

1 **Environmental factors regulating winter CO<sub>2</sub> flux in**  
2 **snow-covered boreal forest soil, interior Alaska**

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10

**Abstract**

Winter CO<sub>2</sub> flux is an important element to assess when estimating the annual carbon budget on regional and global scales. However, winter observation frequency is limited due to the extreme cold weather in sub-Arctic and Arctic ecosystems. In this study, the continuous monitoring of winter CO<sub>2</sub> flux in black spruce forest soil of interior Alaska upon permafrost regime was performed using NDIR CO<sub>2</sub> sensors at 10, 20, and 30 cm above the soil surface during the snow-covered period (DOY 357 to 466) of 2006/7. The atmospheric pressure was divided into four phases: >1000 hPa (HP: high pressure); 985<P<1000 (IP: intermediate pressure); <986 hPa (LP: low pressure); and a snow-melting period (MP); for the quantification of the effect of the environmental factors determining winter CO<sub>2</sub> flux. The winter CO<sub>2</sub> fluxes were 0.22±0.02, 0.23±0.02, 0.25±0.03, and 0.17±0.02 gCO<sub>2</sub>-C/m<sup>2</sup>/d for the HP, IP, LP, and MP phases, respectively. Wintertime CO<sub>2</sub> emission represents 20% of the annual CO<sub>2</sub> emissions in this boreal black spruce forest soil. Atmospheric temperature and soil temperature explained 56% and 31% of winter CO<sub>2</sub> flux, respectively, during the snow-covered period of 2006/7, when snow depth experienced one of its lowest totals of the past 80 years. Atmospheric temperature and soil temperature at 5 cm depth, modulated by atmospheric pressure, were found to be significant factors in determining winter CO<sub>2</sub> emission and fluctuation in snowpack. Regional/global process-based carbon cycle models should be reassessed to account for the effect of winter CO<sub>2</sub> emissions, regulated by temperature and soil latent-heat flux, in the snow-covered soils of Arctic and sub-Arctic terrestrial ecosystems of the Northern Hemisphere.

21

## 1 1 Introduction

2 While winter CO<sub>2</sub> flux is an important carbon source in snow-covered sub-Arctic and Arctic  
3 ecosystems for the estimation of the annual carbon budget (Zimov et al., 1993, 1996; Oechel et  
4 al., 1997; Winston et al., 1997; Fahnestock et al., 1998; Kim et al., 2007; Björkman et al., 2010),  
5 there are few reports on continuous winter CO<sub>2</sub>-flux measurements in high latitudinal regions.  
6 Most studies, rather, have intermittently measured winter CO<sub>2</sub> flux with a static chamber built on  
7 the snow surface. These flux measurements are limited due to the extreme cold weather from  
8 December to February and issues with static and/or continuous chamber operation at identical  
9 sampling points, made difficult by newly accumulated snow in high latitudes. Winter CO<sub>2</sub>  
10 emissions, though, correspond to 10-30% of the annual soil respiration rate in alpine, sub-Arctic,  
11 and Arctic regions during the long (>200-day) snow-covered period (Sommerfeld et al., 1993;  
12 Zimov et al., 1993, 1996; Brooks et al., 1996; Oechel et al., 1997; Mast et al., 1998; Wickland et  
13 al., 2001; Kim et al., 2007; Liptzin et al., 2009; Seok et al., 2009), suggesting that the winter  
14 carbon contribution should not be overlooked when evaluating the annual carbon budget on  
15 regional and global scales. In this study, the monitoring of continuous winter CO<sub>2</sub>-flux  
16 measurements was conducted using non-destructive infrared (NDIR) CO<sub>2</sub> sensors, installed  
17 before snowfall in black spruce forest soils during the seasonally snow-covered period of 2006/7.  
18 These sensors have been used in temperate forests during winter before (Hirano, 2005; Takagi et  
19 al., 2005); however, this study is the first to use these sensors to report on continuous winter  
20 CO<sub>2</sub>-flux measurement in the boreal black forest of interior Alaska, under an environment of  
21 extreme cold.

22 The environmental factors influencing winter CO<sub>2</sub> flux are atmospheric pressure and wind speed  
23 (Massman et al., 1997; Takagi et al., 2005; Massman and Frank, 2006; Bowling et al., 2009;  
24 Seok et al., 2009), atmospheric temperature (Takagi et al., 2005), soil temperature (Zimov et al.,  
25 1993, 1996; Oechel et al., 1997; Winston et al., 1997; Hirano, 2005; Monson et al., 2006), soil  
26 moisture (Hirano, 2005; Liptzin et al., 2009), and snow depth (Fahnestock et al., 1998; Takagi et  
27 al., 2005). Liptzin et al., (2009) demonstrated the conceptual model of the seasonal pattern of  
28 CO<sub>2</sub> flux within four distinct zones, divided by changes in environmental factors (e.g.,

1 freeze-thaw cycles, soil temperature, soil moisture, and carbon availability) based on variability  
2 in snow coverage in subalpine forest. Moreover, atmospheric pressure affects wind speed and  
3 atmospheric temperature, subsequent wind speed influences CO<sub>2</sub> fluctuation within the snowpack,  
4 and the ambient temperature modulates snow/soil temperatures. The soil temperature, depending  
5 on snow depth and atmospheric temperature, also governs the strength of microbial activity that  
6 terminally establishes the magnitude of CO<sub>2</sub> production in soils. We investigated each of these  
7 environmental factors affecting continuous winter CO<sub>2</sub>-flux measurement through the snowpack  
8 in this study.

9 Several process-based ecosystem carbon models (e.g., Biome-BGC, TEM, and Sim-CYCLE)  
10 have used atmospheric temperature data as one of the key parameters for the assessment of the  
11 cycle and budget of terrestrial carbon on regional and global scales (e.g., Running and Coughlan,  
12 1988; Kimball et al., 1997; McGuire et al., 2000; Ito and Oikawa, 2002; Lagergren et al., 2006).  
13 However, the implication of winter carbon emissions in the snow-covered Arctic and sub-Arctic  
14 terrain of the Northern Hemisphere upon the regional/global carbon budget is poorly accounted  
15 for in these models. Because vegetative photosynthesis and respiration does not occur in  
16 environments of extreme cold, soil-originated CO<sub>2</sub> emission through the snowpack represents the  
17 only ecosystem respiration during the winter. Recently, Björkman et al. (2010) suggested that the  
18 estimation of winter carbon emission may be varied more as a result of the method used than as a  
19 result of the actual variation in soil CO<sub>2</sub> production or release. This is major concern, especially  
20 when winter CO<sub>2</sub> flux data are used in ecosystem carbon models or in carbon budget calculations  
21 (Björkman et al., 2010). Therefore, continuous winter CO<sub>2</sub> emission, dependent on environmental  
22 factors, is a significant key in the winter carbon contribution to process-based terrestrial  
23 ecosystem carbon models, as well as to the assessment of the terrestrial carbon cycle/budget on  
24 regional and global scales.

## 25 **2 Materials and Methods**

### 26 **2.1 Sampling Locations and Methods**

27 The study site is a typical boreal forest in Fairbanks, in the Alaska interior (64°52'N, 147°51'W;  
28 155 masl). The average monthly temperature in Fairbanks between 1971 and 2005 was lowest in

1 January at  $-23.2^{\circ}\text{C}$ , and highest in July at  $16.9^{\circ}\text{C}$ , with an annual average of  $-2.9^{\circ}\text{C}$  (Shulski and  
2 Wendler, 2007). The average annual precipitation was 263 mm, of which approximately 37% fell  
3 as snow, and the rest as rain. The minimum temperatures at 80 cm above the soil surface and in  
4 soil 5 cm below the surface were  $-45.4^{\circ}\text{C}$  (DOY 418 to 421) and  $-11.2^{\circ}\text{C}$  (DOY 425 to 430),  
5 respectively, during the winter of 2006/7. The average snow depth during the winter of 2006/7  
6 was 25 cm; this average was the third lowest since 1929 (Alaska Climate Research Center,  
7 2008).

8 Black spruce (*Picea mariana*) is the dominant overstory tree species, with ages from 45 to 120  
9 years (Vogel et al., 2005). The black spruce canopy is sparse. The average canopy height is about  
10 3.5 m, but there are taller trees of up to 6 m, sporadically. Understory vegetation includes typical  
11 boreal forest shrubs, such as *Rhododendron groenlandicum*, *Vaccinium uliginosum*, *Vaccinium*  
12 *vitis-idaea*, and *Betula glandulosa*, as well as some *Carex* species. The forest floor is almost  
13 completely covered by mosses, such as *Sphagnum capillifolium*, *Sphagnum magellanicum*,  
14 *Sphagnum riparium*, *Calliergon stramineum*, *Aulacomnium palustre*, and patchy lichen, such as  
15 *Cladonia* species. Discontinuous permafrost is widely distributed 40 cm below the surface, and a  
16 thin, silty clay layer exists on the upper-most permafrost (Kim et al., 2007).

17 The sensor system was built on sphagnum and feather moss layers and was in operation from  
18 October 6, 2006 (DOY 280) to April 30, 2007 (DOY 485) for the monitoring of continuous CO<sub>2</sub>  
19 concentration in snowpack during the winter of 2006/7 (Figure 1). The non-dispersive infrared  
20 sensor (NDIR; Vaisala GMD 20; Helsinki, Finland) was set on a length of wooden stick (3 cm  
21 diameter, 100 cm long) at four directional levels (10, 20, 30, and 50 cm above the moss surface)  
22 for prevention of disturbance (Figure 1). This sensor is the same type used for prior winter  
23 CO<sub>2</sub>-flux measurements (Hirano et al., 2003; Takagi et al., 2005). The installed sensor was  
24 covered with a PVC pipe (48 mm OD; 40 mm ID; 170 mm long), open on one end, for water and  
25 sensor-window protection. The *in-situ* sensor head (155 mm long and 15 mm in diameter) has an  
26 NDIR source, optical filter, and detector, and a 50-mm long and 4-mm wide slit on the head to  
27 allow CO<sub>2</sub> from the soil to diffuse through membranes into the small sample cell (ca. 2.6 cm<sup>3</sup>), as  
28 used by Hirano et al. (2003) and Takagi et al. (2005). The sensor detects CO<sub>2</sub> concentration by  
29 molecular diffusion from the soil to the snowpack, assuming that soil-originated CO<sub>2</sub> emission

1 within the diameter of the sensor (e.g., 20 cm) is constant. The cable from each sensor was  
2 connected to a datalogger (CR 1000, Campbell Scientific Inc., USA) within an ice cooler for the  
3 storage of CO<sub>2</sub> data averaged 30-min at each sensor. A commercial heating pad was used for  
4 operation of the logger during winter. CO<sub>2</sub> concentration measured at the 50 cm level above the  
5 surface is not discussed here due to unexpected failure of the sensor in the extremely cold  
6 weather.

7 The calibration of each sensor was conducted using certified EPA protocol for a CO<sub>2</sub> standard  
8 cylinder (1000.0 ppm; Airgas Inc., USA) and zero gas (pure N<sub>2</sub> cylinder; Airgas Inc., USA),  
9 before and after the monitoring of CO<sub>2</sub> concentration in the laboratory. The sensor responded to  
10 the standard cylinder within 10 seconds, and repeatedly measured standard CO<sub>2</sub> concentration for  
11 60 minutes. The precision of each sensor was determined using zero gas and 1000.0 ppm  
12 standard cylinders, ranging from  $978 \pm 6$  ppm (0.61%) to  $1020 \pm 47$  ppm (4.30%) before the  
13 observation and from  $967 \pm 7$  ppm (0.72%) to  $1031 \pm 47$  ppm (4.57%) after the observation for  
14 the calibration of 1000.0 ppm standard CO<sub>2</sub> cylinder over an hour. The precision on each sensor  
15 ranged from 0.6 to 4.6%, and the CO<sub>2</sub> concentration of each sensor was corrected. The CO<sub>2</sub>  
16 concentration in the snowpack was calculated at 30-min intervals for each corrected sensor.

17 Temperatures in snow and soil were measured at 10, 20, 30, 40, 50, and 80 cm above, and at 5,  
18 10, 15, 20, 30, 40, and 50 cm below the soil surface, and were monitored at a 1.5-h interval, with  
19 sensors (TMC6-HC, Onset Computer Corporation, USA) and 4 external channel-loggers (U-12  
20 HOBO, Onset Computer Corporation, USA). Soil moisture was monitored at 5 and 20 cm below  
21 the surface at a 1.5-h interval using sensors (ML2x, Dynamax Inc, USA) and a 2-channel logger  
22 (THLOG-2, Dynamax Inc, USA). The monitoring of temperature and soil moisture was  
23 conducted from September 12, 2006 to September 6, 2007. Atmospheric pressure was recorded  
24 by barometer (CS100, Campbell Scientific Inc., USA) every 30 min at 8 m at the  
25 eddy-covariance tower site. The daily snow-depth data was taken from the Alaska Climate  
26 Research Center of the Geophysical Institute (GI) at the University of Alaska Fairbanks (UAF)  
27 during the winter of 2006/7 (Alaska Climate Research Center, 2008: Figure 2). Because the snow  
28 depth was much lower than in normal years, winter CO<sub>2</sub> flux was estimated between DOY 357

1 (December 23, 2006) to 466 (April 11, 2007), when the snow depth was higher than 25 cm.  
2 While the snow depth was less than 20 cm before DOY 257, the winter flux could not be  
3 estimated. The accumulated snowpack began to melt on DOY 446 (March 21, 2007). The snow  
4 survey was also conducted at a two-week interval. Two to five snow samples were collected  
5 using a snow density sampler (4 cm H × 5 cm W × 5 cm D) and a snow cutter for the estimation  
6 of snow porosity (Kim et al., 2007).

## 7 **2.2 Estimation of Winter CO<sub>2</sub> Flux**

8 The winter CO<sub>2</sub> flux through snowpack to the atmosphere was obtained by applying the  
9 following equation under a steady-state condition:  $F_{CO_2} = D \cdot (\partial C / \partial z) \cdot \tau \cdot \theta$  (Kim et al., 2007),  
10 where  $D$  is CO<sub>2</sub> diffusivity corrected only for the in-situ temperature within the snowpack  
11 measured in cm<sup>2</sup>/sec (Sommerfeld et al., 1993; Fahnestock et al., 1999);  $\partial C / \partial z$  is the vertical CO<sub>2</sub>  
12 concentration gradient observed within the snowpack in ppmv/cm;  $\tau$  is tortuosity; and  $\theta$  is the  
13 snow porosity. The CO<sub>2</sub> concentration gradients from 10 to 20 cm and from 20 to 30 cm were  
14 similar, indicating that the gradient is almost linear; the gradient ratios for the 10-20 cm and  
15 20-30 cm ranges varied from 0.87 to 1.22 under no difference, with 95% confidence level.  
16 Porosity was calculated from the density of ice ( $\rho_{ice}=0.91$ ) and the water contents of the  
17 snowpack over the gradient interval. Tortuosity is difficult to measure and is usually described as  
18 a function of porosity, with values ranging from  $\theta^{1/3}$  to  $\theta^{2/3}$  (Striegl, 1993). In this study, the  
19 tortuosity of the snowpack was estimated by the theoretical relation  $\tau = \theta^{1/3}$  (Millington, 1959),  
20 which yielded values ranging from 0.74 after snow-melting period to 0.92 before snow-melting  
21 period. These values are similar to the range of 0.70 to 0.91 for the whole observation period for  
22 boreal forest snowpack in interior Alaska. Sommerfeld et al. (1993), Mast et al. (1998), and Kim  
23 et al. (2007) reported similar data (0.68 to 0.90) in subalpine snowpack in Wyoming and  
24 Colorado, and in boreal forest snowpack in Alaska. The snowpack at the high-latitude boreal  
25 black spruce forest site has always been in dry conditions except for the snow-melting period.  
26 The diffusion rate at a density of 150 kg/m<sup>3</sup> was 79% faster than at a density of 300 kg/m<sup>3</sup>,  
27 assuming all other variables were unchanged, indicating that errors in the estimate of CO<sub>2</sub> flux  
28 through the snowpack caused by incorrect measurements of density varied as density changed

1 (Seok et al., 2009). In our case, the measured snow density and snow depth were much smaller  
 2 than Seock et al. (2009)'s values. Nevertheless, we used the sensitivity of calculated CO<sub>2</sub> fluxes  
 3 to estimate snow density as suggested by Seok et al. (2009) (see Figure S1). These researchers  
 4 demonstrated that the propagated errors from porosity and tortuosity estimation resulting in snow  
 5 density uncertainties estimates of ±10, 20, and 30% were shown as a function of the absolute  
 6 snow density value. For example, a 10% error in the measurement of snow density resulted in an  
 7 error in the estimated CO<sub>2</sub> flux on the order of 3% and 5% for a snow density of 150 and 300  
 8 kg/m<sup>3</sup>, respectively. We estimated that the error in calculating CO<sub>2</sub> flux ranged from 1 to 11%,  
 9 compared with 2-9% errors evaluated by Seok et al. (2009). Crust was formed by the  
 10 sublimation; however, we did not consider the effect on the ice layer when estimating CO<sub>2</sub> flux  
 11 because freeze-thaw events did not occur under the cold environment before the onset of snow  
 12 thaw.

### 13 2.3 Analysis of Soil Heat Flux

14 We correlated winter CO<sub>2</sub> flux with the non-conductive heat flux component of the active layer.  
 15 The non-conductive heat component,  $r_h$ , is expressed in terms of volumetric heat production in  
 16 W/m<sup>2</sup> and is estimated by considering one-dimensional energy conservation as formulated:

$$17 \quad r_h = c_h \frac{\partial T}{\partial t} - k_h \frac{\partial^2 T}{\partial z^2} \quad (1)$$

18 where  $c_h$  is the volumetric bulk heat capacity,  $k_h$  is bulk thermal conductivity,  $T$  is  
 19 temperature,  $t$  is time, and  $z$  is depth. Neglecting energy exchange below the lowest  
 20 measurement, the total amount of non-conductive heat components,  $R_h$ , is the result of  $r_h$   
 21 multiplied by the thickness of the soil layer,  $d$ :

$$22 \quad R_h = \sum_i r_h^i d^i \quad (2)$$

23 where the subscript  $i$  represents the  $i$ -th layer from surface to bottom. We set the mid-depth of the  
 24  $i$ -th layer to be at the  $i$ -th measurement depth from the surface. Accordingly, the soil column was



1 divided into three layers, the thicknesses of which were 5, 7.5, and 10 cm from the surface to  
2 bottom (25 cm). Finite element formulations to solve equations (1) and (2) are described in  
3 Ishikawa et al. (2006).

4 We assumed  $k_h$  to range from  $5.5 \times 10^{-7}$  to  $8.0 \times 10^{-7}$  J/kg/K, referring to the thermal diffusivity  
5 for frozen silty clay shown by Yershov (1998;  $d_h = 5.5 \times 10^{-7}$ -  $8 \times 10^{-7}$  m<sup>2</sup>/s) and to its heat  
6 capacity shown by Roth and Boike (2001;  $c_h = 2.2 \pm 0.2 \times 10^6$  J/m<sup>3</sup>/K). These calculations  
7 neglected the contribution of soil air because of its very low mass density.

### 8 **3. Results and Discussion**

9 During the winter, wind speed and direction have been important factors affecting winter  
10 CO<sub>2</sub> fluxes in temperate and subalpine regions (Massman et al., 1997; Takagi et al., 2005;  
11 Massman and Frank, 2006; Bowling et al., 2009; Liptzin et al., 2009; Seok et al., 2009). The  
12 wind speed at 2 m from the eddy covariance tower was less than 2 m/s in the black spruce forest  
13 of interior Alaska during the observed winter period, compared with 0 to 6 m/s measured in  
14 subalpine region (Massman et al., 1997; Filippa et al., 2009; Liptzin et al., 2009; Seok et al.,  
15 2009), and 0 to 3 m/s in temperate-climate region (Takagi et al., 2005), measurements affected  
16 by wind-pumping when estimating CO<sub>2</sub> flux through the snowpack. Relationships between air  
17 pressure and wind speed at 2 m, and between CO<sub>2</sub> concentration gradient and wind speed at 2 m,  
18 had much lower relations, indicating that the correlation coefficients were 0.017 and 0.069,  
19 respectively. This suggests that wind speed in the black spruce forest of interior Alaska during  
20 the winter may not play a significant role in estimating CO<sub>2</sub> flux in response to changes in wind  
21 speed, contrary to subalpine and temperate regions. However, most (>96%) wind speed at 2 m  
22 during winter at our location was less than 1.0 m/sec. In order to validate the effect of wind  
23 pumping upon variability in CO<sub>2</sub> concentration at each level, we used the 2<sup>nd</sup> order polynomial fit  
24 ( $y = cx^2 + bx + a$ ) as estimated by Seok et al. (2009), illustrating the relationship between CO<sub>2</sub>  
25 concentration at each level and wind speed at 2 m. This finding is quite different than Seok et al.  
26 (2009)'s result. The  $c$  in this study, characterizing the curvature of the best fit equation, tends to  
27 decrease with increased depth, indicating little sensitivity toward wind speed under shallow  
28 snowpack and much weaker wind speed environment, contrary to the findings of Seok et al.

1 (2009) under deeper snowpack and strong wind speed during the winter. The trend in b is similar  
2 to c. The regression term a, denoting zero-wind speed snowpack CO<sub>2</sub> concentration at each  
3 height, linearly increases going from the bottom of the snowpack, indicating that the CO<sub>2</sub> source  
4 is from the soil. When the wind speed is zero in this study, the average CO<sub>2</sub> concentrations at  
5 each height during the whole winter are 627, 532, and 474 ppm at 10, 20, and 30 cm in the  
6 snowpack, respectively, suggesting most wind speeds are much weaker at this study site. This  
7 demonstrates that there is no wind-pumping effect on black spruce forest soil of interior Alaska  
8 during the seasonal snow-covered period of 2006/7. Thus, contrary to temperate (Takagi et al.,  
9 2005) and subalpine (Seok et al., 2009) regions, winter CO<sub>2</sub> flux as an effect of wind pumping  
10 was not considered in our study and was estimated with the application of Fick's law. We used  
11 6-h averages of CO<sub>2</sub> concentration, winter CO<sub>2</sub> flux, atmospheric pressure, temperatures in air  
12 and soil, and soil moisture, during the snow-covered period of 2006/7.

### 13 **3.1. Environment Factors and CO<sub>2</sub> Concentration**

14 Soil moisture and the temperatures at 80 cm above the soil surface and at 5 cm below the surface  
15 were monitored from DOY 255 to 614 (Figure 3). Atmospheric temperature showed a higher  
16 daily variation, and the temporal fluctuation of soil temperature was lower. Soil moisture at 5 cm  
17 below the surface was affected by low (<0°C) atmospheric temperature and soil freezing, and the  
18 freezing rate from 5 to 20 cm was 0.75 cm/d, suggesting that the time it took the freezing front to  
19 reach 20 cm was 20 days. Kim et al. (2007) reported a freezing rate of 4 cm/d for 10 to 30 cm  
20 below the surface during the winter of 2004/5 in the same observation area. In our study, the  
21 frozen soils began to thaw at 5 cm by DOY 489 (May 4, 2007), and at 20 cm by DOY 508 (May  
22 22, 2007); the melting rate over these 19 days was 0.78 cm/d, similar to the freezing rate in early  
23 winter.

24 Ambient pressure and temperature ranged from 943 to 1020 hPa and from -45 to 17°C,  
25 respectively, during the period of DOY 350 to 466. The temporal variation in pressure showed an  
26 inverse tendency to the change in temperature. Thus, in order to quantify the effects of pressure  
27 and temperature for winter CO<sub>2</sub> flux, the magnitude of pressure during the snow-covered period  
28 was divided into four phases: high pressure (HP: >1000 hPa); intermediate pressure (IP: 985 hPa

1 < P < 1000 hPa); low pressure (LP: <985 hPa); and a snow-melting period (MP, after DOY 466);  
2 all shown in Figure 2. Atmospheric temperature was  $-31.9 \pm 11.0^\circ\text{C}$  (Coefficient of Variance  
3 [CV]: 35%) for HP;  $-22.1 \pm 8.6^\circ\text{C}$  (CV: 39%) for IP;  $-21.5 \pm 6.8^\circ\text{C}$  for LP; and  $-8.4 \pm 12.4^\circ\text{C}$  (CV:  
4 146%) for MP. These air pressure phases, then, correspond to the magnitude of air temperature.

5 Figure 4 shows the relationship between ambient temperature and temperatures in snow (10, 20,  
6 and 40 cm above the soil surface), and soil (5 cm below), in order to demonstrate additional  
7 influence on ambient temperature. The ambient temperature indicates correlation coefficients  
8 ( $R^2$ ) of 0.995, 0.99, and 0.79 for snow at 40, 20, and 10 cm above the surface, respectively, and  
9 0.08 for soil 5 cm beneath the surface, suggesting that the extent of atmospheric temperature  
10 influence reached to 20 cm within the snowpack when the snow depth was less than 40 cm.

11 CO<sub>2</sub> concentrations at 10, 20, and 30 cm above the soil surface are shown with temporal  
12 variations in pressure in Figure 5. The 6-h average CO<sub>2</sub> concentrations in the snowpack were  
13  $627 \pm 19$  ppm (CV: 3.0%) for 10 cm,  $532 \pm 18$  ppm (CV: 3.3%) for 20 cm, and  $473 \pm 32$  ppm (CV:  
14 6.7%) for 30 cm. The concentration range of 365 to 692 ppm in sphagnum/feather moss regimes  
15 is comparable to the 400 to 740 ppm measured in tussock tundra/sphagnum moss regimes of  
16 boreal forest soils (Kim et al., 2007), during which tussock tundra was also found to be one of the  
17 carbon sources in boreal forest and Arctic terrestrial ecosystems of the Northern Hemisphere  
18 (Oechel et al., 1997; Kim et al., 2007). The temporal variations in CO<sub>2</sub> concentration showed a  
19 similar trend at 10, 20, and 30 cm levels, and may be affected by ambient pressure, as is the case  
20 in the relationship between pressure and ambient temperature.

### 21 **3.2 Estimation of Winter CO<sub>2</sub> Flux**

22 Winter CO<sub>2</sub> flux varied from 0.19 to 0.26 gCO<sub>2</sub>-C/m<sup>2</sup>/d for the HP phase (>1000 hPa), from 0.19  
23 to 0.27 gCO<sub>2</sub>-C/m<sup>2</sup>/d for IP (985<P<1000), from 0.20 to 0.32 gCO<sub>2</sub>-C/m<sup>2</sup>/d for LP (<985 hPa),  
24 and from 0.14 to 0.24 gCO<sub>2</sub>-C/m<sup>2</sup>/d for MP. The average winter CO<sub>2</sub> flux and atmospheric  
25 temperature for the four pressure phases are shown in Table 1. Average winter CO<sub>2</sub> flux among  
26 the three pressure phases, excluding the snow-melting period, was not significantly different  
27 based on a one-way ANOVA with a 95% confidence level. During the snow-covered period of

1 109 days, the average CO<sub>2</sub> flux was 0.22±0.02 gCO<sub>2</sub>-C/m<sup>2</sup>/d (CV: 10%), indicating a value  
2 corresponding to those measured by concentration profile (0.21±0.06 gCO<sub>2</sub>-C/m<sup>2</sup>/d) and chamber  
3 (0.26±0.06 gCO<sub>2</sub>-C/m<sup>2</sup>/d) methods during the winter of 2004/5 in the same black spruce forest  
4 soils of interior Alaska (Kim et al., 2007). Furthermore, the snow depth in the winter of 2004/5  
5 was much deeper (>20 cm) than 2006/7. Although the snow depth was greater, the minimum soil  
6 temperature at 5 cm below the surface was -17°C, due to an extremely cold ambient temperature  
7 of -55°C (January 12, 2005). This suggests that the greater snow depth (68 cm) plays little role in  
8 insulating the soil below -50°C. The accumulative snow depth obtained in this study has a much  
9 lower relationship to winter CO<sub>2</sub> fluxes, indicating the equations  $y=0.0004x + 0.21$  ( $R^2=0.004$ ;  
10  $p=0.029$ ) under HP, LP, and IP, and  $y=0.0029x + 0.29$  ( $R^2=0.80$ ;  $p=0.869$ ) under MP,  
11 respectively, based on a one-way ANOVA with a 95% confidence level. Winter CO<sub>2</sub> emission is  
12 constrained by snow-melting water during MP, indicating that the snow-melting water has filled  
13 the soil pore space. On the other hand, winter CO<sub>2</sub> emission shows much weaker relation to the  
14 change in snow depth before the snow-thawing, suggesting winter CO<sub>2</sub> emission is not related to  
15 the snow depth. In the temperate forests and grassland soils of northern Japan, greater snow  
16 depth (>80 cm) has kept soil at 5 cm beneath the surface warmer than zero (Takagi et al., 2005),  
17 and an increase in snow depth (35 to 70 cm) caused a temperature jump from -0.42 to 0.15°C at a  
18 5-cm soil depth (Kim and Tanaka, 2002). This kind of change in soil temperature modulates the  
19 magnitude of soil CO<sub>2</sub> production by affecting soil microbial activity in tundra soils during the  
20 winter (Oechel et al., 1997; Panikov et al., 2006).

21 Temporal variations in pressure and ambient temperature for winter CO<sub>2</sub> flux are shown in Figure  
22 6. The temporal variation of winter CO<sub>2</sub> flux shows a tendency that is qualitatively inverse to that  
23 of pressure (Figure 6a) but is similar to that of ambient temperature (Figure 6b). The winter CO<sub>2</sub>  
24 flux abruptly decreased from 0.28 to 0.17 gCO<sub>2</sub>-C/m<sup>2</sup>/d by DOY 446 (March 21, 2007), which  
25 was the first day of snow melting—when ambient temperature increased to above zero, as shown  
26 in Figure 3. Also, the temperature dropped from 1.23 to -13.8°C, and the pressure increased from  
27 959 to 980 hPa. Therefore, the atmospheric temperature, modulated by the pressure, is a  
28 significant factor in determining winter CO<sub>2</sub> flux in the seasonally snow-covered boreal forest  
29 soil of interior Alaska.

### 1 3.3 Environmental Factors Regulating Winter CO<sub>2</sub> Flux

2 Winter CO<sub>2</sub> flux has a direct relationship to atmospheric pressure for HP (>1000 hPa), LP (<985  
3 hPa), and MP (snow-melting) days of the snow-covered period (Figure 7a), indicating an inverse  
4 correlation for each pressure phase. The data for the IP (985<P<1000) phase is virtually excluded  
5 in Figure 7a—the temperatures in air and soil during IP are discussed below. The correlation  
6 coefficients (R<sup>2</sup>) were 0.25 for HP, 0.31 for LP, and 0.18 for MP. Ambient pressure has a lesser  
7 effect in determining winter CO<sub>2</sub> flux through the snowpack to the atmosphere during the winter  
8 season.

9 Winter CO<sub>2</sub> flux shows a strong exponential relationship to ambient temperature, though, for  
10 three pressure phases: the correlation coefficients were 0.80 at low temperature for HP, 0.26 at  
11 high temperature for LP, and 0.58 for MP (Figure 7b). The regression curves in Figure 7b are  $Y = 0.27e^{(0.069T)}$  for HP,  $Y = 0.29e^{(0.007T)}$  for LP, and  $Y = 0.18e^{(0.065T)}$  for MP. Figure 7c shows the  
12 relationship between CO<sub>2</sub> flux and ambient temperature for IP (985<P<1000), which also has  
13 strong correlation, suggesting that the air temperature accounted for 58% of the variability of  
14 winter CO<sub>2</sub> emission during IP, with a regression curve of  $Y = 0.27e^{(0.064T)}$ . During the LP phase,  
15 the coefficient was less than half the coefficient in either HP, MP, or IP. Winter CO<sub>2</sub> flux during  
16 the early days of the snow-covered period was much higher than during the remainder of this  
17 period. This may be due to a higher concentration difference between the 10- and 20-cm levels  
18 before DOY 368, when the snow depth was less than 27 cm. As a result, we calculated the 6-h  
19 average CO<sub>2</sub> concentration gradient before and after DOY 368; the difference in CO<sub>2</sub> flux is  
20 likely due in part to warm soil temperature before DOY 368. The soil temperature is dependent  
21 on the snow depth and affects the soil microbial physiology and the community composition  
22 (Brooks et al., 1996; Oechel et al., 1997; Kim and Tanaka, 2002; Takagi et al., 2005; Monson et  
23 al., 2006).

25 The Q<sub>10</sub> is the temperature coefficient of the reaction and is defined as the ratio of reaction rate at  
26 an interval of 10°C. Our Q<sub>10</sub> values were 1.22 for HP, 1.25 for LP, 1.26 for MP, and 1.37 for IP.  
27 These values are much lower than those of previous studies during the winter (Oechel et al.,  
28 1997; Monson et al., 2006). Monson et al. (2005) reported the R<sub>T</sub> (a first-order exponential

1 coefficient analogous to the  $Q_{10}$  coefficient used in biochemical studies) was 105 near trees and  
2  $1.25 \times 10^6$  in the open space of the LTER Niwot Ridge Ameriflux site. These values are several  
3 orders of magnitude higher than the range of  $Q_{10}$  values found in previous studies of terrestrial  
4 ecosystem soils, demonstrating that higher temperature sensitivity invokes a physical limitation  
5 to substrate diffusion—as liquid water disappears below zero (between 0 and  $-1^\circ\text{C}$ ). Panikov et al.  
6 (2006) proved that soil CO<sub>2</sub> production occurred even under the extremely cold soil temperature  
7 of  $-39^\circ\text{C}$ , with soil core samples (0-30 cm) from Barrow, Siberia, and Sweden; their  $Q_{10}$  values  
8 ranged from 2.1 to 8.5.

9 Estimated soil non-conductive heat flux evolved negatively through the period from DOY 357 to  
10 460, for both upper and lower  $d_h$  and  $c_h$  (Figure 8a). Assuming that this heat arises from a single  
11 phase transition of water, we compared soil moisture observed, using  $R_h$  divided by the enthalpy  
12 of the transition from phases  $\alpha$  to  $\beta$ ,  $L^{\sigma\beta}$  ( $\{L^{sl}, L^{lv}\} = \{0.333, 2.45\}$  MJ/kg, where the superscript  $s$ ,  
13  $l$  and  $v$  represent solid, liquid, and vapor, respectively), and found that this heat was mostly from  
14 vaporization. As shown in Figure 8a, winter CO<sub>2</sub> flux showed a decreasing trend until the end of  
15 the snow-covered period, while latent heat flux showed an increasing trend. Also, both fluxes  
16 showed significant correlations ( $R^2 = 0.49$  and  $0.52$ , with  $p < 0.001$  in both) before the onset of  
17 snow melting (Figure 9). These findings suggest that the higher upward vapor movement in the  
18 soil column occurred in accordance with the smaller, soil-originated CO<sub>2</sub> flux. We postulate that  
19 winter soil-originated CO<sub>2</sub> is hampered by the reduction of snow pores linked to the  
20 atmosphere—due to compaction of the snowpack, vapor condensation in the snow column, and  
21 subsequent snow metamorphism. This consideration is supported by the comparison between  
22 CO<sub>2</sub> flux and the snow temperature gradient (Figure 8b). Winter CO<sub>2</sub> flux was occasionally  
23 greater when the snow temperature profile approached the isotherm and the condensation rate  
24 was reduced (e.g., DOY 364, 368, 392-399, 406, 411, 423, 434; Figure 8a and b). The  
25 temperature gradient governed the vapor pressure gradient through the snow and soil column,  
26 modulating evaporation, condensation, and vapor movements. This modified the passage of  
27 winter CO<sub>2</sub>.

1 Figure 10 shows the percentage (%) of the correlation coefficient among atmospheric pressure,  
2 atmospheric temperature, soil temperature, and winter CO<sub>2</sub> flux under each pressure phase.  
3 During HP, IP, and MP, the strongest environmental factors determining winter CO<sub>2</sub> flux were  
4 atmospheric temperature, soil temperature, and atmospheric pressure, respectively. Takagi et al.  
5 (2005) implied that winter CO<sub>2</sub> flux responded directly to ambient temperature, and not to soil  
6 temperature, even beneath a 1-m snowpack of temperate forest soils in Japan. They inferred that  
7 the atmospheric temperature affected the root activity of trees through their trunks and that the  
8 variation in root respiration strongly affected fluctuation in CO<sub>2</sub> concentration in soil under the  
9 snowpack. Vogel et al. (2005) suggested that the contribution of root respiration in mature black  
10 spruce forest soils varied from 81-85% of total soil respiration during the winter. Moreover,  
11 because their site is similar to the study site here, Kim et al. (2007) demonstrated that the  $\delta^{13}\text{CO}_2$   
12 of -22.5‰ originated from root respiration rather than heterotrophic respiration in black spruce  
13 forest soils of interior Alaska during the winter. Atmospheric temperature and soil temperature at  
14 5 cm, depending on ambient pressure, therefore, play significant and key factors in regulating  
15 winter CO<sub>2</sub> emission through the snowpack in these forest soils during the snow-covered period.

### 16 3.4 Implication for Regional Winter Carbon Budget

17 Average wintertime CO<sub>2</sub> emission was  $24.3 \pm 1.3$  gCO<sub>2</sub>-C/m<sup>2</sup>/season (CV: 5%) during the  
18 experimental period of 109 days. For our four pressure phases, average emissions are shown in  
19 Table 2. The cumulative snow depth in 2006/7 was one of the lowest years in Fairbanks over the  
20 past 80 years—the snow depth during the 212-day winter period of 2006/7 corresponds to merely  
21 half of a normal season. However, winter CO<sub>2</sub> emission has always occurred before DOY 357  
22 and after DOY 466. Thus, winter CO<sub>2</sub> emission was reevaluated as a half of the average flux  
23 ( $0.23 \pm 0.02$  gCO<sub>2</sub>-C/m<sup>2</sup>/d) measured before DOY 357 (December 23, 2006), and as a half of the  
24 flux ( $0.17 \pm 0.02$  gCO<sub>2</sub>-C/m<sup>2</sup>/d) measured after DOY 466 (March 21, 2007), based on additional  
25 CO<sub>2</sub> flux-measurement ( $0.07 \pm 0.03$  gCO<sub>2</sub>-C/m<sup>2</sup>/d; n=24) with the dynamic chamber on melting  
26 snow surface during the snow-melting period (DOY 100) of 2007/8. The wintertime CO<sub>2</sub>  
27 emission was  $36 \pm 1.7$  gCO<sub>2</sub>-C/m<sup>2</sup>/season (CV: 5%) during the winter of 2006/7. This emission  
28 corresponds to 20% of the annual CO<sub>2</sub> emitted from boreal black forest soils in interior Alaska;

1 the CO<sub>2</sub> emission was 142±57 gCO<sub>2</sub>-C/m<sup>2</sup>/season (CV: 40%) in the same study site during the  
2 growing period of 2006. Kim et al. (2007) reported that the wintertime CO<sub>2</sub> emission was 49±13  
3 gCO<sub>2</sub>-C/m<sup>2</sup>/season in the same boreal forest during the winter of 2004/5, a difference due to a  
4 longer snow-covered period and greater snow depth than in this study. Also in the boreal forest  
5 of interior Alaska, Vogel et al. (2005) measured a winter respiration of 36-54 gCO<sub>2</sub>-C/m<sup>2</sup>/season  
6 in three different tree ages (75, 110, and 120 years) of black spruce forests of Bonanza Creek,  
7 interior Alaska, representing 8-18% of the annual CO<sub>2</sub> emission. Further, the winter respiration  
8 was 40-55 gCO<sub>2</sub>-C/m<sup>2</sup>/season in black spruce and jack pine forests of the BOREAS study area  
9 (Winston et al., 1997) and later 25-35 gCO<sub>2</sub>-C/m<sup>2</sup>/season in the black spruce forest of the  
10 BOREAS area (Wang et al., 2003), in which the winter carbon contributions accounted for  
11 5-19% of the annual respiration. The BOREAS study area contained one south-facing and one  
12 north-facing vegetation distribution, resulting in a difference of soil drainage that greatly affects  
13 the species composition and functions of the boreal forest ecosystem (Wang et al., 2003). The  
14 magnitude of soil drainage regulates the decomposition rate of soil organic carbon and the  
15 vegetation biophysical conditions.

16 In snow-covered Arctic tundra ecosystems of the North Slope of Alaska, the winter CO<sub>2</sub>  
17 emissions from moist tussock tundra and coastal wet sedge were 70 and 20 gCO<sub>2</sub>-C/m<sup>2</sup>/season,  
18 respectively (Oechel et al., 1997). That is the main share of the total annual net carbon emission  
19 in Arctic tundra ecosystems. In the same Arctic tundra ecosystems, winter CO<sub>2</sub> emission ranged  
20 from 1.3 to 11 gCO<sub>2</sub>-C/m<sup>2</sup>/season (Fahnestock et al., 1998), depending on the vegetation  
21 community types, flux that represents up to 17% of the annual carbon flux of Arctic tundra  
22 ecosystems.

23 Considering all the snow-covered tussock and moss regimes in the Northern Hemisphere (6.5 x  
24 10<sup>12</sup> m<sup>2</sup>; Whalen and Reeburgh, 1998), winter carbon emission should not be overlooked when  
25 estimating regional and global carbon budgets. Furthermore, regional/global process-based CO<sub>2</sub>  
26 cycle models should be sufficiently discussed and modified to include winter CO<sub>2</sub> contribution,  
27 considering atmospheric temperature as a key regulating factor and depending on atmospheric



1 pressure, in snow-covered soils of Arctic and sub-Arctic terrestrial ecosystems in the Northern  
2 Hemisphere.

### 3 **4 Conclusions**

4 The continuous monitoring of winter CO<sub>2</sub> flux in snowpack was conducted in sphagnum and  
5 feather moss regimes of black spruce forest soils of interior Alaska during the winter of 2006/7.  
6 Measurements were taken of key environmental factors that regulate winter CO<sub>2</sub> flux, such as  
7 atmospheric pressure and temperatures in air and soil, during the snow-covered period from  
8 DOY 357 (December 23, 2006) to 466 (March 11, 2007). Atmospheric pressure was divided into  
9 four phases: >1000 hPa (HP: high pressure), <986 hPa (LP: low pressure), 985<P<1000 (IP:  
10 intermediate pressure), and the snow-melting period (MP), for the quantification of the effect of  
11 atmospheric pressure on temperature-modulated winter CO<sub>2</sub> flux. Winter flux greatly depends on  
12 atmospheric temperature, which is governed by these four pressure phases. Pressure is an  
13 important factor in indirectly and directly influencing atmospheric temperature and winter CO<sub>2</sub>  
14 flux. The transport of CO<sub>2</sub> emissions through soil and snow columns is modified by snow  
15 compaction and metamorphism and is modulated by evaporation, condensation, and vapor  
16 movements through the columns. Moreover, atmospheric temperature and soil temperature play  
17 significant roles in determining winter CO<sub>2</sub> flux, demonstrated by the fact that atmospheric  
18 temperature accounted for an average of 56% of the variability of winter CO<sub>2</sub> emission during the  
19 snow-covered period. Because snow-covered tussock and moss regimes are widely distributed in  
20 Northern Hemisphere, wintertime carbon emission is of considerable significance when  
21 estimating seasonal, regional and global carbon budgets, as this emission represented 20% of the  
22 annual soil carbon emissions in black spruce forest soils in interior Alaska during the cold winter  
23 of 2006/7. In order to evaluate the effect of wind pumping when estimating CO<sub>2</sub> flux, we need  
24 additional study on the wind-pumping effect using installation of pressure sensors and build-up  
25 of NDIR in the snowpack in the relatively sparse black spruce forest of interior Alaska.  
26 Regional/global process-based carbon cycle models should be reassessed to consider the effect  
27 that atmospheric temperature and soil latent-heat flux have in regulating winter CO<sub>2</sub> emissions in

- 1 the snow-covered soils of the Arctic and sub-Arctic terrestrial ecosystems in the Northern
- 2 Hemisphere.
- 3

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## 1 **Figure Legends**

2 Figure 1. Sampling scheme of observation system in sphagnum and feather moss regimes of  
3 black spruce forest, interior Alaska during the winter of 2006/7.

4 Figure 2. Daily snowfall (black line) and cumulative snowpack (grey line) during the  
5 snow-covered period of DOY 357 (December 23, 2006) to 466 (April 11, 2007). Winter CO<sub>2</sub> flux  
6 is estimated when the snow depth is over 30 cm, due to the sensor levels.

7 Figure 3. Seasonal variations of 6-h average temperatures at air 80 cm above (grey line) and 5 cm  
8 below (black line) soil surface, and soil moistures at 5 cm (grey circles) and 20 cm (black circles)  
9 below surface from August 12, 2006 (DOY 254) to September 10, 2007 (DOY 618).

10 Figure 4. Relationship between ambient temperature and temperatures of soil (5 cm below  
11 surface) and snow (10, 20, and 40 cm above surface) from DOY 254 to 618. When the snow  
12 depth was over 20 cm, the snow temperatures at 20 and 40 cm above the soil surface depend on  
13 the ambient temperature. The symbols indicate solid circles for soil at 5 cm below the surface,  
14 open grey circles for snow at 10 cm above, grey squares for snow at 20 cm above, and crossed  
15 squares for snow at 40 cm above, respectively. The dotted line is a 1:1 line.

16 Figure 5. Time series of CO<sub>2</sub> concentrations at 10, 20, and 30 cm above the soil surface within  
17 the snowpack, with seasonal change in atmospheric pressure. The concentration data was  
18 comparable with measurements from 392 to 742 ppm during the winter of 2004/5 (Kim et al.,  
19 2007).

20 Figure 6. Temporal variations of winter CO<sub>2</sub> flux along with 6a) atmospheric pressure and 6b)  
21 ambient temperature. Atmospheric pressure affects temperature, which regulates the magnitude  
22 of winter CO<sub>2</sub> flux. Thus, the pressure is divided into four phases: high pressure (HP: >1000 hPa),  
23 low pressure (LP: <985 hPa), intermediate pressure (IP: 985<P<1000), and a snow-melting  
24 period (MP: since DOY 446), all shown in Table 1. The temperature was much higher for LP  
25 than for HP—a difference of over 10°C on average.

1 Figure 7. Relationships between winter CO<sub>2</sub> flux and: 7a) atmospheric pressure during HP, LP,  
2 and MP; 7b) ambient temperature during HP, LP, and MP; 7c) ambient temperature during IP.  
3 The empty area for IP in 7a and 7b denotes exclusion between 985 and 1000 hPa. Winter CO<sub>2</sub>  
4 fluxes show good exponential relations with ambient temperature for four pressure phases. The  
5 symbols are open circles for HP, stars for LP, solid circles for MP, and solid triangles for IP.

6 Figure 8. 8a) Temporal variations in winter CO<sub>2</sub> flux (g CO<sub>2</sub>-C/m<sup>2</sup>/d) and soil non-conductive  
7 heat flux, R<sub>h</sub> (W/m<sup>2</sup>), estimated from equation (1), as written in the text. Both fluxes were  
8 averaged on a daily basis. CO<sub>2</sub> flux is represented by a solid grey line. R<sub>h</sub> was estimated for  
9 upper bounds (solid black line, {d<sub>h</sub>, c<sub>h</sub>} = {8.0 × 10<sup>-7</sup> m<sup>2</sup>/s and 2.4 × 10<sup>6</sup> J/m/K}) and lower  
10 bounds (dashed black line, {d<sub>h</sub>, c<sub>h</sub>} = {5.5 × 10<sup>-7</sup> m<sup>2</sup>/s, 2.0 × 10<sup>6</sup> J/m/K}). 8b) Snow temperature  
11 gradients between the soil surface and 10 cm above, from DOY 357 to 460. T<sub>s</sub> (0 cm) and T<sub>s</sub> (10  
12 cm) denote temperatures at soil surface and at 10 cm above the surface.

13 Figure 9. Correlations between non-conductive heat and winter CO<sub>2</sub> flux until DOY 445. Solid  
14 and grey circles are maximum and minimum soil heat flux, respectively, as described in Figure  
15 10. Solid and dashed lines show the relationships between winter CO<sub>2</sub> flux and soil  
16 non-conductive heat fluxes at maximum and minimum, respectively.

17 Figure 10. The percentage (%) correlation, measured using the correlation coefficient (R<sup>2</sup>),  
18 between environmental factors and winter CO<sub>2</sub> flux during 10a) HP, 10b) IP, 10c) LP, and 10d)  
19 MP. The numbers on the lines are the percentages of correlation between both parameters. The  
20 dotted, thin solid, and thick solid lines denote R<sup>2</sup><0.20, 0.20<R<sup>2</sup><0.40, and R<sup>2</sup>>0.40, respectively.