industrial period, which can then be scaled to a given year. In
- ²⁰ particular, hydrographic fluxes may be biased due to the neglect of seasonal variability (Wilkin et al., 1995). The transports from the ocean inversion, forward OGCMs, and hydrographic transects have similar large-scale features in the Atlantic, with substantial northward transport occurring throughout the Southern Hemisphere and tropics. The transport across 31° S estimated by Holfort et al. (1998) is substantially smaller
- than that estimated by the ocean inversion techniques, while that estimated by Holfort et al. (1998) across 20° S is in agreement with this approach. The North Atlantic transport estimates of Rosón et al. (2003) and Macdonald et al. (2003) are larger than those from the ocean inversion, but not unreasonably so given the large uncertainties in the hydrographic estimates and difficulties comparing the two techniques directly. The



Indo-Pacific Ocean basin follows a similar general pattern to the Atlantic of strong anthropogenic CO_2 uptake at high latitudes, particularly in the Southern Ocean, which is then transported equatorwards to mid-latitudes. Unlike the Atlantic Ocean, however, the Indonesian throughflow plays a key role in transports in the tropical and Southern Hemi-

- ⁵ sphere Pacific and Indian oceans (Mikaloff Fletcher et al., 2006). While there is strong northward transport of anthropogenic carbon throughout the Pacific Ocean south of 18° N, as shown for the overall Indo-Pacific basin in Fig. 6, a substantial amount of anthropogenic carbon is transported southwards into the Indian Ocean via the Indonesian throughflow. Note, however, that the maxima in poleward transport in both hemispheres
- occur at different latitudes in the various estimates, with the GF, ECCO, and CCSM-ETH estimates showing a marked shift toward higher latitudes compared with OIP and EnKF. Anthropogenic carbon taken up in the Tropical Indian Ocean or transported via the Indonesian throughflow is transported southwards to mid-latitudes.

3.3 Limitations of the inverse approach

- ¹⁵ While the large scale features of the model-based ocean inversion results described above have been shown to be remarkably robust (Mikaloff Fletcher et al., 2006; Gerber et al., 2009), there are several sources of error that should be considered in evaluating these results. One major source of uncertainty in the ocean inversion is error in the representation of ocean transport by the OGCM, which is implicitly assumed to be
- 20 perfect in the inverse methodology. In order to evaluate the sensitivity to the choice of ocean model, Mikaloff Fletcher et al. (2006) used a suite of ten OGCMs to calculate the basis functions. Similarly, Gerber et al. (2009) used four different configurations of a single OGCM to investigate the sensitivity of the inferred fluxes and transport rates to different circulation representations, and arrived at a similar spread between
- ²⁵ models to that found in Mikaloff Fletcher et al. (2006). This spread is clearly evident in Fig. 6, which shows the flux, storage, and transport from all ten OGCMs used in Mikaloff Fletcher et al. The largest differences in the inferred air-sea fluxes, storageand transport-rates are found in the Southern Ocean, which confirms earlier model



studies (Orr et al., 2001). These large differences are attributed to limitations of ocean model in the precise formulation of sub-grid scale processes such as eddies and convection, the representation of transport along isopycnals, and brine rejection due to sea-ice formation (Mikaloff Fletcher et al., 2006).

- There may also be significant biases in the model transport that are common to all of the models and model setups used in both inverse studies discussed here, particularly due to model physical errors (Doney et al., 2004) and the coarse resolution of the global models used in those studies. Results of forward simulations of transient tracers with eddy-resolving model have recently become available (Lachkar et al., 2009; Ito
- et al., 2010). The large-scale transport pathways of these models are not completely dissimilar to those of coarse resolution models. Comparing a transient tracer forward simulation with an eddy-resolving model to a coarse resolution model, Lachkar et al. (2007) reported a decrease in air-sea flux and inventory of C_{ant} in the Southern Ocean of 23 % and 35 %, respectively. In addition, both inverse studies used OGCMs in steady
- state and implicitly assumed that the temporal variability was proportional to the atmospheric CO₂ perturbation over the industrial period, which could also lead to biases in the inferred results. There is some evidence for both decadal variability in ocean circulation (e.g. García et al., 2002; Bryden et al., 2003) and indications that changes in the oceanic uptake may be responding to climate change (Le Quéré et al., 2007; Lovenduski et al., 2007; Le Quéré et al., 2010).

Another potential source of uncertainty is the method used to reconstruct C_{ant} . Gerber et al. (2009) assimilated four different global and six Atlantic reconstructions of C_{ant} to assess uncertainties from data-based estimates in their inverse approach. The four global approaches are shown in Fig. 6 (red lines). The sensitivity of the inferred airsea fluxes and transport rates to the anthropogenic CO₂ reconstruction method is of

25 sea fluxes and transport rates to the anthropogenic CO₂ reconstruction method is of similar magnitude to the sensitivity to the choice of OGCM. The deviations in inferred air-sea fluxes and transport rates among the different assimilated reconstructions are largest in the Southern Ocean, which is expected as the largest differences in the anthropogenic carbon storage occur in this region as well (Vázquez-Rodríguez et al.,



2009b). The good agreement between inverse estimates using the basis function and EnKF methodologies (Gerber et al., 2009) and between inverse estimates using different region configurations (Mikaloff Fletcher et al., 2006) suggests that the inverse methodology is likely to be only a minor source of uncertainty.

Lastly, we note that the observational estimates of transport shown in Fig. 6 are also subject to considerable error. These can arise from both uncertainties in the estimated flux of water and the C_{ant} in the water masses involved in the transport (e.g. Schneider et al., 2010). In the North Atlantic, the uncertainty in C_{ant} transport has been estimated to be roughly 0.05–0.08 PgCy⁻¹ (Holfort et al., 1998; Rosón et al., 2003; Macdonald et al., 2003; Álvarez et al., 2003; Álvarez and Gourcuff, 2010, ; see Table A4 in the Appendix). According to Ganachaud et al. (2000), errors in C_{ant} transport can be reduced significantly when it is computed in term of anomalies from the mean properties.

4 Changes in Cant storage from repeat measurements

4.1 Methods

- ¹⁵ Thanks to the recent availability of repeat measurements from the global repeat hydrography program, we can now not only determine C_{ant} concentrations using the methods described above, but also their rate of change, or the storage rate, on decadal timescales. Measurements of the change in dissolved inorganic carbon or C_{ant} concentration between two time periods may also be less dependent on assumptions made in
- the methods discussed in the previous section, but might suffer from a different set of potentially biasing assumptions (e.g. Levine et al., 2008; Wanninkhof et al., 2010, ; see below). Of particular concern is the much larger sensitivity of this approach to changes in the ocean's background distribution of DIC due to variability in ocean currents and biology. These variations largely lead to ocean internal redistributions of "natural" carbon,
- ²⁵ which needs to be separated from the measured DIC difference in order to extract that part of the changes that is due to the ocean's uptake of anthropogenic CO₂. Multiple



Linear Regression (MLR) models or their extended version (eMLR) have been used extensively to filter out this "natural" variability. While many of the estimates presented below (Fig. 7) are based on this approach, there are alternative techniques to calculate the storage rate of Cant. One such method that has been classically applied is to calculate inventories by vertically integrating the Cant concentrations over the entire water 5 column of the area under consideration. If a transient steady state (Keeling and Bolin, 1967) is assumed for C_{ant} (Tanhua et al., 2007), the C_{ant} storage rate can be approximated as the product of the time derivative of the average Cant concentration in the winter mixed layer times the mean penetration depth (MPD). The latter is defined as the quotient between the Cant column inventory and the Cant concentration in the winter 10 mixed layer. The studies of Holfort et al. (1998), Rosón et al. (2003), and Álvarez et al. (2003) have applied this approach. The basis for this approximation relies on the fact that MPD may be taken as constant (Broecker, 1979). However, Pérez et al. (2008) have pointed out that the time variability of the MPD could significantly affect estimates of C_{ant} storage rates in, or close to, areas of deep water formation, especially during 15 high NAO periods.

Here, we review published storage estimates of C_{ant} for various regions or hydrographic lines and compare them with estimates made by the Green function approach. The comparison serves to highlight the large temporal variability in CO₂ storage, par-

ticularly in Southern Hemisphere mode waters (Murata et al., 2010) that is not captured by back calculation and other inverse methods.

4.2 Results

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Atlantic Ocean: observations from repeat measurements along a north-south section in the Atlantic Ocean suggest that the DIC inventory of the South Atlantic has been increasing at a faster rate than the North Atlantic (Wanninkhof et al., 2010) (left column of Fig. 7). Large variations in the storage rates on sub-decadal time scales have been documented in parts of the relatively well-sampled Subpolar North Atlantic. A significantly smaller increase rate of the C_{ant} inventory than expected from the increase in



atmospheric CO_2 has been observed in the Western Subpolar North Atlantic (Steinfeldt et al., 2009), and storage rates in the Irminger Sea have varied considerably over the last three decades (Pérez et al., 2008). Strong correlation between the North Atlantic Oscillation (NAO) and the C_{ant} storage rate, with a high NAO index corresponding

- to higher storage, has been demonstrated for the subpolar gyre of the North Atlantic (Pérez et al., 2010) and similar trends are found in the intermediate waters of the Subtropical North Atlantic at 24° N (Brown et al., 2010). While estimates of storage rate based on the GF and other inverse approaches are broadly consistent with those derived from hydrographic sections (Fig. 7), there are significant differences as well, likely
- due in part to the large temporal variability not accounted for by the former. For example, the pattern of higher storage in the South Atlantic relative to the North Atlantic found by Wanninkhof et al. (2010) is not seen in the inverse estimates.

Pacific Ocean: in the Pacific, repeat measurements were made along 13 sections from 2001 up to 2009 (middle column of Fig. 7). In the North Pacific, most of the ob-

- ¹⁵ served increase in DIC is due to changes in ocean circulation, as evinced by changes in apparent oxygen utilization (AOU), rather than uptake of C_{ant} (Sabine et al., 2008). In contrast, an increase in DIC in the South Pacific can be attributed to uptake of C_{ant} that is absorbed and transported by Southern Ocean-origin water masses such as Sub-Antarctic Mode Water (SAMW) and Antarctic Intermediate Water (AAIW) (Murata
- et al., 2007). There are significant spatial variations in storage rate across the Pacific basin. For example, both the GF and repeat hydrography estimates show higher storage in the South compared with the North Pacific (Murata et al., 2007; Sabine et al., 2008). On the other hand, while the GF method and an earlier hydrographic study (Sabine et al., 2008) suggest higher storage rates in the western as compared with
- ²⁵ the Eastern North Pacific, a more recent study (Waters et al., 2007) finds the opposite pattern along 30° S. The latter study is based on data from a section repeated in 1992 and 2010. Note, however, that methods to compute C_{ant} from repeat measurements differ between studies, which could account for some of the reported differences. Note, too, that some observational estimates are not entirely consistent with those based on



inverse methods. It is unclear if this reflects real changes in ocean biogeochemistry and ocean circulation that are not captured by inverse methods, or if there are biases in the repeat hydrography-based estimates.

- Indian Ocean: several hydrographic sections in the Indian Ocean were re-occupied
 between 2002 and 2009, notably the zonal lines I3/I4 and I5 (right column of Fig. 7). Although there are only a few reports of changes in C_{ant} from repeat hydrography in this basin, the trends in the results are generally consistent with the GF approach. The Indonesian throughflow (ITF) plays an important role in transporting C_{ant} between the Indian and Pacific Oceans. This transport is currently not well constrained by observations or global models (Mikaloff Fletcher et al., 2006), but recent repeat measurements along 20° S show influences of ITF on storage rates of C_{ant} (Murata et al., 2010). Results from this study indicate an average storage rate of 1.0 mol m⁻² y⁻¹ along 20° S (Fig. 7), with significant increase in C_{ant} to about 1800 meters depth, and in the Circumpolar Deep Water. This storage rate is higher than the GF estimate possibly indicating changes in circulation. A recently published study by Álvarez et al. (2011)
- indicating changes in circulation. A recently published study by Alvarez et al. (2011) indicates that the ventilation of the Subantarctic Water in the Subtropical Indian Ocean has increased, potentially enhancing the uptake of C_{ant}.

4.3 Uncertainty

On an ocean basin scale, estimation of C_{ant} storage from repeat measurements also suffers from the issue of sparse sampling discussed earlier. In addition, changes in the natural carbon cycle and circulation may mask or confuse the anthropogenic CO₂ signal. For example, Wanninkhof et al. (2010) found changes in DIC concentration in the Atlantic between 1985 and 2005 that were more varied and larger than could be explained by the uptake of C_{ant} from the atmosphere. Application of the extended Multiple

Linear Regression (eMLR) approach along isopycnals was used to remove variability in the natural carbon cycle. However, as discussed by Wanninkhof et al., (2010) large biogeochemical changes can introduce biases in the eMLR based estimates. Similarly, Alvarez et al., (2011) found decadal changes in DIC that were smaller than the changes



in C_{ant} , which could be explained by enhanced ventilation, i.e., less DIC due to remineralization of organic matter, but more rapid transport of C_{ant} to the interior ocean. The use of MLR methods can potentially compensate for biases due to changing ventilation and circulation when calculating decadal change in C_{ant} . However, the eMLR methods

⁵ is also sensitive to biases and uncertainty. For instance, a bias in the parameters used for the MLR fit can introduce errors in the estimated change of C_{ant} (e.g. Tanhua et al., 2007). Similarly, Goodkin et al. (2011) found that secular trends from changing climate and changing carbonate chemistry invalidates the use of the MLR technique over time periods larger than about 30 yr. We refer to Wanninkhof et al. (2010) for an extensive discussion of estimation of changes in C_{ant} from repeat hydrography. Reported uncertainties (not considering biases in the methodology) of the regional C_{ant} storage rate

5 A "best estimate" of the global ocean inventory in 2010

range between ± 0.01 and $\pm 0.06 \text{ PgCy}^{-1}$ (see Table A5 in the Appendix).

Lastly, we have used the various data and model-derived estimates to arrive at a "best estimate" of the inventory of anthropogenic CO₂ in the ocean in 2010. As most estimates are for earlier years, we scale them to a nominal year of 2010 by assuming a "transient steady state" (TSS) (e.g. Gammon et al., 1982; Tanhua et al., 2007). This approach essentially states that the concentration (and inventory) of a tracer increases proportionally to its increase in the surface mixed layer, which we can estimate based on the evolution of atmospheric CO₂. The TSS assumes a large-scale ocean circulation and mixing field that is essentially invariant in time, but this assumption has also been made in the determination of all data-based inventories. A compilation of the adjusted estimates are listed in Table 2 for both the region covered by the GLODAP database, i.e., essentially the open ocean without the continental shelf and marginal seas, and the original grid (in the case of models).

The estimates range widely, from 106 PgC to 150 PgC. The mean of the data-based estimates, including those based on ocean inversions, is \approx 141 PgC for the GLODAP



region, while the corresponding average for the various CCSM model runs is 109 PgC. ECCO, which employs an ocean circulation constrained by observations, gives a larger inventory of 152 PgC. It is important to note that the various data-based estimates are not strictly independent. For example, three of them (ΔC^* , EnkF- ΔC^* , and OIP) depend

- directly or indirectly on the ΔC* estimate for 1994, while two of them (TTD and EnKF-TTD) make use of the TTD estimate. (The ECCO state estimate – although a different version than that used here – is also a member of the ensemble of models used in OIP.) To partially account for this, we first average these interdependent members, and then take the mean, resulting in an inventory of 143 PgC. Given the known biases in both CCSM and ECCO (acc Sect 2.2 and Creven et al. 2012) we adopt this data based
- ¹⁰ CCSM and ECCO (see Sect. 2.2 and Graven et al., 2012), we adopt this data-based average as our "best" estimate for the region covered by GLODAP.

To constrain the C_{ant} in marginal seas and continental shelf areas we exploit results from the CCSM model, which includes the Arctic. The average difference between the inventory for the CCSM global ocean grid and that for the GLODAP region is ~14 PgC

- for the year 2010. We regard this as an upper limit on the anthropogenic carbon inventory stored in the excluded region since, as described above, CCSM tends to exhibit low surface-to-deep transport, and hence reduced uptake. This would tend to reduce the inventory in the GLODAP region. On the other hand, uptake in the marginal seas, which are mainly, although not exclusively, shallow shelf areas, is less likely to be bi-
- ased since CCSM does a reasonable job of simulating upper ocean C_{ant}. As a lower limit, we use the estimate of Lee et al. (2011), scaled to 2010, of 8.6±0.6 PgC for several marginal basins including the Arctic, Nordic Seas, the Mediterranean Sea, and the East Sea/Sea of Japan (see Sect. 2.2). This estimate does not cover basins such as the Red Sea, Caribbean, and Gulf of Mexico. We therefore consider it as a lower estimate, leading to a range of ~9–14 PgC for the region not covered by the GLODAP dataset.

Adding the above data-based estimate for the GLODAP region (143 PgC) and the estimated range for the marginal seas (9–14 PgC) gives a range of 152–157 PgC. We



adopt the midpoint of this range, 155 PgC, as our "best" estimate for the global ocean inventory of anthropogenic carbon in 2010 with an uncertainty on the order of ± 20 %.

6 Summary and conclusions

In this paper, we have reviewed observation-based estimates of the storage and transport of anthropogenic CO_2 in the ocean. We find that considerable progress has been 5 made in efforts to quantify the ocean sink of anthropogenic CO₂. On the global scale, it is reassuring that widely different approaches lead to estimates of the inventory of Cant in the ocean that agree within the uncertainty (typically $\sim 20\%$) of the various methods. Regionally, however, there are significant differences that can be traced to the specific assumptions made by each method. It is also now possible to obtain an estimate of the 10 full time history of the distribution of Cant in the ocean using methods such as Green functions. An important development is the application of numerical models in an inverse or data-assimilative scheme that allows us to combine data-based estimates of C_{ant} with models to infer the flux, transport, and storage of C_{ant}. These can be difficult to obtain by direct observation. There are caveats in this approach too, notably the sen-15 sitivity to model transport and the actual C_{ant} data product used. All of these methods suffer from one important drawback: they suppress or ignore temporal variability. This

limitation can be addressed in part by measuring the change in carbon concentration over a period from repeat hydrographic sections to estimate the storage rate of C_{ant}. ²⁰ However, large biogeochemical changes may confuse or mask the C_{ant} signal.

We have also compared anthropogenic C_{ant} simulated by forward ocean biogeochemical models with the data-based estimates. Substantial regional differences exist between ocean forward model C_{ant} fields and data-based estimates, as exhibited by the CCSM model variants that tend to underestimate global C_{ant} inventory. The for-

ward model biases reflect ongoing issues with forward ocean model physical circulation. The data-constrained physical state estimation as exhibited in the ECCO simulation improves the spatial patterns of the simulated C_{ant} field, although some important



differences remain. Nevertheless, the experience suggests that forward OGCMs can be improved through careful model-data comparisons and process level studies. Forward OGCMs also offer opportunities to help interpret climate-driven variability and trends as well as projecting future behavior of ocean carbon storage.

Lastly, a compilation of inventories based on different methods gives us a "best" estimate of about 155 PgC for the global ocean inventory of anthropogenic carbon in 2010. The uncertainty on this estimate is ~ ±20 %. The large range in various estimates (Table 2), and our comparison of various methods suggests that multiple approaches, each with its own strengths and weaknesses, are necessary to arrive at a robust and consistent quantification of the ocean sink of anthropogenic CO₂.

Appendix A

A summary of published uncertainty estimates

See Tables A1-A5.

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Table 1. Summary of C_{ant} inventories in 1994 estimated by different observational methods and simulated in numerical models. For the model-based estimates, numbers in brackets represent inventories for the region covered by the GLODAP database.

Method	Cant inventory [PgC]	Reference
Green function	114 ± 22	Khatiwala et al. (2009)
ΔC^*	106 ± 17	Sabine et al. (2004)
TTD	94–121	Waugh et al. (2006)
ECCO	124 (116)	Graven et al. (2012)
CCSM-ETH-var	95 (85)	Graven et al. (2012)
CCSM-ETH-cnst	94 (83)	Graven et al. (2012)
CCSM-ETH-var-k19	97 (86)	Graven et al. (2012)
CCSM-WHOI	89 (80)	Doney et al. (2009a)



Table 2. Summary of C_{ant} inventories in 2010 (mid-year or annual mean) estimated by different observational methods and simulated in numerical models. Inventories for both the region covered by the GLODAP database (second column) and (for estimates involving models) the original grid (third column) are shown. In some instances the estimate has been scaled from the original year of reference to 2010 using the transient steady state (TSS) approach (see text), as indicated in the fourth column.

Method	C _{ant} inventory [Pg C] (GLODAP region)	Cant inventory [PgC]	TSS Scaling
	Data-based estimates		
Green function	150 ± 26	_	None
ΔC^*	138 ± 21	_	From 1994
TTD	122–157	_	From 1994
ENKF-ΔC*	132	145	From 2008.5
ENKF-TTD	138	151	From 2008.5
OIP	149	158	From 2005
Model-based estimates			
ECCO	152	162	None
CCSM-ETH-var	110	124	From 2007.5
CCSM-ETH-cnst	107	121	From 2007.5
CCSM-ETH-var-k19	112	126	From 2007.5
CCSM-WHOI	106	119	None



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Table A1. Published estimates of uncertainties in C_{ant} calculation for various estimation methods.

C _{ant} method	C_{ant} uncertainty [µmolkg ⁻¹]	Reference	Region
ΔC*	±9	Gruber et al. (1996)	Global
	±6	Sabine et al. (1999)	Indian Ocean
	±7.5	Sabine et al. (2002)	Pacific Ocean
	±7.9	Lee et al. (2003)	Atlantic Ocean
IPSL	±3 to ±6	Lo Monaco et al. (2005b)	Southern Ocean
Green function	±2 to ±8	Khatiwala et al. (2009)	Global
TTD	±5	Waugh et al. (2006)	Global
TrOCA	±6.2	Touratier et al. (2007)	Global
$\phi C_{_{\mathcal{T}}}^0$	±5.2	Vázquez-Rodríguez et al. (2009a,b)	Atlantic Ocean

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Table A2. Published estimates of uncertainty in the column inventory of C_{ant} .

C _{ant} method	C _{ant} inventory uncertainty [mol m ⁻²]	Reference	Region
ΔC^*	±7.3	Lee et al. (2003)	Atlantic Ocean
	±5.7	Peng et al. (2003)	Pacific Ocean
IPSL	±10	Lo Monaco et al. (2005b)	Southern Ocean
TTD, Troca, IPSL, ΔC^*	±2.0 to ±2.3	Álvarez et al. (2009)	Indian Ocean along 30° S
$\phi C^{0}_{ au}$	±1 to ±2	Vázquez-Rodríguez et al. (2009a,b)	Atlantic Ocean

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Table A3. Published estimates of uncertainty in the inventory	of C	ant.
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C _{ant} method	C _{ant} inventory uncertainty [PgC]	Reference	Region
ΔC^*	±8.6	Lee et al. (2003)	Atlantic Ocean
$\phi C_{ au}^0$	±4	Vázquez-Rodríguez et al. (2009a,b)	Atlantic Ocean
ΔC [*]	±3	Sabine et al. (1999)	Indian Ocean
ΔC*	±5	Sabine et al. (2002)	Pacific Ocean
ΔC*	±17	Sabine et al. (2004)	Global ocean excluding marginal seas
TTD	94–121	Waugh et al. (2006)	Global ocean excluding marginal seas
Green function	±25 (for 2008)	Khatiwala et al. (2009)	Global ocean excluding marginal seas
eMLR	±1	Friis et al. (2005)	Northern North Atlantic
TTD	±0.4	Tanhua et al. (2009)	Arctic Ocean
TTD	±0.4	Schneider et al. (2010)	Mediterranean Sea

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Table A4. Published estimates of uncertainty in transport of C_{ant} .

C _{ant} Region	C _{ant} transport uncertainty [PgCy ⁻¹]	Reference
North Atlantic Ocean	±0.08	Rosón et al. (2003)
Atlantic Ocean (1998)	±0.08	Macdonald et al. (2003)
Atlantic Ocean (1992)	±0.06	Macdonald et al. (2003)
North Atlantic Ocean	±0.05	Álvarez et al. (2003)
North Atlantic Ocean	±0.015	Álvarez and Gourcuff (2010)
Atlantic Ocean (10°–30° S)	±0.05	Holfort et al. (1998)

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Table A5. Published estimates of uncertainty in the storage rate of C_{ant} for different regions.

C _{ant} Region	C _{ant} storage rate uncertainty [PgCy ⁻¹]	Reference
North Atlantic Ocean	±0.01	Rosón et al. (2003)
Atlantic Ocean	±0.06	Macdonald et al. (2003)
North Atlantic Ocean	±0.04	Álvarez et al. (2003)
Atlantic Ocean (10°–30° S)	±0.02	Holfort et al. (1998)



Fig. 1. Compilation of 2010 column inventories $(molm^{-2})$ of anthropogenic CO₂: the global ocean excluding the marginal seas (Khatiwala et al., 2009) 150 ± 26 PgC; Arctic Ocean (Tanhua et al., 2009) 2.7-3.5 PgC; the Nordic Seas (Olsen et al., 2010) 1.0-1.6 PgC; the Mediterranean Sea (Schneider et al., 2010) 1.6-2.5 PgC; the East Sea (Sea of Japan) (Park et al., 2006) 0.40 ± 0.06 PgC.





Fig. 2. Column inventory of C_{ant} in molm⁻² in 1994 based on various data-based methods (panels **a–c**) and forward model simulations (panels **d–h**). Also indicated on each panel (top left corner) is the C_{ant} inventory in PgC and, for the forward models, the inventory (numbers in brackets) for the region covered by the GLODAP tracer dataset on which the observational estimates are based. No downward correction (see text) was applied to the global inventory based on the TTD method.





Fig. 3. Zonal mean sections of C_{ant} in 1994 estimated by three data-based methods and three forward ocean model simulations. Top to bottom: Atlantic, Pacific, and Indian Ocean; left to right: Green function, TTD, ΔC^* , ECCO, CCSM-ETH-var, and CCSM-ETH-cnst.





Fig. 4. Basin-averaged vertical profiles of C_{ant} in 1994 estimated by three data-based methods and three forward ocean model simulations. The Southern Ocean is defined as the region south of 35° S. No corrections have been applied to either the TTD or ΔC^* data (see text).





Fig. 5. Comparison of anthropogenic CO_2 simulated in the CCSM-ETH model under constant climate (CCSM-ETH-cnst) and with time-varying climate (CCSM-ETH-var). Left: column inventory of anthropogenic CO_2 in 1994 simulated in the constant climate simulation. Right: difference in column inventory in 1994 between variable climate and constant climate simulations. (Note the different scales.) The total inventory in the constant climate case was 94 PgC, while that in the variable simulation was 95 PgC.





Fig. 6. The air-sea flux (left), interior storage (middle), and transport (right) of anthropogenic CO_2 for the Indo-Pacific (top) and Atlantic (bottom) basins estimated using the Green function method, ocean inversions, and forward ocean models. In keeping with the RECCAP convention, negative flux values represent a flux out from the atmosphere into the ocean. Blue lines represent the ten OGCMs used by Mikaloff Fletcher et al. (2006); red lines represent two of the various anthropogenic CO_2 determination methods (ΔC^* and TTD) used by Gerber et al. (2009) in their EnKF calculation; green lines are estimates based on the Green function approach; broken and solid black lines represent the CCSM-ETH-var and CCSM-ETH-cnst simulations, respectively; and pink lines the ECCO simulation. Positive (negative) transports indicate northward (southward) transport. The ocean inversion estimates the integrated flux and storage of anthropogenic CO_2 perturbation. Symbols in the bottom right panel represent transect-based transport estimates in the Atlantic from (Holfort et al., 1998; Rosón et al., 2003; Macdonald et al., 2003; Lundberg and Haugan, 1996).





Fig. 7. Storage rates of anthropogenic carbon $(mol m^{-2} yr^{-1})$ for the Atlantic (left), Pacific (center), and Indian Ocean (right), based on repeat hydrography (top) and the GF inversion (bottom). Measurements for the Northern Hemisphere are drawn as solid lines, the tropics as dash-dotted lines, and dashed lines for the Southern Hemisphere; the color schemes refer to different studies. Solid black line in each panel is the time-varying basin mean storage rate estimated by the Green function approach (Khatiwala et al., 2009). Estimates of uncertainties are shown as vertical bars with matching colors. Maps on bottom show the corresponding storage rate distribution from the GF inversion averaged over 1980–2005. Data sources are as indicated in the legend are: (1) Wanninkhof et al. (2010), (2) Murata et al. (2008), (3) Friis et al. (2005), (4) Tanhua et al. (2007), (5) Olsen et al. (2006), (6) Ríos et al. (2012), (7) Pérez et al. (2008), (8) Murata et al. (2007), (9) Murata et al. (2009), (10) Sabine et al. (2008), (11) Peng et al. (2003), (12) Wakita et al. (2010), (13) Matear and McNeil (2003), (14) Waters et al. (2007), (15) Peng et al. (1998), and (16) Murata et al. (2010).

